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## Special Topic

# Metis: a fast integrated tokamak modelling tool for scenario design

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## Abstract

METIS is a numerical code aiming at fast full tokamak plasma analyses and predictions. It combines 0D scaling-law normalised heat and particle transport with 1D current diffusion modelling and 2D equilibria. It contains several heat, particle and impurities transport models, as well as heat, particle, current and momentum sources, which allow faster than real time scenario simulations. This paper gives a first comprehensive description of the METIS suite: overall structure of the code, main available models, details on the simulation workflow and numerical implementation. Some examples of applications to the analysis of experimental discharges and the predictions of ITER scenarios are also given.

Keywords: tokamak, modelling, prediction, analyses, control, scenario, transport

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Integrated modelling of burning plasmas is an essential tool for the realisation of the ITER program. For the first time in tokamak history, it is planned that any plasma experiment run on ITER must be first systematically simulated by an Integrated Modelling tool to check that the pulse is feasible, i.e. does exceed neither the physical nor the engineering limits of the machine. This tool would include a Plant Simulator and a plasma control system (PCS) [1] Simulator, self-consistently coupled in order to provide the most realistic simulation of the plasma dynamics as well as the diagnostics and

actuator responses under the control of the implemented PCS. For modelling the plasma dynamics of entire experiments (from short after plasma breakdown to short before the plasma termination), the present paradigm is to use so-called 1.5D Integrated Modelling codes, i.e. suite of codes solving transport equations in the plasma core for energy, poloidal flux, particles, toroidal momentum in the radial direction (1D in space) using flux surface averaged quantities from 2D equilibrium solvers (in the poloidal plane). Typical examples of such codes are CRONOS [2], JINTRAC [3], ASTRA [4], PTRANSP [5], CORSICA [6], TOPICS [7], and more recently the ETS [8].

These codes integrate in a modular structure, around the core transport equations solver, various components for computing the equilibrium, source/sink terms and transport coefficients. The degree of sophistication of these components can vary, but the present trend is to use state-of-the-art modules, in particular for source terms and transport coefficients, aiming at increasing the accuracy of the simulations. This naturally has a cost in computing time, in particular when the sophisticated module is located inside the convergence loop of the core transport solver (e.g. the transport coefficient computation). Being intrinsically sequential, the workflow of such codes is difficult to parallelize. While for simulation of short experiments of a few seconds, it is tractable to use the best available modules, simulation of ITER experiments which could last up to a few thousands of seconds (hybrid or steady-state scenarios) represents a computational challenge. The multi-scale nature of the physical problem is at the origin of this challenge: plasma turbulence, which is the main cause of energy, particle and toroidal momentum transport, evolves on time scales of  $\sim 10^{-6}$  s, transported quantities on a time scale of  $\sim 1$  s on ITER, while the time scale for diffusion of the poloidal flux occurs on  $\sim 1000$  s in high temperature ITER plasmas. The first-principle based transport models usually show a high degree of stiffness (the output transported flux is highly sensitive to the input temperature and density gradients) creating a numerical challenge for transport solvers. These are typically using internal time steps around  $10^{-4}$  s in order to converge with such stiff transport models for the simulation of transient transport phenomena. In these conditions, the simulation of a full discharge on ITER with a 1.5D transport code using sophisticated modules typically takes a few days. While this remains acceptable for detailed scenario studies, for scenario or controller scheme design one would benefit of having much faster tools that allow testing and optimising a large number of time-dependent scenarios in a computation time that allows interactive trial of scenario or controller parameters from the user. Such a tool would also be very useful to do a rapid inter-shot analysis of a plasma experiment and detect deviations with respect to an expected standard behaviour of the experiment, which could be due to an erroneous measurement or to the occurrence of a new physics phenomenon. Therefore such a tool, like the more sophisticated 1.5D plasma simulators, has applications to both prediction and analysis of plasma experiments.

This paper presents the METIS code, a fast transport simulator that has the properties described above. METIS is built on an original simplification paradigm of the transport problem, and allows realistic simulation of plasma scenarios in about 1 minute computation time, even for full ITER discharges of  $\sim 1000$  s duration. METIS is therefore faster than real time. METIS results have supported several publications in the past years, but the description of its algorithm and models, allowing the code to be faster than real time, has never been published so far. This description is the main goal of the present paper. The METIS model is presented in section 2. Following this, details about architecture and programming languages are given in section 3 and the link to experimental databases is presented in section 4. The variety of possible

METIS applications together with some detailed examples is given in section 5. Appendices provide a detailed description of the source models.

## 2. Physical model

To be faster than 1.5D codes, one has to simplify the physics model. This means *a priori* less reliability of the prediction, i.e. one would expect that the overall result of the simulation deviates more from a real experiment. In order to keep the reliability of the simulation results one has to carefully establish what are the aspects of the transport that can be modelled in a simpler way. Here the underlying principles for the METIS design have been the following: keep the 1.5D paradigm on what can be reliably modelled with accuracy (typically: plasma equilibrium and resistive current diffusion). Use a much simpler, quasi-0D approach for what is usually modelled with less reliability even by sophisticated models in the 1.5D paradigm (typically: turbulent transport). Keep in the model the non-linear interactions between the transported quantities, plasma equilibrium and source terms, in particular the key phenomenon for fusion reactors that is the self-heating via the fusion-born alpha particles. Keep in the model a realistic modelling of the dynamic character of the sources and plasma response, because we are aiming at realistic time-dependent simulations. We describe now the details of how these principles are practically implemented in METIS.

### 2.1. Plasma equilibrium and current diffusion

Among the quantities simulated by core transport codes, the plasma current density is the one that is most accurately predicted in a large variety of experiments. In the absence of magneto-hydrodynamic activity, the neoclassical resistivity seems a valid diffusive model to describe resistive current diffusion in tokamak experiments, at least during the flat-top phase of the H-mode [9]. Therefore in METIS current diffusion and plasma equilibrium computations are fully kept in the 1.5D paradigm: (i) a 2D equilibrium code is run periodically to update the metrics of the poloidal flux transport equation in a way consistent with the plasma pressure and current profiles; (ii) the 1D poloidal flux transport equation is solved with all its terms.

The current diffusion equation is solved in terms of the poloidal flux  $\Psi$ , on a uniform toroidal flux coordinate  $\rho$  grid, exactly as in the CRONOS 1.5D code [2]:

$$\frac{\partial \Psi}{\partial t} \Big|_{\rho_{\text{norm}}} = \frac{\left\langle \frac{|\nabla \rho|^2}{R^2} \right\rangle}{\mu_0 \sigma_{||} \rho_m^2 \left\langle \frac{1}{R^2} \right\rangle} \frac{\partial^2 \Psi}{\partial \rho_{\text{norm}}^2} + \left\{ \frac{\left\langle \frac{|\nabla \rho|^2}{R^2} \right\rangle}{\mu_0 \sigma_{||} \rho_m^2 \left\langle \frac{1}{R^2} \right\rangle} \frac{\partial}{\partial \rho_{\text{norm}}} \left[ \ln \left( \frac{\frac{\partial V}{\partial \rho_{\text{norm}}} \left\langle \frac{|\nabla \rho|^2}{R^2} \right\rangle}{F} \right) \right] \right. \\ \left. + \frac{\rho_{\text{norm}}}{\rho_m} \frac{d \rho_m}{dt} + \frac{\rho_{\text{norm}}}{2B_0} \frac{dB_0}{dt} \right\} \frac{\partial \Psi}{\partial \rho_{\text{norm}}} + \frac{B_0}{\sigma_{||} F \left\langle \frac{1}{R^2} \right\rangle} j_{ni} \quad (1)$$

where  $\frac{\partial \Psi}{\partial t} \Big|_{\rho_{\text{norm}}}$  is the time derivative of the poloidal flux at a given radial position  $\rho_{\text{norm}}$ ,  $\sigma_{||}$  denotes the parallel conductivity (calculated following the Sauter model [10]),  $F$  is the diamagnetic function,  $j_{ni}$  the current density driven by the

non-inductive sources,  $R$  the major radius,  $\mu_0$  the magnetic permeability of free space, ( $4\pi \times 10^{-7}$  in MKS units),  $\rho_m$  the value of  $\rho$  at the last closed flux surface, and the normalised toroidal flux coordinate  $\rho_{\text{norm}} = \frac{\rho}{\rho_m}$ . The notation  $\langle \rangle$  indicates a magnetic flux surface average, defined as the volume average of a quantity around a flux surface of radial coordinate  $\rho$ , i.e. in an elementary volume  $dV$  enclosed between two magnetic surfaces distant of  $d\rho$ . We remind the definition of the toroidal flux coordinate,  $\rho = \sqrt{\frac{\Phi}{\pi B_0}}$ , where  $\Phi$  is the toroidal magnetic flux,  $B_0$  the vacuum magnetic field at a given major radius  $R_0$  (usually taken at the centre of the vacuum vessel). The normalised radial grid does not depend on time, but  $\rho_m$  is time-dependent and calculated by the equilibrium solver.

Solving the current diffusion in the same way as the traditional 1.5D integrated modelling code is not a drawback from the performance point of view since it corresponds to the transport phenomenon with the longest time scale. To speed up the calculation, current diffusion is calculated on a 21 points radial grid only and the 3-moments description (Shafranov Shift, ellipticity and triangularity) is used for the MHD equilibrium [11, 12]. The last closed flux surface (LCFS) can be either described by moments or as a series of  $(R, Z)$  points. In this last case an additional morphing (continuous deformation) is applied to flux surfaces to match the LCFS with effect weighted as:

$$\rho(x, \theta) = \rho_{\text{moment}}(x, \theta) \left[ (1 - x^{e_m}) + x^{e_m} \frac{\rho_{\text{LCFS}}(\theta)}{\rho_{\text{moment}}(1, \theta)} \right] \quad (2)$$

where  $\rho_{\text{moment}}(x, \theta)$  is the moment description of flux surfaces ( $x$  is the radial coordinate described in next section and  $\theta$  is a poloidal angle);  $\rho_{\text{LCFS}}(\theta)$  is the LCFS poloidal representation given as a series of  $(R, Z)$  points;  $\rho_{\text{moment}}(1, \theta)$  is the LCFS described by moment and  $e_m$  is an arbitrary parameter. The value  $e_m = 5$  is typically used, as it has been found to minimize the discrepancy for geometrical coefficients involved in the current diffusion equation (1) for various devices (JET, Tore Supra, JT-60SA, ITER) and scenarios, with respect to equilibria calculated using the HELENA code [13]. The value of this parameter is kept tunable by the user.

## 2.2. Radial coordinate

For the description of all radial profiles, METIS uses a uniform 21 points grid on the normalized minor radius  $x$ , defined as follows: for each magnetic surface, the minor radius is defined as  $a = \frac{R_{\max} - R_{\min}}{2}$ , where  $R_{\max}$  (resp.  $R_{\min}$ ) is the maximum (resp. minimum) major radius of that surface. The minor radius is then normalized to its value at the LCFS  $a_m$ , so that  $x = \frac{a}{a_m}$ .

In H-mode, the top of pedestal, located at  $x_{\text{ped}}$  is always set in METIS at the second point from the edge. The internal grid of METIS is  $x_k = \frac{a_m}{20}(k - 1)$  with  $k$  an integer between 1 and 21 and  $x_{\text{ped}} = x_{20}$ . Therefore, the width of the pedestal is always 5% of plasma minor radius. Use of a simple grid with fixed step simplifies the numerical computation. This

technical choice typically overestimates the pedestal width which is about 2%–3% of the minor radius in present experiments, but this has a very limited impact on the profiles predicted by METIS, which are based on scaling expressions for the energy content of core plasma and the pedestal (so they are not gradient based, see the section below). We have checked that with a width of 5% we have about the same amount of bootstrap current (the difference is less than 5%), integrated on pedestal width in METIS than with a pedestal width of 2%–3% in CRONOS simulations.

## 2.3. Heat transport

The transport of energy in the core of tokamak plasmas is dominated by turbulence. In spite of enormous efforts and progress made by the fusion community to understand and predict plasma turbulence, the prediction of the resulting transport flux still features large uncertainties. First-principle gyrokinetic codes are extremely expensive in terms of computing resources so that they can barely be applied to the long-time scales of plasma experiments. On the other hand, the existing reduced models (e.g. GLF23 [14], TGLF [15], Qualikiz [16]), even when first-principle based, fail to capture completely the complexity of the turbulence phenomena and their predictions are in some cases quite far from the experimental results. This is particularly true for plasmas at high beta and a significant fraction of energetic particles, where non-linear physics becomes dominant [17, 18], but also for H-mode plasmas where the fusion performance is fully dominated by the height of the pedestal which is still far from being predicted reliably. We touch here the main paradox of present Integrated Modelling, which is that the largest source of uncertainties lies at the heart of the problem solved, namely the prediction of the heat and particle fluxes. Moreover, the reduced ‘first-principle based’ models usually feature strong dependences on the gradients of the transported quantities, which requires using rather small time steps in the transport solver (typically  $\sim 10^{-4}$  s) if one wants a numerically accurate resolution of the transport dynamics. This numerical constraint makes this type of models difficult to apply to simulate a full ITER discharge lasting several hundreds of seconds. This is even more difficult in view of scenario optimisation studies where it is desired to test several combinations of actuators, e.g. power, timing and parameters, hence requiring a large number of these long simulations and some manual trial and error process.

Therefore simplifying the heat transport modelling assumptions, which are both the largest source of uncertainty and the largest CPU time consumption in a classical 1.5D integrated modelling simulation, is an effective way of increasing the performance with the smallest impact on the reliability of the results, in view of a fast scenario simulator. In METIS, heat transport is treated in a mixed 0D–1D approach in two steps, by separating the time and radial dimensions. This approach is found to be quite successful for simulating the main dynamics of the core plasma temperature profiles, although it naturally has limitations for phenomena in which spatial and temporal

evolutions are coupled: for example, details of heat pulse propagation following a pellet injection cannot be resolved and would require using a classical 1.5D solver.

The first step consists in solving a time-dependent 0D equation for the plasma thermal energy content  $W_{\text{th}}$ :

$$\frac{dW_{\text{th}}}{dt} = -\frac{W_{\text{th}}}{\tau_E} + P_{\text{loss}}. \quad (3)$$

In this ordinary differential equation scaling expressions for the energy confinement time  $\tau_E$  are typically used, a multi-machine approach widely used for extrapolating present results to future tokamaks such as ITER [19]. Although this approach has inherent limitations when non-linear physics is dominant, it is still valid for a significant class of future plasmas [20].  $P_{\text{loss}}$  represents the total power transported through the plasma separatrix by diffusion or convection mechanisms (detailed expressions are given in appendix B). Multiple scaling expressions are coded in METIS for calculating the value of  $\tau_E$  in a self-consistent way with the actual parameters. The expression of  $W_{\text{th}}$  actually depends on whether the plasma is in L or H mode. This information, as well as the ability to handle L–H and H–L transitions, is of course essential for a full scenario simulator such as METIS. The list of available scaling expressions is of course easily extensible and could cope with e.g. new scaling laws based on the future analysis of the ITER shot database, in order to make METIS even more useful for ITER operation.

The METIS code uses scaling expressions of the L–H power threshold to deduce whether the plasma is in L or H mode. Multiple options are available from the literature and new ones can be easily added.

When in L mode, no pedestal is created and an L-mode scaling expression is used for  $\tau_E$  in equation (3).

When in H mode:

- A pedestal is created. Its height can be prescribed in multiple ways (constant or scaling expression).
- An H-mode scaling expression is used for  $\tau_E$  in equation (1).

By default, the L–H transition is modeled as an immediate change of the value of  $\tau_E$  in equation (1). Nevertheless in experiments, the transition from L to H mode is not abrupt when crossing the power threshold. There is often a  $P_{\text{loss}}$  range slightly above the threshold  $P_{\text{threshold}}$  that yields an intermediate confinement level. This can be mimicked in METIS by defining a linear transition in  $\frac{P_{\text{loss}}}{P_{\text{threshold}}}$  between the L and H mode energy confinement scaling expressions. A detailed explanation about how  $P_{\text{loss}}$  is calculated is given in the appendix.

