

# The LisbOn KInetics Boltzmann solver - user manual

Current version: LoKI-B\_v1.0.0

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The following is the user manual of the LisbOn KInetics Boltzmann (LoKI-B) simulation tool [1]. LoKI-B solves a time and space independent form of the two-term electron Boltzmann equation (EBE), for non-magnetised non-equilibrium low-temperature plasmas (LTPs) excited by DC/HF electric fields from different gases or gas mixtures. LoKI-B was developed as a response to the need of having an electron Boltzmann solver easily addressing the simulation of the electron kinetics in any complex gas mixture (of atomic / molecular species), describing first and second-kind electron collisions with any target state (electronic, vibrational and rotational), characterized by any user-prescribed population. LoKI-B includes electron-electron collisions, it handles rotational collisions adopting either a discrete formulation or a more convenient continuous approximation, and it accounts for variations in the number of electrons due to non-conservative events (ionisation and attachment) by assuming either a space-homogeneous exponential temporal growth or a time-constant exponential spatial growth of the electron density. LoKI-B is developed with flexible and upgradable object-oriented programming under MATLAB® [2], to benefit from its matrix-based architecture, adopting an ontology that privileges the separation between tool and data.

On input, LoKI-B defines the operating work conditions (*e.g.* the applied reduced electric field and frequency, the gas pressure and temperature, the electron density, and the fractions of the different gases in the case of mixtures), the distribution of populations for the electronic, vibrational and rotational states of the atomic / molecular gases considered, and the relevant sets of electron-scattering cross sections obtained from the open-access website LXCat (<http://www.lxcat.net/>). On output, it yields the isotropic and the anisotropic parts of the electron distribution function (the former usually termed the *electron energy distribution function*, EEDF), the electron swarm parameters, and the electron power absorbed from the electric field and transferred to the different collisional channels. The latter parameters can be calculated using either the distribution function obtained from the solution to the EBE or some other form prescribed by the user, *e.g.* a generalized Maxwellian EEDF.

LoKI-B leverages on the scientific heritage in the field of non-equilibrium plasma kinetics of the Portuguese group N-Plasmas Reactive: Modeling and Engineering (N-PRiME) [3], and it was developed resorting to well-grounded scientific foundations established years ago [4,5]. LoKI-B is an open-source tool, licensed under the *GNU general public license*. LoKI-B is freely available at <https://github.com/IST-Lisbon/LoKI>, for users to perform electron kinetics calculations, and for modellers who are invited to continue testing the tool and/or to contribute for its development and improvement.

**You are much welcome to report any problem or bug you may find using the code, or to send any feedback with suggestions for further developments.**

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# 1 Introduction

The simulation tool LoKI-B solves a time and space independent form of the two-term EBE, calculating the EEDF and the corresponding electron macroscopic parameters [1]. LoKI-B can also adopt a prescribed EEDF, such as a generalized Maxwellian. The current version of the tool is for non-magnetised LTPs.

The scientific foundations of LoKI-B were established years ago [4, 5], and are based on the early works of Lorentz, Holstein, Allis and Delcroix [6–9]. The topical review [10], integrated in the collection *Foundations of low-temperature plasmas and their applications* published by *Plasma Sources Science and Technology*, gives a summary of the essentials of the EBE, when written for an electron distribution function expanded in Legendre polynomials  $P_l(\cos(\theta))$  around the angle  $\theta$ , defining the spatial orientation of the velocity vector with respect to the polar direction of the total anisotropy (produced by electric fields and density gradients). The users are advised to carefully read the extensive literature on the two-term EBE [10], for obtaining details about its solution.

Also, the topical review [1] presents the basic features of LoKI-B (also included in this user manual), along with examples of results obtained for different model and real gases, verifying the tool against analytical results, benchmarking it against numerical calculations, and validating the output by comparison with available measurements of swarm parameters.

## 1.1 General description of the problem

LoKI-B describes the electron kinetics of a plasma with electron density  $n_e$ , excited from a general mixture of atomic / molecular gases, by applying an electric field along the  $z$ -axis with the form

$$\vec{E}(t) = -E_p \cos(\omega t) \vec{e}_z , \quad (1)$$

where the oscillation frequency  $\omega$  is either

- $\omega = 0$ , in the DC case;
- $\omega \gg \nu_c$  ( $\nu_c$  is the typical collision frequency), in the HF case.

LoKI-B can simulate the electron kinetics in a gas mixture, with user-defined distributions for the internal degrees of freedom (electronic, vibrational and rotational) of each gas, organized as a system of “Russian puppets”. Table 1 exemplifies the system of states for a typical gas mixture. Here,

- **System:** is the ensemble of all the gases present in the simulation ( $N_2 \cup He \cup \dots$ ).
- **Gas:** refers to each type of atom or molecule present in the simulation ( $N_2$ ,  $He$ ,  $\dots$ ). Each gas  $k$  has mass  $M_k$  and particle density  $N_k$ , being composed by several electronic / vibrational / rotational levels.
- **State:** specific configurations of electronic ( $i$ ), vibrational ( $v$ ) and/or rotational ( $J$ ) levels belonging to a given gas (e.g.,  $N_2(X)$ ,  $N_2(X,v=0)$ ,  $N_2(X,v=0,J=2)$ ,  $He(2^3S)$ ,  $\dots$ ). Each gas  $k$  is composed by several electronic states  $k_i$  with particle density  $N_{k_i}$ ; each  $k_i$ -state can include several vibrational states  $k_{i_v}$  with particle density  $N_{k_{i_v}}$ , each one composed by several rotational states  $k_{i_v_J}$  with particle density  $N_{k_{i_v_J}}$ .
- **Parent (state):** state of a certain gas comprising a set of child states. Parent states can be electronic or vibrational, but not rotational (e.g.,  $N_2(X)$  is the parent of  $N_2(X,v=0,1,2,\dots)$ ). Each parent level comprises all of its children.
- **Child (states):** ensemble of states that share the same parent. Child states can be vibrational or rotational, but not electronic (e.g.,  $N_2(X,v=0,J=0,1,2,\dots)$  are the children of  $N_2(X,v=0)$ ).
- **Sibling (states):** relation between the states that share the same parent (e.g.,  $N_2(X,v=0,1,2,\dots)$  are siblings).
- **Childless (states):** ensemble of the innermost states (without children) (e.g.,  $N_2(X,v=0,J=0)$  is childless).

The previous terminology, allows introducing several definitions and relations for the particle densities with the different species (gases / states) in the system.

Table 1: Example of a gas mixture (childless states are displayed in red).

Gases		States	
<b>System</b>	<b>N<sub>2</sub></b>	<b>N<sub>2</sub>(X)</b> $\xleftarrow{\text{Parent of}} \xrightarrow{\text{Childs of}}$	<b>N<sub>2</sub>(X,v=0)</b> $\xleftarrow{\text{Parent of}} \xrightarrow{\text{Childs of}}$
			<b>N<sub>2</sub>(X,v=0,J=0)</b> <b>N<sub>2</sub>(X,v=0,J=1)</b> <b>N<sub>2</sub>(X,v=0,J=2)</b> $\vdots$ <b>N<sub>2</sub>(X,v=1)</b> <b>N<sub>2</sub>(X,v=2)</b> $\vdots$
	<b>N<sub>2</sub>(A)</b>		
	<b>N<sub>2</sub>(B)</b>		
	$\vdots$		
<b>He</b>	<b>He(1<sup>1</sup>S)</b>		
	<b>He(2<sup>3</sup>S)</b>		
	<b>He(2<sup>1</sup>S)</b>		
	$\vdots$		
	$\vdots$		

- **Total density:** total density of the heavy species in the system, often termed *total gas density*, evaluated as:

$$N = \frac{p}{k_B T_g} \equiv \sum_k N_k \quad (2)$$

where  $p$  is the total pressure,  $k_B$  is the Boltzmann constant and  $T_g$  is the gas temperature (assumed equal for all  $k$ -gases in the system).

- **Gas fraction:** fraction of a gas in the system. For gas  $k$  this is given by  $\chi_k \equiv N_k/N$ .
- **Density:** particle (absolute) density of a state. For a generic state  $i$  of gas  $k$  this is represented by  $N_{ki}$ .
- **Population:** density of a state relative to its siblings. For a generic state  $i$  of gas  $k$  this is represented by  $\xi_{ki} \equiv N_{ki}/N_{\text{parent of } k_i} = N_{ki}/(\sum_{i \in \text{siblings}} N_{ki})$ .
- **Reduced density:** density of a state relative to the total gas density. For a generic state  $i$  of gas  $k$  this is represented by  $\delta_{ki} \equiv N_{ki}/N$ .

From the previous definitions, it is straightforward to obtain the following normalisation conditions

$$\sum_{k \in \text{system}} \chi_k = 1 \quad (3a)$$

$$\sum_{i \in \text{siblings}} \xi_i = 1 \quad (3b)$$

$$\sum_{i \in \text{childless}} \delta_i = 1 , \quad (3c)$$

concluding also that the following relationships hold

$$\delta_i = \xi_i \delta_{i \text{'s parent}} \quad (4a)$$

$$\delta_{ki} = \xi_{ki} \chi_k . \quad (4b)$$

LoKI-B uses SI units for all physical quantities, except the energies that are expressed in eV (electron-volt) and the reduced fields that are expressed in Td (Townsend; 1 Td =  $10^{-21}$  V m<sup>2</sup>).

## 1.2 The electron Boltzmann equation

In the classical two-term approximation, the electron distribution function can be written assuming a separation between the Legendre expansion of the energy distribution and the space-time profile of the electron density  $n_e(z, t)$  as follows

$$\begin{aligned} F(z, u, t) &\simeq \left\{ f^0(u) + \left[ \vec{f}^1(u) \cdot \frac{\vec{v}}{v} \right] \cos(\omega t) \right\} n_e(z, t) \\ &= [f^0(u) + f^1(u) \cos(\theta) \cos(\omega t)] n_e(z, t) , \end{aligned} \quad (5)$$

where  $u = m_e v^2 / (2e)$  is the electron kinetic energy in eV (with  $m_e$  and  $e$  the electron mass and charge, respectively);  $f^0(u) \equiv f(u)$  is the isotropic part of the electron distribution function, identified with the EEDF in the framework of the two-term approximation, and satisfying the normalisation condition  $\int_0^\infty f(u) \sqrt{u} du = 1$ ; and  $f^1(u)$  is the (real part of the) first-anisotropy of the electron distribution function.

Under the previous conditions, the EBE including a non-conservative collisional operator can be written as

$$\sqrt{\frac{m_e}{2eu}} \frac{\langle \nu_{\text{eff}} \rangle}{N} u f(u) + \frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG(u)}{du} = S(u) \quad (6a)$$

$$f^1(u) = -\frac{\zeta(E/N)}{\Omega_{\text{PT}}(u)} \frac{df(u)}{du} , \quad (6b)$$

or

$$\frac{1}{3} \frac{\alpha_{\text{eff}}}{N} u f^1(u) + \frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG(u)}{du} = S(u) \quad (7a)$$

$$f^1(u) = -\frac{(\alpha_{\text{eff}}/N)}{\Omega_{\text{SST}}(u)} f(u) - \frac{(E/N)}{\Omega_{\text{SST}}(u)} \frac{df(u)}{du} , \quad (7b)$$

where (6a) and (7a) or (6b) and (7b) correspond to the isotropic and anisotropic components of the EBE, respectively, in the following approximations:

- **temporal growth model:** the space-homogeneous equations (6a)-(6b), which can be used in either the DC or the HF cases, assume an exponential temporal growth of the electron density with a growth constant  $\langle \nu_{\text{eff}} \rangle \equiv \langle \nu_{\text{ion}} \rangle - \langle \nu_{\text{att}} \rangle \equiv \sum_{k,i} \delta_{k,i} [\langle \nu_{k_i, \text{ion}} \rangle - \langle \nu_{k_i, \text{att}} \rangle]$ , corresponding to the electron net creation frequency for the system under study (with  $\langle \nu_{\text{ion}} \rangle$  and  $\langle \nu_{\text{att}} \rangle$  the corresponding electron mean frequencies for ionisation and attachment, respectively, and where the sums extend over all levels of all gases in the mixture). The temporal growth model was used to simulate Pulsed Townsend (PT) discharges by Tagashira *et al* [11], using the formulation of Thomas [12] for the one dimensional continuity equation of electrons under the action of an uniform electric field;
- **spatial growth model:** the time-constant equations (7a)-(7b), which can only be used in the DC case, assume an exponential spatial growth of the electron density in the direction opposite to that of the applied electric field, with a growth constant  $\alpha_{\text{eff}} \equiv \alpha - \eta \equiv \sum_{k,i} \delta_{k,i} (\alpha_{k_i} - \eta_{k_i})$ , corresponding to the effective electron Townsend coefficient (with  $\alpha$  and  $\eta$  the corresponding first and second Townsend coefficients for ionisation and attachment, respectively). The spatial growth model is usually adopted when simulating Steady State Townsend (SST) discharges maintained with a DC electric field [11].

Note that the inclusion of growth models for the electron density is necessary if one intends a space and time independent description, yet including non-conservative collisional mechanisms such as electron ionisation and attachment.

