

# SCENE STATISTICS AND DIVISIVE NORMALIZATION

JESÚS MALO



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DE VALÈNCIA

SPAIN

<http://isp.uv.es>

Workshop on Computational Models for Visual Image Processing, 2021

[https://www.cfp.upv.es/formacion-permanente/curso/taller-modelos-computacionales-procesamiento-visual-imagenes\\_71578.html](https://www.cfp.upv.es/formacion-permanente/curso/taller-modelos-computacionales-procesamiento-visual-imagenes_71578.html)

So Far (in previous talks) ...

FACTS:

METHODS:

APPLICATIONS:

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- Cone Sensitivities & Adaptation \_\_\_\_\_ (Stockman)
- LMS noisy response \_\_\_\_\_ (Wandell)
- Tristimulus colorimetry \_\_\_\_\_ (Huertas)
- Contrast Sensitivity \_\_\_\_\_ (Mantiuk)
- Opponent Color Spaces & CAMs } - (Fairchild)
- Spatial Masking

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## METHODS:

- o Psychophysics — (Párraga & García-Pérez)
- o Display Calibration \_\_\_\_\_ (Murdoch)
- o Data Analysis \_\_\_\_\_ (Camacho)

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## METHODS:

- o Psychophysics — (Párraga & García-Pérez)
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- o Data Analysis — (Camacho)

## APPLICATIONS:

- Perceptually Rated Images — (Pedersen)

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YES, THE VISUAL BRAIN BEHAVES THAT WAY...

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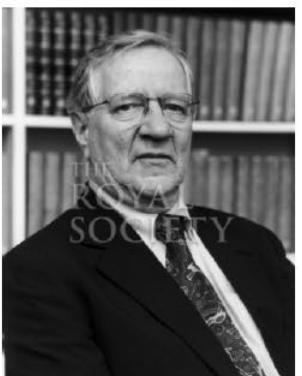
WHY ?

## OUTLINE:

- ① The WHY question
- ② One example: empirical models
- ③ Information theory tools
- ④ Natural scenes
- ⑤ The two sides of Efficient Coding
- ⑥ Open issues

# OUTLINE:

BARLOW



①

## The WHY question

②

One model

③

Info. theory tools →

SPCA  
RBIG

Martinez et al. PLOS 2018  
<https://isp.uv.es/code/visioncolor/vistamodels.html>

④

Natural scenes

Malo & Gutiérrez Network 2006  
Laparra et al. Neural Comp. 2012  
Laparra et al. IEEE J. Sel. Top. Sign. Proc. 2015  
Laparra et al. IEEE Trans. Neur. Nets. 2011  
<https://isp.uv.es/RBIG4IT.htm>

⑤

The two sides of Efficient Coding

[https://isp.uv.es/data\\_color.htm](https://isp.uv.es/data_color.htm)

Gutmann, Laparra, Hyvarinen & Malo PLOS 2014  
Laparra & Malo Front. Neurosci. 2015  
Gomez-Villa et al. Vision Res. 2020

⑥

Open issues

Malo & Simoncelli IEEE Trans. Im. Proc. 2006  
Malo & Laparra Neural Comp. 2010  
Gómez-Villa et al. J. Neurophysiol. 2020  
Malo J. Math. Neurosci. 2020

Martinez et al. PLOS 2017  
Martínez et al. Front. Neurosci. 2019  
Li, Gómez, Bertalmío & Malo Submitted JoV. 2021  
Bertalmío et al. Scientific Reports 2020  
Esteve et al. Arxiv. 2020

①

## ONE EXAMPLE :

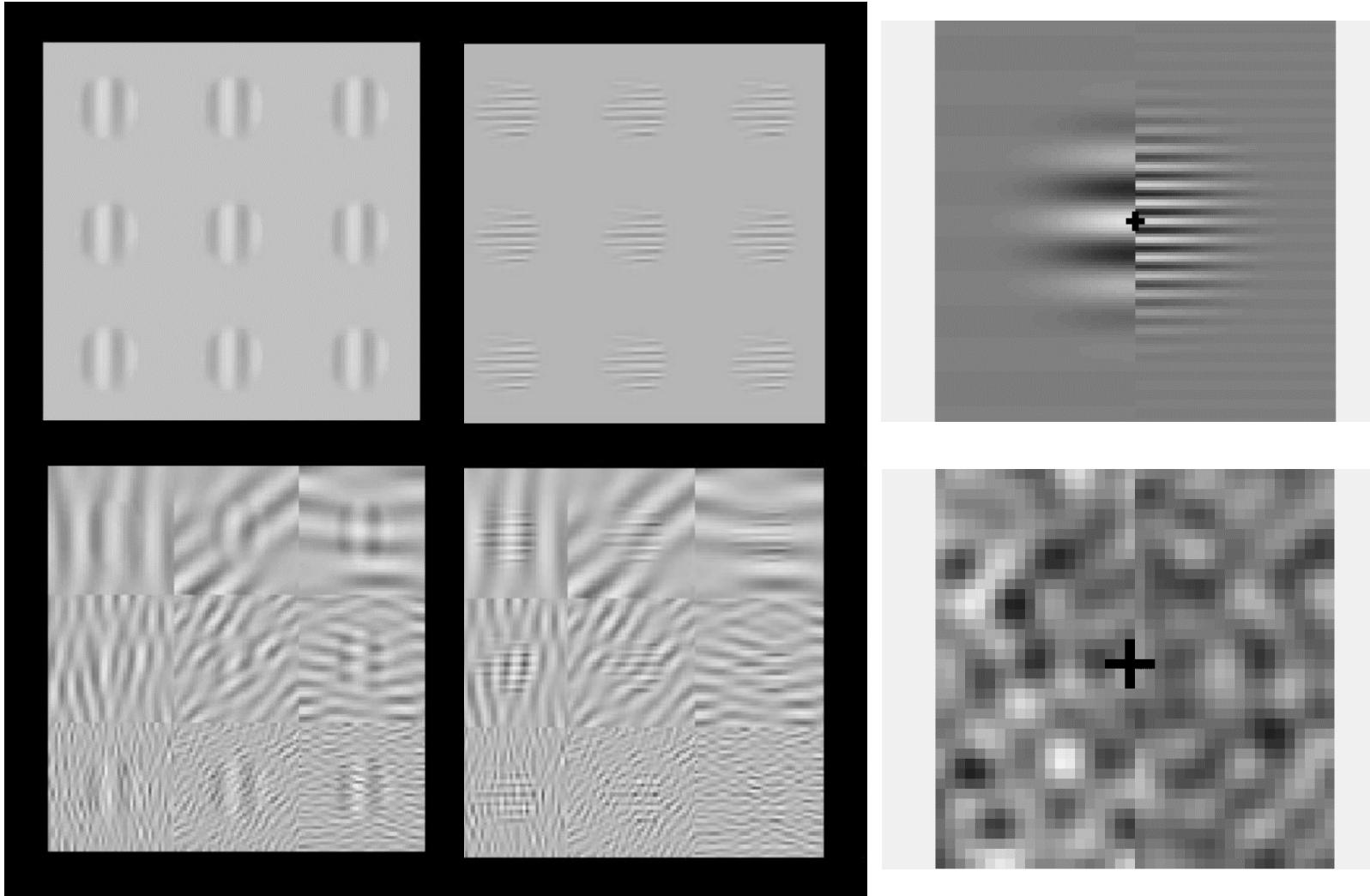
Frequency sensors and non-linearities  
Image-computable models

- Different behaviors described by A SINGLE MODEL
- Linear Sensors + Divisive Normalization
- Empirical image-computable models

①

ONE EXAMPLE :

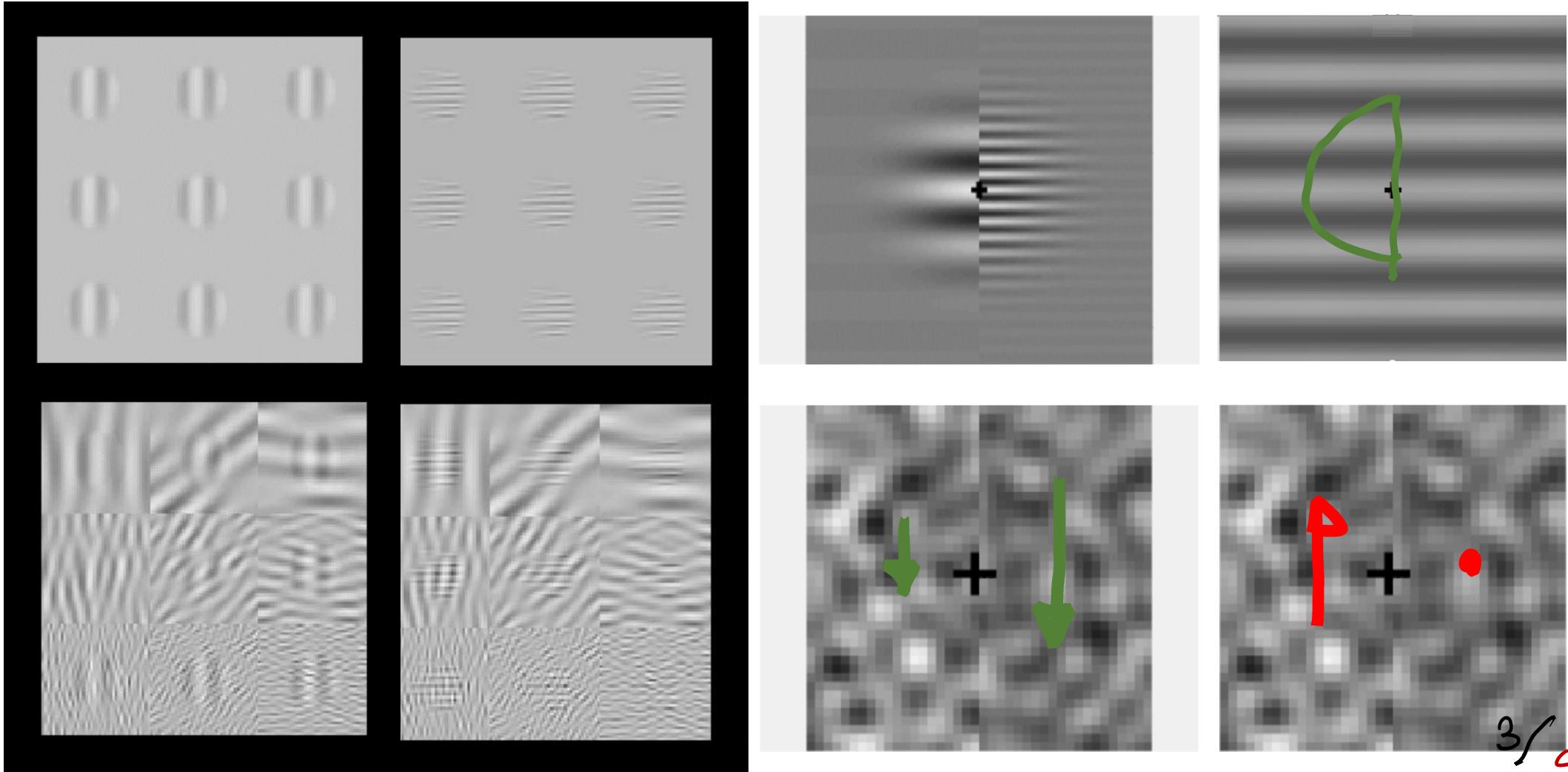
Frequency sensors and non-linearities  
Image-computable models



①

ONE EXAMPLE :

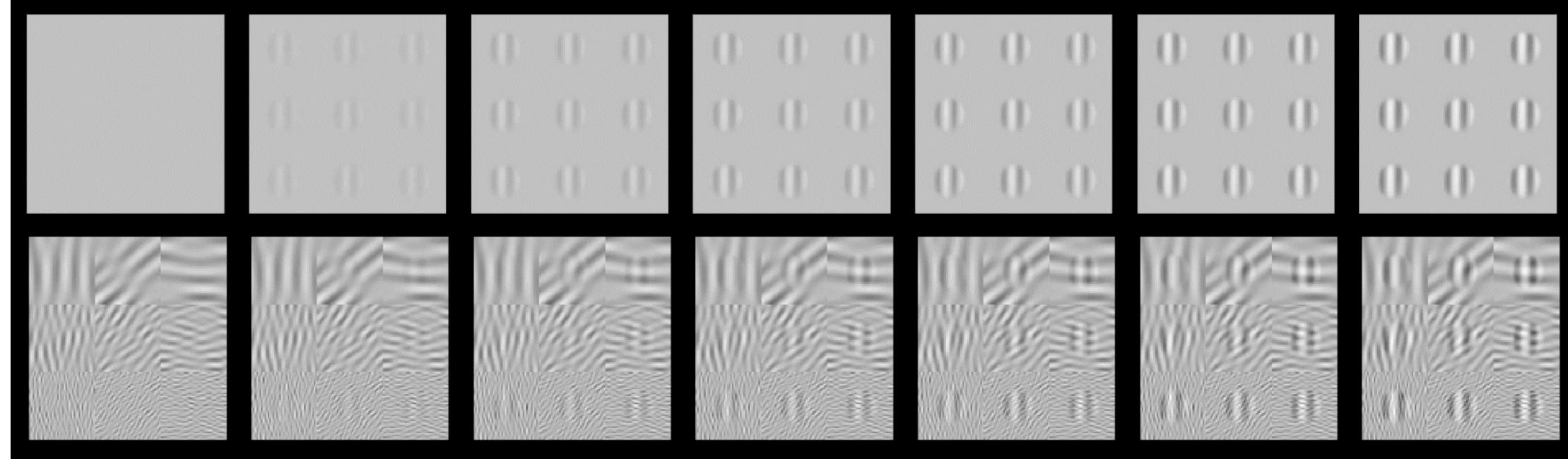
Frequency sensors and non-linearities  
Image-computable models



①

ONE EXAMPLE :

Frequency sensors and non-linearities  
Image-computable models



NO BACKGROUND

SIMILAR  
BACKGROUND

DIFFERENT  
BACKGROUND

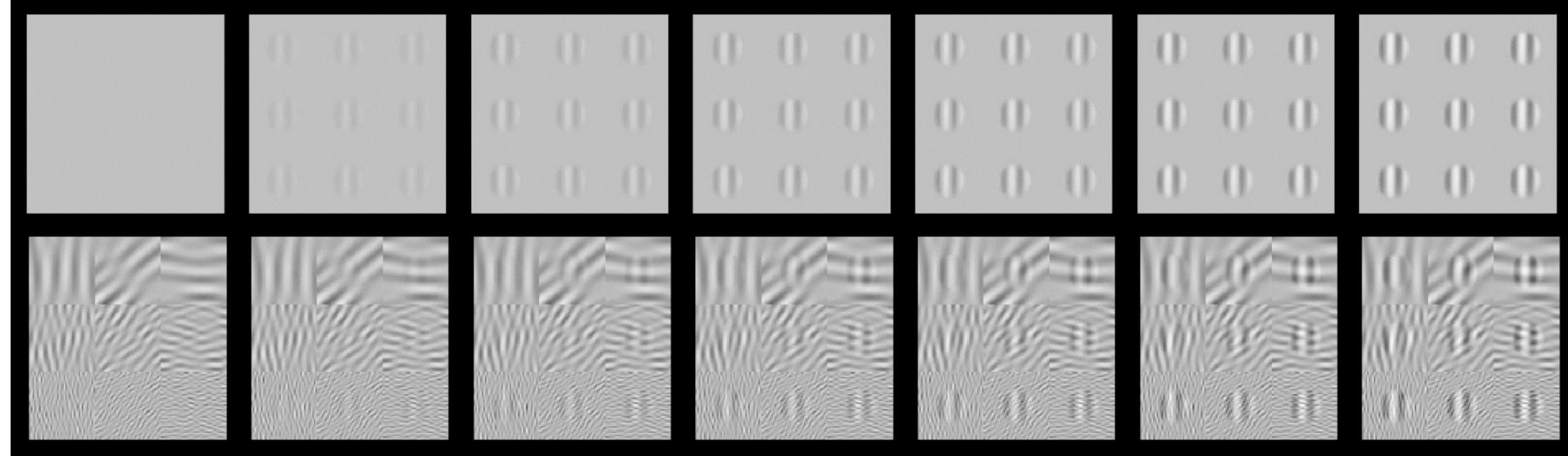
Martinez et al. Front. Neurosci 2019

Watson & Solomon JOSA 1997 46

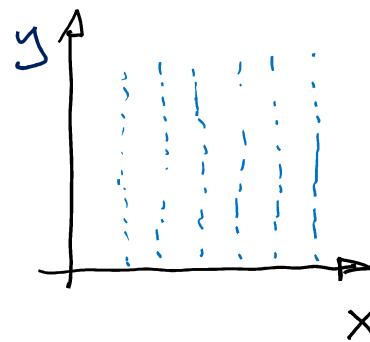
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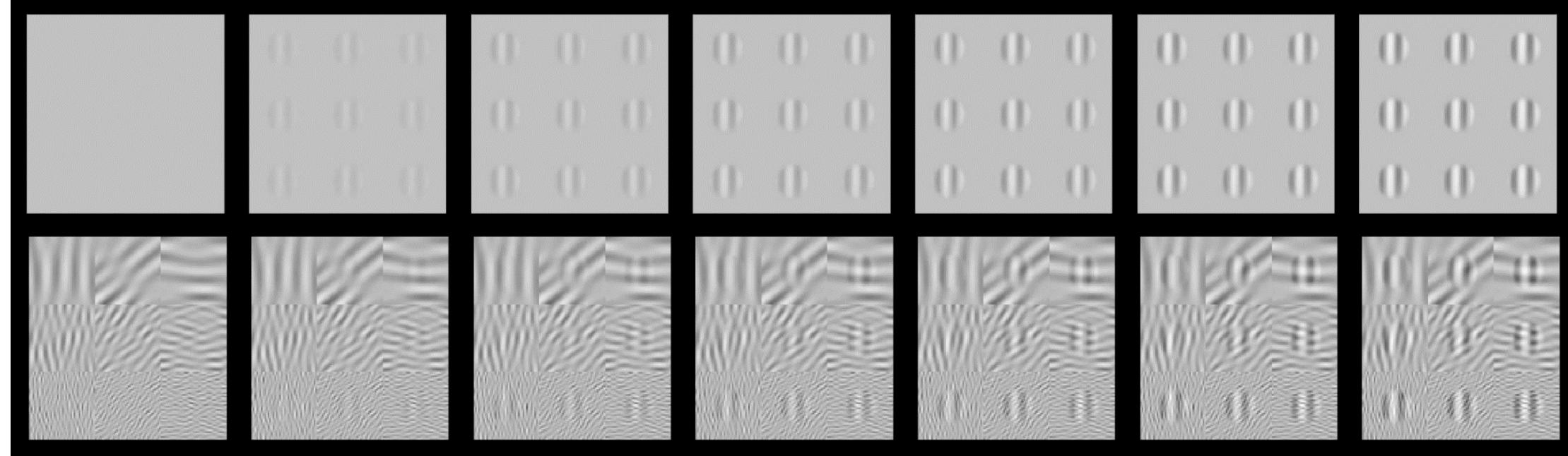
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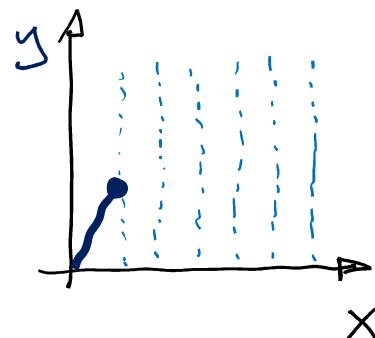
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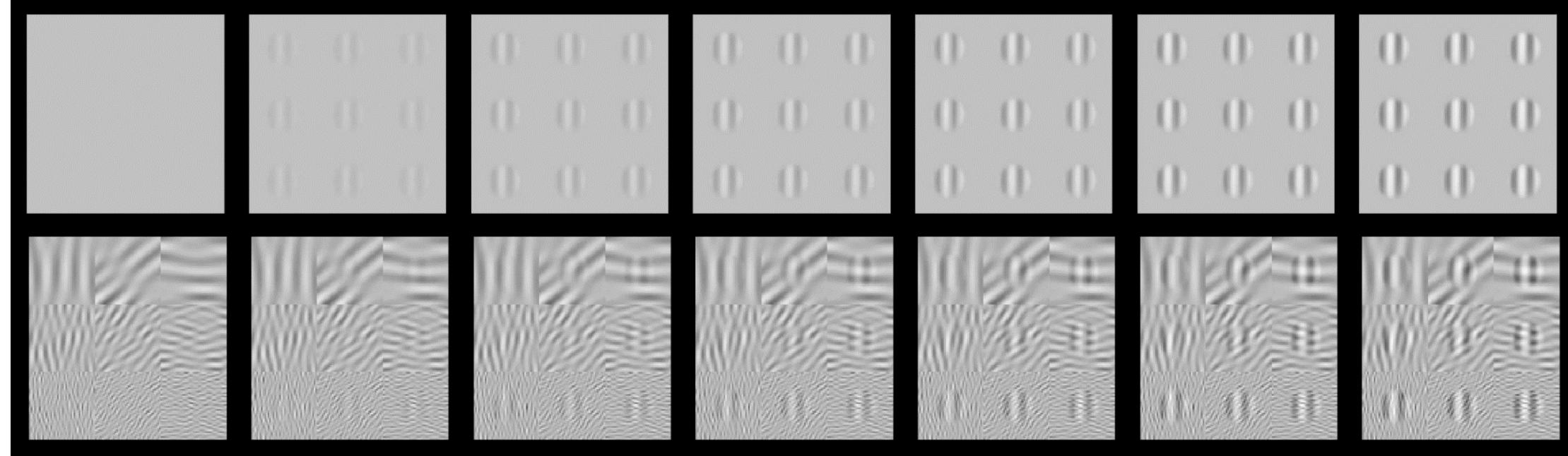
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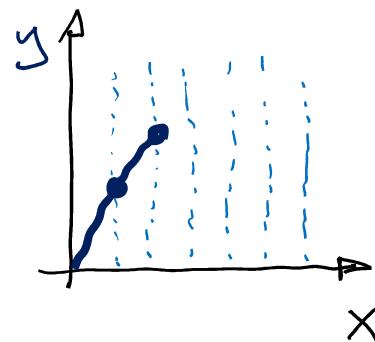
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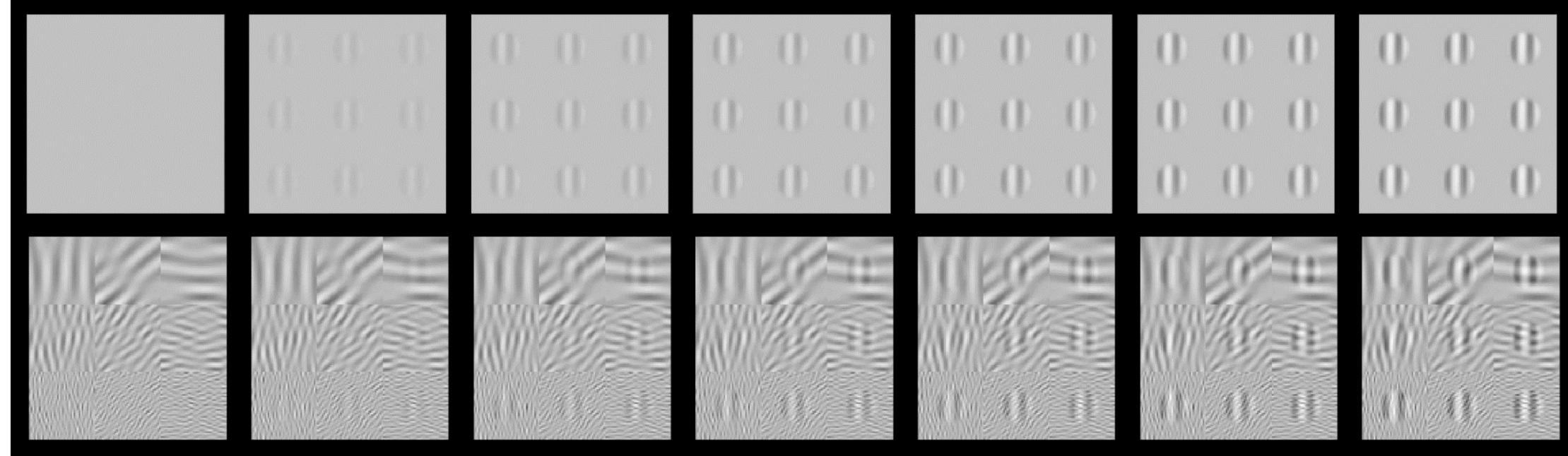
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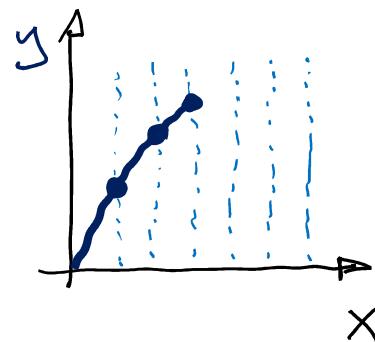
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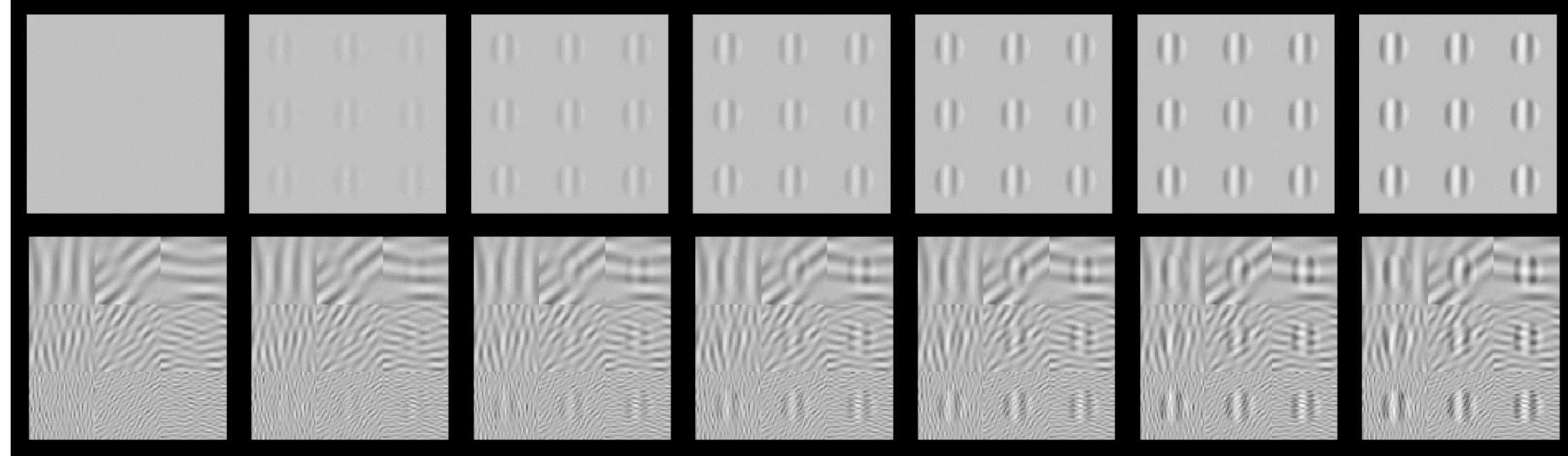
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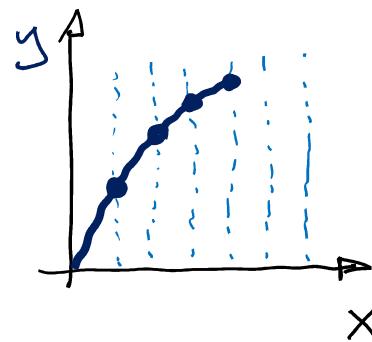
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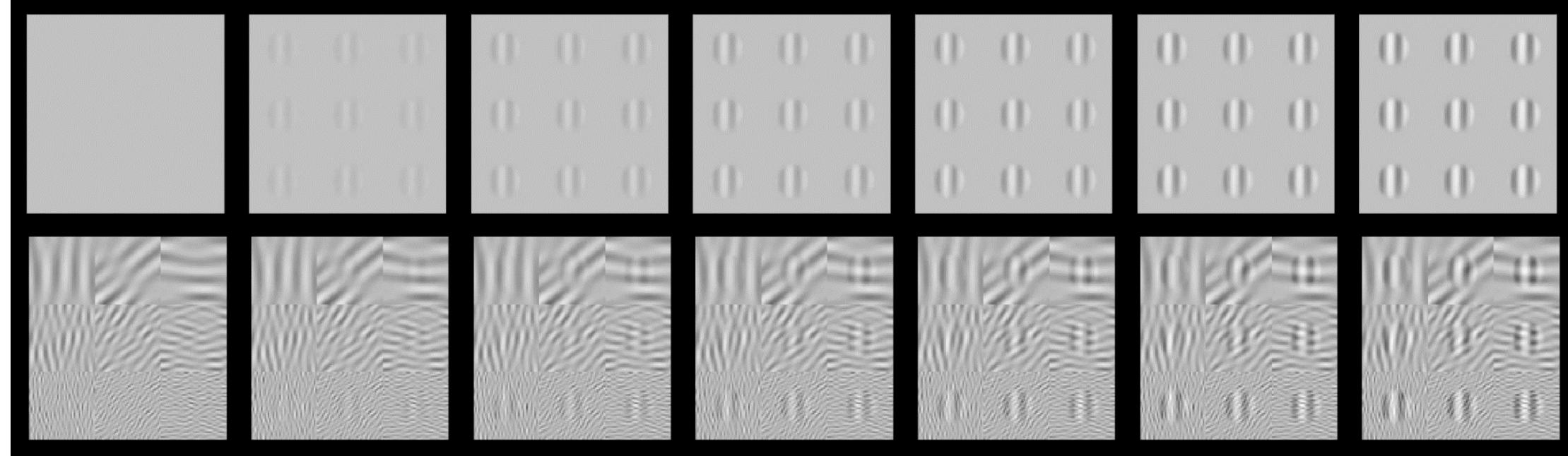
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Watson & Solomon JOSA 1997 46

