



CUSTOMER ACCOUNT OPENING INFORMATION FORM

Name of Account Holder _____

Customer Account No. _____

BO Account No.

1	2	0	5	5	9	0	0										
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Mailing Address _____

Name of Joint Account Holder _____

Phone No. _____ E-mail _____

“শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ, জেনে ও বুঝে বিনিয়োগ করুন।”

REQUIRED DOCUMENT INFORMATION

List of Required Documents of Individual/Joint Account

		Remarks
1. Three copies of passport size photographs (1st Applicant)	Yes / No	<input type="text"/>
2. Three copies of passport size photographs (2nd Applicant)	Yes / No	<input type="text"/>
3. National ID Card/Passport	Yes / No	<input type="text"/>
4. Bank Statement/Cheque Leaf	Yes / No	<input type="text"/>
5. Two copies of photographs of nominee (duly attested by A/C holder)	Yes / No	<input type="text"/>
6. Three copies of photographs of authorized/POA duly attested by A/C holder (If needed)	Yes / No	<input type="text"/>
7. National ID Card/Photo of nominee/authorized/POA. (duly attested by A/C holder)	Yes / No	<input type="text"/>
8. Buy/Sale Order book signed by client + Pay-in		

List of Required Documents Non-Resident Bangladeshi (Individual & Joint Account)

1. Three copies of passport size photographs of the account holder.	Yes / No	<input type="text"/>
2. Photocopy of Passport (with valid visa) of account holder.	Yes / No	<input type="text"/>
3. Copy of Service agreement with the employer/Work permit/Residence Permit/Social Security Card/Business documents.	Yes / No	<input type="text"/>
4. Foreign Currency (FC) Bank account certificate containing name of the applicant name of father & mother and bank account number.	Yes / No	<input type="text"/>
5. Two copies passport size photographs of the Nominee(s) in any (Attested by account holder).	Yes / No	<input type="text"/>
6. Three copies passport size photographs of authorized & POA (attested by account holder).	Yes / No	<input type="text"/>
7. Three copies passport size photographs of 2nd applicant (attested by account holder at the back).	Yes / No	<input type="text"/>
8. Photocopy of Passport (with valid visa of 2nd applicant).	Yes / No	<input type="text"/>
9. Agreement of POA on Non-Judicial stamp of Tk-300/- (Duly attested notary public)	Yes / No	<input type="text"/>

List of Required Documents of Bangladeshi Institutions

1. Copy of Memorandum and Articles of Associations (duly attested).	Yes / No	<input type="text"/>
2. Photocopy of Certificate of Incorporation (duly attested).	Yes / No	<input type="text"/>
3. Copy of Valid Trade License (if any).	Yes / No	<input type="text"/>
4. Copy of Board resolution to open the account and authorization for operation.	Yes / No	<input type="text"/>
5. List of authorized signatories along with address.	Yes / No	<input type="text"/>
6. Three copy of passport size photographs of the CEO/MD/Chairman (duly Attested).	Yes / No	<input type="text"/>
7. Three copy of passport size photographs of authorized person (duly attested).	Yes / No	<input type="text"/>
8. Bank Statement/Photocopy of Cheque Leaf.	Yes / No	<input type="text"/>
9. Photocopy of NID/Passport of CEO/MD/Chairman/Director/Authorized Person.	Yes / No	<input type="text"/>

List of requirement documents (Proprietorship)

1. Copy of Valid Trade License	Yes / No	<input type="text"/>
2. NID Copy & Photograph of Contact Person, 3. Bank statement/Cheque Leaf.	Yes / No	<input type="text"/>

List of requirement documents (Partnership)

1. Copy of Valid Trade License.	Yes / No	<input type="text"/>
2. Partnership Deed.	Yes / No	<input type="text"/>
3. NID Copy & Photograph of Authorized Signatory (if any) attester by all the partners.	Yes / No	<input type="text"/>
4. Partners letter of authority to open account and authorization for operation.	Yes / No	<input type="text"/>
5. Bank Statement/Check Leaf.	Yes / No	<input type="text"/>

List of requirement document (Association/Trust/Society/Provident Fund)

1. Trust Deed (for trust account only) duly attested	Yes / No	<input type="text"/>
2. Copy of Constitution/by laws/Rules	Yes / No	<input type="text"/>
3. Certificate of registration.	Yes / No	<input type="text"/>
4. List of members of the governing bodies along with address	Yes / No	<input type="text"/>
5. Reorganization letter from NBR (for Provident fund only)	Yes / No	<input type="text"/>
6. Bank Statement/Check Leaf.	Yes / No	<input type="text"/>
7. Copy of Board Resolution of governing body to open the account and authorization for operation (Company pad).	Yes / No	<input type="text"/>
8. TIN Certificate.	Yes / No	<input type="text"/>
8. List of authorized signature along with addresses.	Yes / No	<input type="text"/>
9. List of authorized signatories along with addresses.	Yes / No	<input type="text"/>
10. NID Copy & Photograph of authorized person (duly attested) Trust Member	Yes / No	<input type="text"/>

Photograph
of
1st Account Holder

Photograph
of
Joint Account Holder

INDIVIDUAL / JOINT INFORMATION

Date

Customer Account No.

BO ID No.

