

# INFORMATION FLOW IN THE RETINA - CORTEX PATH : GAUSSIANIZATION ESTIMATES & THEORETICAL RESULTS

JESÙS MALO



VNIVERSITAT  
DE VALÈNCIA

SPAIN .

CNS\*2020 Workshop on Methods  
of Information Theory in  
Computational Neuroscience



Organization For  
Computational Neurosciences

- ① IMAGE QUALITY & VISION MODELS: Computational Neuroscience may make you a MOVIE STAR !
- ② THE PROBLEM: Quantifying information flow
- ③ THE PROPOSED TECHNIQUE: ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)
- ④ EXPERIMENTS & RESULTS Efficient Coding & Image Quality
- ⑤ DISCUSSION & CONCLUSIONS

①

IMAGE QUALITY &  
VISION MODELS: Computational Neuroscience may make you a MOVIE STAR !

① IMAGE QUALITY &  
VISION MODELS : Computational Neuroscience may make you a MOVIE STAR !

THE IMAGE QUALITY PROBLEM



## Structural Similarity ( SSIM )

67<sup>th</sup> EMMY Engineering Award of the  
American Television Academy 2015 !

<https://youtu.be/e5-LCFGdgMA>



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VISION MODELS : Computational Neuroscience may make you a MOVIE STAR !

THE IMAGE QUALITY PROBLEM



Structural Similarity  
( SSIM )

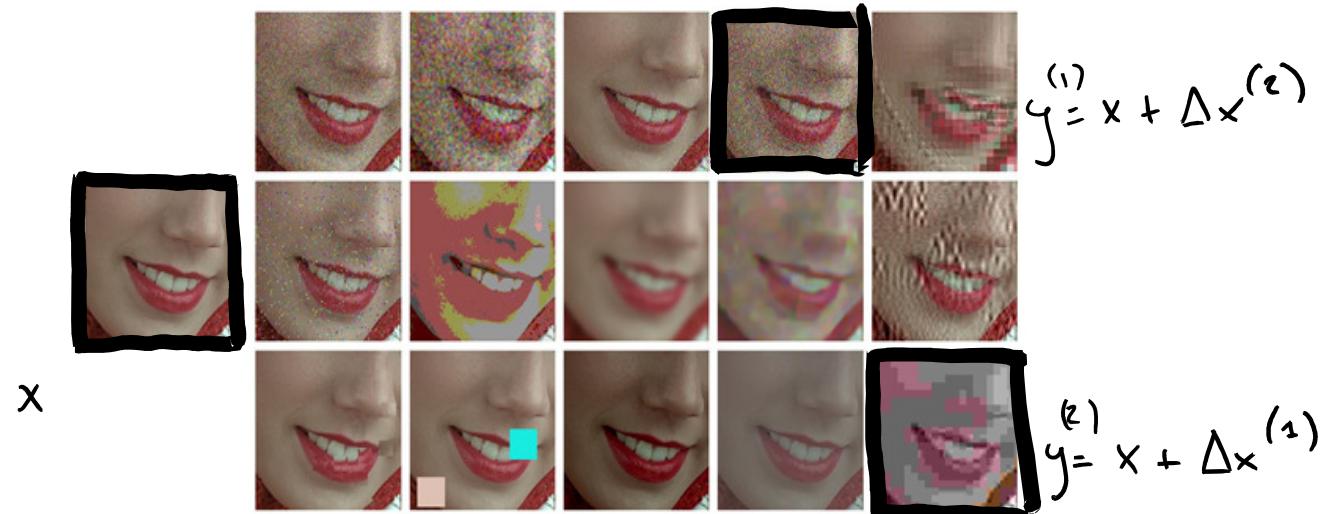
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SUBJECT.  
RATING  
(M.O.S)

$$\delta(x, x + \Delta x^{(i)})$$

# ① IMAGE QUALITY & VISION MODELS



## Structural Similarity ( SSIM )

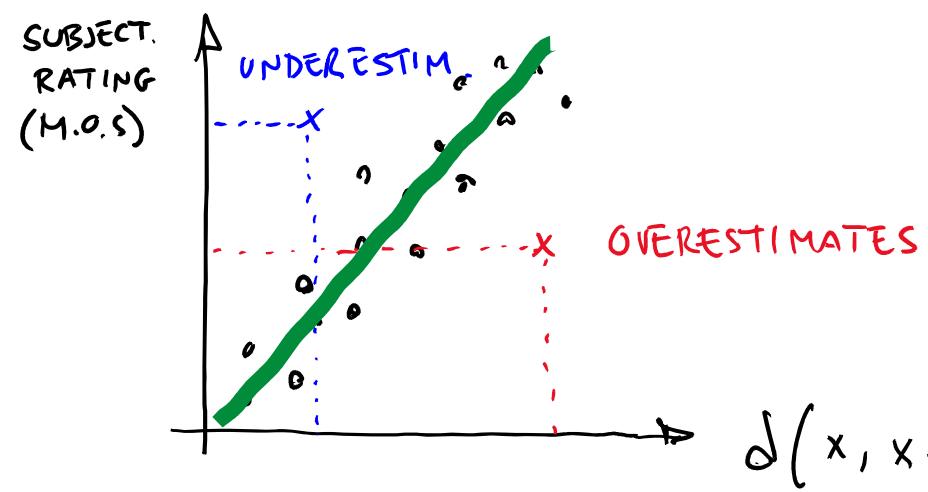
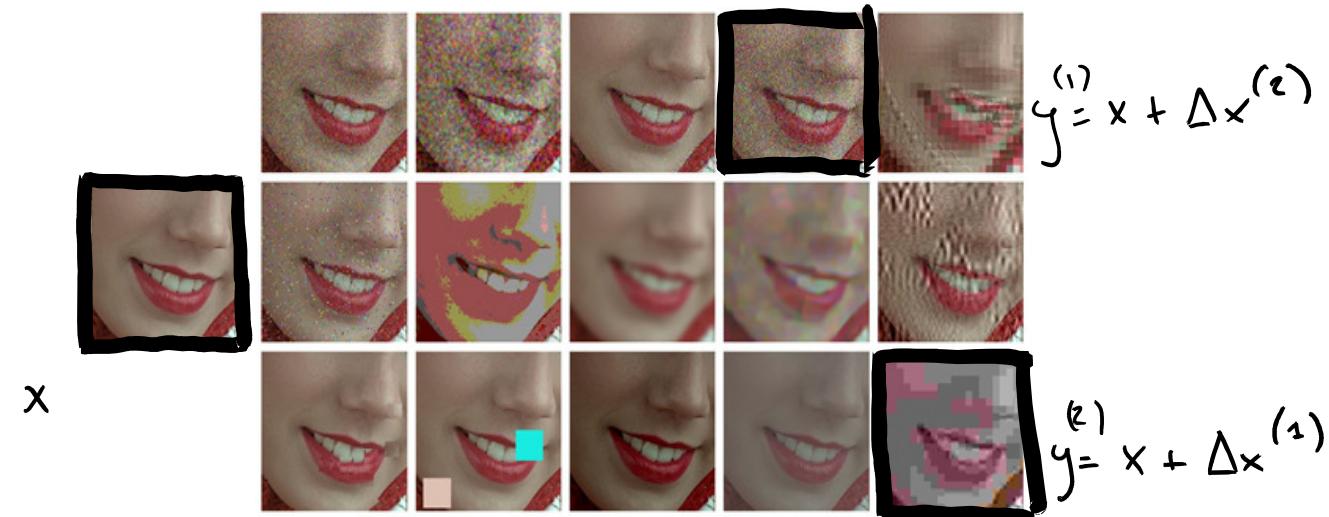
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Computational Neuroscience may make you a MOVIE STAR !

## THE IMAGE QUALITY PROBLEM



(1)

# IMAGE QUALITY & VISION MODELS: Computational Neuroscience may make you a MOVIE STAR !

≡ Google Scholar SEARCH 



**Alan Bovik**

Cockrell Family Regents Endowed Chair Professor, The [University of Texas at Austin](#)

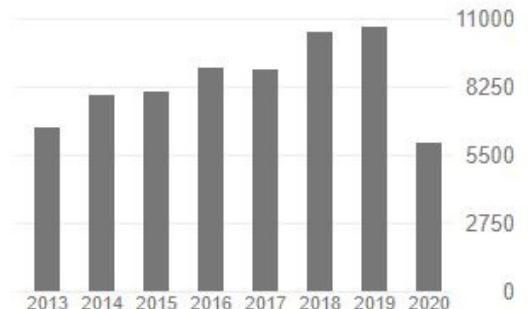
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Year	Citations
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2014	~8500
2015	~8500
2016	~9000
2017	~9000
2018	~10000
2019	~10000
2020	~6000

Co-authors
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 Zhou Wang	Professor, Electrical and Comput...
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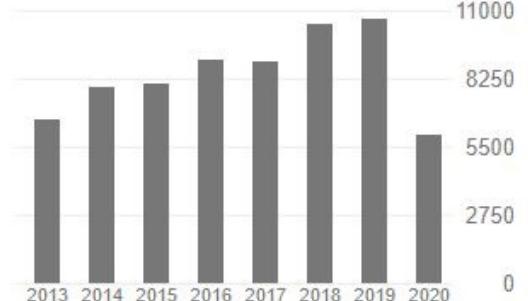
Electrical Engineering Digital Television Digital Photography Social Media  
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TITLE	CITED BY	YEAR
Image quality assessment: from error visibility to structural similarity Z Wang, AC Bovik, HR Sheikh, EP Simoncelli IEEE transactions on image processing 13 (4), 600-612	26470	2004
A universal image quality index Z Wang, AC Bovik IEEE Signal Processing Letters 9 (3), 81-84	5361	2002
Multiscale structural similarity for image quality assessment Z Wang, EP Simoncelli, AC Bovik Asilomar Conference on Signals, Systems & Computers 2, 1398-1402	3372	2003
Image information and visual quality HR Sheikh, AC Bovik IEEE Transactions on Image Processing 15 (2), 430-444	2959	2006

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Citations	100508	53293
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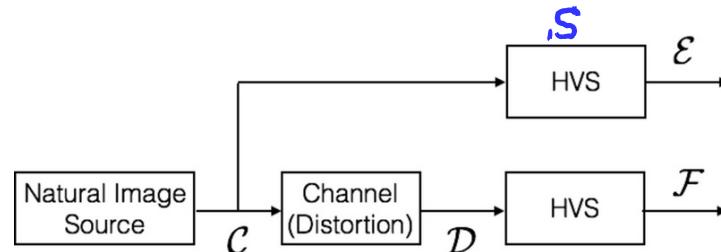
IEEE TRANSACTIONS ON IMAGE PROCESSING, VOL. 13, NO. 4, APRIL 2004

1

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Zhou Wang, *Member, IEEE*, Alan C. Bovik, *Fellow, IEEE*

Hamid R. Sheikh, *Student Member, IEEE*, and Eero P. Simoncelli, *Senior Member, IEEE*



IEEE TRANSACTIONS ON IMAGE PROCESSING, VOL. 15, NO. 2, FEBRUARY 2006

## Image Information and Visual Quality

Hamid Rahim Sheikh, *Member, IEEE*, and Alan C. Bovik, *Fellow, IEEE*

GEOMETRY ≡ DISTANCE

$$d(c, d) = |S(c) - S(d)| = |E - F|$$

# (i) IMAGE QUALITY & VISION MODELS: Computational Neuroscience may make you a MOVIE STAR !

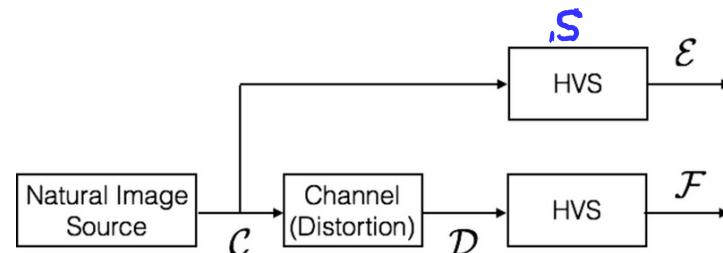
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$$d(c, d) = |S(c) - S(d)| = |E - F|$$

### A. New Philosophy

STRUCTURE

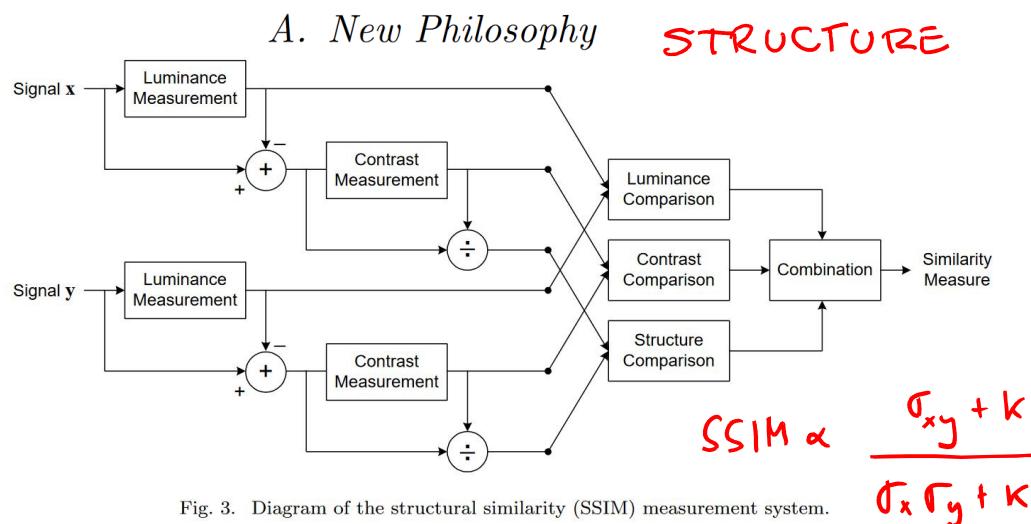


Fig. 3. Diagram of the structural similarity (SSIM) measurement system.

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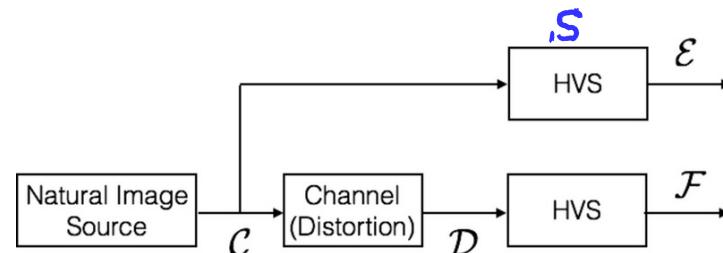
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### A. New Philosophy STRUCTURE

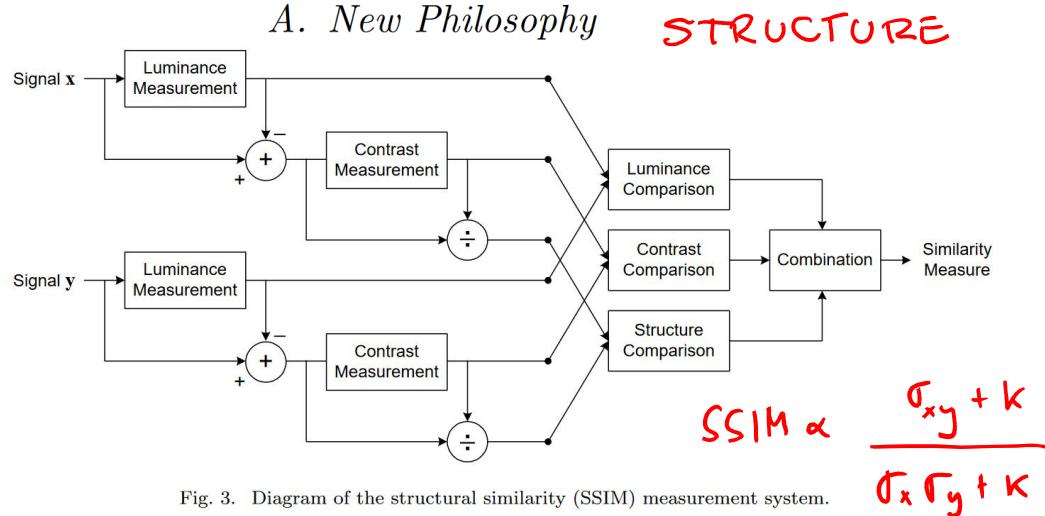
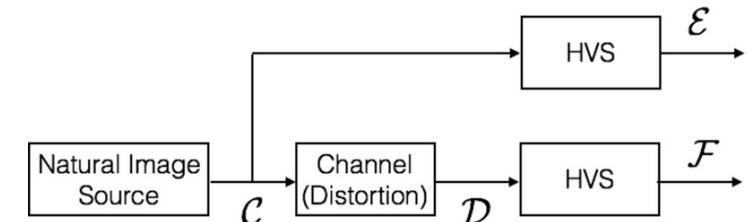


Fig. 3. Diagram of the structural similarity (SSIM) measurement system.