The second step consists in calculating the electron and ion temperature profiles, assuming steady-state transport equations and purely diffusive transport, the heat flux at a radial position  $x$  can be expressed as:

$$\frac{\partial T_e}{\partial x} = \frac{-\int_0^x V' Q_e}{n_e \chi_e \langle |\nabla \rho|^2 \rangle} \text{ and } \frac{\partial T_i}{\partial x} = \frac{-\int_0^x V' Q_i}{n_i \chi_i \langle |\nabla \rho|^2 \rangle}. \quad (4a)$$

Or alternatively the conductivity can be used instead of diffusivity:

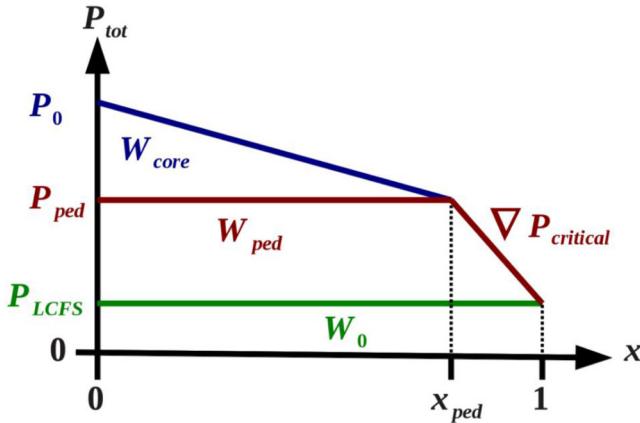
$$\frac{\partial T_e}{\partial x} = \frac{-\int_0^x V' Q_e}{\kappa_e V' \langle |\nabla \rho|^2 \rangle} \text{ and } \frac{\partial T_i}{\partial x} = \frac{-\int_0^x V' Q_i}{\kappa_i V' \langle |\nabla \rho|^2 \rangle} \quad (4b)$$

where  $Q_e$  and  $Q_i$  are the sum of all the electron and ion heat source terms, including the equipartition term  $Q_{ei}$ . The diffusion coefficients for electron and ions are noted respectively  $\chi_e$  and  $\chi_i$ . The conductivity coefficients for electron and ions are noted respectively  $\kappa_e$  and  $\kappa_i$  (linked to diffusion coefficients by:  $\kappa_{e,i} = n_{e,i} \chi_{e,i}$ ). The geometrical coefficient  $V'$  is the derivative of the plasma volume enclosed in a magnetic surface with respect to the normalised minor radius  $x$ , while  $\langle |\nabla \rho|^2 \rangle$  is the surface average of the squared gradient of the toroidal flux coordinate. We note that although the temperature profiles are solved using steady-state equations, the dynamics of heat transport can still be accounted for by the first equation (on the global energy) which is time-dependent and used to normalize the heat conductivities  $\kappa_e$  and  $\kappa_i$  (or alternatively the diffusion coefficients  $\chi_e$  and  $\chi_i$ ). Validation against experiments shows that this approximated approach is relevant for the description of transient phenomena at the scenario level (e.g. transport dynamics during current ramps). Note also that with this formulation, the temperature profiles calculated by METIS take into account the information on the radial distribution of the heat sources.

To complete the second step, the diffusion coefficients used in the equations (4) must be calculated in a way consistent with the energy content that has been calculated at the first step. This is done differently in L and H mode.

In L-mode:

- i. The dependence of the electron diffusion coefficient on plasma parameters or on the radial coordinate is prescribed. Multiple options are coded in METIS and new expressions can be easily added. Three widely used options are (a) Bohm-gyro-Bohm model [21, 22] (b) fixed radial dependence of the type  $\chi_e = \chi_0(1 + \lambda x^\gamma)$ ; where  $\lambda$  and  $\gamma$  are constants chosen by the user (the default values are  $\lambda = 3$  and  $\gamma = 2$ , or (c)  $\chi_e = \chi_0 q^\gamma(x)$ ; where  $q$  is the safety factor and  $\gamma$  is a constant chosen by the user.
- ii. The ion diffusion coefficient is calculated from the electron one with a simple expression of the type:  $\chi_i = \mu_{e,i} \chi_e$ . Often  $\mu_{e,i}$  is prescribed to be a constant, but it can also be provided by other analytical expressions [23–26]
- iii. Steps i and ii yield the radial shape of the diffusion coefficients and their relative value. The coefficients are then normalized in such a way that the thermal energy content obtained from the integral of the pressure profile corresponds to the value calculated from equation (1), i.e.  $\frac{3}{2} \int_{x=0}^1 (n_e T_e + n_i T_i) V' dx = W_{\text{th}}$ . In order to have an exact (inverse) proportionality relation between the normalization factor of the diffusivities involved in equations (4) and the energy content, the contribution of the boundary conditions  $T_{e,\text{LCFS}}$  and  $T_{i,\text{LCFS}}$  to the integral must be removed. Indeed equations (4) define only the temperature gradient, therefore the temperature profiles are defined as  $T(x) = T_{\text{LCFS}} + \int_1^x \frac{\partial T}{\partial x} dx$ , in



**Figure 1.** Calculation of the plasma energy content. The energy is decomposed between offset ( $W_0$ ), pedestal ( $W_{ped}$ ) and core ( $W_{core}$ ).

which only the second term is inversely proportional to the diffusivity. The contribution to the integral of a finite LCFS temperature represents an energy content  $W_0 = \frac{3}{2} \int_{x=0}^1 (n_e T_{e,LCFS} + n_i T_{i,LCFS}) V' dx$ . The normalization constant of the diffusivities is therefore calculated following the relation  $\frac{3}{2} \int_{x=0}^1 (n_e \int_1^x \frac{\partial T_e}{\partial x} dx + n_i \int_1^x \frac{\partial T_i}{\partial x} dx) V' dx = W_{th} - W_0$ .

To complete step 2, a convergence loop on the temperature profiles resulting from this process is performed in order to find the self-consistent value of the equipartition term  $Q_{ei}$  in equations (4), which is proportional to  $T_e - T_i$ .

In H-mode, the procedure is similar to that of the L mode, with the only difference that the pedestal top is used as the boundary condition for the integration of equations (4). A scheme of such a procedure is shown in figure 1.

#### 2.4. Electron density profile

Particle transport is also dominated by turbulence, however the level of understanding is lower than that for heat transport. The existence of significant inward and outward pinches which in turn depend on the accurate description of turbulence requires a significant amount of computational time if a first principle approach is used. Additionally, unlike for heat transport, the sources uncertainties are significant close to the pedestal region. On the other hand, a significant amount of work has been performed in order to characterize some density features, as the peaking, by analysing extensive plasma tokamak databases [27]. In METIS, an approach that is even simpler than for heat transport is chosen, based on the prescription of some quantities.

The primary quantity for determining the density of the plasma species in METIS is the electron density profile. It is described by 3 parameters, which can vary with time:

- the line-averaged density ( $\bar{n}_e$ ), which is prescribed
- the peaking factor  $\frac{n_0}{\langle n \rangle}$  which is either prescribed or computed with the help of a scaling expression (to take into account self-consistent dependencies on plasma parameters, various options from the literature are avail-

able, with one set of scaling expression for L-mode and another one for H-mode)

- iii. the density value at the separatrix ( $n_{e,a}$ ) obtained from simple models or scaling expressions depending of the plasma configuration: poloidal limiter, toroidal limiter, axisymmetric divertor with X-point. In the last case (X-point) the expression depends also of the confinement mode L or H.

In L mode the shape of the profile is defined as:

$$n_e(t, x) = (n_{e,0}(t) - n_{e,a}(t))(1 - x^2)^{\nu_n(t)} + n_{e,a}(t) \quad (5)$$

$$\text{where } \nu_n = \frac{n_e(t, x=0)}{\langle n_e \rangle(t)} - 1.$$

In H mode, in order to ensure that the pedestal is also present in the electron density profile, the density profile is computed by another method. The density profile is constrained by the line-averaged value, the peaking factor, the constraint of flat profile at the centre, the edge value and the constraint that the temperature profiles are strictly monotonically decreasing. A piecewise cubic Hermite polynomial interpolation is used to compute the profile, calculating the pedestal density that fulfils those 4 constraints: the electron density is given at 3 points: at the centre ( $x = 0$ ), at the top of the pedestal ( $x = 0.95$ ) and at the edge ( $x = 1$ ) with the constraint of null derivative at the centre. The value at the top of the pedestal is computed as the maximal value ensuring negative derivative of electron temperature (with a minimum of 0.01 ( $T_{e,0} - T_{e,a}$ ))

#### 2.5. Post-processing of temperatures and electron density with neural network based models

From the results of an initial METIS simulation, it is possible to compute as a post-processing the electron temperature, ion temperature and electron density using more sophisticated transport models than the scaling-based ones. For this post-processing duration to remain of the same order as the one of the main METIS computation, neural network based models such as Qualikiz-NN should be used, which are approximations to quite sophisticated transport models [28]. This allows comparing the prediction of such more physics-based transport models to the scaling based-ones. In this calculation, the steady-state transport equation is solved from the top of the pedestal to the magnetic axis and keeping the sources, current density profile and equilibrium from the result of the initial METIS simulation. The steady-state solution is thus calculated for each time step using physics-based transport models. However, in order to keep a fast computation time, this is at the expense of losing the self-consistency between transport, equilibrium, and sources.

#### 2.6. Ion species

In METIS, all ion species density profiles are assumed to be proportional to the electron density profile, with exceptions of the tungsten species and helium ashes, which have specific treatments (see appendices D.1 and D.2).

Alternatively to this simple assumption, one can use a simple neoclassical model based on impurity shielding/accumulation, similar to that one used for tungsten. However in practice this model is not frequently used, as turbulent transport remains generally dominant over the neoclassical one for light impurities.

The user also specifies the reference effective charge (line averaged) for the plasma  $Z_{\text{eff,ref}}$ , which is either prescribed as a time-dependent value or self-consistently calculated from a scaling expression. This value is called ‘reference’, although it is used as the exact effective charge of the plasma when the main plasma species are H, D, He. A correction is applied in the case of D-T mixture to account for the presence of  $H_{\text{e,ashes}}$  resulting from the D-T fusion reactions and in case of presence of tungsten impurity. It thus represents the effective charge in absence of  $H_{\text{e,ashes}}$  and tungsten.

Then, various types of plasma compositions, which have to be consistent with  $Z_{\text{eff,ref}}$ , can be prescribed by the user. METIS first calculates their volume average densities, from the rules explained below, then applies the radial profile option (by default: same profile as the electron density) to these average densities.

METIS distinguishes the following types of ion species:

- Main species: can be H, D, D-T mixture, or He. In case of a D-T mixture, the user prescribes the isotopic density ratio  $\langle n_T \rangle / \langle n_D \rangle$  and a specific treatment is applied for the Helium density (see section D.1).
- Minority species: in case of ion cyclotron resonant heating (ICRH) minority heating, the user can specify the type of one minority species (H, D, T, He3 and He4) and the average density ratio of the minority species with respect to the main ion species.
- Impurity species: two additional impurity species can be included, the user specifies their type as well as their relative average density ratio  $\langle n_{\text{imp},1} \rangle / \langle n_{\text{imp},2} \rangle$ .
- Optionally, the tungsten species can be added. It is treated separately, self consistently with the divertor to provide feedback on the tungsten source (see section D.2).

**2.6.1. Computation of the ion densities.** The specification of the plasma composition and the average density ratios as described above, together with the effective charge and electro-neutrality constraints, provides a linear system with equal number equations and unknowns and thus allows calculating the average density of all ion species.

The final value of the effective charge is computed with the whole set of profiles including helium ashes and tungsten.

In the presence of Helium ashes or Tungsten impurity, the effective charge is modified and become:

$$Z_{\text{eff}}(t, x) = \frac{n_H(t, x) + n_D(t, x) + n_T(t, x) + 4n_{\text{He}}(t, x) + Z_{\text{imp},1}^2 n_{\text{imp},1}(t, x) + Z_{\text{imp},2}^2 n_{\text{imp},2}(t, x) + Z_{\text{W,averaged}}^2(T_e(t, x)) n_W(t, x)}{n_e(t, x)}. \quad (6)$$

Verifying (in case of D-T plasma):

$$\begin{aligned} n_e(t, x) &= n_H(t, x) + n_D(t, x) + n_T(t, x) \\ &\quad + 4n_{\text{He}}(t, x) + Z_{\text{imp},1} n_{\text{imp},1}(t, x) \\ &\quad + Z_{\text{imp},2} n_{\text{imp},2}(t, x) + Z_{\text{W,averaged}}(T_e(t, x)) n_W(t, x). \end{aligned}$$

With  $n_{\text{imp},2} = \langle n_{\text{imp},1} \rangle / \langle n_{\text{imp},2} \rangle n_{\text{imp},1}$ ,  $n_T = \langle n_T \rangle / \langle n_D \rangle n_D$  and  $n_H = c_{\min} n_D$

For other main ions choice the formulation is updated as follows:

- Hydrogen main ion: if the minority species is not deuterium  $n_D = \langle n_T \rangle / \langle n_D \rangle n_H$  and  $n_T = 0$ , otherwise  $n_D = \left( \langle n_T \rangle / \langle n_D \rangle + c_{\min} \right) n_H$  and  $n_T = 0$ .
- Helium main ion:  $n_D = n_H = n_T = 0$ ; if ICRH minority heating scheme using H, D or T then  $n_{\text{H,D,T}} = c_{\min} n_{\text{He}}$

## 2.7. Plasma rotation

An estimate of the rotation is carried out in METIS, mainly taking into account the effect of neutral beam injection and intrinsic rotation. Simple models are used to handle toroidal rotation due to parallel electric field and lower-hybrid current drive (LHCD). The effect of ripple losses is only taken into account for Tore Supra, device for which a scaling exists [29]. The toroidal rotation due to fast ion losses and to fast ion momentum transport are not taken into account, because there is no simple model available for these. No feedback of the rotation on the standard confinement is taken into account. Toroidal rotation only impacts the ITB formation.

The simple model implemented in METIS is sufficient for the study of neutral beam injection (NBI) dominated plasmas, as well as for reactor studies, in which the fast alpha distribution is close to be isotropic and does not allow to transport a significant part of toroidal momentum [30]. This simplified model does not allow studying plasma rotation when the plasma is heated mainly by ion cyclotron resonant heating (ICRH), when ripple is significant on other devices than Tore Supra, or when the confinement of fast particles is poor (i.e. when the fast particle orbit size is comparable to the minor radius of the plasma).

The computation of rotation in METIS is separated in three parts. The first part consists in the evaluation of the volume-averaged toroidal momentum. The second part consists in the evaluation of rotation at the LCFS. The third part consists in the computation of the radial profiles of toroidal and poloidal rotation velocities and finally of the radial electric field.

The volume-averaged toroidal momentum computation is analogous to that of the energy content. A general discussion can be found in [31]. The total momentum is defined as:

$$R_{\text{tot}} = \int_0^1 \sum_{k \in \{\text{species}\}} m_p A_k n_k R v_{\varphi,k} V' dx \quad (7)$$

where  $v_{\varphi,k}$  is the toroidal velocity of the species  $k$ ,  $m_p$  the proton mass,  $A_k$  the number of nucleons of the species,  $n_k$  the species density,  $R$  the geometrical major radius of the flux surface. With this approach, the contribution of electrons is neglected because of their negligibly small mass.

The evolution equation of  $R_{\text{tot}}$  is:

$$\frac{dR_{\text{tot}}}{dt} = -\frac{R_{\text{tot}}}{\tau_\varphi} + S_{\varphi,\text{NBI}} + S_{\varphi,\text{self}} + S_{\varphi,\text{RF}} + S_{\varphi,E_{\parallel}} + S_{\varphi,\text{ripple}} + F_{n,0} \quad (8)$$

where the toroidal momentum confinement time  $\tau_\varphi$  is assumed to be related to the energy confinement time  $\tau_E$  (see below for details) and includes the friction of the plasma with neutrals at the edge. From  $R_{\text{tot}}$  we deduce the volume-averaged plasma rotation  $\omega_\varphi = \frac{R_{\text{tot}}}{\Gamma_\varphi R_{\text{axis}}}$  with  $\Gamma_\varphi$  is the conversion factor between velocity and momentum:

$$\Gamma_\varphi = \frac{1}{\langle v_{\varphi,\text{shape}} \rangle} \int_0^1 \sum_{k \in \{\text{species}\}} m_p A_k n_k R v_{\varphi,\text{shape}} V' dx \quad (9)$$

$$\text{and } \langle v_{\varphi,\text{shape}} \rangle = \frac{\int_0^1 v_{\varphi,\text{shape}} V' dx}{\int_0^1 V' dx}$$

with  $v_{\varphi,\text{shape}}$  is the profile of toroidal rotation as described below (assuming that all species have same rotation shape profile) and  $R_{\text{axis}}$  the center of each flux surface.

Momentum sources are due to:

#### 1. Neutral beam injection toroidal moment source:

$$S_{\varphi,\text{NBI}} = \sum_{b \in \{\text{H,D,T}\}} m_b \int_0^1 R_{\text{axe}}(x) \frac{p_{\text{NBI},b}(x)}{eE_{b0}} \sqrt{\frac{2eE_{b0}}{m_b}} \mu_b(x) V'(x) dx. \quad (10)$$

With  $R_{\text{axe}}$  is the magnetic axis of each flux surface;  $p_{\text{NBI},b}(x)$  is the profile of power deposition due to NBI;  $\mu_b(x)$  is the profile of pitch angle ( $\mu = \frac{v_{\parallel}}{v}$ );  $m_b$  is the mass of injected species;  $E_{b0}$  is the injection energy in eV and  $e$  the electron charge.

This expression implies momentum conservation, which is true if fast ion losses are negligible.

