

# Alzheimer's Disease Classification using ML Pipeline on Fast Fourier Transformed EEG Data



# Tyler Yoshihara

Pomona College Department of Neuroscience - NEUR 182 - Machine Learning with Neural Signals

### Abstract

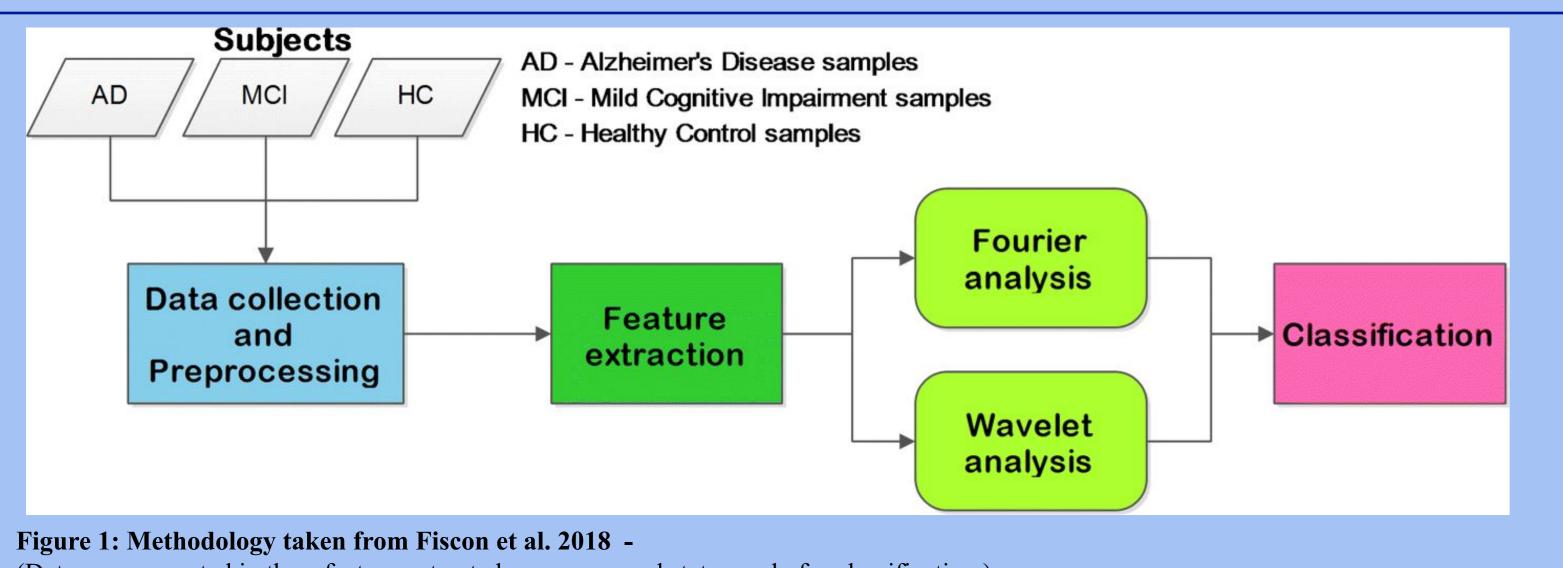
Alzheimer's Disease (AD) is the most common neurodegenerative disease. It is typically late onset and can develop substantially before diagnosable symptoms appear. Electroencephalogram (EEG) could potentially serve as a noninvasive diagnostic tool for AD. Machine learning can be helpful in making inferences about changes in frequency bands in EEG data and how these changes relate to neural function. The EEG data was sourced from 2014 paper titled Alzheimer's disease patients classification through EEG signals processing by Fiscon et al. There were patients with AD, mild cognitive impairment (MCI), and healthy controls. The data was already preprocessed using a fast fourier transform (FFT) to take the data from the time domain to the frequency domain. There were differing levels of effectiveness in terms of classification but generally, Fisher's discriminant analysis (FDA), relevance vector machine, and random forest approaches were most successful. Due to inconsistent feature importances in different models, conclusions about important frequency bands for classification were not able to be made at this time. Similarly, different frequencies were not able to be localized to different regions of the brain. Further research is necessary to develop more interpretable models for classification.