In these equations:

- $E/N$  is the *reduced electric field*, where  $E \equiv E_p/\zeta$  (with  $\zeta = 1$  or  $\sqrt{2}$  for the DC or the HF cases, respectively);

- the quantities  $\Omega_{\text{PT}}$  and  $\Omega_{\text{SST}}$  have the dimensions of a cross section, being defined as

$$\Omega_{\text{PT}}(u) \equiv \Omega_c(u) + \frac{[m_e/(2eu)](\omega/N)^2}{\Omega_c(u)} \quad (8a)$$

$$\Omega_{\text{SST}}(u) \equiv \sigma_c(u) , \quad (8b)$$

where  $\Omega_c(u) \equiv \sigma_c(u) + \sqrt{m_e/(2eu)} (\langle \nu_{\text{eff}} \rangle / N)$ , with  $\sigma_c(u) \equiv \sum_k \chi_k \sigma_{k,c}$  the electron-neutral total momentum-transfer cross section, for the system under study, and  $\sigma_{k,c}$  the corresponding cross section for gas  $k$ ;

- $G \equiv G_E + G_{\text{el}} + G_{\text{CAR}} + G_{ee}$  is the *upflux* function that contains power gain/loss contributions due to, in order, the applied electric-field, the elastic collisions, the rotational collisions (in the continuous approximation for rotations, CAR, with a Chapman-Cowling correction due to the gas temperature [13–16], written here for the case of homonuclear diatomic molecules), and the electron-electron collisions [15], respectively

$$G_E(u) = N \sqrt{\frac{2e}{m_e}} \frac{u}{3} \frac{1}{\zeta} \frac{E}{N} f^1(u) \quad (9a)$$

$$G_{\text{el}}(u) = - \sum_k 2\gamma_k \nu_{k,c}^{\text{el}}(u) u^{3/2} \left[ f(u) + \frac{k_B T_g}{e} \frac{df(u)}{du} \right] \quad (9b)$$

$$G_{\text{CAR}}(u) = - \sum_k 4B_k \nu_{0,k} u^{1/2} \left[ f(u) + \frac{k_B T_g}{e} \frac{df(u)}{du} \right] \quad (9c)$$

$$G_{ee}(u) = -2\nu_{ee}(u) u^{3/2} \left[ I(u)f(u) + J(u) \frac{df(u)}{du} \right] , \quad (9d)$$

where  $\gamma_k \equiv m_e/M_k$ ,  $\nu_{k,c}^{\text{el}} \equiv N_k \sqrt{2eu/m_e} \sigma_{k,c}^{\text{el}}$  is the electron-neutral elastic momentum-transfer collision frequency for the gas  $k$  ( $\sigma_{k,c}^{\text{el}}$  being the corresponding cross section),  $\nu_{0,k} \equiv N_k \sqrt{2eu/m_e} \sigma_{0,k}$  is the electron-neutral collision frequency for the rotational excitation of gas  $k$  ( $\sigma_{0,k} \equiv 8\pi Q_k^2 a_0^2 / 15$  being the corresponding cross section, with  $a_0$  the Bohr radius),  $B_k$  and  $Q_k$  are the rotational constant and the quadrupole-moment constant (in units of  $ea_0^2$ ) of the gas  $k$ , respectively,  $I(u)$  and  $J(u)$  are the relevant Spitzer integrals [8, 17], and  $\nu_{ee} \equiv 4\pi [e^2 / (4\pi\varepsilon_0 m_e)]^2 \ln \Lambda_c / v^3 n_e$  is the electron-electron collision frequency (with  $\varepsilon_0$  the vacuum permittivity,  $\ln \Lambda_c$  the Coulomb logarithm ( $\Lambda_c \equiv 12\pi n_e \lambda_D^3$ ) and  $\lambda_D$  the Debye length);

- $S \equiv \sum_{i,j>i} S_{i,j} + \sum_i S_{i,\text{ion}} + \sum_i S_{i,\text{att}}$  is the discrete collision operator that contains power loss/gain contributions due to inelastic/superelastic mechanisms, ionisation and attachment, respectively

$$\begin{aligned} S_{i,j}(u) &= \delta_i [(u + V_{i,j}) \sigma_{i,j}(u + V_{i,j}) f(u + V_{i,j}) - u \sigma_{i,j}(u) f(u)] \\ &+ \delta_j \frac{g_i}{g_j} [u \sigma_{i,j}(u) f(u - V_{i,j}) - (u + V_{i,j}) \sigma_{i,j}(u + V_{i,j}) f(u)] \end{aligned} \quad (10a)$$

$$\begin{aligned} S_{i,\text{ion}}(u) &= \delta_i \left\{ \int_{u+V_{i,\text{ion}}}^{2u+V_{i,\text{ion}}} u' \sigma_{i,\text{ion}}^{\text{sec}}(u', u' - V_{i,\text{ion}} - u) f(u') du' \right. \\ &\left. + \int_{2u+V_{i,\text{ion}}}^{\infty} u' \sigma_{i,\text{ion}}^{\text{sec}}(u', u) f(u') du' - u \sigma_{i,\text{ion}}(u) f(u) \right\} \end{aligned} \quad (10b)$$

$$S_{i,\text{att}}(u) = -\delta_i u \sigma_{i,\text{att}}(u) f(u) . \quad (10c)$$

In (10a)-(10c), the subscripts  $i, j$  and the reduced densities  $\delta_i, \delta_j$  now refer to any state of any gas in the system;  $\sigma_{i,j}$  is the electron-collision cross section for the  $i \rightarrow j$  excitation with energy threshold  $V_{i,j}$  and statistical weights  $g_i$  and  $g_j$ , respectively (note that the third and fourth superelastic terms in (10a) were written resorting to the Klein-Rosseland relation [18]);  $\sigma_{i,\text{att}}(u)$  is the electron-collision cross section for the attachment of state  $i$ ;  $\sigma_{i,\text{ion}}^{\text{sec}}(u', u)$  is the single differential cross section (SDCS) for the ionisation of state  $i$  with energy threshold  $V_{i,\text{ion}}$ ; and

$$\sigma_{i,\text{ion}}(u) = \int_0^{(u-V_{i,\text{ion}})/2} \sigma_{i,\text{ion}}^{\text{sec}}(u, u') du' \quad (11)$$

is the integral cross section for the ionisation of state  $i$ . The SDCS takes into account the distribution of the energy available after an ionisation event, caused by a primary electron with energy  $u'$ , yielding a secondary electron born in the event with energy  $u$  and a scattered electron with energy  $u' - V_{i,\text{ion}} - u$ .

Note that the effect of rotational inelastic/superelastic mechanisms, in the electron kinetics of homonuclear diatomic molecules, can be considered by adopting either the CAR approach of (9c) or the discrete approach of the collision operator (10a), yielding similar results provided that the rotational constants  $Q_k$  and  $B_k$  are coherently matched to the rotational cross section set  $\sigma_{i,j}$  [13].

LoKI-B allows the user to choose between different descriptions of the ionisation mechanism, namely:

- **conservative:** taking ionisation as conservative a mechanism, described by the inelastic part of the collisional operator (10a), in which case the first terms in the left-hand side of (6a) and (7a) should be set to zero;
- **usingSDCS:** taking ionisation as a non-conservative mechanism, described by the collisional operator (10b) with a SDCS, and using the EBE given by (6a)-(7b);
- **oneTakesAll:** taking ionisation as a non-conservative mechanism, and prescribing no-sharing of energy between the secondary electron, introduced at  $u = 0$ , and the scattered electron, introduced at energy  $u' - V_{i,\text{ion}}$ . In this case the SDCS for the secondary electrons writes  $\sigma_{i,\text{ion}}^{\text{sec}}(u', u) = \sigma_{i,\text{ion}}(u')\delta(u)$  and the ionisation operator becomes

$$S_{i,\text{ion}}(u) = \delta_i \left[ (u + V_{i,\text{ion}})\sigma_{i,\text{ion}}(u + V_{i,\text{ion}})f(u + V_{i,\text{ion}}) - u\sigma_{i,\text{ion}}(u)f(u) + \sqrt{\frac{m_e}{2e} \frac{\langle \nu_{i,\text{ion}} \rangle}{N}}\delta(u) \right], \quad (12)$$

corresponding to the inelastic part of (10a) plus an extra term that describes the introduction of new electrons at zero-energy;

- **equalSharing:** taking ionisation as a non-conservative mechanism, and prescribing equal-energy-sharing between the secondary and the scattered electrons, introduced at energy  $(u' - V_{i,\text{ion}})/2$ . In this case the SDCS for the secondary electrons writes  $\sigma_{i,\text{ion}}^{\text{sec}}(u', u) = \sigma_{i,\text{ion}}(u')\delta[u - (u' - V_{i,\text{ion}})/2]$  and the ionisation operator becomes

$$S_{i,\text{ion}}(u) = \delta_i [4(2u + V_{i,\text{ion}})\sigma_{i,\text{ion}}(2u + V_{i,\text{ion}})f(2u + V_{i,\text{ion}}) - u\sigma_{i,\text{ion}}(u)f(u)], \quad (13)$$

where the first term of  $S_{i,\text{ion}}(u)du$  describes the entrance of both the secondary and the scattered electrons at a total energy between  $2u$  and  $2u + 2du$ , produced by a primary electron with energy  $u' = 2u + V_{i,\text{ion}}$ , whereas the second term describes the exit of primary electrons with energy  $u$  after ionizing collisions.

Naturally, the attachment mechanism is taken **always** as non-conservative, meaning that, in the case of electronegative gases, the code adopts the electron-density growth-model (**temporal** or **spatial**) specified by the user.

Note that the current version of LoKI-B does not include any external sources of electrons, such as electron beam injection or photoionization. However, the model adopted in the code can be easily upgraded as to consider these effects: (i) on the right-hand side of the EBE, with the addition, to the source function  $S$ , of an energy-resolved gain-term; (ii) on the left-hand side of the EBE, with the addition of the corresponding energy-integral term, describing the growth of the electron density in a stationary situation. The inclusion of these external sources of electrons, as well as the handling of the non-conservative electron-collision mechanisms (particularly the attachment), must be carefully monitored in all cases, since they can be responsible for the development of significant anisotropies, in which case the two-term expansion of the EBE adopted here is no longer valid.

## 2 Numerical solution

The EBE is numerically solved in an uniform energy grid defined in the energy interval  $[0, u_{\max}]$ , with *cells*

$$u_n^{\text{cell}} \equiv u_n = (n - 1/2)\Delta u, \quad n = 1, 2, \dots, \mathcal{N} \quad (14)$$

of fixed step-size  $\Delta u = u_{\max}/\mathcal{N}$ , each cell  $n$  being limited by *nodes*  $n - 1/2$  and  $n + 1/2$ , each node  $n$  having energy

$$u_n^{\text{node}} = u_{n-1/2} = (n - 1)\Delta u, \quad n = 1, 2, \dots, \mathcal{N} + 1 \quad (15)$$

Figure 1 presents a graphic representation of the energy grid as defined in (14)-(15). The user can define the

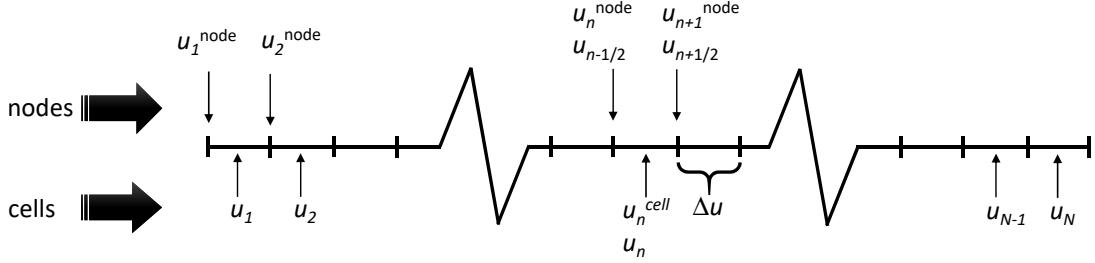


Figure 1: Graphic representation of the energy grid as defined in (14)-(15).

energy grid in two ways: (i) by setting the maximum energy  $u_{\max}$  and the number of cells  $\mathcal{N}$ ; (ii) by prescribing a number of decades in the fall of the EEDF, between  $f_1$  and  $f_{\mathcal{N}}$ , as to ensure an automatic adjustment of the energy grid. Typical values for the decade-fall are within 10 to 25, considering not only the use of double precision, but also the order of magnitude of the various quantities (kinetic energy, cross sections) multiplying the EEDF in the calculations. The user is responsible for adequately defining the energy grid, namely by noticing that small energy steps are required to resolve any relevant structure in the cross sections, such as near-threshold variations and resonances, as well as situations involving the presence of a Ramsauer minimum.

Equations (6a)-(7b) are written for each **grid-cell**, where the isotropic and anisotropic functions  $f$  and  $f^1$  are defined, with the corresponding upfluxes  $G_x$  ( $x = E, \text{el}, \text{CAR}, ee$ ) and cross sections  $\sigma_y$  ( $y = k, c; k_{(i,j)}$ ) being set on the **grid-nodes**. The cross sections are interpolated linearly from the data provided by the user, setting to zero all the values up to the corresponding energy threshold, *i.e.*  $\sigma_{y,n} = 0$  for  $n \leq \text{INT}(V_{i,j}/\Delta u)$ .

