

Inside Exception Handling

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In this topic, I will introduce the detail of exception handling on Windows to you. Okay, Let's start and go>>

As we all know that SEH(Structured Exception Handling) is an build-in exception handling mechanism provided by Windows. So it can be used and extended by any programming language and compiler in theory, for which they should accomplish mainly two tasks:

1. define the necessary key words to represent the logic of exception handling, which will be used by programmer as an interface. For example, VC compiler define the `__try`, `__finally`, `__except` key words.
2. Implement the compiling of the key words and link up the exception handling code with SEH. In other words, any other extended exception handling is all based on the build-in SEH on windows.

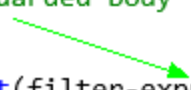
In VC++, the normal exception code is like following:

```
__try
{
    //the guarded body
}
__except(filter-expression)
{
    //exception-handling block
}
```

When the code in `__try{}`(guarded body) occur an exception, the system will execute the code in filter-expression, which will decide what to do next.

The execution line like as following:

```
__try
{
    //the guarded body
}
__except(filter-expression)
{
    //exception-handling block
}
```



It seems like a leap from the guarded body to filter-expression in the execution line. It's uneasy to perform the leap for it

should know the exact location of filter-expressions and keep a balance on stack. To implement the leap, the compiler will first analyze the structure of the exception handling code and *package and mark* the all parts in the structure and then register the exception handling function in case of being called when exception occurred.

Okay, Okay.. Before introducing the detail of exception handling, Let's have a look at the process of exception dispatching (Here you only need to know the user-mode, for kernel-mode I will have a deep introduction in the subsequent software debugging or windows kernel learning series)

When there is exception happened, CPU will search the IDT table for the exception handler (like KiTrapXX), which will finally call the kernel function **KiDispatchException** to dispatch exception. KiDispatchException is the hub for dispatching various exceptions. Its prototype is:

```
VOID KiDispatchException ( IN PEXCEPTION_RECORD ExceptionRecord,
IN PKEXCEPTION_FRAME ExceptionFrame, IN PKTRAP_FRAME TrapFrame,
IN KPROCESSOR_MODE PreviousMode, IN BOOLEAN FirstChance )
```

The parameter PreviousMode is an constant of enumeration:

```
typedef enum _MODE { KernelMode, UserMode, MaximumMode } MODE;
```

When PreviousMode is 0, it represent the KernelMode and 1 for UserMode.

The parameter FirstChance represent whether it's the first time dispatching exception. System will dispatch at most twice for an exception