Account Type : Cash ☐ Margin ☐

NRB: Yes ☐ No ☐

Status : Individual ☐ Joint ☐

Special Remarks (if any)

Principal Applicant's Name

.....

Father's Name :

Mother's Name :

Spouse Name : Sex : ☐ Male ☐ Female

Date of Birth : Occupation : Nationality :

Mailing Address :

Premanent Address :

Mobile : E-mail : Fax No :

National ID No/Birth Certificate : TIN No :

Passport No : Issue Place : Issue Date : Expiry Date :

Joint Applicant's Name

.....

Father's Name :

Mother's Name :

Spouse Name : Sex : ☐ Male ☐ Female

Date of Birth : Occupation : Nationality :

Mailing Address :

Premanent Address :

Mobile : E-mail : Fax No :

National ID No/Birth Certificate : TIN No :

Passport No : Issue Place : Issue Date : Expiry Date :

Particulars of Authorised Person, if any

Full Name:

Father's/Husband's Name:

Address:

NID No/PP/Birth Certificate:

Phone:

Fax:

Mobile:

Email:

Recent Photograph
of
Authorised Person

Signature of Authorised Person

Nominee Details

	Nominee 1	Nominee 2
Name		
Address		
Mobile No		
Father's/Spouse Name		
Date of Birth		
NID No/ Birth Certificate		
Relation of Account Holder		
Percentage %		
Signature with date	✓✓	✓✓

Photograph of
Nominee(s)

If nominee is minor, name. Address and relationship of local guardian: _____

Whether the customer is an Officer or Director of any Stock Exchange/Listed Company? Yes ☐ No ☐

If yes, Name & Address of the Stock Exchange/Listed Company _____

Name & Address of the Person introducing the Customer _____

Special Instructions, if any _____

✓

Date and Signature of Customer

Date and Signature of Joint A/C Holder

Date and Signature of Introducer

Authorised Signature CDBL

Authorised Signature
on behalf of UCB Capital Management Ltd.

Authorised Signature
on behalf of UCB Capital Management Ltd.

“শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ,
জেনে ও বুঝে বিনিয়োগ করুন।”

Photograph
of
Account Holder

INSTITUTION / ORGANIZATION INFORMATION

Date

Customer Account No.

BO ID No.

Account Type : Cash ☐ Margin ☐ Type of Company : Public ☐ Private ☐ Partnership ☐ Proprietorship ☐

Special Remarks (if any)

Name of the Institution/Organization

Address

Phone No E-mail :

Mobile: Fax No :

Name of MD/CEO :

Organisation Status :

Registration Number : NID No

Trade License No TIN No

Date of Incorporation :

Whether the company is listed in any Stock Exchange? Yes ☐ No ☐

If yes, Name of the Stock Exchange :

Name and contact details of the Authorised Person of the Customer to deal with **UCB Capital Management Ltd.**

Name	Designation	Telephone/Mobile	E-mail	Fax
1.				
2.				
3.				
4.				

Whether the Customer is an Officer or Director of any Stock Exchange/Listed Company? Yes ☐ No ☐

If yes, Name & Address of the Stock Exchange/Listed Company

Name & Address of the Person Introducing the Customer

Special Instruction, if any:

Name & Signature of Authorised Person (s) of the Customer

Name	Signature	Date
1.		
2.		
3.		
4.		

Date & Signature of the Person Introducing the Customer

✓ _____
Date, Signature & Seal Customer

Authorised Signature CDBL

Authorised Signature
on behalf of UCB Capital Management Ltd.

Authorised Signature
on behalf of UCB Capital Management Ltd.

