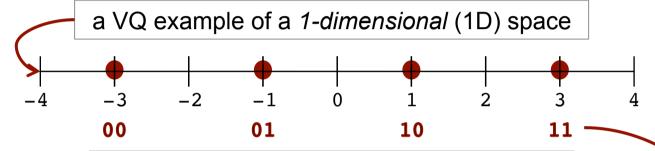


# About the "vector quantization" concept

a "vector quantization" (VQ) is nothing more than an approximator; the idea is similar to that of "rounding-off" (e.g., to the nearest integer); the original motivation is *dimensionality reduction* or *data compression* 



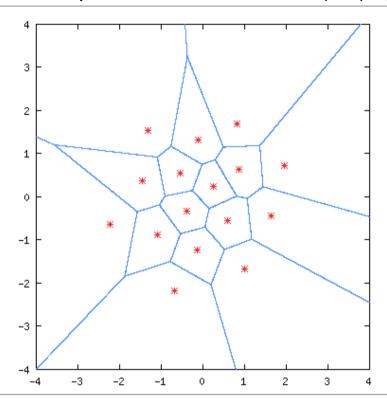
each number in [-4 .. -2[ is approximated by -3 each number in [-2 .. 0[ is approximated by -1 each number in [0 .. 2[ is approximated by 1 each number in [2 .. 4[ is approximated by 3

the approximate values are uniquely represented by 2 bits

00 for -3, 01 for -1, 10 for 1, 11 for 3

so, the approximation is also a "compressed" way of representing the data; we use 2 bits instead of the 4 bits that would needed to represent the 9 different integers

a VQ example of a 2-dimensional (2D) space



each pair of numbers (i.e. each 2D point) falling in a particular region is approximated by the red cross associated with that region;

notice that there are 16 regions and 16 red crosses each of which can be uniquely represented by 4 bits; thus, this is a 2-dimensional, 4-bit VQ so, the general formulation is that "vector quantization" maps k-dimensional vectors into a finite set of vectors  $Y = \{y_i: i=1, 2, ..., n\}$ ;

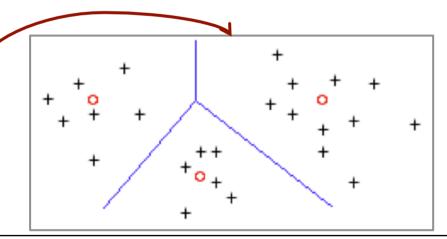
each vector  $y_i$  is called a "codebook vector" (cBv), and the set, Y, of all cBv is called a "codebook"

the "Learning Vector Quantization" (LVQ) goal is that:

given a dataset (training sequence) and given a number of "codebook vectors", find a codebook which best represents the class values in the dataset

example: each + is a training example (from the dataset) end each red-circle is a cBv

the lines represent the decision boundaries



## LVQ representation – Neural Network (no Hidden Layers)

 $O_M$ 

Competitive

layer

one layer of:
INPUT OUTPUT
nodes nodes

one INPUT node for:
each feature (i.e., dataset column)

X1

O2

X2

O3

 $X_d$ 

Input layer

Paulo Trigo Silva @ ISEI

the OUTPUT layer is also called; a "codebook"

each OUTPUT node is also called:

a "codebook vector"

at least one OUTPUT node for: each class value

one weight (connection) from each INPUT node to each OUTPUT node

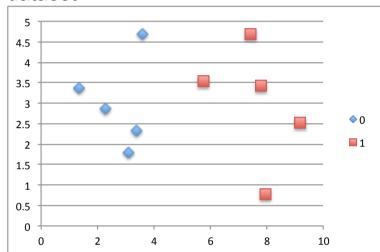
- ... there are as many **input** nodes as **features** in the dataset
- ... there is at least one **output** node for each **class** value

here, D input and M output nodes; so D features and if using 2 nodes for each class value we have M/2 class values

#### Example – dataset and "codebook vectors"

Dataset		
X1	X2	Y
3,39353321	2,33127338	0
3,11007348	1,78153964	0
1,34380883	3,36836095	0
3,58229404	4,67917911	0
2,28036244	2,86699026	0
7,42343694	4,69652288	1
5,745052	3,5339898	1
9,17216862	2,51110105	1
7,79278348	3,42408894	1
7,93982082	0,79163723	1