### A. New Philosophy INFORMATION

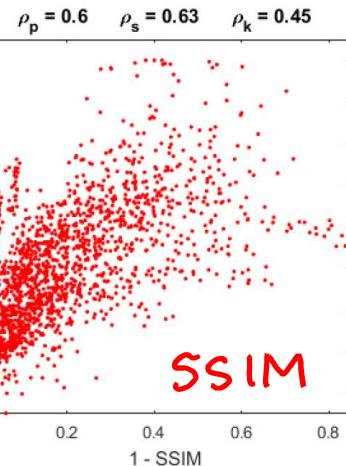
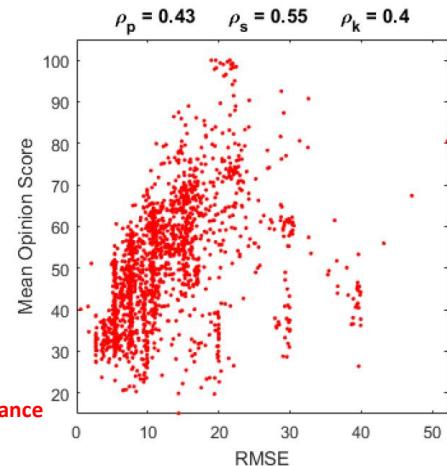


$$VIF = \frac{I(c, s(d))}{I(c, s(c))} = \frac{I(c, F)}{I(c, E)}$$

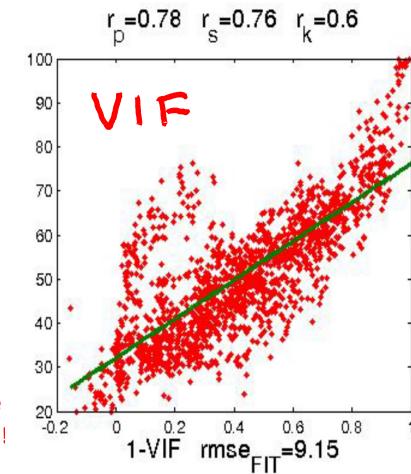
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**RMSE**  
Euclidean Distance



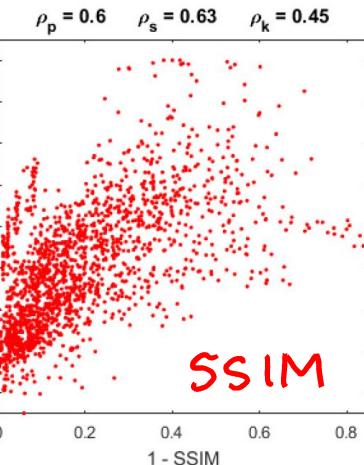
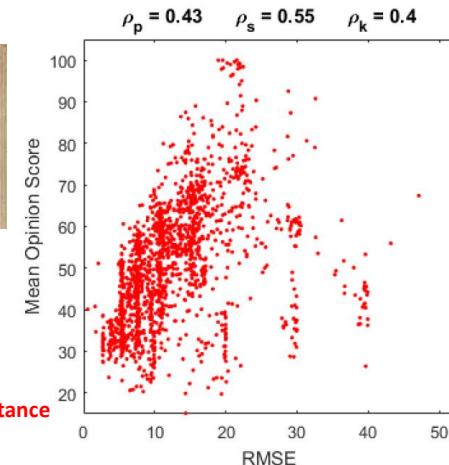
**SSIM**  
67<sup>th</sup> EMMY Engineering Award of the American Television Academy 2015 !



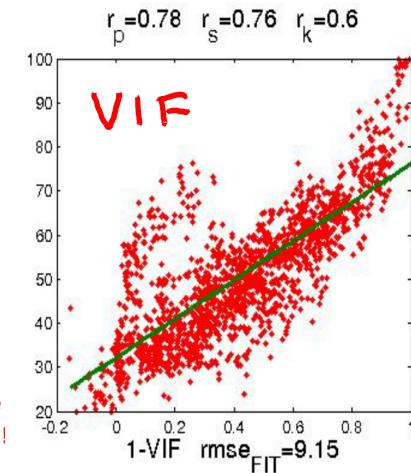
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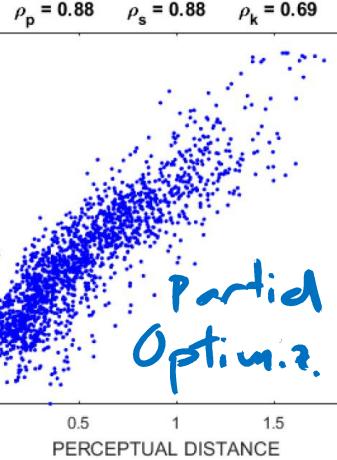
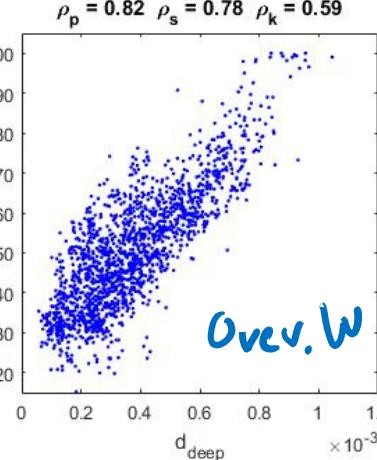
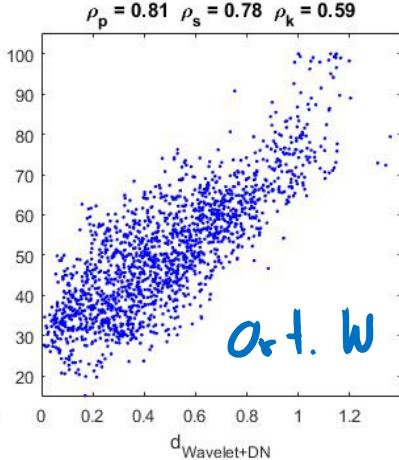
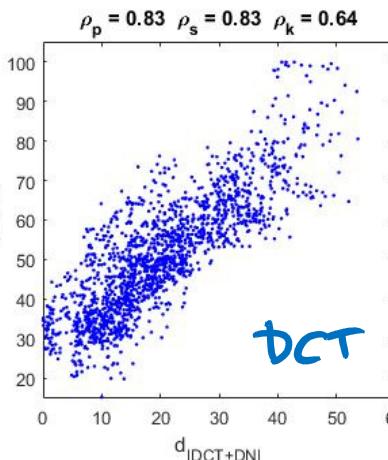
RMSE  
Euclidean Distance



SSIM  
67<sup>th</sup> EMMY Engineering Award of the American Television Academy 2015 !



DMOS



V1\_model\_DCT\_DN\_color  
Im. Vis. Comp. 1997  
IEEE Trans. Im. Proc. 2006 ....

JOSA A 2010  
Neural Comput. 2010

BioMultiLayer\_L\_NL\_color  
Front. Neurosci. 2018 a  
(Optimized)  
PLoS ONE 2018

## DIVISIVE NORMALIZATION

Teo & Heger 94

Malo et al. 97

Malo & Simoncelli 06

Laparra & Malo 10

Malo & Simoncelli 15

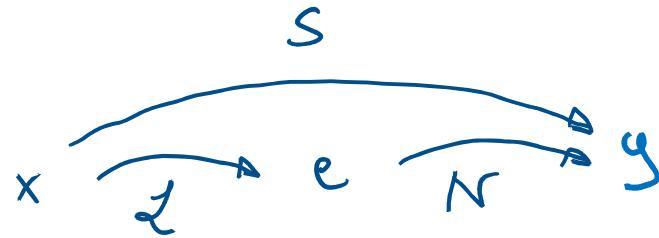
Laparra & Simoncelli 17

Malo et al. 18

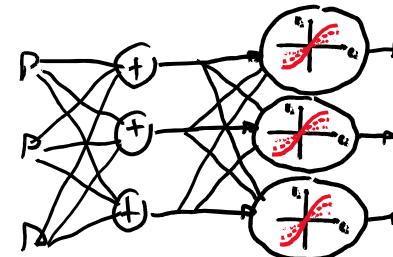
Hepburn & Malo 20

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Divisive Normalization neural models



Biol.: Carandini & Heeger Nat. Rev. Neurosci: 12  
Math.: Martinez, Malo et al. PLOS ONE 18

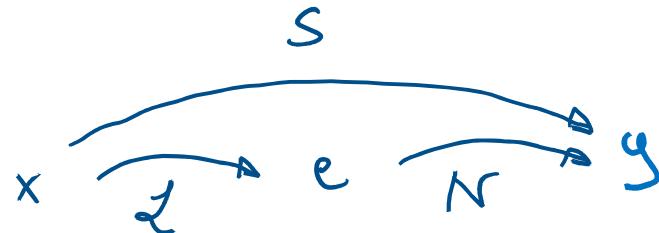


②  $L$

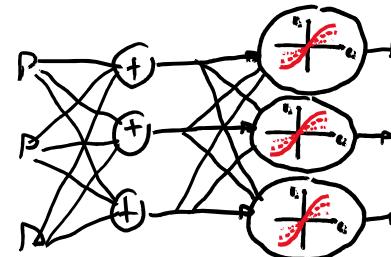
③  $N$

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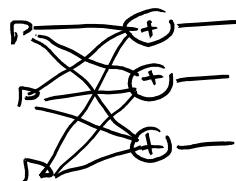
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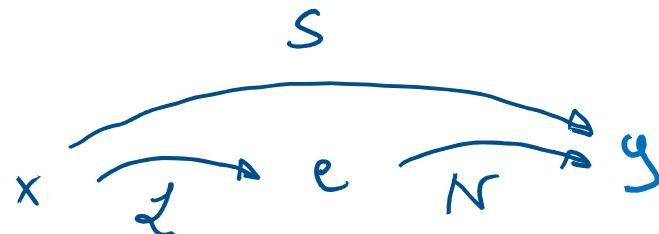
②  $e = W \cdot x$



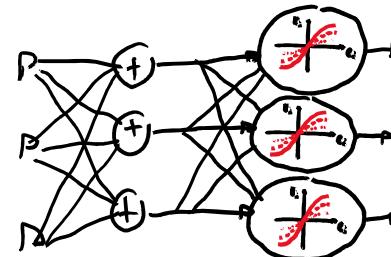
③  $N$

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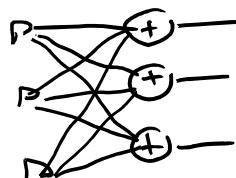
$e$  = linear response

$b$  = semisaturation

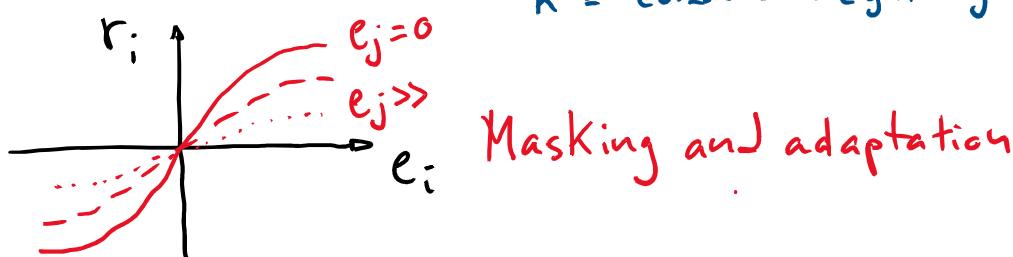
$H$  = interaction Kernel

$K$  = constant  $\rightarrow$  dyn. range

(L)  $e = W \cdot x$



(N)  $y = K \cdot \frac{e}{b + H \cdot e}$



$$\nabla_x s \sim [I - D_{r(x)} H] \cdot D_e \cdot W \Rightarrow M = \nabla_x s^\top \nabla_x s$$

NON DIAGONAL!  
INPUT DEPENDENT!

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Biol. Carandini & Heeger Nat. Rev. Neurosci 12  
Math. Martinez, Malo et al. PLOS ONE 18



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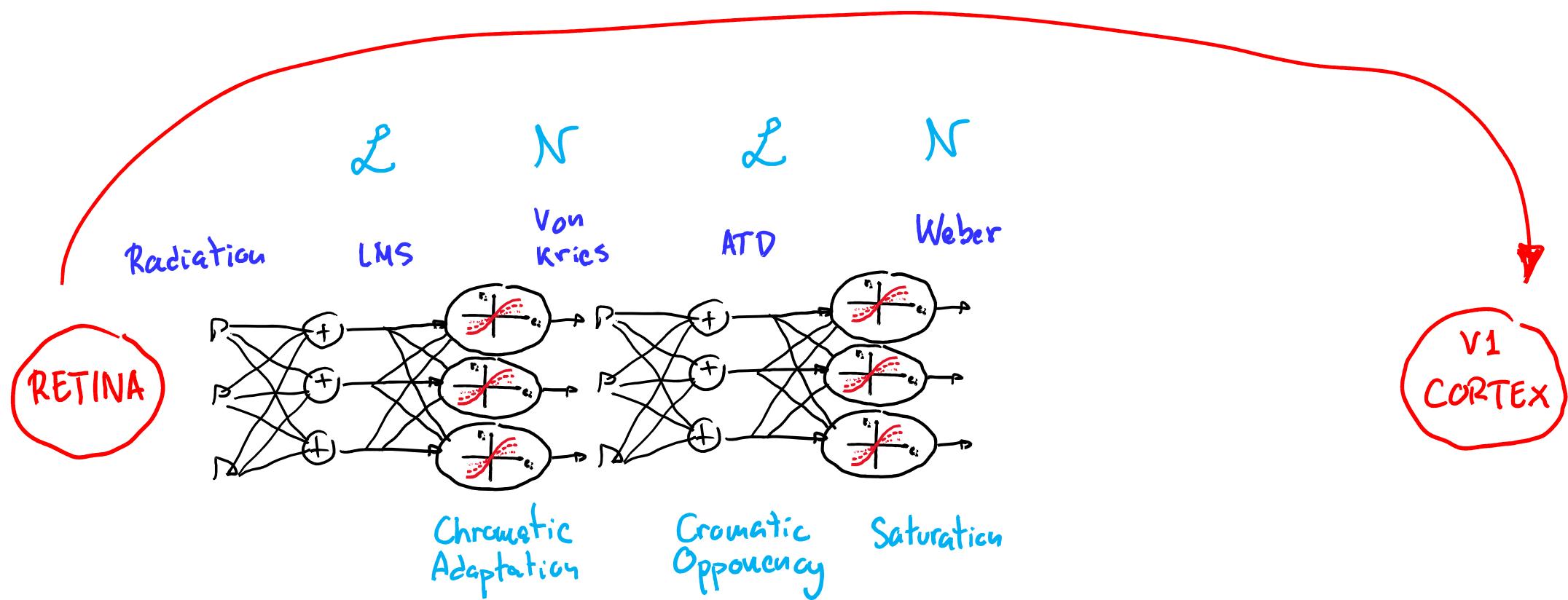
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Biol. Carandini & Heeger Nat. Rev. Neurosci 12

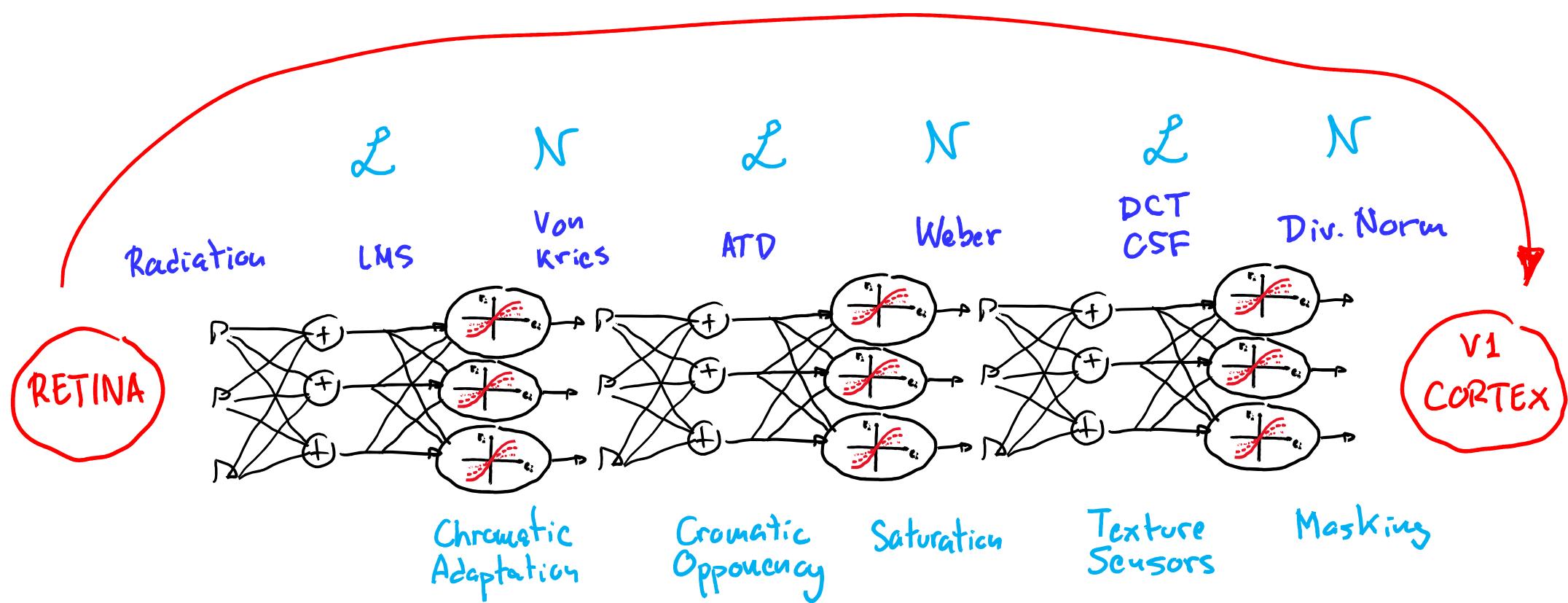
Math. Martinez, Malo et al. PLOS ONE 18



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Biol. Carandini & Heeger Nat. Rev. Neurosci 12

