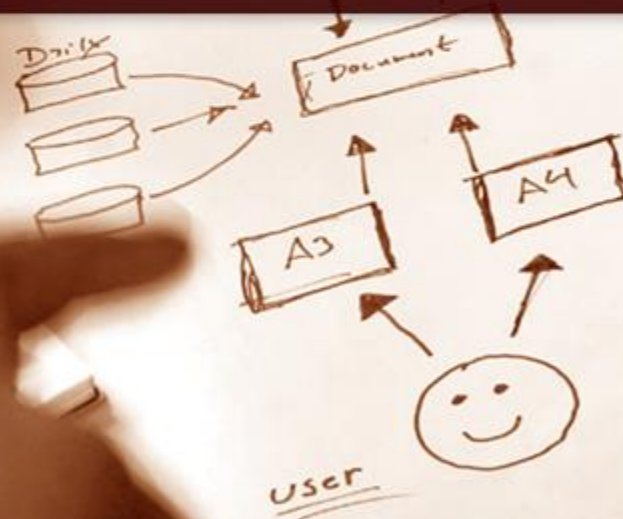


# Software Processes



# Outline

- Software Process and Models
  - Software Engineering Process Activities
  - Agile software development
  - Software process simulation
- The following slides are based on Chapter 2 and Chapter 3 of Sommerville's Software Engineering book, 10th edition.

# Software Process

- A process consists of activities.
- Software processes involves feasibility study, specification(requirements definition), software design, coding and testing (validation and verification) and evolution.
- To describe a process, one may include:
  - Process activities
  - Artifacts or Products
  - Roles or Responsibilities



# Types of Software Processes

- Plan-driven processes
  - All activities are planned prior to commencing.
- Agile processes
  - The plan will be refined and enhanced to accommodate requirements' changes.
- In real world, plan-driven and agile methods are exercised together to make processes more practical.

# Process/Model/Methodology

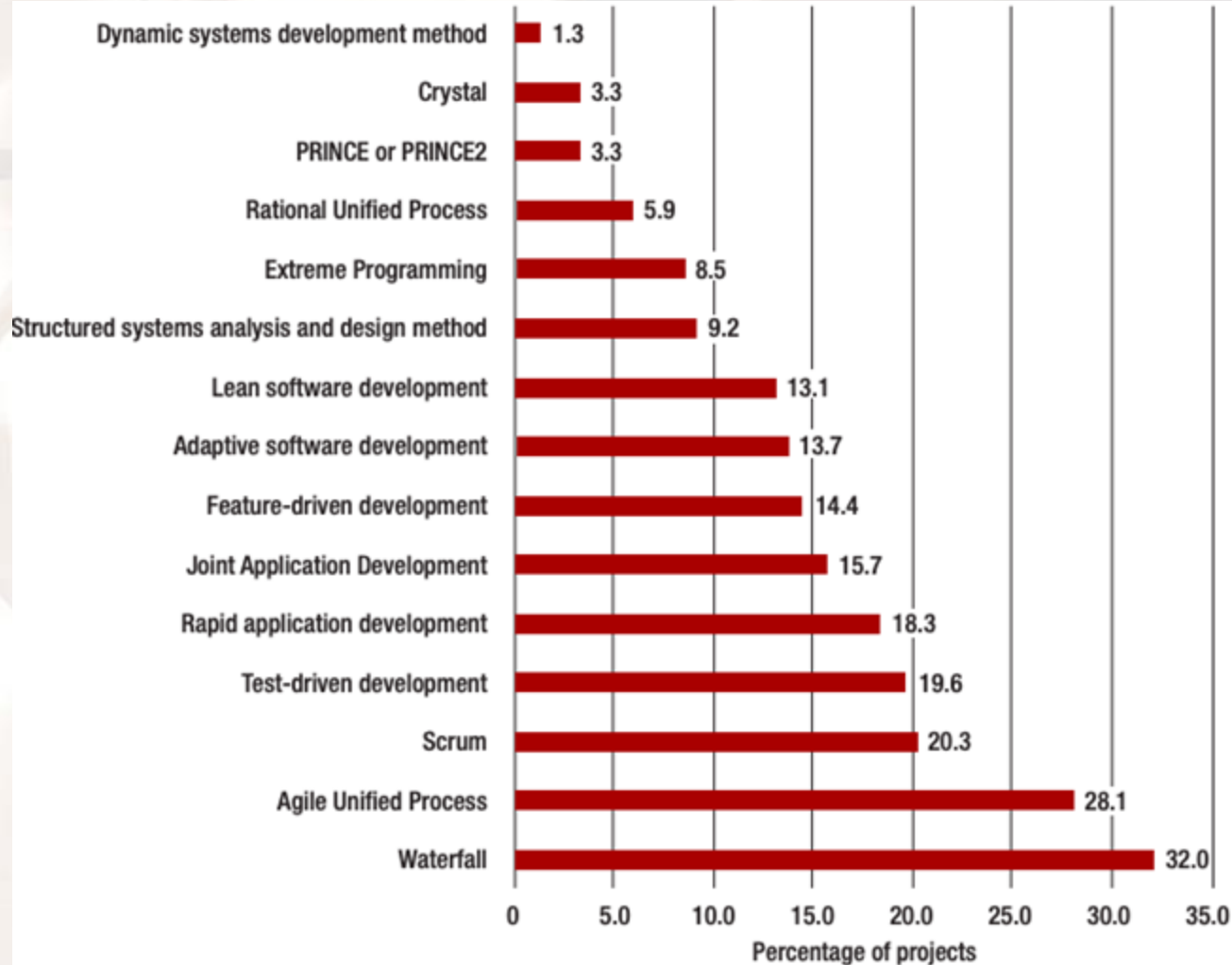
- Process
  - **Activities, actions and tasks** performed to create work products.
- Model
  - An abstract representation of the **software process**".
- Methodology
  - **Specification of how** to do each step.
- Plan-driven models
  - E.g. Waterfall model, Spiral model
- Agile methodologies
  - E.g. Extreme programming, Scrum

# Models/Methodologies

- Waterfall model
- Iterative model
- V model
- Spiral model
- Extreme programming
- Incremental Model
- Rapid prototyping model
- Adaptive Software Development (ASD)
- Crystal Methodologies
- Dynamic System Development Method (DSDM)
- Feature Driven Development (FDD)
- Rational Unified Process (RUP)
- SCRUM

Bhuvaneswari, T., & Prabakaran, S. (2013). A survey on software development life cycle models. *International Journal of Computer Science and Mobile Computing*, 2(5), 262-267.

# Choices of Methodologies



Vijayasathy, L. R., & Butler, C. W. (2015). Choice of software development methodologies: Do organizational, project, and team characteristics matter?. *IEEE software*, 33(5), 86-94.



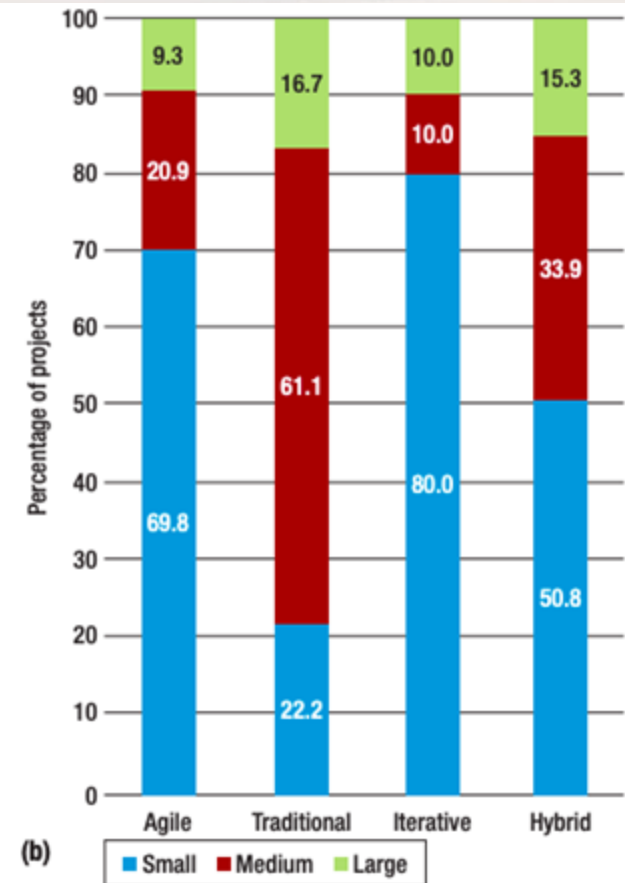
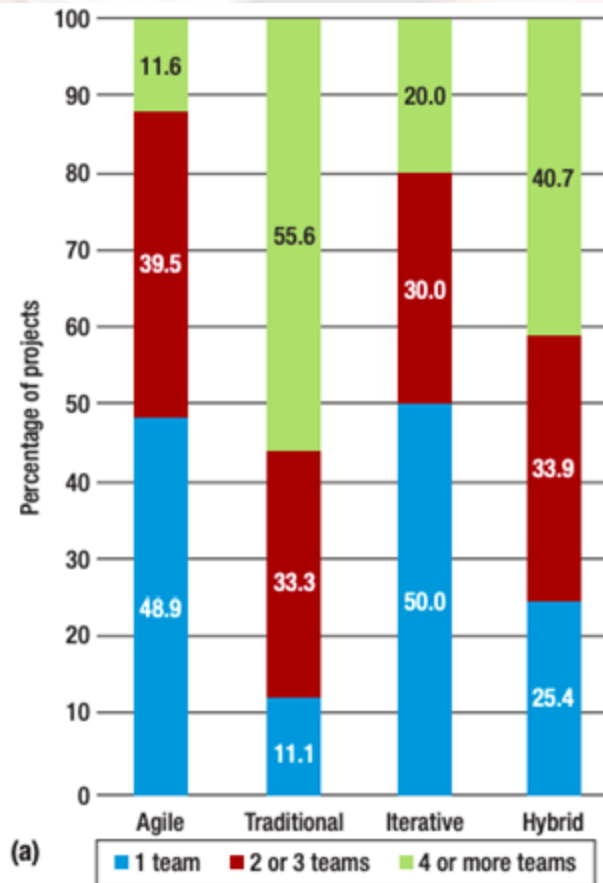
# Teams and Team Size

- Teams/Project

- 1
- 2-3
- $\geq 4$

- Team size

- Small
- Medium
- Large



Vijayasathy, L. R., & Butler, C. W. (2015). Choice of software development methodologies: Do organizational, project, and team characteristics matter?. *IEEE software*, 33(5), 86-94.