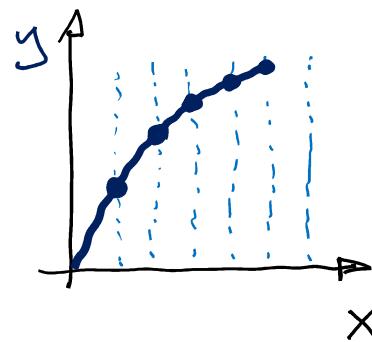
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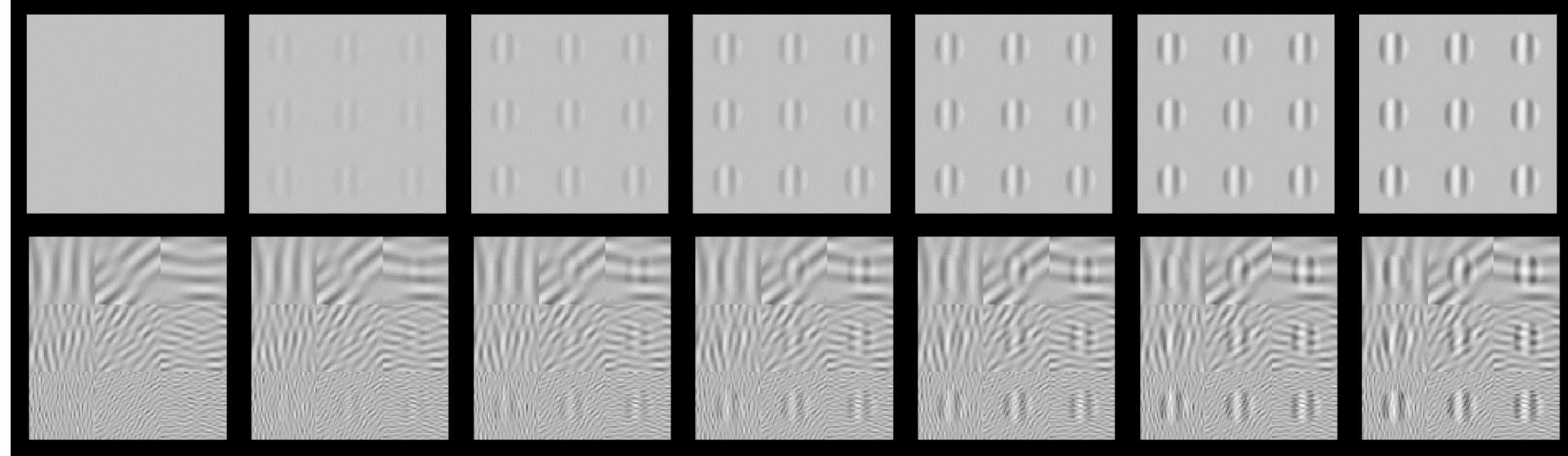
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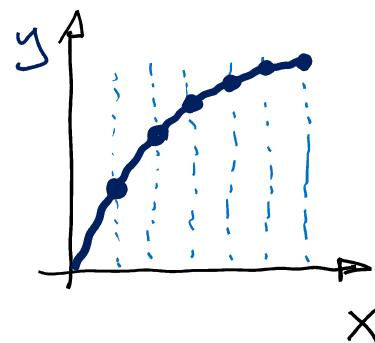
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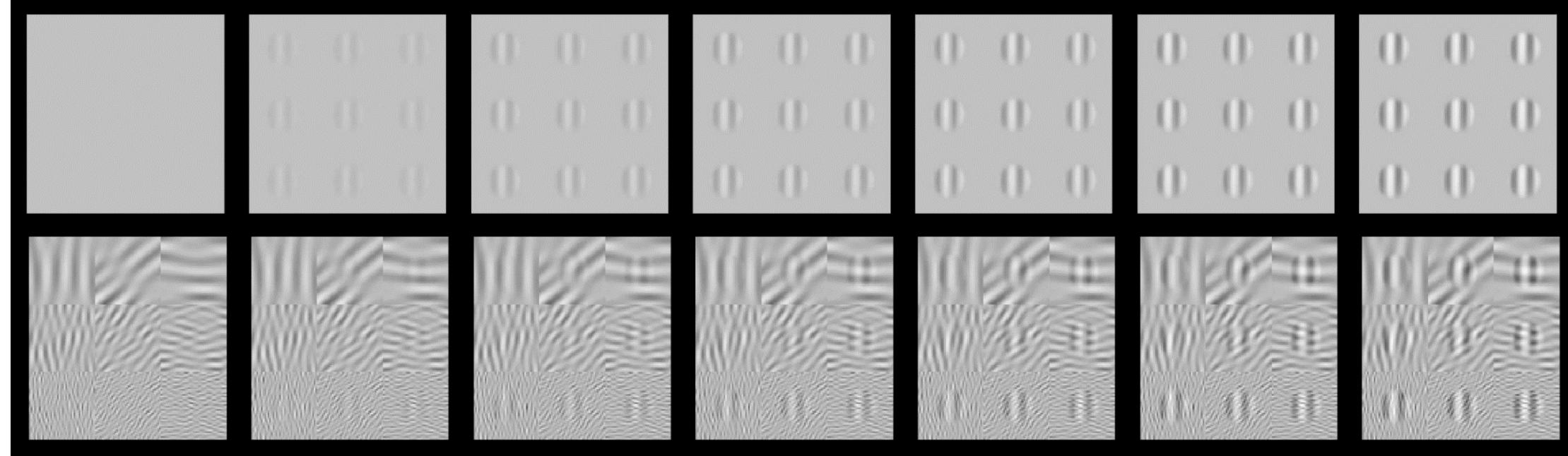
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Watson & Solomon JOSA 1997 46

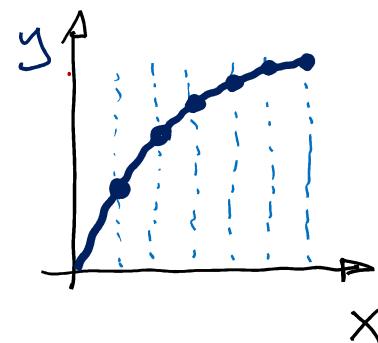
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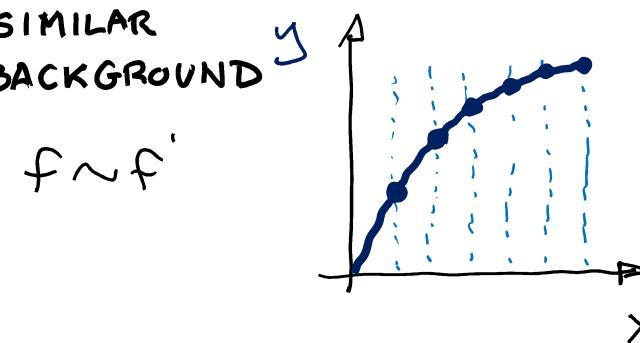
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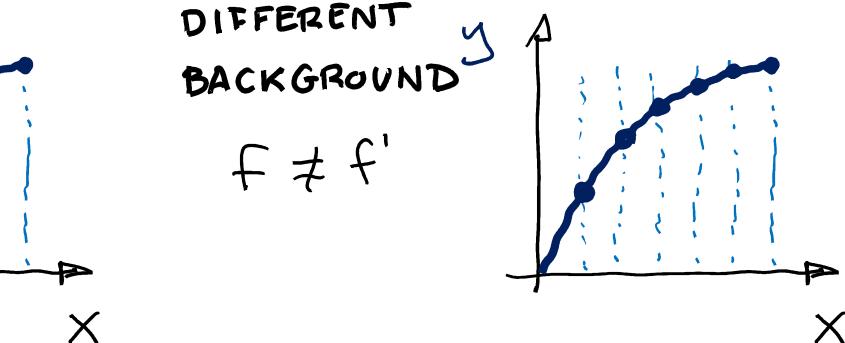


SIMILAR  
BACKGROUND



$$f \sim f'$$

DIFFERENT  
BACKGROUND



$$f \neq f'$$

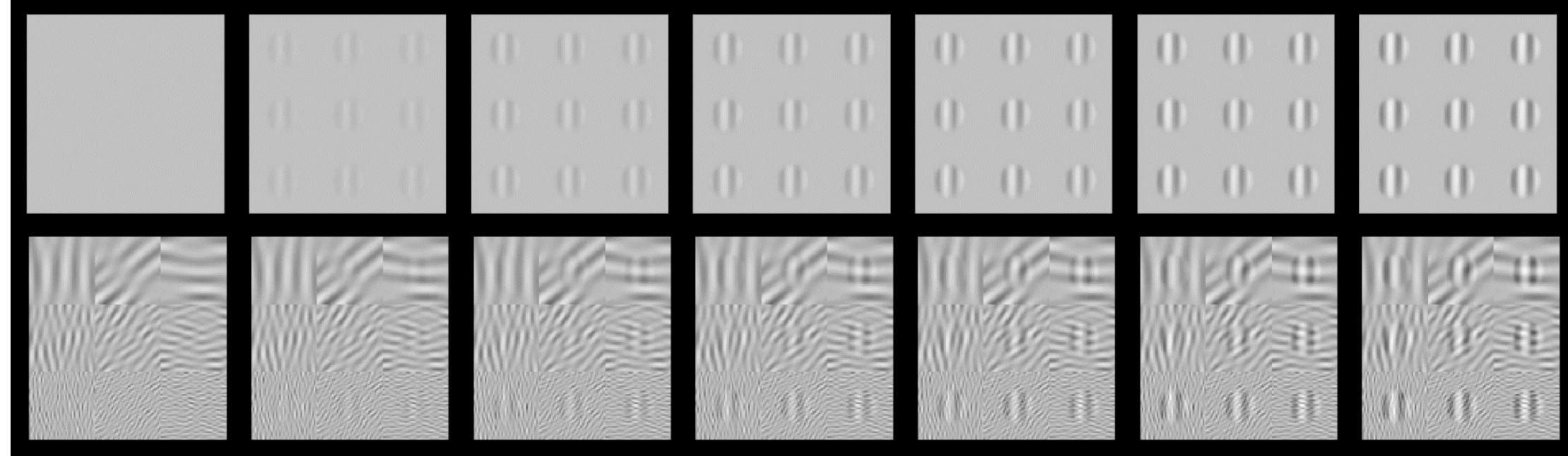
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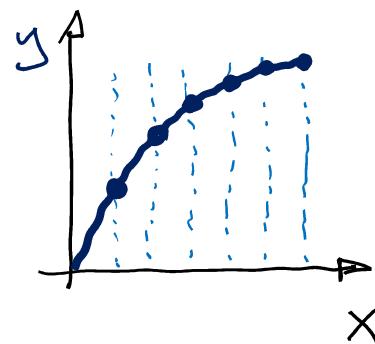
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Frequency sensors and non-linearities  
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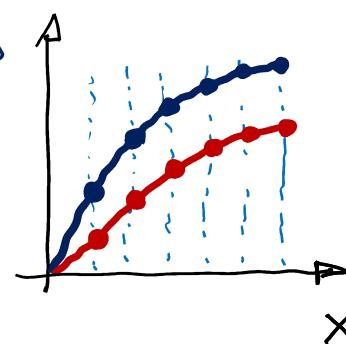
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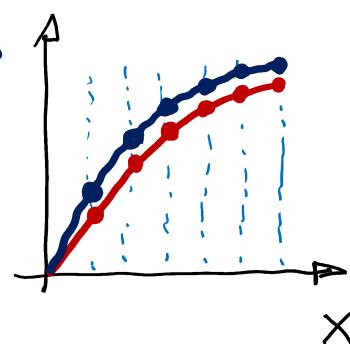
$$f \sim f'$$

increase  $C'$



DIFFERENT  
BACKGROUND

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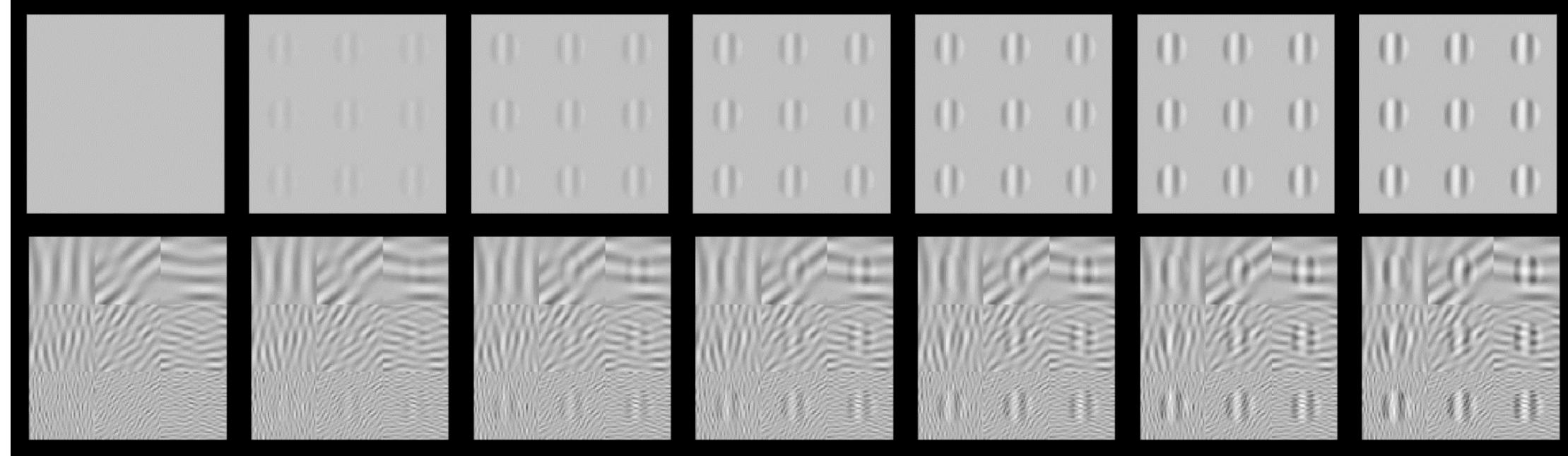
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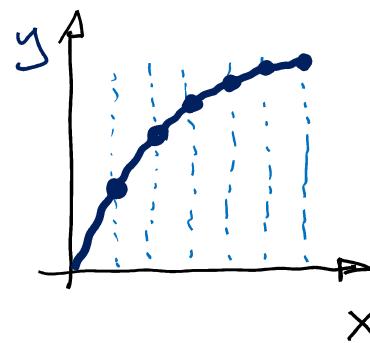
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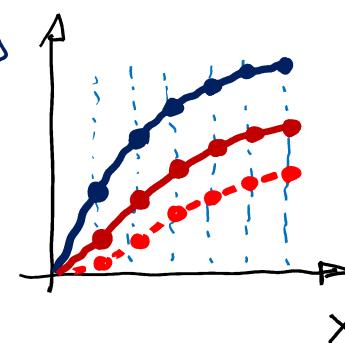
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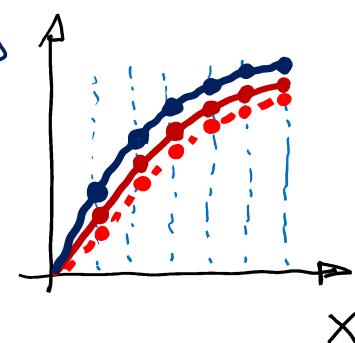
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DIFFERENT  
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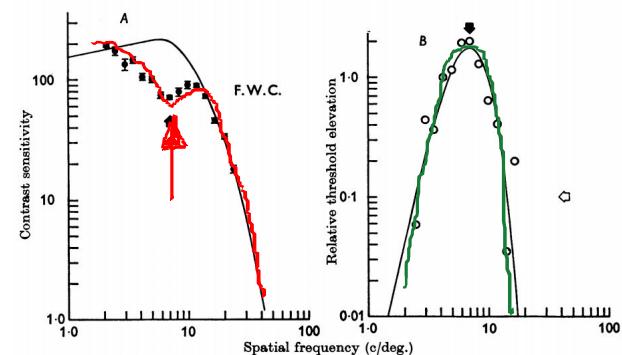
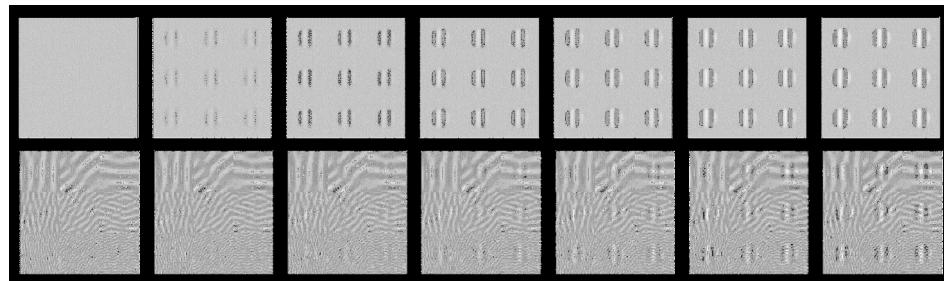
Martinez et al. Front. Neurosci 2019

Watson & Solomon JOSA 1997

①

## ONE EXAMPLE :

Frequency sensors and non-linearities  
Image-computable models



Text-fig. 6. The effect of adapting at 7.1 c/deg. A. The continuous curve from Text-fig. 5 is reproduced. The filled circles and vertical bars are the means and s.e. ( $n = 6$ ) for re-determinations of contrast sensitivity at a number of spatial frequencies while F.W.C. was continuously adapting to a grating of 7.1 c/deg., 1.6 log. units above threshold. The exact procedure is described in the text.

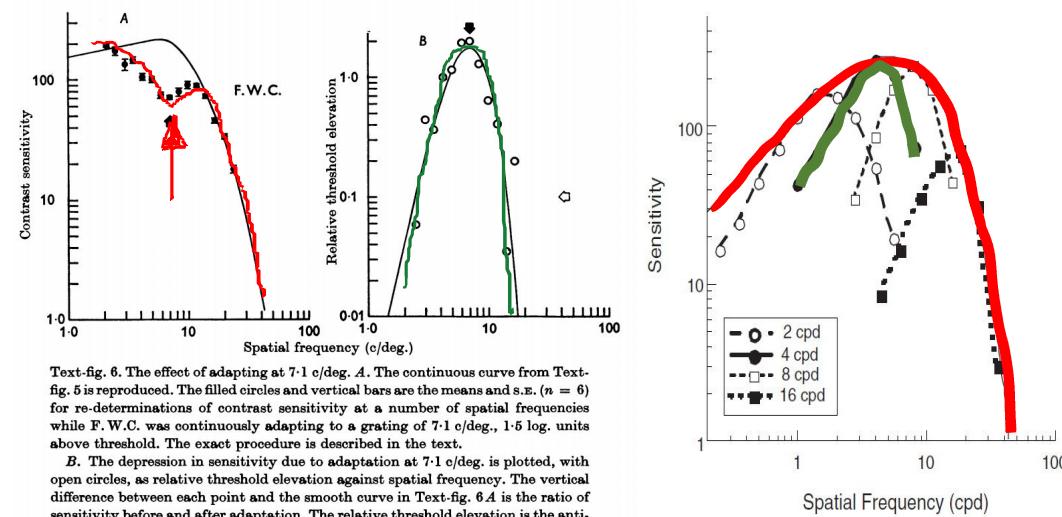
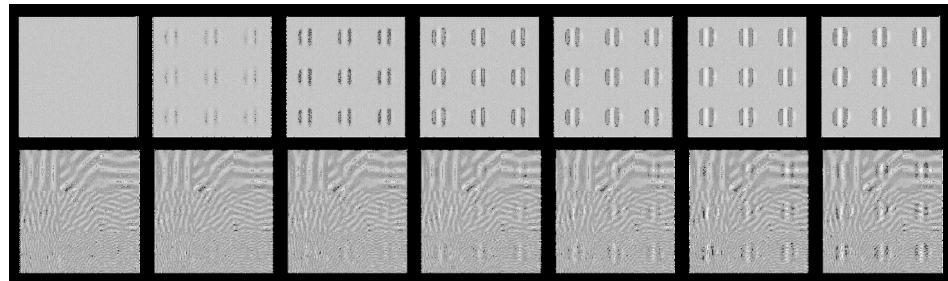
B. The depression in sensitivity due to adaptation at 7.1 c/deg. is plotted, with open circles, as relative threshold elevation against spatial frequency. The vertical difference between each point and the smooth curve in Text-fig. 6A is the ratio of sensitivity before and after adaptation. The relative threshold elevation is the anti-logarithm of this difference minus 1, so that no change in threshold would give a value of zero on the ordinate. The continuous curve is the function  $[e^{-\rho} - e^{-(\ln^2)}]$ , fitted by eye to the data points. The filled arrows show the adapting frequency of 7.1 c/deg. The open arrow marks the value on the ordinate for a threshold elevation equivalent to  $2/\sqrt{2}$  times an average s.e. for determining contrast sensitivity.

Blakemore & Campbell J. Physiol. 69

①

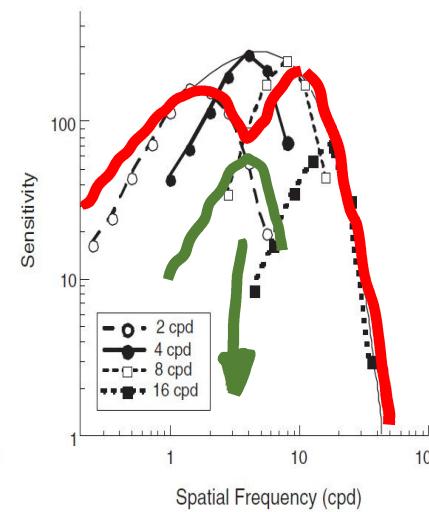
## ONE EXAMPLE :

Frequency sensors and non-linearities  
Image-computable models



*B*. The depression in sensitivity due to adaptation at 7.1 c/deg. is plotted, with open circles, as relative threshold elevation against spatial frequency. The vertical difference between each point and the smooth curve in Text-fig. 8*A* is the ratio of sensitivity before and after adaptation. The relative threshold elevation is the anti-logarithm of this difference minus 1, so that no change in threshold would give a value of zero on the ordinate. The continuous curve is the function  $[e^{-\rho} - e^{-(\ln^2)}]$ , fitted by eye to the data points. The filled arrows show the adapting frequency of 7.1 c/deg. The open arrow marks the value on the ordinate for a threshold elevation equivalent to  $2/\sqrt{2}$  times an average s.e. for determining contrast sensitivity.

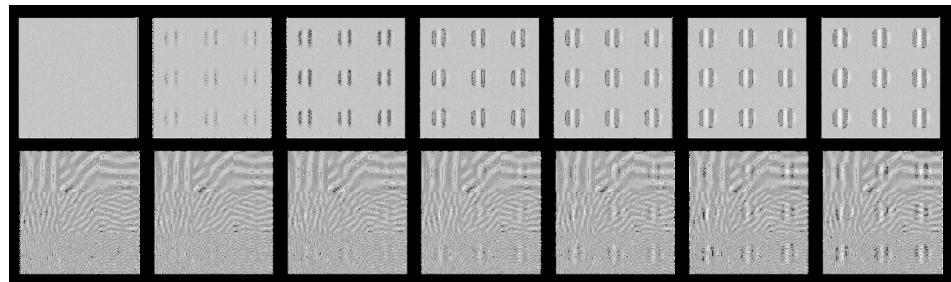
Blakemore & Campbell J. Physiol. 69



Sensitivity loss  
in mechanism

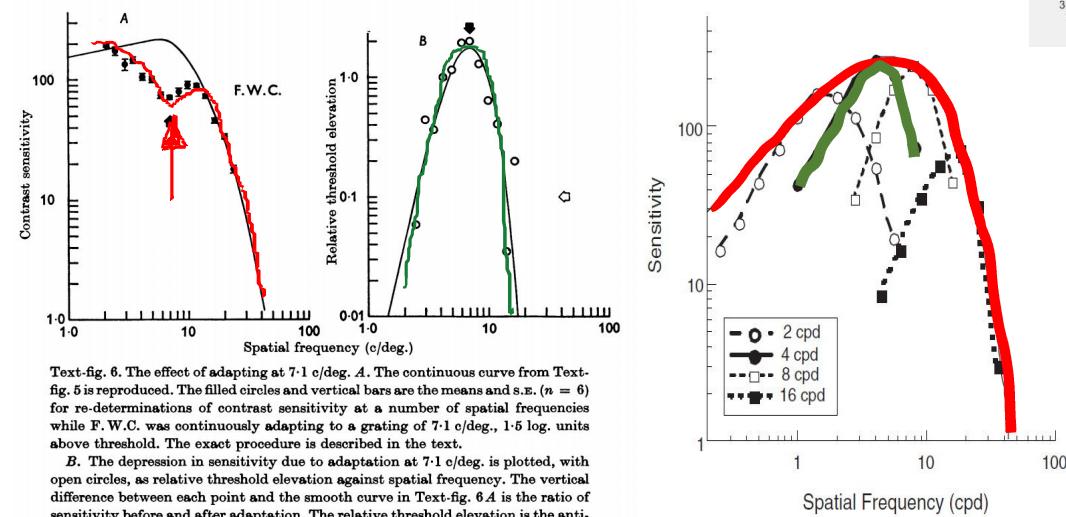
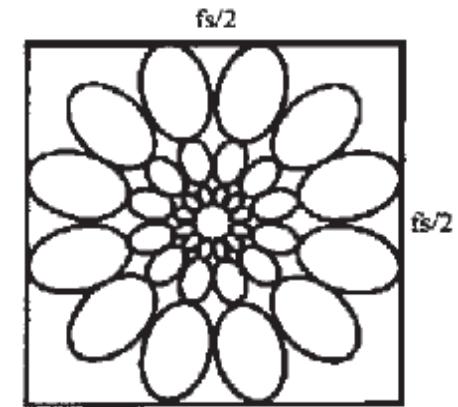
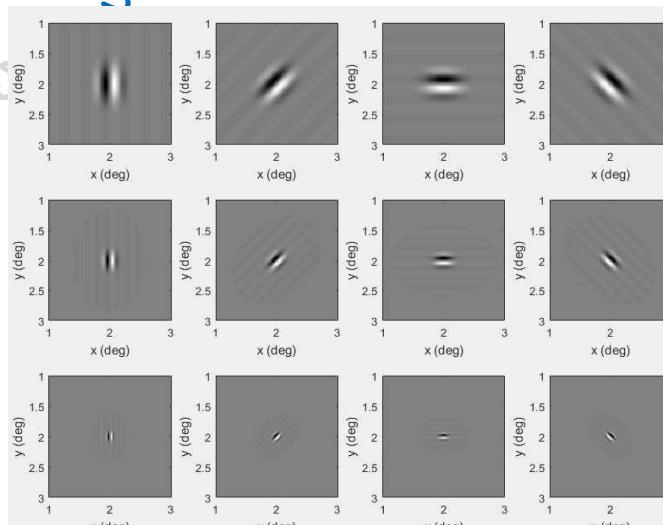
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## ONE EXAMPLE :



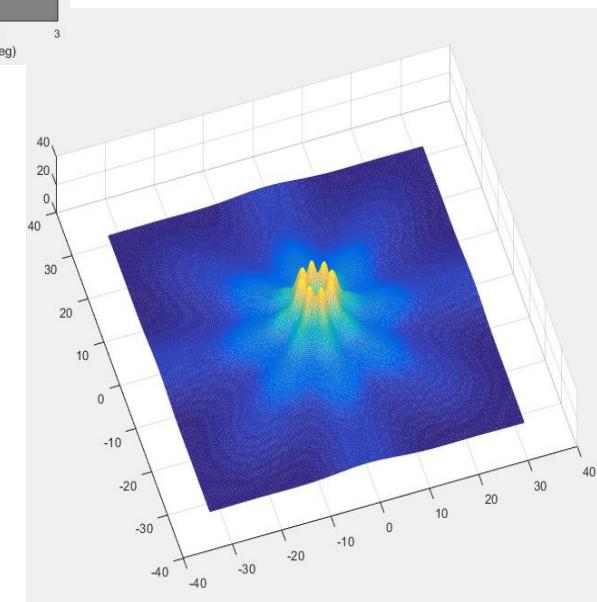
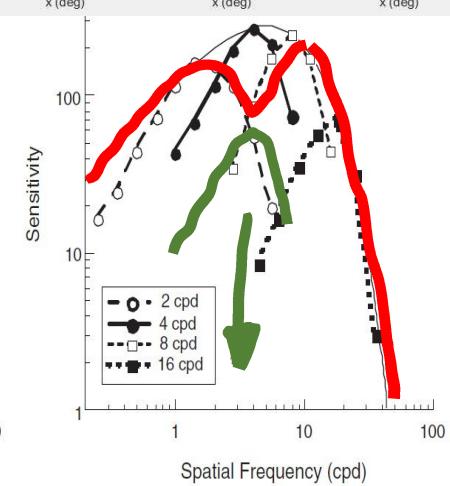
### Frequency sensors and non-linearities

Image



Text-fig. 8. The effect of adapting at 7.1 c/deg. A. The continuous curve from Text-fig. 5 is reproduced. The filled circles and vertical bars are the means and s.e. ( $n = 6$ ) for re-determinations of contrast sensitivity at a number of spatial frequencies while F.W.C. was continuously adapting to a grating of 7.1 c/deg., 1.6 log. units above threshold. The exact procedure is described in the text.

