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# IT2070 – Data Structures and Algorithms

## Lecture 05

### Introduction to Recursion

## Recursion –Example 1

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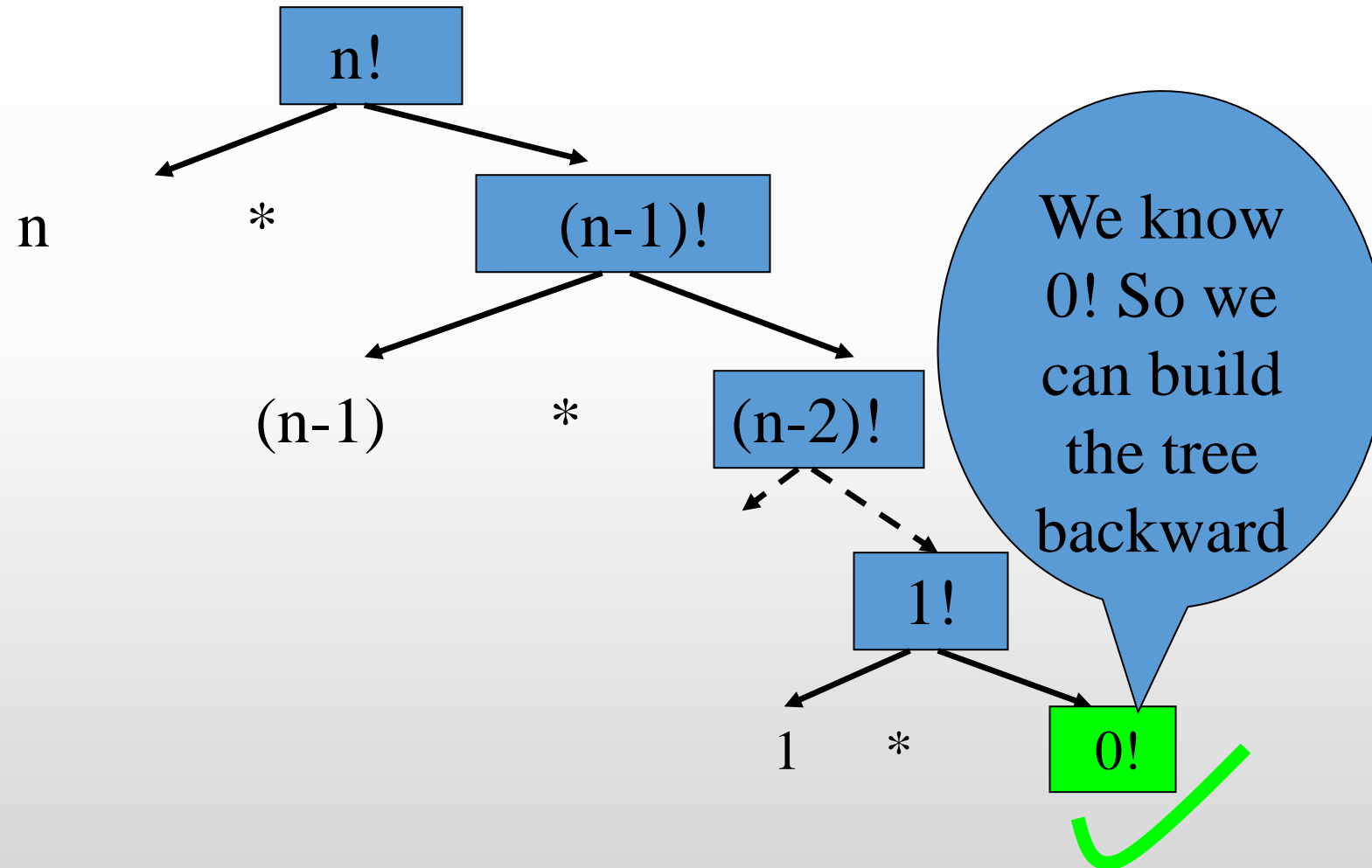
### Factorial

$n! = n * (n - 1) * (n - 2) * \dots * 2 * 1$ , and that  $0! = 1$ .