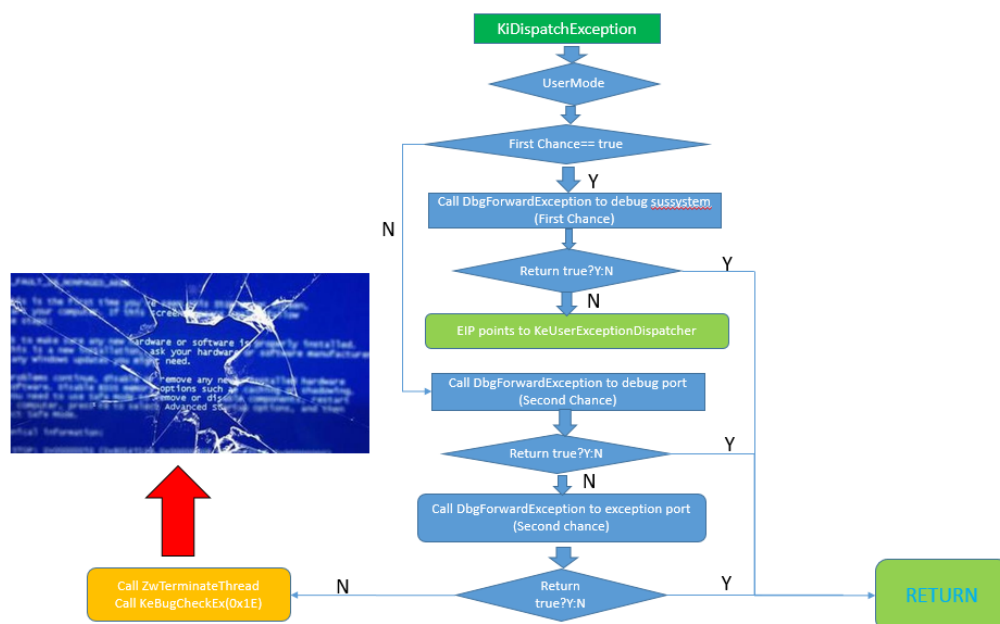


Figure 1. The process of KiDispatchException in user-mode

```

//pseudocode for KiDispatchException
VOID KiDispatchException(PEXCEPTION_RECORD Er, ULONG Reserved,
                        PKTRAP_FRAME Tf, MODE PreviousMode,
                        BOOLEAN SearchFrames)
{
    PCR->KeExceptionDispatchCount++;
    CONTEXT Context
        = {CONTEXT_FULL | (PreviousMode == UserMode ?
CONTEXT_DEBUG : 0)};
    KeContextFromKframes(Tf, Reserved, &Context);
    if (Er->ExceptionCode == STATUS_BREAKPOINT) Context.Eip--;
    do {
        if (PreviousMode == KernelMode) {
            if (SearchFrames) {
                if (KiDebugRoutine &&
                    KiDebugRoutine(Tf, Reserved, Er, &Context,
                        PreviousMode, FirstChance) != 0) break;
                if (RtlDispatchException(Er, &Context) == 1)
break;
            }
            if (KiDebugRoutine &&
                KiDebugRoutine(Tf, Reserved, Er, &Context,
                    PreviousMode, LastChance) != 0) break;
        }
        else {
            if (SearchFrames) {
                if (PsGetCurrentProcess()->DebugPort == 0
                    || KdIsThisAKdTrap(Tf, &Context)) {
                    if (KiDebugRoutine &&
                        KiDebugRoutine(Tf, Reserved, Er,
mode stack;
CONTEXT
                        PreviousMode, FirstChance) != 0) break;
                }
                if (DbgkForwardException(Tf, DebugEvent,
                    FirstChance) != 0) return;
                if (valid_user_mode_stack_with_enough_space) {
                    // copy EXCEPTION_RECORD and CONTEXT to user
                    // push addresses of EXCEPTION_RECORD and
                    // on user mode stack;
                    Tf->Eip = KeUserExceptionDispatcher;
                    return;
                }
            }
        }
    }
}

```

```

    }
    if (DbgkForwardException(Tf, DebugEvent,
        LastChance) != 0) return;
    if (DbgkForwardException(Tf, ExceptionEvent,
        LastChance) != 0) return;
    ZwTerminateThread(NtCurrentThread(),
Er->ExceptionCode);
    }
    KeBugCheckEx(KMODE_EXCEPTION_NOT_HANDLED,
Er->ExceptionCode,
        Er->ExceptionAddress, Er->ExceptionInformation[0],
        Er->ExceptionInformation[1]);
    } while (false);
    KeContextToKframes(Tf, Reserved, &Context,
        Context.ContextFlags, PreviousMode);
}

```

As showed in figure 1, there are twice exception dispatching. For the first chance, KiDispatchException will dispatch the exception to the user-mode debugger by calling the kernel instance DbgkForwardException, which has three parameters: the first is exception record, the second is a boolean to specify the debug port or the exception port, the third specify whether the first chance, for the first chance, It's called like:

`DbgkForwardException(ExceptionRecord, TRUE, FALSE);` means sending to debug port and `DbgkForwardException` will check whether the DebugPort of current process is NULL, if not NULL then calling `DbgkpSendApiMessage` to send exception to debugging-subsystem, which will send exception to debugger again. If `DbgkpSendApiMessage` return STATUS_SUCCESS and debugger handled the exception (ReturnedStatus == DBG_CONTINUE), then `DbgkForwardException` will return true, the dispatching get over. If the debugger didn't handle the exception (ReturnedStatus == DBG_EXCEPTION_NOT_HANDLER), `DbgkpSendApiMessage` will return false. What `KiDispatchException` next to do is to search the exception handling block to handle it, because the exception occurred in user-mode code and exception handling block should be in user-mode function, so `KiDispatchException` will go back to user-mode to execute, in which EIP will points to the `KiUserExceptionDispatcher` function in `NTDLL.dll`. The `KiUserExceptionDispatcher` will call the `RtlDispatchException` to search the exception handling block, and if it return true, representing the exception has been handled, `KiUserExceptionDispatcher` will call `ZwContinue` (system service) to continue to execute at where exception occurred. If

