

Intro to DevOps and Beyond

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About Me



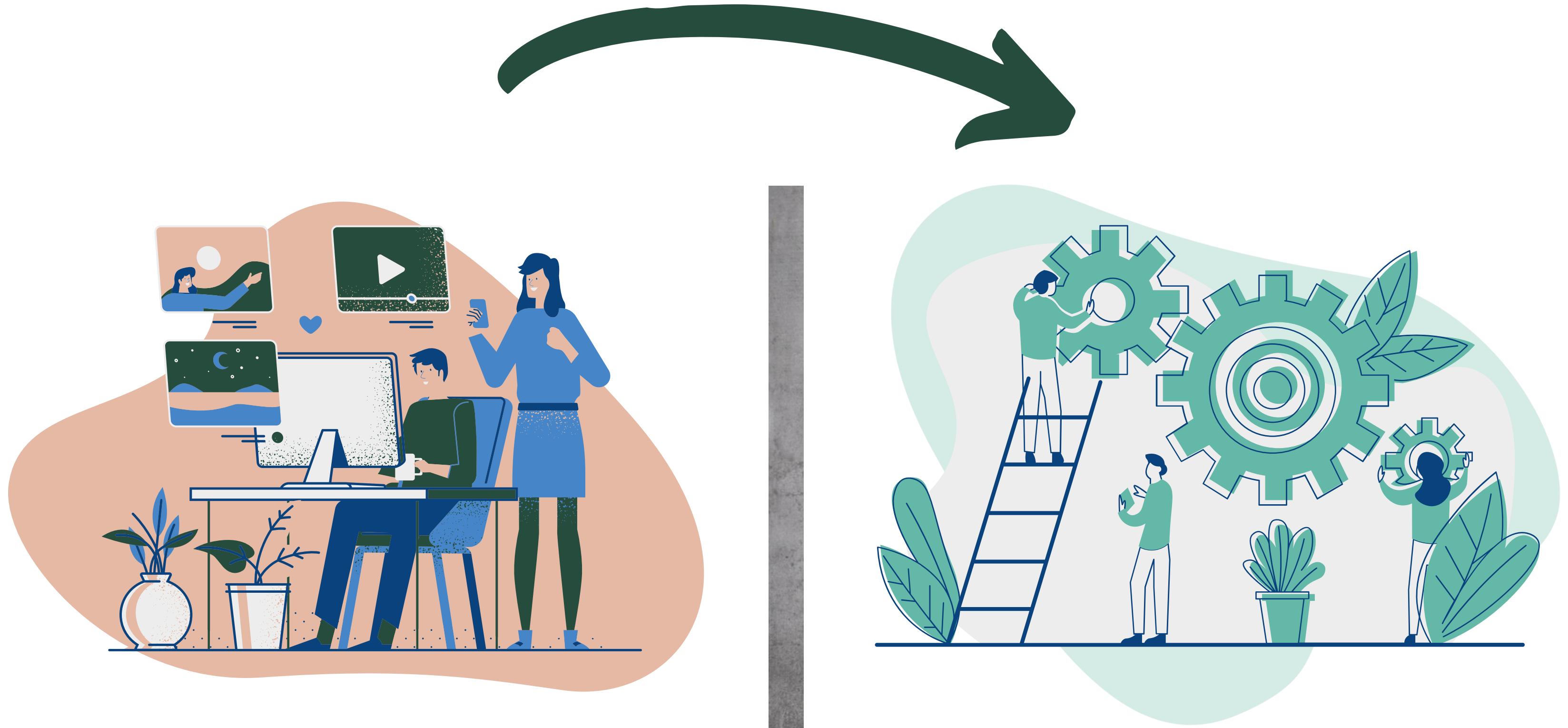
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- STL - DevOps @ Sysco LABS - Sri Lanka
- MSc in Computer Science specialized in Cloud Computing (UOM)
- AWS Certified Solutions Architect - Professional
- Certified Kubernetes Administrator (CKA)
- AWS Community Builder



The Era before DevOps



Developers
Focused on Agility

Operators
Focused on Stability

"Destructive downward spiral in IT" - Gene Kim



Act 01 – Operations teams maintaining large fragile applications

Doesn't have any visibility on the application, whether or not its working as expected



Act 02 – The product managers

Larger, unrealistic commitments made to the outside world (client/investors) without understanding the complexities behind development and operations



Act 03 – The Developers

Developers taking shortcuts and putting more and more fragile code on top of existing ones



Act 04 – Dev and Ops at war

"It worked on my machine" phenomenon



**How can we
overcome
these issues?**

“DevOps is the combination of cultural philosophies, practices, and tools that increases an organization’s ability to deliver applications and services at high velocity”

- What is DevOps? [AWS] -

“A compound of development (Dev) and operations (Ops), DevOps is the union of people, process, and technology to continually provide value to customers.”

- What is DevOps? [Azure] -

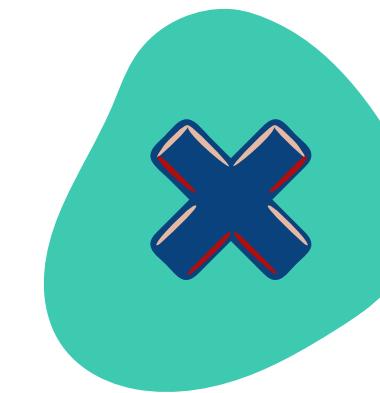
DevOps allows evolving and improving products at a faster pace than businesses using traditional software development and infrastructure management processes. This speed allows businesses to serve their customers better and compete effectively.

Key Areas in DevOps



Reduce Organizational Silos

Everyone shares the ownership of production and information is shared among everyone



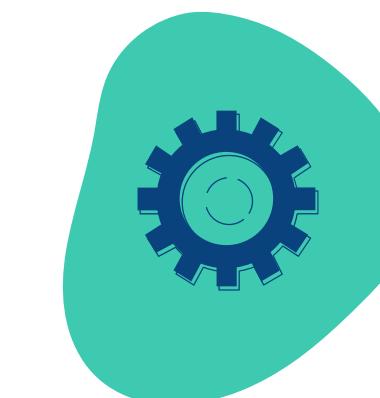
Accept Failure as Normal

Blameless PMs/ RCA. Risk taking mindset.



Implement Gradual Changes

Frequent deployments, frequent deterministic releases in small chunks which can be rolled back



Leverage Tooling and Automation

Automate and reduce manual work as much as possible



Measure Everything

Application, systems monitoring and metrics etc...



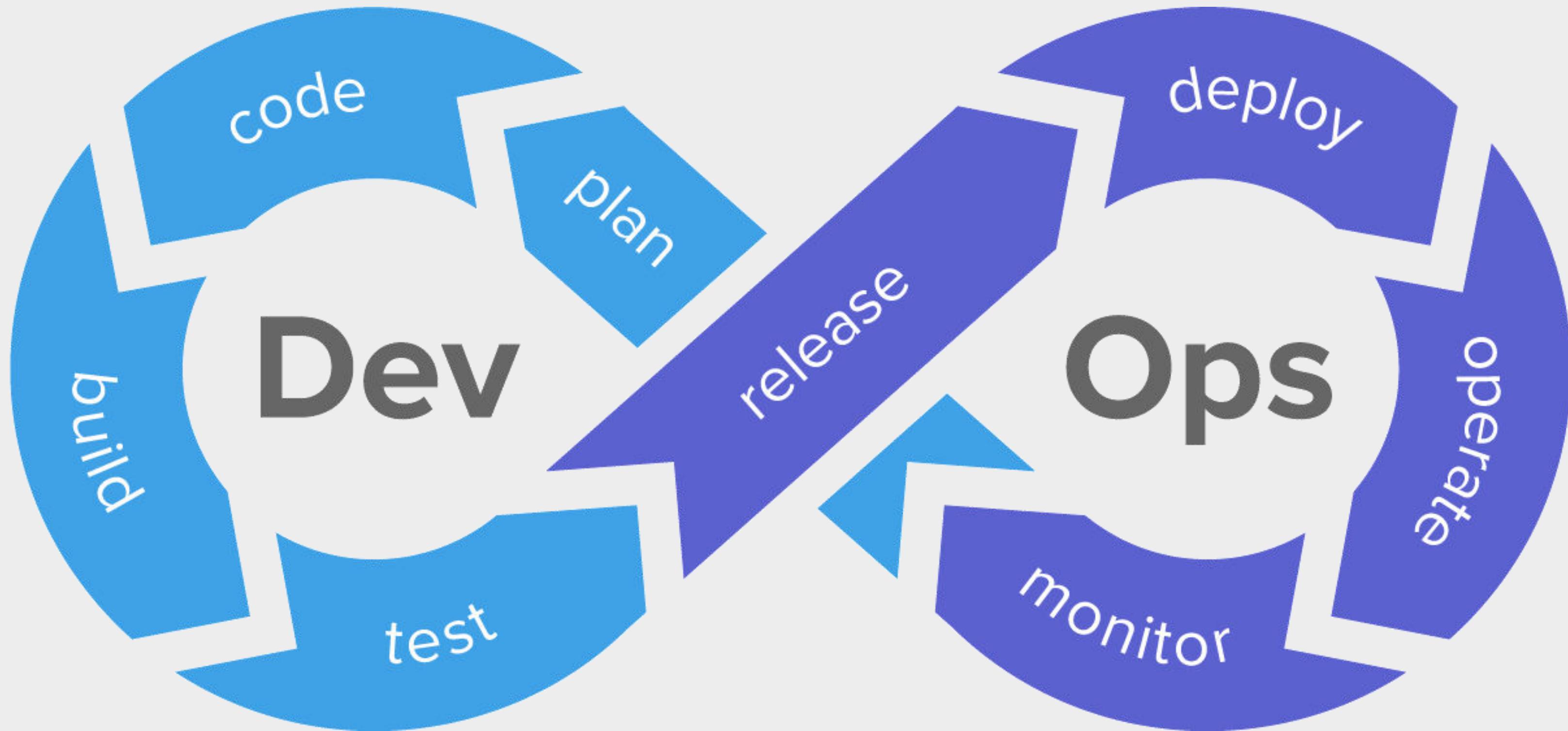
DevOps Practices

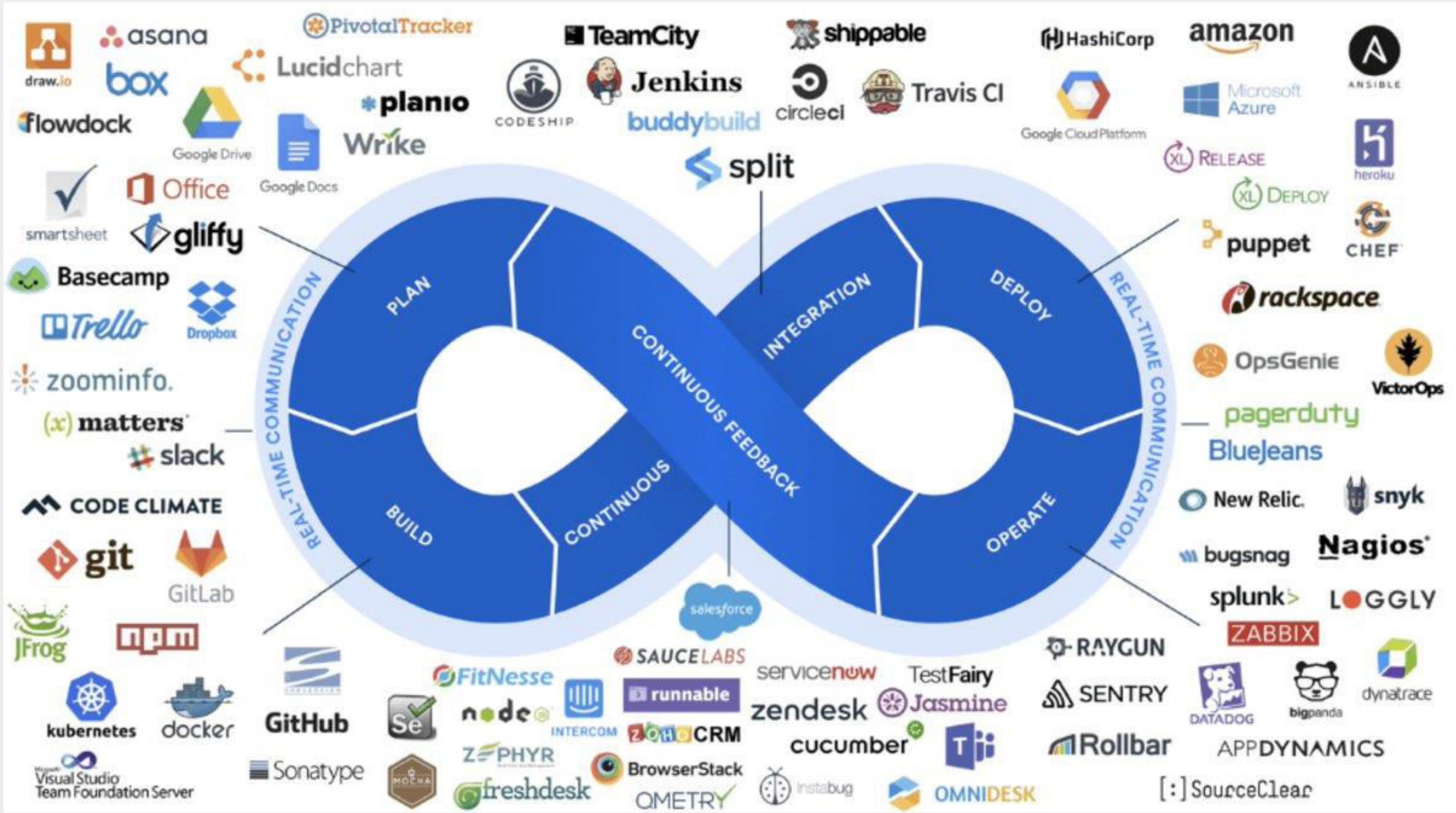
- Continuous Integration (CI) - Software development practice where developers regularly merge their code changes into a central repository, after which automated builds and tests are run.
- Continuous Delivery (CD) - Software development practice where code changes are automatically built, tested, and prepared for a release to production (automated code change deployment to staging/ pre-production system).
- Continuous Deployment (CD) - Every change that passes all stages of the pipeline will be deployed into production (released to customers). This practice fully automates the whole release flow without human intervention and only a failed test will prevent a new change being deployed.
- Microservices - The microservices architecture is a design approach to build a single application as a set of small services with each focusing on SRP. Each service can be created, deployed and run independently.

- Infrastructure as Code - A practice in which infrastructure is provisioned and managed using code and software development techniques, such as version control and continuous integration.
 - Configuration Management
 - Policy as Code
- GitOps - builds on the concept of IaC, incorporating the functionality of Git repositories, merge requests (MRs) and CI/CD to further unify software development and infrastructure operations. GitOps incorporates managing both infrastructure and applications as code.
- Cloud Infrastructure - Cloud provides more flexibility, scalability and toolsets for organizations to implement DevOps culture and practices. Serverless architecture in cloud brings down the efforts of DevOps teams as it eliminates server management operations.
- Continuous Monitoring, Logging and Alerting - Organizations monitor metrics and logs to see how application and infrastructure performance impacts the experience of their product's end user. Combined with real time alerts organizations can do a real time analysis on the application status.



DevOps Tools and Technologies



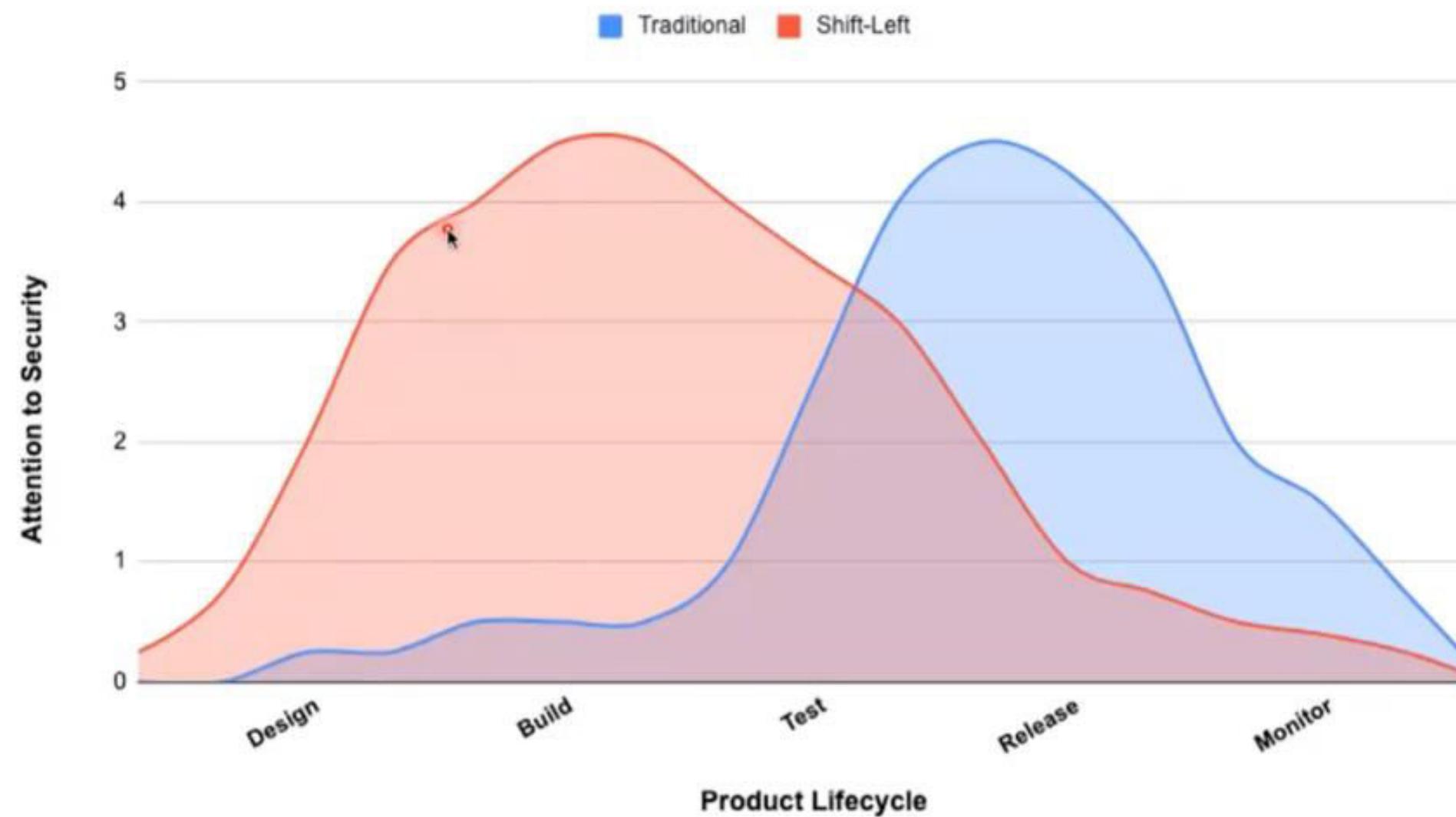




Beyond DevOps

DevSecOps

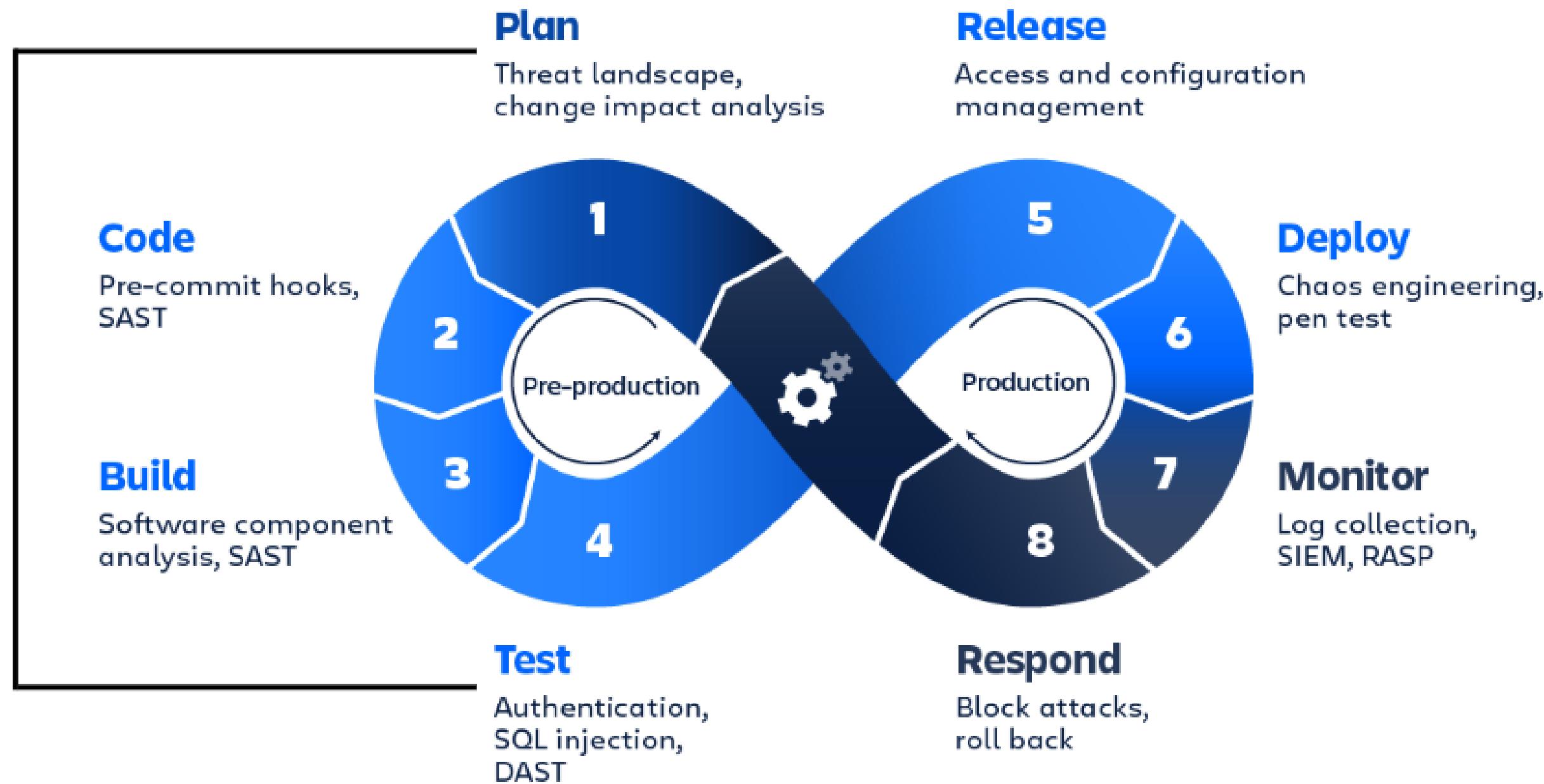
Traditional vs Shift-Left Security Model



Idea of moving Security in the early stages of the SDLC pipeline

"the practice of integrating security into a continuous integration, continuous delivery, and continuous deployment pipeline"

DevSecOps



SRE (Site Reliability Engineering)

- Not competing with DevOps
- Think that Class SRE implements Interface DevOps
- SRE is a part of the DevOps umbrella

SRE Practices

- Identify and measure **SLIs**, define **SLOs** and agree/ commit to **SLA** for product and service
- Chaos Engineering
- Removing toil
- System designing (DR, Multi-Region, Mult-Cloud)
- Postmortems/ Root Cause Analysis
- Observability

Platform Engineering

Before jumping to definition, let's understand the problem...

Configuration
management

Cloud provider / runtime
environment

Security

Database
anonymization

Infrastructure as Code

Secret management

Application

Alerts

CI / CD

Database migrations

Cost insights

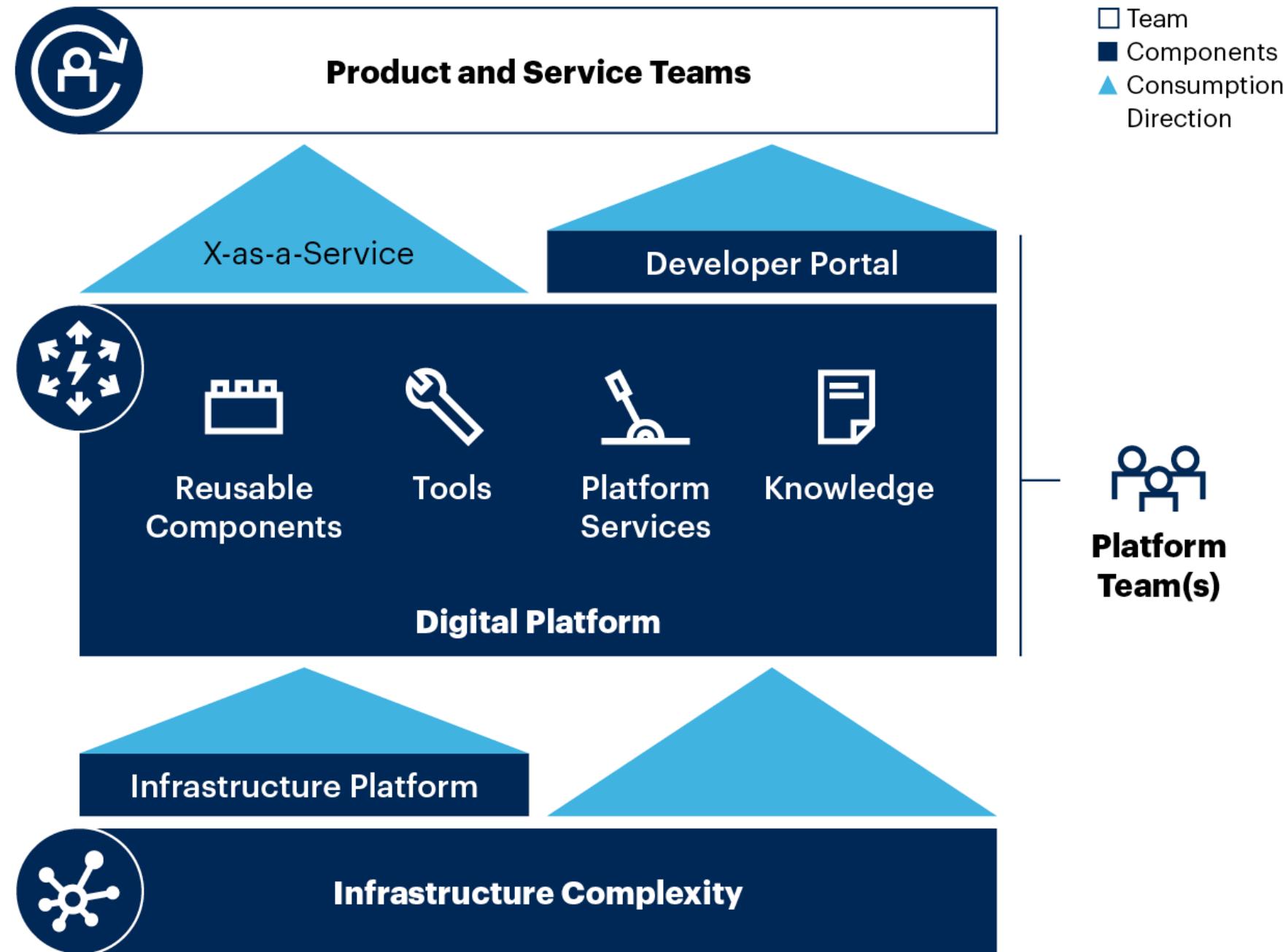
Security scanning

Artifact management

Monitoring, Logging,
Metrics

“The composition and integration of a set of processes, tools and automation (components) to build a coherent platform with the goal of empowering developers to be able to easily build, maintain and deploy their business logic”

Diagram of Platform Engineering



gartner.com

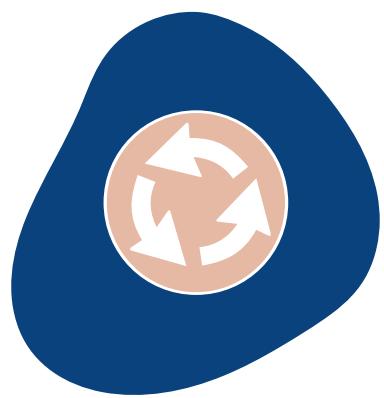
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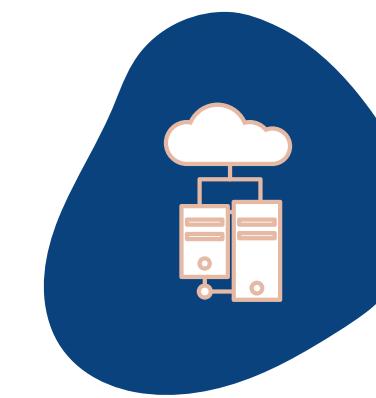


Carrier as a DevOps Engineer

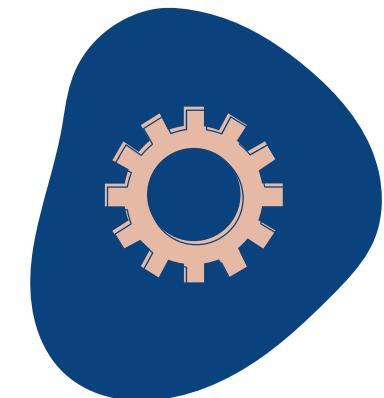
DevOps Engineer Role



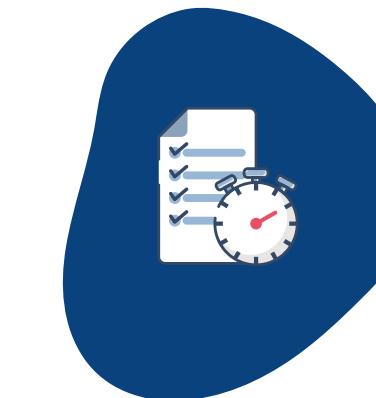
CI/ CD Management & Automation



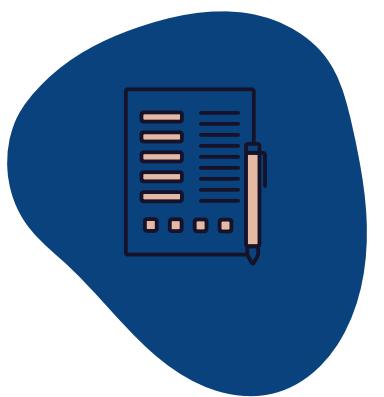
Cloud Deployment and Management



Infrastructure Management



Performance Assessment and Monitoring



Writing Specifications and Documentation



Assisting with DevOps culture adoption

References

- <https://sre.google/sre-book/table-of-contents/>
- <https://www.gartner.com/en/articles/what-is-platform-engineering>
- https://youtu.be/uTEL8Ff1Zvk?si=5QT_LrzedX-BMezt



 SCAN ME

LinkedIn

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x (Twitter)

@ravindunf

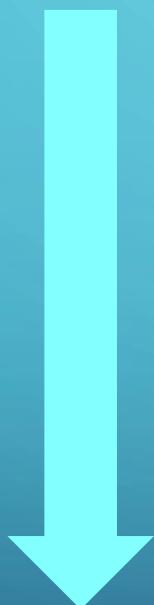
Thank You!

AGENDA

- Brief History – Infrastructure shifts over the decades
- VMs vs Containers
- What are containers and what problem does it solve?
- What is Docker?
- Deep dive into Docker Internals
- Demo

BRIEF HISTORY – INFRASTRUCTURE SHIFTS OVER THE DECADES

Let's Recap
MAJOR INFRASTRUCTURE SHIFTS



Mainframe to PC

90's

Baremetal to Virtual

00's

Datacenter to Cloud

10's

Host to Container (Serverless)

Now

VMs vs CONTAINERS

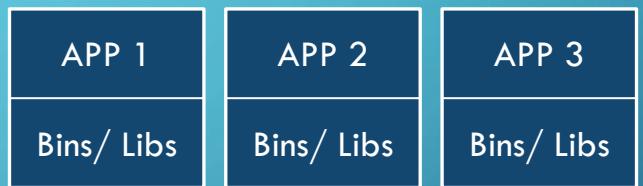


Hypervisor (Type 2)

Host Operating System

Infrastructure

-Virtual Machines-

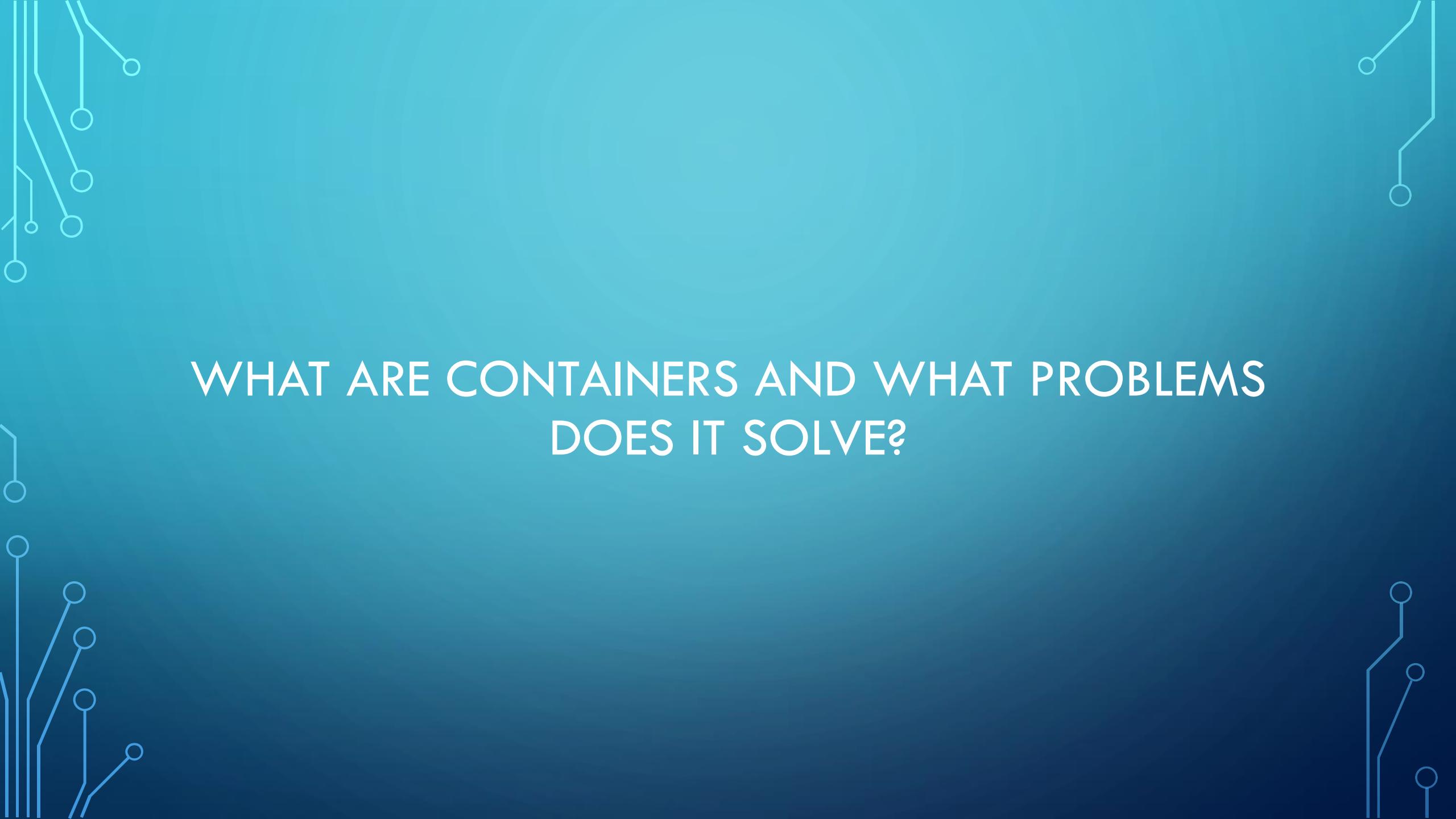


Container Engine

Host Operating System

Infrastructure

-Containers-

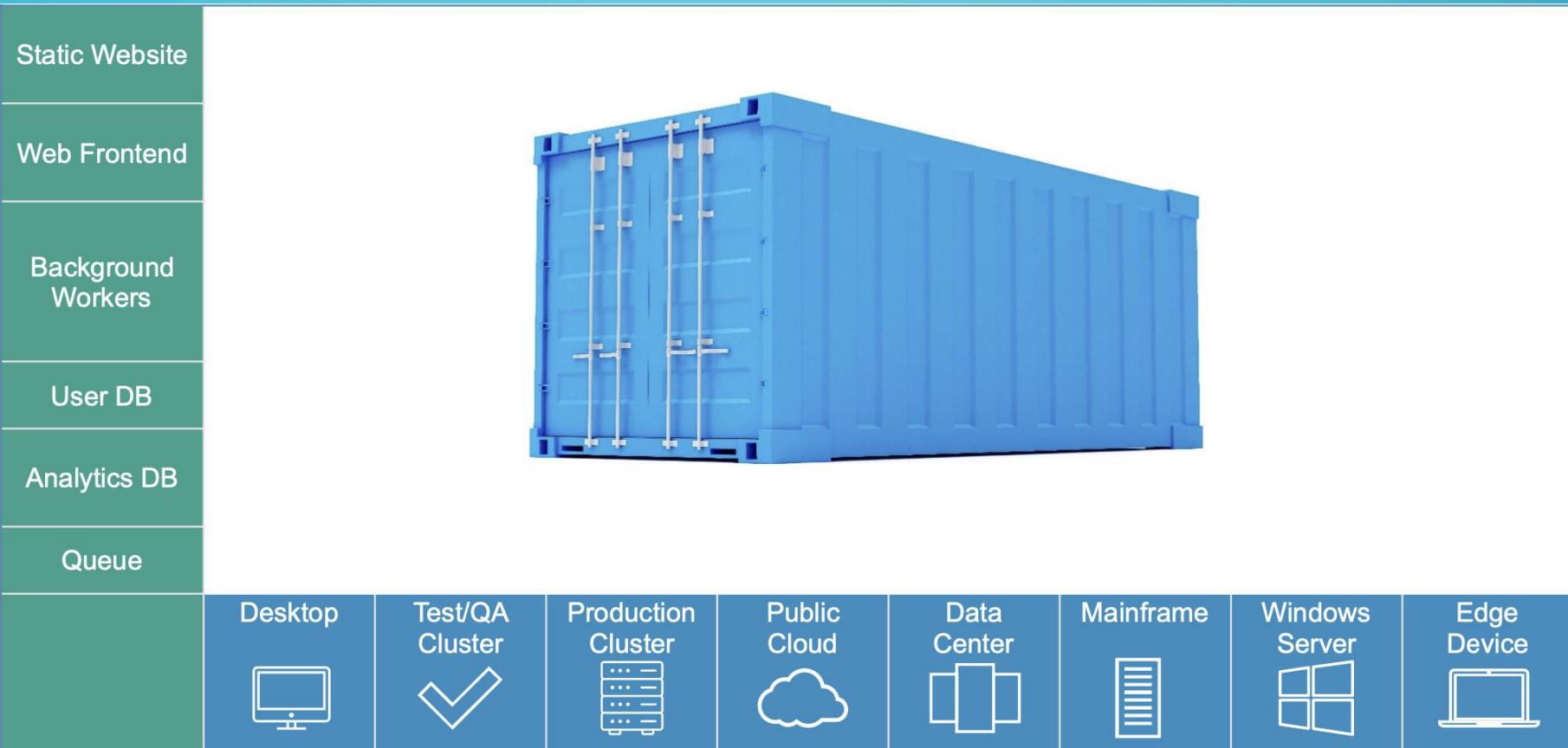


WHAT ARE CONTAINERS AND WHAT PROBLEMS
DOES IT SOLVE?

MATRIX FROM HELL INCREASES THE COMPLEXITY

Static Website	?	?	?	?	?	?	?	?
Web Frontend	?	?	?	?	?	?	?	?
Background Workers	?	?	?	?	?	?	?	?
User DB	?	?	?	?	?	?	?	?
Analytics DB	?	?	?	?	?	?	?	?
Queue	?	?	?	?	?	?	?	?
	Desktop 	Test/QA Cluster 	Production Cluster 	Public Cloud 	Data Center 	Mainframe 	Windows Server 	Edge Device 

CONTAINERS REDUCES THE COMPLEXITY



IN SUMMARY A CONTAINER IS,

- Just an isolated process running on the host machine. And a restricted process.
- Will share OS and, where appropriate, bins/ libraries and limited to what resources it can access.
- It exits when the process stops.

“Containers are the next once-in-a-decade shift in IT infrastructure and process”

WHAT IS DOCKER?

WHAT IS DOCKER?

- Docker provides the ability to package and run applications within a loosely isolated environment which is a container. Simply it's a container engine (runtime + tool for managing containers and images).
- It provides tooling and a platform to manage lifecycle of your containers,
 - Develop your apps and supporting components using containers
 - Distribute and test your apps as a container
 - Ability to deploy your app as a container or an orchestrated service, in whatever environment which supports Docker installation
- It shares the same OS kernel
- It works on all major Linux Distributions and containers native to Windows Server (specific versions)

UNDERLYING TECHNOLOGY IN DOCKER

- Docker is an extension of LXC's (Linux Containers) capabilities and packaged it in a way which is more developer friendly.
- It was developed in Go language and utilizes LXC, namespaces, cgroups and the linux kernel itself. Docker uses namespaces to provide the isolated workspace called a container. Each aspect of a container runs in a separate namespace and its access is limited to this namespace.

Static Website	
Web Frontend	
Background Workers	
User DB	
Analytics DB	
Queue	
	Desktop 
	Test/QA Cluster 
	Production Cluster 
	Public Cloud 
	Data Center 
	Mainframe 
	Windows Server 
	Edge Device 



BASIC DOCKER COMMANDS

- Docker CLI structure,
 - Old (Still works as expected) docker <command> options
 - New – docker <command> <sub-command> (options)
- Pulling Docker Image
 - docker pull nginx
- Running a Docker Container
 - docker run -p 80:80 --name web-server nginx
- Stopping the Container
 - docker stop web-server (or container id)

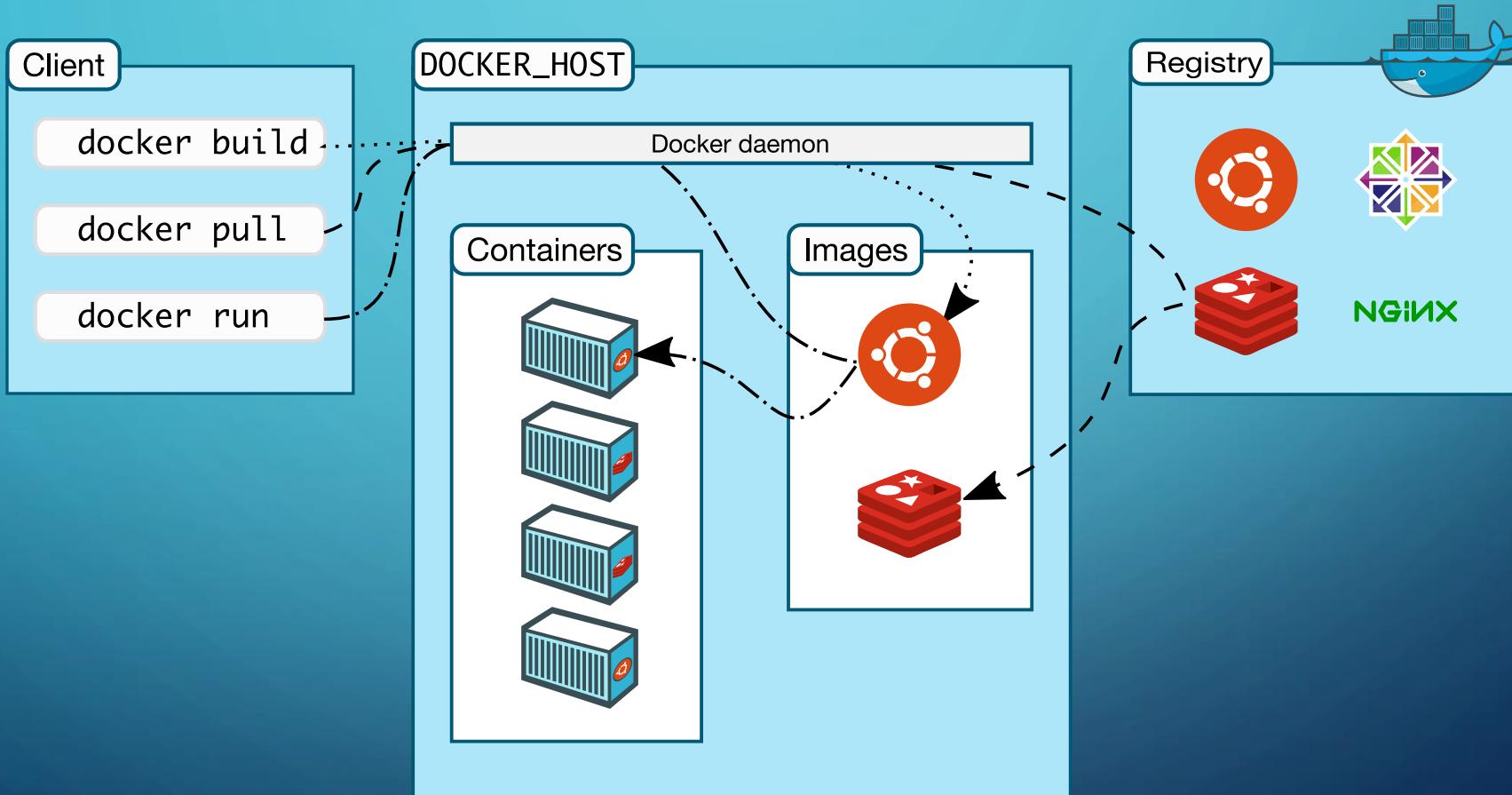
- Check what's happening in a containers,
 - docker container top web-server – Process list in 1 container
 - docker container inspect web-server – Details of one container config
 - docker container stats – Performance stats for all containers
- Getting a shell inside containers,
 - docker container run -it – Start a new container interactively
 - docker container exec -it <container_id_or_name> echo "I'm inside the container" – Run additional commands in the container
- Listing, removing containers and images
 - docker images
 - docker container ls | docker ps
 - docker <object> rm <id_or_name>

ARE THERE SOLUTIONS OTHER THAN DOCKER?

- Docker – Container runtime + Tool for managing containers and images
- Containerd – Container runtime only
- Podman – Tool for managing containers and images

DEEP DIVE INTO DOCKER INTERNALS

DOCKER ARCHITECTURE



WHAT HAPPENS WHEN YOU RUN A CONTAINER?

- ***docker run -p 80:80 nginx | docker container run -p 80:80 nginx***
 1. Looks for that particular image locally in image cache, if its not found pulls it from the configured registry (image repository). Downloads the latest version by default (nginx:latest)
 2. Creates a new container based on that image and prepares to start
 3. Docker allocates read write filesystem to the container, as its final layer. This allows running container to modify files and directories in its local filesystem.
 4. Gives it a virtual IP on a private network inside docker engine
 5. Opens up port 80 on host and forwards to port 80 in container.
 6. Starts container by using the CMD in the image Dockerfile.

DOCKER OBJECTS

- Docker Images

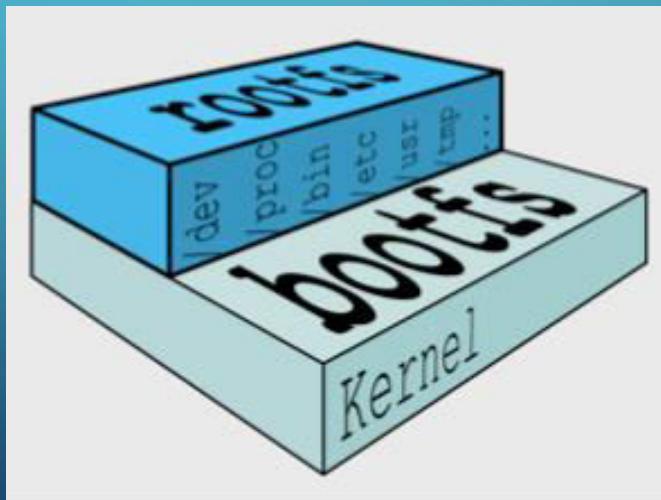
- A read-only template with instructions/ metadata for creating a Docker container.
- Can create your own image or use images created and published in a registry by others.
- Dockerfile can be used to define steps required to create and run the image.
- Each instruction in Dockerfile creates a layer in the image, only those layers which changes each time are rebuilt – What makes images so lightweight, small and fast.

- Docker Containers

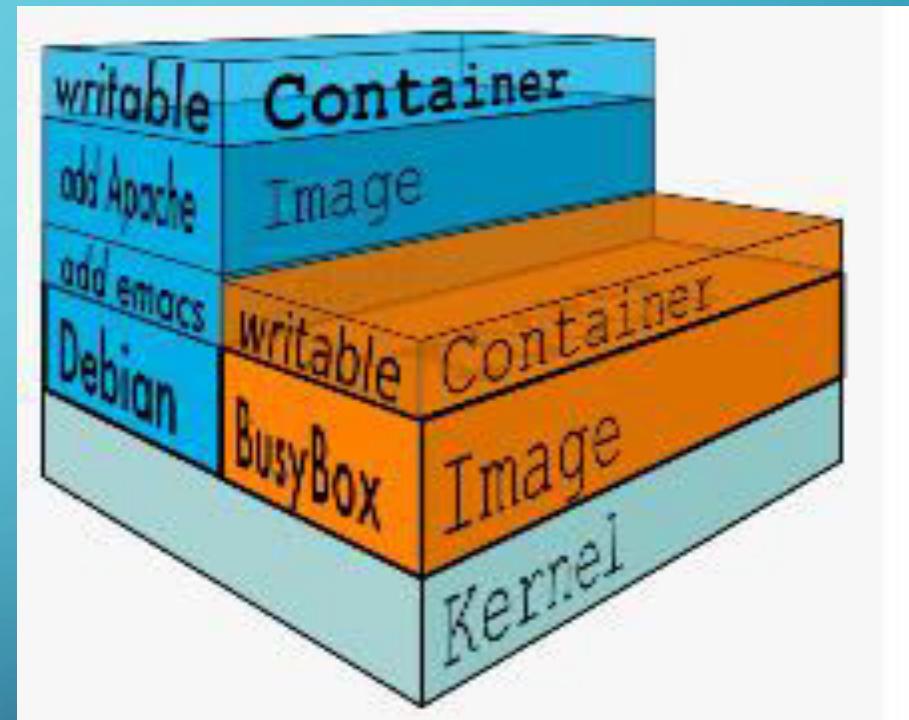
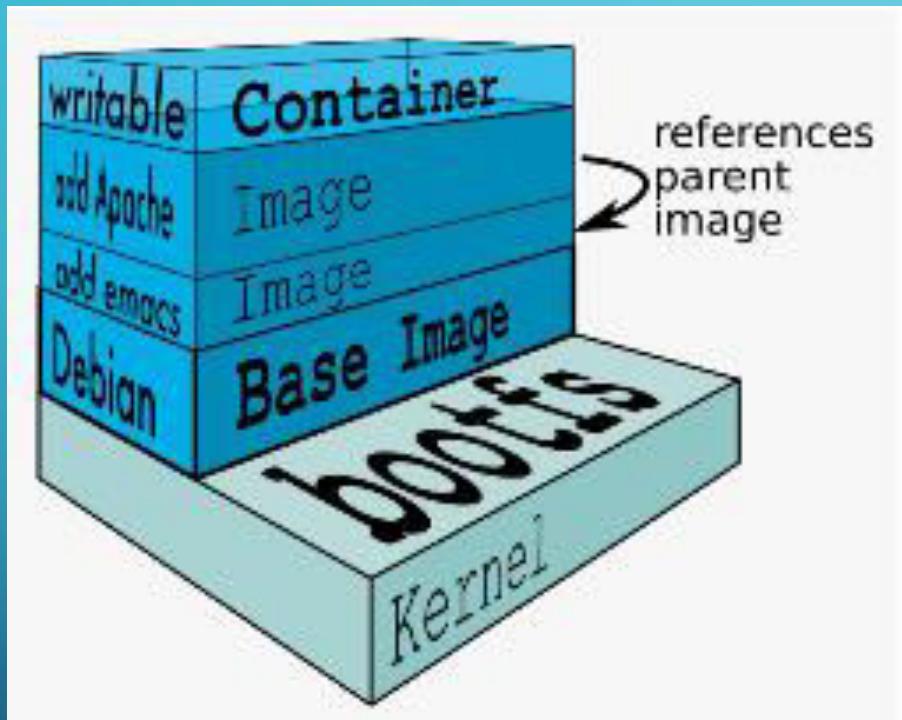
- Runnable instance of an image.
- Can create, start, stop, move, or delete a container using the Docker API or CLI.
- Can connect it to one or more networks, attach storage to it, or even create a new image based on its current status.
- A container is defined by its image as well as any config options provided to it when you create or start it. Note that when the container is removed any data associated with it will be deleted unless those are not stored in a persistent storage.

UNDERSTANDING DOCKER IMAGES/ CONTAINERS INTERNALS

- Docker Filesystem
 - Boot file system (bootfs) – Contains the bootloader and the kernel. User never touches this.
 - Root file system (rootfs) – Includes the typical directory structure we associate with Unix-like OS.

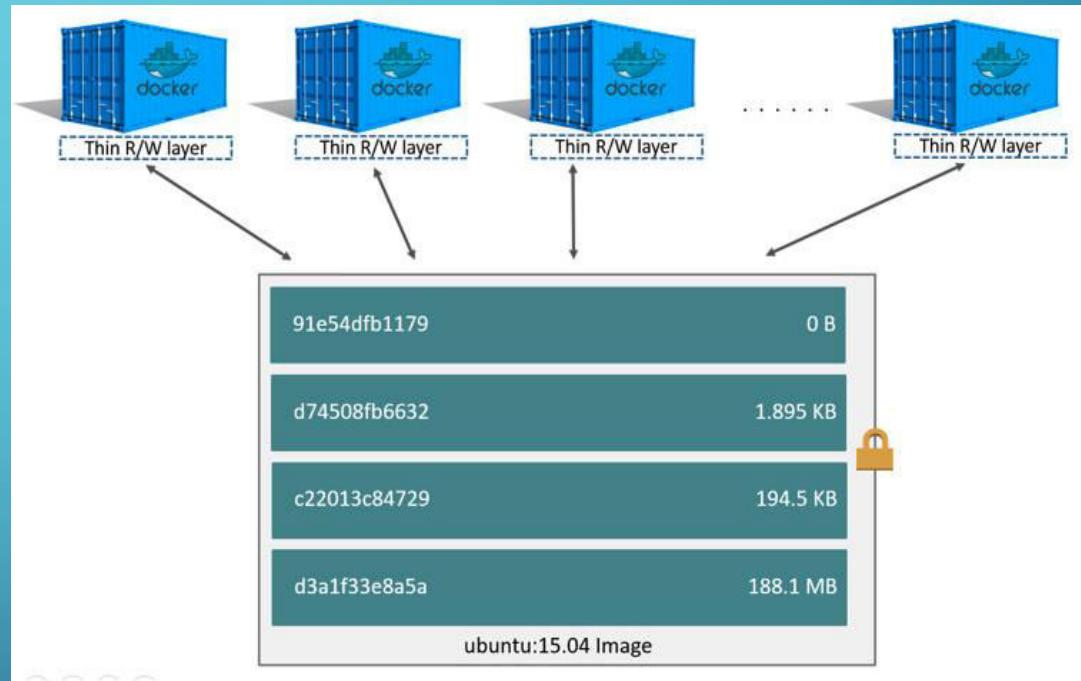


- In traditional Linux boot, kernel first mounts the rootfs as read-only, checks its integrity, and then switches the rootfs volume to read-write mode.
- Docker mounts the rootfs and **instead of changing the file system to read-write mode, it then takes advantage of union mounts service to add a read-write filesystem over the read-only file system.**
- In Docker terminology, a read-only layer is called an **image**. An image never changes and is fixed.
- Each image depend on one more image which creates the layer beneath it. The lower image is the **parent** of the upper image. Image without a parent is a **base image**.
- When you run a **container**, Docker fetches the image and its Parent Image, and repeats the process until it reaches the Base Image. Then the Union File System adds a **read-write layer** on top.
- That read-write layer, plus the information about its Parent Image and some additional information like its unique id, networking configuration, and resource limits is called a **container**



- A container can have two states, it may be running or exited.
- When a container is exited the state of the file system and its exit value is saved.
- You can start, stop, and restart a container. The processes of restarting a container from scratch will preserve its file system just as it was when the container was stopped. But the memory state of the container is not preserved.
- You can also remove the container permanently.
- A container can also be promoted directly into an image using the docker commit command. Once a container is committed as an image, you can use it to create other images on top of it.
 - `docker commit <container-id> <image-name:tag>`

- Based from the UFS, Docker uses a strategy called **Copy on Write** to improve the efficiency by minimizing I/O and the size of each subsequent layers,
 - If a file or directory exists in a lower layer within the image, and another layer (including the writable layer) needs read access to it, it just uses the existing file.
 - The first time another layer needs to modify the file (when building the image or running the container), the file is copied into that layer and modified.

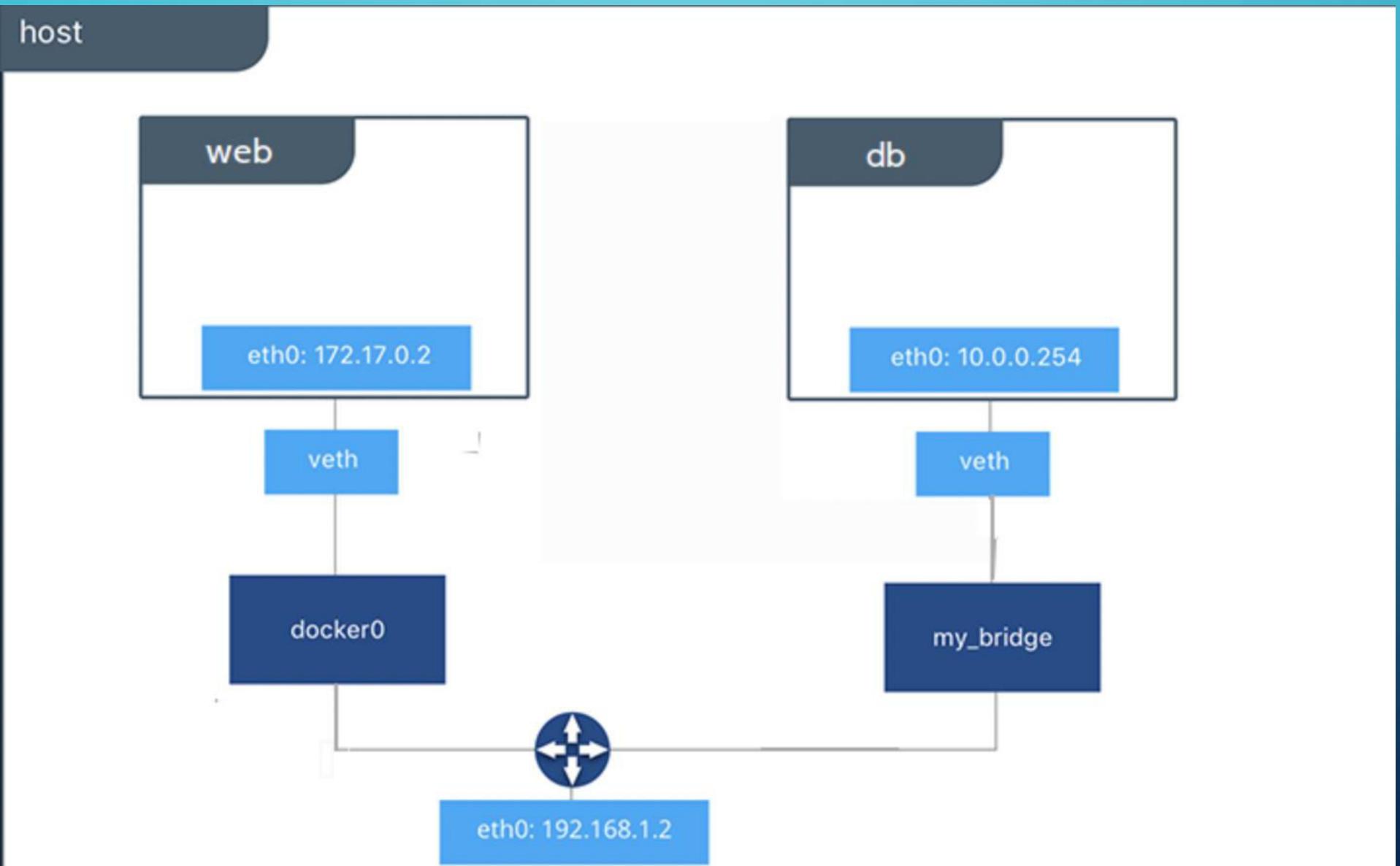


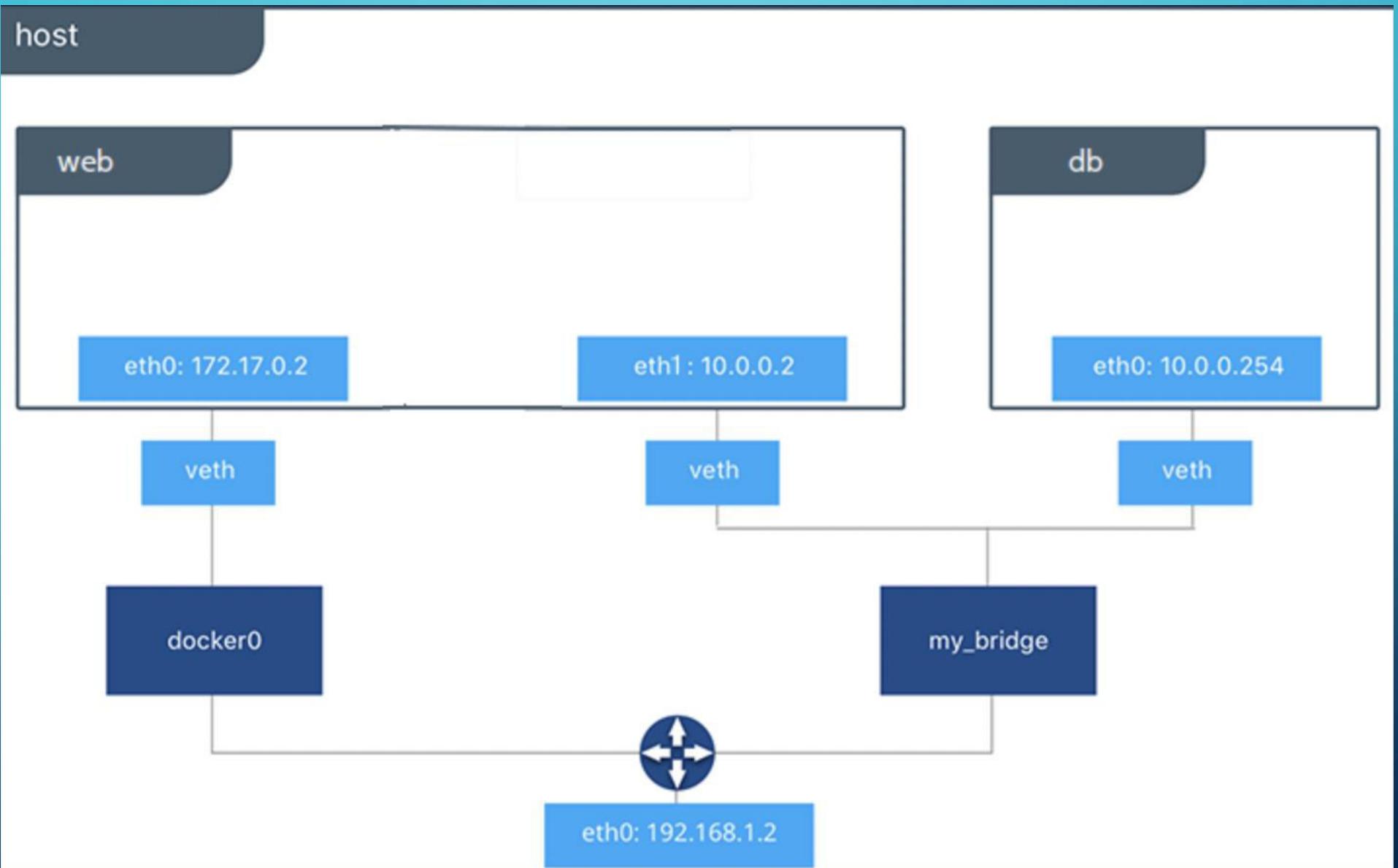
DOCKER OBJECTS CONT...

