How to write and run benchmarks in Node.js core

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Prerequisites

Basic Unix tools are required for some benchmarks. <u>Git for Windows</u> includes Git Bash and the necessary tools, which need to be included in the global Windows PATH.

HTTP benchmark requirements

Most of the HTTP benchmarks require a benchmarker to be installed. This can be either wrk or autocannon.

Autocannon is a Node.js script that can be installed using <code>npm install -g autocannon</code>. It will use the Node.js executable that is in the path. In order to compare two HTTP benchmark runs, make sure that the Node.js version in the path is not altered.

wrk may be available through one of the available package managers. If not, it can be easily built from source via make.

By default, wrk will be used as the benchmarker. If it is not available, autocannon will be used in its place. When creating an HTTP benchmark, the benchmarker to be used should be specified by providing it as an argument:

```
node benchmark/run.js --set benchmarker=autocannon http
node benchmark/http/simple.js benchmarker=autocannon
```

HTTPS benchmark requirements

To run the https benchmarks, one of autocannon or wrk benchmarkers must be used.

node benchmark/https/simple.js benchmarker=autocannon

HTTP/2 benchmark requirements

To run the http2 benchmarks, the h2load benchmarker must be used. The h2load tool is a component of the nghttp2 project and may be installed from nghttp2.org or built from source.

```
node benchmark/http2/simple.js benchmarker=h2load
```

Benchmark analysis requirements

To analyze the results statistically, you can use either the $\underline{node-benchmark-compare}$ tool or the R script $\underline{benchmark/compare.R}$.

<u>node-benchmark-compare</u> is a Node.js script that can be installed with <code>npm install -g node-benchmark-compare</code> .

To draw comparison plots when analyzing the results, R must be installed. Use one of the available package managers or download it from https://www.r-project.org/.

The R packages ggplot2 and plyr are also used and can be installed using the R REPL.

```
$ R
install.packages("ggplot2")
install.packages("plyr")
```

If a message states that a CRAN mirror must be selected first, specify a mirror with the repo parameter.

```
install.packages("ggplot2", repo="http://cran.us.r-project.org")
```

Of course, use an appropriate mirror based on location. A list of mirrors is located here.

Running benchmarks

Running individual benchmarks

This can be useful for debugging a benchmark or doing a quick performance measure. But it does not provide the statistical information to make any conclusions about the performance.

Individual benchmarks can be executed by simply executing the benchmark script with node.

```
$ node benchmark/buffers/buffer-tostring.js

buffers/buffer-tostring.js n=10000000 len=0 arg=true: 62710590.393305704

buffers/buffer-tostring.js n=10000000 len=1 arg=true: 9178624.591787899

buffers/buffer-tostring.js n=10000000 len=64 arg=true: 7658962.8891432695

buffers/buffer-tostring.js n=10000000 len=1024 arg=true: 4136904.4060201733

buffers/buffer-tostring.js n=10000000 len=0 arg=false: 22974354.231509723

buffers/buffer-tostring.js n=10000000 len=1 arg=false: 11485945.656765845

buffers/buffer-tostring.js n=10000000 len=64 arg=false: 8718280.70650129

buffers/buffer-tostring.js n=10000000 len=1024 arg=false: 4103857.0726124765
```

Each line represents a single benchmark with parameters specified as $\$\{variable\} = \$\{value\}$. Each configuration combination is executed in a separate process. This ensures that benchmark results aren't affected by

the execution order due to V8 optimizations. The last number is the rate of operations measured in ops/sec (higher is better).

