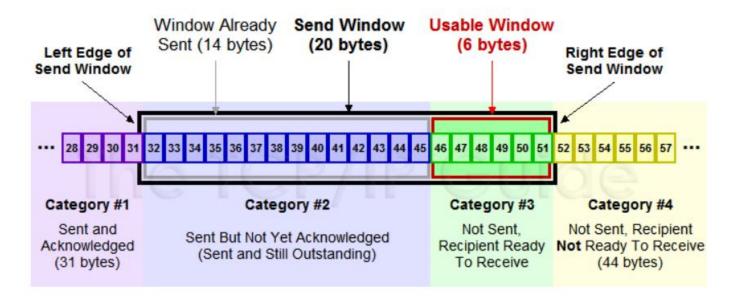
CS 305 Lab Tutorial Lab 8 TCP Sliding Window & QUIC

Dept. Computer Science and Engineering Southern University of Science and Technology



Part A.1 Sliding window system(1)

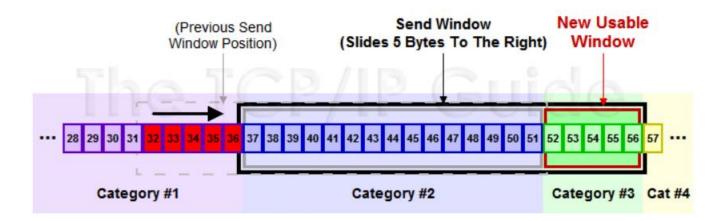


The *send window* is the key to the entire TCP sliding window system: it represents the maximum number of unacknowledged bytes a device is allowed to have outstanding at once.

The **usable window** is the number of bytes that the sender is still allowed to send at any point in time; it is equal to the size of the send window less the number of unacknowledged bytes already transmitted.



Sliding window system(2)



When the sending device **receives new acknowledgment**, it will be able to transfer some of the bytes from Category #2 to Category #1, since they have now been acknowledged. When it does so, something interesting will happen. Since five bytes have been acknowledged, and the window size didn't change, the sender is allowed to send five more bytes. In effect, the window shifts, or **slides**, over to the right in the timeline.

At the same time five bytes move from Category #2 to Category #1, five bytes move from Category #4 to Category #3, **creating a new usable window for subsequent transmission**.



ACK number, Sequence number, len

```
Time
                                 Destination
No.
                 Source
                                                 Protocol Info
                                                        http(80) → 54861 [ACK] Seq=65701 Ack=333 Win=30336 Len=1460
    94 8,574280
                 gaia.cs.umass... 192.168.88.149
                                                 TCP
    95 8.576343
                 gaia.cs.umass... 192.168.88.149
                                                TCP
                                                        http(80) → 54861 [ACK] Seq=67161 Ack=333 Win=30336 Len=1460
                                                        http(80) → 54861 [ACK] <u>Seq=68621</u> Ack=333 Win=30336 Len=1460
    96 8.576345
                 gaia.cs.umass... 192.168.88.149
                                                TCP
                                                        http(80) → 54861 [ACK] Seq=70081 Ack=333 Win=30336 Len=1460
    97 8.576345
                 gaia.cs.umass... 192.168.88.149
                                                TCP
                                                        54861 → http(80) [ACK] Seq=333 Ack=71541 Win=65536 Len=0
    98 8.576516
                192.168.88.149 gaia.cs.umass.... TCP
    Source Port: 54861 (54861)
    Destination Port: http (80)
    [Stream index: 0]
    [TCP Segment Len: 0]
    Sequence number: 333
                            (relative sequence number)
                                  (relative sequence number)]
     [Next sequence number: 333
    Acknowledgment number: 71541
                                    (relative ack number)
                                                                   ack num (71541) =
    0101 .... = Header Length: 20 bytes (5)
                                                                   seg (70081) +len (1460)

√ Flags: 0x010 (ACK)

       000. .... = Reserved: Not set
       ...0 .... = Nonce: Not set
       .... 0... = Congestion Window Reduced (CWR): Not set
       .... .0.. .... = ECN-Echo: Not set
       .... ..0. .... = Urgent: Not set
       .... 1 .... = Acknowledgment: Set
       .... .... 0... = Push: Not set
       .... .... .0.. = Reset: Not set
       .... .... ..0. = Syn: Not set
       .... .... ...0 = Fin: Not set
       [TCP Flags: ······A····]
```



Changes of window

While the size of usable window turn to be 0, it means the sender will not send any segment at this moment. Wireshark mark the segment with "[Tcp Window Full]"

```
Seq (135781) + len (1280) - ack (135781) = win (1280)
```

```
      fo.
      Time
      Source
      Destination
      Protocol
      Info

      307 19.117577
      LAPTOP-RITC8... gaia.cs.umass...
      TCP
      54861 → http(80) [ACK] Seq=333 Ack=135781 Win=1280 Len=0

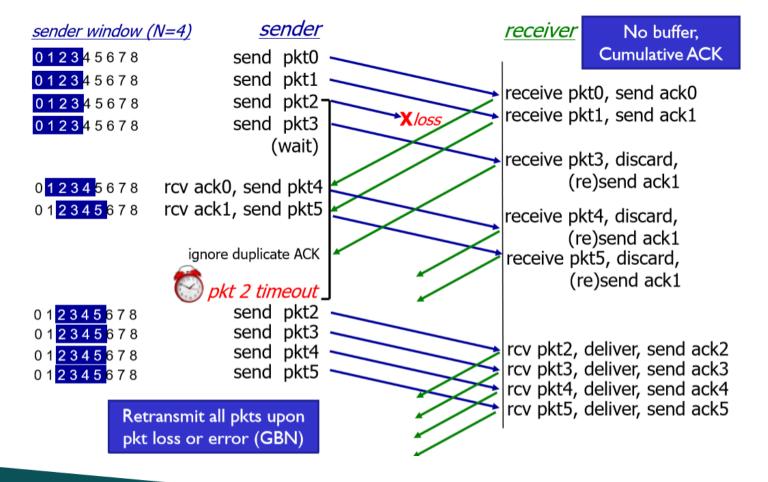
      309 20.576025
      gaia.cs.umas... LAPTOP-RITC8FU...
      TCP
      [TCP Window Full] http(80) → 54861 [PSH, ACK] Seq=135781 Ack=333 Win=30336 Len=1280 [TCP...
```