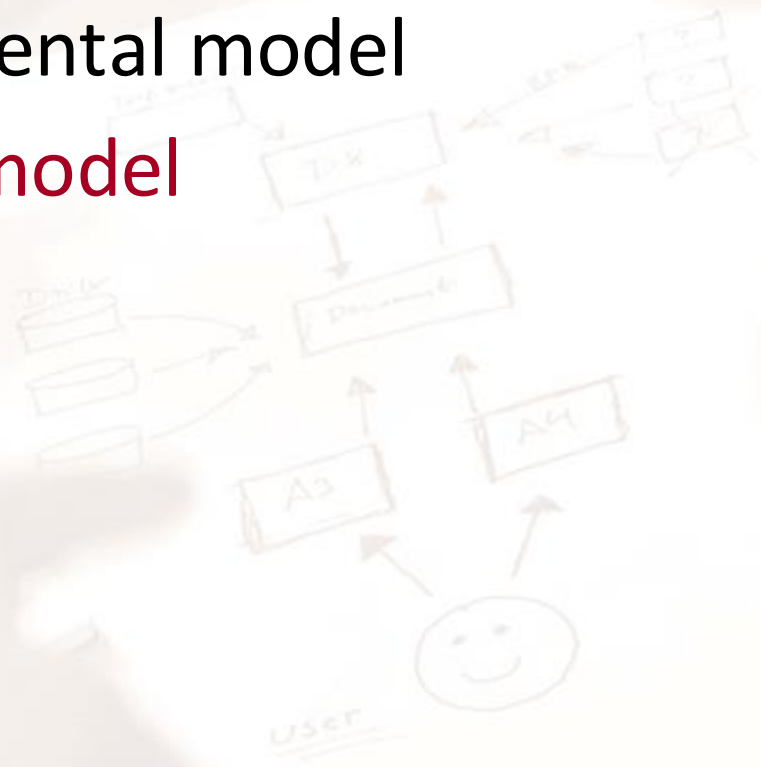
# Characteristics of projects following the four software development approaches.

Approach	Characteristics		
	Organizational	Project	Team
Agile	Moderate revenue A small number of employees	Low budget Medium to high criticality	One team Small team
Traditional	High revenue A large number of employees	High budget High criticality	Multiple teams Medium team
Iterative	A small number of employees	Medium budget Medium to high criticality	One team Small team
Hybrid	Organization size unimportant	Medium budget High criticality	Small team

Vijayarathy, L. R., & Butler, C. W. (2015). Choice of software development methodologies: Do organizational, project, and team characteristics matter?. *IEEE software*, 33(5), 86-94.

# Traditional Software Process

- Waterfall model
- Evolutionary model
- Incremental model
- Spiral model



# Waterfall Model

Requirement  
Definition

Requirement  
Description

System and  
Software Design

Specification and  
Design Documents

Implementation and  
Unit Testing

Software  
Components

Integration and  
System Testing

Product

Operation and  
Maintenance

# Waterfall Model: Facts

- **Ideally**, the phase must be finished before proceeding to the next phase.
- Changing in customer requirements can lead to unwanted outcomes. Therefore, requirements of software must be well-understood with limited changes for this model.
- **Fact**: Few business systems have stable requirements.



# Waterfall Model: When to Use

- The Waterfall model is suitable for large systems engineering projects.
- A system may be developed at several sites.
- The plan-driven model helps coordinate the work.
- **Review yourself (1)** : What are favorite and unfavorable factors of the Waterfall model? Why are they so?

# Incremental Model

Increment 1

Analysis



Design



Code



Test

Delivery of  
1<sup>st</sup> Increment

Increment 2

Analysis



Design



Code



Test

Delivery of  
2<sup>nd</sup> Increment

Increment 3

Analysis



Design



Code



Test

Delivery of  
3<sup>rd</sup> Increment

Increment 4

Analysis



Design



Code

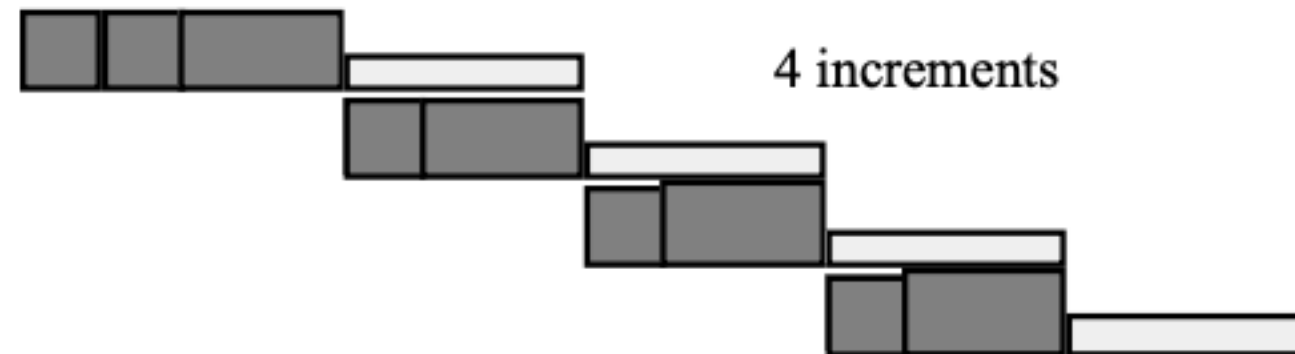
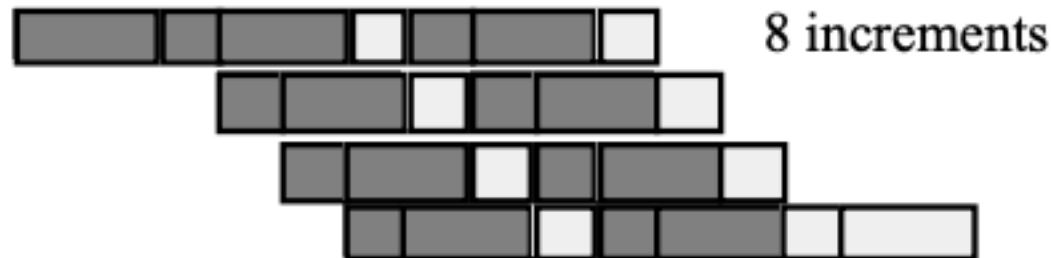


Test

Delivery of  
4<sup>th</sup> Increment

Calendar Time

# Incremental Model: Examples



- 2. Incremental development—terminology and guidelines, Even-André Karlsson, 2000

# Incremental Development

- The project with a greater number of increments has more opportunities for feedback<sup>2</sup> and accommodating changing customer requirements. However, requires better planning and coordination<sup>2</sup>.
- Because of smaller increments, the amount of work, i.e., analysis and documentation, is much less when compared to the waterfall model.

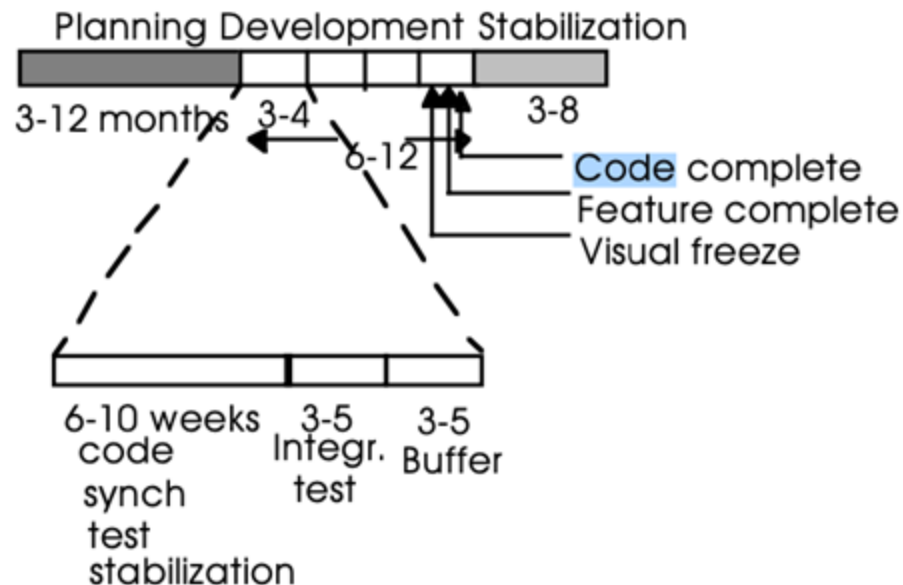


# Improvement

- Previous release provides feedback for the next<sup>2</sup>.
- Feedbacks come from customer's comment on demonstrations of the software<sup>2</sup>.
- This model results in more rapid delivery and deployment of software.
- Organizations such as Microsoft and HP applied incremental model to their software projects. HP and Microsoft applied ID with good results<sup>2</sup>.
- **Review yourself (2)** : Incremental model is said to be an improvement to Waterfall. Describe the improvement.

# ID at Microsoft

- A substantial planning (3-12 mths) is followed by stabilization (debugging and integration)
- Increments are sequential at the top level.
- At lower level, increments are overlapped. Each developers code and integrate everyday with a buddy tester.