#### dataset



features: X1, X2

class: Y

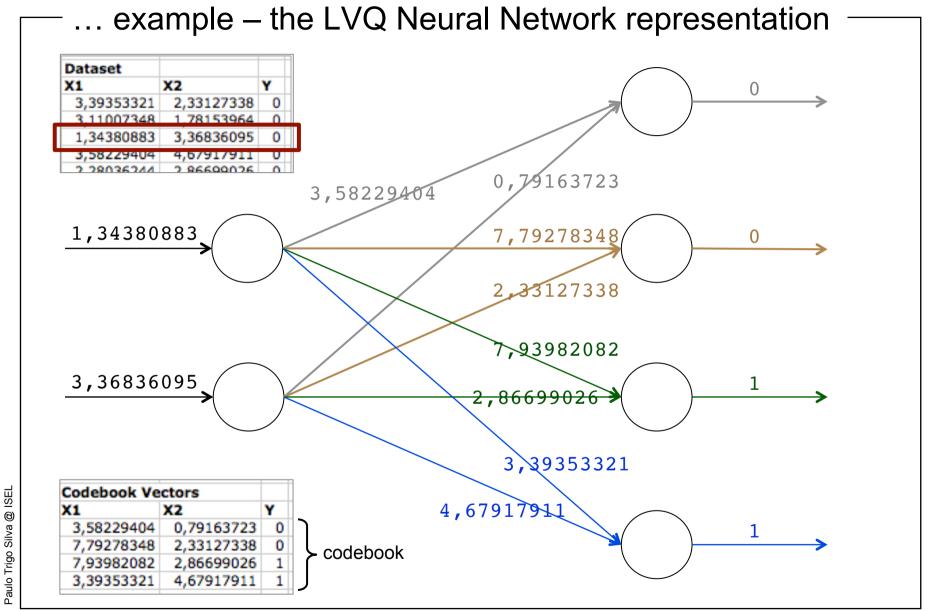
class values: 0, 1

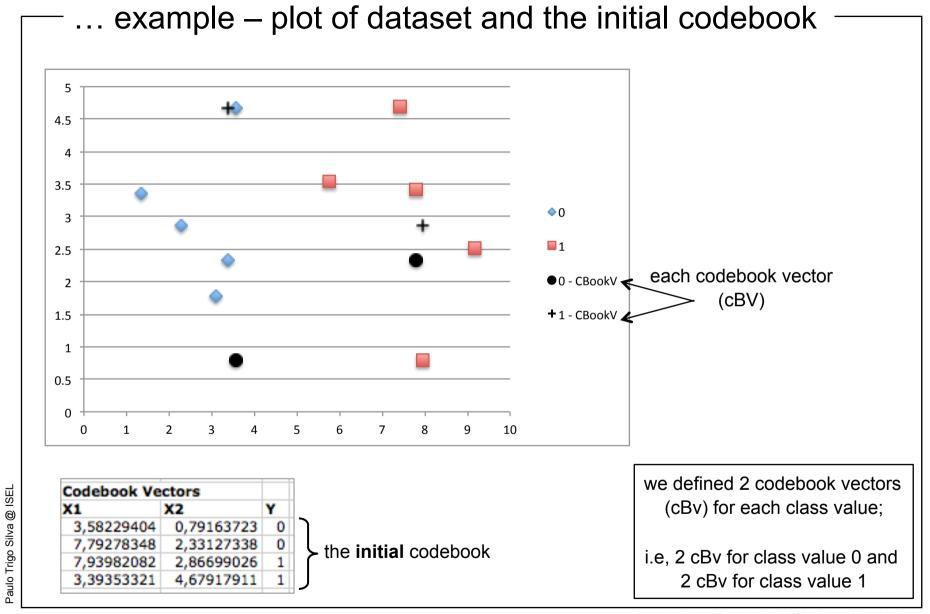
Codebook Vectors							
X1	X2	Y					
3,58229404	0,79163723	0					
7,79278348	2,33127338	0					
7,93982082	2,86699026	1					
3,39353321	4,67917911	1					

#### Exercise:

given these dataset and codebook vectors draw the LVQ neural network representation;

tag the connections using the weights taken from these codebook vectors and, as input, consider the third (3<sup>rd</sup>) instance of the dataset.





... the intuition is that, given a training example, each output unit (codebook vector) "competes" with all other output units

and, the winner is, the output unit **closer** to that training example

and, the winner gets (as a reward):
an **update of its weights** aiming to get more adapted than other units;
the adaptation is processed via **attraction** and **repulsion** rules

**attraction** rule – move codebook vector **closer to** the training example **repulsion** rule – move codebook vector **away from** the training example

because <u>one</u> codebook vector is selected for modification <u>for each training instance</u>, the algorithm is referred to as a <u>winner-take-all</u> (type of <u>competitive</u> learning)

#### The LVQ learning process – from the Data to the Model

the LVQ algorithm learns the "codebook vectors" from the training data

- [1] choose the number of codebook vectors (cBv) to use
  - possibly (but not necessarily) an equal number of cBv for each class
- [2] start the learning process with a pool of cBv
  - either randomly selected from training data,
  - or randomly generated with the same scale as the training data
  - ... each cBv has the same number of attributes as data and an output class value
- [3] the instances in the training data are processed one-at-a-time
  - for a given training instance, select its most similar cBv
  - the selected cBv is the "winner", also called the "best matching unit" (BMU)
- [4] the BMU gets its weights updated
  - if the BMU has the same class as the training instance, apply the attraction rule
  - otherwise, apply the **repulsion** rule (in relation to that training instance)

... for a given instance, select its **most similar** cBv

a common way of computing similarity is to establish a **distance** criteria and assume such **distance** as **the similarity order** relation i.e., the *closer the higher similarity* 

so, we need to define&compute a distance between vectors

and **rank** the distance from an instance data to each cBv the **closer** they are the **more similar** they are!