While the recv window turn to be zero, sender will stop to send packet, it will send "[TCP Keep-Alive]" to keep the TCP connection, waiting for the changing of recv window.

```
175 19.366403
               192.168.88.149
                                    gaia.cs.umass.edu TCP → [TCP ZeroWindow] 54861 → http(80) [ACK] Seq=333 Ack=137061 Win=0 Len=0
176 20.862900
               gaia.cs.umass.edu
                                    192.168.88.149
                                                      TCP → [TCP Keep-Alive] http(80) → 54861 [ACK] Seq=137060 Ack=333 Win=30336 Len=0
                                                              [TCP ZeroWindow] 54861 → http(80) [ACK] Seq=333 Ack=137061 Win=0 Len=0
177 20.862992
               192.168.88.149
                                    gaia.cs.umass.edu TCP
                                                              [TCP Keep-Alive] http(80) → 54861 [ACK] Seq=137060 Ack=333 Win=30336 Len=0
178 26.701220
               gaia.cs.umass.edu
                                    192.168.88.149
                                                              [TCP ZeroWindow] 54861 → http(80) [ACK] Seq=333 Ack=137061 Win=0 Len=0
                                    gaia.cs.umass.edu TCP
179 26.701357
               192.168.88.149
                                    gaia.cs.umass.edu TCP
                                                          → [TCP Window Update] 54861 → http(80) [ACK] Seg=333 Ack=137061 Win=65536 Len=0
180 31.323807
               192,168,88,149
```

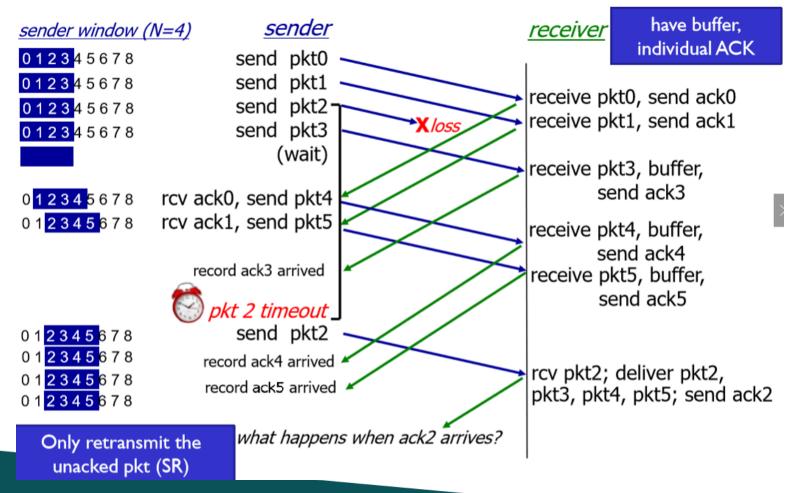


Part A.2 Retransmission: GBN





SR





TCP SACK

- A Selective Acknowledgment (SACK) mechanism, combined with a selective repeat retransmission policy, can help the sender retransmit only the missing data segments.
 - The receiving TCP sends back SACK packets to the sender informing the sender of data that has been received.
 - With selective acknowledgments, the data receiver can inform the sender about all segments that have arrived successfully, so the sender need retransmit only the segments that have actually been lost.
- The selective acknowledgment extension uses two TCP options:
 - SACK-permitted option
 - SACK option

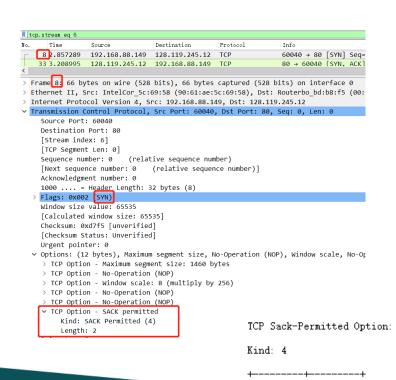
https://tools.ietf.org/html/rfc2018



SACK-permitted option

Kind=4 | Length=2|

• "SACK-permitted", which may be sent in a SYN segment to indicate that the SACK option can be used once the connection is established.



```
8 2.857289 192.168.88.149 128.119.245.12 TCP
                                                                60040 → 80 [SYN] Seq=0 Win=65535 Len=6
  333.208995 128.119.245.12 192.168.88.149 TCP
                                                                80 → 60040 [SYN, ACK] Seq=0 Ack=1 Win:
> Frame 33 66 bytes on wire (528 bits), 66 bytes captured (528 bits) on interface 0
> Ethernet II, Src: Routerbo bd:b8:f5 (00:0c:42:bd:b8:f5), Dst: IntelCor 5c:69:58 (90:61:ae:5c:69:58)
> Internet Protocol Version 4, Src: 128.119.245.12, Dst: 192.168.88.149
Transmission Control Protocol, Src Port: 80, Dst Port: 60040, Seq: 0, Ack: 1, Len: 0
    Source Port: 80
    Destination Port: 60040
    [Stream index: 6]
    [TCP Segment Len: 0]
    Sequence number: 0 (relative sequence number)
    [Next sequence number: 0
                               (relative sequence number)]
    Acknowledgment number: 1
                               (relative ack number)
    1000 .... = Header Length: 32 bytes (8)
    Flags: 0x012 SYN, ACK)
    Window size value: 29200
    [Calculated window size: 29200]
    Checksum: 0x1a9f [unverified]
    [Checksum Status: Unverified]
    Urgent pointer: 0
  Options: (12 bytes), Maximum segment size, No-Operation (NOP), No-Operation (NOP), SACK permitted,
    > TCP Option - Maximum segment size: 1460 bytes
    > TCP Option - No-Operation (NOP)
    > TCP Option - No-Operation (NOP)

→ TCP Option - SACK permitted

         Kind: SACK Permitted (4)
```



Wireshark tips: TCP.option_kind==4

SACK option(1)

The SACK option is to be sent by a data receiver to inform the data sender of non-contiguous blocks of data that have been received and queued.

