

SOFTWARE ENGINEERING

C03001

CHAPTER 2 — SOFTWARE PROCESSES

Anh Nguyen-Duc
Tho Quan Thanh



Adapted from <https://iansommerville.com/software-engineering-book/slides/>

TOPICS COVERED

- ✓ Software process models
 - ✓ Process activities
 - ✓ Coping with change
 - ✓ Process improvement
-
- ✓ Menti + Breakout room for discussion



- ✓ What is your understanding of a “Software Process”?
- ✓ Have you used any “Software Process Model” in your practice?
 - Which models?
 - Examples?
 - Uses? Strengths/Weaknesses?
 - Observations?



Spring 2021

SOFTWARE ENGINEERING — *FOR ORIENTATION*

- ✓ Software Engineering is a branch of systems engineering concerned with the development of **large and complex software** intensive systems. It focuses on:
 - the **real-world goals** for, **services provided** by, and **constraints** on such systems,
 - the **precise specification** of systems **structure and behaviour**, and the implementations of these specifications,
 - the **activities required in order to develop** an **assurance** that the specifications and real world-world goals have been met,
 - the **evolution of these systems over time**, and **across systems families**,
 - It is also concerned with the **processes, methods** and **tools** for the development of software intensive systems in **an economic** and **timely manner**.

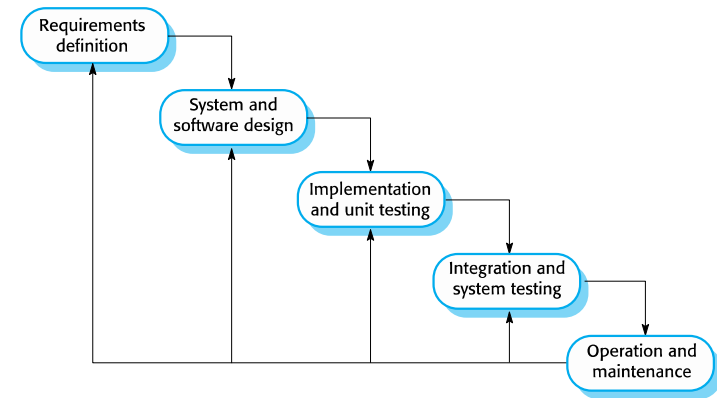
Reference: A. Finkelstein



THE SOFTWARE PROCESS

- ✓ A structured set of activities required to develop a software system.
- ✓ Many different software processes but all involve:
 - Specification
 - Design and implementation
 - Validation
 - Evolution.
- ✓ A software process model
 - an abstract representation of a process

THE WATERFALL MODEL

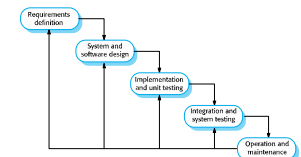


In principle, a phase has to be complete before moving onto the next phase.

SOME SOFTWARE PROCESS MODELS

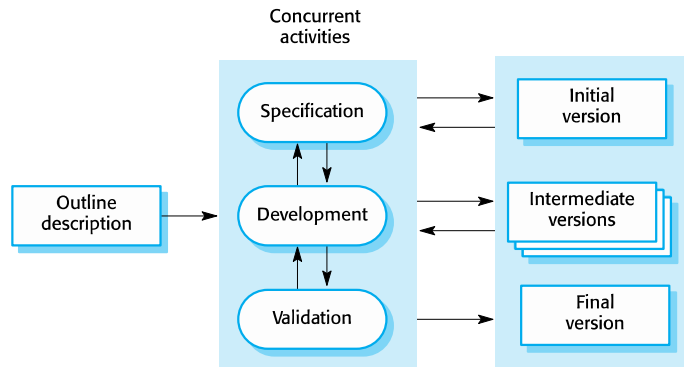
- ✓ The waterfall model
 - Plan-driven model.
 - Separate and distinct phases of specification and development.
- ✓ Incremental development
 - Specification, development and validation are interleaved.
 - May be plan-driven or agile.
- ✓ Integration and configuration
 - The system is assembled from existing configurable components.
 - May be plan-driven or agile.
- ✓ In practice, most large systems are developed using a process that incorporates elements from all of these models.