The EBE is discretised adopting the finite differences scheme presented in [19]. The result is a system of algebraic equations that can be written under matrix form, with tridiagonal elements coming from the left-hand sides of (6a) and (7a), and some sparse off-tridiagonal elements due to the electron-neutral collisional operator in the right-hand sides. In particular, equations (6a)-(7b) are composed by terms proportional to  $f$ ,  $df/du$  and  $dG/du$  whose discretised forms can be generally summarised as follows

$$[a(u)f(u)]_n = a_n f_n \quad (16a)$$

$$[a'(u)f(u \pm V_{i,j})]_n = a'_n f_n \pm \text{INT}(V_{i,j}/\Delta u) \quad (16b)$$

$$\left[ b(u) \frac{df(u)}{du} \right]_n = b_n \frac{f_{n+1} - f_{n-1}}{2\Delta u} \quad (16c)$$

$$\begin{aligned} \frac{1}{N} \sqrt{\frac{m_e}{2e}} \left. \frac{dG_x(u)}{du} \right|_{n; x=E, \text{el}, \text{CAR}} &= \left\{ \frac{d}{du} \left[ g_x(u) \left( f(u) + c_x \frac{df(u)}{du} \right) \right] \right\}_n \\ &= \left[ \frac{g_{x_{n-1/2}}}{\Delta u} \left( \frac{c_x}{\Delta u} - \frac{1}{2} \right) \right] f_{n-1} \\ &- \left[ \frac{g_{x_{n+1/2}}}{\Delta u} \left( \frac{c_x}{\Delta u} - \frac{1}{2} \right) + \frac{g_{x_{n-1/2}}}{\Delta u} \left( \frac{c_x}{\Delta u} + \frac{1}{2} \right) \right] f_n \\ &+ \left[ \frac{g_{x_{n+1/2}}}{\Delta u} \left( \frac{c_x}{\Delta u} + \frac{1}{2} \right) \right] f_{n+1} \end{aligned} \quad (16d)$$

$$\frac{1}{N} \sqrt{\frac{m_e}{2e}} \left. \frac{dG_{ee}(u)}{du} \right|_n = \left\{ \frac{d}{du} \left[ g_{ee}(u) \left( I(u)f(u) + J(u) \frac{df(u)}{du} \right) \right] \right\}_n , \quad (16e)$$

where  $a(u)$ ,  $a'(u)$  and  $b(u)$  are generic functions of  $u$ ;  $c_x$  is a constant;  $g_x(u)$ ,  $g_{ee}(u)$ ,  $I(u)$  and  $J(u)$  can be obtained straightforwardly from (9a)-(9d).

The detailed discretized form of each term is given below. In these expressions

$$\begin{aligned} f_n &\equiv f(u_n^{\text{cell}}) \\ g_{x_{n+1/2}} &\equiv g_x(u_{n+1/2}^{\text{cell}}) \equiv g_x(u_{n+1}^{\text{node}}) \\ \sigma_{y_n} &\equiv \sigma_y(u_n^{\text{node}}) \\ \sigma_y(u_n^{\text{cell}}) &= \frac{1}{2} (\sigma_{y_n} + \sigma_{y_{n+1}}) \quad . \end{aligned}$$

- **Temporal growth term**

$$\sqrt{\frac{m_e}{2e}} \frac{\langle \nu_{\text{eff}} \rangle}{N} u f(u) \Big|_n = \sqrt{\frac{m_e}{2e}} \frac{\langle \nu_{\text{eff}} \rangle}{N} u_n^{1/2} f_n \quad . \quad (17)$$

- **Spatial growth term**

$$\frac{1}{3} \frac{\alpha_{\text{eff}}}{N} u f^1(u) \Big|_n = -\frac{1}{3} \frac{\alpha_{\text{eff}}}{N} u_n \left[ \frac{\alpha_{\text{eff}}/N}{\Omega_{\text{SST}_n}} f_n + \frac{E/N}{\Omega_{\text{SST}_n}} \frac{f_{n+1} - f_{n-1}}{2\Delta u} \right] \quad . \quad (18)$$

- **Electric field operator**

$$g_E(u) = -\frac{u}{3} \frac{E}{N} \times \begin{cases} \frac{(E/N)}{\Omega_{\text{PT}}(u)}, & \text{for a PT situation} \\ \frac{(\alpha_{\text{eff}}/N)}{\Omega_{\text{SST}}(u)}, & \text{for a SST situation} \end{cases} \quad (19a)$$

$$c_E = \begin{cases} 1, & \text{for a PT situation} \\ \frac{(E/N)}{(\alpha_{\text{eff}}/N)}, & \text{for a SST situation} \end{cases} \quad (19b)$$

For a PT situation

$$\frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG_E(u)}{du} \Big|_n = \left[ \frac{g_{E_{n-1/2}}}{(\Delta u)^2} \right] f_{n-1} - \left[ \frac{g_{E_{n-1/2}} + g_{E_{n+1/2}}}{(\Delta u)^2} \right] f_n + \left[ \frac{g_{E_{n+1/2}}}{(\Delta u)^2} \right] f_{n+1} \quad . \quad (20)$$

For an SST situation

$$\begin{aligned} \frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG_E(u)}{du} \Big|_n &= \left[ \frac{g_{E_{n-1/2}}}{\Delta u} \left( \frac{E/N}{(\alpha_{\text{eff}}/N) \Delta u} - \frac{1}{2} \right) \right] f_{n-1} \\ &- \left[ \frac{g_{E_{n-1/2}}}{\Delta u} \left( \frac{E/N}{(\alpha_{\text{eff}}/N) \Delta u} + \frac{1}{2} \right) + \frac{g_{E_{n+1/2}}}{\Delta u} \left( \frac{E/N}{(\alpha_{\text{eff}}/N) \Delta u} - \frac{1}{2} \right) \right] f_n \quad . \\ &+ \left[ \frac{g_{E_{n+1/2}}}{\Delta u} \left( \frac{E/N}{(\alpha_{\text{eff}}/N) \Delta u} + \frac{1}{2} \right) \right] f_{n+1} \end{aligned} \quad (21)$$

- **Elastic operator**

$$g_{\text{el}}(u) = -2u^2 \sum_k \gamma_k \chi_k \sigma_{k,c}^{\text{el}}(u) \quad (22a)$$

$$c_{\text{el}} = \frac{k_B T_g}{e} \quad (22b)$$

$$\begin{aligned} \frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG_{\text{el}}(u)}{du} \Big|_n &= \left[ \frac{g_{\text{el}_{n-1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} - \frac{1}{2} \right) \right] f_{n-1} \\ &- \left[ \frac{g_{\text{el}_{n-1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} + \frac{1}{2} \right) + \frac{g_{\text{el}_{n+1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} - \frac{1}{2} \right) \right] f_n \quad . \\ &+ \left[ \frac{g_{\text{el}_{n+1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} + \frac{1}{2} \right) \right] f_{n+1} \end{aligned} \quad (23)$$

- **CAR operator**

$$g_{\text{CAR}}(u) = -4u \sum_k B_k \chi_k \sigma_{0,k}(u) \quad (24a)$$

$$c_{\text{CAR}} = \frac{k_B T_g}{e} \quad (24b)$$

$$\begin{aligned} \frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG_{\text{CAR}}(u)}{du} \Big|_n &= \left[ \frac{g_{\text{CAR}_{n-1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} - \frac{1}{2} \right) \right] f_{n-1} \\ &\quad - \left[ \frac{g_{\text{CAR}_{n-1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} + \frac{1}{2} \right) + \frac{g_{\text{CAR}_{n+1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} - \frac{1}{2} \right) \right] f_n \quad . \\ &\quad + \left[ \frac{g_{\text{CAR}_{n+1/2}}}{\Delta u} \left( \frac{k_B T_g}{e \Delta u} + \frac{1}{2} \right) \right] f_{n+1} \end{aligned} \quad (25)$$

- **Electron-electron collisions operator**

$$g_{ee}(u) = -\sqrt{\frac{2m_e}{e}} \frac{\nu_{ee}(u)}{N} u^{3/2} \quad (26a)$$

$$I(u) = \int_0^u f(u') u'^{1/2} du' \quad (26b)$$

$$J(u) = \frac{2}{3} \left[ \int_0^u f(u') u'^{3/2} du' + u^{3/2} \int_u^\infty f(u') du' \right] \quad (26c)$$

$$\begin{aligned} \frac{1}{N} \sqrt{\frac{m_e}{2e}} \frac{dG_{ee}(u)}{du} \Big|_n &= \left\{ \frac{d}{du} \left[ g_{ee}(u) \left( I(u)f(u) + J(u) \frac{df(u)}{du} \right) \right] \right\}_n \quad . \\ &= A_{n-1} f_{n-1} - (A_n + B_n) f_n + B_{n+1} f_{n+1} \end{aligned} \quad (27)$$

$$A_n = \sqrt{\frac{2m_e}{e}} \frac{\nu_{ee_{n+1/2}}}{N} u_{n+1/2}^{3/2} \left[ \frac{I_{n+1/2}}{2\Delta u} - \frac{J_{n+1/2}}{(\Delta u)^2} \right] \equiv \sum_{m=1}^N a_{n,m} f_m \quad (28a)$$

$$B_n = -\sqrt{\frac{2m_e}{e}} \frac{\nu_{ee_{n-1/2}}}{N} u_{n-1/2}^{3/2} \left[ \frac{I_{n-1/2}}{2\Delta u} + \frac{J_{n-1/2}}{(\Delta u)^2} \right] \equiv \sum_{m=1}^N b_{n,m} f_m \quad (28b)$$

$$I_{n+1/2} = \sum_{m=1}^n f_m u_m^{1/2} \Delta u \quad (28c)$$

$$J_{n+1/2} = \frac{2}{3} \left[ \sum_{m=1}^n f_m u_m^{3/2} \Delta u + u_{n+1/2}^{3/2} \sum_{m=n+1}^N f_m \Delta u \right] \quad (28d)$$

$$a_{n,m} = \sqrt{\frac{2m_e}{e}} \frac{\nu_{ee_{n+1/2}}}{N} u_{n+1/2}^{3/2} \times \begin{cases} \frac{1}{2} u_m^{1/2} - \frac{2}{3} \frac{u_m^{3/2}}{\Delta u} , & m \leq n \\ -\frac{2}{3} \frac{u_{n+1/2}^{3/2}}{\Delta u} , & m > n \end{cases} \quad (28e)$$

$$b_{n,m} = -\sqrt{\frac{2m_e}{e}} \frac{\nu_{ee_{n-1/2}}}{N} u_{n-1/2}^{3/2} \times \begin{cases} \frac{1}{2} u_m^{1/2} + \frac{2}{3} \frac{u_m^{3/2}}{\Delta u} , & m \leq n-1 \\ \frac{2}{3} \frac{u_{n-1/2}^{3/2}}{\Delta u} , & m > n-1 \end{cases} \quad . \quad (28f)$$

- **Discrete collision operator**

$$S_n = \sum_{i,j>i} S_{(i,j)_n} + \sum_i S_{(i,\text{ion})_n} + \sum_i S_{(i,\text{att})_n} \quad (29a)$$

$$l_{i,j} \equiv \text{INT}(V_{i,j}/\Delta u) \quad (29b)$$

$$\sigma_{i,\text{ion}}(u_n^{\text{cell}}) = \sum_{m=1}^{(n-l_{i,\text{ion}})/2} \sigma_{(i,\text{ion})_{(n,m)}}^{\text{sec}} \quad (29c)$$

$$S_{(i,j)_n} = \delta_i \left[ u_{n+l_{i,j}} \frac{\sigma_{(i,j)_{n+l_{i,j}}} + \sigma_{(i,j)_{n+l_{i,j}+1}}}{2} f_{n+l_{i,j}} - u_n \frac{\sigma_{(i,j)_n} + \sigma_{(i,j)_{n+1}}}{2} f_n \right] \quad (30a)$$

$$+ \delta_j \frac{g_i}{g_j} \left[ u_n \frac{\sigma_{(i,j)_n} + \sigma_{(i,j)_{n+1}}}{2} f_{n-l_{i,j}} - u_{n+l_{i,j}} \frac{\sigma_{(i,j)_{n+l_{i,j}}} + \sigma_{(i,j)_{n+l_{i,j}+1}}}{2} f_n \right] \quad (30a)$$

$$S_{(i,\text{ion})_n} = \delta_i \left\{ \sum_{m=n+l_{i,\text{ion}}+1}^{2n+l_{i,\text{ion}}} u_m \sigma_{(i,\text{ion})_{(m,m-n-l_{i,\text{ion}})}}^{\text{sec}} f_m \Delta u \right. \\ \left. + \sum_{m=2n+l_{i,\text{ion}}+1}^N u_m \sigma_{(i,\text{ion})_{(m,n)}}^{\text{sec}} f_m \Delta u - u_n \frac{\sigma_{(i,\text{ion})_n} + \sigma_{(i,\text{ion})_{n+1}}}{2} f_n \right\} \quad (30b)$$

$$S_{(i,\text{att})_n} = -\delta_i u_n \frac{\sigma_{(i,\text{att})_n} + \sigma_{(i,\text{att})_{n+1}}}{2} f_n . \quad (30c)$$

The previous equations are subjected to the following conditions [19]:

- the flux boundary conditions  $G(0) = G(u_{\max}) = 0$ , which imply  $g_{x_{1/2}} = g_{x_{N+1/2}} = 0$  and  $A_0 = A_N = B_1 = B_{N+1} = 0$ ;
- the imposition of a Maxwellian EEDF for  $dG_{ee}/du = 0$ , which is ensured by setting  $a_{n,m} = \sqrt{a_{n,m} \times a_{m-1,n+1}}$ ;
- the energy conservation condition for  $dG_{ee}/du$ , which yields  $b_{m,n} = a_{n,m}$ ;

After discretisation, the isotropic part of the EBE can be written as  $\sum_{m=1}^N C_{n,m}(f) f_m = 0$ , which is solved coupled to the normalisation condition  $\sum_{m=1}^N f_m \sqrt{u_m} \Delta u = 1$ .