#### 2. The intrinsic plasma toroidal rotation source is:

$$S_{\varphi,\text{self}} = \frac{\Gamma_\varphi v_{\varphi,\text{self}}}{\tau_\varphi}. \quad (11)$$

The intrinsic plasma rotation  $v_{\varphi,\text{self}}$  is given by a scaling law time a factor:

$$v_{\varphi,\text{self}} = f_{\text{intrinsic}} v_{\varphi,\text{scaling}} \quad (12)$$

Where  $v_{\varphi,\text{scaling}}$  is either:

- Rice scaling is taken from [32]:

$$v_{\varphi,\text{scaling}} = 0.4610^{11} B_{\text{ref}}^{1.1} P_\varphi^{1.0} I_p^{-1.9} R_{\text{ref}}^{2.2} \quad (13)$$

$$P_\varphi = 2 \left\langle e T_i \sum_{k \in \{\text{species}\}} n_k \right\rangle + \frac{2}{3} \frac{(W - W_{\text{th}})}{V_p}. \quad (14)$$

This expression for  $P_\varphi$  takes into account the fact that for dominant electron heating the spontaneous toroidal rotation changes only because of ion pressure increased. On JET it was found that for NBI heated plasma, the thermal pressure is close to two times the ion pressure.

- Barnes and Parra scaling [33] for which we have taken

$$\Delta T_i = \frac{\langle P_{\text{ion}} \rangle}{\langle n_{\text{ion}} \rangle}$$

- deGrassie scaling [34] for which we have taken

$$\Delta T_i = \frac{\langle P_{\text{ion}} \rangle}{\langle n_{\text{ion}} \rangle}.$$

This definition of  $\Delta T_i$  is the best generalization of the experimental measurement used in papers that can extend the implementation of the formula to METIS.

The factor  $f_{\text{intrinsic}}$  is either provided by the user or computed using the model described in [35].

#### 3. RF driven rotation source terms:

This source term correspond to the toroidal moment transferred from RF waves to the plasma [36]:

$$S_{\varphi,\text{RF}} = - (2 D_{\text{LH}} - 1) \frac{R_{\text{ref}} n_{||,0}}{c} P_{\text{LH},\text{th}} - s_{\text{ECCD}} \frac{R_{\text{ref}}}{2 c} P_{\text{ECRH}} \quad (15)$$

where  $D_{\text{LH}}$  is the directivity of LH wave. The parallel refractive index of the EC wave is assumed to be near the optimal value to maximized current drive efficiency ( $s_{\text{eccd}} \sim 0.5$ )  $R_{\text{ref}}$  is the geometrical center of the plasma ( $R_{\text{ref}} = \frac{R_{\max} - R_{\min}}{2}$ ).

#### 4. Rotation source due to parallel electric field:

This term is negligible during flat-top but important during ramp-up and also because it breaks the symmetry between co and counter current [37]. This term reads:

$$S_{\varphi,E_{\parallel}} = \frac{m_e}{e m_p} \frac{\Gamma_\varphi}{\tau_\varphi} \frac{M_{\text{eff}}}{Z_{\text{eff}}} \frac{I_\Omega + I_{\text{run}}}{\langle n_e \rangle S_p} \quad (16)$$

where  $M_{\text{eff}}$  is the effective mass of the plasma;  $I_\Omega$  the ohmic current and  $I_{\text{run}}$  the electron runaway current;  $\langle n_e \rangle$  is the volume averaged electron density and  $S_p$  the plasma poloidal surface.

#### 5. Friction on cold neutral:

At the edge of the core plasma, the rotation is slowed down by the friction with cold neutrals coming from recycling and gas puff. The main contribution comes from charge exchange between cold neutrals and plasma ions. This term reads:

$$F_{n,0} = -m_p \int_0^1 \left( \sum_{k \in \{\text{H,D,T}\}} A_k n_k \right) \langle \sigma v \rangle_{\text{cx}} R_{\text{axe}} v_\varphi n_0 V' dx \quad (17)$$

where  $n_0$  is the density of neutral hydrogen isotopes (see appendix A.11),  $\langle \sigma v \rangle_{\text{ex}}$  the rate for charge exchange reactions. This factor is implemented only for H/D/T plasma.

The confinement time of toroidal rotation is observed to be a fraction of the energy confinement time [38, 39] and often close to the ion confinement time:

$$\tau_\varphi = f_{\tau,\text{rot}} \min(\tau_E, \tau_{ii}). \quad (18)$$

With  $\tau_{ii} = \frac{e \int_0^1 n_i T_i V' dx}{\int_0^1 (Q_i + Q_{ei}) V' dx}$  and  $f_{\tau,\text{rot}}$  is an adjustable factor of order of one.

Usually, the edge value of plasma rotation is far from being null. We use a simple model to determine it assuming there is no friction with the SoL and the only damping term is the friction with neutrals. Additionally, we assume that momentum is purely convective at the edge. The edge rotation reads:

$$R_{\text{tot,edge}} = \frac{(S_{\varphi,\text{NBI}} + S_{\varphi,\text{self}} + S_{\varphi,\text{RF}} + S_{\varphi,E_{||}} + S_{\varphi,\text{ripple}})}{\sum_{k \in \{\text{species}\}} \frac{A_k n_k}{Z_k n_k} m_p n_{\text{out}} R_{\text{ref}} - F_{n,0}} \quad (19)$$

where  $n_{\text{out}}$  is the flux of ions exchange through the LCFS that contains contributions from interchange, cold neutral fuelling, pellets fuelling and neutral beam injection:

$$n_{\text{out}} = \frac{2 \int_0^x (Q_i + Q_{ei}) V' dx}{5 e T_{i,a}} + \frac{S_0}{\bar{Z}_0} + S_{\text{pellet}} + \frac{P_{\text{NBI}}}{e E_{b0}}. \quad (20)$$

The first term in RHS of equation (20) is, in most of cases, the main contribution to  $n_{\text{out}}$ ; others terms being negligible. Nevertheless, with only this first term, the prediction of edge rotation can become unphysical during early ramp-up phase and during some transients. To prevent this to happen, terms taking into account particle sources have been added.

The third part of this calculation consists in an estimation of the radial electric field  $E_r(x)$ , poloidal ( $V_{\theta,\text{imp}}$ ) and toroidal ( $V_{\varphi,\text{imp}}$ ) rotation of the main impurity, in the plasma equatorial plane ( $Z = Z_{\text{ref}}$ ) at the low field side. We assume that the toroidal angular rotation  $\omega_\varphi(x)$  is homothetic to a chosen kinetic profile (usually the ion temperature, which has been found to be a good proxy for  $V_{\varphi,\text{imp}}$  in JET NBI-dominated experiments) and preserves  $R_{\text{tot}}$  with boundary condition edge value given above. By using formula (8.22 and 13.1) from [40], weighting it with mass and density and summing on all species and flux averaging it (see [2]), we are able to compute the radial electric field from  $\omega_\varphi(x)$ , pressure gradients and poloidal rotation estimation ( $V_{\theta,k} = u_k B_\theta$ ):

$$E_r = \left[ \omega_\varphi \frac{d\Psi}{d\rho} + \frac{1}{\sum_{k \in \{\text{species}\}} m_k n_k} \sum_{k \in \{\text{species}\}} \right. \\ \times \left. \left( \frac{m_k}{Z_k} \frac{d(T_i n_k)}{d\rho} - m_k n_k u_k F \left\langle \frac{1}{R^2} \right\rangle \frac{d\psi}{d\rho} \right) \right] \langle |\nabla \rho| \rangle. \quad (21)$$

The poloidal rotation ( $V_{\theta,k}$ ) is estimated using either:

- The Kim formulation [37] that provides the poloidal rotation in a plasma composed of one main ion and one impurity

- Assuming no friction between ion species and using the formulation from [41]
- Assuming all ion species have the same toroidal rotation
- Assuming solid plasma rotation, i.e.  $V_{\theta,k} = 0$

To compute the toroidal rotation ( $V_{\varphi,\text{imp}}$ ), we applied equation (14) in the [2].

## 2.8. Sources

METIS includes fast solvers for the computation of the source terms for the current diffusion equation (1) and the heat transport equation (4a), (4b), where electron and ion energy sources are distinguished. A source term is a radial profile which is also time-dependent and evaluated at each time slice of the METIS simulation. The source terms are also recalculated at each step of the global convergence scheme in order to obtain a self-consistent solution. Therefore, the source modules are chosen to be fast and simple enough to avoid impeding the overall computation speed of METIS. Particle and toroidal momentum profiles being not calculated from the usual transport equations, they do not require the calculation of ‘source terms’ in the classical definition: the external input of particles and toroidal momentum are treated differently (see sections 2.4, 2.6 and 2.7).

The source terms of the transport equations can be either prescribed as time-dependent radial profiles or calculated consistently with the simulated plasma characteristics. The source modules calculate also (when relevant) the neutron rate due to fusion reactions (either from thermal or energetic ions), as well as the fast particle pressure (parallel and perpendicular to the magnetic field) due to the heating scheme. Those quantities are not directly involved in the transport equations, though the fast particle pressure contributes to the total pressure and thus enters the equilibrium calculation as an input. They are however very useful quantities for comparison of the simulation to an experiment and data consistency applications (neutron production, stored energy) as shown for JET [42].

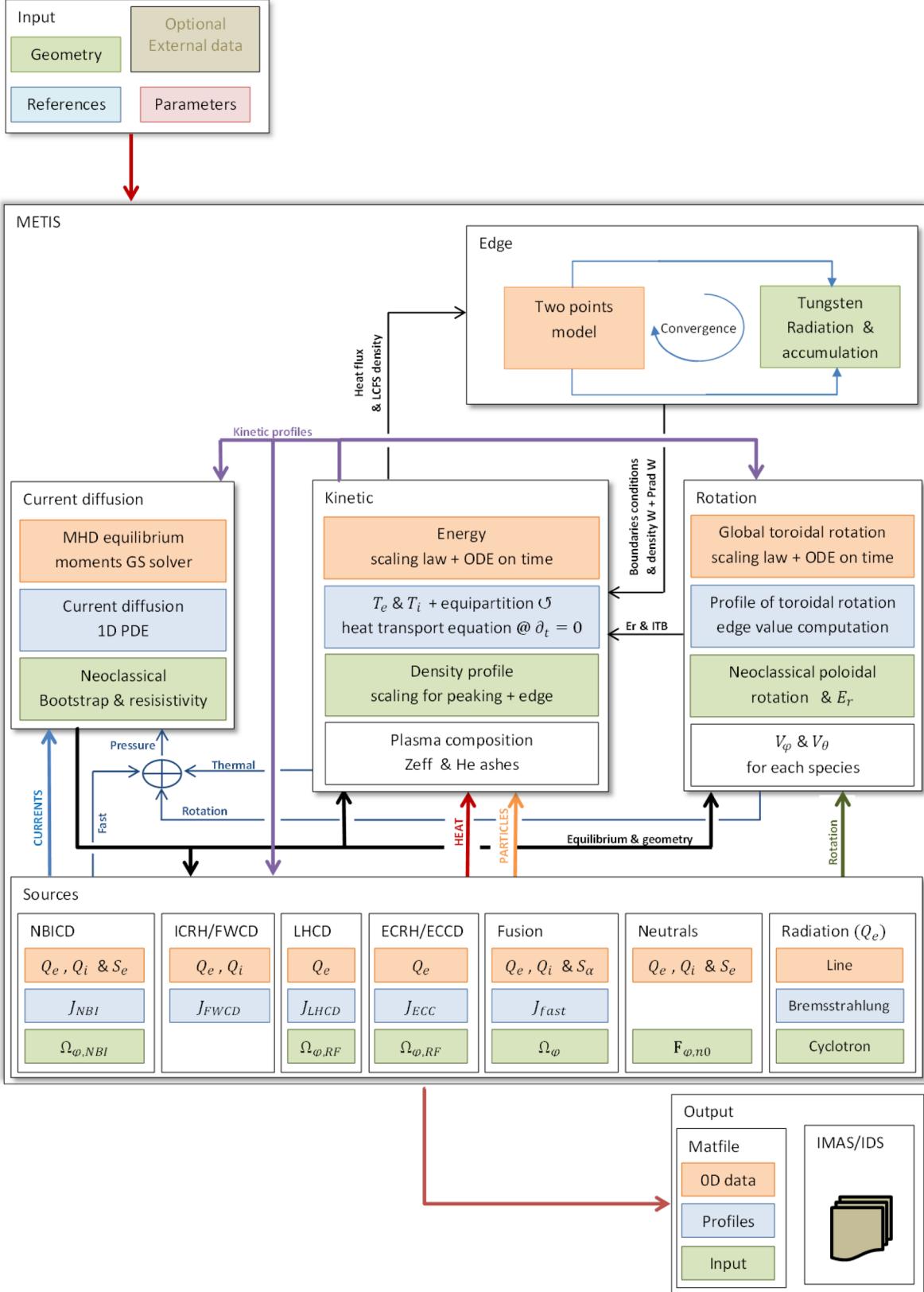
Several source terms have been included in METIS such as NBI, ICRH, lower hybrid (LH), electron cyclotron resonance heating (ECRF), pellets, fusion reactions of thermal ions, ripple effects, ionisation from wall recycling/gas puffing, radiative processes. In general, a simplified description is used for each source term which, on the other hand, is sufficient to have a first evaluation on their impact on the main plasma characteristics. A detailed description of the different sources is given in the appendix.

## 3. Numerical algorithm

METIS is coded mostly in MATLAB language and contains some mexfile in C and FORTRAN allowing to speed-up the computation (for interpolation and PDE resolution).

### 3.1. Global convergence in time and non-linearities

A key challenge in plasma physics is the strong non-linear coupling between plasma profiles, transport coefficients and source terms of transport equations, as sketched on figure 2.

**Figure 2.** Overview of METIS internal organization.

In classical 1.5D integrated modelling codes, in order to take accurately into account these non-linear couplings, a convergence loop is usually carried out at each time step, which is very expensive in terms of CPU time. In METIS, in order

to use large time steps and aiming at a fast Plasma Simulator, an original numerical algorithm has been developed, similar to a waveform relaxation algorithm [43]. It consists on a global convergence loop on the whole time evolution of all

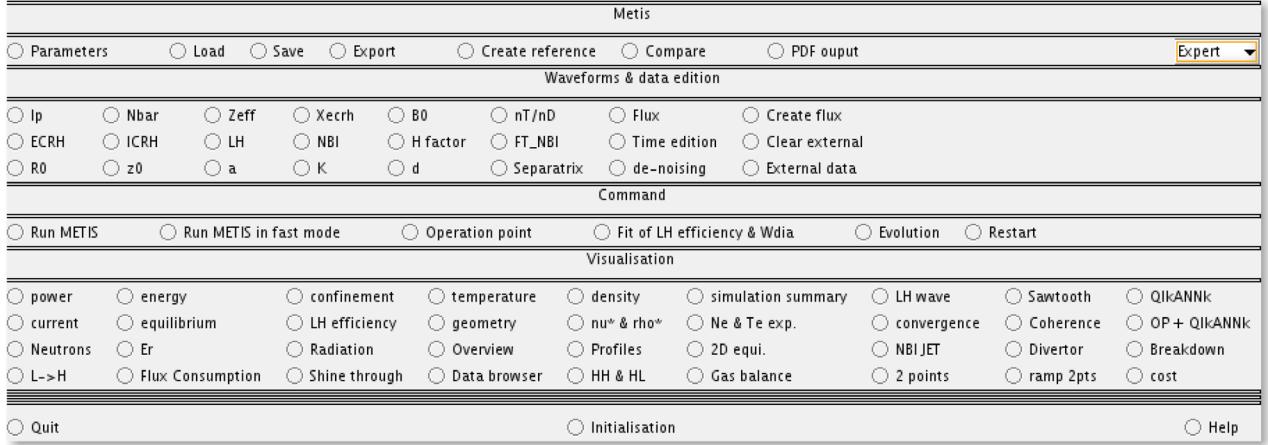


Figure 3. Main window of METIS GUI.