# "similar to" – define&compute a distance criteria

a general formulation of several distance functions (or, distance criteria) commonly used for numeric data

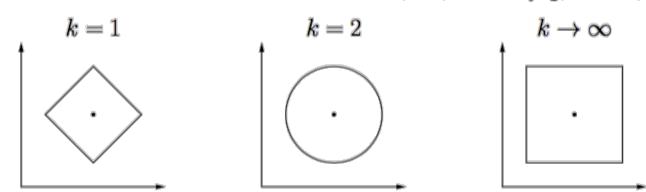
$$d_k(ec{x},ec{y}) = \left(\sum_{i=1}^n [x_i - y_i]^k
ight)^{rac{1}{k}}$$

Well-known special cases from this family are:

k=1: Manhattan or city block distance,

k=2: Euclidean distance,

 $k \to \infty$ : maximum distance, i.e.  $d_{\infty}(\vec{x}, \vec{y}) = \max_{i=1}^{n} |x_i - y_i|$ .



all points lying on a circle or rectangle are sharing the **same distance to the center point** according to the corresponding distance function

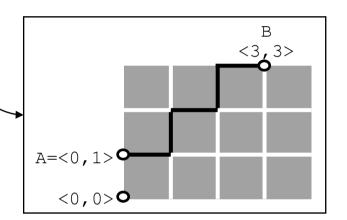
#### "similar to" - the Manhattan distance

the Manhattan distance, or the "city block" distance inspired on the idea of Manhattan having a "grid format"

the Manhattan distance between

$$A = <0, 1>$$
 and  $B = <3, 3>$ 

$$3 - 0 + 3 - 1 = 3 + 2 = 5$$



the Manhattan distance

$$ManhDist(X,Y) = \sum_{i=1}^{n} |x_i - y_i|$$

compute Manhattan distance between

$$A=<0,3,2,1,10>$$
 and  $B=<2,7,1,0,0>$ 

$$|0-2|+|3-7|+|2-1|+|1-0|+|10-0| = 18$$

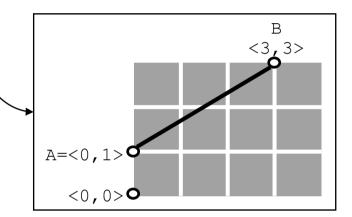
#### "similar to" - the Eclidean distance

straight line distance between two points

the Euclidean distance between

$$A = <0, 1>$$
 and  $B = <3, 3>$ 

$$[(3-0)^2 + (3-1)^2]^{1/2} = [9+4]^{1/2} = 3.6$$



the Euclidean distance between vectors d<sub>i</sub> and d<sub>k</sub>

$$|d_j - d_k| = \sqrt{\sum_{i=1}^n (d_{i,j} - d_{i,k})^2}$$

compute Euclidean distance between

$$d_1 = \langle a, b, c \rangle$$
 and  $d_2 = \langle x, y, z \rangle$ 

$$\sqrt{\text{Abs}[a-x]^2 + \text{Abs}[b-y]^2 + \text{Abs}[d-z]^2}$$

... if the BMU has the same class as the training instance, then apply attraction rule; otherwise apply repulsion rule

... and an additional question is: what is the **amount** that the vector is **moved**? such amount is called the "**learning rate**"

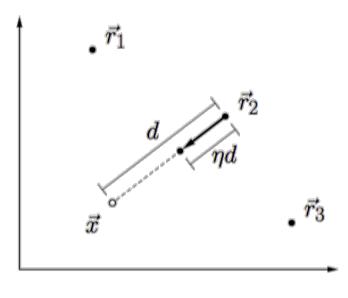
**attraction** rule – move codebook vector, *x*, **closer to** the training example, *t*, by the amount of *LearningRate*,

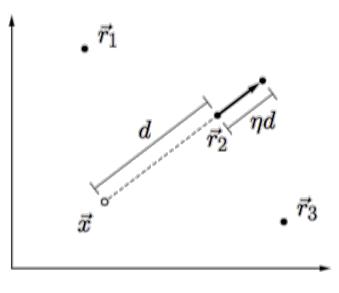
$$x = x + LearningRate \times (t - x)$$

**repulsion** rule – move codebook vector, *x*, **away from** the training example, *t*, by the amount of *LearningRate*,

$$x = x - LearningRate \times (t - x)$$

# "attraction/repulsion" - an illustrative example





attraction rule

repulsion rule

- $\vec{x}$ : data point,  $\vec{r_i}$ : reference vector
- $\eta = 0.4$  (learning rate)

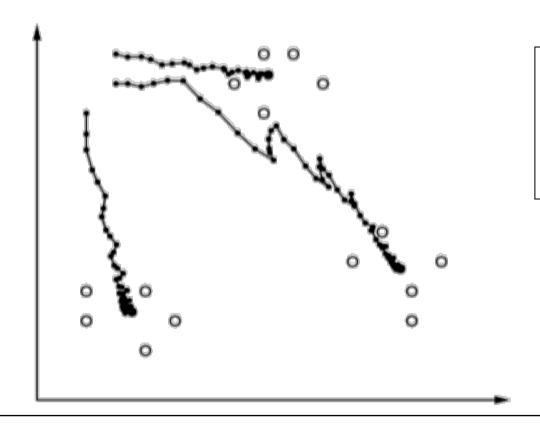
... this would be repeated for each training instance;

one iteration of the training dataset is called an epoch;

the process is completed for a number of epochs that we define, e.g., 200

## "attraction/repulsion and epoch" – an illustrative example

each training instance originates the attraction/repulsion of <u>only one</u> cBv an **epoch** has as many cBv movements as the number, N, of training instances a **number of epochs**, MaxEpoch, originates MaxEpoch × N movements of cBv