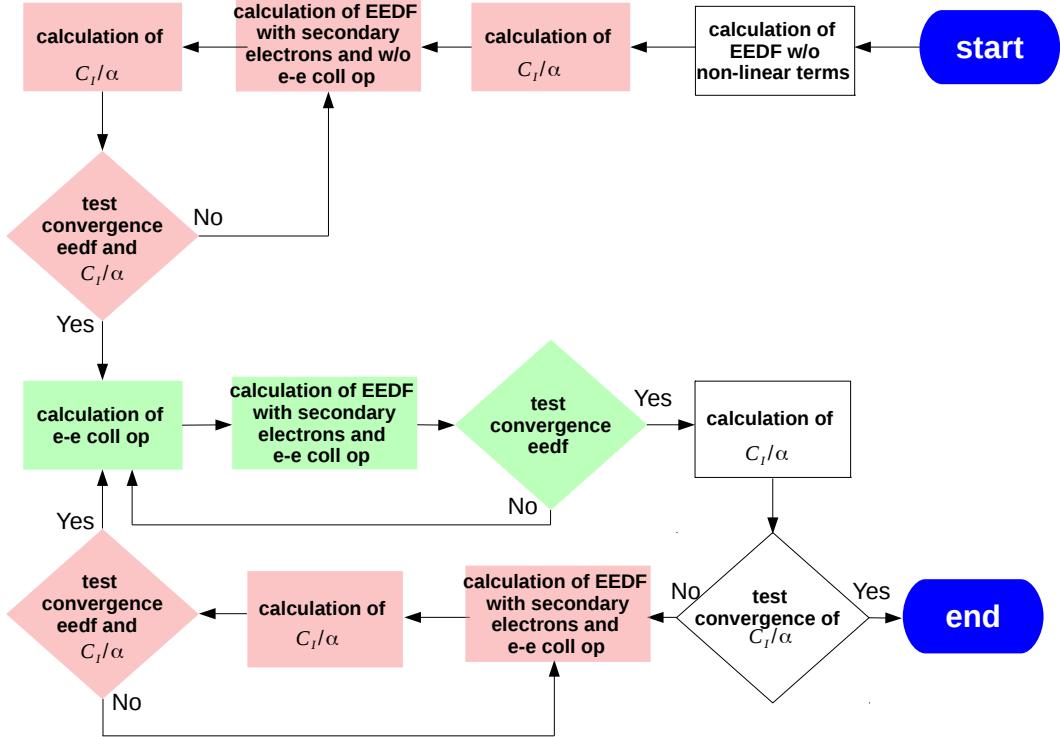


Figure 2: Simplified flowchart of LoKI-B, in the case of weak nonlinear effects. In red are the steps done within the ionization routine. In green are the steps done within the electron-electron collisions routine.

The matrix  $C(f)$  is nonlinear due to the presence of the following terms.

- The temporal/spatial growth-term of the electron density, associated with non-conservative mechanisms, involves either the effective ionisation rate coefficient  $\langle \nu_{\text{eff}} \rangle / N$  or the effective Townsend reduced coefficient  $\alpha_{\text{eff}} / N$ , both being functions of the EEDF (see section 3).
- The expression of the electron-electron upflux  $G_{ee}$  involves linear functions of the EEDF, as confirmed by (9d) and (28a)-(28b).

The solution method adopted in solving the EBE depends on its linear/nonlinear features.

- In the absence of nonlinear effects (e.g., for electropositive gases, with the ionization taken as a conservative mechanism and no electron-electron collisions), the EBE is solved adopting a very effective direct solution method.
- When the matrix  $C(f)$  contains nonlinear terms, the EBE is solved using an iterative method.
  - In the case of weak nonlinear effects (e.g., low electronegativity and low ionization degree), the solution can be obtained by using two nested iterative algorithms, based on successive direct solutions. The algorithm is represented in the simplified flowchart of figure 2, and it adopts:
    - \* a mixing of solutions to converge over the temporal growth parameter  $\langle \nu_{\text{eff}} \rangle / N$  or the spatial growth parameter  $\alpha_{\text{eff}} / N$ , looking for relative differences of the EEDF below a user-prescribed value (typically  $10^{-9}$ ), between two consecutive iterations;
    - \* a Newton-Raphson-based approach that converges over the EEDF, looking for relative variations below a user-prescribed value (typically  $10^{-9}$ ), between two consecutive iterations. Final convergence checks also the ratio of the power “dissipated” in electron-electron collisions to the reference power  $\Theta_{ee}/\Theta_{\text{ref}}$  (see section 8 for the definition of  $\Theta_{\text{ref}}$ ), looking for values of this ratio below  $\sim 10^{-9} - 10^{-10}$ .
  - In the case of strong nonlinear effects, the user is recommended to choose a relaxation method for solving the EBE. Here, the default convergence criterion demands relative variations of the EEDF below a user-prescribed value (typically  $10^{-9}$ ), between two consecutive iterations.

### 3 Simulation output

On output, LoKI-B calculates the isotropic and the anisotropic parts of the electron distribution function, in addition to various electron macroscopic parameters obtained by integration over the EEDF, namely:

- swarm parameters (transport parameters and rate coefficients), which can be used to adjust complete sets of electron-scattering cross sections [20, 21] or as input parameters in macroscopic (fluid / global) plasma models [10, 22, 23];
- power-transfer terms [10, 17], providing the distribution of power among the different collisional channels and controlling the calculation errors.

The rate coefficients for excitation / de-excitation mechanisms, between states  $i$  and  $j > i$ , are given by [10]

$$C_{i,j} = \sqrt{\frac{2e}{m_e}} \int_0^\infty u \sigma_{i,j}(u) f(u) du \quad (31a)$$

$$C_{j,i} = \sqrt{\frac{2e}{m_e}} \int_0^\infty \frac{g_i}{g_j} (u + V_{i,j}) \sigma_{i,j}(u + V_{i,j}) f(u) du . \quad (31b)$$

Note that (31a)-(31b) can be applied not only to the cross sections adopted for solving the EBE, but also to the *extra* set of cross sections defined by the user as additional input data of LoKI-B (see section 5).

The ionisation and the attachment rate coefficients are defined similarly to (31a) as

$$C_{i,\text{ion}} \equiv \frac{\langle \nu_{i,\text{ion}} \rangle}{N} = \sqrt{\frac{2e}{m_e}} \int_0^\infty u \sigma_{i,\text{ion}}(u) f(u) du \quad (32a)$$

$$C_{i,\text{att}} \equiv \frac{\langle \nu_{i,\text{att}} \rangle}{N} = \sqrt{\frac{2e}{m_e}} \int_0^\infty u \sigma_{i,\text{att}}(u) f(u) du . \quad (32b)$$

For simulations involving the time-growth of the electron density, equations (32a)-(32b) are solved within each iteration on the convergence parameter  $\langle \nu_{\text{eff}} \rangle/N$  (see section 2).

The reduced transverse free-diffusion coefficient and the reduced mobility are calculated from [10, 17]

$$D_e N = \frac{1}{3} \sqrt{\frac{2e}{m_e}} \int_0^\infty \frac{u}{\Omega_x(u)} f(u) du \quad (33a)$$

$$\mu_e N = -\frac{1}{3} \sqrt{\frac{2e}{m_e}} \int_0^\infty \frac{u}{\Omega_x(u)} \frac{df(u)}{du} du . \quad (33b)$$

In (33a),  $x = c, \text{SST}$  for temporal or spatial growth of the electron density, respectively. Equation (33b) corresponds

- to the DC mobility, at  $\omega = 0$  and  $x = \text{PT}, \text{SST}$  for temporal or spatial growth of the electron density, respectively;
- to the real part of the HF mobility, at  $\omega \gg \nu_c$  and  $x = \text{PT}$ .

Equations (6b) and (7b) can be integrated to define the electron mean drift velocity

$$v_d \equiv \sqrt{2e/m_e} \int_0^\infty (u/3) f^1(u) du ,$$

yielding

$$v_d = \mu_e E , \text{ for a PT situation} \quad (34a)$$

$$v_d = \mu_e E - D_e \frac{1}{n_e} \frac{dn_e}{dz} = \mu_e E - D_e \alpha_{\text{eff}} , \text{ for a SST situation} , \quad (34b)$$

and equation (7a) can be integrated to define the effective Townsend coefficient

$$\alpha_{\text{eff}} = \frac{\langle \nu_{\text{ion}} \rangle - \langle \nu_{\text{att}} \rangle}{v_d} = \frac{\langle \nu_{\text{eff}} \rangle}{v_d} . \quad (35)$$

For DC simulations involving the spatial-growth of the electron density, equations (34b) and (35) can be combined to yield

$$D_e \alpha_{\text{eff}}^2 - \mu_e E \alpha_{\text{eff}} + \langle \nu_{\text{eff}} \rangle = 0 \quad , \quad (36)$$

and in this case (36) is solved within each iteration on the convergence parameter  $\alpha_{\text{eff}}$  (see section 2).

The balance equation for the electron power-density, per electron at unit gas density, is obtained by multiplying (6a) or (7a) by  $u\sqrt{2e/m_e}$  and integrating it over all energies. The result writes (in eV s<sup>-1</sup> m<sup>3</sup>)

$$\frac{\Theta_{\text{growth}}}{N} + \frac{\Theta_E}{N} + \frac{\Theta_{\text{coll}}^{\text{gain}}}{N} + \frac{\Theta_{\text{coll}}^{\text{loss}}}{N} = 0 \quad , \quad (37)$$

where the different terms represent, in order, the variation of the power density due to non-conservative mechanisms inducing a time/space net growth of the electron density, the power density gained from the applied electric field, and the power density gained/lost in collisional events, respectively

$$\frac{\Theta_{\text{growth}}}{N} = \begin{cases} -\frac{\langle \nu_{\text{eff}} \rangle}{N} \varepsilon & , \text{ for a PT situation} \\ -\frac{\alpha_{\text{eff}}}{N} (\mu_e E - D_e \alpha_{\text{eff}}) & , \text{ for a SST situation} \end{cases} \quad (38a)$$

$$\frac{\Theta_E}{N} = \frac{v_d}{\zeta} \frac{E}{N} \quad (38b)$$

$$\frac{\Theta_{x=\text{el},\text{CAR}}^{\text{gain}}}{N} = - \int_0^\infty g_x(u) c \frac{df(u)}{du} du \quad (38c)$$

$$\frac{\Theta_{ee}^{\text{gain}}}{N} = - \int_0^\infty \mathcal{J}(u) \frac{df(u)}{du} du \quad (38d)$$

$$\frac{\Theta_{\text{sup}}^{\text{gain}}}{N} = \sum_{i,j>i} \delta_j C_{j,i} V_{i,j} \quad (38e)$$

$$\frac{\Theta_{x=\text{el},\text{CAR}}^{\text{loss}}}{N} = - \int_0^\infty g_x(u) f(u) du \quad (38f)$$

$$\frac{\Theta_{ee}^{\text{loss}}}{N} = - \int_0^\infty \mathcal{I}(u) f(u) du \quad (38g)$$

$$\frac{\Theta_{\text{inel}}^{\text{loss}}}{N} = - \sum_{i,j>i} \delta_i C_{i,j} V_{i,j} \quad . \quad (38h)$$

In these equations, the labels “inel” and “sup” refer to inelastic and superelastic collisions, respectively, associated with rotational, vibrational, electronic excitation / de-excitation and ionisation mechanisms. In (38a),  $\varepsilon \equiv \int_0^\infty u^{3/2} f(u) du$  is the electron mean energy, and  $D_e$  and  $\mu_e$  are the diffusion coefficient and the mobility for the electron-energy flux, respectively, defined by expressions similar to (33a) and (33b) where the integrand function is further multiplied by  $u$ .

## 4 The “How to...” basics

This section provides basic information enabling a user to quickly obtain and run LoKI-B for the first time.

### 4.1 How to obtain the code

You can obtain the last version of the code from the github URL

<https://github.com/IST-Lisbon/LoKI>

by downloading the LoKI-B\_v1.0.0 zip-file and extract it to your local repository.

### 4.2 How to find my way in the code

The LoKI-B\_v1.0.0 folder contains

1. Some documentation files
2. A subfolder **Code** containing
  - (a) Several **'\*.m'** files corresponding to the MATLAB® code, of which **'lokibcl.m'** is the main file.
  - (b) A subfolder **Input**, containing the input files required for the simulations, organised as follows
    - i. A default configuration file **'default\_lokib\_setup.in'** (see section 4.3)
    - ii. A subfolder **Databases** with **'\*.txt'** files, containing different properties (masses, energies of states, atomic/molecular constants, ...) for the gases used in the simulations.
    - iii. Several subfolders **Helium**, **Nitrogen**, ... with **'\*.txt'** files, containing the electron-scattering cross sections for the different gases used in the simulations, usually obtained from the open-access website LXCat (<http://www.lxcat.net/>).
  - (c) A subfolder **PropertyFunctions**, with several **'\*.m'** auxiliary functions for calculating the values of universal constants, some predefined distribution of species (Boltzmann, Treanor, ...), the energy of the states according to some models, etc.
  - (d) A subfolder **Output**, where LoKI-B will write the output files resulting from the simulations.

### 4.3 How to run the code

LoKI-B is developed under MATLAB®, to benefit from its matrix-based architecture. The minimum requirements to run the code is a computer with an installation of MATLAB® (recommended version R2017b; we cannot ensure that all the features of LoKI-B will work properly under different versions).

LoKI-B runs upon calling the MATLAB® function **lokibcl(setupFile)**. The end user interacts with the code by specifying a particular “setup” for the simulation. This setup is sent to the **lokibcl()** function through the *required* input argument **setupFile**. As mentioned in section 4.2, the setup files should be located in **[repository folder]/LoKI-B\_v1.0.0/Code/Input/** with a **.in** extension (this is just a recommendation in order to keep the input folder organised; the setup files are just plain text files).

The distribution of LoKI-B includes a default configuration file (**'default\_lokib\_setup.in'**), for the benefit of the user. By using this file, it is very easy to make a first run of the code, just following the sequence of steps below:

1. Open MATLAB®
2. Navigate to the **Code** folder of your local copy of the repository:  
`>> cd [repository folder]/LoKI-B_v1.0.0/Code/`
3. Execute the following command in the MATLAB® console:  
`>> lokibcl('default_lokib_setup.in')`
4. The graphical user interface (GUI) should appear showing the solution(s) for the default setup file, represented in figure 3.

