# ITU ML5G Build-a-thon

Demonstrate POC done as part of Activity-4 –ORAN Control Loop Instantiation

Team -RAN-RIC-xApp Deena Mukundan Divyani Achari

### **GOALS**

Goal-1 On reception of Emergency Intent According to the given requirements fetch model from Acumos.

Goal-2 Deploy the model as xApp in ORAN. A pre-trained model might be used for this purpose -

# Build-a-thon Activity-4

## **Achievements**

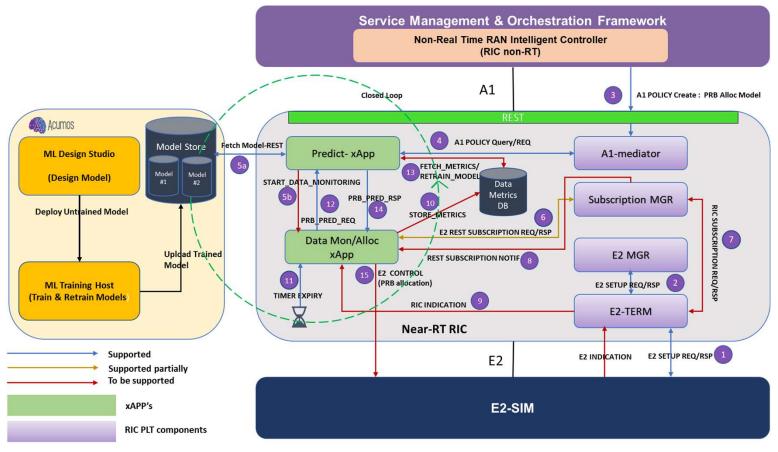
Brought up ORAN-RIC platform with Dawn release content and attained hands-on knowledge on RIC Platform

Implemented & Deployed 2 custom xApps in RIC platform that interacts with RIC platform components and amongst each other

Implemented capability to dynamically fetch model from a remote model store based on A1 policy configured

Brought up E2 SIM process and registered with RIC E2 components

## Workflow- Network Resource Allocation



- RIC is UP and Running, RAN(E2-SIM in this case) is registered/associated with RIC
- RIC receives policy update from A1 for triggering closed loop PRB Allocation
- Fetch model based on the A1 Policy details
- Based on RAN data monitored, predict PRB utilisation [test data was used for POC instead of actual data from E2]
- Compute the PRB to be allocated and send E2 control message. PRB's are always reserved for Emergency Slide and additionally resources can be reallocated based on situational considerations
- Continuously monitor, evaluate and improve decision

Note: E2 Indication is for future reference, currently data is not received via RIC Indication. In future, based on subscription E2 interface will receive data via RIC Indication.

Credit Note: The pre-trained model, model specific implementation and PRB allocation ALG1 developed by Team "AUTOMATO" as part of this build-a-thon is re-used for this POC

## WorkFlow

Points 1&2 show E2 SIM is up and association with RIC is setup

Point 3 nRT RIC receives A1 policy update to trigger closed loop monitoring

Point 4 A1-mediator sends A1 Policy REQ to prbpred xApp

Point 5a, Point 5b show the model is fetched from model store as per policy guidelines and prbPred instructs DataMon/Alloc xApp to start monitoring the data

Point 6,7,8 shows the messaging done for subscribing to E2 for data

Point 9 shows Data reception from E2 node. The received metrics are stored in metrics DB as in Point 10

Upon timer expiry as in Point 11, request for Prediction is sent to prbpred xApp as in Point 12.

prbPred uses ML model to predict the future utilisation. Retraining may be done and sends predicted values to Datamon/Alloc xApp as in Points 13,14

DataMon/Alloc xApp computes the PRB to be allocated and sends E2 control message towards E2 as in Point 15

# xApp POC Implementation Details

Prbpred- xApp is developed as Reactive xApp

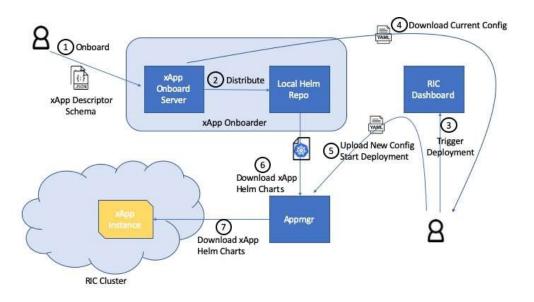
- i. Upon Initialization does following:
  - i. Registers for PRB\_PRED\_REQ (PRB Prediction Request) and A1\_POLICY\_REQ (A1 Policy Request)
  - ii. xApp provides details of the policy supported in xApp-descriptor and registers handler function to receive A1\_POLICY\_REQ
  - iii. Queries A1-mediator and gets the Policy details
  - iv. As part of step 4,5a in the sequence diagram mentioned in Reference [4]. A specific policy was created which gives info on model and model version info to be used.
- ii. Based on the policy details xApp fetches the model from remote model store by constructing the URL based on the information received and stores it locally
- iii. Upon reception of PRB\_PRED\_REQ, based on the model fetched performs prediction of PRB utilisation for each slice and sends response to Alloc xApp
- iv. Following are the message types handled by this xApp
  - i. Outgoing Message types: "A1\_POLICY\_RESP", "PRB\_PRED\_RSP","A1\_POLICY\_QUERY"
  - ii. Incoming Message Types "PRB\_PRED\_REQ",
     "A1 POLICY REQ"