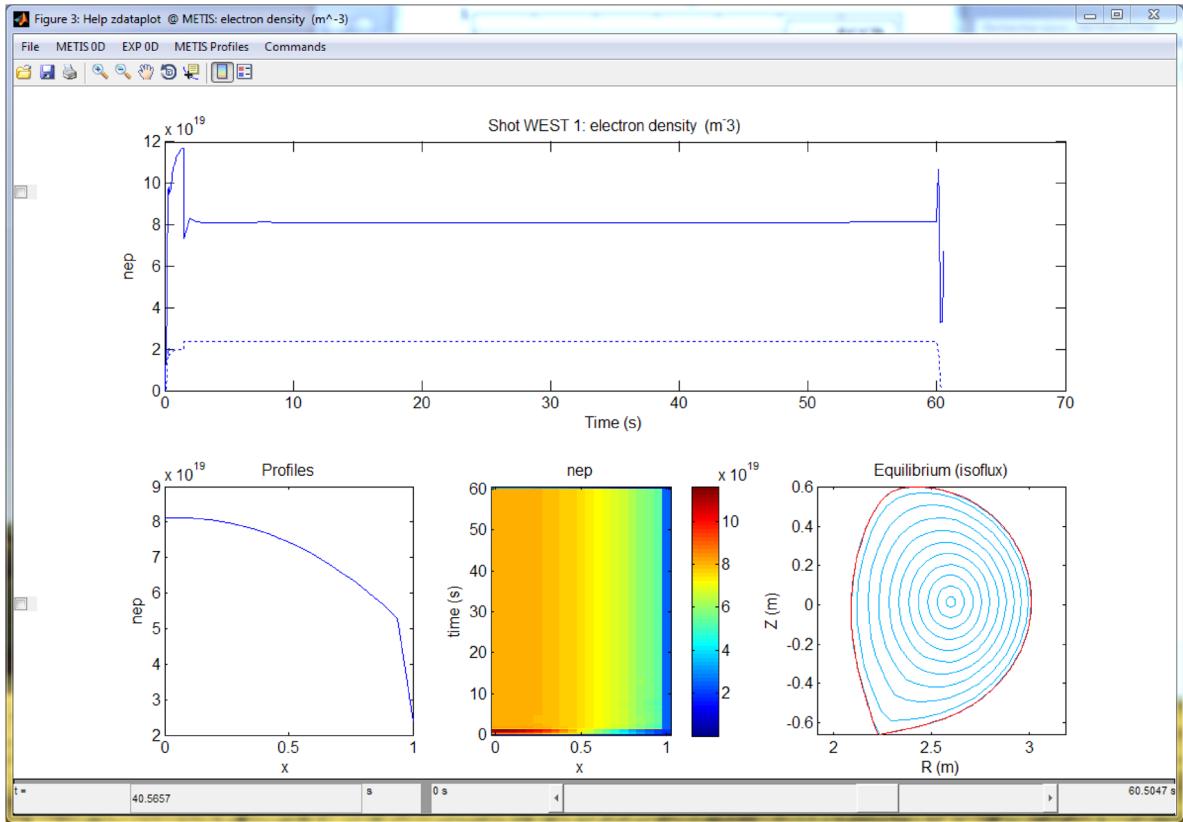


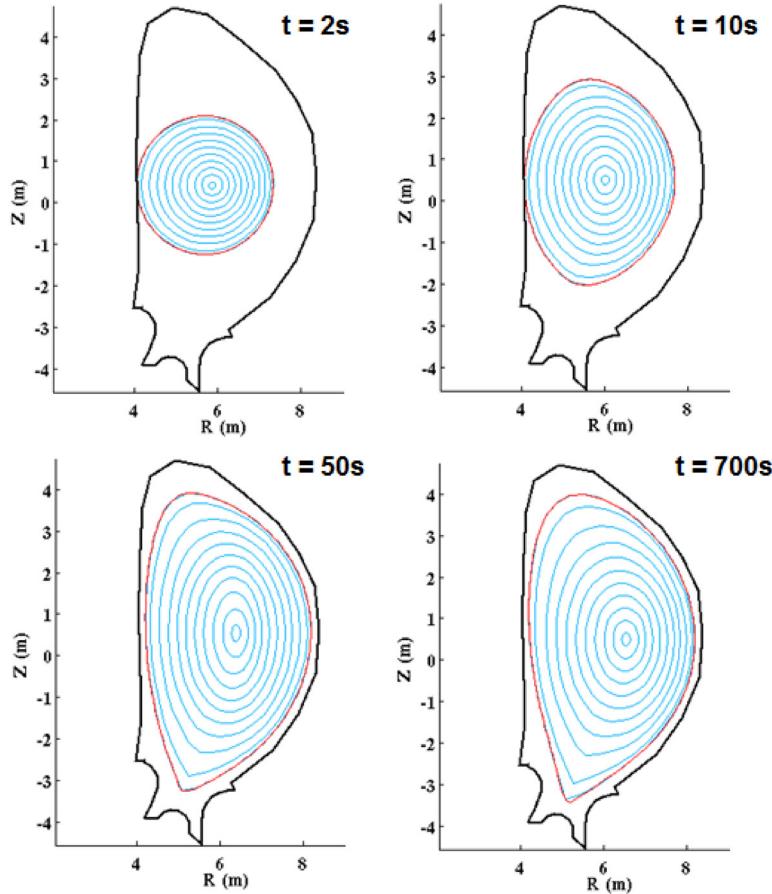
Figure 4. METIS data browser windows.

plasma quantities, noted  $g_N$  at iteration  $N$ . At each iteration of this main loop, a new time evolution of all plasma quantities  $F(g_N)$  is calculated in a step-by-step update (starting from the  $g_N$  time evolution) of the quantities involved in the transport equations. This new time evolution  $F(g_N)$  is then combined to the one of the previous iteration via an oscillation damping scheme (to ease the global convergence), providing the resulting time evolution at iteration  $N + 1$ :

$$g_{N+1} = \alpha_N F(g_N) + (1 - \alpha_N) g_N \quad (22)$$

where  $\alpha_N$  is an oscillation damping coefficient varying with the iteration number. We use  $\alpha_{N+1} = 0.95\alpha_N$  for the 21 first iterations and  $\alpha_{N+1} = 0.3\alpha_N$  afterwards. The maximum number of iterations is set to 31. The loop terminates when the relative change between  $F(g_N)$  and  $g_N$  falls below a requested value ( $10^{-2}$  in ‘coarse’ computation mode and  $10^{-3}$  in ‘detailed’ computation mode).

Additionally, to be able to solve ODE and PDE for any time step size, METIS uses, for time integration, an exponential integrator solver [44, 45].



**Figure 5.** METIS simulation of ITER hybrid scenario: snapshots of computed equilibrium evolution, from the ramp-up phase to the stationary flat-top phase. The thick black curve represents the ITER first wall, the red curve is the LCFS (assigned as an input in this simulation).

### 3.2. Graphical user interface

The METIS code can be used from command line or through a graphical user interface (GUI). This GUI (figure 2) makes the code user-friendly and allows to parametrize and run simulations in an easy way. The GUI provides also predefined graphics and a generic tool to browse and plot data (figure 3).

## 4. Link to tokamak databases, infrastructure and other codes

### 4.1. Reading input data from experimental databases

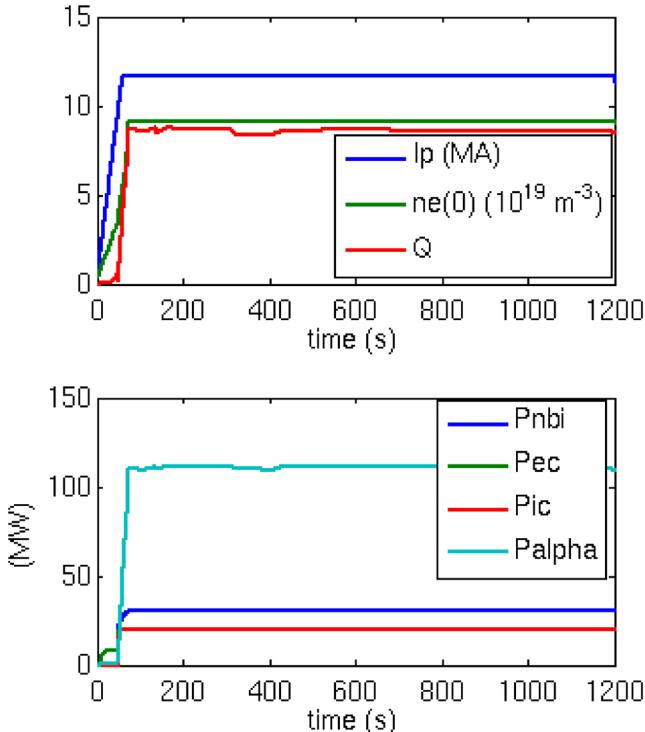
When doing simulations based on existing experiments, several plasma measurements are used to prepare the input simulation file. The access to experimental databases is handled by tools included in METIS and usable through the METIS GUI. The database access is however decoupled from the simulation itself: it is done entirely before the run. After database access, any signal can be edited and modified using the METIS GUI.

The access to experimental databases is done via a tokamak dependent routine which maps the database variables onto the METIS data structure. For some of the tokamaks already coupled to METIS (JET, TCV) database access is done via MDS. WEST and Tore Supra data access is done using the local

TSLib library and the ITER integrated modelling analysis suite (IMAS) [46]. METIS is also integrated in the integrated tokamak modelling (ITM) suite of codes [8]. METIS allows also for WEST and Tore Supra to perform pre-shot simulation by means of direct access to the plasma control system (PCS) configuration data. For COMPASS, METIS uses a local mechanism to read the database. For other tokamaks (DIII-D and EAST), data preparation is based on a dedicated pre-processing tool. Data access routines also apply specific default simulation parameters for each machine. Additionally, for future machines such as ITER, DEMO or JT-60SA, METIS GUI includes a dedicated scenario generator allowing an easy preparation of scenario template. METIS is also delivered with a set of reference simulations for JT-60SA and for WEST.

METIS input data are made of the following waveforms:

- boundary condition for the current diffusion equations (plasma current or poloidal flux at LCFS)
- injected power for heat sources
- effective charge
- line-averaged density
- plasma geometry (either moments or LCFS points).
- isotopic plasma composition ( $\frac{n_T}{n_d}$ ) for reactor plasma
- confinement enhancement factor



**Figure 6.** METIS simulation of ITER hybrid scenario: time evolution of various quantities, used as input waveforms or computed by METIS. Top: plasma current, central density and Q factor. Bottom: NBI, Ion Cyclotron, Electron Cyclotron and alpha powers. Note that Ion and Electron Cyclotron power waveforms are nearly superposed.

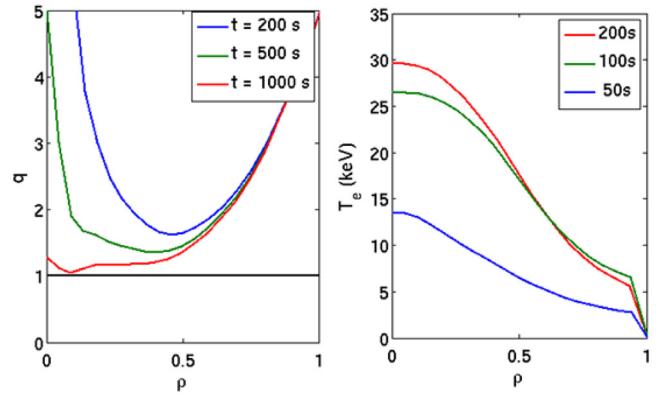
- isotopic composition of NBI ( $\frac{\Gamma_T}{\Gamma_D}$  for reactor plasma or  $\frac{\Gamma_H}{\Gamma_D}$  for standard plasma)

and scalar parameters describing internal physics model configurations, sources configurations and numerical scheme configuration (about 200 parameters).

Additionally, METIS internal data can be constrained by experimental data or data computed by other codes. METIS can use external data for: electron density, electron and ion temperatures, toroidal rotation, any additional heating (the shape of deposition are renormalized to the prescribed input waveform), line radiation,  $Z_{\text{eff}}$  (profile) and runaway electrons current.

#### 4.2. Link to IMAS and CRONOS

Originally METIS has been conceived as a module of the CRONOS [2] suite of codes. Later METIS became an autonomous code and was further developed. The present version still preserves the link with CRONOS that allows preparing a METIS simulation using CRONOS data, comparing METIS results to CRONOS results and converting a METIS data set into a complete CRONOS data set. All this can be performed through the GUI of METIS and CRONOS. Additionally, METIS can use CRONOS data (for kinetic profiles and sources) instead of his internally computed data.



**Figure 7.** METIS simulation of ITER hybrid scenario: computed safety factor profile (left) and electron temperature at different times (right).

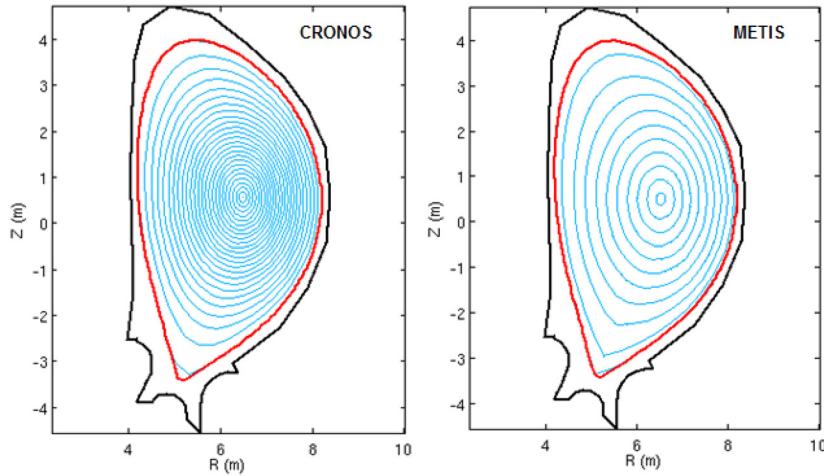
METIS is also completed link with the IMAS infrastructure (and on the same way with WPCD EUROfusion infrastructure, that is very similar to IMAS one). METIS can read in input simulation through UAL (Universal Access Layer) and wrote its results in IDSs (equilibrium data, kinetic profiles, all sources and many other data). METIS can be run as a standalone code in IMAS infrastructure or as an embedded actor inside a KEPLER actor.

#### 5. Some applications of METIS

Since METIS is basically an integrated transport solver with simplified assumptions and treatment of some of the transport equations, it can be used for most applications of classical integrated transport suites, providing a first and faster but yet meaningful alternative to such suites.

A predictive simulation of the ITER hybrid scenario is presented here in order to illustrate the typical quantities that are produced by METIS. The scenario addressed in this simulation is the ITER hybrid scenario assisted by ECCD previously simulated by CRONOS and presented in [47]. For a given LCFS (analytically prescribed or computed by a free-boundary equilibrium code), METIS can predict the plasma equilibrium evolution from the initial phase (post-breakdown) to the flat-top (and eventually the ramp-down phase too). This is illustrated in figure 5, where poloidal plots of the flux surfaces are shown at 4 different times.

The results of the simulation can be examined by plotting many different quantities. In addition to the 2D equilibria shown in figure 5, time evolution of 0D or space-averaged quantities or radial profiles of space-dependent quantities at given times can be displayed. An example of the former is shown in figure 6, where plasma current, central density and Q factor (defined as the ratio of fusion to additional heating power) are plotted versus time in the top panel, and the various powers that heat the plasma are plotted in the bottom panel. Note that plasma current and additional heating powers are input waveforms of the simulation, whereas central



**Figure 8.** ITER hybrid scenario: snapshots of computed equilibrium in the flat-top phase. CRONOS (left) and METIS (right) simulations.

density, Q factor and alpha power are computed outputs of METIS. Computed profiles are shown in figure 7 at different times: safety factor (left) and electron temperature (right). Note that the  $q$  profile is still slowly evolving after 500 s and finally attains the flat and close to unity profile, typical of the hybrid scenario. The plots of the electron temperature show the evolution of both core and pedestal temperatures values.

This METIS simulation took a computation time of the order of 1 min, producing results at 21 spatial points and 100 time slices. Runs on a more refined time set are of course possible and have been used, in particular, to describe the evolution of the equilibrium in the ramp-up phase shown in figure 5. Now the question is how these results compare with respect to a much more time consuming CRONOS simulation of the same scenario (typically, a factor  $10^4$  longer). Apart from the trivial difference related to the more refined spatial grid of CRONOS (101 points), the two types of integrated modelling simulations have of course different characteristics: CRONOS requires fewer adjustments of free parameters, because it contains several first-principle models, for sources and transport coefficients. Conversely, a number of parameters have to be tuned in METIS, such as, e.g. heat transport coefficient profile, location and width of ECRH deposition, density peaking, etc. However, once this parametrization is done, it is usually valid for a large class of similar scenarios and the impact of variation of the typical tokamak discharge actuators (plasma current, average density, power waveforms, etc) or physical parameters (H factor, impurity level, pedestal height, etc) can be studied.

Snapshots of the equilibria in the flat-top phase are presented in figure 8, computed by CRONOS using the HELENA equilibrium code (i.e. full solution of the Grad-Shafranov equation) and by METIS (i.e. solution of equations for moments of the equilibrium, plus morphing function). For both simulations, the same LCFS has been assigned as an input. The shapes of the flux surfaces look very similar; some differences are seen in particular in the bottom area, which could be minimised by fine-tuning the parameters of the morphing function.

The differences between the two equilibria can be analysed with further detail by plotting specific quantities related to the equilibrium, as shown in figure 9. Here again, the differences are minimal, and affecting in particular the region beyond  $\rho = 0.5$ . The time evolutions of several global quantities are compared in figure 10. Differences are found (e.g. the alpha power level is somewhat lower in the METIS simulation,  $l_i$  evolves in a slightly different way, etc). Nevertheless, none of the important features of the scenario evolution, as found in the CRONOS simulation, is missed by METIS. The main reason for this is that METIS has the same type of non-linearities and interplays of the various quantities as CRONOS, only treated in a more approximated way.

