

Role of DNA sequence based structural features of promoters in transcription initiation and gene expression

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Regulatory information for transcription initiation is present in a stretch of genomic DNA, called the promoter region that is located upstream of the transcription start site (TSS) of the gene. The promoter region interacts with different transcription factors and RNA polymerase to initiate transcription and contains short stretches of transcription factor binding sites (TFBSs), as well as structurally unique elements. Recent experimental and computational analyses of promoter sequences show that they often have non-B-DNA structural motifs, as well as some conserved structural properties, such as stability, bendability, nucleosome positioning preference and curvature, across a class of organisms. Here, we briefly describe these structural features, the differences observed in various organisms and their possible role in regulation of gene expression.

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Introduction

Genomic DNA encompasses two kinds of information: Firstly, coding information stored in the gene body as triplet codons determines the amino acid sequence of proteins, as well as essential non-coding RNAs. Secondly, regulatory information controls essential events such as replication, recombination and transcription initiation, through DNA–protein interactions. The universal triplet code for protein sequence is very well established, but some understanding of a similar code for regulatory information, which is very complex and degenerate in nature, has only recently begun to emerge. Transcription initiation is the first step in the regulation of gene expression. The switch that plays a key role in this process is called the ‘promoter’ and is generally located upstream of the gene transcription start site (TSS). The identification of TSSs and promoters, within the much larger whole

genome sequence is a challenging problem and is generally addressed by locating regions enriched with transcription factor binding sites (TFBSs) associated with protein coding genes and few other conserved sequences, such as TATA box and Initiator element [1–3]. However, recent whole genome ‘transcriptome data’ have revealed that a large number of transcripts are created which do not code for proteins, but which could have important regulatory roles [4,5]. Several analyses of sequence and structural motifs characterizing TSSs and promoters in various organisms have been reported in recent years and are briefly reviewed here.

TSS and promoter identification using sequence motifs

Several experimental studies have revealed the presence of about 300 transcription factors (TFs) in *E. coli* and that a single TF may bind to hundreds of promoters, while more than 30 TFs may be involved in regulating a single gene promoter [6] which makes it difficult to arrive at a consensus binding sequence for each TF and use the information to *ab initio* identify promoters. Similarly, several hundred octamer sequences have been identified as promoter constituents from *Arabidopsis* and rice [7] while more than 1000 TFs have been manually annotated in the human genome, which along with several other elements, such as CpG islands, are found to regulate transcription [8,9]. Hence it is not surprising that sequence motif search based computational methods have only been moderately successful in identifying the TSSs and TFBSs associated with individual, as well as co-regulated protein coding genes, in both prokaryotes and eukaryotes, even though the models are trained on a particular species [10–15]. A very large number of *in silico* methods have been proposed for eukaryotic promoter prediction, but a comparative analysis of human TSS data indicates that no program simultaneously achieves high sensitivity and positive predictive value and the performance is much improved if it is combined with gene prediction [16,17]. The frequency of occurrence of some well characterized 6-mer (or longer) sequence motifs, such as TATA box, G-quadruplex, oligo-A or G-tracts, in the proximal promoter regions of five model eukaryotic organisms are given in Table 1. It is clearly seen that promoter regions of yeast (*S. cerevisiae*) and *C. elegans* are AT rich and are highly enriched in oligo A-tracts, while being only moderately rich in TATA-box like sequences. A similar trend is observed in prokaryotes [18•] and to a lesser extent in plants [7,19•]. On the other hand, promoter regions of mammals are GC-rich and have

Table 1

Percentage of promoter regions with at least one occurrence of the consensus sequence elements (TATA-box, GGGCGG and GGCGGG) and few other commonly observed structural motifs such as A-tracts (A7 or T7), G-tracts (G7 or C7) and G-quadruplex favoring sequences. Three promoter regions, spanning –500 to +500, –150 to +50, and –50 to –1 (core promoter), with respect to TSS at 0 position have been considered. The total number of 1001-mer promoter sequences for the five eukaryotic model systems are: yeast (*S. cerevisiae*): 4912 [79], worm (*C. elegans*): 18 457 (<http://www.modencode.org/>), rice (*Oryza sativa*): 24 177 [80], mouse: 17 451 [81], and human: 29 456 [81]. In this table W, R and N refer to A/T, A/G and any nucleotide respectively