- Docker Networks

- Each container is connected to a private virtual network called “bridge”.
- Each virtual network routes through the NAT firewall on the host IP.
- All containers on a virtual network can talk to each other without exposing ports.
- Best practice is to create a new virtual network for each app.

- Docker enables to:
 - Create new virtual networks.
 - Attach container to more than one virtual network (or none)
 - Skip virtual networks and use host IP (`--net=host`)
 - Use different Docker network drivers to gain new abilities.
 - Docker Engine provides support for different network drivers – bridge (default), overlay and macvian etc.. . You can even write your own network driver plugin to create your own one.
- Docker Networking – DNS
 - Docker deamon has a built in DNS, which consider container name as equivalent hostname of the container.





PERSISTENCE DATA

- If we want to use persistence data as in like databases or unique data in containers, Docker enables that using two ways,
 - Volumes – Make a location outside of container UFS.
 - Bind Mounts - Link host path to the container path.

DOCKER COMPOSE

- Another Docker client, that lets you work with apps consisting of a set of containers.
 - This saves docker container run settings in easy to read file, which can be committed to VCS.
 - Can use this to create one-line development environments
- Consists of two components
 - YAML formatted file that describes – Images, Containers, Networks, Volumes etc...
 - A CLI tool docker-compose used to automate/manage those YAML files



HOW CAN WE RUN CONTAINERS AT SCALE?

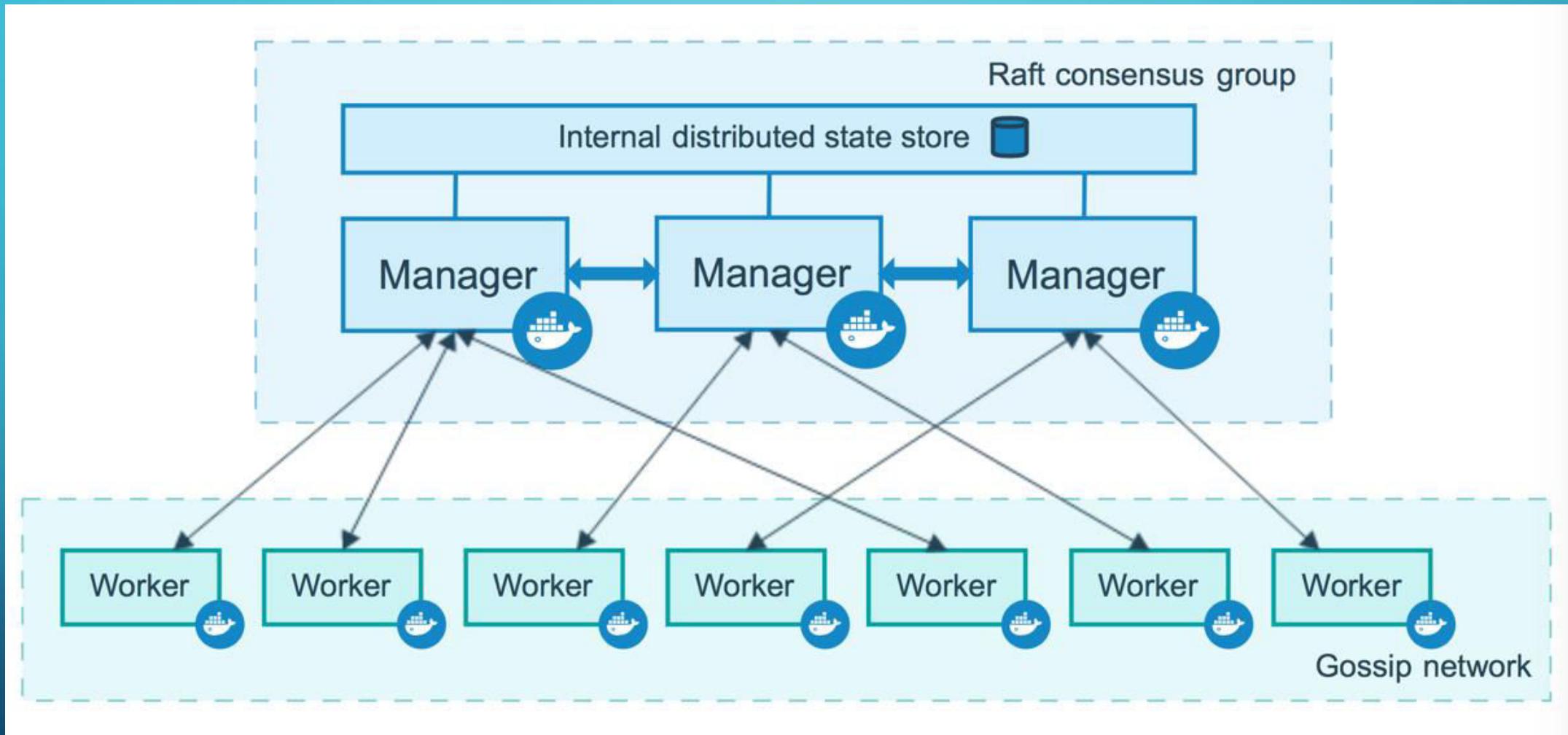
CONTAINER ORCHESTRATION

- Container orchestration automates the deployment, management, scaling, and networking of containers.
- Container orchestration can be used in any environment where you use containers. It can help you to deploy the same application across different environments without needing to redesign it.

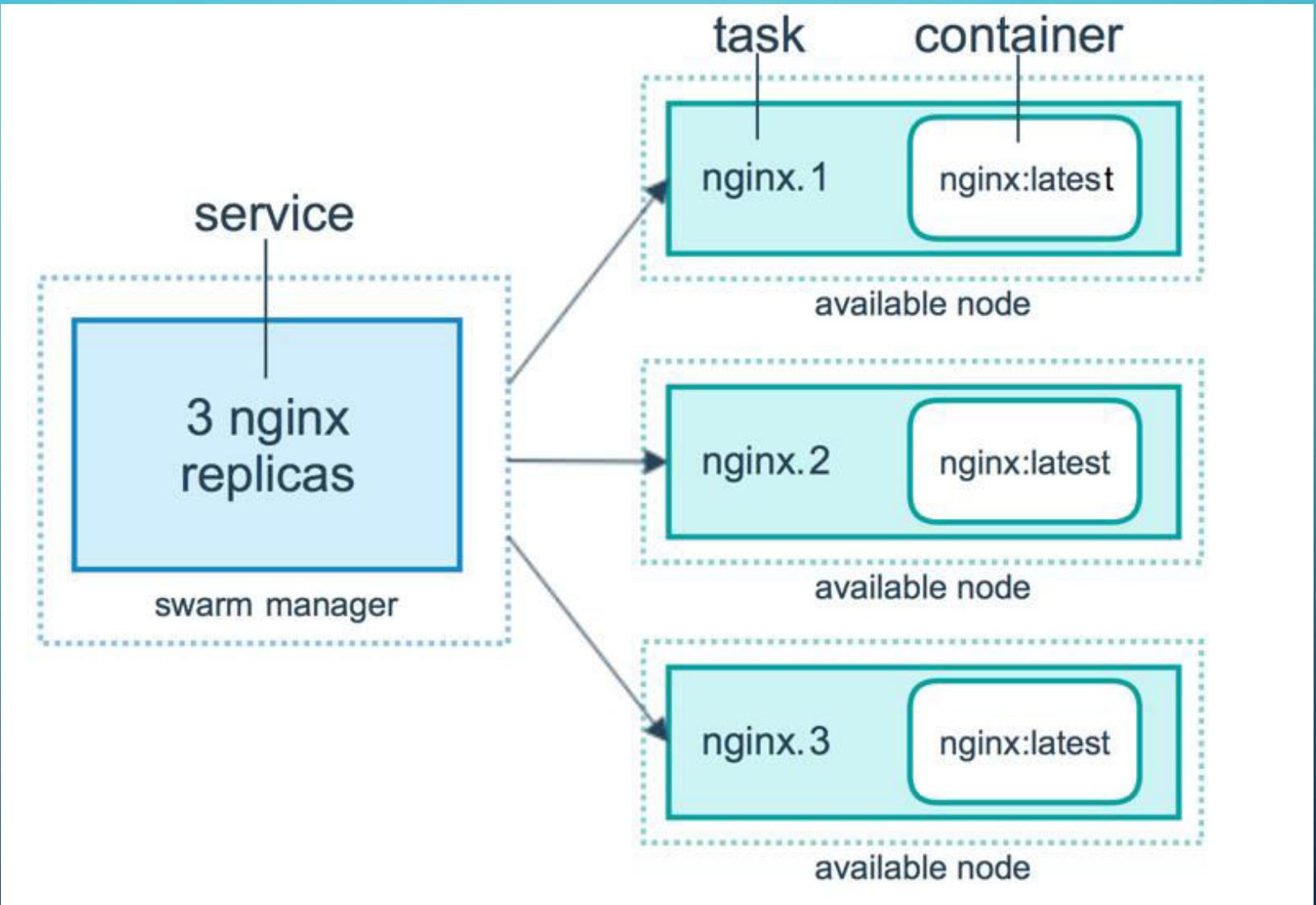
INTRODUCTION TO DOCKER SWARM

DOCKER SWARM KEY CONCEPTS

- Docker Swarm provides the cluster management and orchestration features of Docker Engine 1.12
- Nodes - A **node** is an instance of the Docker engine participating in the swarm. You can also think of this as a Docker node.
 - Manager Node - To deploy your application to a swarm, you submit a service definition to a **manager node**. These nodes are also responsible for performing the orchestration and cluster management functions required to maintain the desired state of the swarm.
 - Worker Nodes - Receive and execute tasks dispatched from manager nodes. An agent which is running within the worker nodes report the current status of the tasks assigned to it which allows manager node to keep the desired state for each worker node.



- **Task** - It is the atomic scheduling unit of swarm. Manager nodes assign tasks to worker nodes according to the number of replicas set in the service scale.
- **Service** - the definition of the tasks to execute on the manager or worker nodes. Here is where you specify which container image to use and which commands to execute inside running containers.
 - Replicated services model - the swarm manager distributes a specific number of replica tasks among the nodes based upon the scale you set in the desired state.
 - Global services model - the swarm runs one task for the service on every available node in the cluster.



- `docker swarm init --advertise-addr <MANAGER-IP>`
- Join a worker node - `docker swarm join --token SWMTKN-1
49nj1cmql0jkz5s954yi3oex3nedyz0fb0xx14ie39trti4wxv-
8vxv8rssmk743ojnwacrr2e7c 192.168.99.100:2377`
- `docker service create --replicas 1 --name helloworld alpine ping docker.com`
- `docker service scale <SERVICE-ID>=<NUMBER-OF-TASKS>`

INTRODUCTION TO KUBERNETES

WHAT IS KUBERNETES?

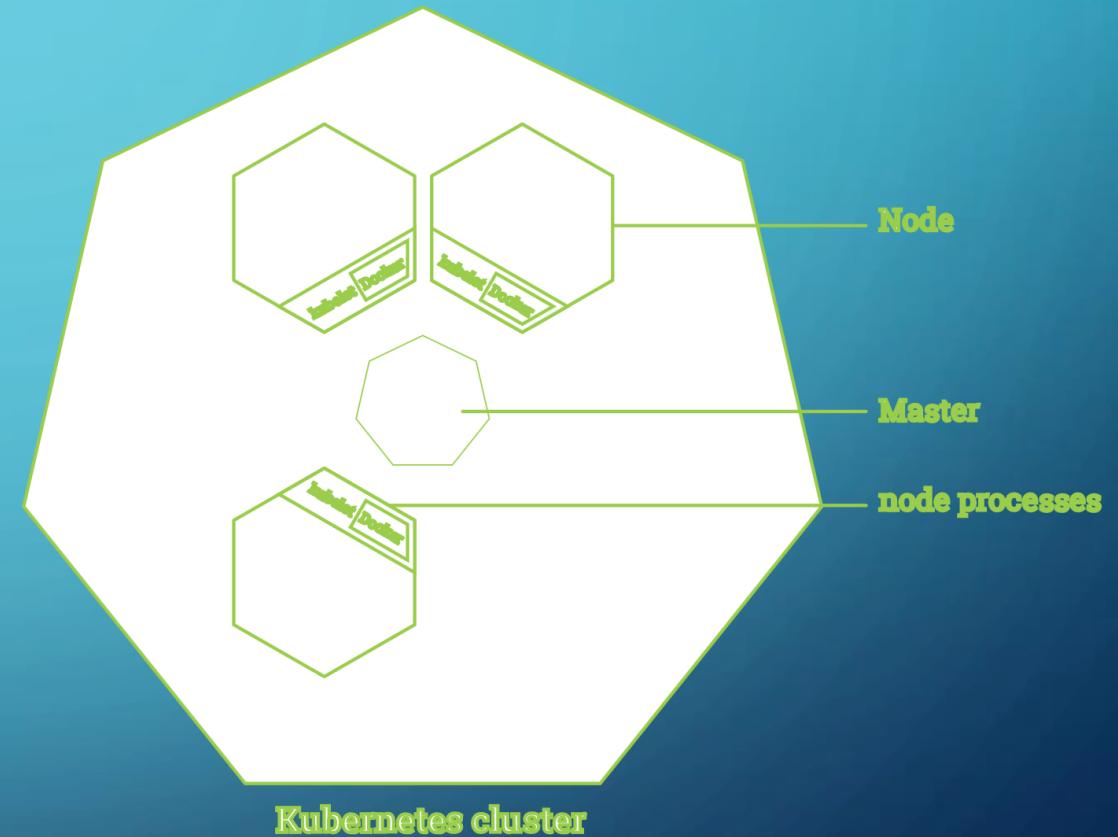
- “Kubernetes (k8s) is an open source platform for automating deployment, scaling and management of containers at scale”
- Project that was created by Google as an open source container orchestration platform. Born from the lessons learned and experiences in running projects like Borg and Omega @ Google
- It was donated to CNCF (Cloud Native Computing Foundation) who now manages the Kubernetes project
- Current Kubernetes stable version – 1.29

IT'S CAPABLE OF...

- Horizontal scaling
- Load distribution
- Service discovery
- Health monitoring
- Deploying new versions, rollbacks
- Handling hardware failures

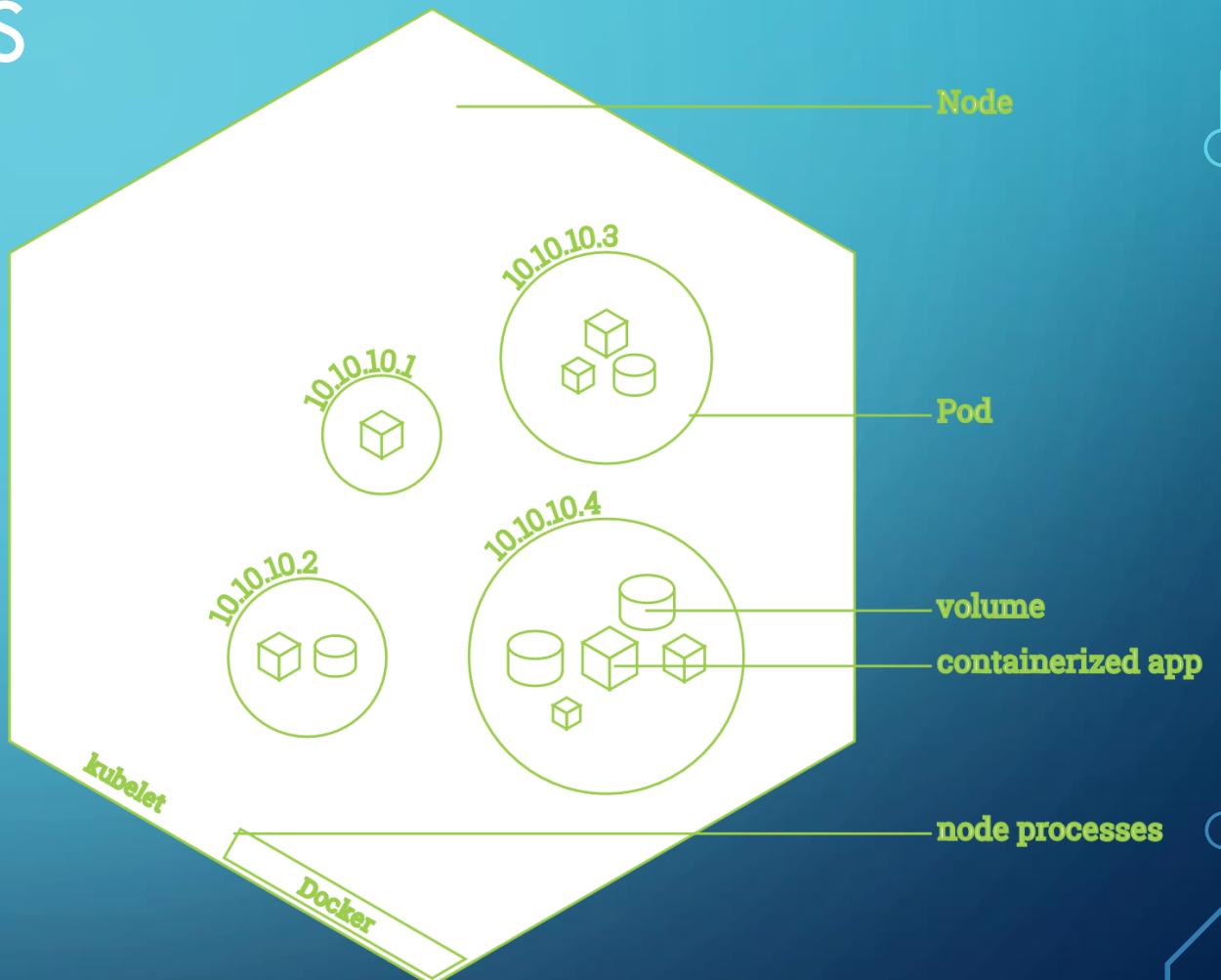
HIGH LEVEL ARCHITECTURE

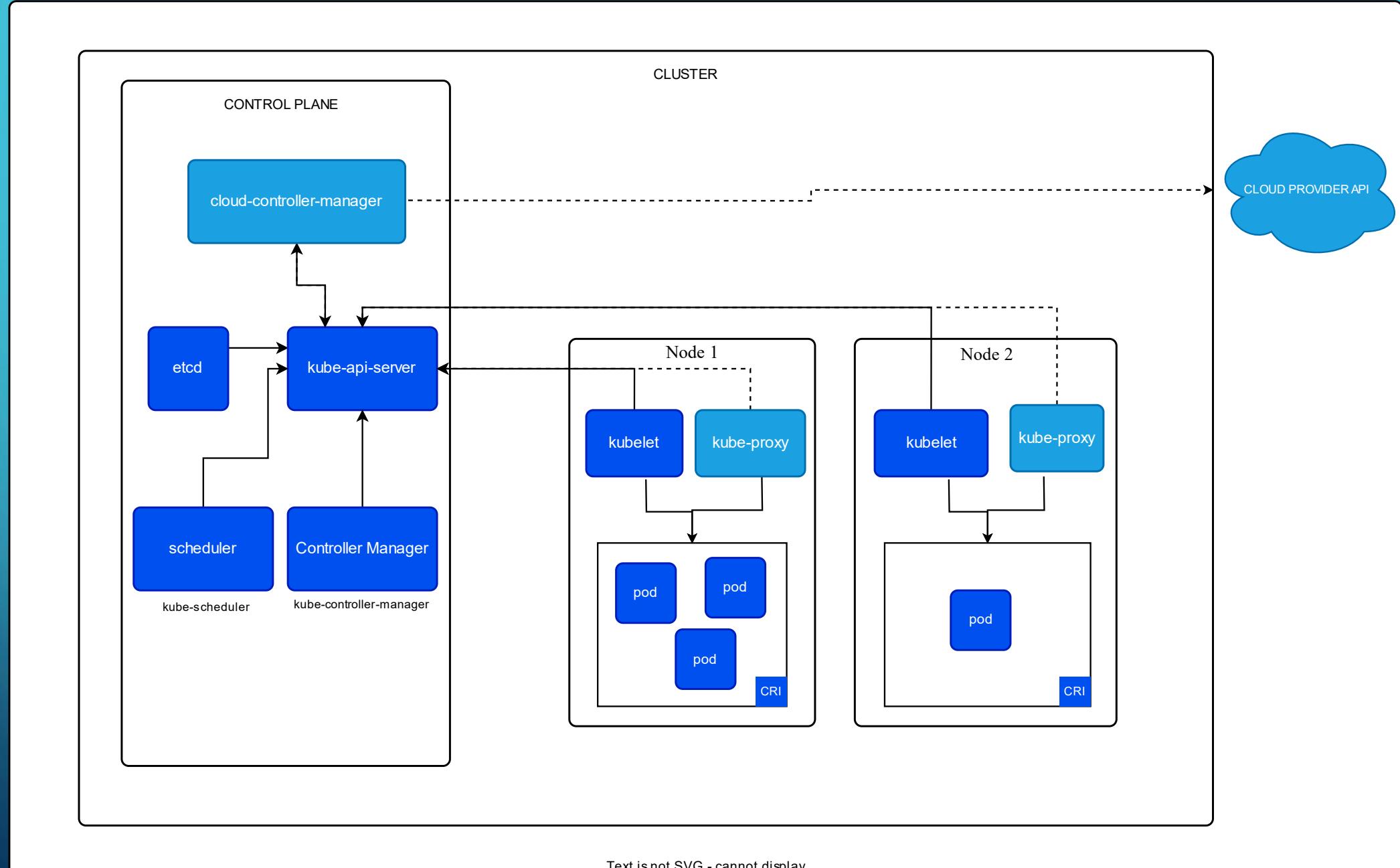
- Master [Control Plane]
 - coordinates all activities in the cluster
- Nodes:
 - virtual or physical machines
 - actual workers
 - runs processes:
 - kubelet
 - kube-proxy
 - container runtime



BASIC BUILDING BLOCKS

- Containers
 - Define single running process*
 - Eg docker container
- Pods
 - the way of running containers in kubernetes
 - basic deployable and scaling unit
 - defines one or more containers
 - containers are co-located on a node
 - flat network structure
- Nodes:
 - physical worker machines
 - can run multiple pods
 - pods running within single node don't know about each other





RUNNING THINGS LOCALLY

- Minikube:
 - single node cluster
 - running in a VM
 - supports linux, windows and macOS
 - mature project
- kind:
 - Requires you to have Docker or Podman installed in your local computer
- Docker Desktop with built-in kubernetes:
 - single node cluster
 - running in a VM
 - windows and macOS
 - drag & drop installation
 - bound to specific kubernetes version

<https://kubernetes.io/docs/tasks/tools/>

MANAGING CLUSTER RESOURCES

- **Create resource from file** - `kubectl create -f resource_file.yml`
- **Change existing (or create) resource based on file** - `kubectl apply -f resource_file.yml`
- **Delete existing resource** - `kubectl delete resource_type resource_name`
- **List resources of type** - `kubectl get resource_type`
- **Edit resource on the server** - `kubectl edit resource_type resource_name`

DEBUGGING CLUSTER RESOURCES

- **Execute command on the container -** `kubectl exec [-it] pod_name process_to_run`
- **Get container logs -** `kubectl logs pod_name [-c container_name]`
- **Forward port from a pod -** `kubectl port-forward pod_name local_port:remote_port`
- **Print detailed description of a resource -** `kubectl describe resource_type resource_name`

FEW RESOURCE OBJECTS IN K8S

- **Replica Sets** - Ensures desired number of pods exist by: scaling up or down and running new pods when nodes fail
- ***Deployment***
 - A *Deployment* provides declarative updates for Pods and ReplicaSets. You describe a *desired state* in a Deployment, and the Deployment Controller changes the actual state to the desired state at a controlled rate.
- ***Service***
 - A method for exposing a network application that is running as one or more Pods in your cluster.
 - Cluster IP/ NodePort/ Load Balancer/ Ingress

Feature	ClusterIP	NodePort	LoadBalancer
Exposition	Exposes the Service on an internal IP in the cluster.	Exposing services to external clients	Exposing services to external clients
Cluster	This type makes the Service only reachable from within the cluster	A NodePort service, each cluster node opens a port on the node itself (hence the name) and redirects traffic received on that port to the underlying service.	A LoadBalancer service accessible through a dedicated load balancer, provisioned from the cloud infrastructure Kubernetes is running on
Accessibility	It is default service and Internal clients send requests to a stable internal IP address.	The service is accessible at the internal cluster IP-port, and also through a dedicated port on all nodes.	Clients connect to the service through the load balancer's IP.
Yaml Config	<code>type: ClusterIP</code>	<code>type: NodePort</code>	<code>type: LoadBalancer</code>
Port Range	Any public ip form Cluster	30000 - 32767	Any public ip form Cluster

Image Credit: StackOverflow

DEMO

- *Defining a Pod*
- *Creating a ReplicaSet*
- *Creating a Deployment*
- *Creating a Service and exposing it*

REFERENCES

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- <https://www.docker.com/blog/containers-and-vms-together/>
- <https://www.redhat.com/en/topics/containers/containers-vs-vms>
- Docker Storage Drivers - <https://docs.docker.com/storage/storagedriver/>
- <https://docs.docker.com/storage/storagedriver/select-storage-driver/>
- <https://www.youtube.com/watch?v=cjXI-yxqGTI>
- Docker Buildx - <https://docs.docker.com/buildx/working-with-buildx/>
- Jiang Huan BuildKit timings - <https://medium.com/titansoft-engineering/docker-build-cache-sharing-on-multi-hosts-with-buildkit-and-buildx-eb8f7005918e>
- What is Docker BuildKit - <https://brianchristner.io/what-is-docker-buildkit/>

THANK YOU!

LinkedIn - <https://lk.linkedin.com/in/ravindufernando>



Kubernetes (K8s)

Introduction → Deep Dive

Ravindu Nirmal Fernando | SLIIT | February 2025

How can we run containers at scale?

Container orchestration

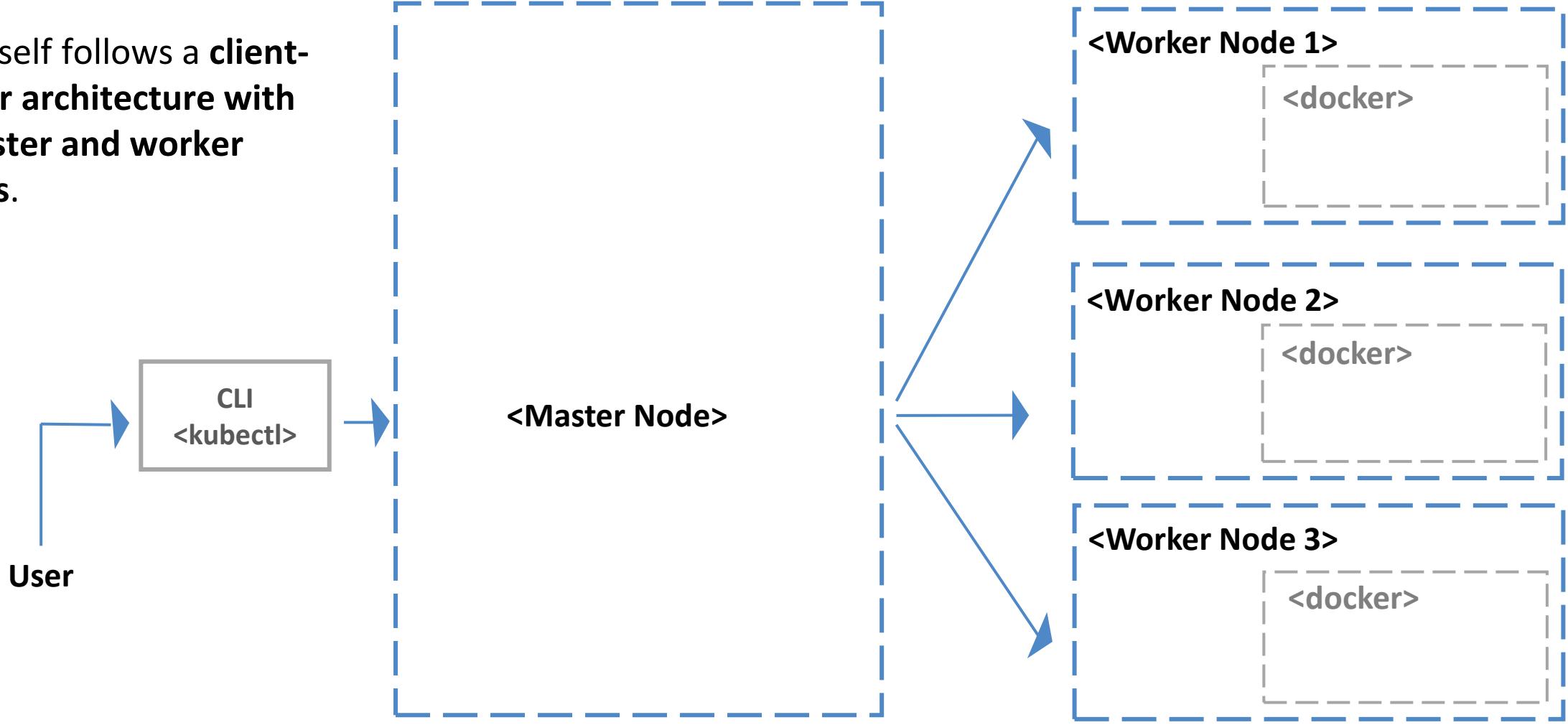
- Container orchestration automates the deployment, management, scaling, and networking of containers.
- Container orchestration can be used in any environment where you use containers. It can help you to deploy the same application across different environments without needing to redesign it.

What is Kubernetes?

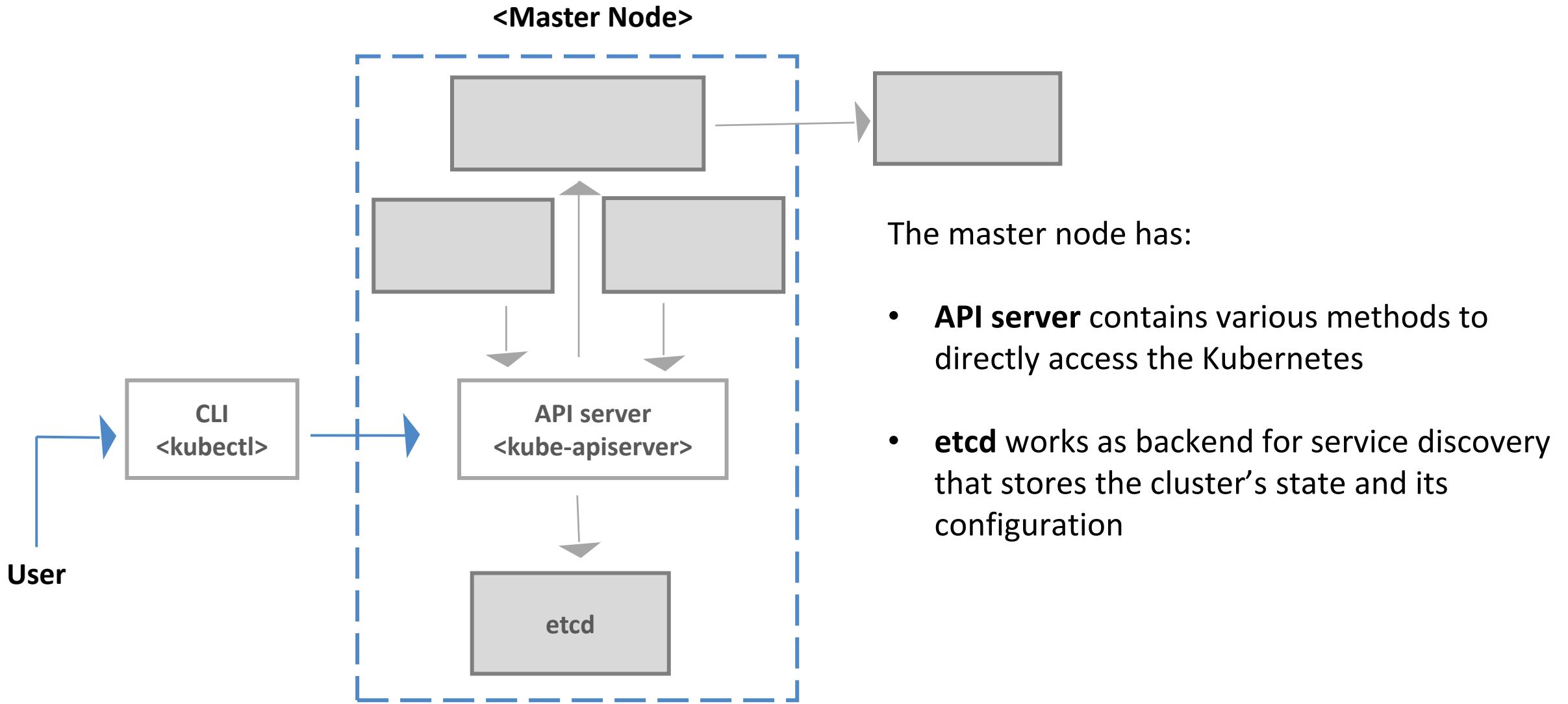
- “Kubernetes (k8s) is an open source platform for automating deployment, scaling and management of containers at scale”
- Project that was created by Google as an open source container orchestration platform. Born from the lessons learned and experiences in running projects like Borg and Omega @ Google
- It was donated to CNCF (Cloud Native Computing Foundation) who now manages the Kubernetes project
- Current Kubernetes stable version – 1.32

K8s Components & Architecture

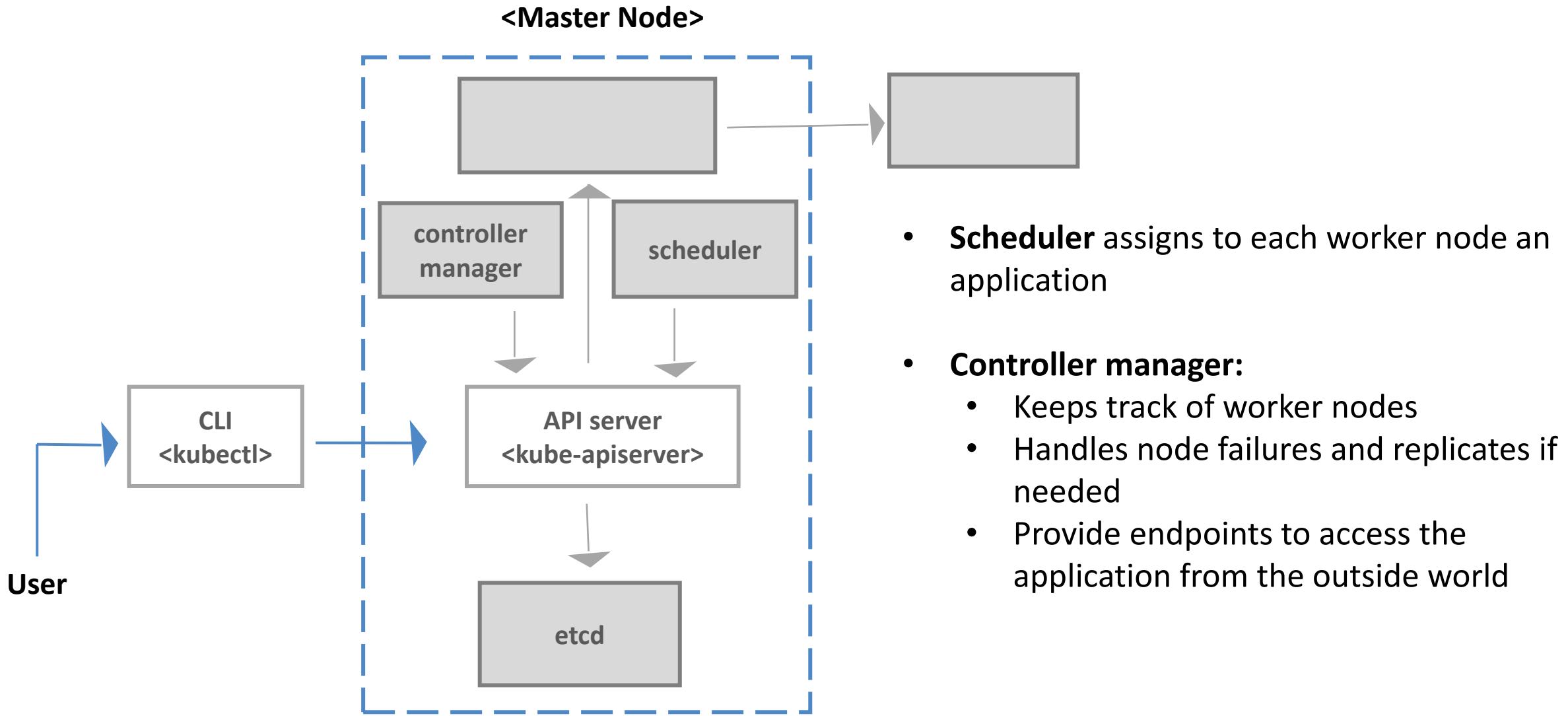
K8s itself follows a **client-server architecture with a master and worker nodes.**



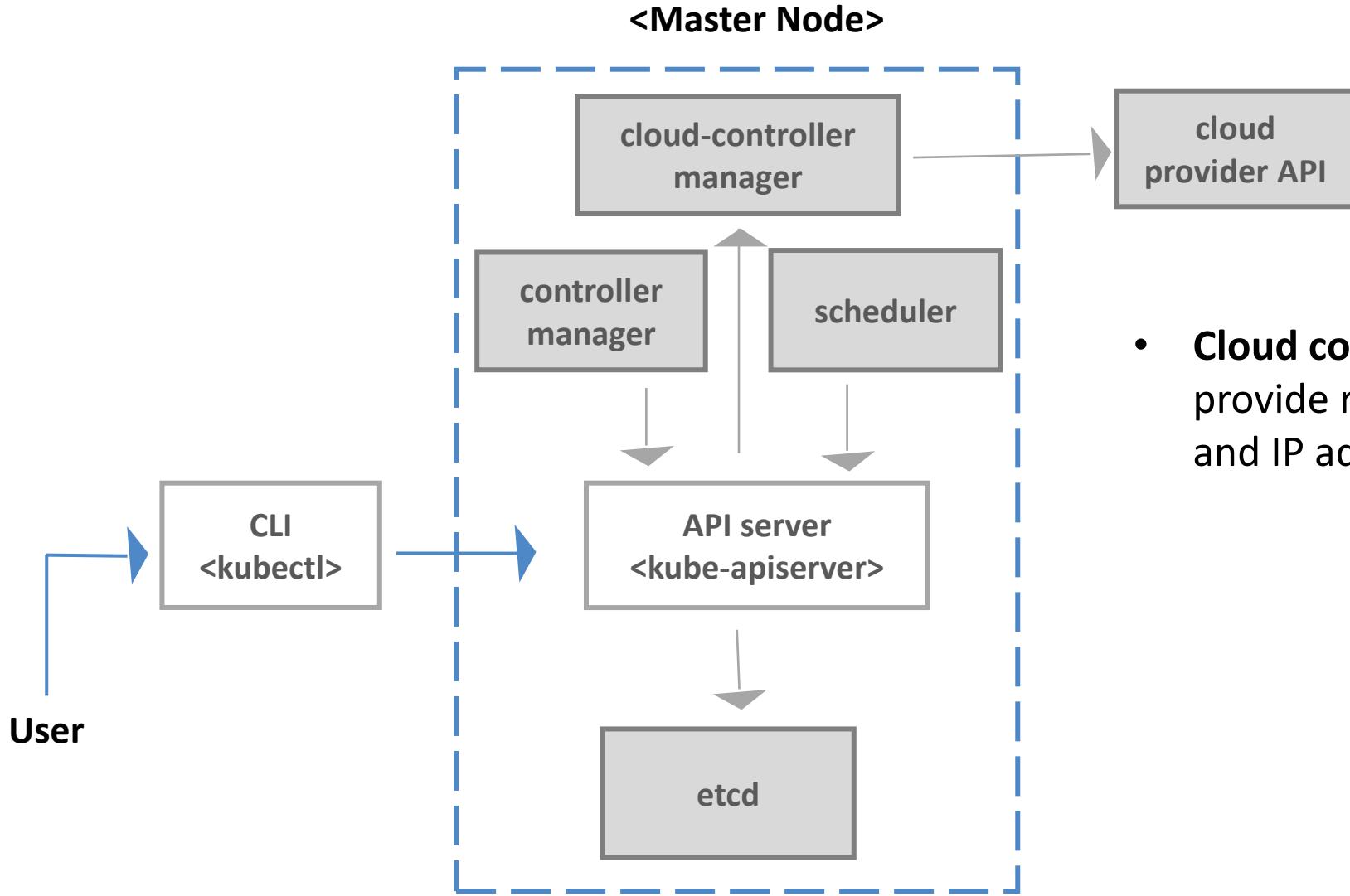
K8s Components & Architecture <cont>



K8s Components & Architecture <cont>

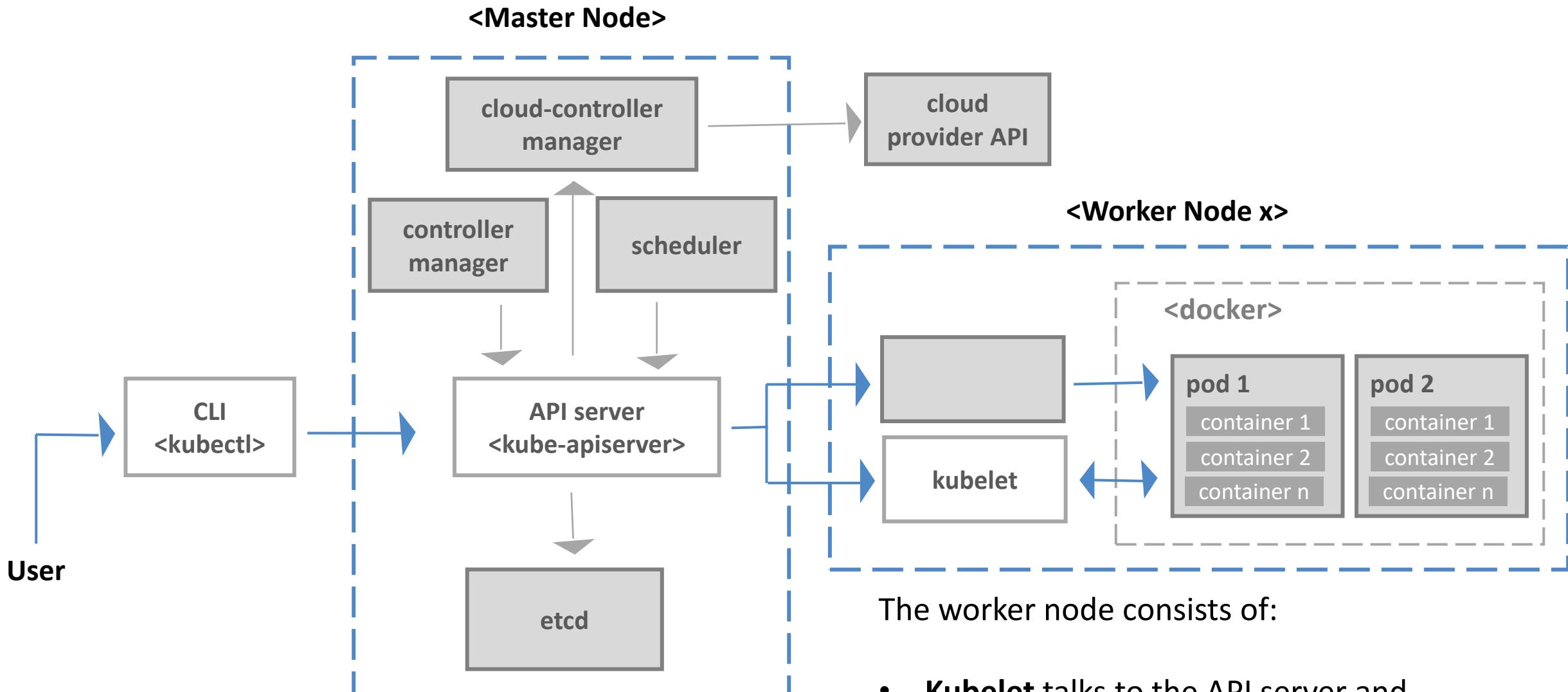


K8s Components & Architecture <cont>



- **Cloud controller** communicates with cloud provider regarding resources such as nodes and IP addresses

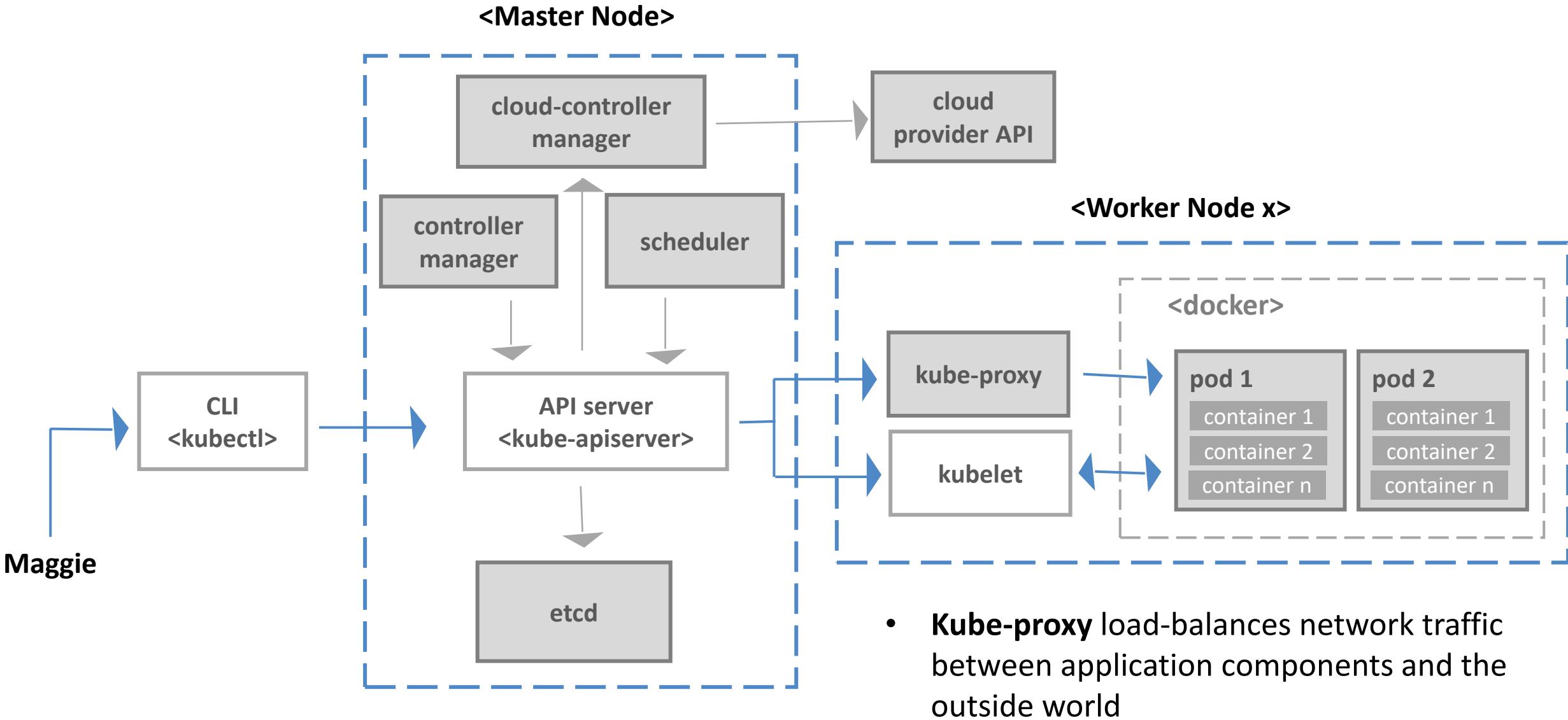
K8s Components & Architecture <cont>



The worker node consists of:

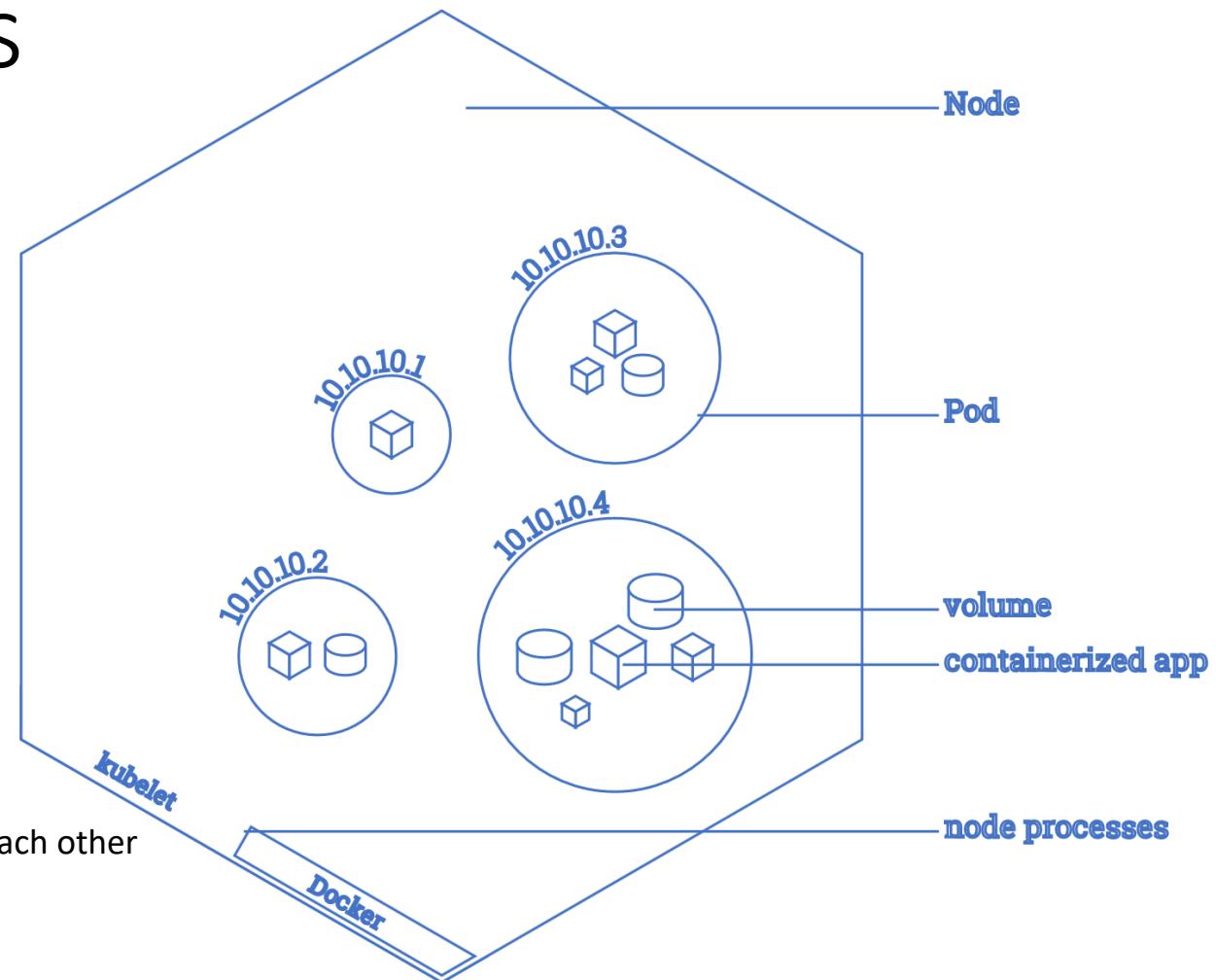
- **Kubelet** talks to the API server and manages containers on its node

K8s Components & Architecture <cont>



Basic building blocks

- Containers
 - Define single running process*
 - E.g. docker container
- Pods
 - the way of running containers in Kubernetes
 - basic deployable and scaling unit
 - defines one or more containers
 - containers are co-located on a node
 - flat network structure
- Nodes:
 - physical worker machines
 - can run multiple pods
 - pods running within single node don't know about each other



Running things locally

- Minikube:
 - single node cluster
 - running in a VM
 - supports linux, windows and macOS
 - mature project
- kind:
 - Requires you to have Docker or Podman installed in your local computer
- Docker Desktop with built-in kubernetes:
 - single node cluster
 - running in a VM
 - windows and macOS
 - drag & drop installation
 - bound to specific kubernetes version

<https://kubernetes.io/docs/tasks/tools/>

Managing cluster resources

- **Create resource from file** - *kubectl create -f resource_file.yml*
- **Change existing (or create) resource based on file** - *kubectl apply -f resource_file.yml*
- **Delete existing resource** - *kubectl delete resource_type resource_name*
- **List resources of type** - *kubectl get resource_type*
- **Edit resource on the server** - *kubectl edit resource_type resource_name*

Debugging cluster resources

- **Execute command on the container** - *kubectl exec [-it] pod_name process_to_run*
- **Get container logs** - *kubectl logs pod_name [-c container_name]*
- **Forward port from a pod** - *kubectl port-forward pod_name local_port:remote_port*
- **Print detailed description of a resource** - *kubectl describe resource_type resource_name*

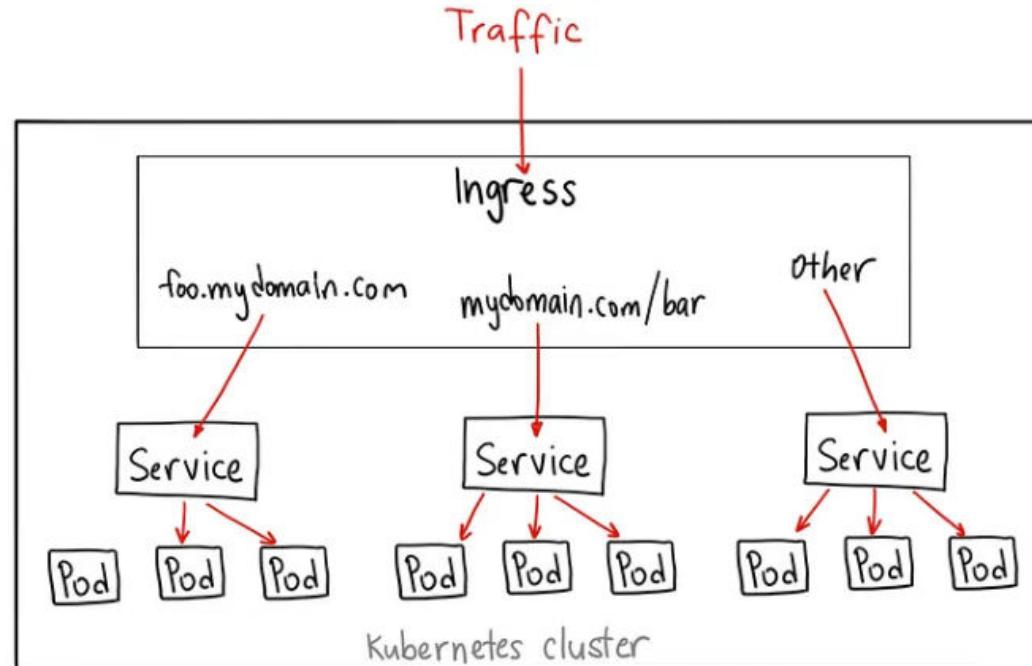
Few resource objects in K8s

- **Replica Sets** - Ensures desired number of pods exist by: scaling up or down and running new pods when nodes fail
- ***Deployment***
 - A *Deployment* provides declarative updates for Pods and ReplicaSets. You describe a *desired state* in a Deployment, and the Deployment Controller changes the actual state to the desired state at a controlled rate.
- ***Service***
 - A method for exposing a network application that is running as one or more Pods in your cluster.
 - Cluster IP/ NodePort/ Load Balancer

Feature	ClusterIP	NodePort	LoadBalancer
Exposition	Exposes the Service on an internal IP in the cluster.	Exposing services to external clients	Exposing services to external clients
Cluster	This type makes the Service only reachable from within the cluster	A NodePort service, each cluster node opens a port on the node itself (hence the name) and redirects traffic received on that port to the underlying service.	A LoadBalancer service accessible through a dedicated load balancer, provisioned from the cloud infrastructure Kubernetes is running on
Accessibility	It is default service and Internal clients send requests to a stable internal IP address.	The service is accessible at the internal cluster IP-port, and also through a dedicated port on all nodes.	Clients connect to the service through the load balancer's IP.
Yaml Config	<code>type: ClusterIP</code>	<code>type: NodePort</code>	<code>type: LoadBalancer</code>
Port Range	Any public ip form Cluster	30000 - 32767	Any public ip form Cluster

Few resource objects in K8s

- **Ingress** - An Ingress is a Kubernetes object that sits in front of multiple services and acts as an intelligent router. It defines how external traffic can reach the cluster services, and it configures a set of rules to allow inbound connections to reach the services on the cluster.



Thanks to [Ahmet Alp Balkan](#) for the diagrams

Demo

- *Defining a Pod*
- *Creating a ReplicaSet*
- *Creating a Deployment*
- *Creating a Service and exposing it*

I want to be able to
deploy and share my app
everywhere consistently,
and manage it as a single
entity regardless of the
different parts.

Deploying an App – *kubectl* Way

- Let's see what it takes to deploy an app on a running Kubernetes cluster
 - There will be lot's of YAML Kubernetes manifest files
 - Ex:-
 - Application deployment and service configuration
 - Redis master deployment and service configuration
 - Redis slaves deployment and service configuration
 - Using the Kubernetes client, *kubectl*
 - Create Deployment
 - Manage Deployment

Refer - <https://github.com/IBM/guestbook/tree/master/v1>

Deploying an App – *kubectl* Way – Pain Points

- CI/CD pipeline
 - *kubectl* deployments are not easy to configure, update and rollback
 - Deploying app to dev/test/production may require different configuration
 - Update deployment e.g. update with a new image
 - Change the configuration based on certain conditions
 - A different serviceType is needed in different environments (e.g. NodePort/LoadBalancer)
 - Need for rollback
 - Need of having multiple deployments (e.g. multiple Redis deployments)
 - Requires to track your deployment and modify YAML files (can be error prone)
 - Does not allow multiple deployments without updating metadata in manifest files
 - Share your deployment configurations with your friend, team or customer?
 - You need to share many files and related dependencies
 - Your users are required to have knowledge of deployment configuration

Here Comes Helm

- Deploying an app – Helm Way
 - No expertise of Kubernetes deployment needed as Helm hides Kubernetes domain complexities
 - Helm packages all dependencies
 - Helm tracks deployment making it easy to update and rollback
 - Same workload can be deployed multiple times
 - Helm allows assigning workload release names at runtime
 - Easy to share

What is Helm?

- Helm is a tool that streamlines installation and management of Kubernetes applications
 - A tool or package manager for the Kubernetes, for deployment and management of applications into a Kubernetes cluster
 - Helm became a CNCF project in mid 2018
- It uses a packaging format called **charts**
 - A chart is a collection of files that describe Kubernetes resources
 - Think of Helm like apt/yum/homebrew for Kubernetes
- Helm is available for various operating systems like OSX, Linux and Windows
- Run Helm anywhere e.g. laptop, CI/CD etc.