# Microsoft's Synch & Stabilization<sup>2</sup>

## Planning Phase:

**VISION STATEMENT**  
E.g. 15 Features and Prioritization  
Done by Product (& Program) Management

**OUTLINE & WORKING SPECIFICATION**  
Done by Program Managers with Developers.  
Define Feature Functionality, Architectural Issues &  
Component Interdependencies

**DEVELOPMENT SCHEDULE &  
FEATURE TEAM FORMATION**  
A big feature team will have 1 Program  
Manager, 5 Developers, 5 Testers



## Development Phase:

**FEATURE DEVELOPMENT**  
**in 3 or 4 MILESTONES**  
Program Managers: Evolve the Spec  
Developers: Design, Code, Debug  
Testers: Test, Paired with Developers



## Stabilization Phase:

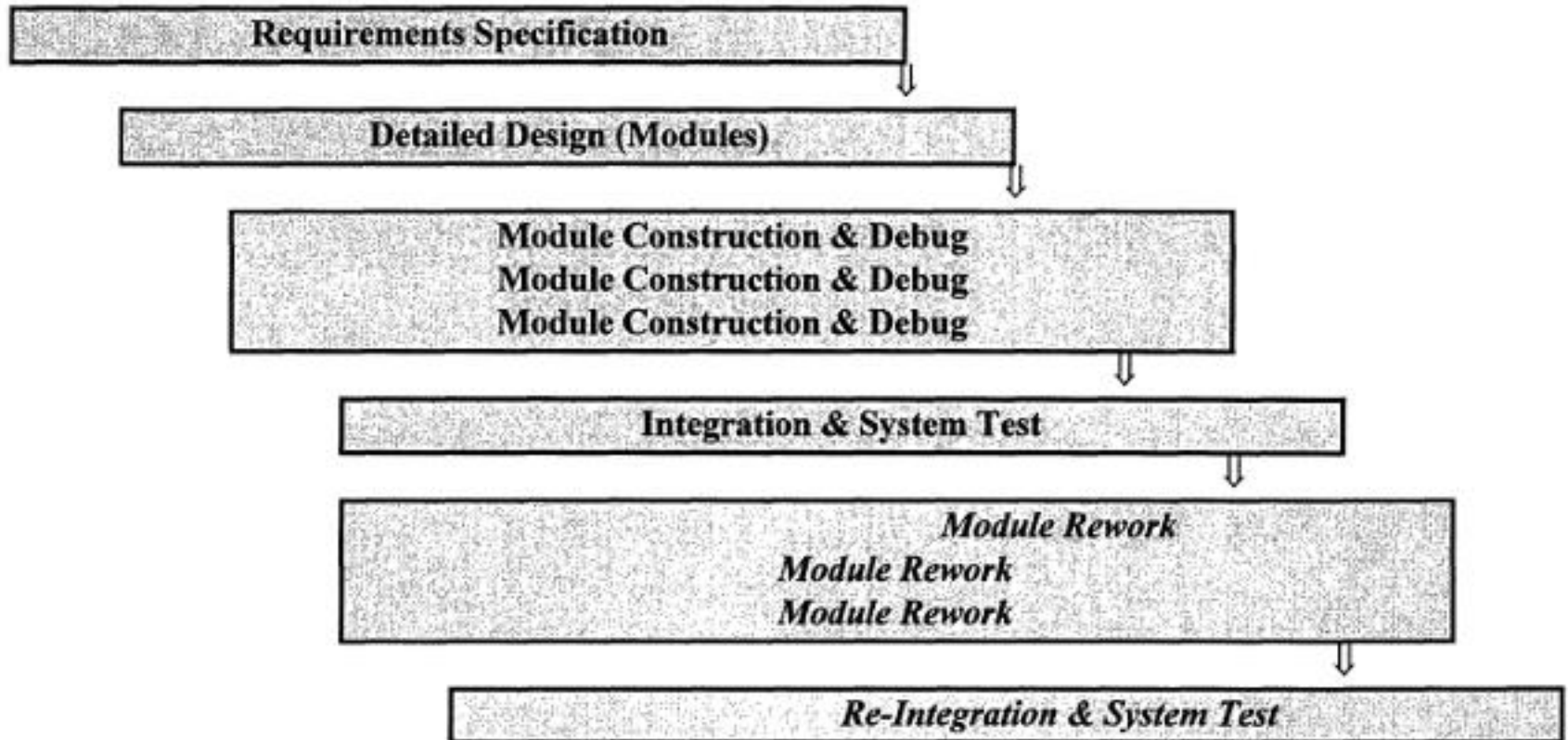
**Feature Complete**  
**CODE COMPLETE**  
**ALPHA & BETA TEST, FINAL STABILIZATION & SHIP**  
Program Managers: Monitor OEMs, ISVs, Customer Feedback  
Developers: Final Debug, Code Stabilization  
Testers: Recreate and Isolate Errors