alloc xApp – is developed as proactive xApp

- i. Is as Proactive xApp
- ii. Upon Initialization does following:
  - i. registers with subscription mgr. for E2 information
  - ii. And starts timer to trigger PRB\_PRED\_REQ periodically.
- iii. Based on predicted future PRB utilisation computes PRB to be allocated for emergency slice
- iv. Emergency slice has reserved PRB's, in addition the remaining unutilised PRB's are allocated
- v. Alloc xApp sends the E2 control message to allocate the available PRB's from the computation
- vi. Following are the message types handled by this xApp
  - i. Outgoing Message types: -"PRB\_PRED\_REQ","RIC\_HEALTH\_CHECK\_RESP"
  - ii. Incoming Message Types "PRB\_PRED\_RESP", "SUBSCRIPTION\_REQ","RIC\_HEALTH\_CHECK\_REQ

In the Dawn release, creation of A1 policy instance doesn't trigger A1 Policy create message towards xApp. This was confirmed by ORAN-RIC team <a href="https://wiki.o-ran-sc.org/display/IAT/Traffic+Steering+Flows?focusedCommentId=41456537#comment-41456537">https://wiki.o-ran-sc.org/display/IAT/Traffic+Steering+Flows?focusedCommentId=41456537#comment-41456537</a>. Hence the workflow was modified to send timer-based event from alloc XApp to trigger PRB prediction.

# On-boarding and Deploying xApps



#### xApp Onboarding Instructions through DMS CLI

docker run --rm -u 0 -it -d -p 9090:8080 -e DEBUG=1 -e STORAGE=local -e

STORAGE\_LOCAL\_ROOTDIR=/charts -v \$(pwd)/charts:/charts chartmuseum/chartmuseum:latest export CHART\_REPO\_URL=http://0.0.0.0:9090

 $dms\_cli\ onboard\ --config\_file\_path=config.json\ --$ 

 $shcema\_file\_path=/root/appmgr/xapp\_orchestrater/dev/docs/xapp\_onboarder/guide/embedded-schema.json$ 

dms\_cli install --xapp\_chart\_name=prbpredxapp --version=0.0.2 --namespace=ricxapp dms\_cli install --xapp\_chart\_name=alloc --version=0.0.2 --namespace=ricxapp

Reference: https://wiki.o-ran-sc.org/display/RICA/On-boarding+and+Deploying+xApps

- 1.To onboard an xApp, the xApp descriptor and its schema will be submitted to the xApp onboarder. (ADD LINK TO API DOC)
- 2.xApp onboarder generates helm charts and distributes them to the local helm repo in the RIC platform instance
- 3. Operator triggers xApp deployment
- 4.(OPTIONAL)Through RIC dashboard, download an values.yaml file that contains the default xApp configuration parameters
- 5.(OPTIONAL) Modify the xApp configuration parameters, upload the new configuration to appmgr
- 6.Appmgr combines the xApp helm charts from local helm repo and the new configuration
- 7. Appmgr creates an xApp instance

### Prbpred-xAppDescriptor

### alloc-xAppDescriptor

```
Metadata
                                             Container Info
                                                     Services port
                                                                    "rmr": -{
                                                   RMR Messages
                                                                                                                           Internal
                                                                                                                       configuration of
                                                                                                                            xApp
                                                                         "policies": []
                                                                    )
                                                     Policy Info
                                                                         "fileStrorage": false
"policies": [20008]
```

# Interactions With RIC Components

As part of this POC, direct/in-direct interactions with below mentioned RIC Platform Components was explored

#### A1-Mediator

This component listens for policy type and policy instance requests sent via HTTP (the "northbound" interface) and publishes those requests to running xApps via RMR messages (the "southbound" interface).

#### E2 manager

The E2 manager controls E2 connection establishment and provides REST APIs to manage these connections.

#### E2 Term

The E2 termination component establishes E2 SCTP connections and routes messages received/sent over E2 to/from RMR.

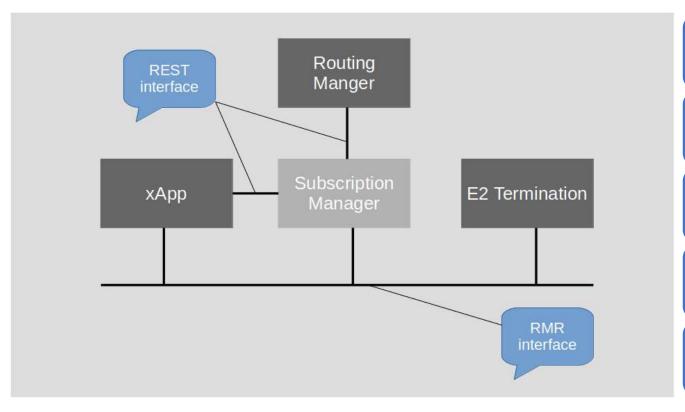
#### **Subscription Manager**

Subscription Manager is responsible for managing E2 subscriptions from xApps to the E2 Node (eNodeB or gNodeB). xApp can make subscriptions to E2 Node through Subscription Manager. xApp can subscribe REPORT, INSERT, CONTROL and POLICY type services from E2 Node.