What Helm is NOT

- A fully fledged system package manager
- A configuration management tool like Chef, puppet etc.
- A Kubernetes resource lifecycle controller



A chart is organized as a collection of files inside of a directory. The directory name is the name of the chart (without versioning information). Thus, a chart describing WordPress would be stored in a `wordpress/` directory.

Inside of this directory, Helm will expect a structure that matches this:

```
wordpress/
  Chart.yaml      # A YAML file containing information about the chart
  LICENSE         # OPTIONAL: A plain text file containing the license for the chart
  README.md       # OPTIONAL: A human-readable README file
  values.yaml     # The default configuration values for this chart
  values.schema.json # OPTIONAL: A JSON Schema for imposing a structure on the values.yaml file
  charts/          # A directory containing any charts upon which this chart depends.
  crds/            # Custom Resource Definitions
  templates/        # A directory of templates that, when combined with values,
                    # will generate valid Kubernetes manifest files.
  templates/NOTES.txt # OPTIONAL: A plain text file containing short usage notes
```

Helm reserves use of the `charts/`, `crds/`, and `templates/` directories, and of the listed file names. Other files will be left as they are.

Demo – Guestbook Chart Deployment

- Check existing installation of Helm chart
 - *helm ls*
- Check what repo do you have
 - *helm repo list*
- Add repo
 - *helm repo add helm101 <https://ibm.github.io/helm101/>*
- Verify that helm101/guestbook is now in your repo
 - *helm repo list*
 - *helm search helm101*
- Install
 - ***helm install helm101/guestbook --name myguestbook --set service.type=NodePort*** – follow the output instructions to see your guestbook application
- Verify that your guestbook chart is installed
 - *helm ls*
- Check chart release history
 - *helm history myguestbook*

Demo – Guestbook Upgrades and Rollback

- First let's see what we have
 - *helm history myguestbook*
- Upgrade
 - *helm upgrade myguestbook helm101/guestbook*
 - *helm history myguestbook*
- Rollback
 - *helm rollback myguestbook 1*
 - *helm history myguestbook*

Demo – Clean Up

- Remove repo
 - **helm repo remove helm101**
- Remove chart completely
 - **helm delete --purge myguestbook**
 - Delete all Kubernetes resources generated when the chart was instantiated

Another Demo!!!

<https://github.com/rav94/devops-in-practice>

References

- <https://kubernetes.io/docs/concepts/overview/components/>
- <https://helm.sh/docs/intro/quickstart/>

GitOps

Introduction

Ravindu Nirmal Fernando | SLIIT | February 2025

GitOps Principles

v0.1.0

1 The principle of declarative desired state

A system managed by GitOps must have its Desired State expressed declaratively as data in a format writable and readable by both humans and machines.

2 The principle of immutable desired state versions

Desired State is stored in a way that supports versioning, immutability of versions, and retains a complete version history.

3 The principle of continuous state reconciliation

Software agents continuously, and automatically, compare a system's Actual State to its Desired State. If the actual and desired states differ for any reason, automated actions to reconcile them are initiated.

4 The principle of operations through declaration

The only mechanism through which the system is intentionally operated on is through these principles.

GitOps in K8s

In the case of Kubernetes, GitOps deployments happen in the following manner:

A GitOps agent is deployed on the cluster.

- The GitOps agent is monitoring one or more Git repositories that define applications and contain Kubernetes manifests (or Helm charts or Kustomize files).
- Once a Git commit happens the GitOps agent is instructing the cluster to reach the same state as what is described in Git.
- Developers, operators, and other stakeholders perform all changes via Git operations and never directly touch the cluster (or perform manual kubectl commands).

Traditional deployment without GitOps:

- 1 - A developer commits source code for the application.
- 2 - A CI system builds the application and may also perform additional actions such as unit tests, security scans, static checks, etc.
- 3 - The container image is stored in a Container registry.
- 4 - The CI platform (or other external system) with direct access to the Kubernetes cluster creates a deployment using a variation of the “kubectl apply” command.
- 5 - The application is deployed on the cluster.

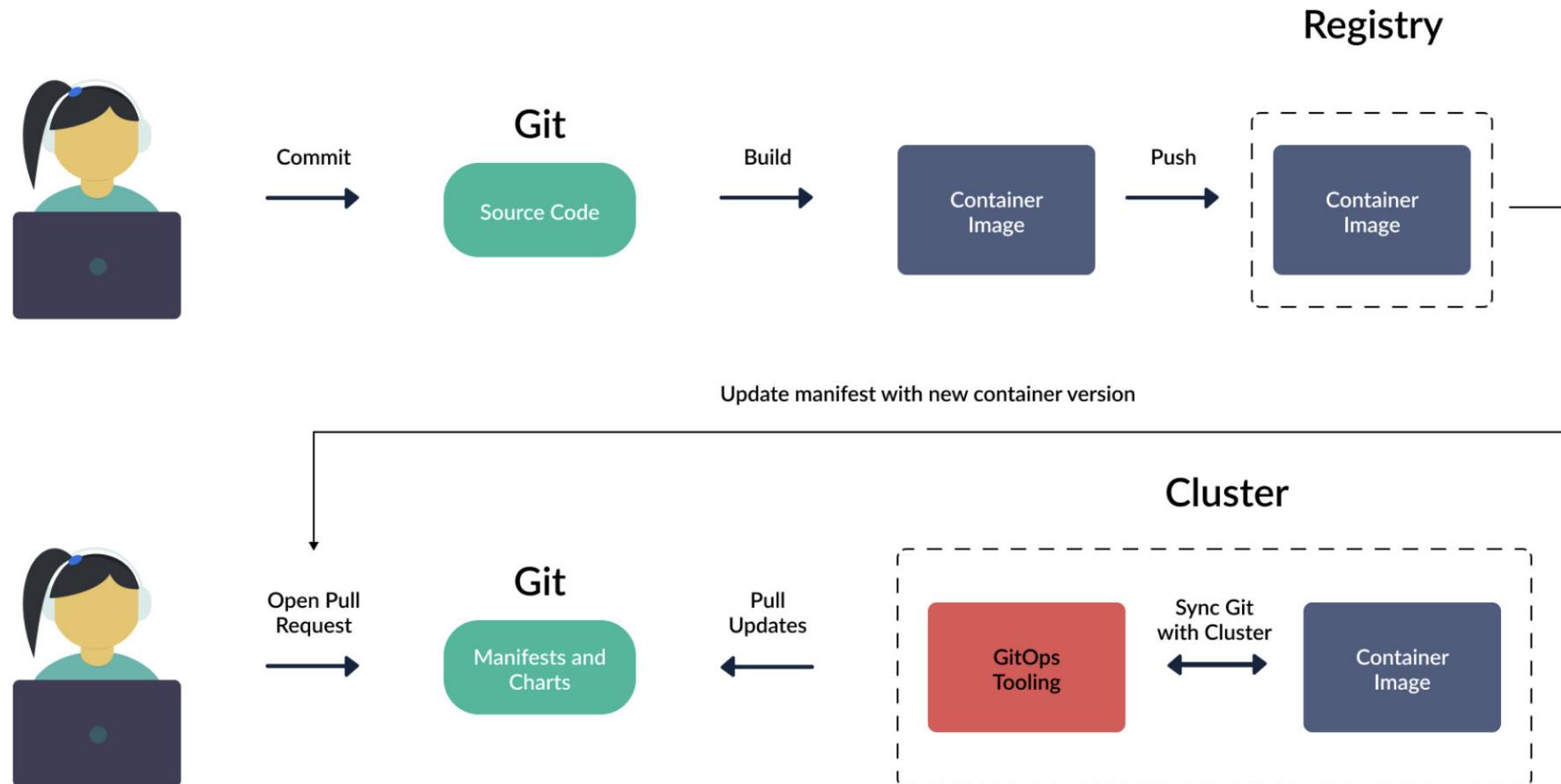


- The cluster state is manually decided by `kubectl` commands or other API access.
- The platform that deploys to the cluster is having full access to the Kubernetes cluster from an external point.

Modifying the process with GitOps

The first steps are the same.

- 1 - A developer commits source code for the application and the CI system creates a container image that is pushed to a registry.
- 2 - Nobody has direct access to the Kubernetes cluster.
- 3 - There is a second Git repository that has all manifests that define the application.
- 4 - Another human or an automated system changes the manifests in this second Git repository.
- 5 - A GitOps controller that is running inside the cluster is monitoring the Git repository and as soon as a change is made, it changes the cluster state to match what is described in Git.



The key points here are:

- The state of the cluster is always described in Git. Git holds everything for the application and not just the source code.
- There is no external deployment/CI system with full access to the cluster. The cluster itself is pulling changes and deployment information.
- The GitOps controller is running in a constant loop and always matches the Git state with the cluster state.

Introduction to the AWS Cloud Platform

Ravindu Nirmal Fernando

2x AWS Community Builder | STL @ Sysco LABS



Agenda

- Introduction to AWS cloud platform and its benefits
- AWS Global Infrastructure
- Accessing AWS Services
- Interacting with AWS Services
- Best Practices for managing AWS Accounts
- Common AWS services
- Demo



What is AWS Cloud?

- AWS Cloud is a **cloud computing platform** that provides a wide range of services, including **compute, storage, databases, security, networking, analytics, machine learning, and DevOps** etc...
- AWS Cloud is a **highly scalable** and **reliable** platform that can be used to **build and deploy** applications of all sizes and complexity.
- AWS Cloud is also a **cost-effective platform**, as you **only pay for the resources that you use**.



Benefits of using AWS Cloud?

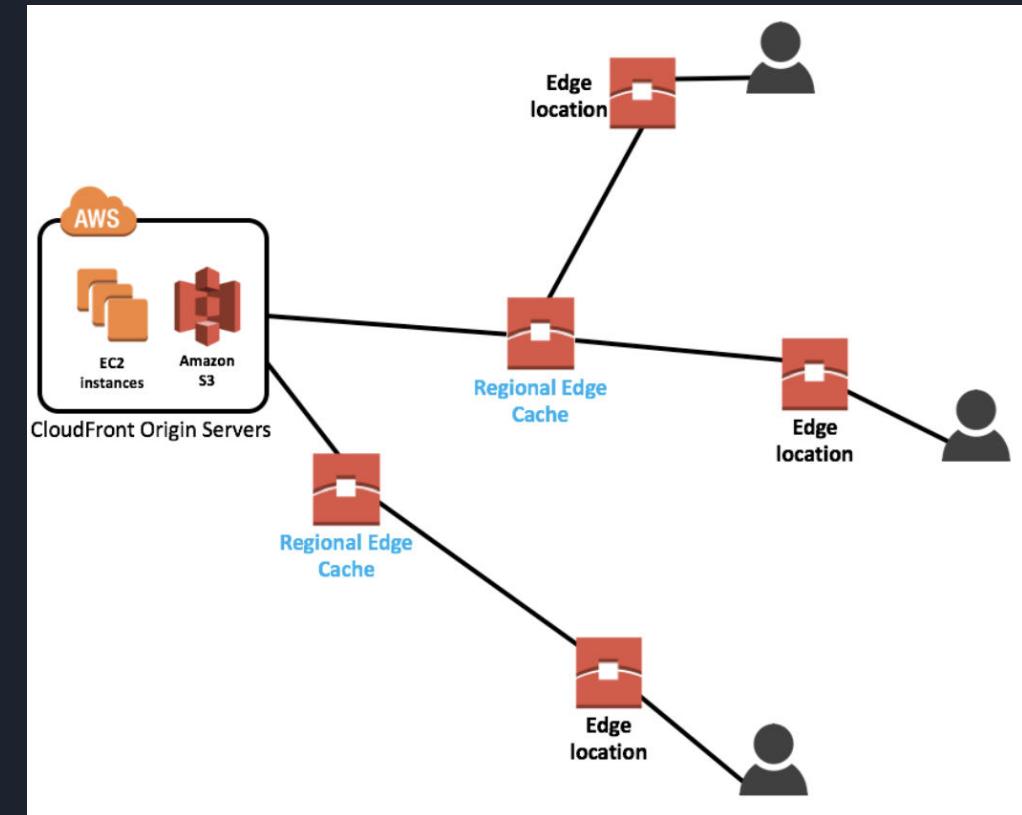
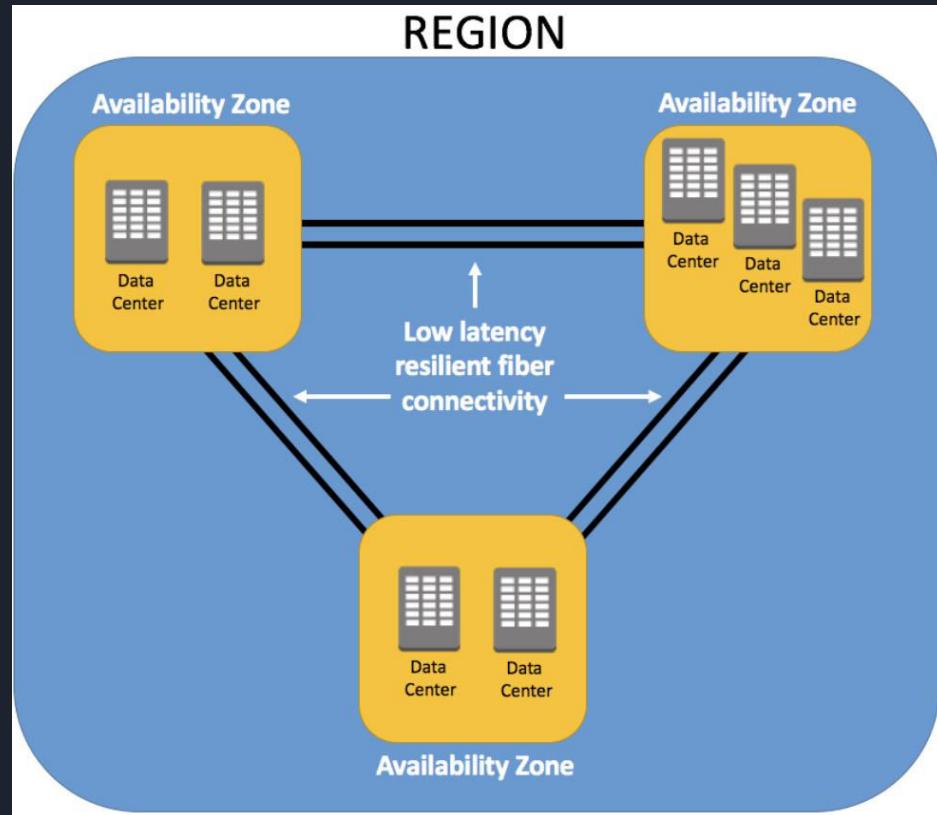
- **Scalability** - Easily add/ remove resources as required
- **Reliability** - Backed by reliable AWS network with proven track record of uptime and performance
- **Cost-effectiveness** - Pay only for what you use
- **Security** - Wide range of security features and services to protect your data
- **Innovation** - 200+ fully featured services for a wide range of technologies, industries, and use cases



AWS Global Infrastructure

- AWS Global infrastructure consists of a **network of data centers located around the world**. These data centers are organized into **Regions** and **Availability Zones**.
- A **Region** is a **geographical area that contains multiple Availability Zones**. An Availability Zone is a logically isolated section of a Region.
- **AWS Edge Locations** are **locations around the world where AWS content is cached**. This allows users to access AWS content with lower latency and improved performance.
- **Regional Edge Caches** are **caches of frequently accessed AWS content that are located in close proximity to AWS customers**. This allows users to access AWS content with even lower latency and improved performance.





The AWS Cloud spans 102 Availability Zones within 32 geographic regions around the world, with announced plans for 12 more Availability Zones and 4 more AWS Regions in Canada, Malaysia, New Zealand, and Thailand.



Accessing AWS Services

- AWS IAM (Identity and Access Management) - service that allows you to manage user access to your AWS resources.
 - IAM allows you to create **Users** and **Groups**, and assign them permissions policies to specific AWS resources. Users have long term credentials.
 - IAM **Roles** - Very similar to a user, in that it is an identity with permission policies that determine what the identity can and cannot do in AWS. But no credentials.
 - IAM Policies - Documents that specify the permissions that are granted to users, groups, or roles. Used to determine what actions a user, role, or member of a user group can perform, on which AWS resources, and under what conditions. determine what actions a user, role, or member of a user group can perform, on which AWS resources, and under what conditions.



Interacting with AWS Services

- AWS Management Console
- AWS Command Line Interface
- Software Development Kits

mine what actions a user, role, or member of a user group can perform, on which AWS resources, and under what conditions.



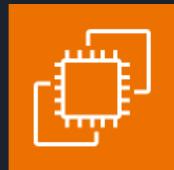
Best Practices for managing AWS Accounts

- Use strong passwords, enable password policy and enable multi-factor authentication.
- Create IAM users and roles and assign them permissions to specific AWS resources.
- Use security groups, Network Access Controls and VPCs to protect your resources.
- Implement monitoring and logging to track your AWS usage and identify potential problems.



Common AWS Services

- Compute
 - Amazon Elastic Compute Cloud (EC2)
 - Amazon Elastic Container Service (ECS)
 - AWS Lambda
- Storage
 - Amazon Simple Storage Service (S3)
 - Amazon Elastic Block Store (EBS)
 - Amazon Elastic File System (EFS)



Amazon Elastic Compute
Cloud (Amazon EC2)



Amazon Elastic Container
Service (Amazon ECS)



AWS Lambda



Amazon Elastic Block Store
(Amazon EBS)



Amazon Simple Storage
Service (Amazon S3)

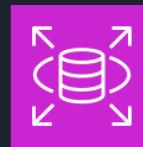


Amazon Elastic File System
(Amazon EFS)



Common AWS Services

- Databases
 - Amazon Relational Database Service (RDS)
 - Amazon DynamoDB
 - Amazon Aurora
- Networking and Content Delivery
 - Amazon Virtual Private Cloud (VPC)
 - Amazon Route 53
 - Amazon CloudFront



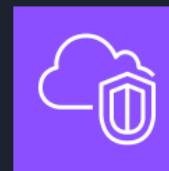
Amazon Relational Database
Service (Amazon RDS)



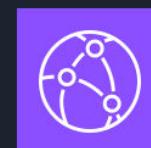
Amazon DynamoDB



Amazon Aurora



Amazon Virtual Private Cloud
(Amazon VPC)



Amazon CloudFront



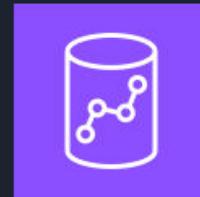
Amazon Route 53



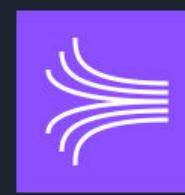
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Common AWS Services

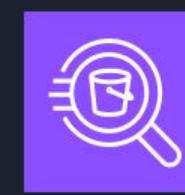
- Analytics
 - Amazon Redshift
 - Amazon Athena
 - Amazon Kinesis



Amazon Redshift

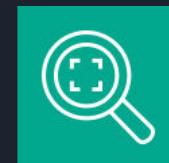


Amazon Kinesis



Amazon Athena

- Machine Learning
 - Amazon SageMaker
 - Amazon Rekognition
 - Amazon Comprehend
 - Amazon BedRock



Amazon Rekognition



Amazon SageMaker



Amazon Comprehend

mine what actions a user, role, or member of a user group can perform, on which AWS resources, and under what conditions.

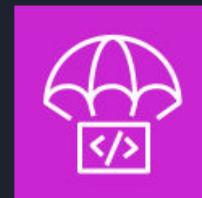


Common AWS Services

- DevOps
 - AWS CodePipeline
 - AWS CodeDeploy
- Management & Governance
 - AWS CloudFormation
 - Amazon CloudWatch
 - Amazon CloudTrail



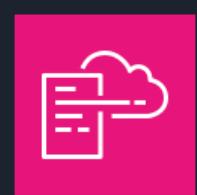
AWS CodePipeline



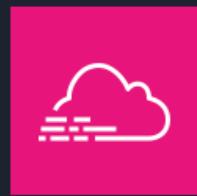
AWS CodeDeploy



Amazon CloudWatch



AWS CloudFormation



AWS CloudTrail

mine what actions a user, role, or member of a user group can perform, on which AWS resources, and under what conditions.

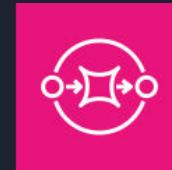


Common AWS Services

- Application Integration
 - Amazon SNS
 - Amazon SQS
 - Amazon EventBridge
 - AWS Step Functions



Amazon Simple Notification
Service (Amazon SNS)



Amazon Simple Queue
Service (Amazon SQS)



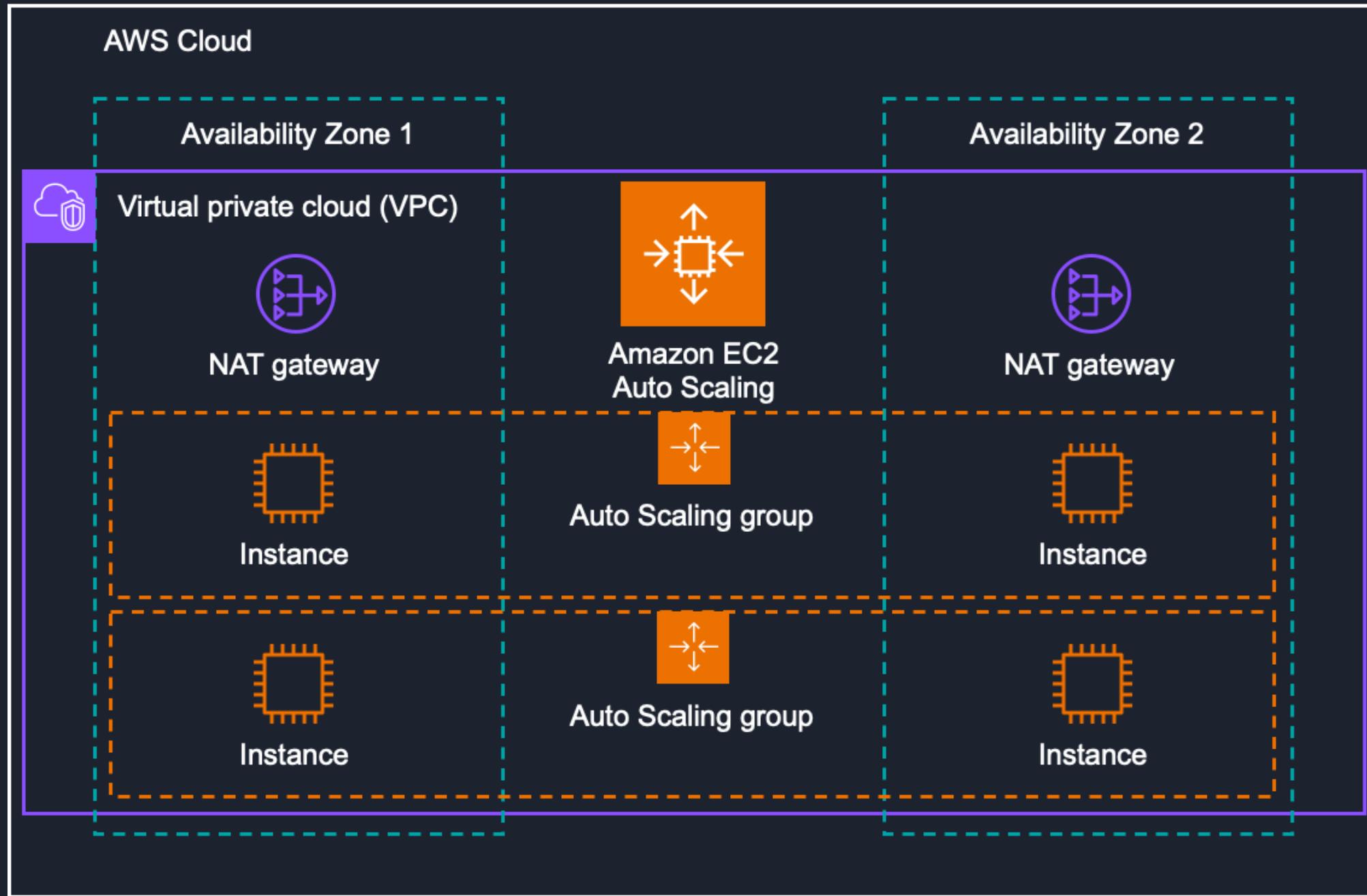
AWS Step Functions



Amazon EventBridge

mine what actions a user, role, or member of a user group can perform, on which AWS resources, and under what conditions.



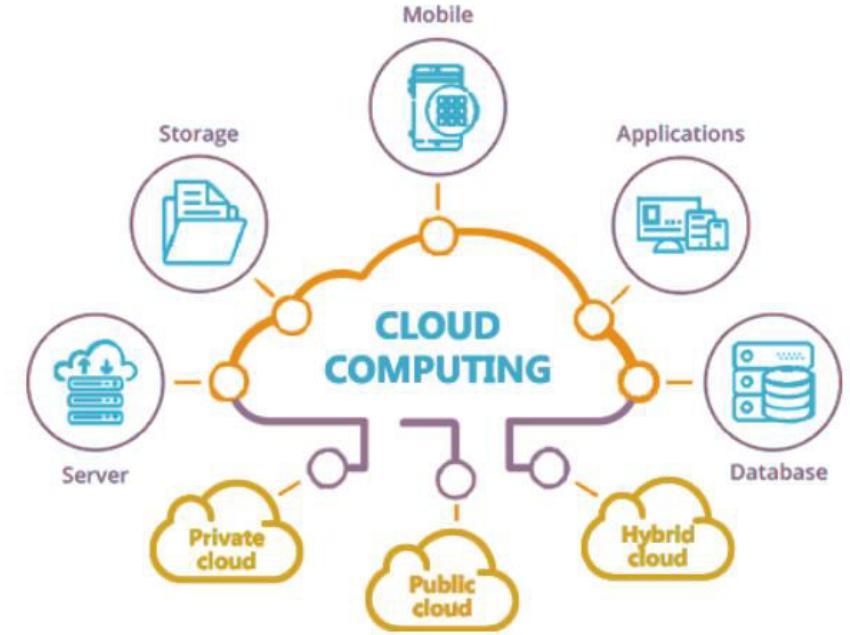


DEMO TIME...



Thank You!!!





Cloud Computing 101

Ravindu Nirmal Fernando | SLIIT | March 2024

Cloud Computing Principles

- Technology is abstracted away from the user.
 - e.g. hardware and software management is the responsibility of the cloud provider
- Location-independent (if you have enough bandwidth)
- Cloud Services have a scalable architecture
- Dynamic
- Request-driven
- Clouds have multi-tenancy
- Several clients using the same resources

Features of Cloud

- Scale and Elasticity
- Resource pooling
- Location independence
- On-demand self-service provisioning
- Web services interfaces
- Billing and metering services
- Monitoring and measuring performance
- Providing security to customers

What is Cloud Computing?

Gartner

- Cloud computing is a style of computing in which scalable and elastic IT - enabled capabilities are delivered as a service using internet technologies.

Forrester Research

- A standardized IT capability (services, software, or infrastructure)delivered in a pay-per-use, self-service way.

NIST

- Cloud computing is a model for enabling ubiquitous, convenient, on-demand network access to a shared pool of configurable computing resources (e.g., networks, servers, storage, applications, and services) that can be rapidly provisioned and released with minimal management effort or service provider interaction.

Everything as a Service

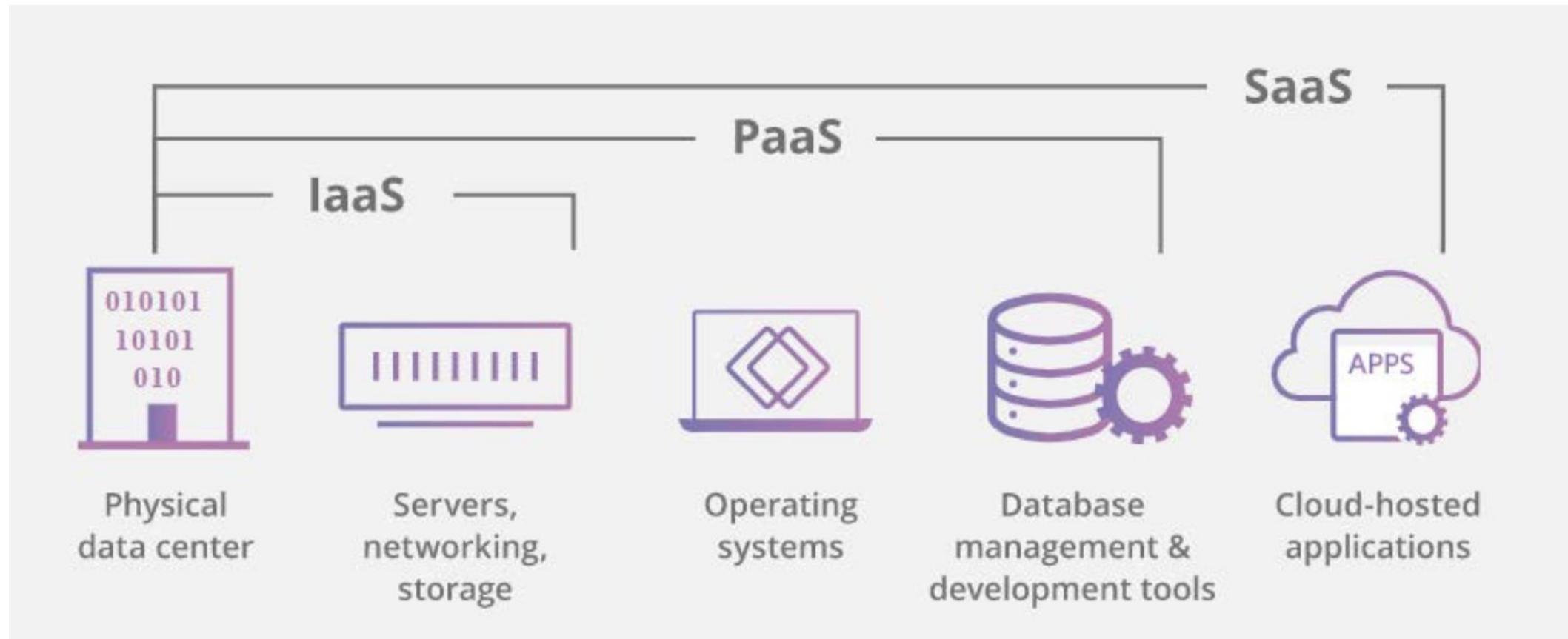
- Traditionally applications ran on dedicated hardware
- Clouds provide everything (hardware, software, applications, etc.) as a service

The Business Case for the Cloud

- Supporting business agility
- Reducing capital expenditure

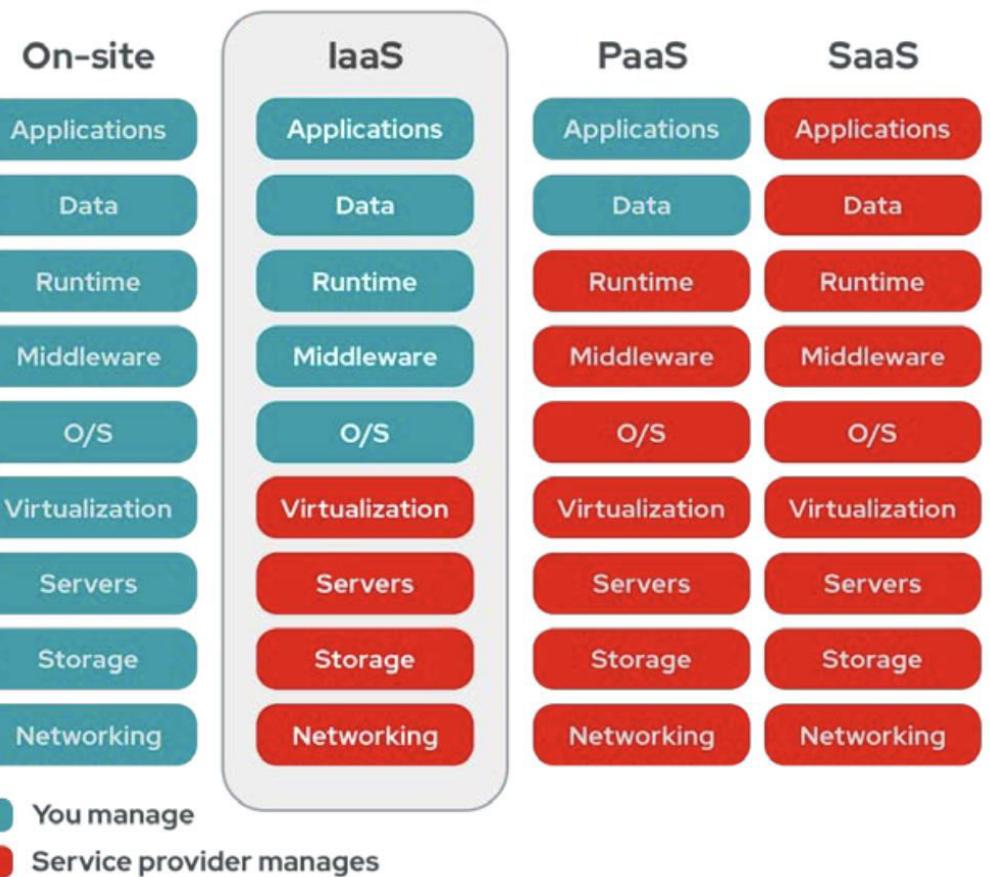
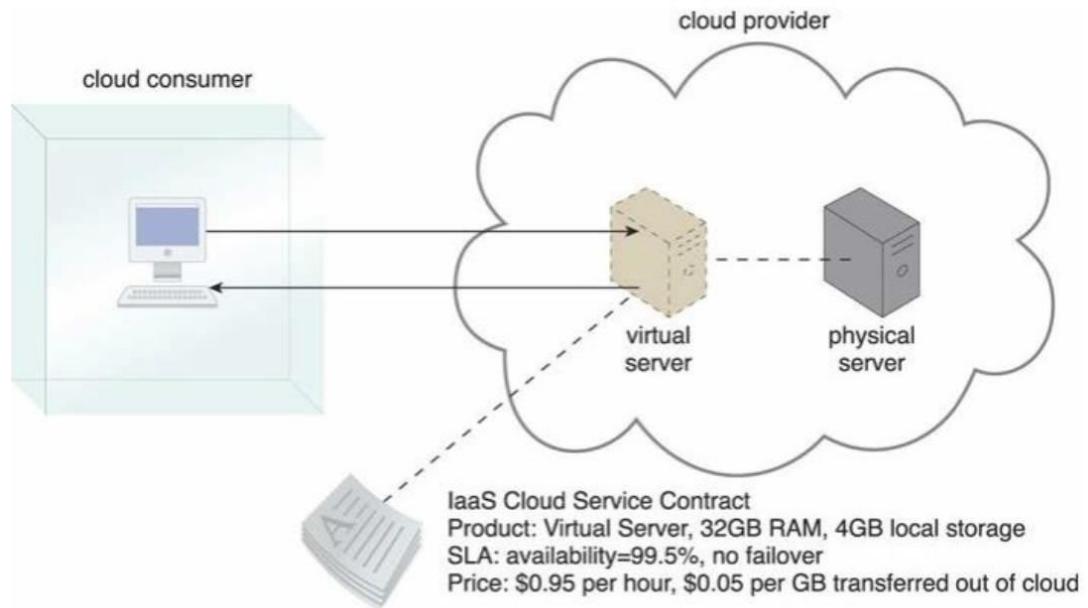
Cloud Service/ Delivery Models

- Cloud Software as a Service (SaaS)
 - Use provider's applications over a network
- Cloud Platform as a Service (PaaS)
 - Deploy customer-created applications to a cloud
- Cloud Infrastructure as a Service (IaaS)
 - Rent processing, storage, network capacity, and other fundamental computing resources



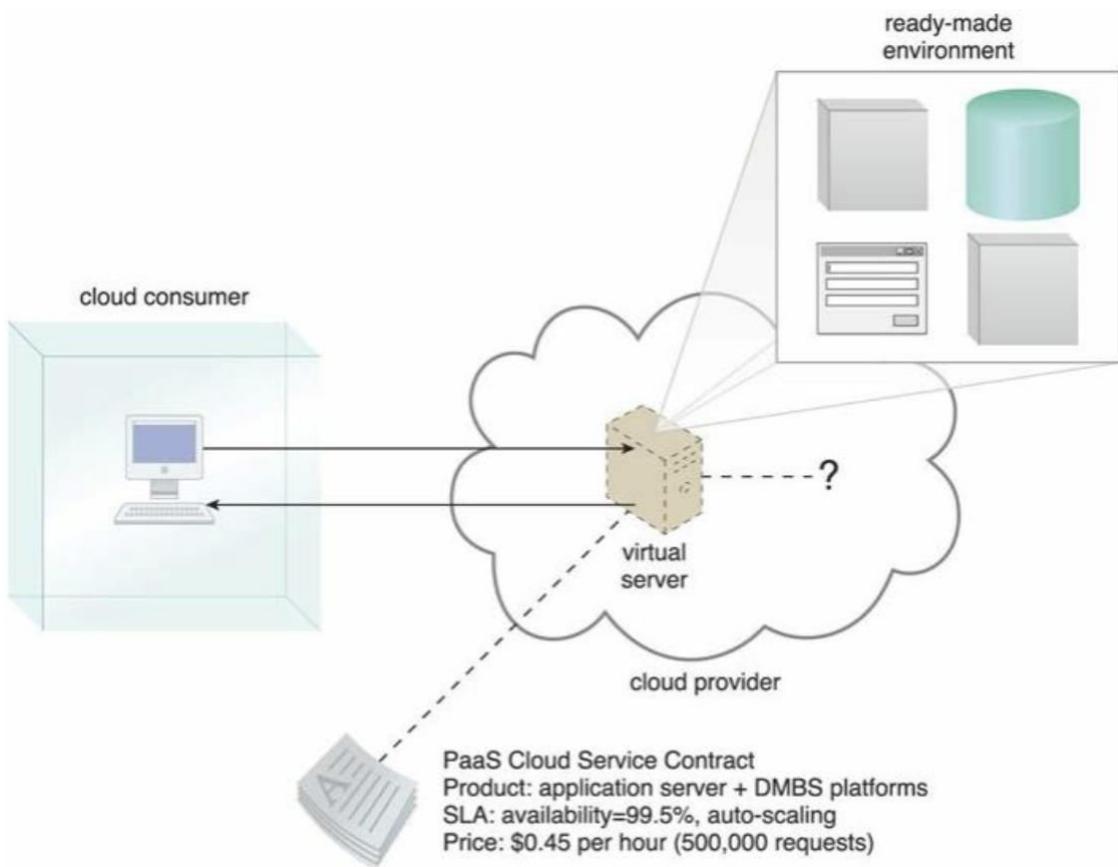
Infrastructure as a Service (IaaS)

- Delivery of a compute foundation as a service.
 - servers
 - networking technology
 - storage
 - data center space
- Includes the delivery of
 - operating systems and
 - virtualization technology to manage the resources.
- Customer rents computing resources rather than buying and installing them
- Paid on a usage basis
- May include dynamic scaling
- Agreed on service level

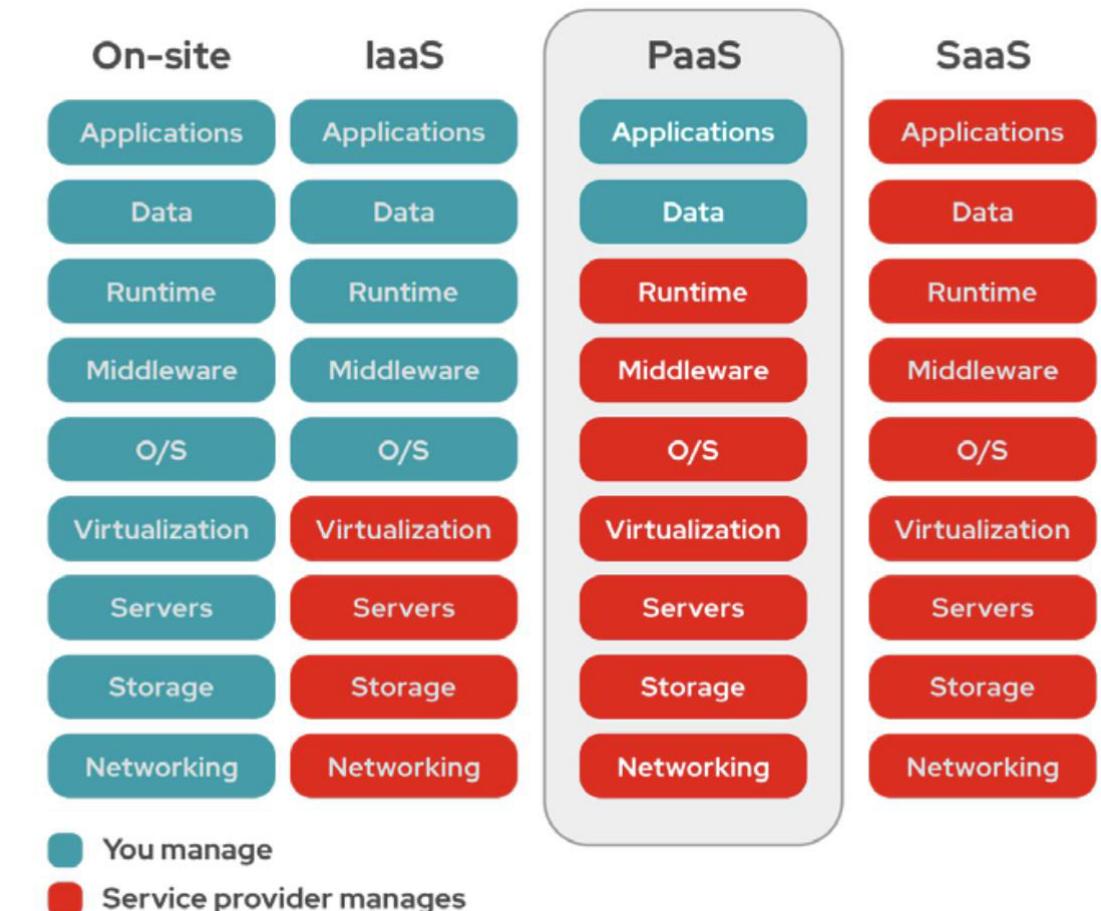


Platform as a Service (PaaS)

- Delivers a solution stack (ready-made) for both
 - software development and
 - a runtime environment
- Easy to develop applications
- May be constrained
- Danger of lock-in
- allow you to focus on the deployment and management of your applications.
- Cloud consumer is spared the administrative burden of setting up and maintaining the bare infrastructure IT resources

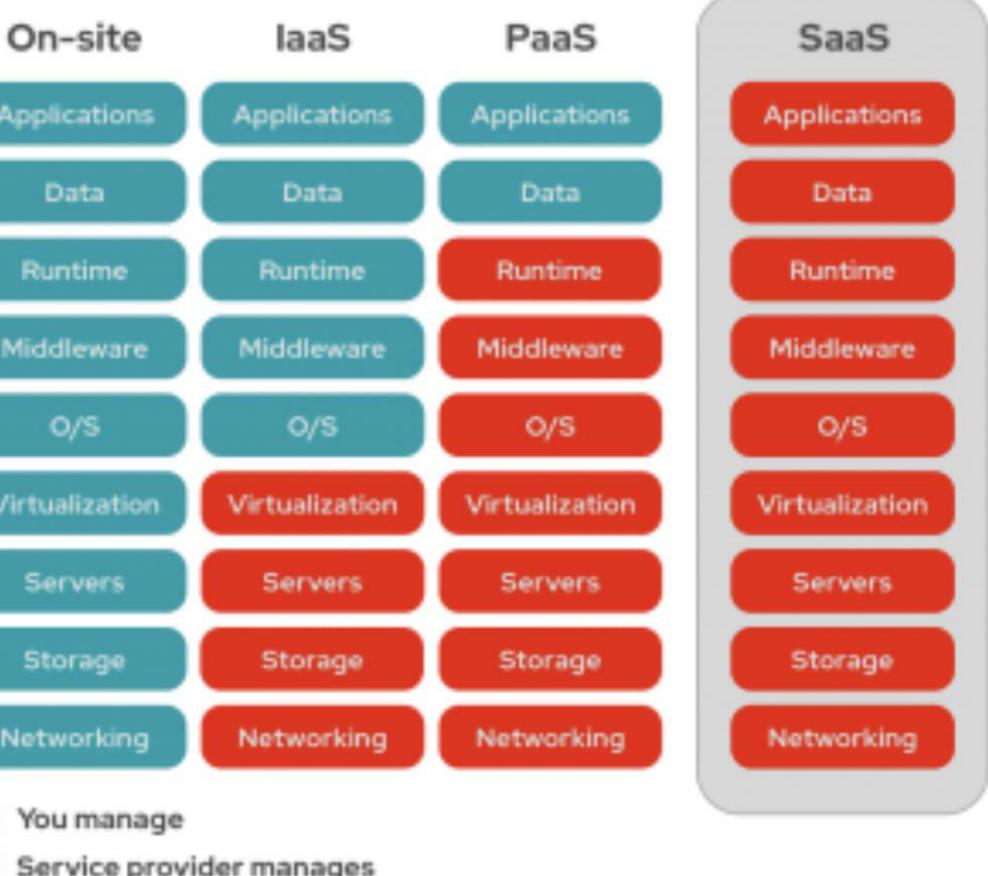
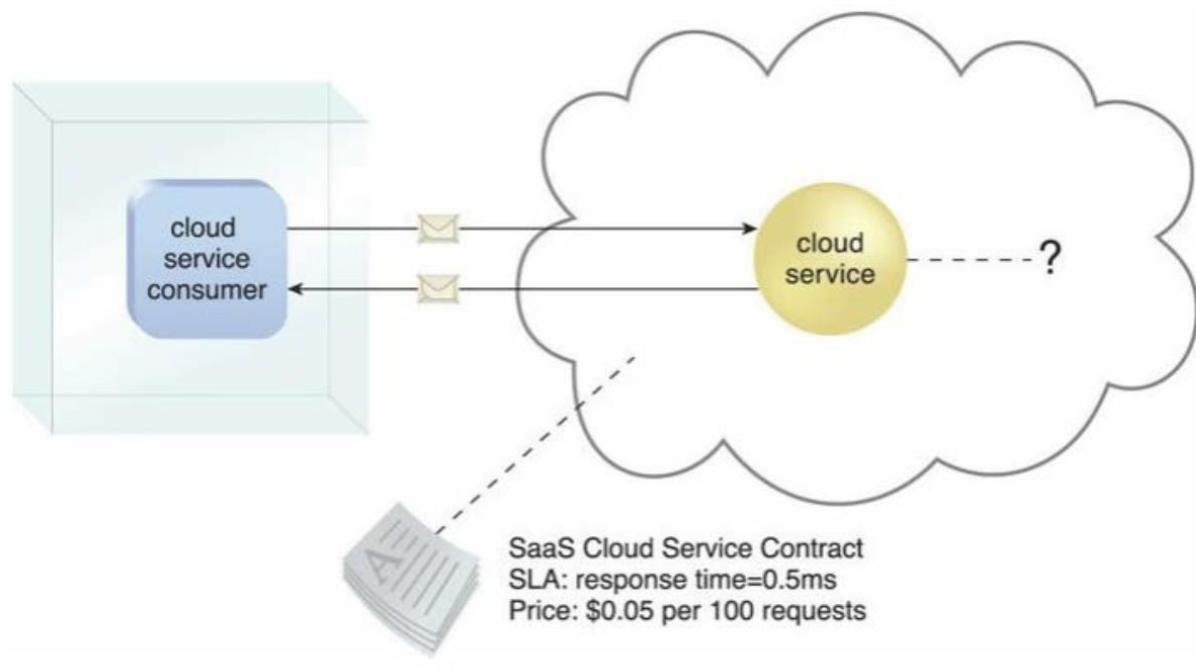


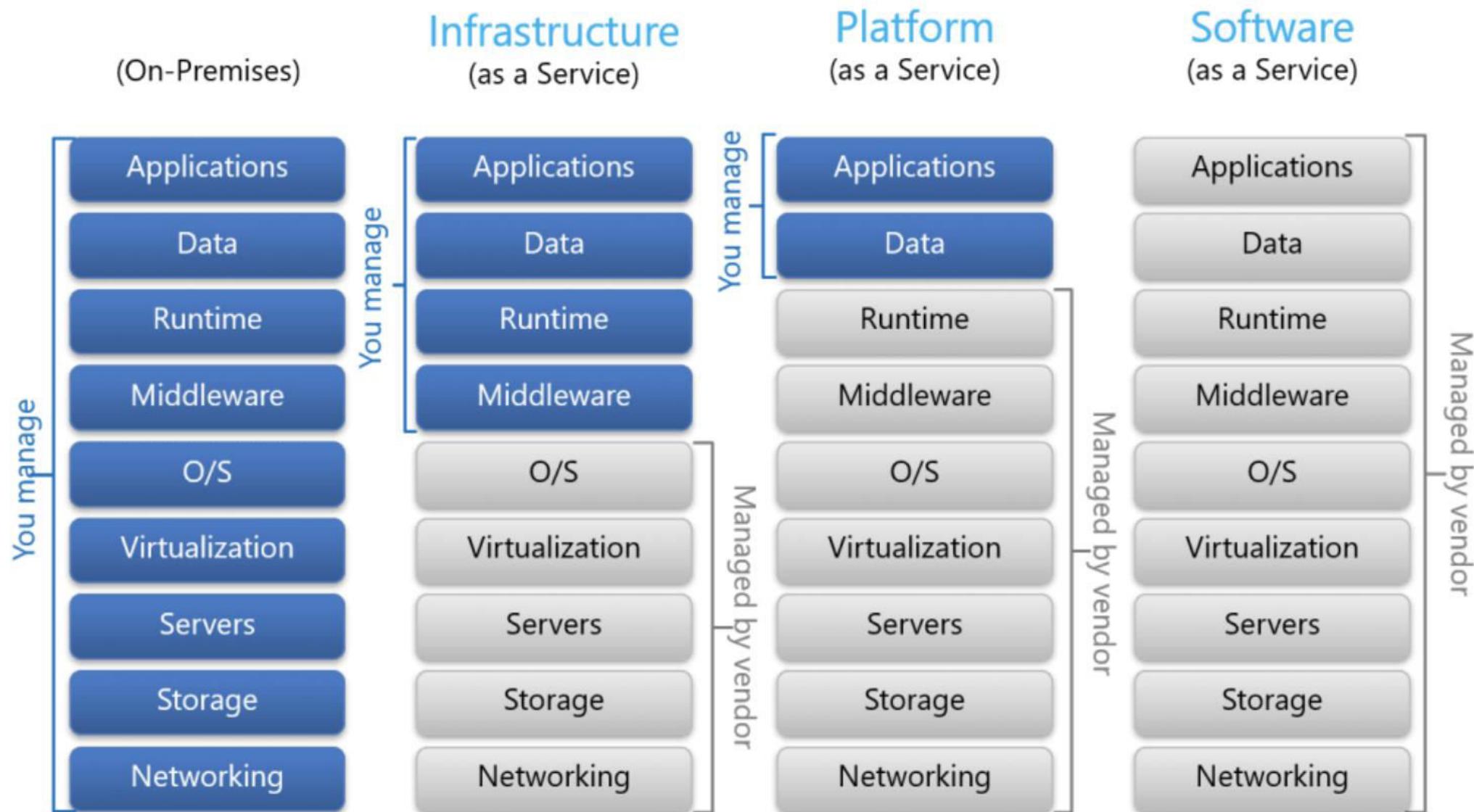
<https://www.renat.com/en/topics/cloud-computing/what-is-paas>



Software as a Service (SaaS)

- Service provider offers specific applications offered as a “product”
 - hosted by the provider
 - Consumed by the customer
- May be customised by the customer
- Information stored by the provider
- No necessity to purchase any hardware
- The SaaS vendor
 - Operates
 - Maintains and
 - Supports all the software, hardware, and communications technology
- The price is on a per-use basis and involves no upfront capital costs.





Choosing between IaaS, PaaS, SaaS

IaaS

- Flexibility, finer control, & performance
- Still need some level of infrastructure maintenance
- Scaling, configuration, security

PaaS

- Speedy development, better integration, automated scaling, no maintenance needs
- Relatively low-customization, Vendor lock-in

SaaS

- Fastest for common applications
- Little customization

Cloud service/ delivery models variations

Many specialized variations of the three base cloud delivery models have emerged

- Storage as a Service
- Database as a Service
- Security as a Service
- Communication as a Service
- Integration as a Service
- Testing as a Service
- Process as a Service

Cloud Deployment Models

- **Private cloud**
 - enterprise owned or leased. Resources are dedicated to enterprise
- **Public cloud**
 - Sold to the public, mega-scale infrastructure
- **Hybrid cloud**
 - composition of two or more clouds. Mostly deployment between public and private
- **Community cloud**
 - shared infrastructure for specific community
- **Personal cloud**
 - your own cloud – belongs to you

- Cloud computing often leverages:
 - Massive scale
 - Virtualization
 - Resilient computing
 - Low cost software
 - Geographic distribution
 - Service orientation
 - Advanced security technologies

Selection of a Cloud Service

Know what you want first

- What services are available?
- What is your pricing model?
- What are your scaling options?
- What are your security measures?
- Where are your datacenters located?
- What are SLA terms?
- Customer support
- Reputation

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- Cloud Computing: Concepts, Technology & Architecture, Thomas Erl, et al., Prentice-Hall, 2013,
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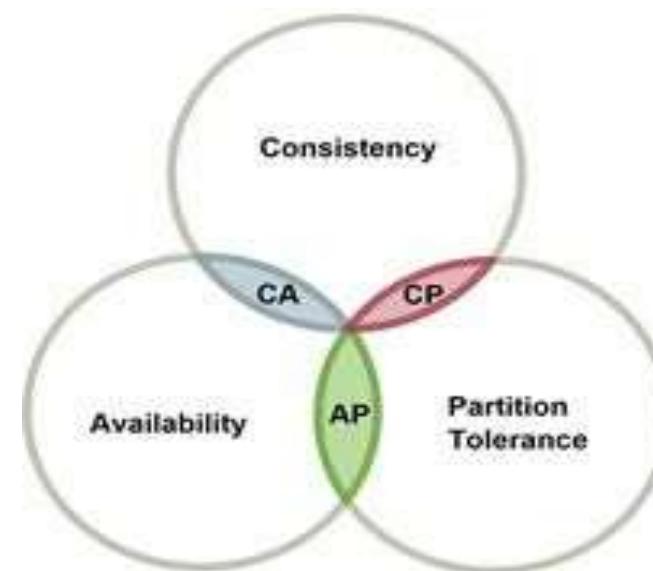
Introduction to CAP Theorem

Ravindu Nirmal Fernando

SLIIT | March 2025

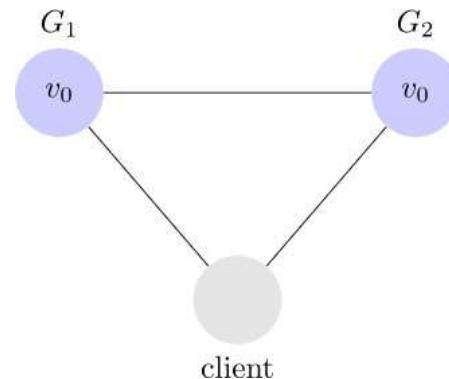
CAP THEOREM

- A fundamental theorem in distributed systems.
- Can have at most two of the following three properties,
 - Consistency
 - Availability
 - Partition Tolerance



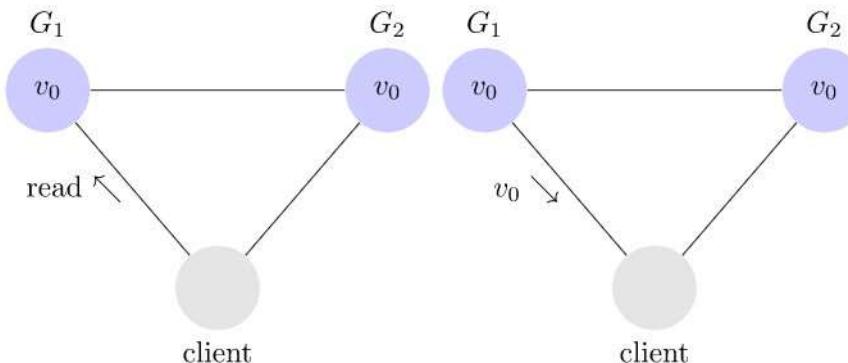
DISTRIBUTED SYSTEM

- Consider a simple distributed system with two servers, G_1 and G_2
 - The servers can communicate with each other and connect to remote clients

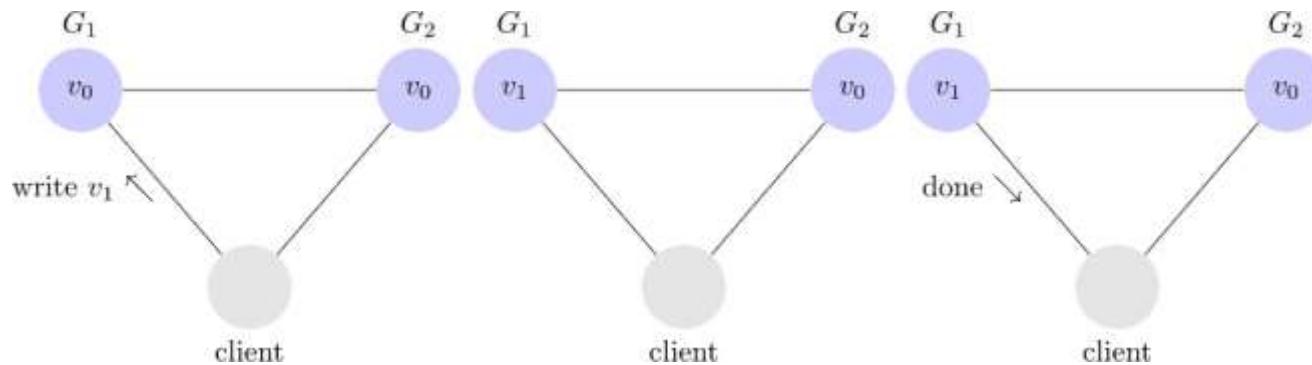


DISTRIBUTED SYSTEM

- Read example



- Write example



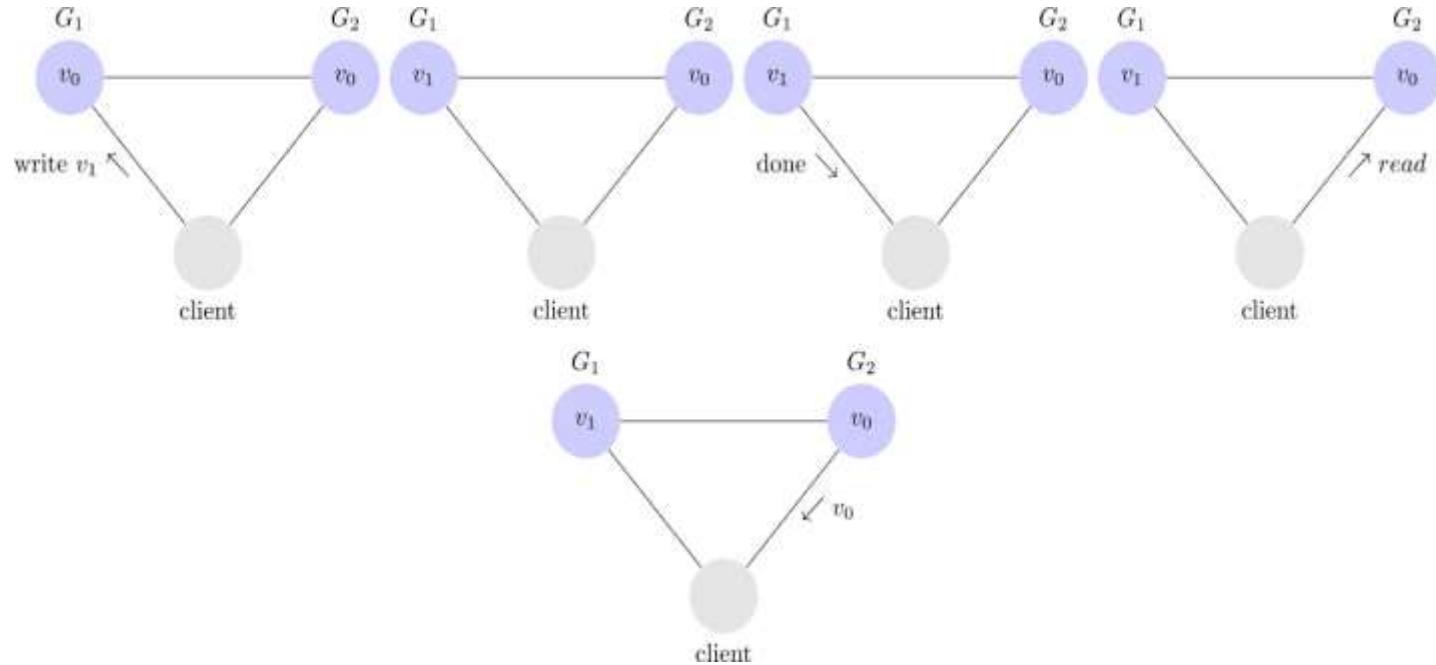
CONSISTENCY

Consistency means that all clients see the same data at the same time, no matter which node they connect to.

For this to happen, whenever data is written to one node, it must be instantly forwarded or replicated to all the other nodes in the system before the write is deemed ‘successful.’