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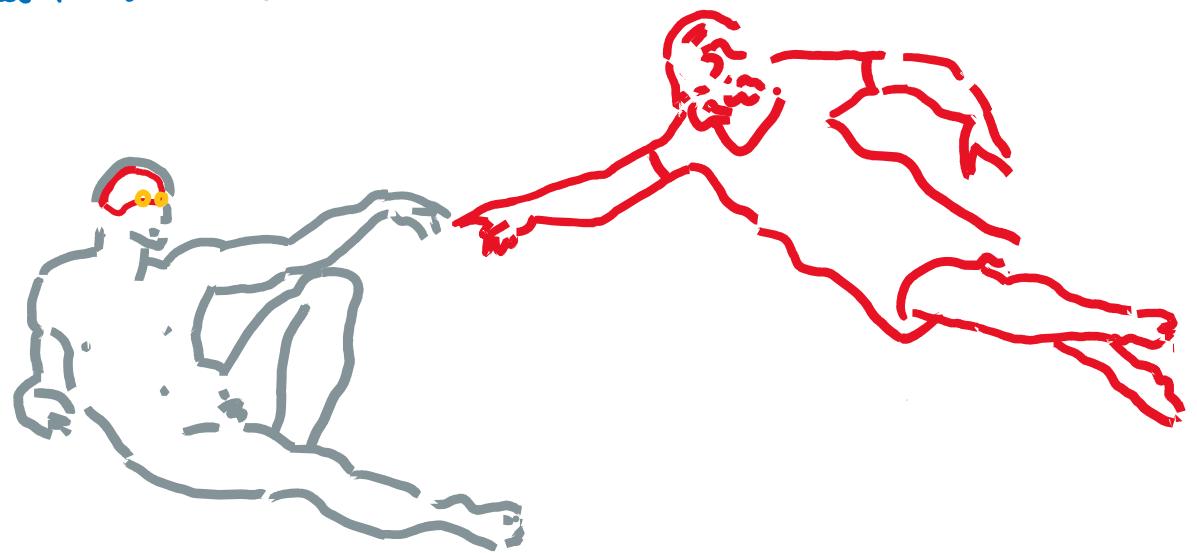


② THE PROBLEM: Quantifying information flow

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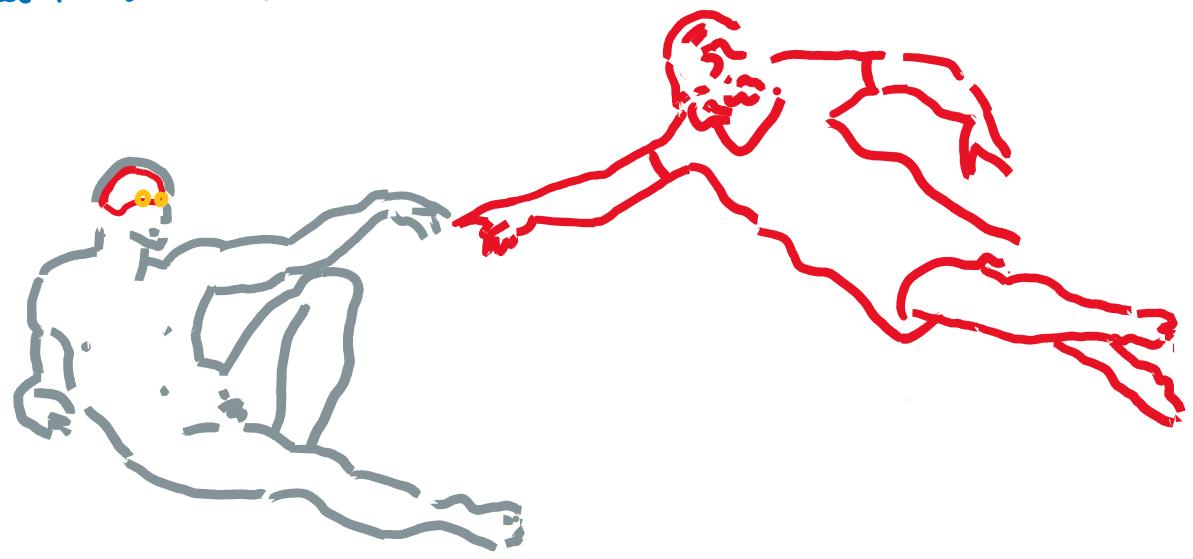
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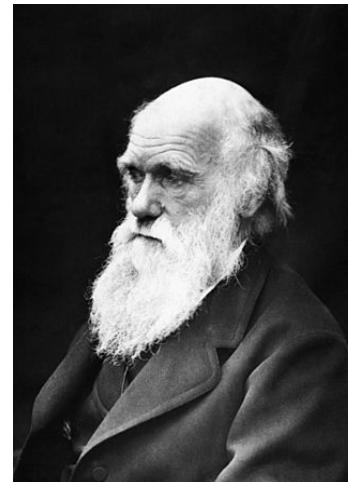
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ARTS & SCIENCES



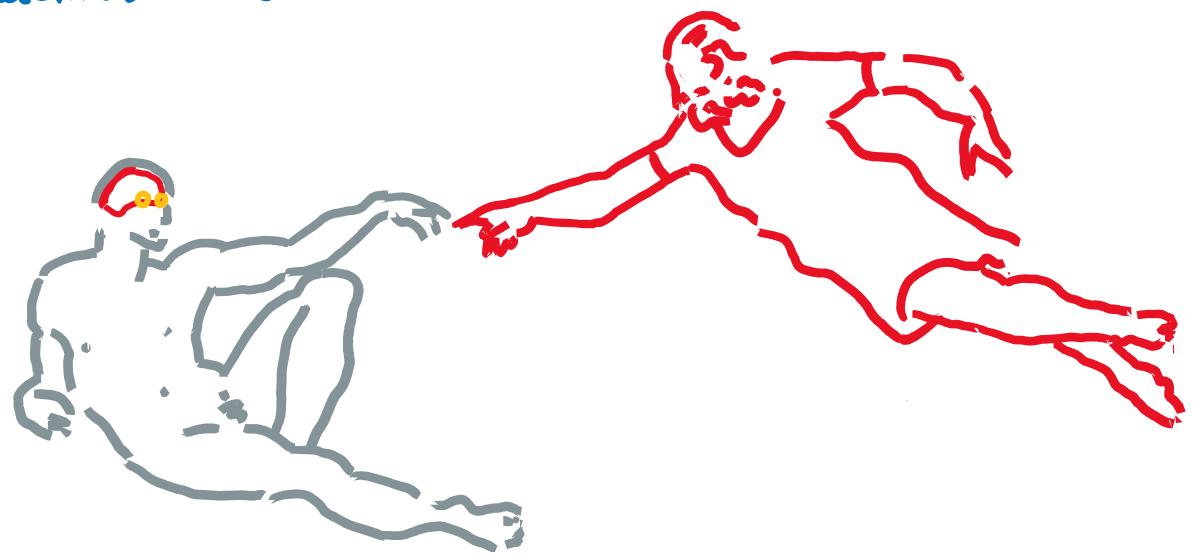
DARWIN



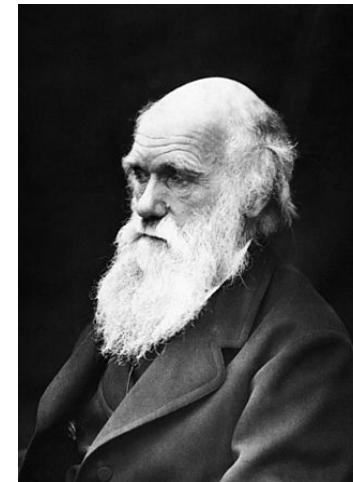
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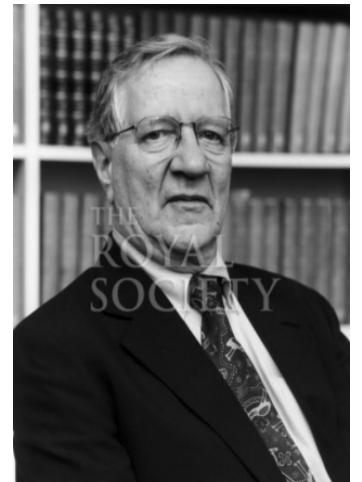
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DARWIN



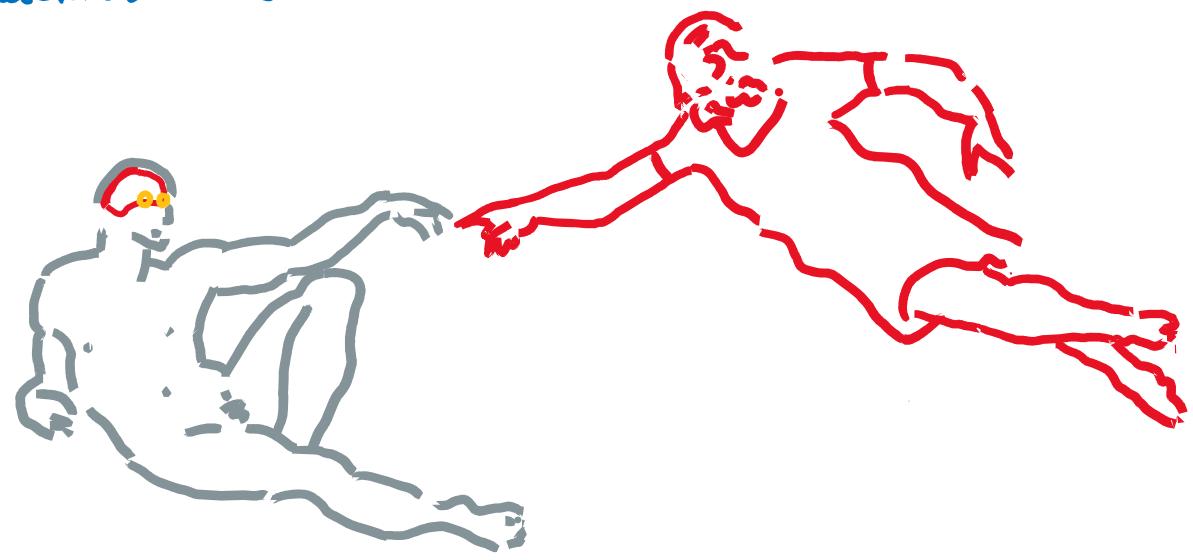
BARLOW



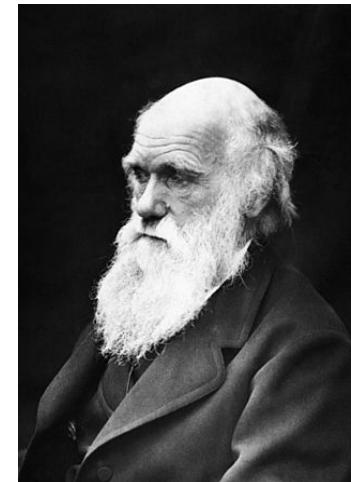
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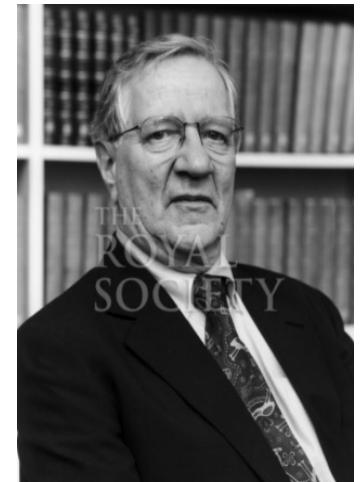
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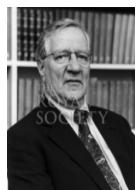
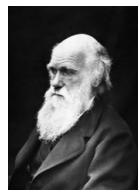
SHANNON



BARLOW



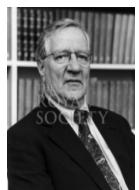
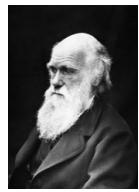
## ② THE PROBLEM: Quantifying information flow



$$x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \xrightarrow{S_0} y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$$



② THE PROBLEM: Quantifying information flow

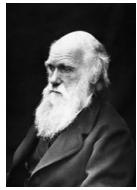


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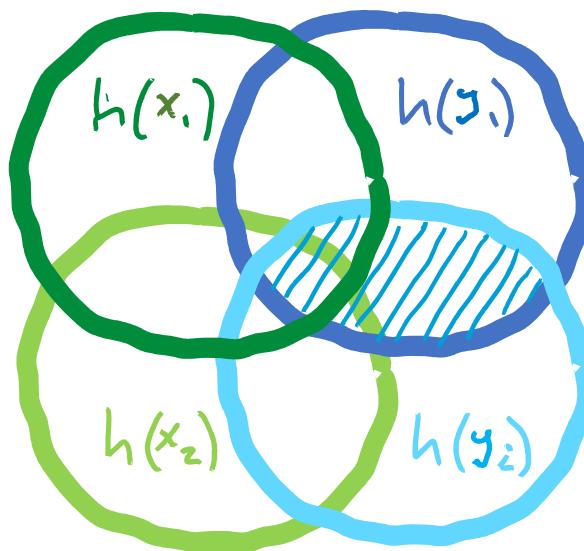


/// TOTAL CORRELATION ≡ Redundancy within a vector  $T(y) = \sum_i h(y_i) - h(y)$

② THE PROBLEM: Quantifying information flow

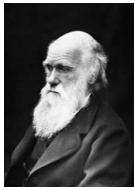


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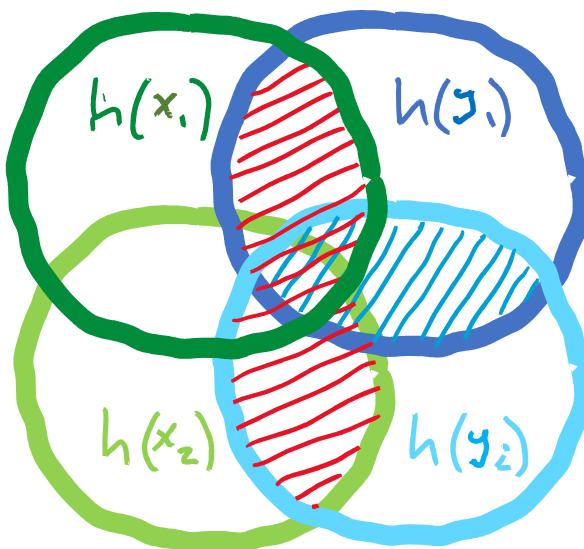


/// TOTAL CORRELATION = Redundancy within a vector  $T(y) = \sum_i h(y_i) - h(y)$

② THE PROBLEM: Quantifying information flow



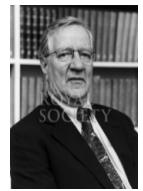
$$x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \xrightarrow{S_0} y = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}$$



/// TOTAL CORRELATION  $\equiv$  Redundancy within a vector  $T(y) = \sum_i h(y_i) - h(y)$

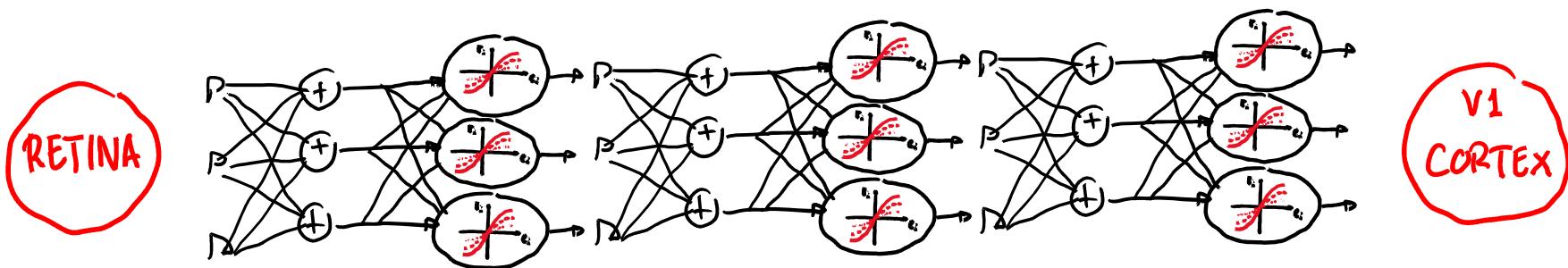
/// MUTUAL INFORMATION  $\equiv$  Info shared by two vectors  $I(x,y) = h(x) + h(y) - h([x,y])$

② THE PROBLEM: Quantifying information flow

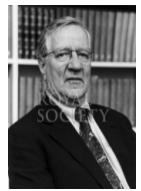


THE EFFICIENT CODING HYPOTHESIS  
(information maximization)

