

Inelastic Neutron Scattering

Motions (mainly nuclear) and Spectrometers



Science and
Technology
Facilities Council

ISIS Neutron and
Muon Source

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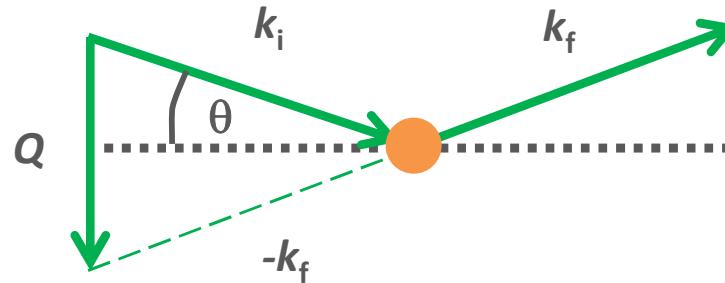
18th Oxford School on Neutron Scattering
11th September 2024

Take Home Messages

- 1. Neutron spectroscopy probes dynamics**, whether it is vibrations in a crystal lattice, bond stretching modes, diffusion of species through pores or relaxation processes in polymers, magnetic excitations.
- 2. It can also, indirectly, inform on structure/binding**
- 3. Variety of instrument types** are available – get informed on which is best suited to your problem
- 4. Plethora of applications** – ask or search the literature and talk to facility scientists
- 5. Offer unique info** – complementary to other techniques, in particular atomic or molecular calculations.

Neutron Concepts (reminder)

Elastic and Inelastic Scattering



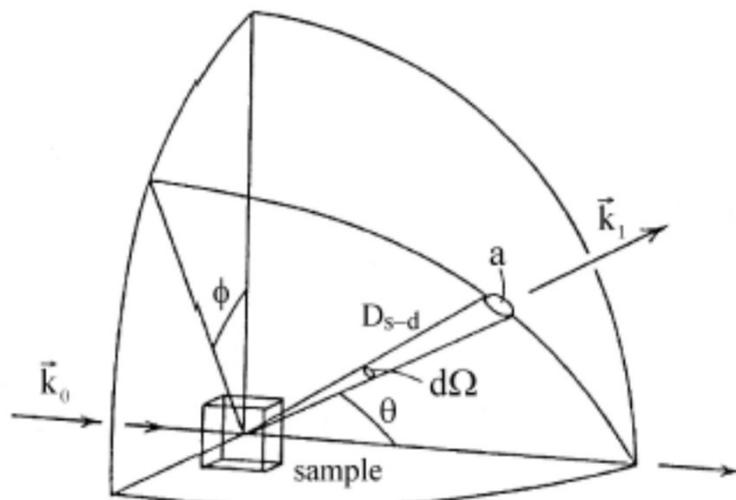
Elastic scattering:

$$|k_i| = |k_f| = \frac{2\pi}{\lambda}; \quad Q = 2|k|\sin\theta = \frac{4\pi}{\lambda}\sin\theta; \\ \Delta E = 0$$

Inelastic scattering:

$$|k_i| \neq |k_f|; \\ \Delta E = \hbar\omega = E_i - E_f = \frac{\hbar}{2m} (k_i - k_f)$$

The Scattering Function, $S(Q, \omega)$



$$\frac{d^2\sigma}{d\Omega d\omega} \propto \frac{k_f}{k_i} \frac{\sigma}{4\pi} S(Q, \omega)$$

The **scattering function**, $S(Q, \omega)$ contains all the physics of the system (in space and time) and depends only on the system.

If your detector can analyse the energy of the neutrons, then the **double differential cross-section** can be defined as

$$\frac{d^2\sigma}{d\Omega dE} = \frac{\text{number of particles scattered per s into a solid angle } d\Omega \text{ with final energies between } E_f \text{ and } (E_f + dE_f)}{I_0 d\Omega dE_f}$$

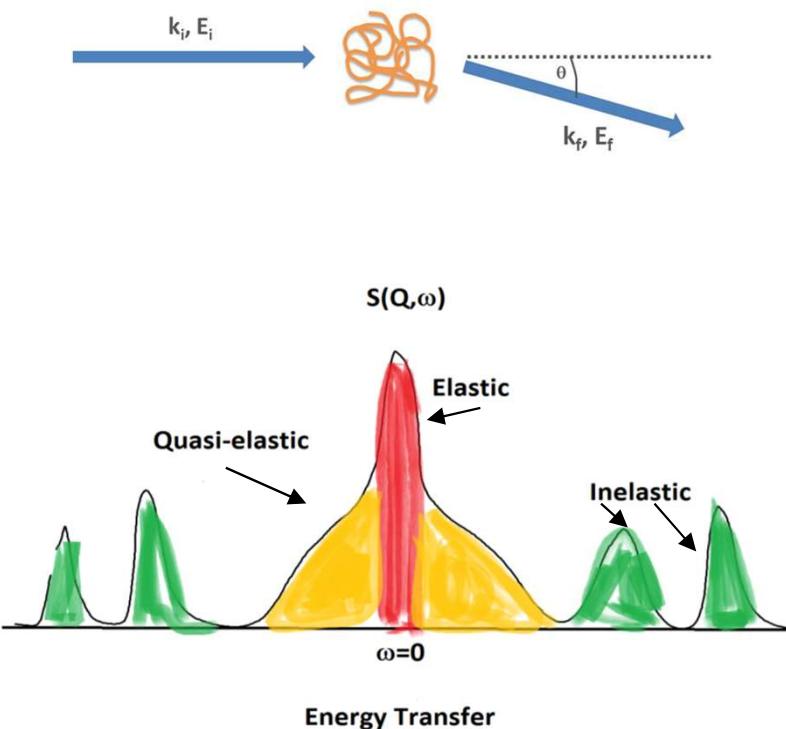
The Scattering Function, $S(Q, \omega)$

$$\frac{d^2\sigma}{d\Omega d\omega} = \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{elastic}} + \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{inelastic}}$$

;

The Scattering Function, $S(Q, \omega)$

$$\frac{d^2\sigma}{d\Omega d\omega} = \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{elastic}} + \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{inelastic}} + \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{quasi-elastic}}$$



Elastic scattering – no energy exchange $\hbar\omega=0$. Ideally it is a δ function, in reality it is the resolution.

Quasi-elastic scattering (QENS) – a small energy exchange $\hbar\omega \neq 0 \approx \text{neV}$ or few meV . Processes with a distribution of energies.

Inelastic scattering (INS) – energy exchange $\hbar\omega \neq 0$. Processes of discrete energy steps, quantised (vibrations or excitations).

Scattering Cross-section, σ

- σ is the total scattering cross-section – probability that the neutron is scattered which depends on nucleus-neutron interactions as defined by the scattering length b . Complex number where the imaginary part is for the absorption.

$$\sigma_{\text{coh}} = 4\pi \bar{b}^2$$

$$\sigma_{\text{incoh}} = 4\pi(\overline{b^2} - \bar{b}^2)$$

- Detector can only measure a finite angular range $\Delta\Omega$ and for an assembly of nuclei, the differential cross-section is

$$\frac{d\sigma}{d\Omega} = \sum_n \sum_m b_n b_m \exp(i\mathbf{Q} \cdot (\mathbf{r}_n - \mathbf{r}_m))$$

Incoherent

$$\begin{aligned} \text{Coherent} &= \bar{b}^2 \sum_n \sum_{m \neq n} \exp(i\mathbf{Q} \cdot (\mathbf{r}_n - \mathbf{r}_m)) + \overline{b^2} \\ \text{Scattering} &= \bar{b}^2 \sum_n \sum_m \exp(i\mathbf{Q} \cdot (\mathbf{r}_n - \mathbf{r}_m)) + (\overline{b^2} - \bar{b}^2) \end{aligned}$$

Scattering

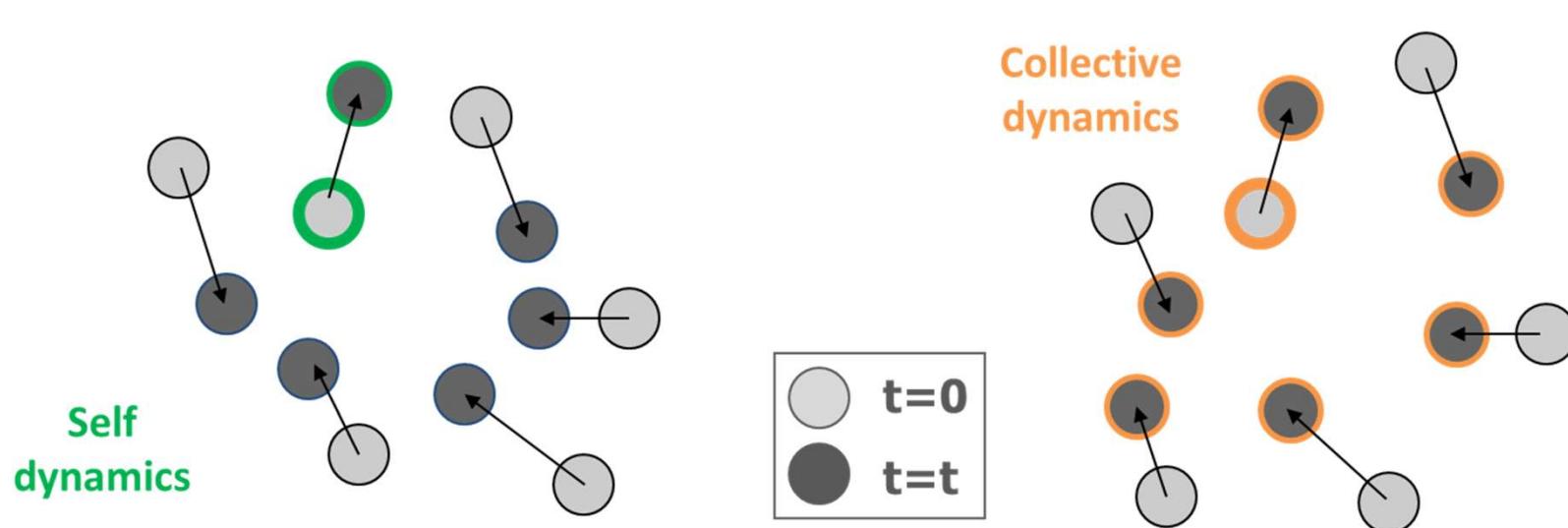
Scattering Function- Correlations

In the experiment we measure the total $S(\mathbf{Q}, \omega)$ and that each term, coherent and incoherent is weighted by its respective cross-section σ

$$S(\mathbf{Q}, \omega) = S_{\text{inc}}(\mathbf{Q}, \omega) + S_{\text{coh}}(\mathbf{Q}, \omega)$$

$$S_{\text{inc}}(\mathbf{Q}, \omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \sum_i \langle \exp(-i\mathbf{Q} \cdot \mathbf{R}_i(0)) \exp(-i\mathbf{Q} \cdot \mathbf{R}_i(t)) \rangle \exp(-i\omega t) dt$$

$$S_{\text{coh}}(\mathbf{Q}, \omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \sum_{i,j} \langle \exp(-i\mathbf{Q} \cdot \mathbf{R}_i(0)) \exp(-i\mathbf{Q} \cdot \mathbf{R}_j(t)) \rangle \exp(-i\omega t) dt$$



The Scattering Function, $S(Q, \omega)$

So, just like we can write

$$\frac{d^2\sigma}{d\Omega d\omega} = \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{elastic}} + \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{inelastic}} + \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{quasi-elastic}}$$

we could also write it in terms of:

$$\frac{d^2\sigma}{d\Omega d\omega} = \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{inc}} + \left(\frac{d^2\sigma}{d\Omega d\omega} \right)_{\text{coh}}$$

$$S(Q, \omega) = S_{\text{inc}}(Q, \omega) + S_{\text{coh}}(Q, \omega)$$

Coherent inelastic scattering

Incoherent inelastic scattering

Incoherent quasi-elastic scattering

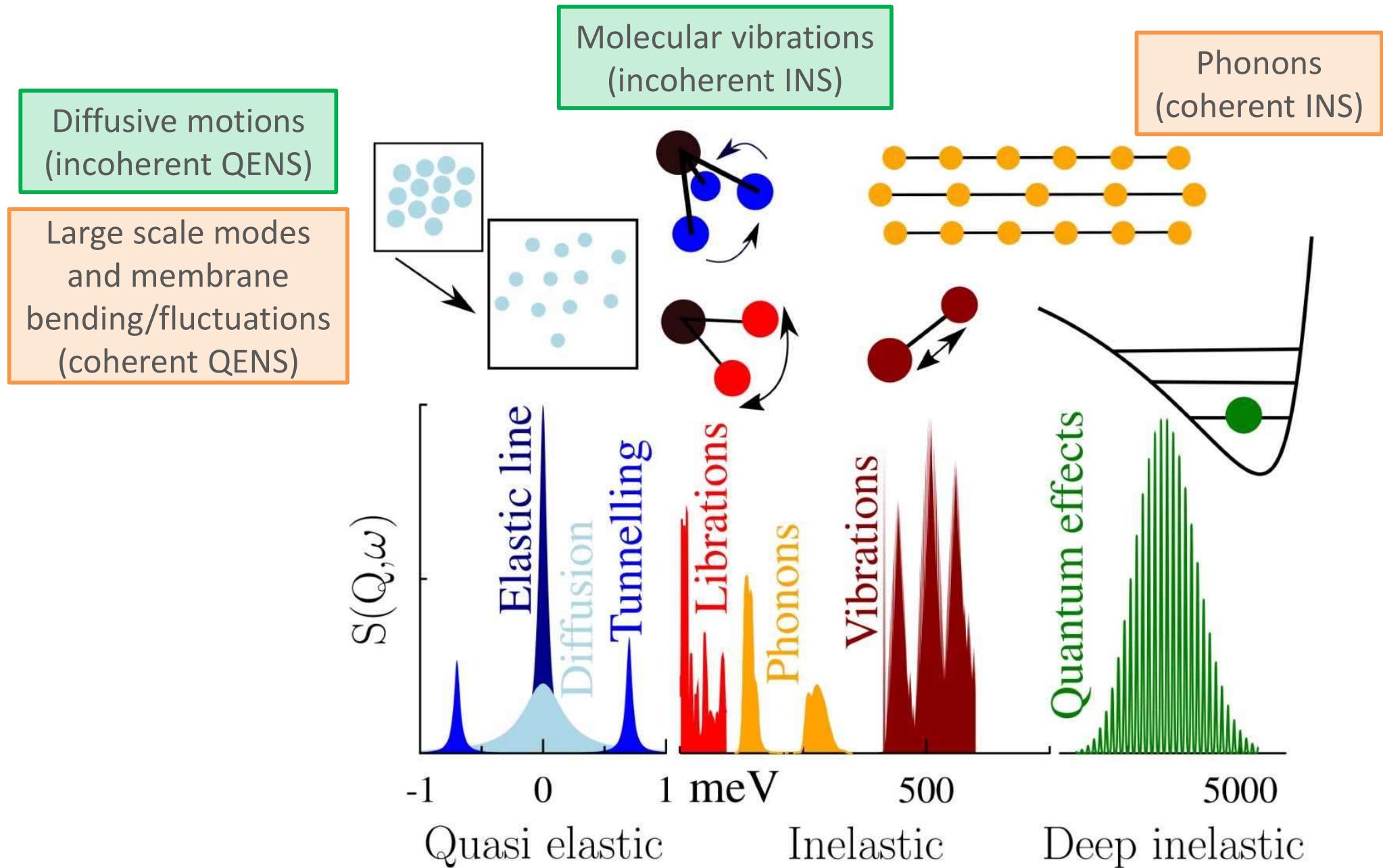
Coherent quasi-elastic scattering

Coherent & Incoherent Scattering

- **Coherent** scattering
 - Describes **correlations** between nuclei
 - Peaks arise due to wave interference
 - Provides **structure** of the sample (elastic)
 - Describes the **collective dynamics** of nuclei (lattice vibrations, bending modes, cooperative relaxations...)
- **Incoherent** scattering
 - Contains no information about structure
 - For most elastic scattering measurements, it manifests itself as an inconvenient flat background but ...
 - ... it relates to the region of space accessible to the scatterers and yields information about the geometry of the motions.
 - Describes the **dynamics of individual** particles

Motions

Map of dynamical modes



1. Coherent Inelastic Scattering

Remember the double differential cross-section

$$\frac{d^2\sigma}{d\Omega d\omega} \propto \frac{k_f}{k_i} \frac{\sigma}{4\pi} S(Q, \omega)$$

For propagating excitations (e.g. lattice vibrations or spin waves),
 $S(Q, \omega)_{coh}$ has peaks at single frequencies $\hbar\omega_{ph}$ and the size of the
peaks varies according to:

Lattice vibrations (phonons)

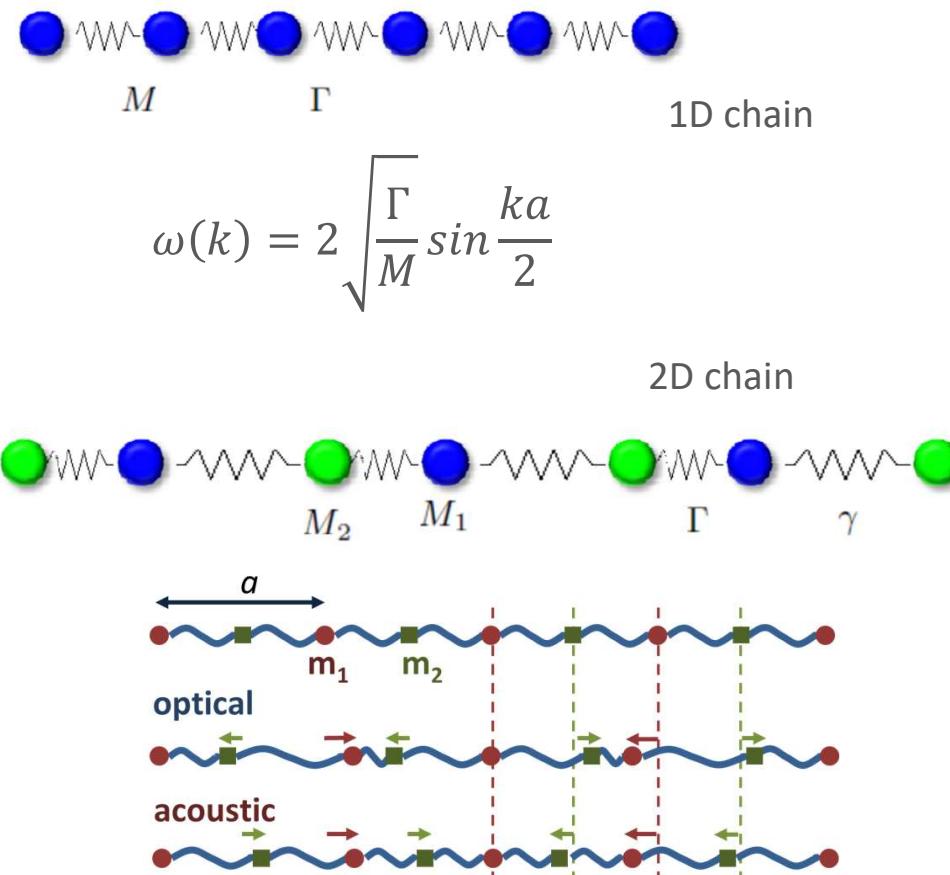
$$S(Q, \omega) \propto \exp[-2W(Q, T)] \times |G(Q)|^2 \times [n(\omega_{ph}) + 1] \times 1/\omega_{ph} \times Q^2$$

Spin waves (magnons)

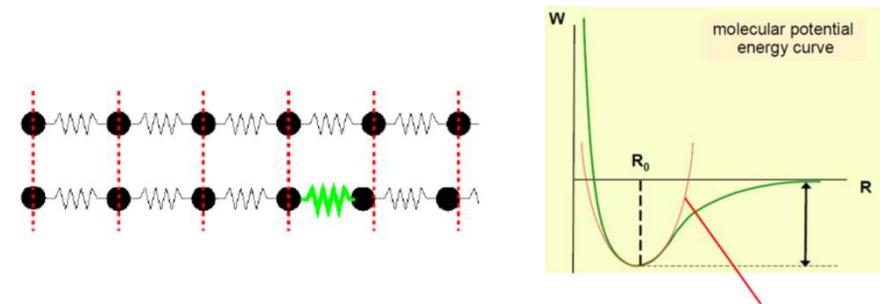
$$S(Q, \omega) \propto \exp[-2W(Q, T)] \times [n(\omega_{mag}) + 1] \times 1/\omega_{mag} \times f^2(Q)$$

1. Coherent Inelastic Scattering

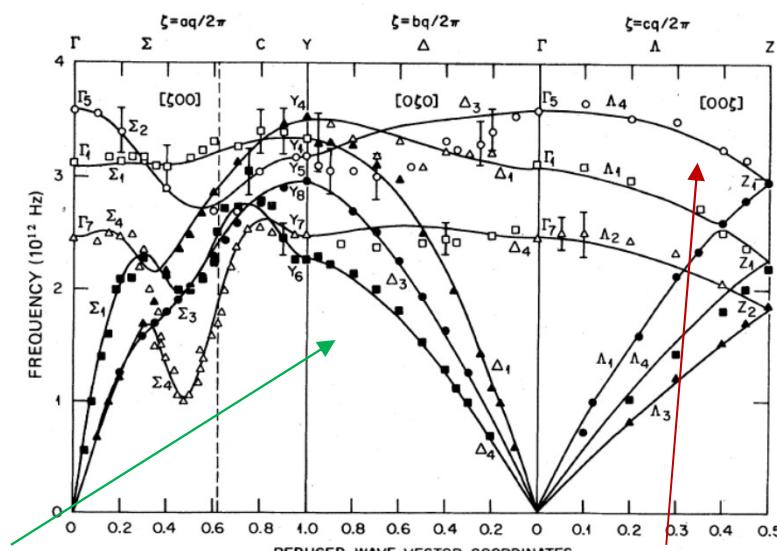
Atoms are considered to be oscillating in harmonic potentials. Dispersion relation gives angular frequency of phonon as function of momentum



$$\omega(k) = 2 \sqrt{\frac{\Gamma}{M}} \sin \frac{ka}{2}$$



Crummett *et al.* Phys Rev B (1979)
E.g. phonons in a-Uranium at T=300K



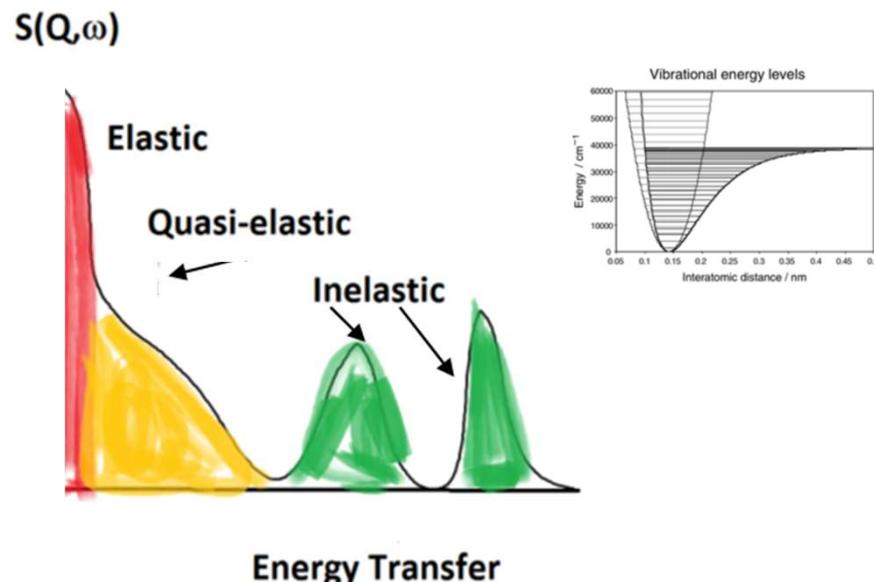
Acoustic phonon
In-phase vibrations

Optical phonon
Out-of-phase vibrations

2. Incoherent Inelastic Scattering

If not a lattice but individual molecules, the incoherent inelastic intensity $S(Q, \omega_i)_{\text{incoh}}$ can be written for **vibrational modes**.

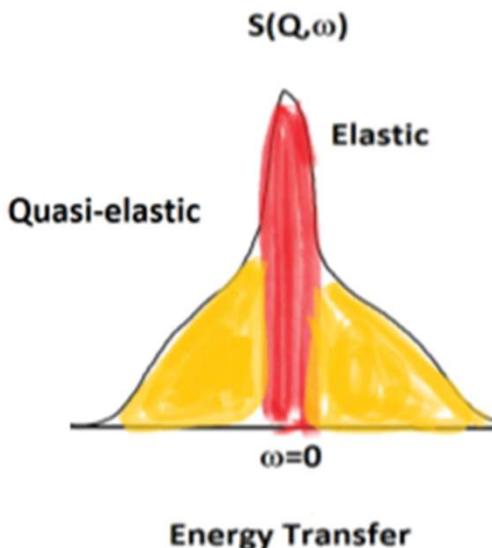
$$S_{\text{inc}}(Q, n\omega_i) \propto \frac{(Q^2 U_i^2)^n}{n!} \exp(-Q^2 U_{\text{total}}^2)$$



Vibrations are quantised thus appear as INS feature at given $\hbar\omega \neq 0$ values.

Incoherent scattering ...
... describes **vibrational modes**
... stretching, rocking, bending, twisting...
... main association of vibrational spectroscopy technique (cm^{-1}) comparable to Raman or IR spectroscopy
... relies on large incoh x-section of H
... DWF minimised by measuring at low temperatures.

3. Incoherent Quasi-elastic scattering



We measure **the self correlation function**, ie. how particles move as a function of time. This corresponds to the incoherent signal which we can write as:

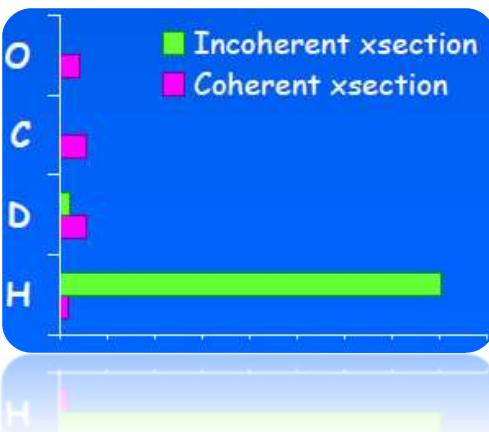
$$S_{\text{inc}}(Q, \omega) = S_{\text{vib}}(Q, \omega) \otimes S_{\text{rot}}(Q, \omega) \otimes S_{\text{trans}}(Q, \omega)$$

$$I_{\text{self}}(Q, t) = I_{\text{vib}}(Q, t) \times I_{\text{rot}}(Q, t) \times I_{\text{trans}}(Q, t)$$

It is a **convolution of components** which for simplicity are assumed **independent** motions. Note that in the time domain we multiply the terms (easier!)

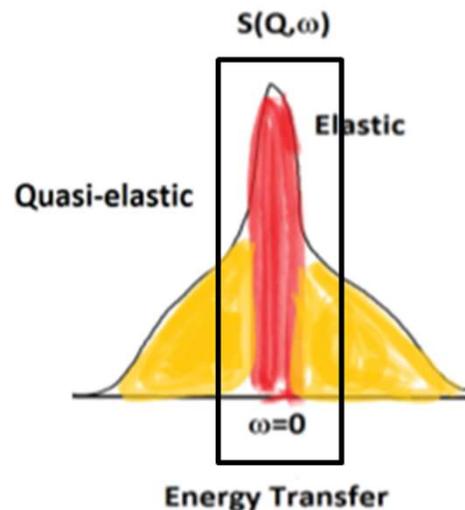
Can't forget the instrumental resolution:

$$S'(Q, \omega) = S(Q, \omega) \otimes R(Q, \omega)$$



QENS relies on the large incoherent xsection of hydrogen.

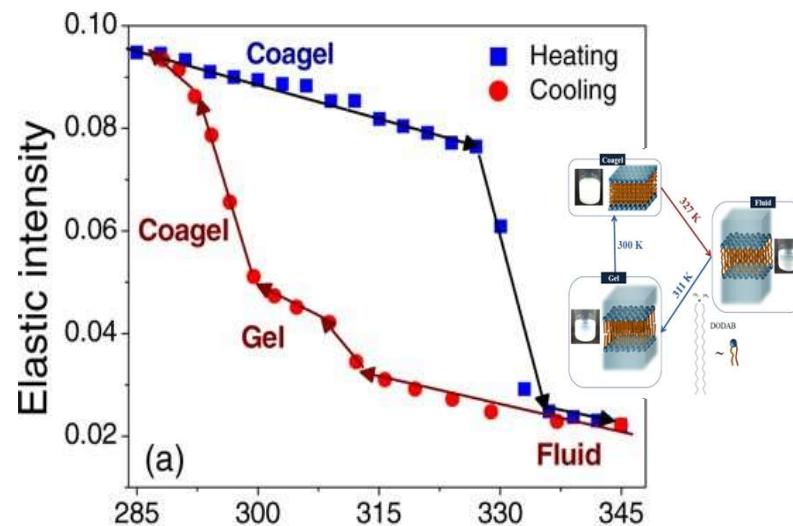
Fixed Energy Window Scans



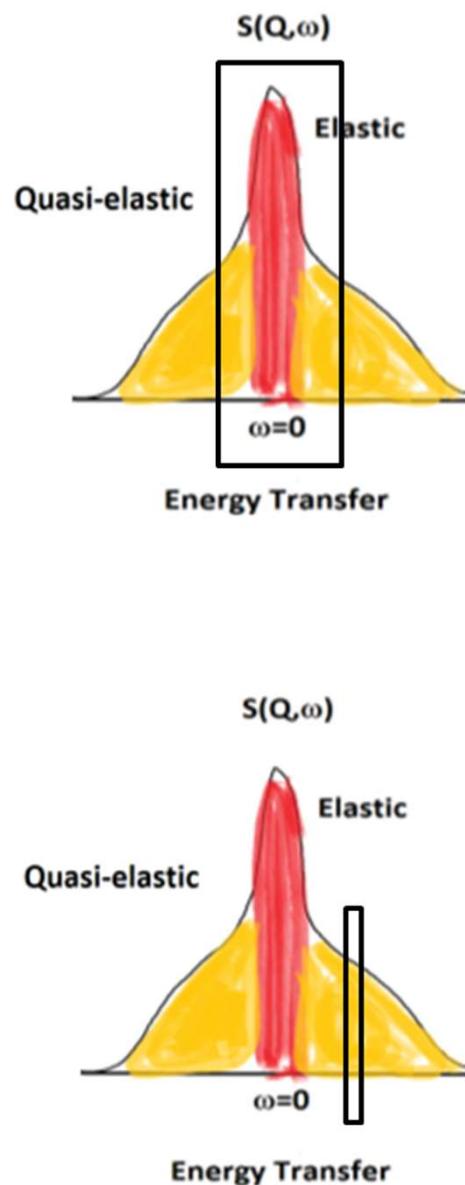
Case of $S(Q, \omega \approx 0)$: Elastic Fixed Window Scans

Measure the elastic intensity as a function of temperature (resembles a DSC scan).