Terms and Condition

Article –1 General Terms

- 1-1 The client has absolute discretionary power to make investment decisions and may from time to time instruct the Authorised Representative (A/R) to make such investment(s) for and on behalf by the following means of communication:
- (a) Order Slip of UCB Capital Management Limited
 - (b) Written instruction signed by the client
 - (c) Faxed instruction signed by the client
 - (d) Other evidence of order recorded through any electronic devices accepted by the UCB Capital Management Limited
- 1-2 The means of Communication shall constitute evidence of orders made by the client to the AR, which when executed shall be conclusive and binding on the client.
- 1-3 All instruction and orders made by the client over telephone or any other electronic and electrical devices shall be logged by the AR in its system and such records shall be conclusive that the instructions have given by the client and the transactions so ordered or instructed shall be binding on the client.
- 1-4 Order by electronic transmission to the AR shall be made at the risk of the Client and the AR shall under no circumstance be held responsible for any loss due to non-transmission arising out of any electronic, electrical or mechanical fault.
- 1-5 In case of telephonic and e-mail support, UCB Capital Management Limited will only correspond to those number(s) and address that have been provided in the account opening form.
- 1-6 In case of telephonic order, Client/POA holder has to sign buy/sell order within 24 hours after the execution of his trade order.
- 1-7 If this is a Joint Account, unless the account holders notify UCB Capital Management Limited otherwise and provide such documentation, as UCB Capital Management Ltd. may require, the brokerage customer account(s) shall be held by the account holders jointly with rights of survivorship (payable to either or survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection to this agreement. UCB Capital Management Limited shall be fully protected in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to UCB Capital Management Limited pursuant to this agreement, whether incurred by either or both of the account holders. Unless and otherwise it is mentioned in case of joint account. both the account holder will be treated as equal partner and in case of death and any other accident the account balance will be divided equally to the joint account holders or their respective nominees. if any.
- 1-8 Margin Agreement has to be signed properly before availing Loan.
- 1-9 The Account Holder must show his/her latest portfolio to AR before placing an order.
- 1-10 The client shall be eligible to purchase only securities approved by the Management in his/her Margin Account.
- 1-11 The Management may, as its discretion, from time to time add new securities to or remove existing securities from the list of approved securities.
- 1-12 The client cannot sell any instrument until the instrument is sellable/matured.
- 1-13 The client shall only be allowed to buy a particular instrument if he/she has purchase power to buy that instrument in his/her Margin Account.
- 1-14 The client has to sign pay in slip and trade confirmation after execution of his/her given order.
- 1-15 The AR upon acceptance of an order shall undertake necessary steps to execute such orders of the client. If the Means of Communication is not satisfactory, the AR shall not be obliged to comply with such order but revert back to client for clarification.
- 1-16 If the value of an order of the client exceeds the purchasing power of the client, which will be determined by the available cash and Margin Loan in the account, the AR shall have the discretion either to reject the order or modify the order by reducing the order quantity and/or eliminating a specific order in his/her Margin Account.
- 1-17 The sole responsibility for making investment decision shall be on the client and the AR will not make any discretionary investment decision for or on behalf of the client.
- 1-18 The AR shall under no circumstance be responsible or liable for any result whether adverse or otherwise of the investment decisions of the Client.
- 1-19 The client acknowledges that the AR neither guarantees any rate of return nor profit from any investment to the client nor shall be responsible for any losses caused to the client due to fluctuations of the capital market.
- 1-20 The client may from time to time appoint or remove any person as Authorized Person/Power of Attorney holder to act on behalf of the client.
- 1-21 Such Authorized Person shall be able to do all acts and give instructions or orders for all transactions under this Agreement, including operating the account(s) of the client and shall be acting for and on behalf of the client or described in the power of attorney declaration by the client until he/she removed in the manner stipulated hereunder.

- 1-22 The AR may act on the instructions of an Authorized Person until it actually receives written notice from the client of the removal of such Authorized Person and may EI any event act on any instructions issued by the Authorized Person before the date of receipt of the written notice of such removal.
- 1-23 The client shall bear the full responsibility of the acts or omission of its authorized person. The AR shall not be held liable for any irregularities or unauthorized acts committed by the Authorized Person including and not limited to, unauthorized placing of securities purchase/sale orders or withdrawal of fund/securities.
- 1-24 In case of client is a limited company, institution, association, trust or a firm, the signatories to this Agreement shall provide full corporate documents including board approvals and shareholders' approval(if any) supporting the appointment of the Authorized Person prior to the agreement.
- 1-25 The client shall solely responsible for notifying the Bangladesh Securities and Exchange Commission and other concerned authorities in the case of acquiring substantial shares of a company and/or trading shares of companies in which the client holds Directorship or in other case where it is obligatory to notify the regulatory authorities.

Article - 2 Fees Charges and Interest

- 2-1 The account holder will pay a brokerage commission of..... (in words)..... and any other related expenses and charges that may from time to time be applicable. Every transaction concluded through and recognized by the DSE or the CSE is subject to transaction levies or other fees imposed by the DSE & CSE. The account holder understands that he rate of commission may be changed from time to time.
- 2-2 The account holder will pay interest at the rate of.....in his/her margin account.The account holder understands that the rate of commission may be changed from time to time.

Article - 3 Nominee

- 3-1 In the event of death of the Client. the nominee(s) as prescribed by the Client in the application form shall be the only person(s) recognized by the Management as being entitled to the asset of the portfolio.
- 3-2 In case nominee(s) are not mentioned, the Management shall not be obliged to deal with any person or persons accept any right, title or interest of any person unless such person in holding a valid succession certificate probate or letter of administration covering the investment from a court of competent jurisdiction and subject to such further documents or evidence that the Management may require.

Article - 4 Notice

- 4-1 Any notice provided for in this Agreement shall be in writing and shall be first transmitted by facsimile transmission, and then confirmed by registered mail or courier service, in the manner as elected by the party giving such notice to the addresses provided in account opening form.
- 4-2 All notices shall be deemed to have been validity given on (i) the Business Day when the transmission was made, if transmitted by facsimile transmission, or (ii) the expiry of 3 (three) Business Day after posting if transmitted by registered mail or (iii) the Business day of receipt, if transmitted by courier.
- 4-3 Any party may, from time to time, change its address or representative for receipt of notices provide for in this Agreement by giving to the other party. not less than 10 (ten) days prior written notice.
- 4-4 Client has to strictly follow the laws. rules and regulation of all the regulatory bodies.
- 4-5 In case of deposit through cheque, the client cannot trade until his/her deposited cheque is matured.
- 4-6 In case of cash deposit, the client can deposit a maximum amount of Taka 05 (five) Lac cash to the respective bank referred by the UCB Capital Management Limited in any given day.
- 4-7 In case of money withdrawal, Client has to submit a money withdrawal requisition slip to the Accounts Department of UCB Capital Management Limited. UCB Capital Management Limited shall only Ararrfer the fund to the designated Account of the My clint to AP/POA Holder's name, client has to submit a Registered POA Agreement from 1st Class Magistrate. In this case, UCB Capital Management Limited's decision will be full and final.
- 4-8 If any change(s) regarding account information, client must place a written request to the CEO/Managing Director of UCB Capital Management Limited
- 4-9 Client must check and verify all documents he/she receives from UCB Capital Management Limited and make sure that those documents are duly signed by Authorized Person of the company. Once satisfied he/she should sign in those documents. If any documents is not duly signed by the Authorized Signature of any employee then client cannot make any complain against that particular document