Spatial profiles are compared in figures 11–13. In both CRONOS and METIS simulations, pedestal density and temperature values are prescribed, and the density peaking has been adjusted in METIS. The resulting temperatures are rather similar (values and slopes in the gradient region, ratio  $T_e/T_i$ ), with the exception of the very central values, which are different by  $\sim 10\%$ , as shown in figure 11. This difference is connected with the difference in the central alpha power, as shown in figure 12, since the evolutions of ion temperature and fusion power are non-linearly depending on each other. The other power deposition profiles shown in figure 12 are certainly different in shape (typically, the METIS source profiles are given analytically and therefore have smoother profiles), but none of these differences seems essential for the global accuracy of the simulation. A similar remark can be made for the current density profiles shown in figure 13. What is usually difficult to obtain in simulations of a hybrid scenario (as well as in experiments) is the careful balance of current sources (including the self-generated bootstrap), with an evolution in time leading to a stationary  $q$  profile that is flat in the central part and slightly higher than unity. As shown in figure 14, this is equally well obtained by both CRONOS and METIS codes, despite the wide differences of assumptions, approximations, resolution and computational time in the two simulations.

The application of METIS during the latest years has been rich and broad, covering several fundamental aspects in the field of integrated modelling. Some examples are summarized here.

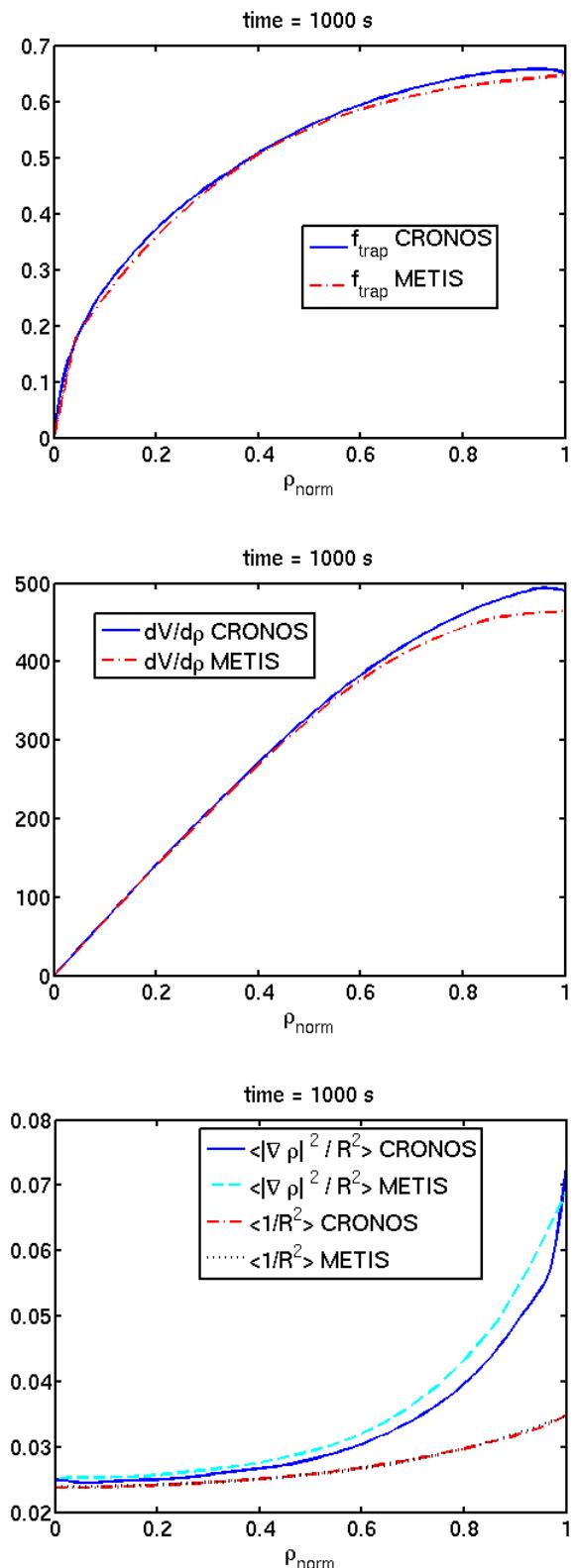
Interpretive analysis of existing discharges in view of addressing some specific topic, for instance the study of the Experimental Advanced Superconducting Tokamak (EAST) upgraded operational space with higher input power [48], the calculation of particle sources in long steady-state Tore-Supra discharges [49], the assessment of the requirements of current-drive of steady-state regimes [50], or the analysis of the Lower Hybrid heating deposition [51].

One of the most important activities performed with METIS has been the assessment in terms of performance, calculation of density, temperature and toroidal velocity profiles or magnetic characteristics of tokamak devices which recently started operation, such as WEST [52, 53] or will do it in the future as JT-60SA [54], ITER [55–57] or DEMO [58–61].

METIS has been used as current diffusion and equilibrium solver in a self-consistent integrated modelling chain involving the ray-tracing/Fokker Planck C3PO/LUKE in view of validating the prediction of Lower Hybrid current drive in Tore Supra experiments [62–66].

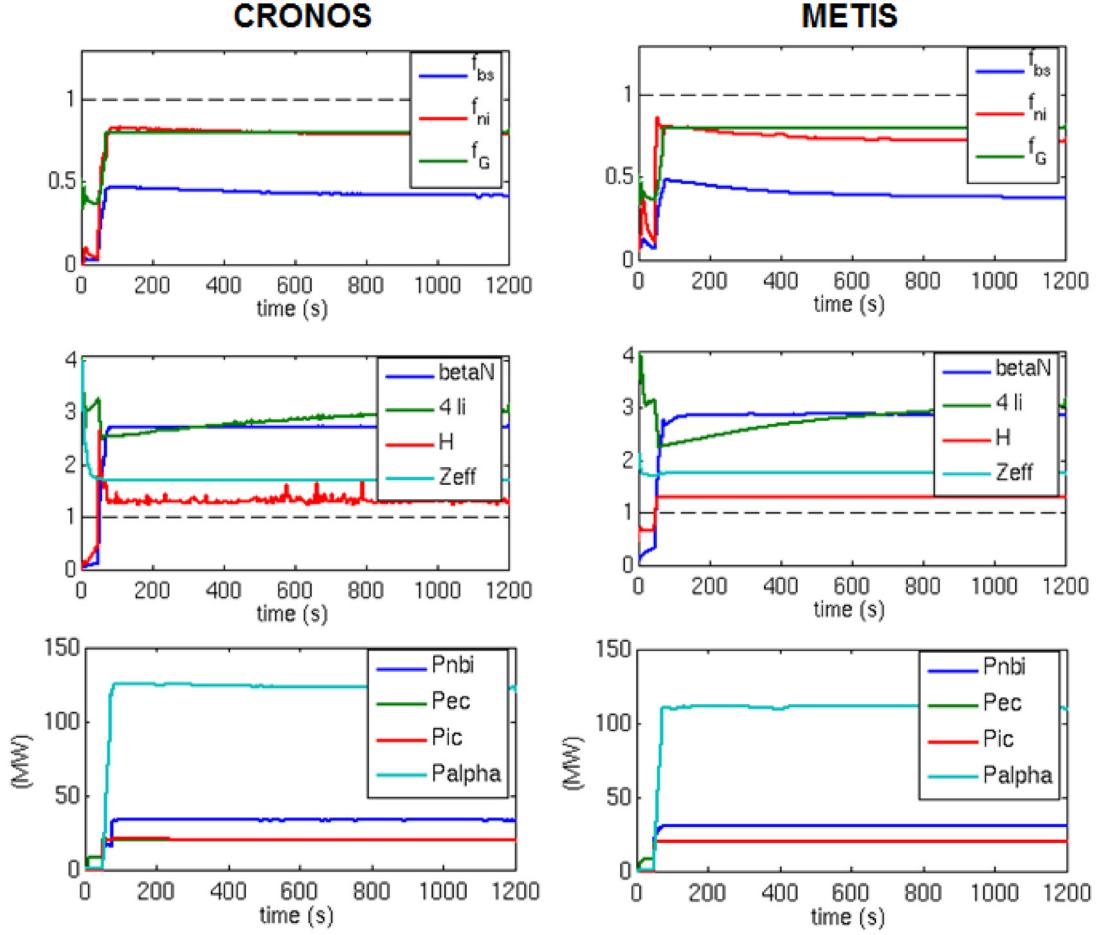
Among these applications, the fast integrated transport calculations provided by METIS are the most useful for the design of future scenarios and experiments. Indeed, scenario design is usually achieved by launching a large number of simulations varying the scenario parameters and following a ‘trial and error’ approach. Being able to compute a full scenario faster than real time is therefore a key advantage for such a procedure. The scenario parameters may also include the parameters of control algorithms that may be used during the experiment. METIS can be integrated in a full tokamak Simulator, i.e. the integrated modelling of the control schemes (simulated outside of METIS) and the plasma response (provided by METIS) [67–70]. For this purpose, METIS can act as a ‘one time step’ transport solver receiving control waveforms from the control schemes simulator. This application is usually implemented under Simulink®, a tool widely used to develop plasma control algorithms, in which METIS represents a single block providing the dynamic plasma response (and is easily integrated because it is written in Matlab®). An integrated design of tokamak scenario, including its control algorithms, can therefore be achieved and is greatly facilitated by the high speed of execution of METIS.

Because METIS is fast and features a large number of tunable options, it enables playing with a lot of physics parameters and model assumptions, allowing testing the sensitivity of the results to them. This allows in particular uncertainty quantification on the extrapolation to new devices. Models and assumptions have to be carefully chosen and justified depending of the application and goal of the simulation. As for any other kind of simulations, benchmarking a given set of models/assumptions on well documented experimental data increases confidence in extrapolation. In order to justify *a posteriori* the assumptions made, METIS results can be compared to more sophisticated models (transport, sources, ...), run in stand-alone mode and using the plasma characteristics predicted by METIS as input in a few selected cases to be

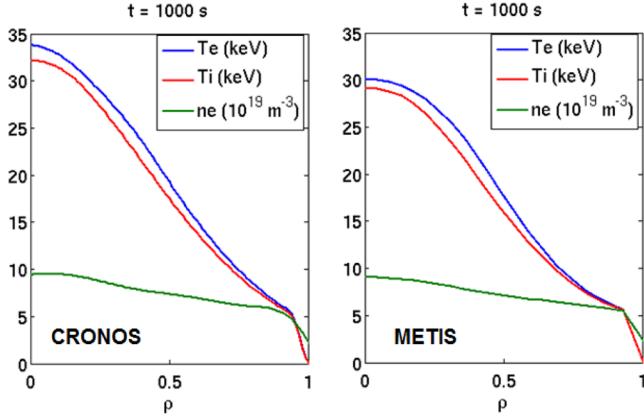


**Figure 9.** ITER hybrid scenario: equilibrium related quantities in the flat-top phase, for CRONOS and METIS simulations. The various quantities are defined in connection with equation (1).

tractable. Being able to easily connect METIS output to other codes allows closing the gap between the simplified models used in METIS and more advanced and CPU-demanding models.



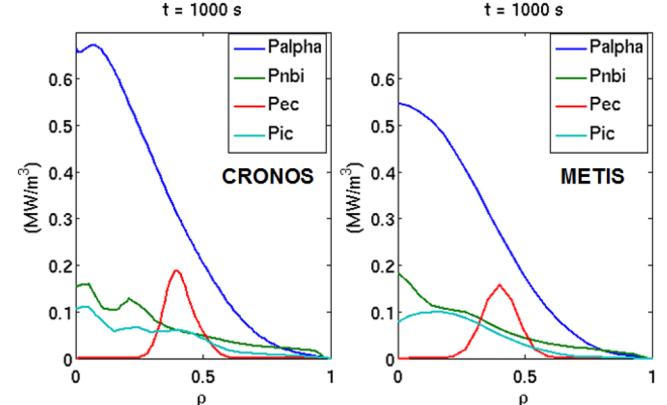
**Figure 10.** ITER hybrid scenario: time evolution of various quantities computed in CRONOS (left) and METIS (right) simulations. From top to bottom: bootstrap, non-inductive and Greenwald fractions;  $\beta_N$ ,  $4 \cdot l_i$ , H factor and  $Z_{\text{eff}}$ ; NBI, ECRH, ICRH and alpha powers.



**Figure 11.** ITER hybrid scenario: computed density, electron and ion temperature profiles in the flat-top phase. CRONOS (left) and METIS (right) simulations.

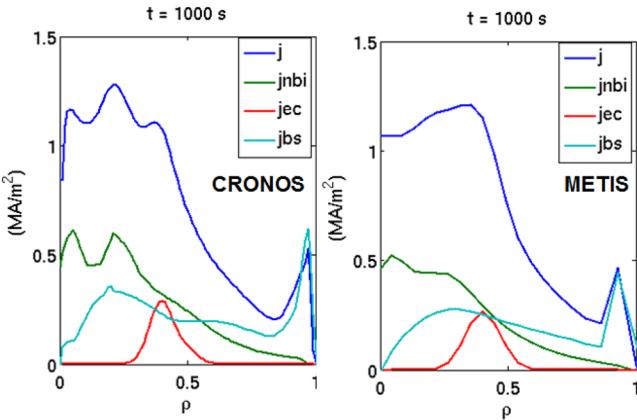
## 6. Conclusions

The METIS suite has been developed with the objective of achieving a fast integrated tokamak simulator with the simplest possible utilisation for physicists, flexibility in the type of

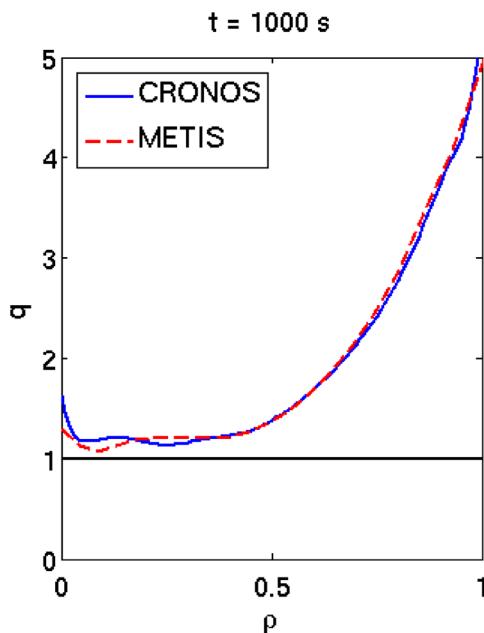


**Figure 12.** ITER hybrid scenario: computed alpha, NBI, ECRH and ICRH power deposition profiles in the flat-top phase. CRONOS (left) and METIS (right) simulations.

simulations that can be carried out, and user-friendliness with a powerful and extensive graphical interface. The code employs innovative numerical schemes and simplified physical formulations, which capture the main physical features for scenario modelling, allowing fast and always convergent computation and realistic simulations. During the past 12 years, the METIS



**Figure 13.** ITER hybrid scenario: computed current density profile and contributions from NBI, ECCD and bootstrap, in the flat-top phase. CRONOS (left) and METIS (right) simulations.



**Figure 14.** ITER hybrid scenario: computed safety factor profile in the flat-top phase. CRONOS (solid) and METIS (dashed) simulations.

code has been validated against both experiments and simulation results. It is now a mature code, able to cope with a variety of integrated simulations for present and future tokamak devices, world widely used. METIS was originally a module of the CRONOS suite of codes and now it is also a standalone code which can be used alone or together with other codes inside the IMAS and EU-IM frameworks, within a Simulink workflow or inside Matlab or Python programs. This makes of METIS a versatile and adaptable code. METIS has been made available to many laboratories worldwide allowing as well modelling present and future experiments, as training students. METIS has been in the core of various collaborations and will continue in the future to open opportunities for collaboration, especially inside the IMAS framework. The main advantage of a common framework, such as IMAS, is to provide users from various laboratories with several modelling tools that can be handled and communicate in a common way. The integration

of METIS into the IMAS framework and the recent adaptation of METIS GUI, including now dedicated tools for initialising WEST, JT-60SA and ITER/DEMO scenario simulations, allow METIS to be a key code for future studies, to generate first level modelling and to provide input data to other codes.

## Acknowledgments

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## Appendix A. Source modules

### A.1. General description

A detailed description of the main source modules in METIS is provided in the following sections.

### A.2. Bootstrap current and resistivity

The plasma neoclassical resistivity and bootstrap current profiles are computed using the Sauter model [10]. Optionally, the total bootstrap current can be normalized to the Hoang scaling law [71]—the shape of the bootstrap current density profile remaining as given by the Sauter model. These quantities are used in the current diffusion equation (1).

### A.3. Neutral beam injection heating and current drive

The neutral beam injection (NBI) is described in METIS by a beam attenuation equation applied in a simplified geometry in order to calculate the fast ion source, then using an analytical solution of the Fokker–Planck equation for the fast ion distribution function.