The data receiver awaits the receipt of data (perhaps by means of retransmissions) to fill the gaps in sequence space between received blocks.

When missing segments are received, **the data receiver acknowledges the data normally** by advancing the left window edge in the Acknowledgement Number Field of the TCP header.

The SACK option does not change the meaning of the Acknowledgement Number field.

This option contains a list of some of the blocks of contiguous sequence space occupied by data that has been received and queued within the window.

Each contiguous block of data queued at the data receiver is defined in the SACK option by **two 32-bit unsigned integers** in network byte order:

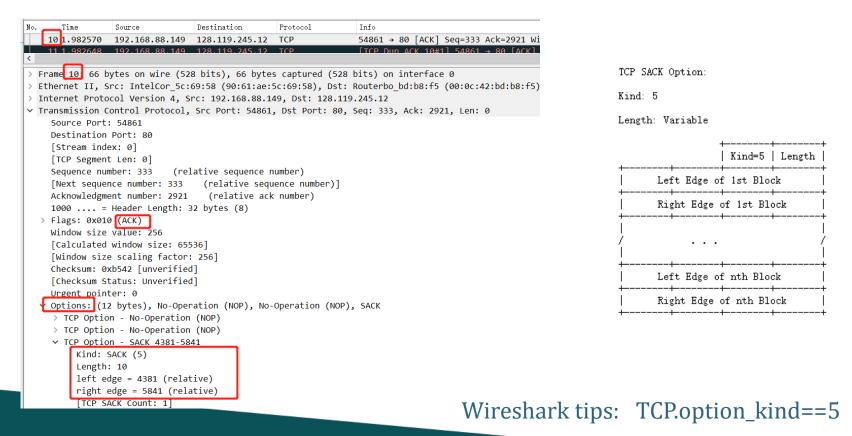
- **Left Edge** of Block This is the first sequence number of this block.
- Right Edge of Block This is the sequence number immediately following the last sequence number of this block.

Each block represents received bytes of data that are **contiguous and isolated**; that is, **the bytes just below the block**, (**Left Edge of Block - 1**), and just above the block, (**Right Edge of Block**), have NOT been received.



SACK option(2)

• SACK option, which may be sent over an established connection once permission has been given by SACK-permitted.





SACK option(3)

```
Time
              Source
                              Destination
                                                              http(80) → 54861 [ACK] Seq=151841 Ack=333 Win=30336 Len=1460 TCP segment of a reassembled PDU]
199 46.985513 gaia.cs.umass... 192.168.88.149 TCP
                                                              54861 → http(80) [ACK] Seq=333 Ack=153301 Win=65536 Len=0
200 46.985600 192.168.88.149 gaia.cs.umass.... TCP
                                                               [TCP Previous segment not captured] http(80) → 54861 [ACK] Seg=156221 Ack=333 Win=30336 Len=1460 [TCP
201 47.595142 gaia.cs.umass.... 192.168.88.149 TCP
202 47.595144 gaia.cs.umass... 192.168.88.149 TCP
                                                              http(80) → 54861 [ACK] Seq=157681 Ack=333 Win=30336 Len=1460 [TCP segment of a reassembled PDU]
                                                              [TCP Dup ACK 200#1] 54861 → http(80) [ACK] Seq=333 Ack=153301 Win=65536 Len=0 SLE=156221 SRE=157681
203 47.595274 192.168.88.149 gaia.cs.umass.... TCP
204 47.595443 192.168.88.149 gaia.cs.umass... TCP
                                                              [TCP Dup ACK 200#2] 54861 → http(80) [ACK] Seq=333 Ack=153301 Win=65536 Len=0 SLE=156221 SRE=159141
                                                               [TCP Retransmission] http(80) → 54861 [ACK] Seq=153301 Ack=333 Win=30336 Len=1460
205 48.207253 gaia.cs.umass... 192.168.88.149 TCP
                                                              54861 → http(80) [ACK] Seq=333 Ack=154761 Win=65536 Len=0 SLE=156221 SRE=159141
206 48.207367 192.168.88.149 gaia.cs.umass.... TCP
                                                              [TCP Retransmission] http(80) → 54861 [ACK] Seq=154761 Ack=333 Win=30336 Len=1460
207 49.742628 gaia.cs.umass.... 192.168.88.149 TCP
                                                              54861 → http(80) [ACK] Seq=333 Ack=159141 Win=65536 Len=0
208 49.742765 192.168.88.149 gaia.cs.umass... TCP
                                                              http(80) → 54861 [ACK] Seq=159141 Ack=333 Win=30336 Len=1460 [TCP segment of a reassembled PDU]
209 50.363845 gaia.cs.umass.... 192.168.88.149 TCP
```

```
#203 and #204 are SACK
#203 tells that 156221~157681 are contiguous and isolated
#204 tells that 156221~159141 are contiguous and isolated
#200 tells that the block before 153301 are acked
So
#205 retransmit 153301 ~ 153301+ 1460 -1 ,#206 ack it with 154761
#207 retransmit 154761 ~ 154761+1460 -1
#208 ack it with 159141 (for 157681~159140 are contiguous but NOT isolated)
```



SACK option(4)