Barlow 59, Barlow 01

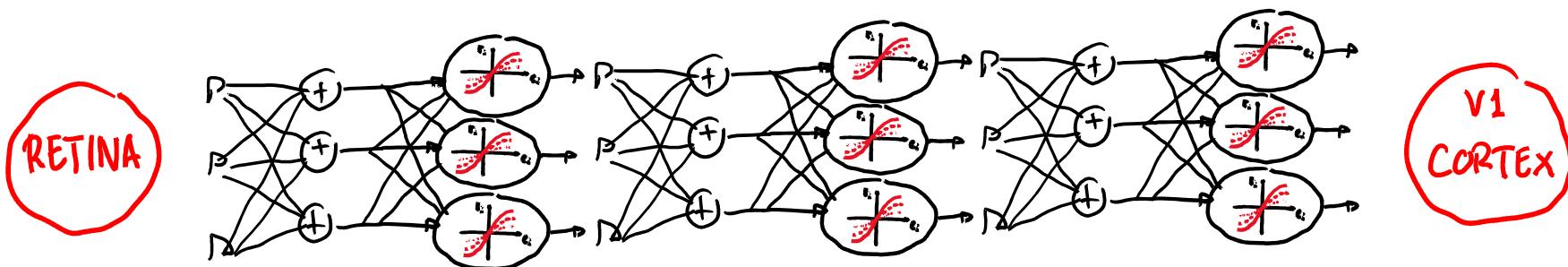


## ② THE PROBLEM: Quantifying information flow



### THE EFFICIENT CODING HYPOTHESIS (information maximization)

Barlow 59, Barlow 01

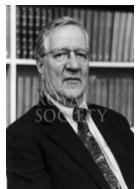


$$x \xrightarrow{S} y = S(x) + u$$

$$I(x,y) = \underbrace{\sum_i h(y_i)}_{(1)} - \underbrace{T(y)}_{(2)} - h(u) \Rightarrow \begin{cases} (1) \text{ MAXIMIZE ENTROPY} \\ (2) \text{ MINIMIZE REDUNDANCY} \end{cases}$$

J. Malo (2020) Spatio-chromatic information available from different neural layers under review *J. Math. Neurosci.* <https://arxiv.org/abs/1910.01559>

## ② THE PROBLEM: Quantifying information flow



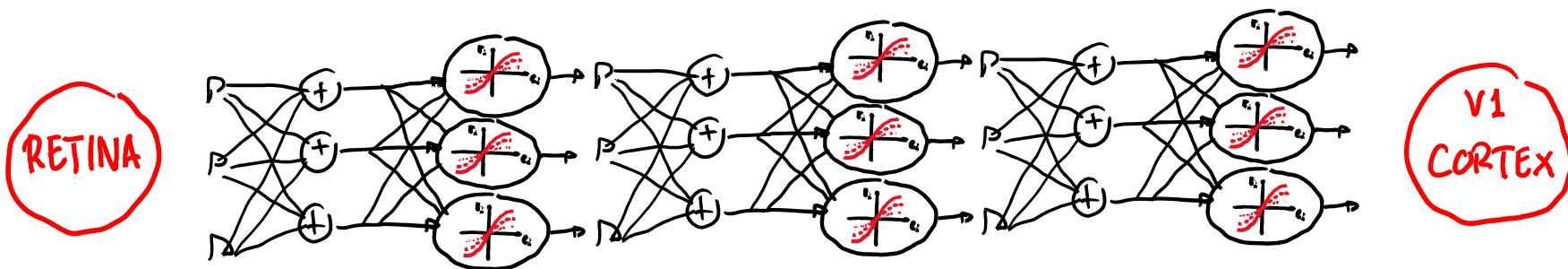
THE EFFICIENT CODING HYPOTHESIS  
 (information maximization)  
 Barlow 59, Barlow 01

- Standard
- Alternative

Olshausen & Field 96  
 Schwartz & Simoncelli 01

STATS → BIOLOGY

BIOLOGY → STATS  
 Malo & Loparre 10

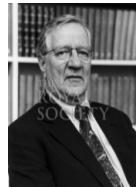


$$x \xrightarrow{S} y = S(x) + u$$

$$I(x,y) = \underbrace{\sum_i h(y_i)}_{(1)} - \underbrace{T(y)}_{(2)} - h(n) \Rightarrow \begin{cases} (1) \text{ MAXIMIZE ENTROPY} \\ (2) \text{ MINIMIZE REDUNDANCY} \end{cases}$$

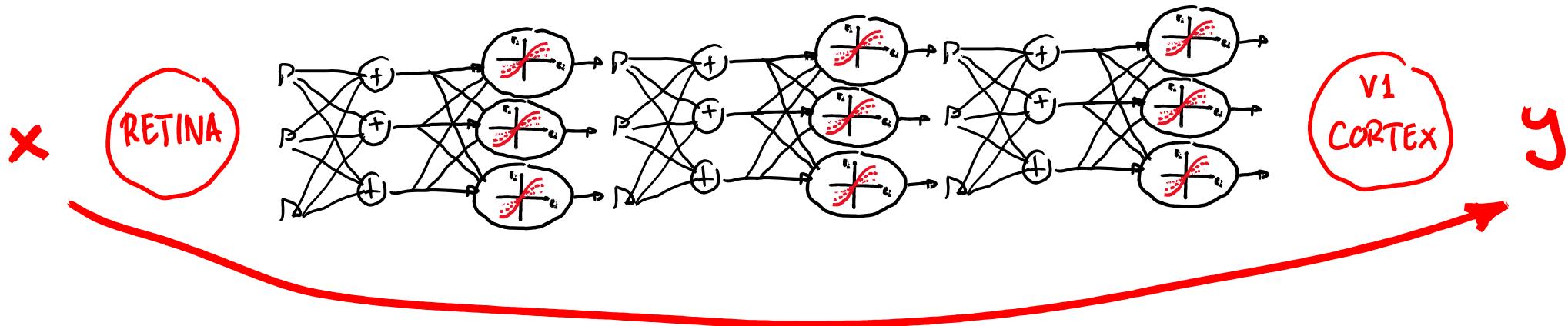
J. Malo (2020) Spatio-chromatic information available from different neural layers under review J. Math. Neurosci. <https://arxiv.org/abs/1910.01559>

② THE PROBLEM: Quantifying information flow



THE EFFICIENT CODING HYPOTHESIS

- Standard      STATS → BIOLOGY
- Alternative    BIOLOGY → STATS



PROBLEM: ESTIMATING

$$I(x, y)$$

$$T(y)$$

FROM SAMPLES

$\equiv$  THE CURSE OF  
DIMENSIONALITY

③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

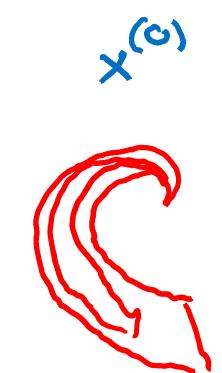
Laparra, Camps, Malo IEEE TNN 2011

Johnson, Laparra, Malo ICML 2019

<https://isp.uv.es/RBIG4IT.htm>

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

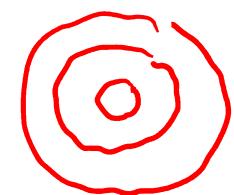
15/30



ANY PDF

$$P(x^{(0)})$$

$x^{(N)}$

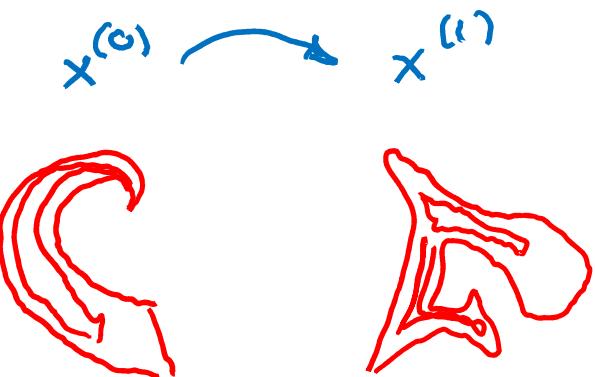


GAUSSIAN PDF

$$P(x^{(N)}) = \mathcal{N}(x^{(n)}, \mathbf{0}, \mathbf{I})$$

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

15/30



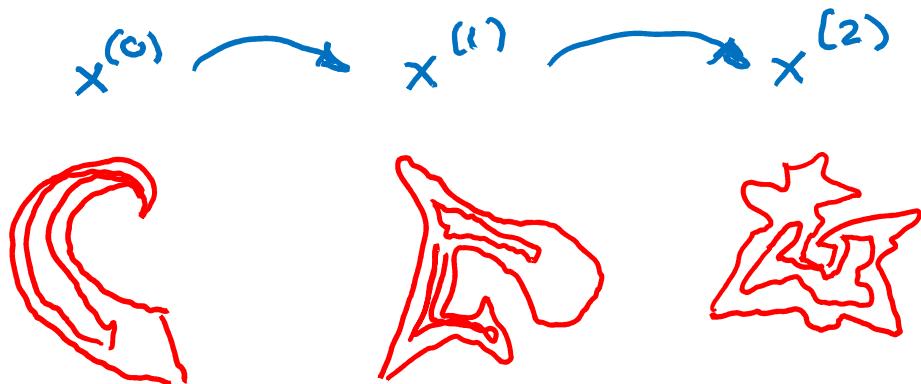
ANY PDF

$p(x^{(0)})$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

15/30



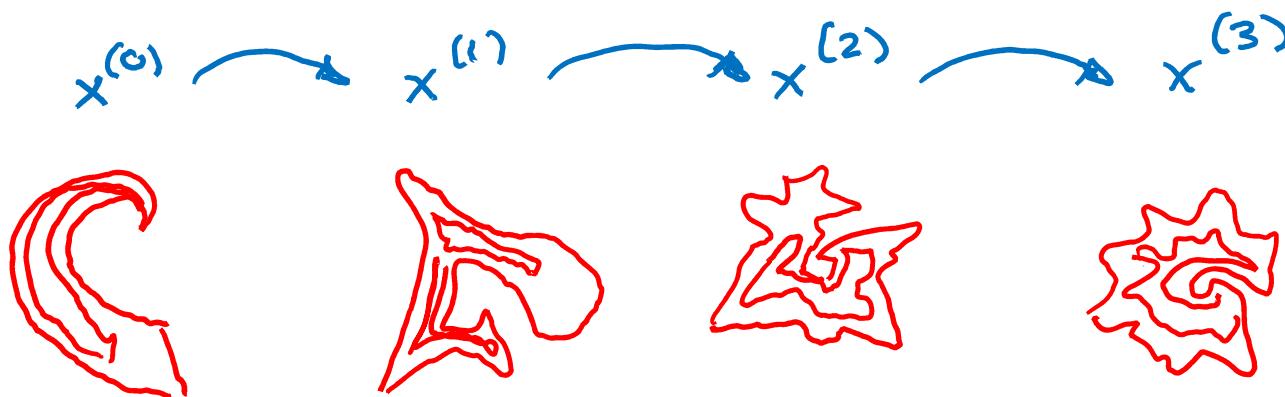
ANY PDF

$p(x^{(0)})$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

15/30



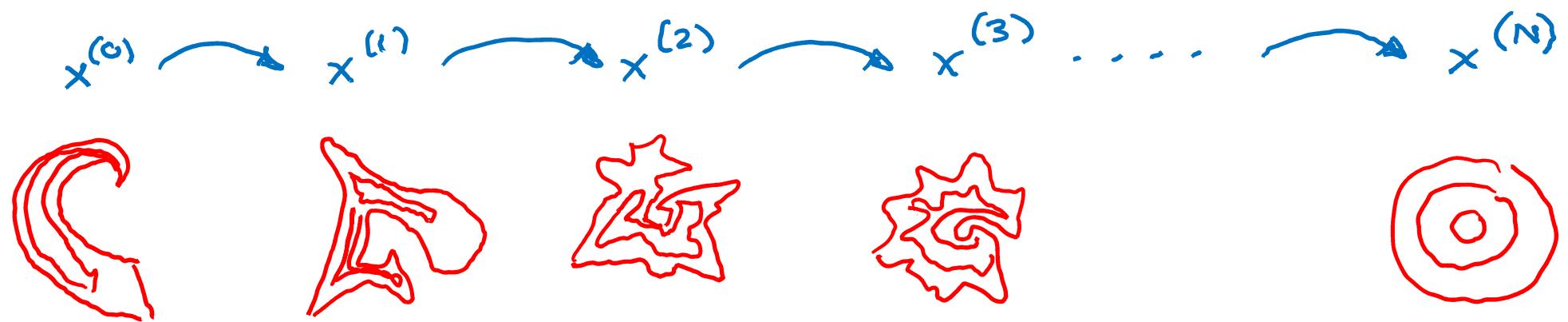
ANY PDF

$p(x^{(0)})$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

15/30



ANY PDF

$$P(x^{(0)})$$

$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation

Marginal  
Gaussianization

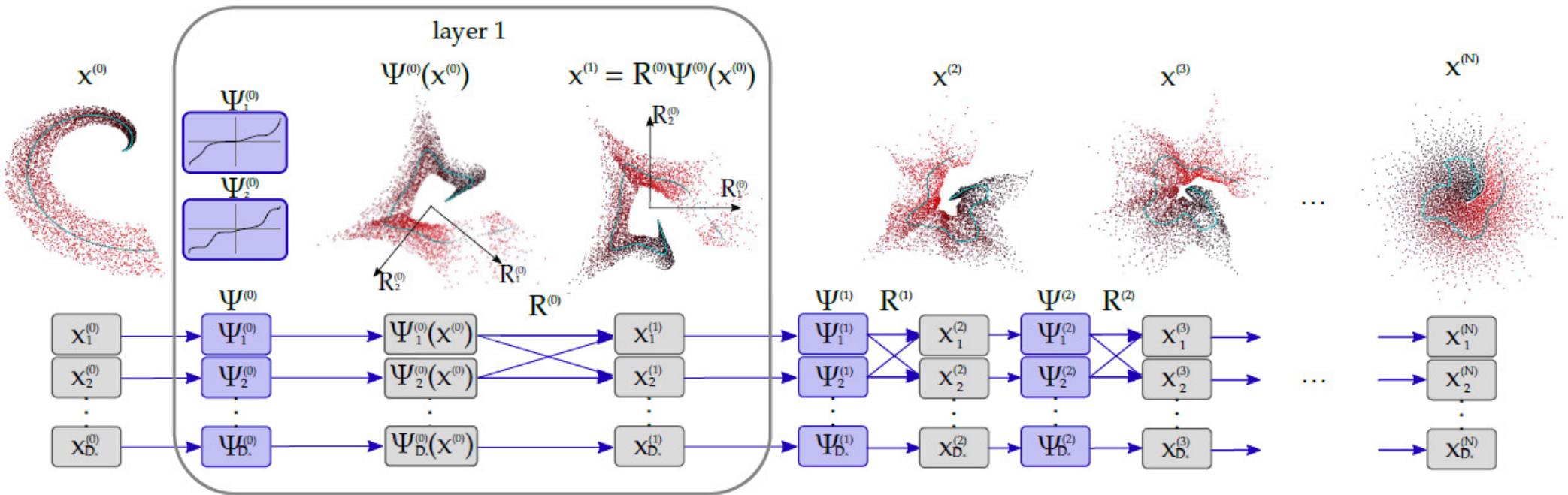
GAUSSIAN PDF

$$P(x^{(N)}) = \mathcal{N}(x^{(n)}, 0, I)$$

③

### THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

16/30

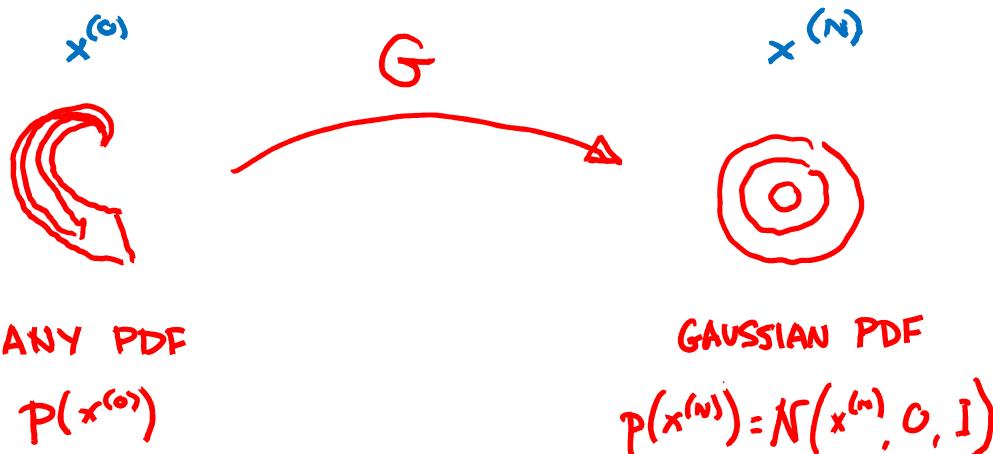


$$x^{(n+1)} = \underbrace{R^{(n)}}_{\text{Rotation}} \cdot \underbrace{\Psi^{(n)}(x^{(n)})}_{\text{Marginal Gaussianization}}$$

Rotation

Marginal  
Gaussianization

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)



In ANY differentiable transform  $\Rightarrow$  In ANY Gaussianization  $T(\mathbf{x}') = \mathbf{0}$