### **Routing Manager**

Routing Manager is responsible for distributing routing policies among the other platform components and xApps.

# Messaging Interface



xApp can make subscriptions to E2 Node through Subscription Manager

xApp can subscribe REPORT, INSERT, CONTROL and POLICY type services from E2 Node

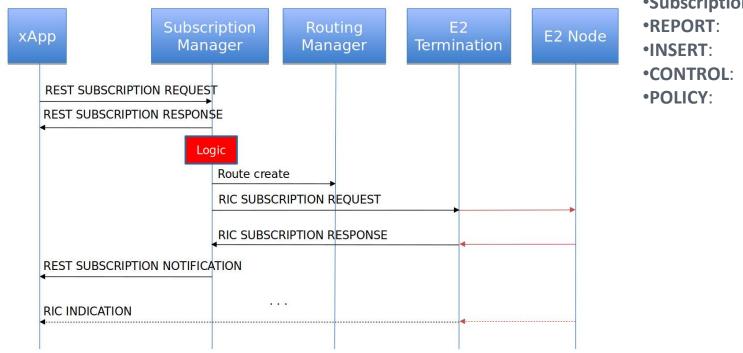
Subscribed messages from E2 Node are transported to RIC inside RIC Indication message. RIC Indication message is transported to xApp inside RMR message in Payload field of the RMR message.

Subscription Manager allocates unique E2 instance id for every E2 subscription during subscription procedure.

Subscribed messages are routed to xApps based on Instanceld in E2 Indication message.

Source: https://github.com/o-ran-sc/ric-plt-submgr/blob/master/docs/images/PlaceInRICSoftwareArchitecture.png

# xApp- E2 Subscription Flow



Subscription Types

Source: https://github.com/o-ran-sc/ric-plt-submgr/blob/master/docs/user-guide.rst

## Screen shots from demo Setup

### 1. RIC Platform Snapshot

NAME	READY	STATUS	RESTARTS	
deployment-ricplt-a1mediator-b4576889d-dqs2b	1/1	Running	60	
deployment-ricplt-alarmmanager-f59846448-76tsl	1/1	Running	36	
deployment-ricplt-appmgr-7cfbff4f7d-8gkmh	1/1	Running	36	314
deployment-ricplt-e2mgr-556748b66f-9tgpx	1/1	Running	6	6d2h
deployment-ricplt-e2term-alpha-7dbd577c8d-dhcb4	1/1	Running	31	24d
deployment-ricplt-jaegeradapter-76ddbf9c9-r464v	1/1	Running	41	41d
deployment-ricplt-o1mediator-f7dd5fcc8-dt9kg	1/1	Running	36	41d
deployment-ricplt-rtmg:-7455599453-749946S Snapshot	1/1	Running	43	41d
deployment-ricplt-submgr-6cd6775cd6-x8z74	1/1	Running	36	41d
deployment-ricplt-vespamgr-757b6cc5dc-4vtzn	1/1	Running	36	41d
deployment-ricplt-xapp-onboarder-5958856fc8-p8bjl	2/2	Running	72	41d
r4-infrastructure-kong-7995f4679b-n65qm	2/2	Running	99	41d
r4-infrastructure-prometheus-alertmanager-5798b78f48-xks4r	2/2	Running	72	41d
r4-infrastructure-prometheus-server-c8ddcfdf5-55tf8	1/1	Running	36	41d
ricplt-influxdb-meta-0	0/1	Pending		41d
statefulset-ricplt-dbaas-ser <b>v</b> er-0	1/1	Running	36	41d

### 2. E2 SIM Process Snapshot

CONTAINER ID	IMAGE		CREATED	STATUS
f8dfa3da6cae	e2simul:1.0.0	"/bin/sh -c 'kpm_sim@	5 seconds ago	Up 4 seconds

## 3. E2 Setup Procedure :Setup request from E2 SIM

```
<E2setupRequestIEs>
              <id>3</id>
              <criticality><reject/></criticality>
              <value>
                        obalE2node-ID>
                         <gNB>
GNIB & PLMN Id
                              <global-gNB-ID>
                                   <pl><plmn-id>37 34 37</plmn-id>
                                   <gnb-id>
                                         <gnb-ID>
                                              101101011100011001110111110001
                                         </qnb-ID>
                                   </anb-id>
                              </global-gNB-ID>
                         </gNB>
                   </GlobalE2node-ID>
              </value>
        </E2setupRequestIEs>
         <E2setupRequestIEs>
              <id>10</id>
              <criticality><reject/></criticality>
                   <RANfunctions-List>
                         <ProtocolIE-SingleContainer>
                              <id>8</id>
                              <criticality><reject/></criticality>
                              <value>
                                   <RANfunction-Item>
                                         <ranFunctionID>0</ranFunctionID>
                                         <ranFunctionDefinition>

<p
                                                 C
```