- 12 or 24 months cycle



# Conventional Waterfall Process<sup>2</sup>

**Figure 1: CONVENTIONAL WATERFALL DEVELOPMENT PROCESS**



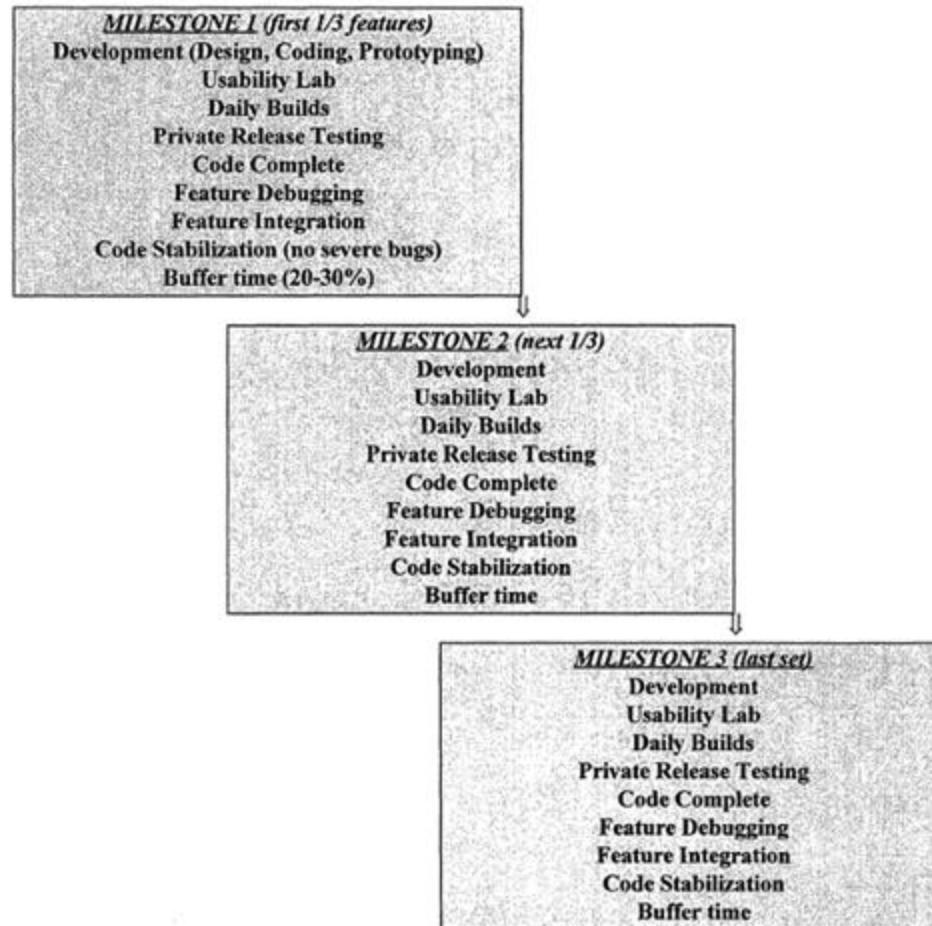
■ A



# Synch & Stabilization's Milestone Breakdowns<sup>2</sup>

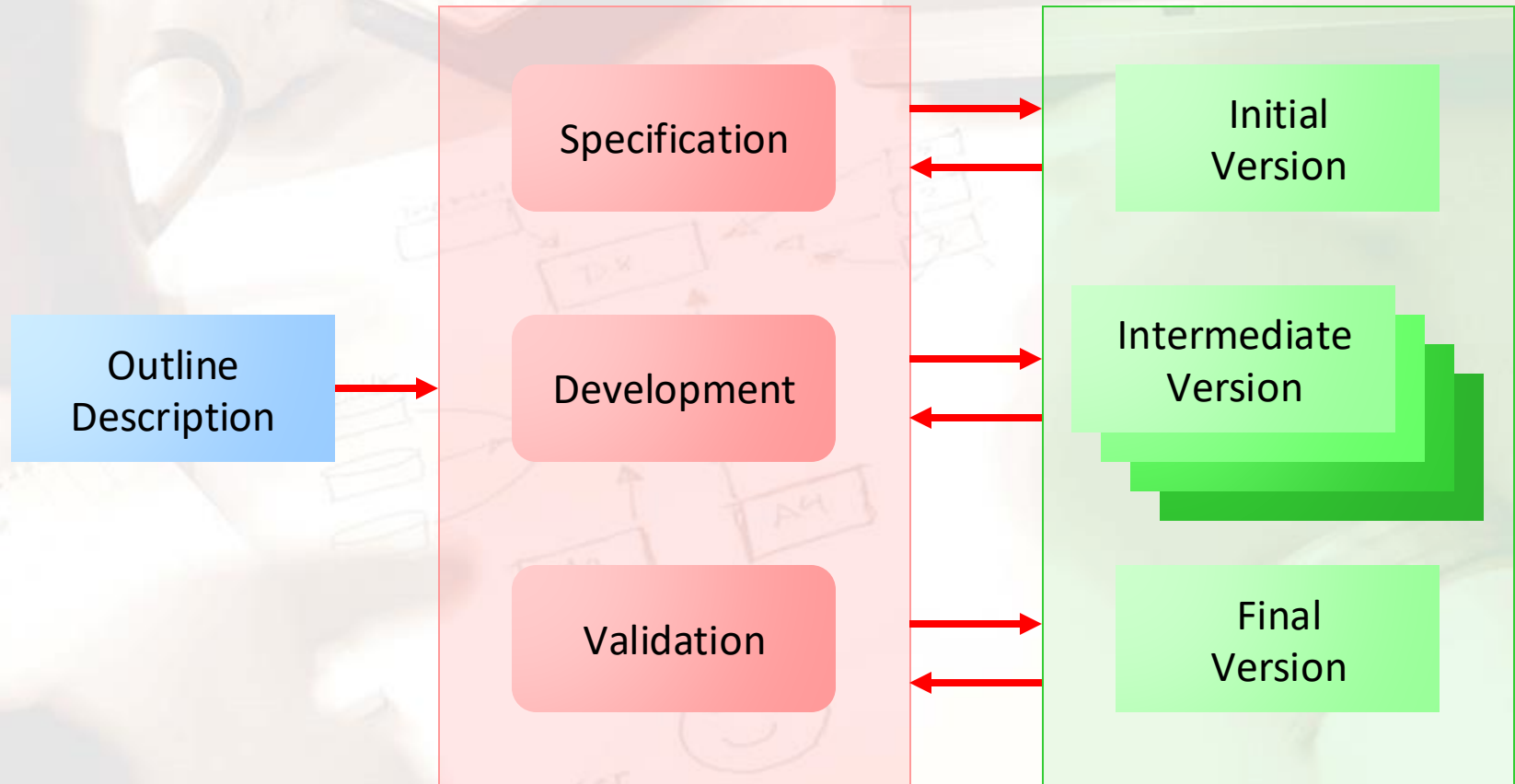
Figure 3: MICROSOFT'S "SYNCH-&-STABILIZE" MILESTONE BREAKDOWNS

*Time: Usually 2 to 4 months per Milestone*

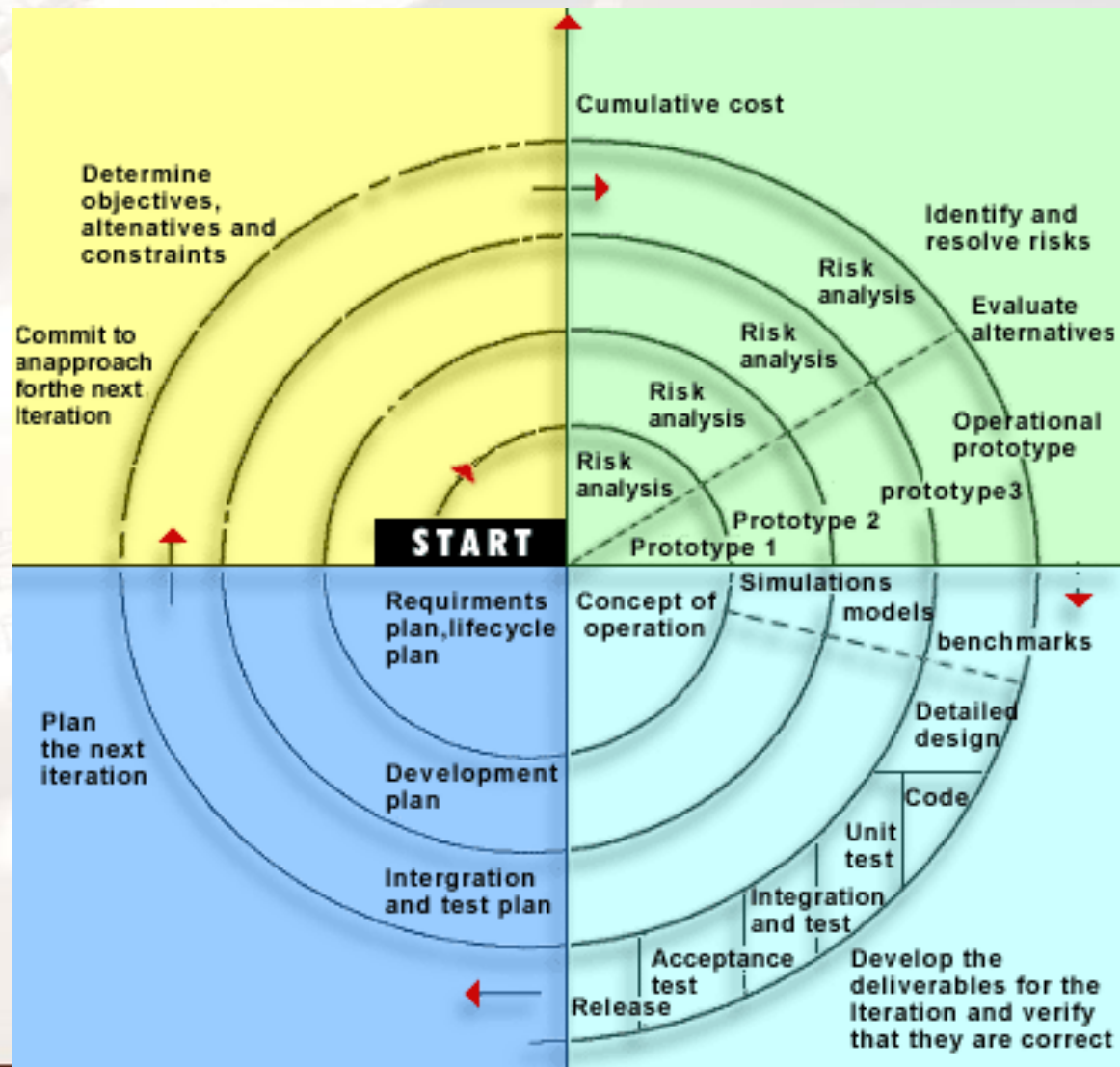


# Evolutionary Model

## Concurrent Activities



# Spiral Model



<http://www.fusioninfotech.com/methodology.html>

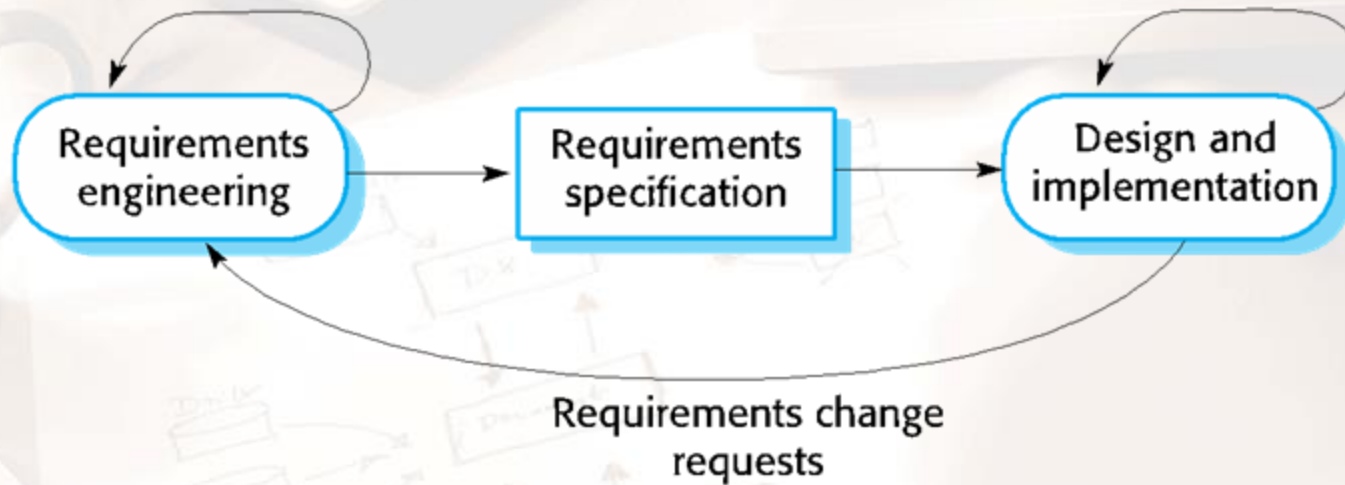
# Agile Software Development

- “Rapid development and delivery is now often the most important requirement for software systems” – *Sommerville, Software Engineering*
  - Fast changing requirement
  - Unclear and unknown requirements
- Agile methods were emerged in the late 1990s to reduce the delivery time for software releases.