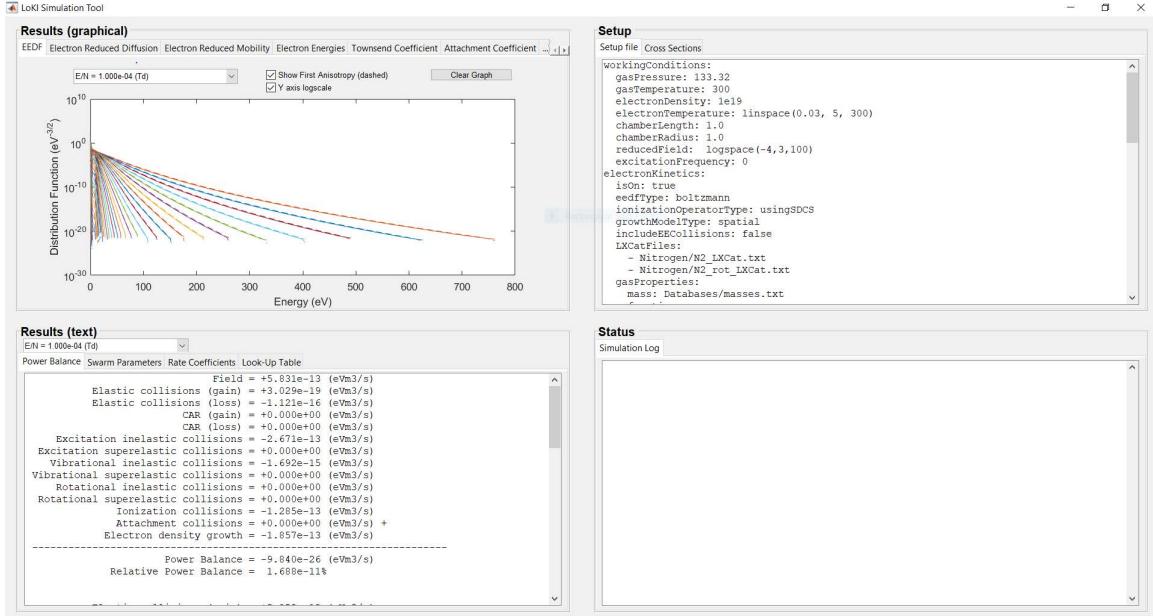


Figure 3: Graphical user interface output, for the default setup-file of LoKI-B.

### Congratulations! You have now concluded your first LoKI-B simulation.

Now, in order to run the code for different simulations, you just have to call the `lokibcl()` function with a different setup file, or a modified version of the default one. The details on how to configure the setup file according to your needs are given in section 5.

#### 4.4 How to contact us

After downloading LoKI-B, and especially if you intend to interact with us, you are invited to send a short message  
**to** [loki@tecnico.ulisboa.pt](mailto:loki@tecnico.ulisboa.pt)  
**with subject** LoKI-B  
just giving your **name** and **affiliation**.

#### 4.5 How to reference the code

LoKI-B is the result of the efforts of the Portuguese group N-PRiME, that decided to share the outcome of its research with the members of the Low-Temperature Plasmas community.

When using LoKI-B in your work, please give proper credits to the main developers, by adding the following citation  
Tejero A *et al* *The LisbOn KInetics Boltzmann solver 2018 Plasma Sources Sci. Technol.* (submitted).

## 5 The setup file

The setup file is the main input file of LoKI-B, as well as the main User Interface (UI) with the code.

The setup file is an **indentation structured file**, *i.e.* it follows the “Off-side rule” [24] like in some programming languages such as Python. This means that it is **mandatory** that all the subfields within a field share the same level of indentation, otherwise the behaviour of the code is undefined.

In this file, the user can provide / select relevant information, namely

- about physical parameters
  - working conditions
  - collisional data
  - atomic and molecular data
  - species populations
- about physical models
  - mode of energy-sharing in ionisation collisions
  - type of growth model for the electron density
  - inclusion of electron-electron collisions
- about numerical details, namely on the energy grid and the nonlinear algorithm to adopt in the simulations

The setup file is organised according to the following syntax rules.

- The setup file can include comments, signaled by the character `%`.
- Some of the fields in the setup file can accept either one or more values.  
When only one value is to be specified, it can be given in the same line of the field, right after the colon, for example:

```
mass: Databases/masses.txt
```

Alternatively, when more than one value are to be specified, one can use several lines starting with a dash, for example

```
LXCatFiles: % cross section files
- Nitrogen/N2_LXCat.txt
- Nitrogen/N2_rot_LXCat.txt
```

Note that the value(s) of certain fields in the setup file can be defined specifying an “auxiliary input file” or a “property function”. All the “auxiliary input files” (*i.e.* LXCat files or property files) specified in the setup file should be located inside the input folder ([repository folder]/LoKI-B\_v1.0.0/Code/Input), eventually organised in subfolders with self-explanatory names (*e.g.* Nitrogen, Databases; see section 4.2). In this case, the name of the subfolder should be specified in the setup file (see examples above for `mass` and for `LXCatFiles`). For more information about auxiliary input files see section 6. All the “property functions” specified in the setup file should be located in the property functions folder ([repository folder]/LoKI-B\_v1.0.0/Code/Property Functions; see section 4.2). For more information about property functions see section 7.1.

The setup file is organised into five different main fields, with self-explanatory designations:

- `workingConditions`
- `electronKinetics`
- `gui`
- `output`.

The next subsections present these fields in detail.

## 5.1 Working conditions

In this field of the setup file the user can specify the values of the different working parameters for the simulation. Code 1 shows the section of the '`default_lokib_setup.in`' file that handles this configuration.

```
% --- configuration of the working conditions ---
workingConditions:
    reducedField: logspace(-3,3,100)           % in Td
    electronTemperature: linspace(0.03, 5, 300) % in eV
    excitationFrequency: 0                      % in Hz
    gasPressure: 133.32                         % in Pa
    gasTemperature: 300                         % in K
    electronDensity: 1e19                        % in m^-3
    chamberLength: 1.0                          % in m
    chamberRadius: 1.0                          % in m
```

Code 1: Configuration of the working conditions of the simulation

### IMPORTANT NOTES:

- The `reducedField` corresponds to  $E/N$ , where
  - $E = E_p$  is the amplitude of the applied electric field, in a DC case;
  - $E = E_p/\sqrt{2}$  is the root-mean-square (RMS) amplitude of the applied electric field, in a HF case.
- The user can choose simulations that solve the EBE or that adopt a generalized Maxwellian EEDF (see section 5.2 for details on how to select the type of simulation for the EEDF):
  - the parameter `reducedField` is activated when the “boltzmann” simulation is selected;
  - the parameter `electronTemperature` is activated when the “prescribedEedf” simulation is selected.

For the parameters `reducedField` and `electronTemperature`, it is possible to specify a single value, for a single simulation, or an array of values, for several simulations corresponding to the different values prescribed. The array of values can be defined using any expression understood by MATLAB® (e.g. `logspace(-4,1,100)`, `linspace(0,100,10)`, `[0 10 20 50]`, etc.), with the exception of those adopting the colon character “`:`” (e.g. `1:10` is not supported). In future releases of LoKI-B, this feature will probably be extended to other parameters.

- The `excitationFrequency` corresponds to the linear oscillation frequency  $\omega/(2\pi)$ .
- Not all the parameters in Code 1 are used in all the simulations. Indeed, the `gasTemperature`  $T_g$  is needed to calculate the elastic and the CAR operators (9b) and (9c), whereas the `gasPressure`  $p$  is required to calculate the total gas density in the particular case of HF excitations (for obtaining the reduced frequency  $\omega/N$ ) and/or if electron-electron collisions are considered in the calculations (for obtaining the ionisation degree  $n_e/N$ , in which case it is also necessary to provide the `electronDensity`  $n_e$ ). Presently, it is **mandatory to specify the values of all the parameters in the setup file**, regardless the fact that they are / aren't used in the simulations (the values of the parameters not used in the simulations, such as `chamberLength` and `chamberRadius`, have no impact in the final results). In future releases of LoKI-B, only those parameters used in the simulations will required a value, but in the present version this feature is not fully implemented.
- Again, the code uses SI units for all physical quantities, except the energies that are expressed in eV (electron-volt) and the reduced fields that are expressed in Td (Townsend; 1 Td =  $10^{-21}$  V m<sup>2</sup>).

## 5.2 Electron kinetics

In this field of the setup file the user can define all the details related to the electron kinetics. Code 2 shows the section of the '`default_lokib_setup.in`' file that handles this configuration.

```
% --- configuration of the electron kinetics ---
electronKinetics:
    isOn: true                           % true or false (to activate or deactivate the electron kinetics)
```

```

eedfType: boltzmann      % boltzmann or prescribedEedf (generalized expression Maxwellian/Druyvesteyn)
% shapeParameter: 1      % prescribedEedf shape parameter from 1 (Maxwellian) to 2 (Druyvesteyn)
ionizationOperatorType: usingSDCS % conservative, oneTakesAll, equalSharing or usingSDCS
growthModelType: spatial        % temporal or spatial
includeEECollisions: false      % true or false (to include / remove e-e collisions)
LXCatFiles:                  % cross section files
    - Nitrogen/N2_LXCat.txt
    - Nitrogen/N2_rot_LXCat.txt
% LXCatFilesExtra: extra_LXCat.txt % extra cross section files
% effectiveCrossSectionPopulations: % see doc
%     - N2_effectivePop.txt
% CARGases:                  % gases for which CAR is activated
%     - N2
gasProperties:             % properties of the gases (S.I. Units)
mass: Databases/masses.txt
fraction:
    - N2 = 1
harmonicFrequency: Databases/harmonicFrequencies.txt
anharmonicFrequency: Databases/anharmonicFrequencies.txt
rotationalConstant: Databases/rotationalConstants.txt
electricQuadrupoleMoment: Databases/quadrupoleMoment.txt
OPBParameter: Databases/OPBParameter.txt
stateProperties:           % properties of the states (S.I. Units except for the energy [eV])
energy:
    - N2(X,v=*) = harmonicOscillatorEnergy
    - N2(X,v=0,J=*) = rigidRotorEnergy
statisticalWeight:
    - N2(X,v=*) = 1.0
    - N2(X,v=0,J=*) = rotationalDegeneracy_N2
population:
    - N2(X) = 1.0
    - Nitrogen/N2_vibpop.txt
    - N2(X,v=0,J=*) = boltzmannPopulation@gasTemperature
numerics:                  % configuration of numerical details of the simulation
energyGrid:                % properties of the energy grid (in eV)
maxEnergy: 1
cellNumber: 1000
smartGrid:                 % configuration of the smart grid
minEedfDecay: 20           % minimum number of decade-fall for the EEDF
maxEedfDecay: 25           % maximum number of decade-fall for the EEDF
updateFactor: 0.05          % factor used to increase or decrease the maximum value of the energy grid
maxPowerBalanceRelError: 1e-9 % threshold for the relative power balance warning message
nonLinearRoutines:
algorithm: mixingDirectSolutions % mixingDirectSolutions or iterativeSolution
mixingParameter: 0.7          % mixingDirectSolutions mixing parameter from 0 to 1
maxEedfRelError: 1e-9 % maximum rel. variation for edf between two iterations (stop criterion)
% odeSetParameters:          % optional parameters for ode solver of "iterativeSolution" algorithm
% MaxStep: 1e-7

```

Code 2: Configuration of the electron kinetics of the simulation

### IMPORTANT NOTES:

- The `isOn` allows the user to activate (`true`) or deactivate (`false`) the simulations of LoKI-B.
- The `eedfType` allows the user to specify the type of simulation for the EEDF:
  - `boltzmann`, corresponding to the solution of the EBE under the classical two-term approximation;
  - `prescribedEedf`, corresponding to a prescribed generalised Maxwellian EEDF at given electron temperature  $T_e$  (see section 5.1), calculated according to the expression [25–27]

$$f(u) = \left( \frac{2}{3k_B T_e} \right)^{3/2} \frac{\Gamma(\frac{5}{2s})^{3/2}}{\Gamma(\frac{3}{2s})^{5/2}} \exp \left( - \left[ \frac{\Gamma(\frac{5}{2s})}{\Gamma(\frac{3}{2s})} \frac{2eu}{3k_B T_e} \right]^s \right) , \quad (39)$$

where  $\Gamma$  represents the gamma-function and  $s$  is a positive real number that controls the “shape” of the EEDF. In particular, (39) yields a Maxwellian EEDF and a Druyvesteyn EEDF for  $s = 1$  and  $s = 2$ , respectively.

- The `shapeParameter` allows the user to specify the shape parameter  $s$ , when a `prescribedEedf` is selected.
- The `ionizationOperatorType` allows the user to choose the type of ionisation operator with the EBE. The possible configurations are (see section 1.2):
  - `conservative`: the ionisation is treated as an excitation process;
  - `oneTakesAll`: the ionisation is treated as a non-conservative mechanism, with the new-born secondary electron introduced at zero kinetic-energy and the scattered electron taking the remaining energy after an ionisation event.
  - `equalSharing`: the ionisation is treated as a non-conservative mechanism, with the remaining energy after the event being equally shared between the scattered and the secondary electrons.
  - `usingSDCS`: the ionisation is treated as a non-conservative mechanism, described by considering a Single Differential Cross Section (SDCS) that depends on the energies of the primary and the secondary electrons.

In general, the available experimental data for SDCS is scarce and often measured for only a few energy values of the primary electron. Here, we have adopted the comprehensive set of measurements of Opal *et al* [28], for the double differential cross section of different gases, resolved on both the energy and the angle of the secondary electron. This pioneering work proposes differential ionization cross sections with a very reliable shape [29], which can be normalized from available experimental data for the corresponding integral cross sections (see (11)). Indeed, after integration over the angles, and by comparing the energy distributions of the secondary electrons for different energies of the primary electrons, these authors proposed a fitting expression for the SDCS

$$\sigma_{i,\text{ion}}^{\text{sec}}(u', u) = \frac{\sigma_{i,\text{ion}}(u')}{w \arctg [(u' - V_{i,\text{ion}}) / (2w)]} \frac{1}{1 + (u/w)^\beta}, \quad (40)$$

where  $\beta \simeq 2$  and  $w$  is a parameter close to the ionisation threshold  $V_{i,\text{ion}}$ , which is set for each gas according to the original estimates [28]. Note that (40) satisfies exactly the expression (11) for the integral cross section  $\sigma_{i,\text{ion}}$ , and therefore it is used in LoKI-B to describe the energy distribution of the electrons involved in ionisation events, using available data and mitigating possible normalisation errors.