## Screenshots of E2 Setup Procedure as seen from E2 Mgr

Associate RAN ->E2T

Instance

CONNECTED

## **E2 Mgr Setup Procedure**

- GetE2TInstance from
- Saves NodeB data in RNIB Data service
- Creates a POST Request towards Routing Mgr to Associates RAN to E2-TAssociationManager
- On success of response connection status is moved from UNKNOWN\_CONNECTION\_STATUS-> CONNECTED
- Sends Builds E2Setup Success response Msg

**E2 SETUP REQ** 

4. E2 Mgr receiving E2 Setup Request

**RIC E2 SETUP RESP** 

5. E2 Mgr changing the state as connected and sending response E2 setup response

733\_b5c67788 - Successfully added nodeb identity","mdc":{"time":"2021-11-01 06:11:09.356"}} c.it":"INFO","ts":1635747069356,"id":"E2Manager","msg":"#E2TAssociationManager.AssociateRan - Associating RAN g 734 733 b5c67788 to E2T Instance address: 10.110.226.182:38000", "mdc": ("time": "2021-11-01 06:11:09.356")) essage - POST url: http://service-ricplt-rtmqr-http:3800/ric/v1/handles/associate-ran-to-e2t, request body: [{\"E PAddress\":\"10.110.226.182:38000\",\"ranNamelist\":[\"qnb 734 733 b5c67788\"]}]","mdc":{"time":"2021-11-01 06:11: crit":"INFO","ts":1635747069358,"id":"E2Manager","msg":"[Routing Manager -> E2 Manager] #RoutingManagerClient.se" Message - success. http status code: 201","mdc":{"time":"2021-11-01 06:11:09.358"}} "crit":"INFO","ts":1635747069358,"id":"E2Manager","msg":"#RanConnectStatusChangeManager.ChangeStatus - RAN name: crit":"INFO","ts":1635747069358,"id":"E2Manager","msg":"#RanConnectStatusChangeManager.setEvent - Connectivity nt for RAN gnb\_734\_733\_b5c67788 is: gnb\_734\_733\_b5c67788\_CONNECTED","mdc":{"time":"2021-11-01 06:11:09.358"}} crit":"INFO","ts":1635747069358,"id":"E2Manager","msg":"#RnibDataService.UpdateNodebInfoOnConnectionStatusInvers" - event: gnb\_734\_733\_b5c67788\_CONNECTED, nodebInfo: ran\_name:\"gnb\_734\_733\_b5c67788\" connection\_status:CONNEC global\_nb\_id:{plmn\_id:\"373437\" nb\_id:\"10110101110001100111011110001\"} node\_type:GNB gnb:{ran\_functions: an function definition:\"30000000054F494431323305004B504D206D6F6E69746F720101600001010700506572696F64696320726570  $72\overline{7}40105140\overline{1}01110004$ F2D4455204D6561737572656D656E7420436F6E7461696E657220666F72207468652035474320636F6E6E656374656 06465706C6F796D656E740101010101001021D004F2D4455204D6561737572656D656E7420436F6E7461696E657220666F722074686520455020636 F6E6E6563746564206465706C6F796D656E74010101010101031E804F2D43552D4350204D6561737572656D656E7420436F6E7461696 57220666F72207468652035474320636F6E6E6563746564206465706C6F796D656E740101010101041E804F2D43552D4350204D656173755560656E7420436F6E7461696E657220666F72207468652045504320636F6E6E6563746564206465706C6F796D656E74010101010001051E80 t F2D43552D5550204D6561737572656D656E7420436F6E7461696E657220666F72207468652035474320636F6E6E6563746564206465706C6F960656E74010101010001061E804F2D43552D5550204D6561737572656D656E7420436F6E7461696E657220666F72207468652045504320636segr6563746564206465706c6r796D656r7401010101\" ran function\_revision:2} gnb\_type:GNB) associated\_e2t\_instance\_a iress:\"10.110.226.182:38000\" setup\_from\_network:true","mdc":{"time":"2021-11-01\_06:11:09.358"}} "crit":"INFO","ts":1635747069359,"id":"E2Manager","msg":"#RanConnectStatusChangeManager.updateNod istatusInversion — RAN name: qnb 734 733 b5c67788 — Successfullv.updated\_rNbb."" "mdc":("time":"2021-11-01, 06:11:05 gnb\_type:[GNB] associated\_62t\_instance\_address:("61:110.22t.182:3800\" "setup\_from\_network:true", "mdc":(" me":"2021-11-01 06:11:09.359") crit":"INFO","ts":1635747069359,"id":"E2Manager","msg":"‡RnibDataService.GetE2TInstance - E2T instance address: 110.226.182:38000, state: ACTIVE, associated RANs count: 0, keep Alive ts: 1635747046708612521", "mdc": {"time": "2 L-11-01 06:11:09.359"}} crit":"INFO","ts":1635747069359,"id":"E2Manager","msg":"‡RnibDataService.SaveE2TInstance - E2T instance address: 0.110.226.182:38000, podName: e2term, state: ACTIVE, associated RANs count: 1, keep Alive ts: 1635747046708612521 mdc":{"time":"2021-11-01 06:11:09.359"}} crit":"INFO","ts":1635747069359,"id":"E2Manager","msg":"#E2TInstancesManager.AddRansToInstance osc67788] were added successfully to E2T 10.110.226.182:38000","mdc":{"time":"2021-11-01 06:11:09.359"} crit":"INFO","ts":1635747069359,"id":"E2Manager","msg":"‡E2TAssociationManager.AssociateRan - successfully assoc sed RAN gnb 734 733 b5c67788 with E2T 10.110.226.182:38000", "mdc": { "time": "2021-11-01 06:11:09.359" } } crit":"INFO","ts":1635747069360,"id":"E2Manager","msg":"#E2SetupRequestNotificationHandler.handleSuccessfulRespo - payload: <E2AP-PDU><successfulOutcome><procedureCode>1</procedureCode><criticality><reject/></criticality><va <E2setupResponse>protocolIEs><E2setupResponseIEs><id>4</id><criticality><reject/></criticality><value><GlobalR</pre> -ID><pLMN-Identity>131014</pLMN-Identity><ric-ID>101010110011001110</ric-ID></globalRIC-ID></value></E2setupRes seIEs><E2setupResponseIEs><id>9</id><criticality><reject/></criticality><value><RANfunctionsID-List><ProtocolIEngleContainer><id>6</id><criticality><ignore/></criticality><value><RANfunctionID-Item><ranFunctionID>0</ranFunct nID><ranFunctionRevision>2</ranFunctionRevision></RANfunctionID-Item></pul> ionsID-List></value></E2setupResponseIEs></protocolIEs></E2setupResponse></value></successfulOutcome></E2AP-PDU> crit":"INFO", "ts":1635747069360, "id":"E2Manager", "msq":"#E2SetupRequestNotificationHandler.handleSuccessfulResp RAN name: qnb 734 733 b5c67788 - RIC E2 SETUP RESP message has been built successfully. Message: &{2ee2 676e6. 33345f3733333f6235633637373838 3c453241502d5044553e3c7375636365737366756c4f7574636f6d653e3c70726f636564757265