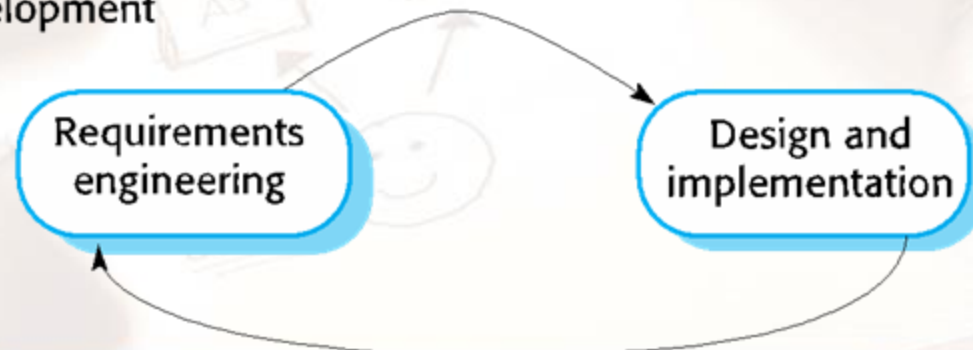


# Plan-Driven & Agile

Plan-based development



Agile development



# Agile Methodologies

- Extreme programming (XP)
- Scrum
- Feature-Driven Development (FDD)
- Agile Unified Process (AUP)

# Extreme Programming



## Extreme Programming Project



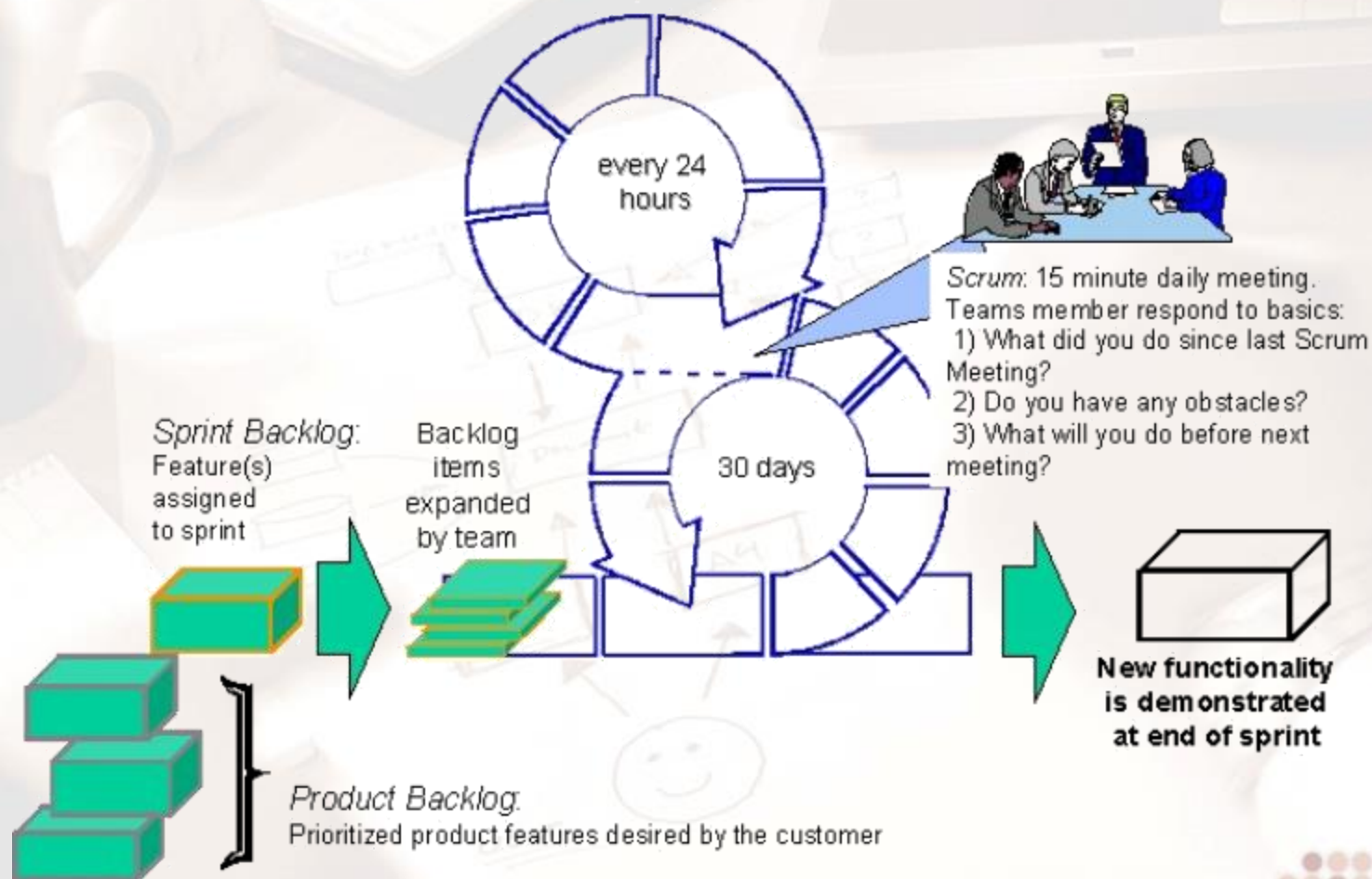
Copyright 2000 J. Donovan Wells

# XP Issues

- Lowers the cost of changes by embracing new requirements, and perform most of the design activity incrementally and on the fly.
- Pair programming may be difficult for some practitioners.
- There is no up-front “detailed design”, which could result in more redesign effort in the long run.



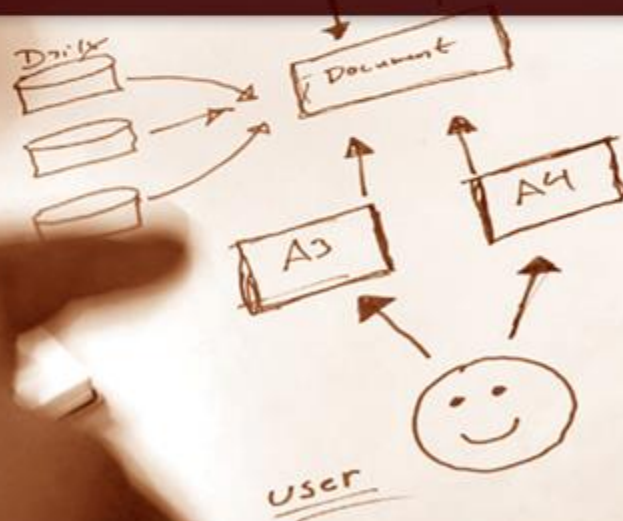
# Scrum



# Scrum issues

- Improvement in team productivity, work prioritization, utilization of sprint, daily measured progress and communications.
- However, Scrum relies on the master to facilitate the team. Internal power struggles may paralyze the team.

# Software Development





# Software Process Activities

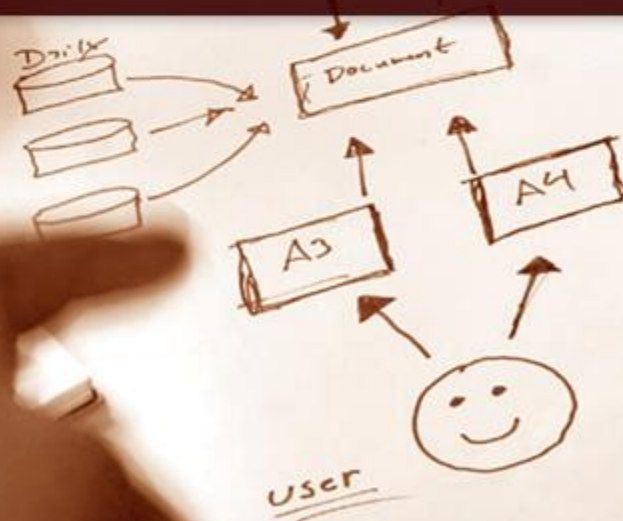
**Definition  
Phase**

**Development  
Phase**

**Support  
Phase**



# Definition Phase



# Software Process Activities

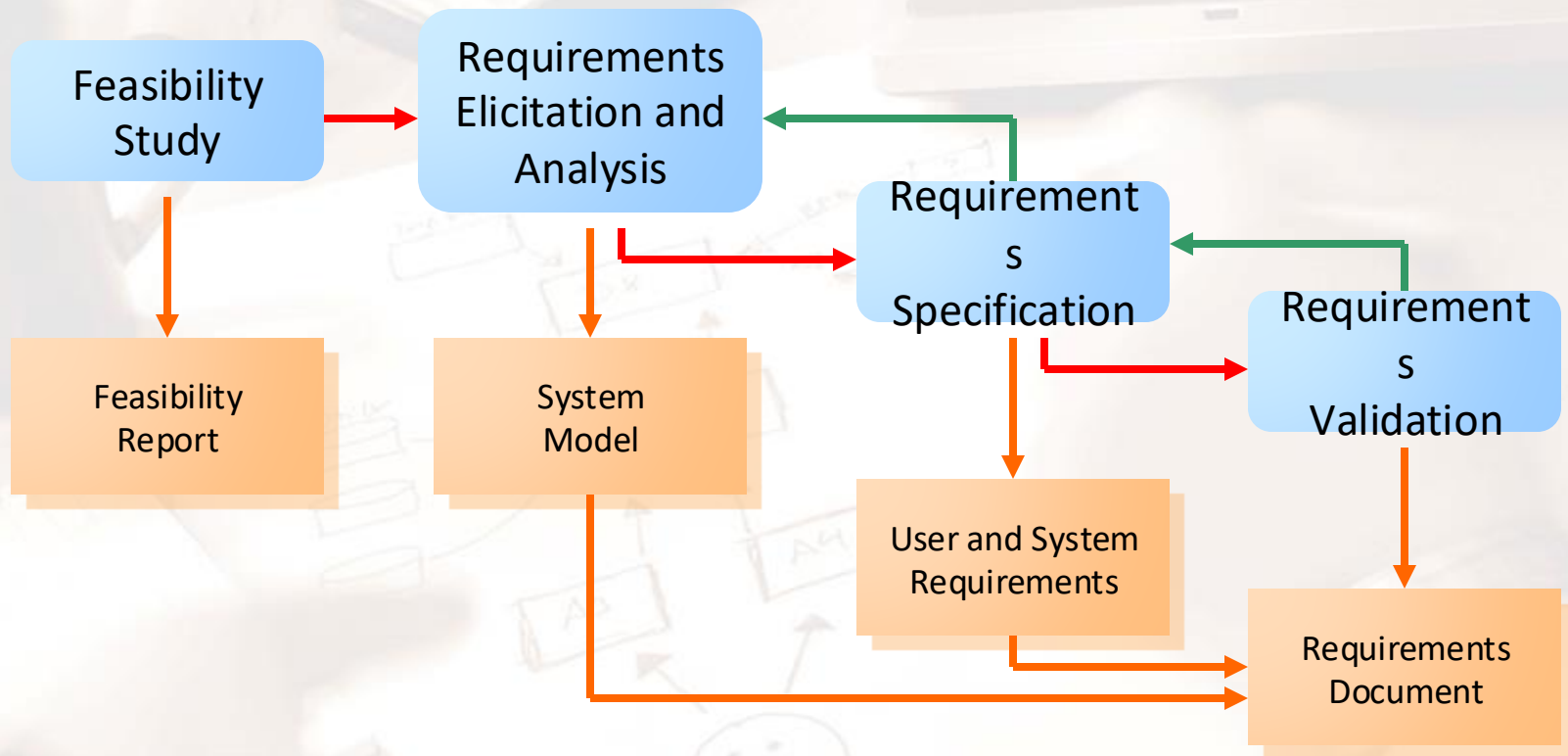
**Definition  
Phase**

**Development  
Phase**

**Support  
Phase**

- Requirements definition
- Negotiation
- Functional specification
- System design