	Yeast	Worm	Rice	Mouse	Human
Percent AT content					
Whole genome	61.9	64.6	56.3	58.0	59.0
–500 to +500	61.5	64.3	48.7	45.0	46.6
–150 to +50	64.1	65.3	49.0	40.9	44.3
TATA box (TATAWAWR)					
–500 to +500	45.9	31.1	34.4	11.0	14.2
–150 to +50	20.1	9.4	14.0	3.1	3.6
–50 to –1	5.5	4.6	10.1	2.1	1.6
A-tracts (A6 or T6)					
–500 to +500	93.7	98.3	80.1	48.6	56.3
–150 to +50	64.2	67.9	30.3	9.2	15.6
–50 to –1	17.3	33.2	7.5	2.1	4.5
G-tracts (G6 or C6)					
–500 to +500	7.8	7.8	39.2	45.2	40.0
–150 to +50	1.8	2.2	13.7	13.9	11.7
–50 to –1	0.2	0.5	5.0	4.5	3.5
G-quadruplex (G{3–5}N{1–7}G{3–5}N{1–7}G{3–5}N{1–7}G{3–5})					
–500 to +500	0.4	1.6	23.3	44.7	41.5
–150 to +50	0.1	0.4	7.5	17.3	15.8
–50 to –1	0.0	0.1	1.9	4.4	4.0
GGGCGG or CCGCCC					
–500 to +500	12.8	16.5	57.3	61.7	53.2
–150 to +50	2.9	4.6	13.1	41.8	32.9
–50 to –1	0.2	1.0	3.5	19.7	14.7
GGCGGG or CCCGCC					
–500 to +500	11.5	15.2	58.7	62.2	55.7
–150 to +50	3.4	3.9	13.5	41.2	32.9
–50 to –1	0.2	0.7	3.6	18.7	14.2

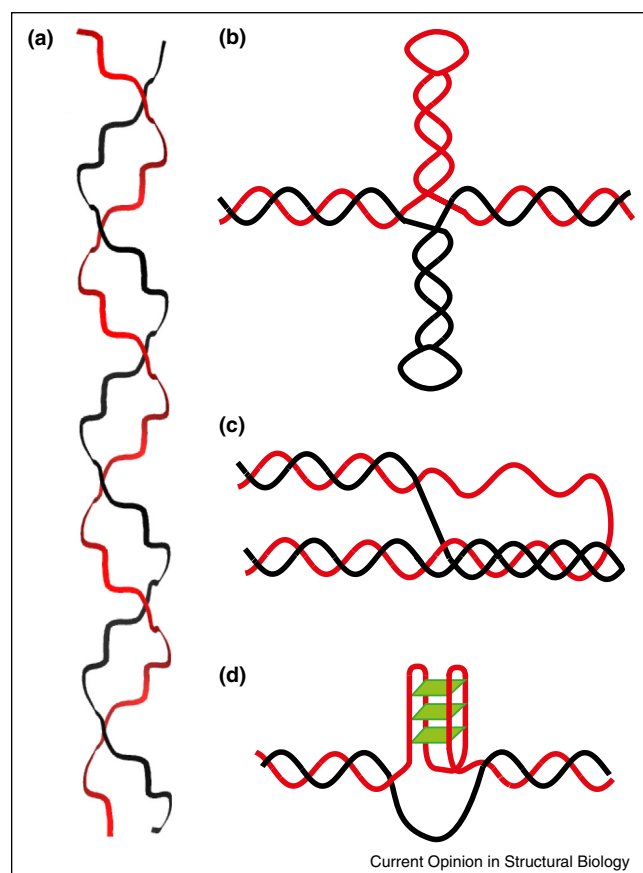
higher prevalence of G-quadruplex sequence motifs (16–17%) and GGGCGG and GGCGGG hexamers which, along with their complementary sequences, are the most abundant 6-mers, with at least one copy being present in ~42% and 33% of proximal promoter sequences in mouse and human promoters respectively (Table 1). These sequences are the conserved part of GC-box, which is recognized by Sp1 transcription factors in mammals [20]. The differences in sequence preferences of promoters, between the various organisms, have important implications for the structural properties of promoter DNA and its function.