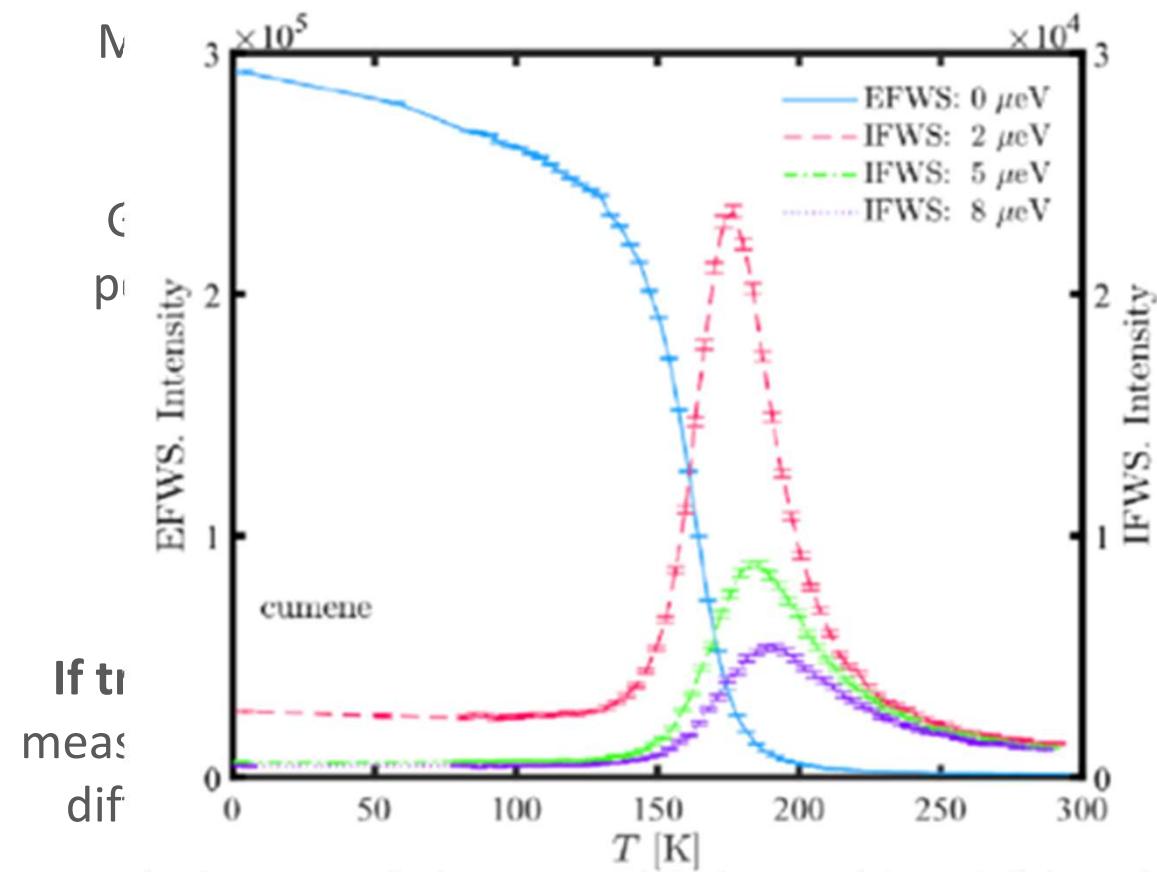
Good for locating **transitions**, choosing at what temperatures to perform QENS measurements (**dynamics enter the spectrometer window**), and comparative studies (**parametric**).



Fixed Energy Window Scans



Case of $S(Q, \omega \approx 0)$: Elastic Fixed Window Scans



If time
meas
dif

Peak shape and changes with Q provide additional information

Hansen et al, PRB, 2017

Different offsets correspond to different timescales

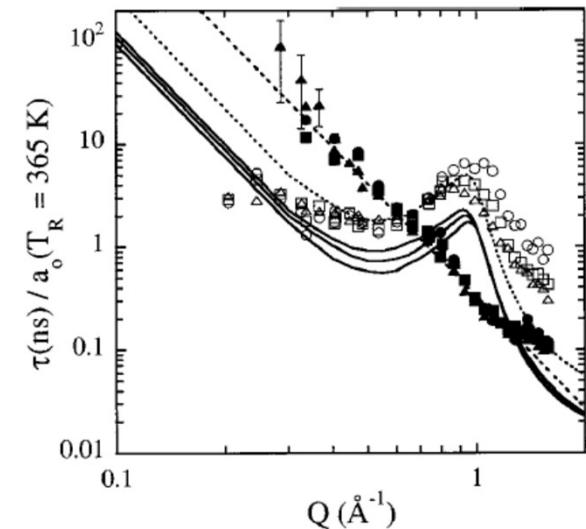
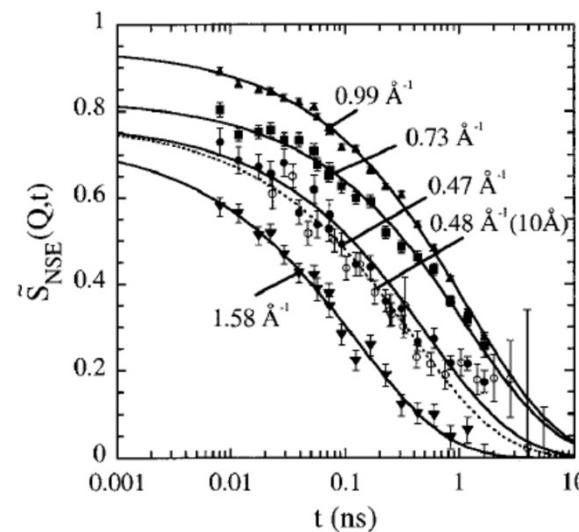
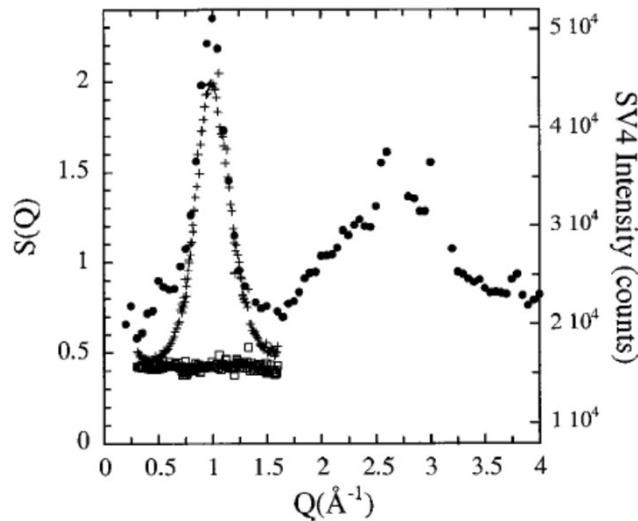
temperature
temperatures to spectrometer electric).
Scans
mescale, re. Slightly instruments.

4. Coherent Quasi-elastic scattering

These are ‘collective’ dynamics, they come from **coherent part of the correlation function** and depend on the **structure factor**, $S(Q)$, ie. how atoms are distributed in space.

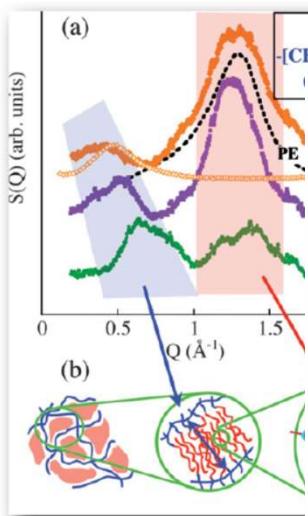
$$I_{\text{coll}}(Q, t) \approx I_{\text{self}}(Q, t) \left(\frac{Q}{\sqrt{S(Q)}}, t \right)$$

$$\tau_{\text{coll}}(Q, T) \approx a(T) \tau_{\text{self}}(Q, T) S(Q)^{1/\beta}$$



Molecular relaxations in polyisobutylene

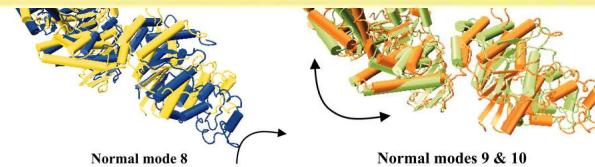
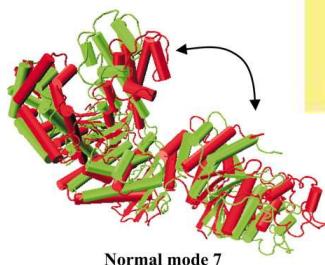
2. Collective nuclear (or spin) dynamics



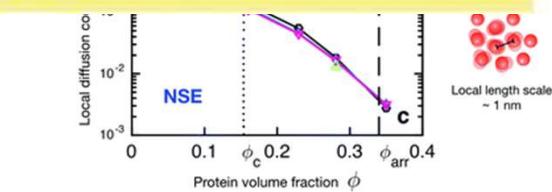
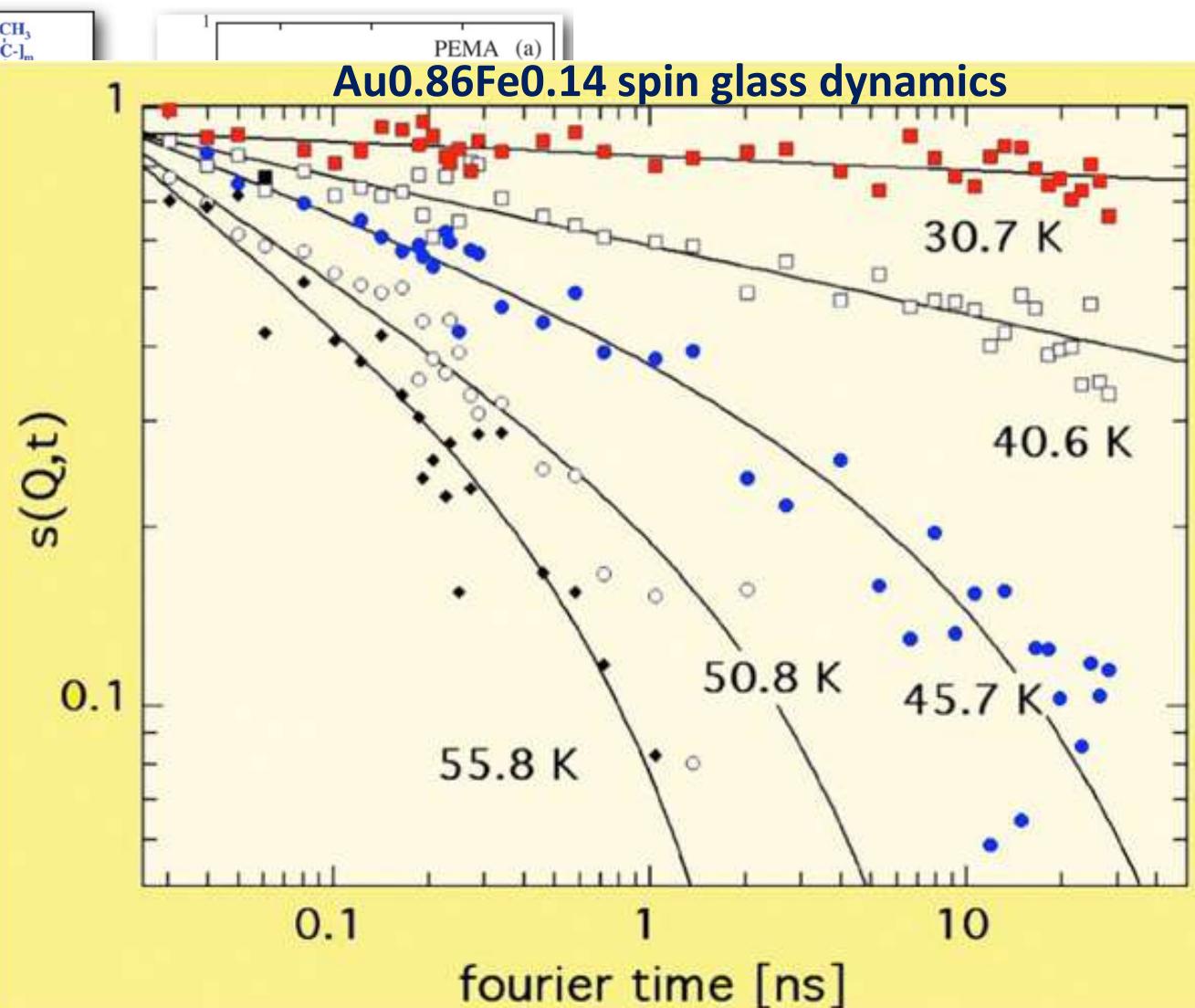
Arbe et al, Soft M

Different Q
dyn

Assignment

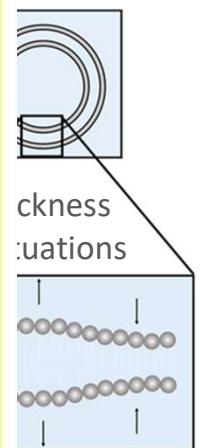


Bu et al, PNAS (2005)



Stradner and Schurtenberger, Soft Matter (2019)

, surfactants)



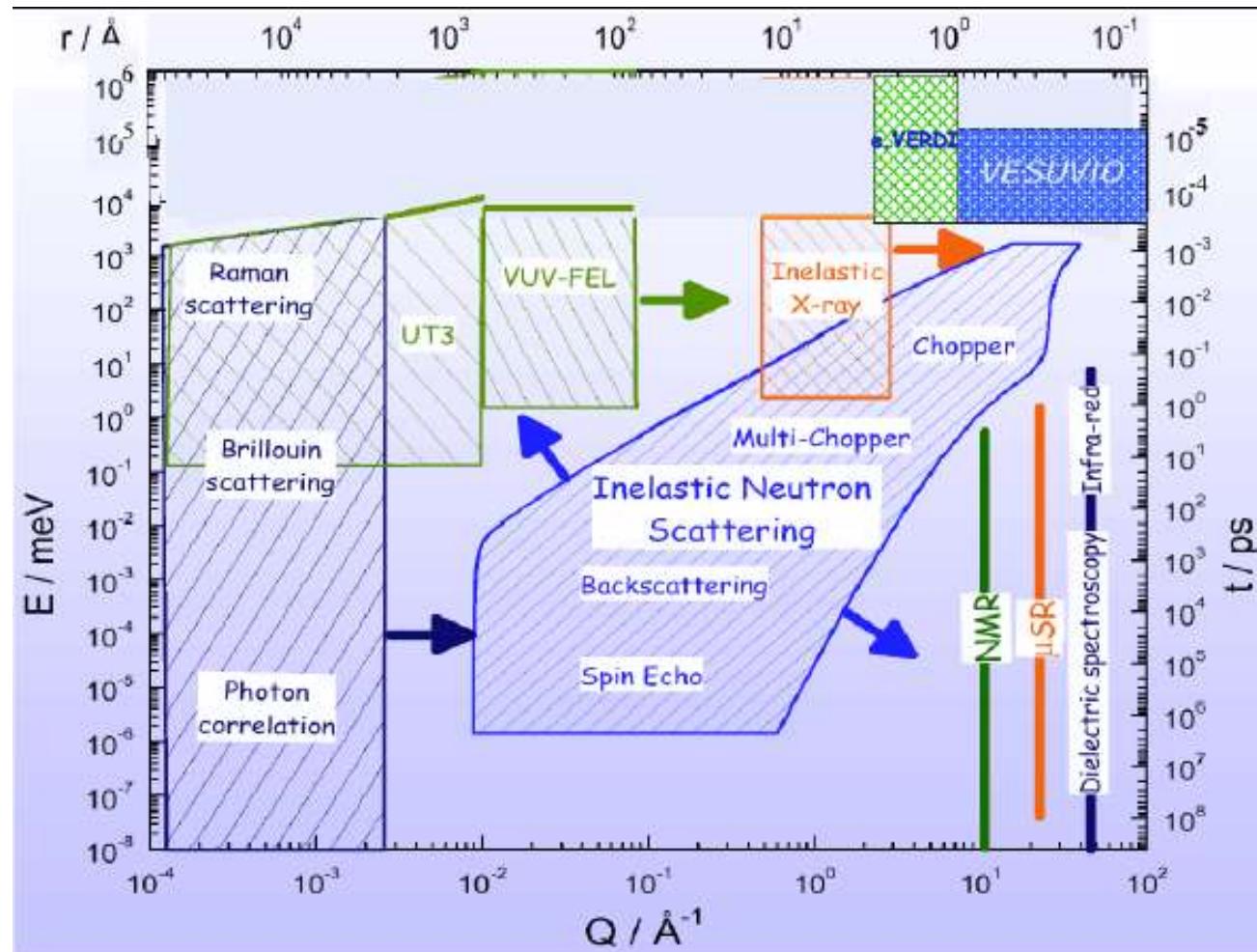
ns in solution



ns;

1 μm

Map of dynamical modes

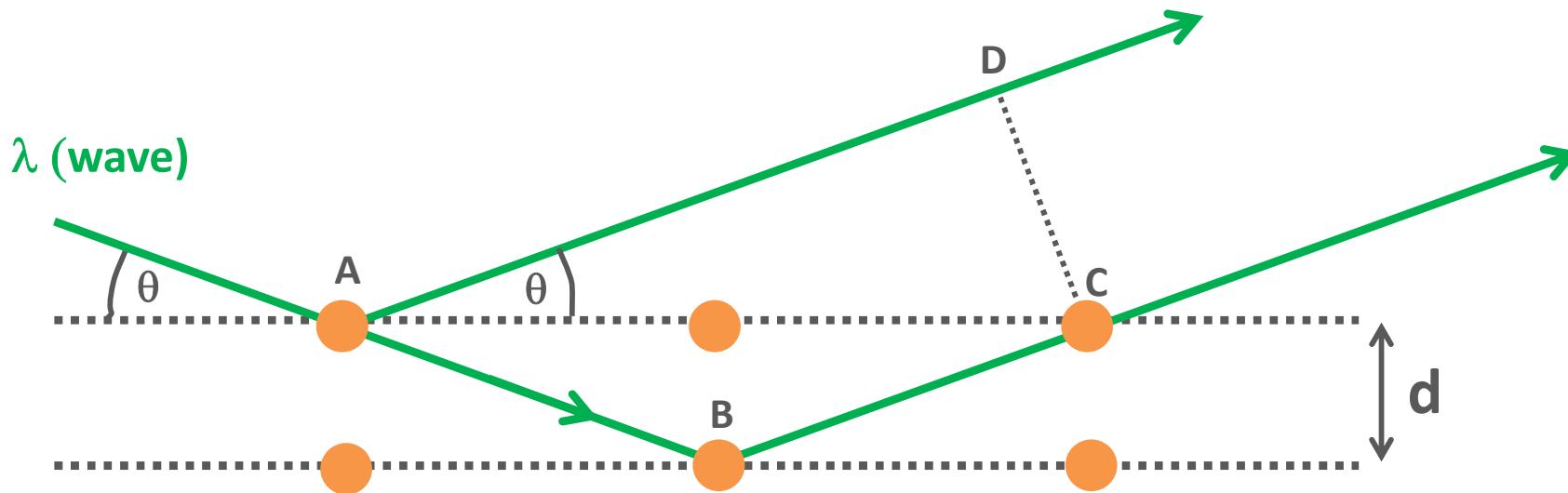


Inelastic Scattering Measurements (Instruments)

Need to measure k_i and k_f , how?

- 1) Bragg diffraction
- 2) Time-of-flight
- 3) Larmor precession

Bragg's Law



For **constructive interference**, **path difference** between the reflected wave and that traversing through, must equal $n\lambda$

$$\text{Path difference} = (AB + BC) - (AD) = n\lambda$$

$$\sin \theta = \frac{d}{AB} = \frac{d}{BC} \quad \tan \theta = \frac{2d}{AC} \quad \cos \theta = \frac{AD}{AC}$$

$$n\lambda = \left(\frac{d}{\sin \theta} + \frac{d}{\sin \theta} \right) - \frac{2d}{\tan \theta} \cos \theta = \frac{2d}{\sin \theta} (1 - \cos^2 \theta)$$

$$n\lambda = 2ds \sin \theta$$

Time-of-flight

De Broglie relations

wave and particle

$$p = \frac{h}{\lambda} \text{ and } p = mv$$

$$\lambda[\text{\AA}] = \frac{h}{mv} = \frac{3.956}{v \left[\frac{m}{\text{ms}} \right]}$$

$$\hbar = \frac{h}{2\pi} = \frac{6.6 \times 10^{-34} \text{ J.s}}{2\pi}$$

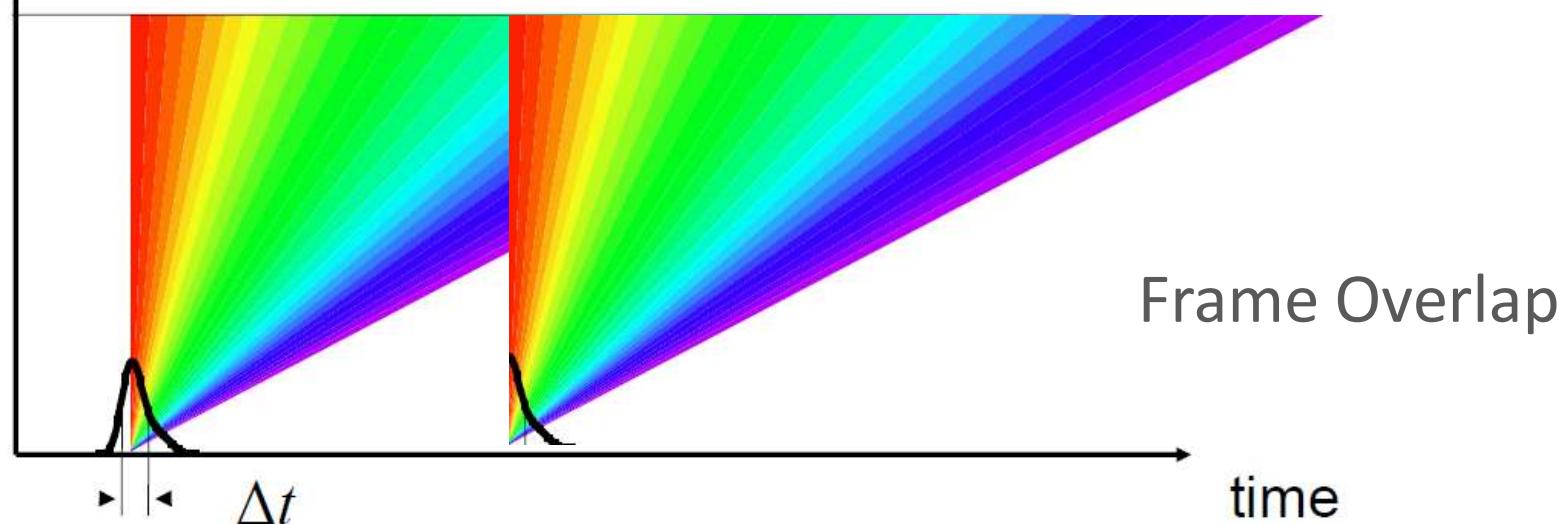
$$m = 1.67 \times 10^{-27} \text{ kg}$$

$$t[\text{ms}] = L[\text{m}] \times \lambda[\text{\AA}] / 3.956$$

$$t[\mu\text{s}] = L[\text{m}] \times \lambda[\text{\AA}] \times 252.8$$

distance

Pulsed sources work on the principle of ToF. Reactor sources can use neutron choppers to create a pulsed beam.



Basic needs for measuring neutron scattering probability

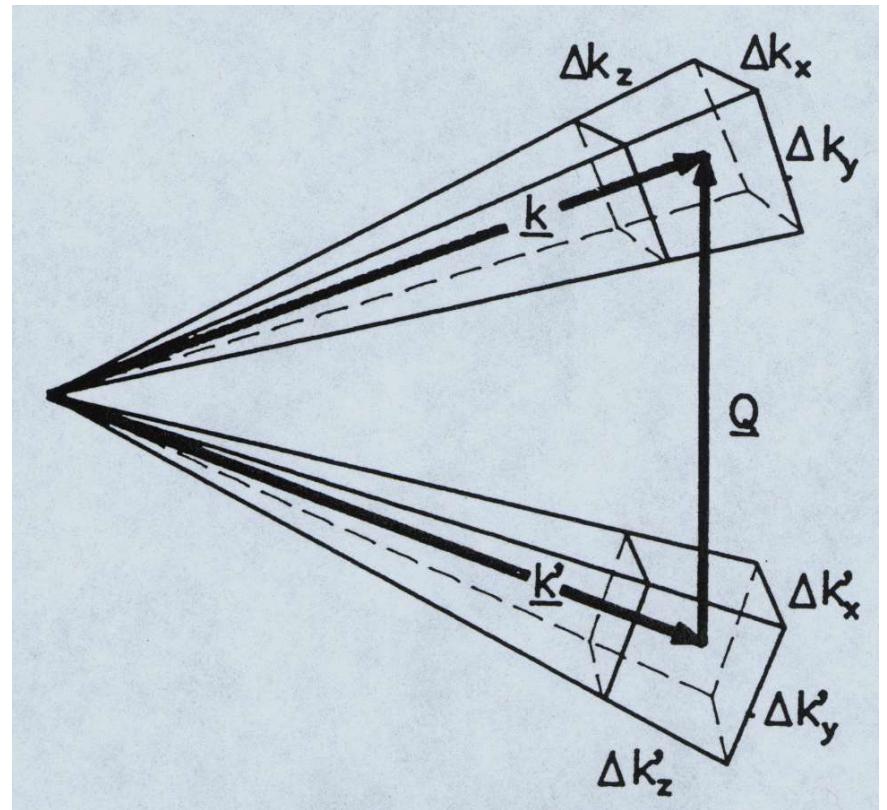
- **Source** of neutrons with wavelengths in the right range; need to transport neutrons to the experimental area.
- Need to determine the incident and neutron directions - **angular resolution**
- Need to determine the incident and scattered neutron wavelength (energy) - **wavelength (energy) resolution**
 - Bragg diffraction
 - Time of flight
- **Detectors** to measure counts at different scattering angles
- To cover desired range, need measurements at different wavelengths and/or many angles - **Q-range, E-range**
- Need to **filter** out unwanted neutrons
- Need to **polarise** beams

Instrumental Resolution

Uncertainties in the neutron wavelength and direction of travel
=> Q and E can only be defined with a certain precision

Total signal in a scattering experiment \propto phase space volume

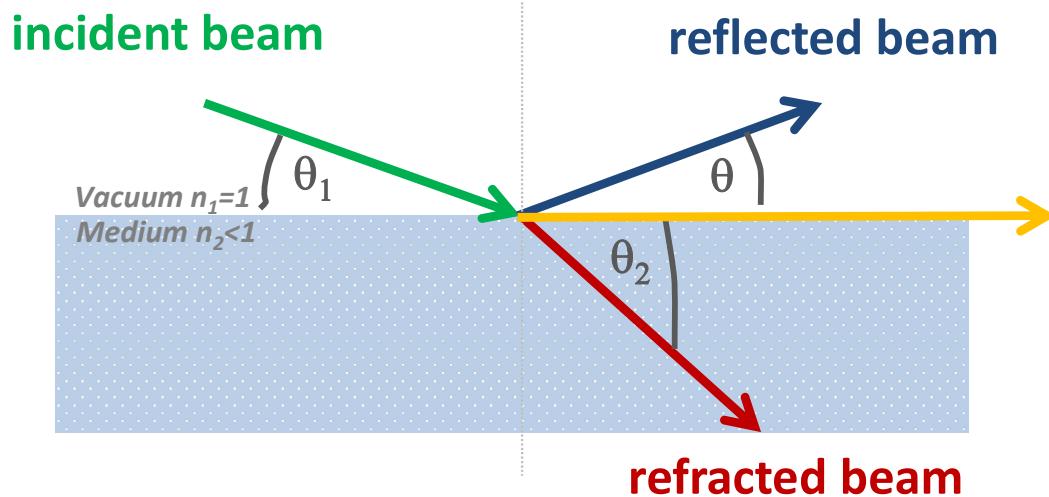
The better the resolution volume , the lower the count rate. It's always a trade-off between intensity and resolution.



Basic needs general (cont.)

- Large sample area, to achieve good count rates, since neutron sources are weak
- Need large sample-detector distance otherwise additional collimation is necessary for good angular resolution with large samples
- Need detectors all around sample to collect maximum number of scattered neutrons
- Need large amounts of shielding to minimise background in detectors (and personnel safety!). Also achieved with large source-sample distance
- *For ToF* – need long moderator-sample distance for good time resolution as dictated by pulse width plus long sample-detector distance.

Snell's Law



$$n_1 \cos \theta_1 = n_2 \cos \theta_2$$

$$n_2 = \frac{\cos \theta_1}{\cos \theta_2}$$

$$n_2 = \cos \theta_c \text{ if } \theta_2 = 0$$

Neutron guide tubes exploit that n for neutrons is < 1 in more materials, so total external reflection can occur at the boundary between material and vacuum.