WATERFALL MODEL USAGES



- ✓ The main drawback:
 - the difficulty of accommodating change after the process is underway.
- ✓ Mostly used for large systems engineering projects
 - a system is developed at several sites.
 - the plan-driven nature of the waterfall model helps coordinate the work.
- ✓ When the requirements are well-understood and changes will be fairly limited during the design process.
 - Few business systems have stable requirements.

INCREMENTAL DEVELOPMENT



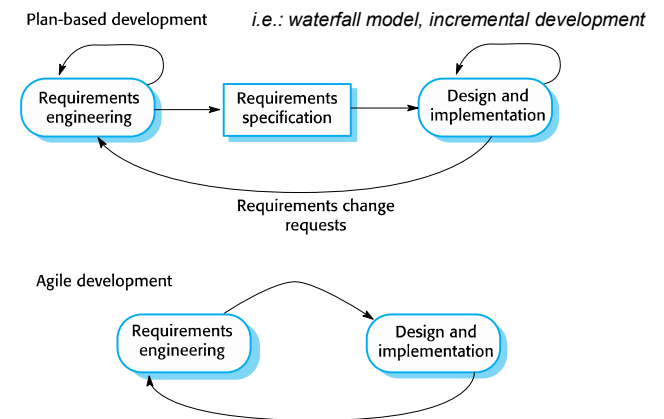
INCREMENTAL DEVELOPMENT PROBLEMS

- ✓ The process is not visible.
 - Managers need regular deliverables
 - Not cost-effective to produce documents for every product version
- ✓ System structure tends to degrade as new increments are added.
 - Need time and money on refactoring to improve the software
 - Regular change tends to corrupt the structure.
 - Incorporating further software changes becomes increasingly difficult and costly.

INCREMENTAL DEVELOPMENT BENEFITS

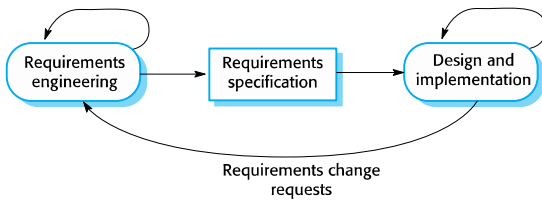
- ✓ Reduce the cost of accommodating changing customer requirements
- ✓ Easier to get customer feedback on the development work that has been done.
- ✓ More rapid delivery and deployment of useful software to the customer

AGILE DEVELOPMENT

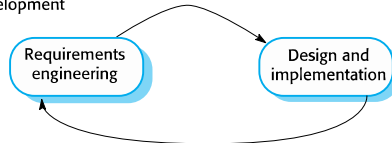


AGILE DEVELOPMENT

Plan-based development *i.e.: waterfall model, incremental development*



Agile development



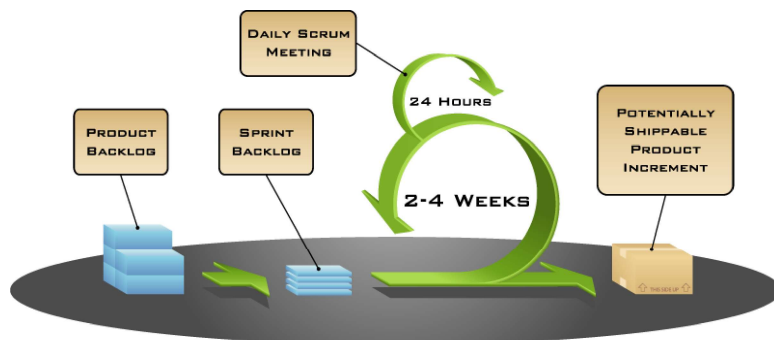
CHAPTER 3. AGILE SOFTWARE DEVELOPMENT 13