Structural features of promoter regions in prokaryotes and eukaryotes:

The right-handed double-helical structure for B-form DNA is the most commonly occurring structure *in vivo* and it was earlier considered to be intrinsically uniform. DNA–protein recognition was hence considered to be the interaction between the DNA-binding structural motifs in proteins and a well-defined spatial arrangement of the hydrogen bond acceptors and donors in the major and minor grooves, as well as the sugar-phosphate backbone of right-handed B-DNA helix [21]. Recent studies

however indicate that several proteins interact with DNA through the minor groove, due to its characteristic electrostatic potential [22^{••}]. This suggests that DNA readout by proteins can be broadly classified as direct readout (chemical signatures of the nucleotide bases of DNA) and indirect readout (where the proteins read the 3D-structure of DNA). Indirect readout can be further classified into local shape readout (minor groove size and shape) and global structure readout [23]. An analysis of sequence specific binding of 151 human full length DNA-transcription factors and 303 DNA binding domains has provided considerable information about the role of secondary structural features in TF-DNA binding [24]. Most classes of the TFs have common DNA structure-based-binding motifs, which are characterized by a core-binding sequence flanked by a stretch of A-tracts and their local DNA structural features are important in genome function [25]. Hydroxyl radical cleavage maps of 36 different mammalian systems also showed that, the local topography of DNA is more conserved than primary sequences, and the shape of regulatory regions are conserved through evolution [26]. It should be mentioned that very long stretches of A-tracts (extending to more than 20 nucleotides) are often found in non-promoter DNA and play a

Figure 1



Schematic illustrations showing sequence specific non-B DNA structures that can modulate gene expression. (a) left handed, zigzag Z-DNA, (b) extruded, four-arm cruciform, (c) intra-molecular triplex DNA and (d) G-quadruplex structure.

role in chromatin organization [27]. In addition to A-tracts, there are other sequence motifs that can lead to unusual non-B-DNA structures, such as Z-DNA, cruciform structure, triplex DNA and G-quadruplexes, shown in Figure 1(a–d) respectively. These have been shown, in recent years, to exist under physiological conditions and can have functional roles *in vivo*, such as regulating transcription and being structural hotspots for genomic instability. Details of potential non-B-DNA structures in *E. coli* genome are available in a recent review [28**].

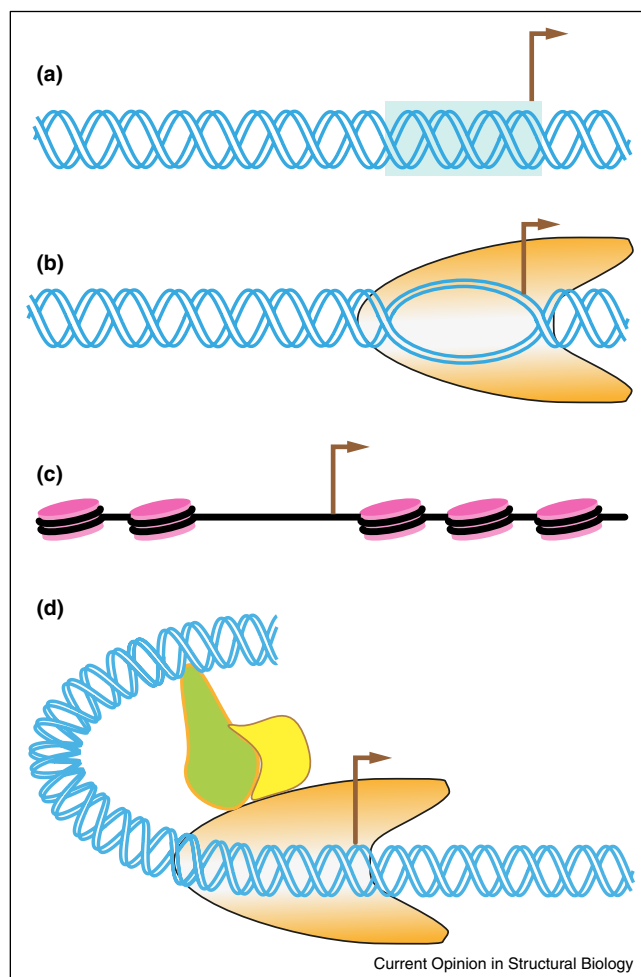
In higher eukaryotes, GC rich sequences are located near the genes and have been identified as being the ‘punctuation marks’ for transcription through the formation of left-handed Z-DNA (Figure 1a) at alternating purine–pyrimidine stretches [29,30]. The G-quadruplex (G4) structures (Figure 1d) were first identified for telomeric repeat sequences of chromosomes [31], but such sequences have subsequently been found to be widely prevalent, particularly in the promoters of human genome