# The Requirements Engineering Process



# Requirement Definition (*IEEE*)

1. Introduction
2. Overall description
3. External interface requirements
4. System features
5. Other nonfunctional requirements
6. Other requirements



# Requirement Definition (*Contd.*)

## 1. Introduction

- Purpose
- Document conventions
- Intended audience
- Additional information
- Contact information
- References

# Requirement Definition (*Contd.*)

## 2. Overall Description

- Product perspective
- Product functions
- User classes and characteristics
- Operating environment
- User environment
- Design/implementation constraints
- Assumptions and dependencies

# Requirement Definition (*Contd.*)

## 3. External Interface Requirements

- User interfaces
- Hardware interfaces
- Software interfaces
- Communication protocols and interfaces

# Requirement Definition (*Contd.*)

## 4. System Features

- System feature A
  - Description and priority
  - Action/result
  - Functional requirements
- System feature B



# Requirement Definition (*Contd.*)

## 5. Other Nonfunctional Requirements

- Performance requirements
- Safety requirements
- Security requirements
- Software quality attributes
- Project documentation
- User documentation

# Requirement Definition (*Contd.*)

## 6. Other Requirements

- Terminology
- Glossary
- Definitions list



# Negotiation

- Prepare, prepare, prepare
- Pay attention to timing
- Leave behind your ego
- Ramp up your listening skills
- If you don't ask, you don't get
- Anticipate compromise
- Offer and expect commitment
- Don't absorb their problems
- Stick to your principles
- Close with confirmation

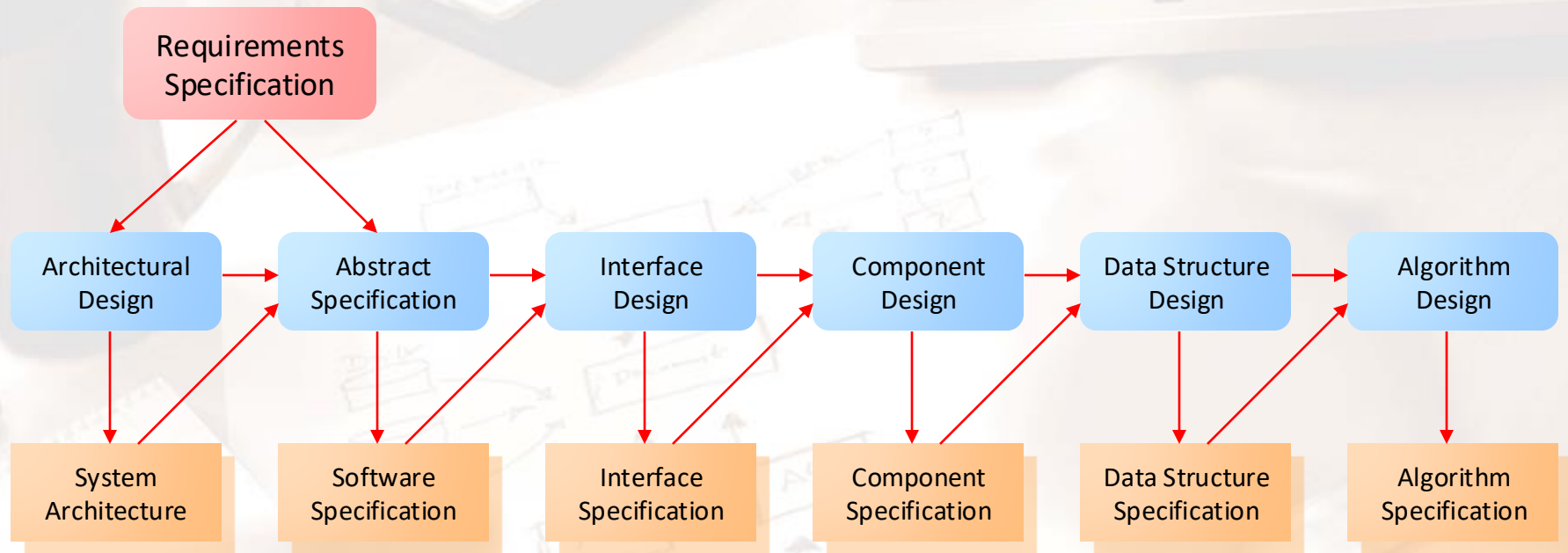
Source: [http://smallbusiness.yahoo.com/r-article-a-57774-m-1-sc-11-10\\_techniques\\_for\\_better\\_negotiation-i](http://smallbusiness.yahoo.com/r-article-a-57774-m-1-sc-11-10_techniques_for_better_negotiation-i)

# Design Process Activities (*Sommerville*)

- Architectural design
- Abstract specification
- Interface design
- Component design
- Data structure design
- Algorithm design



# Requirement Specification



# Functional Specification (*Smith*)

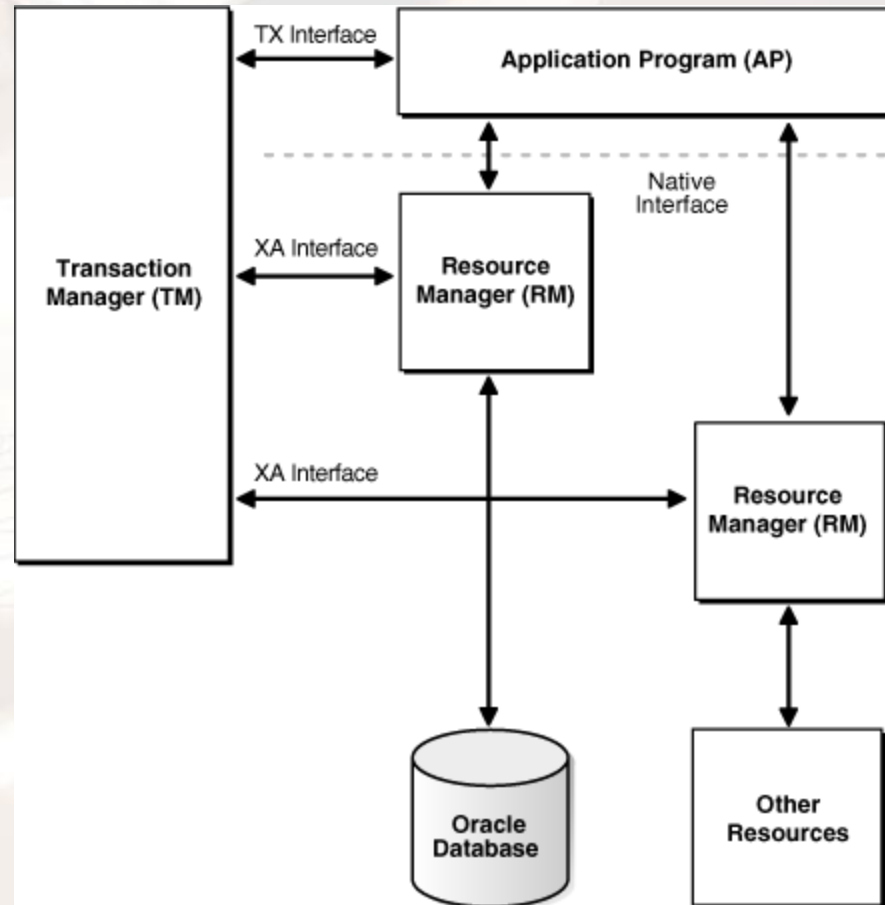
- A functional specification is a technical document that specifies the functions that a system must perform
- Specifications may cover the following topics:
  - Objectives
  - Features
  - Users
  - Development process
  - Software model
  - Information flow
  - Standards and environments

Source: [Wikipedia.org](https://en.wikipedia.org/wiki/Functional_specification)