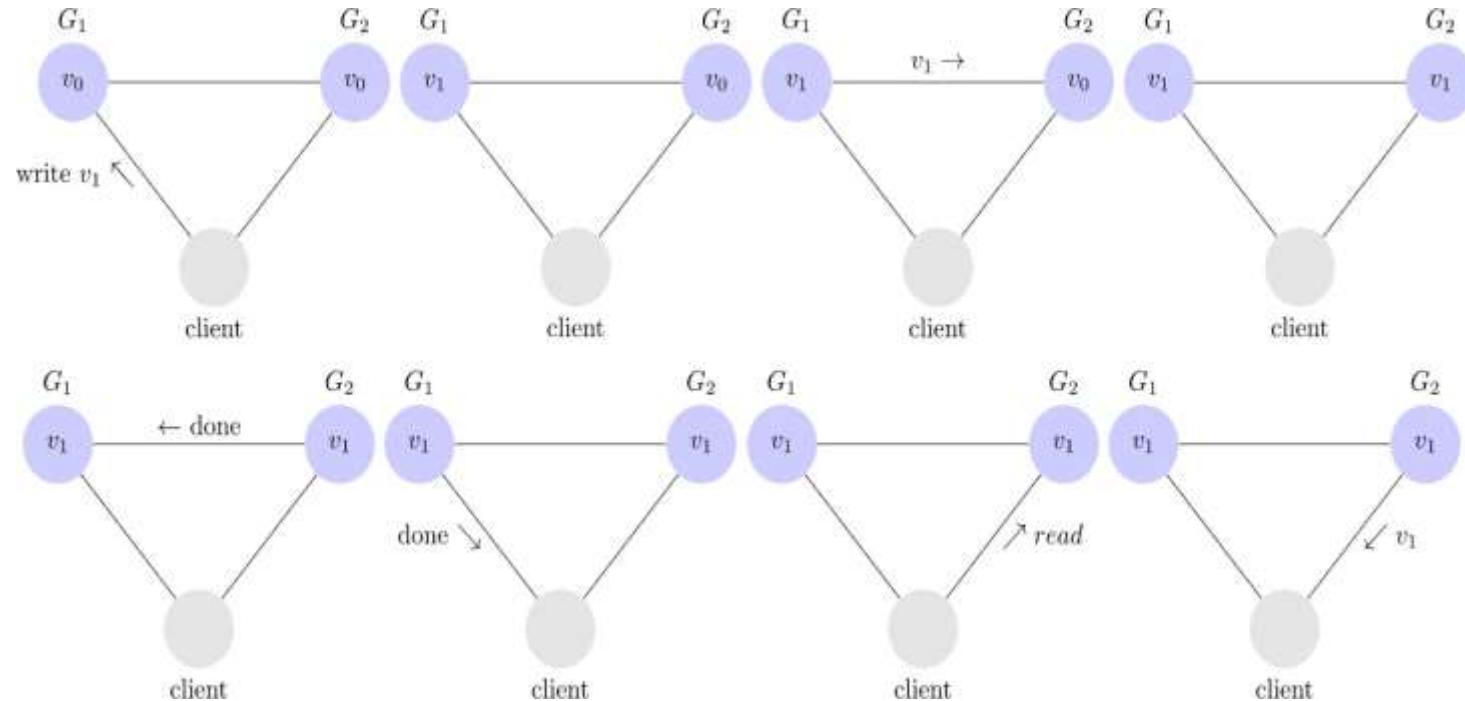
CONSISTENCY

Inconsistent system



CONSISTENCY

Consistent system



AVAILABILITY

Availability means that any client making a request for data gets a response, even if one or more nodes are down.

Another way to state this—all working nodes in the distributed system return a valid response for any request, without exception.

PARTITION TOLERANCE

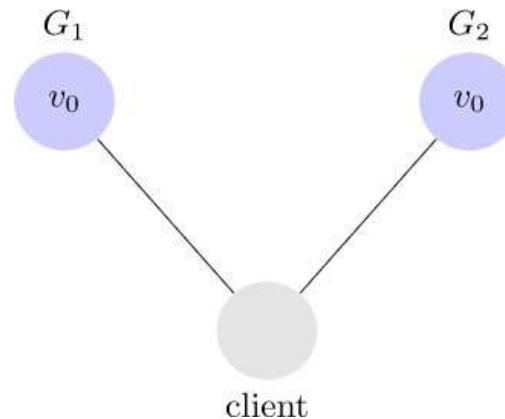
A **partition** is a communications break within a distributed system—a lost or temporarily delayed connection between two nodes.

Partition tolerance means that the cluster must continue to work despite any number of communication breakdowns between nodes in the system.

PARTITION TOLERANCE

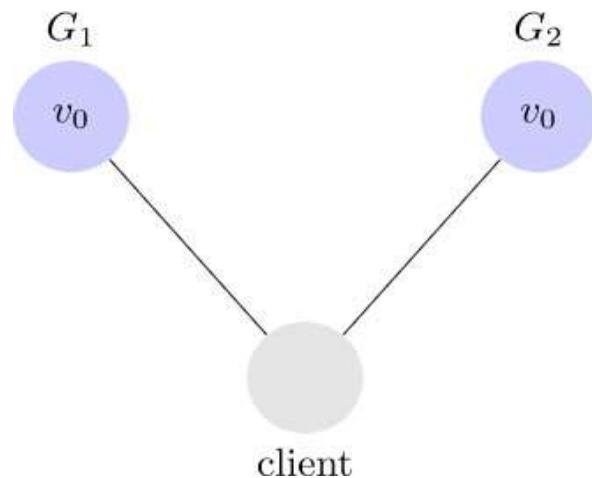
The system continues to operate despite network partitions

- Communication among the servers is not reliable
- Servers may be partitioned into multiple groups that cannot communicate with each other
- Messages may be delayed or lost forever



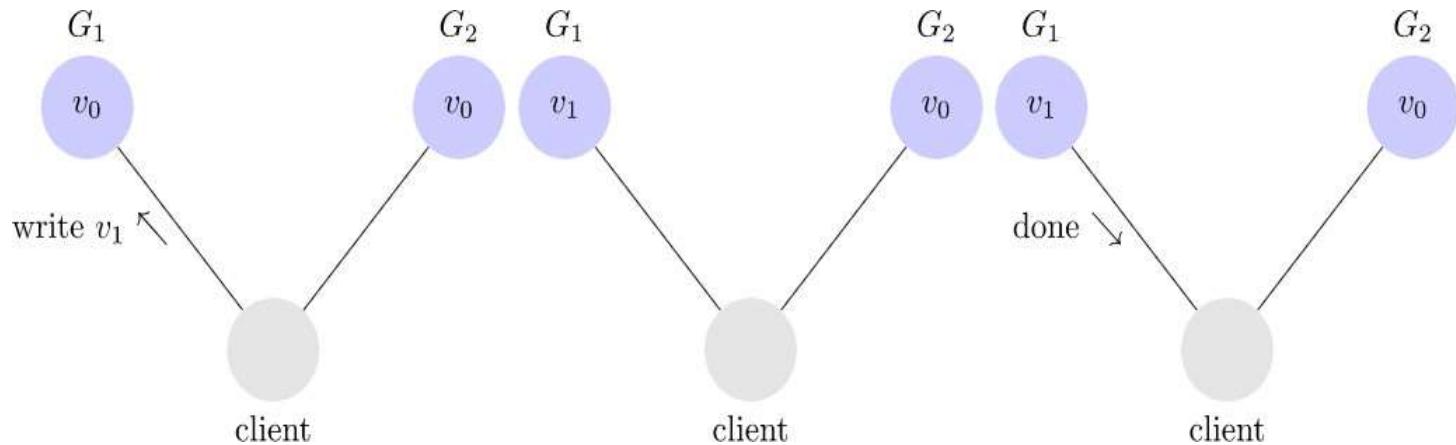
PROOF

Consider partitioned system,



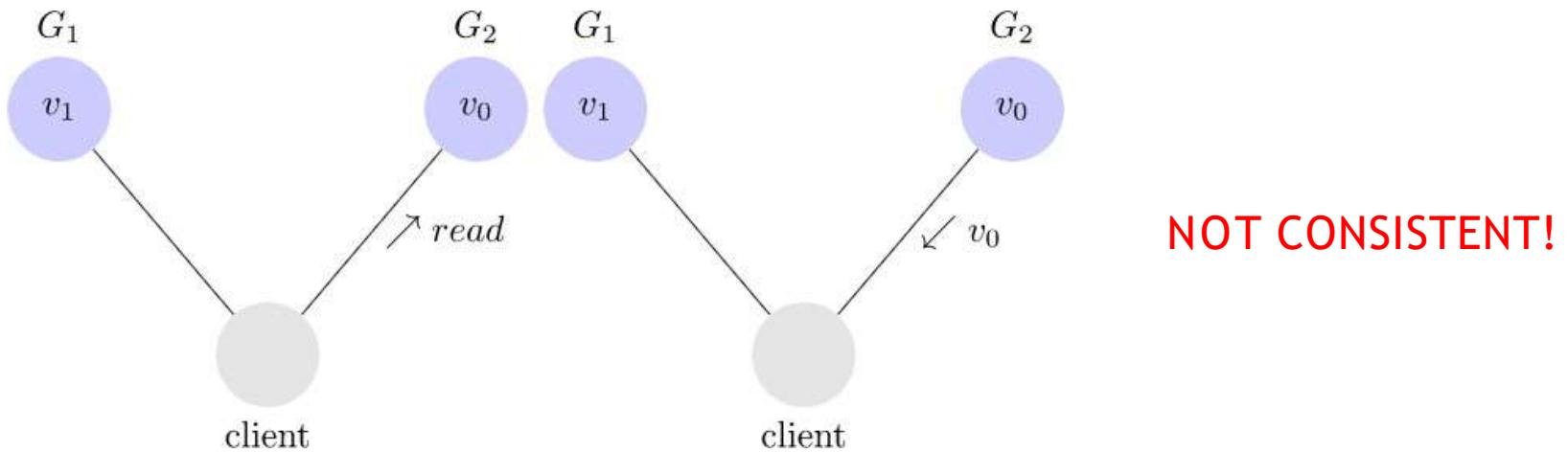
PROOF

Client writes to G_1



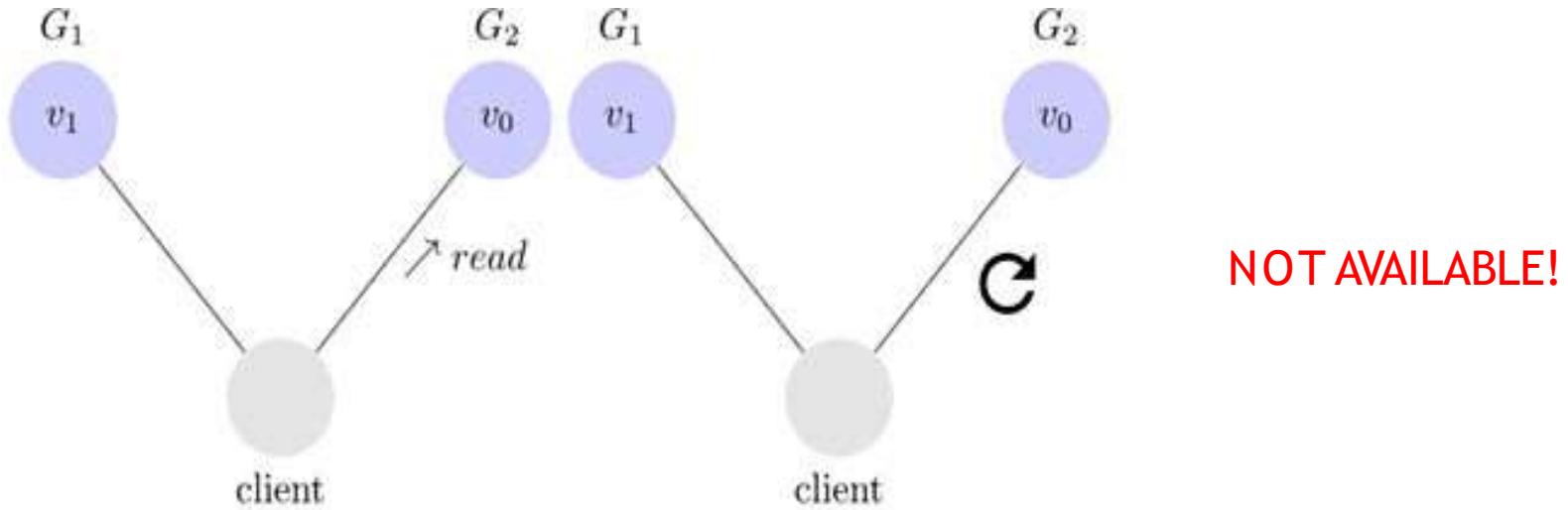
PROOF

Client reads from G_2



PROOF

Client reads from G_2



SYSTEM DESIGN

This suggests there are three kinds of distributed systems

- CP
- CA
- AP

Why is it important?

- Future of databases is distributed (Big Data Trend)
- CAP theorem describes the trade-offs involved in distributed systems
- Proper understanding of CAP theorem is essential to making decisions about distributed database/system design
- Misunderstanding can lead to erroneous or inappropriate design choices

CONSISTENCY OR AVAILABILITY

- Consistency & Availability is not “binary” decision
- AP systems relax consistency in favor of availability - but are not inconsistent
- CP systems sacrifice availability for consistency- but are not unavailable
- This suggests both AP & CP systems can offer a degree of consistency, & availability, as well as partition tolerance

CONSISTENCY MODELS

Strong Consistency

- After the update completes, any subsequent access will return the same updated value.

Weak Consistency

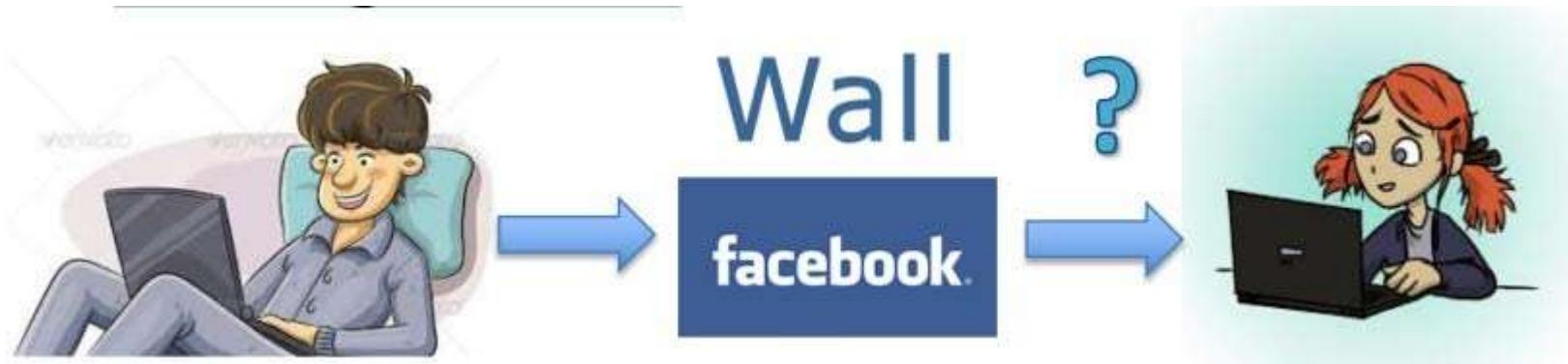
- It is not guaranteed that subsequent accesses will return the updated value.

Eventual Consistency

- Specific form of weak consistency
- It is guaranteed that if no new updates are made to object, eventually all accesses will return the last updated value (e.g., propagate updates to replicas in a lazy fashion)

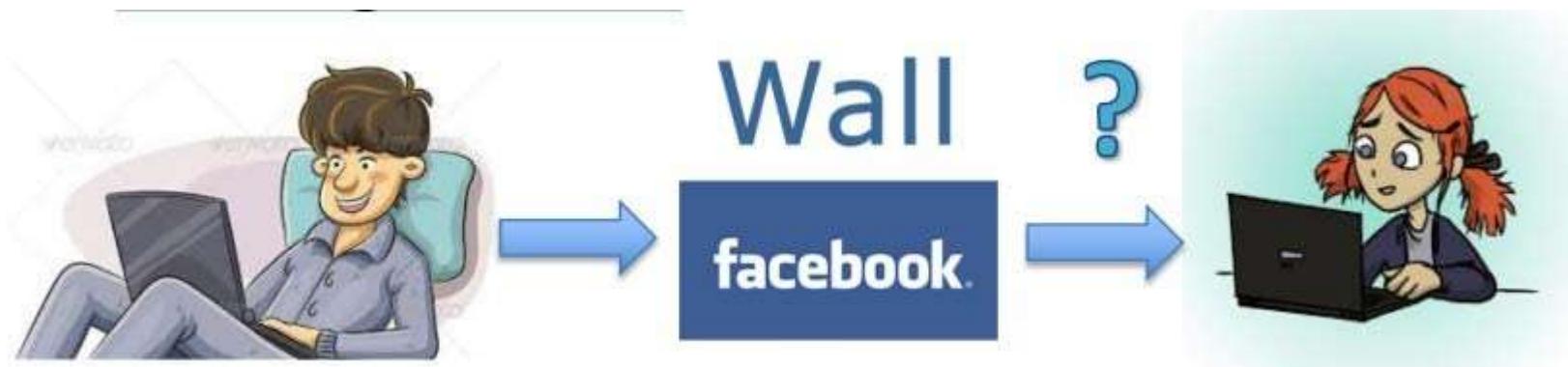
EVENTUAL CONSISTENCY – FACEBOOK EXAMPLE

- Bob finds an interesting story and shares with Alice by posting on her Facebook wall
- Bob asks Alice to check it out
- Alice logs in her account, checks her Facebook wall but
 - Nothing is there!



EVENTUAL CONSISTENCY – FACEBOOK EXAMPLE

- Bob tells Alice to wait a bit and check out later
- Alice waits for a minute or so and checks back - **Finds the wall post!**



EVENTUAL CONSISTENCY – FACEBOOK EXAMPLE

- Why would Facebook choose an eventual consistent model over the strong consistent one?
 - Facebook has billions of active users
 - It is non-trivial to efficiently and reliably store the huge amount of data generated at any given time
 - Eventual consistent model offers the option to reduce the load and improve availability

DYNAMIC TRADEOFF BETWEEN C AND A

An airline reservation system:

- When most of seats are available: it is ok to rely on somewhat out-of-date data, availability is more critical
- When the plane is close to be filled: it needs more accurate data to ensure the plane is not overbooked, consistency is more critical

Neither strong consistency nor guaranteed availability, but it may significantly increase the tolerance of network disruption

REFERENCES

- Gilbert, Seth, and Nancy Lynch. "Perspectives on the CAP Theorem." Computer 45.2 (2012): 30-36
- [https://mwhittaker.github.io/blog/an illustrated proof of the cap theorem/](https://mwhittaker.github.io/blog/an_illustrated_proof_of_the_cap_theorem/)
- [https://www.ibm.com/topics/cap-theorem#:~:text=The%20CAP%20theorem%20says%20that,'P'%20in%20CAP\).](https://www.ibm.com/topics/cap-theorem#:~:text=The%20CAP%20theorem%20says%20that,'P'%20in%20CAP).)



Key Essentials for Building Apps in Cloud

Ravindu Nirmal Fernando

SLIIT | March 2025

Shared Responsibility Model in Public Cloud

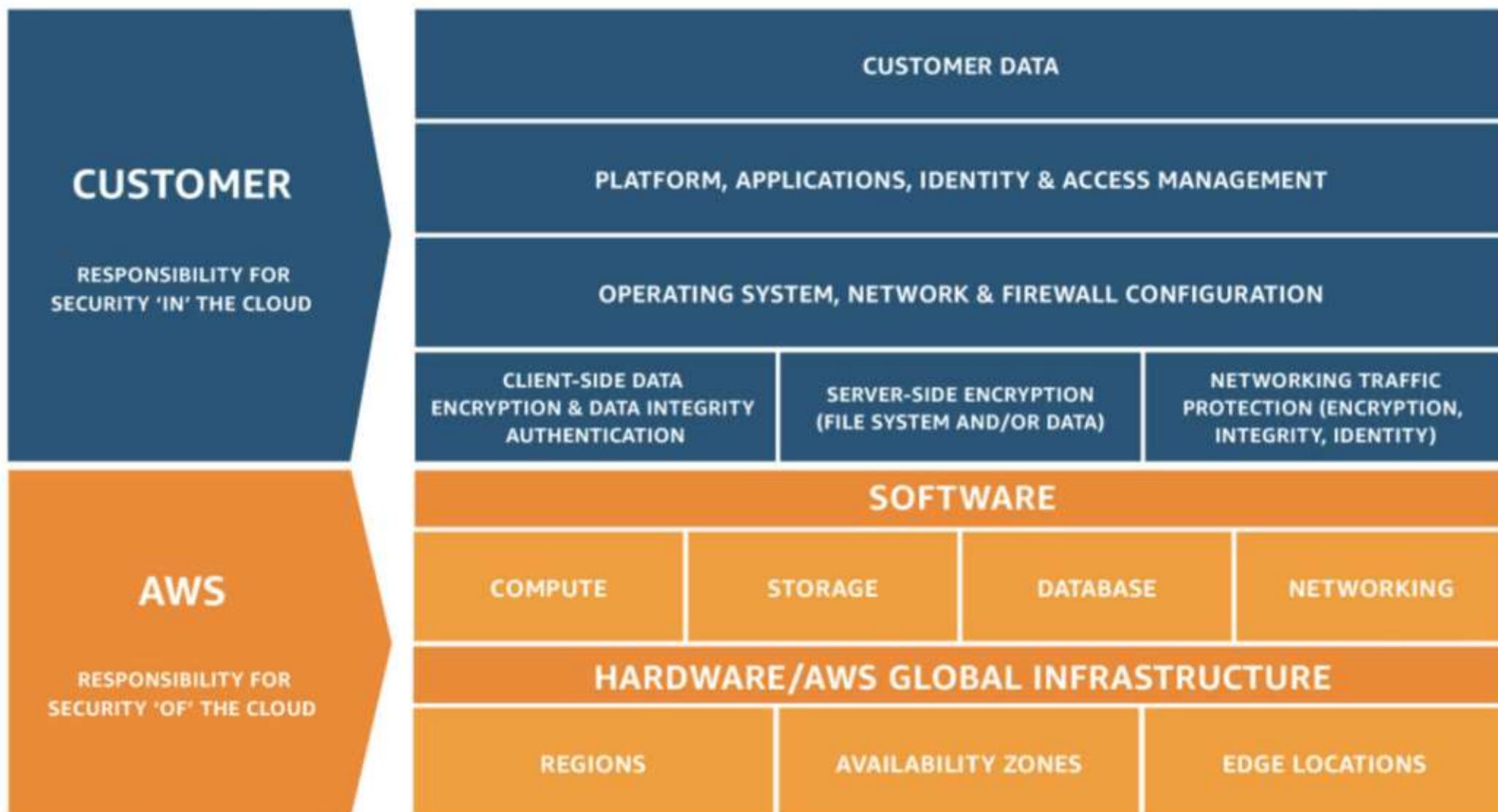
- A framework that outlines how security responsibilities are divided between the cloud service provider and the cloud user.
- **Security and compliance** is a shared responsibility between AWS and the customer. Cloud service provider manages the infrastructure, while customers are responsible for managing their data and applications.
- Cloud Service Provider (CSP) Responsibility: Known as "**Security of the Cloud.**" CSP is in charge of the infrastructure, including hardware, software, networking, and physical security.
- Customer Responsibility: Termed "**Security in the Cloud.**" Customers handle the guest operating system, application software, and AWS-provided firewall configuration.

Key aspects of Shared Responsibility Model

- **Service/ Delivery Models:** Responsibilities vary depending on whether the service is IaaS (like EC2), PaaS, or SaaS.
- **IT Controls:** Shared management of IT controls between CSP and customers. CSP manages physical infrastructure controls, while customers handle specific application-level controls.

Control types in Shared Responsibility Model

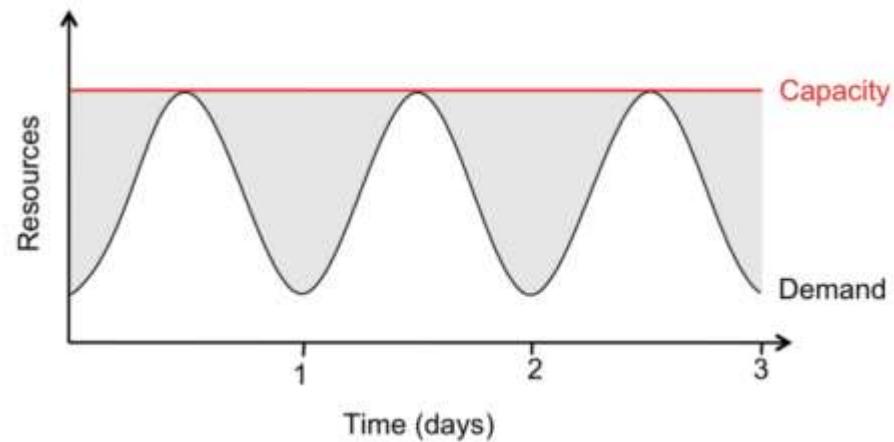
- **Inherited Controls:** Controls fully managed by CSP (e.g., physical and environmental controls).
- **Shared Controls:** Controls that apply to both CSP and customers but in different contexts (e.g., patch management, configuration management).
 - Patch Management – CSP is responsible for patching and fixing flaws within the infrastructure, but customers are responsible for patching their guest OS and applications.
 - Configuration Management – CSP maintains the configuration of its infrastructure devices, but a customer is responsible for configuring their own guest operating systems, databases, and applications.
 - Awareness & Training - CSP trains CSP's employees, but a customer must train their own employees.
- **Customer Specific Controls:** Controls solely managed by the customer, depending on their applications and use of CSP services.



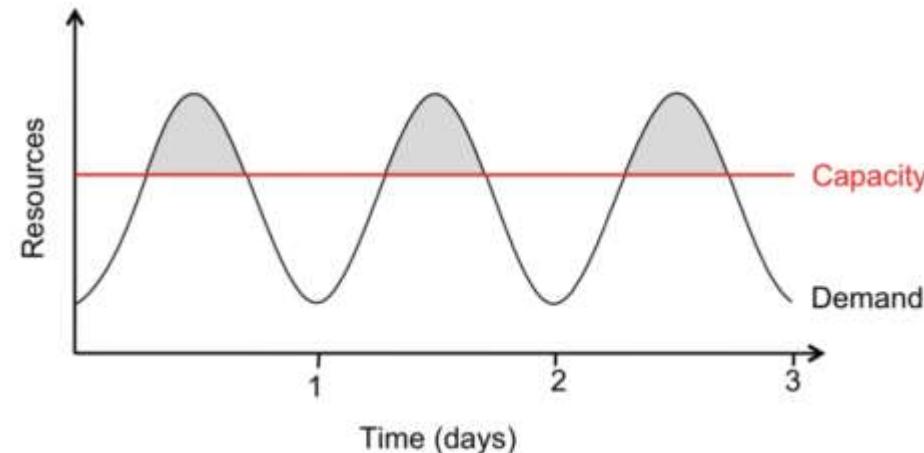
How to use Shared Responsibility Model practically...

- Understanding the Model: Customers need to comprehend the CSP Shared Responsibility Model and its general application in cloud operations.
- Application to Use Case: Determine the model's relevance to their specific use case.
- Variability in Responsibility: Customer responsibility changes based on:
 - The choice of CSP services and geographical locations. (e.g: AWS EC2 in specified AWS region)
 - How these services integrate into their IT environment.
- Legal and Regulatory Considerations: Consideration of laws and regulations that apply to their organization and workload.

Resource Provisioning



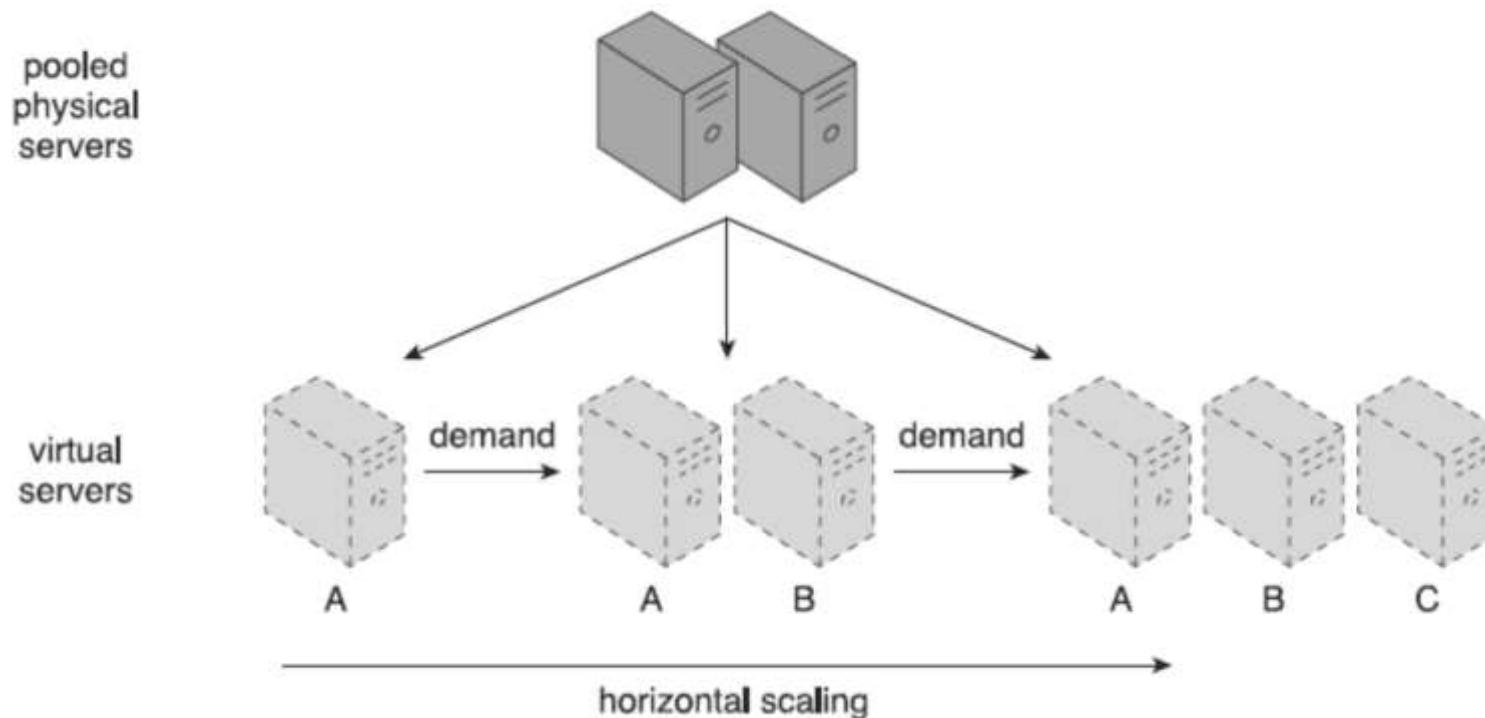
Provisioning for Peak Load



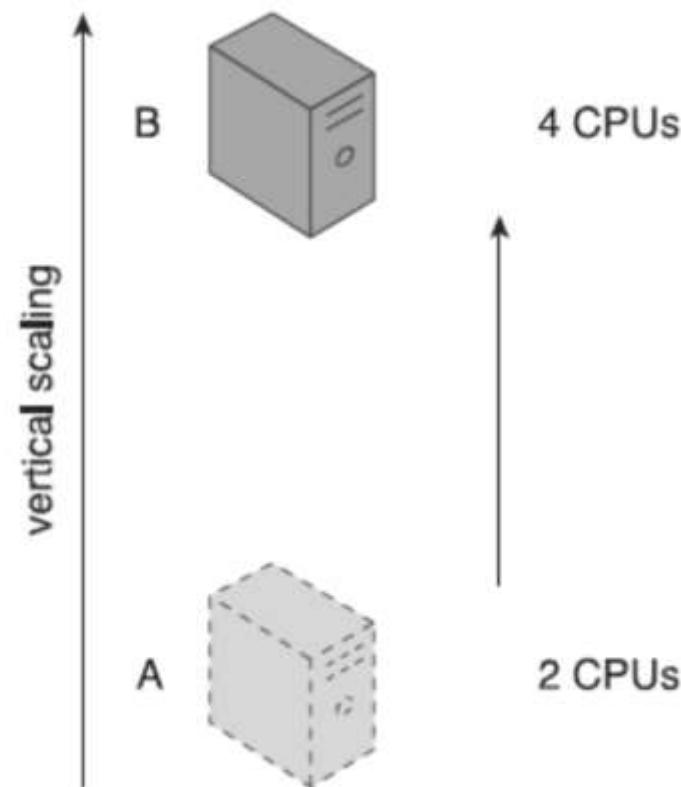
Under-Provisioning

Scalability in Cloud

- Horizontal scaling
 - The allocating or releasing of IT resources that are of the same type
 - Scaling in and out



- Vertical scaling
 - Existing IT resource is replaced by another with higher or lower capacity
 - Scaling up & down



Horizontal vs Vertical Scaling

Aspect	Horizontal Scaling (Scaling Out/In)	Vertical Scaling (Scaling Up/Down)
Definition	Adding or removing servers to adjust capacity.	Increasing or decreasing the capacity of a server.
Cost	Can be more cost-effective with pay-as-you-go models.	May involve higher costs due to high-end hardware.
Downtime	Often allows scaling with no downtime.	May require downtime for hardware upgrades.
Resource Limits	Limited by the number of servers you can add.	Limited by the maximum capacity of a single server.
Complexity	Can increase architectural complexity.	Simpler, as it involves a single resource.
Availability	Improved, as load is distributed across multiple servers.	Risk of a single point of failure.
Use Case	Ideal for distributed systems and microservices.	Suited for applications with fixed or known peaks.

- Reactive scaling
 - Once something (e.g., workload) happen
- Proactive scaling
 - Based on predictions (e.g., workload)

Based on

- Rules
 - Spawn a new VM if ave. CPU util. > 80%
- Models based on QoS/SLA targets
 - No of VMs to maintain latency < 300 ms

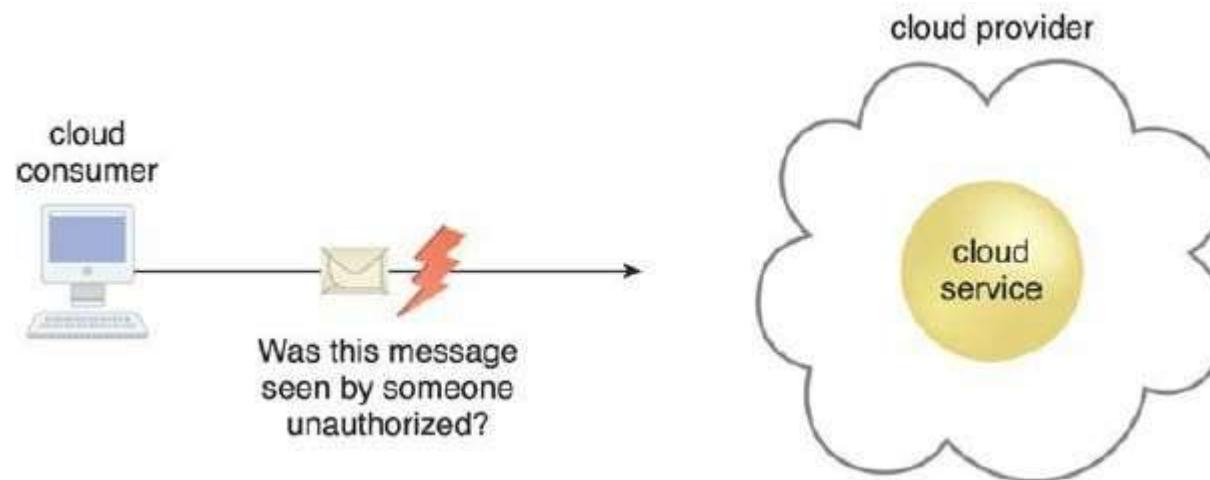
Cloud Security Basics and Countermeasures

Based on Cloud Computing: Concepts, Technology Architecture, Thomas Erl, et al., Prentice-Hall, 2013,

Concepts

Confidentiality

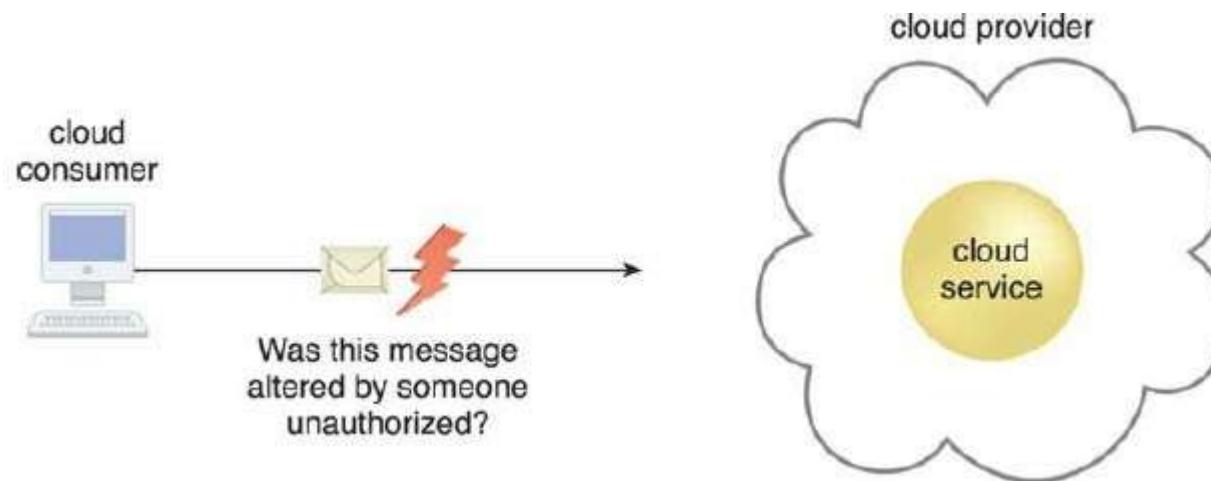
- Accessible only to authorized parties
- Within cloud environments, confidentiality targets to restricting access to data in transit and storage.



Concepts

Integrity

- Not having been altered by an unauthorized party
- Can cloud consumer be guaranteed transmitted data matches the data received.
- Extends to how data is stored, processed, and retrieved.



Concepts

Authenticity

- Ensuring something has been provided by an authorized source.
- Can cloud consumer guarantee the authentication of an interaction and no other party can deny or challenge that.

Availability

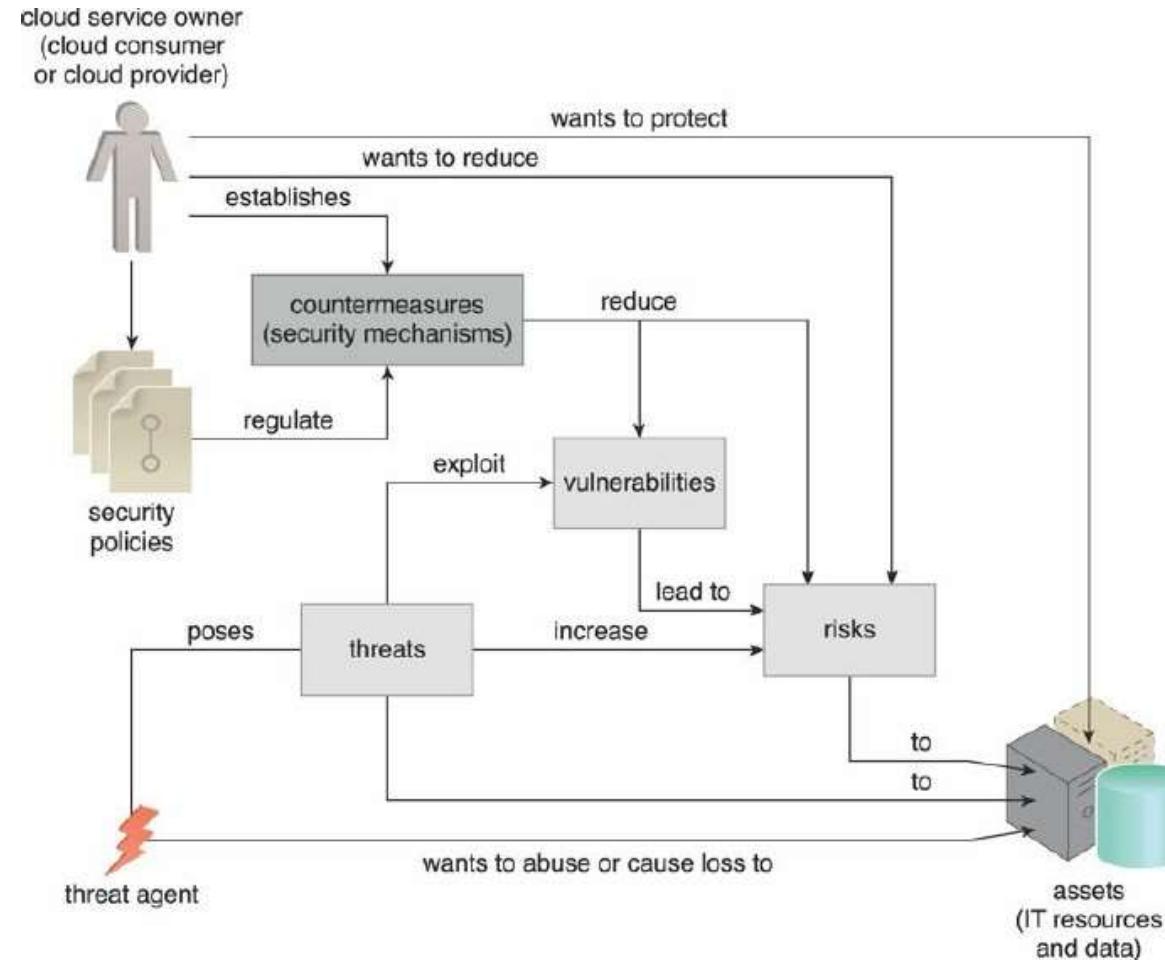
- Being accessible, available and usable within defined time period.
- In cloud the availability of cloud services can be a responsibility that is shared by the cloud provider and the cloud carrier. The availability of a cloud-based solution that extends to cloud service consumers is further shared by the cloud consumer.

Threat Agents

An entity that poses a threat because it is capable of carrying out an attack.

- Can originate either internally or externally.
- Human or Software.

Threat Agents



Threat Agents

Anonymous Attacker

- Non-trusted cloud service consumer without permissions in the cloud. Attempts attacks from outside cloud permission boundary, mostly using public networks.

Malicious Service Agent

- Able to intercept and forward the network traffic that flows within a cloud. Then to maliciously use and augment the data.

Trusted Attacker

- Shares IT resources in the same cloud environment as the cloud consumer and attempts to exploit legitimate credentials to target cloud providers and the cloud tenants.

Malicious Insider

- Human threat agents acting on behalf of or in relation to the cloud provider. Typically current or former employees or third parties with access to the cloud provider's premises.

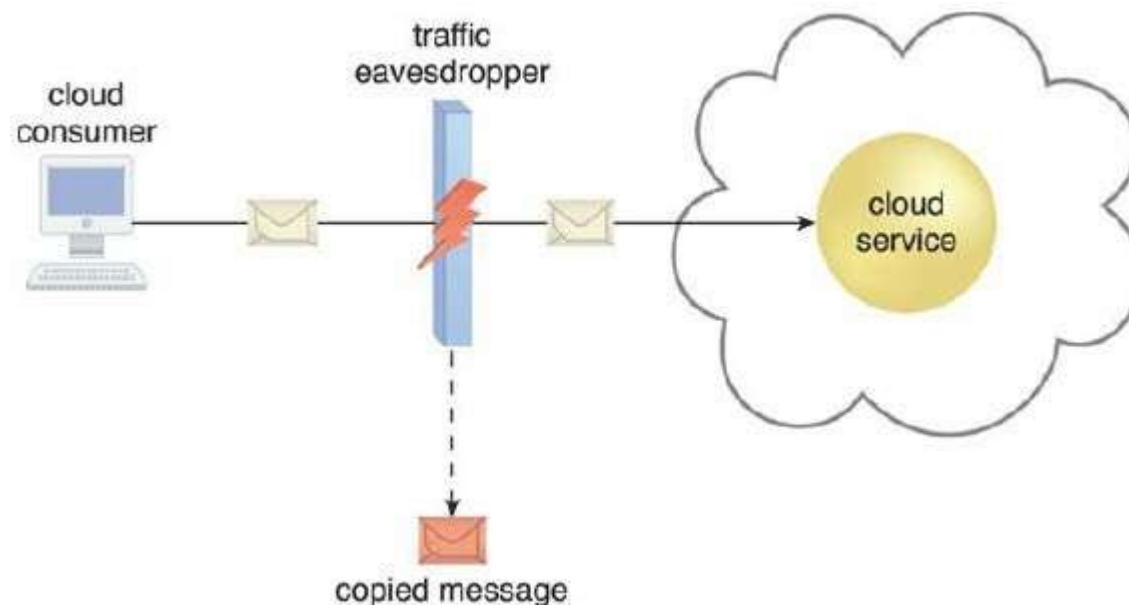
Cloud Security Threats

- Traffic Eavesdropping
- Malicious Intermediary
- Denial of Service
- Insufficient Authorization
- Virtualization Attack
- Overlapping Trust Boundaries

Traffic Eavesdropping

Data transferred to or within a cloud is passively intercepted by a malicious service agent for information gathering purposes.

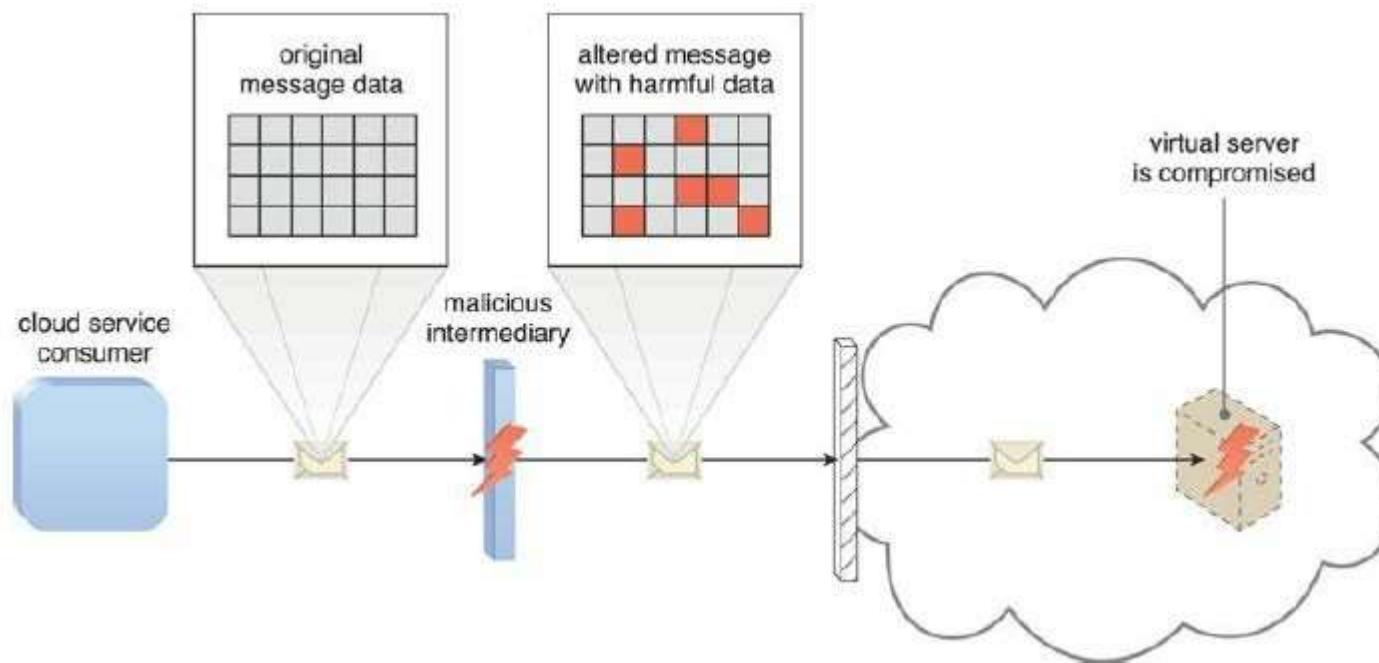
- Aim to compromise the confidentiality of the data.
- Due to passive nature of the attack, it can take place undetected for extended periods of time.



Malicious Intermediary

Messages are intercepted and altered by a malicious service agent.

- Potentially compromising the message's confidentiality and/or integrity.
- May insert harmful data into the message.

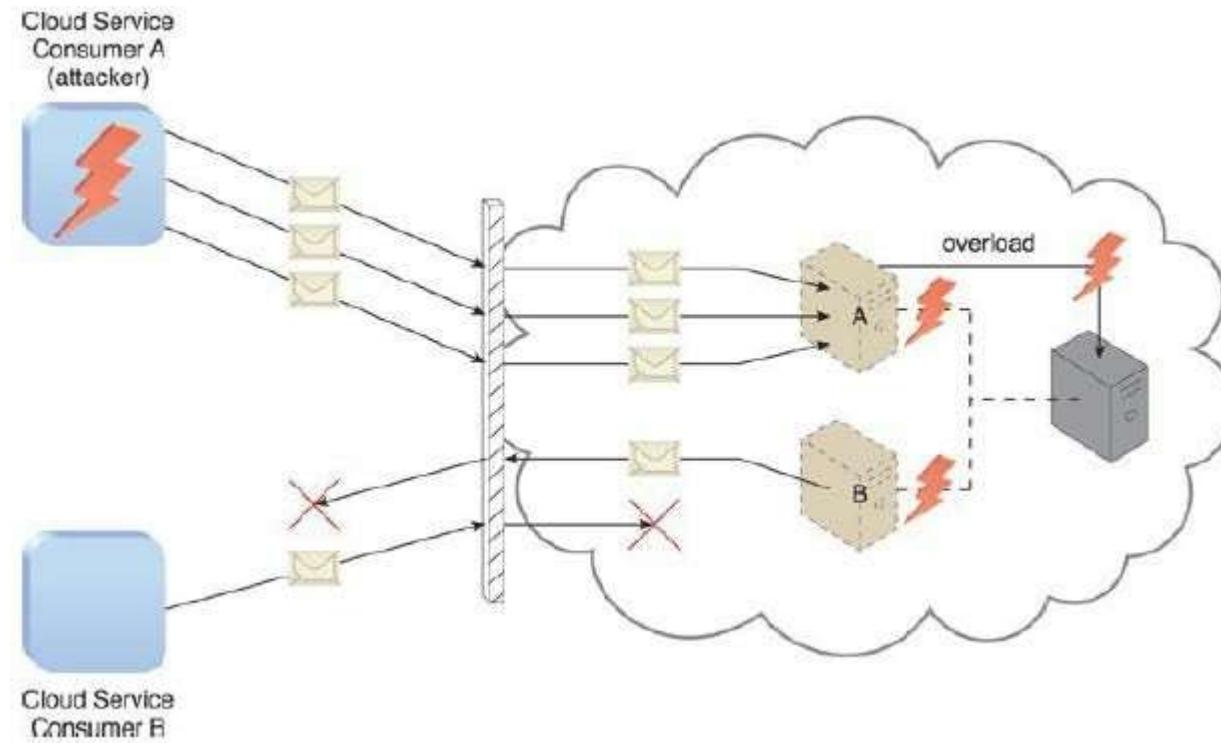


Denial of Service

Overload IT resources to the point where they cannot function properly.

- The workload on cloud services is artificially increased with imitation messages or repeated communication requests.
- The network is overloaded with traffic to reduce its responsiveness and cripple its performance.
- Multiple cloud service requests are sent, each of which is designed to consume excessive memory and processing resources.

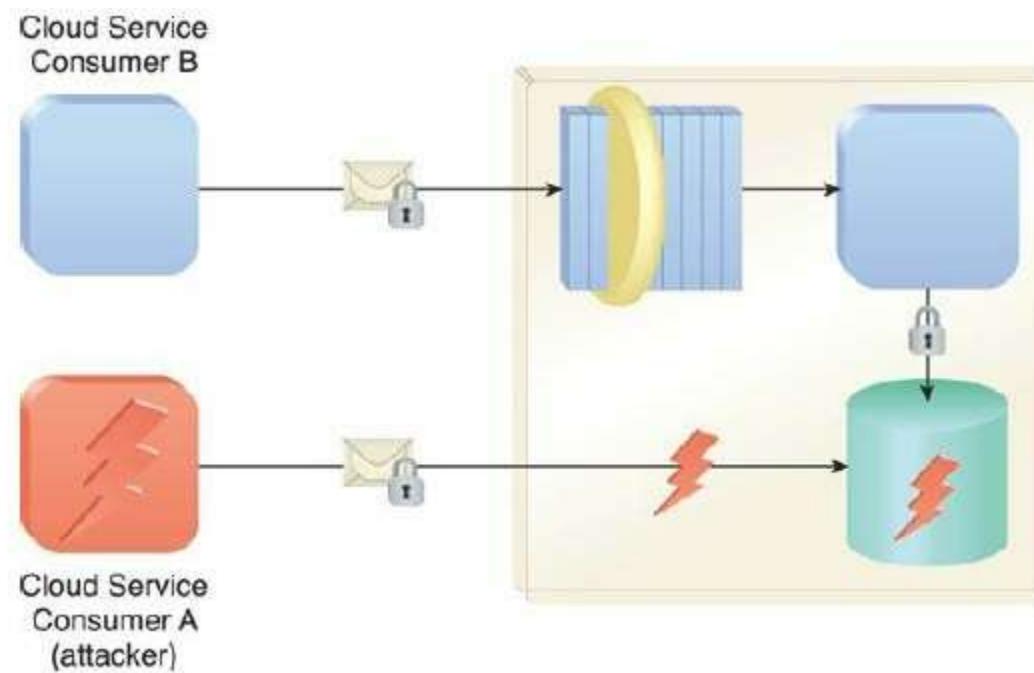
Denial of Service



Insufficient Authorization

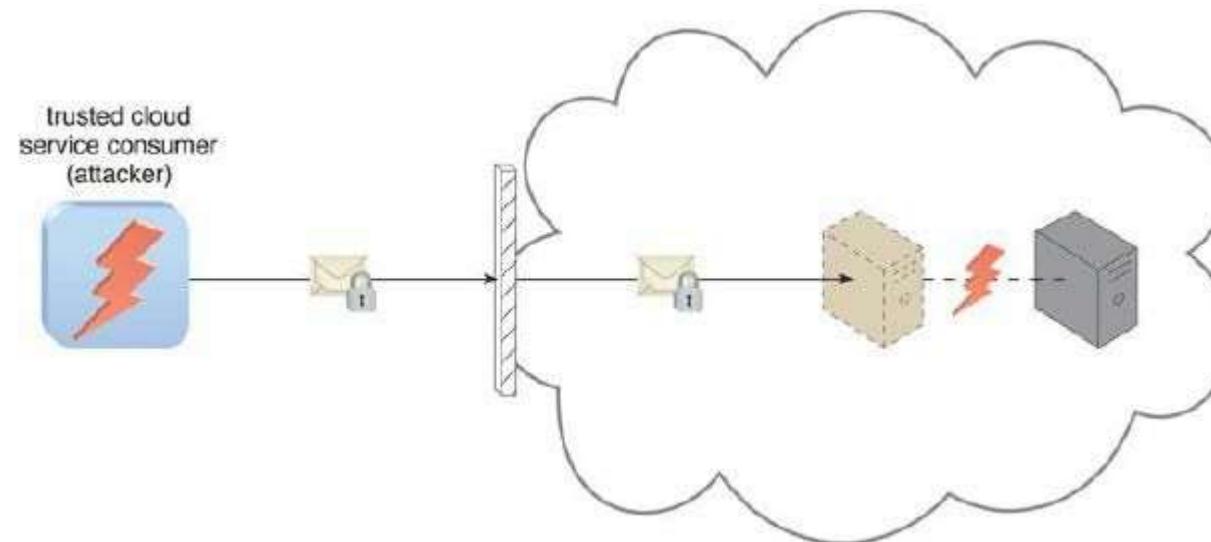
Occurs when access is granted to an attacker erroneously or too broadly to IT resources that are normally protected.

- Result of the attacker gaining direct access to IT resources that were implemented to be accessed by trusted consumer programs.



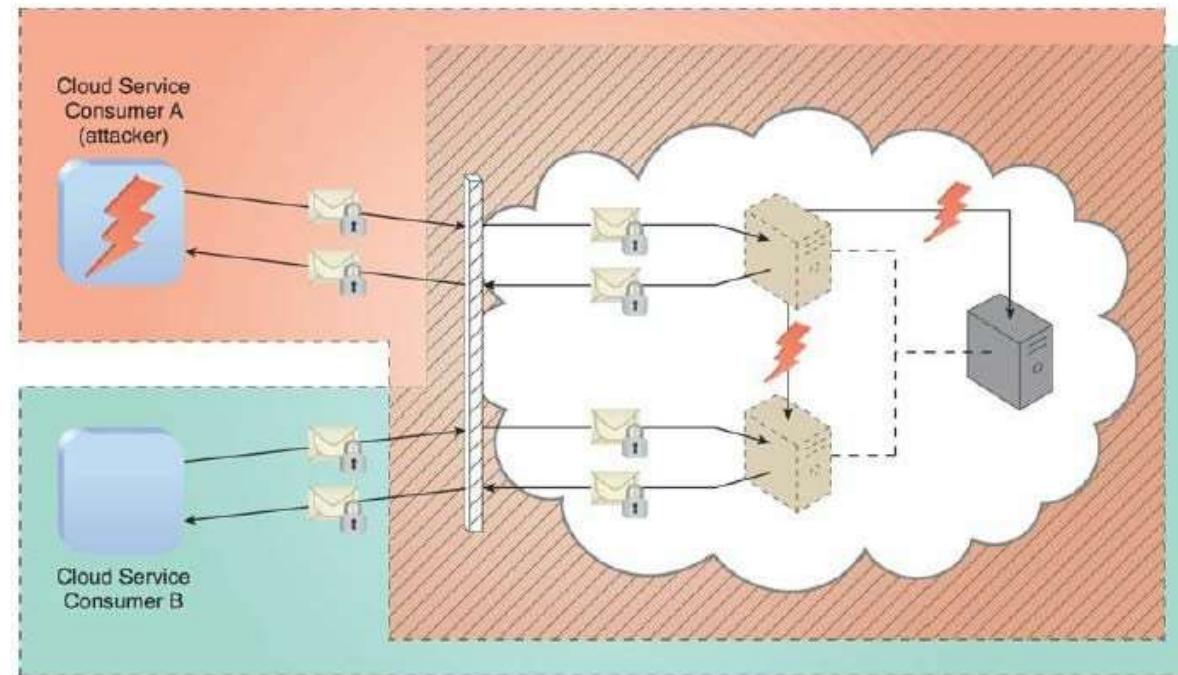
Virtualization Attack

Exploits vulnerabilities in the virtualization platform to jeopardize its confidentiality, integrity, and/or availability.



Overlapping Trust Boundaries

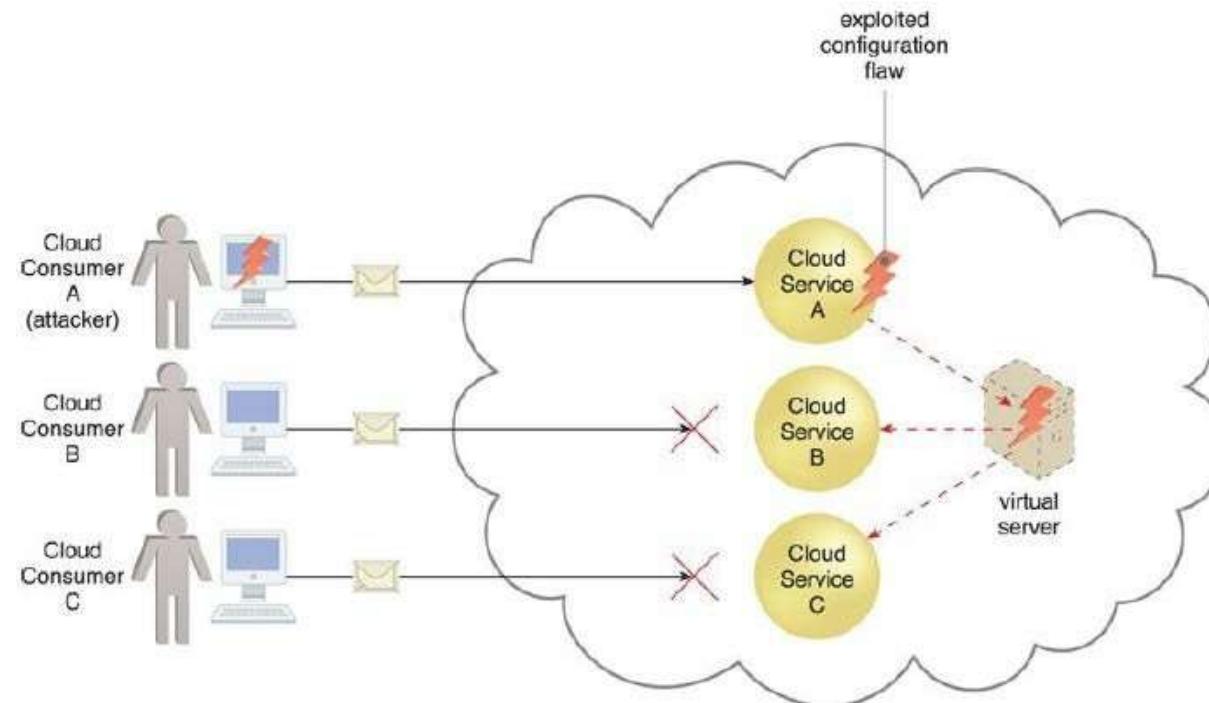
Target shared IT resources with the intention of compromising cloud consumers or other IT resources that share the same trust boundary



Checklist on Cloud Security

Substandard design, implementation or configuration

- Security issues may arise beyond runtime exception or system failures
- Attackers may exploit these vulnerabilities



Checklist on Cloud Security (Cont)

Security policy checks

- Check for any disparity as majority of the IT resources are now managed by cloud service providers

Contracts

- Examine contracts and SLAs put forth by cloud providers to ensure that security policies, and other relevant guarantees, are satisfactory when it comes to security

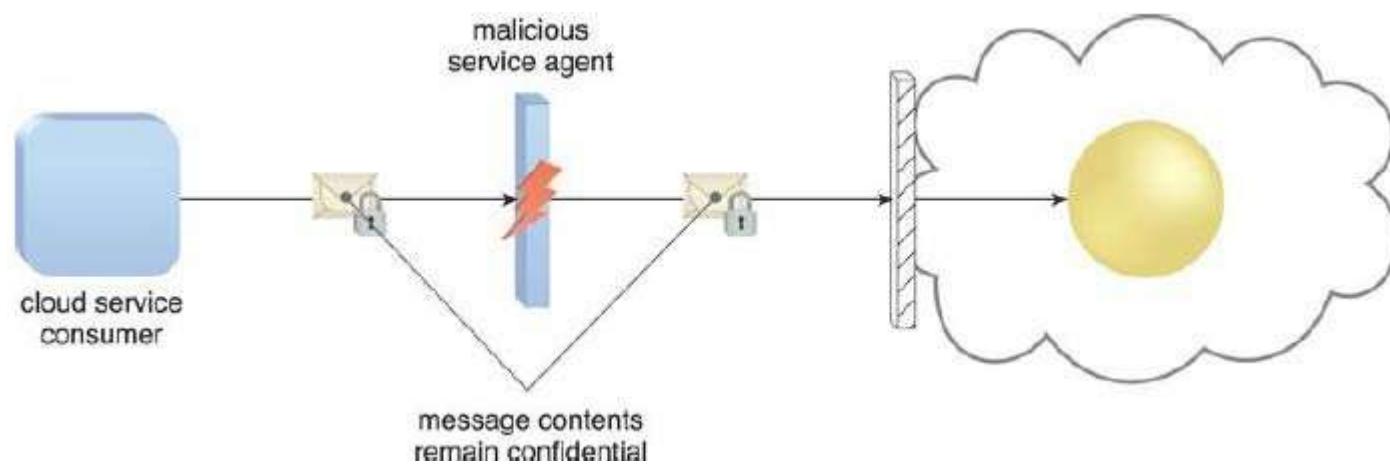
Risk Management

- Continuous risk assessment as part of risk management strategy

Cloud Security Countermeasures

Encryption

Secret key based encryption mechanisms to counter traffic eavesdropping, malicious intermediary, insufficient authorization, and overlapping trust boundaries security threats.