No		Time	Source	Destination	Protocol	Info
	199	46.985513	gaia.cs.umass	192.168.88.149		http(80) → 54861 [ACK] Seq=151841 Ack=333 Win=30336 Len=1460 [TCP segment of a reassembled PDU]
	200	46.985600	192.168.88.149	gaia.cs.umass	TCP	54861 → http(80) [ACK] Seq=333 Ack=153301 Win=65536 Len=0
	201	47.595142	gaia.cs.umass	192.168.88.149	TCP	[TCP Previous segment not captured] http(80) → 54861 [ACK] Seq=156221 Ack=333 Win=30336 Len=1460 [TCP
	202	47.595144	gaia.cs.umass	192.168.88.149	TCP	http(80) → 54861 [ACK] Seq=157681 Ack=333 Win=30336 Len=1460 [TCP segment of a reassembled PDU]
	203	47.595274	192.168.88.149	gaia.cs.umass	TCP	[TCP Dup ACK 200#1] 54861 → http(80) [ACK] Seq=333 Ack=153301 Win=65536 Len=0 SLE=156221 SRE=157681
				gaia.cs.umass		[TCP Dup ACK 200#2] 54861 → http(80) [ACK] Seq=333 Ack=153301 Win=65536 Len=0 SLE=156221 SRE=159141
			<u> </u>	192.168.88.149		[TCP Retransmission] http(80) → 54861 [ACK] Seq=153301 Ack=333 Win=30336 Len=1460
				gaia.cs.umass		54861 → http(80) [ACK] Seq=333 Ack=154761 Win=65536 Len=0 SLE=156221 SRE=159141
				192.168.88.149		[TCP Retransmission] http(80) → 54861 [ACK] Seq=154761 Ack=333 Win=30336 Len=1460
				gaia.cs.umass		54861 → http(80) [ACK] Seq=333 Ack=159141 Win=65536 Len=0
	209	50.363845	gaia.cs.umass	192.168.88.149	TCP	http(80) → 54861 [ACK] Seq=159141 Ack=333 Win=30336 Len=1460 [TCP segment of a reassembled PDU]

153300	153301	•••	154761	•••	156221	•••	157681	•••	159141
#200 ACK					#201 Trans	mit	#202 Transr	nit	
					#203 ACK		#204 ACK		
	#205 Retran #206 ACK	smit							
			#207 Retrar #208 ACK	ismit					





Retransmission(1)

```
Time
               Source
                               Destination
                                                Protocol
                                                                Info
                                                                http(80) → 54861 [ACK] Seq=157681 Ack=333 Win=30336 Len=146
202 47.595144 gaia.cs.umass... 192.168.88.149 TCP
203 47.595274 192.168.88.149 gaia.cs.umass.... TCP
                                                                [TCP Dup ACK 200#1] 54861 → http(80) [ACK] Seq=333 Ack=153
204 47.595443 192.168.88.149 gaia.cs.umass.... TCP
                                                                [TCP Dup ACK 200#2] 54861 → http(80) [ACK] Seq=333 Ack=1533
                                                             TCP Retransmission] http(80) → 54861 [ACK] Seq=153301 Ack=
205 48.207253 gaia.cs.umass... 192.168.88.149 TCP
  Sequence number: 153301
                             (relative sequence number)
                                    (relative sequence number)]
  [Next sequence number: 154761
  Acknowledgment number: 333
                                (relative ack number)
  0101 .... = Header Length: 20 bytes (5)
> Flags: 0x010 (ACK)
  Window size value: 237
  [Calculated window size: 30336]
  [Window size scaling factor: 128]
  Checksum: 0x3487 [unverified]
  [Checksum Status: Unverified]
  Urgent pointer: 0

▼ [SEQ/ACK analysis]
     [iRTT: 0.450320000 seconds]
     [Bytes in flight: 5840]
     [Bytes sent since last PSH flag: 16060]

「TCP Analysis Flags]
     v [Expert Info (Note/Sequence): This frame is a (suspected) retransmission]
          [This frame is a (suspected) retransmission]
          [Severity level: Note]
          [Group: Sequence]
       [The RTO for this segment was: 0.612109000 seconds]
       [RTO based on delta from frame: 202]
```

While RTO timeout, retransmission is triggered



Retransmission(2)

```
Destination
    Time
               Source
                                                Protocol
                                                                Info
202 47.595144 gaia.cs.umass... 192.168.88.149 TCP
                                                                http(80) → 54861 [ACK] Seq=157681 Ack=333 Win=30336 Len=1466
203 47.595274 192.168.88.149 gaia.cs.umass.... TCP
                                                                [TCP Dup ACK 200#1] 54861 → http(80) [ACK] Seq=333 Ack=15330
204 47.595443 192.168.88.149 gaia.cs.umass.... TCP
                                                                [TCP Dup ACK 200#2] 54861 → http(80) [ACK] Seq=333 Ack=15336
                                                                [TCP Retransmission] http(80) → 54861 [ACK] Seq=153301 Ack=3
205 48.207253 gaia.cs.umass... 192.168.88.149 TCP
                                                               54861 → http(80) [ACK] Seq=333 Ack=154761 Win=65536 Len=0 SL
206 48.207367 192.168.88.149 gaia.cs.umass... TCP
207 49.742628 gaia.cs.umass... 192.168.88.149 TCP
                                                             FTCP Retransmission http(80) → 54861 [ACK] Seq=154761 Ack=3
  Sequence number: 154761
                             (relative sequence number)
                                   (relative sequence number)]
  [Next sequence number: 156221
  Acknowledgment number: 333
                                (relative ack number)
  0101 .... = Header Length: 20 bytes (5)
> Flags: 0x010 (ACK)
  Window size value: 237
  [Calculated window size: 30336]
  [Window size scaling factor: 128]
  Checksum: 0x595f [unverified]
  [Checksum Status: Unverified]
  Urgent pointer: 0

▼ [SEQ/ACK analysis]
     [iRTT: 0.450320000 seconds]
     [Bytes in flight: 4380]
     [Bytes sent since last PSH flag: 17520]

「TCP Analysis Flags]
     v [Expert Info (Note/Sequence): This frame is a (suspected) retransmission]
          [This frame is a (suspected) retransmission]
          [Severity level: Note]
          [Group: Sequence]
       [The RTO for this segment was: 2.147484000 seconds]
       [RTO based on delta from frame: 202]
```