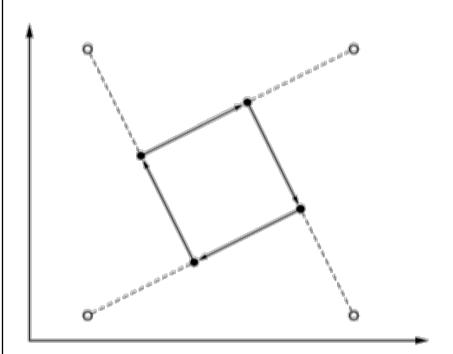


in this illustration we have one codebook vector (cBv) associated with each class value; but we may have several cBv associated with each class value

the amount that each cBv is moved is controlled by the *LearningRate*; in this illustration we have a **fixed** *LearningRate* = 0.1

Paulo Trigo Silva @ ISEI

## The *LearningRate* – fixed or time-dependent?



problem – a **fixed** learning ratethe process may get trapped into an oscillatory mode

solution – a time-dependent learning rate the rate decreases with epoch

$$LearningRate = alpha \times (1 - \frac{Epoch}{MaxEpoch})$$

LearningRate is the learning rate for the current Epoch (from 0 to MaxEpoch – 1) alpha is the learning rate specified to the algorithm at the start of training run

so, as *Epoch* increases (i.e., as time goes) the *LearningRate* decreases, and therefore the attraction/repulsion movements get smaller and smaller

using the above approach the *LearningRate* decreases linearly with the *Epoch* 

but, there are other alternatives to define a time-dependent LearningRate, such as,  $LearningRate = alpha ^{Epoch}$ , with  $0 \le alpha \le 1$ 

where the *LearningRate* decreases in a non-linearly with the *Epoch* 

## The time-dependent *LearningRate* – an example

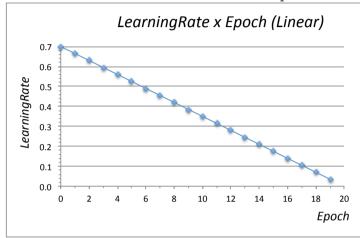
non-Linear

alpha = 0,7 MaxEpoch = 20

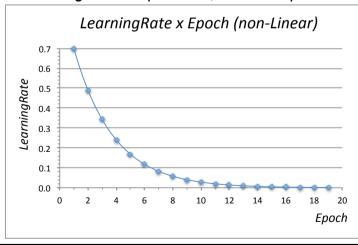
		Lilleai	HOH-LIHEAI
	Epoch	LearningRate	LearningRate
alpha	0	0,70000	1,00000
	1	0,66500	0,70000
	2	0,63000	0,49000
	3	0,59500	0,34300
	4	0,56000	0,24010
	5	0,52500	0,16807
	6	0,49000	0,11765
	7	0,45500	0,08235
	8	0,42000	0,05765
	9	0,38500	0,04035
	10	0,35000	0,02825
	11	0,31500	0,01977
	12	0,28000	0,01384
	13	0,24500	0,00969
	14	0,21000	0,00678
	15	0,17500	0,00475
	16	0,14000	0,00332
	17	0,10500	0,00233
	18	0,07000	0,00163
	19	0,03500	0,00114
MaxEpoch	20		

Linear

 $LearningRate = alpha \times (1 - \frac{Epoch}{MaxEpoch})$ 



LearningRate = alpha  $^{Epoch}$ , with  $0 \le alpha \le 1$ 



## [recall] The overall learning process – an example

the LVQ algorithm learns the "codebook vectors" from the training data

- [1] choose the number of codebook vectors (cBv) to use
  - possibly (but not necessarily) an equal number of cBv for each class
- [2] start the learning process with a pool of cBv
  - either randomly selected from training data,
  - or randomly generated with the same scale as the training data
  - ... each cBv has the same number of attributes as data and an output class value
- [3] the instances in the training data are processed one-at-a-time
  - for a given training instance, select its most similar cBv
  - ... the selected cBv is the "winner", also called the "best matching unit" (**BMU**)
- [4] the BMU gets its weights updated
  - if the BMU has the same class as the training instance, apply the attraction rule
  - otherwise, apply the repulsion rule (in relation to that training instance)

Dataset		
X1	X2	Y
3,39353321	2,33127338	0
3,11007348	1,78153964	0
1,34380883	3,36836095	0
3,58229404	4,67917911	0
2,28036244	2,86699026	0
7,42343694	4,69652288	1
5,745052	3,5339898	1
9,17216862	2,51110105	1
7,79278348	3,42408894	1
7,93982082	0,79163723	1

Codebook Vectors									
X1	X2	Y							
3,58229404	0,79163723	0							
7,79278348	2,33127338	0							
7,93982082	2,86699026	1							
3,39353321	4,67917911	1							