AGILE DEVELOPMENT



PROs	CONs
More flexible	Hard to predict
Product get to market faster	Final product is not released first
Better communication	Documentation gets left behind

SCRUM — THE MOST POPULAR AGILE DEVELOPMENT APPROACHES



COPYRIGHT © 2005, MOUNTAIN GOAT SOFTWARE

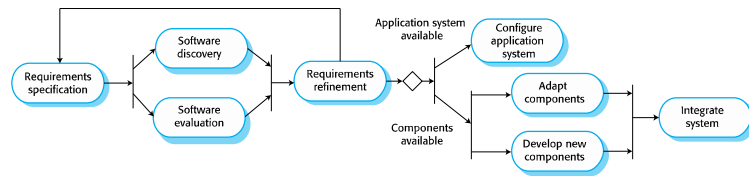
REUSE-ORIENTED SOFTWARE ENGINEERING

- ✓ Based on software reuse where systems are integrated from existing components or application systems (COTS - Commercial-off-the-shelf) systems).
 - Stand-alone application systems (COTS)
 - Package objects / component framework such as .NET or J2EE.
 - Web services
- ✓ Reused elements may be configured to adapt their behaviour and functionality to a user's requirements
- ✓ Reuse is now the standard approach for building many types of business system

Aug 2019

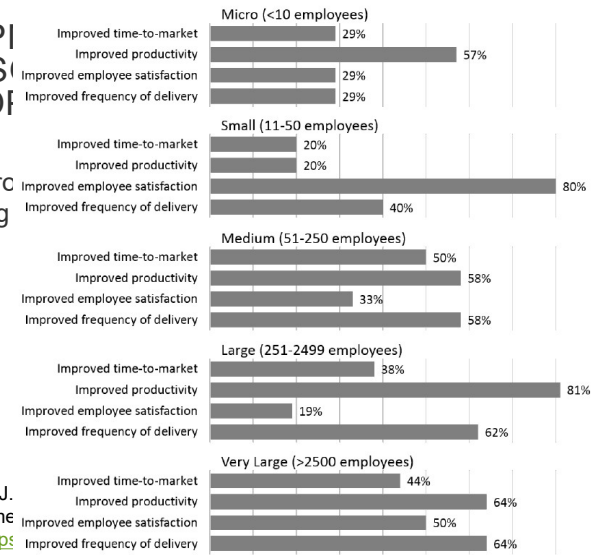
CHAPTER 2. SOFTWARE PROCESSES 16

REUSE-ORIENTED SOFTWARE ENGINEERING



"HYBRID DEVELOPMENT APPROACHES IN SOFTWARE DEVELOPMENT"

Many companies face the problem of finding a development approach fitting



Kuhrmann, M., P. Diebold, J.
"Hybrid Software Development"
Software 36 (4): 20–31. <https://doi.org/10.1007/s00236-019-00333-3>

ADVANTAGES AND DISADVANTAGES

- ✓ Reduced costs and risks as less software is developed from scratch
- ✓ Faster delivery and deployment of system
- ✓ But requirements compromises are inevitable so system may not meet real needs of users
- ✓ Loss of control over evolution of reused system elements

A DISCUSSION CASE

https://docs.google.com/document/d/1S05m-iNqgjqhPTAkPJM6FfoXdnmv6EO4q_drPjeXqTA/edit?usp=sharing