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Sensitivity loss  
in mechanism

Blakemore & Campbell J. Physiol. 69

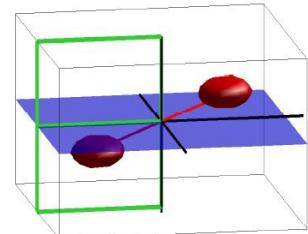
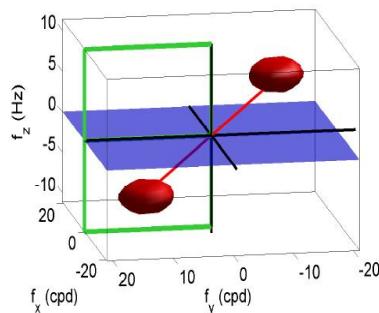
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## ONE EXAMPLE :



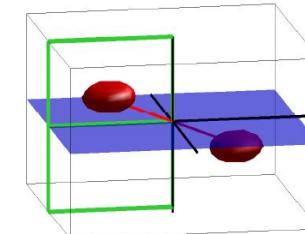
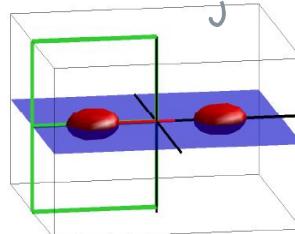
LINEAR

NON-LINEAR



$$c = L \cdot x$$

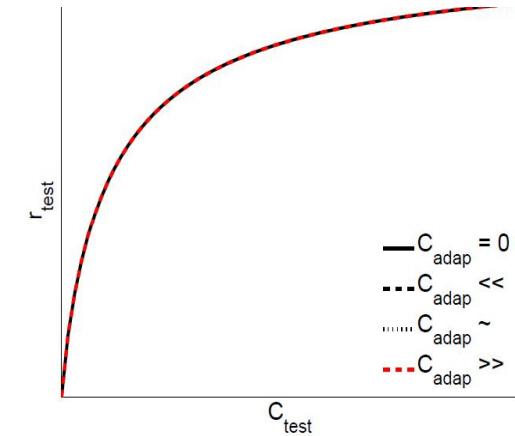
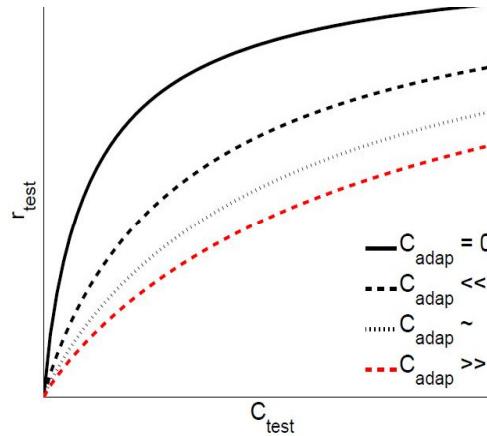
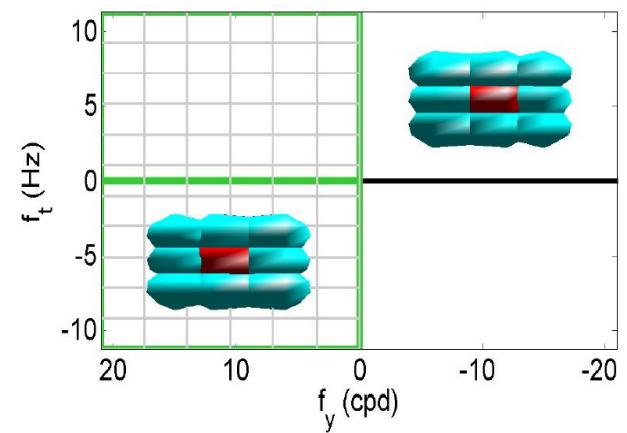
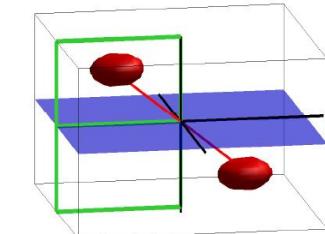
$$y_i = \frac{c_i^T}{b_i + \sum_j H_{ij} c_j^T}$$



Heeger & Carandini 94

Carandini & Heeger 12

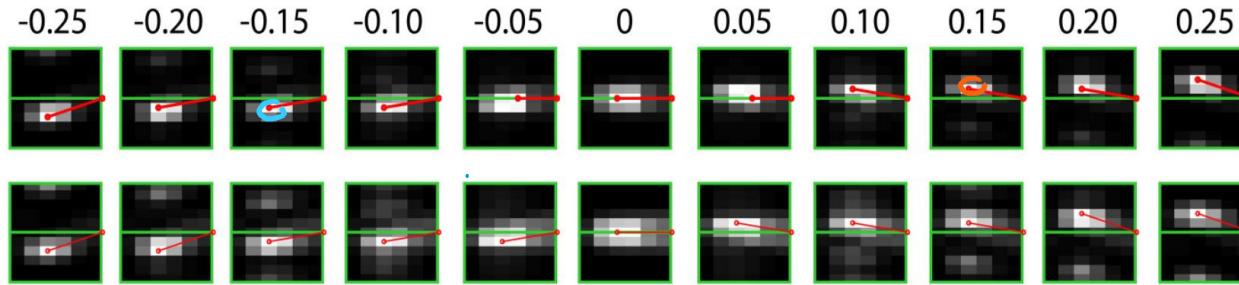
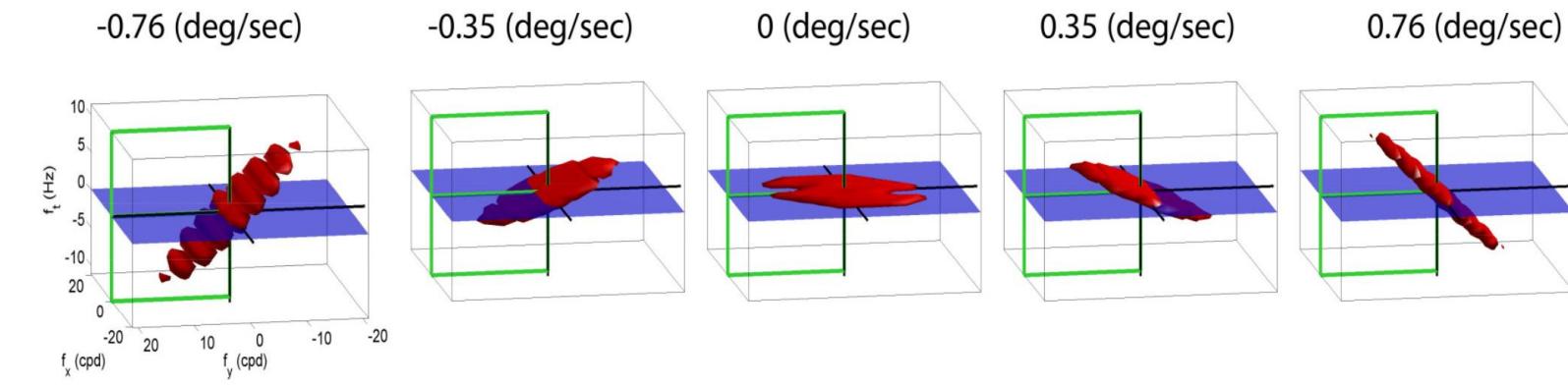
Simoncelli & Heeger 98



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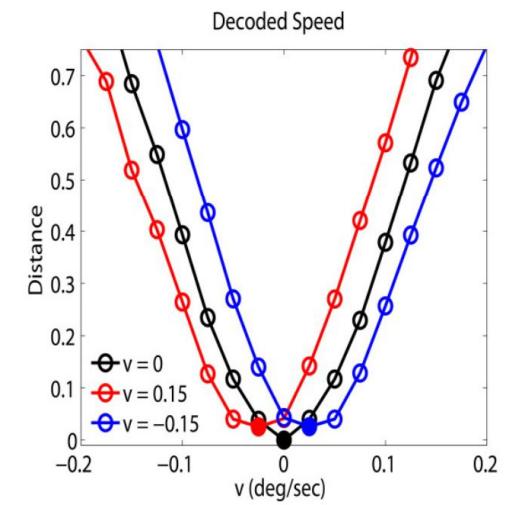
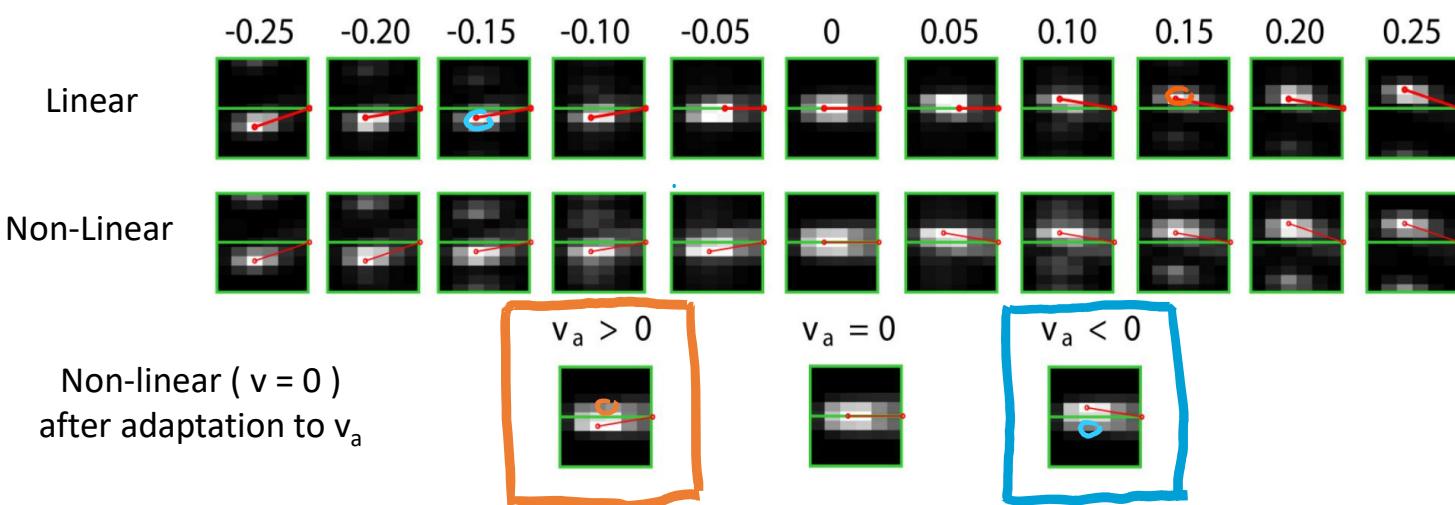
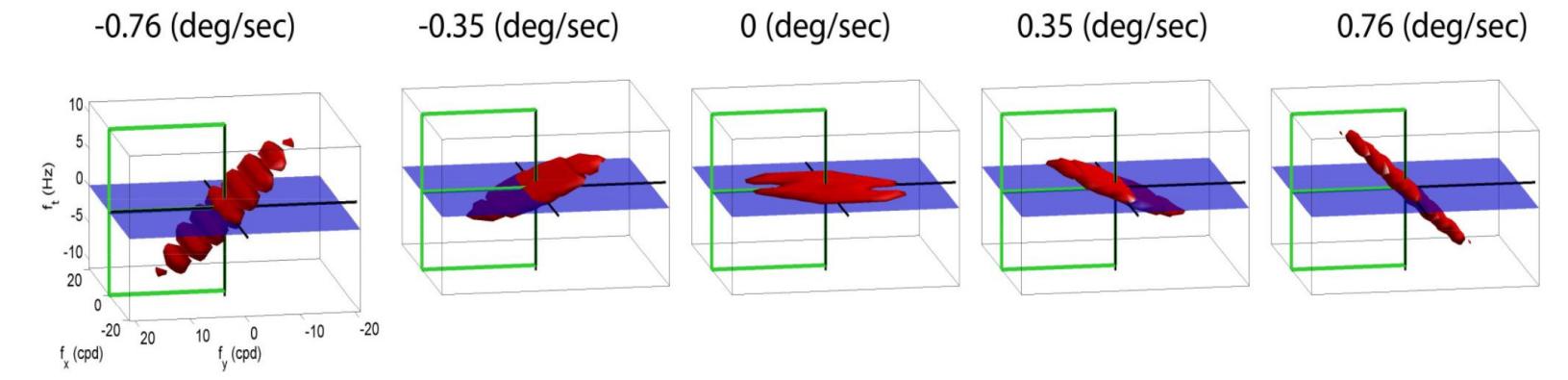
Frequency sensors and non-linearities  
Image-computable models DIVISIVE NORMALIZATION



1

## ONE EXAMPLE :

Frequency sensors and non-linearities  
Image-computable models DIVISIVE NORMALIZATION



Laparra & Malo Front. Neurosci. 2015

7/41

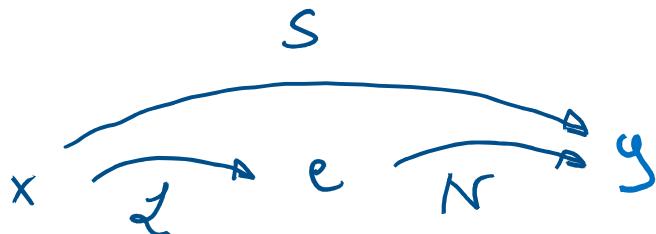
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Frequency sensors and non-linearities  
Image-computable models DIVISIVE NORMALIZATION

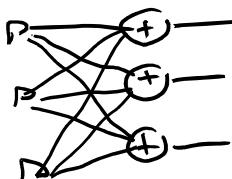
Biol. Carandini & Heeger Nat. Rev. Neurosci 12  
Schütt & Wichmann J. Vision 17

Math. Martinez, Malo et al. PLOS ONE 18  
<https://isp.uv.es/code/visioncolor/vistamodels.html>



2

$$e = W \cdot x$$



$e$  = linear response

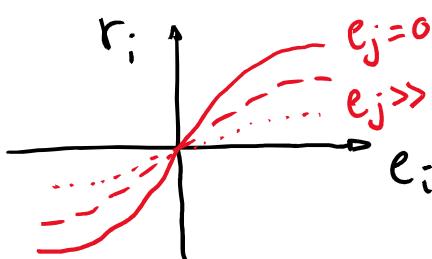
$b$  = semisaturation

$H$  = interaction kernel

$K$  = constant  $\rightarrow$  dyn. range

N

$$y = K \cdot \frac{e}{b + H \cdot e}$$



Masking and adaptation

$$\nabla_x s \sim [I - D_{r(x)} H] \cdot D_e \cdot W \Rightarrow M = \nabla_x s^\top \nabla_x s$$

NON DIAGONAL!  
INPUT DEPENDENT!

1

## ONE EXAMPLE :

Frequency sensors and non-linearities  
Image-computable models

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Math. Martínez-Malo et al. PLOS ONE 18  
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Gómez et al. J. Neurophysiol. 2020  
Malo J. Math. Neurosci. 2020

(Wilson-Cowan)  
(Divisive Normaliz.)

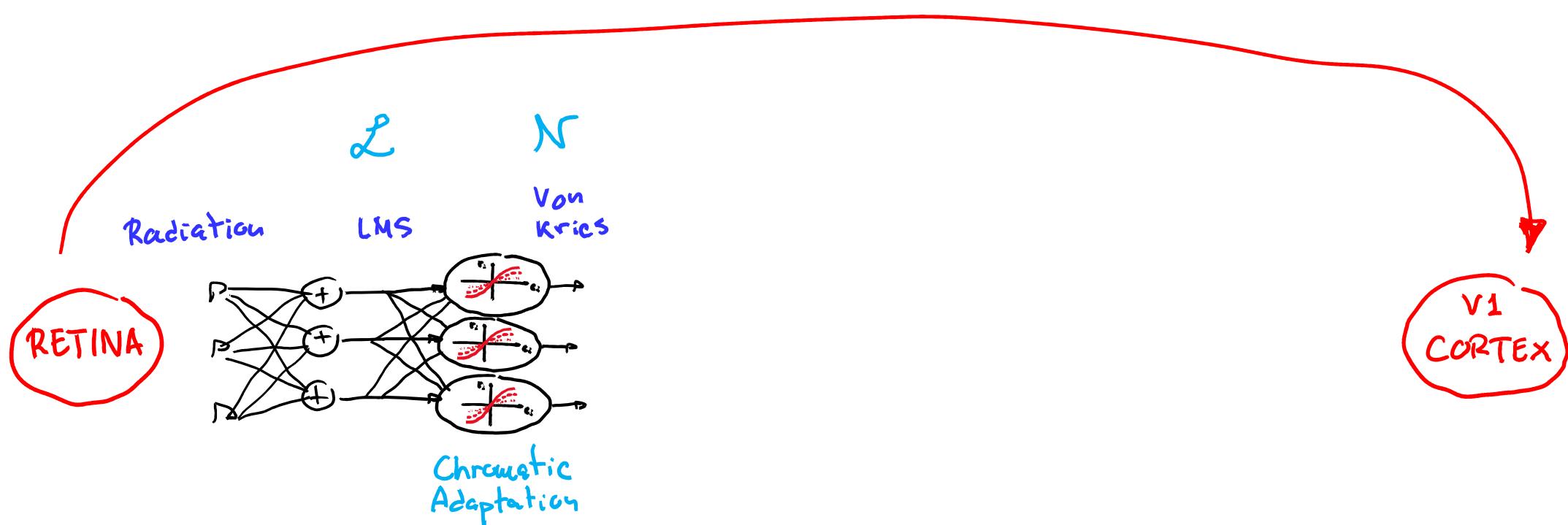
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1

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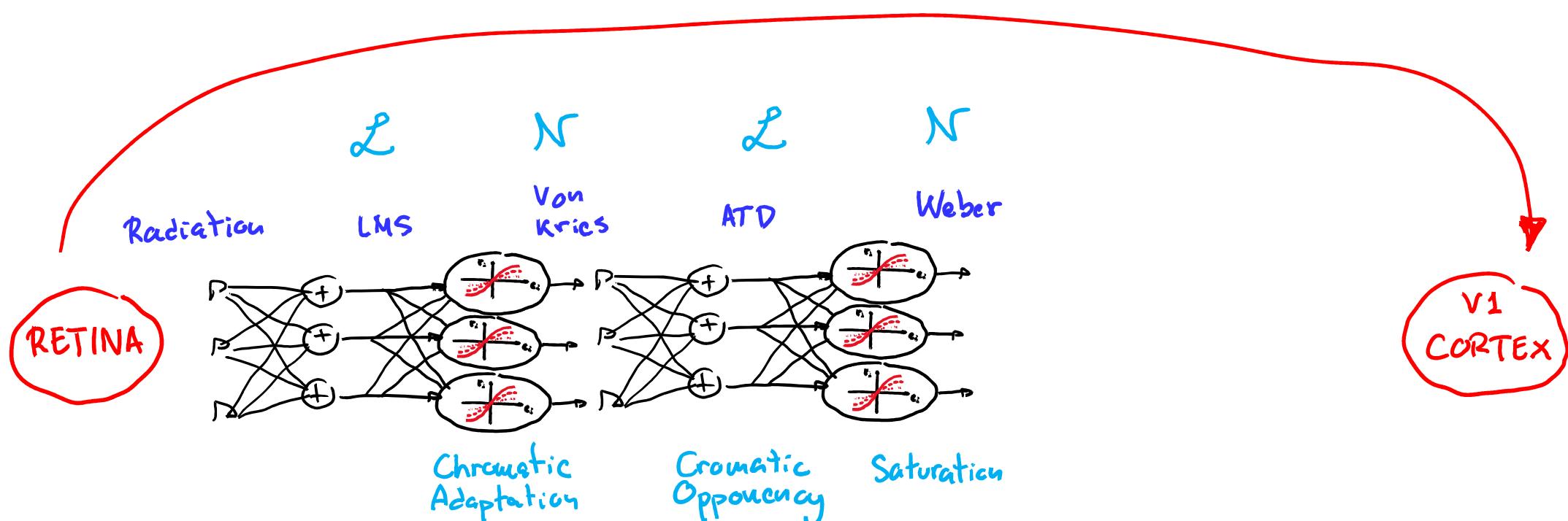
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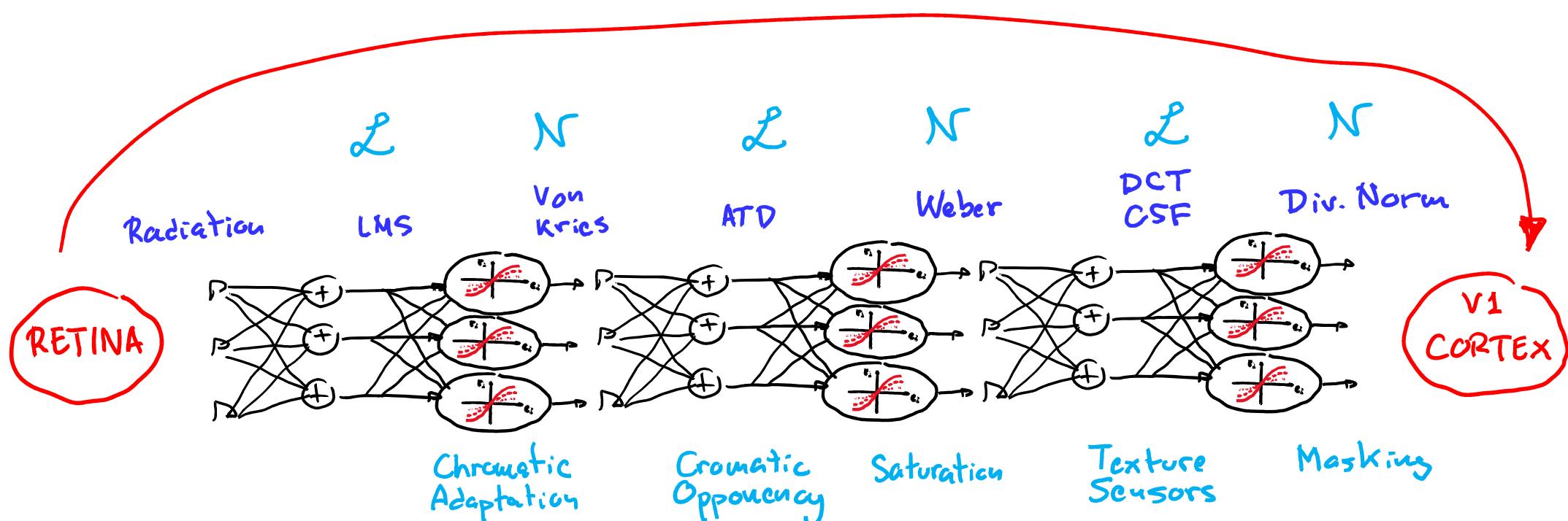
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Gomez et al. J. Neurophysiol. 2020

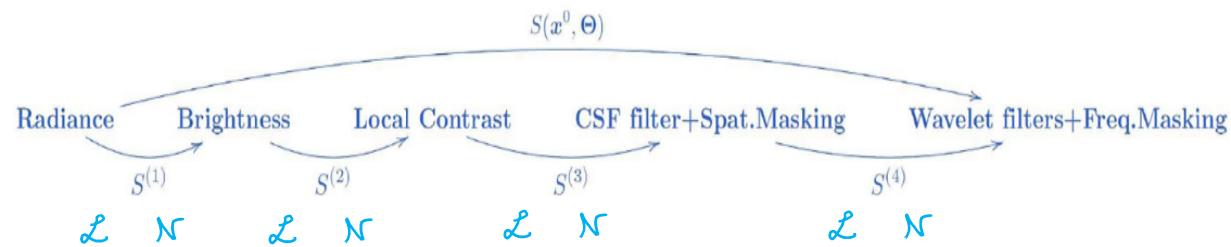
Malo J. Math. Neurosci. 2020

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(Divisive Normaliz.)

1

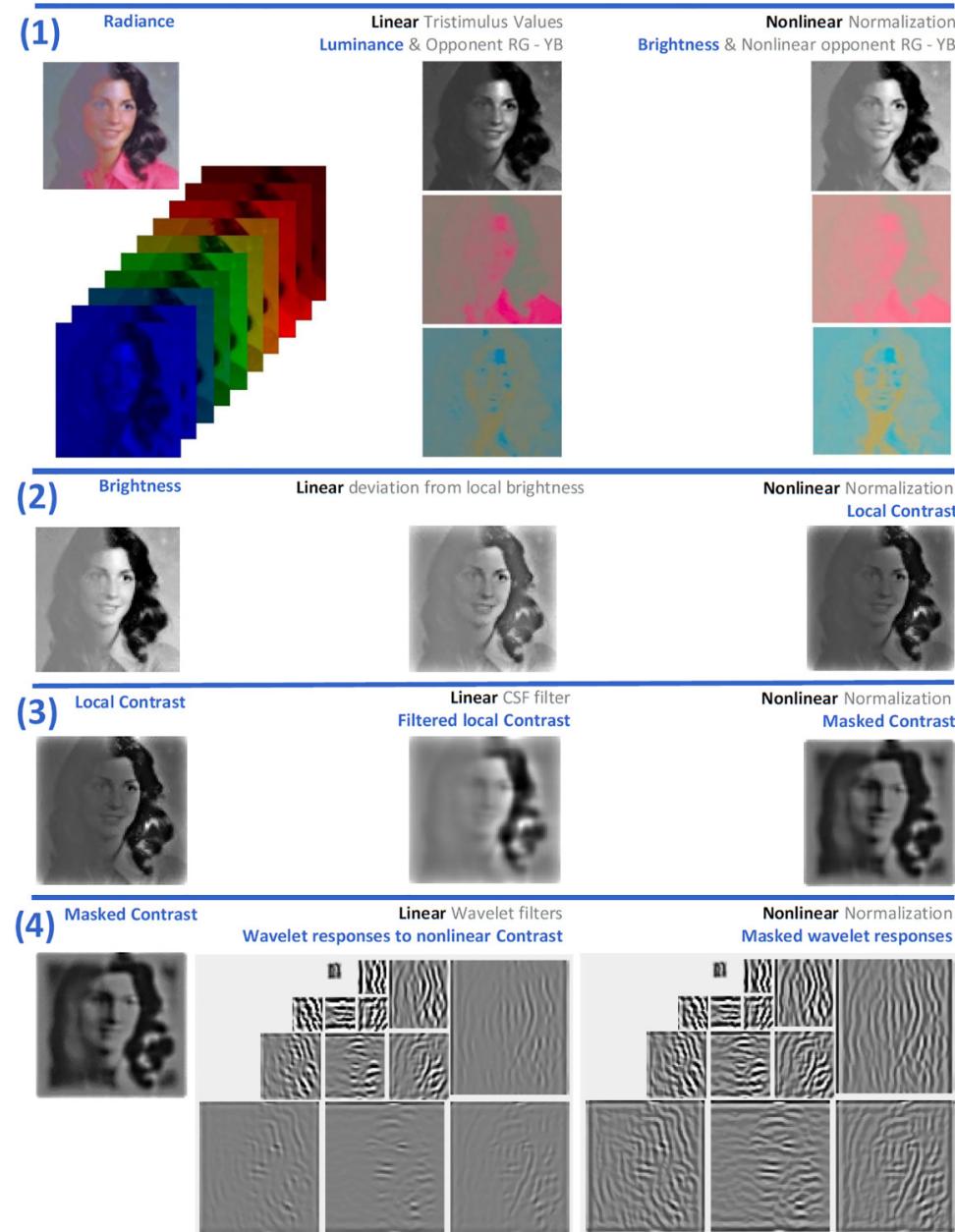
## ONE EXAMPLE :



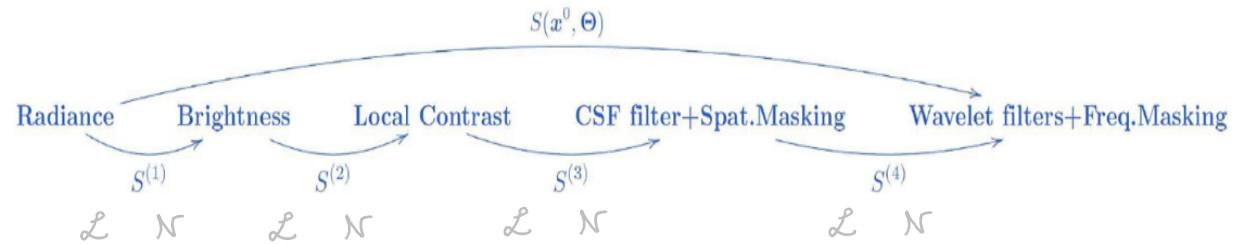
<https://isp.uv.es/code/visioncolor/vistamodels.html>

Martinez, Malo et al. PLOS ONE 18

- Derivatives } Metrics
- Inverse Decoding - Stimuli New Psychophysics



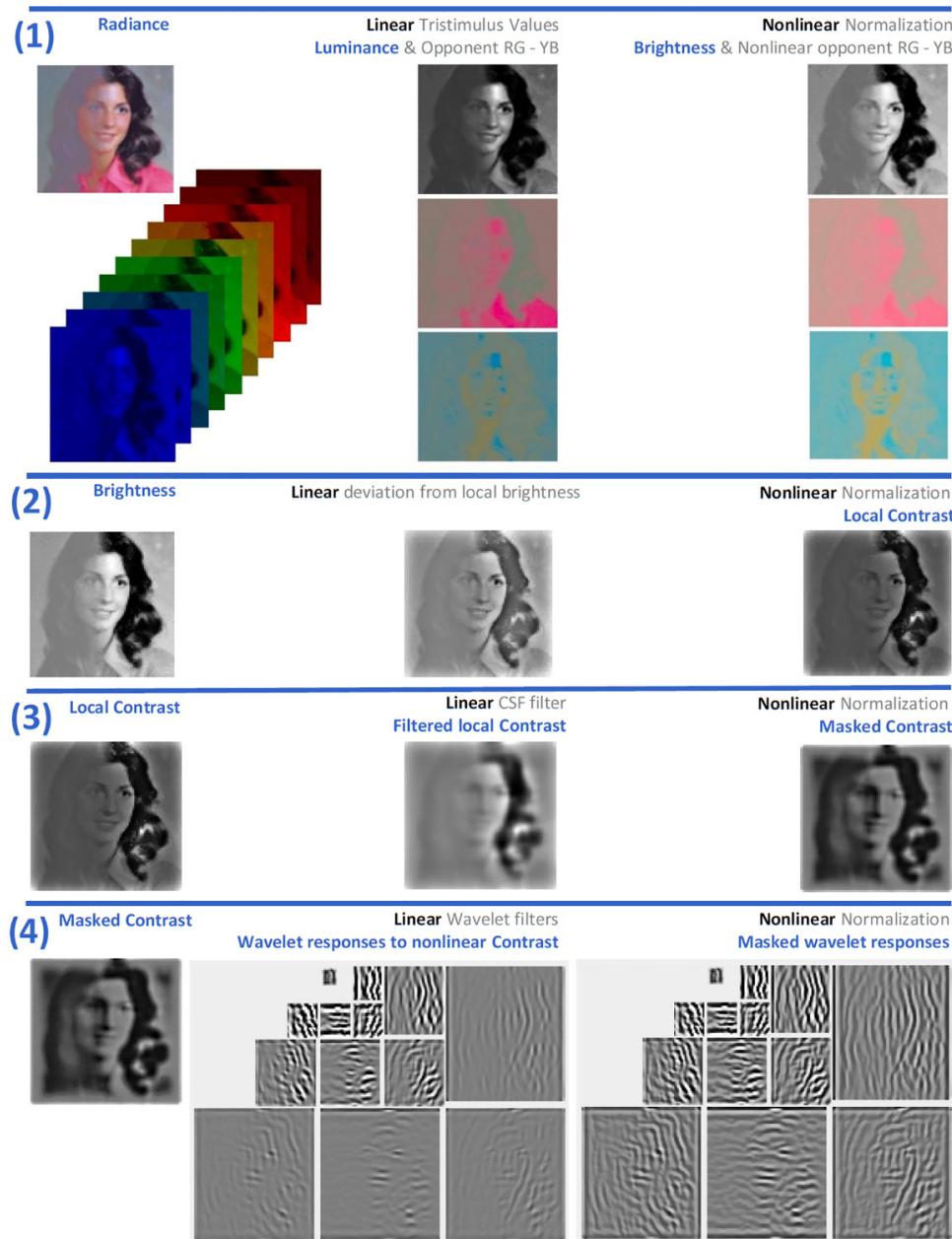
## ① ONE EXAMPLE :