The value of  $w$  is introduced via the `OPBParameter`, in the `gasProperties` section (see below), and should be provided by the user if the choice of the `ionizationOperatorType` is `usingSDCS`. If no value is provided for this parameter, the code will take  $w$  equal to the ionisation potential for the gas.

- The `growthModelType` allows the user to specify the configuration of the growth model, `spatial` or `temporal`, for the electron density (see section 1.2);

The parameter `growthModelType` is **mandatory**, being used if the choice of the `ionizationOperatorType` is different than `conservative`, and/or in the case of electronegative gases (with non-conservative attachment mechanisms).

When choosing `growthModelType` equal to `spatial` the user **MUST** adopt a DC applied electric field, hence `excitationFrequency` must be set equal to 0 in this case.

Note that all non-conservative modes (`oneTakesAll`, `equalSharing`, `usingSDCS` and the presence of attachment collisions) activate non-linear operators for the creation / destruction of electrons, hence code performance is expected to decrease in these cases.

- The `includeEECollisions` allows the user to activate (`true`) or deactivate (`false`) the electron-electron collision operator in LoKI-B.

The parameter `includeEECollisions` is **mandatory**.

Note that the inclusion of electron-electron collisions activates a non-linear operator, hence code performance is expected to decrease in this case.

- In `LXCatFiles` the user can specify the text files (along with the corresponding path) containing the electron-scattering cross sections for the different gases used in the simulations, usually obtained from the open-access website LXCat (<http://www.lxcat.net/>). From these files, the code obtains also information about all the gases and all the states that are targets and/or products in the electron collisions.

**Note that not every cross section datafile obtained from LXCat is accepted, since it must comply with certain format requirements for LoKI-B to retrieve the relevant data; see section 6.1 for more information.**

- When `LXCatFilesExtra` is activated, the user can specify the text files (along with the corresponding path) containing information on “extra” electron-scattering cross sections. The “extra” refers to **cross sections that are not used in the solution to the EBE**, but only in the evaluation of the corresponding rate coefficients by integration over the EEDF.

**Usually, the “extra” files are obtained from the open-access website LXCat (<http://www.lxcat.net/>), provided they comply with certain format requirements for LoKI-B to retrieve the relevant data; see section 6.1 for more information.**

- The `effectiveCrossSectionPopulations` allows the user to specify some particular populations to evaluate an “elastic” momentum-transfer cross section from an “effective” one. The populations can be provided through one or more “property files”, located in the input folder or in any subfolder within it (see section 6.2).

For a gas  $k$ , the electron-neutral momentum-transfer cross section  $\sigma_{k,c}(u)$  is constructed by weighting the contributions of the different types of collisional mechanisms to give

$$\sigma_{k,c}(u) = \sum_i \xi_{k_i} \sigma_{k_i,c}^{\text{el}}(u) + \sum_{i,j>i} [\xi_{k_i} \sigma_{k_{(i,j)},c}(u) + \xi_{k_j} \sigma_{k_{(j,i)},c}(u)] \quad , \quad (41)$$

where  $\sigma_{k_i,c}^{\text{el}}$ ,  $\sigma_{k_{(i,j)},c}$  and  $\sigma_{k_{(j,i)},c}$  are the electron-neutral momentum-transfer cross sections for the elastic scattering of state  $k_i$ , the inelastic excitation  $k_i \rightarrow k_j$ , and the superelastic de-excitation  $k_j \rightarrow k_i$ , respectively. The latter cross sections, whose magnitudes are usually much smaller than those of  $\sigma_{k_i,c}^{\text{el}}$ , are often taken assuming an isotropic scattering (also due to the lack of data), in which case one can identify the momentum-transfer cross section with the integral cross section (integrated over all scattering angles), *i.e.*  $\sigma_{k_{(i,j)},c} \simeq \sigma_{k_{(j,i)},c}$ . Also, the elastic momentum-transfer cross section is usually identified with that of the highly-populated ground-state of the gas. Therefore

$$\sigma_{k,c}(u) \simeq \sigma_{k,c}^{\text{el}}(u) + \sum_{i,j>i} [\xi_{k_i} \sigma_{k_{(i,j)},c}(u) + \xi_{k_j} \sigma_{k_{(j,i)},c}(u)] \quad . \quad (42)$$

The solution of the EBE requires information on both the “elastic” and the “total” momentum-transfer cross sections, but the way to obtain it depends on the momentum-transfer data available for each gas. If the “elastic” momentum-transfer cross section is available, equation (42) can be used directly to deduce the corresponding “total”  $\sigma_{k,c}$ , knowing the electron-scattering inelastic / superelastic cross sections for the transitions considered together with the relevant populations, to be self-consistently determined (if possible) within a kinetic model. Conversely, if the data available is for a “total” momentum-transfer cross section, which in databases is usually termed as “effective”  $\sigma_{k,c}^{\text{eff}}$ , then the elastic momentum-transfer cross section can be deduced from

$$\sigma_{k,c}^{\text{el}} = \sigma_{k,c}^{\text{eff}} - \sum_{i,j>i} [\xi_{k_i}^{\text{prescribed}} \sigma_{k_{(i,j)},c} + \xi_{k_j}^{\text{prescribed}} \sigma_{k_{(j,i)},c}] \quad , \quad (43)$$

where the populations  $\xi_{k_i}^{\text{prescribed}}$  and  $\xi_{k_j}^{\text{prescribed}}$  correspond to some prescribed distribution, coherent with the measured / estimated data of  $\sigma_{k,c}^{\text{eff}}$ , and allowing to obtain a *unique* result (density independent) for the elastic cross section.

**The prescription of populations  $\xi_{k_i,j}^{\text{prescribed}}$  by the user is strongly discouraged and should be taken with extreme care, since the values of these populations are directly used in (43) without any checking or processing. In any case, this feature is to be used only when an “effective” momentum-transfer cross section is provided, instead of an “elastic”. By default, LoKI-B adopts a Boltzmann distribution at the gas temperature for  $\xi_{k_i,j}^{\text{prescribed}}$ , but the user can modify this choice.**

Note also the following:

- when the collisional data for a gas includes an electron-impact “effective” momentum-transfer cross section, the code evaluates an elastic momentum-transfer cross section and uses this **same** cross section for **all** the electronic target-states of the gas, with non-zero population;
  - when the collisional data for a gas includes an electron-impact “elastic” momentum-transfer cross section (e.g. for the ground-state of the gas), the user must provide **individual** elastic momentum-transfer cross sections for **each** electronic target-state of the gas, with non-zero population.
- In **CARgases** the user can specify the gas or gases (indicated as a list of gas names) for which the continuous approximation for rotations (CAR) [13] should be activated in the simulations (see section 1.2). When the CAR is not to be used, this field must be removed or commented.

Note that the CAR approach of (9c), to describe the effect of rotational inelastic/superelastic mechanisms, is valid only for some homonuclear diatomic molecules, such as N<sub>2</sub> and O<sub>2</sub> for which it was tested. In general, for rotations, the discrete approach of the collision operator (10a) should be adopted instead.

- In **gasProperties** the user can specify the relevant properties of the different gases used in the simulations, such as

```
mass
fraction
harmonicFrequency
anharmonicFrequency
rotationalConstant
electricQuadrupoleMoment
OPBParameter
```

- It is **mandatory** to specify the values of **mass** and **fraction**. The latter should add to 1, when summed over all gases used in the simulations.
- **harmonicFrequency** and **anharmonicFrequency** are optional, and are used to evaluate the energy of the different vibrational states by assuming an harmonic / anharmonic oscillator model.
- **rotationalConstant** is optional, and is used to evaluate the energy of the different rotational states by assuming a rigid-rotor model.
- **rotationalConstant** and **electricQuadrupoleMoment** are optional, and are used when adopting the CAR approach for homonuclear diatomic molecules. In the latter case the code returns an error message if these parameters are not specified.
- **OPBParameter** is optional and corresponds to the value of the *w* parameter to be used in (40), when adopting the **usingSDCS** mode for the ionisation operator.

The values of the different gas properties can be specified using one of the following methods:

- “Direct input” (see example below).

```
N2 = 1
```

When using the “direct input” method, properties must be set only for those gases used in the simulations, i.e. those listed in the specified LXCat files.

- “Property file” (see example below; for more information about “property files” see section 6.2).

```
mass: Databases/masses.txt
```

When using the “property file” method, it is possible to specify the properties of gases that are not used in the simulations. LoKI-B handles input files as “databases”, where it searches only for the information required.

- In **stateProperties** the user can specify the properties of the states of the different gases used in the simulations, in accordance with the information retrieved from the LXCat files. The properties available are

```

energy
statisticalWeight
population

```

- `energy` and `statisticalWeight` are optional, and can be used for the code to evaluate the `population` of some set of states, for example adopting a given distribution.
- `statisticalWeight` is **mandatory** for those states appearing in the LXCat files as involved in second-kind collisions (mechanisms tagged with a double-arrow  $\leftrightarrow$ ), in which case the Klein-Rosseland relation [18] is used to obtain the superelastic cross section from the corresponding inelastic.
- It is **mandatory** to specify the values of `population` for all states considered as targets of electron-scattering mechanisms (*e.g.* the vibrational distribution of an electronic ground-state). The values of the populations should add to 1, when summed over all sibling states.

The values of the different properties of states can be specified using one of the following methods (note that the syntax supports the wildcard character `*`):

- “Direct input” (see example below)

```
N2(X,v=*) = 1.0
```

- “Property functions” (see example below; for more information about “property functions” see section 7.1).

```
N2(X,v=*) = harmonicOscillatorEnergy
```

**When using the “direct input” method or the “property functions” method, properties must be set only for those gases and states used in the simulations, *i.e.* those listed in the specified LXCat files.**

- “Property file” (see example below; for more information about “property files” see section 6.2).

```
Nitrogen/N2_vibpop.txt
```

**When using the “property file” method, it is possible to specify the properties of gases and states that are not used in the simulations. LoKI-B handles input files as “databases”, where it searches only for the information required.**

- In `numerics` the user can specify the numerical details of the simulations. The configuration parameters available are

```

energyGrid
maxPowerBalanceRelError
nonLinearRoutines

```

- The `energyGrid` allows the user to specify the properties of the energy grid for simulations in LoKI-B (see section 2):

- \* `maxEnergy`, corresponding to the value of  $u_{\text{max}}$  (in eV) (this is a **mandatory** parameter);
- \* `cellNumber`, is an integer corresponding to the number of cells  $\mathcal{N} > 0$  of the energy grid (this is a **mandatory** parameter);
- \* `smartGrid`, activating a “smart grid” that will automatically adjust the maximum energy of the grid, as to ensure a user-prescribed number of decades in the fall of the EEDF, between  $f_1$  and  $f_N$  (this is an optional parameter). This option is particularly relevant for simulations spanning different values of  $E/N$  or  $T_e$ . The configuration parameters are:
  - `minEedfDecay`, is an integer corresponding to the minimum number of decade-fall for the EEDF;
  - `maxEedfDecay`, is an integer corresponding to the maximum number of decade-fall for the EEDF ( $\text{maxEedfDecay} > \text{minEedfDecay}$ );
  - `updateFactor`, corresponding to the factor by which the `maxEnergy` is increased or decreased, in the automatic adjustment of the energy grid ( $0 < \text{updateFactor} < 1$ ).

**Note that the “smart grid” is as “smart” as the user that control its properties. So, if you have doubts on how this works, it is better to ignore this feature. However, if you decide to activate the “smart grid”, you should consider the following:**

1. When the smart grid is activated, the `maxEnergy` parameter is the initial value for the maximum energy of the grid. Please try to provide the best possible approximation for it, in order to avoid unnecessary iterations that will degrade the run time.
  2. Do not specify very restrictive conditions for the EEDF, for example by setting `minEedfDecay` to 20 and `maxEedfDecay` to 21, since this condition can only be satisfied by imposing a very-small `updateFactor`, which will also degrade the run time. Typical values for the decade-fall are within 10 to 25, considering not only the use of double precision, but also the order of magnitude of the various quantities (kinetic energy, cross sections) multiplying the EEDF in the calculations.
  3. Use a “reasonable” value for the `updateFactor` parameter. Very-small values will decrease the performance of the code, whereas very-large values will make extremely difficult for the code to satisfy the decade-fall imposed for the EEDF. Recommended values are usually between 0.05 and 0.2.
- The `maxPowerBalanceRelError` allows the user to specify the threshold value for the error of the relative power balance, above which the code produces a warning message. The typical threshold value is  $10^{-9}$ . (This is a **mandatory** parameter).
  - In `nonLinearRoutines` the user can specify several parameters for the configuration of the algorithms with the non-linear routines, dealing with the non-conservative collision operators (ionisation and attachment) and the electron-electron collisions operator (see section 2).
    - \* `algorithm`: the user can choose the nonlinear algorithm to adopt in the simulations. (This is a **mandatory** parameter).
      - `mixingDirectSolutions`, which uses two nested iterative algorithms, based on successive direct solutions. This algorithm is recommended in general.
      - `iterativeSolution`, which uses a relaxation method. This algorithm is recommended only in the case of strong nonlinear effects (e.g. high electronegativity and/or high ionization degrees).
    - \* `mixingParameter`: the user **MUST** define the value (between 0 and 1) of a mixing parameter, when choosing the `mixingDirectSolutions` algorithm.
    - \* `maxEedfRelError`: the user can define the stop criterion for the `nonLinearRoutines`, by defining the maximum value for the relative variations of the EEDF, between two consecutive iterations. The typical value is  $10^{-9}$ . (This is a **mandatory** parameter).
    - \* `odeSetParameters`: this is an optional field where the user can define specific options for the `iterativeSolution` solver. A detailed description of the different options can be found in the MATLAB documentation [30]. An example for one of the useful options is given below.
      - `MaxStep`: is the maximum value for the step of the advancing parameter with the `iterativeSolution` algorithm. The typical value is  $10^{-7}$ .