#### ... example – the first 4 dataset examples

Dataset		
X1	X2	Υ
3,39353321	2,33127338	0
3,11007348	1,78153964	0
1,34380883	3,36836095	0
3,58229404	4,67917911	0
2,28036244	2,86699026	0
7,42343694	4,69652288	1
5,745052	3,5339898	1
9,17216862	2,51110105	1
7,79278348	3,42408894	1
7,93982082	0,79163723	1

- ..
- [3] the instances in the training data are processed one-at-a-time
  - for a given training instance, select its most similar cBv
  - ... the selected cBy is the "winner", also called the "best matching unit" (**BMU**)
- [4] the BMU gets its weights updated
  - if the BMU has the same class as the training instance, apply the attraction rule
  - otherwise, apply the repulsion rule (in relation to that training instance)

Codebook Vectors										
X1	X2	Υ								
3,58229404	0,79163723	0								
7,79278348	2,33127338	0								
7,93982082	2,86699026	1								
3,39353321	4,67917911	1								

 $EuclideanDistance(a,b) = \sqrt{\sum_{i=1}^{n}(a_i-b_i)^2}$ 

(fixed) LearningRate = 0,7

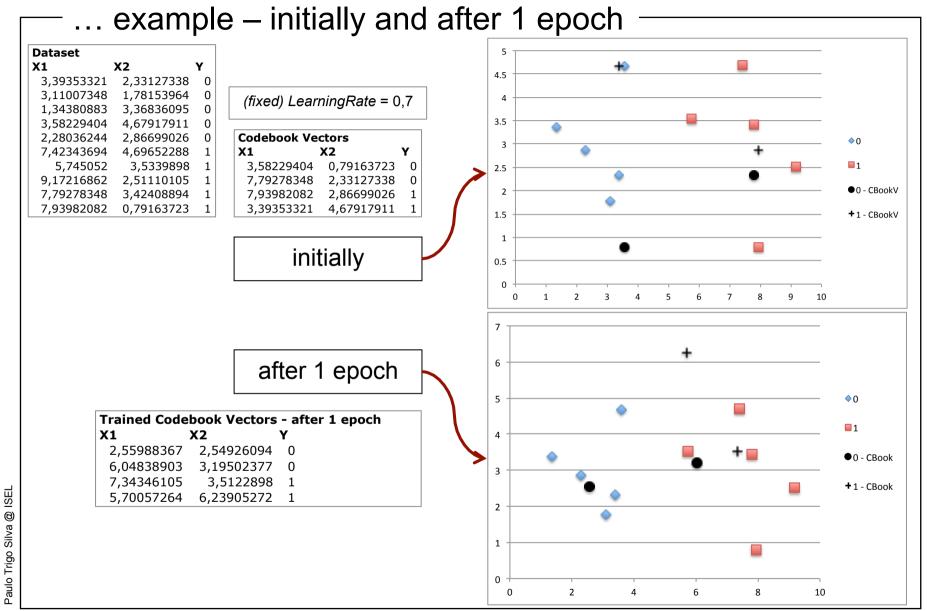
Training					Y				1					
П	(	Codebook vec	tors		Input			Distances			\	Codebook v	ectors t+1	
Ш	# )	X1	X2	Υ	X1	X2	Υ	(X1-X1)^2	(x2-X2)^	Sum	Distance BMU?	X1	X2	Y
Ш	1	3,58229404	0,79163723	0	3,39353321	2,33127338	0	0,035631	2,37048	2,40611	1,5511 BMU	3,450161	1,869383	0
Ш	2	7,79278348	2,33127338	0	3,39353321	2,33127338	0	19,3534	0	19,3534	4,39925	7,792783	2,331273	0
Ш	3	7,93982082	2,86699026	1	3,39353321	2,33127338	0	20,66873	0,28699	20,9557	4,57774	7,939821	2,86699	1
Ш	4	3,39353321	4,67917911	1	3,39353321	2,33127338	0	0	5,51266	5,51266	2,34791	3,393533	4,679179	1
П	1	3,45016146	1,86938254	0	3,11007348	1,78153964	0	0,11566	0,00772	0,12338	0,35125 BMU	3,2121	1,807893	0
Ш	2	7,79278348	2,33127338	0	3,11007348	1,78153964	0	21,92777	0,30221	22,23	4,71487	7,792783	2,331273	0
Ш	3	7,93982082	2,86699026	1	3,11007348	1,78153964	0	23,32646	1,1782	24,5047	4,95022	7,939821	2,86699	1
Ш	4	3,39353321	4,67917911	1	3,11007348	1,78153964	0	0,080349	8,39631	8,47666	2,91147	3,393533	4,679179	1
П	1	3,21209988	1,80789251	0	1,34380883	3,36836095	0	3,490511	2,43506	5,92557	2,43425	3,2121	1,807893	0
Ш	2	7,79278348	2,33127338	0	1,34380883	3,36836095	0	41,58927	1,07555	42,6648	6,53183	7,792783	2,331273	0
Ш	3	7,93982082	2,86699026	1	1,34380883	3,36836095	0	43,50737	0,25137	43,7587	6,61504	7,939821	2,86699	1
Ш	4	3,39353321	4,67917911	1	1,34380883	3,36836095	0	4,20137	1,71824	5,91961	2,43303 BMU	4,82834	5,596752	1
П	1	3,21209988	1,80789251	0	3,58229404	4,67917911	0	0,137044	8,24429	8,38133	2,89505	3,2121	1,807893	0
П	2	7,79278348	2,33127338	0	3,58229404	4,67917911	0	17,72822	5,51266	23,2409	4,82088	7,792783	2,331273	0
П	3	7,93982082	2,86699026	1	3,58229404	4,67917911	0	18,98804	3,28403	22,2721	4,71933	7,939821	2,86699	1
Ш	4	4,82834028	5,59675182	1	3,58229404	4,67917911	0	1,552631	0,84194	2,39457	1,54744 BMU	5,700573	6,239053	1