A recursive definition is

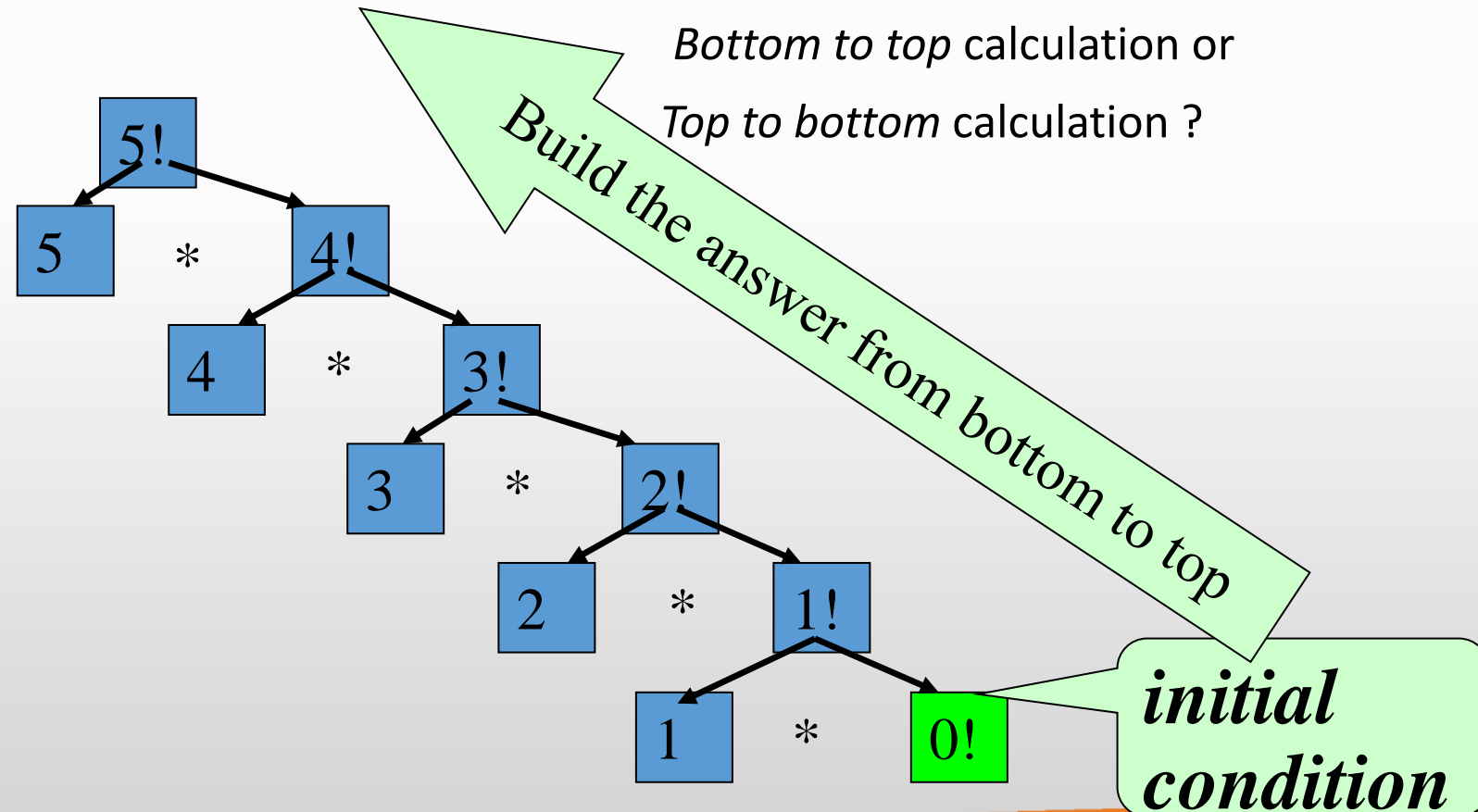
$$(n)! = \begin{cases} n * (n - 1)! & \text{if } n > 0 \\ 1 & \text{if } n = 0 \end{cases}$$

## Factorial -A graphical view



## Exercise

- Draw the recursive tree for 5!
- How does it calculate 5! ? Is it:



## Factorial(contd.)

$$(n)! = \begin{cases} n * (n-1)! & \text{if } n > 0 \\ 1 & \text{if } n = 0 \end{cases}$$

```
int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return (n * factorial(n-1));  
}
```

Compare



# Recursion

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## What is recursion?

A function that calls **itself** directly or indirectly to solve a smaller version of its task until a final call which does not require a self-call is a ***recursive*** function.

## Recurrence equation

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- Mathematical function that defines the running time of recursive functions.
- This describes the overall running time on a problem of size  $n$  in terms of the running time on smaller inputs.