θ_c is the critical angle of total reflection

N is number of atoms per cm³

b is bound coherent cross-section

$$n_2 = 1 - \lambda^2 \frac{Nb}{\pi}$$
$$\theta_c = \lambda \sqrt{Nb/\pi}$$

Neutron Guide Characteristics

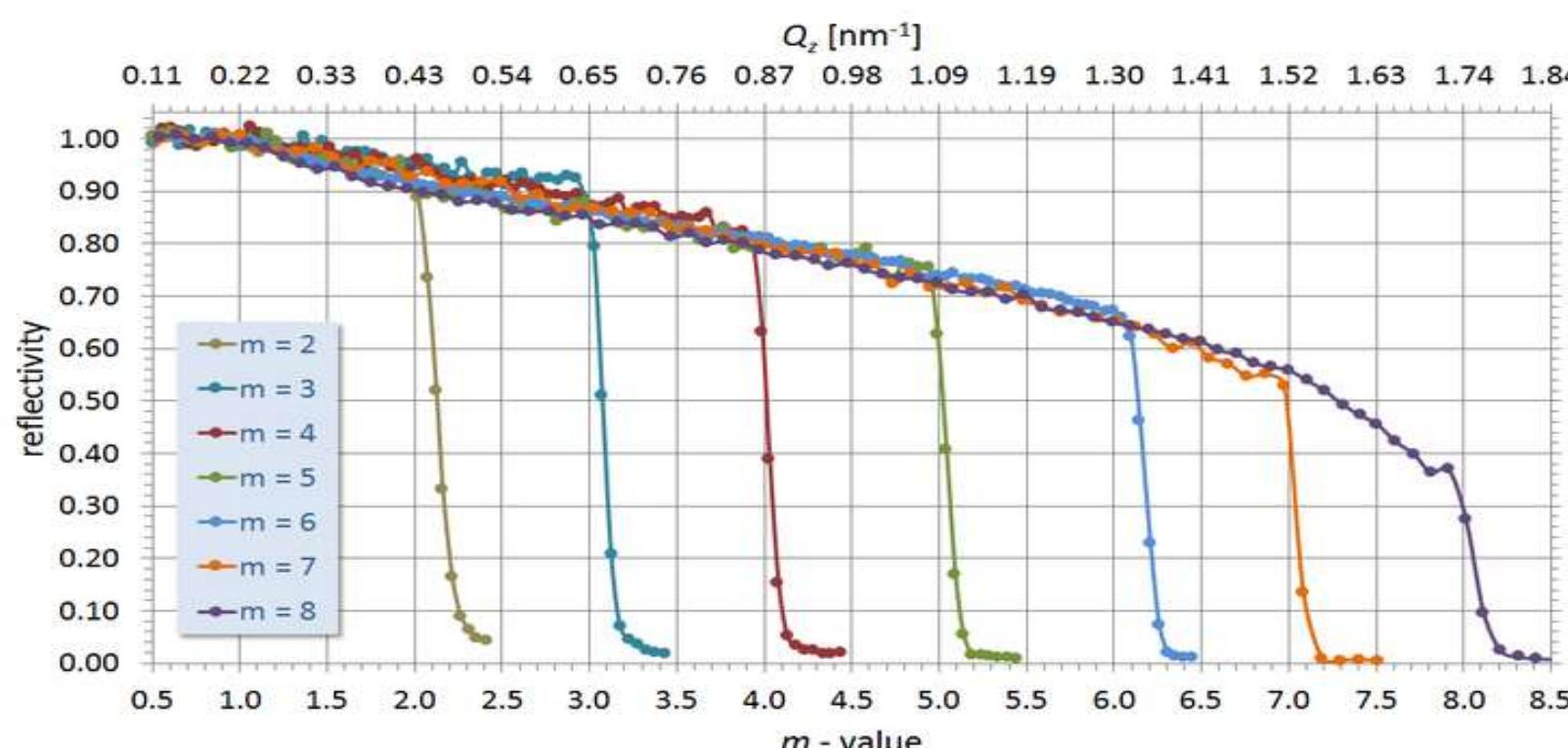
Natural Ni is most commonly used, with high θ_c of 1.7mrad @ $\lambda=1\text{\AA}$. For Ni,

$$\theta_c(\text{Ni}) = \lambda[\text{\AA}] \times 0.1^\circ; Q_c = 0.0218\text{\AA}^{-1}$$

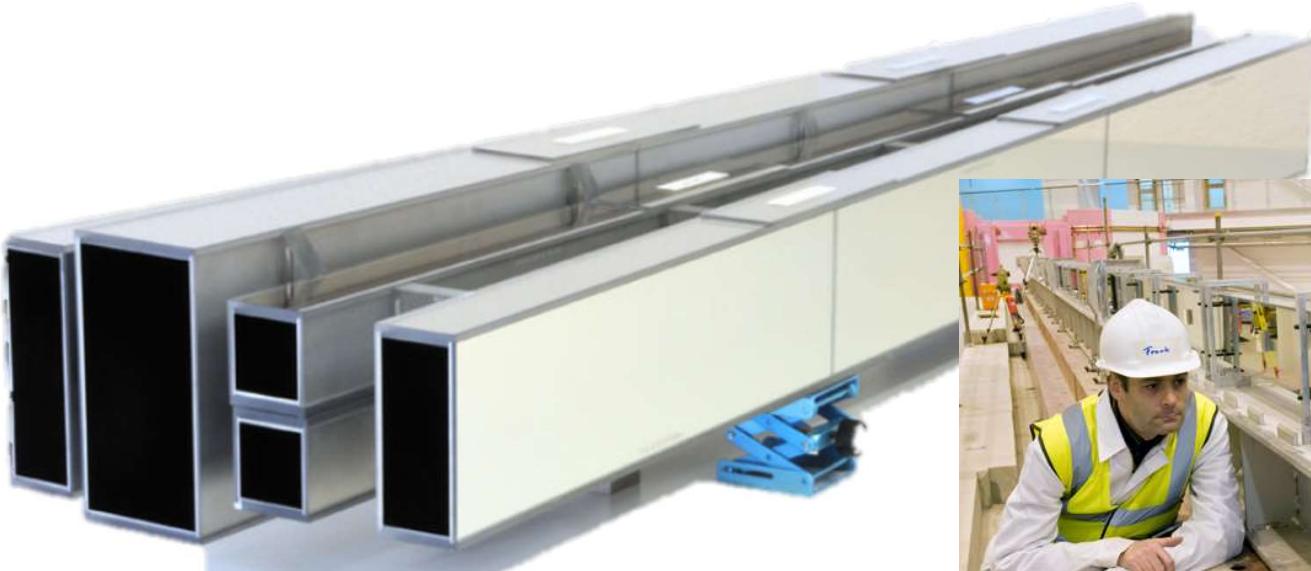
Reflectance requires extremely flat surfaces, so coatings on glass are typically used.

Better technology involves evaporating alternate layers of ^{58}Ni and Ti and of varying thicknesses.

$$\theta_c(\text{SM}) = m \times \lambda[\text{\AA}] \times 0.1^\circ$$



Neutron Guides



Swiss neutronics guides for NIST, USA

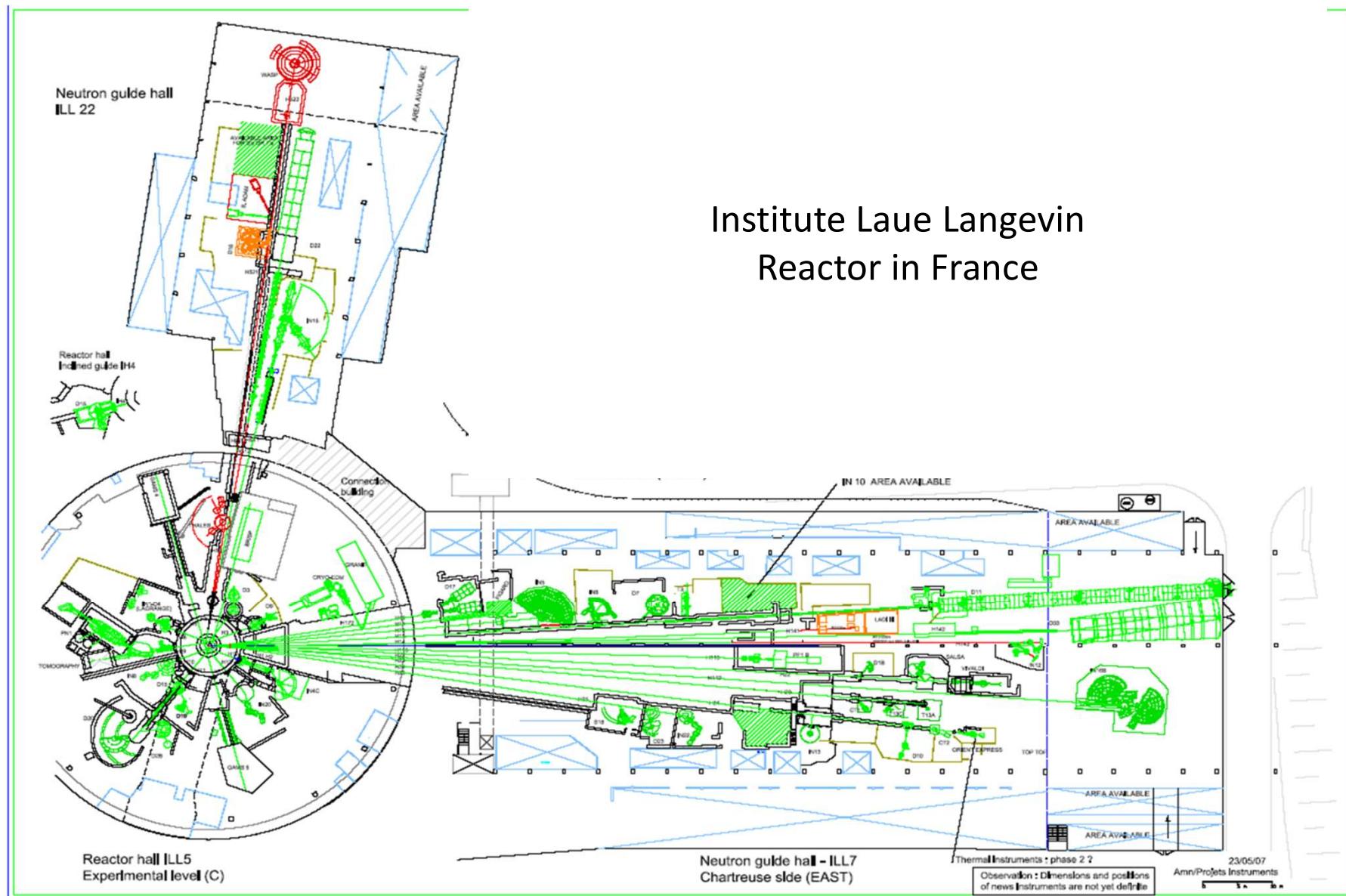


WISH guide on TS2 @ ISIS



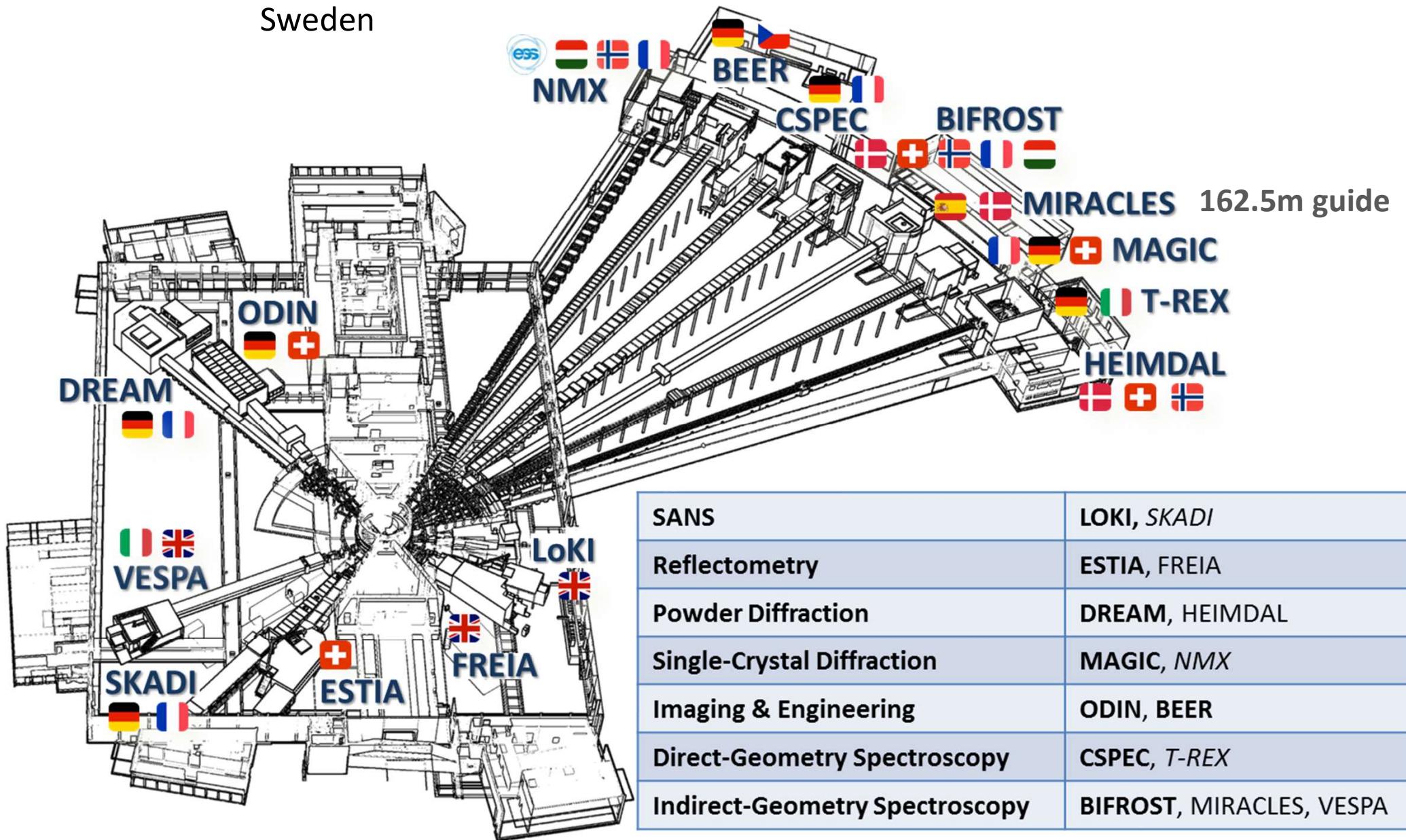
Neutron transport up to ~ 100m in existing sources -> pushing to ~ 200m

Distribution of neutrons by guides



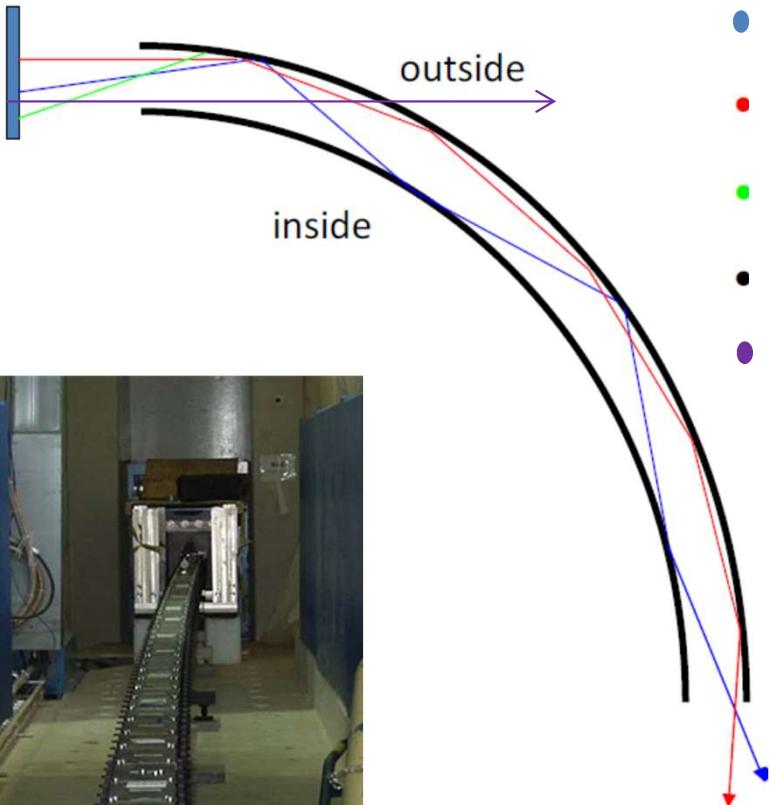
Distribution of neutrons by guides

European Spallation Source
Sweden



Guide Types

Curved Guides



BASIS 82m
guide @ SNS

- Reflecting from both sides
- Garland reflections
- Exceed critical angle
- Fewer neutrons along inside face
- Fast neutrons and gamma rays



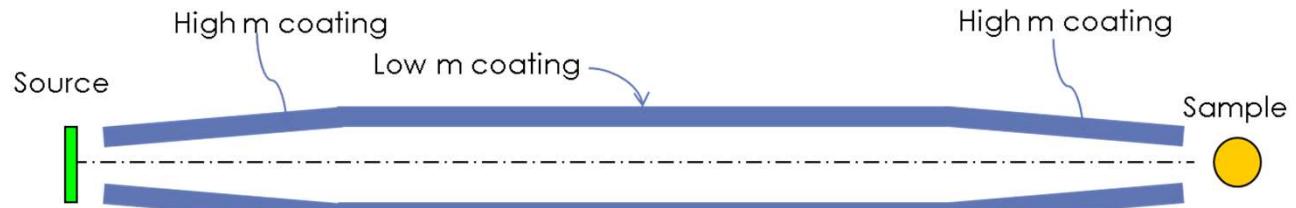
D17 parabolic
focusing guide @ ILL

Straight Guide



TOSCA @ ISIS
beam line

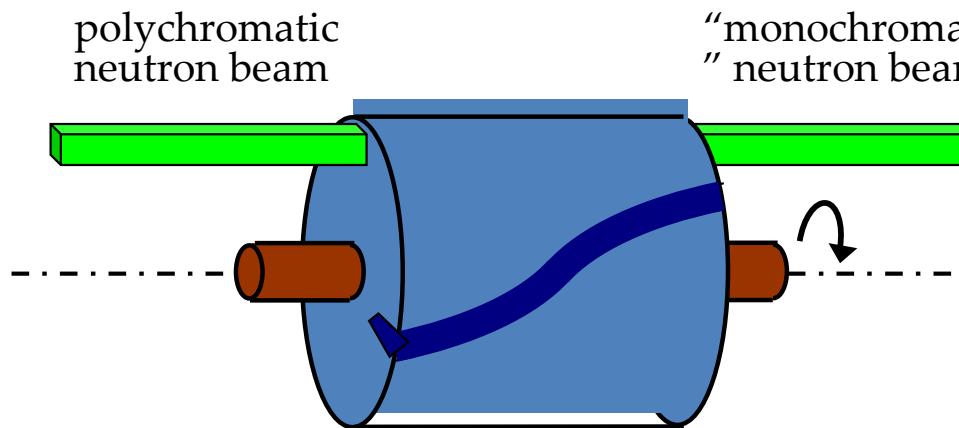
Ballistic Guide



Energy/Wavelength Selection

Velocity Selector

If you can live with poor monochromatization ($\delta\lambda/\lambda \sim 10\%$) as it is commonly used in reactor small angle neutron scattering machines (SANS for measurements of large objects), you use a **velocity selector** (essentially a **rotating collimator**).



$$\frac{\Delta v}{v} = \frac{\Delta \lambda}{\lambda}$$

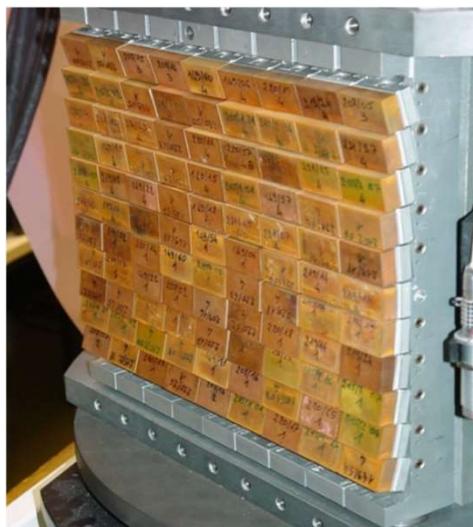
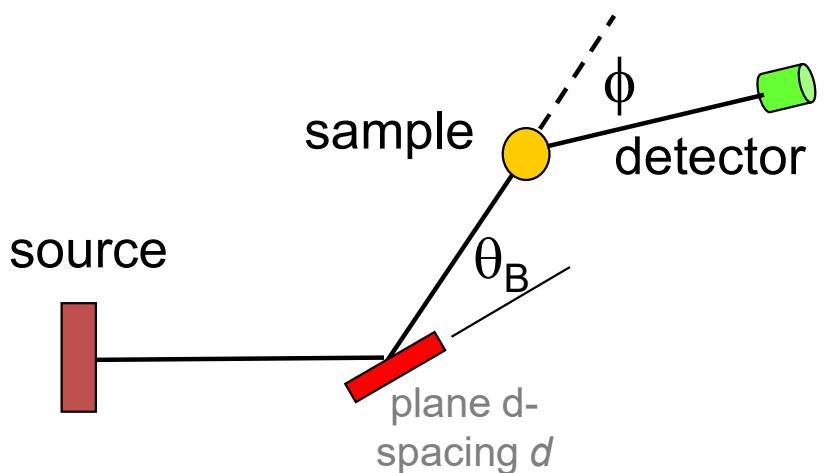
Lesker/Mirrotron high-resolution multi-blade velocity selector



"monochromate d" beam of neutrons (~10% resolution)

Crystal Monochromators

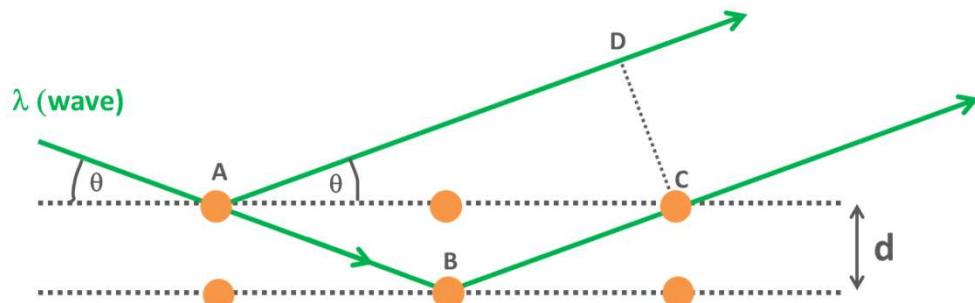
In a reactor, this is done by typically using **crystal monochromators** or ToF concept. The neutron wavelength (E_i) is selected through Bragg reflection. (E_i or E_f)



Copper 200



Silicon 111



Graphite 002

d-spacing

3.355 Å

Copper 200

1.807 Å

Silicon 111

3.135 Å

Crystal Analyzers

Note that similar concept can be used to choose the final neutron wavelength (E_f) - analysers



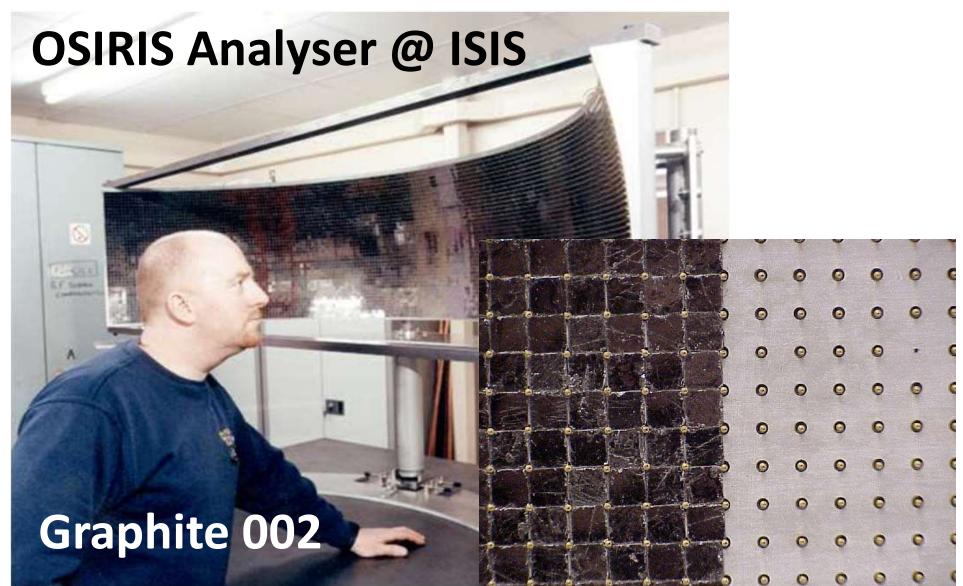
Tight $\Delta\lambda/\lambda \sim 1\%$

Poorer reflectivity

Higher order contamination

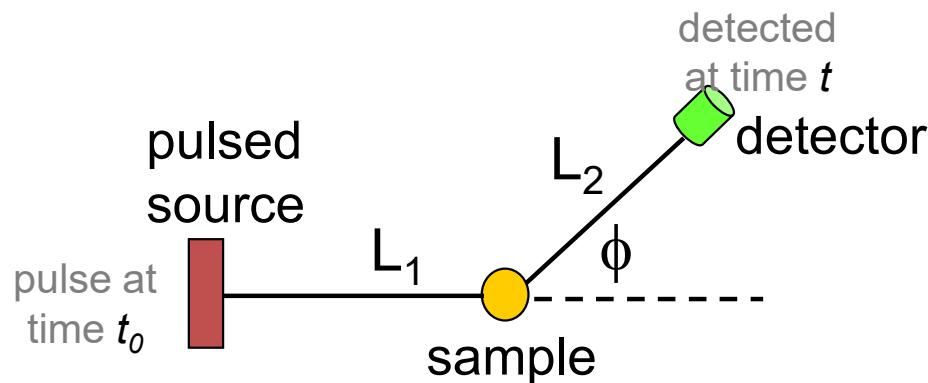
$$n\lambda = 2ds\sin \theta$$

$$\frac{1}{2} \frac{\Delta E}{E} = \frac{\Delta\lambda}{\lambda} \sim \frac{\delta d}{d} + \cot(\theta)\delta\theta$$



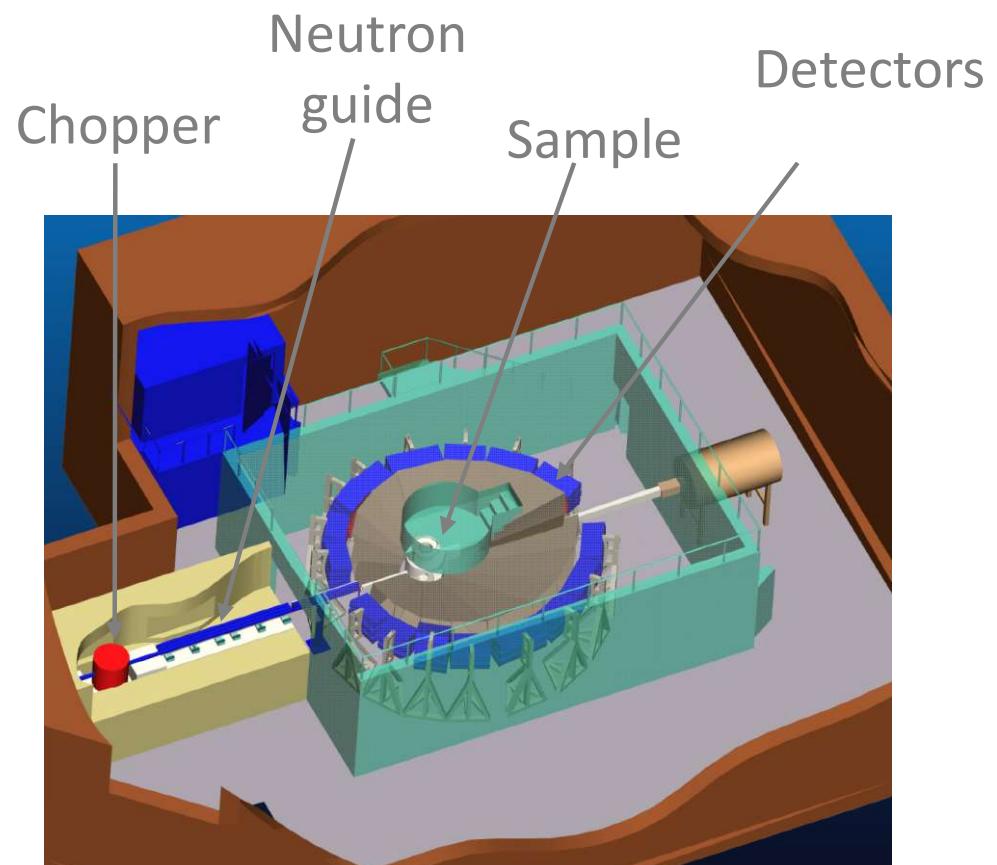
Pulses and Time-of-Flight

In a reactor, energy selection (E_i) can alternatively be achieved by creating pulses (using choppers) and using the pulsed source concept, **ToF concept**.



$$\lambda[\text{\AA}] = \frac{t[\text{ms}] \times 3.956}{L[\text{m}]} = \frac{(t-t_0) \times 3.956}{(L_1+L_2)}$$

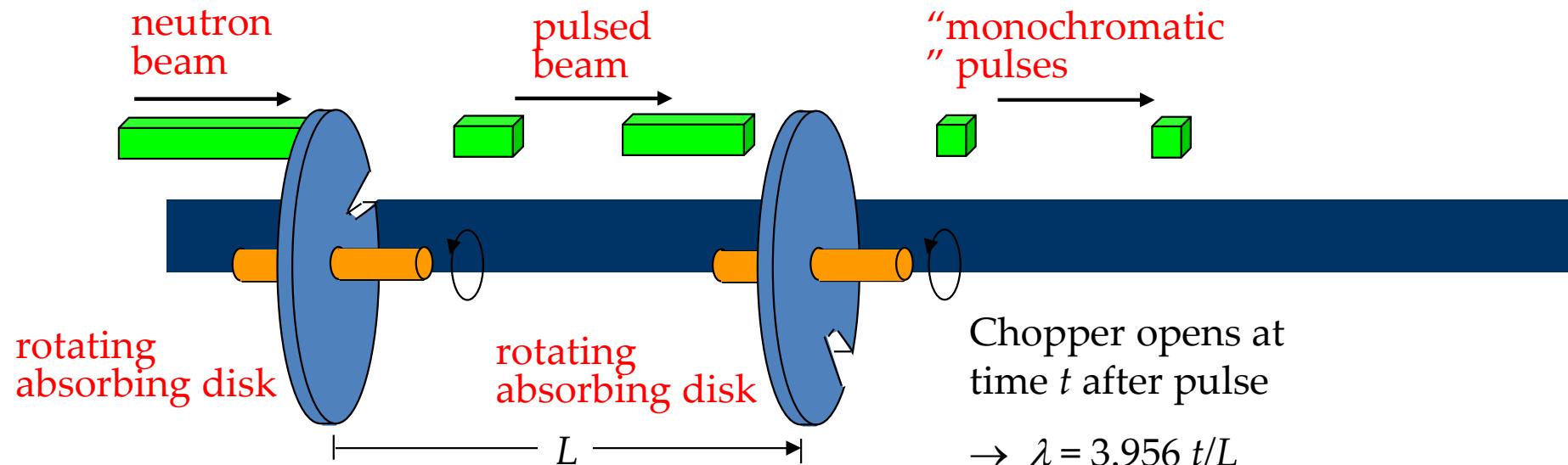
$$\delta\lambda \sim \delta t_0, \delta t, \delta L$$



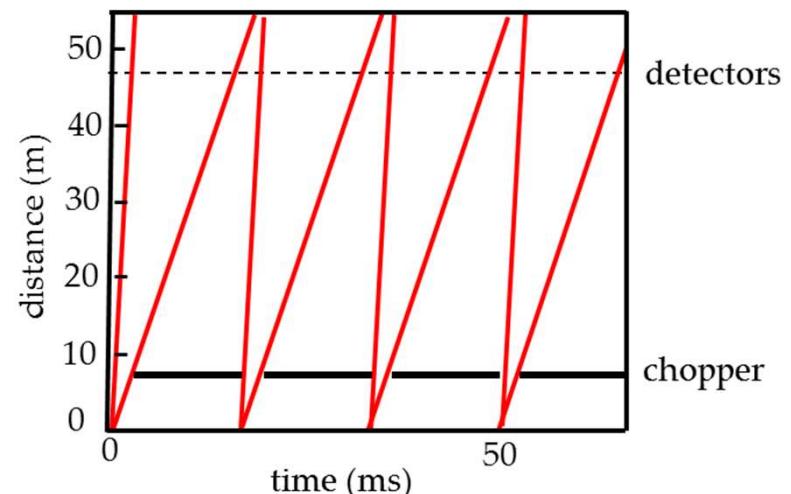
Disk Choppers

Allow a certain wavelength (or band) through, or multiple, i.e. as a **monochromator**.