Article - 5 Termination of Agreement

- 5-1 This agreement shall continue in force until terminated by either party giving to the other not less than 30 (Thirty) Business Days' notice in writing provided that either party may upon serving written notice to terminate this Agreement in occurrence of any once of the following events :
- (a) The other party is in breach of material terms of this Agreement, which shall not been remedied within 15 (Fifteen) days after serving of written notice requiring the breach to be remedied.
 - (b) The other party shall go into bankruptcy or liquidation, a resolution is passed for its winding up or a receiver or similar officer is appointed over any assets of the party.
 - (c) Occurrence of any other matter that causes permanent disability for the Manager to carry out portfolio management functions.
- 5-2 The company reserves the right and sole discretion to liquidate the Portfolio of the Client in whole or in part without notice to the Client when total investment of the account holder falls below 125% of the debit balance in the margin account to bring the equality to not less than 150% of the debit balance.

Article – 6 Governing law and jurisdiction

6-1 This agreement shall be governed by the law of Bangladesh.

Article – 7 Miscellaneous

- 7-1 UCB Capital Management Limited shall be fully authorised to modify or vary the terms and conditions contained herein for compliance with any prevailing or change of relevant laws relating to matters herein.
- 7-2 The Schedule(s) and any rider(s) attached hereto including the Account Opening Form, together with this Agreement shall constitute the entire Agreement between the parties hereto and supplement this Agreement.
- 7-3 This Agreement is or shall be in compliance with the Bangladesh Securities and Exchange Commission (BSEC) laws and such rules, regulations, notices or circular issued by the Bangladesh Securities and Exchange Commission from time to time.

I/we have read the terms and conditions contained herein above. and having agreed with such terms and conditions.

I/we put my/our/company seal and signature on this the _____ day of _____, 20____

Applicant	Full Name	Signature with Date
First Applicant		✓
Second Applicant		
Third Applicant (Ltd. Co. Only)		

BO Account Opening Form

(Bye Law 7.3.3 (b))

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No.....

Date (DDMMYYYY).....

Please Tick whichever is applicable

BO Category: Regular ☐ Omnibus ☐ Clearing ☐ **BO Type :** Individual ☐ Company ☐ Joint Holder ☐

Name of CDBL Participant (Up to 99 Characters)		UCB Capital Management Limited	
CDBL Participant ID	BO ID	Date Account Opened (DDMMYYYY)	
5 5 9 0 0	1 2 0 5 5 9 0 0	2 0	

I / We request you to open a Depository Account in my / our name as per the following details:

1. First Applicant

Name in Full of Account Holder (Up to 99 Characters).....	
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)	Title i.e. Mr. /Mrs. /Ms. /Dr.
(In case of a Company/Firm/Statutory Body) Name of Contact Person	
In Case of Individual	<input type="checkbox"/> Male <input type="checkbox"/> Female
Occupation (30 Characters)	
Father's/Husband's Name.....	
Mother's Name.....	

2. Contact Details:

Address	
City.....	Post Code State / Division..... Country..... Telephone.....
Mobile Phone.....	Fax..... E-mail.....
National ID No	

3. Passport Details

Passport No.....	Issue Place.....	Issue Date.....	Expiry Date.....
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4. Bank Details : Bank NameBranch Name.....

Account No.....	Routing No.....
Electronic Dividend Credit: Yes <input type="checkbox"/> No <input type="checkbox"/>	Tax Exemption if any: Yes <input type="checkbox"/> No <input type="checkbox"/> TIN / Tax ID

5. Other Information

Residency: Resident <input type="checkbox"/> Non Resident <input type="checkbox"/>	Nationality.....	Date Of Birth (DDMMYYYY)
Statement Cycle Code Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Other (Please Specify)		
Internal Ref. No (To be filled in by CDBL Participant)		
In Case of Company:	Date of Registration (DDMMYYYY)	
Registration No		

6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters).....	
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr. abbreviate only if over 30 characters)	Title i.e. Mr. /Mrs. /Ms. /Dr.

7. Account Link Request

Would you like to create a link to your existing Depository Account ? Yes ☐ No ☐

If yes, then please provide the Depository BO Account Code (8 Digits):

8. Nominees/ Heirs

If account holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form - 23 must be filled up and signed by all account holders and the nominees giving names of nominees , relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address. relationship with nominee has also to be provided.

9. Power of Attorney (POA)

If account holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form - 20 must be filled up and signed by all account holders giving the name. contact details etc. of the POA holder and a POA document lodged with the form.

10. To be filled in by the Stock Broker / Stock Exchange In case the application is for opening a Clearing Account

Exchange Name DSE ☒ Trading ID.....10..... CSE ☒ Trading ID.....11.....