Ex:      $T(N) = T(N-1) + b$   
          $T(N) = T(N/2) + c$

## Recurrence - Example1

**Find the Running time of the following function**

```
int factorial(int n) {  
    if (n == 0)          //A  
        return 1;       //B  
    else  
        return (n * factorial(n-1)); //C  
}
```

Statement **A** takes time **a**  $\rightarrow$  for the conditional evaluation

Statement **B** takes time **b**  $\rightarrow$  for the return assignment

Statement **C** takes time:

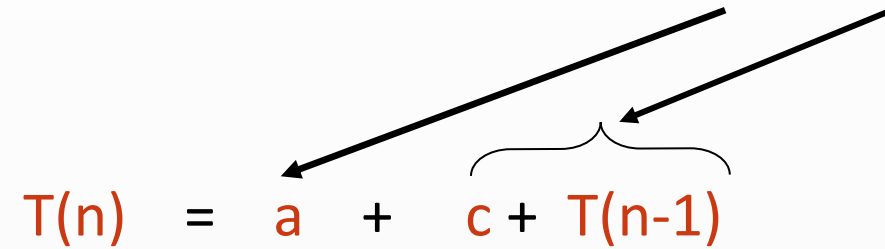
**c**  $\rightarrow$  for the operations(multiplication & return)

**T(n-1)**  $\rightarrow$  to determine (n-1)!



## Recurrence - Example1 (Contd.)

$T(n)$  = Time to execute **A** & **C**

$$T(n) = a + c + T(n-1)$$


- This method is called iteration method (or repeated substitution)

# Exercise

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- Solve the recurrence

$$T(n) = T(n/2) + 2$$

You are given that

$$n = 16 \text{ and}$$

$$T(1) = 1$$

# Finding a solution to a recurrence.

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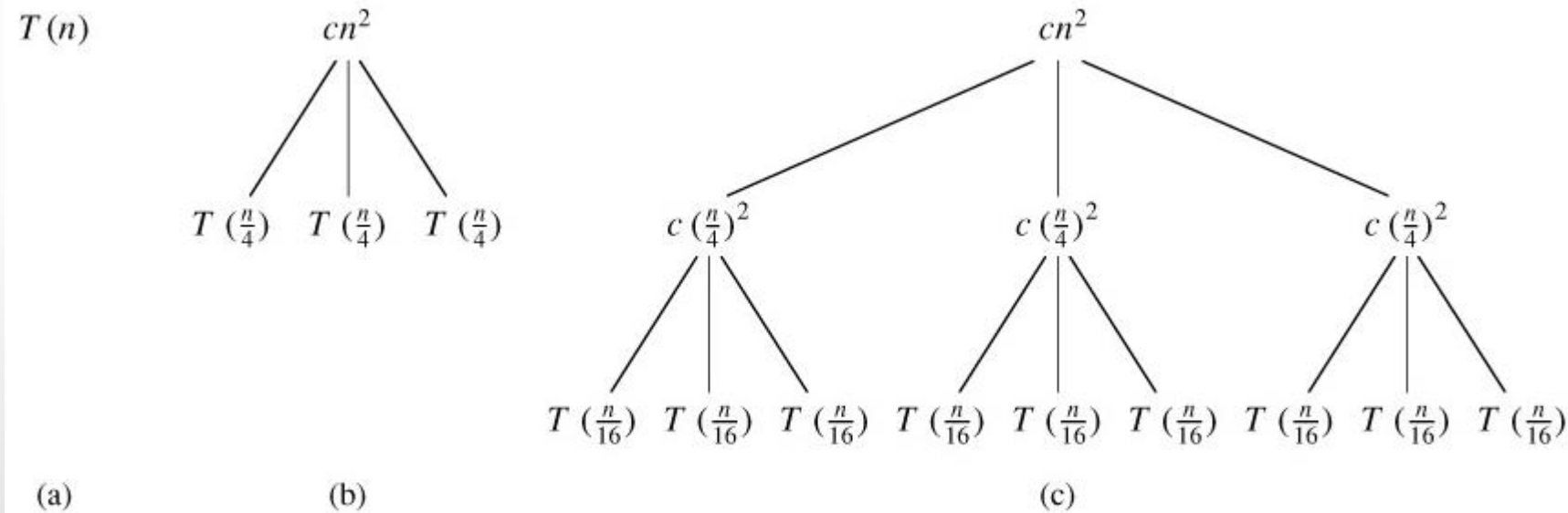
- Other methods
  - Recursion tree.
  - Master Theorem.