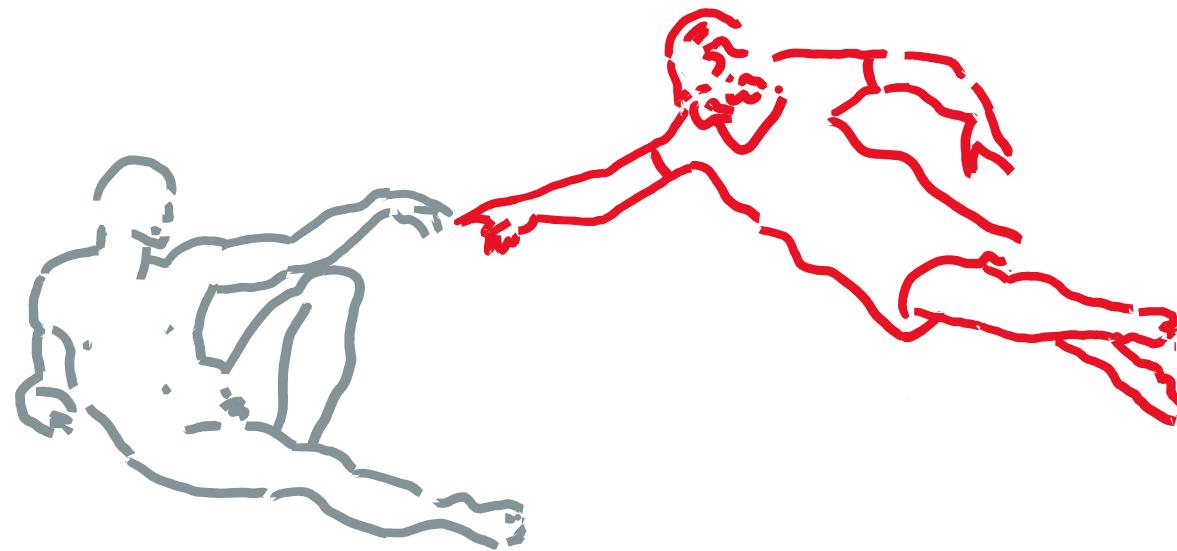
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Martinez, Malo et al. PLOS ONE 18

- Derivatives } : Metrics
- Derivatives } : New Psychophysics
- Inverse Decoding - Stimuli

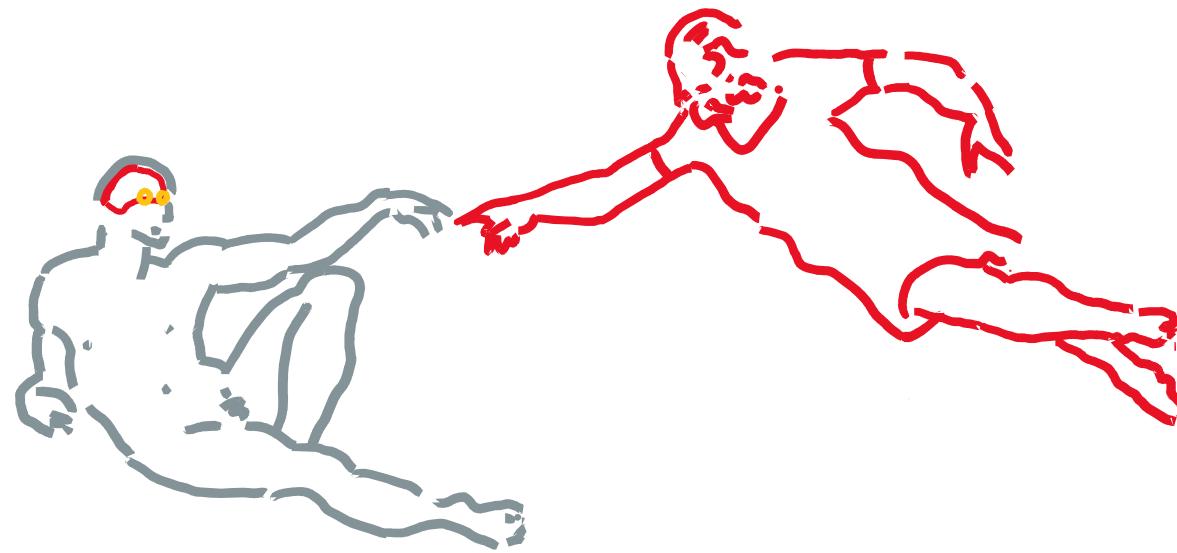


WHY?



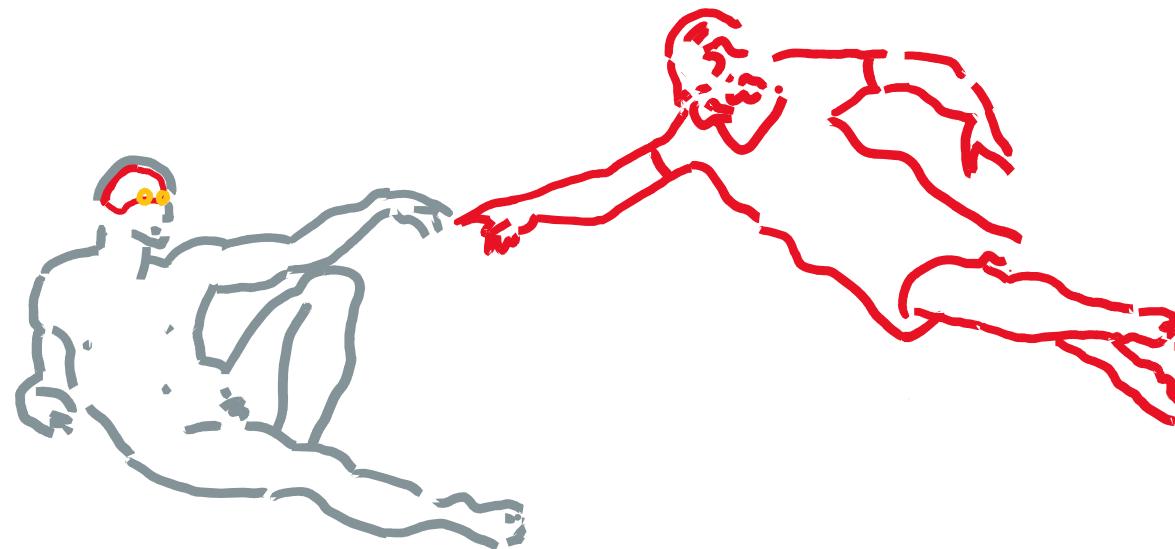
12/41

WHY?

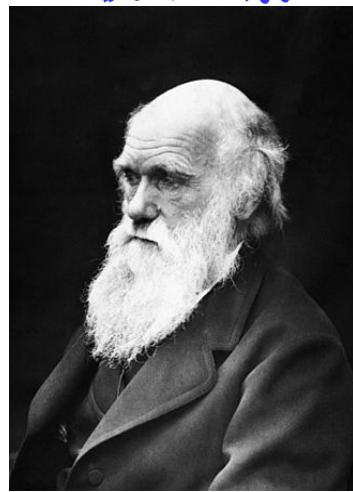


12/41

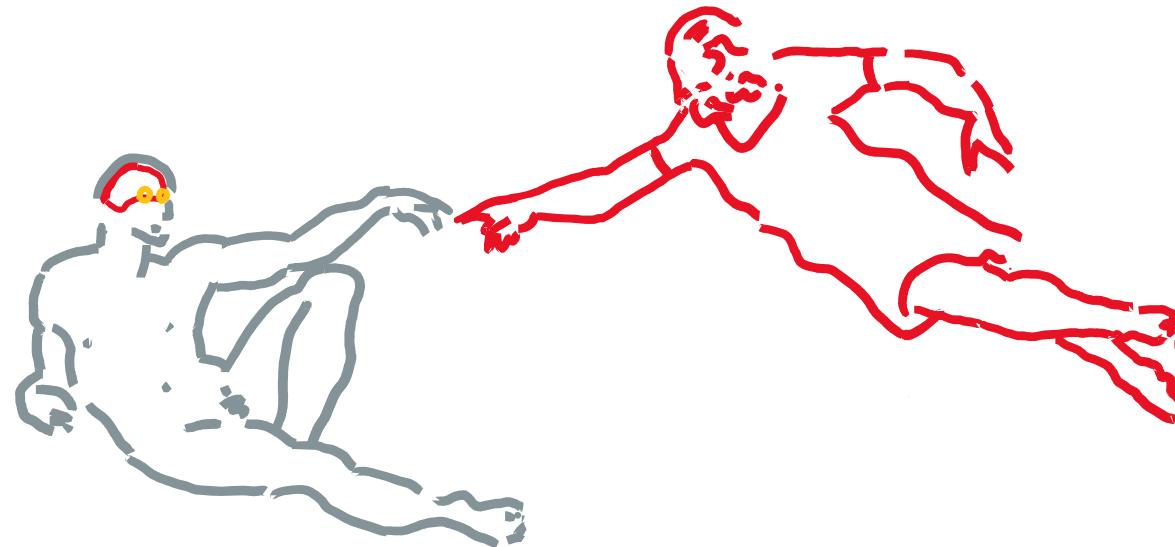
WHY?



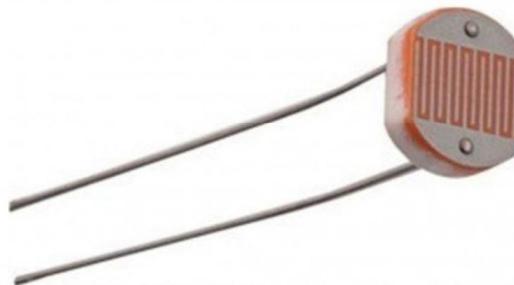
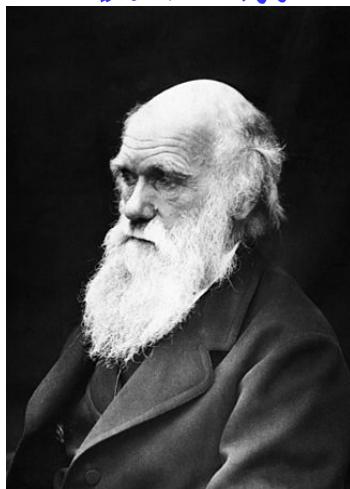
DARWIN



WHY?



DARWIN



### SENSOR FOTORESISTENCIA LDR GL-5528

Fotoresistencia que permite medir niveles de luz.

**0,25 €**

0,21 € (IVA no incluido)

Fracciona tu pago desde 50,00 € ⓘ

SEURA

Estado: Nuevo

Fabricante: tiendatec

Referencia: GL5528

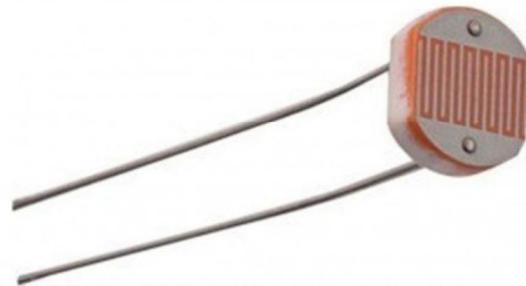
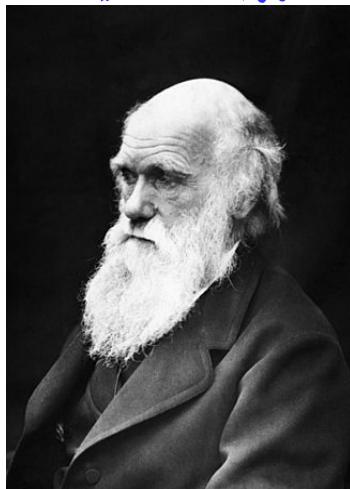
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11/41

!! → WHAT WOULD YOU DO ?

DARWIN



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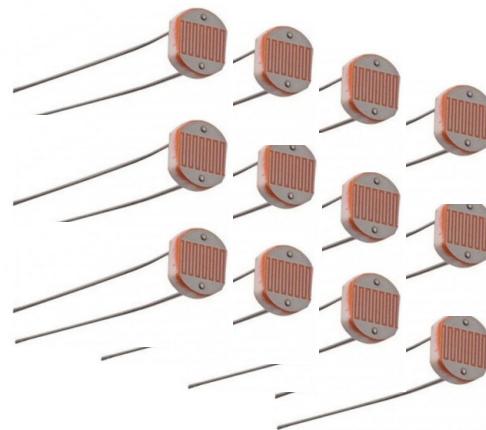
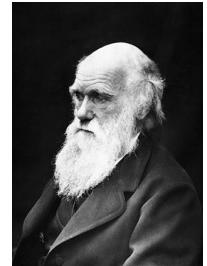
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WHAT WOULD YOU DO ?

DARWIN

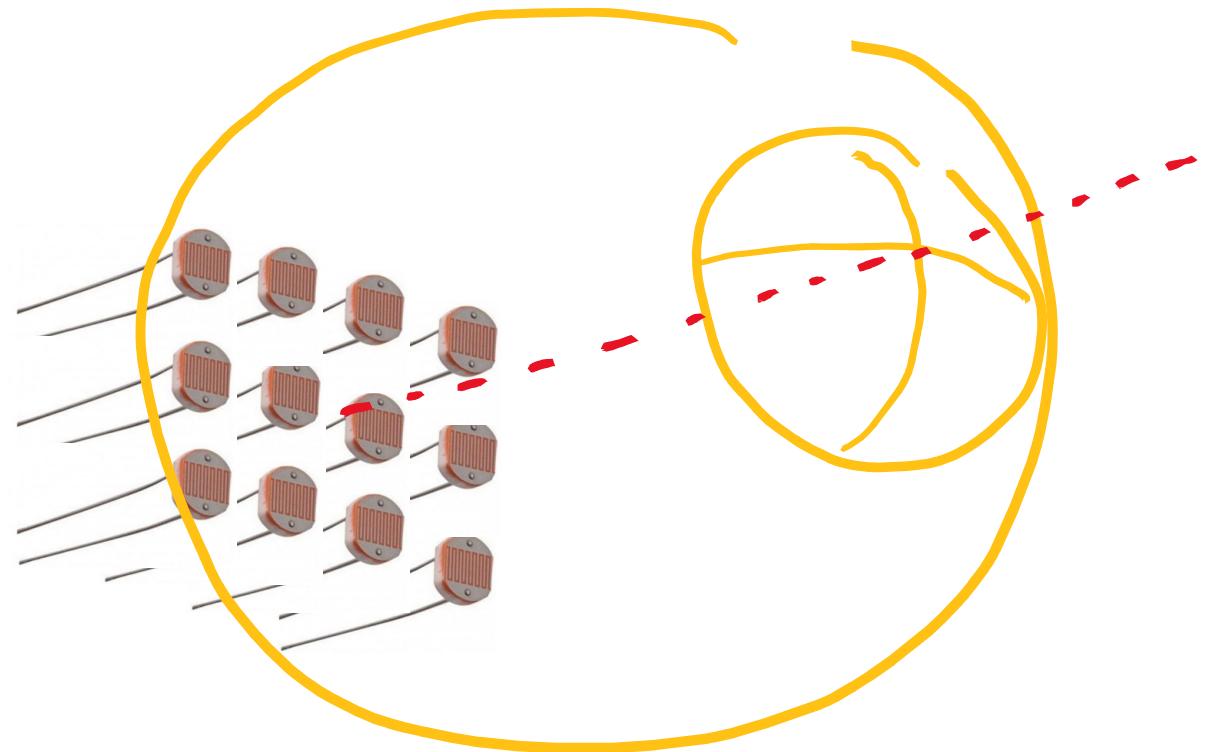
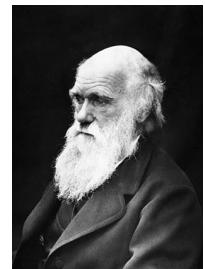


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WHAT WOULD YOU DO?

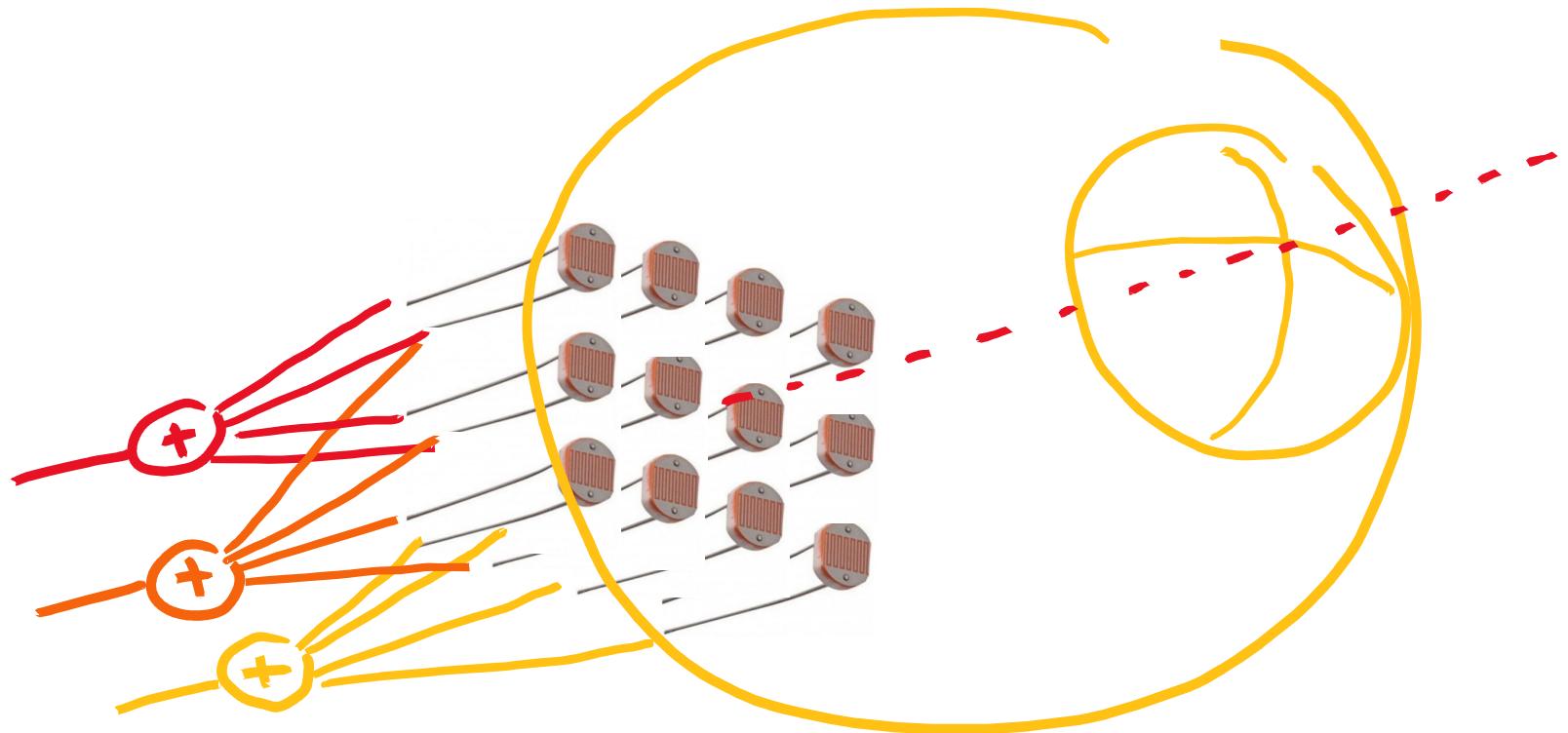
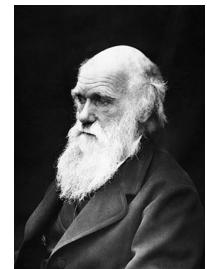
DARWIN



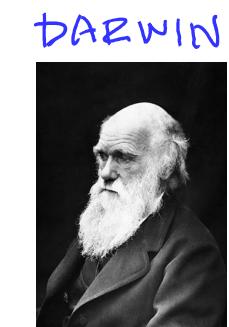
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!! **WHAT WOULD YOU DO?**

DARWIN



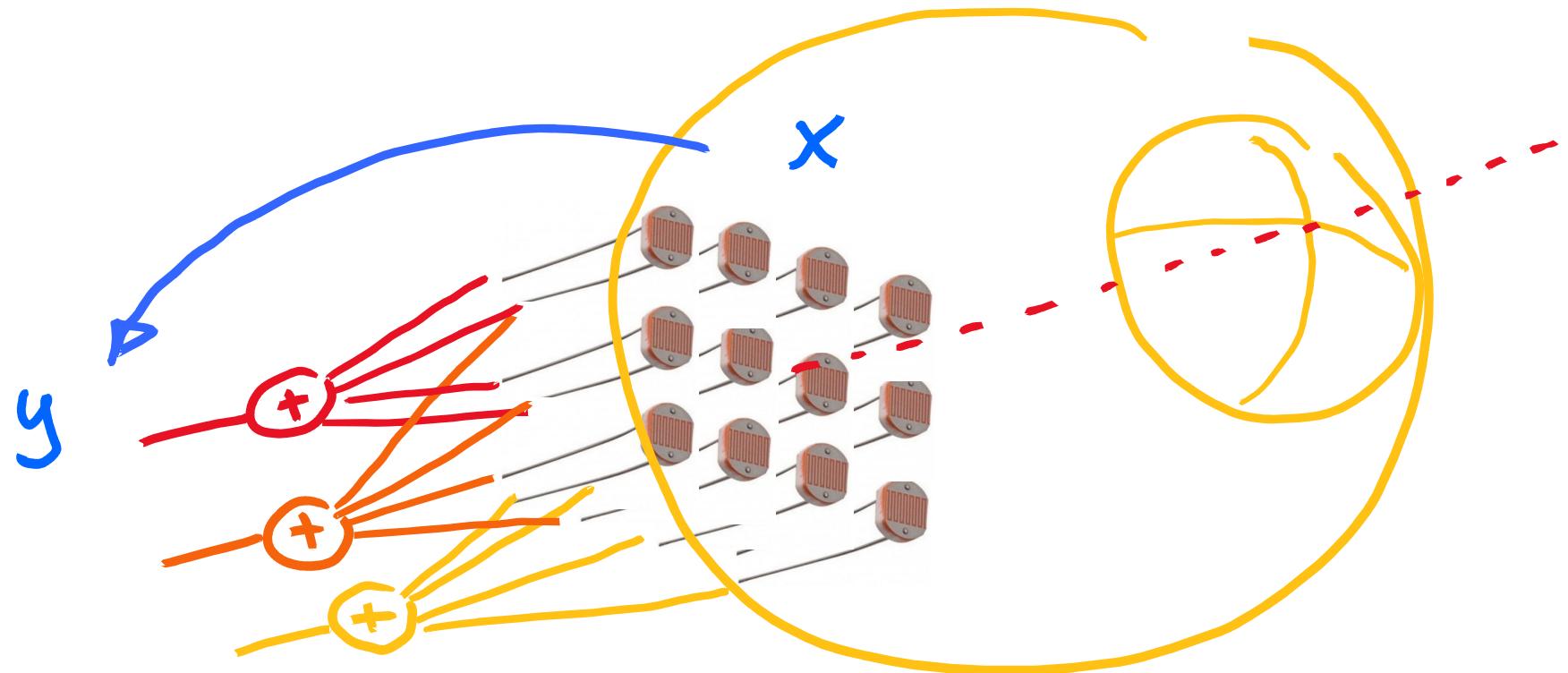
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DARWIN



WHAT WOULD YOU DO?

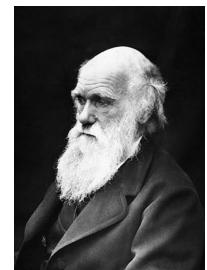


12/41

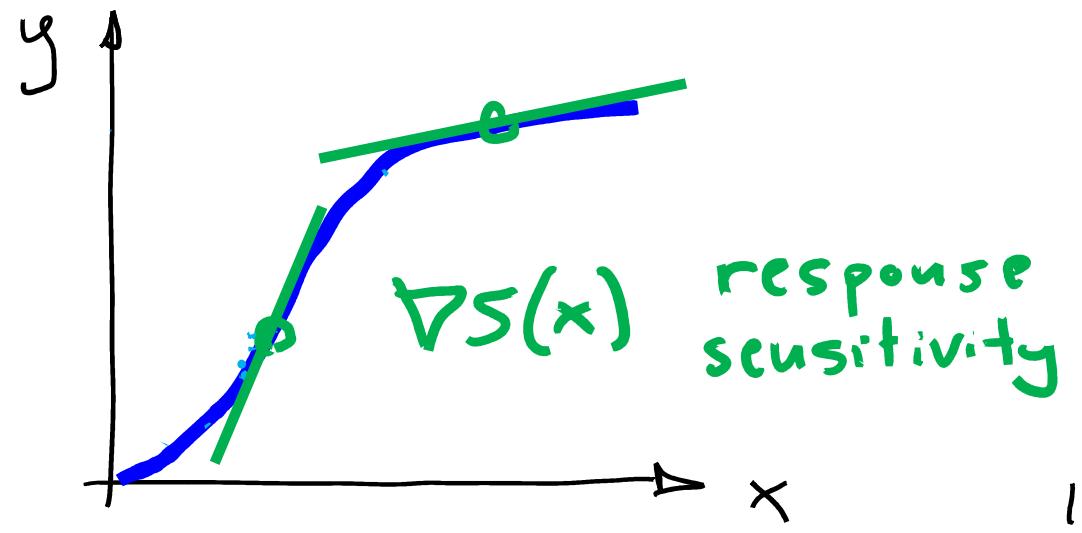
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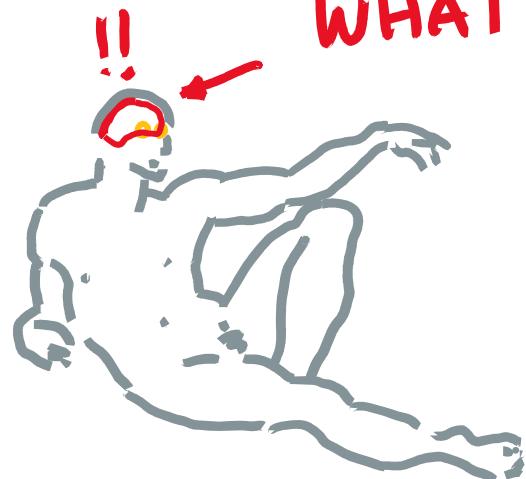
DARWIN



$$x \xrightarrow{S} y = S(x) + n$$

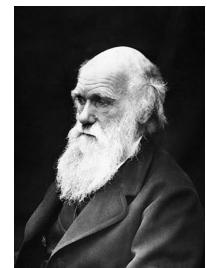


WHAT WOULD YOU DO?