Alternatively, removes unwanted frames in pulsed sources, as a **bandwidth limiter**.

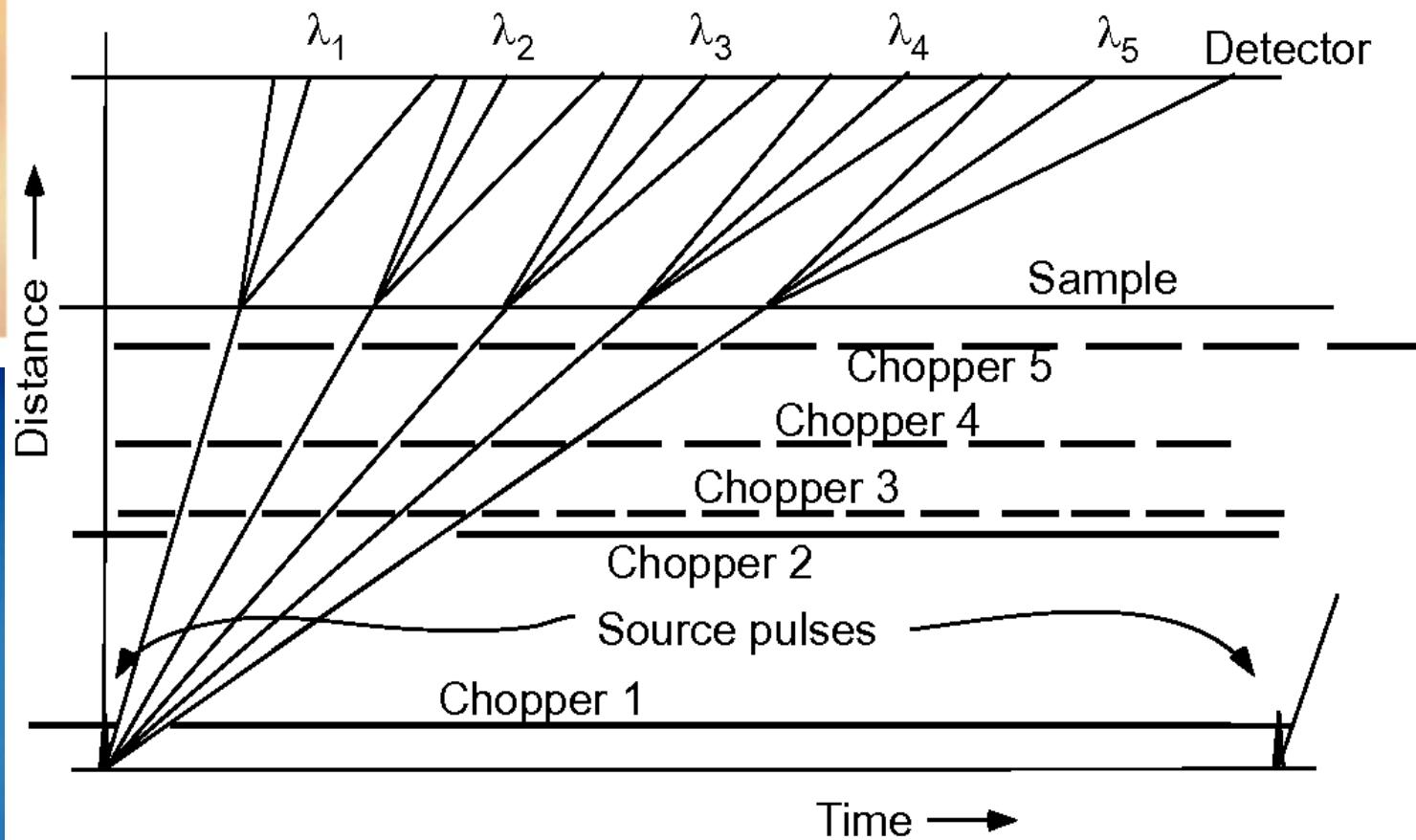
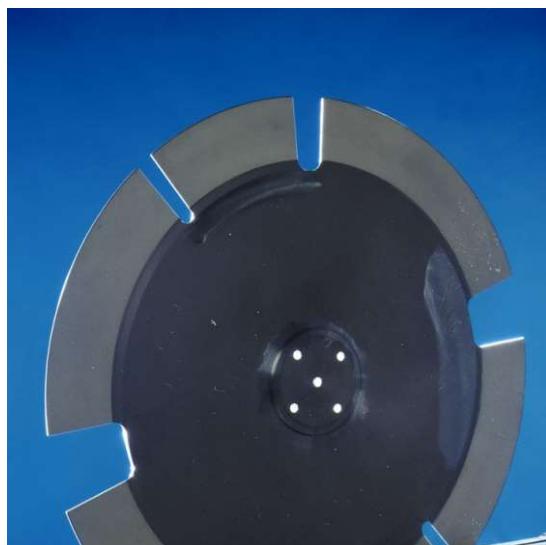
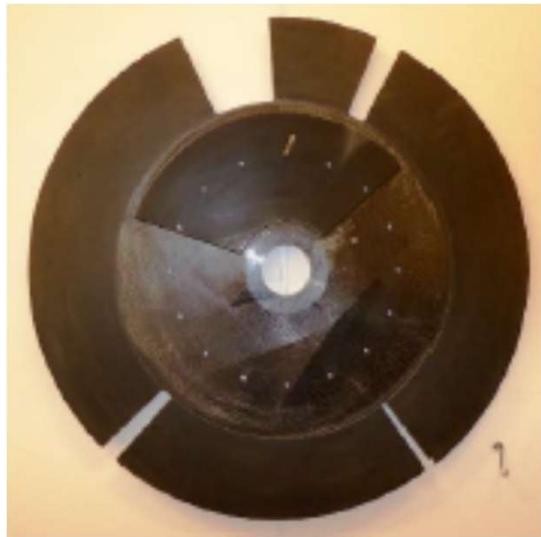


If the downstream chopper has a wider opening and/or the chopper runs slower, then it lets through a broad but limited band. This is used to prevent frame overlap.



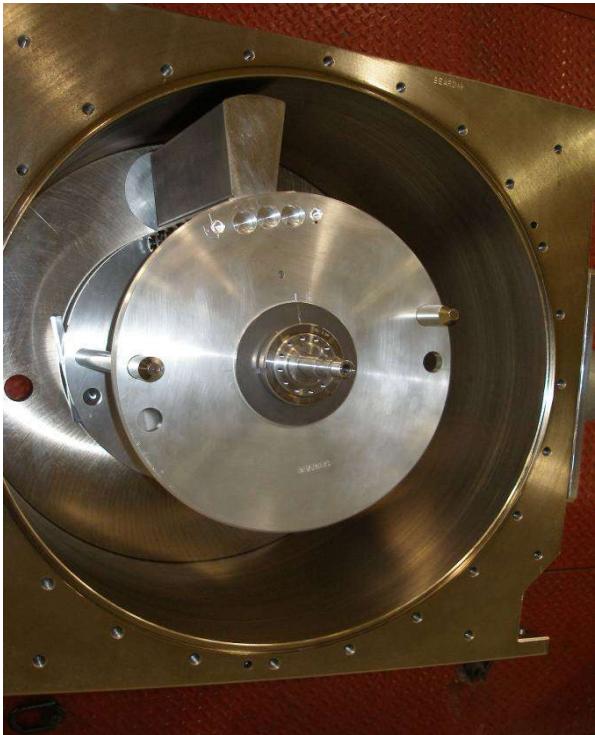
Disk Choppers

Design enables possibility of selecting a variety of wavelengths (trade band width, resolution and intensity). Also cascade allows for multiple wavelengths in one pulse (**Repetition Rate Multiplication**)

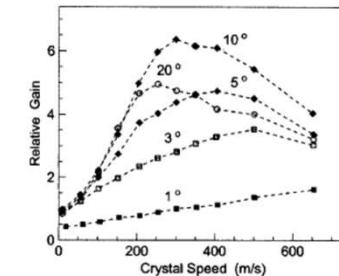
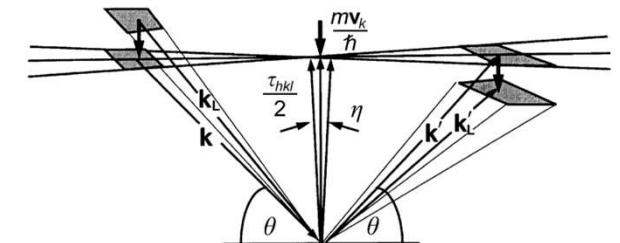
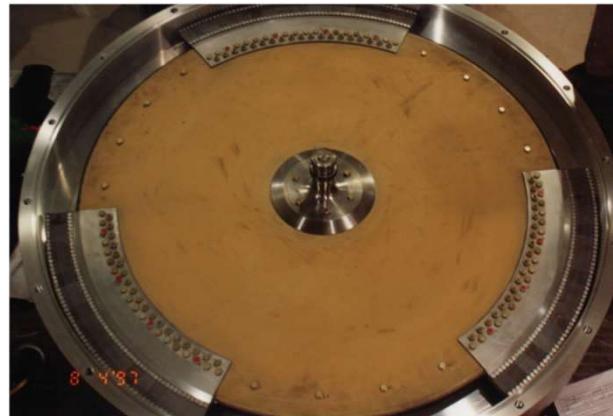


Other Types of Neutron Choppers

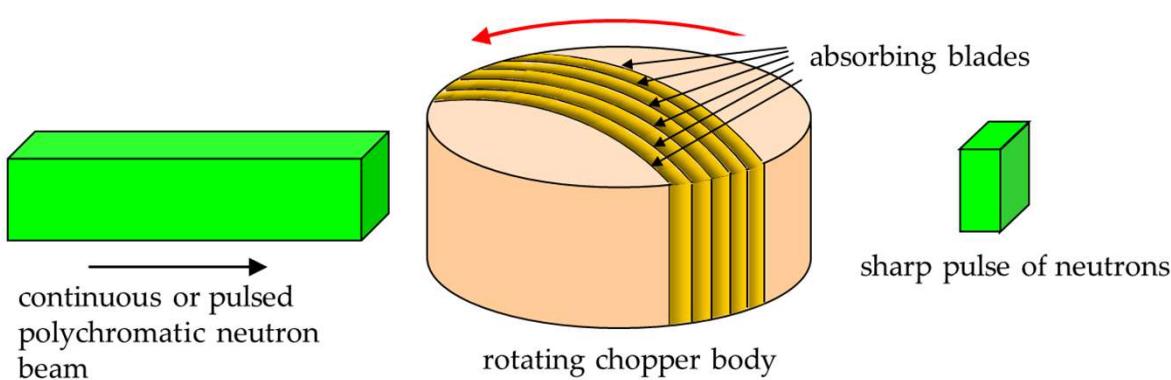
Horizontal axis T0 chopper



Phase Space Transform chopper using moving crystals



Fermi chopper

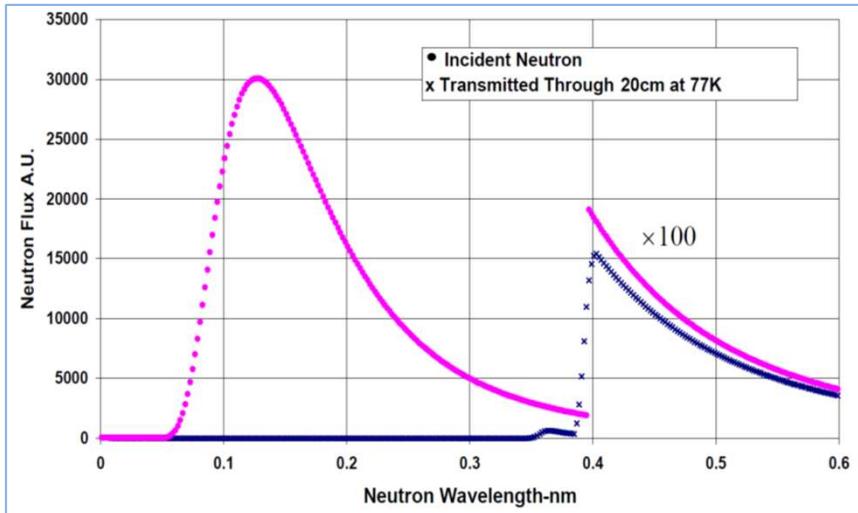
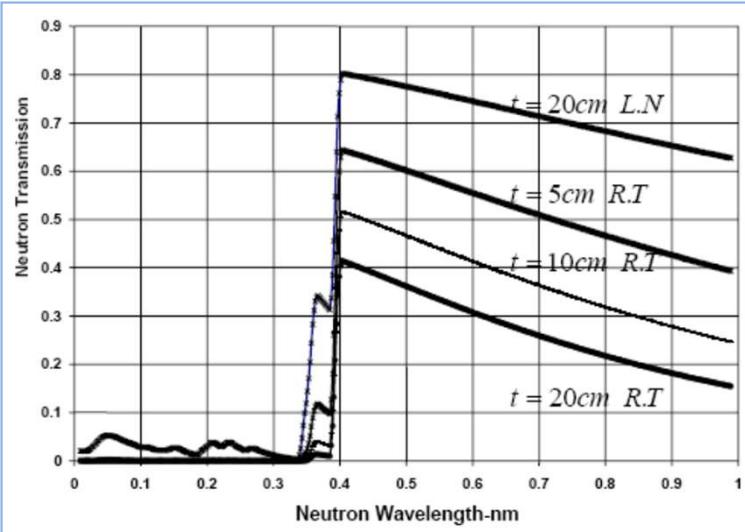


600 Hz
rotor

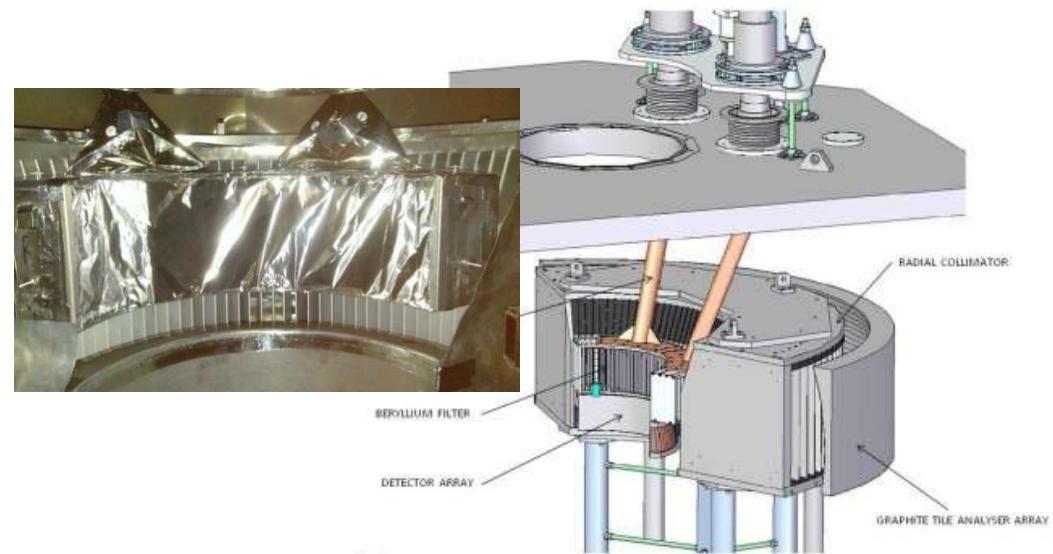
Filters – mainly Beryllium

Cooled Beryllium is used to remove higher order contamination from crystal monochromators (eg. PG002)

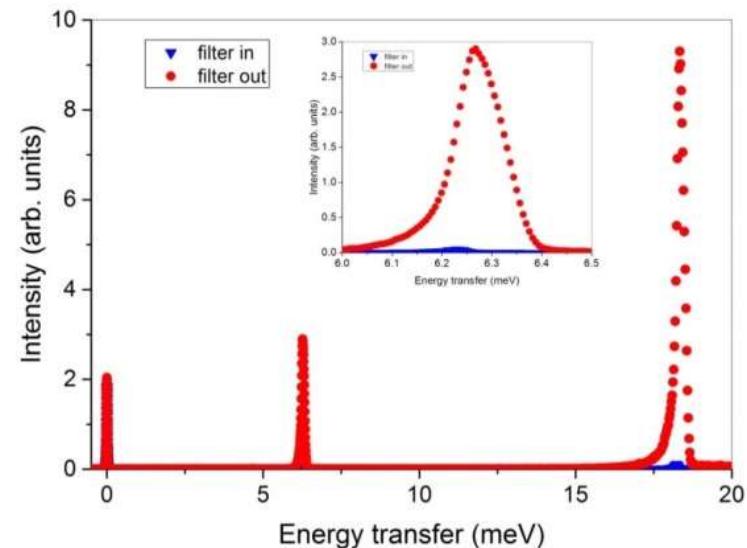
polycrystalline Be filter



M. Wahba, Egypt J. Sol. 25, 215-227 (2002)

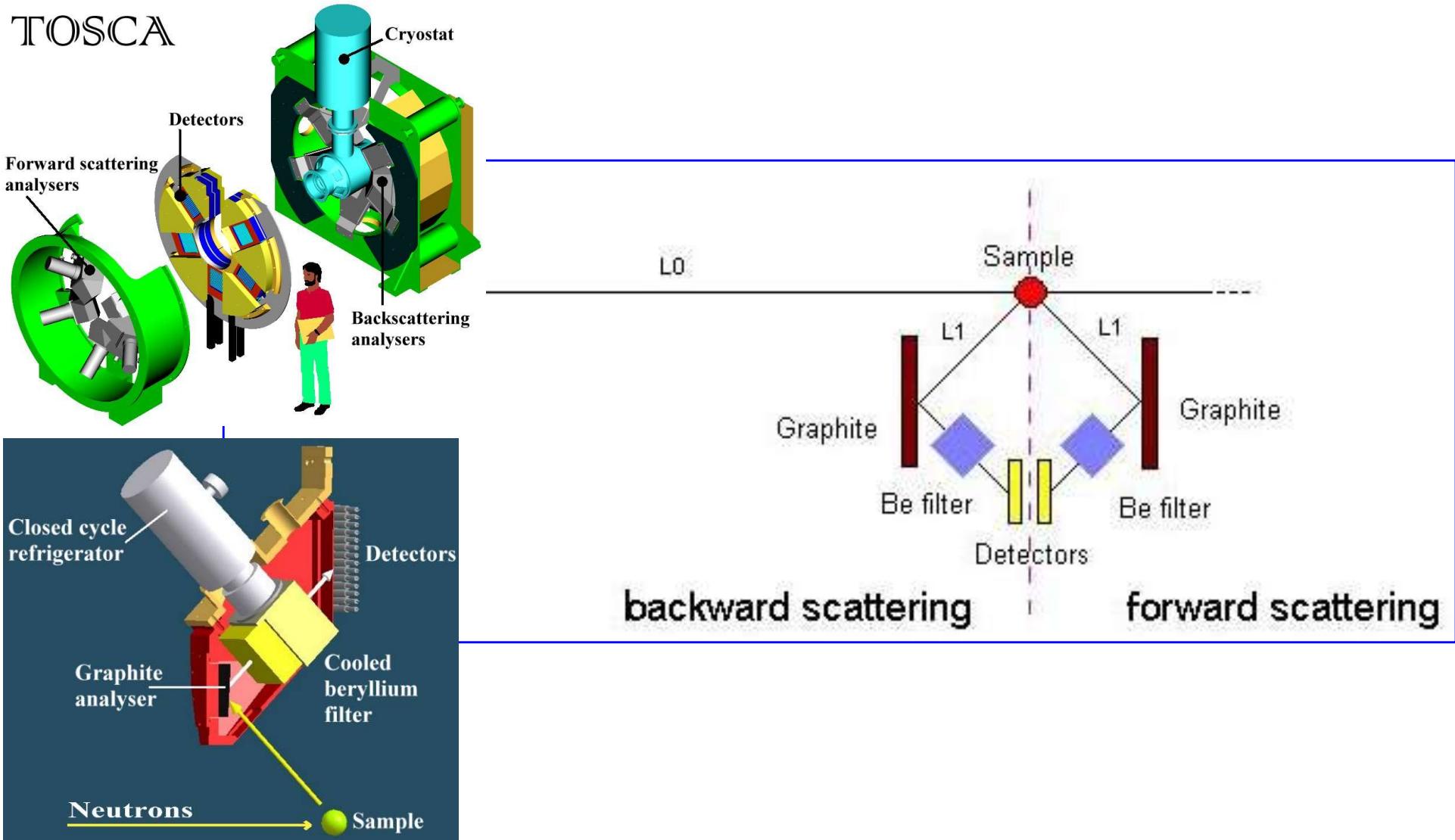


OSIRIS @ ISIS



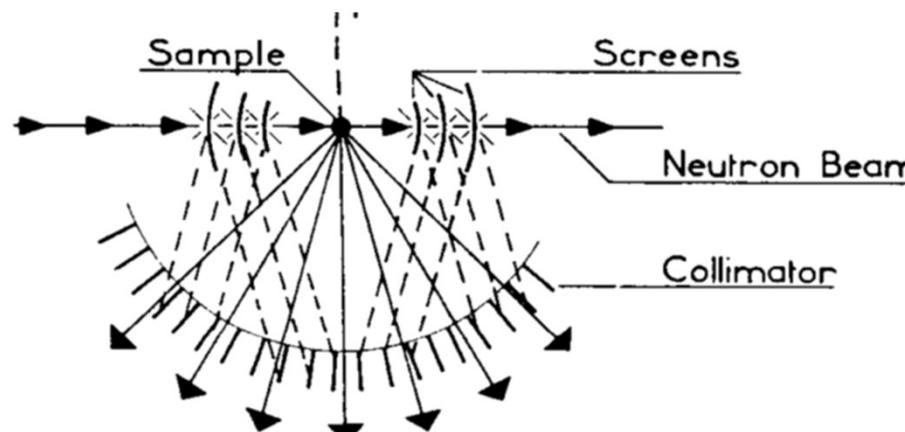
Filters – mainly Beryllium

Cooled Beryllium also is a big part of some inelastic neutron spectrometers such as TOSCA @ ISIS



Radial Collimators

- Large angular coverage
- Collimation of 0.2° to several degrees
- Blades of polymer or glass coated with absorbing material (Gd_2O_3 , ^{10}B)
- Can be used to defined beam direction and angular resolution



Neutron Detection



Scintillators



<1 mm resolution

Medium efficiency

Some gamma-sensitivity

Sensitive to magnetic fields

${}^3\text{He}$ tubes



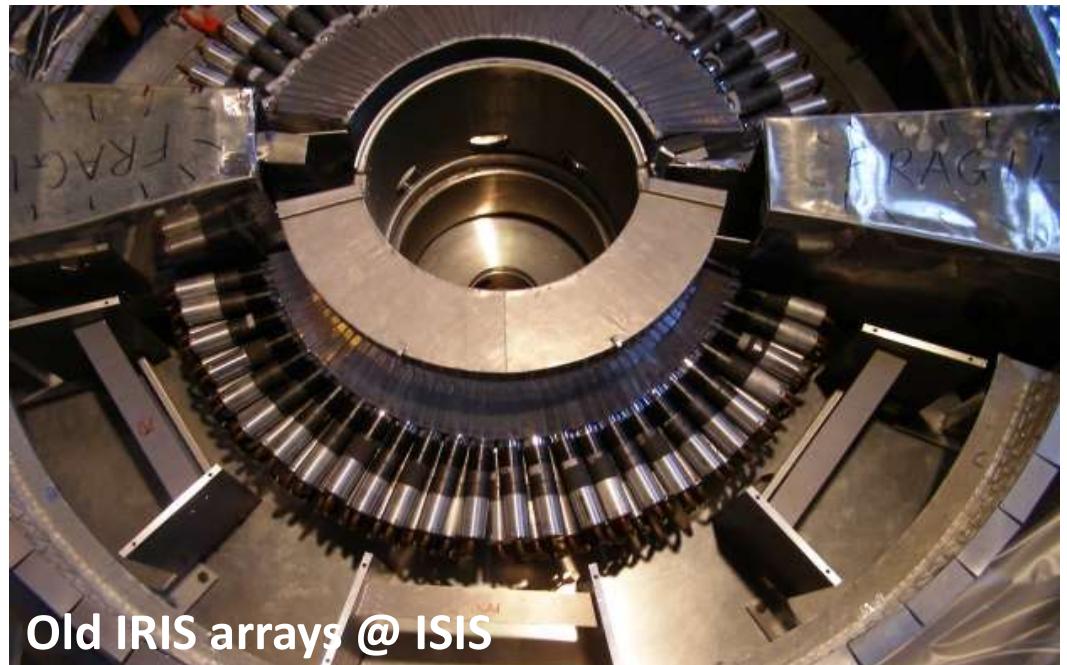
>1 mm resolution

High efficiency

Low gamma-sensitivity

Position sensitive

${}^3\text{He}$ supply problem \$\$\$c



Old IRIS arrays @ ISIS

Neutron Detection

^{10}B multigrids

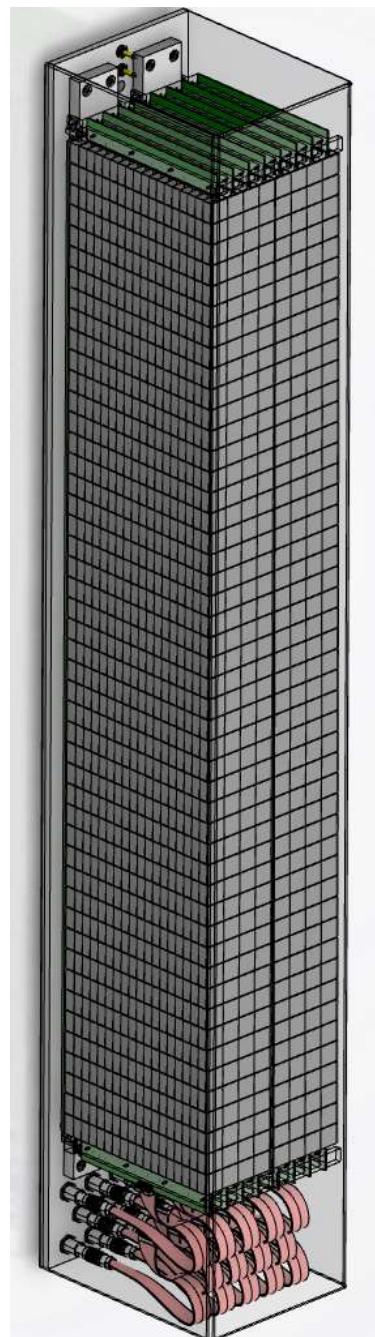


New technology under development

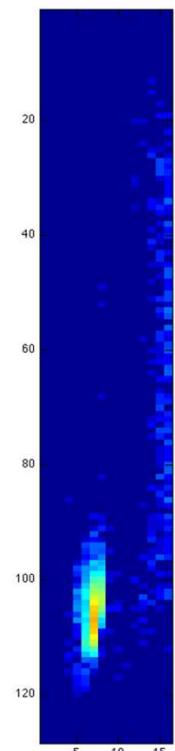
Basis for ESS?