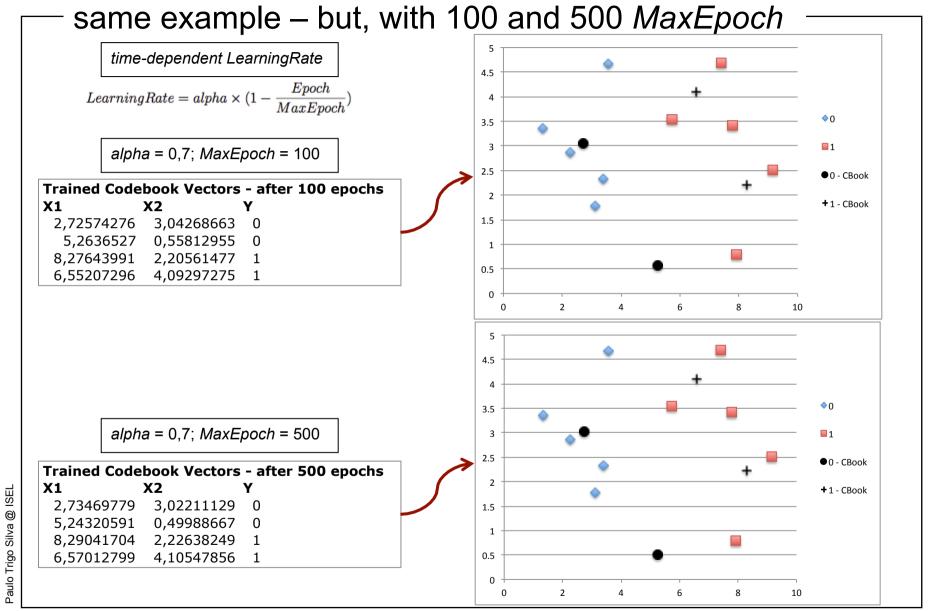
## ... example – for each BMU attraction or repulsion rule?

# Codebook Vectors X1 X2 Y 3,58229404 0,79163723 0 7,79278348 2,33127338 0 7,93982082 2,86699026 1 3,39353321 4,67917911 1

- ...
- [4] the BMU gets its weights updated
  - if the BMU has the same class as the training instance, apply the attraction rule
  - otherwise, apply the repulsion rule (in relation to that training instance)

Ш	Tra	aining						•					/ /	
Ш		Codebook vect	tors		Input			Distances				Codebook	ctors 1 - 1	<u>ا</u> ا
Ш	#	X1	X2	Υ	X1	X2	Υ	(X1-X1)^2	(x2-X2)^	Sum	Distance BMU?	X1	<b>(2/</b>	Y
Ш	1	3,58229404	0,79163723	0	3,39353321	2,33127338	0	0,035631	2,37048	2,40611	1,55116 BMU	3,450161	<b>/</b> 1,86 <b>9</b> 383	3 0
Ш	2	7,79278348	2,33127338	0	3,39353321	2,33127338	0	19,3534	0	19,3534	4,39925	7,792783	2,331273	3 0
Ш	3	7,93982082	2,86699026	1	3,39353321	2,33127338	0	20,66873	0,28699	20,9557	4,57774	7,939821	2/85699	) 1∐
Ш	4	3,39353321	4,67917911	1	3,39353321	2,33127338	0	0	5,51266	5,51266	2,34791	3,393533	4 6 9179	) 1
П	1	3,45016146	1,86938254	0	3,11007348	1,78153964	0	0,11566	0,00772	0,12338	0,35125 BMU	3,2121	1,807893	3 0
Ш	2	7,79278348	2,33127338	0	3,11007348	1,78153964	0	21,92777	0,30221	22,23	4,71487	7,792783	2,831273	3 0
Ш	3	7,93982082	2,86699026	1	3,11007348	1,78153964	0	23,32646	1,1782	24,5047	4,95022	7,939821	2,86699	) 1
Ш	4	3,39353321	4,67917911	1	3,11007348	1,78153964	0	0,080349	8,39631	8,47666	2,91147	3,393533	,679179	) 1
JΠ	1	3,21209988	1,80789251	0	1,34380883	3,36836095	0	3,490511	2,43506	5,92557	2,43425	3,21 <b>/</b> 1	1,807893	3 0
<u> </u>	2	7,79278348	2,33127338	0	1,34380883	3,36836095	0	41,58927	1,07555	42,6648	6,53183	7,792783	2,331273	3 0
3) [	3	7,93982082	2,86699026	1	1,34380883	3,36836095	0	43,50737	0,25137	43,7587	6,61504	7 839821	2,86699	) 1∐
الق	4	3,39353321	4,67917911	1	1,34380883	3,36836095	0	4,20137	1,71824	5,91961	2,43303 BMU	4,82834	5,596752	2 1
5 T	1	3,21209988	1,80789251	0	3,58229404	4,67917911	0	0,137044	8,24429	8,38133	2,89505	3,2721	1,807893	- 1
	2	7,79278348	2,33127338	0	3,58229404	4,67917911	0	17,72822	5,51266	23,2409	4,82088	7,792783	2,331273	3 0
=	3	7,93982082	2,86699026	1	3,58229404	4,67917911	0	18,98804	3,28403	22,2721	4,71933	7,939821	2,86699	) 1
	4	4,82834028	5,59675182	1	3,58229404	4,67917911	0	1,552631	0,84194	2,39457	1,54744 BMU	5,700573	6,239053	31
<u> </u>	•													