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DARWIN



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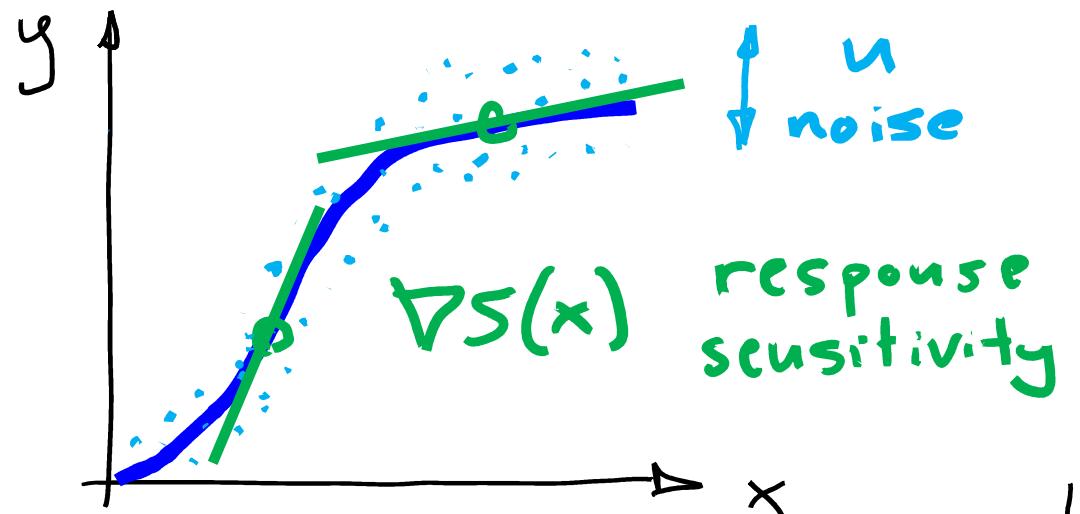
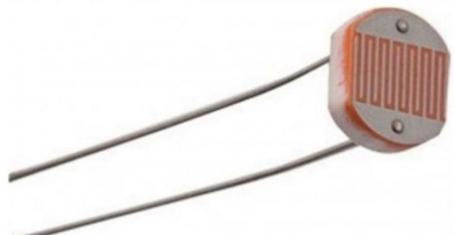
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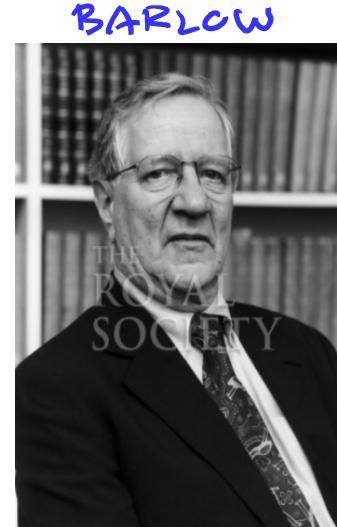
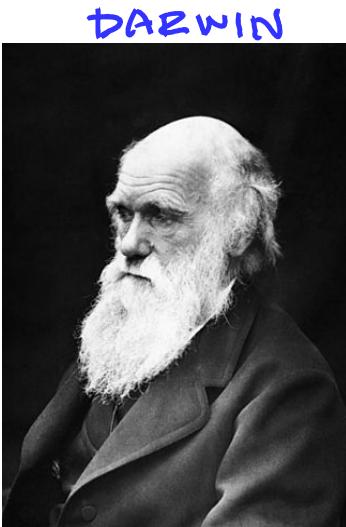
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Disponible, recíbelo el lunes 4



12/41

- ② COMPUTATIONAL TOOLS : Information theory  
 Uniformization & Gaussianization
- Function determines structure!!
  - One example: Information transmission
  - Original tools : { - UNIFORMIZATION  
 - GAUSSIANIZATION }



②

## COMPUTATIONAL TOOLS : Information theory

$$x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

$S_6$

$$y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$$

information  $\propto \log\left(\frac{1}{P(x)}\right)$

Entropy =  $\langle \text{inform} \rangle$



②

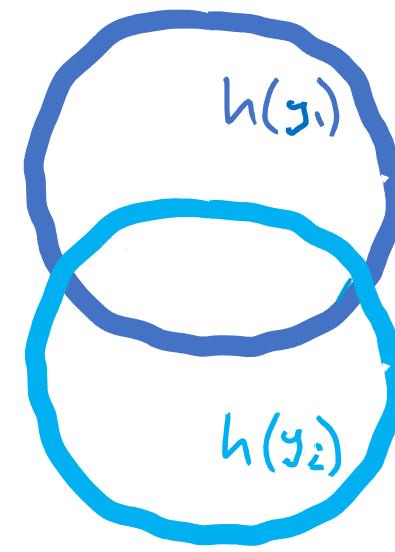
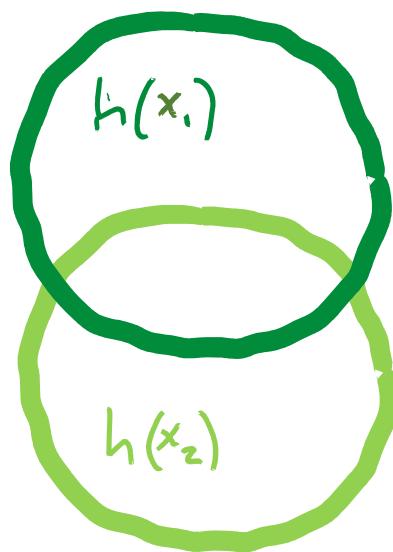
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Entropy = ⟨ inform ⟩

$$h(x) = - \int p(x) \log(p(x)) dx$$

②

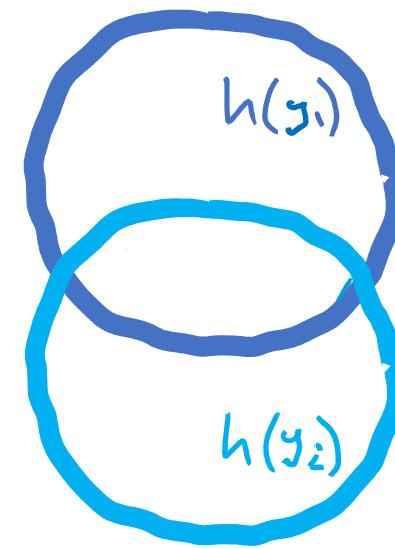
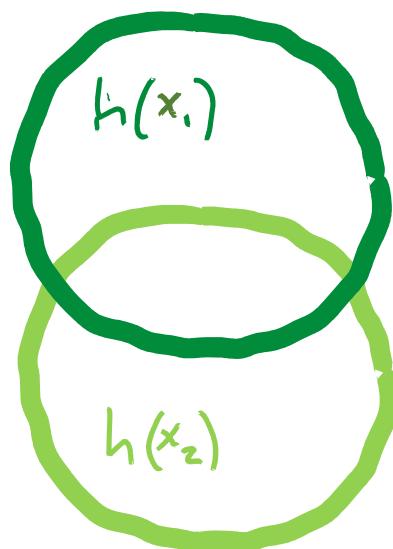
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$$h(y_1) + h(y_2) > h([y_1, y_2])$$

②

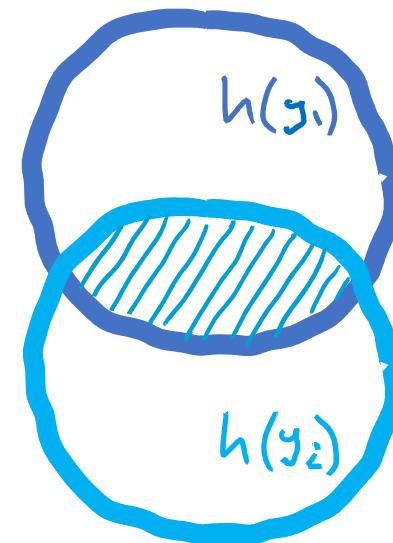
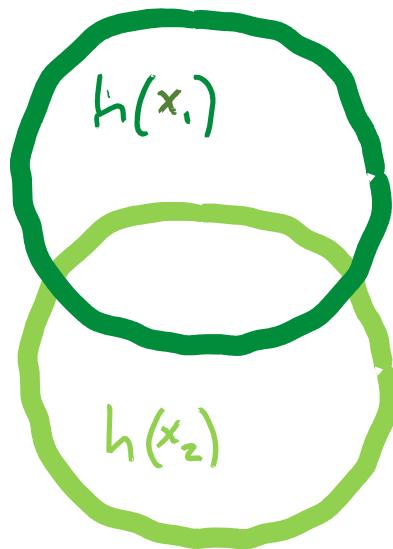
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/// TOTAL CORRELATION = Redundancy within a vector  $T(y) = \sum_i h(y_i) - h(y)$

②

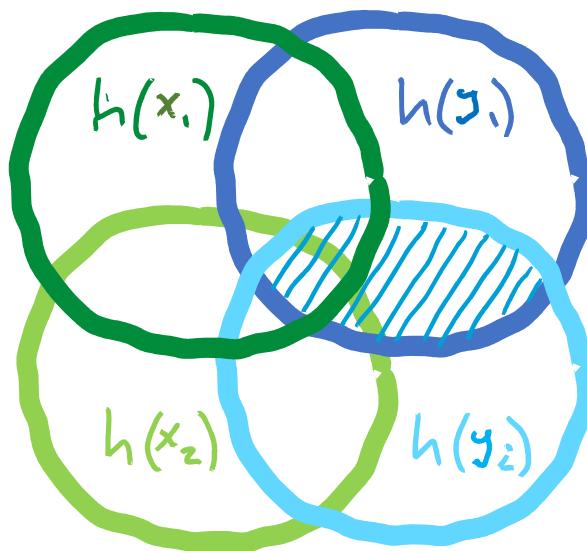
## COMPUTATIONAL TOOLS : Information theory

$$x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \xrightarrow{S_6} y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$$

$$\text{information} \propto \log\left(\frac{1}{P(x)}\right)$$

$$\text{Entropy} = \langle \text{inform} \rangle$$

$$h(x) = - \int p(x) \log(p(x)) dx$$



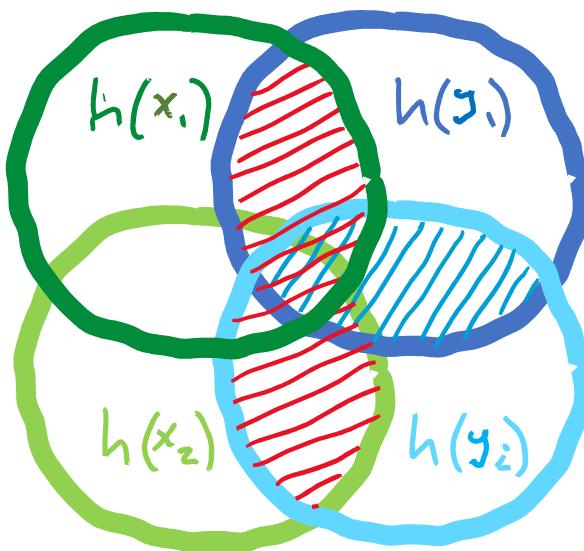
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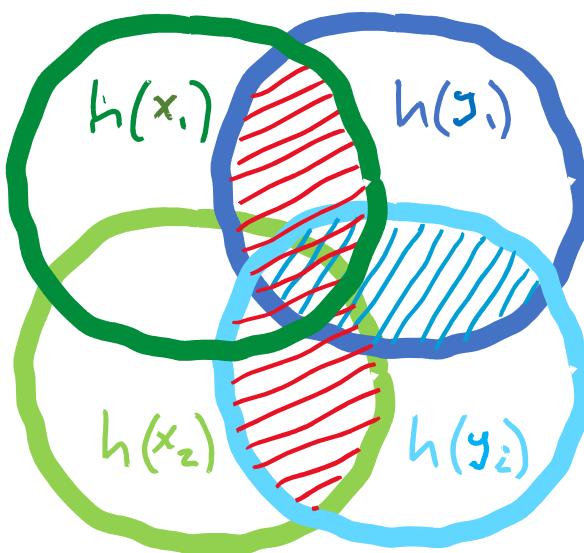
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Entropy =  $\langle \text{inform} \rangle$

$$h(x) = - \int p(x) \log(p(x)) dx$$



/// TOTAL CORRELATION = Redundancy within a vector  $T(y) = \sum_i h(y_i) - h(y)$

/// MUTUAL INFORMATION = Info shared by two vectors  $I(x,y) = h(x) + h(y) - h([x,y])$

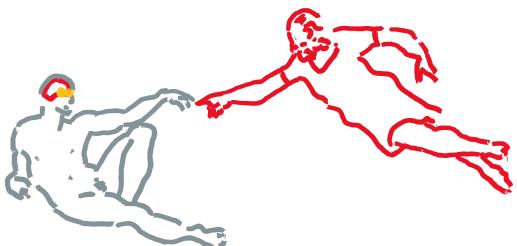
13/41

②

## COMPUTATIONAL TOOLS : Information theory

The "design" problem:

$$x \xrightarrow{S} y = S(x) + u$$



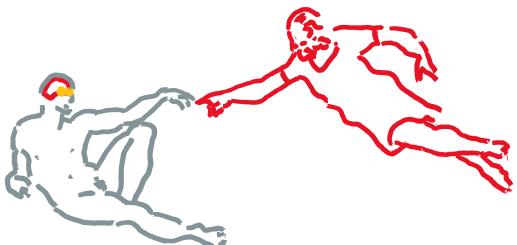
please maximize  $I(x, y)$  !

②

## COMPUTATIONAL TOOLS : Information theory

The "design" problem:

$$x \xrightarrow{S} y = S(x) + u$$



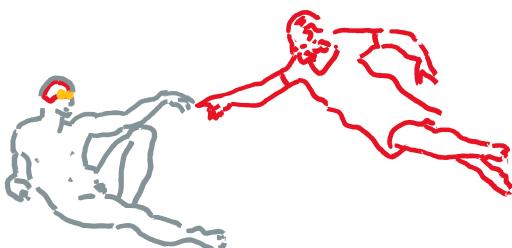
please maximize  $I(x, y)$  !

$$I(x, y) = h(x) + E_x \left[ \log \| \nabla S \| \right] - \left( h(u) - E_u \left[ D_{KL} \left( p(s|x) \middle\| p(s|x+u) \right) \right] \right)$$

② COMPUTATIONAL TOOLS : Information theory

The "design" problem:

$$x \xrightarrow{S} y = S(x) + u$$



please maximize  $I(x, y)$  !

$$I(x, y) = h(x) + E_x \left[ \log \| \nabla S \| \right] - \left( h(u) - E_u \left[ D_{KL}(p(s|x)) \| p(s|x+u) ) \right] \right)$$

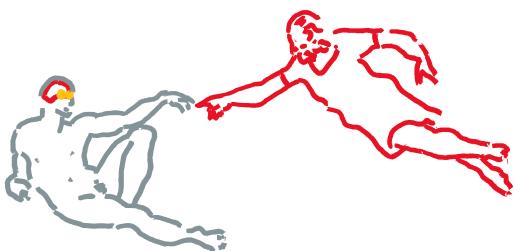
$$I(x, y) = \underbrace{\sum_i h(y_i)}_{(1)} - \underbrace{T(y)}_{(2)} - h(u) \Rightarrow \begin{cases} (1) \text{ MAXIMIZE ENTROPY} \\ (2) \text{ MINIMIZE REDUNDANCY} \end{cases}$$

(2)

## COMPUTATIONAL TOOLS : Information theory

The "design" problem:

$$x \xrightarrow{S} y = S(x) + u$$



please maximize  $I(x, y)$  !

$$I(x, y) = h(x) + E_x \left[ \log \| \nabla S \| \right] - \left( h(u) - E_u \left[ D_{KL}(p(s|x)) \| p(s|x+u) ) \right] \right)$$

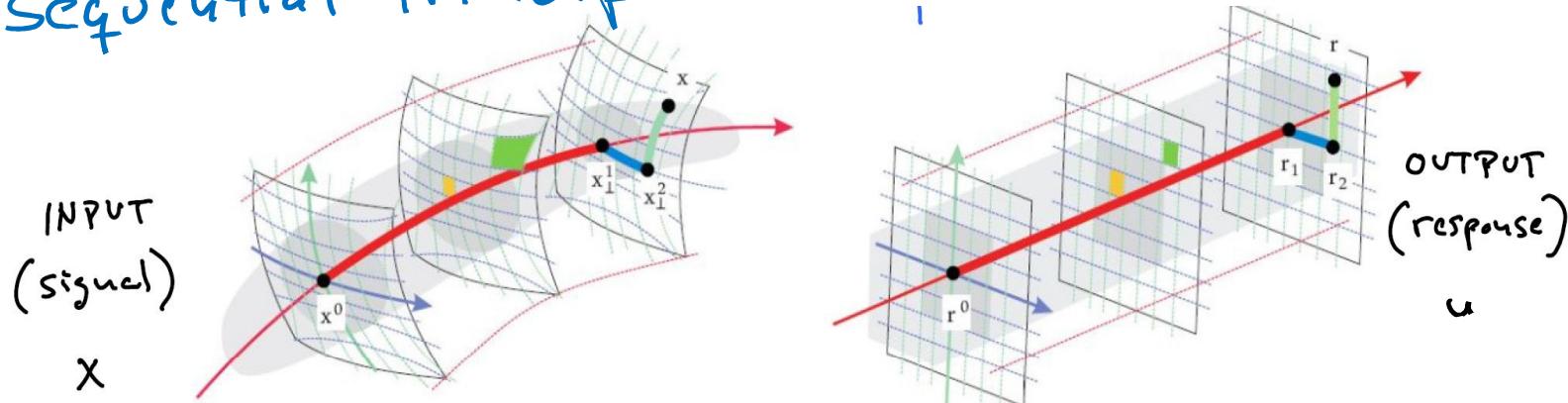
$$I(x, y) = \sum_i h(y_i) - \underbrace{T(y)}_{(2)} - h(u) \Rightarrow \begin{cases} (1) \text{ MAXIMIZE ENTROPY} \\ (2) \text{ MINIMIZE REDUNDANCY} \end{cases} \Rightarrow \begin{array}{l} \text{UNIFORMIZATION} \\ \text{GAUSSIANIZATION} \end{array}$$

②

COMPUTATIONAL TOOLS : Information theory

UNIFORMIZATION : GAUSSIANIZATION

Sequential Principal Curves Analysis (SPCA)



$$y = \mathcal{S}(x) = C \cdot \int_{x^0}^x \nabla U(x') \cdot dx' = C \cdot \int_{x^0}^x D(x') \cdot \nabla U(x') \cdot dx'$$

$$\therefore \gamma_i = C_{ii} \cdot \int_{x_{\perp}^{i-1}}^{x_{\perp}^i} D(x') \cdot \nabla U(x') \cdot dx' = C_{ii} \int_0^{u_{i\perp}^i} p_{u_i}(u'_i) du'_i$$

\* INFOMAX  
\* ERROR MINIMIZATION  $\gamma$

J. Malo & J. Gutiérrez (2006) V1-nonlinearities emerge from Local-to-Global ICA  
Network: Comp. Neur. Syst. Vol. 17, 85–102

V. Laparra, J. Malo et al. (2012) Nonlinearities and adaptation in color vision from Sequential Principal Curves Analysis  
Neural Comput. Vol. 24, 2751–2788. doi: 10.1162/NECO\_a\_00342

V. Laparra & J. Malo (2015) Visual aftereffects and sensory nonlinearities from a single statistical framework  
Front. Hum. Neurosci., <https://doi.org/10.3389/fnhum.2015.00557>

②

## COMPUTATIONAL TOOLS

Information theory

UNIFORMIZATION

theory

GAUSSIANIZATION

$x^{(0)}$



ANY PDF

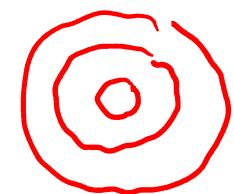
$p(x^{(0)})$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation

Marginal  
Gaussianization

$x^{(N)}$



GAUSSIAN PDF

$$p(x^{(N)}) = \mathcal{N}(x^{(n)}, 0, I)$$

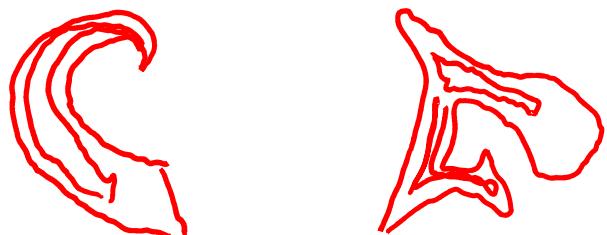
②

## COMPUTATIONAL TOOLS

: Information theory

: GAUSSIANIZATION

$$x^{(0)} \rightarrow x^{(1)}$$



ANY PDF

$$p(x^{(0)})$$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation

Marginal  
Gaussianization

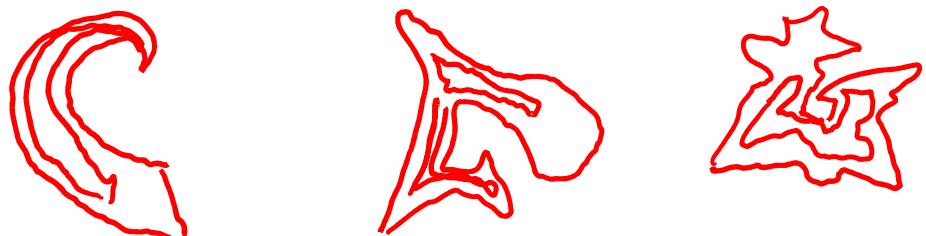
②

## COMPUTATIONAL TOOLS

: Information theory

: GAUSSIANIZATION

$$x^{(0)} \rightarrow x^{(1)} \rightarrow x^{(2)}$$



ANY PDF

$$p(x^{(0)})$$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation

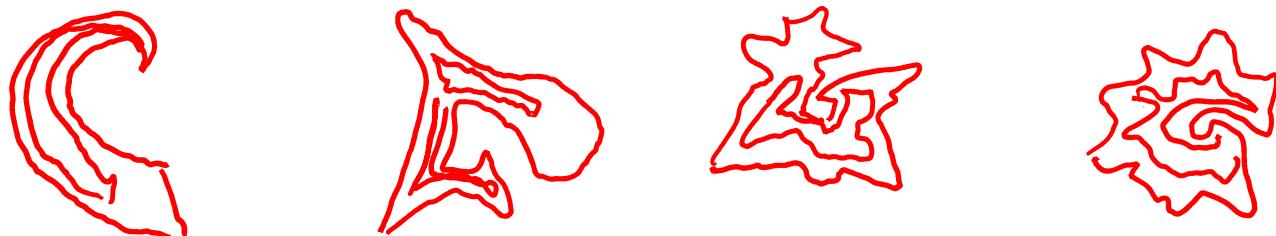
Marginal  
Gaussianization

②

## COMPUTATIONAL TOOLS : Information theory

: GAUSSIANIZATION

$$x^{(0)} \rightarrow x^{(1)} \rightarrow x^{(2)} \rightarrow x^{(3)}$$



ANY PDF

$p(x^{(0)})$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation

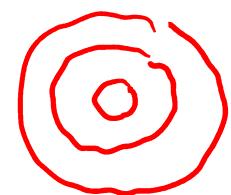
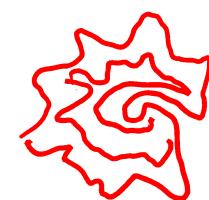
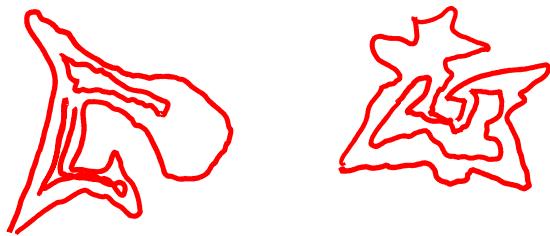
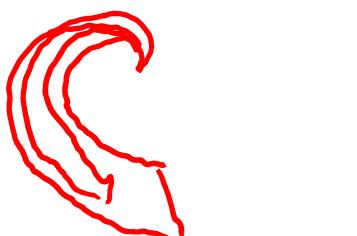
Marginal  
Gaussianization

②

## COMPUTATIONAL TOOLS : Information theory

: GAUSSIANIZATION

$$x^{(0)} \rightarrow x^{(1)} \rightarrow x^{(2)} \rightarrow x^{(3)} \dots \rightarrow x^{(N)}$$



ANY PDF

$$p(x^{(0)})$$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

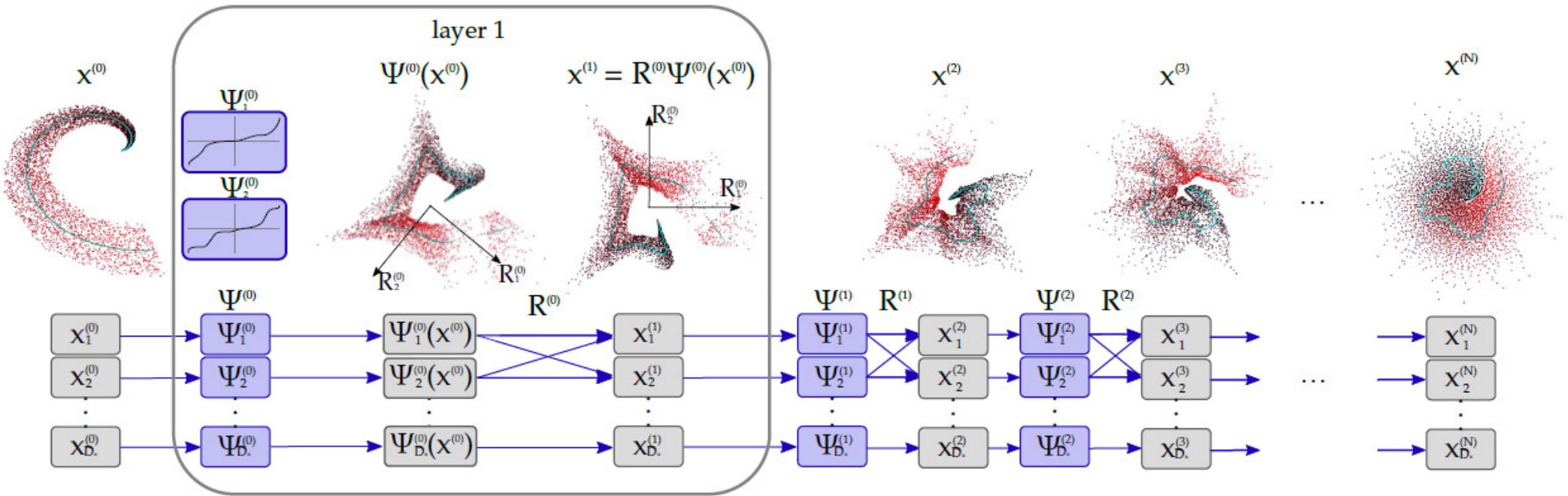
Rotation

Marginal  
Gaussianization

GAUSSIAN PDF

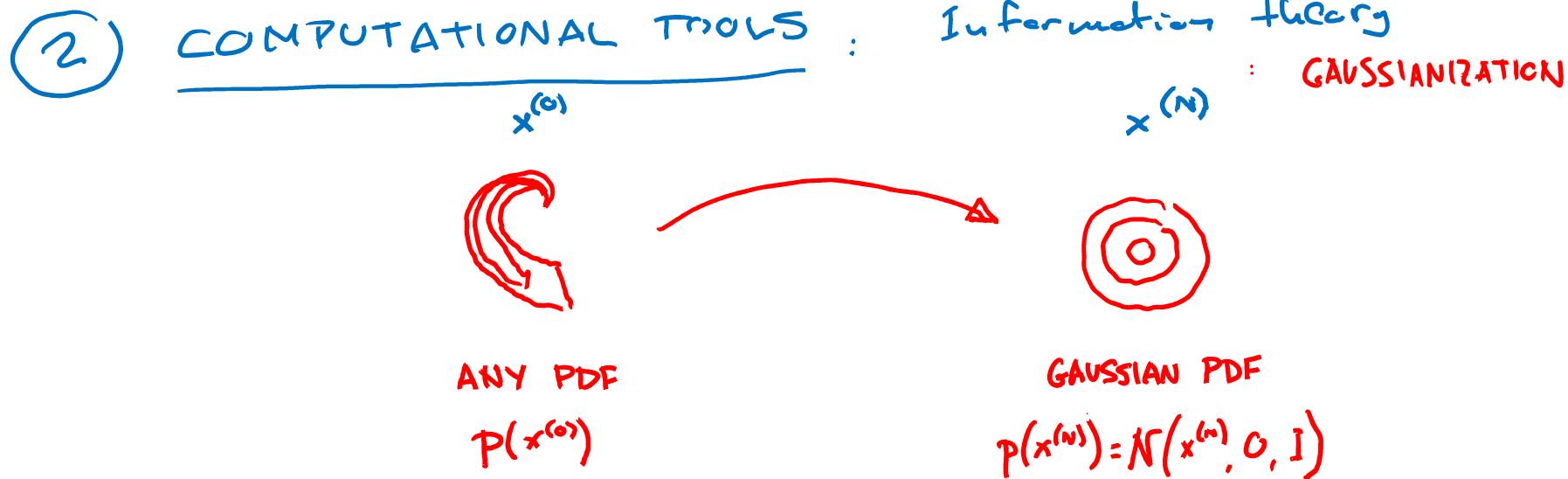
$$p(x^{(N)}) = \mathcal{N}(x^{(n)}, 0, I)$$

② COMPUTATIONAL TOOLS : Information theory  
GAUSSIANIZATION



$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation      Marginal Gaussianization



In ANY differentiable transform  $\Rightarrow$  In ANY Gaussianization  $T(x') = 0$

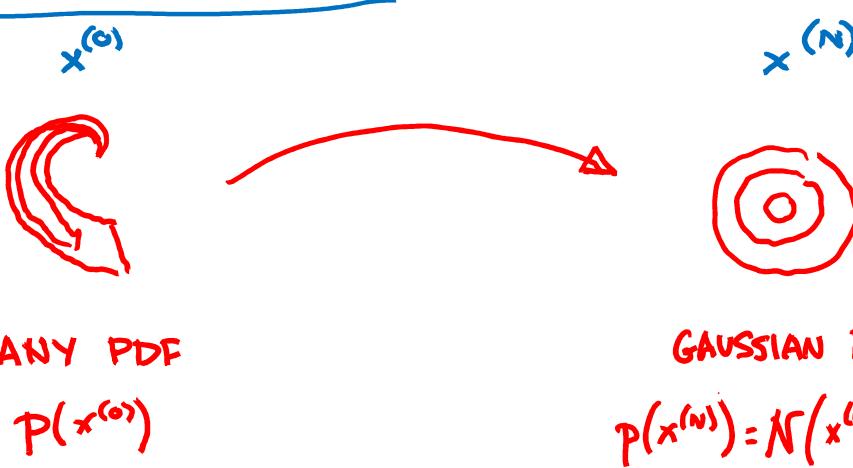
$$\begin{aligned}\Delta T(x, x') &= T(x) - T(x') \\ &= \sum_{i=1}^{D_x} H(x_i) - \sum_{i=1}^{D_{x'}} H(x'_i) + \underbrace{\frac{1}{2} \mathbb{E}_x \left( \log |\nabla G_x(x)^\top \cdot \nabla G_x(x)| \right)}\end{aligned}$$

$$T(x) = \sum_{i=1}^{D_x} H(x_i) - \frac{D_x}{2} \log(2\pi e) + \underbrace{\mathbb{E}_x \left( \log |\nabla G_x(x)| \right)}$$

IN RGBIG  $\equiv$  ONLY UNIVARIATE OPERATIONS

$$\boxed{\tilde{T}(x) = \sum_{n=0}^{N-1} \Delta \tilde{T}^{(n)} = \frac{(N-1)D_x}{2} \log(2\pi e) - \sum_{n=1}^N \sum_{i=1}^{D_x} \tilde{H}(x_i^{(n)})}$$

② COMPUTATIONAL TOOLS : Information theory  
GAUSSIANIZATION



In ANY differentiable transform  $\Rightarrow$  In ANY Gaussianization  $T(x') = 0$

$$\begin{aligned}\Delta T(x, x') &= T(x) - T(x') \\ &= \sum_{i=1}^{D_x} H(x_i) - \sum_{i=1}^{D_{x'}} H(x'_i) + \underbrace{\frac{1}{2} \mathbb{E}_x \left( \log |\nabla G_x(x)^\top \cdot \nabla G_x(x)| \right)}\end{aligned}$$

$$T(x) = \sum_{i=1}^{D_x} H(x_i) - \frac{D_x}{2} \log(2\pi e) + \underbrace{\mathbb{E}_x \left( \log |\nabla G_x(x)| \right)}$$

IN R BIG E ONLY UNIVARIATE OPERATIONS

$$\tilde{T}(x) = \sum_{n=0}^{N-1} \Delta \tilde{T}^{(n)} = \frac{(N-1)D_x}{2} \log(2\pi e) - \sum_{n=1}^N \sum_{i=1}^{D_x} \tilde{H}(x_i^{(n)})$$

GOOD TO ESTIMATE  
INFORM. THEORY MEASURES!