B layer thickness limited to $\sim 1\mu\text{m}$

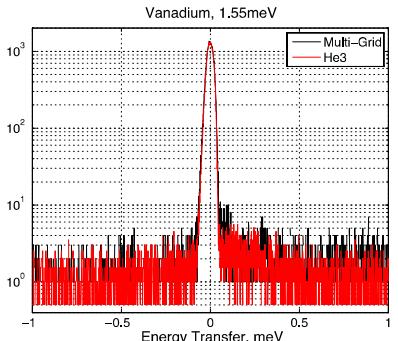
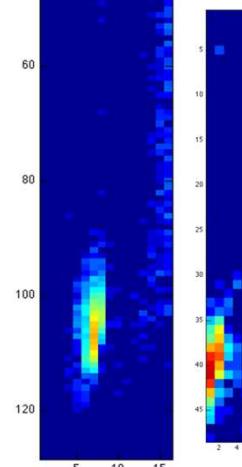
Low \$\$ for large area



^3He



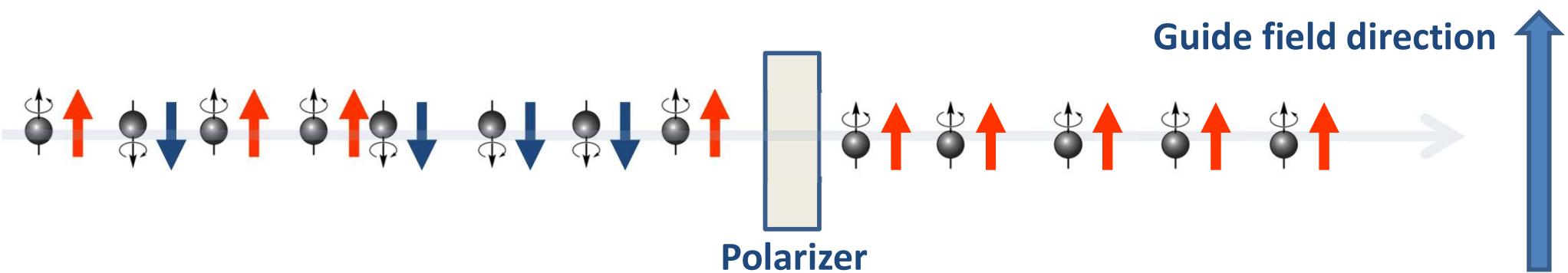
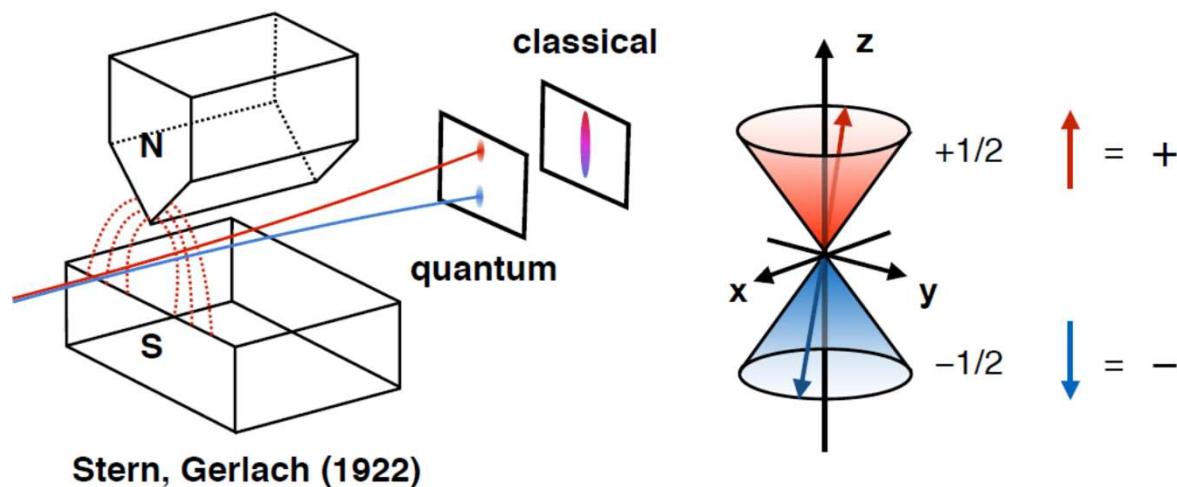
MG



Prototype tested on
CNCS @ SNS

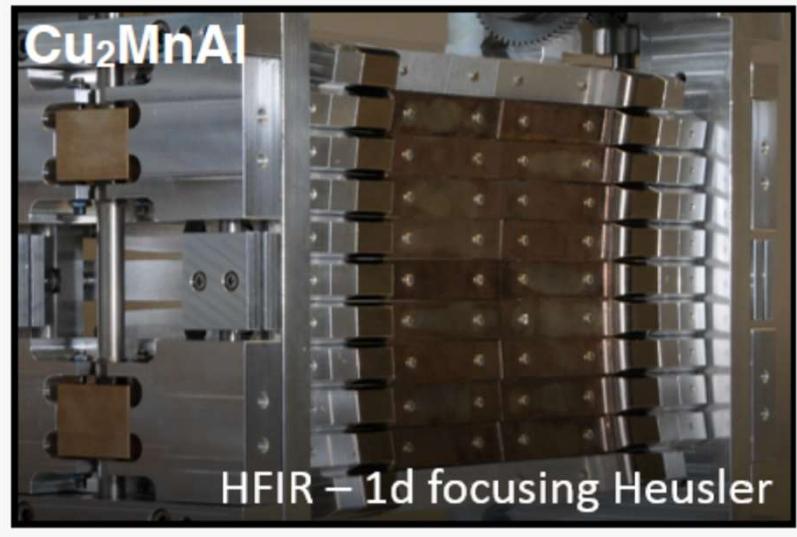
Neutron polarisation

Remember that neutrons possess an inherent **magnetic moment** related to their **spin-angular momentum $S=1/2$** . In a magnetic field, beam polarisation is a vector pointing in the direction of the field.



Neutron polarisers

Heussler crystal



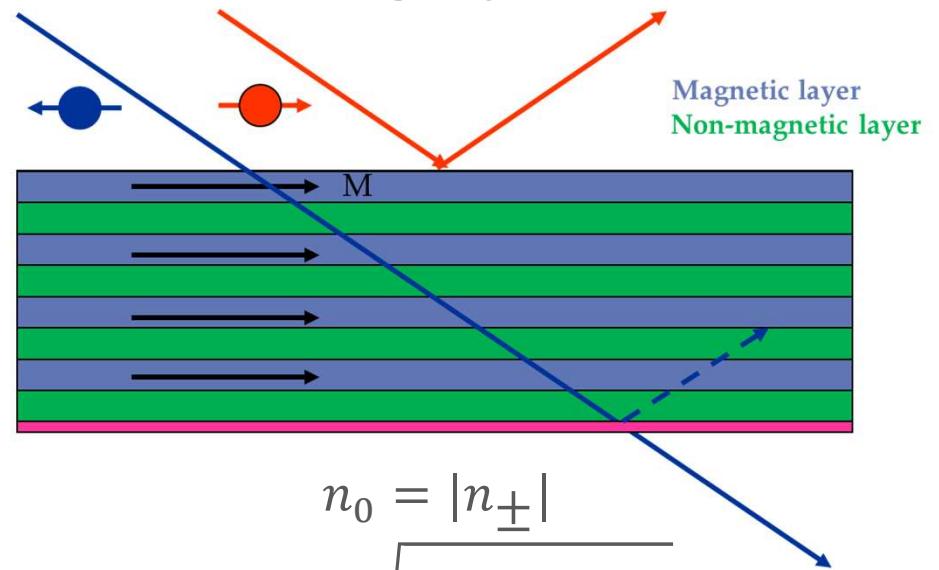
$$b_{\text{coh}} = b_{\text{mag}}$$

³He spin filters

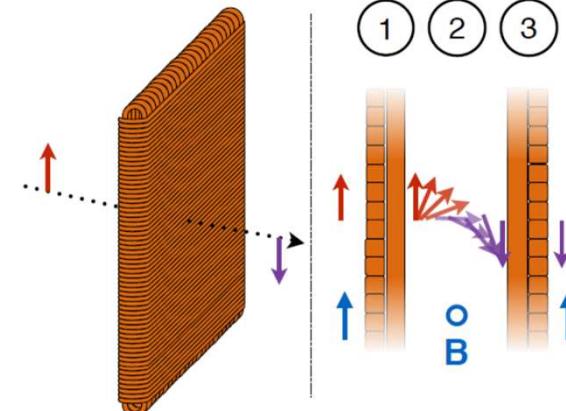


$$\sigma_a(\uparrow\downarrow) = 5931 \text{b}; \sigma_a(\uparrow\uparrow) \sim 0 \text{b}$$

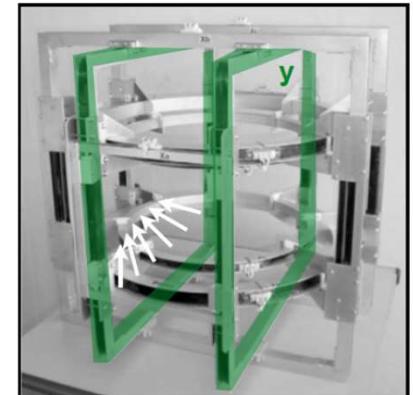
Polarising supermirrors



Mezei Flippers



XYZ field rotators

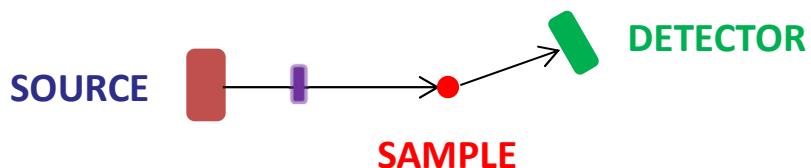


Neutron Spectrometers

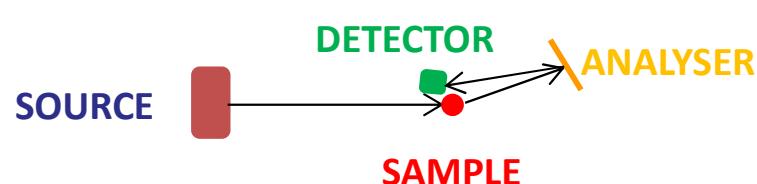
Neutron Spectrometers

Frequency domain $S(Q, \omega)$

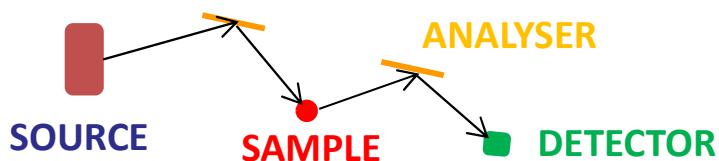
Direct geometry



Indirect geometry or Backscattering*



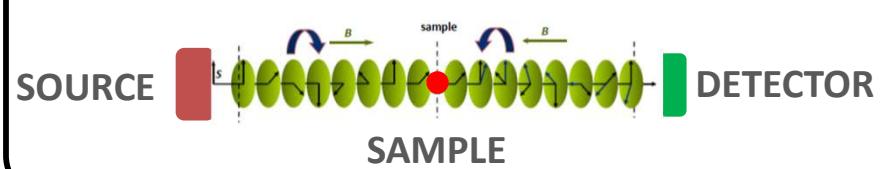
MONOCHROMATOR



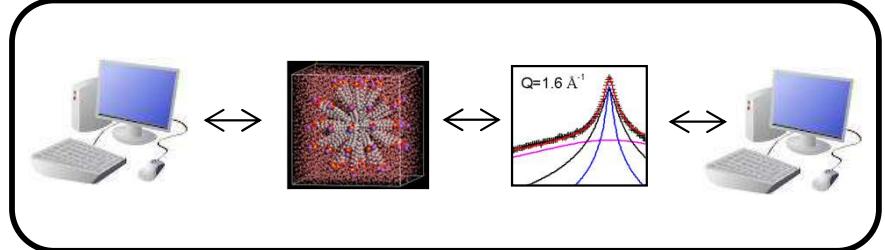
Triple-Axis

Time domain $I(Q, t)$

Neutron Spin Echo



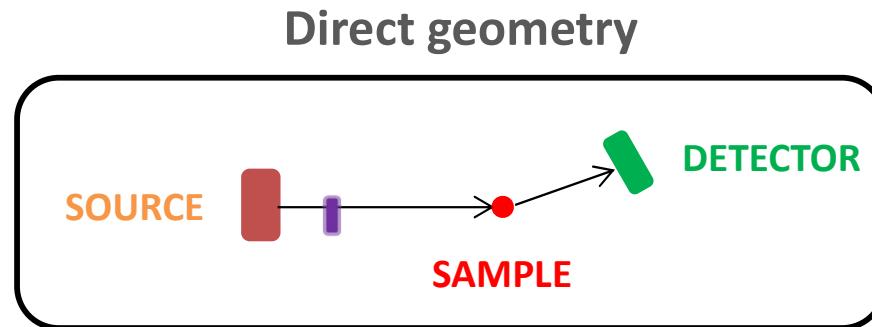
Molecular Dynamics Simulations



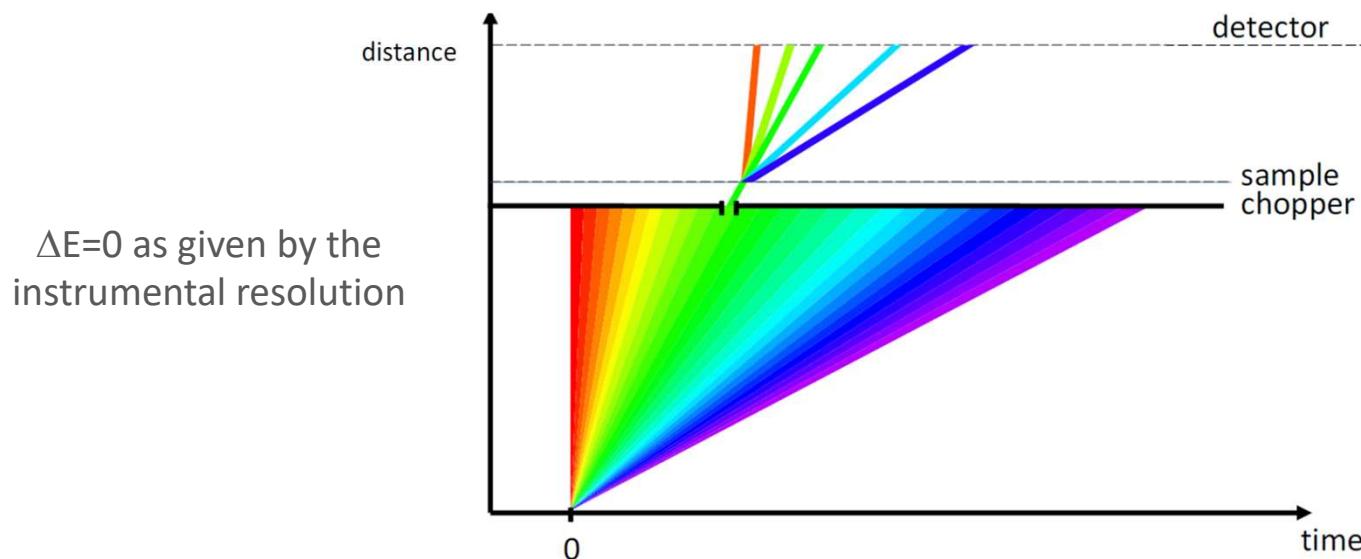
* This is essentially a special case of TAS

Direct Geometry (or Chopper spectrometers)

Direct Geometry



- Send neutrons of known **fixed** E_i (v_i) – neutron can lose only as much energy as it has but can gain any.
- Source-sample and sample-detector distances known (order of m)
- Time at which neutron is sent, known, t_0
- Time at which neutron is detected tells us E_f ; thus we know ΔE



Monochromatisation

6 counter-rotating choppers

Chopper velocity V_0
(revolution per minute) 2000 rpm to 17000 rpm

Incident wavelength λ_0 1.8 Å to 20 Å

Sample

elastic energy resolution
at 5.0 Å, 8500rpm ~ 100 μeV

max neutron energy loss
 $\hbar \omega_{\text{max}} = 0.6 \times E_0$
 $E_{\text{min}} \approx 0.4 \times E_0 (\lambda_{\text{max}} = 1.5 \lambda_0)$

max energy gain for the
neutrons $E_{\text{max}} \sim \infty \dots$

max momentum transfer
 Q_{max} 11.48/ λ_0 Å⁻¹

horizontal divergence (no
collim.) ~ 0.1 × 2 λ_0 [°]

vertical divergence (no
collim.) ~ 0.1 × 3 λ_0 [°]

Collimation before
sample - / 30° / 60°

beam size at the sample 15 × 50 mm²

flux at the sample at 5.0
Å 6.83 × 10⁶ [n/cm²/s]

Detectors

detector shape cylindrical

detector surface [m²] 30.0

flight path (radius of
reading) [m] 4.0

effective detection
height [m] 3.0

scattering angular range
[°] -12° to 135°

vertical angular range [°]
e ± 20.55°

solid angle covered 1.8 sr (~0.6π)

min. & max. momentum
transfer [Å⁻¹] 0.2/ λ [Å] - 11.8/ λ [Å]

spatial resolution [cm²] 2.6 × 2.6

angular resolution [° /
rad /] 0.37° / 6.10⁻³ rad / 22

detector type position sensitive ³He
counters

gas mixture 4.75 bar ³He + 1.25 bar
CF₄

detector efficiency ~ 80% for $E_0 = 3.27$ meV
(5 Å)

dead time / max. count
rate (per tube) ≤ 10 μs / ≥ 100 kHz

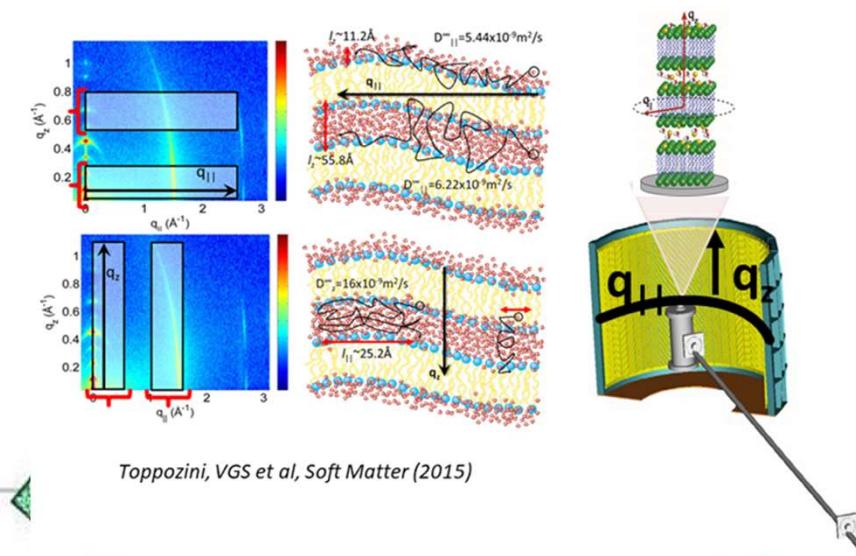
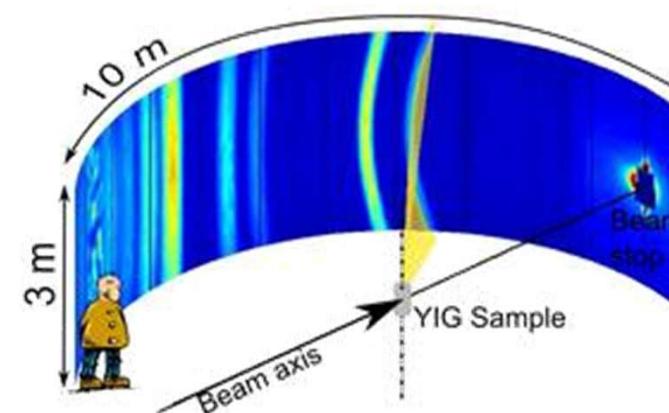
material stainless steel

number of detectors
units (32 tubes/unit) 12

tubes diameters
[inches/cm] 1" / 2.54

or Chopper Spectrometers

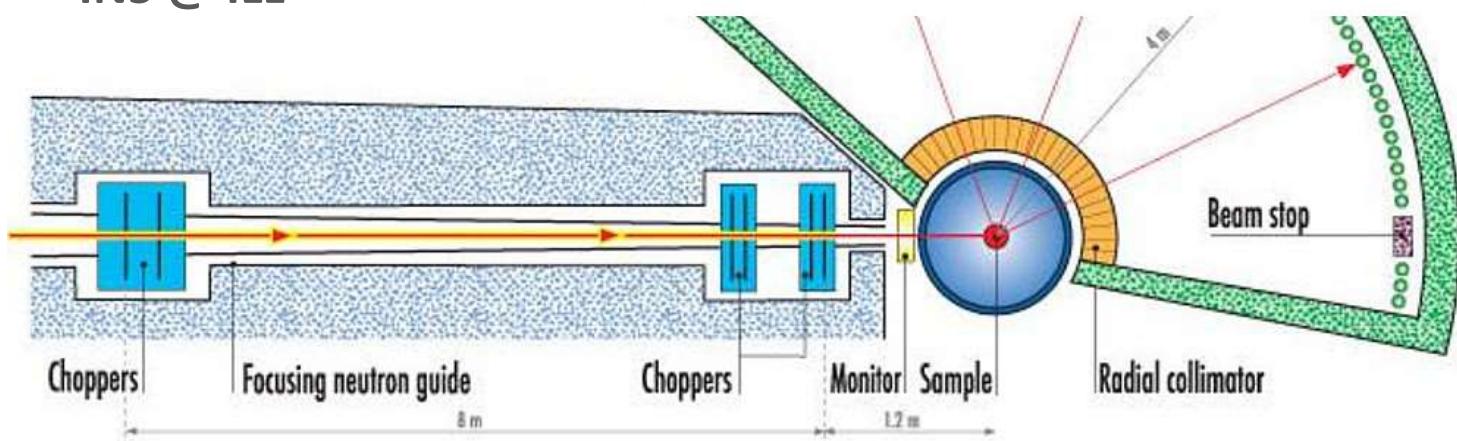
Typical layout – consist of a number of choppers, large detector arrays of ³He tubes of varying heights, position sensitive, typically covering -15 to 160deg and have a radial collimator. Tank is evacuated or Ar filled. Incident energies between 1meV and 1eV.



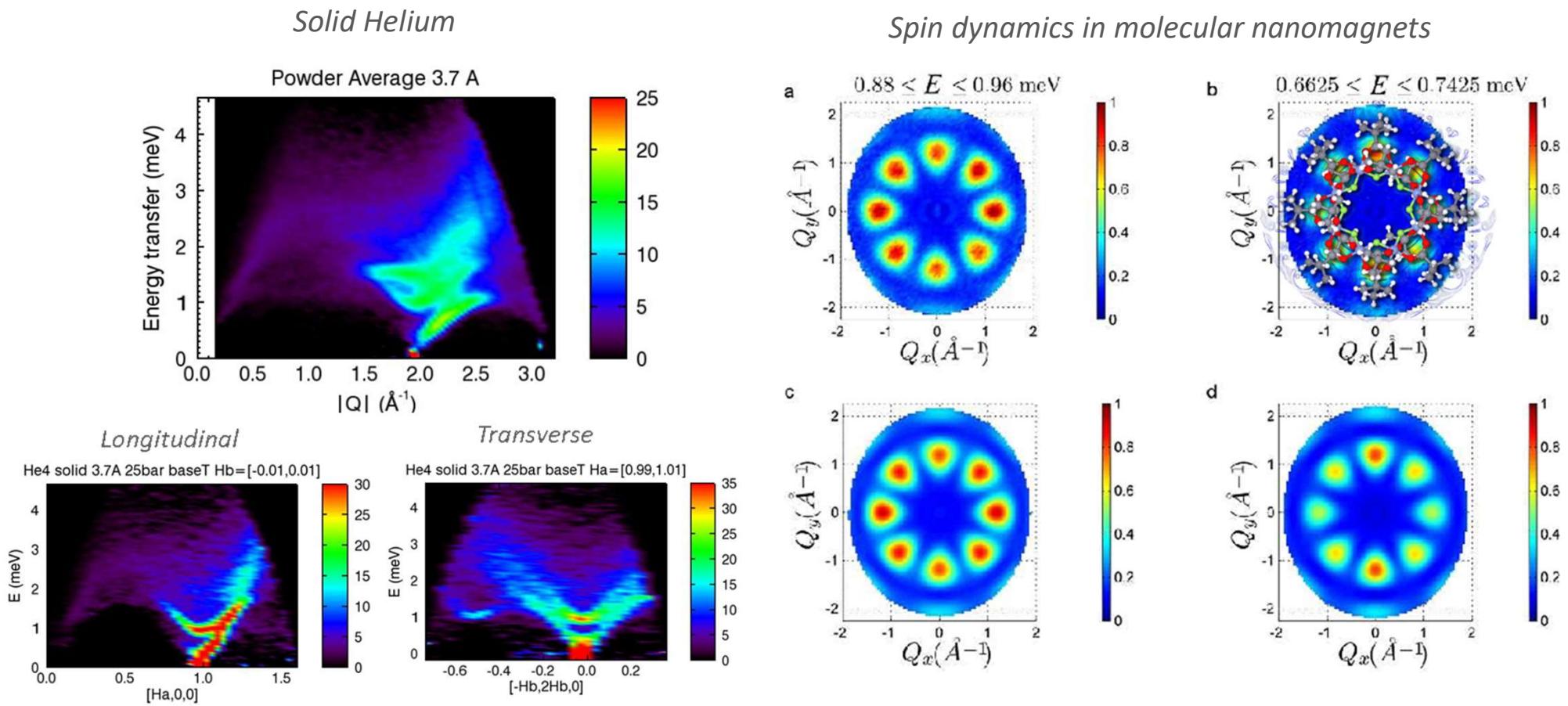
Detectors

Toppozini, VGS et al, Soft Matter (2015)

IN5 @ ILL



Chopper Spectrometers



Can be found at reactors or spallation sources

Time-of-flight Spectrometers



SPALLATION
NEUTRON
SOURCE

World's most intense pulsed, accelerator-based neutron source

NEUTRONS.ORNLL.GOV

The diagram illustrates the layout of the Spallation Neutron Source (SNS) at Oak Ridge National Laboratory. The facility features a central reactor building with multiple beam lines extending from it. The beam lines are labeled with instrument names and their respective bays:

- BL-2**: Backscattering Spectrometer (BASIS)
- BL-3**: Spallation Neutrons and Pressure Diffractometer (SNAP)
- BL-4A**: Magnetism Reflectometer
- BL-4B**: Liquids Reflectometer
- BL-5**: Cold Neutron Chopper Spectrometer (CNCS)
- BL-6**: Extended Q-Range Small-Angle Neutron Scattering Diffractometer (EQ-SANS)
- BL-7**: Engineering Materials Diffractometer (VULCAN)
- BL-8A** and **BL-8B**
- BL-9**: Elastic Diffuse Scattering Spectrometer (CORELLI)
- BL-10**: Versatile Neutron Imaging Instrument at SNS (VENUS)
- BL-11A**: Powder Diffractometer (POWGEN)
- BL-11B**: Macromolecular Neutron Diffractometer (MaNDI)
- BL-12**: Single-Crystal Diffractometer (TOPAZ)
- BL-13**: Fundamental Neutron Physics Beam Line
- BL-14A**: Hybrid Spectrometer (HYSPEC)
- BL-15**: Neutron Spin Echo Spectrometer (NSE)
- BL-16A**: Vibrational Spectrometer (VISION)
- BL-17**: Fine-Resolution Fermi Chopper Spectrometer (SEQUOIA)
- BL-18**: Wide Angular-Range Chopper Spectrometer (ARCS)
- BL-19**: Nanoscale-Ordered Materials Diffractometer (NOMAD)

Each instrument box contains a brief description of its purpose and contact information for the scientist in charge.