11. Photograph

Please paste recent passport size Photograph of 1st Applicant or Authorized Signatory in case of Limited Co. Only	Please paste recent passport size Photograph of 2nd Applicant or Authorized Signatory in case of Limited Co. Only	Please paste recent passport size Photograph of Authorized Signatory in case of Limited Co. Only
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1st Applicant or Authorized Signatory in case of Ltd Co.

2nd Applicant or Authorized Signatory in case of Ltd Co.

Authorized Signatory in case of Ltd Co. Only

12. Standing Instructions

I/We authorize you to receive facsimile (fax) transfer instructions for delivery. ☐ Yes ☐ No

13. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants / Authorised signatories in case of Ltd Co.	Signature with date
First Applicant		✓
Second Applicant		
3rd Signatory (Ltd Co. only)		

14. Special Instructions on operation of Joint Account

☐ Either or Survivor ☐ Any one can operate ☐ Any two will operate jointly

Account will be operated by _____ with any one of the others.

15. Introduction

Introduction by an existing account holder / employee of..... **UCB Capital Management Limited**
 Depository Participant's Name

I confirm the identity, occupation and address of the applicant(s).....
 Introducer's Name

..... Account ID 1 2 0 5 5 9 0 0

(Signature of Introducer)

Central Depository Bangladesh Limited (CDBL)
Depository Account (BO Account) opened with CDBL Participant
Terms & Conditions – Bye Laws 7.3.3(c)

CDBL Participant, Dhaka / Chittagong / Sylhet, Bangladesh

Dear Sir,

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of
..... **UCB Capital Management Limited** (the "CDBL Participant") opening the account providing depository account facilities to me/us.
I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations. 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination. signature, etc.;
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form
 - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
 - b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
 - c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs. executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:
 - (a) By rematerialization of all existing balances in my/our account:

✓

- (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
- (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my /our other account(s) with any other CDBL Participant(s):

8. CDBL Participant covenants that it shall

- act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
- not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- maintain adequate audit trail of the execution of the instructions of the Account Holder.
- not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on the records of the CDBL Participant;
 - The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
- furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
- promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

- Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Bangladesh Securities and Exchange Commission;
- Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
- Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of applicants / Authorised signatories in case of Ltd Co.	Signature with date
First Applicant		✓
Second Applicant		
3rd Signatory (Ltd Co. only)		

Form revision date: 03/08/2004

Date (DDMMYYYY).....

Nominee 2

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs.

Relationship with A/C Holder:

Percentage (%)

Address

City.....Post CodeState / Division.....Country.....Telephone.....

Mobile Phone.....Fax.....E-mail.....

Passport No.....Issue Place.....Issue Date.....Expiry Date.....

National ID No

Residency: Resident ☐ Non Resident ☐ Nationality.....Date Of Both (DDMMYYYY) **Guardian's Details (if Nominee is a Minor)**

Name in Full

Short Name (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)

Relationship with NomineeDate of Birth of Minor (DDMMYYYY)Maturity Date of Minor(DDMMYYYY).....

Address

CityPost CodeState / DivisionCountry.....Telephone

Mobile Phone.....Fax.....E-mail.....

Passport No.....Issue Place.....Issue Date.....Expiry Date.....

Residency: Resident ☐ Non Resident ☐ Nationality.....Date Of Birth (DDMMYYYY) **1. Photograph of Nominees / Heirs**

Please paste Recent passport size Photograph	Please paste Recent passport size Photograph	Please paste Recent passport size Photograph	Please paste Recent passport size Photograph
Nominee / Heir 1	Nominee / Heir 2	Guardiant1	Guardian2

	Name	Signature
Nominee / Heir 1		✓
Guardian 1		
Nominee / Heir 2		
Guardian 2		
First Account Holder		✓
Second Account Holder		

Form revision date: 03/08/2004

Power of Attorney (POA) Form

Please complete all details in CAPITAL letters. Please fill all names correctly. All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form -02.

Application No.....

Date (DDMMYYYY).....

Name of CDBL Participant (Up to 99 Characters)		CDBL Participant ID
UCB Capital Management Limited		<div style="border: 1px solid black; display: inline-block; padding: 2px 5px;">55900</div>
Account holder's BO ID	<div style="border: 1px solid black; display: inline-block; padding: 2px 5px;">12055900</div>	
Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)		
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>		

Power of Attorney Holder's Details	
Name in Full	
Short Name of Power of Attorney Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)	
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	Title i.e. Mr/Mrs
<div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<div style="border: 1px solid black; height: 20px; width: 100%;"></div>

1. Power of Attorney Holder's Contact Details:	
Address.....	
City..... Post Code..... State / Division Country..... Telephone.....	
Mobile Phone..... Fax..... E-mail.....	
National ID No.....	

2. Power of Attorney Holder's Passport Details	
Passport No..... Issue Place..... Issue Date Expiry Date.....	

3. Others Information of Power of Attorney Holder	
Residency: Resident <input type="checkbox"/> Non Resident <input type="checkbox"/> Nationality..... Date of Birth (DDMMYYYY) <div style="border: 1px solid black; display: inline-block; padding: 2px 5px;"> </div>	
Power of Attorney Effective From <div style="border: 1px solid black; display: inline-block; padding: 2px 5px;"> </div> D D M M Y Y Y Y	To <div style="border: 1px solid black; display: inline-block; padding: 2px 5px;"> </div> D D M M Y Y Y Y

Remarks (Insert reference to POA document i.e. Specific POA or Generat POA etc.):.....
--

4. Photograph of Power of Attorney Holder

Please paste recent
passport size Photograph

(POA Holder)

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants / Authorised signatories in case of Ltd Co.	Signature with data
POA Holder		✓✓
First Applicant		✓
Second Applicant		
3rd Signatory (Ltd Co. only)		

Form revision date: 03/08/2004

DEED OF AGREEMENT FOR CREDIT FACILITY UNDER BSEC MARGIN RULES, 1999.