RtlDispatchException return false, representing that no exception handling block can handle it, and the current process being debugged, KiUserExceptionDispatcher will set the parameter FirstChance to false by calling ZwRaiseException then starting the second dispatching(ZwRaiseException pass the exception to KiDispatchException by calling NtRaiseException)For the second dispatching, KiDispatchException will still dispatch the exception to the DebugPort of the process first,if it return false ,then dispatch to ExceptionPort(now the user-mode debugger has no any chance to handler the exception ☹️),if DbgFowardException return false, representing the exception is unhandled,then terminate the current thread and call the KeBugCheckEx to make a BSOD(Blue Screen Of Death)😊as showed in figure 1

(The process of KiUserExceptionDispatcher will be introduced later😊)

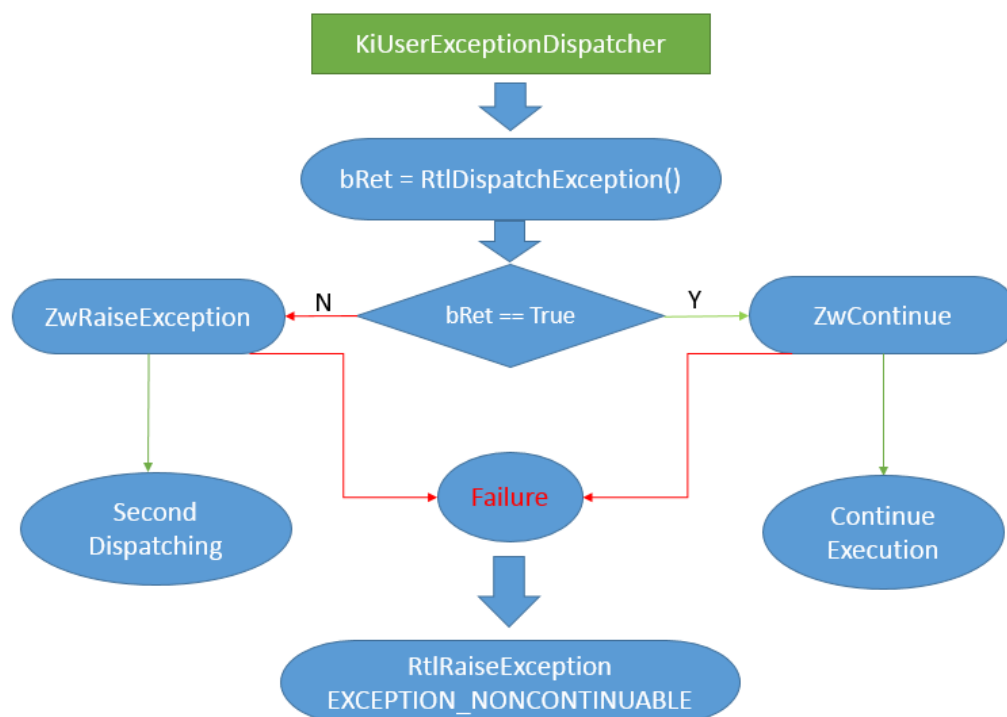


Figure 2 The process of KiUserExceptionDispatcher

As is showed in figure 2, KiUserExceptionDispatcher will call RtlDispatchException to dispatch exception, if it return true, then it call NtContinue, which will makes the program executed from where exception occurred, if false, then it call NtRaiseException to start the second dispatching, if both NtContinue and NtRaiseException return false, it will execute the left code in KiUserExceptionDispatcher, otherwise it will never

return to KiUserExceptionDispatcher.