[32]. The G4 structures have the potential to influence transcription in both positive and negative ways [33,34]. The four-armed cruciform secondary structure (Figure 1b) can be formed when an inverted repeat sequence, more than 6 nucleotide long (and generally AT rich) is present. Such sequences have been found near replication origins and promoters in several organisms [35]. Triplex DNA can form when the pyrimidine strand, in a long stretch of homopyrimidine sequence, loops out and binds to the purine rich strand in the major groove of double helical B-DNA, by forming Hoogsteen type hydrogen bonds. Sequences favoring these structures have been found in human promoters and postulated to be involved in feedback based gene regulation [36].

Structural ‘signals’ within B-DNA that define promoters

While the effect of non-B-DNA structures on transcription regulation can be readily understood, the role played by small variations in local structure of B-DNA, such as minor groove width, low stability regions and longer range structural features, namely bendability and curvature, in regulation of gene expression, is more subtle and complex. The sequence dependent secondary structural properties of promoter proximal regions have been the subject of intense experimental and computational analysis in recent years. While more than two dozen properties have been examined in some cases [37,38,39*] most are found to be redundant or not significant. Only five or six structural features, such as superhelix induced DNA destabilization [40], intrinsically low stability or stacking energy in prokaryotes [41] higher rigidity as predicted from DNase I cutting sensitivity [42] and nucleosomal positioning preference [43] as well as higher intrinsic curvature [44,45] are consistently observed in promoters of prokaryotes [18*,46–48] as well as lower eukaryotes [18*,37,49]. Mammals are unique in that their TSSs are characterized by flanking regions with higher melting temperature [50,51]. The relatively higher AT content in the vicinity of transcription start sites, in all prokaryotes and lower eukaryotes (Table 1) leads to lower stability and easier melting of DNA, which facilitates formation of transcription bubbles (as shown in Figure 2b) and transcription initiation. Similarly, less flexibility or higher rigidity of promoter DNA disfavors formation of nucleoids in prokaryotes and nucleosomes in eukaryotes, making these regions ‘nucleosome depleted’ and more accessible to the transcription machinery (Figure 2c). DNA bendability can be calculated using several different di-, tri- or tetra-nucleotide based models. The trinucleotide models derived from large scale experimental data on DNase I sensitivity and Nucleosomal Positioning Preference (NPP) are most reliable and only these are discussed here. The DNase I model [42] provides a bendability scale related to the ease of bending towards the major groove, with AT rich trinucleotides assigned a high negative value, which corresponds to lower bendability, while GC rich sequences are more bendable. The NPP