# The recursion-tree method

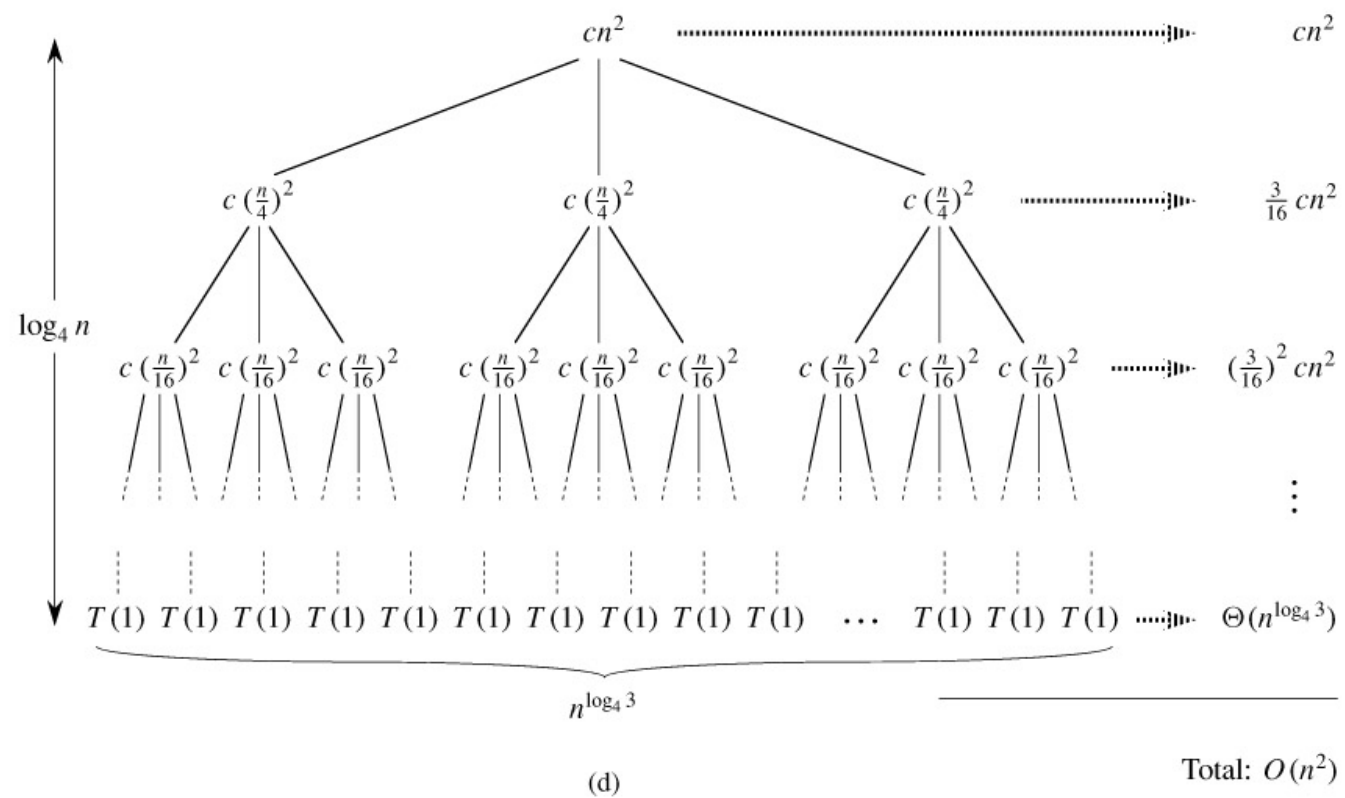
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- Although the substitution method can provide a succinct proof that a solution to a recurrence is correct, it is sometimes difficult to come up with a good guess. Drawing out a recursion tree, is a straightforward way to devise a good guess. In a **recursion tree**, each node represents the cost of a single subproblem somewhere in the set of recursive function invocations.
- A recursion tree is best used to generate a good guess, which is then verified by the substitution method.