Encryption

Symmetric Encryption

- Secret key cryptography, uses the same key for both encryption and decryption
- Does not have the characteristic of non-repudiation, cannot determine which party performed the message encryption or decryption

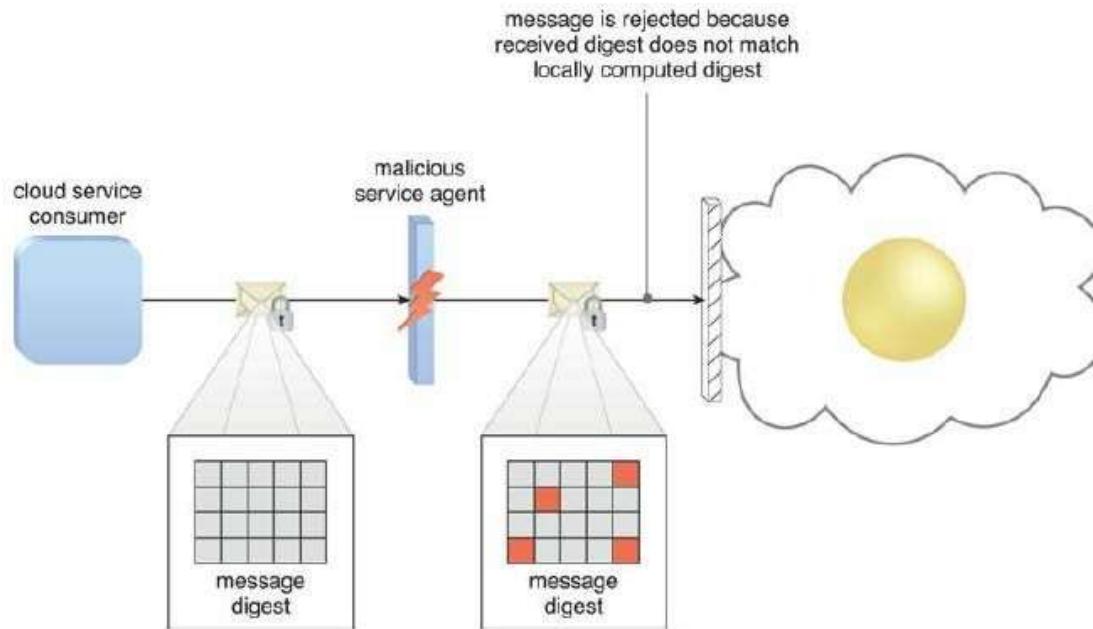
Asymmetric Encryption

- Relies on the use of two different keys, private key and a public key
- private key is known only to its owner while the public key is commonly available
- Doesn't provide message integrity or authenticity protection due to the communal nature of the public key

Hashing

When non-reversible form of data protection is required

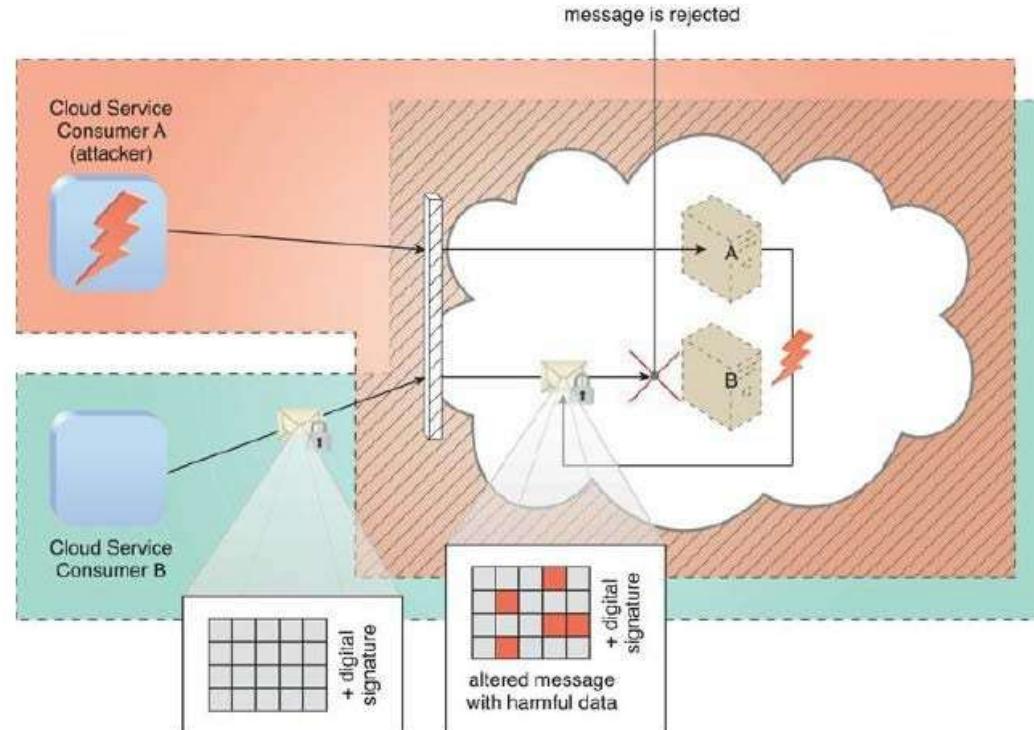
- Derive a hashing code or *message digest* from a message
- Sender attach message digest to the message
- Recipient applies the same hash function to the message to verify that the produced message digest is identical to the one that accompanied the message



Digital Signature

Messages are assigned a digital signature prior to transmission, which is then rendered invalid if the message experiences any unauthorized modifications

- Both hashing and asymmetrical encryption are involved in the creation of a digital signature



Public Key Infrastructure (PKI)

- Used to associate public keys with their corresponding key owners
- Rely on the use of digital certificates, which are digitally signed data structures that bind public keys to certificate owner identities with a validity time period
- Digital certificates are usually digitally signed by a third- party certificate authority

DECRYPTION



Private
Key



Public
Key



Private
Key

Can be
distributed to
anyone

Will be always
private

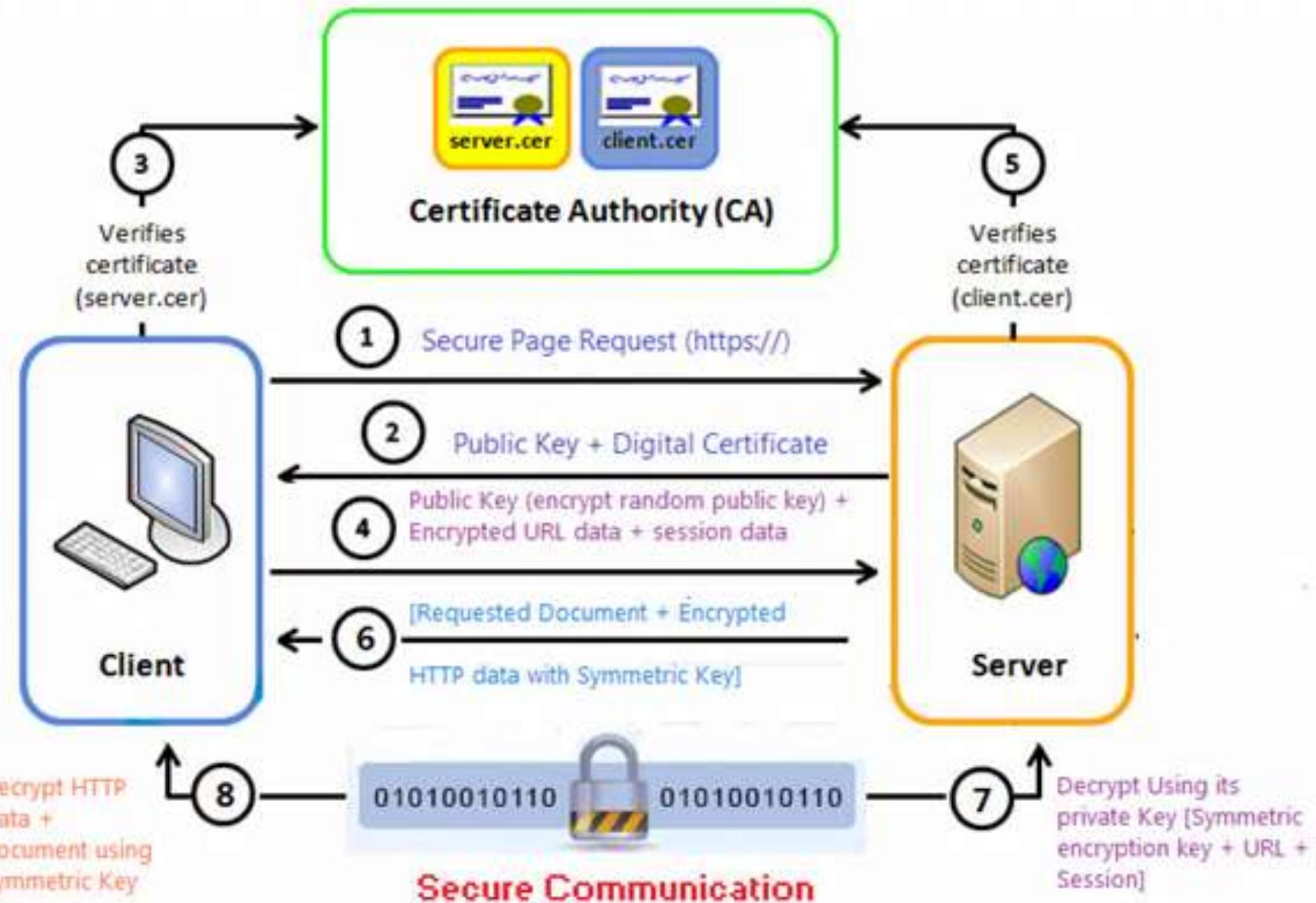
Request

Sends her public key to encrypt the message

Encrypts and sends the Secure message



Public
Key



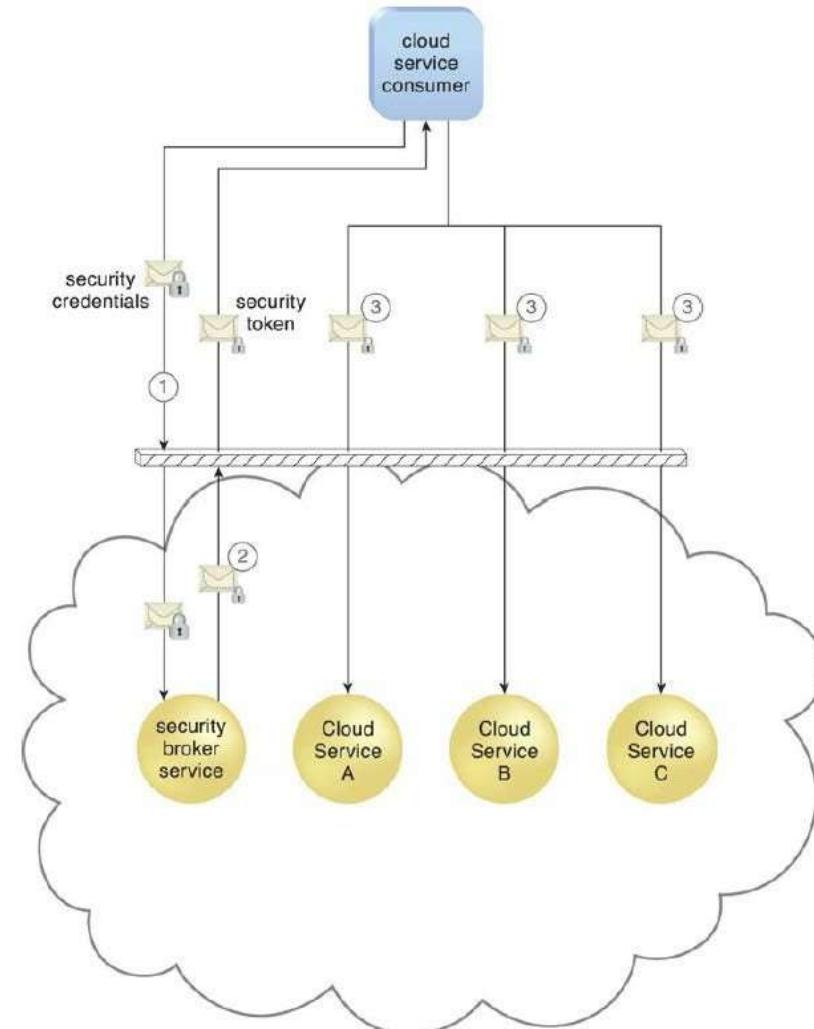
Identity Access Management (IAM)

- IAM encompasses controlling and tracking user identities and access in IT environments.
 - **Authentication:** Manages credentials like usernames, passwords, digital signatures, biometric data, and binds accounts to specific hardware or software identifiers.
 - **Authorization:** Defines access control levels and manages relationships between user identities, access rights, and resource availability.
 - **User Management:** Involves administrative tasks like creating user accounts, resetting passwords, setting password policies, and managing privileges.
 - **Credential Management:** Establishes and manages access rules for user accounts to prevent unauthorized access.

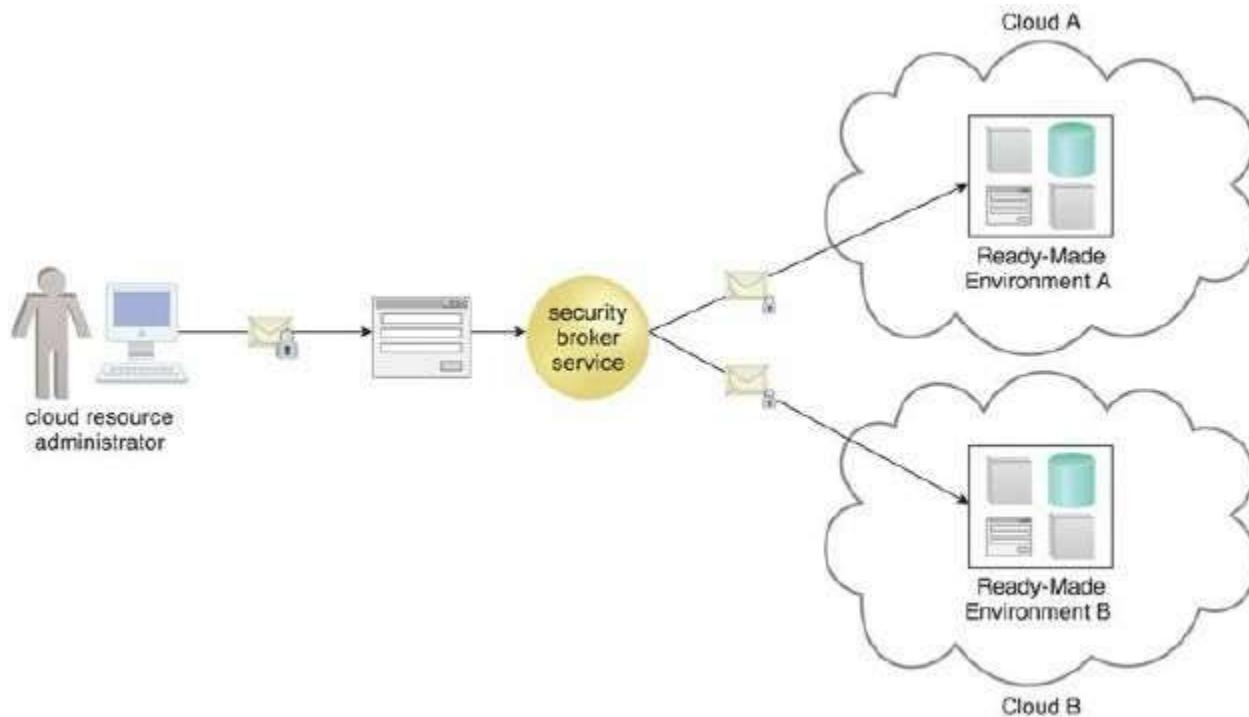
Single Sign-On (SSO)

Propagating the authentication information across multiple cloud services can be a challenging

- Enables authentication by a security broker that establish a persisted security context during consumer accesses to cloud services

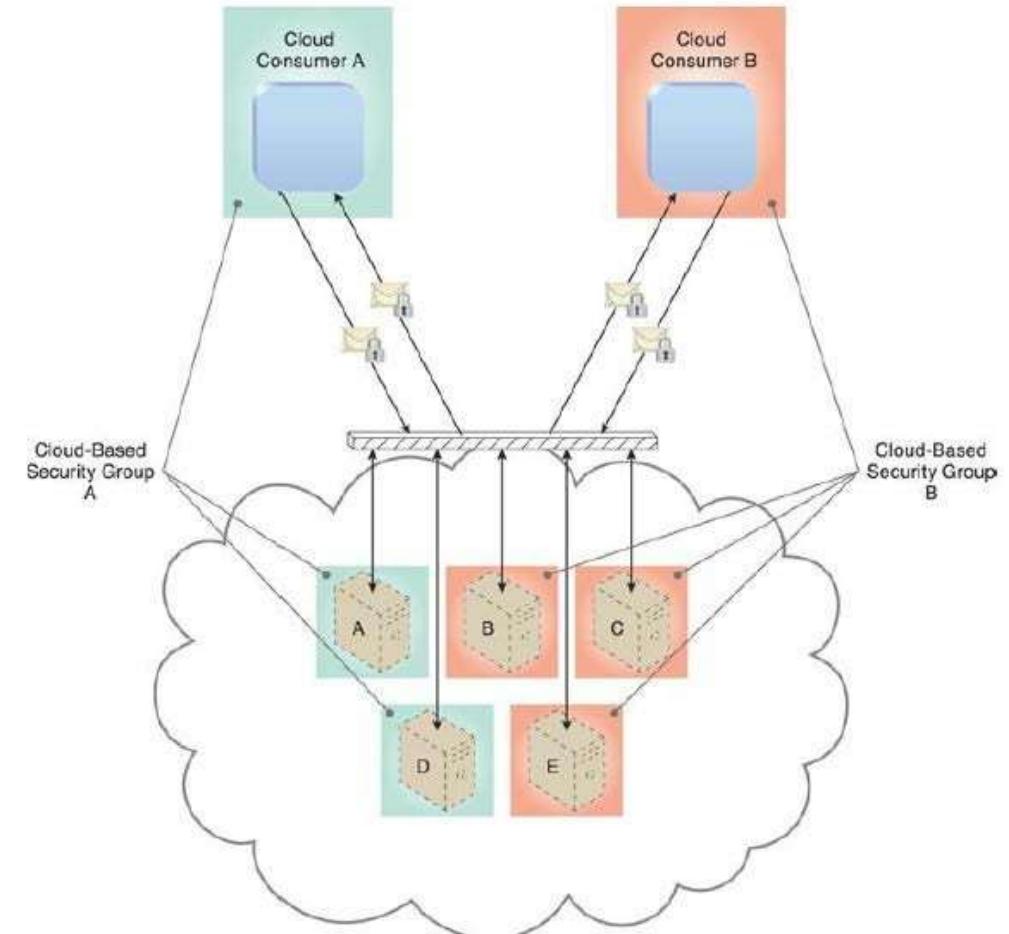


Single Sign-On (SSO)



Cloud-Based Security Groups

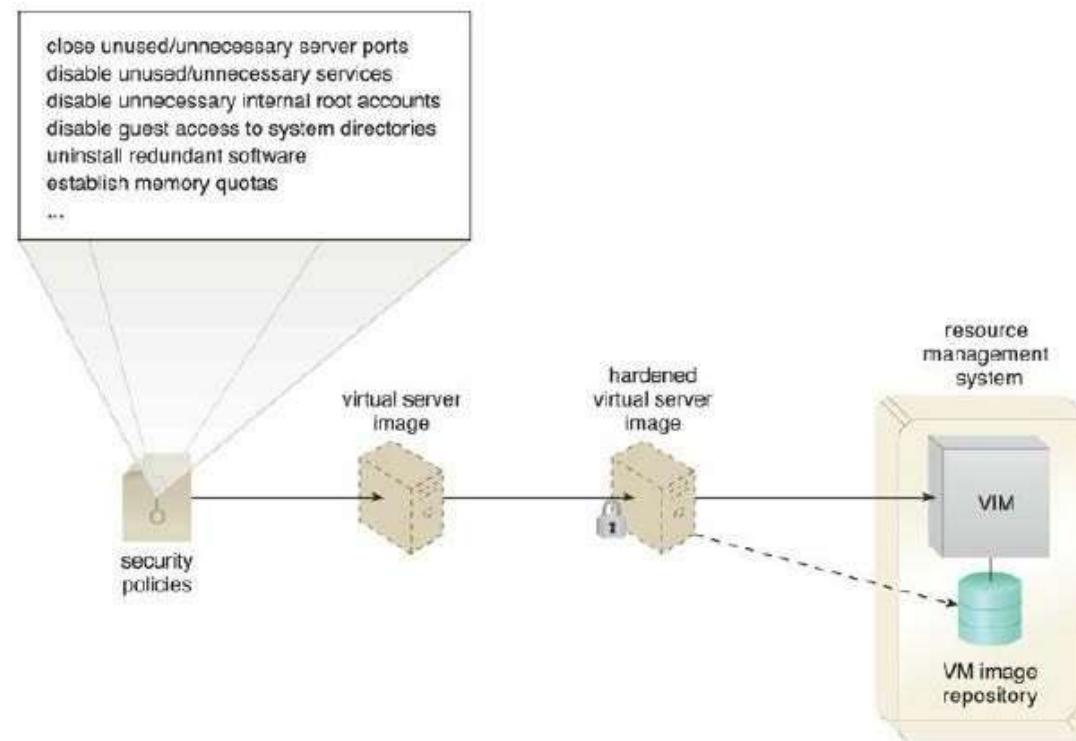
- Cloud resource segmentation is a process by which separate physical and virtual IT environments are created for different users and groups



Hardened Virtual Server Images

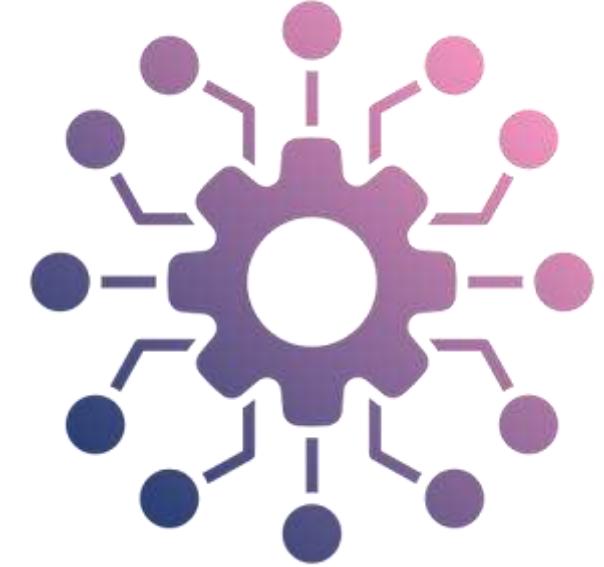
Process of stripping unnecessary software to limit potential vulnerabilities that can be exploited by attackers

- Removing redundant programs, closing unnecessary server ports, and disabling unused services, internal root accounts, and guest access



References

- <https://aws.amazon.com/compliance/shared-responsibility-model/>
- Chapter 6 and 10, Cloud Computing: Concepts, Technology & Architecture, Thomas Erl, et al., Prentice- Hall, 2013



Introduction to Microservices

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SLIIT | March 2025

Foundations of Modern Software Architecture: Paving the Way for Microservices

- Influential Concepts and Technologies
 - **Domain-Driven Design:** Emphasizing the importance of reflecting real-world complexities in our code for better system modeling.
 - **Continuous Delivery:** Revolutionizing software deployment, making every code check-in a potential release candidate.
 - **Web Communication Advancements:** Enhancing how machines interact, leading to more efficient and robust systems.
- Architectural Shifts
 - **From Layered to Hexagonal:** Moving away from traditional layered architectures to avoid hidden complexities in business logic.
 - **Embracing Virtualization:** Utilizing on-demand provisioning and resizing of resources for greater flexibility with cloud computing.
- Organizational Practices
 - **Small Autonomous Teams:** Inspired by tech giants like Amazon and Google, promoting ownership and lifecycle management of services.
 - **Learning from Netflix:** Building resilient, scalable systems that can withstand and adapt to change.

Microservices: A Natural Progression

- Emergence from Real-World Use: Microservices weren't pre-planned but evolved as a response to practical needs in software development.
- Responding to Change: Offering the agility and flexibility to adapt to new technologies and market demands.

Monolithic Applications

- **Basic Structure**
 - Single-Tiered Structure: Built as a single, unified unit.
 - Combined Modules: Functional modules like UI, server logic, and database interactions are combined.
- **Design and Construction**
 - Modular Architecture: Follows a modular structure within a single unit, aligning with object-oriented principles.
 - Programming Constructs: Defined using language-specific constructs (e.g., Java packages).
 - Build Artifacts: Built as a single artifact, such as a Java JAR file.
- **Characteristics**
 - Inter-module Dependencies: Modules are tightly coupled and interdependent.
 - Unified Deployment: Deployed as a single entity.
- **Scalability**
 - Scalability Approach: Scaling involves replicating the entire application, not individual components.

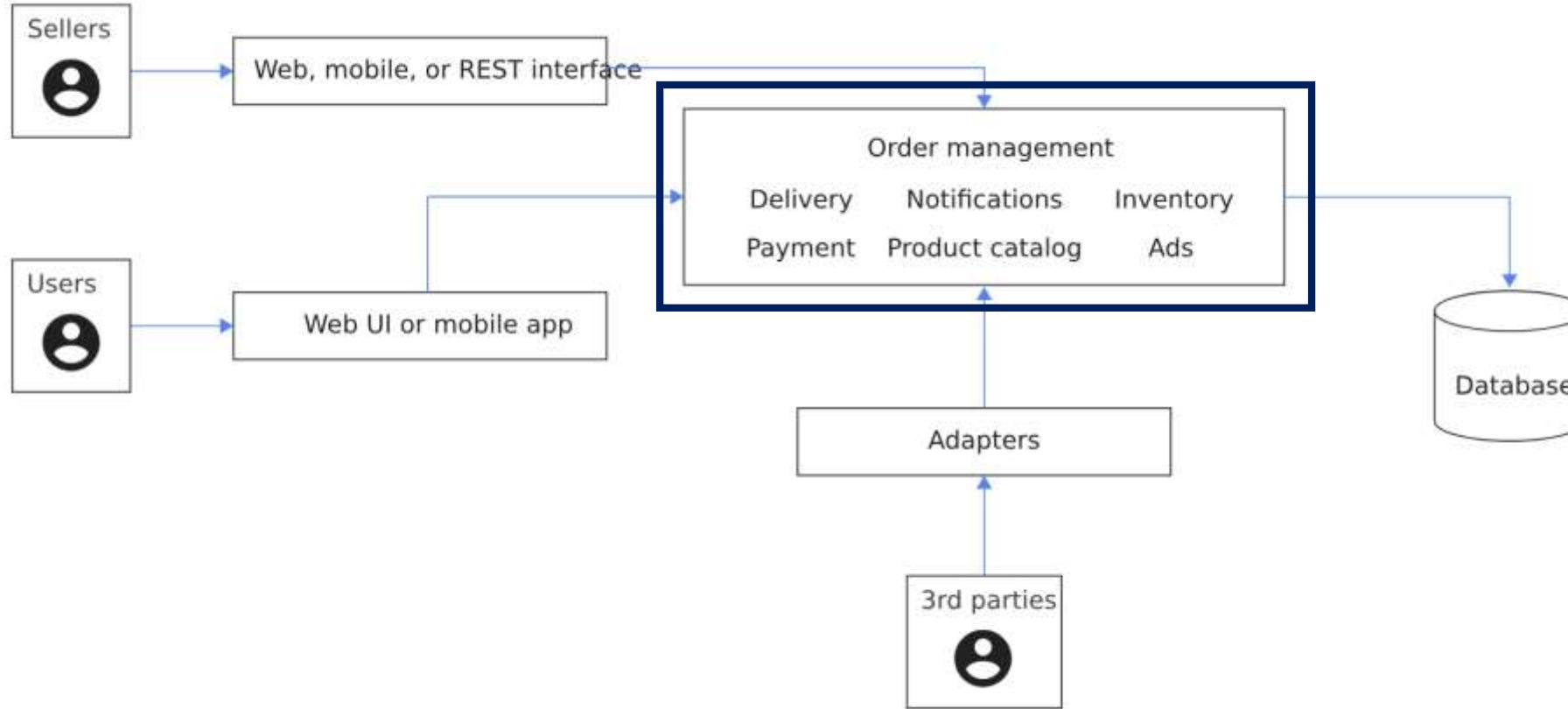


Diagram of a monolithic ecommerce application with several modules using a combination of programming language constructs. (<https://cloud.google.com/architecture/microservices-architecture-introduction>)

- **Benefits of Monolithic Architecture**
 - **Simplified Testing:** Tools like Selenium enable end-to-end testing of the entire application.
 - **Ease of Deployment:** Deployment involves simply copying the packaged application to a server.
 - **Resource Sharing:** All modules share memory, space, and resources, streamlining cross-cutting concerns like logging, caching, and security.
 - **Intra-Process Communication:** Direct module-to-module calls can offer performance advantages over network-dependent microservices.
- **Challenges of Monolithic Architecture**
 - **Scalability Issues:** Difficulty in scaling when different modules have conflicting resource requirements.
 - **Complexity in Maintenance and Updates:** As the application grows, implementing changes becomes more complicated due to tightly coupled modules.
 - **CI/CD Complications:** Continuous integration and deployment become challenging as any update requires redeploying the entire application.
 - **Vulnerability to System Failures:** A bug in any module, like a memory leak, can crash the entire system.
 - **Technological Rigidity:** Adopting new frameworks or languages is costly and time-consuming, as it often requires rewriting the entire application.

Understanding Microservices

- **Core Characteristics**
 - **Small and Focused:** Aimed at doing one thing well, avoiding sprawling codebases.
 - **Cohesion and Single Responsibility:** Adhering to the principle of grouping related code and separating unrelated functionalities.
- **Size and Scope**
 - **No Fixed Size:** Size varies based on language expressiveness and domain complexity.
 - **Team Alignment:** Ideally sized to be managed by a small team.
 - **Balance in Size:** Smaller services maximize benefits but increase complexity.

- **Autonomy**
 - **Independent Entities:** Deployed separately, can be different technologies, possibly as isolated services on a PAAS or as individual operating system processes.
 - **Network Communication:** Services communicate via network calls, ensuring separation and reducing tight coupling.
- **Deployment and Change Management**
 - **Independent Deployment:** Services can be deployed independently without impacting others.
 - **API-Centric Interaction:** Services expose APIs for interaction, emphasizing decoupled, technology-agnostic interfaces.
- **Decoupling**
 - **Key to Microservices:** Essential for maintaining independence and achieving the benefits of microservices architecture.
 - **Change and Deployment:** Ability to change and deploy a service independently is crucial.

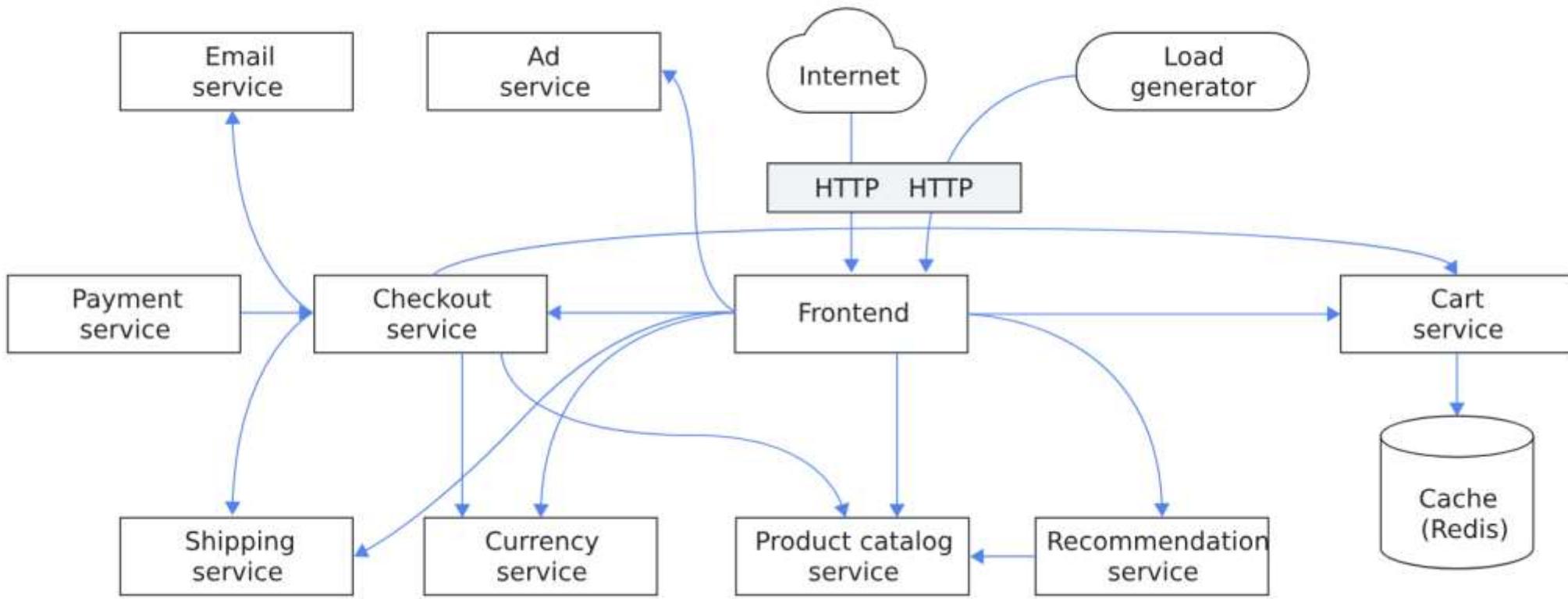
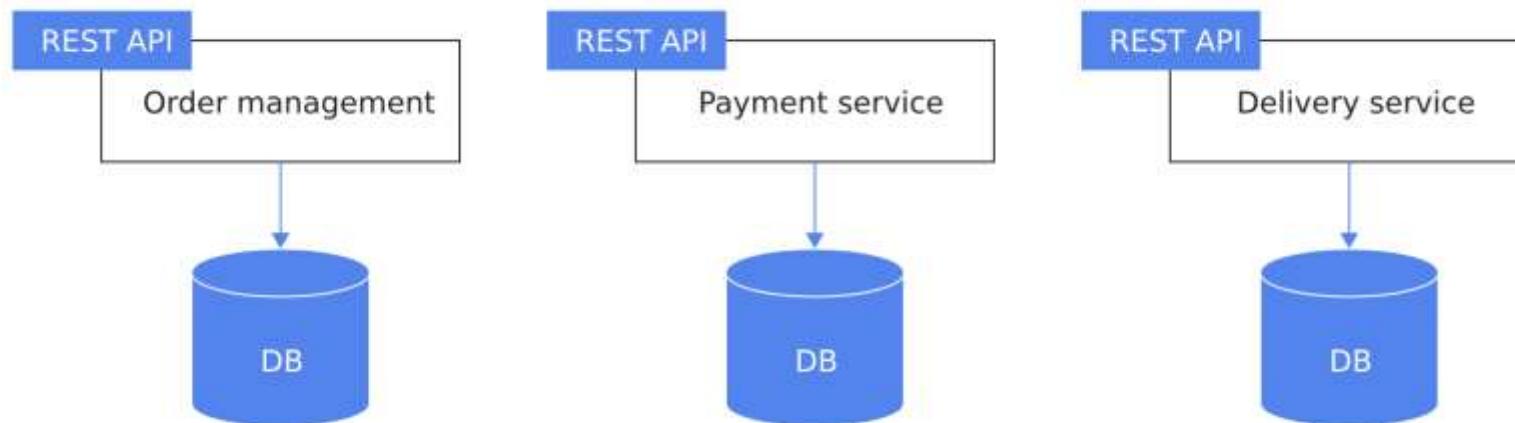


Diagram of an ecommerce application with functional areas implemented by microservices.

(<https://cloud.google.com/architecture/microservices-architecture-introduction>)

- **Database Relationship**

- **Service-Specific Databases:** Each microservice has its own database tailored to its requirements.
- **Loose Coupling:** This approach ensures loose coupling by routing data requests through service APIs instead of a shared database.
- **Independent Data Management:** Each service manages its data independently, enhancing autonomy and reducing interdependencies.



Benefits of Microservices Architecture

Aspect	Benefit Details
Enhanced Development and Maintenance	<ul style="list-style-type: none">- Breaks application into smaller, manageable chunks.- Clear boundaries with defined APIs.- Quicker development, easier understanding and maintenance.
Team Autonomy and Efficiency	<ul style="list-style-type: none">- Independent development of services by teams.- Full lifecycle ownership of services.- Flexibility to use different programming languages (Polyglot Development).
Improved Scalability and Market Responsiveness	<ul style="list-style-type: none">- Independent scaling based on service needs.- Hardware optimization for resource requirements.- Faster product delivery and improved time to market.

Challenges of Microservices Architecture

Challenge Category	Challenge Details
Complexity in Distributed Systems	<ul style="list-style-type: none">- Necessity of choosing and implementing inter-service communication mechanisms.- Managing partial failures and service unavailability.
Transaction Management Across Services	<ul style="list-style-type: none">- Handling atomic operations across multiple microservices (Distributed Transactions).- Maintaining data consistency during failures (Consistency Issues).
Testing and Deployment Complexities	<ul style="list-style-type: none">- Requirement for comprehensive testing across multiple services.- Complexities in managing multiple service deployments and service discovery.
Operational Overhead	<ul style="list-style-type: none">- Increased need for monitoring and alerting across more services.- Higher risk of failure due to more points of service-to-service communication.- Challenges in productionizing and maintaining robust operations infrastructure.
Performance and Suitability Considerations	<ul style="list-style-type: none">- Potential latency issues due to network calls between services.- Not suitable for all types of applications, especially those requiring real-time data processing.- Importance of clear communication and service boundary planning.

Migrating from Monolithic to Microservices: Key Considerations

Consideration Category	Details
Assessing the Need for Migration	<ul style="list-style-type: none">- Evaluate if microservices align with business goals and pain points.- Consider simpler alternatives like autoscaling or enhanced testing.
Starting the Migration Process	<ul style="list-style-type: none">- Begin with extracting and deploying one service independently.- Adopt an iterative approach, learning and adapting with each service migration.
Strategic Implementation	<ul style="list-style-type: none">- Recognize varying approaches to microservice size and quantity among teams.- Emphasize continuous learning and strategy refinement.
Future Learning and Strategies	<ul style="list-style-type: none">- Explore strategies for detailed refactoring from monolithic to microservices.- Plan for ongoing education and adaptation of methods.

References

- <https://cloud.google.com/architecture/microservices-architecture-introduction>
- Building Microservices, Sam Newman

Microservice Design Patterns

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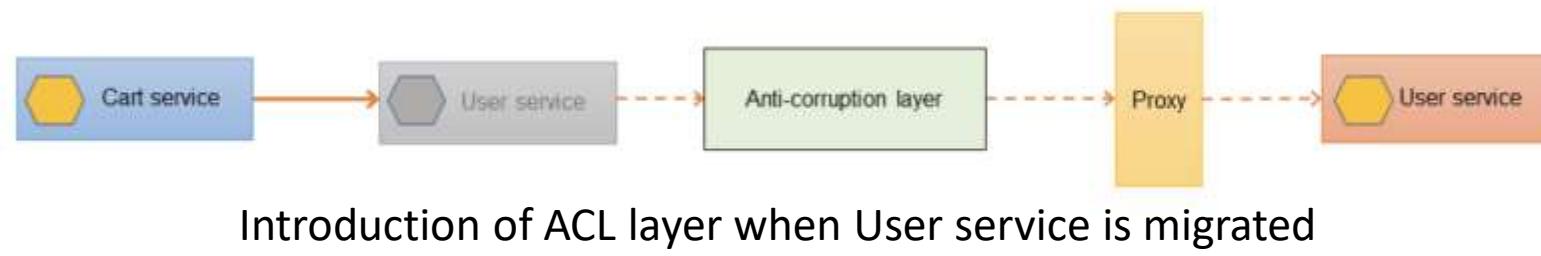
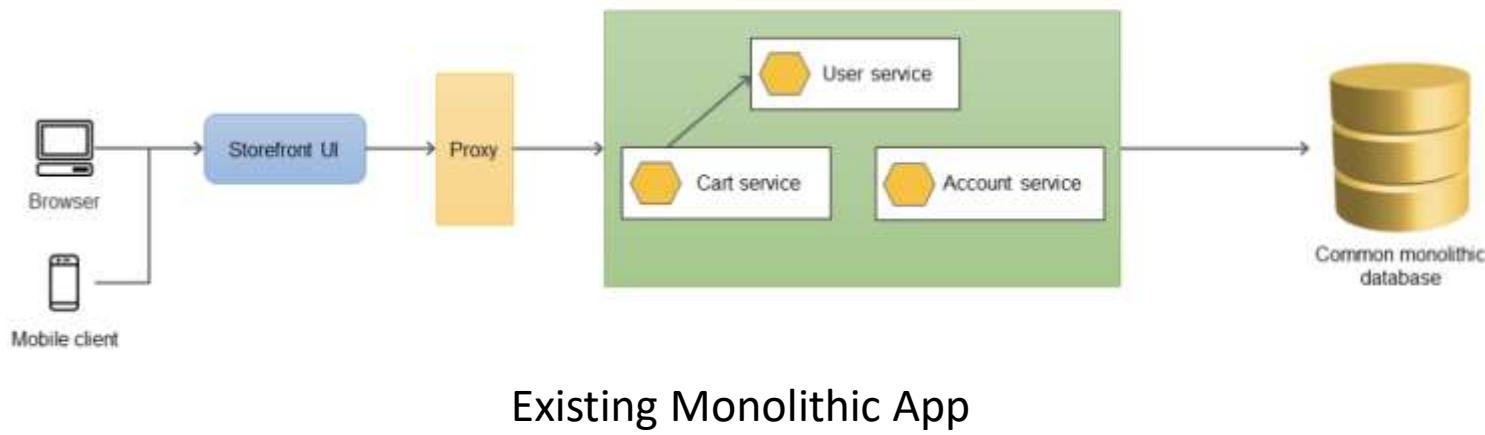


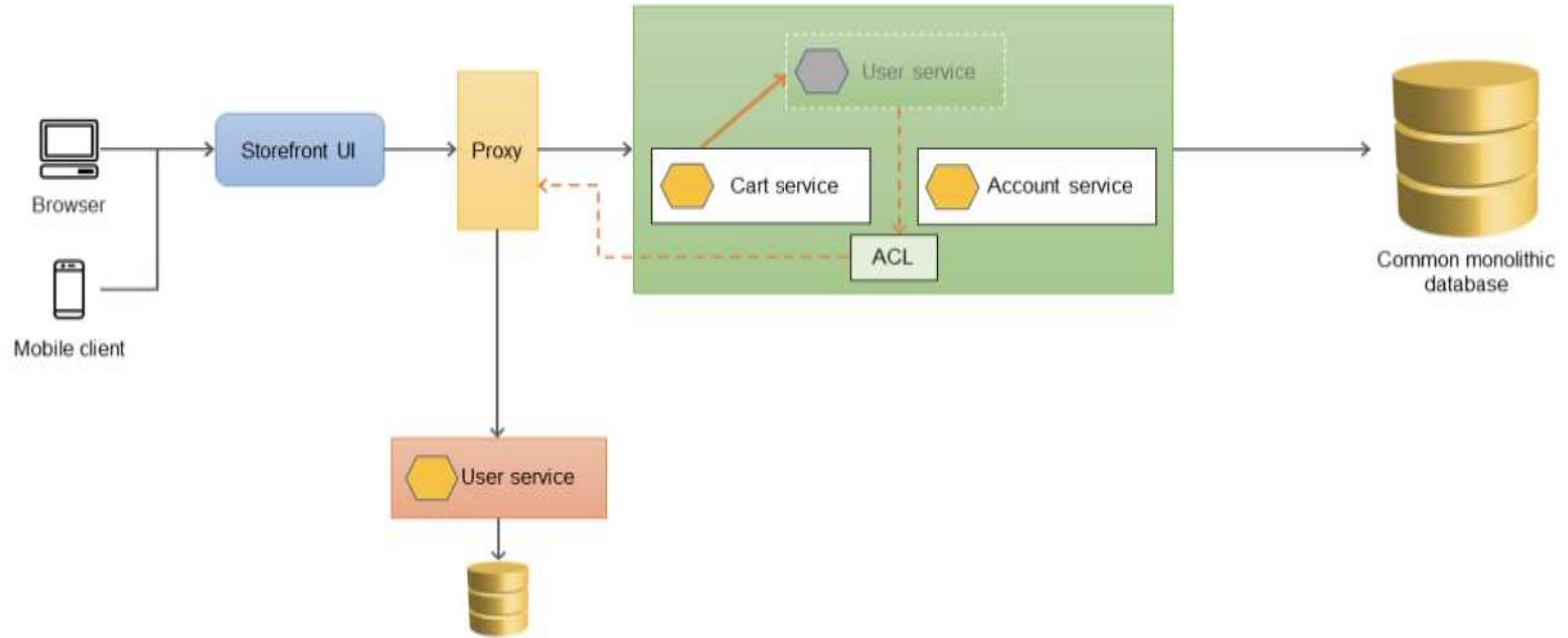
Design Patterns for migrating Monoliths to Microservices

- Anti-Corruption Layer (ACL) pattern
- Strangler fig pattern

Anti-Corruption Layer (ACL) pattern

- Allows gradual translation from monoliths to microservices architecture.
- Allows legacy systems to communicate with modern services without internal changes and with minimal impact.
- Goal of ACLs is to minimize changes to existing functionality in monoliths and reduce business disruptions.
- Acts as an adapter or facade, converting calls to the new interface.
- ACL is also consumed as a part of Strangler-Fig pattern which we are going to talk next...



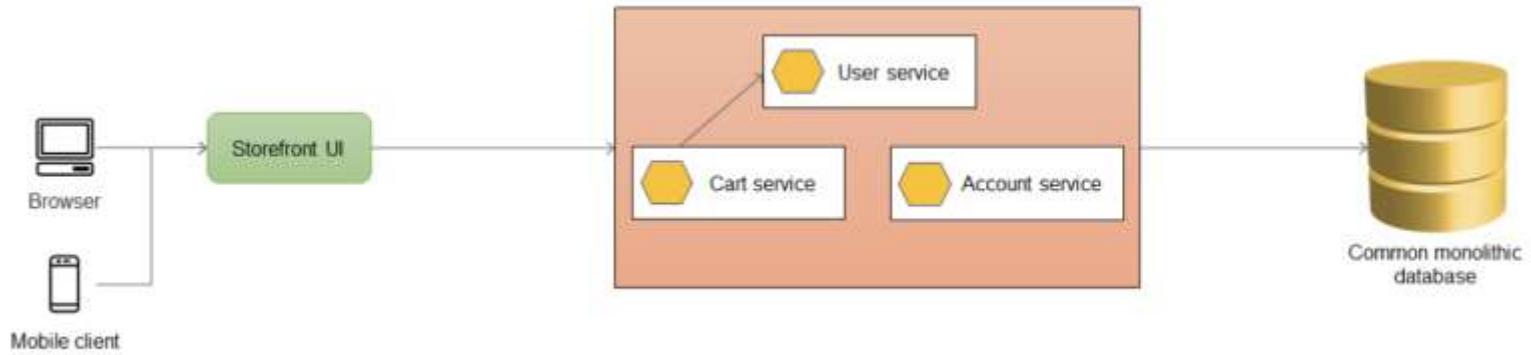


Strangler fig Pattern

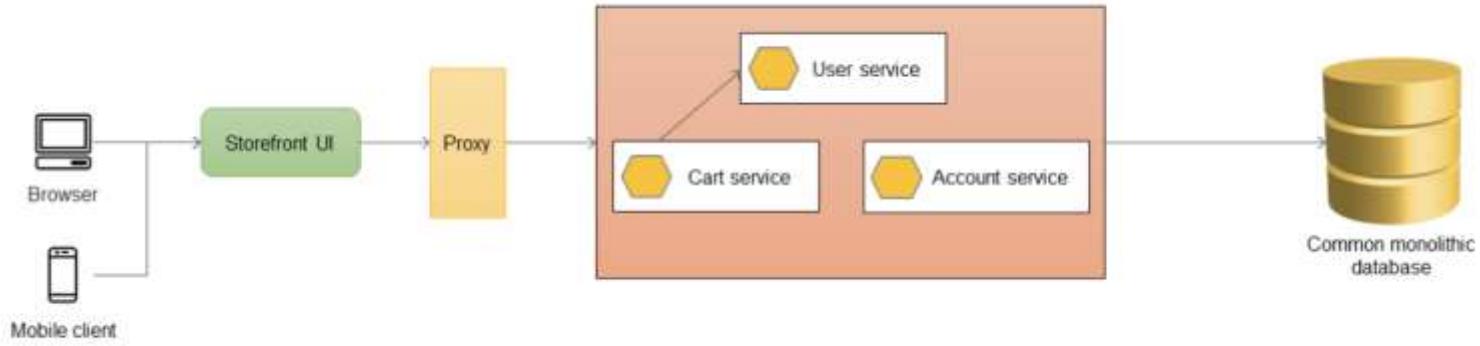
- Helps migrating a monolithic application to a microservices architecture incrementally, with reduced transformation risk and business disruption.
- Migrating a monolithic application to microservices based one requires rewriting and refactoring the code base and doing it once will be a huge risk.
- Hence Strangler fig patterns allows teams to focus on doing this migration incrementally and gradually while allowing app users to use the newly migrated features progressively.

Process Involved in Strangler fig

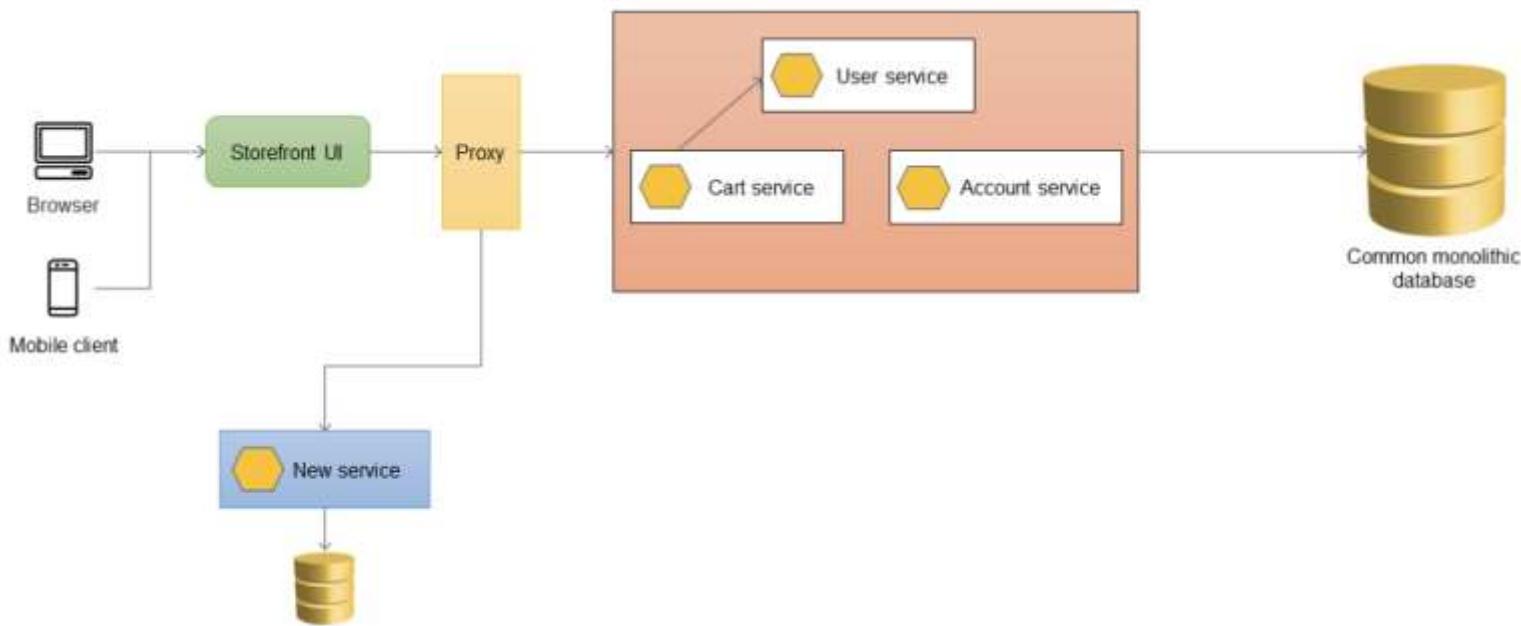
- **Identify Replaceable Components:** Start with parts of the system that are easiest to replace or most in need of an upgrade.
- **Build New Features as Services:** Develop new functionalities as separate services outside the legacy system.
- **Reroute Traffic:** Gradually reroute user traffic from the old system to the new services.



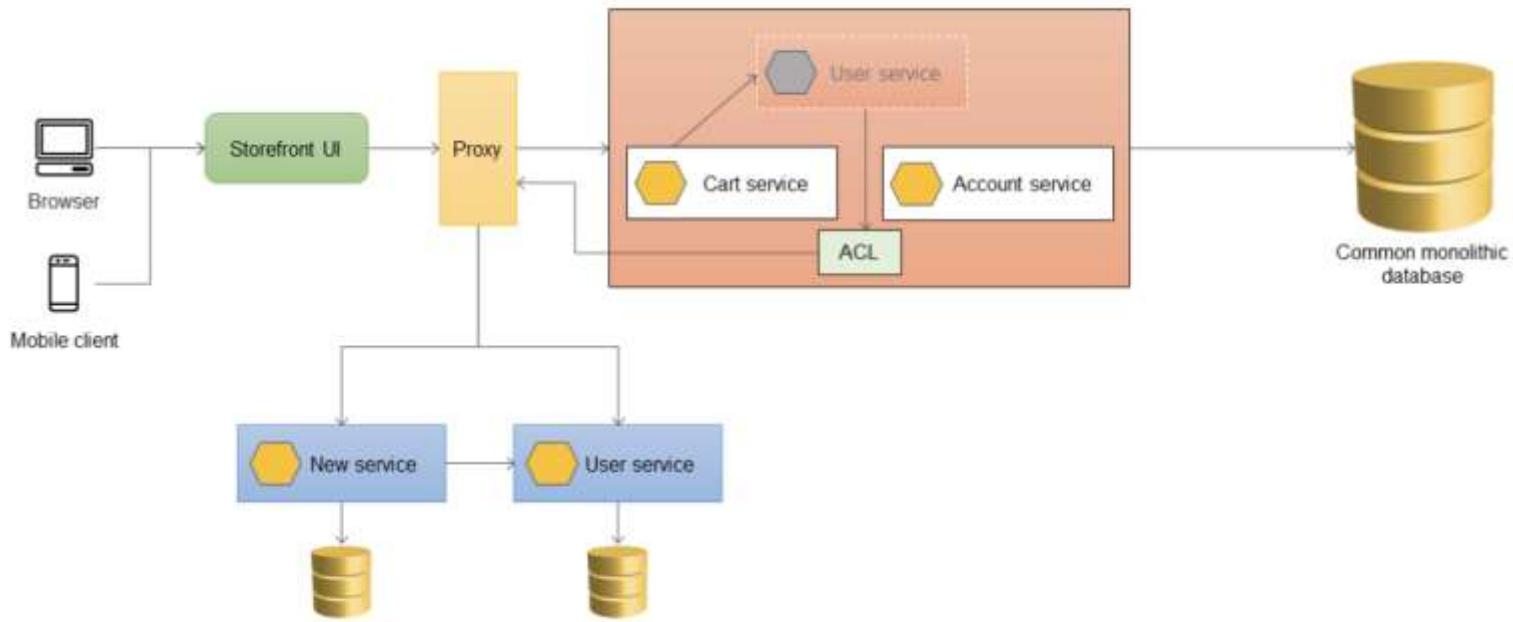
A monolithic application has three services: user service, cart service, and account service. The cart service depends on the user service, and the application uses a monolithic relational database.



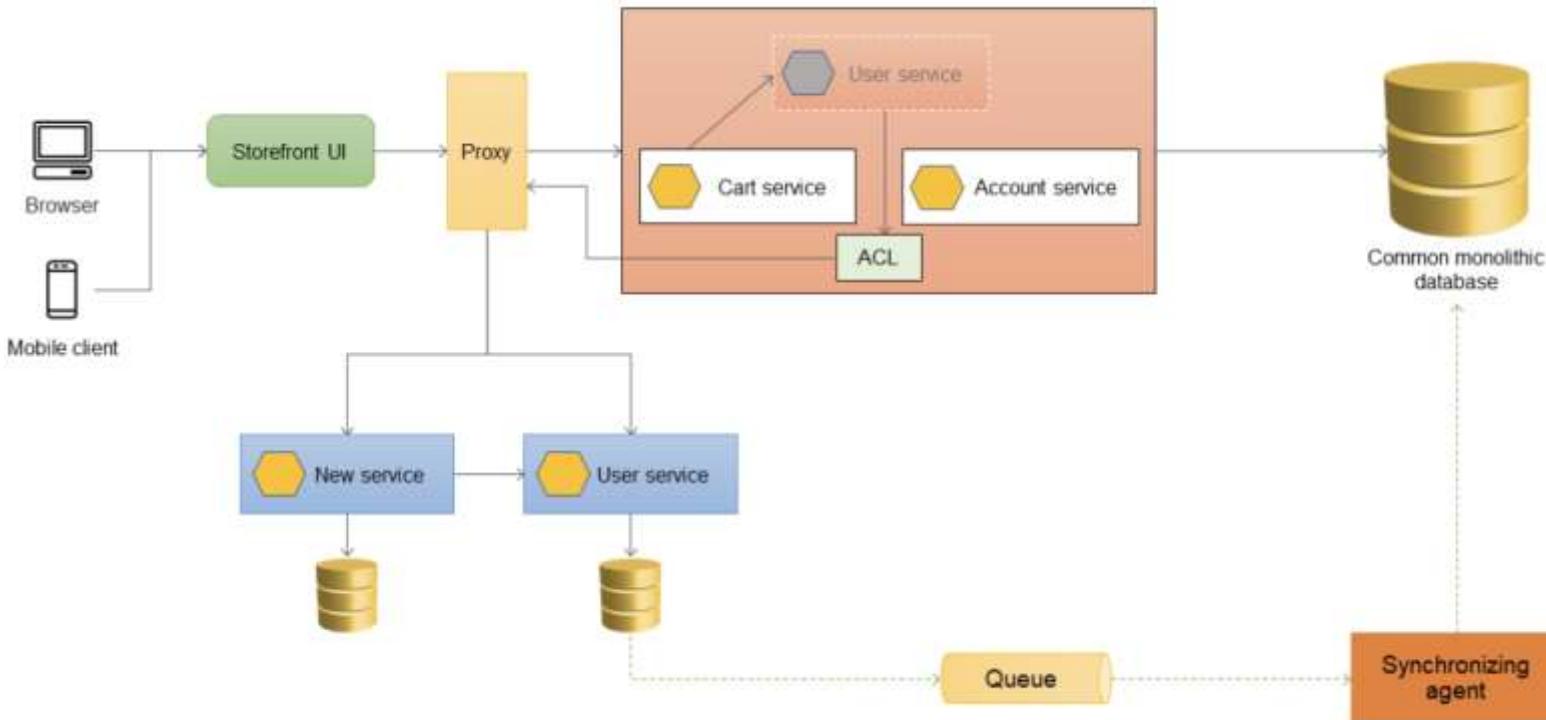
First step is to add a proxy layer between the storefront UI and the monolithic application. At the start, the proxy routes all traffic to the monolithic application.