The beam attenuation is computed for a few sub-beams spread around the tangential radius and the vertical tilt value to take into account the beam geometry. The vertical tilt is accounted for by projecting field values (i.e. density or temperature) on a tilted line. The beam intensity ( $\Upsilon$ ) damping equation along the beam path is:

$$\frac{d\Upsilon(l)}{dl} = -n_e(l) \sigma_{\text{eff}}(l) \Upsilon(l) \quad (\text{A.1})$$

where  $l$  is the coordinate along the beam path with the initial condition:

$\Upsilon(l=0) = \Upsilon_0$  at the entrance of the plasma and

$$\begin{aligned} \sigma_{\text{eff}} = & \sigma_0(A_b, E_{b0}, n_e(l), T_e(l), Z_{\text{eff}}(l)) \\ & + \sigma_{\text{bp}}(A_b, E_{b0}, n_e(l), T_e(l), \xi_b(l), S_{\text{NBI}}(l)) \end{aligned} \quad (\text{A.2})$$

where  $\sigma_0$  is the stopping cross section [72] and  $\sigma_{\text{bp}}$  is the increment of the stopping cross section due to fast ions [73]. The stopping cross section along the neutral path depends on the beam ion mass ( $A_b$ ), the initial beam energy ( $E_{b0}$ ), the pitch angle at the point where the neutral particles are ionized ( $\xi_b$ ),

the fast ion source ( $S_{\text{NBI}}$ ), the electron density  $n_e$ , the electron temperature  $T_e$  and the plasma effective charge  $Z_{\text{eff}}$ .

The neutral beam path entrance point is taken in the equatorial mid-plane of the plasma (at  $Z = Z_0$ ) on the low field side and the radius of tangency is prescribed. The final value of  $\Upsilon$  gives the fraction of the power that is not deposited in the plasma (shine-through).

From the power deposition ( $p_b(x)$ ), we subtract the first orbit losses that are computed with a simplified model: we suppose that most of the fast ions are trapped near the plasma edge and we compute the orbit width ( $\delta_o(x)$ ) as done in [74]. The fast ions created at a distance smaller than  $\delta_o(x)$  from the edge are lost. In order to simulate the broadening of the deposition profile due to orbit width we convolve the profile by a step function of width  $\delta_o(x)$ .

From the power deposition ( $p_b(x)$ ), we compute the fraction of the power that heats the main plasma ions using the formulae 5.4.12 in [75]. The current source associated with NBI is computed by an analytical solution of the Fokker–Planck equation in which both trapping effects and energy diffusion are neglected:

$$J_{\text{NBI}}^{\text{fast}} = e \frac{p_b}{E_b} \tau_s \xi_b \left( \frac{v_0^3 + v_c^3}{v_0^3} \right)^{\frac{2v_\gamma^3}{3v_c^3}} \int_0^{\frac{v_0}{v_c}} \left( \frac{z^3}{z^3 + 1} \right)^{\frac{2v_\gamma^3}{3v_c^3} + 1} dz \quad (\text{A.3})$$

where  $\tau_s$  is the slowing down time,  $v_c$  and  $v_\gamma$  are critical velocities and  $v_0$  the fast ions initial velocity.

The electron back-current is computed either with the formulation found in [76] or an alternative formulation that takes into account the collisionality effect [77]. This back current is subtracted from the total NBI current to obtain the current drive by beam injection.

#### A.4. Ion cyclotron waves

Ion cyclotron (IC) waves can be used in a variety of heating schemes. The user must prescribe in METIS which heating scheme is used (this scheme is applied to the whole simulation). We describe below the models used for each IC heating scheme.

**A.4.1. ICRF minority heating** In this scheme, a fast ion population is generated and heats the plasma ions and electrons. The fast ion distribution function is computed at each time step using the analytical formulation from [78], which gives the steady-state velocity distribution function  $f(v)$  without space dependence, calculated at the resonance position on the plasma mid-plane. The resonance position is computed from the magnetic equilibrium, taking into account the prescribed frequency and minority species mass, charge and concentration. A key parameter is the volume occupied by the minority ions accelerated by the wave. The fraction of plasma volume involved is deduced from the resonance width and scaled on the PION code results [79]. Once  $f(v)$  is known, the suprathermal content and the power heating ions and electrons are deduced. The shape of the power deposition is assumed to be a Gaussian curve centred on the resonance position, with a width proportional to the resonance width scaled on the PION

code results. The heating power is reduced by an estimate of the first orbit fast ion losses based on the potato width of the orbit [74, 75].

**A.4.2. ICRH in fast wave electron heating and current drive scheme** In the fast wave (FW) electron heating and current drive scheme, it is assumed that the ICRH power heats only the electrons. The current drive efficiency is determined by a fit of experimental data [80]:

$$I_{\text{fwcd}} = s_{\text{fwcd}} \frac{P_{\text{icrh}}(t) \eta_{\text{fwcd}}(t)}{R_{\text{ref}}(t)(n_e(t,x=0))} \frac{1}{10^{20}} \quad (\text{A.4})$$

where

$$\eta_{\text{fwcd}}(t) = \frac{(0.008 \frac{T_e(t,x=0)}{10^3} + 0.0021) 6}{5 + Z_{\text{eff}}(t)} \quad (\text{A.5})$$

and where  $s_{\text{fwcd}}$  is related to the sign of the current:

$s_{\text{fwcd}} = 1$  for co-current (i.e. in the direction of toroidal plasma current),  $s_{\text{fwcd}} = -1$  for counter current and  $s_{\text{fwcd}} = 0$  for FW electron heating scheme.

The shape of the power deposition profile is:

$$p_{\text{fw}} \propto \frac{n_e(t,x) T_e(t,x)}{B_{\text{out}}(t,x)^3 V'(t,x)} \quad (\text{A.6})$$

where  $B_{\text{out}}(t,x)$  is the total magnetic field in the middle plane at the low magnetic field side.

with  $\rho_m \int_0^1 p_{\text{fw}}(t,x) V'(t,x) dx = P_{\text{ICRH}}(t)$

The shape is for the current density profile is:

$$j_{\text{fwcd}} \propto \frac{n_e(t,x) T_e(t,x) \sigma_{||}(t,x)}{B_{\text{out}}(t,x)^3 V'(t,x)} \quad (\text{A.7})$$

that is normalised to the total current  $I_{\text{fwcd}}$ :

$$\rho_m \int_0^1 j_{\text{fwcd}}(t,x) S'(t,x) dx = I_{\text{fwcd}}(t)$$

#### A.5. Electron cyclotron radio-frequency heating and current drive (ECRH and ECCD)

The determination of the power deposition of EC waves requires a ray tracing code, but this type of code would be too slow to be used in METIS. Therefore, the location of the maximum of the power deposition profile,  $x_{\text{eccd}}$ , has to be prescribed by the user. The shape of the power deposition is a Gaussian curve with a width,  $\delta_{\text{eccd}}$ , determined from the width of the resonance [75], with constants adjusted to fit the results of the REMA ray-tracing code [81]:

$$p_{\text{eccd}}(x,t) = p_{\text{eccd},0} e^{\frac{(x-x_{\text{eccd}}(t))^2}{2\delta_{\text{eccd}}(t)}} \quad (\text{A.8})$$

where  $\delta_{\text{eccd}}(t) = \sqrt{\frac{1}{4} \left( \frac{v_{\text{th}}}{c} \right)^4 + \alpha_{\text{eccd}} \frac{I_{\text{eccd}}}{P_{\text{eccd}}} \left( \frac{v_{\text{th}}}{c} \right)^2}$  with  $v_{\text{th}} = \sqrt{\frac{2eT_e(t,x_{\text{eccd}})}{m_e}}$ ,  $\alpha_{\text{eccd}} \simeq 1$  and  $p_{\text{eccd},0}$  satisfying  $\rho_m \int_0^1 p_{\text{eccd}}(t,x) V'(t,x) dx = P_{\text{eccd}}(t)$ .

The current source profile  $j_{\text{eccd}}(t,x)$  has the same shape as the power deposition profile. The total current is computed using a simple scaling [81]:

$$\rho_m \int_0^1 j_{\text{eccd}}(t, x) S'(t, x) dx = I_{\text{eccd}}(t) \text{ with}$$

$$I_{\text{eccd}}(t) = s_{\text{eccd}} \frac{\Gamma_{\text{LH}\in\text{ECCD}} \eta_{\text{eccd}}(t) P_{\text{eccd}}(t)}{n_e(t, x_{\text{eccd}}(t)) R_{\text{ref}}(t)} \quad (\text{A.9})$$

where  $s_{\text{eccd}}$  is the direction of the wave injection:

$s_{\text{eccd}} = 1$  for co-current,  $s_{\text{eccd}} = -1$  for counter current and  $s_{\text{eccd}} = 0$  for normal injection.

and where  $\Gamma_{\text{LH}\in\text{ECCD}}$  is the synergy factor with lower hybrid (equal to 1 by default) and where:

$$\eta_{\text{eccd}} = \frac{\frac{1}{1 + \frac{100}{T_e(t, x_{\text{eccd}})}} \left[ 1 - \left( 1 + \frac{5+Z_{\text{eff}}}{3(1+Z_{\text{eff}})} \right) (\sqrt{2} \mu_t)^{\frac{5+Z_{\text{eff}}}{1+Z_{\text{eff}}}} \right] 6}{5 + Z_{\text{eff}}} \quad (\text{A.10})$$

$$\text{with } \mu_t = \sqrt{\frac{a_{\text{ref}} x_{\text{eccd}} (1 + \cos(\theta_{\text{pol}}))}{R_{\text{ref}} + a_{\text{ref}} x_{\text{eccd}} \cos(\theta_{\text{pol}})}}.$$

#### A.6. Synergy between LH and ECCD

In some configurations, a synergy between ECCD and LHCD may increase the effect of the EC power on the total driven current. The factor  $\Gamma_{\text{LH}\in\text{ECCD}}$  accounts for this effect. This factor must be prescribed except for Tore Supra [82], for which the following expression can be applied:

$$s_{\text{eccd}} \Gamma_{\text{LH}\in\text{ECCD}} = s_{\text{eccd}} + H_{\text{MHD}} \frac{\min(\frac{2}{3} P_{\text{LH}}, P_{\text{ECCD}})}{\max(1, P_{\text{ECCD}})} \\ \frac{s_{\text{ECCD}} + 1}{2} \max\left(0, \frac{\eta_{\text{LH}}}{\eta_{\text{eccd}}}\right) \sqrt{\frac{(1 - x_{\text{eccd}}^2)^{\nu_T}}{2}} \quad (\text{A.11})$$

#### A.7. Lower Hybrid RF waves

We designed a heuristic model for Lower Hybrid power deposition and current drive that is based on observation in present devices and on prediction made by the code C3PO/LUKE [66]. The model for the power deposition is purely phenomenological. The current drive efficiency is based on a simple physical assumption with one free parameter tuned with the help of experimental measurements and code predictions. In METIS, a positive parallel refractive index  $n_{||}$  generates a co-current source and a negative  $n_{||}$  generates a counter-current source, whatever is the real geometry and orientation of the magnetic field and plasma current in the tokamak. The computation is done for the main positive  $n_{||}$  lobe of the spectra that generates a co-current source and for the main negative  $n_{||}$  lobe that generates a counter-current source. The power deposition model is based on a probabilistic ad-hoc formulation with penalization for the wave absorption. This model only takes into account the limits of the propagation domain and the Landau absorption criterion. The probability formulation has been obtained by a trial and error method, guided by physical knowledge of the problem. The probability function of absorption, on the flux surface labelled per  $x$  (varying from 0 on magnetic axis to 1 on LCFS), reads:

$$P_{\text{abs}}(x) = CP_{\text{Landau}}(x) L_{\text{acc}}(x) L_{\text{lc}}(x) L_{\text{hc}}(x) \quad (\text{A.12})$$

with  $C$  is normalization constant.

The probability of absorption by Landau effect reads:

$$P_{\text{Landau}}(x) = e^{-\left(\frac{n_{\text{Landau}}(x) - n_{||,0} - \frac{\Delta n_{||}(x)}{2}}{\Delta n_{||}(x)}\right)^2}. \quad (\text{A.13})$$

The penalization of absorption probability due to density accessibility limit reads:

$$L_{\text{acc}}(x) = \min\left(1, e^{\frac{n_{\text{Landau}}(x) - n_{||,\text{acc}}(x) - \Delta n_{||,0}}{\Delta n_{||,0}}}\right). \quad (\text{A.14})$$

The penalization of absorption probability due to lower  $n_{||}$  possible propagation reads:

$$L_{\text{lc}}(x) = \min\left(1, e^{\frac{n_{\text{Landau}}(x) - \left(n_{||,\text{lc}}(x) + \frac{\Delta n_{||}(x)}{2}\right)}{\Delta n_{||}(x)}}\right). \quad (\text{A.15})$$

The penalization of absorption probability due to higher  $n_{||}$  possible propagation reads:

$$L_{\text{hc}}(x) = \min\left(1, e^{-\frac{n_{\text{Landau}}(x) - \left(n_{||,\text{hc}}(x) - \frac{\Delta n_{||}(x)}{2}\right)}{\Delta n_{||}(x)}}\right) \quad (\text{A.16})$$

where the ad-hoc broadening of the wave spectrum is:

$$\Delta n_{||}(x) = \left(\Delta n_{||,0} + n_{||,0} \frac{\Delta_a}{R_{\text{axe}}(x)}\right) \left(1 + \frac{n_{\text{Landau}}(x)}{n_{||,0}}\right). \quad (\text{A.17})$$

In which  $\Delta n_{||,0} = \frac{c}{f_{\text{LH}} \Delta_a}$  is the spectrum width of the launched wave.

The Landau resonance is taken as the simple following expression:

$$n_{\text{Landau}}(x) = \frac{6.5}{\sqrt{10^{-3} T_e(x)}}. \quad (\text{A.18})$$

The accessibility limit reads:

$$n_{||,\text{acc}}(x) = \frac{\omega_{\text{pe}}(x)}{\omega_{\text{ce}}(x)} + \sqrt{1 + \frac{\omega_{\text{pe}}^2(x)}{\omega_{\text{ce}}^2(x)} - \frac{\omega_{\text{pi}}^2(x)}{\omega^2}}. \quad (\text{A.19})$$

The lower bound of propagation domain reads:

$$n_{||,\text{lc}}(x) = \frac{n_{||,0}}{1 + \frac{\rho(x)}{q(x) R_{\text{axe}}(x)} \sqrt{-\frac{p(x)}{s(x)}}} \quad (\text{A.20})$$

The upper bound of propagation domain reads:

$$n_{||,\text{hc}}(x) = \frac{n_{||,0}}{1 - \frac{\rho(x)}{q(x) R_{\text{axe}}(x)} \sqrt{-\frac{p(x)}{s(x)}}} \quad (\text{A.21})$$

where  $\rho(x) = \sqrt{\frac{\Phi(x)}{\Phi_{\text{max}}}}$  and  $\Phi(x)$  is the toroidal flux (Wb),  $q(x)$  the safety factor,  $R_{\text{axe}}(x)$  the geometrical axes of each flux surface ( $m$ ),  $n_{||,0}$  is the absolute value of the launched

wave parallel index of refraction,  $\omega = 2\pi f_{\text{LH}}$ , is the frequency of the LH wave ( $H_z$ ),  $\Delta_a$  is the toroidal width of the active part of the launcher ( $m$ ) and

$$p(x) = 1 - \frac{\omega_{\text{pe}}^2(x)}{\omega^2} - \frac{\omega_{\text{pi}}^2(x)}{\omega^2} \quad (\text{A.22})$$

$$s(x) = 1 + \frac{\omega_{\text{pe}}^2(x)}{\omega_{\text{ce}}^2(x)} - \frac{\omega_{\text{pi}}^2(x)}{\omega^2} \quad (\text{A.23})$$

where  $\omega_{\text{pe}} = \sqrt{\frac{e^2 n_e(x)}{m_e \varepsilon_0}}$  is the electron plasma frequency,  $\omega_{\text{pi}} = \sqrt{\frac{e^2 n_i(x)}{A m_p \varepsilon_0}}$  is the ion plasma frequency,  $\omega_{\text{ce}} = \frac{eB(x)}{m_e}$  is electron cyclotron frequency,  $T_e(x)$  the electron temperature (eV),  $n_e(x)$  the electron density ( $\text{m}^{-3}$ ),  $n_i(x)$  the main ion density ( $\text{m}^{-3}$ ),  $B(x)$  the total magnetic field averaged on a flux surface ( $T$ ),  $e$  the charge of the electron ( $C$ ),  $m_e$  the electron mass (kg),  $\varepsilon_0$  the vacuum permittivity ( $\text{F m}^{-1}$ ),  $A$  the number of mass of the main ion and  $c$  the speed of light in vacuum ( $\text{m s}^{-1}$ ). The constant  $C$  is computed to have  $\rho_{\max} \int_0^1 P(x) V'(x) dx = 1$ . If the input power is  $P_{\text{in}}$ , the local power deposition is  $P_{\text{tot}}(x) = P_{\text{in}} P(x)$ .