## Introduction

Alzheimer's disease is an irreversible, progressive neurodegenerative disorder marked by memory issues associated with dementia, language problems, and erratic behavior. While diagnostic techniques such as identifying the biomarkers in the brain have been proposed as an indicator of a patient's proclivity to developing Alzheimer's, inaccuracy is a problem that plagues a lot of these approaches (Tarnanas, Tsolaki, Wiederhold, Wiederhold, & Tsolaki, 2015). Currently, invasive, posthumous brain examination is the only guaranteed diagnostic tool. As a result, the application of machine learning to EEG data could be an extremely useful and cost-effective method to non-invasively screen for Alzheimer's disease and could potentially serve as an incredibly beneficial and life-saving medical protocol (Ding et al., 2018). Often Alzheimer's symptoms are associated with later stages of the disease, which is why early screening could allow for intervention prior to neurodegeneration running its course. The classification technique for Alzheimer's disease necessitates cross-subject analysis, as intra-subject classification would not provide any useable results. The EEG data used for the body of this study comes from a 2018 study by Fiscon et al., utilizing classification techniques derived in an earlier 2014 study by the same group (Fiscon et al., 2014). Our hope in expanding upon Fiscon et al. 2018's work is to improve upon their classification techniques and provide interpretable results that can be localized to specific frequency bands and channels for diagnostic purposes (Fiscon et al., 2018). Fiscon et al. employed decision trees (DT), support vector machines (SVC) and rule-based classifiers, ultimately citing DTs as their most effective algorithm in their 2018 paper. In selecting our models, the primary goal was to maximize sensitivity and specificity, while retaining parsimony and being highly cognizant of overfitting and decreases in accuracy due to features derived from noise that is not consistent across cases (Hebart & Baker, 2018). Our classification algorithms include Relevance Vector Classifier (RVC), Ridge Regularized Linear Regression (RLR – L2), Fisher's Discriminant Analysis (FDA), and Random Forest (RF) (Liaw et al., 2002). Four primary EEG frequency bands were examined for salience in our interpretation of feature importance: delta (0.5-4) Hz), theta (4-7 Hz), alpha (8-13 Hz), beta (13-30 Hz). It was hypothesized that alpha bands would be downregulated across channels, while beta and delta frequency would increase for AD patients relative to healthy control. MCI patients were expected to have less degradation of alpha frequency compared to AD subjects.

# **Existing Data Set**

The existing data, taken from Fiscon et al. 2018's study, is pre-processed EEG recording from 86 participants with AD or Mild Cognitive Impairment (MCI) and 23 Healthy Controls (HC). The data was derived from a resting-eyes closed (EC) state for 300 seconds, with the central three-minutes of data (60 sec to 240 sec) being the focus of analysis. An 19 electrode array with a sampling rate of 256 Hz was used. Fiscon et al. preprocessed the data utilizing two techniques, one of which will be focused on in this follow-up study. Fast Fourier Transform (FFT) was conducted on the central-three minutes of data, which was divided into six, 30 second epochs. 16 Fourier coefficients were extracted from each epoch, which was presented to us in the form a csv file with cases represented and labeled on the y-axis and features in the form of fourier coefficients on the x-axis (304 columns).



# (Data was presented in the a feature extracted, pre-processed state, ready for classification.)

# Methods

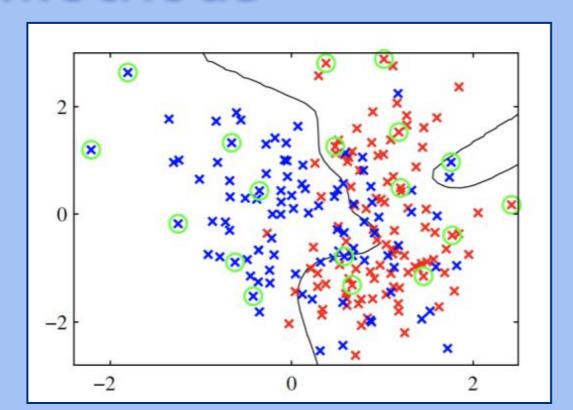


Figure 2: Relevance Vector Classifier drawn decision boundary and circled relevance vectors

Figure 3: Random Forest Classifier - Disjoint set of decision trees that are combined to form an ensemble method for classification

#### **Machine Learning Approaches:**

- i) Relevance Vector Classifier (RVC) Bayesian sparse kernel method that builds a separating hyperplane using robabilistic measures to maximizes the minimum distance between the data of different classes (Bishop, 2006).
- Relies on significantly less basis functions than an SVM and, therefore, provides a much more parsimonious solution
- ii) Random Forest (RF) Ensemble method that builds and combines decision trees while searching for the best features among random subsets of features (Liaw & Wiener, 2001). Fiscon et al. had their best results with a simple decision tree. Because decision trees form the framework for
- random forests, it is expected that this will also be an effective method. iii) Fisher's Discriminant Analysis (FDA) - Using single value decomposition to transform feature vectors into a
- space that maximizes separability.