# How to Write the Functional Specifications?

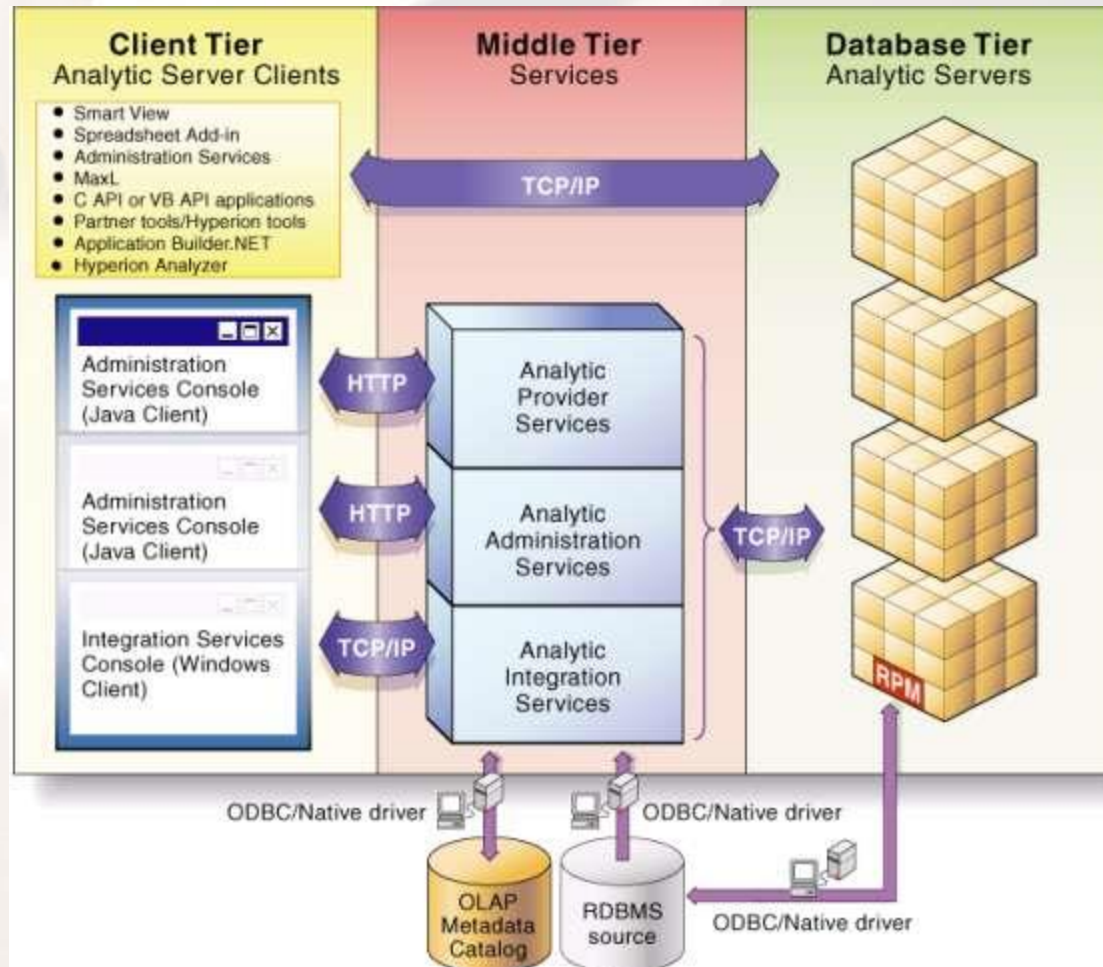
- Cover everything
- Use lots of tentative screenshots
- Write concisely, correctly and consistently
- Use the most comfortable tools and format
- Proofread and review
- **Example**

# Software Model

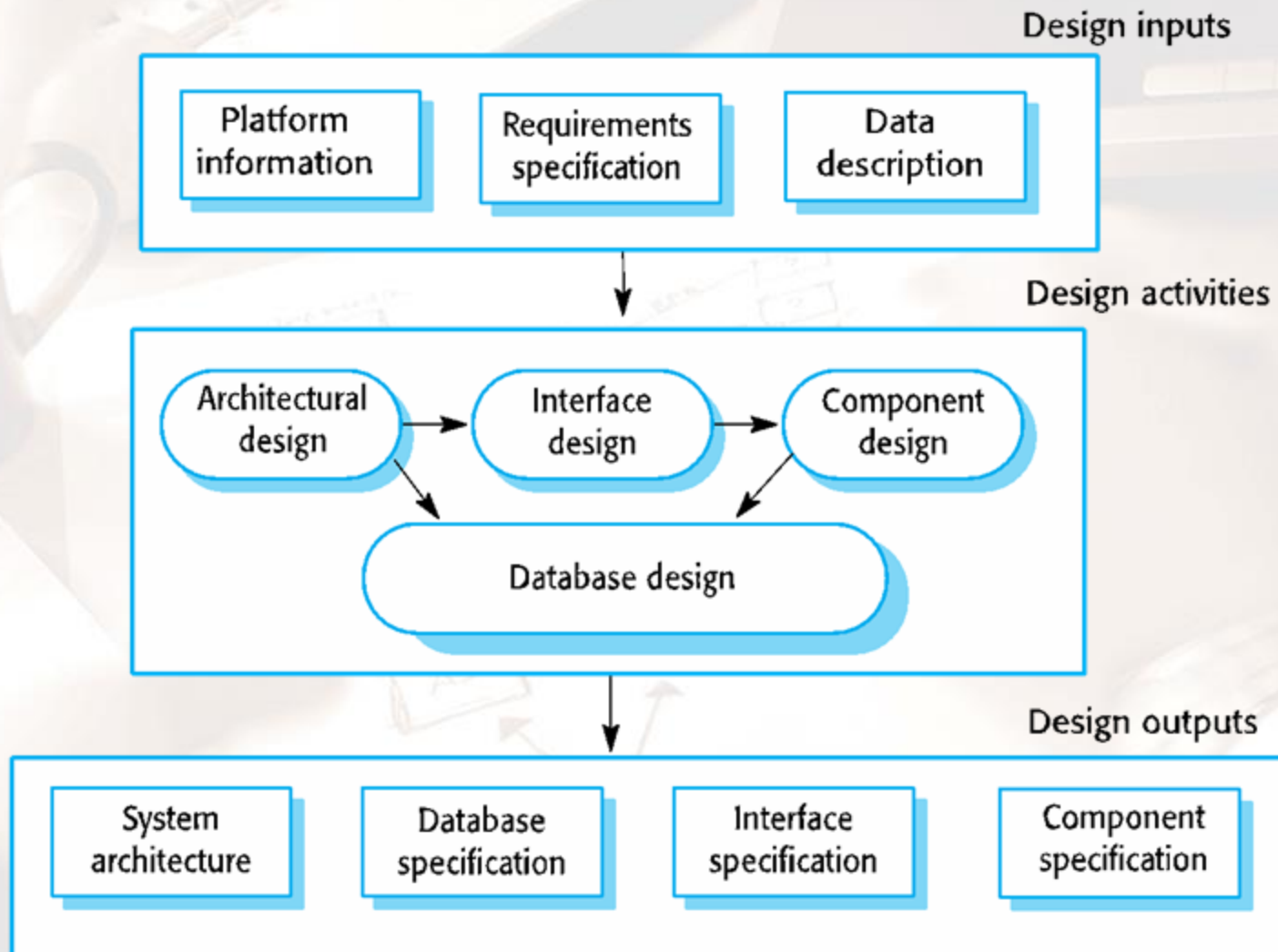




# Information Flow Diagram



# A General Design Process

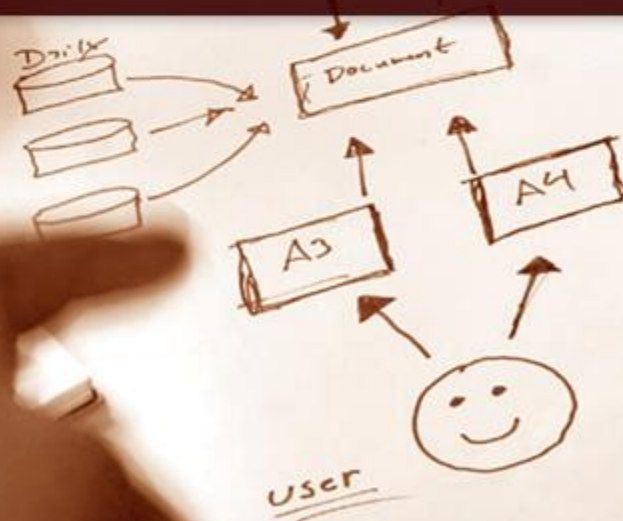


# Design Activities

- *Architectural design*
  - Identify the overall structure of the system, the principal components, their relationships and how they are distributed.
- *Database design*
  - The system data structures and how these are to be represented in a database.
- *Interface design*
  - The interfaces between system components.
- *Component selection and design*
  - Design how it will operate. Or select reusable components, if available.



# Development Phase





# Software Process Activities

Definition  
Phase

Development  
Phase

Support  
Phase

- Sub-system development
- System integration
- System testing
- System validation and verification
- System installation

# Programming and Debugging

- Translating a design into a program and removing errors from that program
- Programming is a personal activity - there is no generic programming process
- Programmers carry out some program testing to discover faults in the program and remove these faults in the debugging process