# Recursion tree for $T(n) = 3T(n/4) + cn^2$



# Recursion tree for $T(n) = 3T(n/4) + cn^2$



# The Master Method

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- The Master method applies to recurrences of the form

$$T(n) = a T(n/b) + f(n)$$

where  $a \geq 1$  and  $b > 1$ , and  $f(n)$  is an asymptotically positive function. The recurrence describes the running time of an algorithm that divides a problem of size  $n$  into  $a$  subproblems, each of size  $n/b$ , where  $a$  and  $b$  are positive constants. The  $a$  subproblems are solved recursively, each in time  $T(n/b)$ . The cost of dividing the problem and combining the results of the subproblems is described by the function  $f(n)$ .

# The master theorem

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- The master method depends on the following theorem.
- Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  can be bounded asymptotically as follows.



# The master theorem

Compare  $n^{\log_b a}$  vs.  $f(n)$ :

**Case 1:**  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ .

( $f(n)$  is polynomially smaller than  $n^{\log_b a}$ .)

**Solution:**  $T(n) = \Theta(n^{\log_b a})$ .

**Case 2:**  $f(n) = \Theta(n^{\log_b a} \lg^k n)$ , where  $k \geq 0$ .

( $f(n)$  is within a polylog factor of  $n^{\log_b a}$ , but not smaller.)

**Solution:**  $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$ .

(Intuitively: cost is  $n^{\log_b a} \lg^k n$  at each level, and there are  $\Theta(\lg n)$  levels.)

**Simple case:**  $k = 0 \Rightarrow f(n) = \Theta(n^{\log_b a}) \Rightarrow T(n) = \Theta(n^{\log_b a} \lg n)$ .

**Case 3:**  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$  and  $f(n)$  satisfies the regularity condition  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ .

( $f(n)$  is polynomially greater than  $n^{\log_b a}$ .)

**Solution:**  $T(n) = \Theta(f(n))$ .

(Intuitively: cost is dominated by root.)

# The master theorem

$$T(n) = \begin{cases} \Theta\left(n^{\log_b a}\right) & f(n) = O\left(n^{\log_b a - \varepsilon}\right) \rightarrow f(n) < n^{\log_b a} \\ \Theta\left(n^{\log_b a} \lg n\right) & f(n) = \Theta\left(n^{\log_b a}\right) \rightarrow f(n) = n^{\log_b a} \\ \Theta\left(f(n)\right) & \begin{aligned} &f(n) = \Omega\left(n^{\log_b a + \varepsilon}\right) \rightarrow f(n) > n^{\log_b a} \\ &\text{if } af(n/b) \leq cf(n) \text{ for } c < 1 \text{ and large } n \end{aligned} \end{cases}$$

# Master Theorem – Case 1 example

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Give tight asymptotic bound for

$$T(n) = 9T(n/3) + n$$

**Solution:**

$a=9$ ,  $b=3$ , and  $f(n) = n$ .

$$n^{\log_b a} = n^{\log_3 9} = n^2$$

$f(n) = O(n^{\log_3 9 - \varepsilon})$  for  $\varepsilon = 1$  or  $f(n) < n^{\log_3 9} \rightarrow \text{case 1}$

$$\therefore T(n) = \Theta(n^2)$$

# Master Theorem – Case 2 example

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Give tight asymptotic bound for

$$T(n) = T(2n/3) + 1$$

**Solution:**

$a=1$ ,  $b=3/2$ , and  $f(n) = 1$ .

$$n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$$

$f(n) = \Theta(n^{\log_b a})$  or  $f(n) = n^{\log_b a} \rightarrow \text{case 2}$

$$\therefore T(n) = \Theta(\log n)$$

# Master Theorem – Case 3 example

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- Give tight asymptotic bound for
- $T(n) = 3T(n/4) + n \log n$
- **Solution:**
- $a=3$ ,  $b=4$ , and  $f(n) = n \log n$

$$n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$$

$$f(n) = \Omega(n^{\log_4 3 + \varepsilon}), \text{ for } \varepsilon \approx 0.2 \text{ or } f(n) > n^{\log_4 3} \rightarrow \text{case 3}$$

$$\text{Note : } n \lg n \geq c \cdot n^{\log_4 3} \cdot n^{0.2}$$

# Exercises.

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- Use the master method to give tight asymptotic bounds for the following recurrences.
  1.  $T(n) = 4T(n/2) + n$ .
  2.  $T(n) = 4T(n/2) + n^2$ .
  3.  $T(n) = 4T(n/2) + n^3$ .
- Use the master method to show that the solution to the binary-search recurrence  $T(n) = T(n/2) + \Theta(1)$  is  $T(n) = \Theta(\lg n)$ .