Furthermore a subset of the configurations can be specified, by setting them in the process arguments:

```
$ node benchmark/buffers/buffer-tostring.js len=1024

buffers/buffer-tostring.js n=10000000 len=1024 arg=true: 3498295.68561504
buffers/buffer-tostring.js n=10000000 len=1024 arg=false: 3783071.1678948295
```

Running all benchmarks

Similar to running individual benchmarks, a group of benchmarks can be executed by using the run.js tool. To see how to use this script, run node benchmark/run.js. Again this does not provide the statistical information to make any conclusions.

```
$ node benchmark/run.js assert
assert/deepequal-buffer.js
assert/deepequal-buffer.js method="deepEqual" strict=0 len=100 n=20000:
773,200.4995493788
assert/deepequal-buffer.js method="notDeepEqual" strict=0 len=100 n=20000:
964,411.712953848
assert/deepequal-map.js
assert/deepequal-map.js method="deepEqual primitiveOnly" strict=0 len=500 n=500:
20,445.06368453332
assert/deepequal-map.js method="deepEqual objectOnly" strict=0 len=500 n=500:
1,393.3481642240833
assert/deepequal-object.js
assert/deepequal-object.js method="deepEqual" strict=0 size=100 n=5000:
1,053.1950937538475
assert/deepequal-object.js method="notDeepEqual" strict=0 size=100 n=5000:
9,734.193251965213
```

It is possible to execute more groups by adding extra process arguments.

```
$ node benchmark/run.js assert async_hooks
```

Filtering benchmarks

benchmark/run.js and benchmark/compare.js have --filter pattern and --exclude pattern options, which can be used to run a subset of benchmarks or to exclude specific benchmarks from the execution, respectively.

```
$ node benchmark/run.js --filter "deepequal-b" assert
assert/deepequal-buffer.js
assert/deepequal-buffer.js method="deepEqual" strict=0 len=100 n=20000:
773,200.4995493788
assert/deepequal-buffer.js method="notDeepEqual" strict=0 len=100 n=20000:
964,411.712953848
$ node benchmark/run.js --exclude "deepequal-b" assert
assert/deepequal-map.js
assert/deepequal-map.js method="deepEqual primitiveOnly" strict=0 len=500 n=500:
20,445.06368453332
assert/deepequal-map.js method="deepEqual objectOnly" strict=0 len=500 n=500:
1,393.3481642240833
. . .
assert/deepequal-object.js
assert/deepequal-object.js method="deepEqual" strict=0 size=100 n=5000:
1,053.1950937538475
assert/deepequal-object.js method="notDeepEqual" strict=0 size=100 n=5000:
9,734.193251965213
```

--filter and --exclude can be repeated to provide multiple patterns.

```
$ node benchmark/run.js --filter "deepequal-b" --filter "deepequal-m" assert
assert/deepequal-buffer.js
assert/deepequal-buffer.js method="deepEqual" strict=0 len=100 n=20000:
773,200.4995493788
assert/deepequal-buffer.js method="notDeepEqual" strict=0 len=100 n=20000:
964,411.712953848
assert/deepequal-map.js
assert/deepequal-map.js method="deepEqual primitiveOnly" strict=0 len=500 n=500:
20,445.06368453332
assert/deepequal-map.js method="deepEqual objectOnly" strict=0 len=500 n=500:
1,393.3481642240833
$ node benchmark/run.js --exclude "deepequal-b" --exclude "deepequal-m" assert
assert/deepequal-object.js
\verb|assert/deepequal-object.js| method="deepEqual" strict=0 size=100 n=5000: \\
1,053.1950937538475
assert/deepequal-object.js method="notDeepEqual" strict=0 size=100 n=5000:
9,734.193251965213
assert/deepequal-prims-and-objs-big-array-set.js
```

```
assert/deepequal-prims-and-objs-big-array-set.js method="deepEqual_Array" strict=0 len=20000 n=25 primitive="string": 865.2977195251661 assert/deepequal-prims-and-objs-big-array-set.js method="notDeepEqual_Array" strict=0 len=20000 n=25 primitive="string": 827.8297281403861 assert/deepequal-prims-and-objs-big-array-set.js method="deepEqual_Set" strict=0 len=20000 n=25 primitive="string": 28,826.618268696366 ...
```