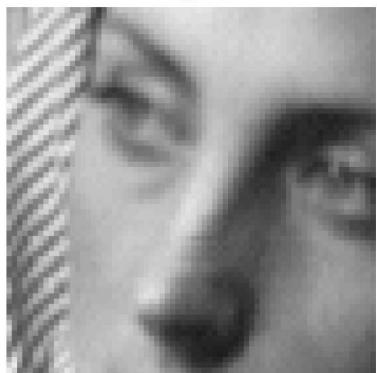
②

## COMPUTATIONAL TOOLS

Information theory

UNIFORMIZATION : GAUSSIANIZATION

Original



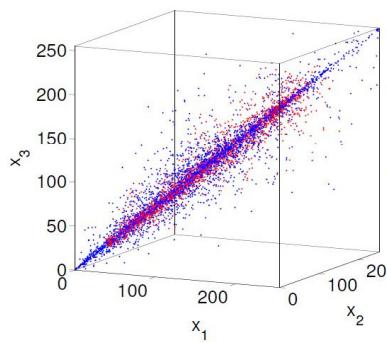
PCA



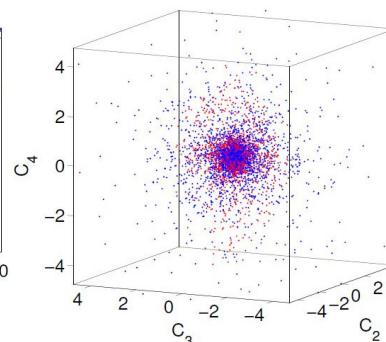
SPCA ( $\gamma = 1$ )



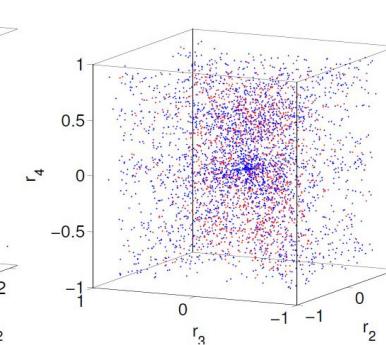
SPCA ( $\gamma = 1/3$ )



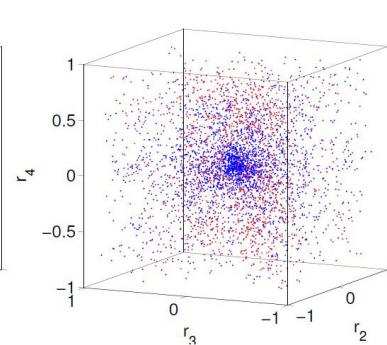
$P(x_j|x_i)$  Spatial domain  
MI = 1.598 bits



$P(C_j|C_i)$  PCA domain  
MI = 0.198 bits



$P(r_j|r_i)$  SPCA infomax  
MI = 0.057 bits

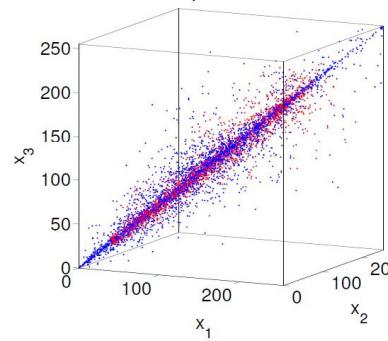
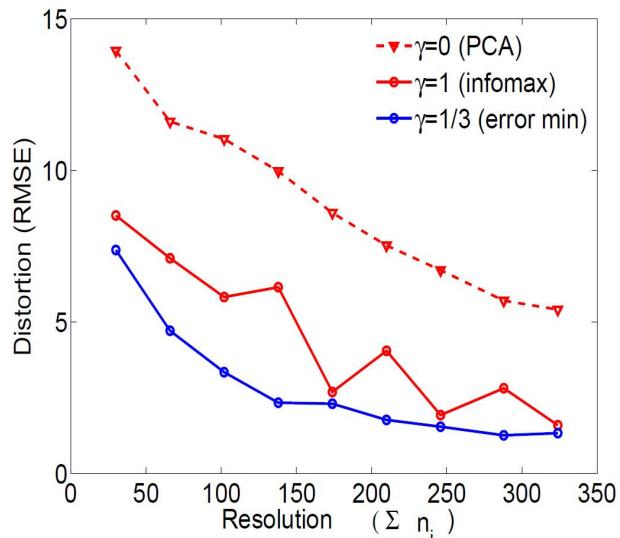


$P(r_j|r_i)$  SPCA errormin  
MI = 0.075 bits

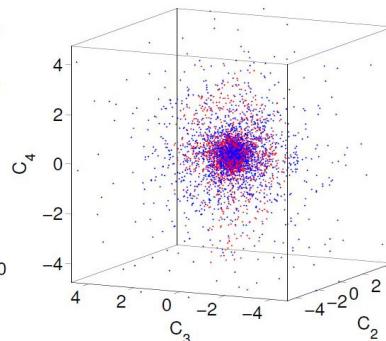
②

## COMPUTATIONAL TOOLS

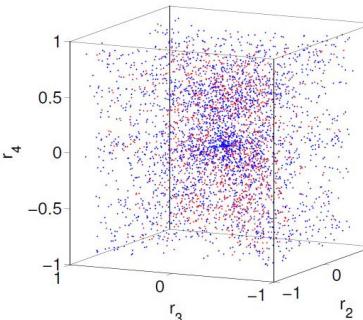
Information theory  
UNIFORMIZATION : GAUSSIANIZATION



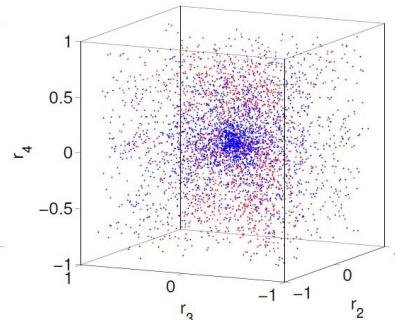
$P(x_j|x_i)$  Spatial domain  
MI = 1.598 bits



$P(C_j|C_i)$  PCA domain  
MI = 0.198 bits



$P(r_j|r_i)$  SPCA infomax  
MI = 0.057 bits



$P(r_j|r_i)$  SPCA errormin  
MI = 0.075 bits

(2)

## COMPUTATIONAL TOOLS

Information theory  
UNIFORMIZATION : GAUSSIANIZATION

### Total Correlation

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens	
	-	3	0.87	0.94	76.65	0.63	4.27	4.03
	10	0.97	23.48	>100	0.27	31.72	34.83	
	50	1.45	45.77	>100	0.52	>100	54.74	
	100	1.55	52.78	>100	0.41	>100	59.94	
		3	1.70	1.80	82.90	16.80	1.90	9.40
	10	8.30	27.20	>100	11.00	24.20	38.70	
	50	7.70	51.10	>100	15.10	>100	59.40	
	100	7.50	57.80	>100	15.50	>100	64.50	

$\tilde{T}(\mathbf{x})$

$\tilde{H}(\mathbf{x})$

$D_{KL}(\mathbf{y}|\mathbf{x})$

$\tilde{I}(\mathbf{x}, \mathbf{y})$

### Differential Entropy

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
		3	13.55	>100	94.03	>100	66.59
	10	32.93	16.73	>100	67.32	>100	15.27
	50	18.18	12.02	>100	29.44	>100	24.65
	100	12.71	17.41	>100	21.12	>100	28.63
		3	26.61	52.76	>100	89.74	81.85
	10	23.94	19.74	>100	49.60	>100	12.31
	50	10.10	16.87	>100	20.29	>100	32.14
	100	7.10	22.53	>100	15.39	>100	34.96
		3	88.27	>100	>100	48.56	>100
	10	3.05	11.86	>100	10.51	>100	19.93
	50	3.07	33.17	>100	4.54	>100	52.62
	100	1.31	35.56	>100	3.43	>100	49.46

### Kullback-Leibler Div.

	$dim$	RBIG	kNN	expF	vME
	3	13.49	16.90	10.28	92.28
	10	19.50	22.47	3.27	>1000
	50	32.04	40.85	13.34	>1000
	100	47.40	41.24	28.14	>1000
	3	3.55	7.98	5.57	24.22
	10	5.25	22.93	2.02	604.17
	50	8.67	40.91	3.40	>1000
	100	4.83	43.49	8.70	>1000
	3	3.75	6.39	3.89	12.23
	10	2.81	24.72	1.85	213.72
	50	13.83	43.11	1.83	897.65
	100	42.42	46.00	5.11	686.96
	3	24.93	27.30	4.89	63.90
	10	18.80	103.65	2.64	>1000
	50	23.62	173.62	8.42	>1000
	100	32.56	200.33	17.59	>1000
	3	21.04	24.77	3.72	36.64
	10	10.44	96.85	1.86	605.00
	50	10.07	159.16	5.70	>1000
	100	13.66	179.67	11.40	>1000
	3	17.12	25.95	3.40	26.15
	10	6.77	94.42	1.60	448.87
	50	3.40	152.46	4.81	>1000
	100	5.96	170.28	9.43	>1000
	3	5.08	29.58	793.53	5.78
	10	32.72	83.51	1278.91	596.63
	50	59.37	468.33	2783.43	>1000
	100	42.11	1024.30	4330.18	>1000
	3	17.09	95.02	148.08	22.52
	10	42.84	157.63	219.26	963.37
	50	60.53	584.46	547.48	>1000
	100	41.71	1214.61	962.45	>1000
	3	8.34	271.61	357.8	59.69
	10	38.78	307.82	49.77	>1000
	50	48.80	713.36	145.15	>1000
	100	26.01	1399.34	278.93	>1000
	3	9.08	13.87	3442.45	>1000
	10	20.57	57.60	7462.58	346.61
	50	85.14	405.47	19991.36	>1000
	100	242.80	939.24	35064.60	>1000
	3	9.51	47.03	1502.19	48.89
	10	36.33	139.12	2561.86	>1000
	50	37.29	656.95	7997.12	>1000
	100	60.52	1441.18	13033.03	>1000
	3	13.13	126.41	589.47	128.84
	10	23.13	301.97	1070.70	>1000
	50	28.34	976.95	3689.57	>1000
	100	145.88	2046.95	6370.43	>10000

Szabo JMLR 2014  
KNN  
Partition trees  
Exp. Family  
Von Mises  
Ensemble

<https://isp.uv.es/RBIG4IT.htm>

### Mutual Information

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
	3	10.66	26.00	149.10	9.20	13.20	48.50
	10	9.60	76.30	102.60	23.70	311.00	91.00
	50	6.80	104.70	100.70	39.50	68.00	105.50
	100	11.70	107.20	>1000	42.60	77.40	106.10
	3	35.72	95.32	>1000	63.73	>1000	86.58
	10	22.26	2.66	118.51	18.14	>1000	66.77
	50	1.51	88.38	104.50	36.10	810.02	105.83
	100	15.34	98.66	>1000	65.71	789.55	105.34
	3	18.51	118.04	>1000	56.49	>1000	96.41
	10	3.07	24.83	113.89	9.39	>1000	101.26
	50	10.91	102.89	105.08	25.17	849.12	117.30
	100	24.43	105.41	101.10	42.57	805.44	110.58
	3	73.63	194.16	>1000	14.63	>1000	15.36
	10	40.02	108.82	110.68	29.69	>1000	208.20
	50	29.98	149.53	102.93	36.30	946.93	154.88
	100	37.21	128.27	101.44	43.77	844.41	127.67

②

## COMPUTATIONAL TOOLS : Information theory

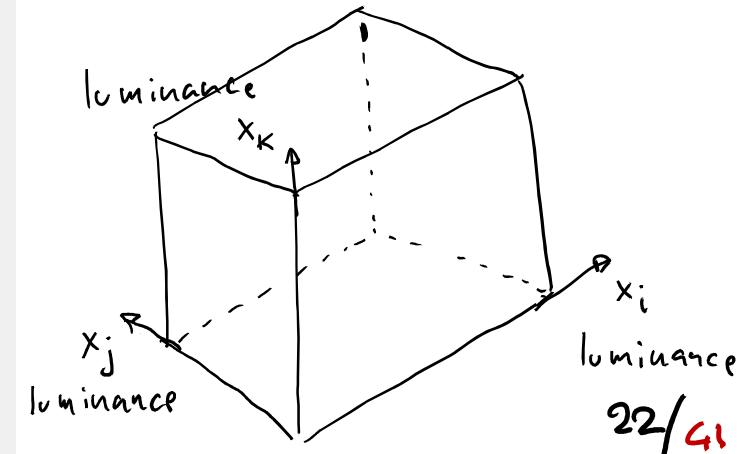
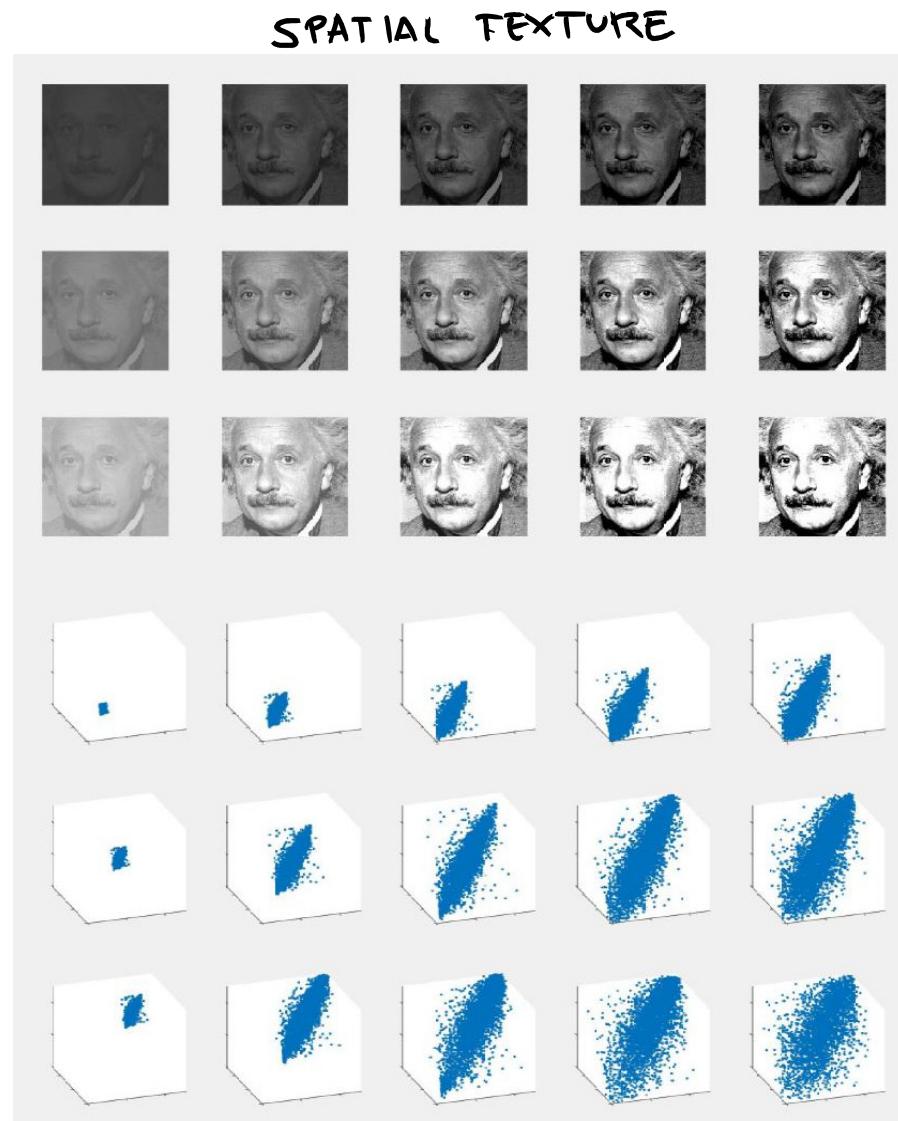
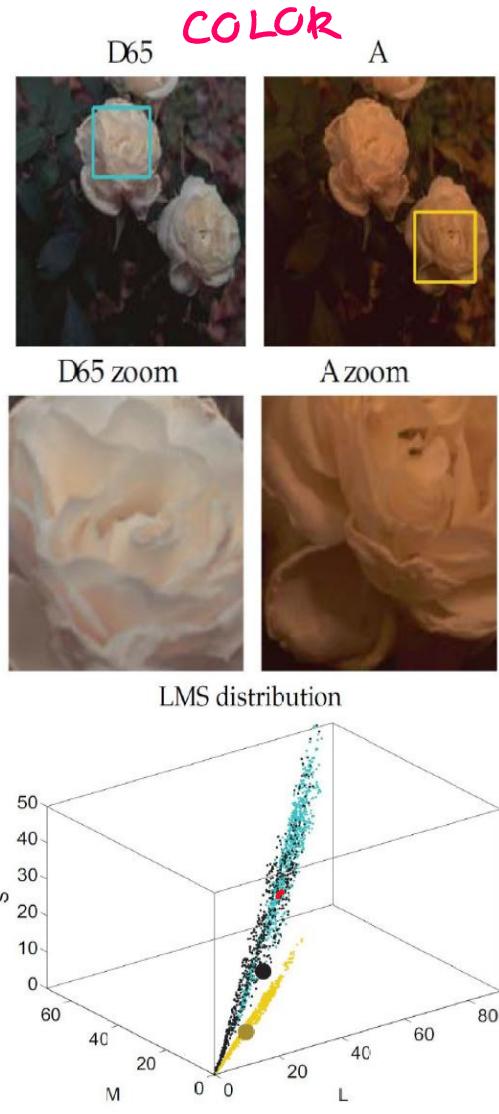
- \* Information theory gives intuition } - Criterion for  $S(x)$  ( $\nabla S(x)$ )  
} - Principles } - Entropy maxim.  
} - Redundancy reduct.
  
- \* We have tools } - SPCA  
} - RBIG <https://isp.uv.es/RBIG4IT.htm>

③

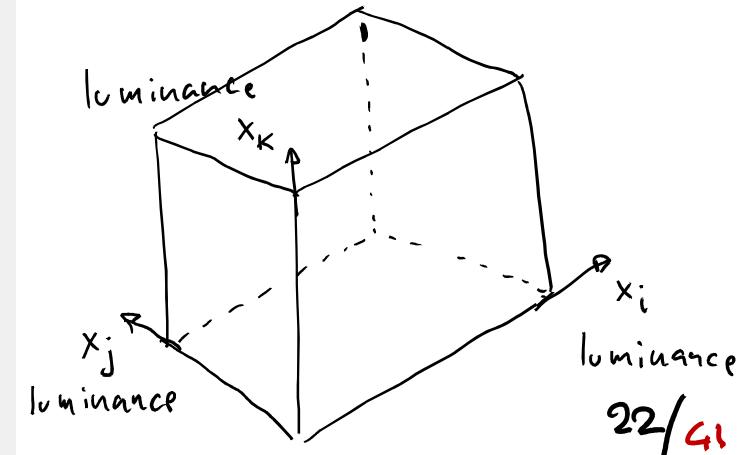
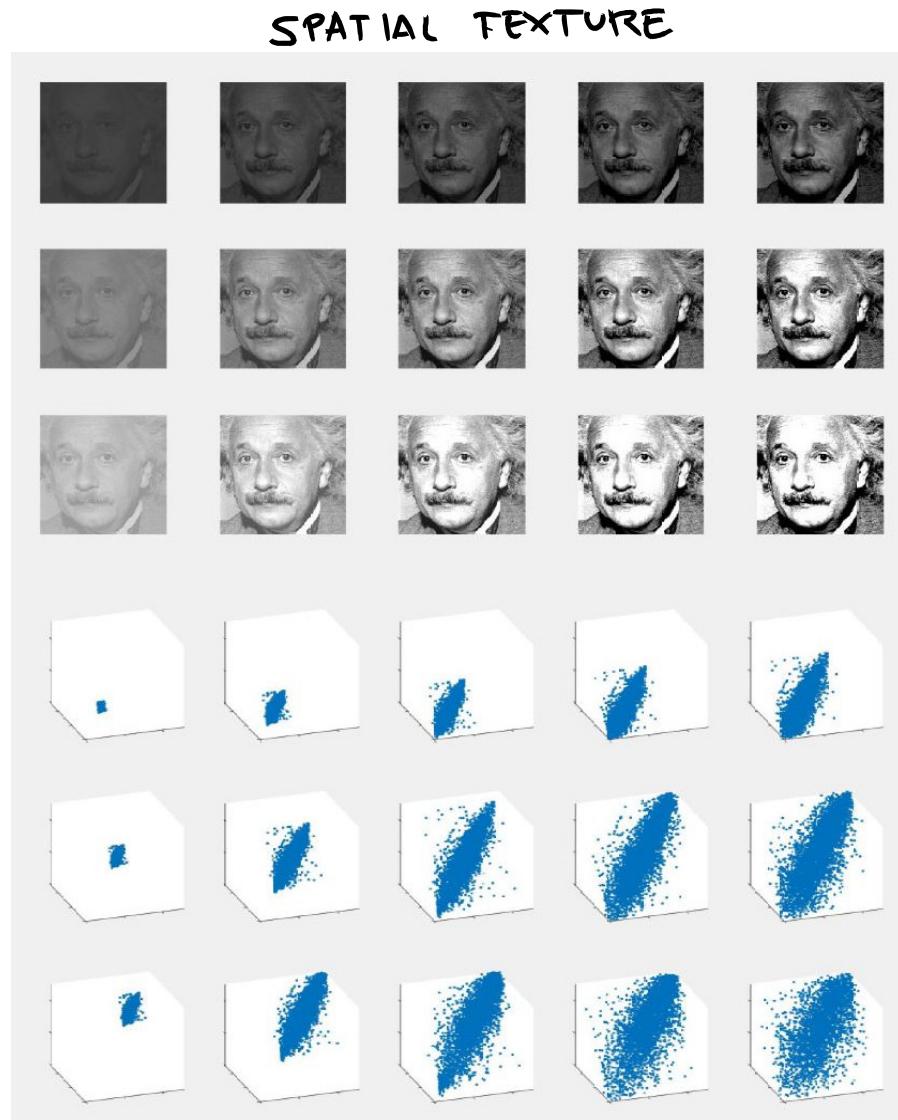
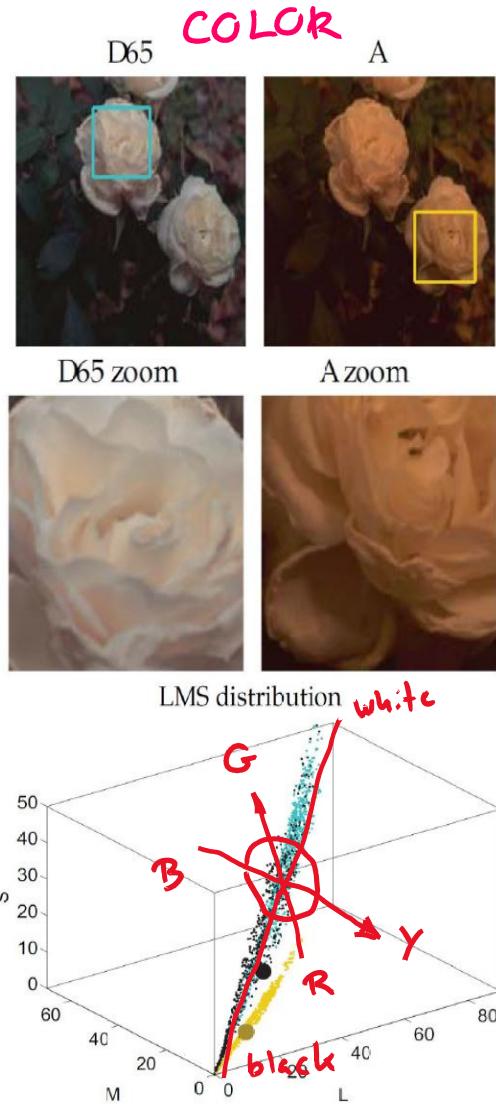
NATURAL SCENES ARE NOT ARBITRARY: Color / images / movies

- \* The manifold view (rediscovered in 90's)
- \* Non uniformity
- \* Smoothness  $\rightarrow$  Redundancy
- \* Non Gaussianity

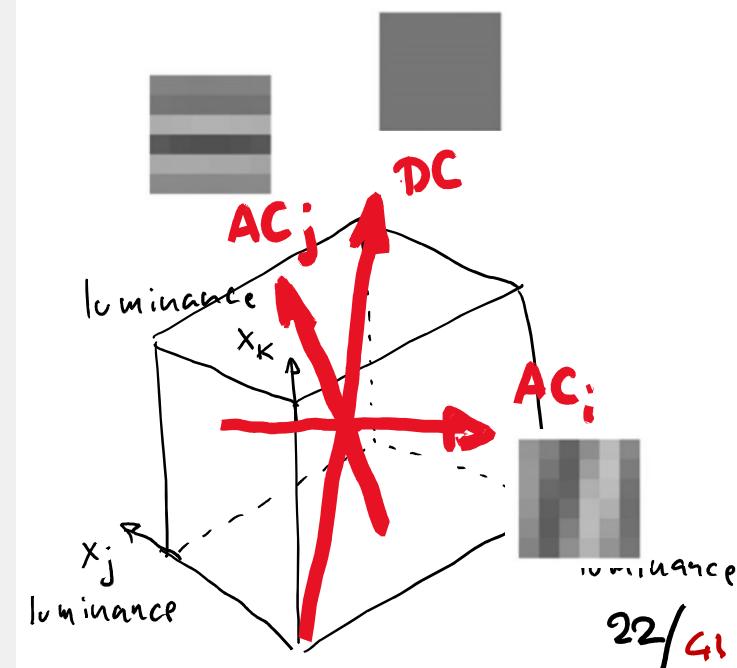
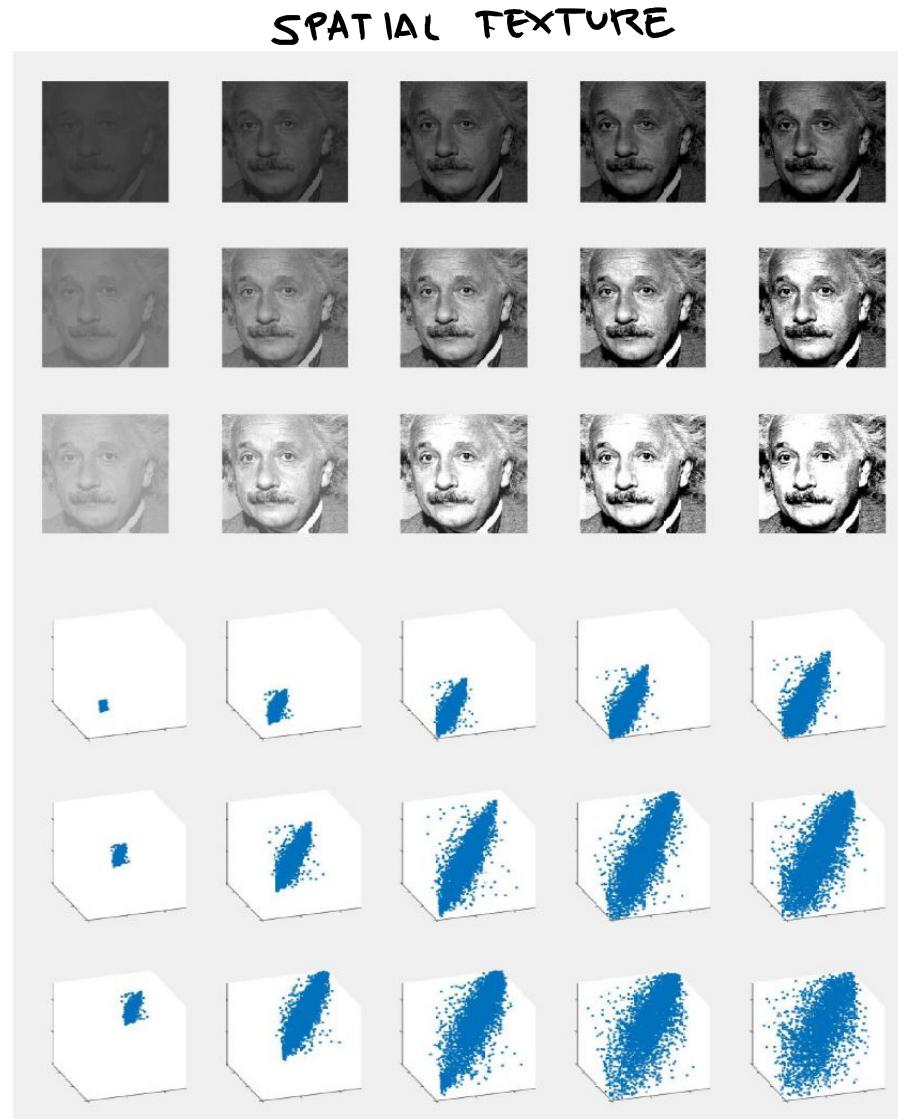
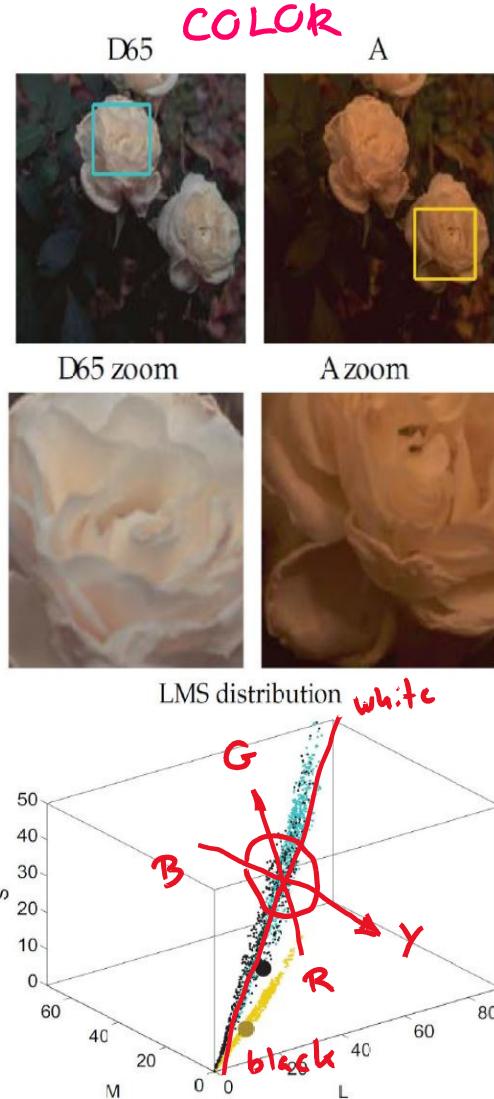
### ③ NATURAL SCENES ARE NOT ARBITRARY: Color / images / movies