### 5.3 Graphical user interface

In this field of the setup file the user can set the configuration of the Graphical User Interface (GUI). Code 3 shows the section of the '`default_lokib_setup.in`' file that handles this configuration.

```
% --- configuration of the graphical user interface ---
gui:
  isOn: true
  refreshFrequency: 1
```

Code 3: Configuration of the GUI of the simulation

#### IMPORTANT NOTES:

- The `isOn` parameter allows the user to activate (`true`) or deactivate (`false`) the GUI.
- In `refreshFrequency` the user can control the frequency with which the GUI is refreshed. The value of this parameter corresponds to the number of required simulations for the GUI to be updated, hence the larger this value the less frequently the GUI is updated.

Note that a very frequent update of the GUI can considerably degrade the run time.

## 5.4 Output

In this field of the setup file the user can define the details of the simulation output. Code 4 shows the section of the '`default_lokib_setup.in`' file that handles this configuration.

```
% --- configuration of the output files ---
output:
  isOn: false
  folder: simulation1
  dataFiles:
    - eedf
    - swarmParameters
    - rateCoefficients
    - powerBalance
    - lookUpTable
```

Code 4: Configuration of the output of the simulation

### IMPORTANT NOTES:

- The `isOn` parameter allows the user to activate (`true`) or deactivate (`false`) the output.
- In `folder` the user can specify the folder where the datafiles of the simulation output will be saved. This is an optional parameter; if `folder` is removed, the code will generate a generic name for the output folder, with a time stamp.  
The output folder(s) will be located inside `[repository folder]/LoKI-B_v1.0.0/Code/Output/`.
- When `isOn` is `true`, `dataFiles` is a **mandatory** parameter, where the user can specify the information to be saved after each simulation. The available options, with self-explanatory names, are (see section 3):

```
  - eedf
  - swarmParameters
  - rateCoefficients
  - powerBalance
  - lookUpTable
```

## 6 Auxiliary input files

This section gives detailed information on the configuration of the different auxiliary input files used by LoKI-B, namely on the notation to be adopted in the description of the species and the electron-scattering mechanisms involved in the simulations.

The auxiliary input files should be located in the input folder [repository folder]/LoKI-B\_v1.0.0/Code/Input/ or in any subfolder within it. They are plain text files, although some can display extensions different than \*.txt, for the sake of folder organisation.

### 6.1 LXCat files

By default, LoKI-B uses electron-scattering cross sections obtained from the LXCat open-access website [31], in the solution to the EBE or as *extra* data for integration over the calculated EEDF, to obtain electron macroscopic parameters. The cross sections can be **assembled into a single file or given from multiple files**, and if/when **appearing duplicated in the datafiles they are considered only once by LoKI-B**.

LXCat is organised to provide “data required for modeling low temperature plasmas” [31] in the most effective way. In the case of electron-neutral scattering cross sections, LXCat provides easy access to *complete sets* of cross sections, defined as those giving a good description of all electron energy and momentum losses [32], yielding electron swarm parameters in agreement with available measured data (within experimental uncertainties), when used in a two-term Boltzmann solver [20, 32]. Each *complete set* assembles cross sections for electron collisions with different neutral targets, usually associated with the electronic ground-state of each gas. To date, on LXCat these data are tagged with the name of the corresponding gas (*e.g.* Ar, N<sub>2</sub>, O<sub>2</sub>, CO<sub>2</sub>, ...) and are grouped under the category **Ground states**, where one can find cross sections for the collisions of electrons with the electronic / vibrational / rotational ground-states of a neutral gas. For example, the datasets N<sub>2</sub> may contain (i) the elastic / effective momentum-transfer cross section for N<sub>2</sub>; (ii) excitation cross sections for different electronic states (including the ionisation state), from the electronic ground-state N<sub>2</sub>(X); (iii) excitation cross sections for different vibrational states N<sub>2</sub>(X,v), from the vibrational ground-state N<sub>2</sub>(X,v=0); (iv) a global rotational excitation cross section for N<sub>2</sub>(X).

This practical organisation of LXCat can create some difficulties when a detailed discrimination and handling of data is intended. The reason is of technical nature, and it relates with the fact that, to date, electron collisions with the **Ground state** of a gas are described as a collection of mechanisms e + gas name -> ..., with no possibility to specify the internal structure of the electronic ground-state of the gas. Meaning that the information, if any, about the different species involved in each electron collision is scattered across the LXCat files, depending on the strategy adopted by each particular LXCat contributor. Obviously, this makes impossible to develop a general parser to collect the full list of species (with internal structure), for any file downloaded from LXCat.

This problem is to be solved by the developers of the platform, but meanwhile users can modify any cross section datafile downloaded from LXCat, to make it compliant with the LoKI-B parser. For this, it is important to understand how LXCat structures the metadata associated with each cross section. In an LXCat file, each cross-section-table comes with a header including the following fields:

- SPECIES
- PROCESS
- PARAM.
- COMMENT (multiple lines)
- UPDATED
- COLUMNS.

In principle, LoKI-B should check the **PROCESS** field to obtain details on the full structure of reactants and products, for each electron-impact mechanism, but again this is not available in the current structure of LXCat metadata. To circumvent this limitation, the LoKI-B parser looks for this information in the first line of the free-field **COMMENT**, which is modified to meet the following structure:

```
COMMENT:[<electron>+<target state><directionality><products states>,<collision type>]
```

...

where the square brackets and spaces are mandatory characters, and where the different elements in the expression have the following features:

- **electron**: can be represented by either characters **e** or **E** (see section [6.3](#) for more information about the description of the species involved in electron-scattering mechanisms).
- **target state**: can detail the electronic/vibrational/rotational state of the target species (see section [6.3](#) for more information about the description of the species involved in electron-scattering mechanisms).
- **directionality**: can be a forward arrow ( $\rightarrow$ ) for inelastic collisions, or a double arrow ( $\leftrightarrow$ ) for inelastic/superelastic collisions.
- **product states**: sum of all the product(s) resulting from an electron collision, including electron(s) and heavy-species, eventually with indication of the electronic/vibrational/rotational level (see section [6.3](#) for more information about the description of the species involved in electron-scattering mechanisms).
- **collision type**: one of the following collision types, supported by the LXCat database: **Elastic**, **Effective**, **Excitation**, **Vibrational**, **Rotational**, **Ionization** or **Attachment**. Note the **mandatory** capital letters, since the parser is case sensitive.

The workaround described above is the practical solution to prepare any cross section datafile obtained from LXCat for compliance with the LoKI-B parser, and it is already implemented in the following files:

- the LXCat files distributed with the code, and located in [repository folder]/LoKI-B\_v1.0.0/Code/Input/ (see section [4.2](#)).

**Before modifying any other LXCat file, the user should check the files distributed with the code, to become familiarised with the modifications needed.**

- cross section files, directly downloadable only from the IST-Lisbon database with the website LXCat, and to date only for the gases indicated below:

- Argon: **Ar**, for electron excitations from the ground-state Ar(1S<sub>0</sub>);
- Helium: **He**, for electron excitations from the ground-state He(1<sup>1</sup>S);
- Nitrogen: **N2**, for electron excitations from the electronic ground-state N<sub>2</sub>(X) and the vibrational ground-state N<sub>2</sub>(X,v=0); **N2-vib** for electron excitations from vibrational states N<sub>2</sub>(X,v>0); **N2-rot** for electron excitations from rotational states N<sub>2</sub>(X,v=0,J);
- Oxygen: **O2**, for electron excitations from the electronic ground-state O<sub>2</sub>(X) and the vibrational ground-state O<sub>2</sub>(X,v=0); **O2-rot** for electron excitations from rotational states O<sub>2</sub>(X,v=0,J);
- Carbon dioxide: **CO2**, for electron excitations from the electronic ground-state CO<sub>2</sub>(X) and the vibrational ground-state CO<sub>2</sub>(X,v=000).

In future releases of the code, we plan distributing a script to automatically modify LXCat files, for compliance with the LoKI-B parser.

Code [5](#) shows an example of a modified header, for a cross-section-table extracted from the **N2\_LXCat.txt** file distributed with LoKI-B.

```
EXCITATION
N2 -> N2 (v=0 - v=1)
 3.000000e-1
SPECIES: e / N2
PROCESS: E + N2 -> E + N2 (v=0 - v=1), Excitation
PARAM.: E = 0.3 eV, complete set
COMMENT: [e + N2(X,v=0) <-> e + N2(X,v=1), Vibrational] Pitchford L C and Phelps A V 1982
COMMENT: Bull. Am. Phys. Soc. 27 109 Tachibana K and Phelps A V 1979 JCP 71 3544 Schulz G J 1962
COMMENT: Phys. Rev. 125 229 Schulz G J 1964 Phys. Rev. 135 A988 Schulz G J 1973 Rev. Mod. Phys.
COMMENT: 45 423 Engelhardt A G, Phelps A V and Risk C G 1964 Phys. Rev. 135 A1566 Pavlovic Z,
```

```

COMMENT: Boness M J W, Herzenberg A and Schulz G J 1972 Phys. Rev. A 6 676.
UPDATED: 2017-09-03 10:54:40
COLUMNS: Energy (eV) | Cross section (m2)
-----
3.000000e-1 0.000000e+0
4.000000e-1 3.000000e-23
5.000000e-1 4.000000e-23
...
9.653000e+2 1.238000e-24
9.654000e+2 0.000000e+0
1.000000e+3 0.000000e+0
-----
```

Code 5: Extract from the `N2_LXCat.txt` file distributed with LoKI-B (cf the modified `COMMENT` field)

## 6.2 Property files

Property files are text files with the properties of gases and states used in the simulations. Some property files are distributed with LoKI-B, but in general these files can be prepared by the user, considering the following rules:

- for organisation sake, all “property files” specified in the setup file should be located inside the input folder (`[repository folder]/LoKI-B_v1.0.0/Code/Input`), eventually organised in subfolders with self-explanatory names (*e.g.* Nitrogen, Databases; see section 4.2);
- property files can include comments, signaled by the character `%`;
- property files **MUST** be two-column-formatted, separated by any white-space character. The first column should include the gas/state name (see section 6.3 for more information about the description of the species used in the simulations), and the second column should include the value of the property for that particular gas/state;
- property files accept basic mathematical operations (such as addition, subtraction, multiplication and division), in the second column with the value of the property (see Code 6 as an example);
- property files can include the properties of gases/states not used in the simulations. LoKI-B handles input files as “databases”, where it searches only for the information required.

Codes 6 and 7 show two examples of property files distributed with LoKI-B.

```
% masses of different gases expressed in SI units (Kg)
N2    2*14.007*1.660539040e-27
N     14.007*1.660539040e-27
O2    2*15.999*1.660539040e-27
O     15.999*1.660539040e-27
H2    2*1.008*1.660539040e-27
H     1.008*1.660539040e-27
Ar    39.948*1.660539040e-27
He    4.002602*1.660539040e-27
```

Code 6: Property file with the masses of different gases (`Databases/masses.txt`)

```
% populations of the different vibrational states of N2
N2(X,v=0)   1
N2(X,v=1)   0
N2(X,v=2)   0
N2(X,v=3)   0
N2(X,v=4)   0
N2(X,v=5)   0
N2(X,v=6)   0
N2(X,v=7)   0
N2(X,v=8)   0
```

```
N2(X,v=9) 0
N2(X,v=10) 0
```

Code 7: Property file with a vibrational distribution of N<sub>2</sub> (Nitrogen/N2\_vibpop.txt)

### 6.3 Species description

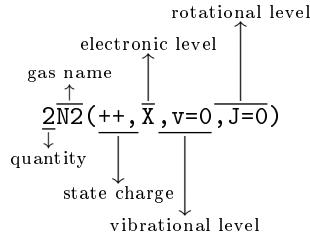
This section describes the ontology, including syntax rules, for the representation of the different species used in the simulations of LoKI-B.

LoKI-B handles two different types of species: electrons and heavy species (atomic or molecular). All species are represented by a **string without any white-space characters** and when specified in an electron collision **they are separated by plus signs (+)**.

The representation of these species follows the syntax rules below:

- **Electrons:** can be represented by either characters e or E, which can appear multiplied by an integer, *e.g.* 2e which is equivalent to e + e.
- **Heavy species:** refer to the different atomic or molecular species used in the simulations. **For electron-collision target species, it is mandatory to provide information on its configuration (see below).**

The scheme below shows the general structure to adopt, when representing the configuration of a particular species:



where

- quantity: is an **optional** field composed only by integers. It represents the number of species to be considered.
- gas name: is a **mandatory** field composed by letters (English alphabet), integers and underscore characters (\\_). It can not start with an integer and is case sensitive.
- state charge: is an **optional** field composed by plus (+) and minus (-) characters. It represents the charge of an ionic state and has to terminate with a comma (,).
- electronic level: is a **mandatory** field composed by letters (English alphabet), integers, underscore characters (\\_), plus characters (+), minus characters (-), asterisk characters (\*), apostrophe characters ('), square brackets ([])) and periods (.).
- vibrational level: is an **optional** field composed by letters (English alphabet), integers, underscore characters (\\_), plus characters (+), minus characters (-) and asterisk characters (\*). It has to start with the string “,v=”.
- rotational level: is an **optional** field composed by letters (English alphabet), integers, underscore characters (\\_), plus characters (+), minus characters (-) and asterisk characters (\*). It has to start with the string “,J=” and it must necessarily come after the vibrational level field.