We now describe how the amount of current drive by LH wave is computed. We restart from the Fisch formulation of the current drive efficiency [83] and we add a correction to take into account the quasi linear effect  $Q_1(x)$  and a penalization term to take into account the accessibility  $\eta_{\text{acc}}$ :

$$\eta_{\text{LHCD}}(x) = \eta_0(x) \eta_{\text{acc}} Q_1(x) \frac{\omega_2^2 - \omega_1^2}{\ln\left(\frac{\omega_2}{\omega_1}\right)}. \quad (\text{A.24})$$

The plasma current density reads:

$$j_{\text{LHCD}}(x) = \eta_{\text{LHCD}}(x) \frac{p(x)}{n_e(x)}. \quad (\text{A.25})$$

With  $\omega_1 = \frac{1}{n_{\text{Landau}}(x)}$ ,  $\omega_2 = \frac{1}{n_{||,0}}$ ,  $Q_1(x) = \frac{3}{2} + \frac{1}{2} \tanh[\ln(10 D_{||}(x))]$ ,  $\eta_{\text{acc}} = \min\left(1, e^{\frac{n_{||,0} - n_{||,\text{acc}}(1)}{\Delta n_{||,0}}}\right)$  and  $\eta_0(x) = \frac{\eta_m}{\ln \Lambda_{ee}(x)}$  [84],

where  $\ln \Lambda_{ee}(x) \sim 14.9 + \frac{1}{2} \ln\left(\frac{n_e(x)}{10^{20}}\right) + \ln\left(\frac{T_e(x)}{10^3}\right)$  is the Coulomb logarithm and

$$D_{||}(x) = 0.32 \frac{P_{\text{in}}}{10^6} \frac{n_{\text{Landau}}^2(x) \sqrt{\frac{T_e(x)}{10^3}}}{\sqrt{s(x)} \Delta n_{||}(x) \max(\Delta_a, \rho(x)) R_{\text{axe}}(x) \left(\frac{n_e(x)}{10^{19}}\right)^{\frac{3}{2}}} \quad (\text{A.26})$$

is a proxy of the quasi linear diffusion coefficient.

The constant  $\eta_m$  is set to  $3.1 \cdot 10^{21}$  to fit experimental results and simulations.

If  $Z_{\text{eff}} > 1$ , the effect on current drive efficiency must be taken into account. The effect of trapping particles has been included by an analytical formula [85]. Finally the net current drive is:

$$j_{\text{LHCD}}(x) = \eta_{\text{LHCD}}(x) \frac{p(x)}{n_e(x)} \frac{1 - \varepsilon^{\frac{s+Z_{\text{eff}}(x)}{2(1+Z_{\text{eff}}(x))}}}{5 + Z_{\text{eff}}(x)} \quad (\text{A.27})$$

with  $\varepsilon = \frac{a(x)}{R_{\text{axe}}(x)}$

## A.8. Fusion reactions

The fusion reactions between deuterium and tritium, between deuterium and deuterium and tritium and tritium are calculated, including the thermal plasma, beam-plasma and beam-beam reactions. The tritium-tritium reaction is negligible compared to the rate of D-T reactions in a fusion reactor but it is important for the neutron diagnostic.

For each of the considered fusion reactions, METIS calculates the resulting neutron production rate, power deposition profiles on the plasma electrons and ions, as well as (for D-T reactions) the average Helium ash production rate, which is used to calculate the Helium average density following the procedure described in section D.1. The fast alpha particles originating from the D-T reactions also drive a bootstrap-like current, which is estimated by METIS.

Reactivities, i.e.  $\langle \sigma v \rangle$  and cross sections used in METIS are those of [86] but for tritium-tritium cross section library [87] is used.

### A.8.1. Thermal reactions

The fusion power source is simply computed using deuterium and tritium density profiles and the ion temperature profile:

$$S_{\alpha,\text{th}} = n_D(t, x) n_T(t, x) \langle \sigma v \rangle_{T(d,n)\text{He4}}(T_i(t, x)) \quad (\text{A.28})$$

$$p_{\alpha,\text{th}} = e E_{\alpha,T(d,n)\text{He4}} S_{\alpha,\text{th}} \quad (\text{A.29})$$

where  $E_{\alpha,T(d,n)\text{He4}} = 3.56 \times 10^6 \text{ eV}$

For DD reactions there are two channels:

$$S_{p,\text{DD},\text{th}} = \frac{1}{2} n_D(t, x)^2 \langle \sigma v \rangle_{D(d,p)\text{T}}(T_i(t, x)) \quad (\text{A.30})$$

and

$$S_{n,\text{DD},\text{th}} = \frac{1}{2} n_D(t, x)^2 \langle \sigma v \rangle_{D(d,n)\text{He3}}(T_i(t, x)). \quad (\text{A.31})$$

The associated power source in the plasma is:

$$p_{\text{DD},\text{th}} = e (E_{p,D(d,p)\text{T}} + E_{T,D(d,p)\text{T}}) S_{p,\text{DD},\text{th}} + e E_{\text{He3,D(d,n)\text{He3}}} S_{n,\text{DD},\text{th}} \quad (\text{A.33})$$

where  $E_{p,D(d,p)\text{T}} = 3.02 \times 10^6 \text{ eV}$ ;  $E_{T,D(d,p)\text{T}} = 1.01 \times 10^6 \text{ eV}$  and  $E_{\text{He3,D(d,n)\text{He3}}} = 0.82 \times 10^6 \text{ eV}$

From the power deposition, as in the NBI case, we compute the fraction of the power that heats the main plasma ions using the formulae 5.4.12 in [75].

## A.8.2. Neutron production rate

Neutron production rate for each nuclear reaction channel is directly deduced from the rate of fusion reactions in the channel. The profile shape of the neutron source is assumed to be identical to that of the fusion source for thermal reactions and proportional to the ion heat power for beam-plasma and beam-beam induced neutron sources [88].

## A.9. Radiation

### A.9.1. Introduction

The radiative losses play a key role in discharge prediction. The line radiation is important both for

present machines and for burning plasmas. For ITER and other high-temperature devices, the Bremsstrahlung radiation becomes an important loss term and for a reactor the transport of heat by Cyclotron radiation can be a major heat transport mechanism. Additionally, in a reactor, the electron temperature is so high that the relativistic effects must be taken into account for Bremsstrahlung.

**A.9.2. Line radiation and Bremsstrahlung** The radiative power is computed from the temperature and density profiles of each species using the radiative collisional equilibrium [89]. This gives an estimate of the profile of power radiated by line transition and thermal Bremsstrahlung by using the cooling rate  $l(Z_k, T_e)$  that combines line and Bremsstrahlung radiation (cooling rates are extracted from the ADAS data base [90]):

$$p_{\text{rad}}(t, x) = n_e(t, x) \sum_{k \in \{\text{species}\}} l(Z_k, T_e(t, x)) n_k(t, x). \quad (\text{A.34})$$

This radiative power profile is integrated over the plasma volume to compute the total radiated power  $P_{\text{rad}} = \rho_m \int_0^1 V' p_{\text{rad}} dx$ .

The total radiated power due to line radiation and bremsstrahlung can optionally be normalized to the Matthews law [91, 92]:

$$P_{\text{rad,mat}} = \frac{10^6}{4.5 (Z_{\text{eff}} - Z_{\text{main}}) \left(\frac{n}{10^{20}}\right)^{1.89}} S^{0.94} Z_{\text{max}}^{-0.12} \quad (\text{A.35})$$

where  $S$  is the external surface of the confined plasma (i.e. the surface of the LCFS) and  $Z_{\text{main}}$  is the charge number of main plasma ions ( $Z_{\text{main}} = 1$  for hydrogen, deuterium or deuterium/tritium plasma and  $Z_{\text{main}} = 2$  for helium plasma). As there is always, at least a small amount of impurities in the plasma, we have always  $Z_{\text{eff}} > Z_{\text{main}}$  preventing  $P_{\text{rad,mat}}$  to diverge.

To calculate the power flowing through the LCFS  $P_{\text{loss}}$ , we need to separate the bremsstrahlung radiation  $P_{\text{brem}}$  (fully radiated by the confined plasma and thus fully subtracted for the  $P_{\text{loss}}$  calculation) and the line radiation  $P_{\text{line}}$  (partially radiated by the confined plasma and partially in the scrape-off layer, thus only a fraction of it is subtracted for the  $P_{\text{loss}}$  calculation). We use the following expression for the bremsstrahlung radiated power coming from volume integration of local expressions [93, 94]:

$$p_{\text{brem}}(t, x) = 4.8562 \times 10^3 \frac{n_e(t, x)}{10^{20}} \sqrt{\frac{T_e(t, x)}{10^3}} \sum_{k \in \{\text{species}\}} C_{\text{Gaunt}}(T_e(t, x), Z_k) Z_k^2 \frac{n_k(t, x)}{10^{20}} \quad (\text{A.36})$$

with  $C_{\text{Gaunt}}$  the Gaunt factor that is given by a tabulated function [92] (taking  $C_{\text{Gaunt}} = 1.2$  gives an accuracy within about 20%) and  $P_{\text{brem}} = \rho_m \int_0^1 V' p_{\text{brem}} dx$

We then define:

$$P_{\text{line}} = P_{\text{rad}} - P_{\text{brem}} \quad (\text{A.37})$$

We neglect here a small correction: low temperature finite Rydberg energy, electron-electron bremsstrahlung and

re-absorption, but we introduce the high temperature corrections due to relativistic effects [95]:

$$P_{\text{brem,rel}} = P_{\text{brem}} X_{\text{rel}}(t, x) \quad (\text{A.38})$$

where

$$X_{\text{rel}}(t, x) = \left(1 + \frac{2T_e(t, x)}{511 \cdot 10^3}\right) \left(1 + \frac{2}{(Z_{\text{eff}}(t, x)) \left(1 - \frac{1}{1 + \frac{T_e(t, x)}{511 \cdot 10^3}}\right)}\right). \quad (\text{A.39})$$

With the same formulation, we estimate the total radiative loss in the SoL ( $P_{\text{SOL}}$ ) taking an exponential decrease of the profile with a characteristic length  $\lambda_{\text{SOL}}$ . This factor is either prescribed as a fraction of the minor radius or computed with a scaling law [96].

**A.9.3. Cyclotron radiation** The cyclotron radiation power loss is given by the Albajar scaling [97, 98]. These expressions take into account the absorption and re-emission of the cyclotron radiation in the plasma.

$$P_{\text{cyclo}}(W) = 3.84 \cdot 10^{-2} (1 - r_w)^{1/2} Ra^{1.38} \kappa^{0.79} B_t^{2.62} n_{e,c}^{0.38} \times T_{e,c} (16 + T_{e,c})^{2.61} \left(1 + 0.12 \frac{T_{e,c}}{P_{a0}^{0.14}}\right)^{-1.51} K(\alpha_n, \alpha_T, \beta_T) G\left(\frac{R}{a}\right) \quad (\text{A.40})$$

with

$$P_{a,c} = 6.04 \times 10^3 \frac{a n_{e,c}}{B_t} \quad (\text{A.41})$$

$$K(\alpha_n, \alpha_T, \beta_T) = (\alpha_n + 3.87 \alpha_T + 1.46)^{-0.79} \times (1.98 + \alpha_T)^{1.38} \beta_T^{2.14} (\beta_T^{1.53} + 1.87 \alpha_T - 0.16)^{-1.33} \quad (\text{A.42})$$

$$G(A) = 0.93 (1 + 0.85 e^{-0.82 A}) \quad (\text{A.43})$$

and  $n_{e,c} = \frac{n_e(t, x=0)}{10^{20}}$ ,  $T_{e,c} = \frac{T_e(t, x=0)}{10^3}$  and  $p_{a,c} = 6.0410^3 a_{\text{ref}} \frac{n_{e,c}}{\beta_{\text{ref}}}$  where  $\beta_T$  and  $\alpha_T$  are determined from the best fit of the electron temperature profile with the shape:

$$T_{e,s} = (T_{e,0} - T_{e,a}) (1 - x^{\beta_T})^{\alpha_T} + T_{e,a} \text{ with } x \in [0, 0.9] \quad (\text{A.44})$$

and with  $r_w$  is the effective wall reflection coefficient for cyclotron radiation.

The radial profile of cyclotron radiation loss power is taken as:

$$p_{\text{cyclo}}(t, x) = p_{c0}(t) R_{\text{axis}}(t, x) K_x(t, x)^{0.79} \times \left(\frac{F_{\text{dia}}(t, x)}{R_{\text{axis}}(t, x)}\right)^{2.62} n_e(t, x)^{0.38} T_e(t, x)^{3.61} \quad (\text{A.45})$$

With  $p_{c0}$  verifying  $p_{c0} = \frac{P_{\text{cyclo}}}{\rho_m \int_0^1 V' p_{\text{cyclo}} dx}$  where  $K_x$  is the elongation of each flux surface and  $F_{\text{dia}}$  is the diamagnetic function, both taken from equilibrium.

We have verified that  $p_{\text{cyclo}}$  computed with this formula matches well CYTRAN [99] and EXACTEC [99] results for reactor case but not account for heat transport from center to edge as provided by EXACTEC code.

### A.10. Pellet injection

METIS can model pellet injection. The user must prescribe the maximum  $x_{\text{pellet}}$  and optionally the width  $\Delta_{\text{pellet}}$  of the pellet deposition ( $S_{\text{pellet}}$ ), assumed to have a Gaussian shape (centered at  $x_{\text{pellet}}$  of width  $\Delta_{\text{pellet}}$ ), and the relative density increase provided by pellet injection  $f_{\text{pellet}}$ . If  $\Delta_{\text{pellet}}$  is not provided, the shape of the deposition is assumed to follow the NGS model [100] in which the injection velocity is adjusted to obtain the desired  $x_{\text{pellet}}$  and the radius of the pellet is adjusted to have matter deposition corresponding to  $f_{\text{pellet}}(t)$ .

The pellet injection does not change the line averaged density as it is supposed already included in the prescribed  $\bar{n}_e$  waveform (see section 2.4). Only the density profile shape is modified: a perturbation of density profile due to the pellet deposition is computed ( $\Delta n_e = (1 - x) f_{\text{pellet}} S_{\text{pellet}}$ , where  $(1 - x)$  accounts for efficiency of pellet deposition to change the density profile) and then is added to the original density profile (without pellets, calculated as explained in section 2.4) and the obtained density profile is then renormalized to the line averaged density keeping unchanged the edge density. The resulting density profile has a new shape with a new peaking factor.

Pellets can be described either as a continuous or discrete effect on the density profile. In the latter case, the pellet injection events are detected from sharp variations in the prescribed line averaged density waveform.

### A.11. Neutral source

The neutral source is computed to account for fuelling with recycling particles and gas puffing. This source gives the ionisation heat source at the edge, the friction that slows down edge toroidal rotation and the electron flux across the plasma. We consider two populations. The first (cold) is made of particles coming from gas puffing and is assumed to have low temperature (typically the temperature of the vacuum vessel). The second (hot) is made of recycling particles and is assumed to have local ion temperature due to the charge exchange mechanism. Both sources are computed using a one-dimensional model based on diffusion, where the diffusion coefficient is deduced from the mean free path [101, 102]. The mean free path is computed using the charge-exchange and ionisation rate. Only monoatomic species are taken into account. Molecular dissociation and other effects are not considered. The detail of the model can be found in the [103].

The amount of hot neutrals entering the plasma is the recycling fraction ( $f_{n0}$ ) of ions flux in the SOL. This fraction depends on the configuration, i.e. if the plasma is in the limiter or the divertor configuration. The flux of ions in the SOL (sufficiently far from limiter or divertor target), is computed as  $n_{e,a} S'_{\text{LCFS}} \delta_{\text{SOL}} c_{s,\text{LCFS}} + \frac{dN}{dt}$ , given the flux of neutral entering in the LCFS:

$$S_{0,\text{in}} = f_{n0} \left( n_{e,a} S'_{\text{LCFS}} \delta_{\text{SOL}} c_{s,\text{LCFS}} + \frac{dN}{dt} - S_{\text{NBI}} \right) (1 - f_{\text{pellet}}) \quad (\text{A.46})$$

where  $n_{e,a}$  is the electron density at LCFS,  $S'_{\text{LCFS}}$  is the perimeter of the poloidal plasma section,  $\delta_{\text{SOL}}$  is the SOL width,  $c_{s,\text{LCFS}}$  is the sound speed at LCFS,  $N$  is the total number of electrons in the plasma,  $S_{\text{NBI}}$  is the source of electrons due to neutral beam injection. In METIS, the parameter  $f_{n0}$  is prescribed, one value for the limiter configuration and one for the divertor configuration; it can be read from external modelling made with a 2D edge code. It is worth to remark that the term  $\frac{dN}{dt}$  accounts also for gas puffing and pumping as the density profile is controlled through the prescribed line-averaged density.