If --filter and --exclude are used together, --filter is applied first, and --exclude is applied on the result of --filter:

```
$ node benchmark/run.js --filter "bench-" process
process/bench-env.js
process/bench-env.js operation="get" n=1000000: 2,356,946.0770617095
process/bench-env.js operation="set" n=1000000: 1,295,176.3266261867
process/bench-env.js operation="enumerate" n=1000000: 24,592.32231990992
\verb|process/bench-env.js| operation="query" n=10000000: 3,625,787.2150573144|
process/bench-env.js operation="delete" n=1000000: 1,521,131.5742806569
process/bench-hrtime.js
process/bench-hrtime.js type="raw" n=1000000: 13,178,002.113936031
process/bench-hrtime.js type="diff" n=1000000: 11,585,435.712423025
process/bench-hrtime.js type="bigint" n=1000000: 13,342,884.703919787
$ node benchmark/run.js --filter "bench-" --exclude "hrtime" process
process/bench-env.js
process/bench-env.js operation="get" n=1000000: 2,356,946.0770617095
\verb|process/bench-env.js| operation="set" n=1000000: 1,295,176.3266261867|
process/bench-env.js operation="enumerate" n=1000000: 24,592.32231990992
process/bench-env.js operation="query" n=1000000: 3,625,787.2150573144
process/bench-env.js operation="delete" n=1000000: 1,521,131.5742806569
```

Comparing Node.js versions

To compare the effect of a new Node.js version use the <code>compare.js</code> tool. This will run each benchmark multiple times, making it possible to calculate statistics on the performance measures. To see how to use this script, run <code>node benchmark/compare.js</code>.

As an example on how to check for a possible performance improvement, the #5134 pull request will be used as an example. This pull request *claims* to improve the performance of the string decoder module.

First build two versions of Node.js, one from the master branch (here called ./node-master) and another with the pull request applied (here called ./node-pr-5134).

To run multiple compiled versions in parallel you need to copy the output of the build: cp ./out/Release/node ./node-master . Check out the following example:

```
$ git checkout master
$ ./configure && make -j4
```

```
$ cp ./out/Release/node ./node-master

$ git checkout pr-5134
$ ./configure && make -j4
$ cp ./out/Release/node ./node-pr-5134
```

The compare.js tool will then produce a csv file with the benchmark results.

```
$ node benchmark/compare.js --old ./node-master --new ./node-pr-5134 string_decoder
> compare-pr-5134.csv
```

Tips: there are some useful options of benchmark/compare.js. For example, if you want to compare the benchmark of a single script instead of a whole module, you can use the --filter option:

```
--new ./new-node-binary new node binary (required)
--old ./old-node-binary old node binary (required)
--runs 30 number of samples
--filter pattern string to filter benchmark scripts
--set variable=value set benchmark variable (can be repeated)
--no-progress don't show benchmark progress indicator
```

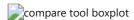
For analysing the benchmark results, use node-benchmark-compare or the R script benchmark/compare. R .

In the output, *improvement* is the relative improvement of the new version, hopefully this is positive. *confidence* tells if there is enough statistical evidence to validate the *improvement*. If there is enough evidence then there will be at least one star (*), more stars is just better. **However if there are no stars, then don't make any conclusions based on the** *improvement*. Sometimes this is fine, for example if no improvements are expected, then there shouldn't be any stars.

A word of caution: Statistics is not a foolproof tool. If a benchmark shows a statistical significant difference, there is a 5% risk that this difference doesn't actually exist. For a single benchmark this is not an issue. But when considering 20 benchmarks it's normal that one of them will show significance, when it shouldn't. A possible solution is to instead consider at least two stars (***) as the threshold, in that case the risk is 1%. If three stars (***) is considered the risk is 0.1%. However this may require more runs to obtain (can be set with --runs).