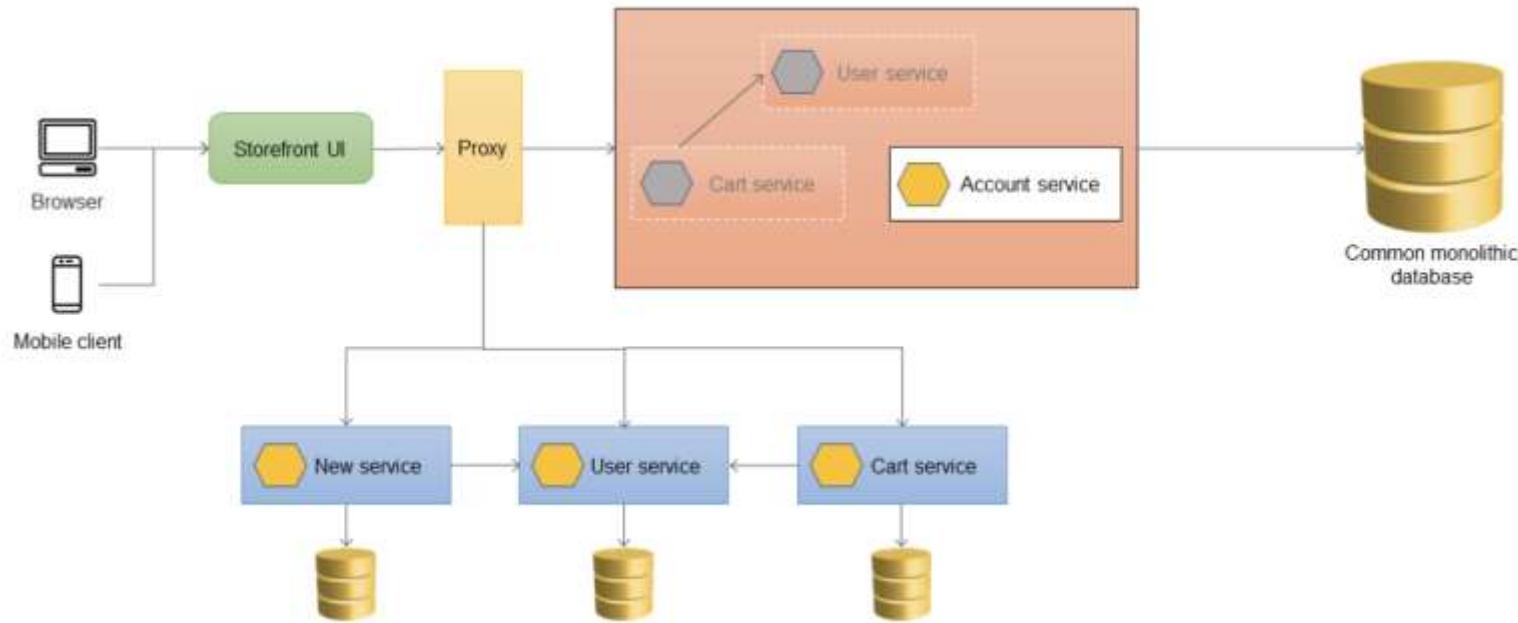
New services are implemented as microservices instead of adding features to the existing monolith. However, you continue to fix bugs in the monolith to ensure application stability. The proxy layer routes the calls to the monolith or to the new microservice based on the API URL.



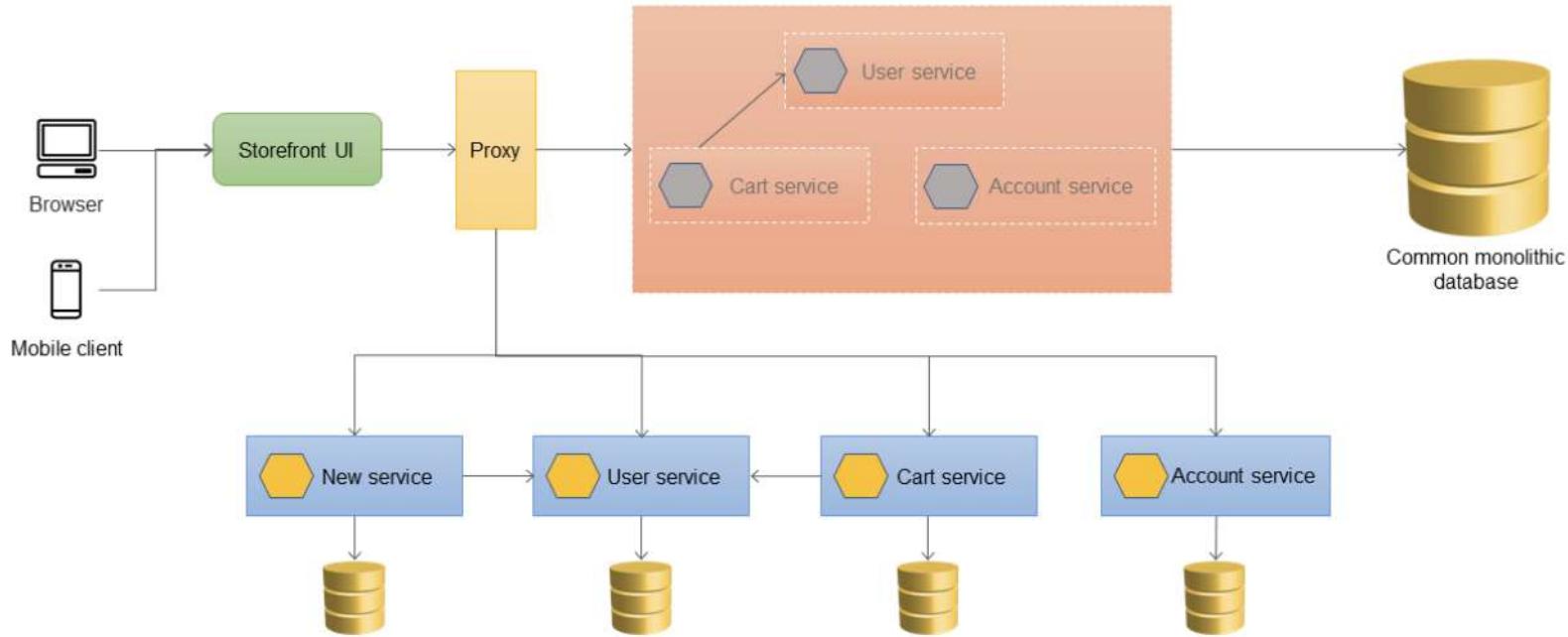
During the migration process, when the features within the monolith need to call the features that were migrated as microservices, the ACL converts the calls to the new interface and routes them to the appropriate microservice.



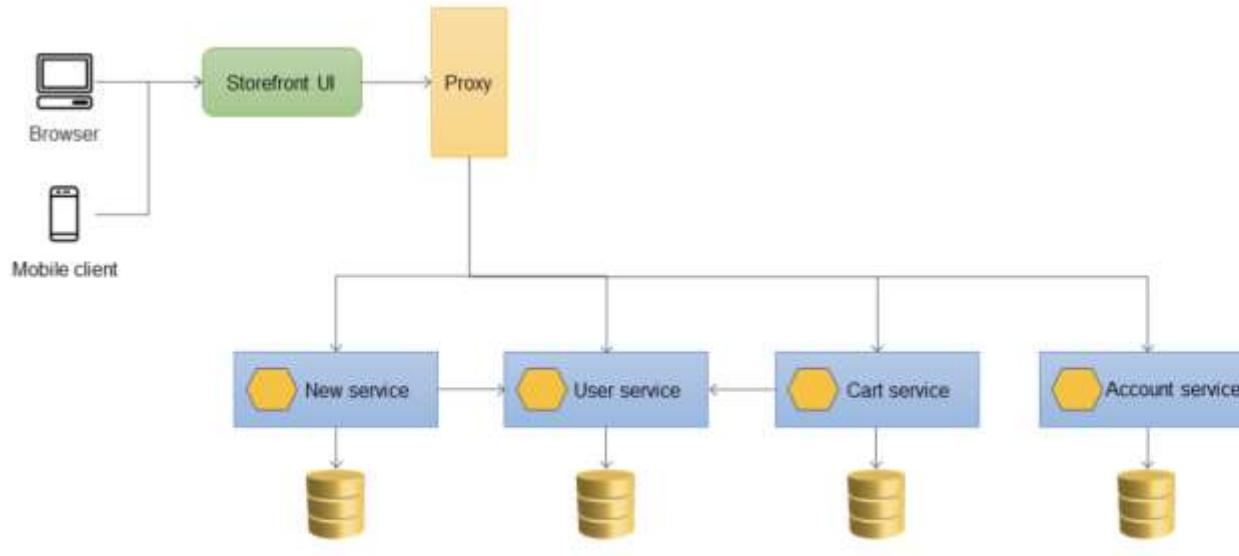
Data synchronization is crucial when downstream services, relying on a monolithic architecture, use data from a microservice. In this scenario, a User microservice, which has its own data layer, requires synchronization with the monolith. To facilitate this, a synchronization agent can be introduced. Update events from the microservice's database are sent to a queue. The agent then reads these events from the queue and synchronizes them with the monolithic database, ensuring eventual data consistency between the microservice and the monolith.



Once all interdependent components have been fully migrated to microservices, it becomes feasible to refactor the code to eliminate the Anti-Corruption Layer (ACL) components.



The final strangler state where all services have been migrated out of the monolith and only the skeleton of the monolith remains. Historical data can be migrated to data stores owned by individual services. The ACL can be removed, and the monolith is ready to be decommissioned at this stage.



The final architecture after the monolithic application has been decommissioned.

Microservice Design Patterns

In a distributed transaction, multiple services can be called before a transaction is completed. When the services store data in different data stores, it can be challenging to maintain data consistency across these data stores.

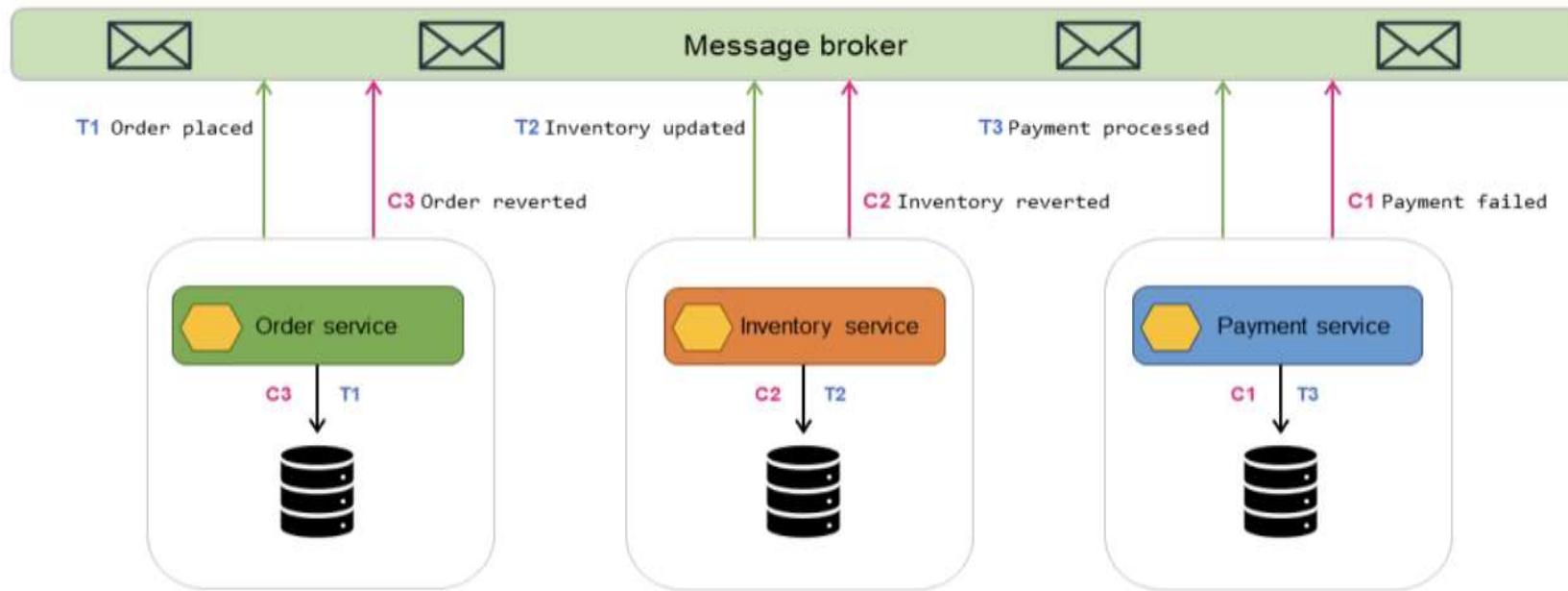
Saga Pattern - A saga consists of a sequence of local transactions. Each local transaction in a saga updates the database and triggers the next local transaction. If a transaction fails, the saga runs compensating transactions to revert the database changes made by the previous transactions.

- Manages Distributed Transactions Across Multiple Microservices and Databases.
- Breaks Down a Transaction into a Series of Local Transactions for Each Service.
- Addresses the challenge of maintaining atomicity, consistency, isolation, and durability in microservices.
- Utilizes Compensating Transactions or Actions in Case of Local Transaction Failures.
- Avoids Using Two-Phase Commit Protocols for Transaction Management.
- Maintains Overall System Consistency Through Compensatory Mechanisms.

Saga Choreography

- Ensures data integrity in distributed transactions across multiple services using event subscriptions.
- Depends on the events published by the microservices.
- The saga participants (microservices) subscribe to the events and act based on the event triggers.



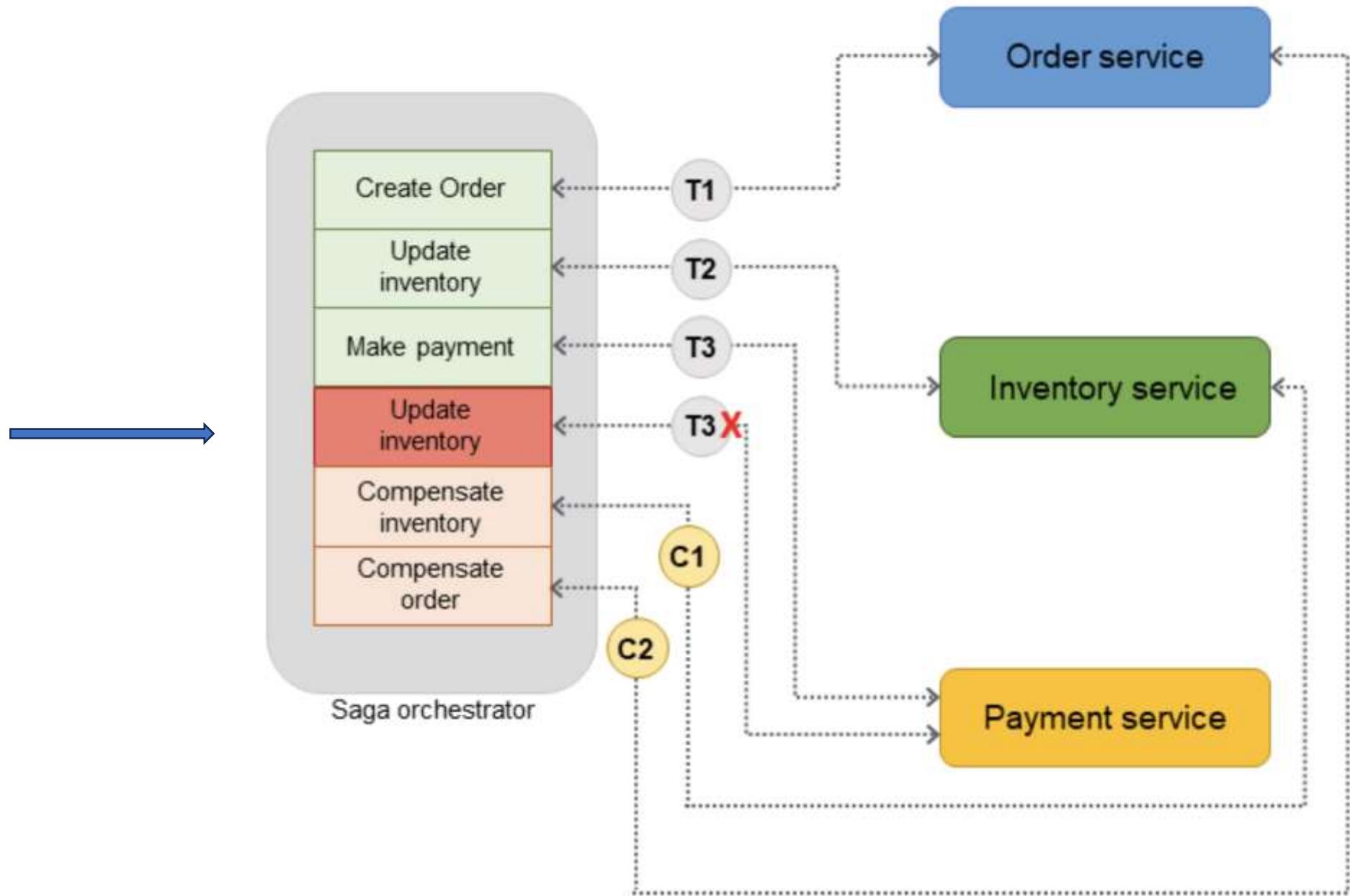


Use the saga choreography pattern when:

- Your system requires data integrity and consistency in distributed transactions that span multiple data stores.
- The data store (for example, a NoSQL database) doesn't provide 2PC to provide ACID transactions, you need to update multiple tables within a single transaction, and implementing 2PC within the application boundaries would be a complex task.
- A central controlling process that manages the participant transactions might become a single point of failure.
- When there are small number of participants (microservices) involved.
- The saga participants are independent services and need to be loosely coupled.
- There is communication between bounded contexts in a business domain.

Saga Orchestrator

- Uses a central coordinator (*orchestrator*) to help preserve data integrity in distributed transactions that span multiple services.
- Utilizes the orchestrator to manage the transaction lifecycle. Orchestrator is aware of all the steps required for transaction.
- Orchestrator sends messages to participant microservices to initiate operations. These participant microservices report back to the orchestrator.
- Orchestrator acts as the decision maker and determines the next microservice to engage based on received messages.



Use the saga orchestration pattern when:

- Your system requires data integrity and consistency in distributed transactions that span multiple data stores.
- The data store doesn't provide 2PC to provide ACID transactions, and implementing 2PC within the application boundaries is a complex task.
- You have NoSQL databases, which do not provide ACID transactions, and you need to update multiple tables within a single transaction.
- Your system has many saga participants (microservices) involved and loose coupling between participants are required.

Developing and deploying Microservices with K8s

- **Traffic Management with Ingress:**
 - Using Kubernetes Ingress for efficient HTTP/HTTPS routing to services.
 - Ingress acts as a reverse proxy, simplifying routing and providing SSL/TLS termination and load balancing.
- **Scaling Microservices:**
 - Leveraging tools like Horizontal Pod Autoscaler (HPA) for automatic scaling based on CPU usage or custom metrics.
 - Kubernetes also supports manual scaling for handling varying loads effectively.
- **Using Namespaces for Organization:**
 - Utilizing Kubernetes namespaces to divide cluster resources among multiple users or teams.
 - Grouping related services in the same namespace simplifies management and applies policies at the namespace level.
- **Implementing Health Checks:**
 - Essential for monitoring the status of services using readiness and liveness probes.
 - Health checks allow Kubernetes to replace non-functioning pods automatically.
- **Service Mesh for Advanced Traffic Management:**
 - Implementing a service mesh for handling service-to-service communication.
 - Provides traffic management, service discovery, load balancing, and failure recovery.

- **Single Responsibility Principle for Microservices:**
 - Design each microservice with a single responsibility for easier scaling, monitoring, and management.
 - Tailor scaling policies, resource quotas, and security configurations to the specific needs of each service.
- **Continuous Delivery/Deployment (CD):**
 - Utilizing Kubernetes Deployment objects for a declarative management of microservices.
 - Implement rolling updates for gradual change rollout and use tools like Argo Rollouts for more reliable rollback and progressive deployment strategies.
- **Monitoring and Debugging:**
 - Collect and visualize metrics using tools like Prometheus and Grafana.
 - Use application performance monitoring (APM) tools for detailed performance data of microservices.

References

- <https://docs.aws.amazon.com/prescriptive-guidance/latest/cloud-design-patterns/introduction.html>
- <https://learn.microsoft.com/en-us/azure/architecture/patterns/>



Cloud Design Patterns

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Load Balancing

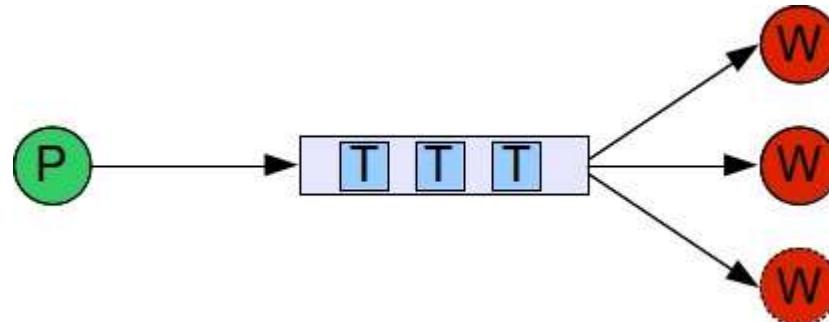
- Improves the distribution of workloads across multiple computing resources
 - Some resources will be busy while others are idle
- Aims to
 - Optimize resource use
 - Maximize throughput
 - Minimize response time
 - Avoid overload of any single resource

Load Balancing

- Counter by distributing load equally
 - When cost of problem is well understood (e.g., matrix multiplication, known tree walk) this is possible
- Some other problems are not that simple
 - Hard to predict how workload will be distributed
 - dynamic load balancing used
 - But require communication between tasks
- 2 methods for dynamic load balancing
 - Task queues vs. work stealing

Task Queues

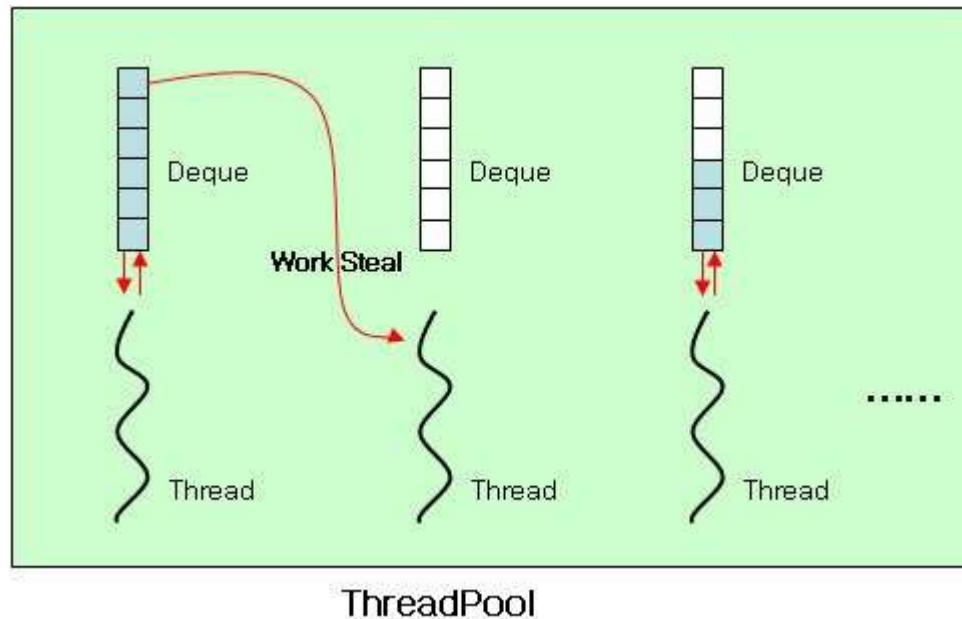
- Multiple instance of task queues (producer consumer)
- Threads comes to the task queue after finishing a task & grab next task
- Typically run with a pool of workers



Source: <http://blog.zenika.com>

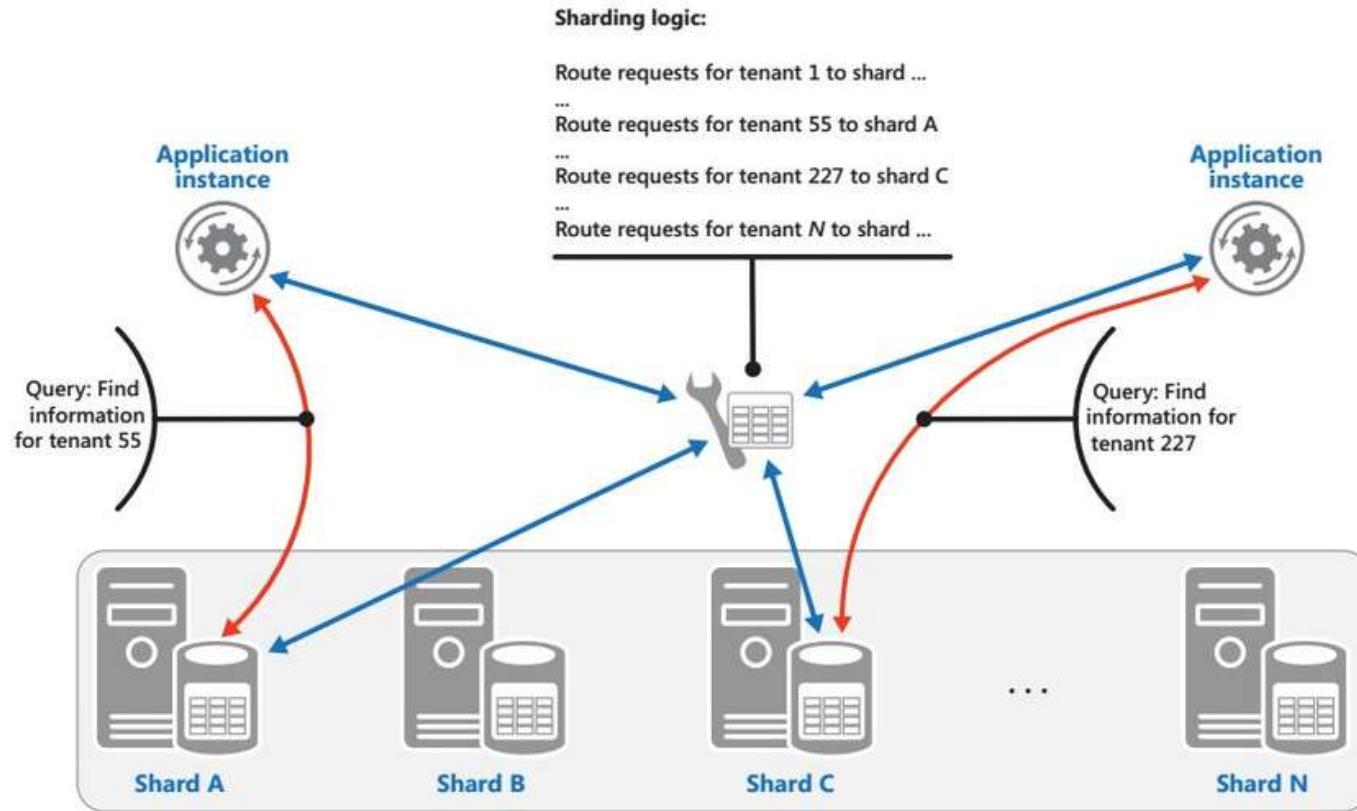
Work Stealing

- Every worker has a task queue
- When 1 worker runs out of work, it goes to other worker's queue & “steal” the work



Source: <http://karlsenchoi.blogspot.com>

Sharding Pattern



- Divide a data store into a set of horizontal partitions or shards to improve scalability

Sharding Pattern (Cont)

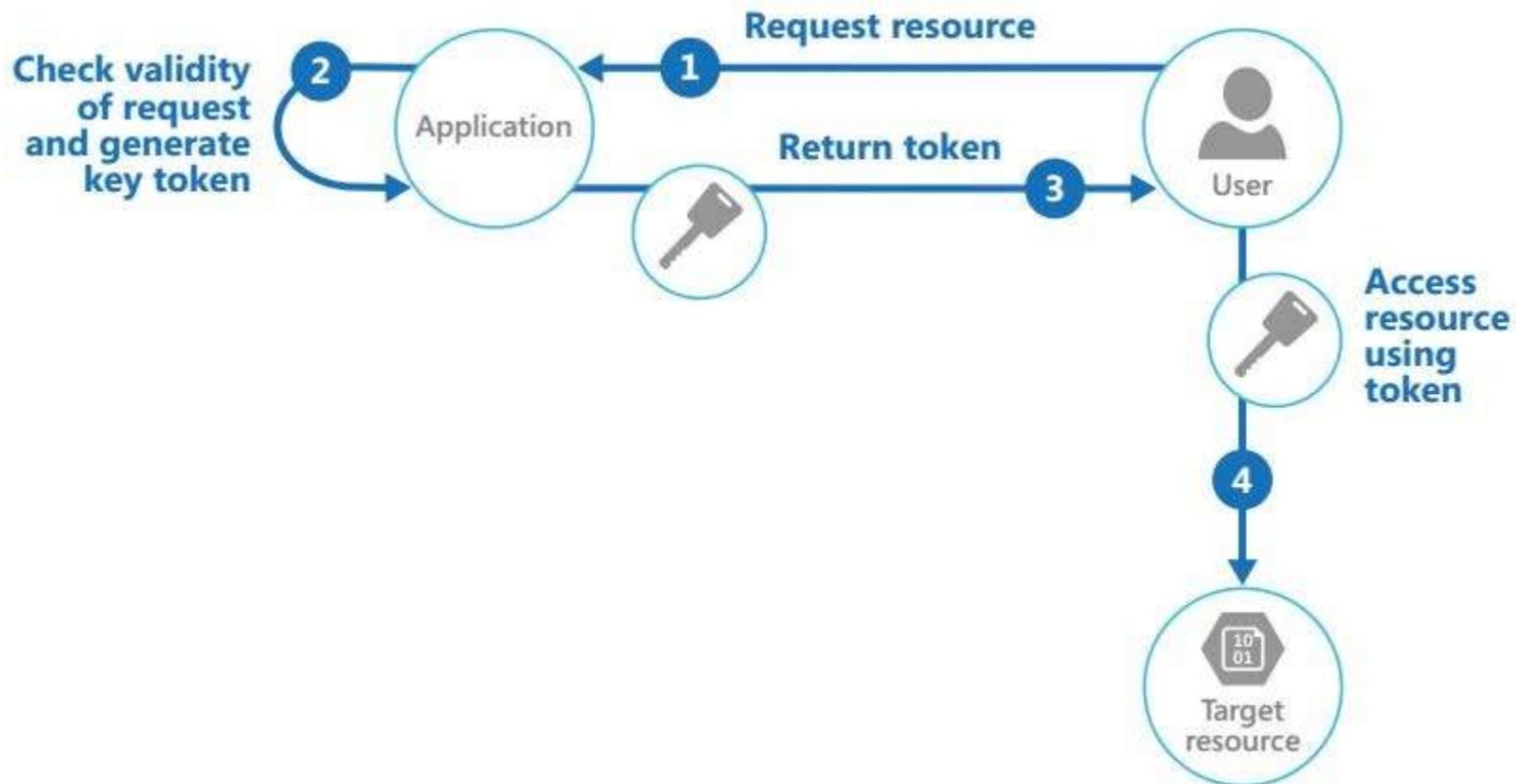
□ When

- Limited storage space
- Large computation requirement
- Network bandwidth
- Geographical constraints

□ Sharding Strategies

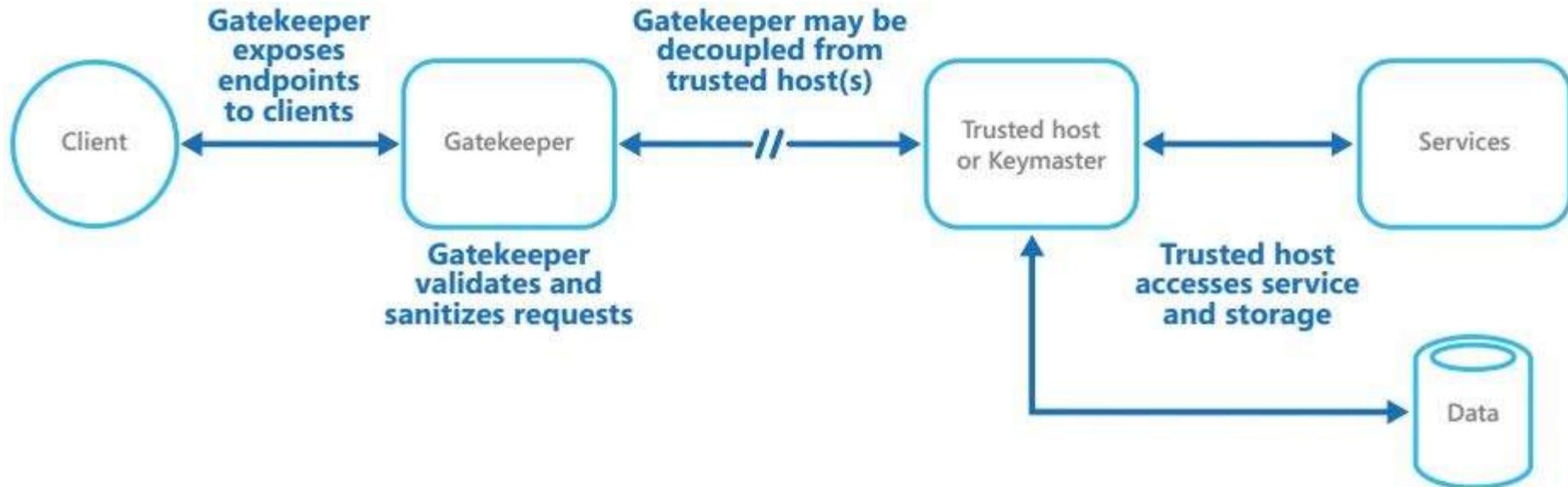
- Lookup Strategy – map request using a shard key
- Range Strategy – groups related items together in the same shard
- Hash Strategy – shard decided based on hashing data attributes

Valet Key Pattern



- Use a token or key that provides client with restricted direct access to a specific resource or service

Gatekeeper Pattern

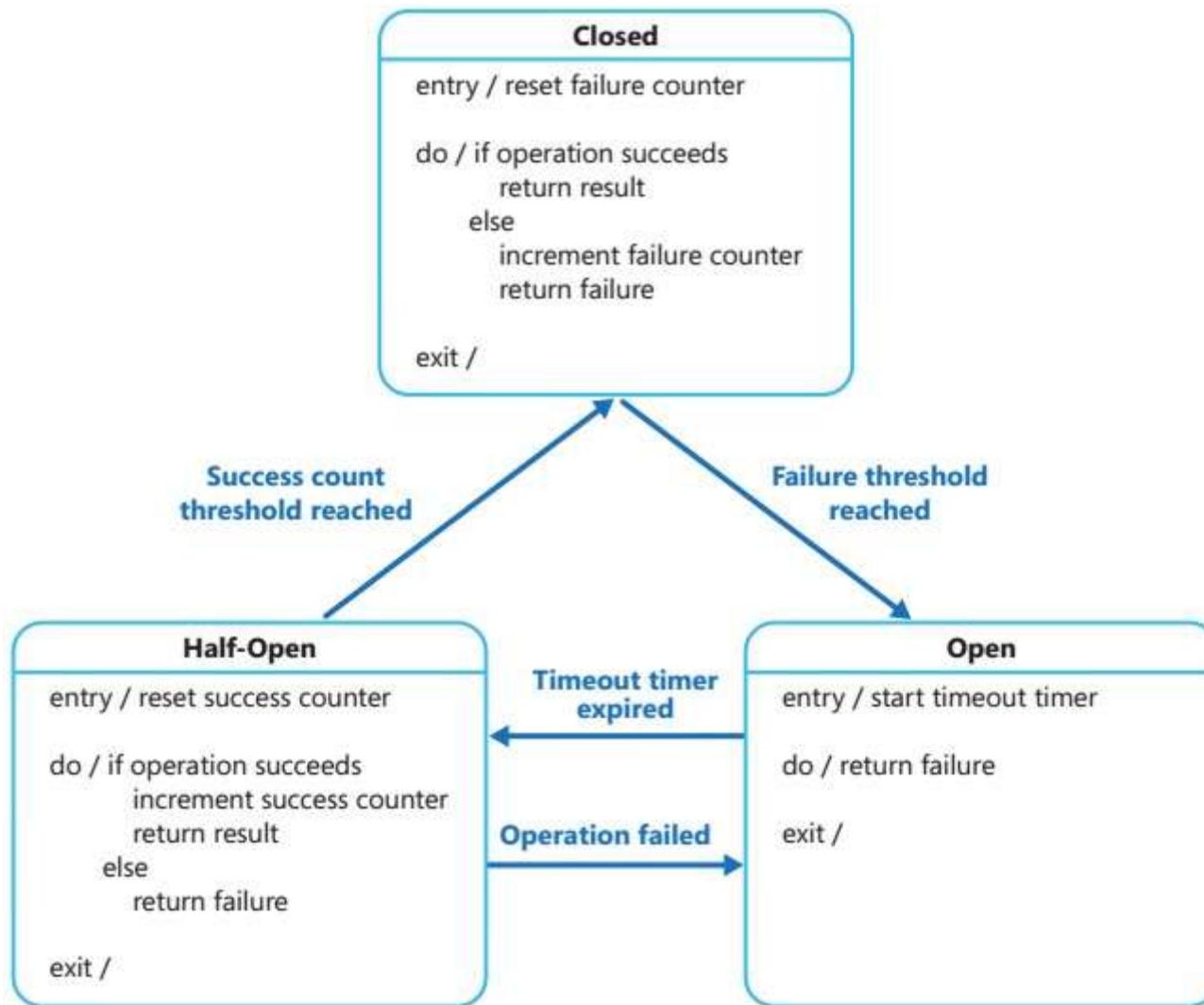


- Protect applications & services using a dedicated host instance that acts as a broker
 - Validates & sanitizes requests
 - Passes requests & data between them

Gatekeeper Pattern (Cont.)

- Only function is to validate & sanitize requests
- Should use secure communication between gatekeeper & trusted hosts
- Internal end point must connect only to gatekeeper
- Gatekeeper must run in limited privilege mode
- May use multiple gatekeepers for availability

Circuit Breaker Pattern



Circuit Breaker Pattern (Cont.)

When

- Handle faults that may take a variable amount of time to rectify when connecting to a remote service/resource
- When a simple retry will not work
- Prevent application from getting tied-up due to retry

Half-Open State

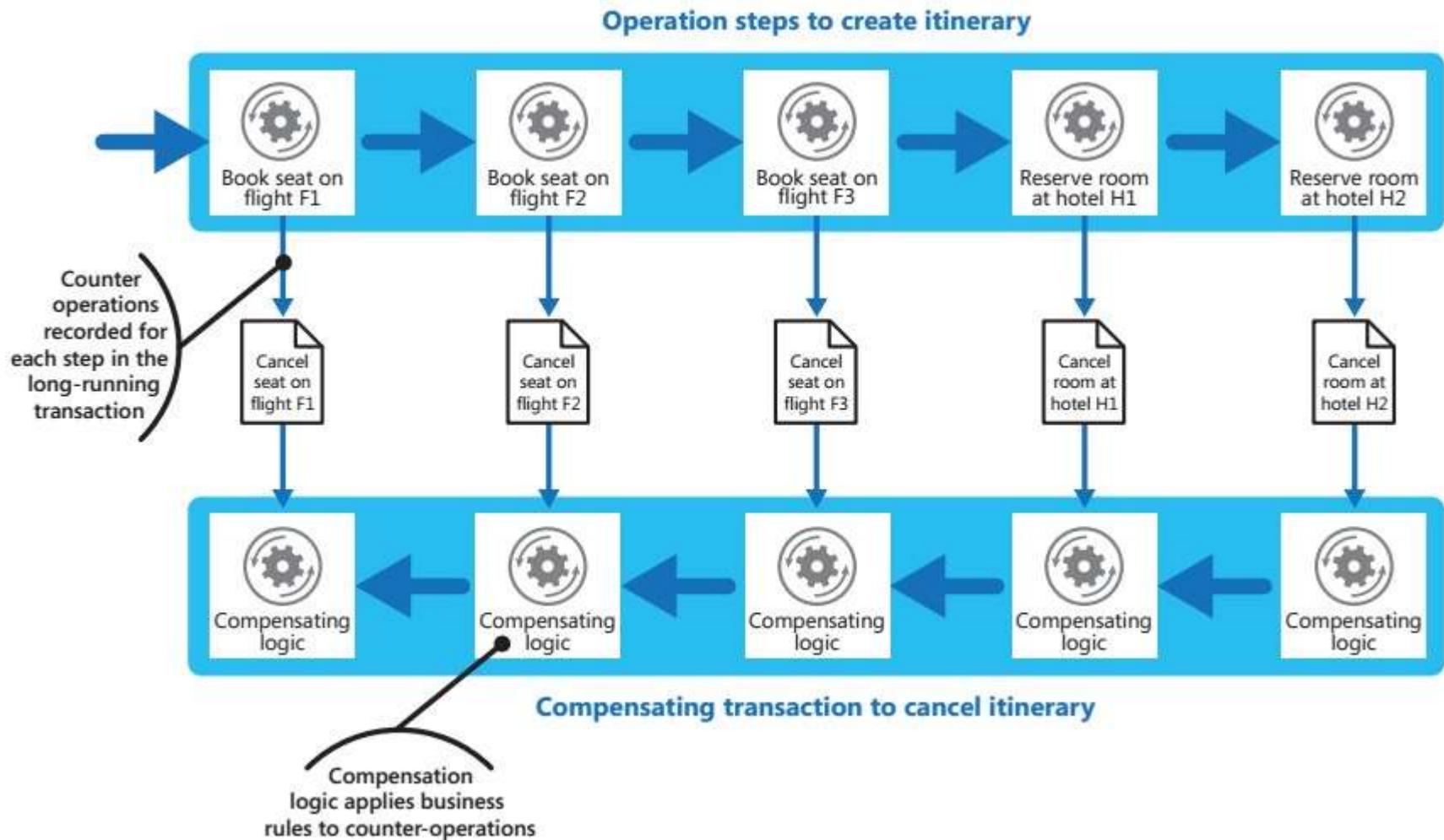
- Allow checking whether service is responding by issuing a limited set of requests
- Prevent repeated system failures due to rapid load/volume

Circuit Breaker Pattern (Cont.)

□ Parameters

- Types of exceptions
- Handling exceptions
- Logging & replay
- Testing failed operations
- Manual reset

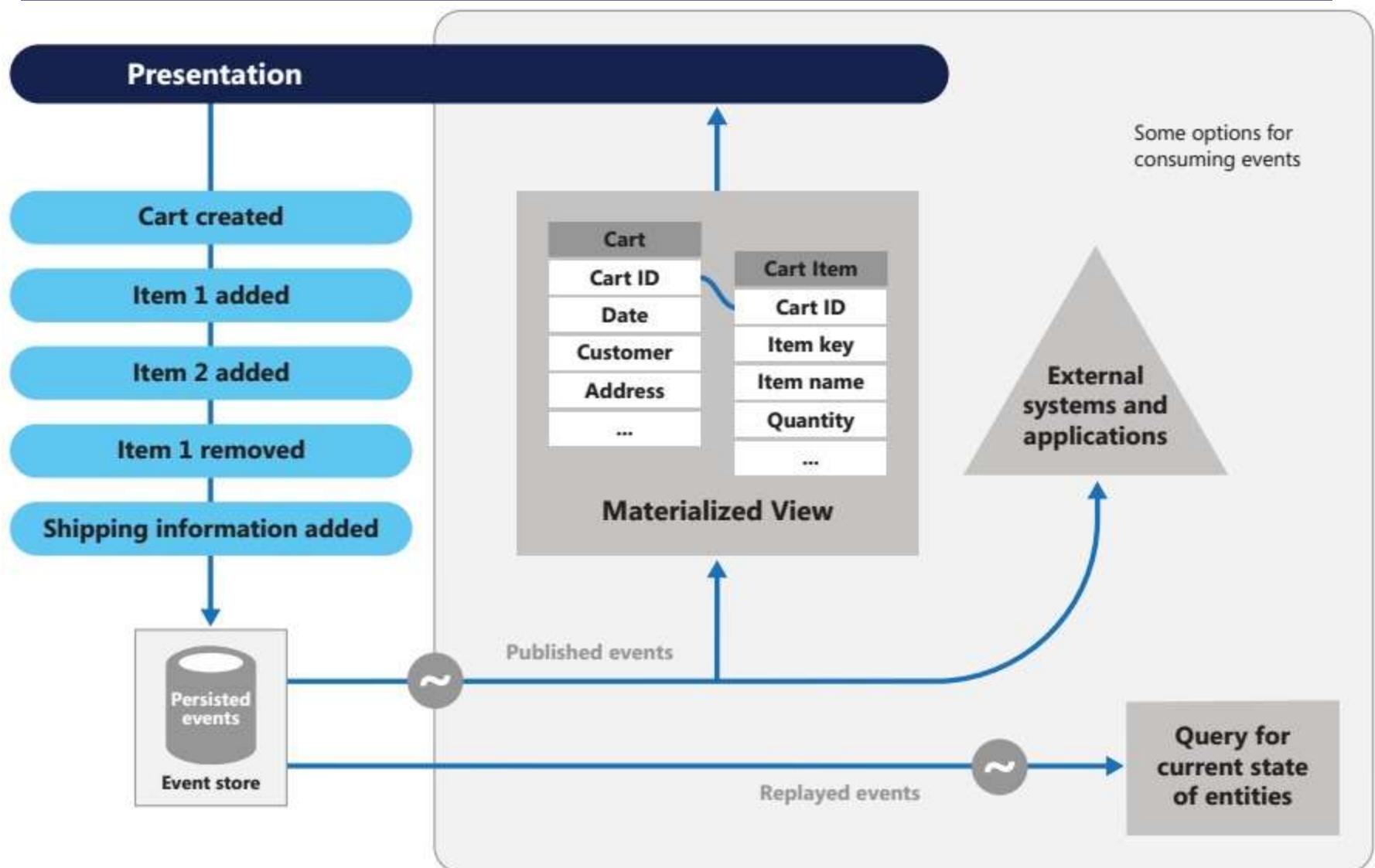
Compensating Transaction Pattern



Compensating Transaction Pattern (Cont.)

- Undo work performed by a series of steps, which together define an eventually consistent operation
- Implement a workflow
 - As operation proceeds, system records information about each step & how the work by that step can be undone
 - If operation fails at any point, workflow rewinds back through steps it has completed while performing work that reverses each step

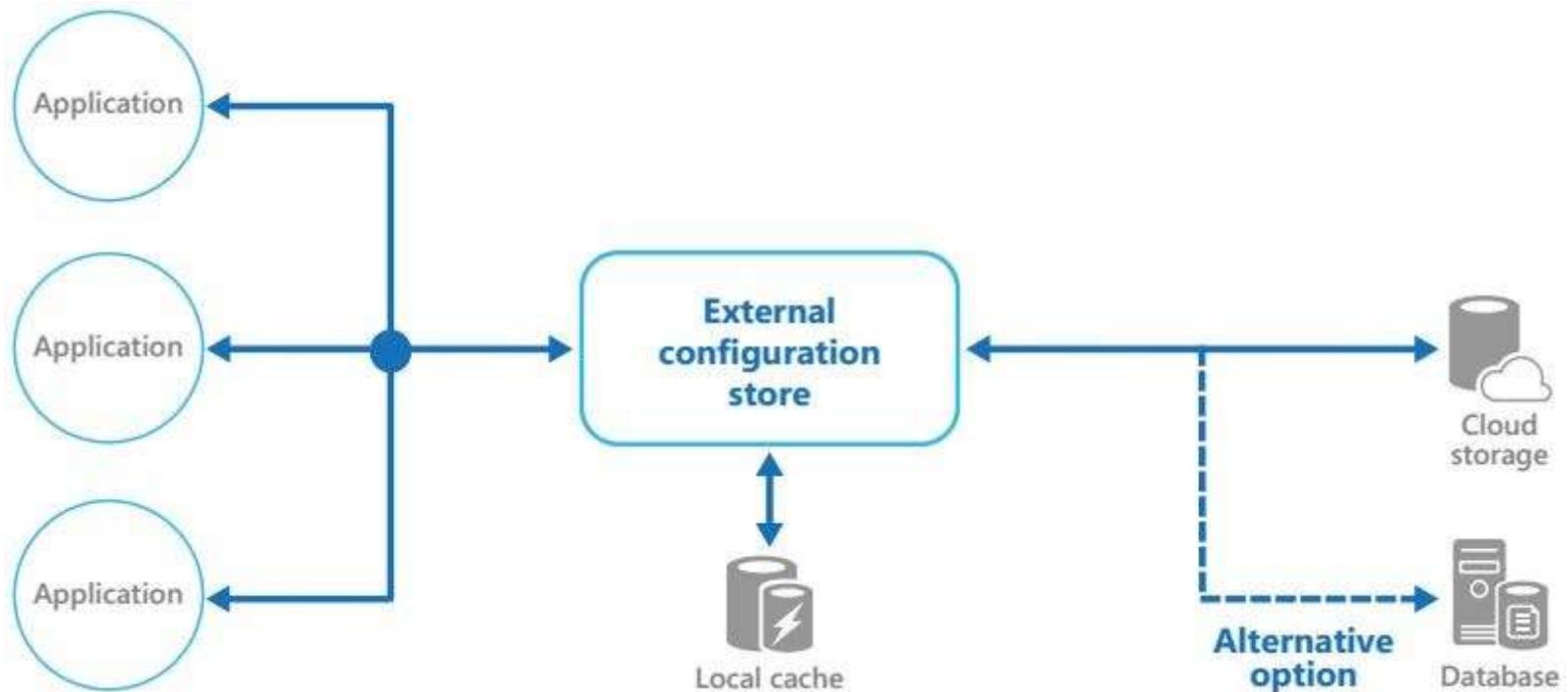
Event Sourcing Pattern



Event Sourcing Pattern (Cont.)

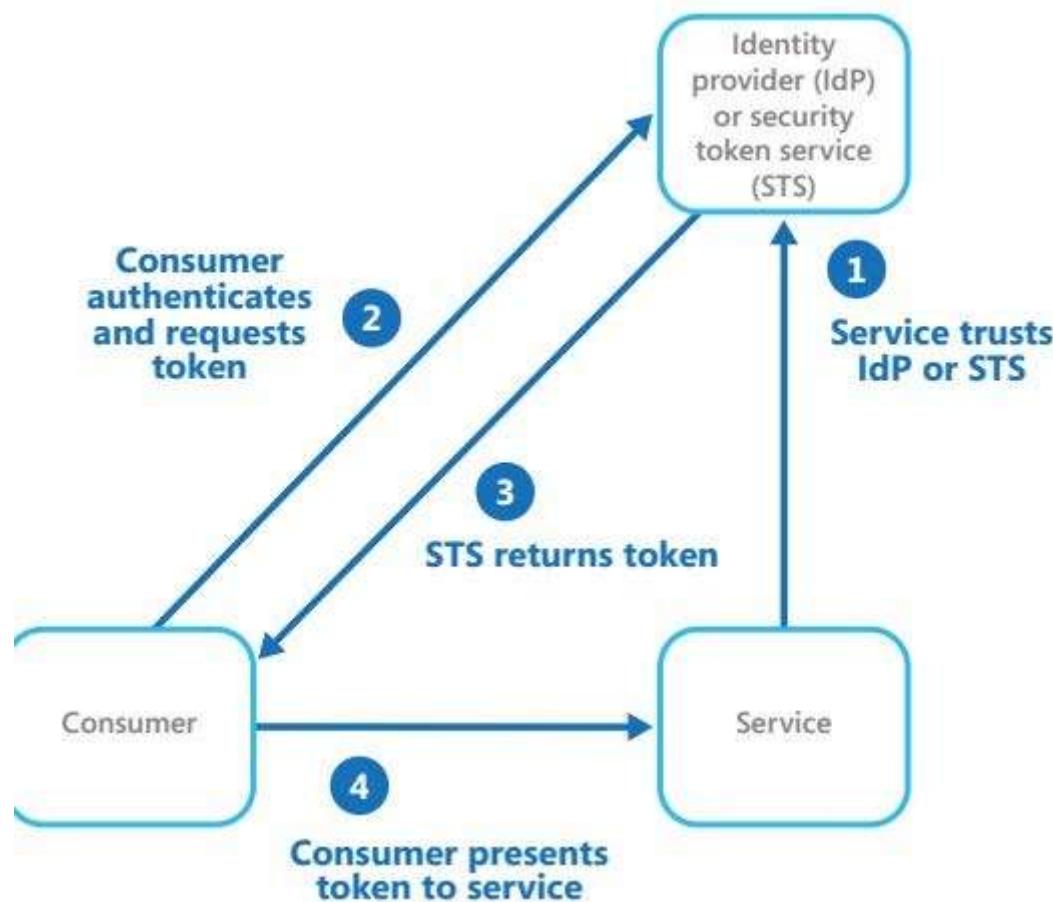
- Record full series of events than current state
- Pros
 - Avoid requirement to synchronize data
 - Traditional Create, Read, Update, & Delete (CRUD) model too slow
 - Improve performance with eventual consistency
 - Scalability
 - Responsiveness
 - Provide consistency for transactional data
 - Full audit trails
- Cons
 - Consistency relaxed

External Configuration Store Pattern



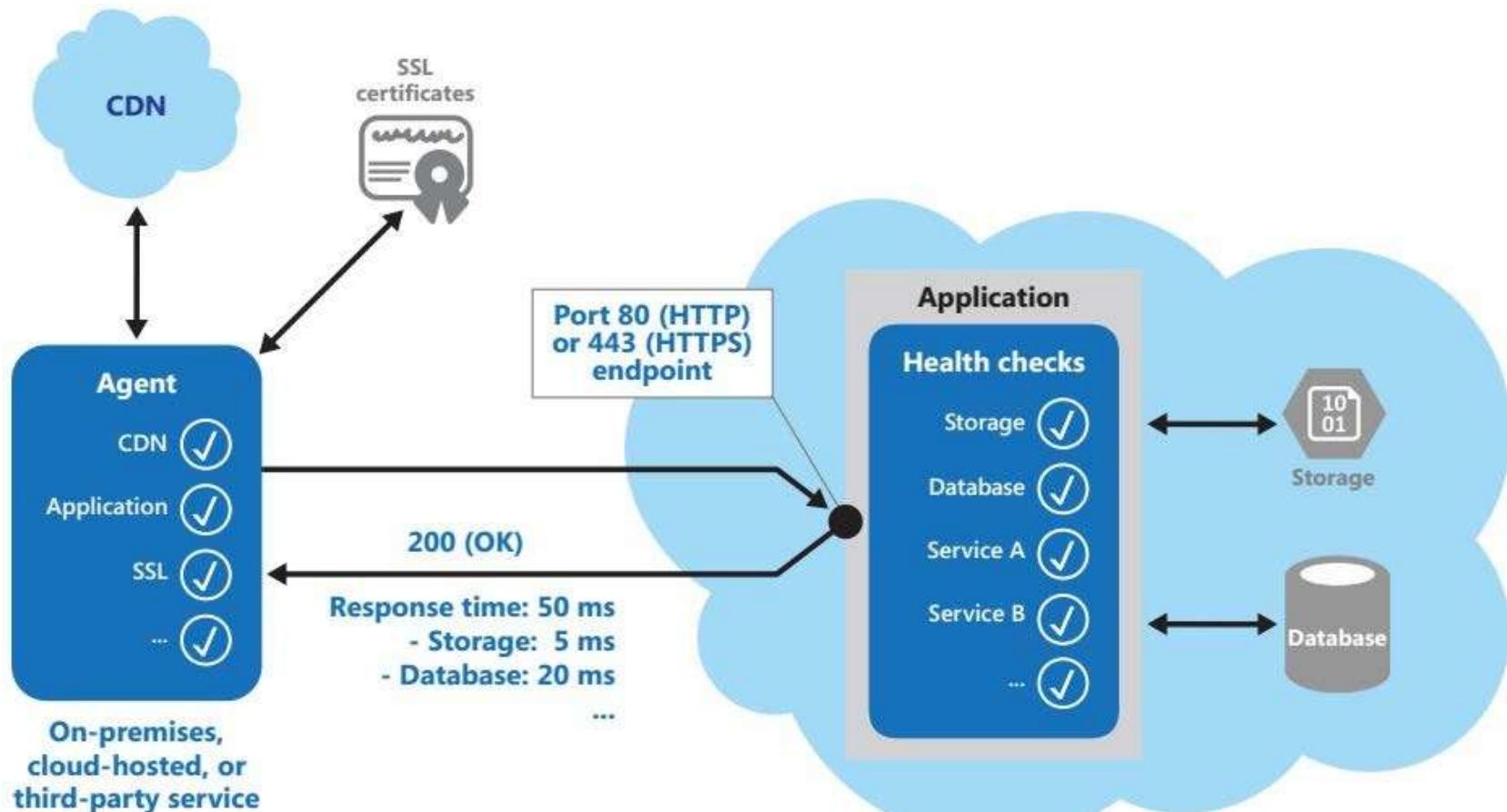
- Move configuration information out of application deployment package to a central location

Federated Identity Pattern



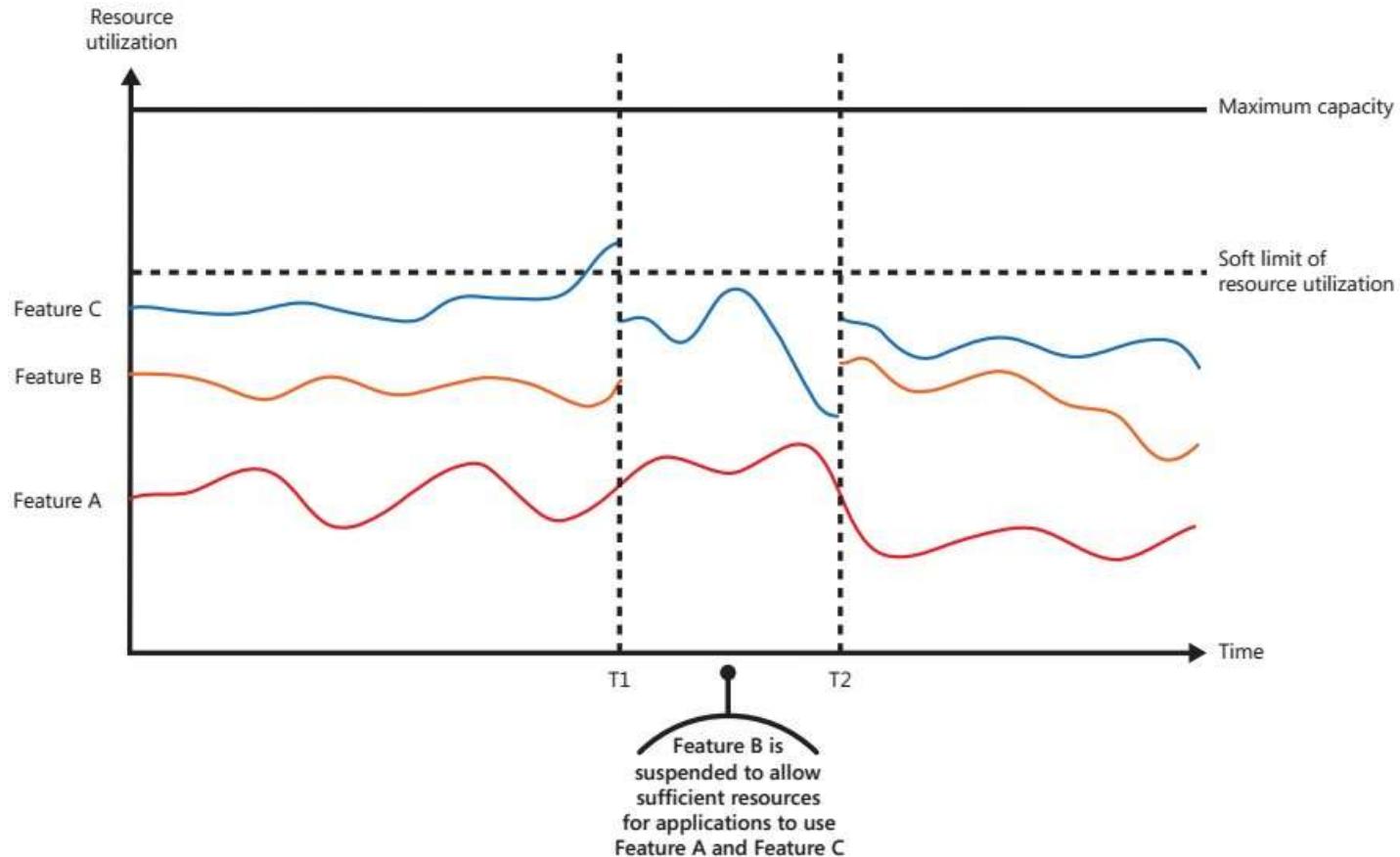
- Delegate authentication to an external identity provider

Health Endpoint Monitoring Pattern



- Functional checks within an application that external tools can access through exposed endpoints at regular intervals

Throttling Pattern



- Control consumption of resources used by an instance
- Allow system to continue to function & meet SLA even when an increase in demand places an extreme load on resources



Cloud Design Patterns

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Design Patterns

A generally reusable solution to a recurring problem

- A template to solve the problem
- Best practices in approaching the problem
- Improve developer communication

Cloud Application Development Issues

Availability

- The guaranteed proportion of time that the system is functional

SLA – Service Level Agreement

Availability (%)	Downtime per year
99	3.7 days
99.9	9 hours
99.95	4.4 hours
99.99	1 hour
99.999	5 minutes

Cloud Application Development Issues

Data Management

- Typically hosted in different locations and across multiple servers for performance, scalability and availability
- Maintaining consistency and synchronizing

Design and Implementation

- Consistent and coherent component design
- Improves ease of deployment and maintenance
- Reusability of components

Cloud Application Development Issues

□ Messaging

- Messaging infrastructure to connect distributed components and services
- Asynchronous messaging

□ Design and Implementation

- Consistent and coherent component design
- Improves ease of deployment and maintenance
- Reusability of components

Cloud Application Development Issues

□ Management and Monitoring

- Cloud applications run in remote servers with limited control

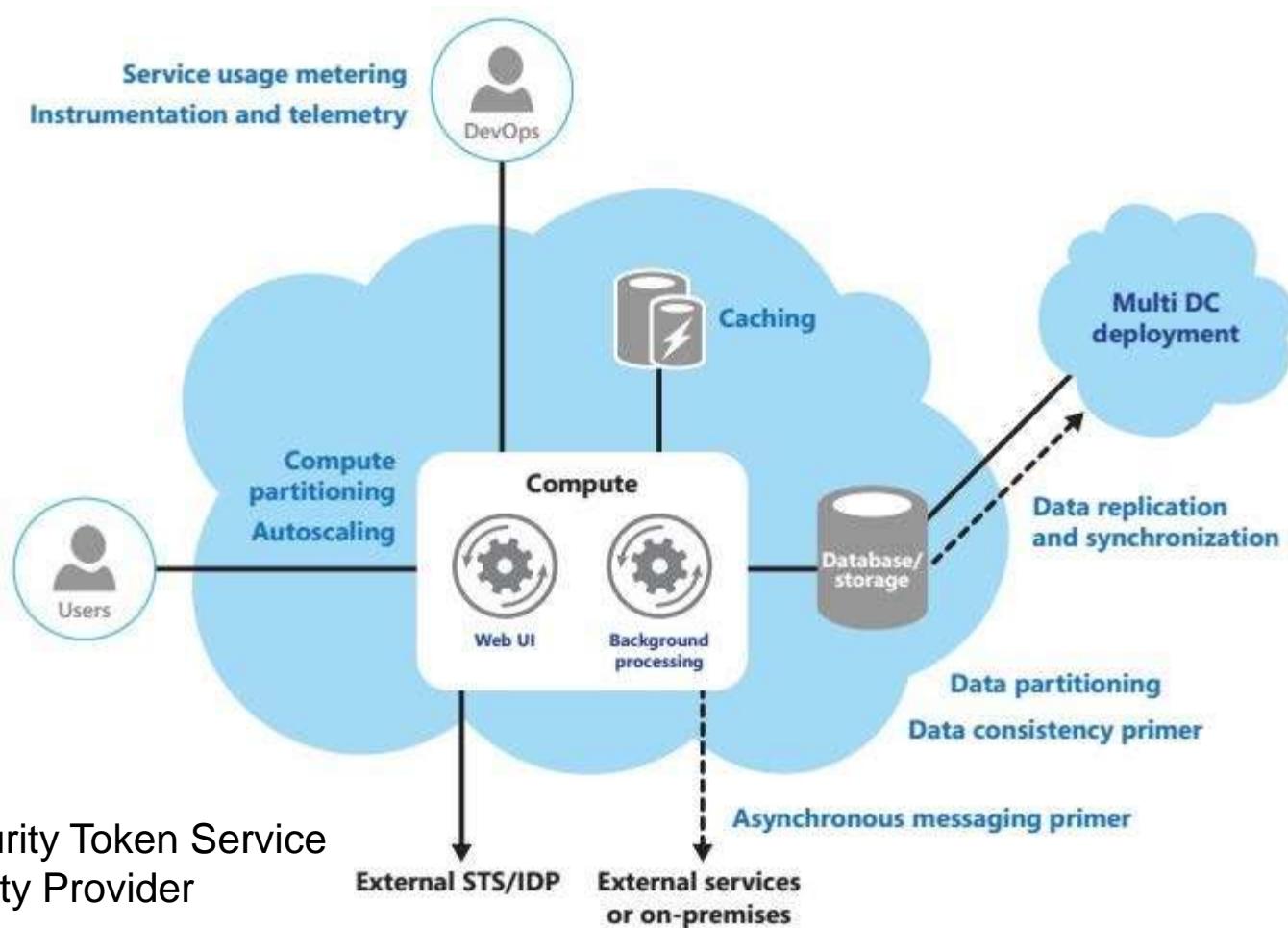
□ Performance and Scalability

- Responsiveness of a system to execute any action within a given time interval
- Handle increases in load without impact on performance
- How to handle variable workloads?