#### **Model Accuracy:**

- Accuracy, ROC, Sensitivity, Specificity

#### **Interpretation:**

- Cross-model feature importance correlation
- Frequency band analysis
- Spatial analysis by frequency and channel

# Results

#### AD vs. HC MCI vs. AD micro-average ROC curve (area = 0.81) micro-average ROC curve (area = 0.82) micro-average ROC curve (area = 0.69) micro-average ROC curve (area = 0.66) macro-average ROC curve (area = 0.85) macro-average ROC curve (area = 0.92) Classification Measure Classification Measure Classification Measure Classification Measure Performance Specificity Specificity Specificity Specificity Sensitivity 0.55 Sensitivity Sensitivity Sensitivity .65 (AD) - .65 (HC) .88 (AD) - .88 (HC) 70 (AD) - .70 (MCI) .80 (AD) - .80 (HC) Accuracy 70% Accuracy Accuracy

Figures 4a,b,c,d: AD vs. HC Classification and Accuracy Measures RVC, RLR: L2, RF & FDA were utilized and the results of RVC (a, c) & RF (c, d) are presented above.

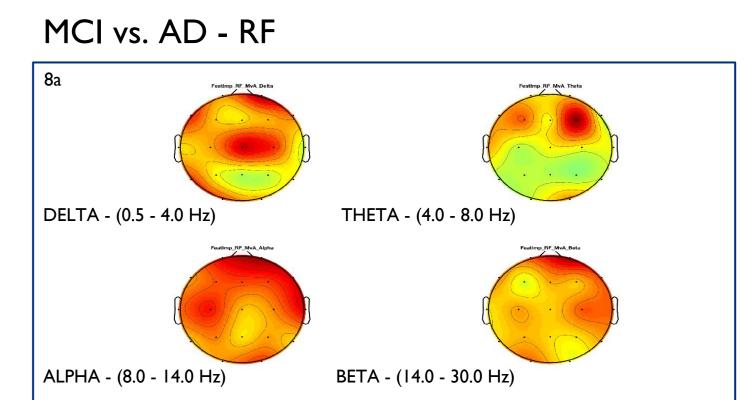
HC vs. MCI

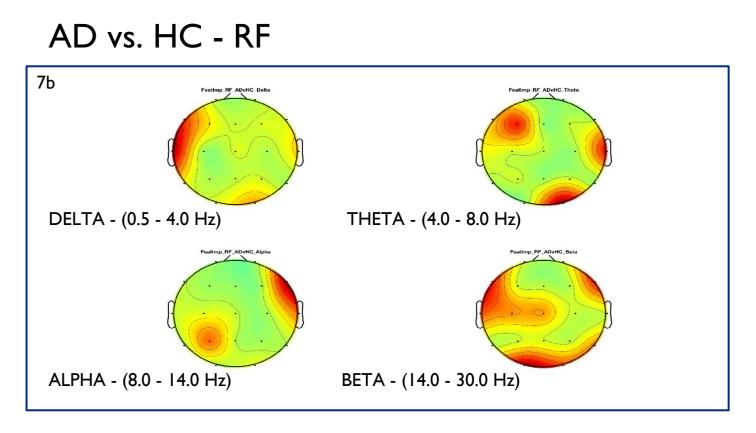
Classification Measure	Performance
Specificity	0.8
Sensitivity	0.71
AUC	.60 (HC)60 (MCI)
Accuracy	75%

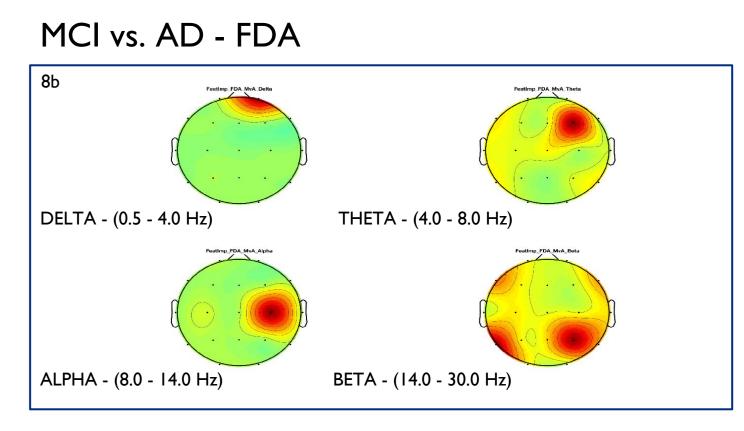
Figures 5a,b,c,d: MCI vs. AD Classification and Accuracy Measures RVC, RLR: L2, RF & FDA were utilized and the results of RF (a, c) & FDA (c, d) are presented above.