## E2 Setup details can be confirmed with response from below CURL command

6. E2 Setup Response at E2 SIM end E2 SETUP RESPONSE- ricid otocolIEs> <E2setupResponseIEs> <id>4</id> <criticality><reject/></criticality> <GlobalRIC-ID> <pLMN-Identity>13 10 -4</pLMN-Identity> </ric-ID>
</GlobalRIC-ID> </value> </E2setupResponseIEs> <E2setupResponseIEs> <id>9</id> <criticality><reject/></criticality> <RANfunctionsID-List> <ProtocolIE-SingleContainer> <id>6</id> <criticality><ignore/></criticality> <value> <RANfunctionID-Item> <ranFunctionID>0</ranFunctionID> <ranFunctionRevision>2</ranFunctionRevision> </RANfunctionID-Item> </walue> </ProtocolIE-SingleContainer> </RANfunctionsID-List> </value> </E2setupResponseIEs> </protocolIEs>

7.curl –v --location --request GET "http://<E2Mgr ip>/v1/e2t/list" --header 'Content-Type: application/json
Result displays the e2Term instance and RAN name associated

```
oot@instance-2:~ curl -v --location --request GET "http://10.244.0.128:3800/v1/e2t/list" --header 'Content-Type: app
 cation/json'
 ote: Unnecessary use of -X or --request, GET is already inferred.
   Trying 10.244.0.128...
 TCP NODELAY set
 Connected to 10.244.0.128 (10.244.0.128) port 3800 (#0)
 GET /v1/e2t/list HTTP/1.1
 Host: 10.244.0.128:3800
 User-Agent: curl/7.58.0
 Accept: */*
 Content-Type: application/json
 Content-Type: application/json
 Date: Mon, 01 Nov 2021 06:12:57 GMT
 Content-Length: 75
 Connection #0 to host 10.244.0.128 left intact
[{"e2tAddress":"10.110.226.182:38000","ranNames":["gnb 734 733 b5c67788"]}]root@instance-2:~#
```