For the statistically minded, the script performs an <u>independent/unpaired 2-group t-test</u>, with the null hypothesis that the performance is the same for both versions. The confidence field will show a star if the p-value is less than 0.05.

The compare.R tool can additionally produce a box plot by using the --plot filename option. In this case there are 48 different benchmark combinations, and there may be a need to filter the csv file. This can be done while benchmarking using the --set parameter (e.g. --set encoding=ascii) or by filtering results afterwards using tools such as sed or grep. In the sed case be sure to keep the first line since that contains the header information.



Comparing parameters

It can be useful to compare the performance for different parameters, for example to analyze the time complexity.

To do this use the scatter.js tool, this will run a benchmark multiple times and generate a csv with the results. To see how to use this script, run node benchmark/scatter.js.

```
$ node benchmark/scatter.js benchmark/string_decoder/string-decoder.js > scatter.csv
```

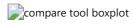
After generating the csv, a comparison table can be created using the scatter.R tool. Even more useful it creates an actual scatter plot when using the --plot filename option.

```
$ cat scatter.csv | Rscript benchmark/scatter.R --xaxis chunkLen --category encoding
--plot scatter-plot.png --log
aggregating variable: inLen
          encoding rate confidence.interval
chunkLen
     16
             ascii 1515855.1
                                    334492.68
     16 base64-ascii 403527.2
                                     89677.70
     16 base64-utf8 322352.8
                                     70792.93
     16
          utf16le 1714567.5
                                    388439.81
             utf8 1100181.6
                                     254141.32
     16
```

```
ascii 3550402.0
                              661277.65
                              229976.34
 64 base64-ascii 1093660.3
 64 base64-utf8 997804.8
                              227238.04
     utf16le 3372234.0
                                647274.88
 64
         utf8 1731941.2
                              360854.04
         ascii 5033793.9
                              723354.30
2.56
                              236625.96
256 base64-ascii 1447962.1
256 base64-utf8 1357269.2
                              231045.70
256 utf16le 4039581.5
                              655483.16
         utf8 1828672.9
                              360311.55
256
         ascii 5677592.7
1024
                               624771.56
1024 base64-ascii 1494171.7
                              227302.34
1024 base64-utf8 1399218.9
                              224584.79
    utf16le 4157452.0
                               630416.28
1024
         utf8 1824266.6
                               359628.52
```

Because the scatter plot can only show two variables (in this case *chunkLen* and *encoding*) the rest is aggregated. Sometimes aggregating is a problem, this can be solved by filtering. This can be done while benchmarking using the --set parameter (e.g. --set encoding=ascii) or by filtering results afterwards using tools such as sed or grep. In the sed case be sure to keep the first line since that contains the header information.

```
sater.csv \mid sed -E 'lp;/([^,]+, ){3}128,/!d' \mid Rscript benchmark/scatter.R - (1.5)
-xaxis chunkLen --category encoding --plot scatter-plot.png --log
chunkLen
          encoding rate confidence.interval
     16
            ascii 1302078.5
                                    71692.27
     16 base64-ascii 338669.1
                                    15159.54
     16 base64-utf8 281904.2
                                    20326.75
     16 utf16le 1381515.5
                                    58533.61
     16
            utf8 831183.2
                                    33631.01
                                   224030.00
            ascii 4363402.8
     64
                                    48644.72
     64 base64-ascii 1036825.9
     64 base64-utf8 780059.3
                                    60994.98
     64 utf16le 3900749.5
                                   158366.84
             utf8 1723710.6
                                     80665.65
            ascii 8472896.1
                                   511822.51
    2.56
    256 base64-ascii 2215884.6
                                   104347.53
    256 base64-utf8 1996230.3
                                   131778.47
        utf16le 5824147.6
                                    234550.82
    2.56
             utf8 2019428.8
                                   100913.36
            ascii 8340189.4
   1024
                                   598855.08
   1024 base64-ascii 2201316.2
                                    111777.68
   1024 base64-utf8 2002272.9
                                   128843.11
   1024 utf16le 5789281.7
                                   240642.77
   1024
           utf8 2025551.2
                                     81770.69
```



Running benchmarks on the CI

To see the performance impact of a pull request by running benchmarks on the CI, check out <u>How to: Running core benchmarks on Node is CI</u>.