Figure 2



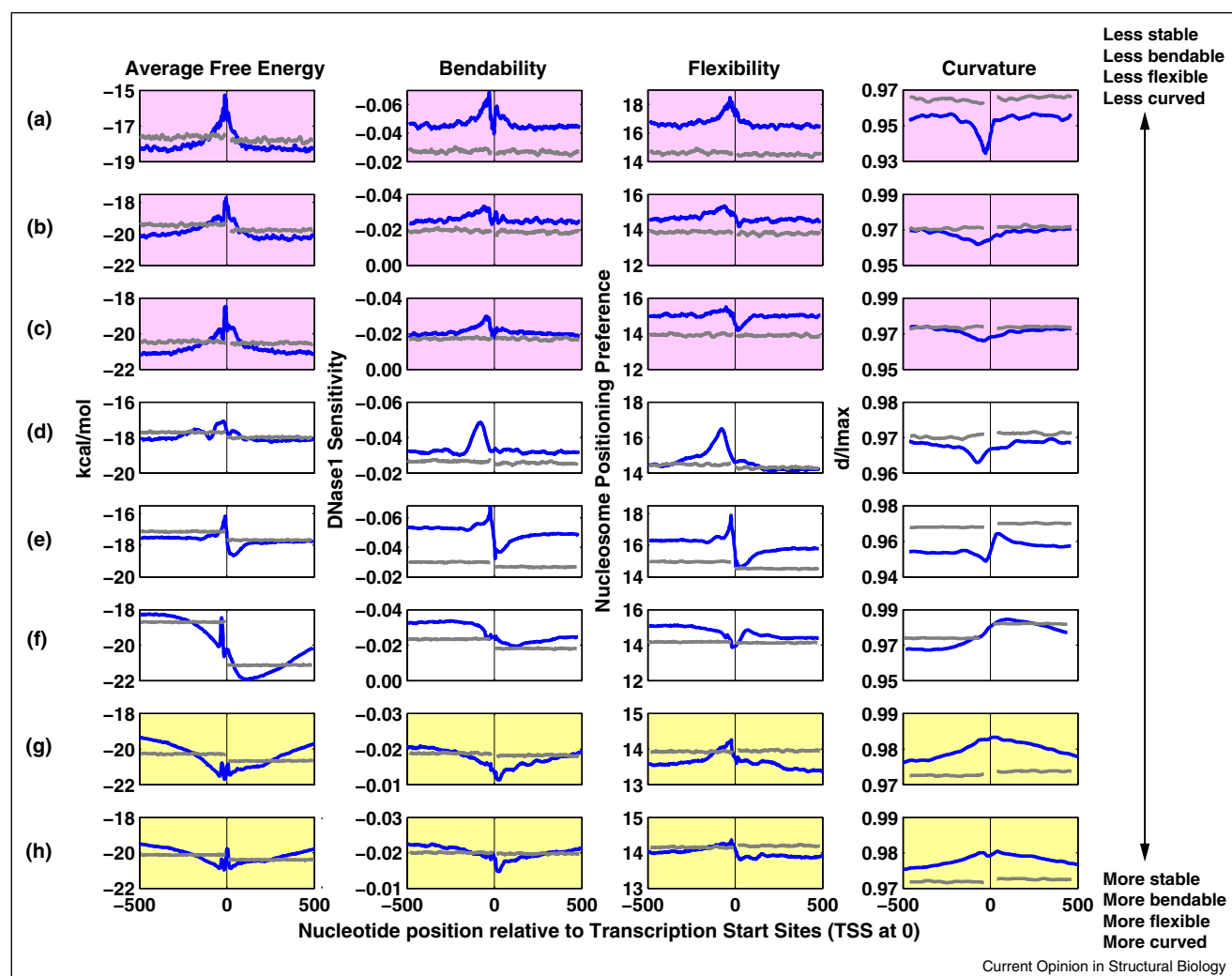
Schematic illustrations showing (a) canonical B-DNA along with structural elements representing (b) meltable, low stability regions (c) nucleosome depleted/free region (NDR/NFR) and (d) curved DNA, that are associated with promoter sequences located upstream of transcription start sites (indicated by brown arrows).

model [43] classifies each trinucleotide (and its complementary sequence) on the basis of its minor or major groove to preferentially face the histone core or show no preference. Trinucleotides comprising of only A/T or G/C bases generally show strong preferences in their orientation. Hence a sequence containing clusters of these trinucleotides (i.e. an AT or GC rich region) will make the DNA rigid and lead to a nucleosome depleted region (NDR), while regions rich in trinucleotides with weak preference will be flexible. In addition, long range secondary structural features, such as DNA curvature or looping (shown in Figure 2d) can facilitate interaction between distant regions of DNA, leading to an indirect readout mechanism.