#### ... a question!

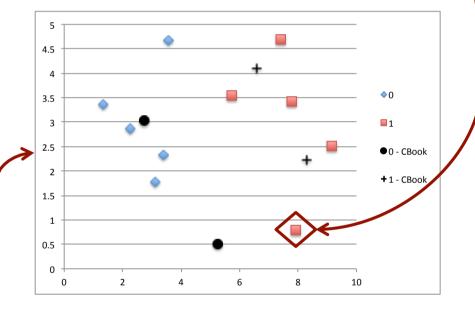
Dataset		
X1	X2	Υ
3,39353321	2,33127338	0
3,11007348	1,78153964	0
1,34380883	3,36836095	0
3,58229404	4,67917911	0
2,28036244	2,86699026	0
7,42343694	4,69652288	1
5,745052	3,5339898	1
9,17216862	2,51110105	1
7,79278348	3,42408894	1
7,93982082	0,79163723	1

6,57012799

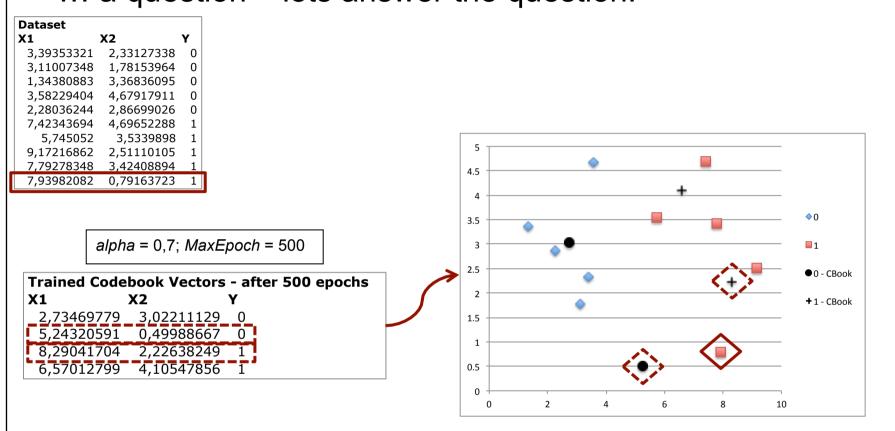
given the dataset, the "codebook vectors" and the Euclidean distance how is the point marked (with a red diamond) classified (as a zero or as a one)?