Fast retransmission

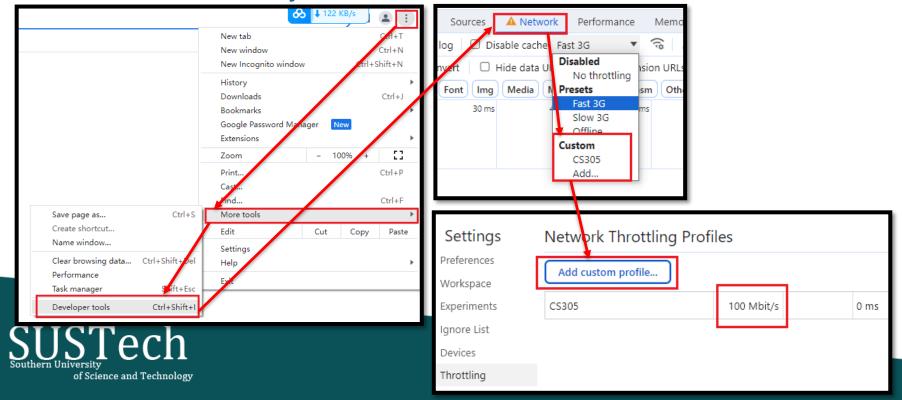
- TCP may generate an immediate acknowledgment (a duplicate ACK) when an out-of-order segment is received. This duplicate ACK should not be delayed. The purpose of this duplicate ACK is to let the other end know that a segment was received out of order, and to tell it what sequence number is expected.
- Since TCP does not know whether a duplicate ACK is caused by a lost segment or just a reordering of segments, it waits for a small number of duplicate ACKs to be received.
 - It is assumed that if there is just a reordering of the segments, there will be only one or two
 duplicate ACKs before the reordered segment is processed, which will then generate a new ACK.
 - If three or more duplicate ACKs are received in a row, it is a strong indication that a segment has been lost.
- TCP then performs a retransmission of what appears to be the missing segment, without waiting for a retransmission timer to expire.

https://tools.ietf.org/html/rfc2001



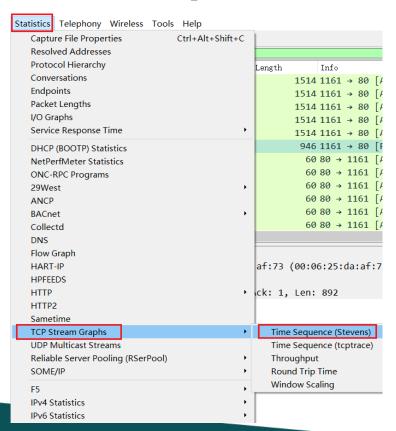
Tips 1

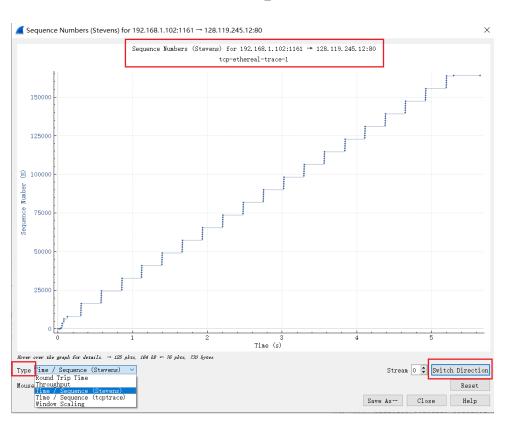
- How to cause congestion on your network.
 - You can use some tools, such as clumsy-0.2-win64, or Burp Suite, ...
 - You can make your browser work in a slow mode.



Practice 8.1

Finish the question 13 of Wireshark_TCP_v8.0.pdf

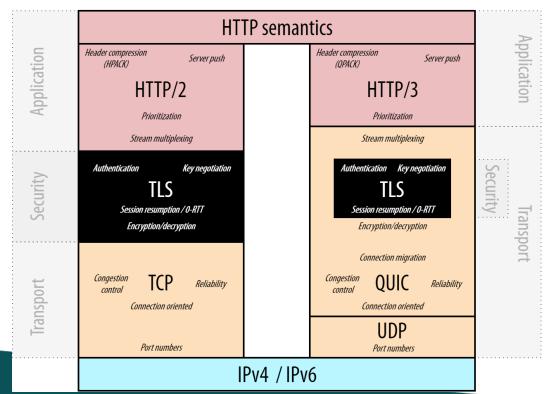






Part B. QUIC

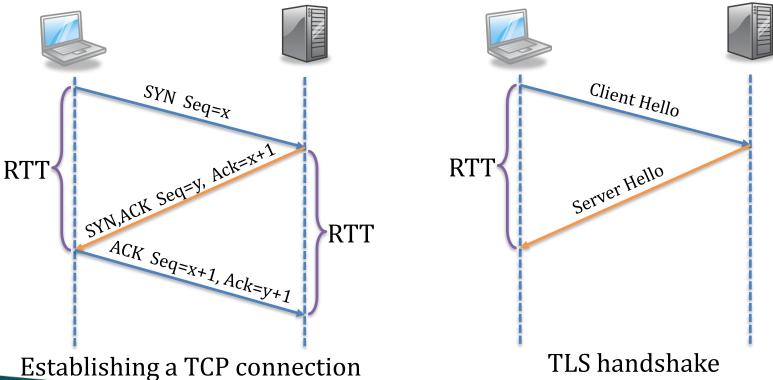
- QUIC: Quick UDP Internet Connections
- A UDP-Based Multiplexed and Secure Transport





Part B.1 Connection establishing(1)

HTTPS: 1-RTT(HTTP) + 1-RTT(TLS) = 2-RTT

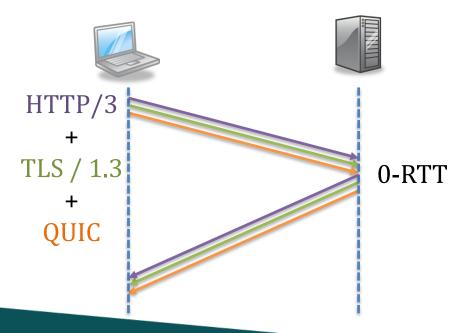






Part B.1 Connection establishing(2)

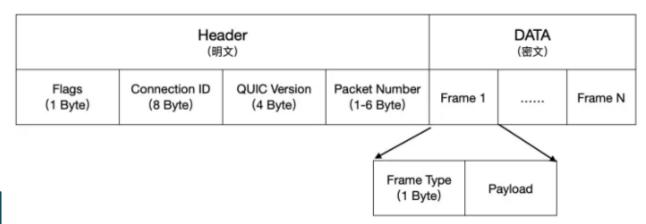
- How does QUIC achieve 0-RTT?
 - The client has cached the ServerConfig (server DH parameter B), and the next time the connection is established, the cached data will be directly used to calculate the communication key.