#### IMPORTANT NOTE:

The asterisk character (\*) is supported **only** in the setup file, not in the LXCat auxiliary input files.

## 7 Auxiliary functions

This section describes several auxiliary functions that can be used in the simulations of LoKI-B.

### 7.1 Property functions

Property functions are `*.m` functions that can be used to specify the properties of gases and states used in the simulations. Some property functions are distributed with LoKI-B, but in general these functions can be prepared by the user, according to the needs for new functionalities. In this case, the user is advised to mimic the structure of any property function already provided with the code.

The following should be considered:

- for organisation sake, all “property functions” specified in the setup file should be located in the property functions folder (`[repository folder]/LoKI-B_v1.0.0/Code/PropertyFunctions`; see section 4.2);
- property functions are called from the setup file (see section 5) using the following structure:

$$\text{<function name>} @ \underbrace{[\text{list of parameters}]}_{\text{Optional}}$$

where the `list of parameters` is a list of comma-separated values with all the parameters called by the particular property function. The parameters sent to the property functions can be mapped to the values of the different working conditions previously defined in the setup file (see Code 2 for examples);

- property functions **MUST** be set only for those gases and states used in the simulations, *i.e.* those listed in the specified LXCat files.

Table 2 lists the property functions distributed with LoKI-B\_v1.0.0, along with the required parameters and dependencies.

Table 2: List of property functions distributed with LoKI-B\_v1.0.0

Property	Function name	Parameters [units]	Dependencies
energy	harmonicOscillatorEnergy	n/a	harmonicFrequency
	morseOscillatorEnergy	n/a	anharmonicFrequency
	rigidRotorEnergy	n/a	rotationalConstant
statisticalWeight	rotationalDegeneracy	n/a	n/a
	rotationalDegeneracy_N2	n/a	n/a
	rotationalDegeneracy_H2	n/a	n/a
population	boltzmannPopulation	Temperature [K]	energy statisticalWeight
	treanorPopulation	Temperature [K]	energy statisticalWeight

## 8 Validity limits and control

As any numerical tool, LoKI-B provides a mere representation of the energy distribution of the electrons in a LTP, because it is based on a specific formulation with embedded approximations. Therefore, the tool should be used only in situations fitting its validity limits, and users are advised to carefully read the extensive literature on the two-term EBE [10], for obtaining details about its solution.

The two-term approximation adopted in LoKI-B to solve the EBE is valid in a situation of small anisotropies [10], corresponding to electron-neutral mean-free-paths much smaller than any characteristic dimension of the plasma container, and an energy gain from the electric field, between collisions, much smaller than the electron kinetic energy. For a homogeneous scenario like the one described here, the latter condition is the most relevant and can be approximately written as (see (34a) and (38b))

$$\Theta_E \sim \mu_e E^2 \ll \varepsilon \nu_c , \quad (44)$$

which yields, for a Maxwellian EEDF at 1 eV temperature and a typical reduced collision frequency  $\nu_e/N \sim \sigma_c \langle v \rangle \sim 10^{-19} \times 10^6 = 10^{-13} \text{ m}^3 \text{ s}^{-1}$ ,  $E/N \ll \sqrt{m_e \varepsilon / e(\nu_c/N)} \sim 300 \text{ Td}$ . This is a conservative limit for the reduced electric-field, often exceeded in calculations because the two-term approximation yields fairly good results still.

**However, users are advised to check the EEDF results when taking  $E/N$  values much above the estimated validity limit, namely by comparing the solution obtained for the isotropic and the anisotropic parts of the electron distribution function.**

Also, HF calculations are valid only if the oscillation frequency of the electric field is much larger than the typical frequency for the electron energy relaxation, which can be approximately written as (see (9b))

$$\omega \gg \gamma N(\nu_c/N) , \quad (45)$$

corresponding to  $\omega/(2\pi) \gg 20 \text{ MHz} - 20 \text{ kHz}$  for  $\nu_c/N \sim 10^{-13} \text{ m}^3 \text{ s}^{-1}$ ,  $\gamma \sim 5 \times 10^{-5}$ ,  $T_g = 300 \text{ K}$  and  $p \sim 10^5 \text{ Pa} - 130 \text{ Pa}$ .

**Again, users are advised to check the validity of the HF approximation for the particular working conditions considered.**

LoKI-B contains several control features upon the input data and the calculation results, and in some cases it returns warning messages for the benefit of users. In particular:

- the code controls the maximum value  $u_{\max}$  of the energy grid adopted, comparing it with the cutoff energy  $u_{\text{cutoff}}$  of the electron-neutral elastic momentum-transfer cross section.  
When  $u_{\text{cutoff}} < u_{\max}$  a warning message is returned, and if the user accepts to proceed with the calculations these are carried out by setting to zero the values of any cross section from its corresponding  $u_{\text{cutoff}}$  and up to  $u_{\max}$ . LoKI-B does not extrapolate cross sections beyond the cutoff energies defined in the input data-files, again because it adopts an ontology that separates the tool and the data. The user is recommended to always careful examine the cross sections being used and, if needed, he/she should adopt adequate laws to extrapolate them, chosen according to the specific processes considered (e.g. dipole allowed or dipole forbidden electron excitation, ionization, etc);
- the code returns a warning message if the calculation of the elastic momentum-transfer cross section from the corresponding effective produces negative values for  $\sigma_{k,c}^{\text{el}}$  (see section 5.2), which is then set to zero. In this case, users are advised to check the cross section data adopted;
- the code controls the quality of the Boltzmann-matrix inversion, by monitoring the error upon the fractional electron power-balance. The latter is obtained from (37), by normalizing this equation with respect to a reference power-density  $\Theta_{\text{ref}}$ , defined for each calculation as the sum of all power-gain terms (38b), (38c), (38e).

The relative error  $[\Theta_E + \Theta_{\text{coll}}^{\text{gain}} + \Theta_{\text{coll}}^{\text{loss}} + \Theta_{\text{growth}}] / \Theta_{\text{ref}}$  (with typical values  $\sim 10^{-9} - 10^{-10}$ , and user-defined maxPowerBalanceRelError threshold for producing a warning message, see section 5.2) degrades for very-low  $E/N$ s, due to the smallness of the power-density values for all the power-transfer channels, and for very-high  $E/N$ s, if the  $u_{\max}$  grid-limit adopted in the calculations is not large enough to prevent ill-conditioned EBE-matrices.

## 9 Changelog

This is probably not very interesting for the ordinary user. But since you have made it this far, please take a moment to read a brief history of the code since its first release.

- LoKI-B\_v1.0.0 (2019/03/15):

- NEW FEATURE: The user can now use a “iterative solutions” algorithm to solve the non-linear operators. For more information see section [5.2](#).
- NEW FEATURE: Added power transfer plot to the GUI.
- NEW FEATURE: Added evaluation and output of the elastic/effective collision rate coefficients.
- NEW FEATURE: Added attachment collisions as non-conservative mechanism (when they are present).
- NEW FEATURE: Added the possibility for the user to change the scale of the EEDF graph in the GUI (linear/log).
- NEW FEATURE: Added evaluation of the first anisotropy
- IMPORTANT CHANGE: The reference power is now considered as the total gained power from all possible sources.
- IMPORTANT CHANGE: The configuration of the electron kinetics on the setup file has been slightly modified in order to accomodate new features. For more information see section [5.2](#).
- IMPORTANT CHANGE: Changed the “Maxwellian” EEDF type by “prescribedEedf” (generalised expression with Maxwellian/Druyvesteyn as particular cases). For more information see section [5.2](#).
- BUG FIX: fixed a problem that caused the results of the first job of a simulation to be saved outside its subfolder.
- BUG FIX: fixed small error in the morse oscillator energy formula.
- A few minor changes to improve stability and performance of the code.

- LoKI-B\_v0.6.3 (2018/03/26):

- BUG FIXED: corrected a problem with the Klein-Rosseland expression in the evaluation of superelastic cross sections. The bug only affected the evaluation of an elastic cross section from an effective one, and not the actual Boltzmann calculations. However, results obtained with previous versions of the code may be greatly affected (when using “effective” cross sections and superelastic mechanisms) hence it is recommended to repeat calculations with this new version.
- BUG FIXED: fixed a problem with the detailed balance in the e-e collisions. This bug only introduced a small error that affected convergence of the non-linear algorithm.

- LoKI-B\_v0.6.2 (2018/01/18):

- BUG FIXED: corrected some expressions used in the evaluation of the swarm parameters, for the cases when the electron density growth is activated.
- BUG FIXED: fixed a problem with the Maxwellian type of EEDF, for which the code used to crash when trying to output (GUI or file) the power losses due to the electron density growth.

- LoKI-B\_v0.6.1 (2018/01/16):

- BUG FIXED: previously the Spitzer integrals (e-e collision operator) were updated inside the routines with the operators for the electron-density growth. Now the update is done outside these routines, and convergence on both operators is completely isolated.
- BUG FIXED: previously, different EEDFs were being used to evaluate different parts of the e-e collision operator. Now, the convergence of the algorithm has been improved.
- The global cycle for the non-linear operators of the Boltzmann equation is now testing the changes in the EEDF only as convergence criterion.
- Slight improvement in the warning messages, in the cases where the non-linear operators of the Boltzmann equation do not converge.
- A few minor changes to improve stability and performance of the code.

- LoKI-B\_v0.6.0 (2018/01/13):

- NEW FEATURE: The user can now select non-conservative ionization operators (“one takes all”, “equal sharing” or “using single differential cross section”) for the Boltzmann equation as well as two types of growth models (“spatial” or “temporal”) for the electron number density. For more information, see sections 1 and 5.2.
- NEW FEATURE: The user can now include the electron-electron collision operator in the Boltzmann calculations. For more information, see sections 1 and 5.2.
- IMPORTANT CHANGE: Changes in the way the power balance is evaluated. The evaluation of the “reference power” is now fairer. The power transferred to the elastic and the CAR channels is now separated into gains and losses, and the reference power is obtained as the channel from which the electrons gain more energy.
- BUG FIXED: fixed bug in the State.find static method, that caused errors when finding all the electronic states of a certain gas.
- BUG FIXED: fixed bug introduced in commit 813e38f (Nov 9, 2017), that prevented the user to deactivate the output of the code.
- A few minor changes to improve stability and performance of the code.

- LoKI-B\_v0.5.0 (2017/12/14):

- NEW FEATURE: The electron drift velocity is now evaluated by the code. This information can be seen in the “Swarm parameters” and “Look-up-table” tabs of the GUI, as well as in the corresponding output files. (User Requested)
- NEW FEATURE: “Extra” electron-scattering cross sections, *i.e.* cross sections that are not taken into account when solving the electron Boltzmann equation, can now be added. The corresponding rate coefficients are obtained by integrating these cross sections over the calculated EEDF. For more information, see section 5.2.
- NEW FEATURE: The power balance is now detailed in a per-gas basis. (User Requested)
- BUG FIXED: The output of the electron “Ionization” and “Attachment” was not being done properly.
- IMPORTANT CHANGE: the only comment character allowed in the input files is now %, for performance reasons. The # character is NO LONGER RECOGNISED.
- IMPORTANT CHANGE: A few changes in the way the properties of the setup file are organised. For more information, see section 4.3.
- A few changes where introduced to improve the performance of the code:
  - \* Improved performance of the Collision.find static method, by avoiding implicit values in the loop.
  - \* Improved performance of the output.saveEedf method, by vectorizing a loop (almost 13x speedup)
- A few minor changes to improve stability and performance of the code.

- LoKI-B\_v0.4.0 (2017/10/17):

- NEW FEATURE: The user can now prescribe a Maxwellian EEDF. For more information, see section 5.2.
- NEW FEATURE: The user can now specify the “refresh frequency” of the GUI, in order to speedup simulations. For more information, see section 5.3.
- Structural changes in the calculations and aesthetical changes in the GUI, in order to speedup calculations. A huge difference is observed, when compared with previous versions.
- BUG FIXED: the electron temperature was being calculated with the characteristic energy instead of the mean energy.
- BUG FIXED: solved bug causing the GUI to display the same rate coefficient for direct and inverse processes.
- A few minor changes to improve stability and performance of the code.

- LoKI-B\_v0.3.0 (2017/09/08):

- NEW FEATURE: The user can now specify the populations used for the evaluation of the elastic momentum-transfer cross section, from the effective. This feature must be used with care. For more information, see section 5.2.
  - Added graphs in the GUI, for the reduced first Townsend and attachment coefficients vs.  $E/N$ .
  - Included the character “–” in the names of electronic states (important for some N2 states, and not supported before).
  - BUG FIXED: fixed error in the evaluation of the elastic momentum-transfer cross section from the effective. The bug affected molecular gases only.
  - BUG FIXED: fixed a completely harmless error related with the parsing of collisions. Electrons where not properly accounted for.
  - A few minor changes to improve stability and performance of the code.
- LoKI-B\_v0.2.0 (2017/06/09):
    - NEW FEATURE: added support for the continuous approximation for rotations (CAR). For more information, see sections 1 and 5.2.
    - BUG FIXED: the evaluation of the elastic momentum-transfer cross section from the effective is now properly done. Previously, there were some errors in the evaluation of the populations of rotational states, as well as with the inclusion of superelastic mechanisms. The problem was not affecting the simulations in which an elastic cross section was provided by the user.
    - A few minor changes to improve stability and performance of the code.
  - LoKI-B\_v0.1.1 (2017/04/10):
    - BUG FIXED: solved error in the calculation of the reduced first Townsend coefficient. The reduced field was being used in Townsend instead of SI units, in the evaluation of the coefficient.
    - A few minor changes to improve stability and performance of the code.
  - LoKI-B\_v0.1.0 (2017/04/05):
    - First release of the code (beta).

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