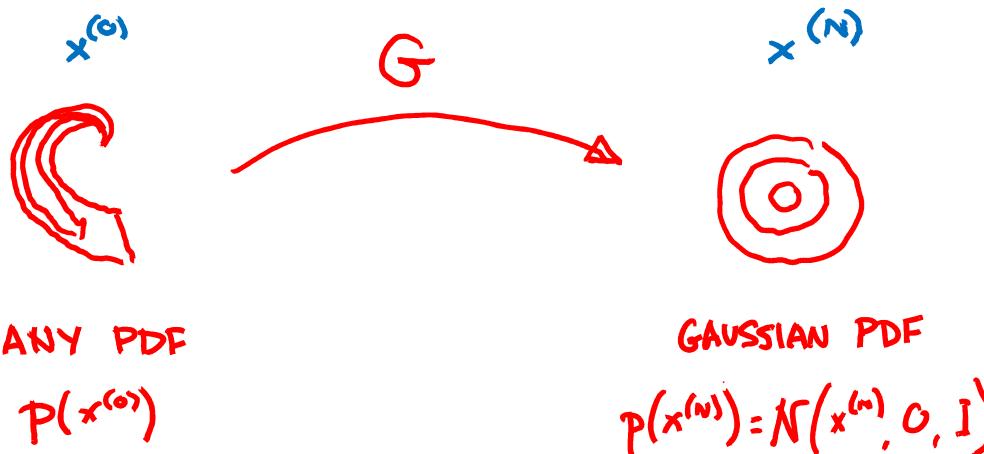
$$\begin{aligned}\Delta T(\mathbf{x}, \mathbf{x}') &= T(\mathbf{x}) - T(\mathbf{x}') \\ &= \sum_{i=1}^{D_x} H(x_i) - \sum_{i=1}^{D_{x'}} H(x'_i) + \underbrace{\frac{1}{2} \mathbb{E}_x \left( \log |\nabla G_x(\mathbf{x})^\top \cdot \nabla G_x(\mathbf{x})| \right)}\end{aligned}$$

$$T(\mathbf{x}) = \sum_{i=1}^{D_x} H(x_i) - \frac{D_x}{2} \log(2\pi e) + \underbrace{\mathbb{E}_x \left( \log |\nabla G_x(\mathbf{x})| \right)}$$

③

### THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

17/30



In ANY differentiable transform  $\Rightarrow$  In ANY Gaussianization  $T(x') = 0$

$$\begin{aligned}\Delta T(x, x') &= T(x) - T(x') \\ &= \sum_{i=1}^{D_x} H(x_i) - \sum_{i=1}^{D_{x'}} H(x'_i) + \underbrace{\frac{1}{2} \mathbb{E}_x \left( \log |\nabla G_x(x)^\top \cdot \nabla G_x(x)| \right)}\end{aligned}$$

$$T(x) = \sum_{i=1}^{D_x} H(x_i) - \frac{D_x}{2} \log(2\pi e) + \underbrace{\mathbb{E}_x \left( \log |\nabla G_x(x)| \right)}$$

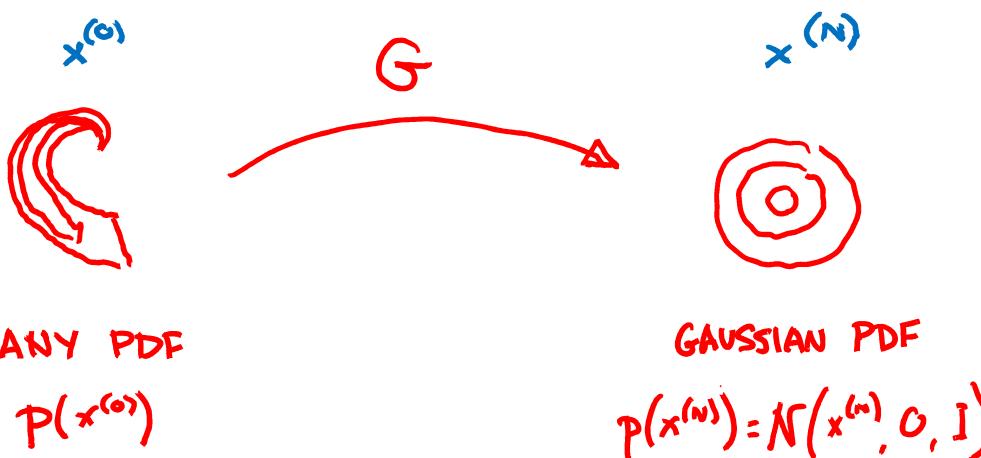
**IN RBIG  $\equiv$  ONLY UNIVARIATE OPERATIONS**

$$\tilde{T}(x) = \sum_{n=0}^{N-1} \Delta \tilde{T}^{(n)} = \frac{(N-1)D_x}{2} \log(2\pi e) - \sum_{n=1}^N \sum_{i=1}^{D_x} \tilde{H}(x_i^{(n)})$$

③

### THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

17/30



In ANY differentiable transform  $\Rightarrow$  In ANY Gaussianization  $T(x') = 0$

$$\begin{aligned}\Delta T(x, x') &= T(x) - T(x') \\ &= \sum_{i=1}^{D_x} H(x_i) - \sum_{i=1}^{D_{x'}} H(x'_i) + \underbrace{\frac{1}{2} \mathbb{E}_x \left( \log |\nabla G_x(x)^\top \cdot \nabla G_x(x)| \right)}\end{aligned}$$

$$T(x) = \sum_{i=1}^{D_x} H(x_i) - \frac{D_x}{2} \log(2\pi e) + \underbrace{\mathbb{E}_x \left( \log |\nabla G_x(x)| \right)}$$

IN RBIG  $\equiv$  ONLY UNIVARIATE OPERATIONS

$$\tilde{T}(x) = \sum_{n=0}^{N-1} \Delta \tilde{T}^{(n)} = \frac{(N-1)D_x}{2} \log(2\pi e) - \sum_{n=1}^N \sum_{i=1}^{D_x} \tilde{H}(x_i^{(n)})$$

$\Rightarrow$

CURSE OF DIMENSION  
ALLEVIATED!

### ③ THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

18/30

Total Correlation

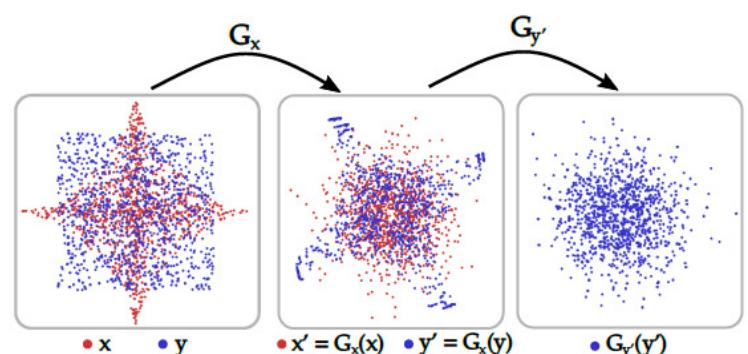
$$\tilde{T}(\mathbf{x}) = \sum_{n=0}^{N-1} \Delta \tilde{T}^{(n)} = \frac{(N-1)D_x}{2} \log(2\pi e) - \sum_{n=1}^N \sum_{i=1}^{D_x} \tilde{H}(x_i^{(n)})$$

Differential Entropy

$$\tilde{H}(\mathbf{x}) = \sum_{i=1}^{D_x} \tilde{H}(x_i) - \tilde{T}(\mathbf{x})$$

Kullback-Leibler Div.

$$D_{\text{KL}}(\mathbf{y}|\mathbf{x}) = D_{\text{KL}}(G_x(\mathbf{y})|G_x(\mathbf{x})) = T(\mathbf{x}) + \sum_{i=1}^{D_x} D_{\text{KL}}(p_{x_i}(x_i)|\mathcal{N}(0, 1))$$



Mutual Information

$$\tilde{I}(\mathbf{x}, \mathbf{y}) = \tilde{T}([G_x(\mathbf{x}), G_y(\mathbf{y})])$$

(3)

### THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

18/30

 $\tilde{T}(\mathbf{x})$  $\tilde{H}(\mathbf{x})$  $D_{KL}(y|x)$  $\tilde{I}(\mathbf{x}, \mathbf{y})$ 

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
Gaussian	-	3 0.87 10 0.97 50 1.45 100 1.55	76.65 23.48 45.77 52.78	0.63 >100 0.52 >100	4.27 31.72 >100 0.41	4.03 34.83 54.74 >100	
Rotated	-	3 1.70 10 8.30 50 7.70 100 7.50	82.90 27.20 51.10 57.80	16.80 >100 15.10 >100	1.90 24.20 >100 15.50	9.40 38.70 59.40 >100	
Student	$\nu = 3$	3 7.01 10 32.93 50 18.18 100 12.71	13.55 16.73 12.02 17.41	>100 >100 >100 >100	94.03 29.44 21.12 15.50	>100 24.65 >100 >100	66.59 15.27 28.63 133.12
	$\nu = 5$	3 26.61 10 23.94 50 10.10 100 7.10	52.76 19.74 16.87 22.53	>100 >100 >100 >100	89.74 49.60 20.29 15.39	81.85 >100 32.14 >100	133.12 12.31 34.96 34.96
	$\nu = 20$	3 88.27 10 3.05 50 3.07 100 1.31	>100 11.86 33.17 35.56	>100 >100 >100 >100	48.56 10.51 4.54 3.43	>100 19.93 52.62 49.46	

Total Correlation

Differential Entropy

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
Gaussian	-	3 0.99 10 1.20 50 0.90 100 0.70	1.70 27.90 32.20 30.70	112.30 179.80 107.40 89.60	1.10 0.10 0.10 0.10	8.80 34.70 108.40 94.20	12.00 40.30 38.10 34.60
Rotated	-	3 4.00 10 12.20 50 6.80 100 5.50	6.20 38.50 44.60 42.50	171.40 241.80 136.60 110.70	36.80 17.90 13.50 11.50	3.70 31.80 87.90 94.30	30.10 51.60 47.20 47.20
Student	$\nu = 3$	3 0.75 10 2.82 50 6.03 100 6.61	0.76 1.44 3.47 8.57	34.93 137.19 195.30 228.62	11.90 15.55 22.11 24.44	3.13 53.19 175.94 166.09	1.99 1.77 7.09 13.72
	$\nu = 5$	3 0.51 10 1.12 50 2.82 100 3.11	0.70 1.25 4.84 10.67	24.75 96.73 146.63 184.02	3.42 5.52 9.59 11.36	1.38 59.29 202.48 195.17	1.94 1.21 8.89 16.24
	$\nu = 20$	3 0.54 10 0.48 50 0.95 100 0.68	0.71 0.84 6.66 13.45	19.19 69.83 107.74 138.98	0.76 1.56 3.31 4.20	1.32 46.62 219.86 214.41	1.56 0.37 11.13 19.35

Kullback-Leibler Div.

	$D_x$	RBIG	kNN	KDP	expF	vME
Gaussian	-	3 13.49 10 19.50 50 32.04 100 41.24	16.90 22.47 13.34 28.14	10.28 3.27 >1000 >1000	92.28 >1000 >1000 >1000	
Rotated	-	3 3.55 10 5.25 50 8.67 100 4.83	7.98 22.93 40.91 43.49	5.57 2.02 3.40 8.70	24.22 604.17 >1000 >1000	
Student	$\nu = 3$	3 6.39 10 2.81 50 13.83 100 42.42	12.23 24.72 1.85 46.00	3.89 213.72 897.65 511	12.23 213.72 897.65 686.96	
	$\nu = 5$	3 24.93 10 18.80 50 23.62 100 32.56	27.30 103.65 173.62 200.33	4.89 2.64 8.42 17.59	63.90 >1000 >1000 >1000	
	$\nu = 20$	3 21.04 10 10.44 50 10.07 100 13.66	24.77 96.85 159.16 179.67	3.72 1.86 5.70 11.40	36.64 605.00 >1000 >1000	
		3 17.12 10 6.77 50 3.40 100 5.96	25.95 94.42 152.46 170.28	3.40 1.60 4.81 9.43	26.15 448.87 >1000 >1000	
		3 5.08 10 32.72 50 59.37 100 42.11	29.58 83.51 468.33 1024.30	793.53 1278.91 2783.43 4330.18	5.78 596.63 >1000 >1000	
		3 17.09 10 42.84 50 60.53 100 41.71	95.02 157.63 584.46 1214.61	148.08 219.26 547.48 962.45	22.52 963.37 >1000 >1000	
		3 8.34 10 38.78 50 48.80 100 26.01	271.61 307.82 713.36 1399.34	35.78 49.77 145.15 278.93	59.69 >1000 >1000 >1000	
		3 9.08 10 20.57 50 85.14 100 242.80	13.87 57.60 405.47 939.24	3442.45 7462.58 19991.36 35064.60	>1000 346.61 >1000 >1000	
		3 9.51 10 36.33 50 37.29 100 60.52	47.03 139.12 656.95 1441.18	1502.19 2561.86 7997.12 13033.03	48.89 >1000 >1000 >1000	
		3 13.13 10 23.13 50 28.34 100 145.88	126.41 301.97 976.95 2046.95	589.47 1070.70 3689.57 6370.43	128.84 >1000 >1000 >10000	

Szabo JMLR 2014  
 KNN  
 Partition trees  
 Exp. Family  
 Von Mises  
 Ensemble

<https://isp.uv.es/RBIG4IT.htm>

Mutual Information

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
Gaussian	-	3 16.60 10 9.60 50 6.80 100 11.70	26.00 76.30 104.70 107.20	149.10 102.60 100.70 >1000	9.20 23.70 39.50 42.60	13.20 311.00 68.00 77.40	48.50 105.50 105.50 106.10
Rotated	-	3 35.72 10 22.26 50 1.51 100 15.34	95.32 88.38 104.50 98.66	>1000 18.14 36.10 >1000	63.73 6.67 810.02 65.71	>1000 1000 789.55 105.34	86.58 66.77 105.83 105.34
Student	$\nu = 3$	3 18.51 10 3.07 50 10.91 100 24.43	118.04 24.83 102.89 105.41	>1000 113.89 105.08 101.10	56.49 9.39 25.17 42.57	>1000 >1000 849.12 805.44	96.41 101.26 117.30 110.58
	$\nu = 5$	3 73.63 10 40.02 50 29.98 100 37.21	194.16 108.82 149.53 128.27	>1000 110.68 102.93 101.44	14.63 29.69 36.30 43.77	>1000 208.20 946.93 844.41	15.36 105.83 154.88 127.67

(3)

### THE PROPOSED TECHNIQUE : ROTATION-BASED ITERATIVE GAUSSIANIZATION (RBIG)

18/30

Total Correlation

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
Gaussian	-	3 0.87 10 0.97 50 1.45 100 1.55	0.94 23.48 45.77 52.78	76.65 >100 >100 >100	0.63 0.27 0.52 0.41	4.27 31.72 >100 >100	4.03 34.83 54.74 59.94
Rotated	-	3 1.70 10 8.30 50 7.70 100 7.50	1.80 27.20 51.10 57.80	82.90 >100 >100 >100	16.80 11.00 15.10 15.50	1.90 24.20 >100 64.50	9.40 38.70 59.40 64.50
Student	$\nu = 3$	3 7.01 10 32.93 50 18.18 100 12.71	13.55 16.73 12.02 17.41	>100 >100 >100 >100	94.03 29.44 21.12	>100 24.65 >100 28.63	66.59 15.27 12.31 133.12
	$\nu = 5$	3 26.61 10 23.94 50 10.10 100 7.10	52.76 19.74 16.87 22.53	>100 >100 >100 >100	89.74 49.60 20.29 15.39	81.85 >100 32.14 34.96	133.12 12.31 32.14 34.96
	$\nu = 20$	3 88.27 10 3.05 50 3.07 100 1.31	>100 11.86 33.17 35.56	>100 >100 >100 >100	48.56 10.51 4.54 3.43	>100 >100 >100 >100	>100 19.93 52.62 49.46

 $\tilde{T}(x)$  $\tilde{H}(x)$  $D_{KL}(y|x)$  $\tilde{I}(x,y)$ 

Differential Entropy

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
Gaussian	-	3 0.99 10 1.20 50 0.90 100 0.70	1.70 27.90 32.20 30.70	112.30 179.80 107.40 89.60	1.10 0.10 0.10 0.10	8.80 34.70 108.40 94.20	12.00 40.30 38.10 34.60
Rotated	-	3 4.00 10 12.20 50 6.80 100 5.50	6.20 38.50 44.60 42.50	171.40 241.80 136.60 110.70	36.80 17.90 13.50 11.50	3.70 31.80 87.90 94.30	30.10 51.60 47.20 47.20
Student	$\nu = 3$	3 0.75 10 2.82 50 6.03 100 6.61	0.76 1.44 3.47 8.57	34.93 137.19 195.30 228.62	11.90 15.55 22.11 24.44	3.13 53.19 175.94 166.09	1.99 1.77 7.09 13.72
	$\nu = 5$	3 0.51 10 1.12 50 2.82 100 3.11	0.70 1.25 4.84 10.67	24.75 96.73 146.63 184.02	3.42 5.52 9.59 11.36	1.38 59.29 202.48 195.17	1.94 1.21 8.89 16.24
	$\nu = 20$	3 0.54 10 0.48 50 0.95 100 0.68	0.71 0.84 6.66 13.45	19.19 69.83 107.74 138.98	0.76 1.56 3.31 4.20	1.32 46.62 219.86 214.41	1.56 0.37 11.13 19.35

Kullback-Leibler Div.