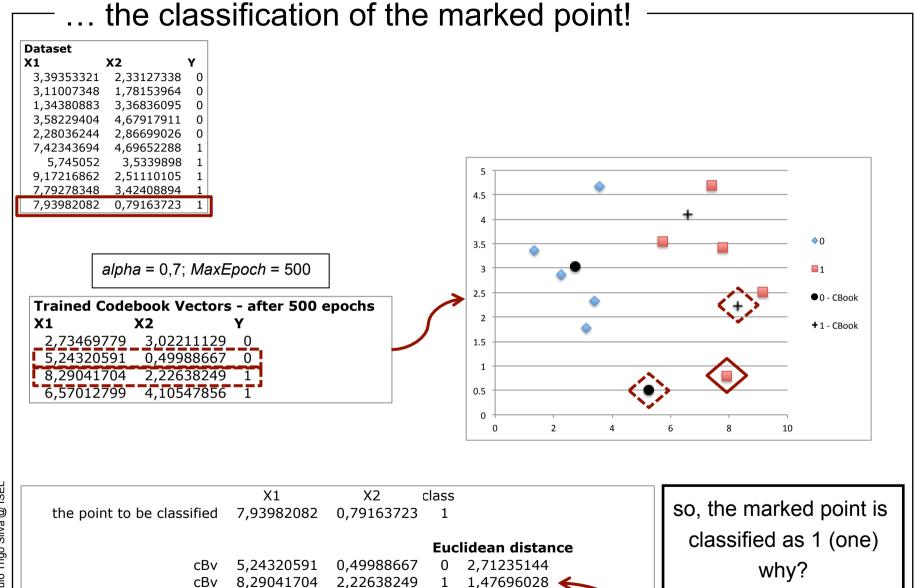
4,10547856 1



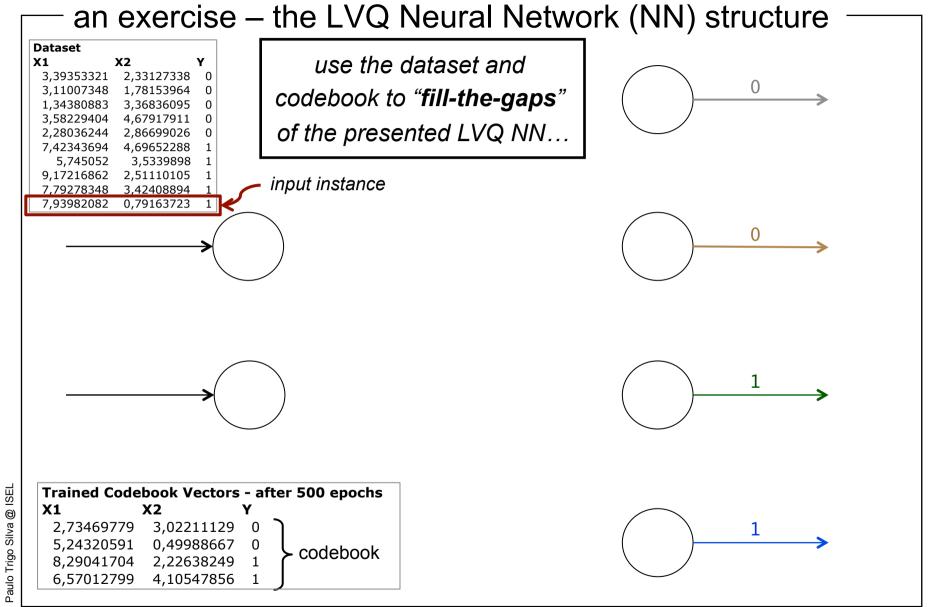
notice that the point marked (with a red diamond) belongs to the dataset and is the instance (with class one) in the "lowest-and-most-right" location...

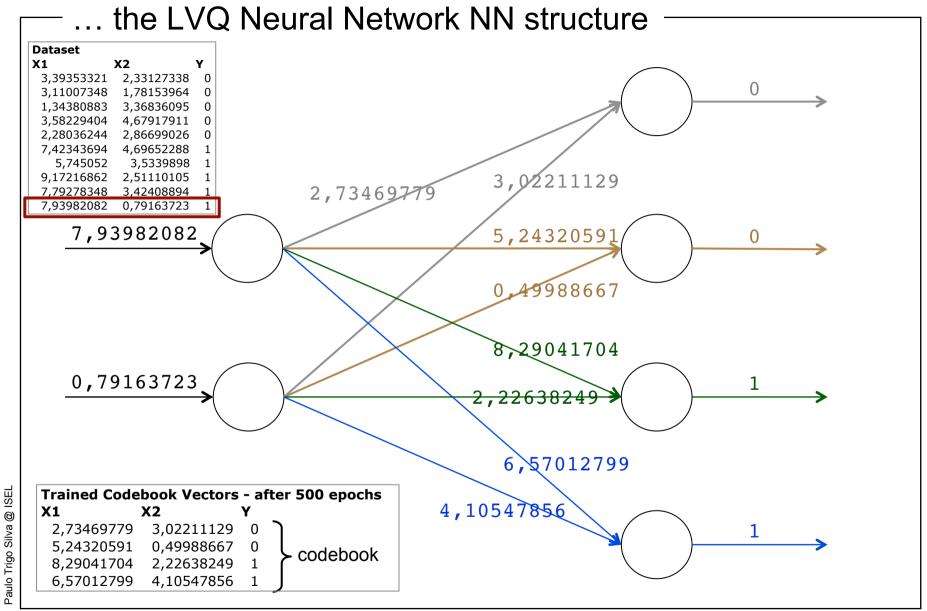


we can identify, in the dataset, the point to be classified we can (visually) see which cBv are closer to the point to be classified so, we **just need** to calculate the **distance** (Euclidean) from **each cBv to the point**..



Paulo Trigo Silva @ ISEL





#### LVQ – some additional approaches

#### an alternative update-rule

Idea: update not only the BMU (closest cBv), but update the two closest cBv

additionally we may also impose that such update only occurs in case the **two closest cBv represent different classes** 

#### other ideas

#### **Frequency Sensitive Competitive Learning**

the distance to a cBv is modified according to the number of data points that are assigned to this cBv

#### **Size and Shape Parameters**

associate each cVb with a cluster radius; update radius depending on how close the data points are

#### **Fuzzy LVQ**

exploits the close relationship to fuzzy clustering (an online version of it)

usually, a feature may be either numeric or categorical (nominal or ordinal)

nominal – values belong to a limited and set of categories without natural ordering e.g., "arthritis", "asthma", "diabetes", "ulcers"

ordinal – values have particular order but unknown distance e.g., "very-low", "low", "normal", "high", "very-high"

LVQ is originally designed for metric vector spaces (numeric features)

when extending LVQ to non-vector representation (i.e., to include categorical domain features) we find two main difficulties:

- a. define the distance measurement, and
  - b. define the learning update rules

extend distance measurement – e.g., mismatch measurement on categorical features extend update rules – is more complex and a "nice" approach is proposed in, "Extending Learning Vector Quantization for Classifying Data with Categorical Values"; by Ning Chen and Nuno Marques; Communications in Computer and Information Science