The profiles of the four properties discussed above, in promoter regions of three prokaryotic organisms (*H. pylori*, *E. coli* and *K. pneumoniae*, with AT content of 61%, 49% and

43% respectively) and the five eukaryotic organisms listed in Table 1, are shown in Figure 3, as representative of various domains of life, with varying AT/GC composition. In prokaryotes and lower eukaryotes, such as yeast (*S. cerevisiae*) and worm (*C. elegans*), as well as rice, the promoter regions have AT rich sequences leading to lower average free energy values (Figure 3a–f). This property has been successfully used for promoter identification in bacterial [41,48] and plant genomes [19^{*}]. The structural features of promoter regions in mouse and human (Figure 3g,h) differ from those seen in prokaryotes and lower eukaryotes, since their core promoter regions are GC-rich with about half of the genes containing CpG islands. Hence the promoters have higher free energy/stability as compared to the flanking regions (Figure 3g,h) and this feature has been used for promoter identification [37,50,51]. They also have significant amount of non-B-DNA forming structural motifs such as G-quadruplexes and other GC-rich TFB motifs

Figure 3



Sequence dependent structural properties of the promoter regions (−500 to +500 w.r.t. TSS at 0 position): Profiles of four structural properties are shown, for promoter regions of eight model systems which represent various domains of life: Average Free Energy for a 15-mer window, calculated using dinucleotide free energy values for the 16 dinucleotides [75], DNase1 Sensitivity [42] and Nucleosome Positioning Preference [43] calculated over 30-mer windows and curvature represented by d/l_{\max} for a 75-mer fragment generated using 'wedge angles' for the 16 dinucleotides [76]. The rows (a–h) correspond to *H. pylori*, *E. coli*, *K. pneumoniae*, *S. cerevisiae*, *C. elegans*, rice, mouse and human, with the total number of 1001-mer promoter sequences being 714 [4], 1350 [77], 2170 [78], 4912 [79], 18 457 (<http://www.modencode.org/>), 24 177 [80], 17 451 [81], and 29 456 [81] respectively. The plots with pink and yellow background correspond to prokaryotes and mammals respectively, which show similar characteristic features within their domain, but differ considerably from each other. Gray lines in each subplot correspond to the feature values of up- and down-stream shuffled sequences.

(Table 1). Interestingly, in the AT rich promoters of bacteria, yeast and *C. elegans*, the TATA box sequences are found in only 10–20% promoters, while there is a very high occurrence (>60%) of A-tract sequences. These have the potential to form local structures with narrow minor groove and when occurring in a phased manner in longer DNA stretches, they are also expected to show enhanced curvature [44,45,52], as seen in last column of Figure 3(a–f) for promoter regions of prokaryotes, yeast, worm (*C. elegans*) and even rice. Site-directed mutagenesis and electrophoresis studies show that curved DNA sequences are

prominently present in the regulatory regions of operons in *E. coli* highlighting the role of curvature in gene regulation [53]. Also in *E. coli*, the nucleoid associated protein Fis binds preferentially to adjacent major groove interfaces, the correct spacing being achieved by compression of the central minor groove which contains a conserved AT-rich region [54]. These act as upstream activating sequences (UAS) of promoter regions and form a toroidal micro-loop attached to RNA polymerase [55]. On whole genome scale, thermodynamic stability and superhelicity has been found to be associated with the polarity of the

chromosome and gene expression in bacterial growth cycle [56]. The mouse and human promoter regions, being GC rich, are predicted as being less curved than the flanking regions as well as the shuffled sequences and their transcription initiation is regulated by a different mechanism.