This deed of agreement is made and executed at Dhaka on.....

Between

UCB Capital Management Limited, a private company registered under the Companies Act, 1994 and carrying on Brokerage business in the name and style "UCB Capital Management Limited", DSE TREC # 181 & CSE TREC # 015 at 6, Dilkusha C/A, (1st Floor), Dhaka-1000, Bangladesh. (hereinafter referred to as the 'Broker') of the ONE PART)

AND

Mr./Ms..... Son/Daughter/Husband/Wife of.....
of

(hereinafter referred as individual/limited company/public limited company (client) who is an investor/seller/buyer of securities) of the OTHER PART.

WHEREAS, the client approved by the broker having Customer Code Nocorresponding to Beneficial Owner (BO)

Account No

1	2	0	5	5	9	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

AND WHEREAS, the client being intended to avail credit facility and interested to deal in approved securities with the broker has furnished duly filled -in-application in the specified for requesting to approve credit facility against his client account

NOW THEREFORE, pursuant to the provision of the Margin Rules, 1999 and in consideration of the broker, having agreed to allow credit facility in the client's account and render service to the client, both the parties hereto do hereby agree and covenant with each other as following:

TERMS AND CONDITIONS

01. This agreement must be in line with the "Margin Rules, 1999" & as ammended from time to time by the regulator and as per "Margin Policy & Procedure" of the broker. This agreement shall be binding on the parties hereto their successors, heirs, executors, administrators. assigns or legal representatives.
02. All the definitions in this agreement are same as stated in the "Margin Rules, 1999" and "Margin Policy & Procedure" of the 'Broker'.
03. All other terms, conditions, etc which are not mentioned and defined herein but mentioned and defined in the "Margin Rules, 1999" as well as "Margin Policy & Procedure" of the "Broker" shall be applicable in the appropriate manner.
04. This will strictly be a Credit Facility and valid up to.....
05. The "Client" shall authorize the "Broker" to mortgage, pledge or hypothecate the client's securities or property for a sum not exceeding the "Debit Balance" in the "Margin Account" and without obligation to retain in his possession or control securities of like character.
06. The "Broker" will have absolute discretion to accept or decline any security, against which credit facility is granted and shall reserve the right to make changes to their list of "Approved Securities" at any given moment.
07. The "Client" shall be entitled to credit facility up-to 2 (two) times of clients' margin/clients' deposit (i.e. credit facility shall be on maximum 1:2 basis) or maximum limit of credit facility prescribed by the regulator from time to time and approved by the "Broker". Using the credit facility, the "Client" may purchase quoted approved securities through the "Broker" into the "Margin Account". The "Client" shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.
08. If equity in a client's margin account falls below 150% of the "Debit Balance", the "Broker" shall request the "Client" in written or over telephone to provide additional margin to bring the equity to not less than 150% of the 'Debit Balance' within three days from the date of notice.
09. If the equity in a client's margin account falls in any way below 125% of the "Debit Balance", the "Broker" has absolute discretion and without notice to the "Client" to liquidate the "Margin Account" including the marginable securities deposited to bring the equity to not less than 150% of the "Debit Balance".
10. The "Broker" shall have the discretion to vary the margin requirements stipulated in the clause 7, 8 & 9 of this Agreement as per directive/notification/order/circular issued by the regulator or the "Broker" from time to time under the "Margin Rule, 1999" and the "Margin Policy & Procedure" of the "Broker".
11. If the client exceeds the value of the "Debit Balance" above the stipulated limit and /or violates any other terms and conditions/rules/requirements as laid down by the "Broker" or any regulatory authority from time to time, the "Broker" shall have absolute discretion at the "Client's" risk to sell/buy any or all shares or securities of any company whatsoever in the client's "Margin Account" without any consent of any form from the "Client" in order to adjust the "Debit Balance" of the "Margin Account".

In the event the "Portfolio Value" of the "Margin Account" becomes inadequate to regularize the "Debit Balance", the "Client" shall be under obligation to make cash payment to settle any shortfall.