Creating a benchmark

Basics of a benchmark

All benchmarks use the require('../common.js') module. This contains the createBenchmark(main, configs[, options]) method which will setup the benchmark.

The arguments of createBenchmark are:

- main {Function} The benchmark function, where the code running operations and controlling timers should go
- configs {Object} The benchmark parameters. createBenchmark will run all possible combinations of these parameters, unless specified otherwise. Each configuration is a property with an array of possible values. The configuration values can only be strings or numbers.
- options {Object} The benchmark options. At the moment only the flags option for specifying command line flags is supported.

createBenchmark returns a bench object, which is used for timing the runtime of the benchmark. Run bench.start() after the initialization and bench.end(n) when the benchmark is done. n is the number of operations performed in the benchmark.

The benchmark script will be run twice:

The first pass will configure the benchmark with the combination of parameters specified in <code>configs</code> , and WILL NOT run the <code>main</code> function. In this pass, no flags except the ones directly passed via commands when running the benchmarks will be used.

In the second pass, the main function will be run, and the process will be launched with:

- The flags passed into <code>createBenchmark</code> (the third argument)
- The flags in the command passed when the benchmark was run

Beware that any code outside the main function will be run twice in different processes. This could be troublesome if the code outside the main function has side effects. In general, prefer putting the code inside the main function if it's more than just declaration.

```
'use strict';
const common = require('../common.js');
const { SlowBuffer } = require('buffer');

const configs = {
    // Number of operations, specified here so they show up in the report.
    // Most benchmarks just use one value for all runs.
    n: [1024],
    type: ['fast', 'slow'], // Custom configurations
    size: [16, 128, 1024] // Custom configurations
};

const options = {
    // Add --expose-internals in order to require internal modules in main
```

```
flags: ['--zero-fill-buffers']
};
// `main` and `configs` are required, `options` is optional.
const bench = common.createBenchmark(main, configs, options);
// Any code outside main will be run twice,
\ensuremath{//} in different processes, with different command line arguments.
function main(conf) {
  \ensuremath{//} Only flags that have been passed to createBenchmark
 // earlier when main is run will be in effect.
  \ensuremath{//} In order to benchmark the internal modules, require them here. For example:
  // const URL = require('internal/url').URL
 // Start the timer
 bench.start();
 // Do operations here
 const BufferConstructor = conf.type === 'fast' ? Buffer : SlowBuffer;
 for (let i = 0; i < conf.n; i++) {</pre>
   new BufferConstructor(conf.size);
 // End the timer, pass in the number of operations
 bench.end(conf.n);
```

Creating an HTTP benchmark

The bench object returned by createBenchmark implements http(options, callback) method. It can be used to run external tool to benchmark HTTP servers.

```
'use strict';

const common = require('../common.js');

const bench = common.createBenchmark(main, {
    kb: [64, 128, 256, 1024],
    connections: [100, 500],
    duration: 5
});

function main(conf) {
    const http = require('http');
    const len = conf.kb * 1024;
    const chunk = Buffer.alloc(len, 'x');
    const server = http.createServer((req, res) => {
        res.end(chunk);
    });
}
```

```
server.listen(common.PORT, () => {
  bench.http({
    connections: conf.connections,
  }, () => {
    server.close();
  });
});
}
```

Supported options keys are:

- port defaults to common.PORT
- path defaults to /
- connections number of concurrent connections to use, defaults to 100
- duration duration of the benchmark in seconds, defaults to 10
- benchmarker benchmarker to use, defaults to the first available http benchmarker