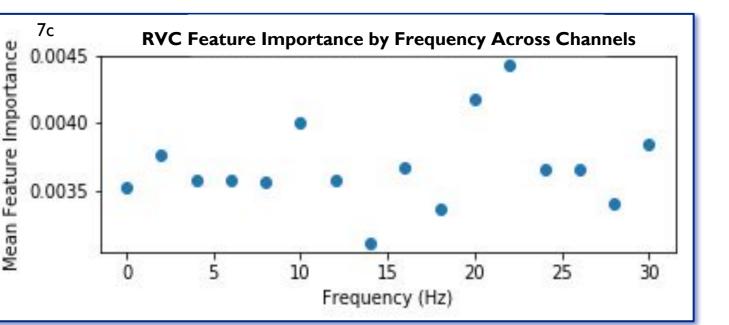
tion Measure	Performance	Figures 6a,b: HC vs. MCI
ecificity	0.8	Accuracy Measures - RVC,
		L2 & FDA were utilized and the
nsitivity	0.43	results of RF (a) and RVC (b) a presented to the left.
4 <i>UC</i>	.66 (HC)66(MCI)	

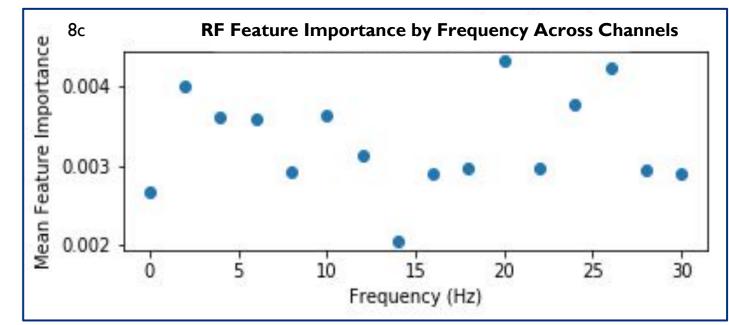
# AD vs. HC - RVC











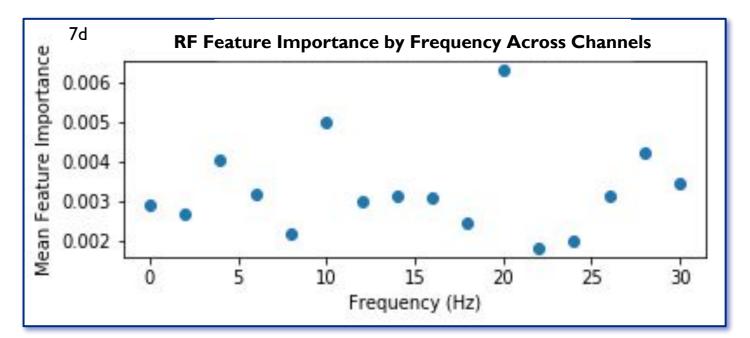


Fig 7a,b,c,d: Heatmaps and Feature Importance for AD vs HC - Relative feature importances did not demonstrate any consistency across channels and frequencies

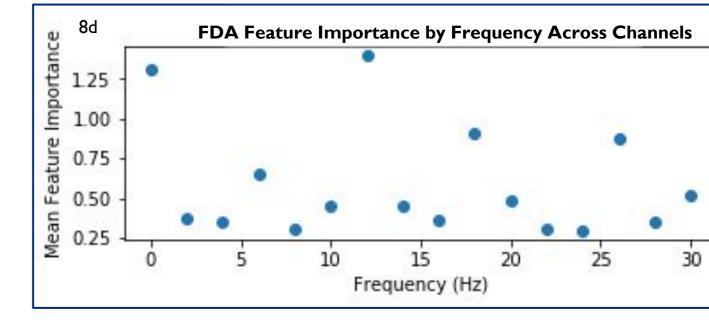


Fig 8a,b,c,d: Heatmaps and Feature Importance for MCI vs. AD - Relative feature importances did not demonstrate any consistency across channels and frequencies

# Conclusion

- RVC was most effective for AD vs. HC
- RF was most effective for MCI vs AD and HC vs MCI
- PCA was not utilized for RLR or FDA as there was minimal multicollinearity between features
- Correlations between feature importance for different algorithms within dataset was low
- Conclusions not able to be drawn about consistency of feature importance between models
- Correlations between feature importance for frequency bands across channels was inconsistent
- Heat maps for different models within data was not consistent in terms of spatial orientation
- Different frequencies were not localized to specific regions and were not overlapping between algorithms
- Future research is necessary in order to draw more interpretable conclusions pertaining to locality and frequency band specificity when it comes to Alzheimer's classification.

#### Acknowledgments

Thank you to Professor Spezio for guidance in this project and thank you to Fiscon et al. for providing the preprocessed data and original study that inspired this work. Lastly, thank you to Isabelle and Fernanda for collaborating with us in the early stages of this project.

#### References

- Ding, Y., Sohn, J. H., Kawczynski, M. G., Trivedi, H., Harnish, R., Jenkins, N. W., ... Franc, B. L. (2018). A Deep Learning Model to Predict a Diagnosis of Alzheimer Disease by Using 18F-FDG PET of the Brain. Radiology, 290(2), 456–464. https://doi.org/10.1148/radiol.201818095
- Tarnanas, I., Tsolaki, A., Wiederhold, M., Wie