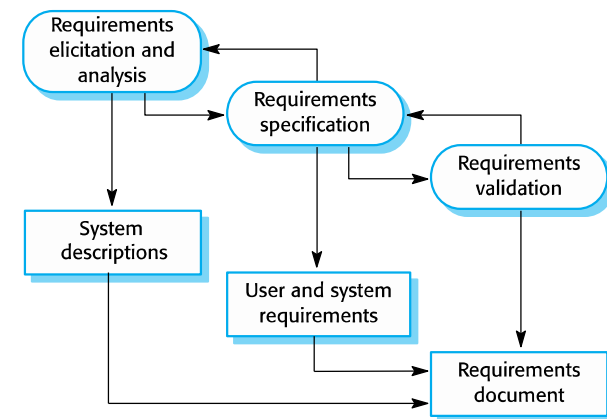
PROCESS ACTIVITIES

- Real software processes are **inter-leaved sequences** of technical, collaborative and managerial activities with the overall goal of specifying, designing, implementing and testing a software system.
- The four basic process activities (specified in your book) of specification, development, validation and evolution are **organized differently in different development processes**.
- In the waterfall model, they are organized in **sequence**, whereas in incremental development they are **inter-leaved**.

ACTIVITY: SOFTWARE SPECIFICATION

- ✓ The process of establishing what services are required and the constraints on the system's operation and development.
- ✓ Feasibility study
- ✓ Use: Requirements engineering process
 - Requirements elicitation and analysis
 - Requirements specification
 - Requirements validation

THE REQUIREMENTS ENGINEERING PROCESS



ACTIVITY: SOFTWARE DESIGN AND IMPLEMENTATION ~ SOFTWARE DEVELOPMENT

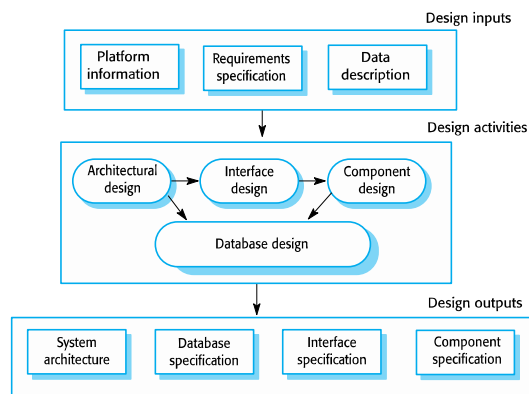
- ✓ The process of converting the system specification into an executable system.
- ✓ Two (sub) activities:
 - Software design
 - Design a software structure that realises the specification;
 - Implementation
 - Translate this structure into an executable program;
 - The activities of design and implementation are closely related and may be inter-leaved.



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 25

A GENERAL MODEL OF THE DESIGN PROCESS



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 26

SYSTEM IMPLEMENTATION

- ✓ The software is implemented either by developing a program or programs or by configuring an application system.
- ✓ Design and implementation are interleaved activities for most types of software system.
- ✓ Programming is an individual activity with no standard process.
- ✓ Debugging is the activity of finding program faults and correcting these faults.



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 27

ACTIVITY: SOFTWARE VALIDATION

- ✓ Verification and validation (V & V)
 - to show that a system conforms to its specification and meets the requirements of the system customer.
- ✓ Involves checking and review processes and system testing.
 - System testing: executing the system with test cases
 - Testing: the most commonly used V & V activity.

building the thing right?



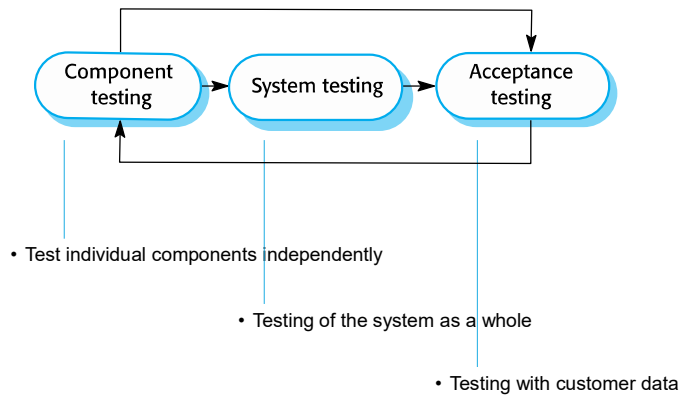
building the right thing?