✓

Contd..P/2

12. in the case of "Broker" omitting particular security/securities from the list of "Approved Securities" the "Client" shall accordingly adjust the "Portfolio Value" or the "Debit Balance" within 4(four) market days.
13. The "Client" may be allowed to transfer shares to link BO account with other DP or withdrawal fund from his account provided that the client has no "Debit Balance" in the account or not exceed the allowed margin limit/ratio permitted by the "Broker" under the "Margin Rules, 1999".
14. The "Client" shall pay brokerage commission for all transactions i.e. buy and sell, in the "Margin Account". The "Client" shall also pay brokerage commission for all transactions carried out in order to regularize the "Margin Account". Rate of brokerage will be fixed by the "Broker" and can change from time to time.
15. The "Broker" shall have the authority to give notice to the client to adjust the "Debit Balance" in "Margin Account" within 30(thirty) trading days for whatever reason. If the "Client" doesn't respond to such notice, the "Broker" shall have absolute discretion at the "Client's" risk to sell/buy any or all shares or securities in any company whatsoever in the client's "Margin Account" without any consent of any form from the "Client" in order to adjust the "Debit Balance" of the "Margin Account."
16. The "Client" shall pay margin account processing fee. margin account renewal fee. other fee. etc. as fixed by the "Broker" from time to time.
17. The "Broker" will charge..... % P.A. interest (or any change from time to time) on the client's "Margin Account" for any debit amount, calculated on a daily basis and realized from client's "Margin Account" quarterly. The "Broker" reserves the right to increase/decrease the interest rate.
18. The "Margin Account" will be fully operated (all buy/sell decisions) by the "Client" at all times other than in the instance of the "Broker" regularizing the account pursuant to clause 3 (5) (6) (7) or Margin Rules, 1999.
19. The "Broker" shall have the right to change, rectify, include any terms and conditions in addition to the above at any time with due notice to the Client.
20. The "Client" hereby warrants and represents that :
 - a. he/she/it has the requisite authority to enter into this Agreement and consummate the transactions contemplated by this Agreement.
 - b. he/she/it possesses all requisite and adequate licenses, permits, approvals, and insurances for the performance under this Agreement.
 - c. The execution and performance of this Agreement shall not contravene any agreement, deed, instrument, statute, regulation, direction or rules which are applicable to it.
21. The "Client" agrees to protect and irrevocably indemnify the "Broker" from any liability, claim, suits, loss, or damage that the "Broker" shall or may be caused, sustained, incurred, or suffered by reason in maintaining the client's "Margin Account" using the credit facility in any manner whatsoever arising from the breach of any provision of this Agreement or Rules, Regulations. Notifications. Directives, Orders & Circulars time to time impose by the regulator or as per "Margin Policy & Procedure" of the "Broker"
22. Any notice under this Agreement required to be given by either party shall be in writing (excluding clause 8 of this agreement) and service shall be effected by courier or facsimile or by first class post to the contact person of other party at the addresses provided herein, provided that any communication or document to be made or delivered to the Broker shall be effective only when received by the Broker at the address to which it is to be sent.

NOMINEE

☐ In the event of the death of one of the joint account holder, the survivor: and on the death of sole account holder the nominee or successor shall be the beneficiary of the transactions. Unless and otherwise it is mentioned in case of joint account both the account holder will be treated as equal partner and in case of death and any other accident the account balance will be divided equally to the joint account holders or their respective nominees.

GOVERNING LAW

All transaction shall be subject to the Rules and Regulations of the Bangladesh Securities and Exchange Commission. Dhaka Stock Exchange Ltd, Chittagong Stock Exchange Ltd. and other prevailing laws and regulations of Bangladesh and in particular the authority herein after granted by the client to the BROKER.

On behalf of Broker

Signature
Name :
Designation:

Witness:

Signature :
Name :
Address :



Signature of the client
Customer Code No.....

Witness:

Signature :
Name :
Address :

21. Net Worth of Customer:

Amount in Taka	Risk Level	Risk Rating
1-50 Lacs	Low	0
50 Lacs - 3 Crore	Medium	1
Above 3 Crore	High	3

22. How was Account Opened?

How	Risk Level	Risk Rating
By Relationship Manage / Branch	Low	0
Through Direct Sales Agent	Medium	1
Through Internet	High	3
Unsolicited / Walk-in	High	3

23. Expected value of Monthly Transactions (deposit & Withdrawal) as per Customer:

Number of Transaction	Risk Level	Risk Rating
0-10	Low	0
10-50	Medium	1
Above 50	High	3

24. Expected number of Monthly Transactions (deposit & withdrawal) as per Customer:

Number of Transaction	Risk Level	Risk Rating
0-10	Low	0
11-15	Medium	1
Above 15	High	3

25. Transaction Profile Summary:

Type of Transaction	No. of Transaction (Per Month)	Maximum Size (Per Transaction)
Cash Deposit		
Cheque Deposit		
Fund Withdrawal		

26. Overall Risk Assessment is as follows:

Risk Level	Risk Marking	Due Diligence
Low	Below 6	Simple CDD
Medium	Above 6 but below 9	Selective Papers need to verified
High	9 or above	All papers need to be verified

Total Score:

Comments:

Prepared by:

Signature :

Name:

Designation:

Date:

Reviewed by:

Signature :

Name:

Designation:

Date:

UCB Capital Management Limited.

6. Dilkusha C/A (First Floor) Dhaka- 1000

Phone: (+880-2) 9558481, 9558448, Fax: (+880-2) 47119220

Email: ucblcm@ucb.com.bd

Dhaka Stock Exchange Limited (181) SEC No: 3.1/DSE-181/2011/486

Chittagong Stock Exchange Limited (015) 3.2/CSE-015/2014/210

BUY & SALE ORDER

From: Mr./Mrs _____ Date: _____

BO ID:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 Client Code:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Contact (Mobile): _____ (Res): _____ (Office): _____

Please buy & sell the following securities on my/our behalf.

Name of the Securities	ISIN	Exchange	Buy / Sell	Quantity	Rate

I / we have deposited the above noted securities in advance to execute the sale order. I / we have sufficient fund in my/our account to settle the buy order.