### ③ NATURAL SCENES ARE NOT ARBITRARY: Color / images / movies

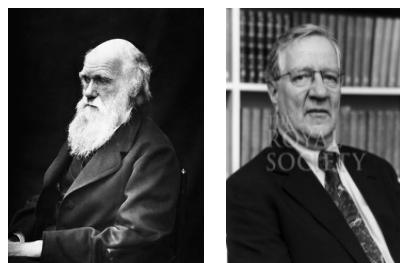


### ③ NATURAL SCENES ARE NOT ARBITRARY: Color / images / movies

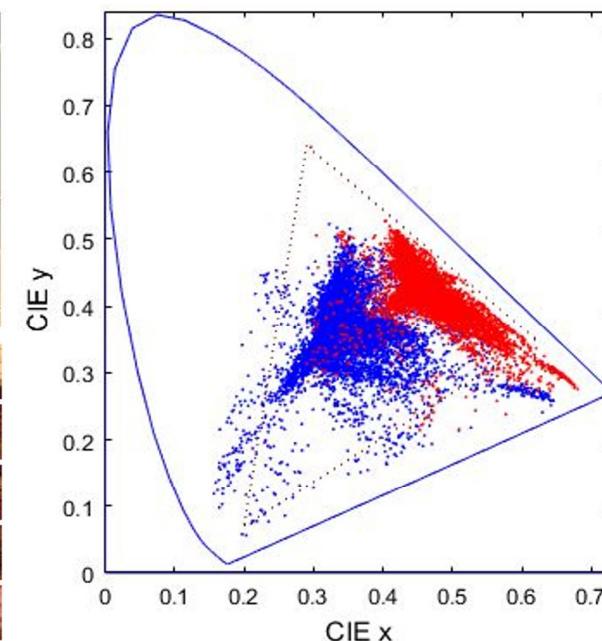
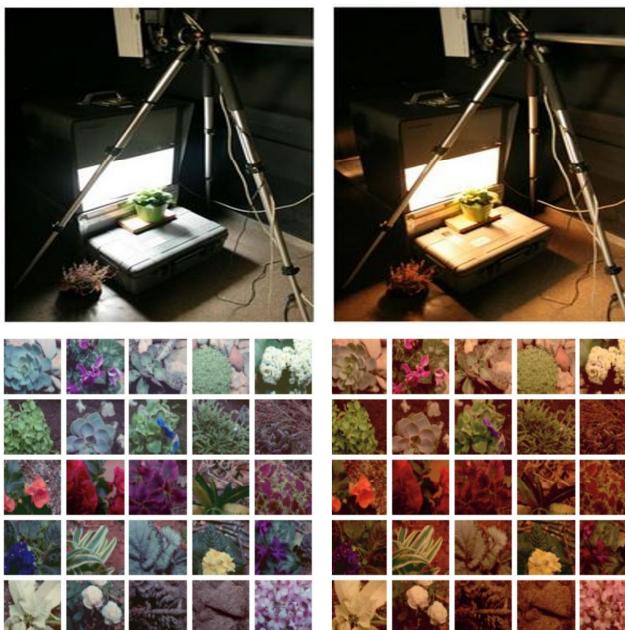


③

## NATURAL SCENES ARE NOT ARBITRARY: Color / images / movies



Natural  
Environment



Laparra & Malo **Neural Comp.** 2012, Gutmann & Malo **PLOS** 2014 [https://isp.uv.es/data\\_color.htm](https://isp.uv.es/data_color.htm)



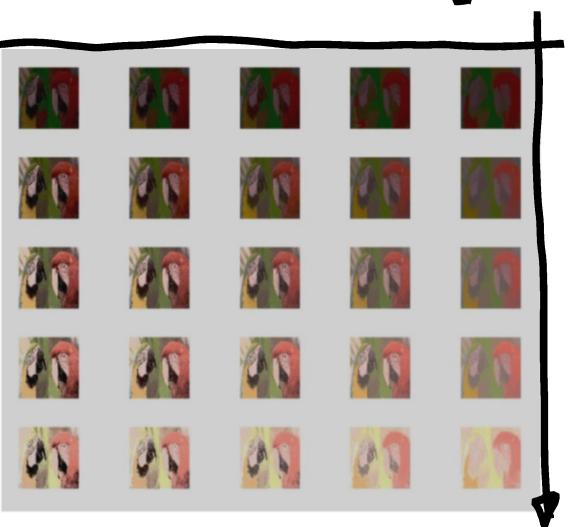
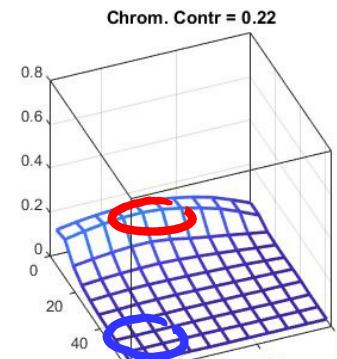
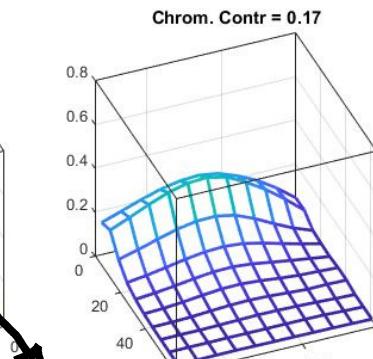
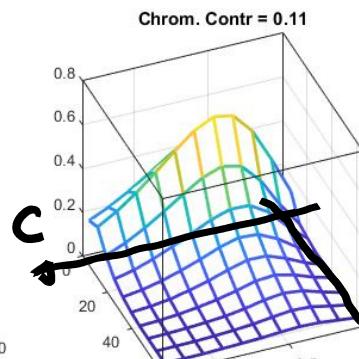
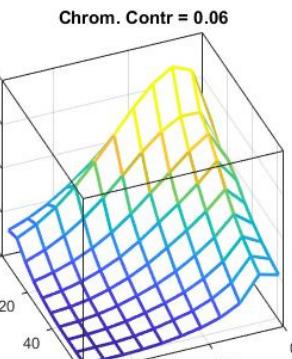
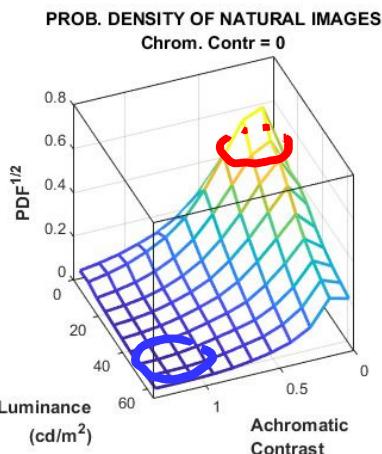
23/41

Gómez & Malo **J. Neurophysiol.** 2020 <https://isp.uv.es/code/visioncolor/infoWilsonCowan.html>

③ NATURAL SCENES ARE NOT ARBITRARY: Color / images /



## Probability Density Function of Natural Images



### ③ NATURAL SCENES ARE NOT ARBITRARY: Color / images / movies

... movies

Laparra & Malo Front. Neurosci. 2015

Li, Goñi, Bertelmo & Malo Subm. doV. 2021

... non-gaussianity

Olschausen & Simoncelli Ann. Rev. Neurosci. 01

In summary:

- \* Images have interesting regularities  $\Rightarrow$  will determine  $S(x)$
- \* Images are mostly achromatic & low contrast
- \* Images are mostly lowpass (spatio-temporally)
- \* Images are not Gaussian

[https://isp.uv.es/data\\_color.htm](https://isp.uv.es/data_color.htm)

[https://isp.uv.es/after\\_effects](https://isp.uv.es/after_effects)

4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology

The conventional way

Stats Biology

The alternative way

Stats Biology

4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology

The conventional way

Stats Biology

REDUNDANCY REDUCTION / INFOMAX

• Linear analysis

{ PCA  
ICA

Opponent channels, Fsq. Sbs.  
V1-like receptive fields.

Gutmann, Laparra, Hyvarinen & Malo PLOS 2014

• Non. linearities

SPCA

{  
• Color  
• Texture  
• Motion

Laparra & Malo Front. Neurosci. 2015

ERROR MINIMIZATION

CSTs in Autoencoders

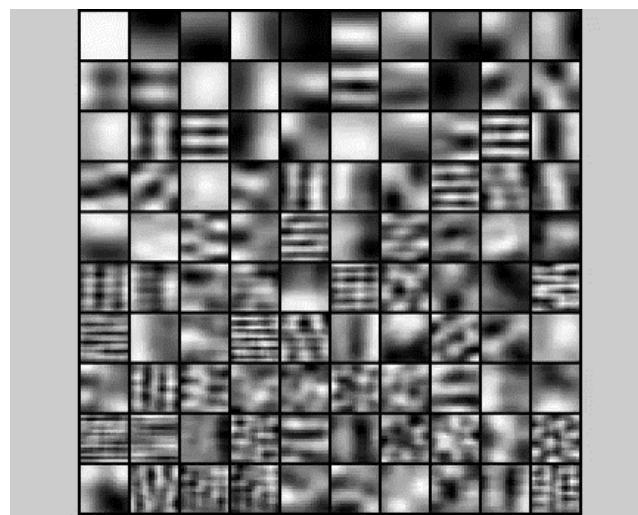
Gomez-Villa et al. Vision Res. 2020

CLASSIFICATION

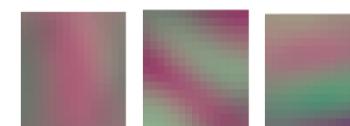
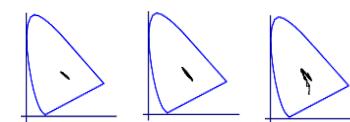
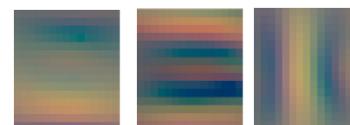
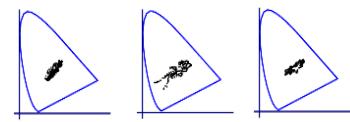
V1-like representation

4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

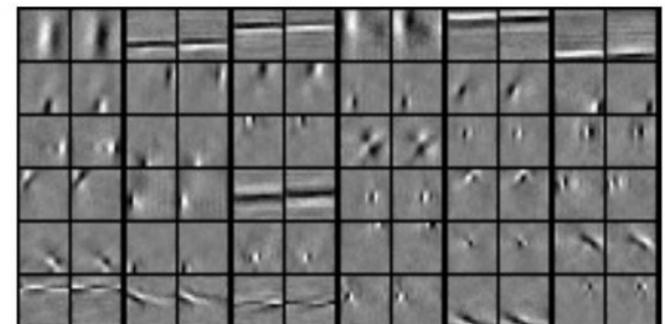


• Linear analysis }  
                            }  
                            PCA  
                          ICA

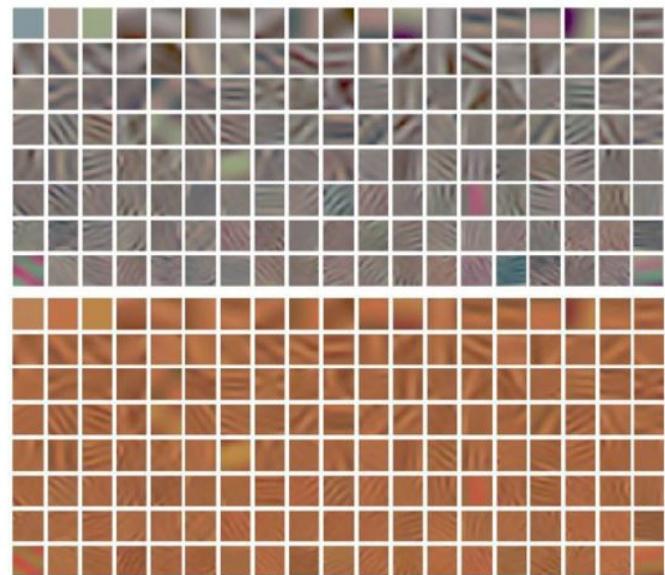


Stats, Biology

Receptive fields in phase-quadrature via Complex ICA  
[LNCS 11]



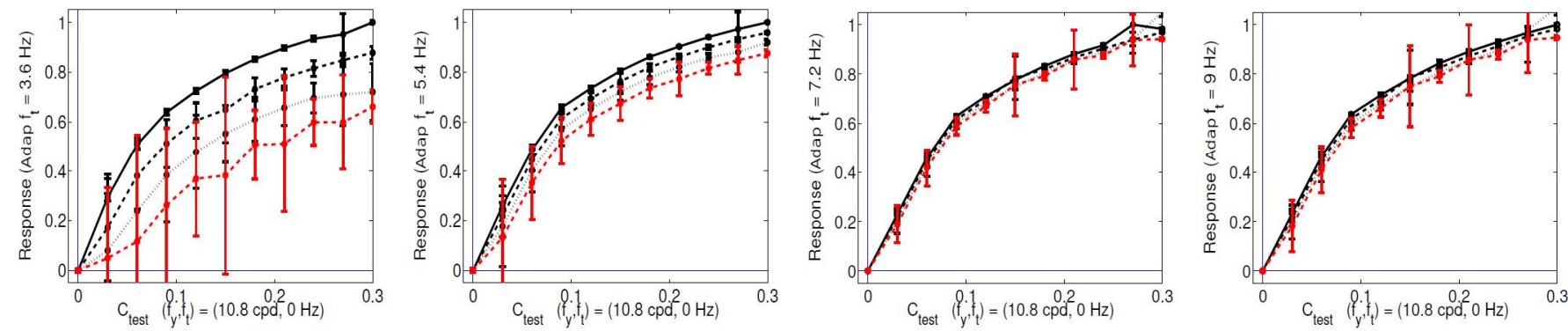
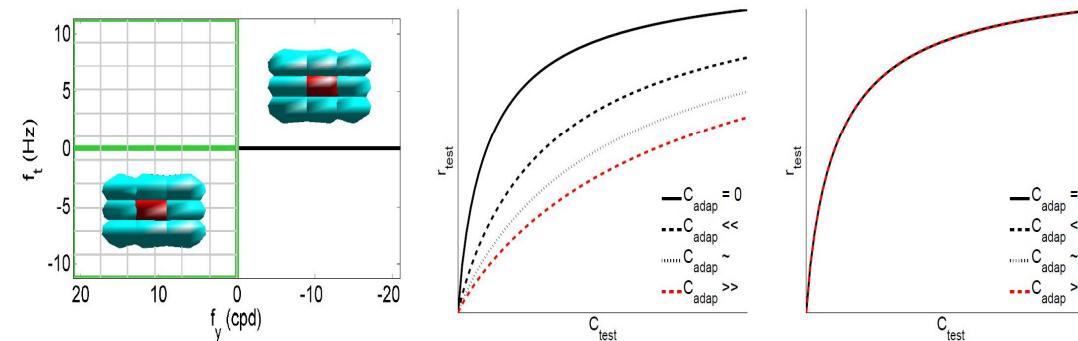
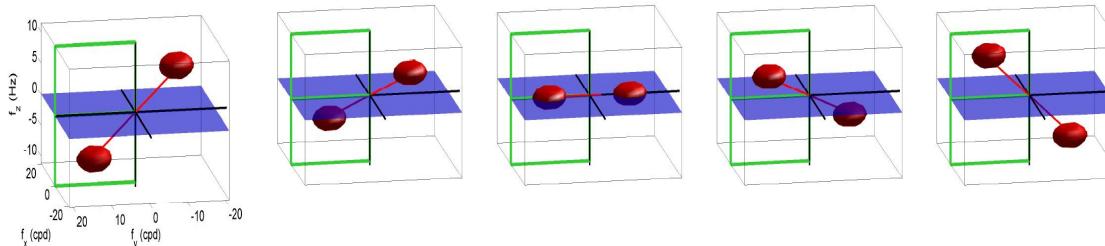
Spatio-chromatic receptive fields via Higher-Order CCA  
[PLoS 14]



4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology

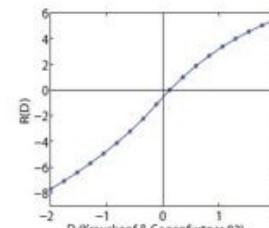
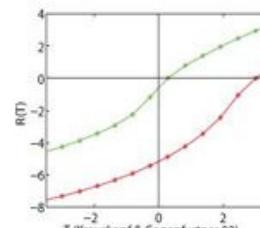
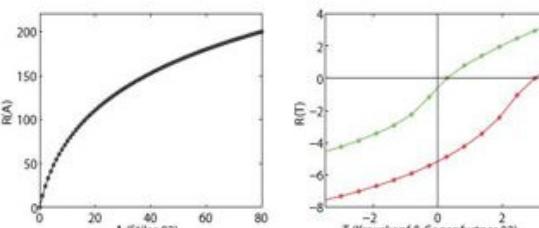
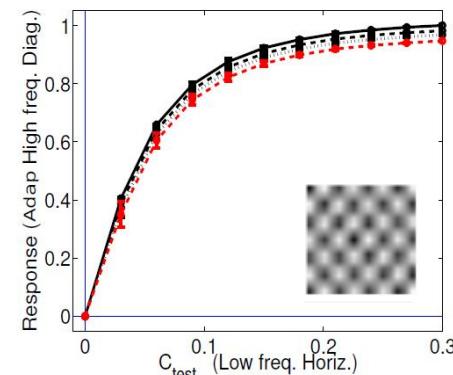
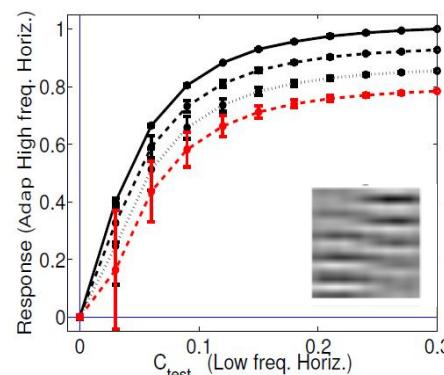
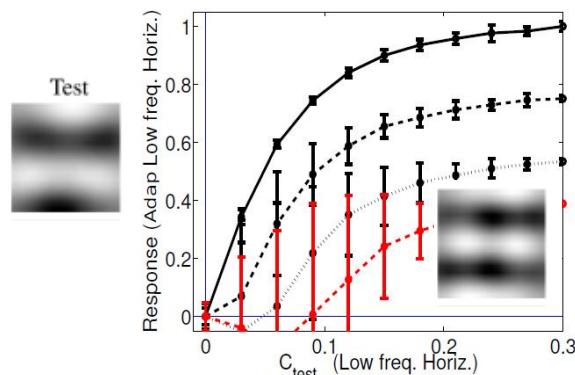


4

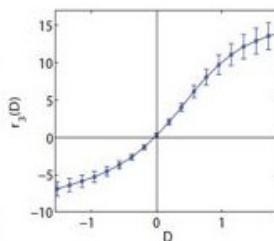
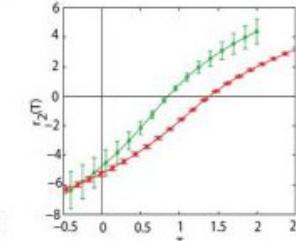
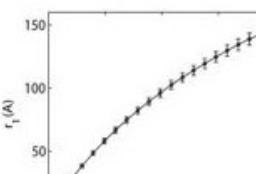
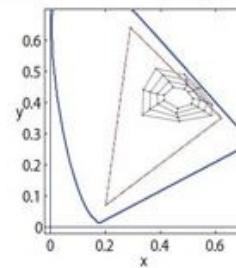
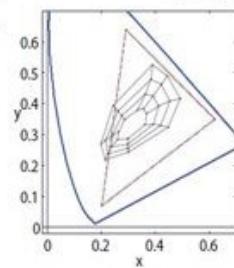
## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology

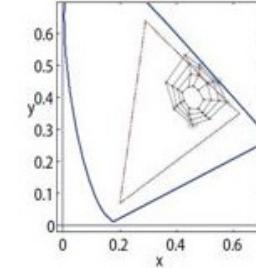
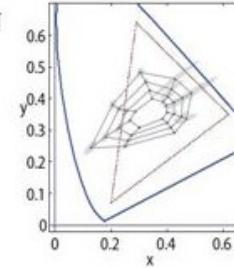
• Non-linearities      SPCA }      • Color  
• Texture  
• Motion



Actual behavior



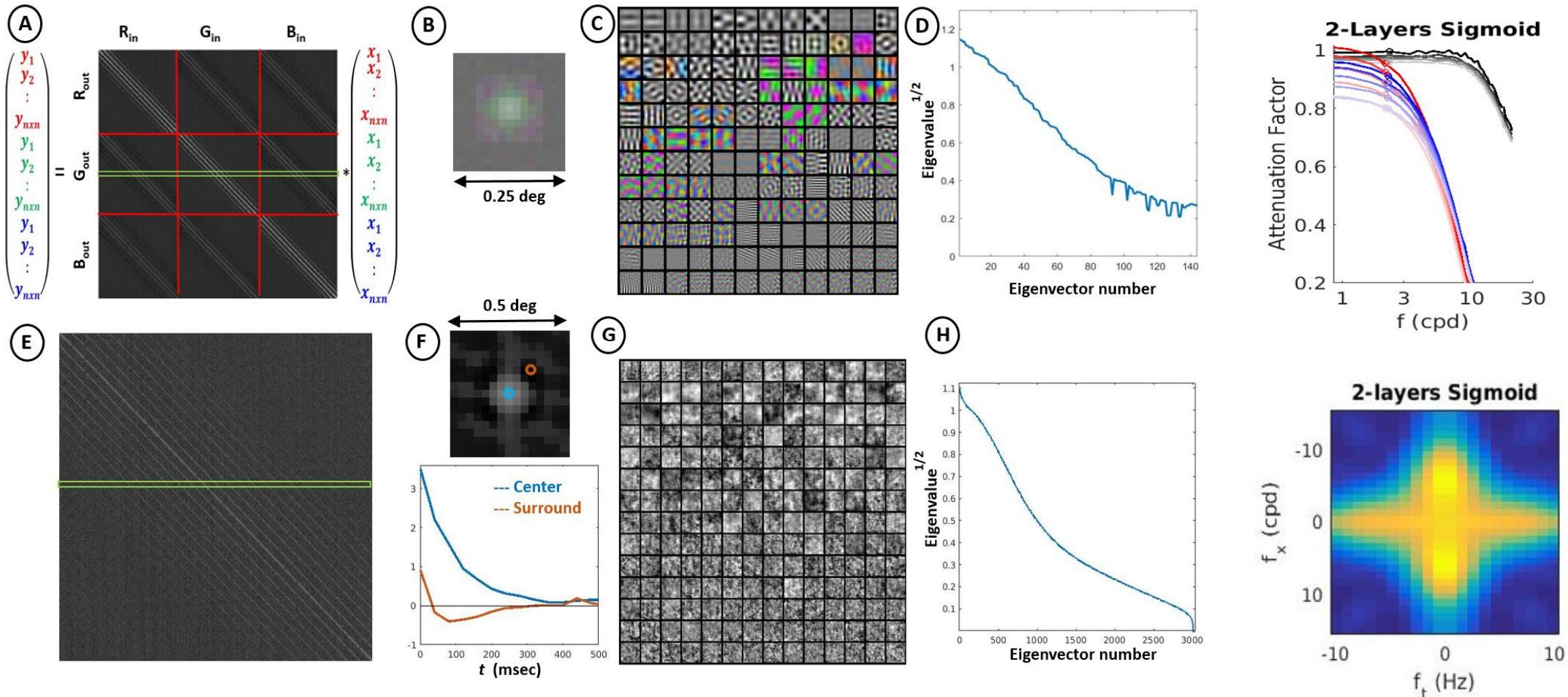
ERRORMIN



4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology



- Error minimization

CSFs in Autoencoders

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4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology

## REVIEW

doi:10.1038/nature14539

NATURE 2015

### Deep learning

Yann LeCun<sup>1,2</sup>, Yoshua Bengio<sup>3</sup> & Geoffrey Hinton<sup>4,5</sup>

Deep learning allows computational models that are composed of multiple processing layers to learn representations of data with multiple levels of abstraction. These methods have dramatically improved the state-of-the-art in speech recognition, visual object recognition, object detection and many other domains such as drug discovery and genomics. Deep learning discovers intricate structure in large datasets by using the backpropagation algorithm to indicate how a machine should change its internal parameters that are used to compute the representation in each layer from the representation in the previous layer. Deep convolutional nets have brought about breakthroughs in processing images, video, speech and audio, whereas recurrent nets have shone light on sequential data such as text and speech.

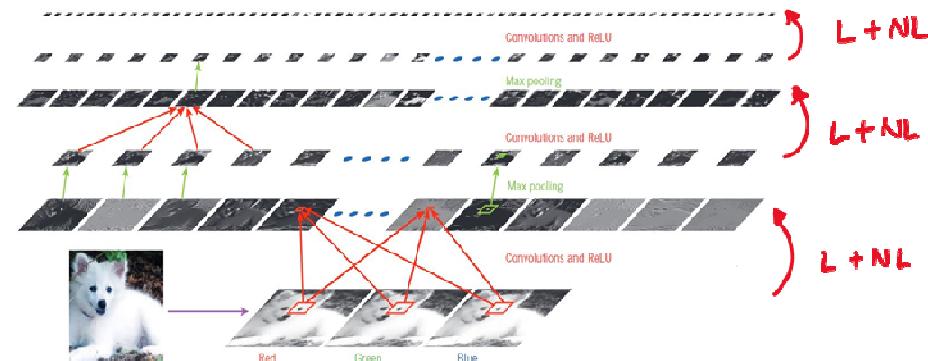


Figure 2 | Inside a convolutional network.

NIPS 2012



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④

## THE TWO SIDES OF THE EFFICIENT COPING HYPOTHESIS:

Stats, Biology

The alternative way

Stats Biology

Malo & Simoncelli IEEE Trans. Im. Proc. 2006  
Malo & Laparra Neural Comp. 2010

Redundancy reduction in psychophysical models } . Analytic  
} . RBIG

Gómez-Villa et al. J. Neurophysiol. 2020

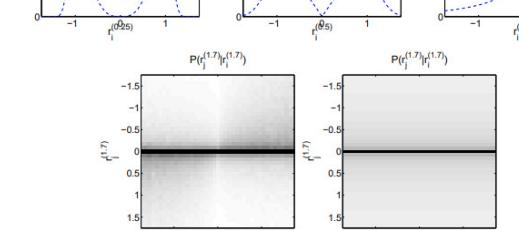
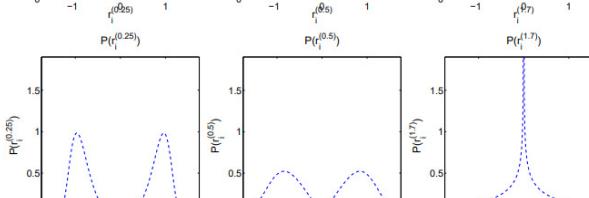
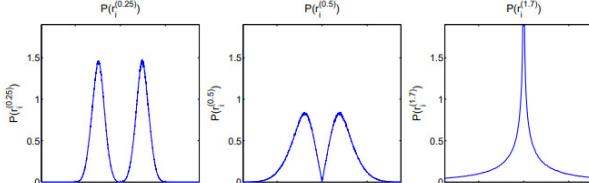
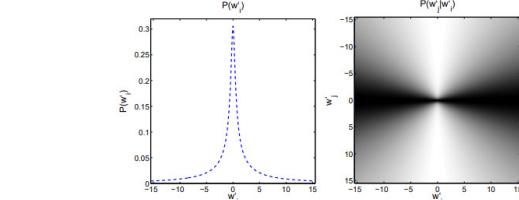
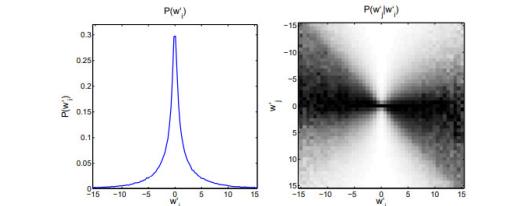
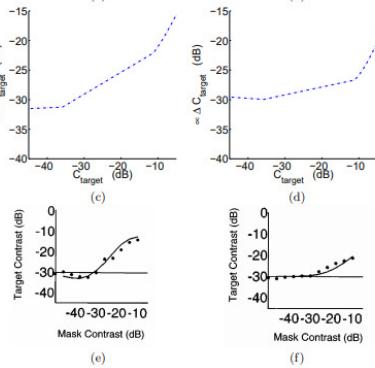
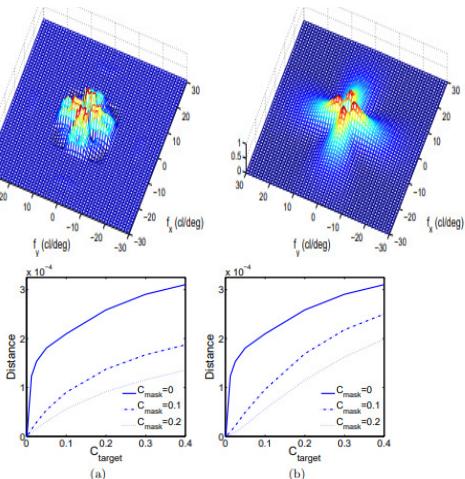
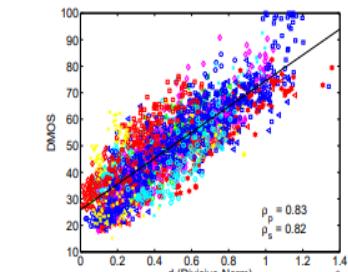
Information transmission in psychophysical models RBIG

Malo J. Math. Neurosci. 2020

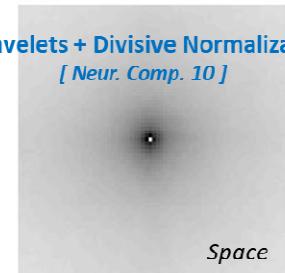
4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats, Biology

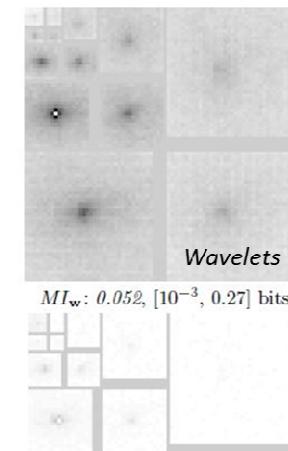


Wavelets + Divisive Normalization  
[Neur. Comp. 10]



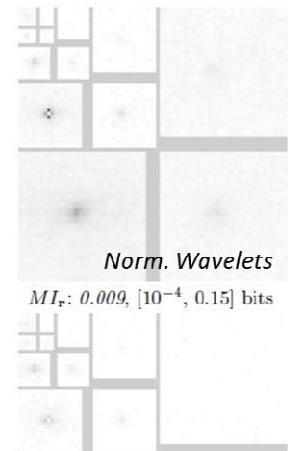
Space

$MI_x: 0.38, [0.21, 1.70]$  bits



Wavelets

$MI_w: 0.052, [10^{-3}, 0.27]$  bits



Norm. Wavelets

$MI_r: 0.009, [10^{-4}, 0.15]$  bits

Radial Gaussianiz.