Scheduled commissioning date

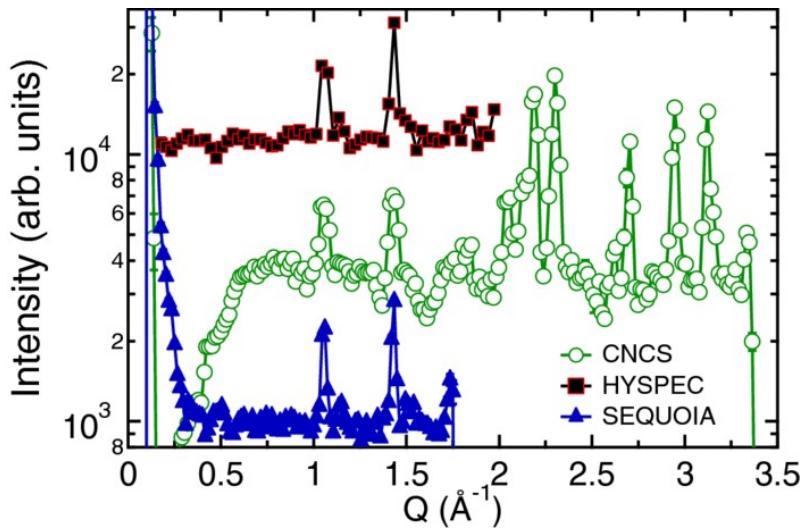
LEGEND

- Operating instrument in user program
- In design or construction
- Under consideration

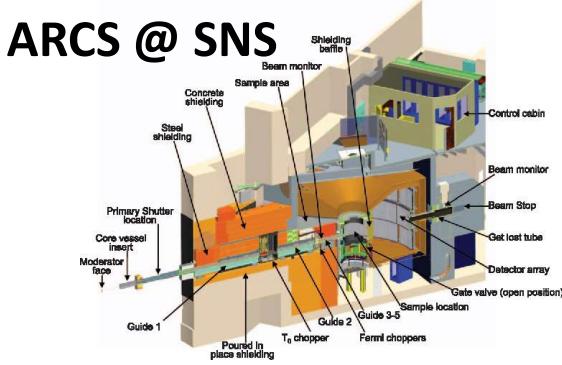
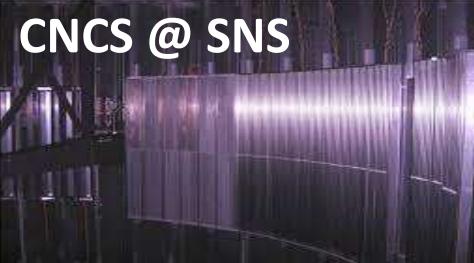
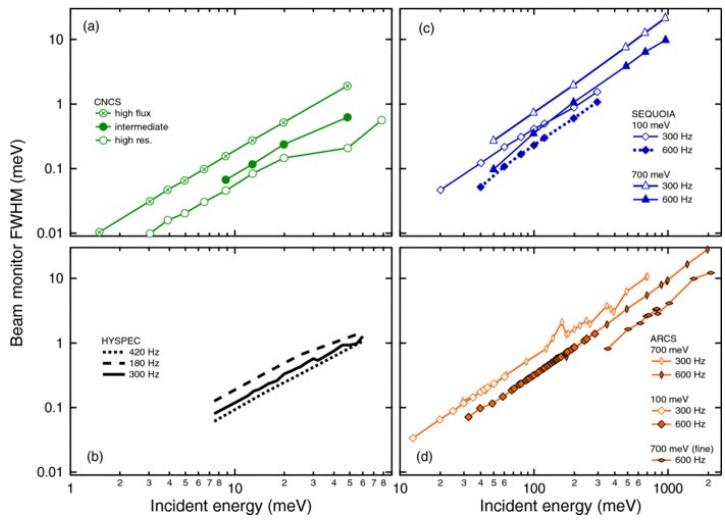
15-G00337A/gim

Time-of-flight Spectrometers

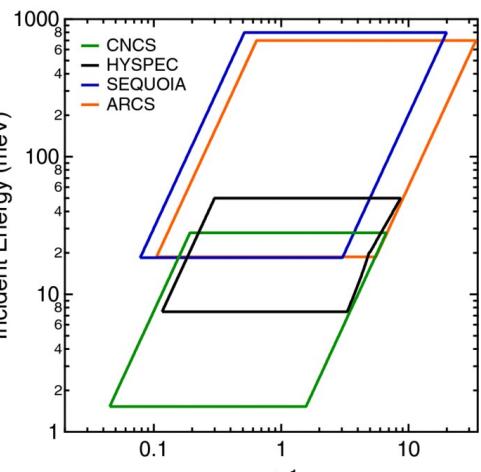
Intensity as a function of incident E



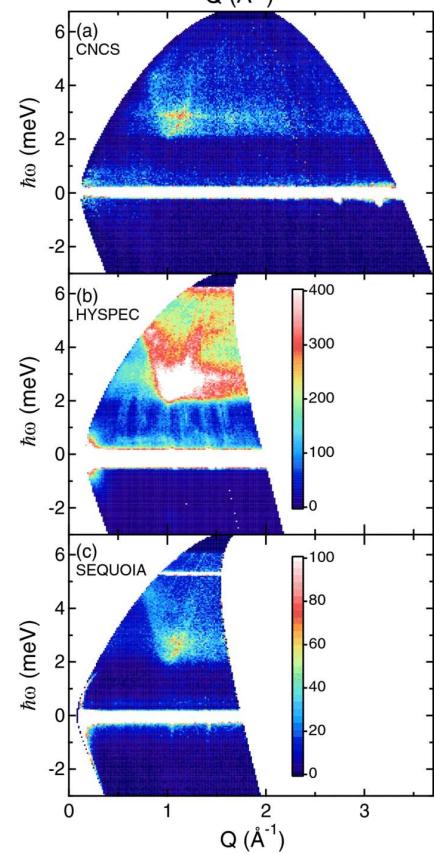
Resolution as a function of incident E



Q-space as a function of incident E



Energy window as a function of elastic Q

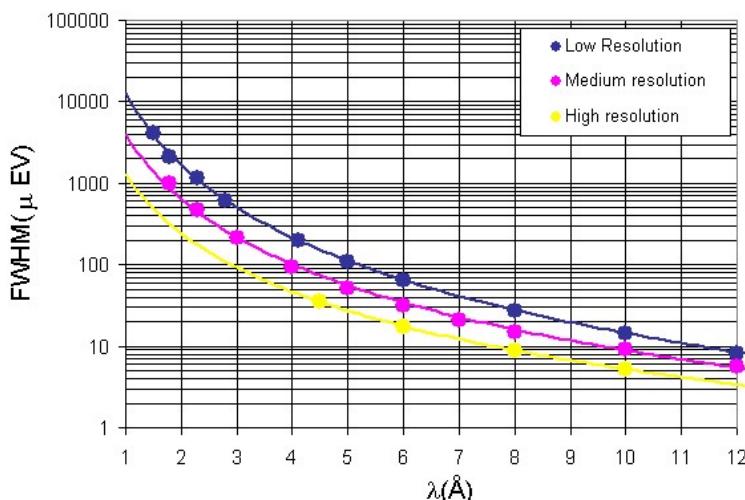


Chopper Spectrometers

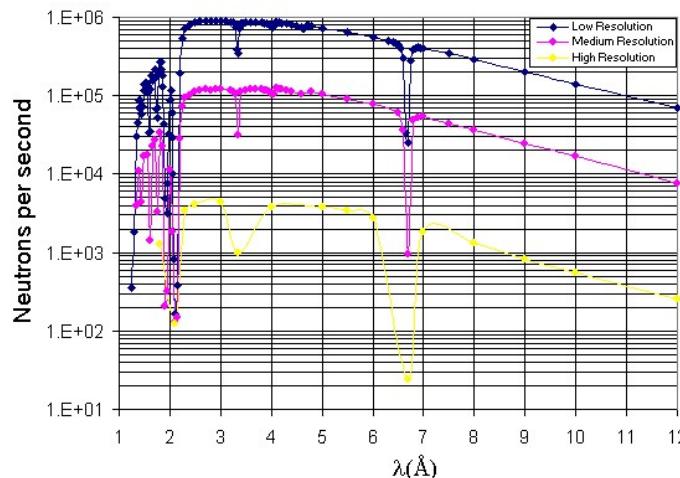
DCS @ NIST



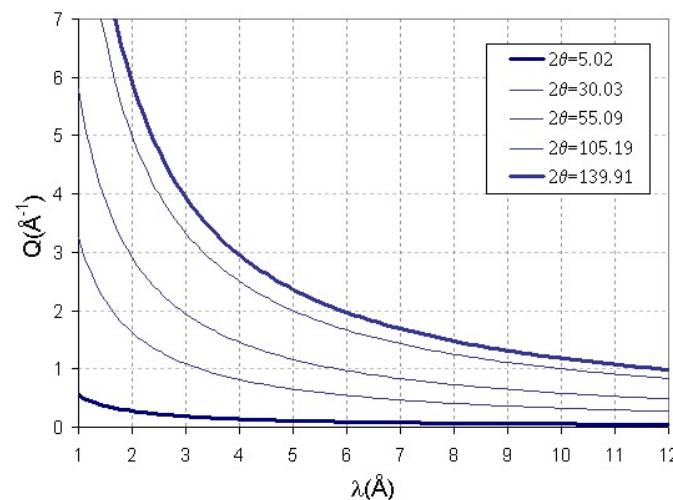
Energy resolution ΔE as a function of λ



Intensity as a function of λ and ΔE



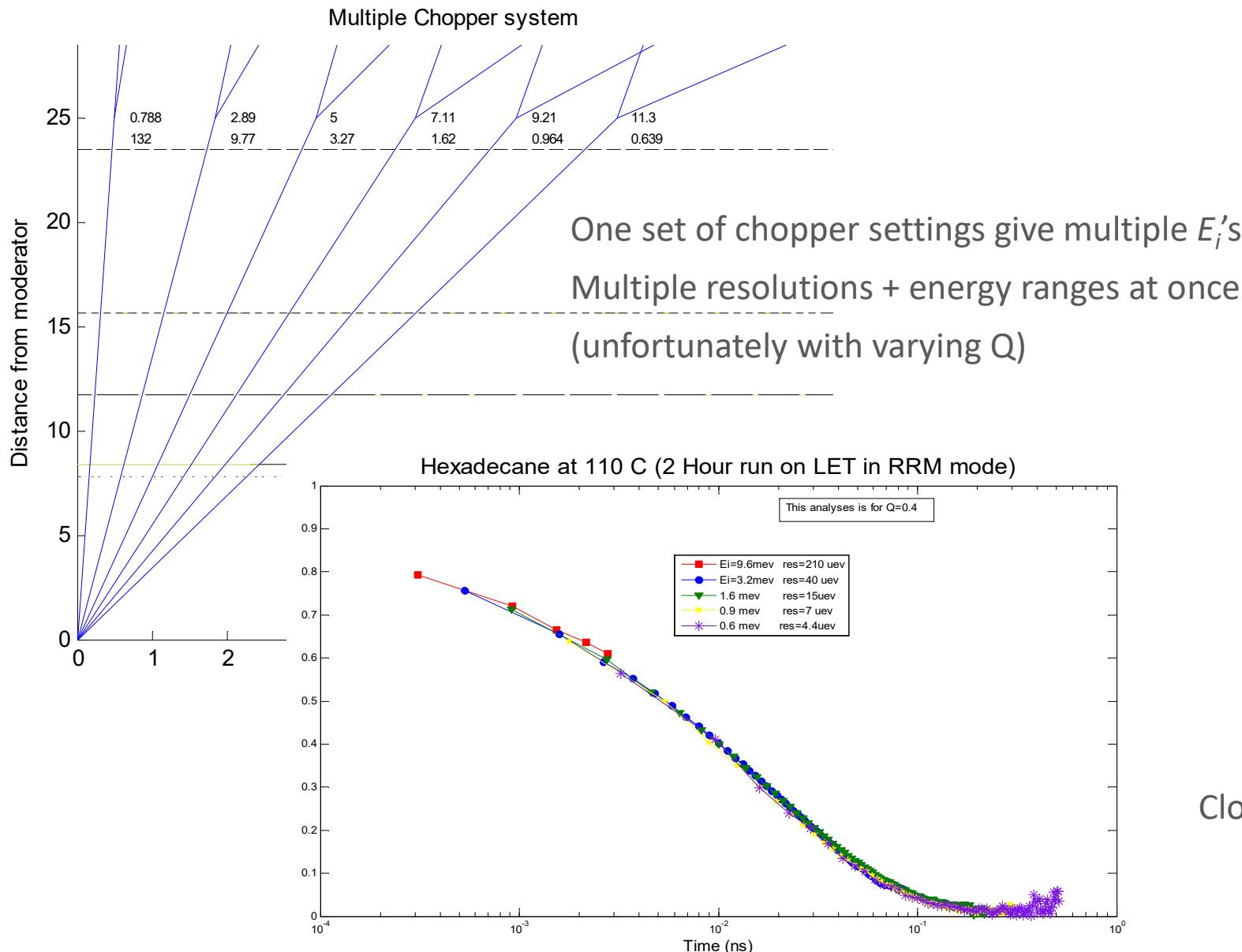
Elastic scattering vector Q , as a function of λ



- Medium energy resolution (10's ueV to meV's)
- Large DE and Q range
- **Flexible** in choosing Q-E space
- Picosecond dynamics

Chopper Spectrometers

Clever chopper cascades have enable Repetition Rate Multiplication



LET @ ISIS

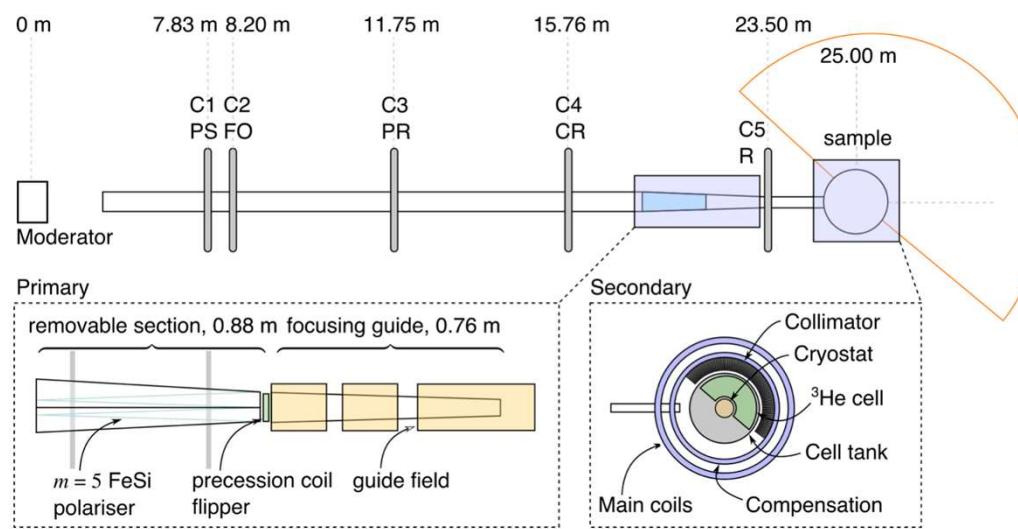
Closes gap in $S(Q,w)$ space

Polarisation Analysis

Since neutrons allow for polarised beams, PA can be used to separate signals:

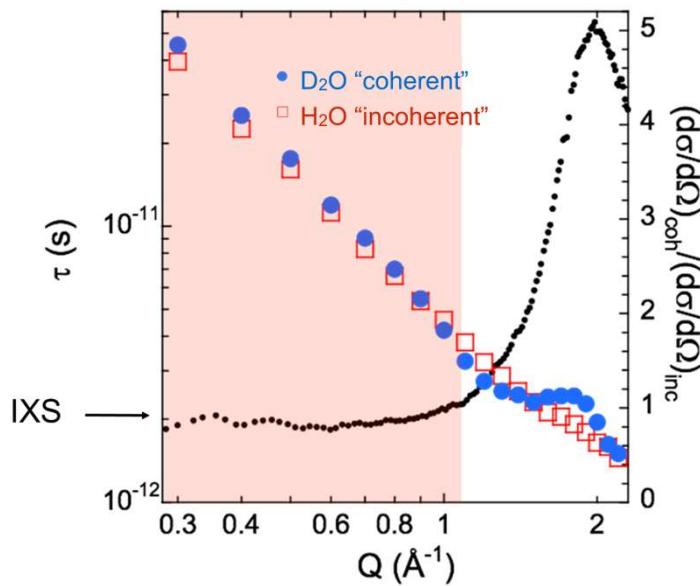


1. Nuclear from magnetic inelastic scattering
2. Where deuteration is difficult in multi-component systems
3. When there is structural peak contamination (non-H)
4. Where coherent and incoherent scattering are difficult to distinguish (e.g. Na, ^7Li , D ...)
5. To prove assumptions!

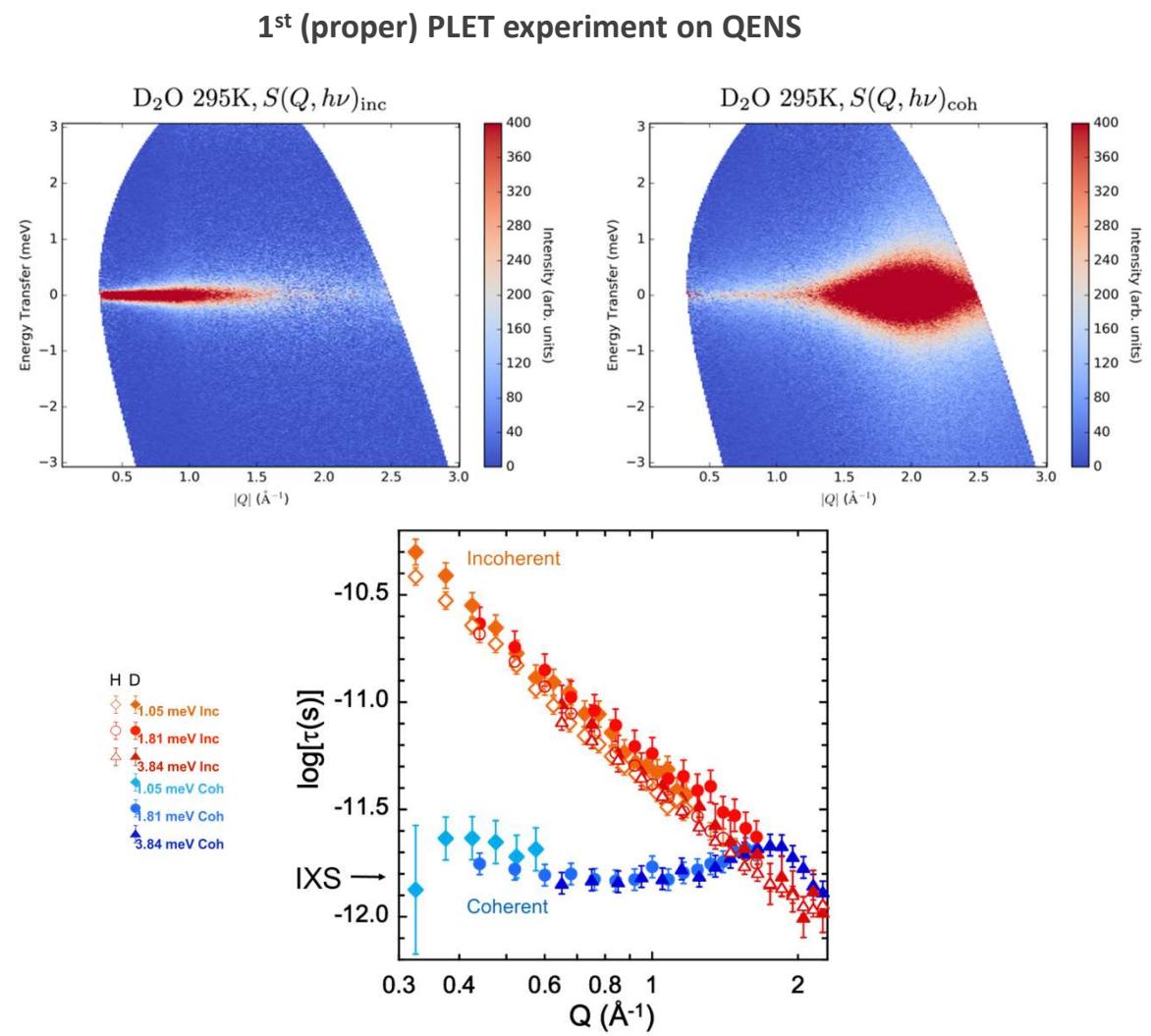


Polarisation Analysis Example

Dynamics of D₂O at the mesoscale measured on IN5 @ ILL (unpolarized)

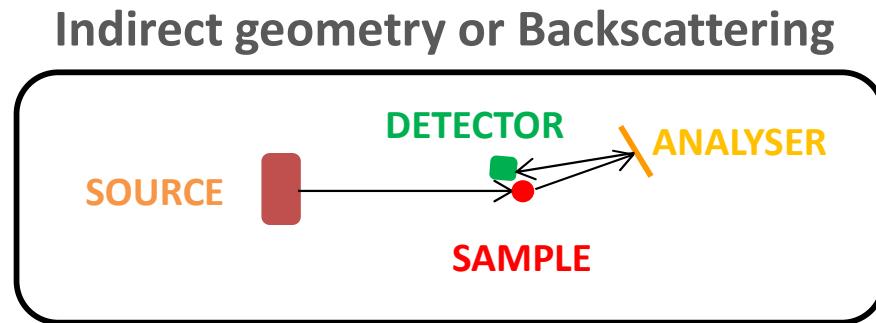


Ratio of coherent/incoherent from D7@ILL (diffraction mode)



Indirect Geometry

Indirect Spectrometers or Backscattering



- Send neutrons of a known band of wavelengths or E_i (ν_i)s (defines your energy window)
- In reactor source, use a Doppler drive; in a spallation source, use choppers
- Analyser crystals reflect back only a **fixed** E_f (Bragg's Law)
- Times & distances known, so detected neutron gives us ΔE
- Higher energy resolution ΔE at a flux penalty

$$n\lambda = 2d \sin \theta$$

$$\frac{1}{2} \frac{\Delta E}{E} = \frac{\Delta \lambda}{\lambda} \sim \frac{\delta d}{d} + \cot(\theta) \delta \theta$$

in backscattering $\theta \rightarrow \pi/2$

$$\cot(\theta) = \frac{\cos \theta}{\sin \theta} \rightarrow 0$$

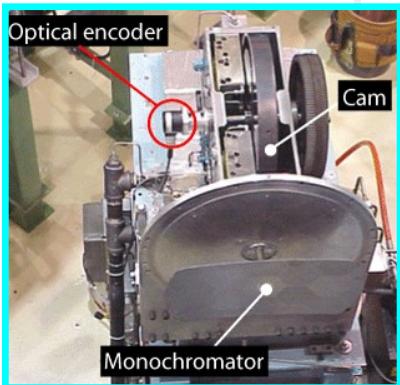
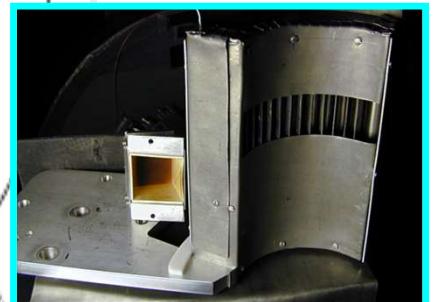
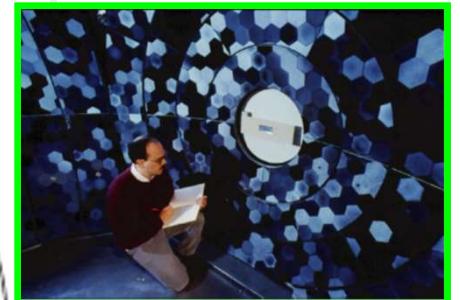
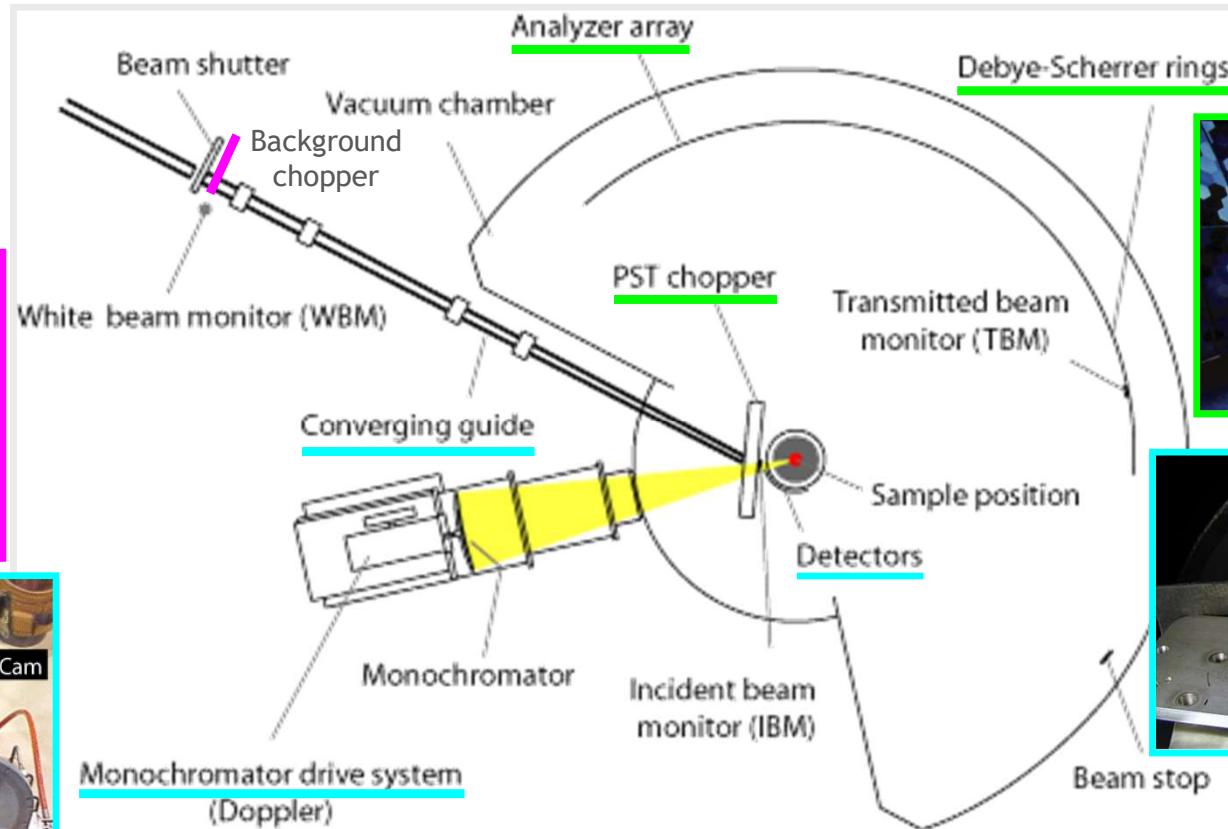
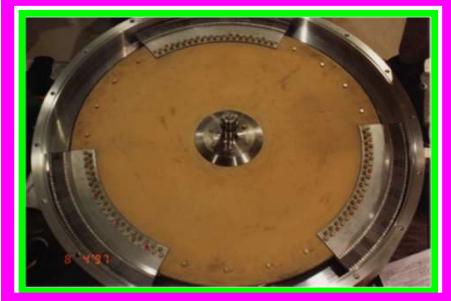
Backscattering @ Reactors

Typical layout – consist of a Doppler monochromator, large analyser bank of **Si111 crystals** (final energy is 2.08meV), small array of 3He detectors, typically covering 15 to 130deg. Tank is evacuated.



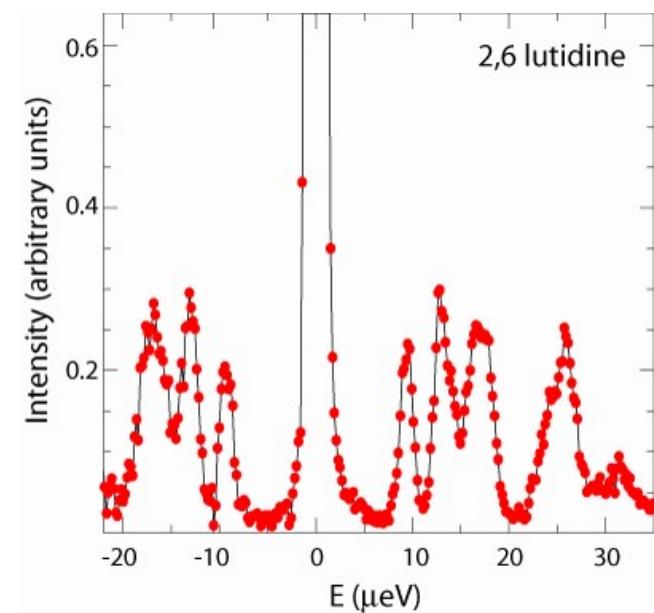
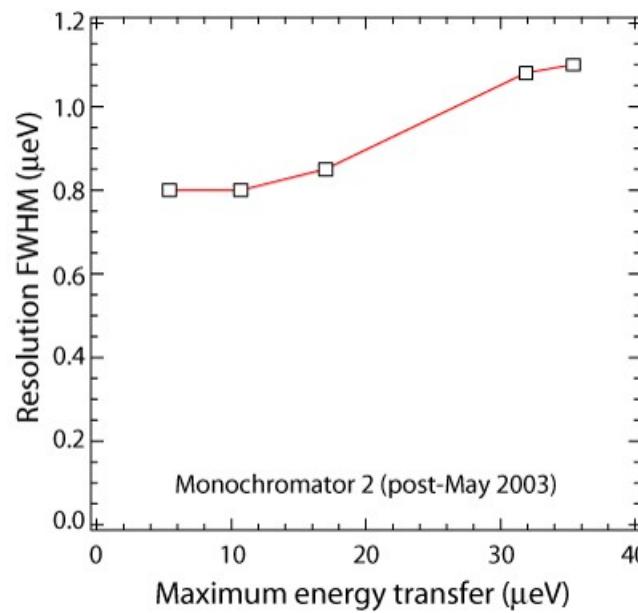
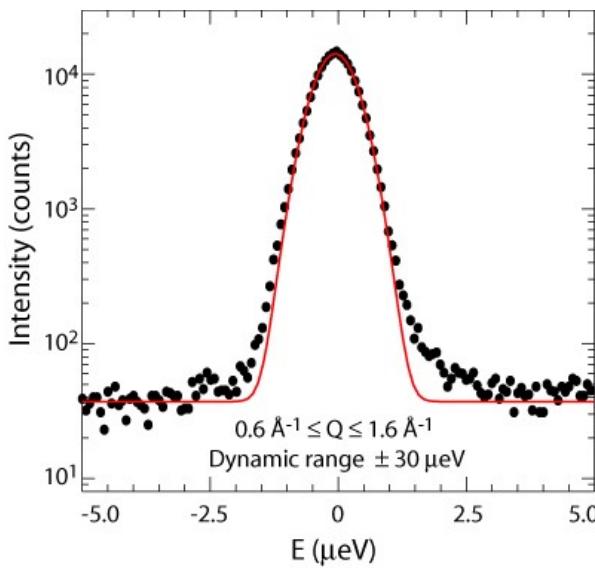
HFBS @ NIST

- 20MW reactor
- 41m long
- filter + NVS



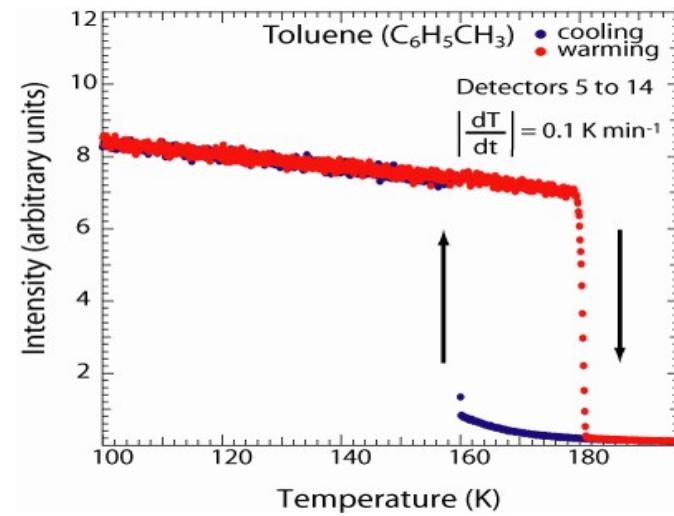
$$\lambda_0 = 6.271 \text{ \AA} \text{ and } E_0 = 2.08 \text{ meV}$$

Backscattering @ Reactors



Energy Range	Detectors: 1-4 (μeV)	Detectors: 5-16 (μeV)
11 μeV	1.07	0.79
17 μeV	1.13	0.83
36 μeV	1.60	1.04

Detectors 1-4 are slightly out of backscattering geometry and have a degraded resolution. Detectors 5-16 are in backscattering geometry and have almost linear resolution averaged in the above table.