Part B.2 QUIC packet structure

- A QUIC data packet consists of two parts: header and data.
 - The header is unencrypted and contains four fields: Flags,
 Connection ID, QUIC Version, and Packet Number.
 - Data is encrypted and contains one or more frames.
 - Each frame is divided into type and payload, where payload is the application data.





Part B.2 QUIC frame

Frame types of QUIC

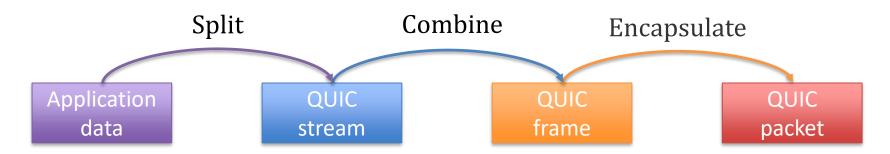
Type Value	Frame Type Name	Pkts	Spec
0x00	PADDING	IH01	NP
0x01	PING	IH01	
0x02-0x03	ACK	IH_1	NC
0x04	RESET_STREAM	_01	
0x05	STOP_SENDING	01	
0x06	CRYPTO	IH_1	
0x07	NEW_TOKEN	1	
0x08-0x0f	STREAM	01	F
0x10	MAX_DATA	01	
0x11	MAX_STREAM_DATA	01	

Type Value	Frame Type Name	Pkts	Spec
0x12-0x13	MAX_STREAMS	_01	
0x14	DATA_BLOCKED	_01	
0x15	STREAM_DATA_BLOCKED	_01	
0x16-0x17	STREAMS_BLOCKED	_01	
0x18	NEW_CONNECTION_ID	_01	P
0x19	RETIRE_CONNECTION_ID	_01	
0x1a	PATH_CHALLENGE	_01	P
0x1b	PATH_RESPONSE	1	P
0x1c-0x1d	CONNECTION_CLOSE	ih01	N
0x1e	HANDSHAKE_DONE	1	



Part B.2 QUIC stream frame

- Streams in QUIC provide a lightweight, ordered byte-stream abstraction to an application.
- QUIC allows for an arbitrary number of streams to operate concurrently and for an arbitrary amount of data to be sent on any stream.





Part B.2 QUIC stream frame format(1)

Frame Type	Payload					
(1 Byte)	Stream ID	offset	Data Length	Data		
	(1-4 Byte)	(0-8 Byte)	(0-2 Byte)	(应用数据)		

- The Type field in the STREAM frame takes the form 0b00001XXX(or the set of values from 0x08 to 0x0f).
 - The OFF bit indicates whether there is an Offset field present.
 - The LEN bit indicates whether there is a Length field present.
 - The FIN bit indicates that the frame marks the end of the stream.

				OFF bit-		.EN þ	it FII	۷ bit	
Bit 7	Bit 6	Bit 5	Bit 4	Bit 3	Bit 2	2	Bit 1	Bit 0	



Part B.2 QUIC stream frame format(2)

Frame Type	Payload						
(1 Byte)	Stream ID	offset	Data Length	Data			
	(1-4 Byte)	(0-8 Byte)	(0-2 Byte)	(应用数据)			

Stream ID

- A stream ID is a 62-bit integer (0 to 2^{62} -1) that is unique for all streams on a connection.
- Stream IDs are encoded as variable-length integers.
- Offset: A variable-length integer specifying the byte offset in the stream for the data in this STREAM frame.
- Data Length: A variable-length integer specifying the length of the Stream Data field in this STREAM frame.
- Data: The bytes from the designated stream to be delivered.



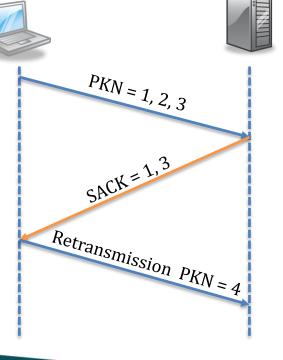
Part B.3 Reliability(1)

- QUIC runs over UDP protocol, and UDP is unreliable. How does QUIC achieve reliable transmission?
- Reliability of QUIC
 - ACK frame
 - Package Number (PKN) and Confirmation Response (SACK)
 - Orderliness of data



Part B.3 Reliability(2)

- Q: How does the sending end know if the sent packet has been received by the receiving end?
- A: By Package Number (PKN) and Confirmation Response (SACK)
 - Client: Send 3 data packets to the server
 (PKN = 1, 2, 3)
 - Server: Notify the client through SACK that they have received 1 and 3, but not 2
 - Client: Retransmit the second packet (PKN=4)
 - It can be seen that the packet numbers of QUIC are monotonically increasing. The previously sent packet (PKN=2) and the retransmitted packet (PKN=4), although the data is the same, they have different packet numbers.





Part B.3 Reliability(3)

- Q: Since the package number is monotonically increasing, how can the receiving end ensure the orderliness of the data?
- A: By data offset
 - Each packet has an offset field that represents the offset within the entire data.
 - The receiving end can sort the
 asynchronously arrived packets based on
 the offset field. Why does QUIC design PKN
 to be monotonically increasing? Resolve
 TCP retransmission ambiguity issue.
 - The package numbers of the original and retransmitted packets in QUIC are different.

```
PKN = 1, offset = 0

PKN = 2, offset = 1

PKN = 3, offset = 2

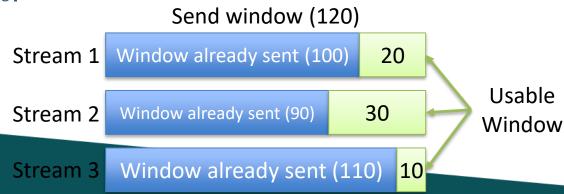
PKN = 3, offset = 2

PKN = 4, offset = 1
```