8. Fetch the gNB details associated on trigger of GET request from E2mgr curl -v --location --request GET "http://<E2Mgr ip>/v1/nodeb/gnb\_734\_733\_b5c67788" --header 'Content-Type: application/json'

```
oot@instance-2:~# curl -v --location --request GET "http://10.244.0.128:3800/v1/nodeb/qnb 734 733 b5c67788"
ontent-Type: application/json'
Note: Unnecessary use of -X or --request, GET is already inferred.
  Trying 10.244.0.128...
 TCP NODELAY set
 Connected to 10.244.0.128 (10.244.0.128) port 3800 (#0)
 GET /v1/nodeb/gnb 734 733 b5c67788 HTTP/1.1
 Host: 10.244.0.128:3800
 User-Agent: curl/7.58.0
 Accept: */*
 Content-Type: application/json
 HTTP/1.1 200 OK
 Content-Type: application/json
 Date: Mon, 01 Nov 2021 06:16:10 GMT
 Content-Length: 1264
"ranName":"qnb 734 733 b5c67788","connectionStatus":"CONNECTED","qlobalNbId":{"plmnId":"373437","nbId":"10110101110001
00111011110001"},"nodeType":"GNB","gnb":{"ranFunctions":[{"ranFunctionDefinition":"3000000054F494431323305004B504D206i
57220666F72207468652035474320636F6E6E6563746564206465706C6F796D656E740101010001021D004F2D4455204D6561737572656D656E7
0436 F6E 7461696E657220666F72207468652045504320636F6E6E6563746564206465706C6F796D656E74010101010001031E804F2D43552D43502
41E804F2D43552D4350204D6561737572656D656E7420436F6E7461696E657220666F72207468652045504320636F6E6E6563746564206465706C6I
960656E74010101010001051E804F2D43552D5550204D6561737572656D656E7420436F6E7461696E657220666F72207468652035474320636F6E6E6E
563746564206465706C6F796D656E74010101010001061E804F2D43552D55502* Connection #0 to host 10.244.0.128 left intact
04D6561737572656D656E7420436F6E7461696E657220666F72207468652045504320636F6E6E6563746564206465706C6F796D656E7401010101"
ranFunctionRevision":2}], "gnbType":"GNB"}, "associatedE2tInstanceAddress":"10.110.226.182:38000", "setupFromNetwork":true
root@instance-2:~#
```

### A1 Policy and Policy Instances

- •9 A1- Policy and Policy Instances are created by executing following curl commands ..Indicating an emergency and defines the model to be used here.
- •A1-Policy
  - •curl -X PUT --header "Content-Type: application/json" -d @create1.json http://<a1med\_ip>: <a1med\_port>:/a1-p/policytypes/20008

#### A1 Policy Instance creation

•curl -X PUT --header "Content-Type: application/json" --data
'{"modelVersion" : "1.0.0", "modelname":" prb\_pred\_model.pkl",
"modelstoreUrl": "http:// <modelstore IP >/model\_store"}'
http://<a1med\_ip>: <a1med\_port>/a1p/policytypes/20008/policies/tsapolicy145

Below snapshot shows the policy instance is created with the values supplied in the curl command

```
root@instance-2:~# curl --header "Content-Type: application/json" http://10.244.0.87:10000/a1-p/policytypes/20008/p -
olicies/tsapolicy145
{
    "modelVersion": "1.0.0",
    "modelname": "prb_pred_model.pkl",
    "modelstoreUrl": "http://34.72.49.222:10001/model_store"
}
```

### **Policy Schema Used**

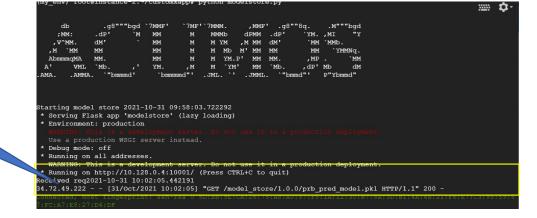
```
"description": "tsa parameters",
"create_schema": {
  "properties": {
    "modelname": {
      "type": "string"
   },
    "modelVersion":{
      "default": 0.0
   },
    "modelstoreUrl":{
      "type": "string"
  "additionalProperties": false
```

# xApp Screenshots & Model store

### 10. Alloc xApp is deployed

root@instance-2:~# kubectl get pods -n	ricxapp			
NAME	READY	STATUS	RESTARTS	AGE
ricxapp-alloc-b9f994b84-x7zxr	1/1	Running	0	8h
ricxapp-prbpredxapp_66bfd5bc55-jhrmn	1/1	Running	0	8h

11. Emulated Acumos Model Store listening on 10001 port, receives REST REQ for fetching model. Acumos functionality was emulated considering HW requirements in bringing up Acumos.



Model Fetch Request

12. Below Snapshot shows REST Req being sent to model store with the details received from A1 policy request. Model store URL being constructed based on the A1 policy request. Model is successfully downloaded to propred container.