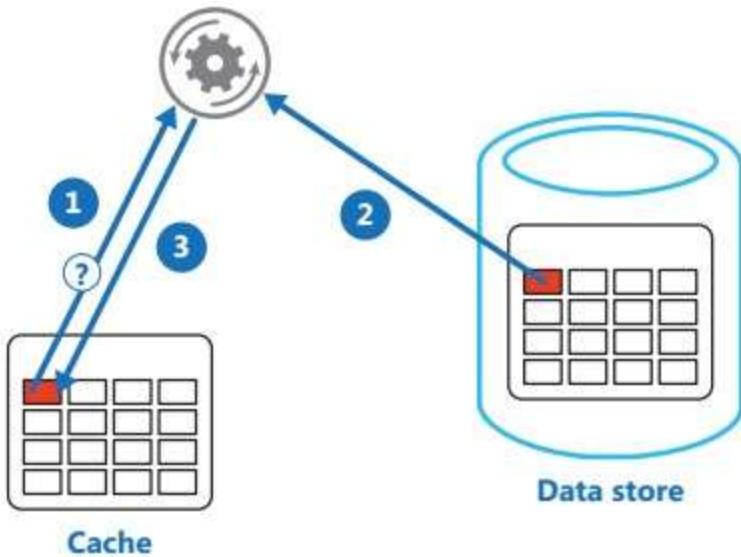
Cloud Application Development Issues

- Resiliency
 - Ability of the application to gracefully handle and recover from failures
 - Applications are more prone to failure in cloud environments
- Security
 - Prevent malicious or accidental actions outside of the designed usage
 - Prevent disclosure or loss of information

High-Level Model



Cache-Aside Pattern



- 1: Determine whether the item is currently held in the cache.
- 2: If the item is not currently in the cache, read the item from the data store.
- 3: Store a copy of the item in the cache.

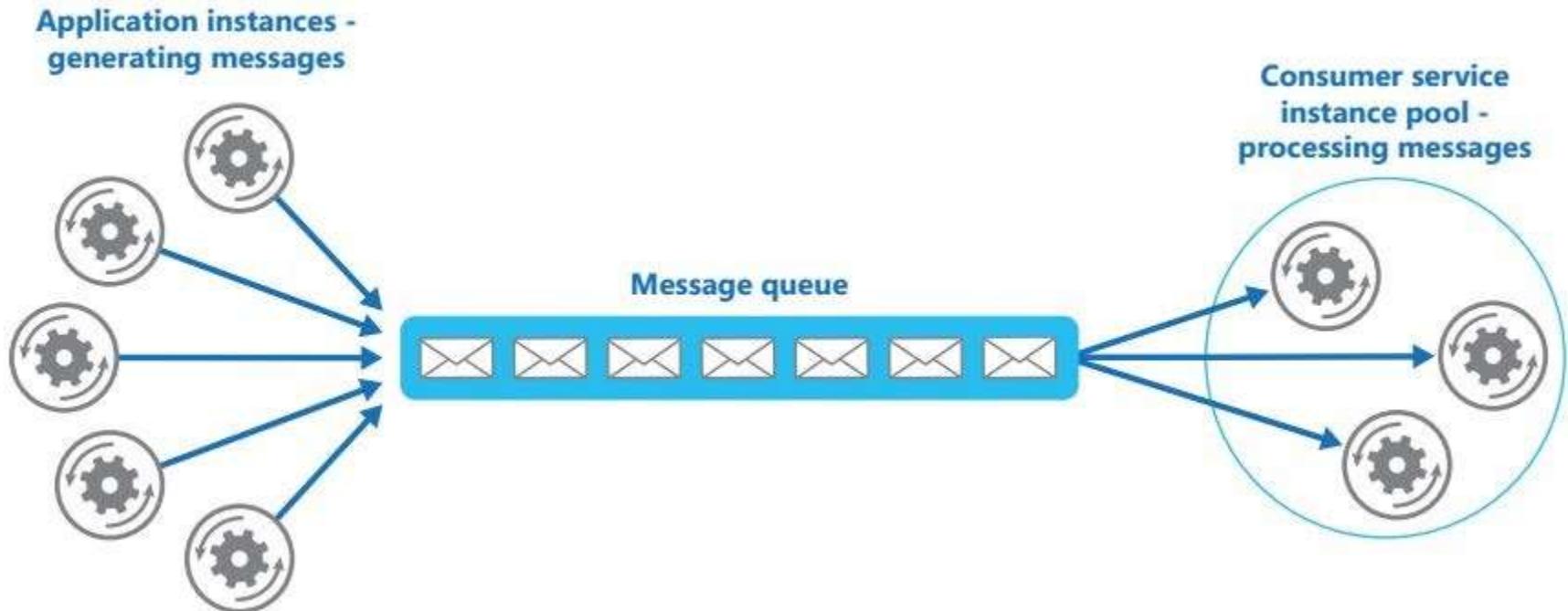
- Load on demand data into a cache from a data store
- Pros
 - Increased performance
- Cons
 - Maintaining consistency between data in cache & data in underlying data store
- Solutions
 - Azure Cache AWS ElastiCache
 - Google App Engine memcache
 - Redis Cache
 -

Cache-Aside Pattern (Cont.)

- When
 - Read/write performance

- Parameters
 - What to cache
 - Lifetime of cached data
 - Cache size
 - Evicting data In Memory
 - Caching

Competing Consumers Pattern



- Multiple concurrent consumers to process messages received on same channel
- Goals
 - Optimize throughput, improve scalability & availability, load balancing

Competing Consumers Pattern (Cont.)

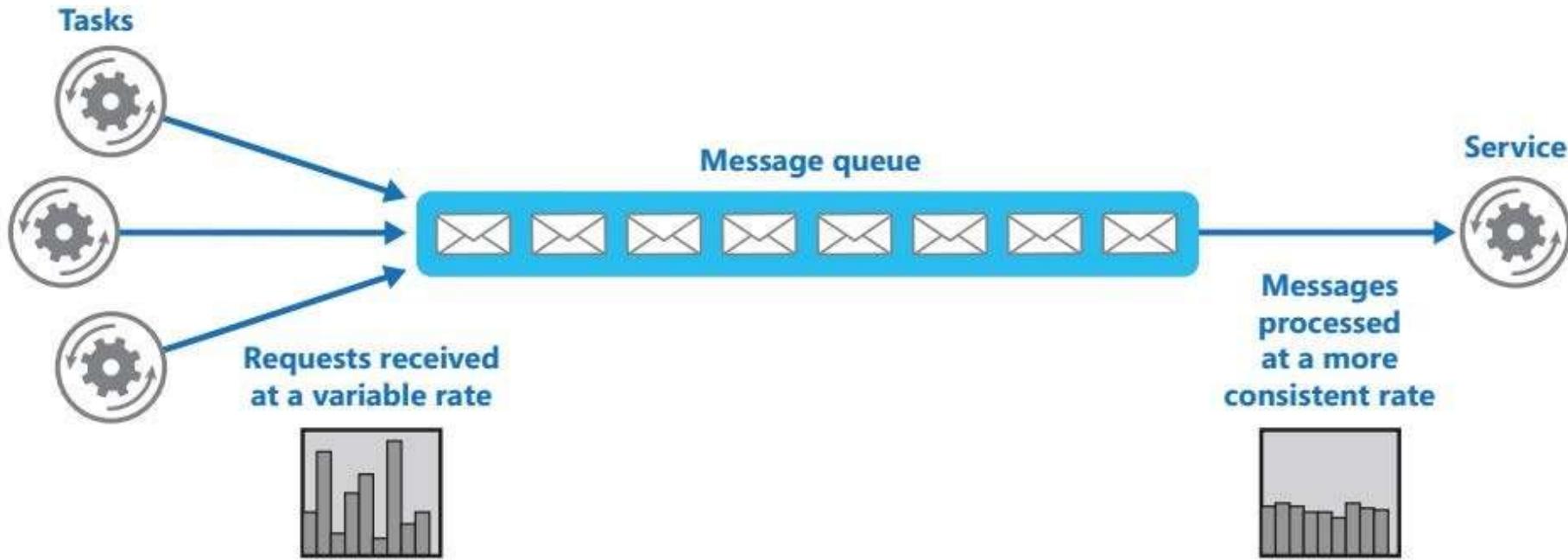
- When
 - Independent tasks that can be processed parallel
 - Volume of work is highly variable
 - High availability

Competing Consumers Pattern (Cont.)

□ Parameters

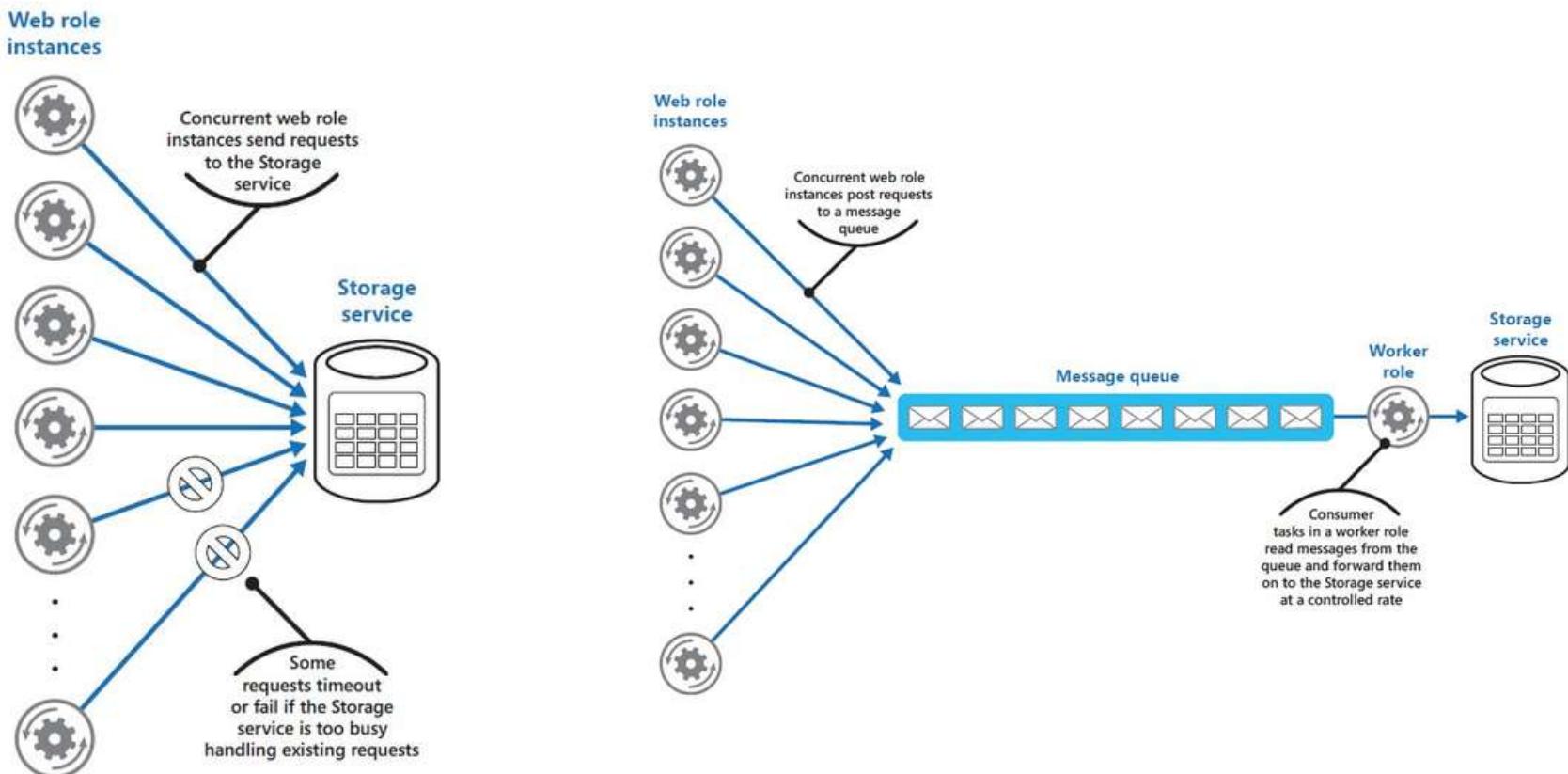
- Queue size
- Scaling
- Not loosing messages
- Preserving message ordering
- Resiliency
- Poison/malformed messages
- Returning results

Queue-Based Load Leveling Pattern

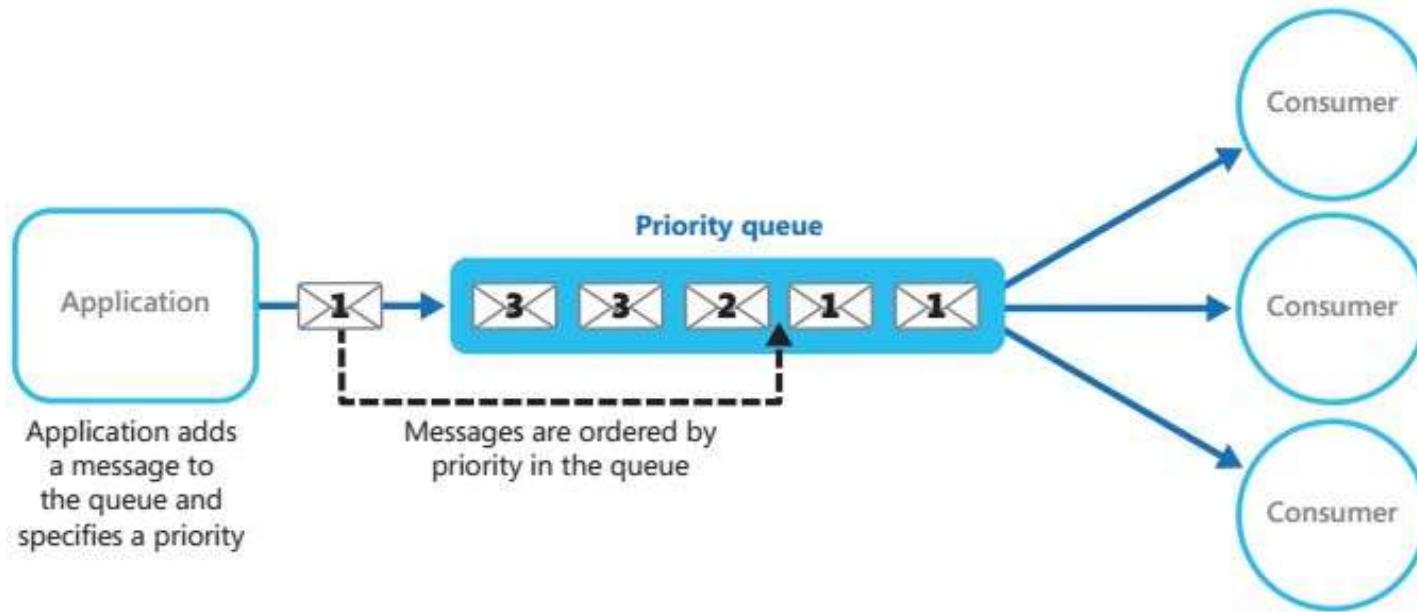


- To smooth intermittent heavy loads that may otherwise cause the service to fail or the task to time out

Queue-Based Load Leveling Pattern



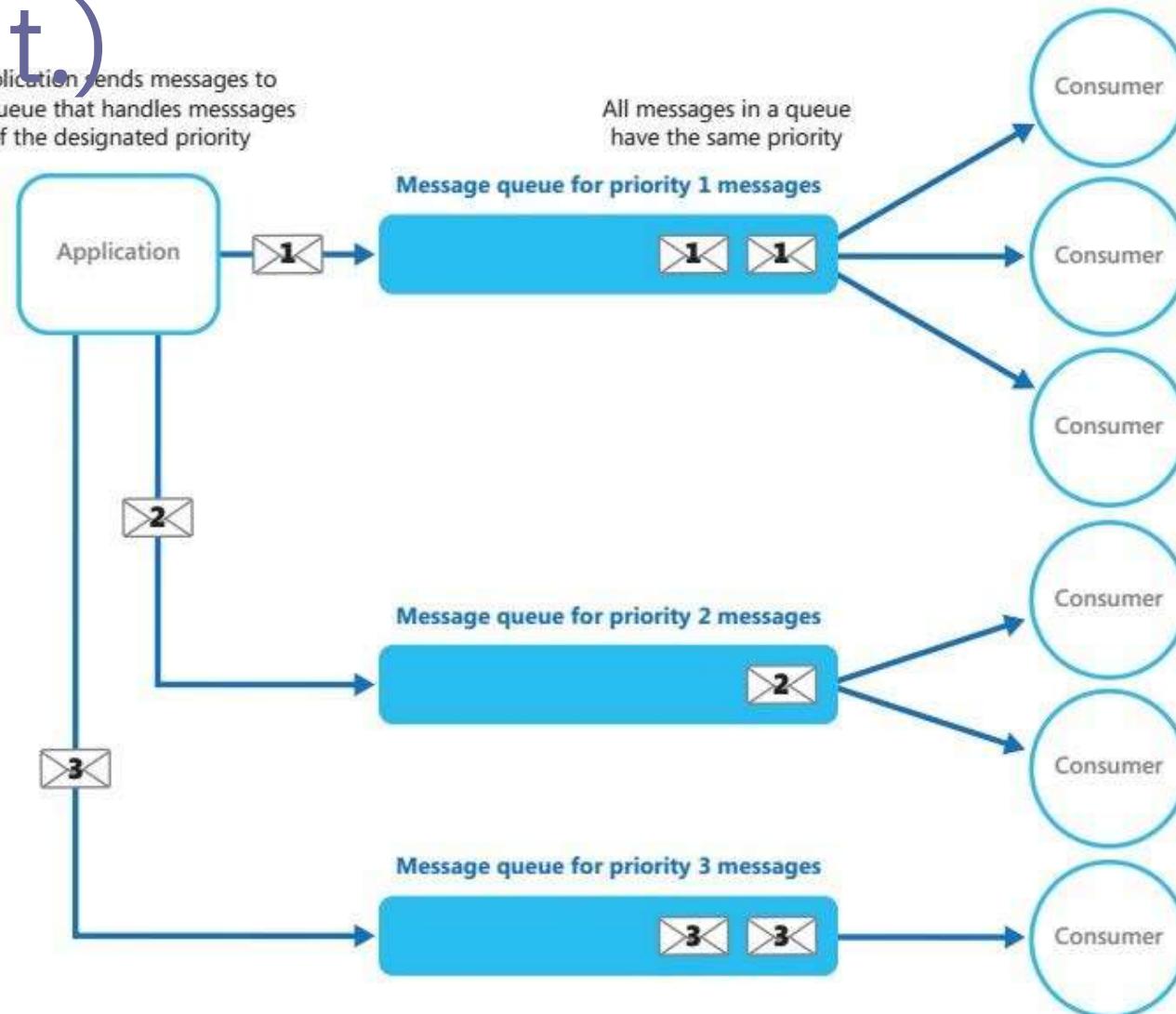
Priority Queue Pattern



- Prioritize requests sent to services so that requests with a higher priority are received & processed quickly

Priority Queue Pattern

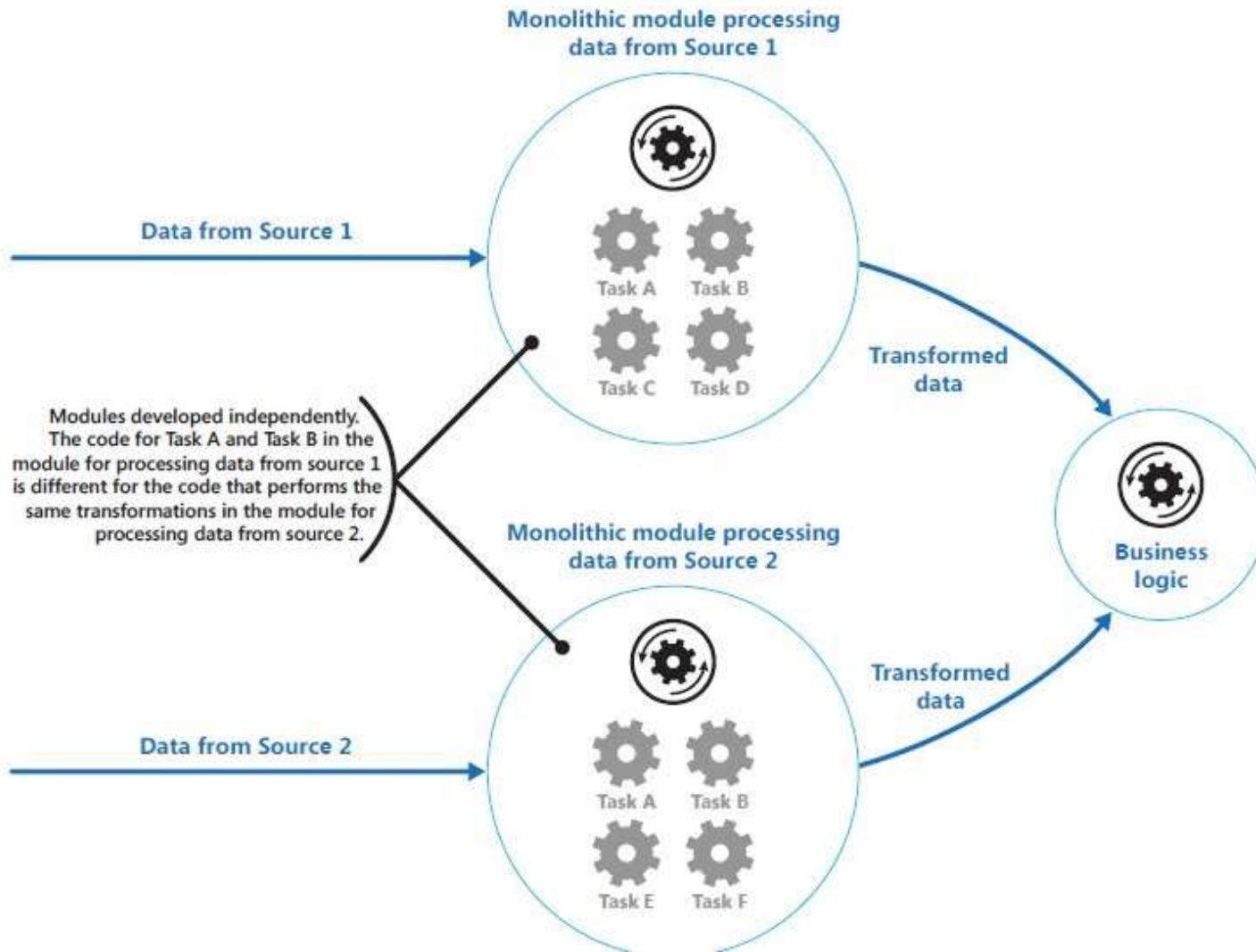
Application sends messages to the queue that handles messages of the designated priority



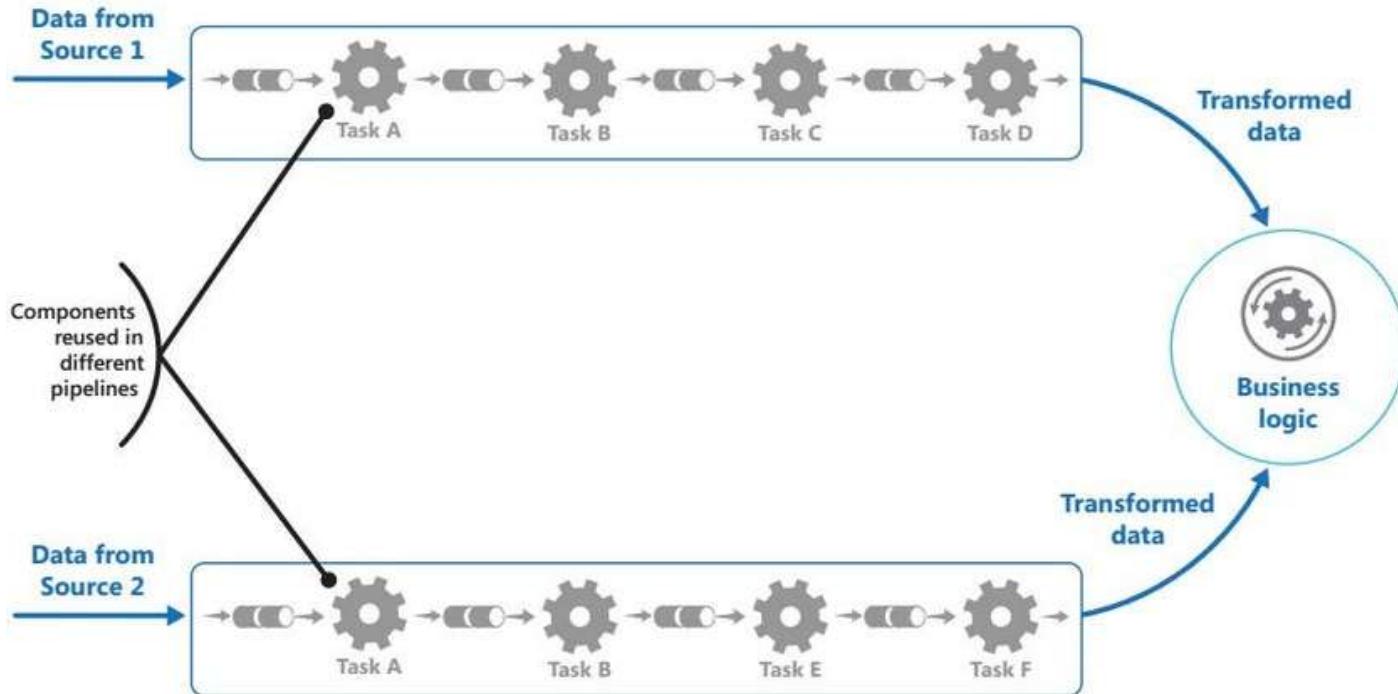
Priority Queue Pattern (Cont.)

- When,
 - The system handles multiple tasks that have different priorities
 - Different users should be served with different priorities

Pipes & Filters Pattern

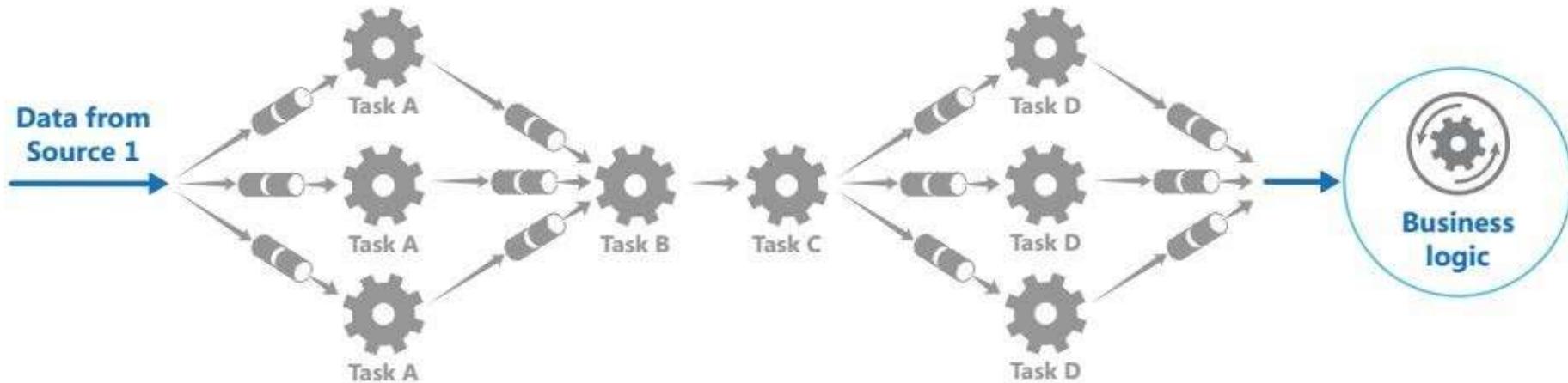


Pipes & Filters Pattern (Cont.)



- Decompose a task that performs complex processing into a series of discrete elements that can be reused

Pipes & Filters Pattern – With Load Balancing



□ When,

- Application can be decomposed to steps
- Steps have different scalability requirements
- Flexibility of processing
- Need distributed processing

Introduction to Machine Learning

By Jeewaka Perera



Lesson Objectives

- At the end of the lesson students should be able to explain
 - What is Machine Learning?
 - Why Choose Machine Learning?
 - Different Machine Learning Algorithms and their applications



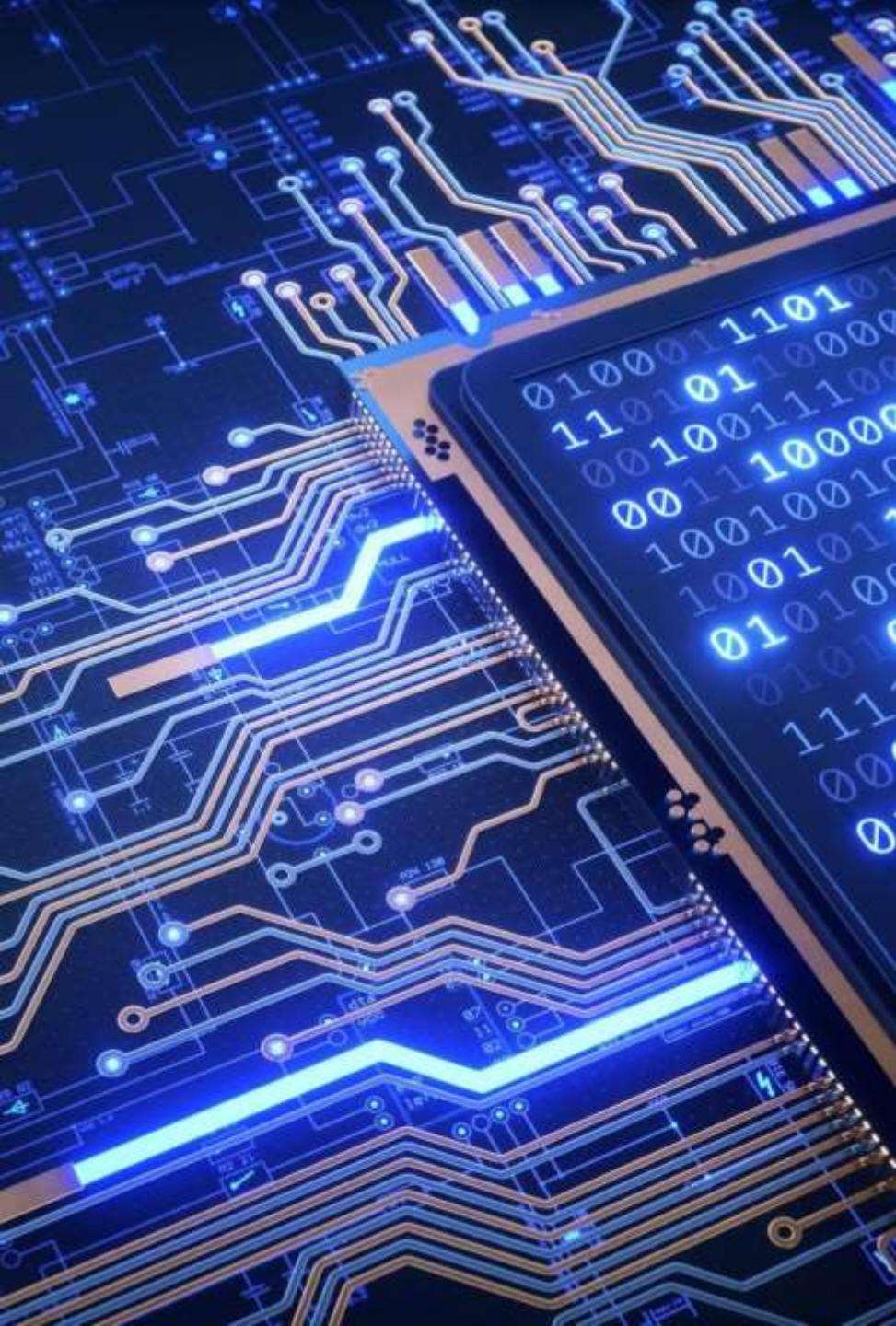
What is Optimization?

- **Optimization** is the mathematical discipline which is concerned with finding the maxima and minima of functions, possibly subject to constraints.



What is Artificial Intelligence

- "It is the science and engineering of making intelligent machines, especially intelligent computer programs. It is related to the similar task of using computers to understand human intelligence, but AI does not have to confine itself to methods that are biologically observable." by [John McCarthy](#)



What is Machine Learning

- “field of study that gives computers the ability to learn without explicitly being programmed.” by [Arthur Samuel](#)
- Machine learning is a subfield of artificial intelligence, which is broadly defined as the capability of a machine to imitate intelligent human behavior.

Learning in a Machine

- “A computer program is said to learn from experience (E) with some class of tasks (T) and a performance measure (P) if its performance at tasks in T as measured by P improves with E”

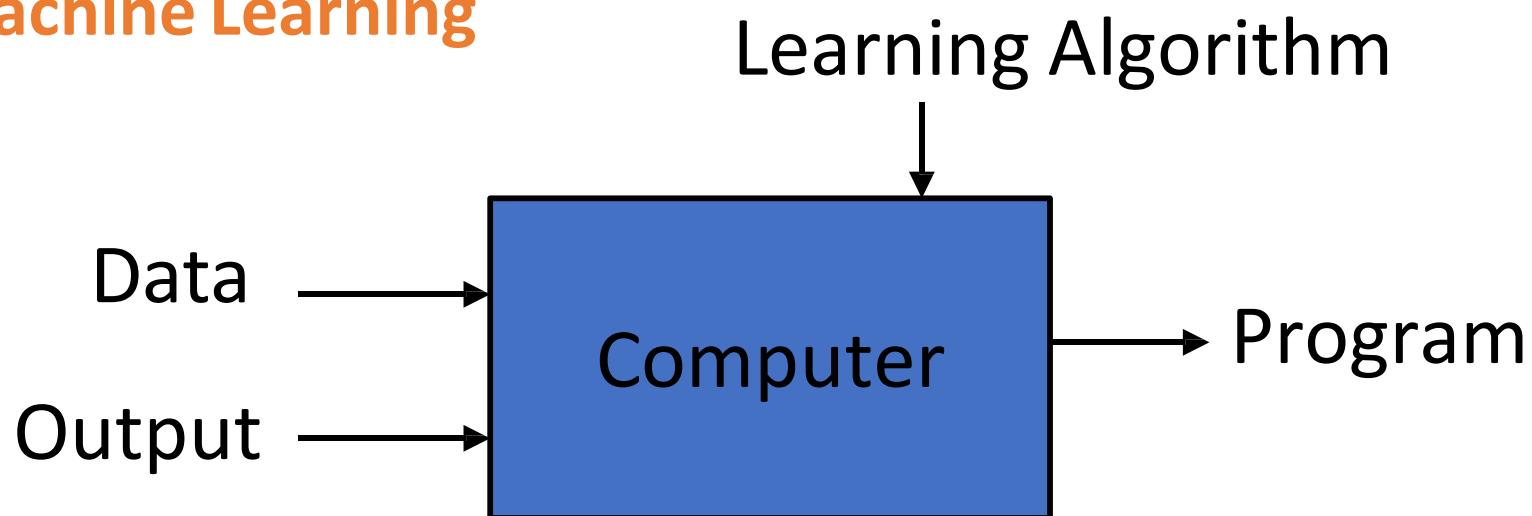


ML vs Traditional programming

Traditional Programming



Machine Learning



Types of Artificial Intelligence Algorithms

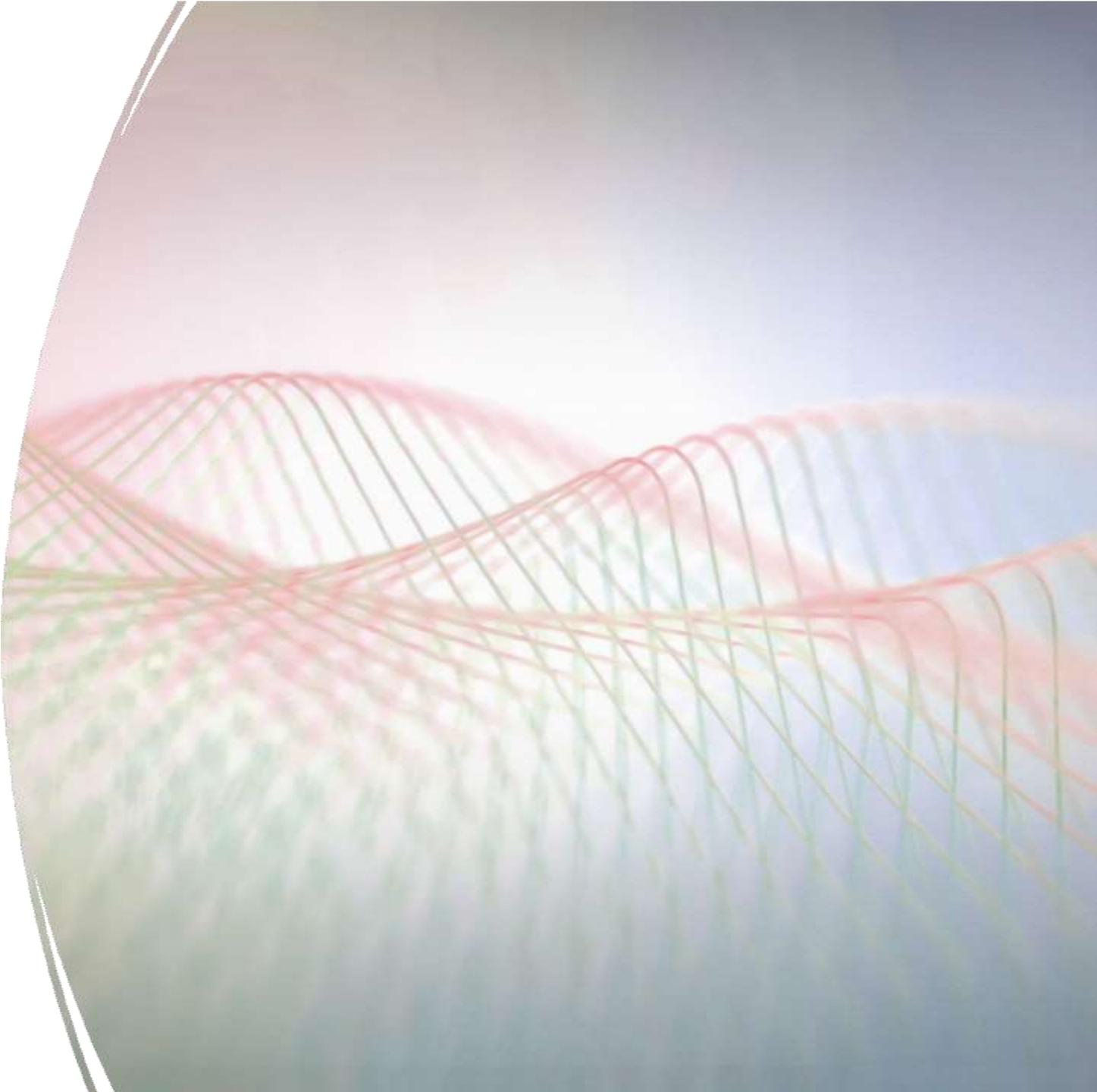
Rule-based expert

Systems

Search Algorithms

Evolutionary Algorithms and Swarm Intelligence Algorithms

Machine Learning Algorithms



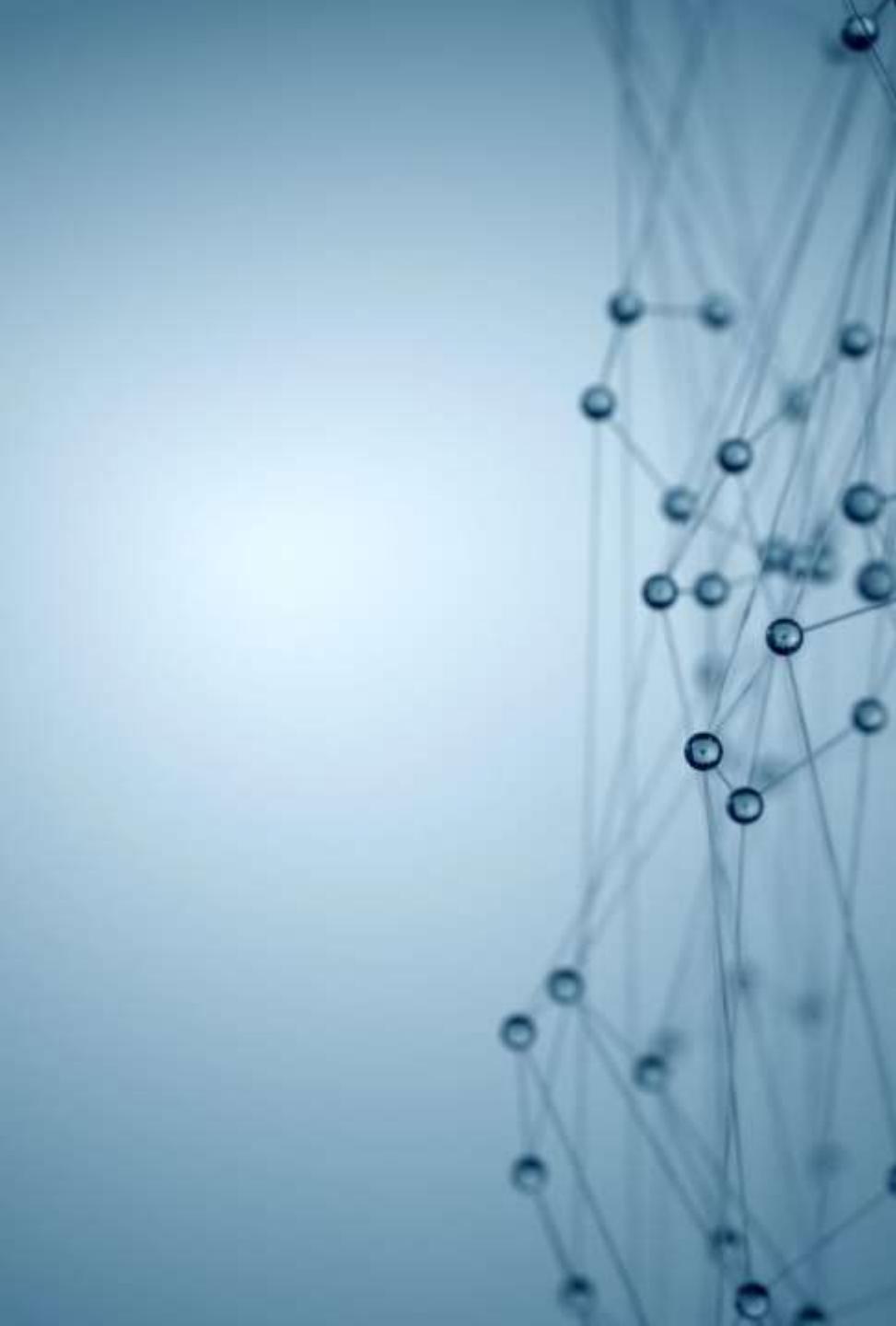
Rule Based Systems

- Expert Systems
 - PROSPECTOR
 - MYCIN
- Based on pre-defined Rules
- Rules defined based on domain knowledge
- Designed to mimic the decision-making process of human experts



Search Algorithms

- Breadth-First Search
- Depth First Search
- Iterative Deepening Search
- Uniform Cost Search
- Dijkstra's algorithm
- A* Search



Evolutionary Algorithms

- Evolutionary Algorithms are a family of nature-inspired optimization algorithms that mimic biological evolution—natural selection, mutation, recombination, and survival of the fittest.
 - Genetic Algorithms
 - Particle Swarm Optimization
 - Cultural Algorithms
 - HCA KCA
 - SI Algorithms(ACO, FA)

Steps in a generic Evolutionary Algorithm



Applications of EA



SCHEDULING AIRLINE
CREWS



TUNING
HYPERPARAMETERS IN ML



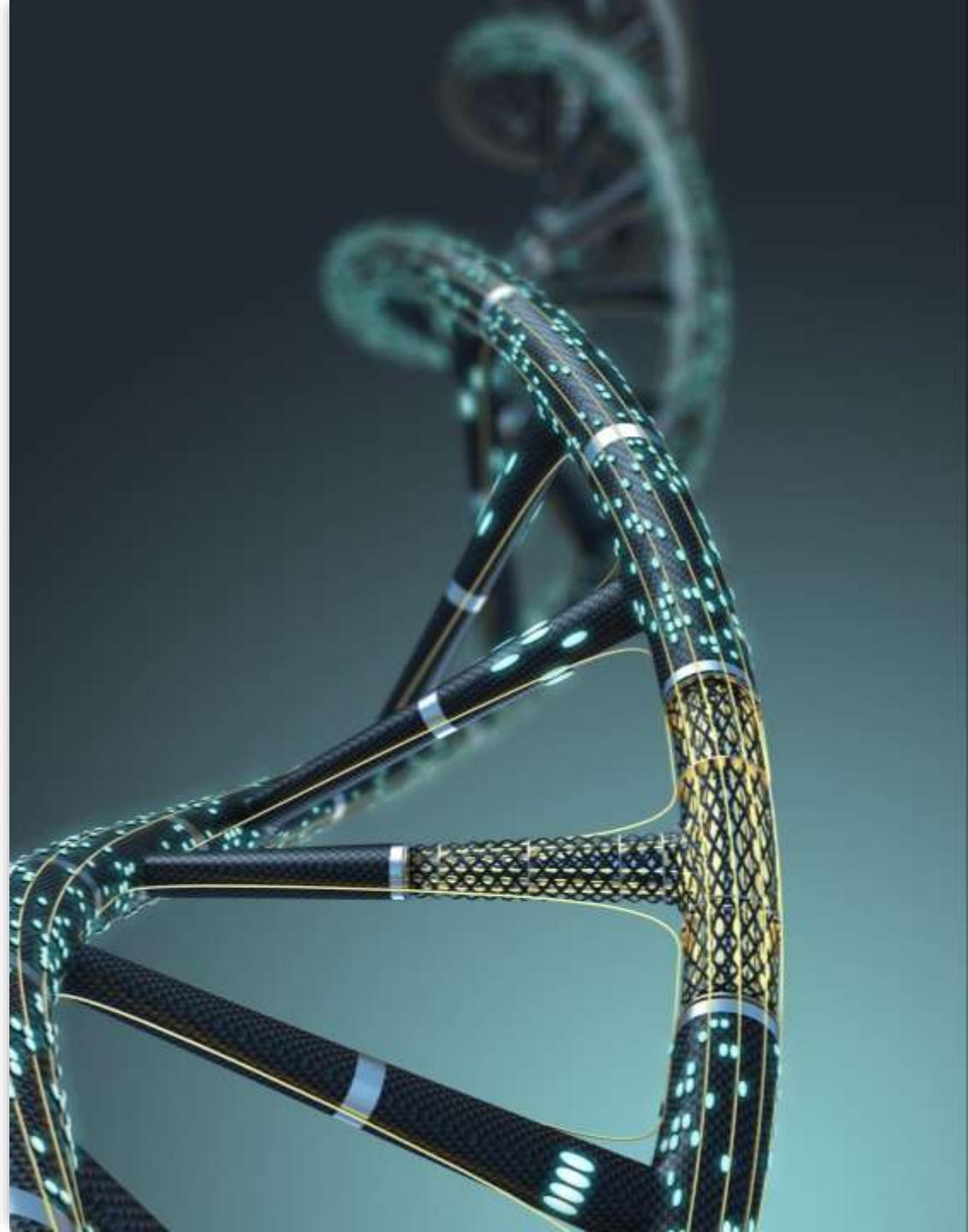
DESIGNING ANTENNAS
(NASA EXAMPLE!)



PORTFOLIO OPTIMIZATION

Genetic Algorithms

- A Genetic Algorithm (GA) is a search and optimization method inspired by how living things evolve over time through natural selection.
- We represent potential solutions as chromosomes.
- Better solutions (those with higher fitness) are selected
- New solutions are created through crossover and mutation
- Over generations, solutions get better!



Swarm Intelligence Algorithms

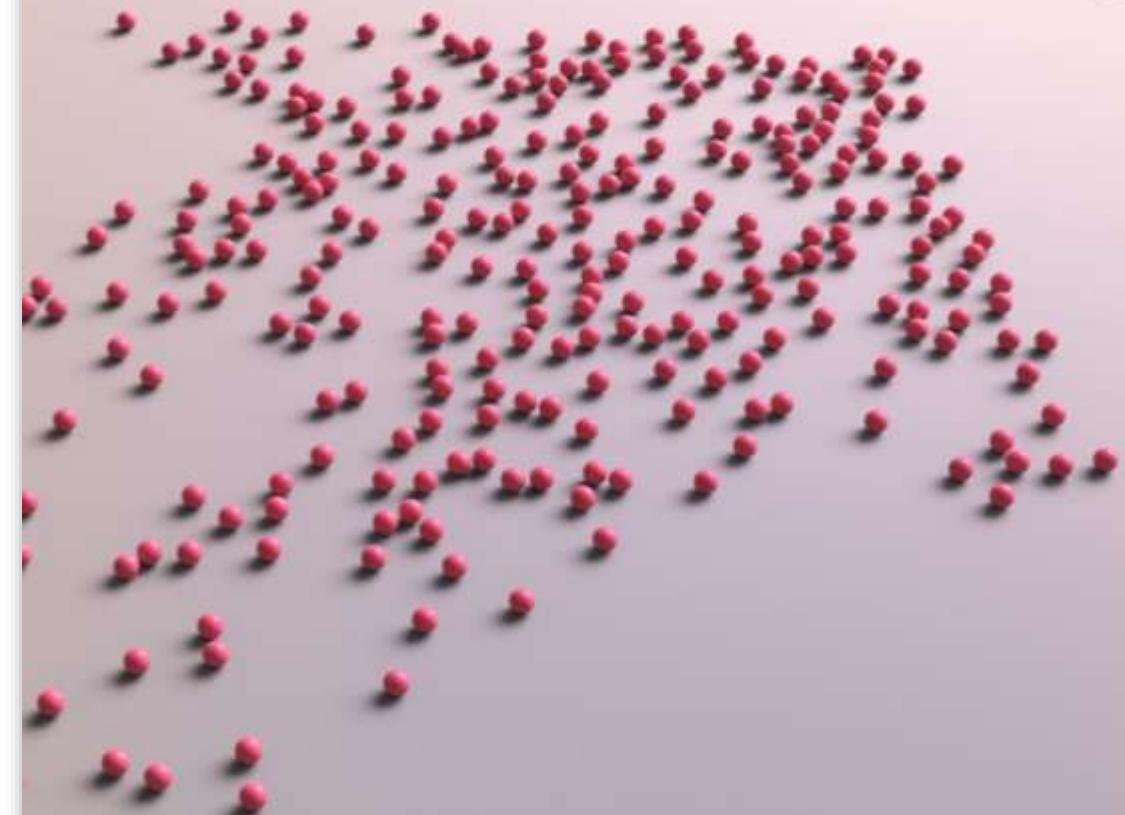
Inspired by: Collective behavior of decentralized, self-organized systems (e.g., birds, ants, fish)

Ant Colony Optimization (ACO)

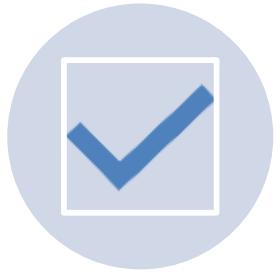
- Inspired by ants finding shortest paths using **pheromone trails**.
- Good for **discrete path-based problems** like the **Traveling Salesman Problem (TSP)**.

Firefly Algorithm (FA)

- Fireflies are attracted to brighter (better) solutions.
- Uses light intensity and distance for movement.



Types of Machine Learning Algorithms



SUPERVISED
LEARNING



UNSUPERVISED
LEARNING



SEMI-SUPERVISED
LEARNING



REINFORCEMENT
LEARNING

Supervised Learning Algorithms

- Learned under supervision.
 - Supervision of what?
 - Humans?
- Supervised by the Labeled data
 - Require labeled data. (Inputs, output)
 - This is the most difficult part of supervised learning.