	$dim$	RBIG	kNN	expF	vME
Gaussian, different means	3 13.49 10 19.50 50 32.04 100 41.24	16.90 22.47 13.34 28.14	10.28 3.27 >1000 >1000	92.28 >1000 >1000 >1000	
Gaussian, different covs.	3 3.55 10 5.25 50 8.67 100 4.83	7.98 22.93 40.91 43.49	5.57 2.02 3.40 8.70	24.22 604.17 >1000 >1000	
Student vs. Student	3 6.39 10 2.81 50 13.83 100 42.42	3.89 24.72 43.11 5.11	12.23 213.72 897.65 686.96		
$\nu = 2$	3 24.93 10 18.80 50 23.62 100 200.33	27.30 103.65 173.62 17.59	4.89 2.64 8.42 >1000	63.90 >1000 >1000 >1000	
$\nu = 4$	3 21.04 10 10.44 50 10.07 100 13.66	24.77 96.85 159.16 179.67	3.72 1.86 5.70 11.40	36.64 605.00 >1000 >1000	
$\nu = 6$	3 17.12 10 6.77 50 5.96 100 170.28	25.95 94.42 152.46 94.93	3.40 1.60 4.81 9.43	26.15 448.87 >1000 >1000	
$\nu = 7$	3 5.08 10 32.72 50 59.37 100 1024.30	29.58 83.51 468.33 42.11	793.53 1278.91 2783.43 4330.18	5.78 596.63 >1000 >1000	
$\nu = 8$	3 17.09 10 42.84 50 60.53 100 41.71	95.02 157.63 584.46 1214.61	148.08 219.26 547.48 962.45	22.52 963.37 >1000 >1000	
$\nu = 9$	3 8.34 10 38.78 50 48.80 100 1399.34	271.61 307.82 713.36 1399.34	35.78 49.77 145.15 278.93	59.69 >1000 >1000 >1000	
$\nu = 10$	3 9.08 10 20.57 50 85.14 100 242.80	13.87 57.60 405.47 939.24	3442.45 7462.58 19991.36 35064.60	>1000 346.61 >1000 >1000	
$\nu = 11$	3 9.51 10 36.33 50 37.29 100 60.52	47.03 139.12 656.95 1441.18	1502.19 2561.86 7997.12 13033.03	48.89 >1000 >1000 >1000	
$\nu = 12$	3 13.13 10 23.13 50 28.34 100 145.88	126.41 301.97 976.95 2046.95	589.47 1070.70 3689.57 6370.43	128.84 >1000 >1000 >10000	

Szabo JMLR 2014  
KNN

Partition trees  
Exp. Family  
Von Mises  
Ensemble

Mutual Information  
<https://isp.uv.es/RBIG4IT.htm>

RBIG Info-theory  
measures  
work!

	$D_x$	RBIG	kNN	KDP	expF	vME	Ens
Gaussian	-	3 16.60 10 9.60 50 6.80 100 11.70	26.00 76.30 104.70 107.20	149.10 102.60 100.70 >1000	9.20 23.70 39.50 42.60	13.20 311.00 68.00 77.40	48.50 91.00 105.50 106.10
Student	$\nu = 3$	3 35.72 10 22.26 50 1.51 100 15.34	95.32 88.38 104.50 98.66	>1000 118.51 36.10 >1000	63.73 18.14 810.02 65.71	>1000 66.77 805.44 789.55	86.58 105.34 105.83 105.34
$\nu = 5$	3 18.51 10 3.07 50 10.91 100 24.43	118.04 24.83 102.89 105.41	>1000 113.89 105.08 101.10	56.49 9.39 25.17 42.57	>1000 >1000 849.12 805.44	96.41 101.26 117.30 110.58	
$\nu = 7$	3 73.63 10 40.02 50 29.98 100 37.21	194.16 108.82 149.53 128.27	>1000 110.68 102.93 101.44	14.63 29.69 36.30 43.77	>1000 >1000 946.93 844.41	15.36 208.20 154.88 127.67	

## ④ EXPERIMENTS & RESULTS

## ④ EXPERIMENTS & RESULTS

### Materials

- Natural Images
  - Vision model: Retina - Cortex
- } . Assumptions . Performance

### Experiments Efficient Coding

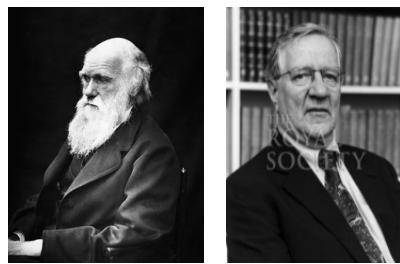
- |  |   |  |                      |
|--|---|--|----------------------|
| <ul style="list-style-type: none"> <li>- Global</li> </ul> | <ul style="list-style-type: none"> <li>- <math>\Delta T(x, y)</math></li> <li>- <math>I(x, y)</math></li> </ul> |  | Layers & flexibility |
| <ul style="list-style-type: none"> <li>- Local</li> </ul>  | <ul style="list-style-type: none"> <li>- <math>\Delta T(x, j)</math></li> <li>- <math>I(x, j)</math></li> </ul> |  |                      |

### Experiments Image Quality

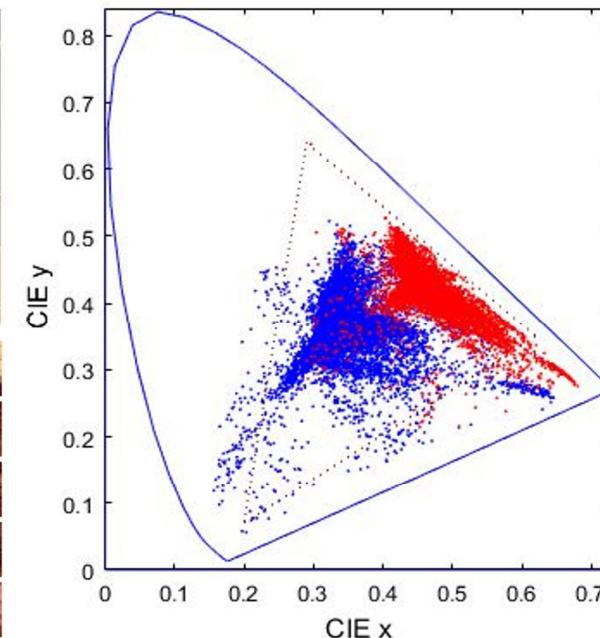
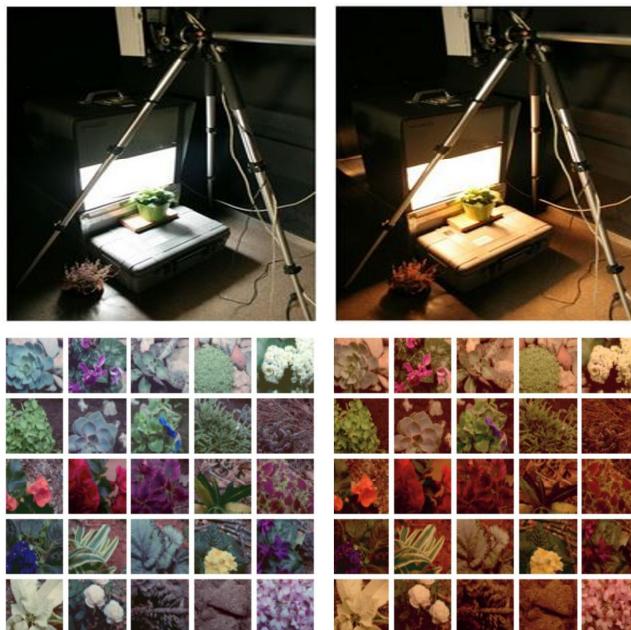
## ④ EXPERIMENTS & RESULTS

Material: Natural images

21/30



Natural  
Environment



Laparra & Malo **Neural Comp.** 2012, Gutmann & Malo **PLOS** 2014 [https://isp.uv.es/data\\_color.htm](https://isp.uv.es/data_color.htm)

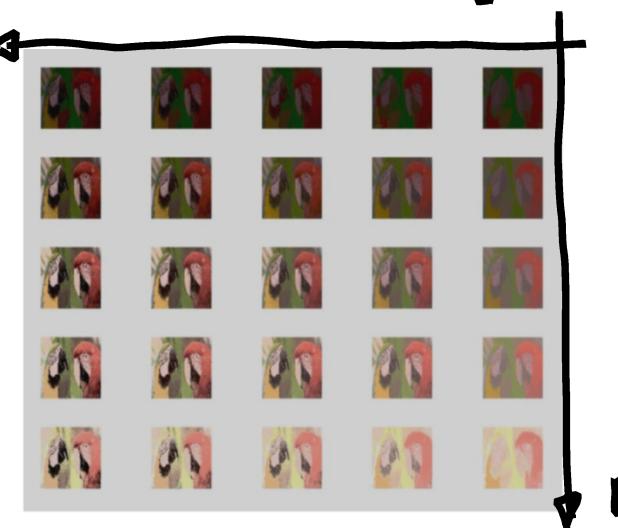
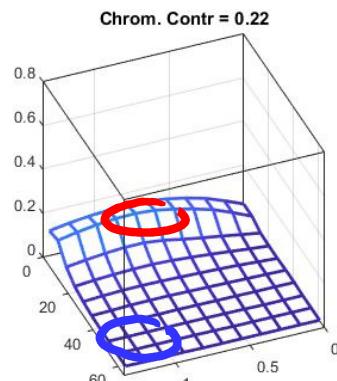
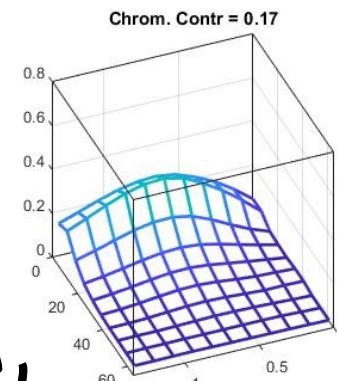
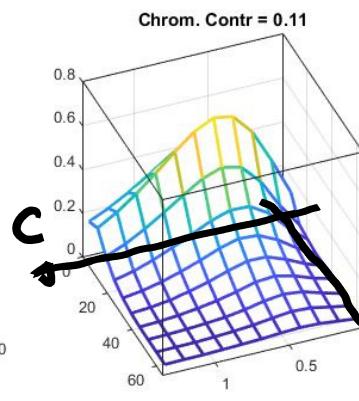
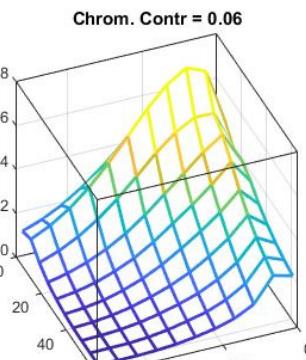
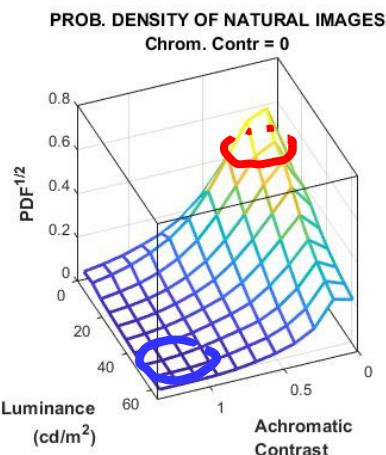


Gómez & Malo **J. Neurophysiol.** 2020 <https://isp.uv.es/code/visioncolor/infoWilsonCowan.html>

## ④ EXPERIMENTS & RESULTS Material: Natural images



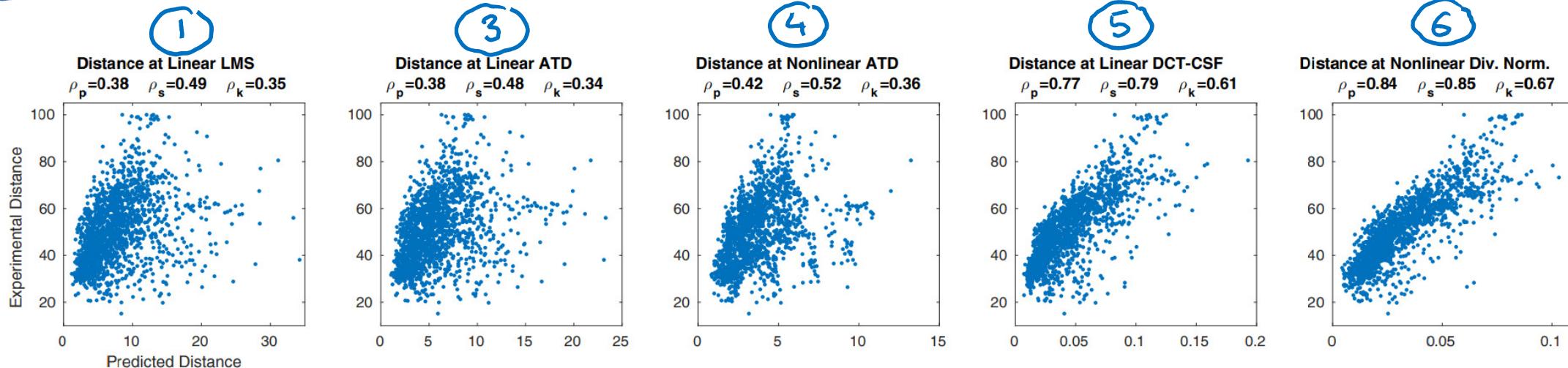
### Probability Density Function of Natural Images



## ④ EXPERIMENTS & RESULTS

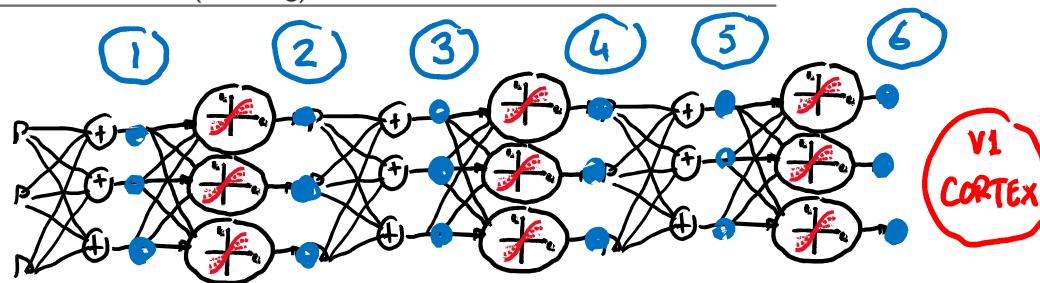
Material: Vision Model

23/30



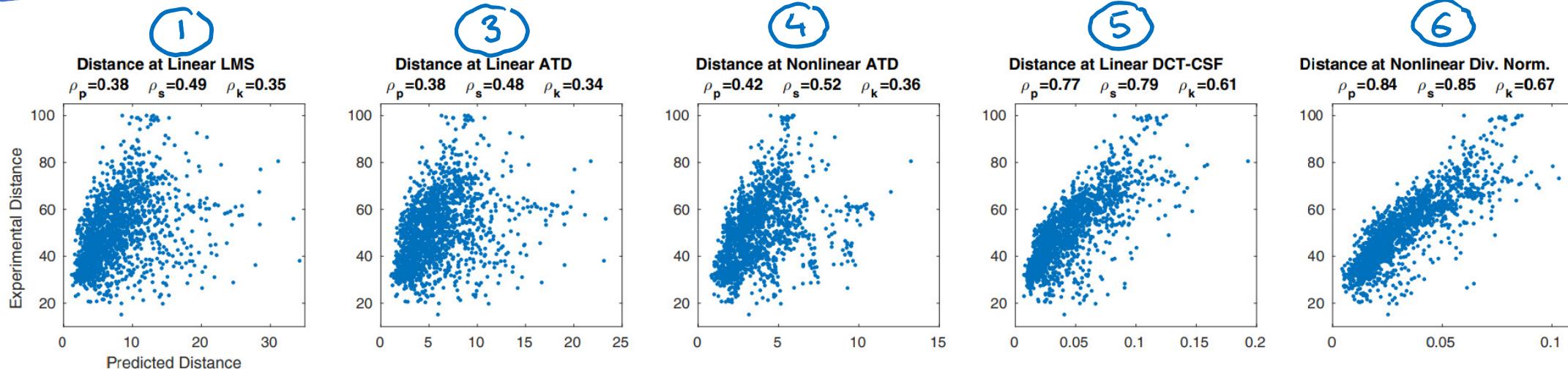
Pearson correlation with human viewers using different building blocks (or model layers)

	Spatial Extent	$r^{(1)}$	$r^{(2)}$	$x^{(2)}$	$r^{(3)}$	$x^{(3)}$
More flexible model	(0.27 deg)	0.38	0.38	0.42	0.77	0.51
<b>Baseline model</b>	(0.27 deg)	0.38	0.38	0.42	0.77	<b>0.84</b>
More rigid model	(0.27 deg)	0.38	0.38	0.42	0.77	0.79
Totally rigid model	(0.27 deg)	0.38	0.38	0.38	0.68	0.68
Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40