A1 Policy REQ Oct-21 10:02:04 - AlPolicyInterface -Oct-21 10:02:04 - send al policy query cent Al policy query (Al POLICY QUERY)= ("policy type id":"20008")
ts": 1635674525435, "crit": "DERU", "id": "ricxappframe.xapp frame", "mdc": {}, "msg": "run: invoking msg handle 1-Oct-21 10:02:05 - request\_handler.resp\_handler:: Handler processing A1\_POLICY\_REQ request 31-oct-21 10:02:05 - request\_handler.resp\_handler:: Bandler verified polic /\_type\_id': '20008', 'policy instance\_id': 'tespolicy145', 'payload': ('mo red model.pkl', 'modelstoreUrl': 'http://34.72.49.222:10001/model store' Model Fetched Successfully :1-Oct-21 10:02:05 - AlPolicyInterface:::request handler received payload {'mod b pred\_model.pkl', 'modelstoreUrl': 'http://34.72.49.222:10001/model\_store 31-Oct-21 10:02:05 - store\_model\_info:: Fetch model from model store version': '1.0.0', 'modelname': 'prb pr ed model.pkl', 'modelstoreUrl': 'http://34.72.49.222:10001/mode 31-Oct-21 10:02:05 - pull\_model::Sent Download request to \_\_\_\_er store http://34.72.49.222:10001/model\_store/1.0.0/ 31-Oct-21 10:02:05 - pull model::Successfully Downloaded to ./prbpred/prb pred model.pkl .635674525 1/RMR [INFO] sends: ts=1635674525 src=service-ricxapp-prbpredxapp-rmr.ricxapp:4560 target=service-ricxap -alloc-rmr:4560 open=0 succ=0 fail=0 (hard=0 soft=0) l635674525 1/RMR [INFO] sends: ts=1635674525 src=service-ricxapp-prbpredxapp-rmr.ricxapp:4560 target=service-ricplt almediator-rmr.ricplt:4562 open=1 succ=1 fail=0 (hard=0 soft=0) opt/conda/lib/python3.7/site-packages/sklearn/base.py:333: UserWarning: Trying to unpickle estimator GaussianProce Regressor from version 0.24.1 when using version 1.0.1. This might lead to breaking code or invalid results. Use your own risk. For more info please refer to: Sent PRB PRED REQ to alloc xApp tps://scikit-learn.org/stable/modules/model\_persistence.html#security-maintai 1-Oct-21 10:02:05 - store\_model\_info::Saved Model info l-Oct-21 10:02:05 - request\_handler.resp\_handler:: A1\_POLICY\_RESP Response rcy\_type\_id': '20008', 'poli \_instance\_id': 'tsapolicy145', 'payload': {'modelVersion': '1.0.0', 'mod 'prb\_pred\_model.pkl', 'modelstor ts": 1635674553708, "crit": "DEBUG", "id": "ricxappframe.xapp\_fr , "mdc": {}, "msg": "run: invoking msg handle 1-Oct-21 10:02:33 - predict handler received payload b'1 1-Oct-21 10:02:33 - Predictor::predict() 1-Oct-21 10:02:33 - Predictor::predict() opt/comda/lib/python3.7/site-packages/sklearn/base.py.333. UserWarning. Trying to unpickle estimator GaussianProc sRegressor from version 0.24.1 when using version 1.0.1. This might lead to breaking code or invalid results. Use t your own risk. For more info please refer to: nttps://scikit-learn.org/stable/modules/model\_persistence.html#security-maintainability-limitations 31-Oct-21 10:02:33 - Predicted value for Slice 162 : b'{"prediction": [71.7, 70.6]} 31-0ct-21 10:02:33 - Sending message to alloc xApp : b'{"prediction": [71.7, 70.6]}' -Oct-21 10:02:33 - predict handler: sent message successfully

13. On Timer expiry Alloc xApp sent PRB\_PRED\_REQ to prbpred xApp and prbpred xApp receives PRB\_PRED\_REQ, performs prediction of the PRB's and sends message to Alloc XAPP

```
31-Oct-21 10:03:33 - predict handler received payload b'1
31-Oct-21 10:03:33 - Predictor::predict()
opt/conda/lib/python3.7/site-packages/sklearn/base.py:333: UserWarning: Trying to unpickle estimator GaussianProc
ssRegressor from version 0.24.1 when using version 1.0.1. This might lead to breaking code or invalid results. Use
at your own risk. For more info please refer to:
https://scikit-learn.org/stable/modules/model persistence.html#security-maintainability-limitations
31-Oct-21 10:03:33 - Predictor::predict()
                                                                     PRB prediction per Slice
/opt/conda/lib/python3.7/site-packages/sklearn/base.py:333:
                                                                                                        aussianProce
 sRegressor from version 0.24.1 when using version 1.0.1. This mig
                                                                             preaking code or invalid results. Use
at your own risk. For more info please refer to:
https://scikit-learn.org/stable/modules/model persister
31-Oct-21 10:03:33 - Predicted value for Sli 1&2 : b'{"prediction": [71.7, 70.6]}'
31-Oct-21 10:03:33 - Sending message trailoc xApp : b'{"prediction": [71.7, 70.6]}'
1635674618 1/RMR [INFO] sends: ts=1635674618 src=service-ricxapp-prbpredxapp-rmr.ricxapp:4560 target=service-ricxap
p-alloc-rmr:4560 open=0 succ=0 fail=0 (hard=0 soft=0)
1635674618 1/RMR [INFO] sends: ts=1635674618 src=service-ricxapp-prbpredxapp-rmr.ricxapp:4560 target=service-ricplt
-almediator-rmr.ricplt:4562 open=1 succ=2 fail=0 (hard=0 soft=0)
1635674618 1/RMR [INFO] sends: ts=1635674618 src=service-ricxapp-prbpredxapp-rmr.ricxapp:4560 target=service-ricxap
p-alloc-rmr.ricxapp:4560 open=1 succ=1 fail=0 (hard=0 soft=0)
```

14. Alloc xApp receives PRB\_PRED\_RSP from prbpred xApp, computes the PRB to be allocated and sends control message to E2