_____
Customer / POA Signature_____
Authorized Signature (official use)

PAY IN TRANSFER

Please transfer the above sold securities to the clearing account mentioned below

DSE Clearing A/C

1	2	0	5	5	9	0	0	4	8	6	0	1	0	1	3
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 Exchange ID

1	0
---	---

CSE Clearing A/C

1	2	0	5	5	9	0	0	5	8	0	9	2	4	1	9
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 Exchange ID

1	1
---	---

DP ID

5	5	9	0	0
---	---	---	---	---

 Pay in quantity

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

DECLARATION

The rules and regulation of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of this transaction. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

_____
Customer / POA Signature(S)_____
Authorized Signature (official use)

The pay in quantity has successfully been transferred to the above clearing A/C-

UCB Capital Management Limited.

6. Dilkusha C/A (First Floor) Dhaka- 1000

Phone: (+880-2) 9558481, 9558448, Fax: (+880-2) 47119220

Email: ucblcm@ucb.com.bd

Dhaka Stock Exchange Limited (181) SEC No: 3.1/DSE-181/2011/486

Chittagong Stock Exchange Limited (015) 3.2/CSE-015/2014/210

BUY & SALE ORDER

From: Mr./Mrs _____ Date: _____

BO ID:

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 Client Code:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

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PAY IN TRANSFER

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1	2	0	5	5	9	0	0	4	8	6	0	1	0	1	3
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 Exchange ID

1	0
---	---

CSE Clearing A/C

1	2	0	5	5	9	0	0	5	8	0	9	2	4	1	9
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 Exchange ID

1	1
---	---

DP ID

5	5	9	0	0
---	---	---	---	---

 Pay in quantity

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

DECLARATION

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Customer / POA Signature(S)

Authorized Signature (official use)

The pay in quantity has successfully been transferred to the above clearing A/C-

UCB Capital Management Limited.

6. Dilkusha C/A (First Floor) Dhaka- 1000

Phone: (+880-2) 9558481, 9558448, Fax: (+880-2) 47119220

Email: ucbscm@ucb.com.bd

Dhaka Stock Exchange Limited (181) SEC No: 3.1/DSE-181/2011/486

Chittagong Stock Exchange Limited (015) 3.2/CSE-015/2014/210

BUY & SALE ORDER

From: Mr./Mrs _____ Date: _____

BO ID:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 Client Code:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Contact (Mobile): _____ (Res): _____ (Office): _____

Please buy & sell the following securities on my/our behalf.

Name of the Securities	ISIN	Exchange	Buy / Sell	Quantity	Rate

I / we have deposited the above noted securities in advance to execute the sale order. I / we have sufficient fund in my/our account to settle the buy order.



Customer / POA Signature

Authorized Signature (official use)

PAY IN TRANSFER

Please transfer the above sold securities to the clearing account mentioned below

DSE Clearing A/C

1	2	0	5	5	9	0	0	4	8	6	0	1	0	1	3
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 Exchange ID

1	0
---	---

CSE Clearing A/C

1	2	0	5	5	9	0	0	5	8	0	9	2	4	1	9
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 Exchange ID

1	1
---	---

DP ID

5	5	9	0	0
---	---	---	---	---

 Pay in quantity

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

DECLARATION

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Customer / POA Signature(S)

Authorized Signature (official use)

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Phone: (+880-2) 9558481, 9558448, Fax: (+880-2) 47119220

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From: Mr./Mrs _____ Date: _____

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--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 Client Code:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Contact (Mobile): _____ (Res): _____ (Office): _____

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Name of the Securities	ISIN	Exchange	Buy / Sell	Quantity	Rate

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Customer / POA Signature

Authorized Signature (official use)

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 Exchange ID

1	0
---	---

CSE Clearing A/C

1	2	0	5	5	9	0	0	5	8	0	9	2	4	1	9
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 Exchange ID

1	1
---	---

DP ID

5	5	9	0	0
---	---	---	---	---

 Pay in quantity

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

DECLARATION

The rules and regulation of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of this transaction. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.



Customer / POA Signature(S)

Authorized Signature (official use)

The pay in quantity has successfully been transferred to the above clearing A/C-



Corporate Member - Dhaka Stock Exchange Ltd & Chittagong Stock Exchange

Signature Card

Customer Account No.:

Date:

BO Account No.:

Name of Account Holder

Name of Joint Account

Name of Authorised Person

	Mode of operation or mandate	
Paticulars	Full Name (In Block Letters)	Signature
First Account Holder		
Second Account Holder		
3 rd Applicant (Limited Co. Only)		
Authorised Person		



Contact Us

Head Office

UCB Capital Management Limited.
6. Dilkusha C/A (First Floor) Dhaka- 1000
Phone: (+880 2) 9558481, 9558448
Fax: 02-47119220
Email: ucbcm1@ucb.com.bd

DSE Extension Office – 1

Room # 633, 9/E DSE Annex Building (5th Floor)
Motijheel C/A. Dhaka 1000
Phone: (+8802) 956 4445

Extension Office – 2

NIK Tower, 55 Dilkusha C/A (3rd & 4th Floor), Dhaka – 1000

Chattogram Branch

Muntasir Centre (5th Floor), 253, Wasa circle,
Dampara, Khulshi, Chattogram
Phone: (+88031) 2854226