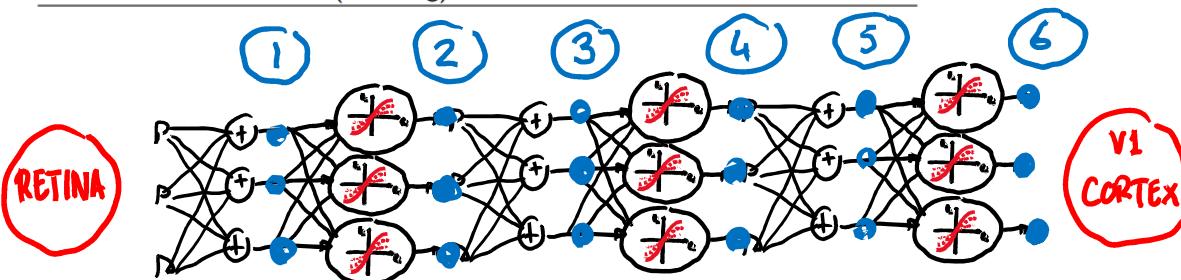
## ④ EXPERIMENTS & RESULTS

### Material: Vision Model



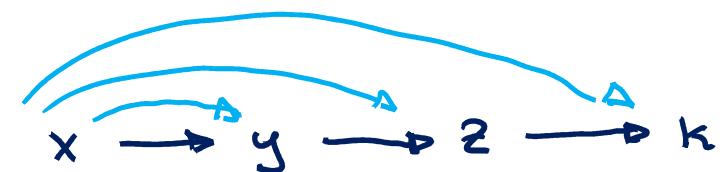
Pearson correlation with human viewers using different building blocks (or model layers)

	Spatial Extent	$r^{(1)}$	$r^{(2)}$	$x^{(2)}$	$r^{(3)}$	$x^{(3)}$
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Totally rigid model	(0.27 deg)	0.38	0.38	0.38	0.68	0.68
Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40



Assumptions:

(1) single-step transforms



(2) Constant SNR (5% noise)

## ④ EXPERIMENTS & RESULTS

### Efficient Coding (global)

24/30

Redundancy  
Reduction

$$\Delta T(x^{\text{input}}, x^{\text{resp}})$$

Pearson correlation with human viewers using different building blocks (or model layers)

	Spatial Extent	$r^{(1)}$	$r^{(2)}$	$x^{(2)}$	$r^{(3)}$	$x^{(3)}$
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Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40

Transmitted  
Information

$$I(x^{\text{in}}, x^{\text{resp}})$$

## ④ EXPERIMENTS & RESULTS

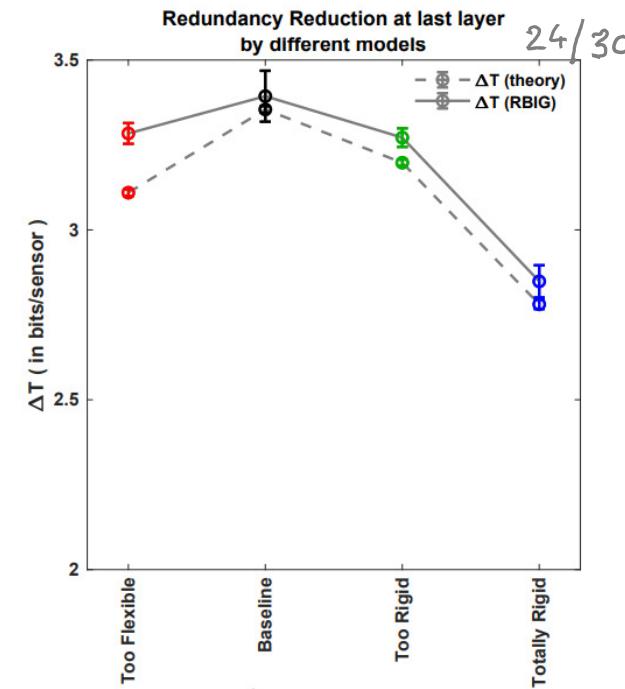
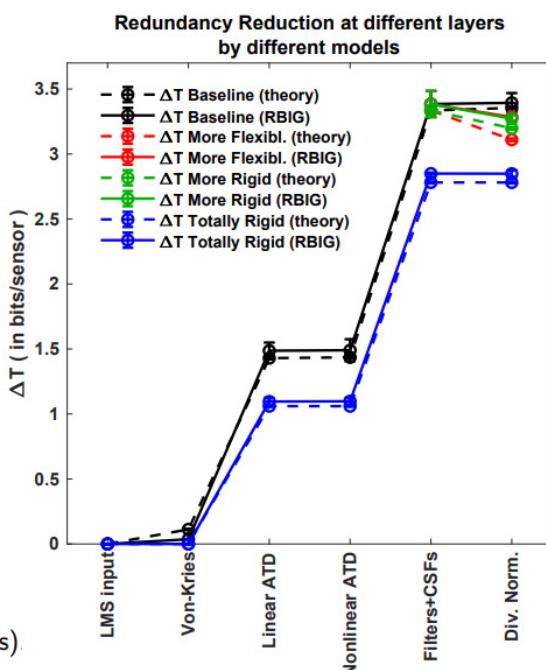
Redundancy Reduction  
 $\Delta T(x^{in}, x^{resp})$

$\Delta T_{RBIG}$

$$\Delta T_{theor} = \sum_i h(x_i^{in}) - h(x_i^{resp}) + E_x \left[ \log_2 |\nabla S| \right]$$

Pearson correlation with human viewers using different building blocks (or model layers)

	Spatial Extent	$r^{(1)}$	$r^{(2)}$	$x^{(2)}$	$r^{(3)}$	$x^{(3)}$
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Transmitted Information  
 $I(x^{in}, x^{resp})$

## ④ EXPERIMENTS & RESULTS

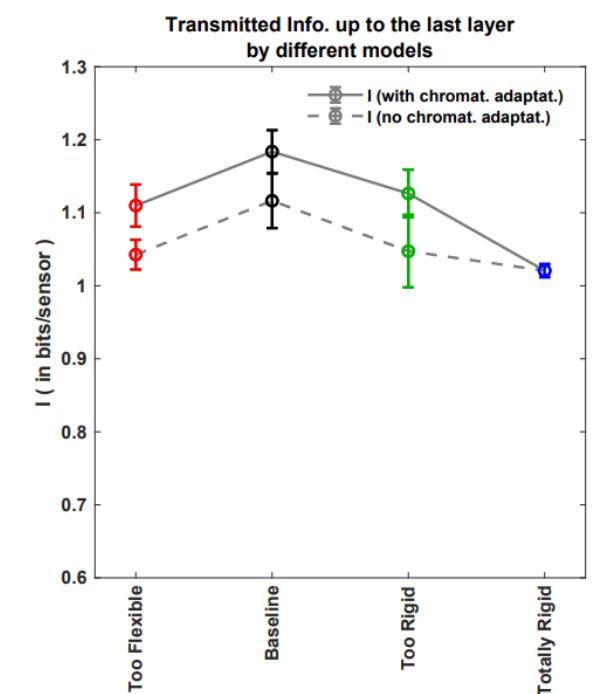
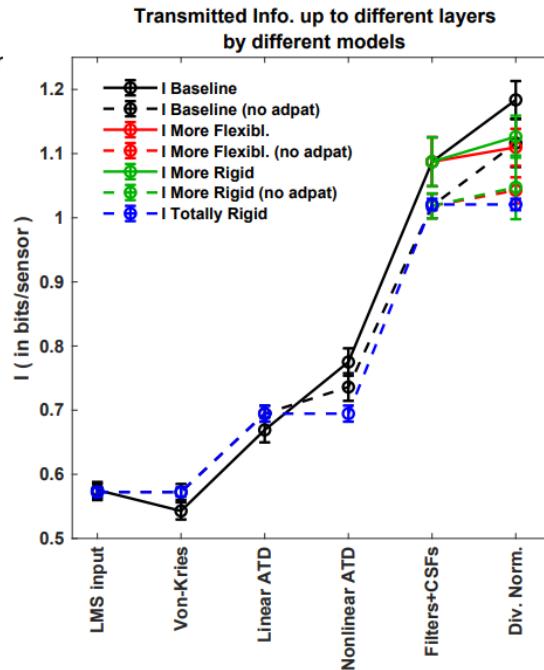
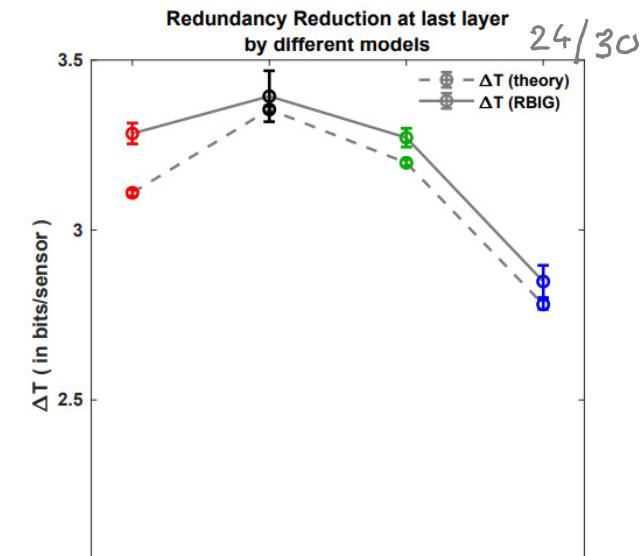
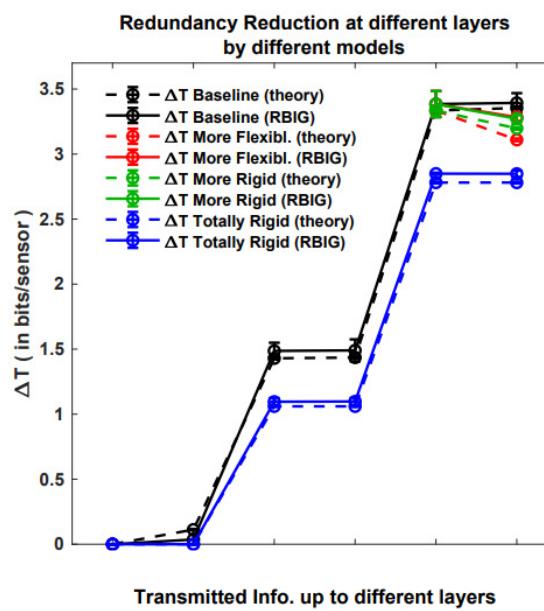
Redundancy Reduction  
 $\Delta T(x^{in}, x^{resp})$

$\Delta T_{RBIG}$

$$\Delta T_{theor} = \sum_i h(x_i^{in}) - h(x_i^{resp}) + E_x \left[ \log_2 |\nabla S| \right]$$

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Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40



Transmitted Information  
 $I(x^{in}, x^{resp})$

## 4) EXPERIMENTS & RESULTS

Redundancy Reduction

$$\Delta T(x^{\text{in}}, x^{\text{resp}})$$

$\Delta T_{\text{RBIG}}$

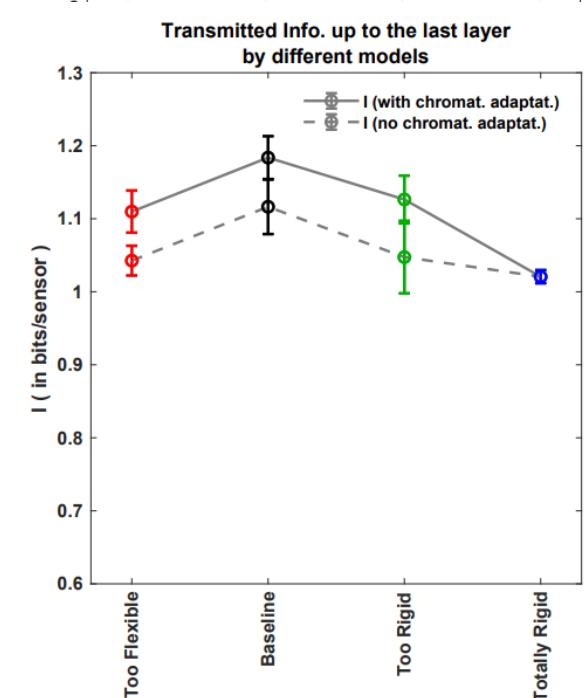
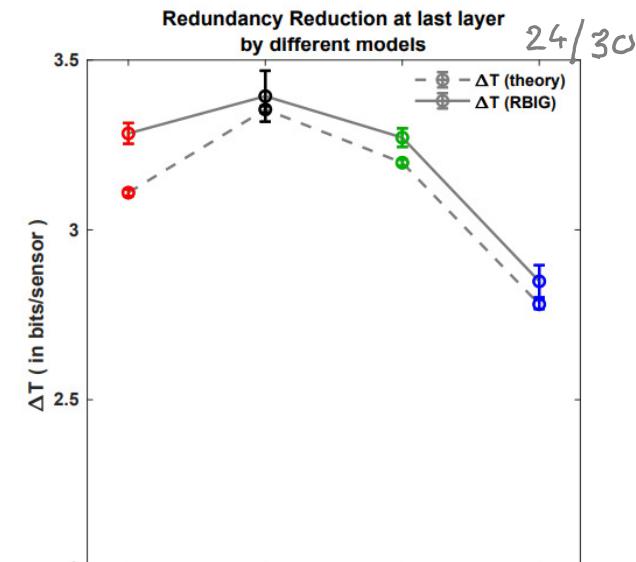
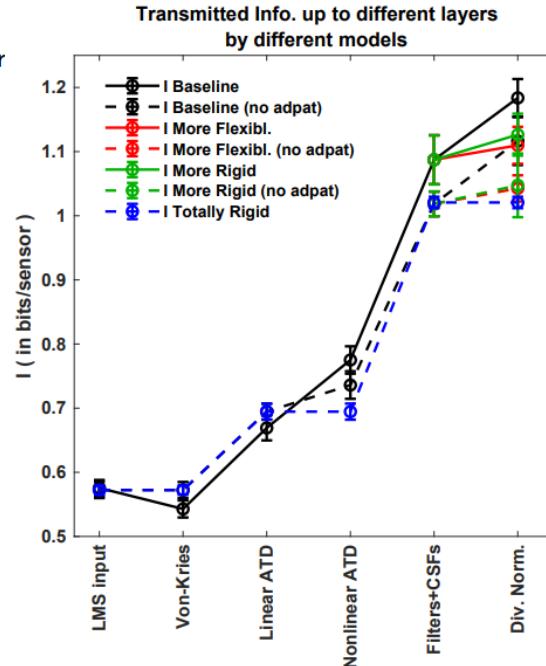
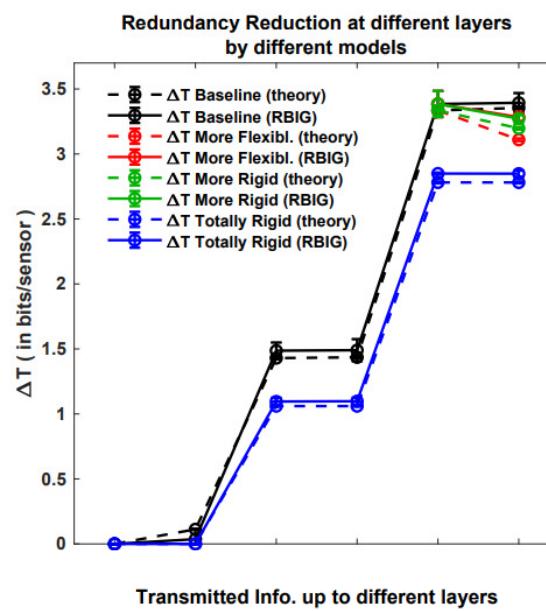
$$\Delta T_{\text{theor}} = \sum_i h(x_i^{\text{in}}) - h(x_i^{\text{resp}}) + E_x \left[ \log_2 |\nabla S| \right]$$

Pearson correlation with human viewers using different building blocks (or model layer)

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Totally rigid model	(0.27 deg)	0.38	0.38	0.38	0.68	0.68
Baseline model	(0.05 deg)	0.26	0.27	0.31	0.37	0.40