Part B.4 Flow control

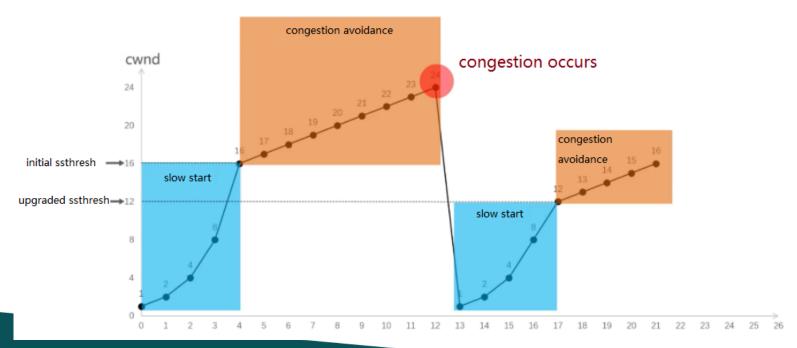
- QUIC also utilizes sliding window mechanism for traffic control as TCP does.
- Unlike TCP, QUIC's sliding window is divided into two levels: Connection and Stream. Connection traffic control specifies the total window size for all data streams, and stream flow control specifies the window size for each stream.
 - Assuming there are three Streams, and the sliding windows are as shown below, The available window size for the entire Connection is: 20+30+10=60.





Part B.5 Congestion control(1)

- Cubic congestion control algorithm is used by default.
- The same mechanism as TCP (such as slow start, congestion avoidance, fast retransmission, and fast recovery strategies)





Part B.5 Congestion control(2)

- The TCP protocol is built into the system protocol stack, and if you want to change its congestion control strategy, you need to make modifications at the system level.
- QUIC is based on UDP, you only need to make modifications at the application layer when needed.
- Currently, Google offers a variety of algorithms to choose from and provides a flexible interface to experiment with new congestion control algorithms.
 - New Reno: packet loss detection based
 - CUBIC: packet loss detection based
 - BBR: network bandwidth
- Different control strategies can be set for different applications.



Part B.5 Congestion control(3)

- QUIC provides more detailed and accurate information.
 - For example, the strictly monotonically increasing PKN can easily distinguish whether the packet comes from retransmission or first transmission, avoiding retransmission ambiguity.
 - For example, QUIC carries information about the delay between receiving data packets and sending ACKs, which can calculate RTT time more accurately.
 - In addition, compared to TCP's SACK (Selective Acknowledgements, used to indicate which packets have been), QUIC also provides a larger range (0-256) of NACK (Negative Acknowledgements, used to indicate which packets have not been received) to help the sender quickly retransmit lost packets.



Part B.5 Congestion control(4)

 In order to achieve fast retransmission as much as possible instead of timeout retransmission, QUIC adopts Tail Loss Probes (TLPs) to trigger fast retransmission mechanisms in certain situations.

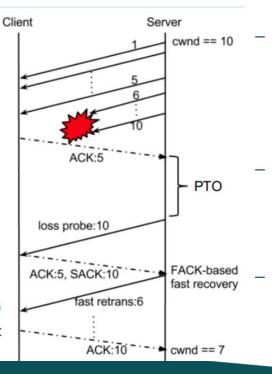
Tail Loss Probe

Observations:

- tail segments are twice more likely to be lost than earlier segments
- · losses are bursty

Tail Loss Probe:

- set probe timeout (PTO) to be
 2 RTTs since last ACK received
- arriving ACK resets PTO
- upon PTO, retransmit last segment (or new one if available)
- FACK for retransmitted segment could trigger fast recovery



- The server's segments 6-10 are missing. When the client is waiting for segment 6, the fast retransmission mechanism can not be triggered because they have not received the subsequent sequence.
- After the time reaches the PTO (probe threshold), the TLP algorithm retransmits segments 10(the largest number of the previously sent packages).
- Upon receiving this retransmission sequence, the client can trigger the fast retransmission mechanism.



Part B.6 Forward safety

- Forward security: The leakage of long-term keys used to generate session keys, without compromising previous communication content.
- Client: Generate a random number a, calculate A=g^a mod p, send Client Hello and encrypted data packages. It uses the cached ServerConfig (server static configuration) to calculate the initial key initKey: K=B^a mod p. (0-RTT)
- Server: Calculate the initKey K=A^b mod p based on the Client Hello message; Generate random number c, calculate C=g^c mod p, encrypt C with initKey K, and send Server Hello message.
- Client: Use initKey K decoding to obtain C, calculate session key sessionKey= C^a mod p, encrypt and send application data 2.
- Server: Calculate session key sessionKey= A^c mod p, decrypt to obtain application data 2.





```
Client Hello + Data 1

(use the cached
ServerConfig)

Set New Yello

Set New Yell
```



Part B.7 Multiplexing(1)

- Multiplexing is one of the main features of HTTP/2.
- The fundamental reason why HTTP/2 can achieve multiplexing is the use of binary frame format data structures.
- A request corresponds to a stream, and the Stream ID can be used to determine which request the data frame belongs to.
 - Assuming there are two requests A and B, with corresponding Stream IDs of 1 and 2, the data transmitted on this TCP connection is approximately as follows:

TCP connection















Part B.7 Multiplexing(2)

- Although HTTP/2 solves the Head-of-line blocking of the HTTP layer through multiplexing, there is still Head-of-line blocking of the TCP layer. How does QUIC solve the Head-of-line blocking problem?
- The reason why HTTP/2 has Head-of-line blocking in the TCP layer is that all request flows share the same sliding window. If each request flow is assigned an independent sliding window, this problem can be solved.
 - Packet loss on stream A will not affect data transmission on stream B.

QUIC connection









Part B.8 Connection Migration(1)

- When the client switches networks(e.g. switch from wired connection to Wi-Fi), the connection with the server will not be disconnected and communication can still be normal.
- For the TCP protocol, TCP connections are based on 4-tuples: source IP, source port, destination IP, and destination port, as long as one of them changes, a new connection needs to be established.
- The connection of QUIC is based on the Connection ID, and network switching does not affect the change of Connection ID. The connection is still logically connected.