- High energy resolution ($\sim 1 \mu\text{eV}$)
- Limited ΔE and Q range
- **Limited flexibility** in choosing Q - E space

- Picosecond and nanosecond dynamics
- Multiple modes (EFWS, QENS)

Backscattering @ Reactors

Changing the analyser crystal enables choice of energy resolution, Q-range and energy window. As a result of the crystal reflectivity, choice of scattered beam intensity.

modes	Si 111	Si 311	BATS	GaAs 200	
analysers	standard E-resolution Si 111 'strained'	high E-resolution Si 111 'polished'	access to high Q with high E-resolution Si 311 'strained'	wide E-transfer range Si 111 or Si 311	best E-resolution (in commissioning) GaAs 200
monochromator	6 new full height large angle analysers (LAA). SANS: old IN16 analysers with half height	3 old IN16 type LAA and SANS (half height $\pm 19^\circ$)	6 old IN16 type LAA; no SANS (half height $\pm 19^\circ$)	commissioned with Si 111 only	$\sim 0.3 \text{ m}^2$ prototype analyser
final wavelength (\AA)	6.271	6.271	3.275	$\Delta\lambda/\lambda \sim 12\%$	5.654
final energy (meV)	2.08	2.08	7.63	2.08 or 7.63	2.56
Q-range (\AA^{-1})	0.1 - 1.8	0.1 - 1.8	0.7 - 3.5	$\sim 0.2 - 1.8$	$\sim 0.2 - 1.8$
energy resolution (μeV)	-0.75	~ 0.30	~ 2.0	~ 1.2 to 8	~ 0.075
energy transfer (μeV)	± 31	± 31	± 59	for Si 111 k_f centered: ± 200 k_f off-set: -850...1000	$< \pm 5$
flux at the sample (n/cm ² /s) @ 58 MW	HF: $6.0 \cdot 10^5$ LB: $8.7 \cdot 10^4$	\sim factor 8 lower than standard	HF: $1.2 \cdot 10^5$	$\sim 1.2 \cdot 10^6$ (with 8° slit and $\sim 3.5 \mu\text{eV}$ resolution)	estimated a factor 50 - 100 lower than Si 111

IN16B @ ILL



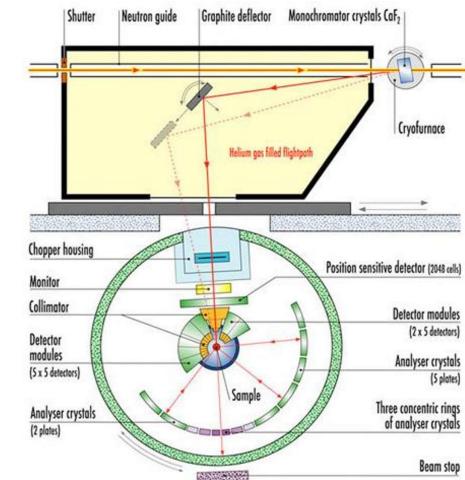
Monochromator	
CaF ₂ (422)	
temperature range	$-196 < T_m / ^\circ\text{C} < 250$
energy range	$-125 < \Delta E / \mu\text{eV} < 150$
angular range	$81^\circ < \theta_m < 89^\circ$
incident energy ($T_m \geq 25^\circ\text{C}$)	16.45 meV
incident wavelength ($T_m \geq 25^\circ\text{C}$)	2.23 \AA
energy resolution	8 μeV

Sample	
sample size	3.5 x 3.5 cm ²
flux at sample	$2 \times 10^4 \text{ n cm}^{-2} \text{ s}^{-1}$

Analyser	
CaF ₂ (422)	
Q -range	$0.2 < Q / \text{\AA}^{-1} < 4.9$

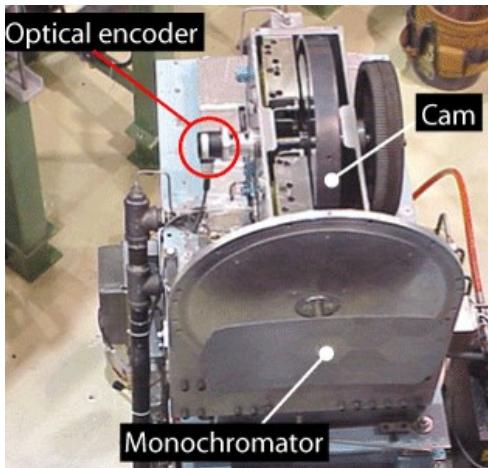
Detectors	
monitors	2
35 ³ He detectors	$1 < Q / \text{\AA}^{-1} < 4.9$
³ He PSD detector	$0.2 < Q / \text{\AA}^{-1} < 0.8$
background per detector	0.5 - 4 cpm

IN13 @ ILL

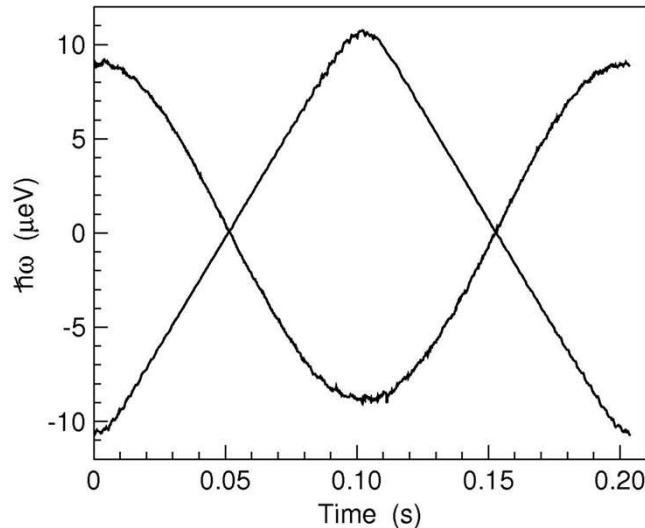


Scanning the incident energy

Initial wavelength band can be selected in two ways at a reactor source backscattering spectrometer:



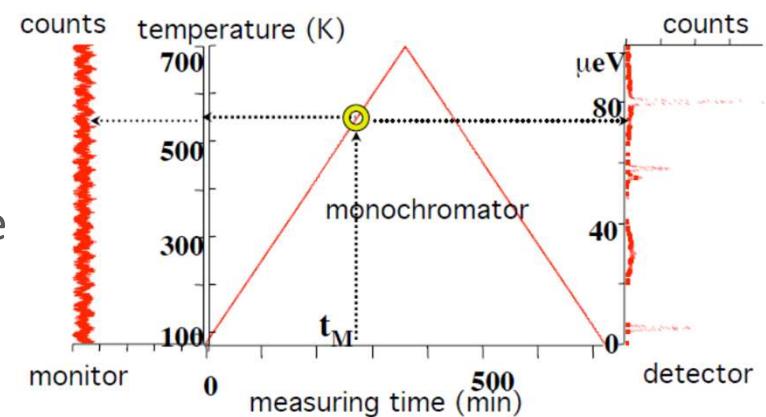
Doppler shifting the energy
(Eg. IN16B, HFBS)



$$d(T) = d_0(1 + \alpha T + \dots)$$

$$\frac{\delta E}{E_i} = \frac{\delta d(T)}{d_0}$$

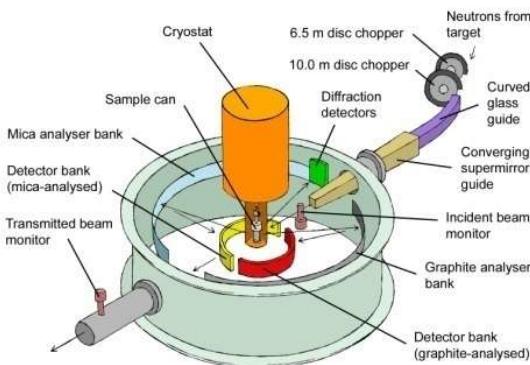
Change the monochromator temperature which changes the crystal d-spacing (eg. IN13)



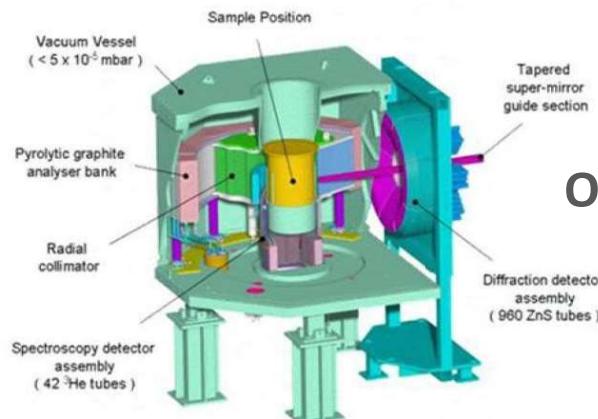
Backscattering @ Spallation Sources

Combination of time-of-flight technique plus crystal analyser backscattering.

Typical layout – consist of choppers, analyser bank of **PG002** (final energy is 1.845meV) or **Si111 crystals** (final energy is 2.08meV), ^3He (or scintillator) detectors, typically covering 15 to 150deg. Tank is evacuated. For PG need cooling and Be filter.



IRIS @ ISIS

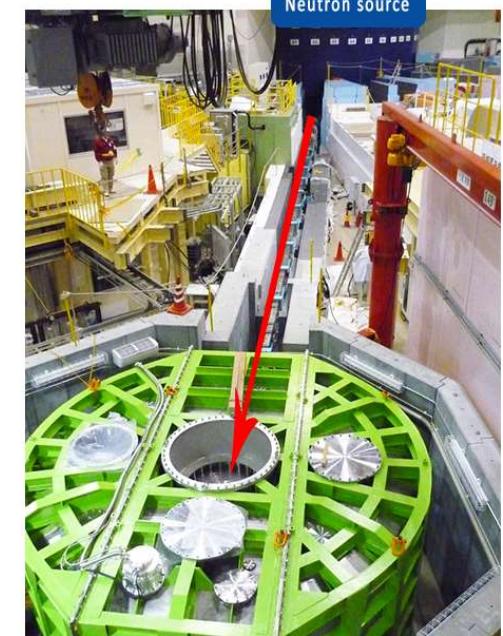


OSIRIS @ ISIS



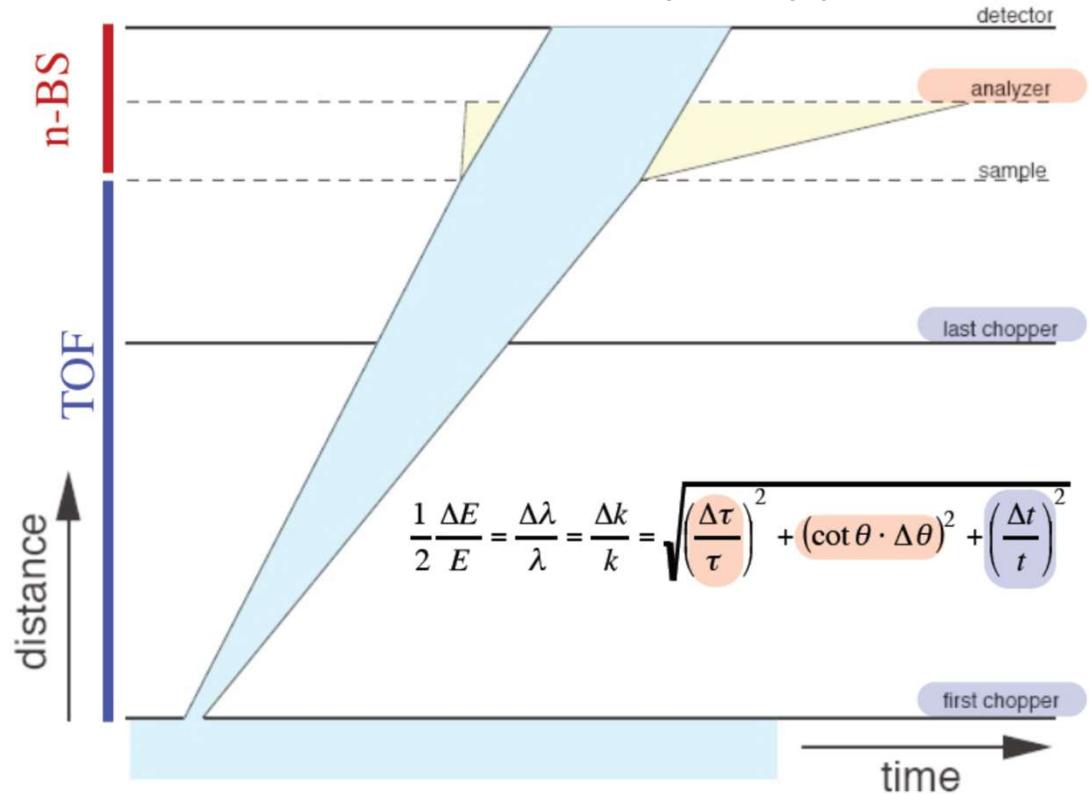
BASIS @ SNS

DNA @ SNS



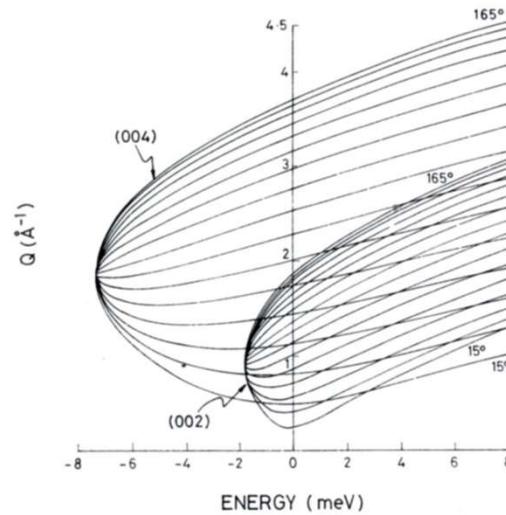
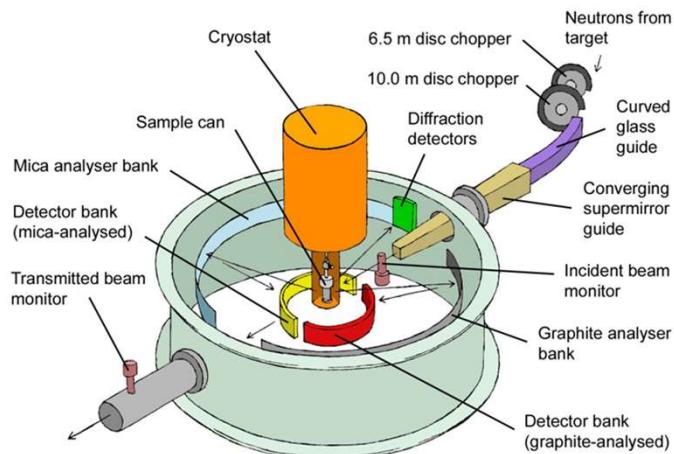
Backscattering @ Spallation Sources

Combination of time-of-flight technique plus crystal analyser backscattering.
Bandwidth set by choppers.



- Poorer resolution than reactor backscattering (near backscattering) plus other contributions to the resolution – need long L1, but still higher than direct.
- Larger ΔE but similar Q range
- Limited flexibility in Q-E space
- Picosecond-nanosecond
- Again, be flexible with choice of crystal

Backscattering @ Spallation Sources



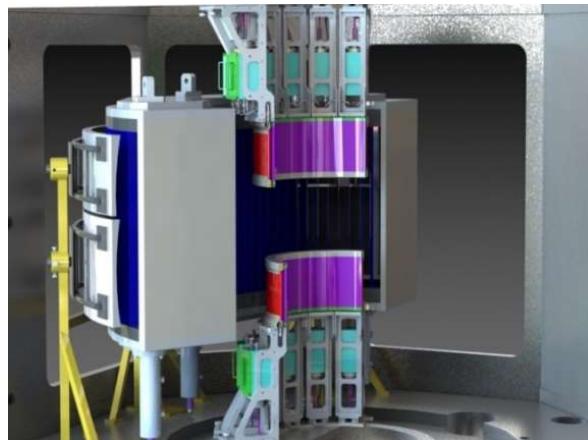
	PG 002	PG 004	Mica 002	Mica 004	Mica 006
Analysing Energy (meV)	1.84	7.38	0.207	0.826	1.86
Dynamic Range (meV)	-0.4 to +0.4	-3.5 to +4.0	-0.02 to +0.02	-0.15 to +0.15	-0.4 to +0.4
Resolution (μeV)	17.5	54.5	1.0	4.5	11.0
Q-range (\AA^{-1})	0.42 to 1.85	0.84 to 3.70	0.13 to 0.62	0.26 to 1.24	0.40 to 1.87

In addition, running the choppers at for eg. 16Hz we are able to open the window to -1 to 30meV

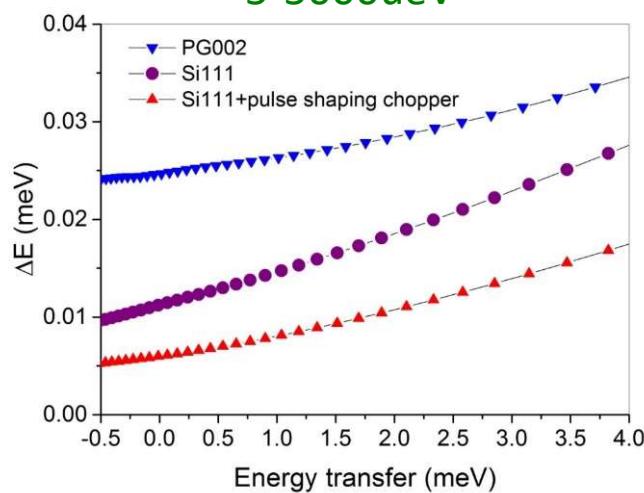
7 ^3He diffraction detectors at $2\theta \approx 170^\circ$

Reactor meets Spallation

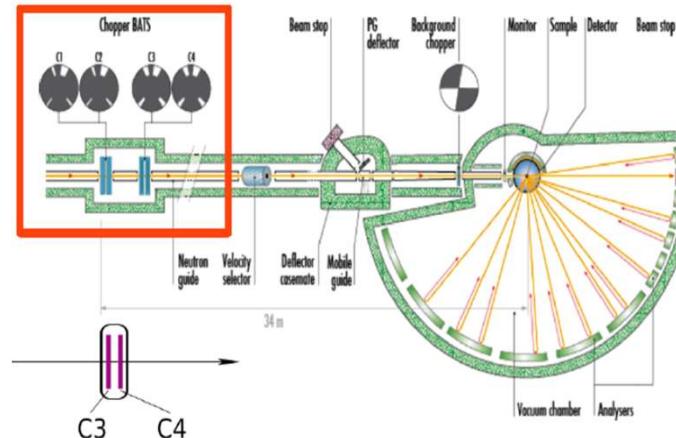
Seeing a trend towards widening the accessible time scale of backscattering instruments. Towards Si111 spallation sources and innovative use of choppers on IN16B to create pulses and wider energy window.



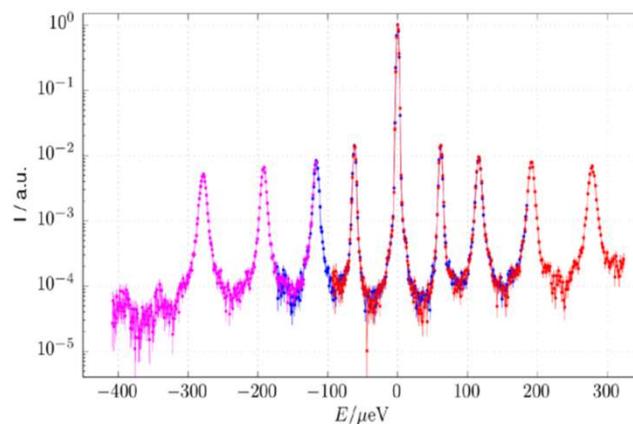
OSIRIS upgrade
5-5000ueV



BATS option on IN16B



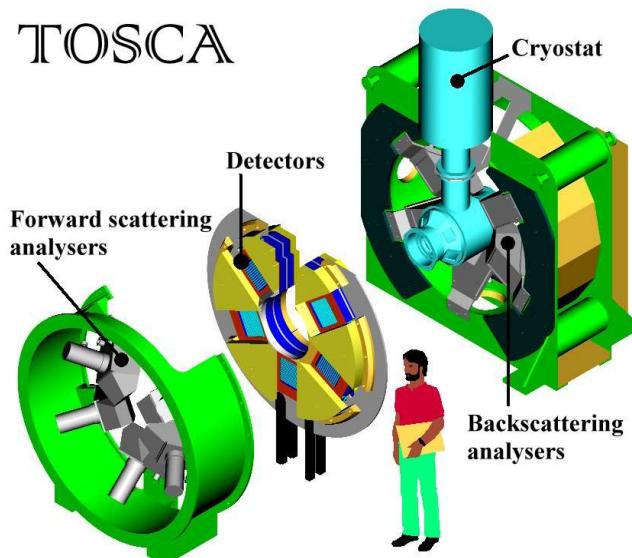
Methyl tunneling in 4-Methylpyridine-N-Oxide, 6h per offset, 3.4 μ eV resolution, sum all detectors



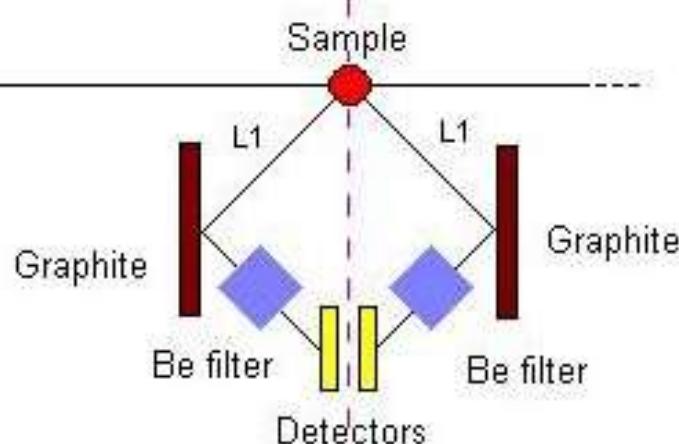
Inverted Geometry- Vibrational Spectrometers

A white beam is sent to the sample and a small portion that are scattered at 45deg or 145deg impinge on the analyser crystals. Those satisfying the PG 002 Bragg condition on the analyser are selected.

TOSCA

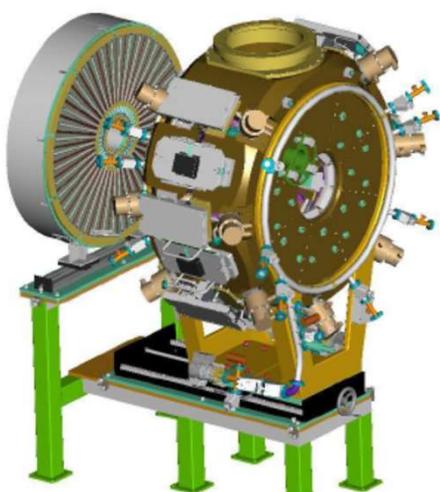


L₀



backward scattering

forward scattering

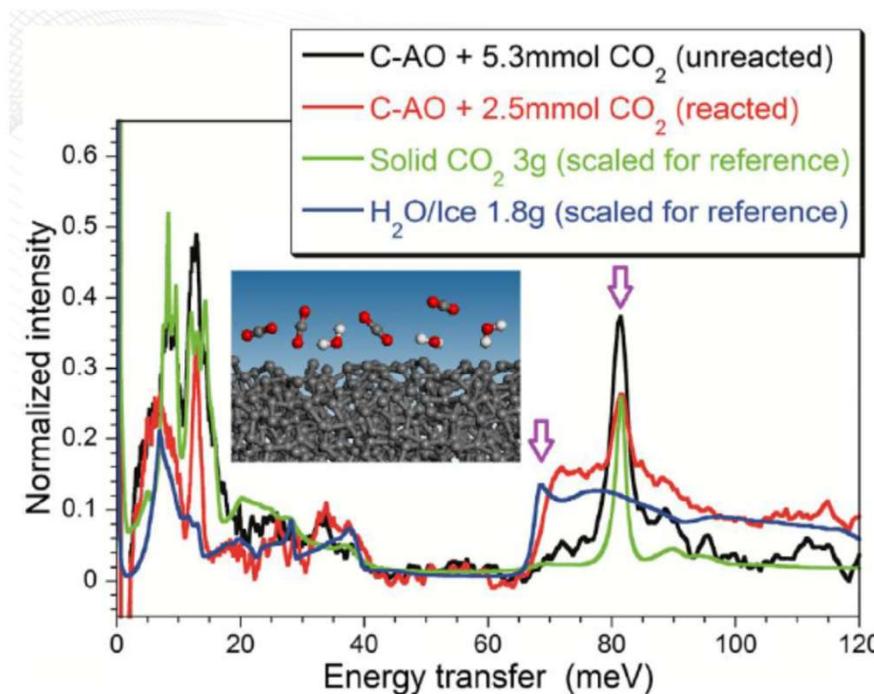
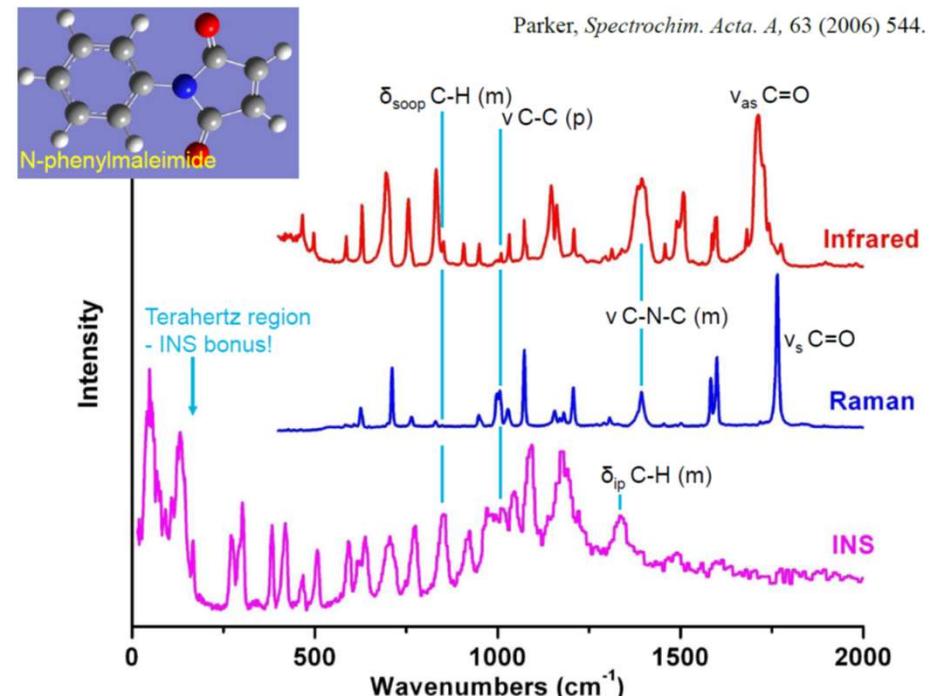
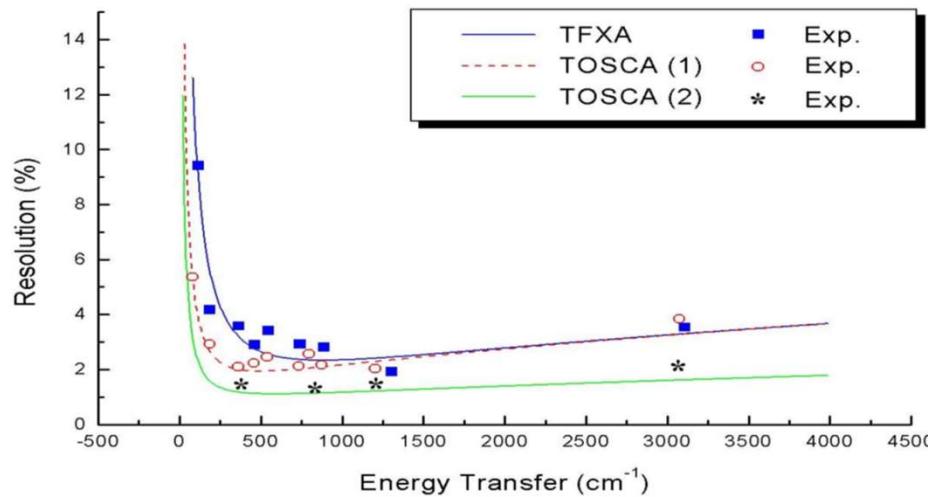


VISION @ SNS

$$t = t_i + t_f = \frac{L_0}{v_0} + \frac{L_1}{v_1}$$

$$\nu = \sqrt{2E/m}$$

Inverted Geometry- Vibrational Spectrometers



- Resolution is almost constant with energy transfer.
- Very broad energy transfer range (0-120 meV)
- Used to be limited by sample mass, but great achievements lately (mg)