The high occurrence of A-tracts in bacterial and lower eukaryotes also suggests that their promoter regions are less bendable or flexible (as seen in columns 2 and 3 of Figure 3). The whole region upstream of TSS in rice promoters is relatively rigid, but they do not seem to have any strong bendability features in the core promoter region. Interestingly, mouse and human promoters, being GC rich, are predicted to be quite bendable by the DNase I sensitivity criteria, while the NPP model indicates lower flexibility for the promoter regions. This is explained by the fact mentioned earlier, *viz.* the NPP model classifies both AT and GC rich trinucleotides as being less flexible and hence disfavoring nucleosome occupancy. The barrier posed by the rigid promoter regions has also been invoked to explain the promoter nucleosome occupancy, on the basis of statistical positioning signals [57]. The high GC content and width of CpG islands in mammalian promoters has been correlated with nucleosome depletion, as well as its positioning and interestingly, it is found that the nucleosome exclusion is independent of transcription [58^{*}]. Several recent *in silico* studies have attempted to characterize the transcription regulation elements, at the genomic scale, by analyses of one or more unique structural properties of DNA in the promoter regions, which seem to be conserved from prokaryotes to lower eukaryotes [19^{*},25,46,48,59–61]. In mammalian genomes, the greater stability of promoter regions has been used to identify TSSs [37,50], but it is the higher order structure of DNA that plays a significant role in transcription initiation and regulation.

DNA structure and regulation of gene expression

Gene expression and its variability has been extensively studied in yeast and human [62^{*},63] and nucleosome organization is understood to be a major player in regulating transcription. It has been suggested by Jiang and Pugh [64] that for eukaryotes ‘the entire genome can be thought of as a continuous thermodynamic landscape, in which NFRs represent the least favorable regions and the +1 or –1 nucleosome positions represent the most favorable regions’. The –1 nucleosome, NFR (or NDR) and +1 nucleosome arrangement seems to be common among eukaryotes, regardless of the GC composition of the genome. NDR in yeast possess the anti-nucleosomal sequences (such as oligo A-tracts) along with the binding sites for general transcription machinery, while, the –1 and +1 nucleosome associated regions prefer sequences that favor bending of DNA as well as interaction with the histone proteins and manipulating these can regulate gene expression [65]. The structural features of

sequences responsible for nucleosome arrangement may also be expected to differ for genes with different expression levels [57,62^{*}]. Two classes of yeast genes, growth genes (ribosomal genes) and stress genes (many cell wall proteins) have been shown to have different promoter nucleosome occupancy [66^{**}]. Growth genes are regulated by TFIID, have TATA-less promoters and their promoter regions have been shown to be highly rigid compared to those of stress genes. They have also been shown to have two well positioned nucleosomes flanking the NDR, which is located immediately upstream of TSS. On the other hand, stress genes have delocalized nucleosomes and promoter regions are often occupied by nucleosomes [66^{**}]. They have to depend on signal induced nucleosome eviction at promoter regions to control their expression. In fact genes with high expression variability have been found to have non-canonical chromatin environment and are controlled by chromatin regulators and bound transcription factors [62^{*}]. A recent study on variability of gene expression has attempted to establish the relationship between DNA structural features and gene expression. This study on *S. cerevisiae* shows that promoters of genes with low variability in expression plasticity (responsiveness) have lower stability, more rigidity, and lower nucleosome occupancy, as compared to genes with high expression plasticity [67].

Conclusion

Promoter regions in prokaryotes and lower eukaryotes are AT rich and their sequence dependent structural properties, such as free energy, bendability and curvature, differ significantly from those of the flanking sequences and play an important role in transcription initiation and regulation [28^{**},39^{*},56,68]. In eukaryotes, the promoters contain GC rich sequence motifs which disfavor nucleosome formation, a major factor in transcription regulation [62^{*},69,70]. After much debate, on whether there exists a precise ‘genomic code’ for nucleosome positioning, there seems to be a consensus that, a combination of DNA sequence, nucleosome remodeling enzymes and transcription factors, as well as few other factors, determine nucleosome positioning. These properties can differ between genes and interplay between them affects level of gene expression [71^{**}]. However, some characteristic sequence features, unique to yeast and human core promoters seem to be good predictors of high promoter activity [72].

In addition, pervasive transcription leading to spurious transcripts and cryptic transcription has been postulated to modulate gene expression, as well as telomere silencing etc. [73,74]. Hence understanding the structural features of promoters can facilitate identification of TSSs associated with these less characterized transcripts.

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- of outstanding interest

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