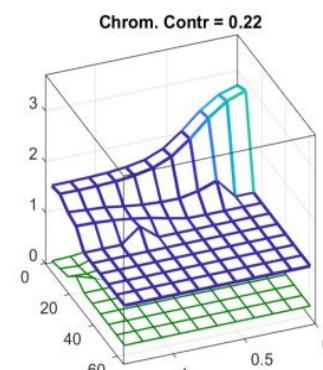
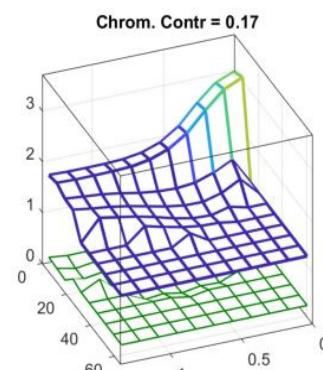
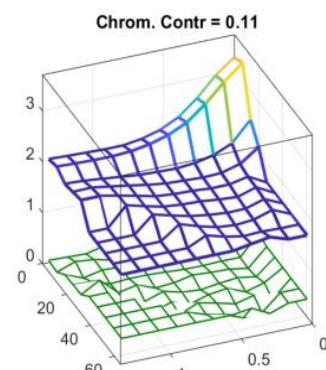
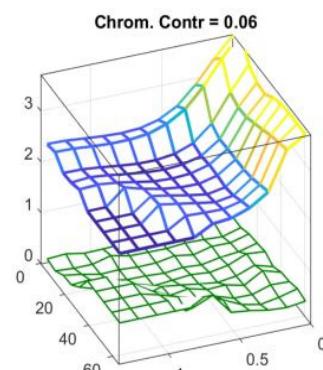
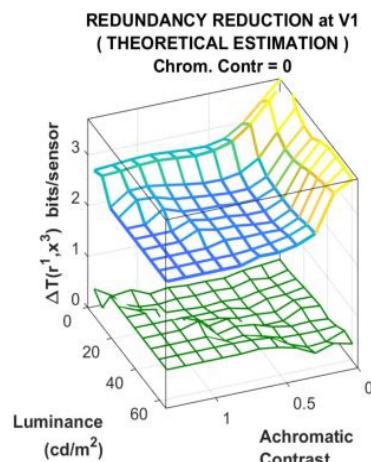
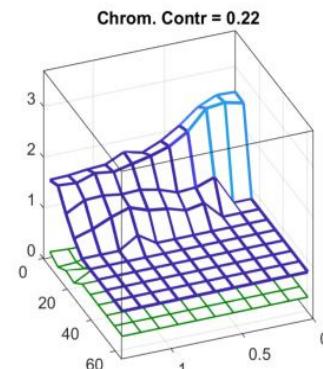
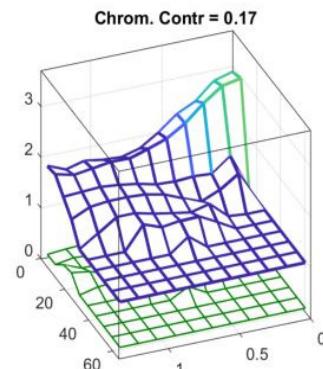
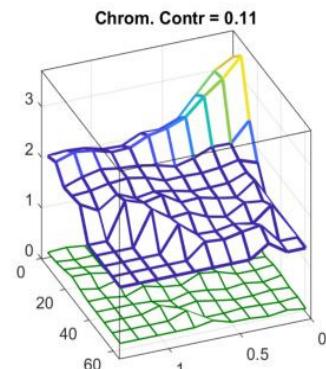
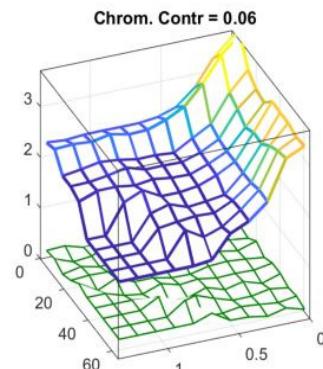
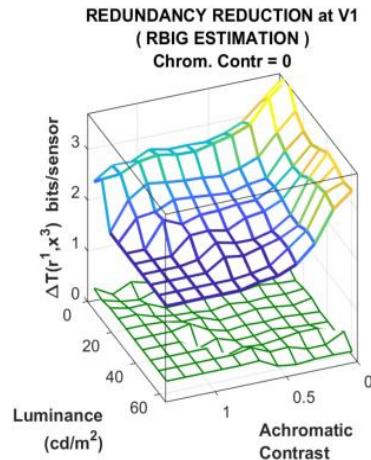
- Deeper is better
- Baseline is better
- Space vs Color

Transmitted Information  
 $I(x^{\text{in}}, x^{\text{resp}})$



## ④ EXPERIMENTS & RESULTS

RBIG



## Efficient Coding (local) Redundancy Reduction

25/30

RBIG estimates WORK!

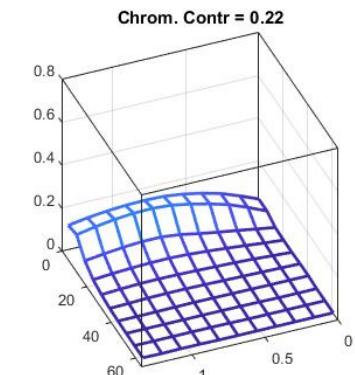
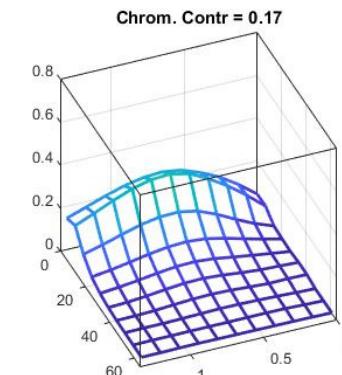
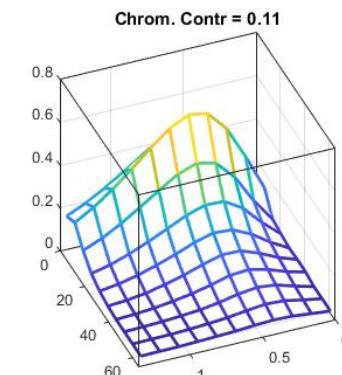
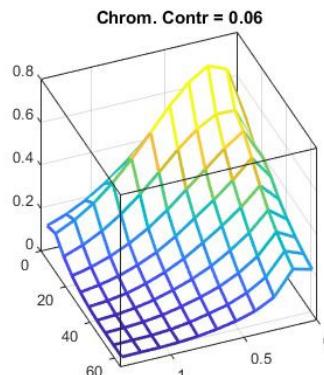
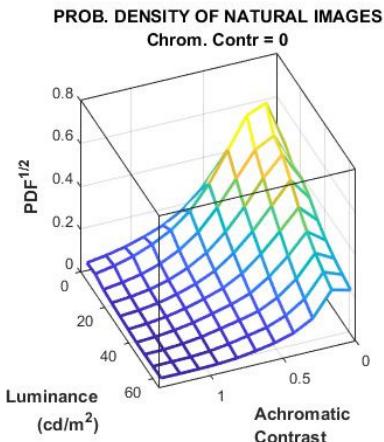
## ④ EXPERIMENTS & RESULTS

Efficient Coding (local)

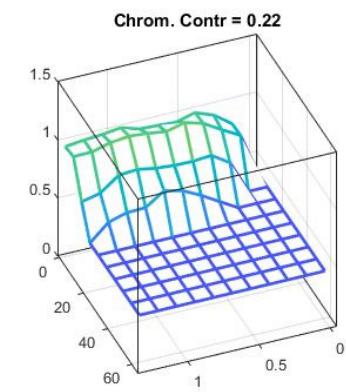
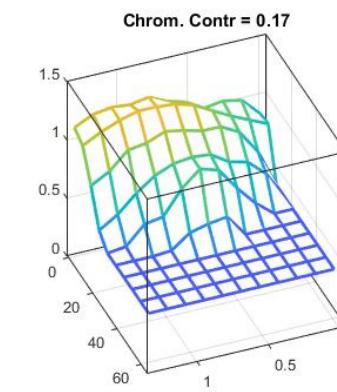
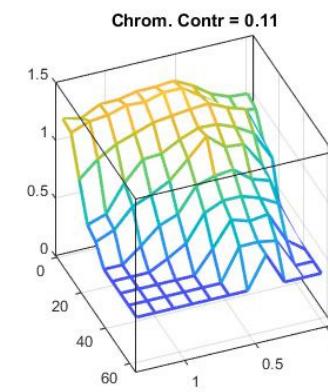
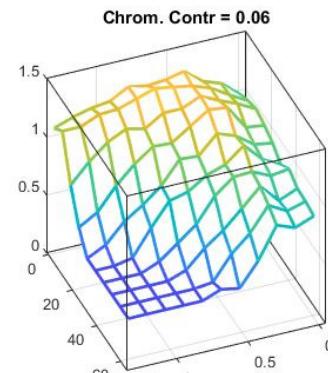
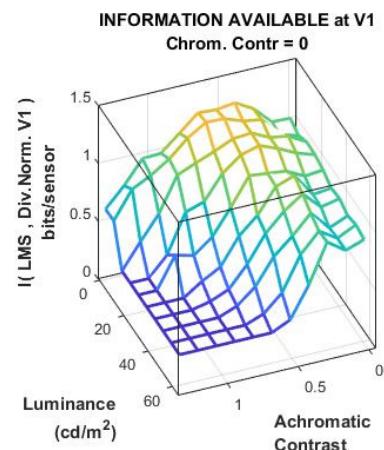
26/30

Transmitted Information

PDF Natural Images



$I(x^{in}, x^{resp})$

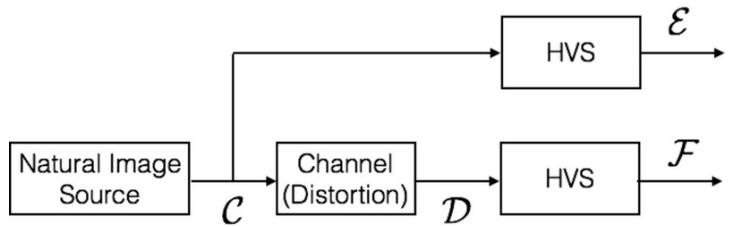


Transmitted info matches PDF

## ④ EXPERIMENTS & RESULTS

### Visual Information Fidelity

27/30

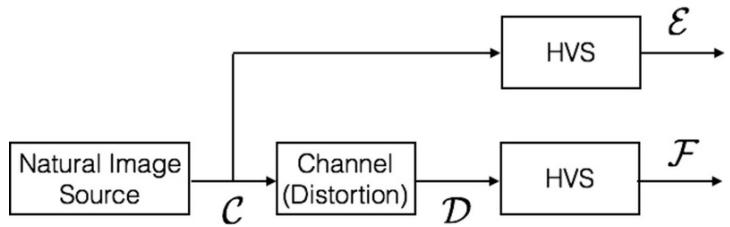


$$VIF = \frac{I(C, S(D))}{I(C, S(c))} = \frac{I(C, F)}{I(C, E)}$$

## ④ EXPERIMENTS & RESULTS

## Visual Information Fidelity

27/30



### Conventional VIF

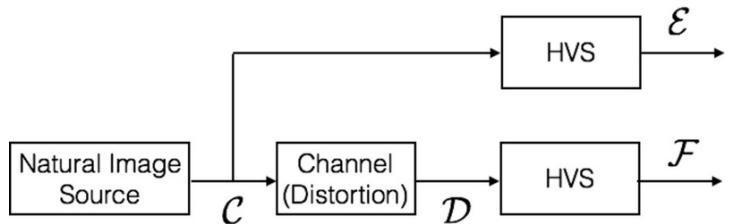
- \* Vision Model: Wavelet + Gauss. Noise
- \* Mutual Inform. Assumes G.S.M.

$$VIF = \frac{I(C, S(D))}{I(C, S(c))} = \frac{I(C, F)}{I(C, E)} = \frac{\sum_i \log_2 \left( \frac{|S_i C_i| + (\sigma_i^2 + \sigma_n^2) \mathbb{1}|}{|(\sigma_i^2 + \sigma_n^2) \mathbb{1}|} \right)}{\sum_i \log_2 \left( \frac{|S_i C_i + \sigma_i^2 \mathbb{1}|}{|\sigma_i^2 \mathbb{1}|} \right)}$$

## ④ EXPERIMENTS & RESULTS

### Visual Information Fidelity

27/30



#### Conventional VIF

- \* Vision Model: Wavelet + Gauss. Noise
- \* Mutual Inform. Assumes G.S.M.

$$VIF = \frac{I(C, S(D))}{I(C, S(c))} = \frac{I(C, F)}{I(C, E)} = \frac{\sum_i \log_2 \left( \frac{|S_i C_i| + (\sigma_i^2 + \sigma_n^2) \mathbb{1}|}{|(\sigma_i^2 + \sigma_n^2) \mathbb{1}|} \right)}{\sum_i \log_2 \left( \frac{|S_i C_i + \sigma_i^2 \mathbb{1}|}{|\sigma_i^2 \mathbb{1}|} \right)}$$

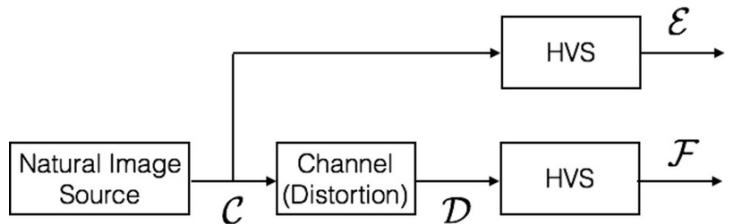
#### Alternative VIF:

- \* Vision Model: Wavelet + Gauss. Noise
- \* Compute  $\begin{cases} I(C, F) \\ I(C, E) \end{cases}$  empirically using RBIG

## ④ EXPERIMENTS & RESULTS

### Visual Information Fidelity

27/30



$$VIF = \frac{I(C, S(D))}{I(C, S(c))} = \frac{I(C, F)}{I(C, E)} = \frac{\sum_i \log_2 \left( \frac{|S_i; C_D + (\sigma_e^2 + \sigma_n^2) \mathbb{1}|}{|(\sigma_e^2 + \sigma_n^2) \mathbb{1}|} \right)}{\sum_i \log_2 \left( \frac{|S_i; C_E + \sigma_e^2 \mathbb{1}|}{|\sigma_e^2 \mathbb{1}|} \right)}$$

#### Conventional VIF

- \* Vision Model: Wavelet + Gauss. Noise
- \* Mutual Inform. Assumes G.S.M.

#### Alternative VIF:

- \* Vision Model: Wavelet + Gauss. Noise
- \* Compute  $\begin{cases} I(C, F) \\ I(C, E) \end{cases}$  empirically using RBIG

Correlation with Subjective Opinion (TID 2013)

	Pearson	Spearman	Kendall
Conventional VIF	0.78 0.81	0.76 0.76	0.60 0.59
Alternative RBIG-VIF	0.90	0.87	0.72

Comput. by Benjamin Kheravdar

## ⑤ DISCUSSION & CONCLUSIONS

## ⑤ DISCUSSION & CONCLUSIONS

- Info-theory estimates & curse of dimensionality
- Gaussianization  $\rightarrow T(x)$ , but still requires  $E_x \left[ \log_2 |\nabla_x G| \right]$
- RBIG  $\Rightarrow T(x) \sim$  univariate operations  $\Rightarrow$  Alleviates curse dim.
- RBIG  $\Rightarrow T, H, D_{KL}, I$  better than Szabo JMLR 2014
- Efficient Coding Hypothesis in Psychophysical Models
  - . Deeper represent. are better
  - . Psychophysically accurate models are better
  - . Space more important than color
  - . Transmitted information matches PDF of natural images
- Image Quality: RBIG empirical estimates of  $I$  improve VIF

⑤

## DISCUSSION & CONCLUSIONS

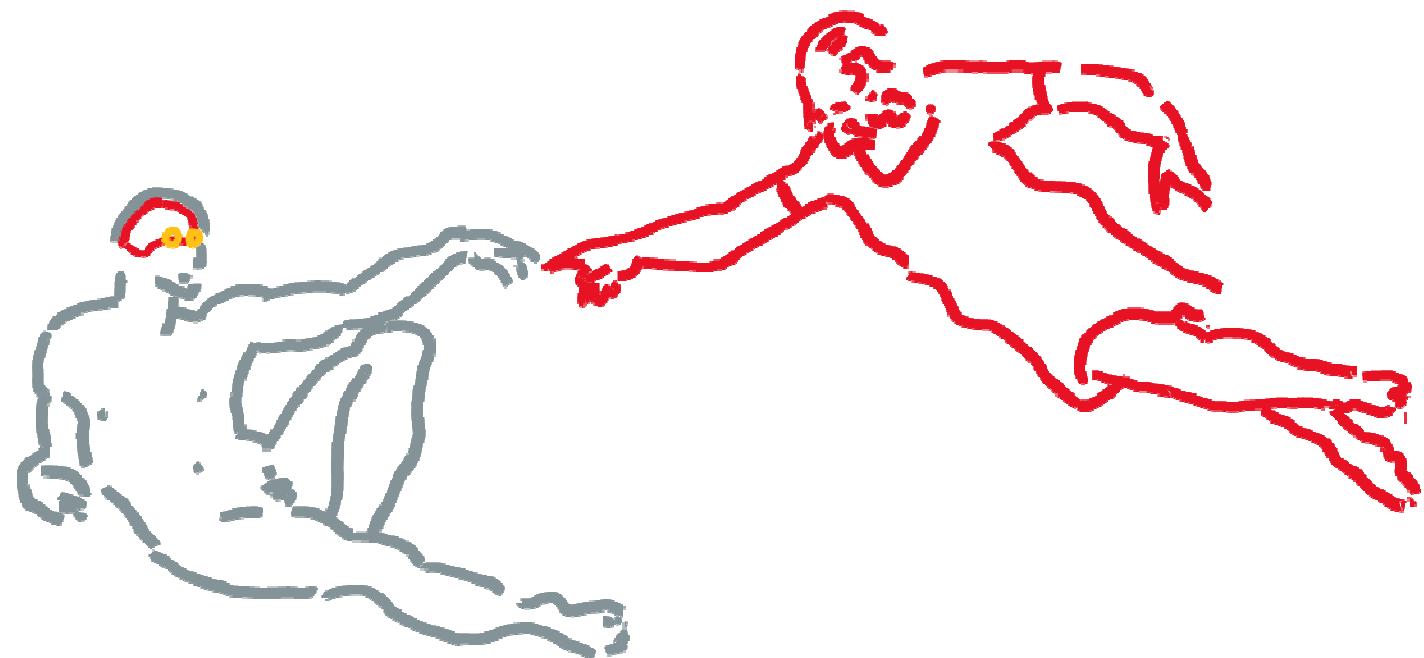
30/30

Better information estimates (e.g. using RBIG)



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ARTS & SCIENCES

IMAGE QUALITY  
PROBLEM



EFFICIENT CODING HYPOTHESIS

⑤

## DISCUSSION & CONCLUSIONS

30/30

Better information estimates (e.g. using RBIG)



ACADEMY OF TELEVISION  
ARTS & SCIENCES

IMAGE QUALITY  
PROBLEM