Alloc xApp sends PRB Allocation

```
31-Oct-21 10:03:33 - [msg_to_pred]
31-Oct-21 10:03:33 - [msg_to_pred]
31-Oct-21 10:03:33 - [msg_to_pred]
31-Oct-21 10:03:33 - [msg_to_pred] Received acknowldgement _om_pred (FRB_PRED_RSF): {'payload': b'("prediction": [71.7, 7].6]}', 'payload length': 28, 'message type' 50002, 'subscription id': -1, 'transaction id': b'aaec35103a311lec832 aeadad170f84a', 'message state': 0, 'message status': 'RMR_OK', 'payload max size': 3136, 'meid': b'', 'message sou cce': 'service-ricxapp-prbpredxapp-rmr.ricxapp:4560', 'errno': 0}
31-Oct-21 10:03:33 - Estimated PRB usage of Slice 1:25
31-Oct-21 10:03:33 - Estimated PRB usage of Slice 2:25
31-Oct-21 10:03:33 - FRB allocated to Emgerceny SLice :50
```

# Proposed Future Work

- Build a multivariant timeseries model with monitored data and arrive at proper inference.
- It is recommended that gNode/E2 interface has reserved resources for Emergency situations. Additionally, based on the situation resource reallocation from lower QOS based services should be explored.
- Develop a user friendly webapp to onboard xApp's & trigger policy towards near-RT RIC and support visualisations
- Extend the solution to self learning Closed Loops with following capabilities:-
  - Continuously perform Collection, Analytics, Decision and Actuation
  - Detect model performance and trigger a switch-over to another better performing model
- Analyse and trigger different set of data/measurements for data analysis
- Points for future study from FGAN-O-013 :=
  - 1) How ML pipelines can be synchronized/managed across the edge and emergency responder devices?
  - The split of inference tasks/model functionalities between edge and emergency responder devices

# Open Issues

- a. ricplt-influxdb-meta-0 pod is in pending state in RIC platform. Tried all the suggestion as mentioned in RIC wiki but couldn't succeed
- b. Not receiving Subscription response from Subscription Manager
- c. Behaviour on A1 mediator sending A1 POLICY REQ when policy instance is CREATED/UPDATED to xApps is suppressed in Dawn release

### **Special Thanks**

Thoralf Czichy - Nokia Abukar Mohamed - Nokia

#### REFERENCES

- 1. A. Dandekar, J.Schulz-Zander, H.Wissing, Fraunhofer HHI, "Use case and requirements for orchestration of AI/ML basedclosed loops to enable autonomous networks", Fraunhofer HHI, Apr. 2021.
- 2. [Build-a-thon FG AN] ITU-T FG AN-I-146 "Proposal for a "Build-a-thon" for ITU AI/ML in 5G Challenge (second edition, 2021), aligned with FGAN WG3" <a href="https://extranet.itu.int/sites/itu-t/focusgroups/an/input/FGAN-I-114-R1.docx">https://extranet.itu.int/sites/itu-t/focusgroups/an/input/FGAN-I-114-R1.docx</a>
- 3. [Build-a-thon Challenge] ITU-T AI/ML in 5G Challenge problem statement "ITU-ML5G-PS-014: Build-a-thon (PoC) Network resource allocation for emergency management based on closed loop analysis" <a href="https://challenge.aiforgood.itu.int/match/matchitem/45">https://challenge.aiforgood.itu.int/match/matchitem/45</a>
- 4. <a href="https://github.com/ITU-build-a-thon/challenge-resources/blob/main/intro\_tutorial.pdf">https://github.com/ITU-build-a-thon/challenge-resources/blob/main/intro\_tutorial.pdf</a>
- 5. FGAN-153 "Team AUTOMATO" <a href="https://extranet.itu.int/sites/itu-t/focusgroups/an/\_layouts/15/WopiFrame.aspx?sourcedoc=%7B85757552-DFBE-479A-A816-003AE91C2B22%7D&file=FGAN-I-155.docx&action=default">https://extranet.itu.int/sites/itu-t/focusgroups/an/\_layouts/15/WopiFrame.aspx?sourcedoc=%7B85757552-DFBE-479A-A816-003AE91C2B22%7D&file=FGAN-I-155.docx&action=default</a>
- 6. Pre-trained model and repository <a href="https://github.com/krcmehmet/ITUChallenge\_BuildaThon\_Activity4">https://github.com/krcmehmet/ITUChallenge\_BuildaThon\_Activity4</a>
- 7. Near Realtime RIC <a href="https://wiki.o-ran-sc.org/display/GS/Near+Realtime+RIC+Installation">https://wiki.o-ran-sc.org/display/GS/Near+Realtime+RIC+Installation</a>
- 8. https://wiki.o-ran-sc.org/display/ORANSDK/App+Writing+Guide
- 9. https://github.com/o-ran-sc code repository
- 10. https://lists.o-ran-sc.org/g/main/topics
- 11. https://docbox.etsi.org/ISG/ZSM/Open/Drafts/009-3ed111\_Cla\_AdvTop/ZSM-009-3\_Cla\_AdvTopv010