# The Debugging Process

Locate  
Error

Design  
Error Repair

Repair  
Error

Re-Test  
Program

# Software Testing

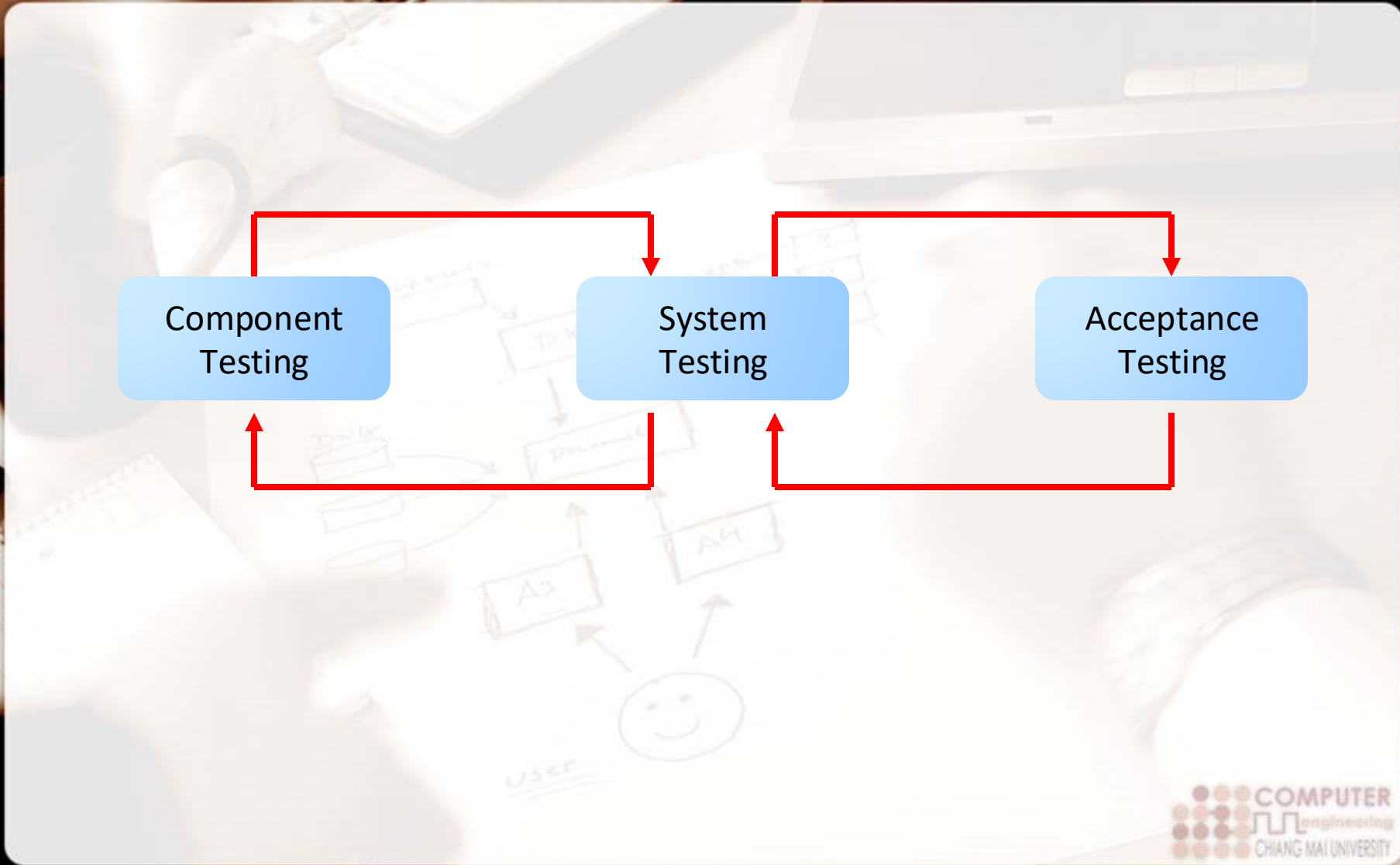
- Verification and validation (V & V) is intended to show that a system conforms to its specification and meets the requirements of the system customer
  - **Verification:** ensures the product is designed to deliver all functionality to the customer
  - **Validation:** ensures that functionality, as defined in requirements, is the intended behavior of the product
- Involves checking and review processes and system testing
- System testing involves executing the system with test cases that are derived from the specification of the real data to be processed by the system



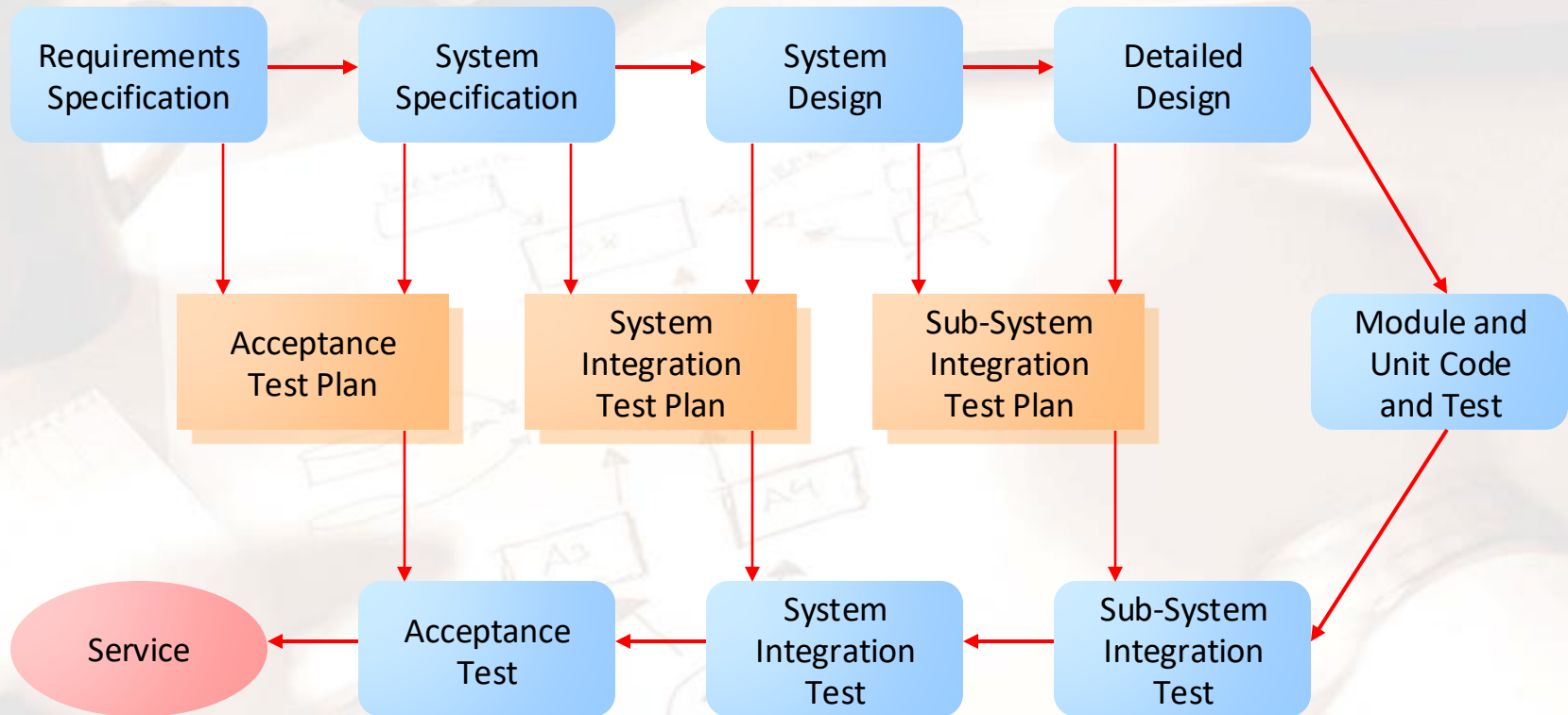
# Testing Stages

- Component or unit testing
  - Individual components are tested independently
  - Components may be functions or objects or coherent groupings of these entities
- System testing
  - Testing of the system as a whole. Testing of emergent properties is particularly important.
- Acceptance testing
  - Testing with customer data to check that the system meets the customer's needs

# The Testing Process

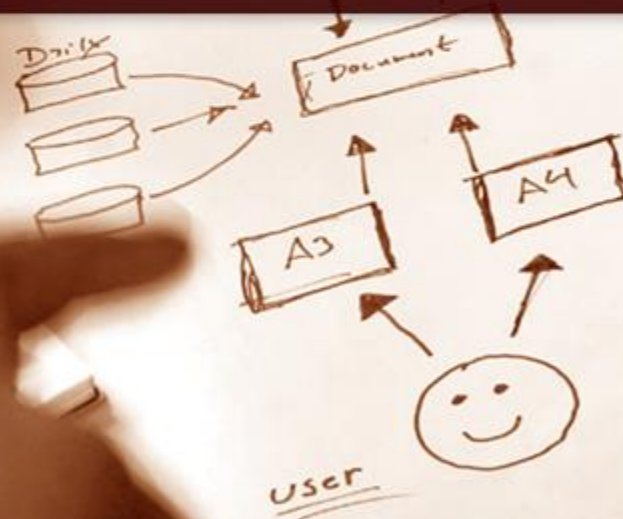


# Testing Phases





# Support Phase





# Software Process Activities

Definition  
Phase

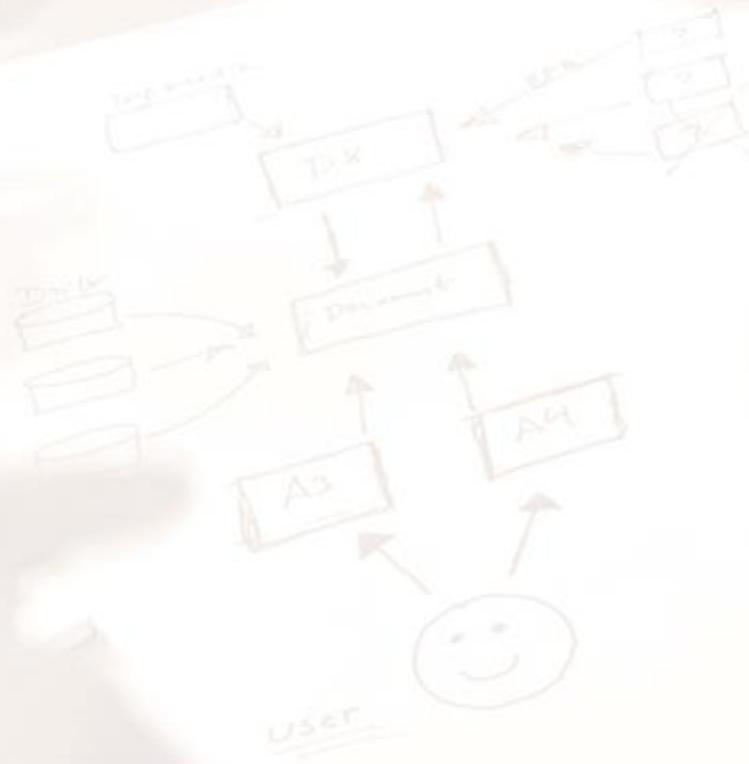
Development  
Phase

Support  
Phase

- System evolution
- System decommissioning
- Training
- Documentation
- Support

# Supporting and Specification

- Should supporting activities be included in specification documents?



# Software Evolution

- Software is inherently flexible and can change
- As requirements change through changing business circumstances, the software that supports the business must also evolve and change
- Although there has been a demarcation between development and evolution (maintenance) this is increasingly irrelevant as fewer and fewer systems are completely new

# System Evolution