Triple-Axis Spectrometers

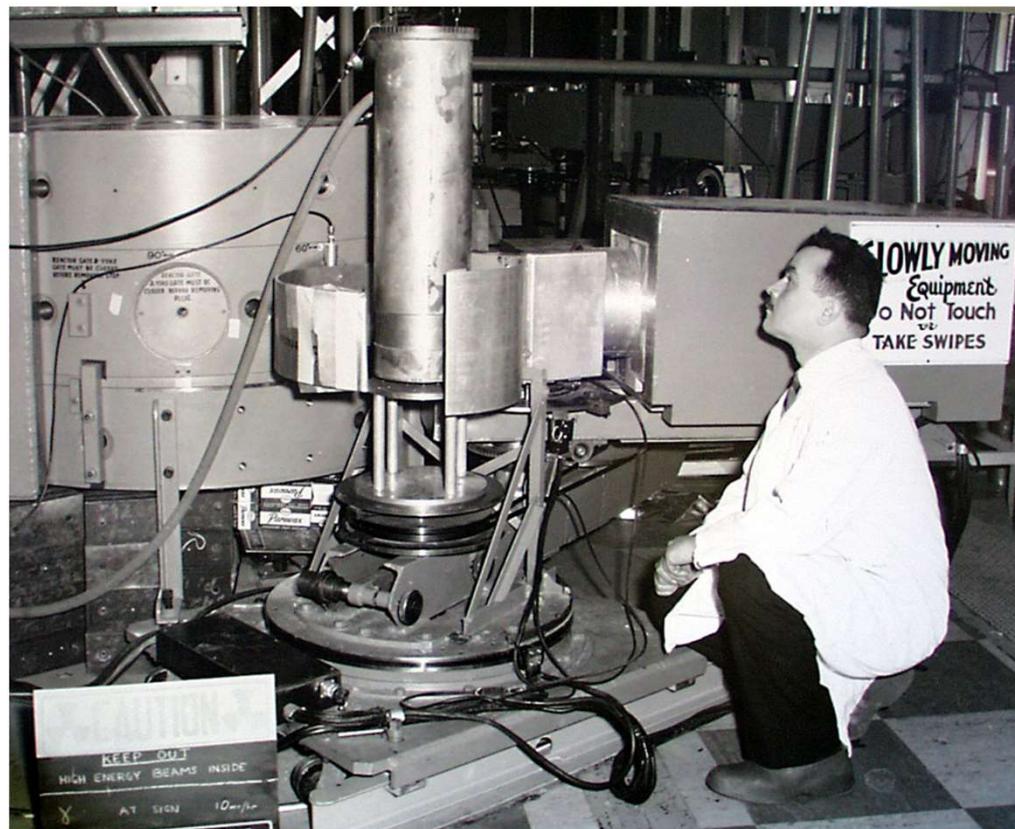
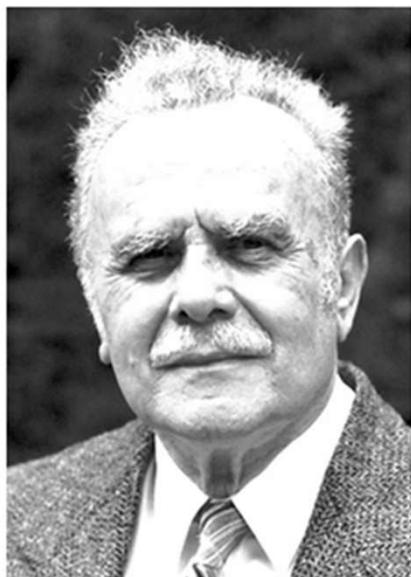
Triple-axis spectrometers @ reactors (e.g. ILL)



The Nobel Prize in Physics 1994

Bertram N. Brockhouse, Clifford G. Shull

Bertram N. Brockhouse - Facts



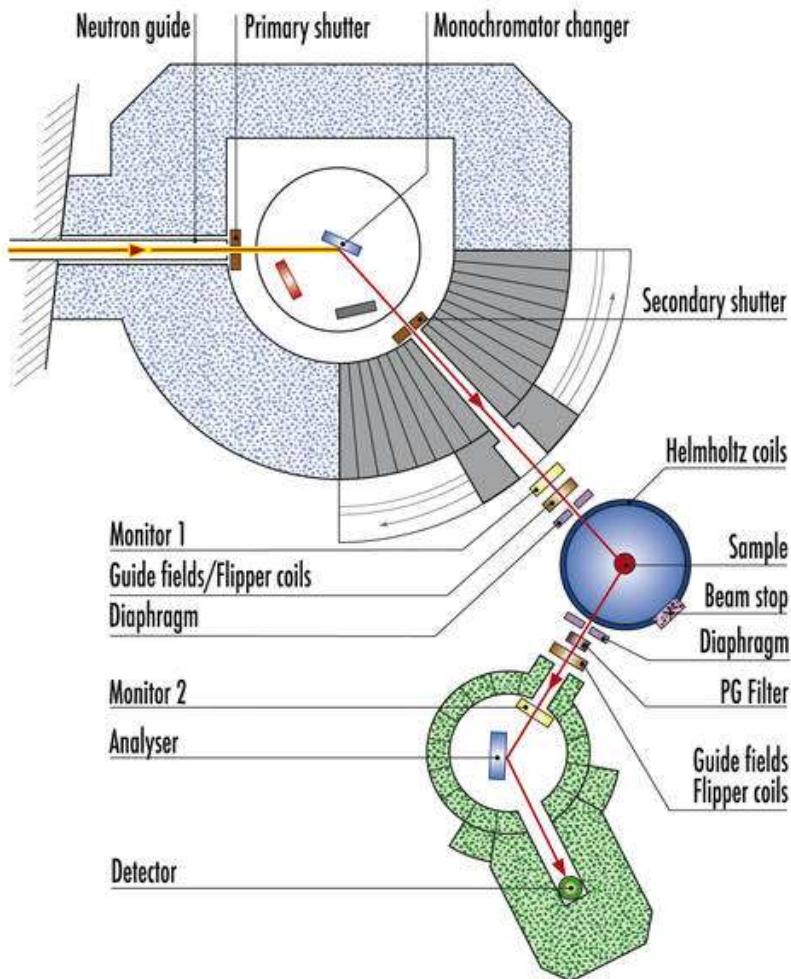
Source: Atomic Energy of Canada Limited, Chalk River, Ontario (CC BY-NC-ND 2.0)

Bertram N. Brockhouse. *Nobelprize.org*. Nobel Media AB 2013.

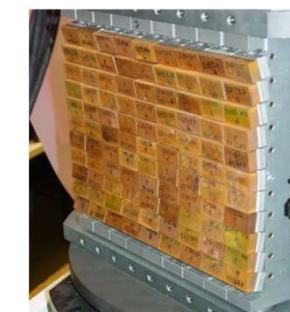
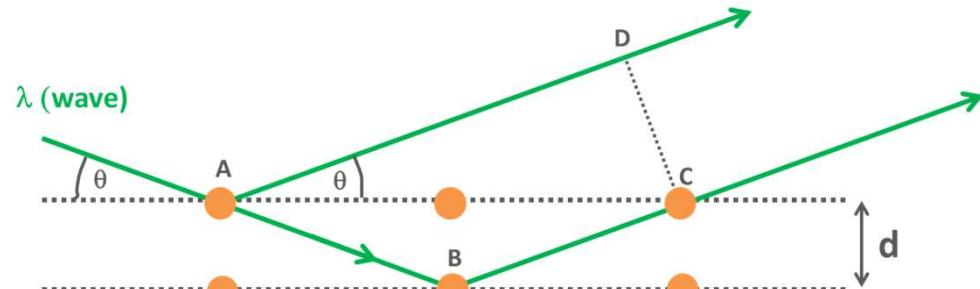
Triple-Axis Spectrometers

Using Bragg diffraction -> using crystals as monochromators and analysers.

Selecting a specific neutron wavelength through Bragg reflection (either E_i or E_f)



$$\text{Bragg's Law } n\lambda = 2ds \sin \theta$$



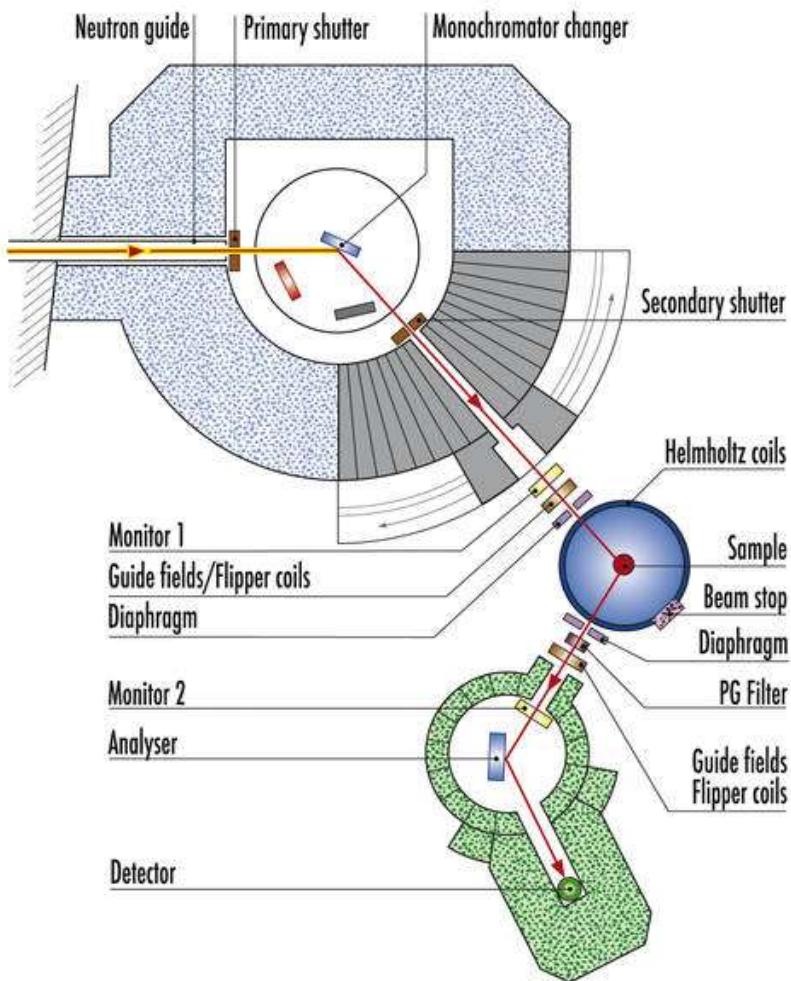
Graphite 002
 $d = 3.335 \text{ \AA}$

Copper 200
 $d = 1.807 \text{ \AA}$

Si 111
 $d = 3.135 \text{ \AA}$

Triple-Axis Spectrometers

Triple-axis spectrometers @ reactors (e.g. ILL)

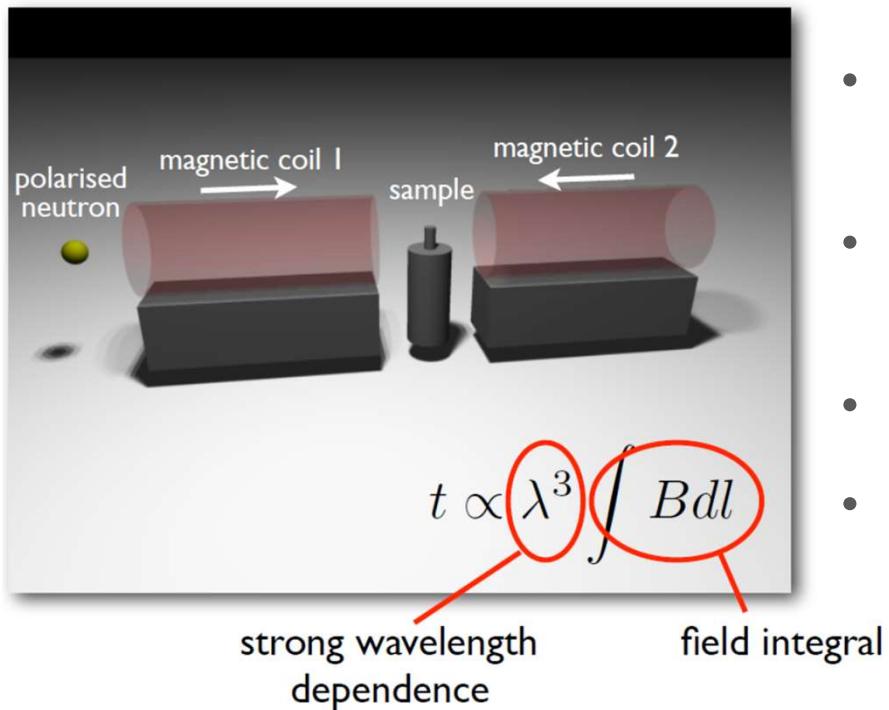


- Good for single-crystal excitations
- Very flexible
- Can focus all intensity on a single point in reciprocal space
- Can scan either constant \mathbf{Q} or constant E depending on excitation
- Can use multiplexing and polarisation analysis
- Can be slow
- Crystals can give rise to higher-order effects and spurious
- Measurement along high-symmetry directions
- ‘Coarse’ E resolution

Neutron Spin-Echo

Neutron Spin-Echo

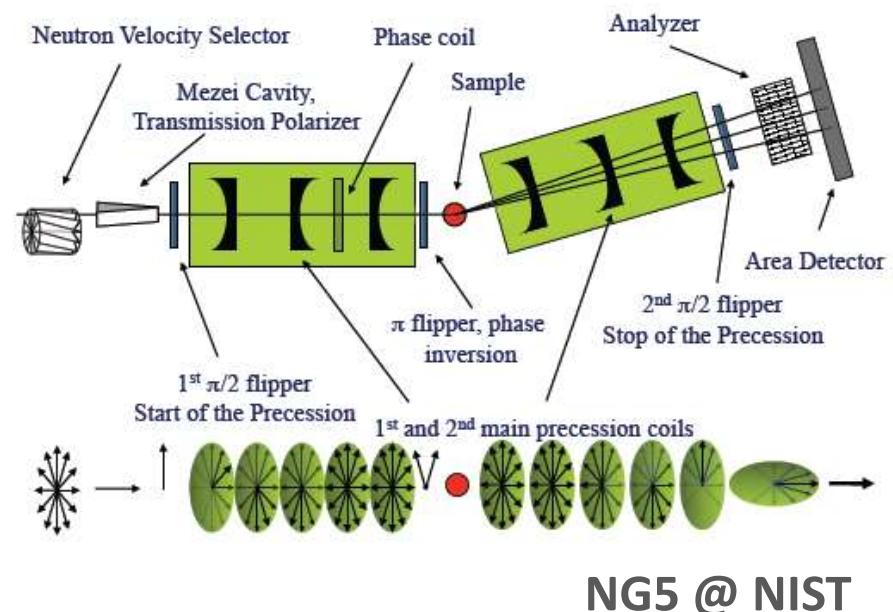
- NSE uses the neutron's **spin polarisation** to encode the difference in energies between incident and scattered beams.
- Neutrons perform **Larmor precessions** in two antiparallel magnetic fields, before and after the sample, resulting in polarization of the neutrons.
- Precession angles are equal and opposite and the difference is analysed at the detector. Small energy transfers lead to a change in the precession angle and thus a decrease in measured polarization.



- NSE is the neutron spectroscopy with highest energy resolution
- Time covered is $1\text{ps} < t < 1\text{us}$ (equivalent to neV resolution)
- Momentum transfer range is $0.01 < Q < 4\text{Ang}^{-1}$
- Unlike other neutron spectrometers, measures in $I(Q,t)$, real time.

Neutron Spin-Echo

- Velocity selector determines mean velocity with a spread of 10-20%
- Beam goes through polarizer with all spins aligned to velocity direction
- First $\pi/2$ flipper changes the spin to be perpendicular to magnetic field of the coils and precession starts
- Neutron precesses and faster neutrons will spend less time than slow neutrons and their final precession angle will be smaller
- π flipper rotates the spin direction of 180deg around the z-axis and y component changes sign (B_{in} and B_{out} are parallel).
- Neutron interacts with the sample and exchange momentum and energy, changing direction and velocity.
- Apply equivalent field, those exchange will not regain initial polarisation. Second $\pi/2$ flipper stops precession.



$$\varphi = \gamma_L \frac{\int \vec{B} \cdot d\vec{l}}{v}$$

Field integral
neutron gyromagnetic ratio
neutron velocity

Neutron Spin-Echo

In neutron experiment we measure the **polarisation P** over all precession angles:

$$P_x = \langle \cos \varphi \rangle = \langle \cos(\varphi_{in} - \varphi_{out}) \rangle$$

Precession angles in and out are given as before and after scattering from the sample, related to their respective velocities:

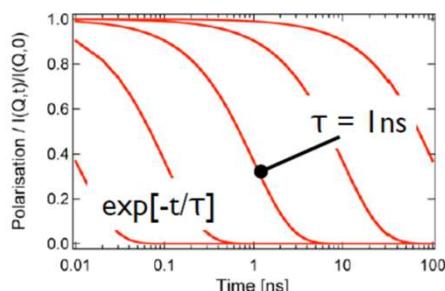
$$P_x = \langle \cos[\gamma_L \left(\frac{\int \vec{B}_{in} \cdot d\vec{l}}{v_{in}} - \frac{\int \vec{B}_{out} \cdot d\vec{l}}{v_{out}} \right)] \rangle$$

To first order the angle is proportional to the energy transfer at the sample with the proportionally constant being the **spin echo time t**:

$$\varphi = t\omega$$

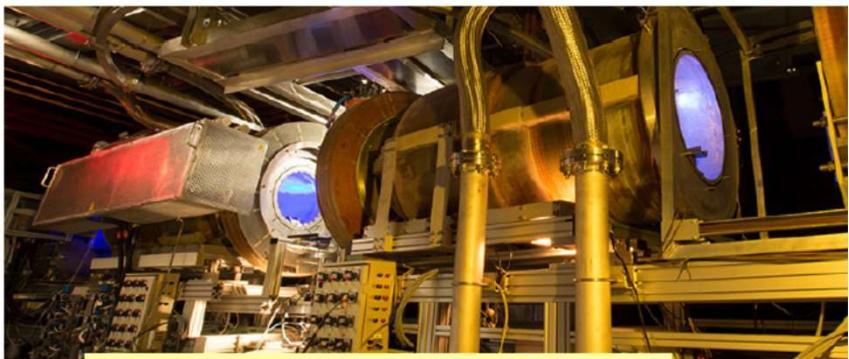
The polarisation is also related to the scattering function and if QENS signal is a Lorentzian, the the Fourier transform is an exponential decay:

$$P_x(Q, t) = \frac{\int S(Q, \omega) \cos(\omega t) d\omega}{\int S(Q, \omega) d\omega} \quad P_x(Q, t) = \frac{\int [\Gamma^2 + \omega^2]^{-1} \cos(\omega t) d\omega}{\int [\Gamma^2 + \omega^2]^{-1} d\omega} = e^{-\Gamma t} = e^{-t/\tau}$$

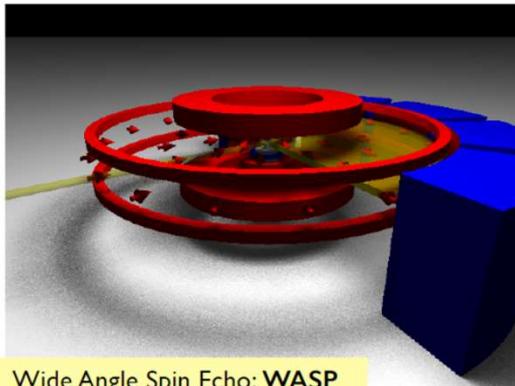


$$t = \frac{\varphi}{\omega} = \frac{\hbar}{m} \frac{\gamma_L \int \vec{B} \cdot d\vec{l}}{\bar{v}^3} = \frac{m^2 \gamma_L \int \vec{B} \cdot d\vec{l}}{2\pi h^2} \lambda^3$$

Neutron Spin-Echo Spectrometers



Today's IN15: measures up to 1 μ s



Wide Angle Spin Echo: WASP
(ILL, Millennium Programme)

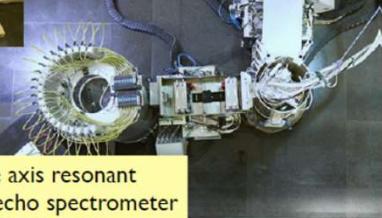


High resolution NSE
at NIST, USA



Resonant NSE RESEDA
in Munich, Germany

RNSE option on TAS
spectrometer FLEXX in
Berlin, Germany



Triple axis resonant
spin-echo spectrometer
TRISP in Munich,
Germany



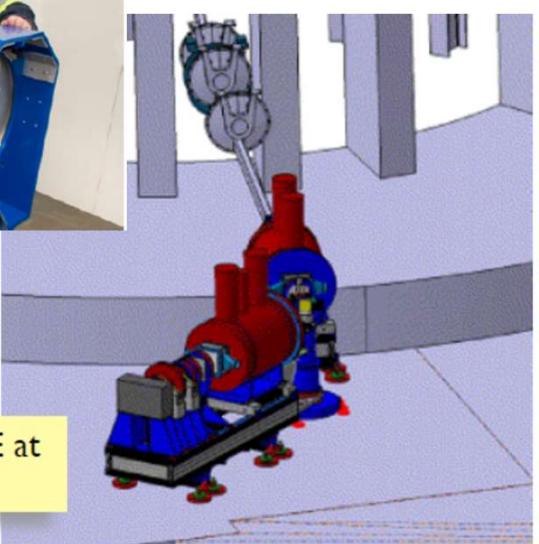
High resolution
spectrometer J-NSE in
Munich, Germany



two-/three-axis
spectrometer with
RNSE option MIRA in
Munich, Germany



Spin-echo SANS with
optional NSE OFFSEC
at ISIS, UK

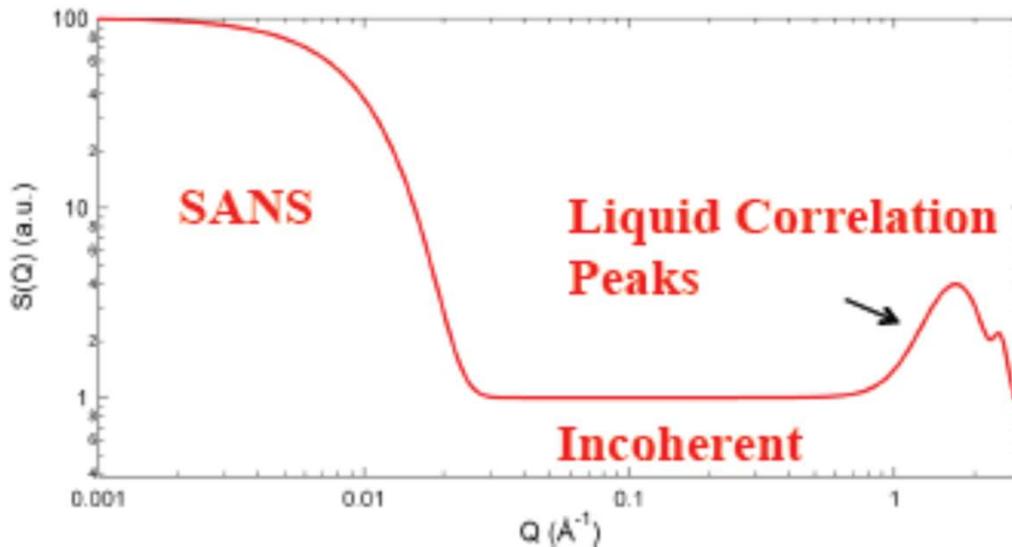


High resolution NSE at
SNS, USA

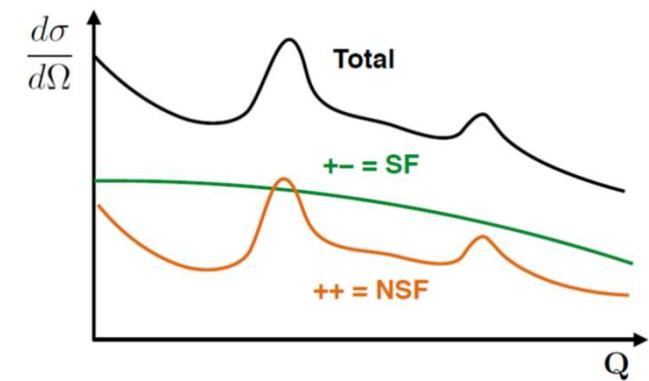
Traditionally @ reactor
sources

Neutron Spin-Echo

The best signal in NSE is at the peaks and is very complementary to the SANS structural information.



$$I_{\text{NSE}}(Q, t) = \frac{I_{\text{coh}}(Q, t) - \frac{1}{3}I_{\text{inc}}(Q, t)}{I_{\text{coh}}(Q, 0) - \frac{1}{3}I_{\text{inc}}(Q, 0)}$$



NSF:
$$\left(\frac{d\sigma}{d\Omega}\right)_{++} = \left(\frac{d\sigma}{d\Omega}\right)_{--} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{coh+II}} + \frac{1}{3} \left(\frac{d\sigma}{d\Omega}\right)_{\text{inc}}$$

SF:
$$\left(\frac{d\sigma}{d\Omega}\right)_{+-} = \left(\frac{d\sigma}{d\Omega}\right)_{-+} = \frac{2}{3} \left(\frac{d\sigma}{d\Omega}\right)_{\text{inc}}$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{++} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{coh+II}} + \frac{1}{3} \left(\frac{d\sigma}{d\Omega}\right)_{\text{inc}}$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{+-} = \frac{2}{3} \left(\frac{d\sigma}{d\Omega}\right)_{\text{inc}}$$

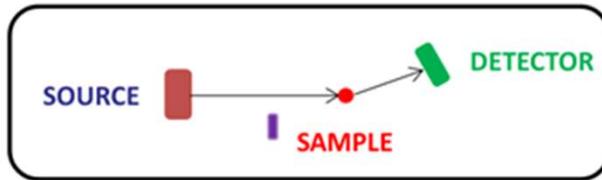
Neutron Spectrometers – Which one?



Neutron Spectrometers

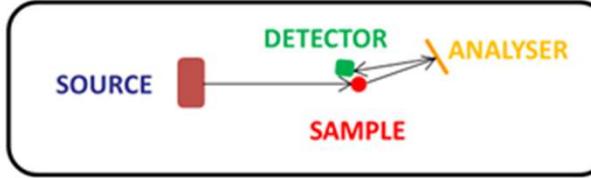
Frequency domain $S(Q,\omega)$

Direct geometry



- Lower energy resolution
- Larger DE and Q range
- Flexible in choosing Q-E space
- Good Q resolution
- Picosecond
- Self-correlation function (H)
- IN5, LET, CNCS, MAPS, MERLIN
- For INS better for higher energy features and more flexible tuning.
- Polarisation analysis available

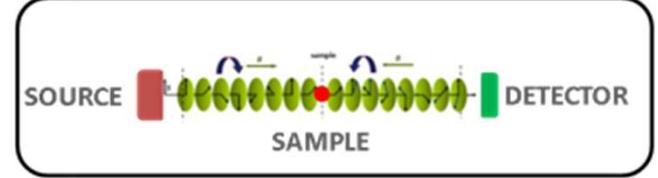
Indirect geometry or Backscattering



- Higher energy resolution
- Smaller DE and Q range
- Fixed Q-E space
- Picosecond-nanosecond
- Self-correlation function (H)
- Coarse Q resolution
- DNA, IRIS, IN16B, HFBS, VISION, TOSCA
- For INS excellent resolution and sensitivity below $\sim 2000\text{cm}^{-1}$
- Polarisation analysis under development

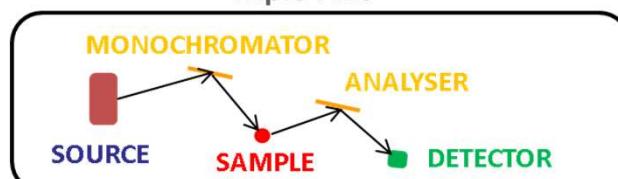
Time domain $I(Q,t)$

Neutron Spin Echo



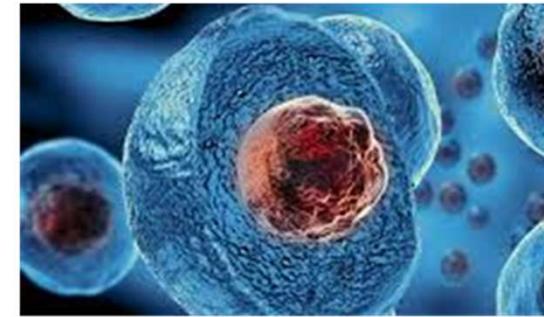
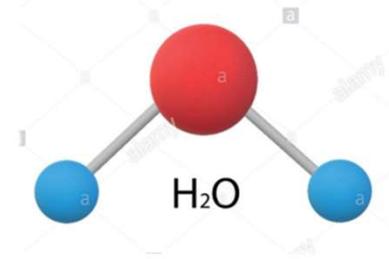
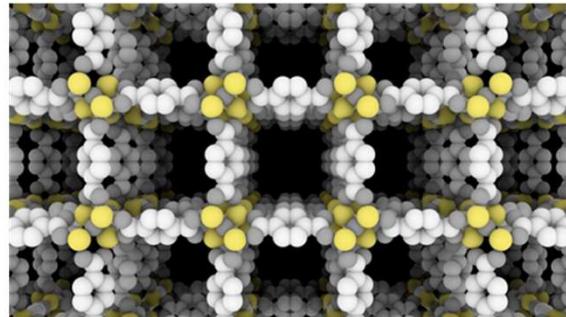
- Highest energy resolution
- Large dynamic and Q range
- Nanosecond dynamics
- Collective dynamics (H/D) normally
- Q resolution not very high generally
- Signal is often weak
- IN15, NIST-NSE
- Polarisation analysis in-built

Triple-Axis



- High flux but point by point (compare with DG)
- Cold and hot neutrons, mainly high energies
- Used for collective excitations and magnetic excitations
- Polarisation analysis available
- Coarser resolution and control over Qresolution

Neutron Spectrometers – Which one?



Totally depends on the problem!

**You need to know a certain amount about your system
before embarking in neutron spectroscopy**

Talk to the facility scientists!



Further Reading & Credits

- Neutron Scattering: A Primer by Roger Pynn (1990): <http://library.lanl.gov/cgi-bin/getfile?19-01.pdf> and http://la-science.lanl.gov/cat_materials.shtml#neutron
- Experimental Neutron Scattering: B. T. M. Willis and C. J. Carlile, OUP (2013);
<http://global.oup.com/academic/product/experimental-neutron-scattering-9780199673773>
- J. S. Gardner, Ehlers, G. , Faraone, A. and Garcia Sakai, V. (2020), High-Resolution Neutron Spectroscopy using Backscattering and Neutron Spin-Echo Spectrometers in Soft and Hard Condensed Matter, *Nature Reviews Physics*.
- F. Mezei, C. Pappas, T. Gutberlet (Eds.): “Neutron Spin-Echo Spectroscopy (2nd workshop)”, Lecture Notes in Physics 601, Springer, Heidelberg, (2003)
- ... and many others

These lectures have been made using material from many people including Ken Andersen, Ian Anderson, Timmy Ramirez-Cuesta, Stewart Parker, Goran Nilsen, Peter Fouquet, Ross Stewart, Bernhard Frick, Dan Neumann.