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 28

STAGES OF TESTING

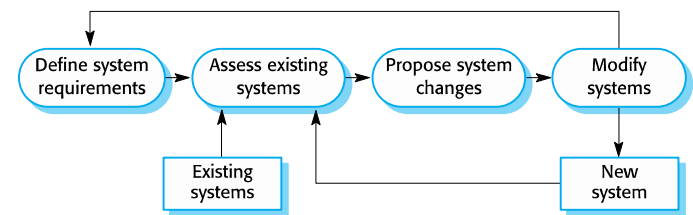


Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 29

ACTIVITY: SOFTWARE EVOLUTION

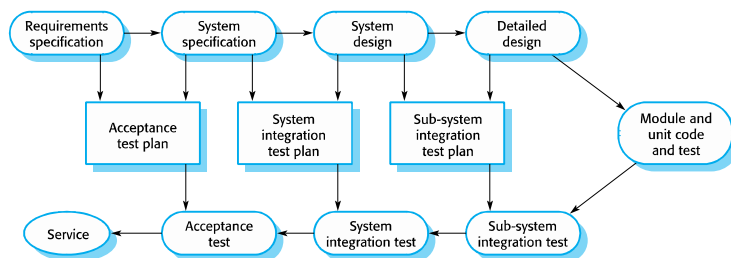
- ✓ Software is inherently flexible and can change.
- ✓ Requirements can change
 - (changing business circumstances) => the software must also evolve and change.



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 31

TESTING PHASES IN A PLAN-DRIVEN SOFTWARE PROCESS



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 30

COPING WITH CHANGE



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 32

COPING WITH CHANGE

- ✓ Change is inevitable in all large software projects.
 - Business changes
 - New technologies
 - Changing platforms
- ✓ Change leads to rework
 - costs include rework (re-analysing requirements) and implementing new functionality



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 33

SOFTWARE PROTOTYPING

- ✓ A prototype is an initial version of a system used to demonstrate concepts and try out design options.
- ✓ A prototype can be used in:
 - requirements engineering process: requirements elicitation and validation;
 - design processes: options and develop UI design;
 - testing process: run back-to-back tests.

Benefits:

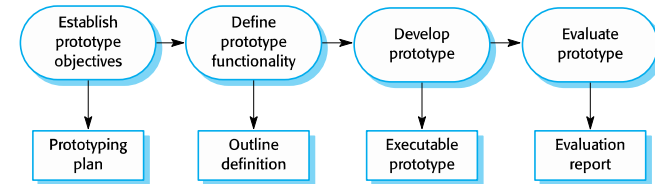
- Improved system usability.
- A closer match to users' real needs.
- Improved design quality.
- Improved maintainability.
- Reduced development effort.

34



Aug 2019

THE PROCESS OF PROTOTYPE DEVELOPMENT



Prototype development:

- May be based on rapid prototyping languages or tools
- May involve leaving out functionality



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 35

INCREMENTAL DELIVERY

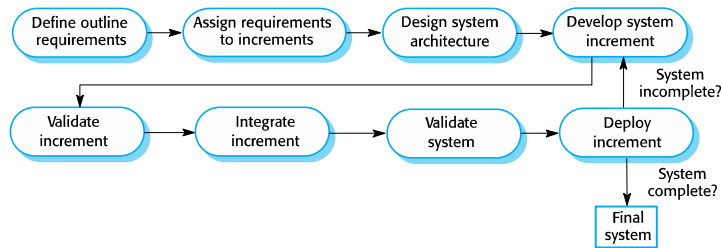
- ✓ The development and delivery is broken down into increments
 - each increment delivering part of the required functionality.
 - user requirements are prioritised and the highest priority requirements are included in early increments.
- ✓ Two approaches:
 - Incremental development: by developer
 - Incremental delivery: for end-user



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 36

INCREMENTAL DELIVERY



Advantages:

- system functionality is available earlier.
- early increments act as a prototype
- lower risk of overall project failure.
- highest priority system services receive most testing.