QUIC connection















Part B.9 aioquic(1)

- Official documentation: https://aioquic.readthedocs.io/en/latest/
- aioquic is a library for the QUIC network protocol in Python. It features several APIs:
 - a QUIC API following the "bring your own I/O" pattern, suitable for embedding in any framework
 - an HTTP/3 API which also follows the "bring your own I/O" pattern
 - a QUIC convenience API built on top of aioquic, Python's standard asynchronous I/O framework



Part B.9 aioquic(2)

- Run the aioquic examples.
 - Download examples from Blackboard site or github: https://github.com/aiortc/aioquic
 - Install necessary dependency packages: aioquic, aiofiles, asgiref, dnslib, httpbin, starlette, wsproto ...
 - Run "python examples/http3_client.py https://quic.aiortc.org/"
 command on command line under the *aioquic* directory.
- Use Wireshark to capture QUIC packages and make simple analysis.



Part B.9 aioquic(3)

```
quic
No.
         Time
                    Source
                                    Destination
                                                                          Info
                                                           Protocol Length
                    2001:da8:201d:... 2a05:d018:ce9:8100:fd... OUIC
                                                                       113 Handshake, DCID=05ebce841fc2b21d, SCID=0a6ba4a7199e15bb
      79 0.002079
                    2001:da8:201d:... 2a05:d018:ce9:8100:fd... QUIC
                                                                       112 Handshake, DCID=05ebce841fc2b21d, SCID=0a6ba4a7199e15bb
      80 0.000309
      82 0.010194
                    2a05:d018:ce9:... 2001:da8:201d:1109::9... QUIC
                                                                      1199 Protected Payload (KP0), DCID=0a6ba4a7199e15bb
                    2a05:d018:ce9:... 2001:da8:201d:1109::9... QUIC
                                                                       110 Handshake, DCID=0a6ba4a7199e15bb, SCID=05ebce841fc2b21d
      93 0.023023
                    2a05:d018:ce9:... 2001:da8:201d:1109::9... QUIC
      95 0.076380
                                                                      1342 Handshake, DCID=0a6ba4a7199e15bb, SCID=05ebce841fc2b21d
                    2a05:d018:ce9:... 2001:da8:201d:1109::9... QUIC
                                                                      1342 Handshake, DCID=0a6ba4a7199e15bb, SCID=05ebce841fc2b21d
      96 0.000000
> Frame 82: 1199 bytes on wire (9592 bits), 1199 bytes captured (9592 bits) on inter
                                                                                  0030
                                                                                        > Ethernet II, Src: JuniperN d0:93:c1 (3c:8c:93:d0:93:c1), Dst: IntelCor ee:81:49 (0
                                                                                  0038
                                                                                        11101011 01001000 00000100 01111001 01110111
                                                                                        00000000 00000000 00000001 00001000 00001010
> Internet Protocol Version 6, Src: 2a05:d018:ce9:8100:fde9:6064:237d:2947, Dst: 200
                                                                                        00011001 10011110 00010101 10111011 00001000
User Datagram Protocol, Src Port: 443, Dst Port: 60232
                                                                                        10000100 00011111 11000010 10110010 00011101
    Source Port: 443
                                                                                        Destination Port: 60232
                                                                                       11110000 11001100 00101001 10000110 00101001
    Length: 1145
                                                                                  0068 00000100 00101101 11000010 00111100 00110010
    Checksum: 0x77c0 [unverified]
                                                                                        01000001 01111010 11001000 10011111 10000010
                                                                                  0070
    [Checksum Status: Unverified]
                                                                                  0078 11110101 10010110 01101111 01111001 01011111
     [Stream index: 0]
                                                                                  0080
                                                                                        11011110 01110101 11100101 11111100 11111011

√ [Timestamps]

                                                                                       11101111 00001011 10110001 00100111 00001000
       [Time since first frame: 0.594748000 seconds]
                                                                                        0098
                                                                                        01100101 11001000 10110001 10011000 01110000
       [Time since previous frame: 0.081928000 seconds]
                                                                                        00100101 00111001 10000011 00111000 00010100
    UDP payload (1137 bytes)
                                                                                        11101011 11011100 10111100 11010110 11010000
> OUIC IETF
                                                                                        11111001 11010010 00101101 00110001 00011001
> OUIC IETF
                                                                                        10000101 11010000 10011110 01011100 11101000
  [Community ID: 1:BVPuWJdAUTpjR8Uzc5Q5nUhLFew=]
                                                                                        01000110 01111000 01110010 00011101 01100101
```



References

- https://www.rfc-editor.org/rfc/rfc9000.html
- https://cloud.tencent.com/developer/article/1155289
- https://bbs.csdn.net/topics/604347087
- https://bbs.csdn.net/topics/604323396



Practice 8.2 (optional)

- Invoke a QUIC connection by python codes.
- Use Wireshark to capture and analyse the QUIC stream.
- Answer these questions:
 - How many frame types can you observed? What are they? And simply describe their function.
 - What is the Connection ID of packages sent from client? Are they share the same Connection ID or not?
 - What is the Connection ID of packages sent from server? Is it the same as the Connection ID of previous question?



Tips 2

- When running the example codes, if you can observe the output information on running environment, but you can not capture QUIC packages on Wireshark, you can change the configuration of Wireshark as follows:
 - [Edit] -> [Preferences] -> [Protocols] -> [QUIC]
 - change UDP port(s) to 443

```
C:\Users\wq\aioquic>python examples/http3_client.py https://quic.aiortc.org/
2023-04-03 11:31:54,154 INFO quic [c4815b56c18e5329] Duplicate CRYPTO data received for epoch Epoch. HANDSHAKE
2023-04-03 11:31:54,155 INFO quic [c4815b56c18e5329] Duplicate CRYPTO data received for epoch Epoch. HANDSHAKE
2023-04-03 11:31:54,156 INFO quic [c4815b56c18e5329] Duplicate CRYPTO data received for epoch Epoch. HANDSHAKE
2023-04-03 11:31:54,535 INFO quic [c4815b56c18e5329] ALPN negotiated protocol h3
2023-04-03 11:31:54,535 INFO client New session ticket received
2023-04-03 11:31:54,926 INFO client Response received for GET /: 1276 bytes in 0.4 s (0.027 Mbps)
2023-04-03 11:31:54,927 INFO client Push received for GET /style.css: 0 bytes
2023-04-03 11:31:54,928 INFO quic [c4815b56c18e5329] Connection close sent (code 0x100, reason)
```