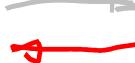
$MI_{RCI_2}: 0.003, [6 \cdot 10^{-5}, 0.06]$  bits       $MI_{RCI_P}: 0.002, [10^{-5}, 0.05]$  bits

Local-DCT + Divisive Normalization  
[Im.Vis.Comp.97, IEEE TIP 06]

	pixels	local-DCT	local-PCA	normalized-DCT
$I_r$	0.69	0.28	0.29	0.06

④

## THE TWO SIDES OF THE EFFICIENT COPING HYPOTHESIS:

Stats,  Biology

Information transmission in psychophysical units RBG

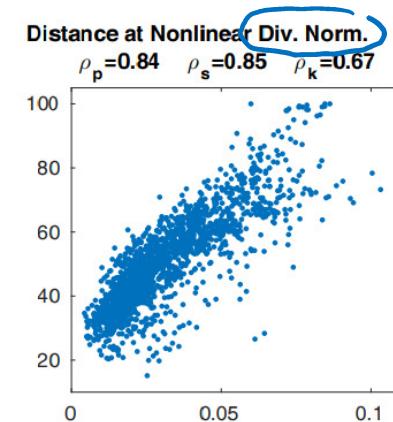
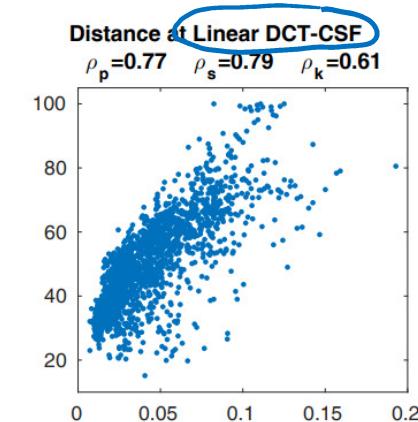
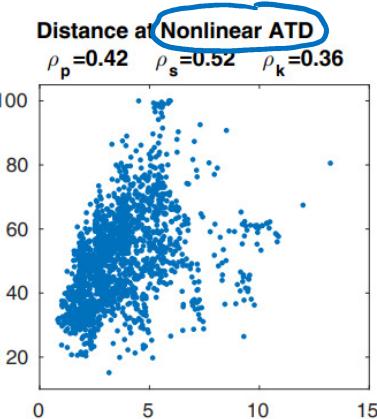
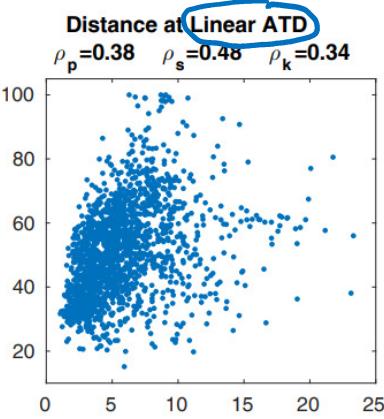
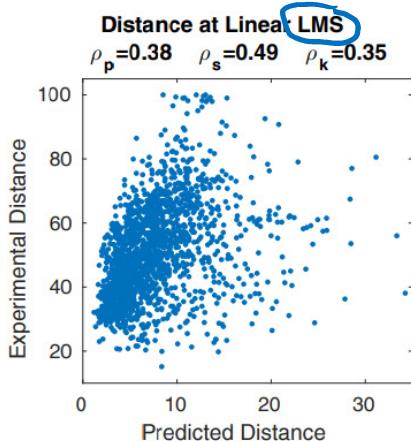
Malo J. Math. Neurosci. 2020

4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS: I

Stats,

Biology

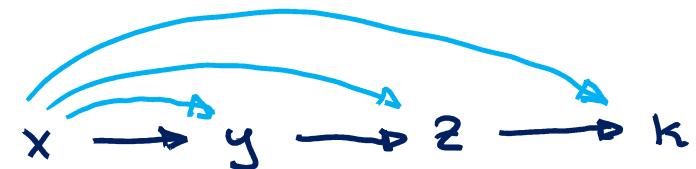


Pearson correlation with human viewers using different building blocks (or model layers)

	Spatial Extent	$r^{(1)}$	$r^{(2)}$	$x^{(2)}$	$r^{(3)}$	$x^{(3)}$
More flexible model	(0.27 deg)	0.38	0.38	0.42	0.77	0.51
<b>Baseline model</b>	(0.27 deg)	0.38	0.38	0.42	0.77	<b>0.84</b>
More rigid model	(0.27 deg)	0.38	0.38	0.42	0.77	0.79
Totally rigid model	(0.27 deg)	0.38	0.38	0.38	0.68	0.68
Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40

Assumptions:

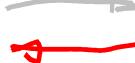
(1) single-step transforms



(2) Constant SNR (5% noise)

4

## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

Stats,  Biology

Redundancy

Reduction

$$\Delta T(x^{\text{input}}, x^{\text{resp}})$$

Pearson correlation with human viewers using different building blocks (or model layers)

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Transmitted  
Information

$$I(x^{\text{in}}, x^{\text{resp}})$$

4

Stats,  Biology

## Redundancy Reduction

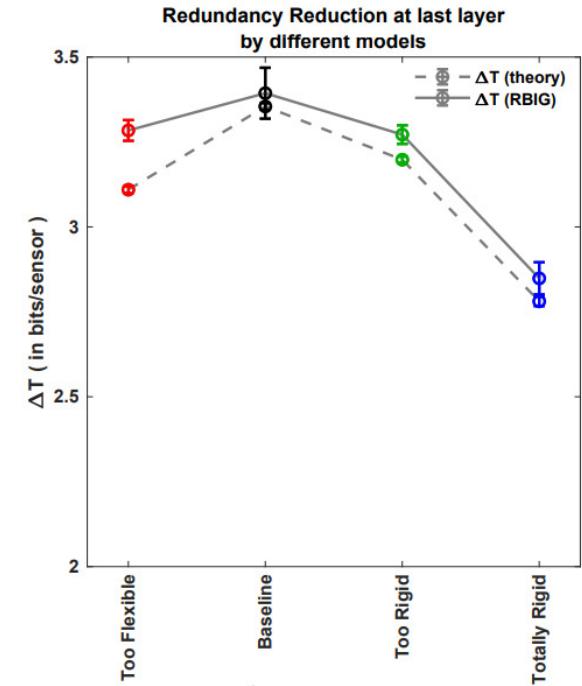
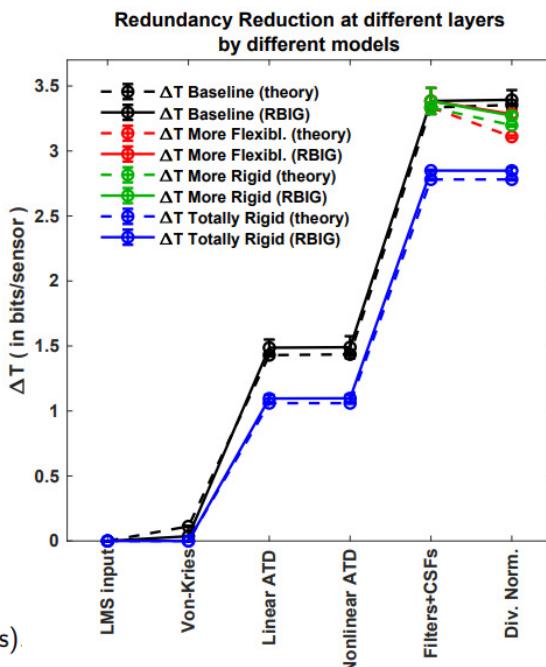
$$\Delta T(x^{\text{in}}, x^{\text{resp}})$$

$$\Delta T_{\text{RBIG}}$$

$$\Delta T_{\text{theor}} = \sum_i h(x_i^{\text{in}}) - h(x_i^{\text{resp}}) + E_x \left[ \log_2 |\nabla S| \right]$$

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## Transmitted Information

$$I(x^{\text{in}}, x^{\text{resp}})$$

4

Stats,  Biology

## Redundancy Reduction

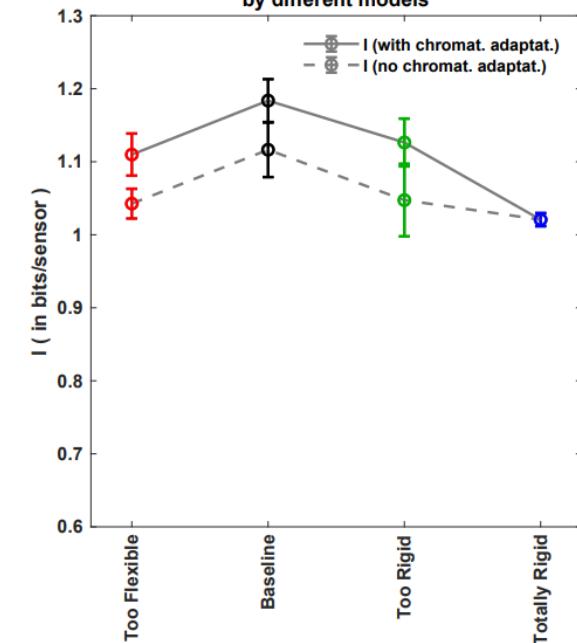
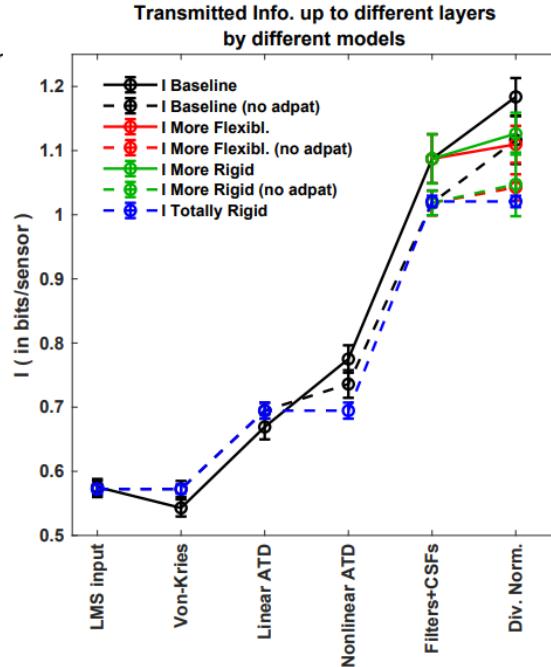
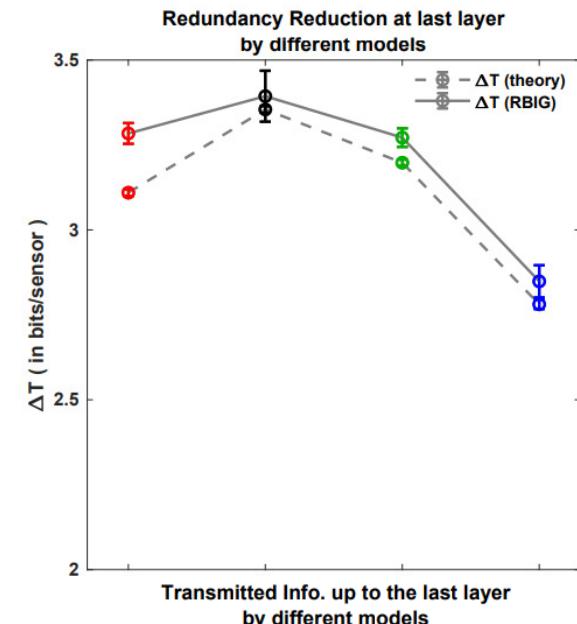
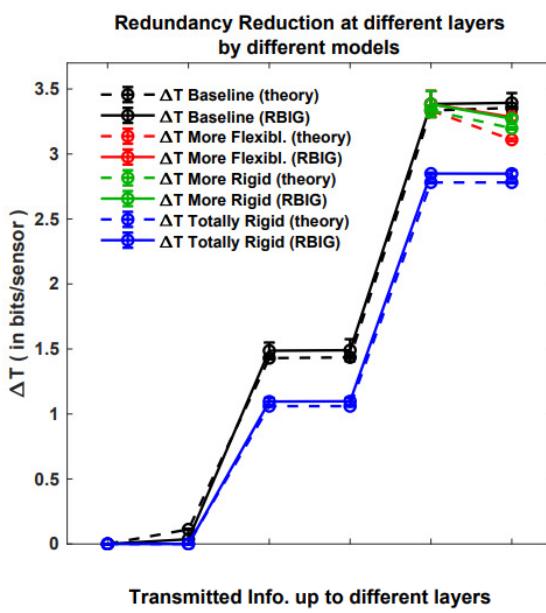
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## Transmitted Information

$$I(x^{\text{in}}, x^{\text{resp}})$$

4

Stats, Biology

## Redundancy Reduction

$$\Delta T(x^{\text{in}}, x^{\text{resp}})$$

 $\Delta T_{\text{RBIG}}$ 

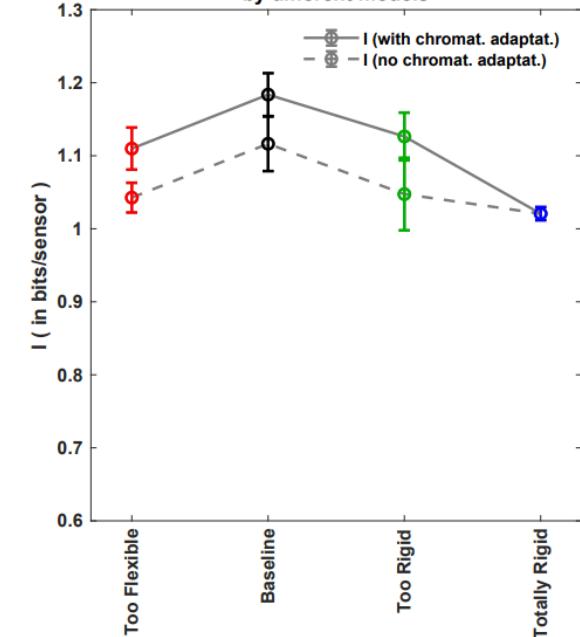
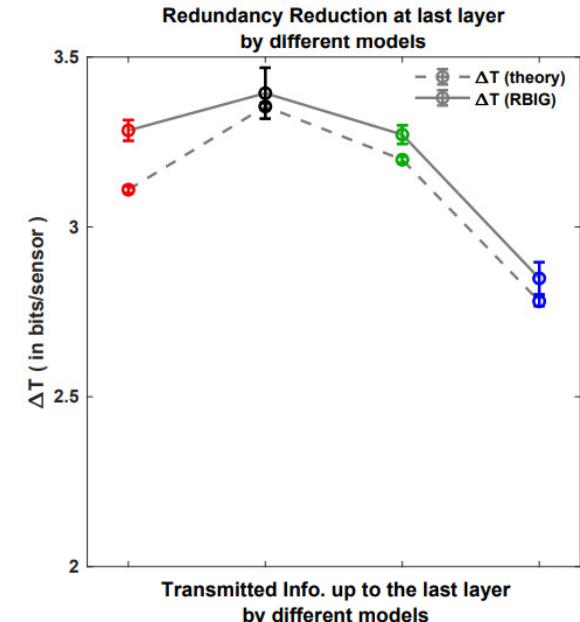
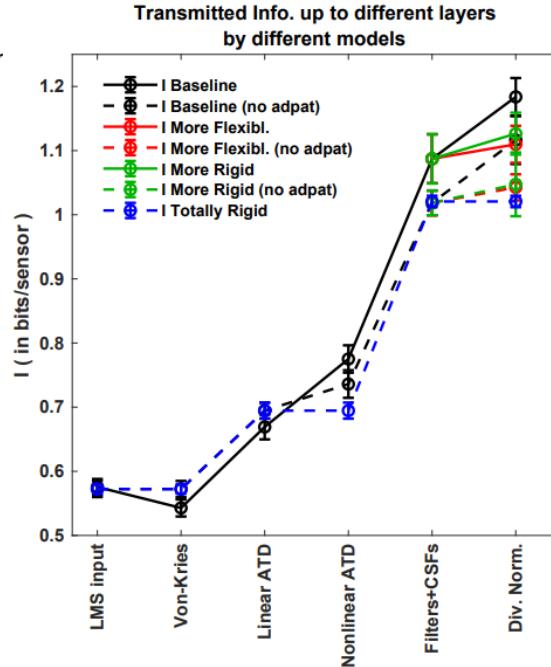
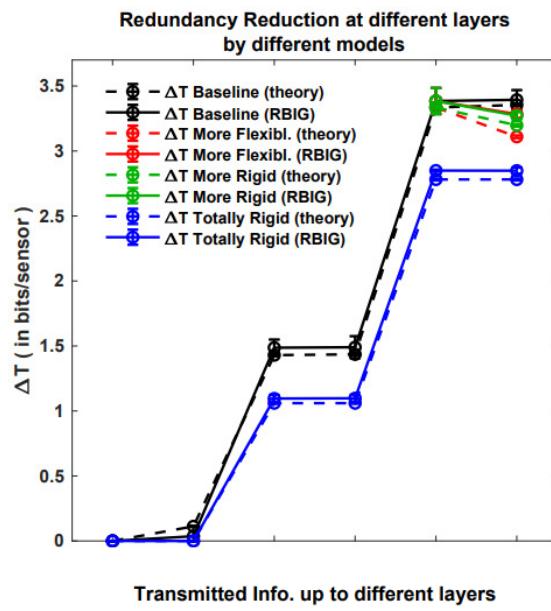
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Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40

- Deeper is better
- Baseline is better
- Space vs Color

Transmitted Information  
 $I(x^{\text{in}}, x^{\text{resp}})$



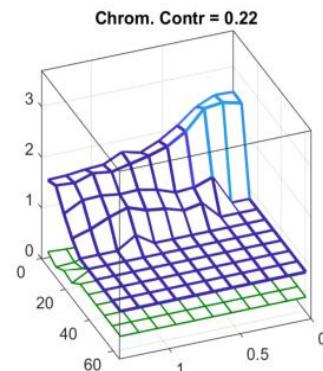
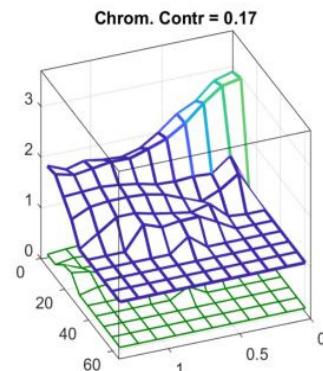
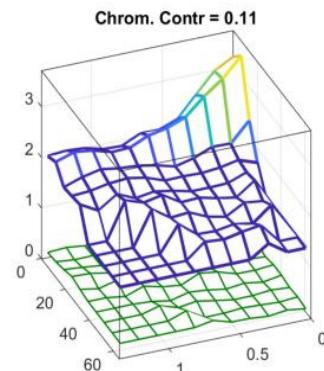
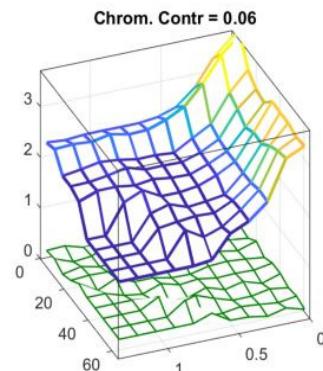
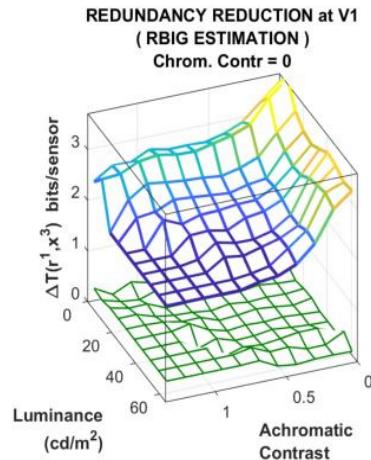
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## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

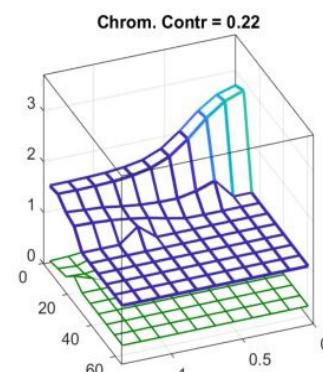
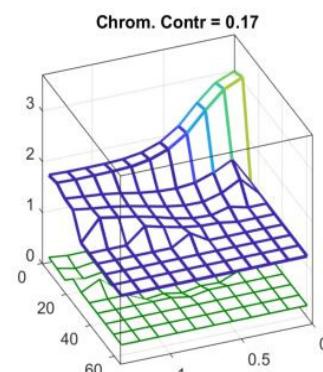
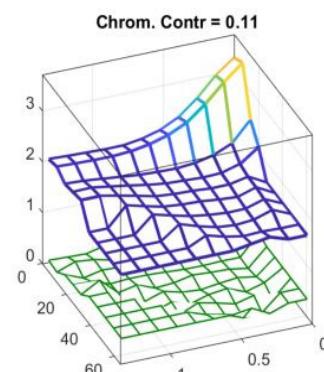
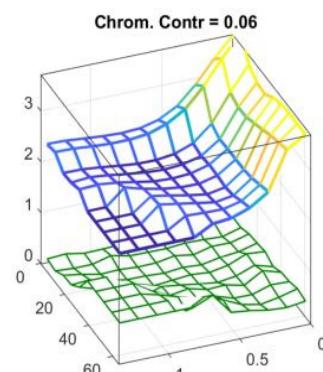
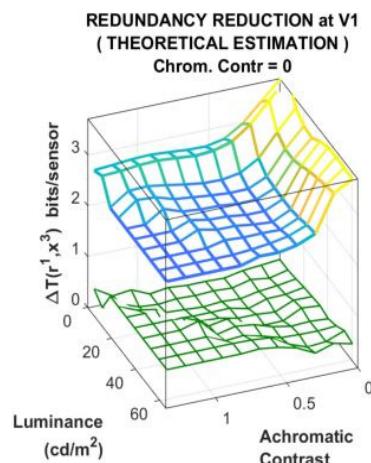
Stats, Biology

### Redundancy Reduction

RBIG



THEORY



RBIG estimates WORK!

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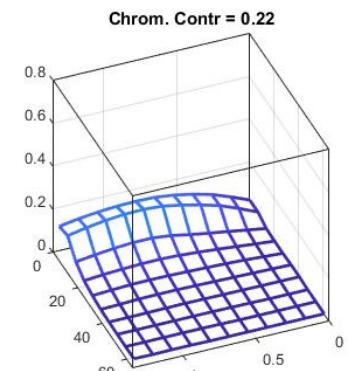
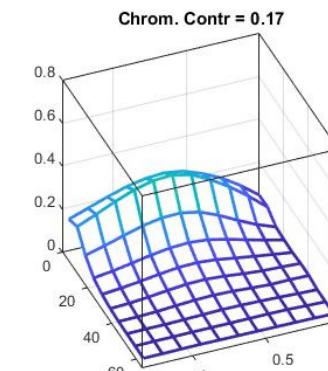
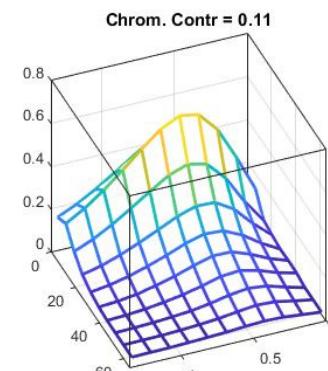
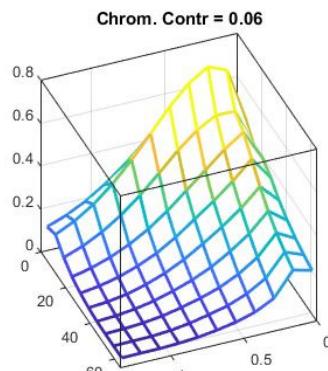
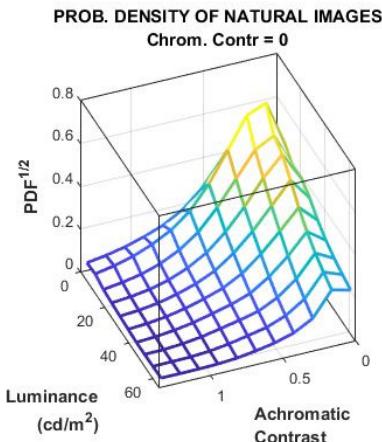
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## THE TWO SIDES OF THE EFFICIENT CODING HYPOTHESIS:

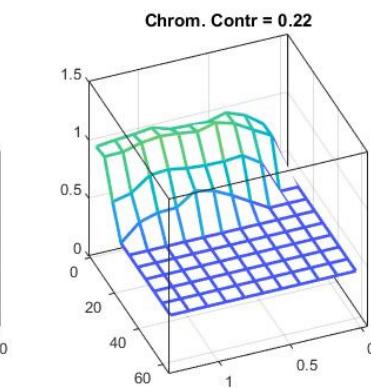
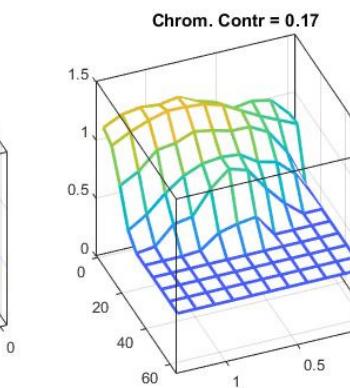
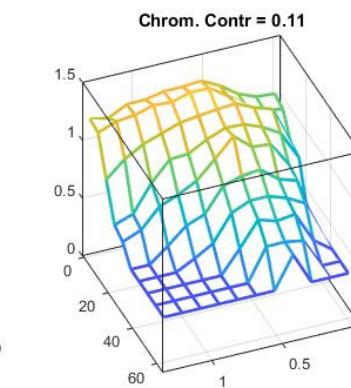
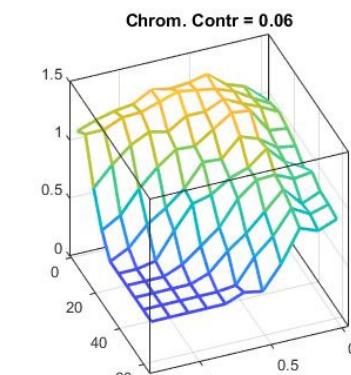
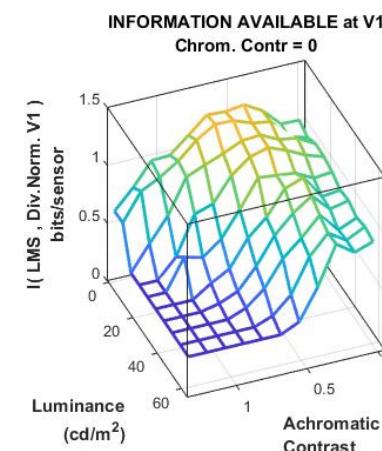
Stats,  $\rightarrow$  Biology

### Transmitted Information

PDF  
Natural Images



$I(x^{in}, x^{resp})$



Transmitted info matches PDF

5

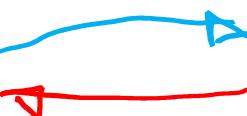
## CONCLUSIONS & OPEN ISSUES

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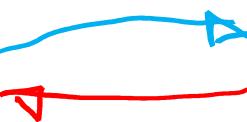
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## CONCLUSIONS & OPEN ISSUES

- \* The WHY question goes beyond empirical models
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  - Neuroscience
  - Biology

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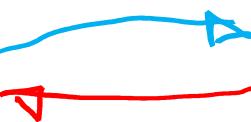
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that's  
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## CONCLUSIONS & OPEN ISSUES

STATISTICS & MODELS ← BIOLOGY

STATISTICS → BIOLOGY

5

## CONCLUSIONS & OPEN ISSUES

### STATISTICS & MODELS ← BIOLOGY

- Non reproducible behavior → improve models

- . Stronger nonlinearities
- . Resolution levels } . Physiol.  
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- . Fit models automatic differentiation

INRF  
Wilson-Gow  
Div. Norm.

Bertalmío et al. Sci. Rep. 2020  
Esteve, Bertalmío, Malo arxiv 2020

- Noise models } . Discretization  
} . Information transmission

Esteve et al. arxiv 2020

- . Better elements for deep-learning

### STATISTICS → BIOLOGY

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CONCLUSIONS & OPEN ISSUES

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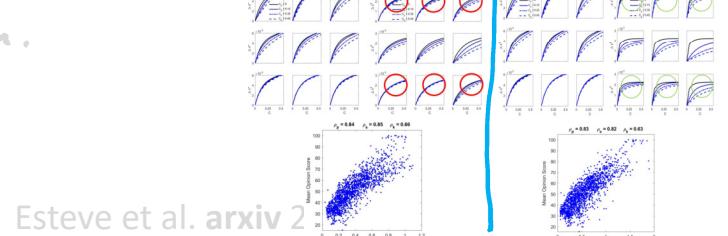
**STATISTICS → BIOLOGY**

- Don't miss use deep learning !

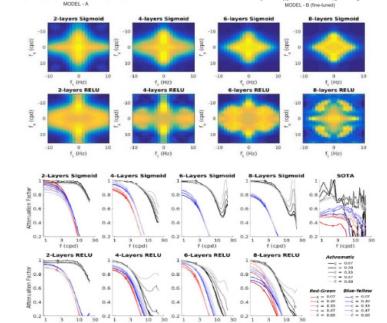
- Model-based new psychophysics } . Geometry MAP  
} . Optimul. stimuli

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Wilson-Gauw  
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Bertalmío et al. Sci. Adv. 2020  
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