Problems:

- may require a set of basic facilities
- the specification is developed in conjunction with the software.

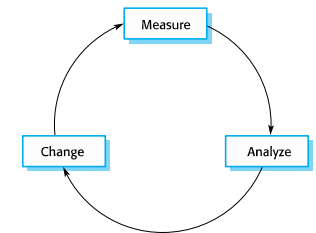
PROCESS IMPROVEMENT

✓ Software process improvement

- enhancing the quality of software,
- reducing costs
- or accelerating development processes.

✓ Process improvement

- understanding existing processes
- and changing these processes



PROCESS IMPROVEMENT ACTIVITIES

✓ Process measurement

- You measure one or more attributes of the software process or product. These measurements form a baseline that helps you decide if process improvements have been effective.

✓ Process analysis

- The current process is assessed, and process weaknesses and bottlenecks are identified. Process models (sometimes called process maps) that describe the process may be developed.

✓ Process change

- Process changes are proposed to address some of the identified process weaknesses. These are introduced and the cycle resumes to collect data about the effectiveness of the changes.

PROCESS IMPROVEMENT

THE CAPABILITY MATURITY MODEL (CMM)

- ✓ Capability Maturity Model Integration (CMMI) is a process level improvement training and appraisal program
- ✓ CMMI defines the most important elements that are required to build great products, or deliver great service
- ✓ It is required by many U.S. Government contracts, especially in software development.

SOFTWARE PROJECT DOCUMENTATION

Activity	Document
Validation & Verification	SVVP - Software Validation & Verification Plan
Quality Assurance	SQAP - Software Quality Assurance Plan
Configuration	SCMP - Software Configuration Management Plan
Project status	SPMP - Software Project Management Plan
Requirements	SRS - Software Requirements Specifications
Design	SDD - Software Design Document / Software Detail Design Document
Code	Source Code
Testing	STD - Software Test Document
Operation	User's Manual



Aug 2019

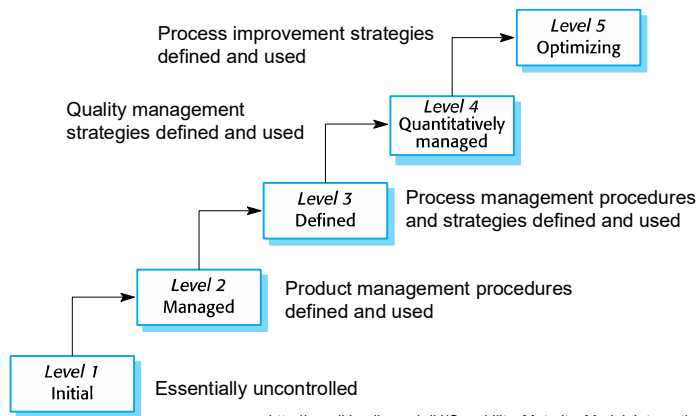
CHAPTER 2. SOFTWARE PROCESSES 41



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 43

THE CAPABILITY MATURITY MODEL (CMM)



SUMMARY

- ✓ Software processes
- ✓ Software process models
 - waterfall, incremental development, reuse-oriented development.
- ✓ Fundamental activities:
 - Requirements engineering: developing specification.
 - Design and implementation: transforming a requirements specification into an executable software system
 - Software validation: checking that the system conforms to its specification.
 - Software evolution: change existing software systems to meet new requirements



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 42



Aug 2019

CHAPTER 2. SOFTWARE PROCESSES 44

SUMMARY (CONT.)

- ✓ Coping with change
 - prototyping
 - iterative development and delivery
- ✓ Process improvement
 - agile approaches, geared to reducing process overheads,
 - maturity-based approaches based on better process management
 - and the use of good software engineering practice.
- ✓ The SEI process maturity framework (CMM)
 - identifies maturity levels that essentially correspond to the use of good software engineering practice.