Types of Supervised Learning Models

- Regression
 - Predicting a Linear value
 - Linear Regression
 - SVR
 - DT
- Classification
 - Predicting a class/label
 - Logistic Regression
 - SVM
 - DT
 - NB

Artificial Neural Network Models such as MLP, CNN, RNNs are Considered as supervised Learning Models

Supervised learning examples



A Bank may have borrower details (age, income, gender, etc.) of the past (**features**)



Also it may have details of the borrowers who defaulted in the past (**labels**)

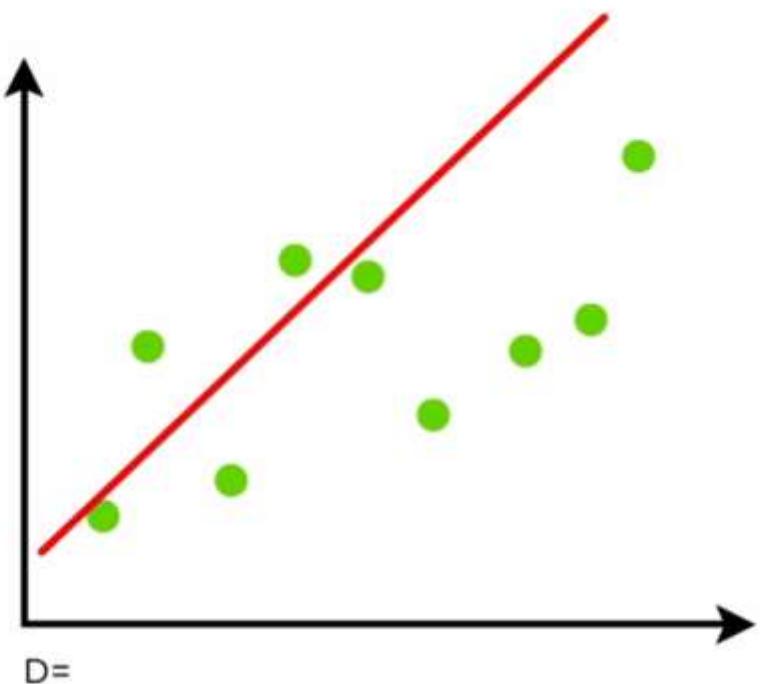


Based on the above, can train a classifier to learn the patterns of borrowers who are likely to default on their payments

Linear Regression

- **Model Explanation:**
Draws a straight line that best fits the data.
- **Key Concept:**
Learns the relationship as a **linear equation**:
 $y = mx + c$.
- **What is learnt through training:**
Finds the best slope (m) and intercept (c) to minimize error.
- **Example Use Cases:**
 - Predicting house prices
 - Salary estimation
 - Sales forecasting
- **Limitations:**
 - Only works well when the relationship is **linear**
 - Not suitable for complex, non-linear patterns
 - Sensitive to **outliers**

Linear regression



“Predictor”:

Evaluate line:

$$r = \theta_0 + \theta_1 x_1$$

return r



Types of Unsupervised Learning Algorithms

- Clustering Algorithms
 - K Means
 - DBSCAN
- Dimensionality Reduction Algorithms
 - PCA
 - MDS (Multidimensional Scaling)
 - LDA (Linear Discriminant Analysis)
- Graph Based Models can be considered as Unsupervised Learning

Unsupervised learning examples

A Supermarket may store each buyer's

basket content details (**features**)

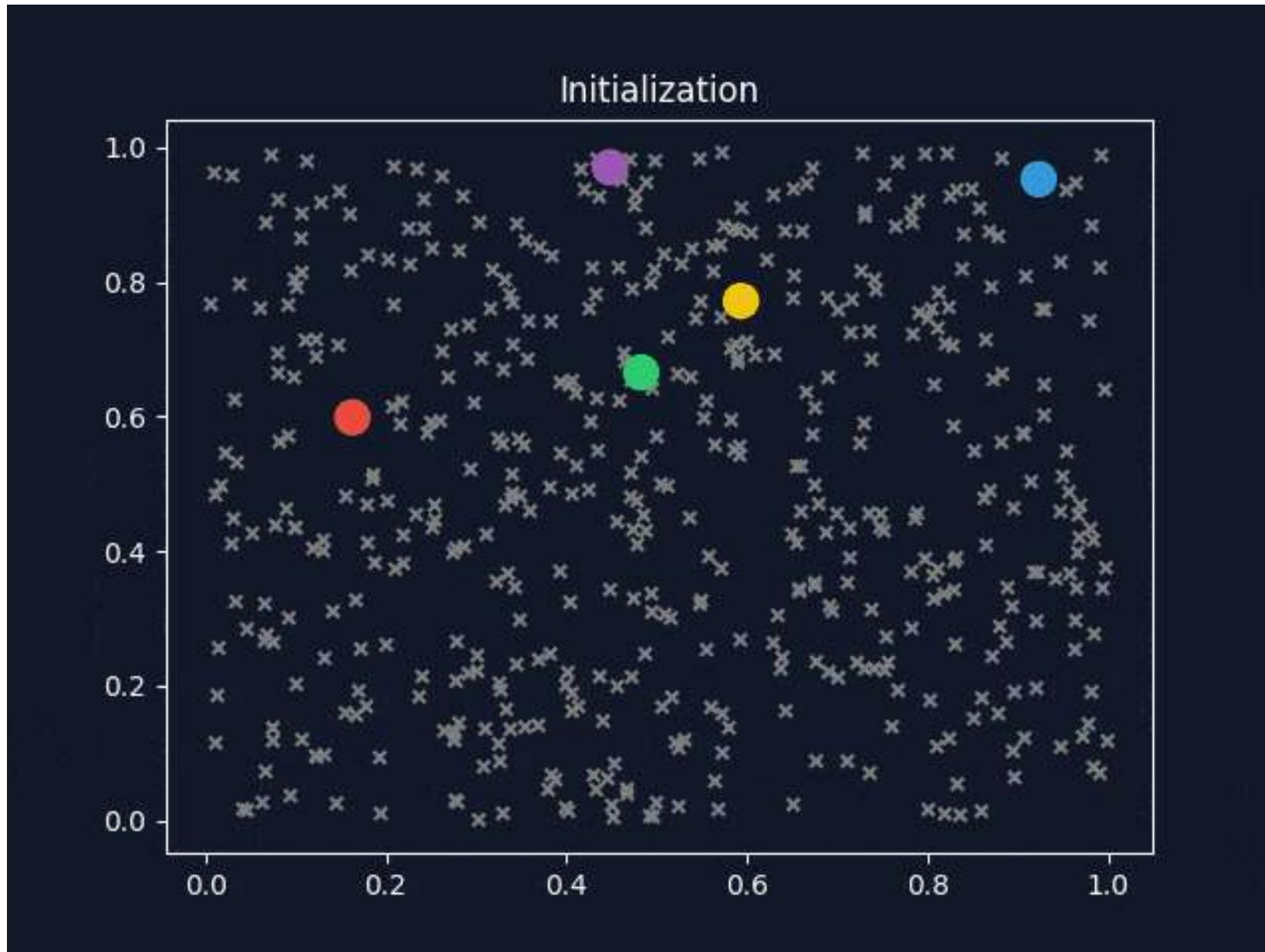
There are **NO** grouping (**labels**)

Need to group the buyers based on their buying patterns
in order to best use the shelf space (recommendation)

K- Means Clustering

- **Model Explanation:**
 - Groups data into **K clusters** based on similarity.
- **Key Concept:**
 - Finds **cluster centers** and assigns each point to the nearest one.
- **What is learnt through training:**
 - Learns the **position of cluster centers**.
- **Example Use Cases:**
 - Customer segmentation
 - Grouping articles by topic
 - Organizing images
- **Limitations:**
 - You must choose **K (number of clusters)** beforehand
 - Assumes **spherical-shaped clusters**
 - Struggles with **uneven or noisy data**

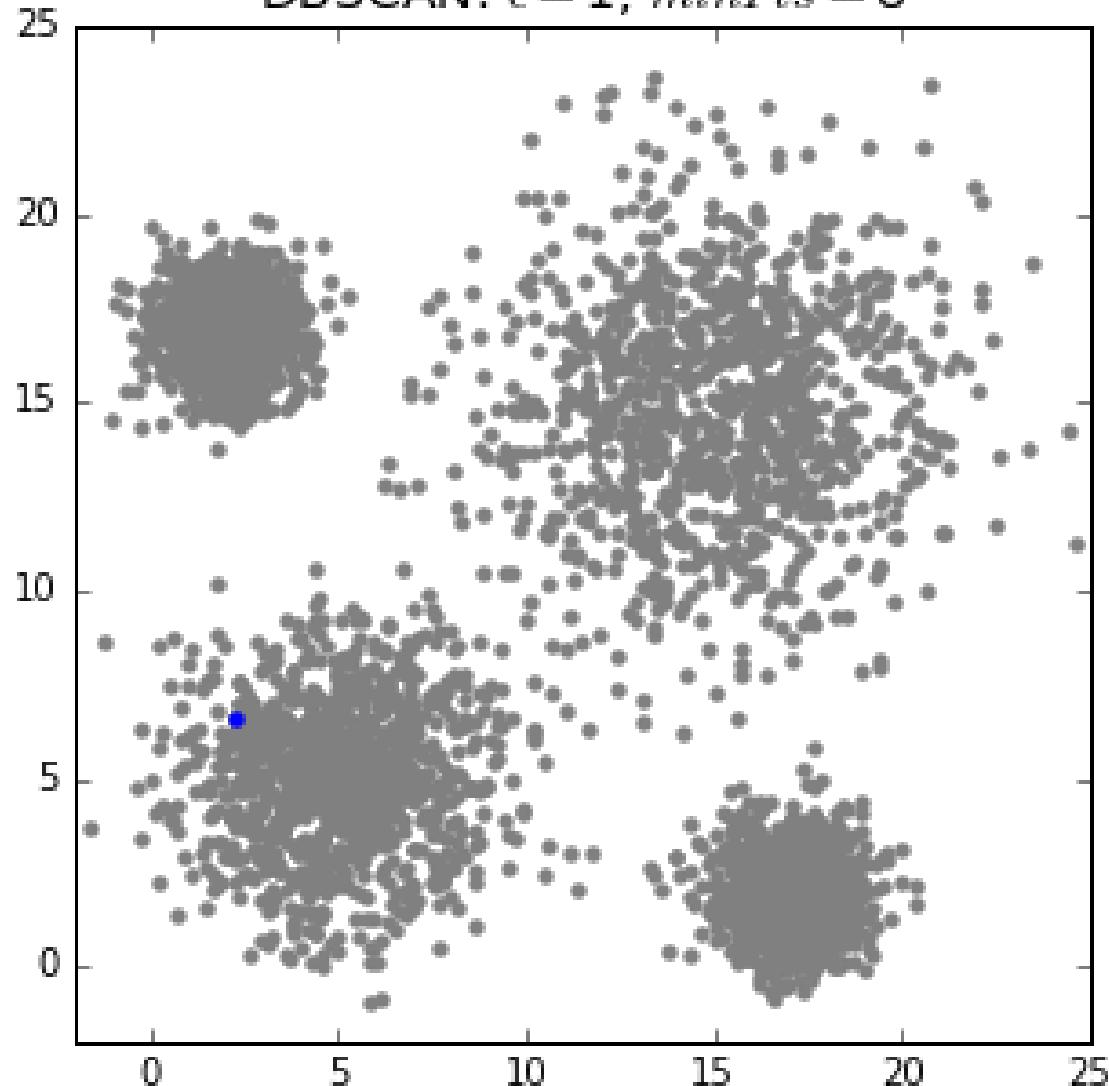
K-means clustering example



DBSCAN

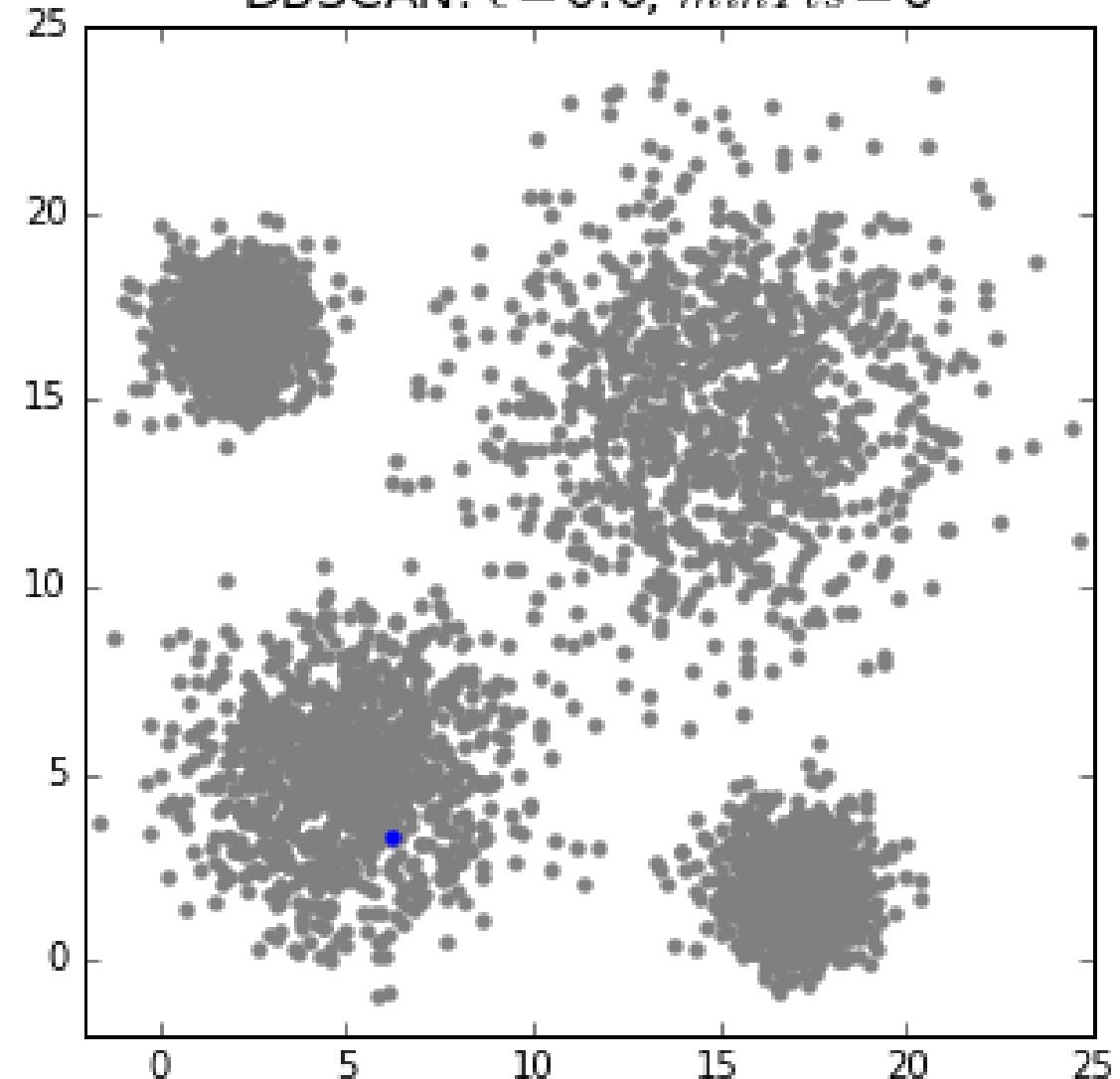
- **Model Explanation:**
 - Groups together dense areas; labels sparse points as outliers.
- **Key Concept:**
 - Clusters are formed based on **density**, not shape or number.
- **What is learnt through training:**
 - Learns which points belong to **dense clusters** and which are **noise**.
- **Example Use Cases:**
 - Fraud detection
 - Identifying event hotspots
 - Anomaly detection in GPS or sensor data
- **Limitations:**
 - Struggles with **varying densities**
 - Requires tuning of **eps** and **min points**
 - Not ideal for **high-dimensional data**

DBSCAN: $\epsilon = 1$; $minPts = 8$



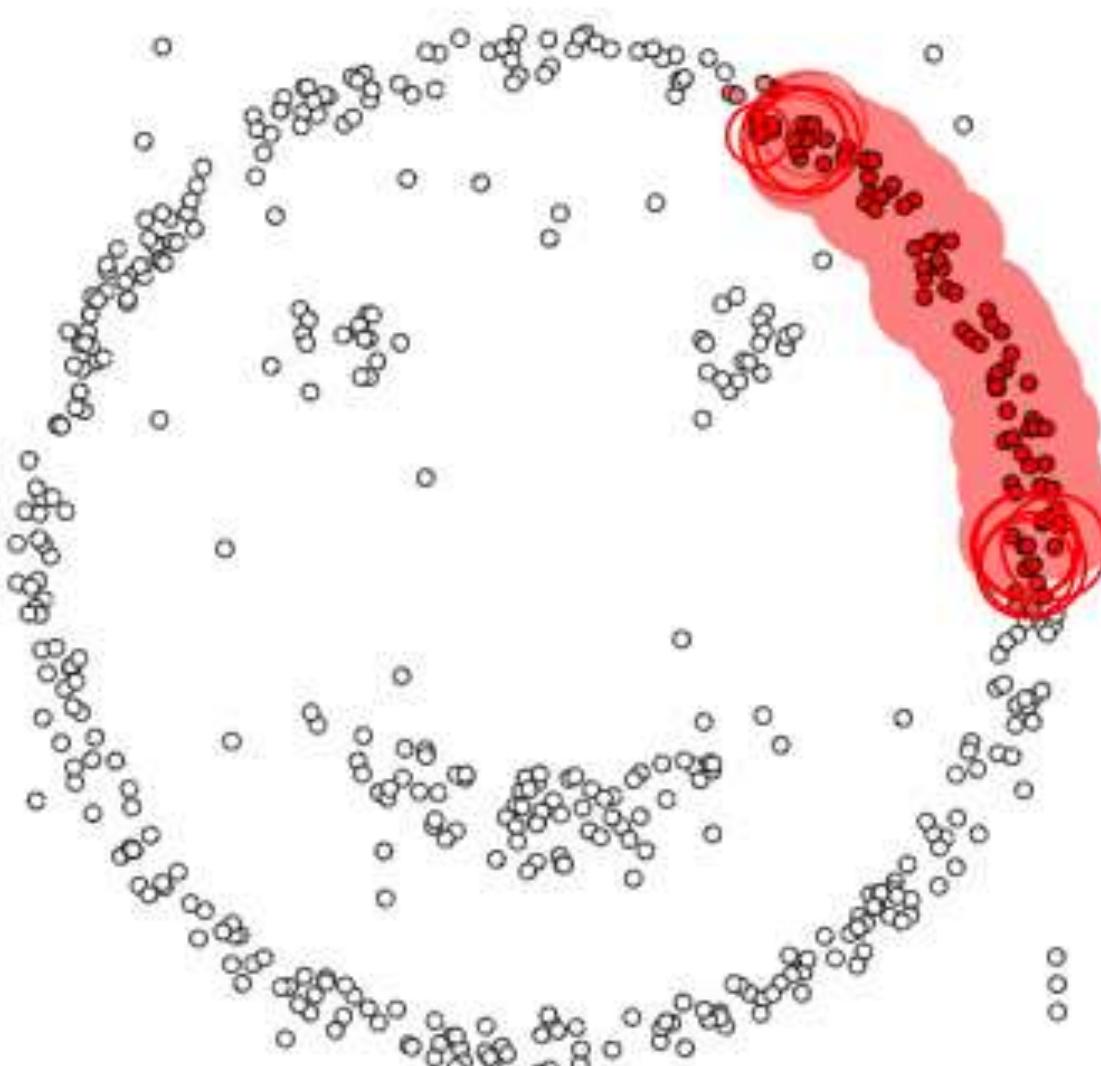
Clusters: 0

DBSCAN: $\epsilon = 0.6$; $minPts = 6$



Clusters: 0

$\text{epsilon} = 1.00$
 $\text{minPoints} = 4$



Restart



Pause

Semi-supervised learning

Labeled data is expensive/difficult to get

Unlabeled data is cheap/easier to get

The idea is to use smaller amount of labelled data with larger amount of unlabeled data to creating the training/testing datasets

Algorithms - Self Training, Generative models

- Semi-Supervised Support Vector Machines, etc.

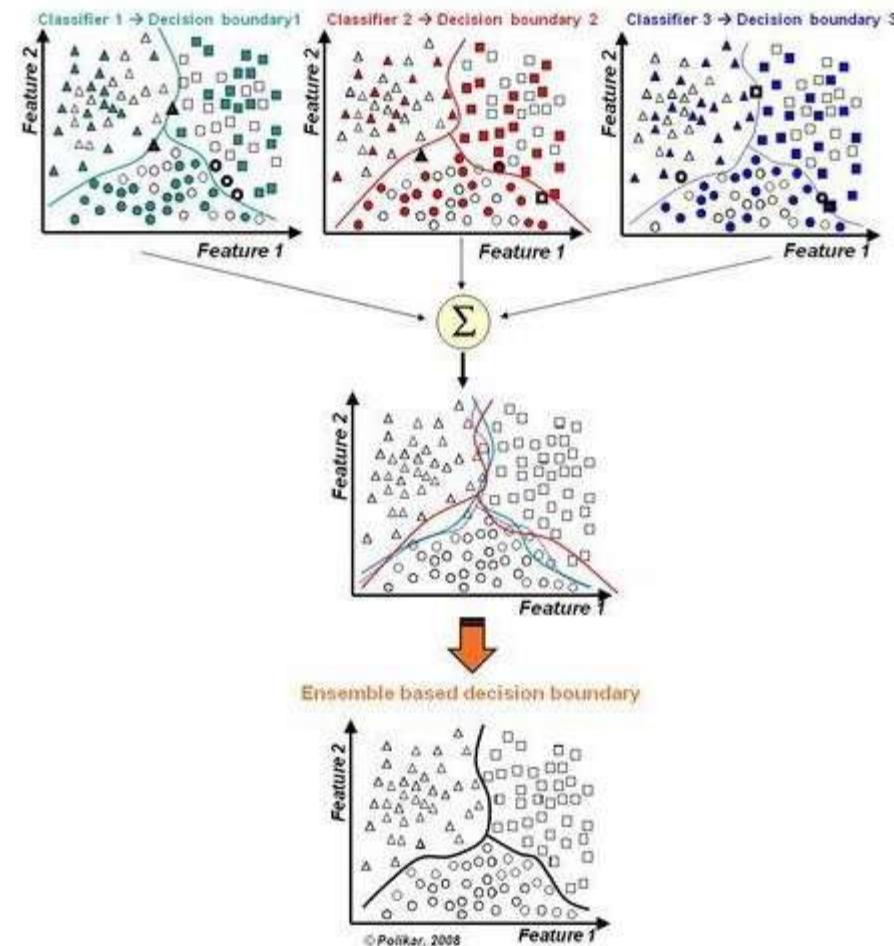


Semi-Supervised Learning Algorithms

- Generative Adversarial Networks
- Auto-encoders
- Variational Auto-encoders

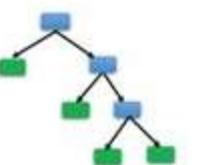
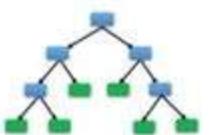
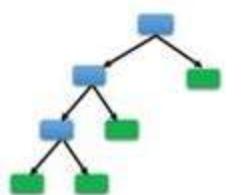
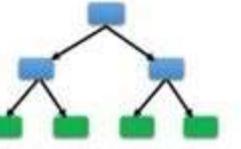
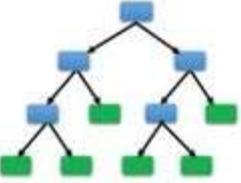
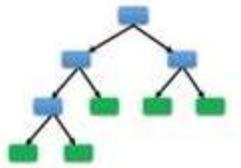
Ensemble Learning

- Often, multiple classifiers need to be combined to solve a real-world problem.



Random Forest

- **Model Explanation:**
 - Combines predictions from many decision trees.
- **Key Concept:**
 - Uses **voting** or **averaging** to make decisions.
- **What is learnt through training:**
 - Learns different rules from subsets of data to make **robust predictions**.
- **Example Use Cases:**
 - Spam detection
 - Credit risk analysis
 - Disease classification
- **Limitations:**
 - Can be **slow** with large datasets
 - Less interpretable than a single decision tree
 - Needs tuning (like number of trees)



Random Forest in Action!!!

Reinforcement Learning

- **Model Explanation:**
 - Learns by interacting with an environment and receiving feedback (rewards or penalties).
- **Key Concept:**
 - Uses **trial-and-error** and **reward maximization** to improve decision-making over time.
- **What is learnt through training:**
 - Learns an **optimal policy** or **action strategy** that maximizes long-term rewards.
- **Example Use Cases:**
 - Game playing (e.g., AlphaGo)
 - Robotics (e.g., navigation or motor control)
 - Dynamic pricing or recommendation systems
- **Limitations:**
 - Requires **many interactions** with the environment (sample inefficiency)
 - Can be **unstable** or hard to converge
 - Needs **careful reward design** to avoid unintended behaviors

Reinforcement learning examples

A group of robots have been deployed in an unknown territory

The objective is for them to collaboratively find the navigation path to reach a particular destination/goal

Can use reinforcement learning where achieving the goal/getting closer to the goal gives a positive reward. Negative reward otherwise

Can share the information among robots (multi-agent system)

Comparing Machine Learning Models

Not all models are created equal — and neither are the ways we evaluate them.

Key Questions to Ask:

Is it a
classification,
regression, or
clustering task?

Do we care more
about **correctness,**
fairness, or
interpretability?

What are the **costs**
of wrong
predictions?

Common Evaluation Matrices

Task Type	Metrics Used
Classification	Accuracy, Precision, Recall, F1 Score, ROC-AUC
Regression	MSE, MAE, RMSE, R ² Score
Clustering	Silhouette Score, Davies-Bouldin Index, Inertia
Ranking/Recommendation	MAP, NDCG, Hit Rate

Classification Matrices Explained

Metric	Use When...	Notes
Accuracy	Classes are balanced, all errors matter	Can be misleading with imbalance
Precision	False positives are costly (e.g., spam)	$TP / (TP + FP)$
Recall	False negatives are costly (e.g., cancer)	$TP / (TP + FN)$
F1 Score	Balance between precision and recall	Harmonic mean
ROC-AUC	Need to evaluate ranking ability	Works for probabilistic models

Regression Model

Metric	Use When...	Notes
MSE	Large errors are very bad	Penalizes large errors more
MAE	Equal penalty for all errors	More robust to outliers
RMSE	Like MSE but in original units	Square root of MSE
R ² Score	Want to explain variability in output	1 = perfect, 0 = no

Clustering Algorithms

Metric	Use When...	Notes
Silhouette Score	Want to measure how distinct clusters are	1 = well-clustered, -1 = wrong
Davies-Bouldin Index	Lower is better (compact & separated)	Good for comparing k-values
Inertia (within-cluster SSE)	Used in K-Means	Lower is better, but not scaled

Practical Evaluation Factors

Factor	Why It Matters
Interpretability	Do we understand how/why it makes predictions?
Training Time	Important for real-time or big data
Fairness	Does it treat all groups equally?
Generalization	Does it perform well on new data?
Explainability	Can we explain decisions to stakeholders?

Things to consider in Selecting a ML Algorithm

- If there's an algorithmic way instead of ML, use it!!! (ML is messy)
- Refer the literature!!!
- Try different ML algorithms (no single algorithm is the best)
- Check the dataset against the usage/strength of each algorithm (e.g. RNNs, ARIMA is good in time-series predictions)
- Be mindful of 'external factors' (e.g. seasonal effects, RL if you don't have data, Clustering if you have unlabeled data, etc.)
- Test your algorithm(s) with test data and select the best performing one for production (include the test results in your thesis/publications)
- No algorithm will be perfect! (There will be an error. The objective is to keep the error at an acceptable rate)

Popular Frameworks/Tools

- Scikit-learn - Python (Anaconda Python Distribution)
- R (R studio)
- Matlab/Octave (can export DLLs)
- Weka (Java based)
- Java OpenNLP/Python NLTK (Natural language processing + ML)
- Apache Spark (part of the Apache Hadoop platform)
- Google Tensorflow (Python library for Deep neural networks)
- Apache Keras (Python library of neural networks)
- Theano (Python library for Multicore processing of DNNs)
- Amazon AWS Services/Microsoft Azure ML (Cloud based ML)

Commonly used python libraries



NumPy

Matrix algebra



Pandas

Data Frames,
Series



Matplotlib

Visualization

Summary

- AI is a vast discipline with many varying branches.
- AI attempts to give machine the ability to mimic human decision making/learning capabilities

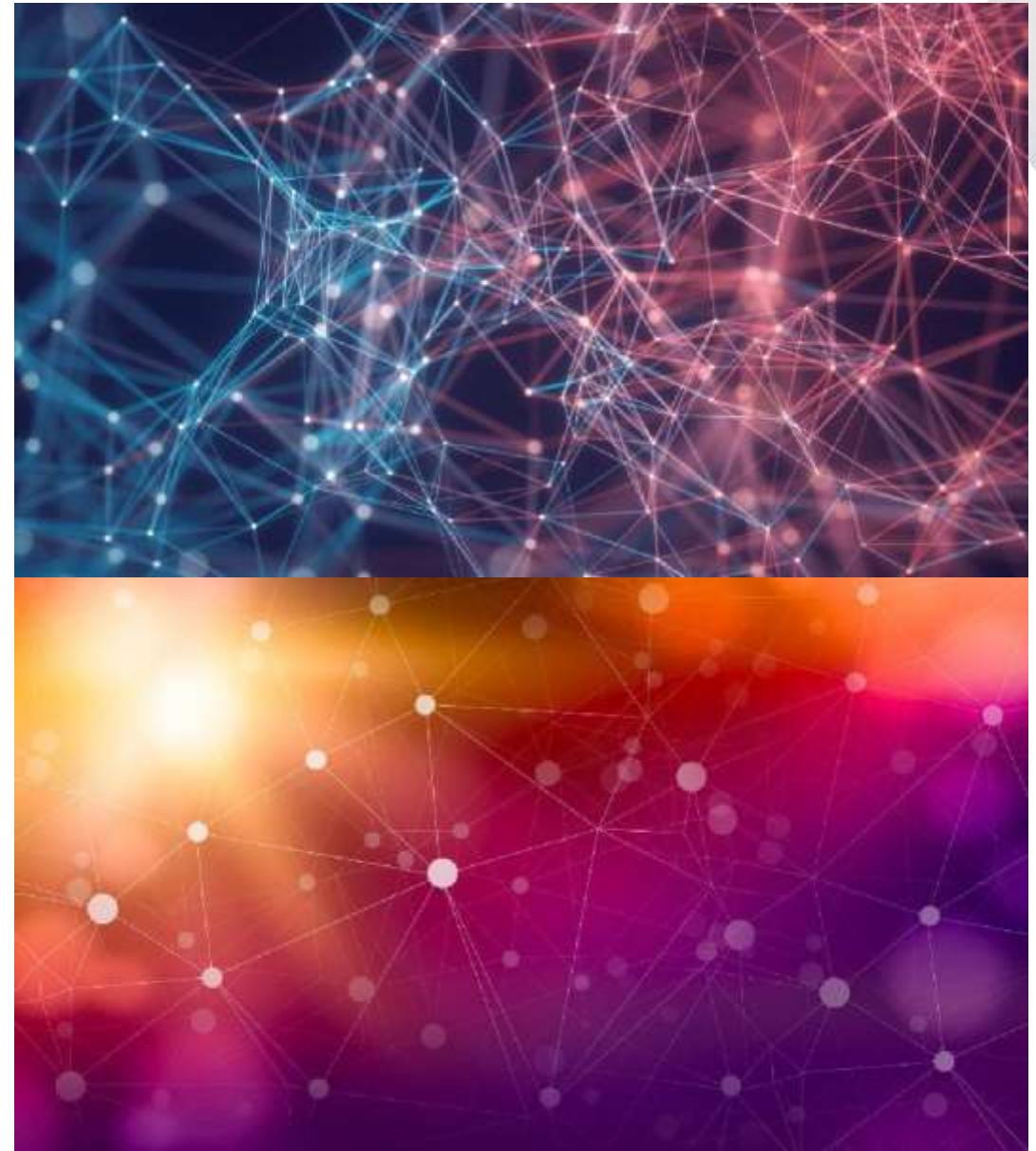


Thank You

Jeewaka Perera

Jeewaka.p@sliit.lk

Tuesday, February 2, 20XX



Artificial Neural Networks

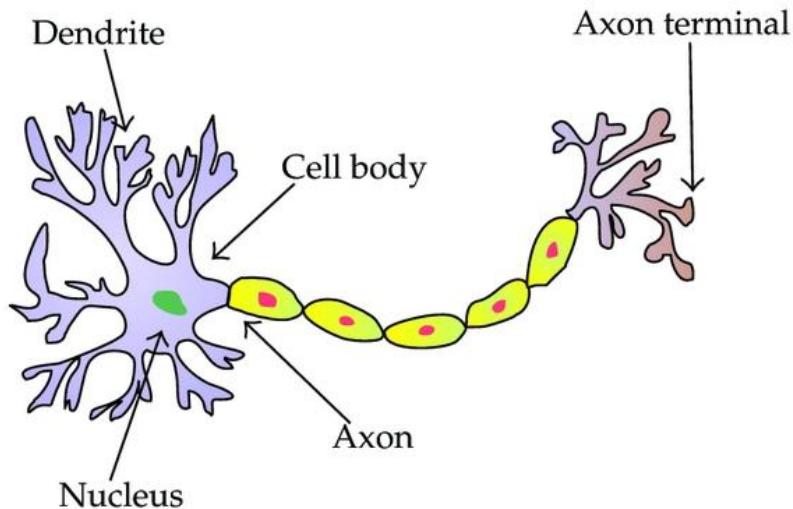
- Gain an understanding of the structure and background of ANN
- Gain an understanding of components and mechanism that enable Learning in a ANN
- Gain an understanding of Different Deep Learning Models

Lecture Content

- What is an Artificial Neural Network
- Structure and Components of a ANN
- Forward Pass for Predicting Values
- Why we need Activation Functions
- Backward Pass

Biological Inspiration

- They were inspired by the Biological Neural Networks that makes up our Brains.
- Functionality is very similar
- But the inner mechanism has many differences



Mathematical Intuition

- Let us take a real-world example:
 - You go on shopping for a new Laptop. What are the factors you base your decision on?
 - The Price (x_P)
 - Is it better than the Current Phone. (x_b)
 - Is the merchant reliable. (x_r)
 - How do we make the Decision?
 - Which conditions should we emphasize?

It's Decision-Making Time

$$x_P \cdot w_1 + x_b \cdot w_2 + x_r \cdot w_3 = y$$

- If $y > 5 \rightarrow$ buy
- If $y \leq \rightarrow$ Do not buy

Contribution of the Weights on the Decision

$$x_P \cdot w_1 + x_b \cdot w_2 + x_r \cdot w_3 = y$$

- If $y > 5 \rightarrow$ buy
- If $y \leq \rightarrow$ Do not buy
- W1?
- W2?
- W3?

We Don't want to buy from an unreliable Merchant

$$x_P \cdot w_1 + x_b \cdot w_2 + x_r \cdot w_3 = y$$

- If $y \geq t \rightarrow \text{buy}(1)$
- If $y < t \rightarrow \text{Do not buy } (0)$
- W1?
- W2?
- W3 \rightarrow

Generalizing the Decision Statement

$$y = \begin{cases} 0, & x_P \cdot w_1 + x_b \cdot w_2 + x_r \cdot w_3 < t \\ 1, & x_P \cdot w_1 + x_b \cdot w_2 + x_r \cdot w_3 \geq t \end{cases}$$

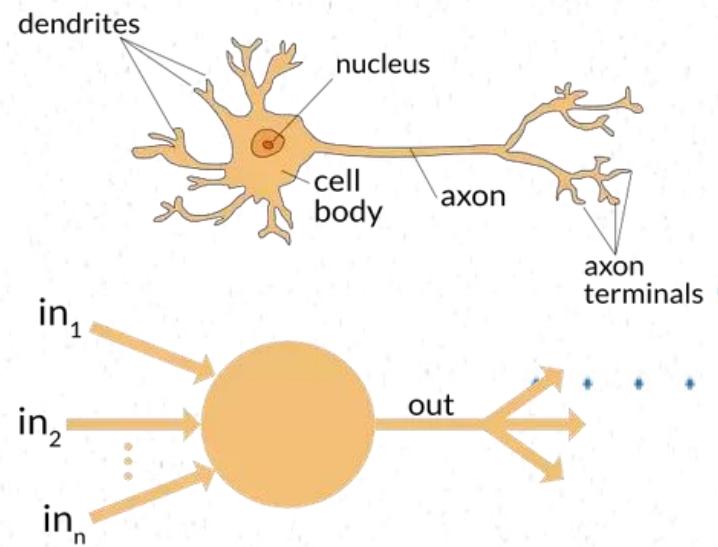
- Its easier to compute with vectors

$$y = \begin{cases} 0, & x \cdot w < t \\ 1, & x \cdot w \geq t \end{cases}$$

$$y = \begin{cases} 0, & x \cdot w + b < 0 \\ 1, & x \cdot w + b \geq 0 \end{cases}$$

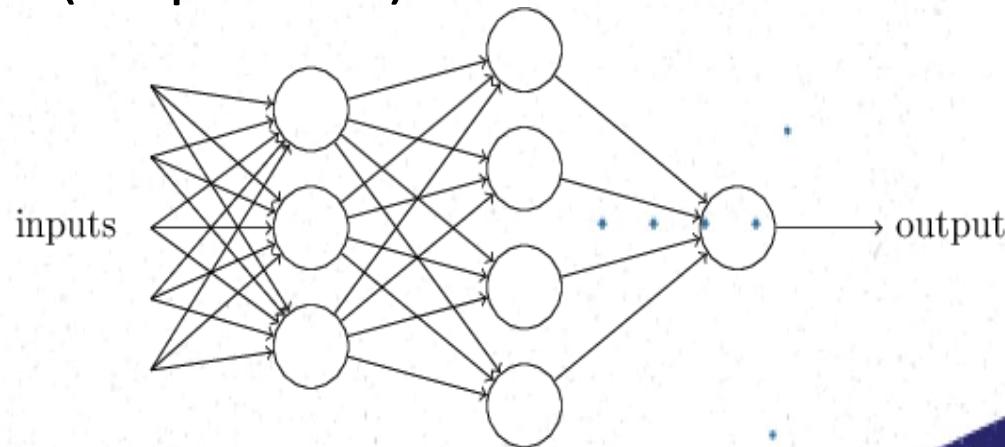
A Perceptron

- Multiple inputs
- Corresponding weights
- Bias
- Single output

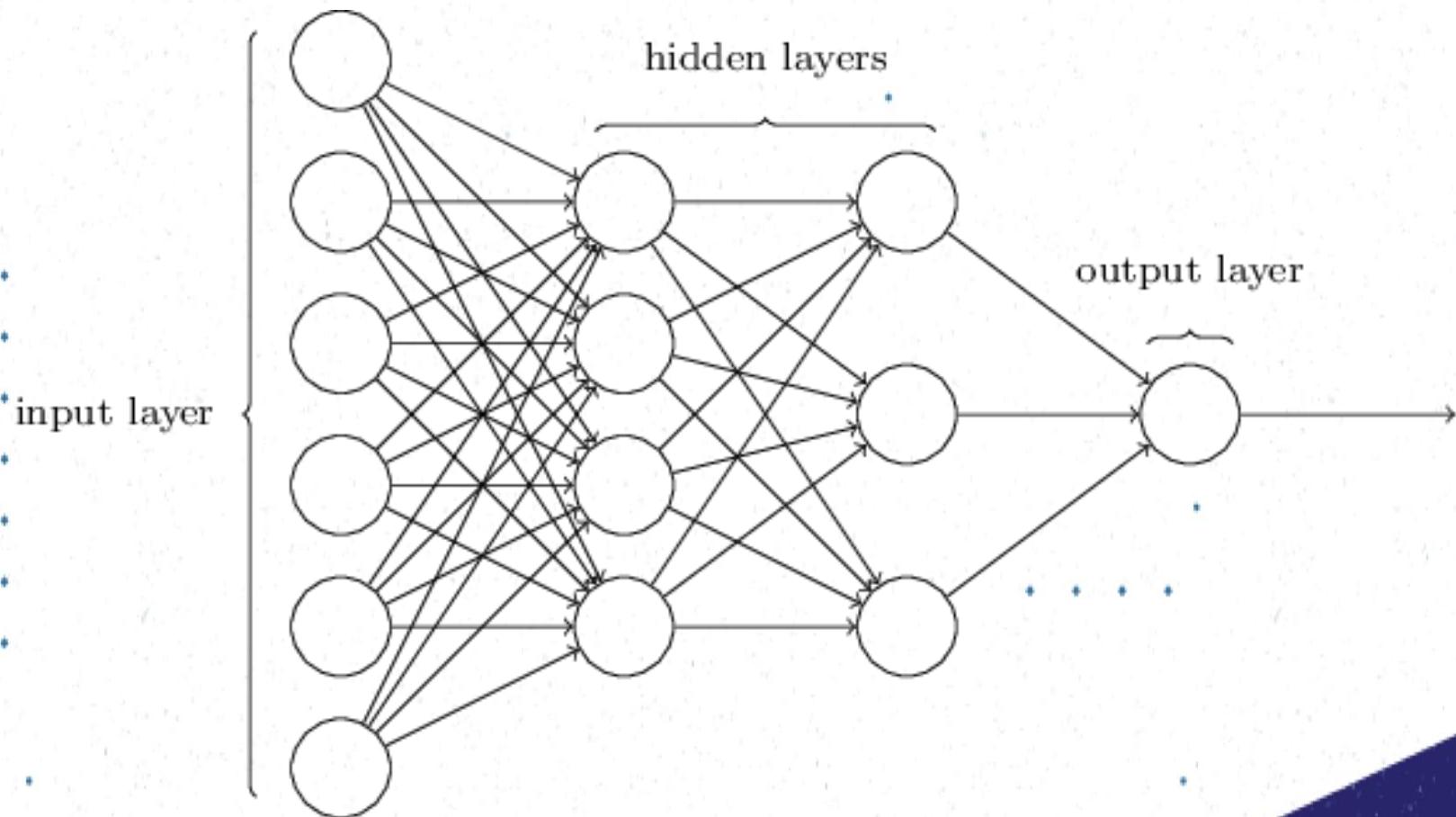


What is a Neural Network

- A Collection of Perceptron
- A Layer can be made by stacking a set of Perceptron to get the outputs from the previous layer or Inputs
- A network is a set of layers that are arranged in an organized manner (Sequential)



Structure of an ANN



ANN output vs Biological NN output

- Firing a Neuron
- 0 or 1

More on Bias

- Bias = -Threshold value
- Use to shift the output value.

Activation Function

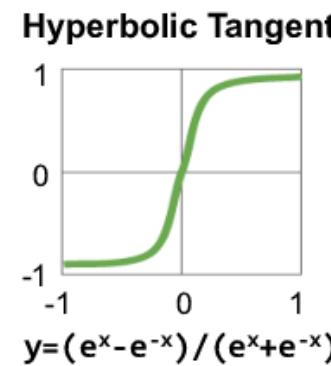
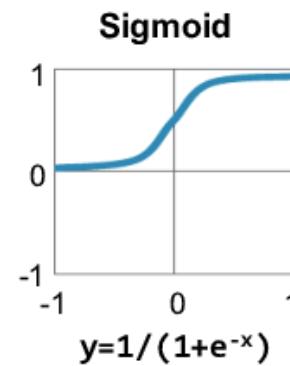
- Output of a Perceptron is binary
- This may not work all the time
- Linear output can be useful but will make it difficult to learn complex data
- We need a Non-Linear Continuous value → Activation Function.
- Normalize the values

How to Choose an Activation Function

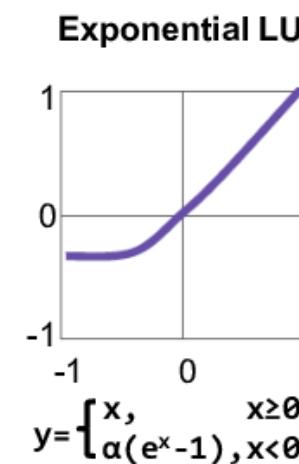
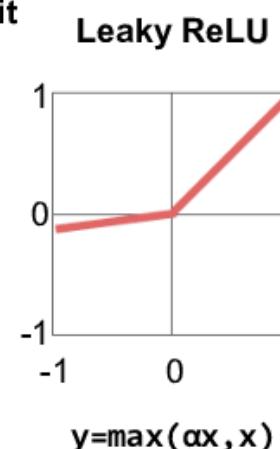
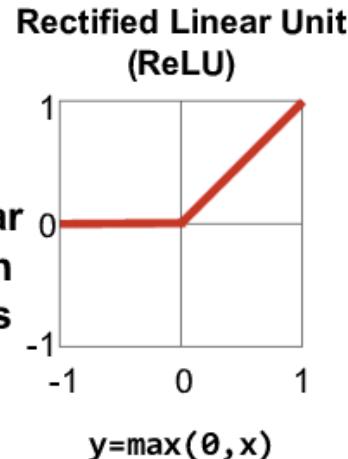
- Any Function can be used as an Activation function if,
 - The function is continuous and differentiable everywhere (or almost everywhere).
 - The derivative of the function does not saturate (i.e., become very small, tending towards zero) over its expected input range. Very small derivatives tend to stall out the learning process.
 - The derivative does not explode (i.e., become very large, tending towards infinity), since this would lead to issues of numerical instability.)

Common Activation Functions

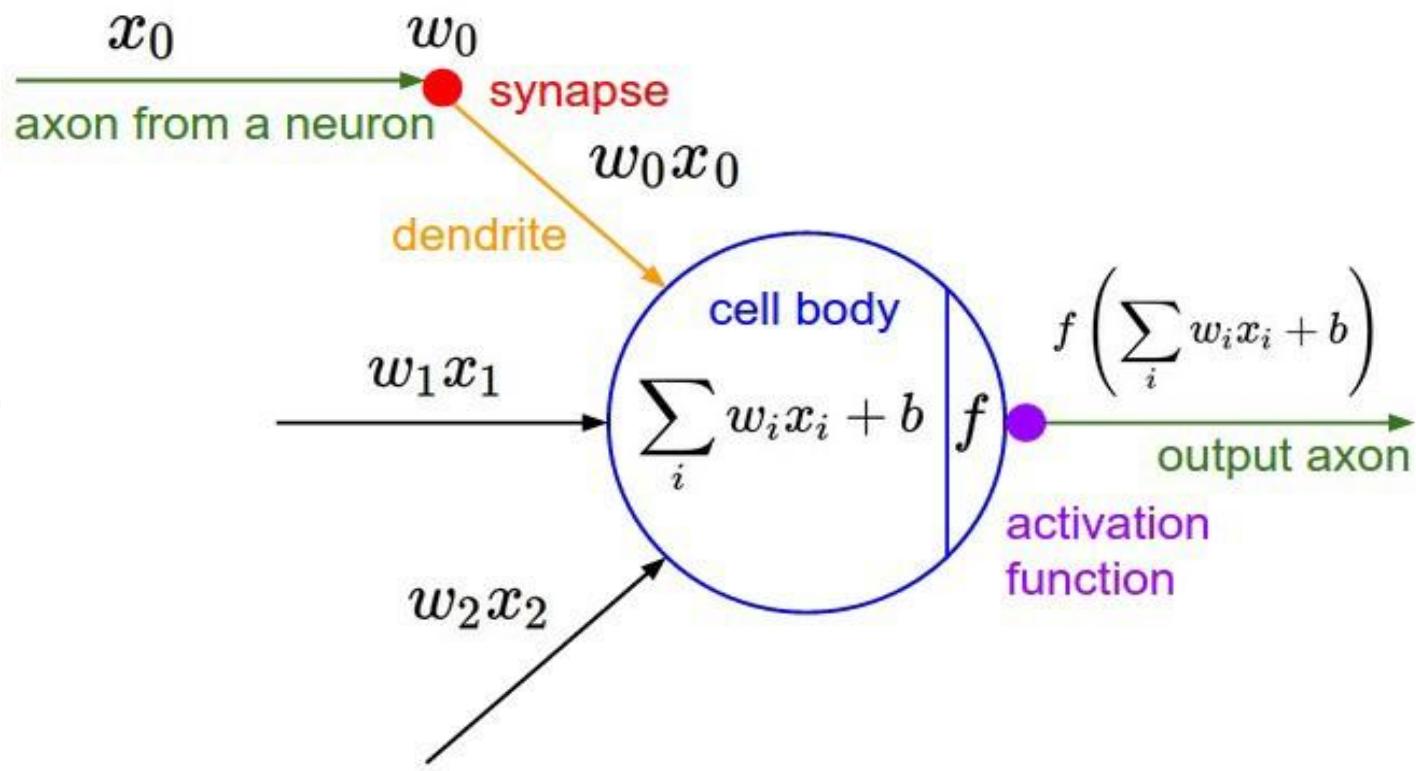
Traditional
Non-Linear
Activation
Functions



Modern
Non-Linear
Activation
Functions



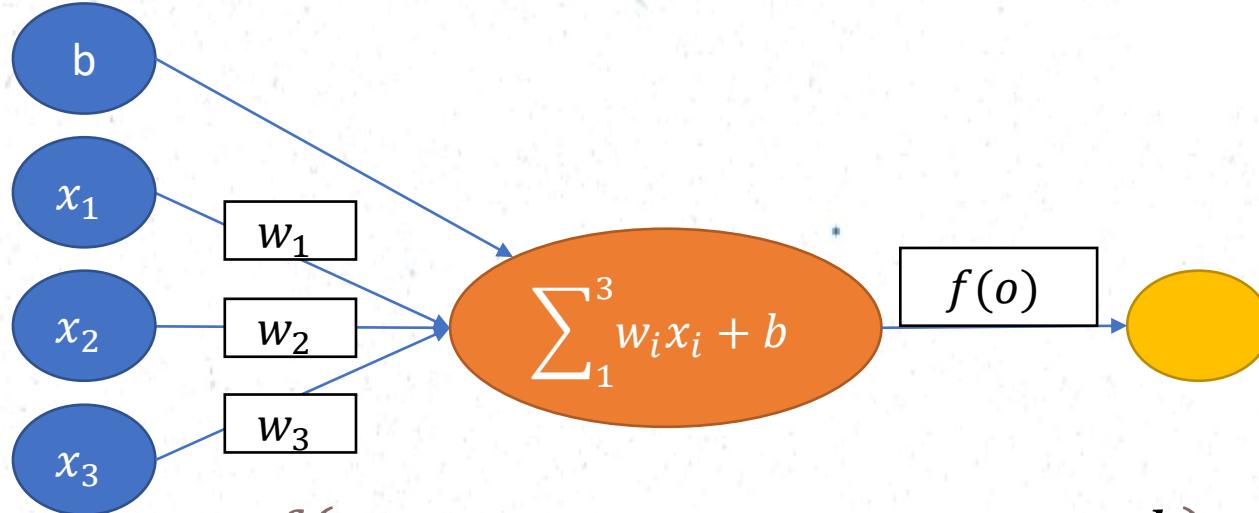
α = small const. (e.g. 0.1)



Feed Forward Networks

- Most used type of ANN
- Signal Flow is uni-directional
- No signal loops.
 - MLP
 - CNN

Forward Pass



$$y = f(x_1 \cdot w_1 + x_2 \cdot w_2 + x_3 \cdot w_3 + b)$$

$$y = f(\mathbf{x} \cdot \mathbf{w} + b)$$

$$\mathbf{w} = [w_1 \ w_2 \ w_3 \ b]$$

$$\begin{matrix} x_1 \\ x_2 \\ x_3 \\ 1 \end{matrix}$$

Loss Function (Cost)

- A measure of how well the network at Predicting the values.
- Notion of Learning is based on the Loss Function
- Goal of the Network is to find the w and b values such that the cost/loss is minimized.
- Number of Different Loss Functions are available

Different Loss Functions

- Mean Squared Error
- Hinge Loss

Computing Derivatives

$$\begin{aligned}\nabla w &= \frac{\partial}{\partial w} \left[\frac{1}{2} * (f(x) - y)^2 \right] \\&= \frac{1}{2} * [2 * (f(x) - y) * \frac{\partial}{\partial w} (f(x) - y)] \\&= (f(x) - y) * \frac{\partial}{\partial w} (f(x)) \\&= (f(x) - y) * \frac{\partial}{\partial w} \left(\frac{1}{1 + e^{-(wx+b)}} \right) \\&= (f(x) - y) * f(x) * (1 - f(x)) * x\end{aligned}$$

$$\begin{aligned}&\frac{\partial}{\partial w} \left(\frac{1}{1 + e^{-(wx+b)}} \right) \\&= \frac{-1}{(1 + e^{-(wx+b)})^2} \frac{\partial}{\partial w} (e^{-(wx+b)}) \\&= \frac{-1}{(1 + e^{-(wx+b)})^2} * (e^{-(wx+b)}) \frac{\partial}{\partial w} (-wx - b) \\&= \frac{-1}{(1 + e^{-(wx+b)})} * \frac{e^{-(wx+b)}}{(1 + e^{-(wx+b)})} * (-x) \\&= \frac{1}{(1 + e^{-(wx+b)})} * \frac{e^{-(wx+b)}}{(1 + e^{-(wx+b)})} * (x) \\&= f(x) * (1 - f(x)) * x\end{aligned}$$

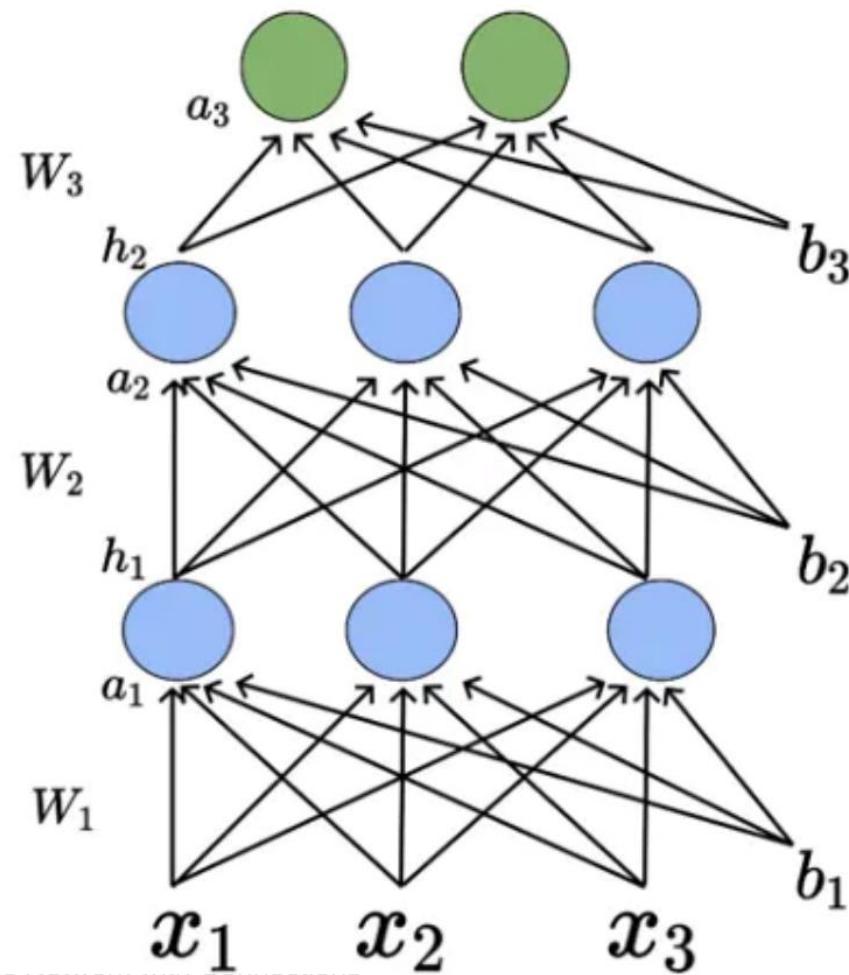
Building Complete Neural Networks

- Stacking multiple Nerons to for a layer
- Organizing multiple Layers to form the network

Training a neural net

1. Randomly initialize weights
2. Implement forward propagation to get the output at each neuron
3. Compute the error at the output layer E_{total}
4. Implement backpropagation to compute partial derivatives $\frac{\partial E_{total}}{\partial w_{jk}^l}$
5. Use Gradient descent or any other optimization technique to update the weights to minimize E_{total}
6. Repeat this process over multiple iterations (epochs) until the error converges

$$h_3 = \hat{y} = f(x)$$



Additional Reading

- [book13.dvi \(stanford.edu\)](#)

Multi-Layer Perceptron

- MLP is a type of neural network that consists of multiple layers of neurons, including an input layer, one or more hidden layers, and an output layer.
- Each neuron in the hidden layers uses an activation function to transform the input signal into an output signal, which is then passed on to the next layer.
- MLP is a feedforward network, which means that information flows in only one direction, from the input layer to the output layer.

Applications of MLP

- MLP can be used for a variety of tasks, including classification, regression, and prediction.
- MLP has been used for image classification, speech recognition, and natural language processing.
- MLP is particularly useful for tasks where there are complex relationships between the input and output variables.

Convolutional Neural Networks

- CNN is a type of neural network that is particularly well-suited for image and video processing tasks.
- CNN uses convolutional layers to extract features from images and reduce the dimensionality of the input data.
- The output from the convolutional layers is then passed through one or more fully connected layers to produce the final output.

Applications of CNN

- CNN has been used for a variety of image and video processing tasks, including image classification, object detection, and segmentation.
- CNN has also been used in natural language processing tasks, such as text classification and sentiment analysis.
- CNN is particularly useful for tasks where the input data has a grid-like structure, such as images or videos.

Recurrent Neural Networks

- RNN is a type of neural network that is particularly well-suited for sequence data, such as time-series data or natural language processing.
- RNN uses feedback connections to allow information to be passed from one step in the sequence to the next.
- RNN can be trained using backpropagation through time, which is a variant of the standard backpropagation algorithm.

Applications of RNN

- RNN has been used for a variety of sequence data tasks, including speech recognition, machine translation, and sentiment analysis.
- RNN can also be used for time-series forecasting
- RNN is particularly useful for tasks where the output at each step depends on the previous steps in the sequence.

Generative Adversarial Neural Networks

- GAN is a type of neural network that is used for generative tasks, such as image or text generation.
- GAN consists of two neural networks: a generator network and a discriminator network.
- The generator network generates new data samples, while the discriminator network evaluates the generated samples and tries to distinguish them from real data samples.

Applications of GAN

- GAN has been used for a variety of generative tasks, including image generation, text generation, and music generation.
- GAN can also be used for data augmentation, where it is used to generate new data samples to augment an existing dataset.
- GAN is particularly useful for tasks where the output needs to be highly realistic and similar to the input data.

Transformers

- Transformers are a type of neural network architecture that were introduced in 2017 for natural language processing tasks.
- Transformers are based on a self-attention mechanism that allows the model to focus on different parts of the input sequence when processing each token.
- Unlike RNNs, Transformers can process the entire input sequence in parallel, making them much faster and more efficient for long sequences.

Applications of Tranformers

- Transformers have been used for a variety of natural language processing tasks, such as language translation, question answering, and text summarization.
- Transformers are particularly useful for tasks where the input and output sequences can have variable lengths, and where long-range dependencies are important.
- Transformers have also been applied to non-language tasks, such as image processing and music generation.

Generative Pre-Trained Transformers

- GPT models are a family of transformer-based neural networks that are designed for generative tasks, such as text generation.
- GPT models are pre-trained on large amounts of text data, and then fine-tuned on specific downstream tasks.
- GPT models use a left-to-right autoregressive language modeling objective, where the model predicts the next word in a sequence based on the previous words.

LLM Development Toolkit

Lecture 02 - Part 2 (Upgraded)

April 21, 2025

Agenda

- Introduction: LLMs as a Toolkit
- Prompt Engineering
- Understanding LLM Architectures
- Tools: Open-Source and Paid
- Setting up LLMs Practically
- Agentic AI and LLMs
- Summary and Q&A

Why Think of LLMs as a Toolkit?

- **LLMs are not magic:** They require crafted prompts, proper infrastructure, and optimization for reliable operation. Raw models alone are not enough.
- **Building applications:** Real-world LLM apps combine models, retrieval systems (RAG), external tools, and pipelines.
- **Practical engineering is crucial:** Success depends on handling scaling, failures, latency, and integration challenges.

Prompt Engineering: Introduction

- **Prompt as control:** A prompt directs what the LLM should focus on — it's like writing a mini-program through text.
- **Good prompts = Better outputs:** Specific, well-structured prompts yield much more reliable and useful results.
- **Programming by language:** Unlike code, prompts shape behavior dynamically through careful wording.

Prompt Engineering Techniques

- **System Prompts:** Set global behavior (e.g., You are an expert legal advisor.)
- **Chain-of-Thought (CoT):** Ask the model to reason step-by-step instead of jumping to conclusions.
- **Few-Shot Prompting:** Give examples inside the prompt to teach the task style.
- **Zero-Shot Prompting:** Expect the model to generalize without examples.
- **Tool-Use Prompting:** Direct models to call APIs, calculators, or plugins when needed.

Reference: Chain-of-Thought Paper

Designing Effective Prompts

- **Be clear and specific:** Avoid ambiguity. Tell the model exactly what you expect.
- **Provide context and background:** The more the model knows about the situation, the better it can respond.
- **Define the output format:** Specify if you want an answer as text, bullet points, table, or code.
- **Give examples:** Show the model what a good output looks like through in-context learning.

Examples of Good Prompts

Bad Prompt:

- "Tell me about history." — Too vague, invites random responses.

Good Prompt:

- "Summarize the history of naval warfare in 5 bullet points, focusing on major innovations between 1500 and 1900."

Tip: Use role-play setups, e.g., "Act as a historian specializing in naval technology."

Why Prompt Engineering Matters

- **Prompt quality = Output quality:** Clear prompts reduce errors, hallucinations, and vague responses.
- **Small changes, big impact:** Even wording order can drastically change results.
- **Essential for serious apps:** Chatbots, tutoring systems, search engines all depend on prompt quality.

LLM Architecture Essentials

- **Tokenizer:** Breaks text into tokens (smaller units of words/symbols) for the model to process.
- **Embedding Layer:** Converts tokens into high-dimensional vectors carrying semantic meaning.
- **Transformer Block:** Core engine — uses self-attention to model relationships between words.
- **Output Head:** Maps model predictions back into human-readable text.

Reference: Attention is All You Need

Popular Open-Source and Paid Tools

Open-Source:

- llama.cpp: Lightweight local LLM inference.
- Ollama: Easy install-and-use local LLM runner.
- Hugging Face Models: Huge repository of pre-trained models.
- LangChain: Framework for chaining prompts, models, APIs together.
- Haystack: End-to-end production-ready RAG system.

Paid/Hosted:

- OpenAI API: Direct API access to powerful models (GPT-4, GPT-3.5).
- Anthropic Claude: Safer, instruction-following models.
- OpenRouter: Unified API gateway for many LLM providers.

Strengths and Weaknesses

- **Open-Source:** Free, customizable, but needs technical setup and hardware.
- **Paid APIs:** Quick and powerful, but costly and less flexible for special needs.
- **Local LLMs:** Offer privacy and control, but may struggle with model size and speed.
- **Cloud-hosted LLMs:** Scale easily but introduce latency, API limits, and higher operational costs.

Setting Up LLMs: Practical Considerations

- **Model Size Matters:** 7B models need 8-16 GB RAM, 65B models need high-end GPUs.
- **Quantization Helps:** Shrinks models by using lower precision (int8/fp16) without major accuracy loss.
- **Memory/Compute:** Plan based on expected load — inference can be memory intensive.
- **Latency Tradeoffs:** Smaller models are faster but less powerful. Match model size to use case.

Reference: Huggingface Quantization Guide

Typical LLM Workflows

- **Small Projects:** Ollama + LangChain for prototyping local assistants.
- **Enterprise Apps:** vLLM server + Haystack RAG for scalable solutions.
- **Cloud Deployments:** OpenAI API + lightweight frontend apps.

Workflow Example: User Query → Retriever (Docs) → Enriched Prompt → LLM → Final Output

Recommended Starting Points

- Use OpenAI API + LangChain to quickly build working prototypes.
- Use Ollama to experiment and fine-tune small LLMs offline.
- Use RAG pipelines to enhance retrieval accuracy and answer relevance.
- Quantize large models to run them on moderate hardware.

Moving Beyond Static Prompts

What is Agentic AI?

- **Agentic AI:** Models that plan, reason, act, and adapt across steps — not just single reply generation.
- LLM becomes part of a feedback loop rather than a one-shot answer engine.
- Crucial for complex tasks like research assistants or autonomous bots.

Why Agents?

- **Limit of Static Prompts:** Can't adapt if the situation changes mid-process.
- **Agents handle complexity:** Plan → Act → Observe → Re-plan if needed.
- **Adaptive workflows:** Adjust course of action dynamically based on results.

Components of an LLM Agent

- **Memory:** Stores prior actions, results, and conversation state.
- **Tools:** API access, databases, search engines, calculators.
- **Planner:** Decomposes goals into achievable sub-tasks.
- **Executor:** Executes actions, monitors outputs, and feeds back into planning.

How LLM Agents Work (Simplified Loop)

- ① Receive goal from user.
- ② Plan initial action or query.
- ③ Call necessary tools / generate intermediate outputs.
- ④ Observe results, analyze gaps.
- ⑤ Re-plan or finalize output.

Inspired by frameworks like LangGraph, AutoGPT.

Example Agent Frameworks

- AutoGPT: Autonomous goal-driven agents.
- LangChain Agents: Modular action planning.
- BabyAGI: Recursive task list managers.
- OpenAI Assistants API: Natively build agents with OpenAI models.

Use Cases of LLM Agents

- Research automation.
- Multi-step data processing and analysis.
- Dynamic task orchestration (retrieval + writing + verifying).
- Customer support assistants.

Practical Considerations

- **Cost:** Multi-step agent plans mean more token usage → higher billing.
- **Latency:** Each decision cycle adds time.
- **Failure Handling:** Agents must handle retries, dead-ends, unexpected responses.
- **Safety:** Critical to bound agent behaviors to avoid misuse.

Summary

- LLM success = Combining prompts, architecture, retrieval, tools, and agents.
- Choose right size models and tools for your needs.
- Master Prompt Engineering, Quantization, RAG, and Agentic AI for future-proof systems.

Questions?