Finally, from the neutral source the ionisation heat sink is computed.

## Appendix B. Definition of the loss power and its scaling expressions

The definition of the loss power  $P_{\text{loss}}$  depends on the scaling expression:

In the standard L-mode scaling expression (ITERH-96P(th)), we have:

$$P_{\text{loss}} = P_{\text{in}} = P_{\text{aux}} + P_{\alpha} \quad (\text{B.1})$$

where  $P_{\text{aux}}$  is the sum of external heating sources (including ohmic source) and  $P_{\alpha}$  is the heating source due to fusion reactions.

In the standard H mode scaling expression (ITERH-98P(y,2)), we have:

$$P_{\text{loss}} = P_{\text{aux}} + P_{\alpha} - P_{\text{brem}} - P_{\text{cyclo}} - f_{\text{rad}} P_{\text{rad}} \quad (\text{B.2})$$

where  $P_{\text{brem}}$  is the power loss by bremsstrahlung radiation,  $P_{\text{cyclo}}$  is the power loss by cyclotron radiation,  $P_{\text{rad}}$  is the power loss by line radiation in the core plasma (excluding SOL, limiter and divertor contribution) and  $f_{\text{rad}} \in [0, 1]$  is an ad-hoc coefficient. Since the value of  $f_{\text{rad}}$  is not yet universally defined, it can be adjusted by the user. However, such definition of  $P_{\text{loss}}$  could lead to difficulties in highly radiative plasmas, as the ones occasionally obtained in metallic wall tokamaks. To be able to extend the use of the scaling law to scenarios with high fraction of radiative power, we have included another definition of  $P_{\text{loss}}$  that can be alternatively used in METIS

$$P_{\text{loss}} = \max_{\rho_{\text{norm}} \in [0, 1]} \left( \int_0^{\rho_{\text{norm}}} (Q_e(x) + Q_i(x)) V'(x) dx \right) \quad (\text{B.3})$$

where  $Q_e$  is the sum of heat sources on electrons,  $Q_i$  is the sum of heat sources on ion species and  $V'$  is the volume element.

## Appendix C. Supra-thermal stored energy calculation

The fast ions coming from heating (fusion reactions, NBI and ICRH) contribute to the plasma pressure and plasma energy content. We can evaluate these quantities by solving

a simplified version of the Fokker–Planck equation. If we neglect energy diffusion, trapping effect and pitch angle scattering, the Fokker–Planck equation for a mono-energetic ion source becomes:

$$\frac{\partial f}{\partial t} = \frac{1}{v^2} \frac{\partial}{\partial v} \left( \frac{v^3 + v_c^3}{\tau_s} f \right) + \frac{S_0}{4\pi v_0^2} \delta(v - v_0) \quad (\text{C.1})$$

where  $f$  is the distribution function,  $S_0$  the fast ion source and  $v_0$  the injection velocity,  $v_c$  is the critical velocity and  $\tau_s$  the slowing down time as defined in [78].

Temperature and density used in expression of  $v_c$  and  $\tau_s$  are the power-weighted volume-averaged values:

$$A_w(t) = \frac{\int_0^1 p(t, x) V'(t, x) A(t, x) dx}{\int_0^1 p(t, x) V'(t, x) dx}. \quad (\text{C.2})$$

The steady state solution is:

$$f = \frac{S_0 \tau_s}{4\pi} \frac{\sigma(v_0 - v)}{v^3 + v_c^3}. \quad (\text{C.3})$$

The stored energy can be written as:

$$W_{\text{sup}} = \int_0^\infty \frac{1}{2} m v^2 f 4\pi v^2 dv = \int_0^\infty \frac{1}{2} m_0 \tau_s \frac{v^4}{v^3 + v_c^3} dv \quad (\text{C.4})$$

which yields finally:

$$W_{\text{sup}} = \frac{P_{\text{inj}} \tau_s}{2 \left( 1 - \left( \frac{v_c}{v_0} \right) \left( \frac{1}{3} \ln \left( \frac{(v_0 - v_c)^2 + v_0 v_c}{(v_0 + v_c) v_c} \right) + \frac{1}{\sqrt{3} \left( a \tan \left( \frac{2v_0 - v_c}{\sqrt{3} v_c} \right) + a \tan \left( \frac{1}{\sqrt{3}} \right) \right)} \right) \right)} \quad (\text{C.5})$$

where  $P_{\text{inj}} = S_0 v_0^2 / 2$  is the injected power.

We can now define the supra-thermal pressure associated with the stored energy. We simply take the shape of the power deposition defined for each heating source, named here  $p_{\text{shape}}$ , and we compute a proportional profile that satisfies:

$$p_{\text{sup}}(t, x) = \eta_{\text{sup}}(t) p_{\text{shape}}(t, x) \quad (\text{C.6})$$

with  $\frac{3}{2} \rho_m(t) \int_0^1 p_{\text{sup}}(t, x) V'(t, x) dx = W_{\text{sup}}(t)$ .

Additionally, from the simplified time dependent Fokker–Planck equation described above, we deduce the time evolution of the supra-thermal stored energy:

$\frac{dW_{\text{sup}}}{dt} = \frac{-2W_{\text{sup}}}{\tau_{\text{eff}}} + P_{\text{inj}}$  and the thermal power deposition is  $P_{\text{th}} = \frac{2W_{\text{sup}}}{\tau_{\text{eff}}}$  with

$$\begin{aligned} \tau_{\text{eff}} &= \tau_s(t) \left( 1 - \left( \frac{v_c}{v_0} \right) \left( \frac{1}{3} \ln \left( \frac{(v_0 - v_c)^2 + v_0 v_c}{(v_0 + v_c) v_c} \right) \right. \right. \\ &\quad \left. \left. + \frac{1}{\sqrt{3} \left( a \tan \left( \frac{2v_0 - v_c}{\sqrt{3} v_c} \right) + a \tan \left( \frac{1}{\sqrt{3}} \right) \right)} \right) \right). \end{aligned} \quad (\text{C.7})$$

As an input for the equilibrium calculation, we define the total pressure as the sum of the thermal pressure, the supra-thermal pressure  $p_{\text{sup}}$  and the rotation centrifugal pressure:

$$p_{\text{p},\omega} = \sum_{k \in \{\text{species}\}} m_k n_k R_{\text{ref}} \omega_\varphi^2. \quad (\text{C.8})$$

This contribution is important to get the right Shafranov shift in plasmas with high power neutral beam injection.

## Appendix D. Specific ion density treatment

### D.1. D-T mixture: helium ash

In the D–T mixture case, the Helium density is calculated as the sum of three terms, one representing the Helium resulting from the D–T fusion reactions  $\langle n_{\text{He,ash}} \rangle$ , the second the fast alphas stored in the plasma  $\langle n_{\text{He,fast}} \rangle$  and the third representing the He coming from fuelling

$$\langle n_{\text{He,fuel}} \rangle : \langle n_{\text{He}} \rangle = \langle n_{\text{He,ash}} \rangle + \langle n_{\text{He,fast}} \rangle + \langle n_{\text{He,fuel}} \rangle. \quad (\text{D.1})$$

The first term  $n_{\text{He,ash}}$  is computed with a 0D equation for ashes accumulation:

$$\frac{d \langle n_{\text{He,ash}} \rangle}{dt} = - \frac{\langle n_{\text{He,ash}} \rangle}{\tau_{\text{He}}} + \frac{S_\alpha}{V_p} \quad (\text{D.2})$$

where  $S_\alpha$  is the source of thermal alpha particles created by D–T reactions and  $\tau_{\text{He}}$  is the helium effective confinement time, provided either by a scaling law or by the following law adapted from [104]:

$$\tau_{\text{He}} = f_{\text{He}} \tau_{\text{E+}} \frac{R}{1 - R} \tau_{\text{ne}} \quad (\text{D.3})$$

which allows to take into account the recycling of helium ashes.

The second term is computed from the fast alpha plasma energy content (that is an output of analytical Fokker–Planck solution used to compute the power deposition on electrons and ions):

$$\langle n_{\text{He,fast}} \rangle = \frac{E_{\text{sup},\alpha}}{2e3.56 10^6 V_p}. \quad (\text{D.4})$$

The helium density profile is calculated assuming the same shape as the electron density profile, but with an edge value depending on ashes accumulation (more peaked profile and lower edge density due to a source located at the centre of the plasma):

$$n_{\text{He}}(t, x) = C_{\text{He}}(t) [n_e(t, x) - n_e(t, 1)] + n_{\text{He,a}}(t) \quad (\text{D.5})$$

where  $C_{\text{He}}$  is set to have the desired  $\langle n_{\text{He}} \rangle$  value at each time slice and

$$n_{\text{He,a}} = (\langle n_{\text{He,fuel}} \rangle + f_{\text{He,a}} \langle n_{\text{He,ash}} \rangle) \frac{n_e(1)}{\langle n_e \rangle} \quad (\text{D.6})$$

where  $f_{\text{He,a}} \sim \frac{\tau_{\text{ne}}}{\tau_{\text{He}}}$

Remark:  $\langle n_{\text{He,fast}} \rangle$  have no contribution to edge density as fast alpha are confined to the centre of the plasma.

The second term  $\langle n_{\text{He,fuel}} \rangle$  is defined as a fraction of the average electron density. This fraction is prescribed by the user.

### D.2. Tungsten in METIS

A specific treatment of the tungsten is included in METIS that users can switch on or off. This treatment is based on a model for the sputtering source at divertor target, a simple model for prompt-redeposition, a model for divertor screening and a simple model for tungsten accumulation in the plasma.

Additionally, the source of electrons provided by local tungsten ionisation is taken into account and this effect is added to the electron density profile (that ensures to have the right electron density whatever is the tungsten accumulation). The temperature  $T_{e,t}$  and density  $n_{e,t}$  at the target and the temperature at LCFS must be provided in this case by the following model.

The profile of tungsten density in the plasma core is:

$$n_w(t, x) = \left( C_W G(t) + C_{W,\text{offset}} \right) n_{e,a}(t) \left( \frac{n_e(t, x)}{n_{e,a}(t)} \right)^{\gamma_n} e^{\sigma \bar{Z}_W I(t, x)} \quad (\text{D.7})$$

where  $C_W$ ,  $C_{W,\text{offset}}$ ,  $\gamma_n$ ,  $\sigma$  are user defined constants:  $C_W$  allows to take into account the strength of divertor source,  $C_{W,\text{offset}}$  allows to take into account the strength of other sources,  $\gamma_n$  the proportionality (1) or independence (0) of the tungsten density from electron density profile and  $\sigma$  is the strength of accumulation mechanism. This model for tungsten density assumes that each density at the LCFS is proportional to the flux [105].

The divertor response  $G(t)$  is:

$$G(t) = Y_p(t) S_r(t) (1 - F_r(t)) \quad (\text{D.8})$$

where  $Y_p(t)$  the sputtering factor,  $S_r(t)$  the screening factor and  $F_r(t)$  the prompt-redeposition factor.

The sputtering factor reads:

$$Y_p(t) = \sum_{j \in \{\text{species}\}} \frac{n_j(t, 1)}{n_{e,a}(t)} y_{j \rightarrow W}(E_j(t)) \quad (\text{D.9})$$

where the sputtering yield  $y_{j \rightarrow W}$  is either given by the model from [72] or by [106]:

$$E_j(t) = T_{e,t}(t) + (2 + \Gamma_H) * T_{i,t}(t) - 0.5 Z_j T_{e,t}(t) \ln \left( \frac{2\pi m_e}{m_j} \left( 1 + \frac{T_{i,t}(t)}{T_{e,t}(t)} \right) \right). \quad (\text{D.10})$$

The two points model provides only  $T_{e,t}$ , so we fixed  $T_{i,t}(t) = \eta_i T_{e,t}(t)$ . The factor  $\Gamma_H$  is 1 for the isothermal case and  $\frac{5}{3}$  for the adiabatic case.

The screening model reads [105]:

$$S_r(t) = e^{-\left(\frac{T_{\text{leak}}(t)}{T_{e,t}(t)}\right)^2} \quad (\text{D.11})$$

where

$$T_{\text{leak}}(t) = 3.8 \cdot 10^{-9} \bar{Z}_W (T_{\text{SOL,eff}}(t)) \sqrt{n_{e,t}(t) \Delta s(t)}. \quad (\text{D.12})$$

With  $\bar{Z}_W$  is the averaged tungsten ion charge number [107] computed for temperature  $T_{\text{SOL,eff}}(t) = f_{\text{SOL,eff}} T_{e,a} + (1 - f_{\text{SOL,eff}}) T_{e,t}$ .

We assume that  $\Delta s(t)$  is of the order of the neutral ionisation length near the divertor target:

$$\Delta s(t) = \frac{\sqrt{\frac{2e T_{e,t}(t)}{m_{\text{eff}}(t)}}}{n_{e,t}(t) (\langle \sigma v \rangle_{i,e}(t) + \langle \sigma v \rangle_{i,i}(t) + \langle \sigma v \rangle_{\text{ex}}(t)) \mu_t(t)} \quad (\text{D.13})$$

where  $\langle \sigma v \rangle_{i,e}$ ,  $\langle \sigma v \rangle_{i,i}$ ,  $\langle \sigma v \rangle_{\text{ex}}$  are respectively electron ionisation, ion ionisation and charge exchange cross-sections for the main plasma species.  $\mu_t(t)$  is the angle of magnetic

field line. The prompt-redeposition of tungsten ion can be switched on or off. If it is off then  $F_r(t) = 0$ . Otherwise METIS uses a simplified model based on the ratio between tungsten ionised one time Larmor radius and ionization length [108] and a fit of redeposition computed by the ERO code results [109]:

$$F_r = f_{\text{ERO}} \left( \frac{l_{W,\text{ion}}}{\rho_{W^+}} \right). \quad (\text{D.14})$$

Here is the piecewise cubic Hermite Interpolated value of tabulated ERO results:

$x$	0	0.2	0.6	2.2	3.2	6	1000
$f_{\text{ERO}(x)}$	1	0.85	0.65	0.3	0.25	0.15	0.1

and assuming emergent tungsten ions have  $T_{e,t}$  kinetic energy we have  $l_{W,\text{ion}} = \sqrt{\frac{2e T_{e,t}}{m_W \langle \sigma v \rangle_{W,\text{ionised}}(T_{e,t}) n_{e,t}}}$  and  $\rho_{W^+}$  is the tungsten Larmor ionised one time radius at divertor target.

The accumulation factor is chosen as the neoclassical expression in cylindrical geometry [40] with ad-hoc modification to take into account plasma rotation and heating decontamination effects:

$$\frac{dI}{dx} = C_{\Omega,W} u_\Omega + \frac{\frac{dn_i}{dx}}{n_i} + \left( 1 - \frac{1}{\bar{Z}_W} - \frac{3}{2} - C_{H,W} h_{e,i} \right) \frac{\frac{dT_i}{dx}}{T_i} \quad (\text{D.15})$$

where  $C_{\Omega,W}$  and  $C_{H,W}$  are user-defined constants that drive the contamination and decontamination processes due to, respectively, toroidal plasma rotation and additional heating. The rotation term  $u_\Omega$  is [110, 111]:

$$u_\Omega = - \frac{\frac{d\Omega}{dt}}{R_{\text{axe}} v_{\text{th},W}} \text{ where } v_{\text{th},W} \text{ is the thermal velocity for tungsten.}$$

This model is completed with a two points model (i.e. including neutral friction, radiative losses, momentum losses, kinetic correction and supersonic correction [105]) that allows to predict LCFS electron temperature and electron density and temperature at the outer divertor target knowing the heat flux crossing the LCFS and the electron density at LCFS. The scrape-off-layer (SoL) width is provided by a scaling law [96]. Eventually, this model takes into account counter-reaction due to tungsten source from sputtering on radiative fraction both in core plasma, in SoL and divertor region.

## Appendix E. Electron temperature at LCFS

$T_{\text{LCFS}}$  is either given by a scaling law or, when it is turned on, by the SoL 2-point model. The scaling law depends on the plasma configuration [112–114]. In divertor configuration we use a scaling derived from the link between electron temperature at LCFS and at divertor plate and a scaling law for electron temperature at divertor plate:

$$T_{\text{LCFS}} = 2.03 \cdot 10^{-7} \left( n_{\text{LCFS}} L_c \left( 1 - \frac{1}{2} \frac{P_{\text{rad,SOL}}}{P_{\text{LCFS}}} \right) \right)^{0.4}. \quad (\text{E.1})$$

With  $n_{LCFS}$  the density LCFS,  $L_c$  the connexion length,  $P_{rad,SOL}$  the radiative power dissipated in the SoL and  $P_{LCFS}$  the heat power crossing the LCFS

In limiter configuration:

$$T_{LCFS} = 1.04 \cdot 10^9 \left( \frac{P_{LCFS} \sqrt{A}}{\lambda_q L_c n_{LCFS}} \right)^{2/3}. \quad (\text{E.2})$$

With  $\lambda_q$  the heat SoL width and  $A$  the number of mass of main ion species.

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