The pseudocode for KiUserExceptionDispatcher as following:

```

KiUserExceptionDispatcher( PEXCEPTION_RECORD pExcptRec,
CONTEXT * pContext )
{
    DWORD retValue;
    // Note: If the exception is handled,
    RtlDispatchException() never returns
    if ( RtlDispatchException( pExcerptRec, pContext ) )
        retValue = NtContinue( pContext, 0 );
    else
        retValue = NtRaiseException( pExcerptRec, pContext, 0 );
    EXCEPTION_RECORD excptRec2;

    excptRec2.ExceptionCode = retValue;
    excptRec2.ExceptionFlags = EXCEPTION_NONCONTINUABLE;
    excptRec2.ExceptionRecord = pExcerptRec;
    excptRec2.NumberParameters = 0;

    RtlRaiseException( &excptRec2 );
}

```

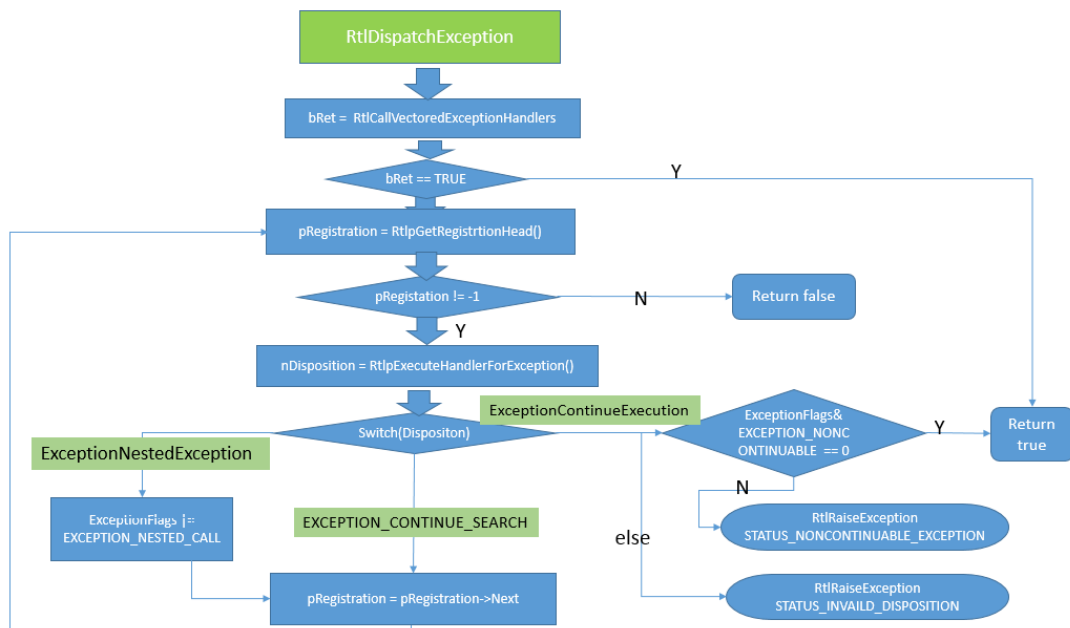


Figure 3. The process of RtlDispatchException

The pseudocode for RtlDispatchException :

```

int RtlDispatchException( PEXCEPTION_RECORD pExcerptRec,
CONTEXT * pContext )
{
    DWORD    stackUserBase;

```

```

DWORD    stackUserTop;
PEXCEPTION_REGISTRATION pRegistrationFrame;
DWORD hLog;

// Get stack boundaries from FS:[4] and FS:[8]
RtlpGetStackLimits( &stackUserBase, &stackUserTop );
pRegistrationFrame = RtlpGetRegistrationHead();
while ( -1 != pRegistrationFrame )
{
    PVOID justPastRegistrationFrame =
&pRegistrationFrame + 8;
    if ( stackUserBase > justPastRegistrationFrame )
    {
        pExcptRec->ExceptionFlags |= EH_STACK_INVALID;
        return DISPOSITION_DISMISS; // 0
    }
    if ( stackUserTop < justPastRegistrationFrame )
    {
        pExcptRec->ExceptionFlags |= EH_STACK_INVALID;
        return DISPOSITION_DISMISS; // 0
    }
    if ( pRegistrationFrame & 3 ) // Make sure stack
is DWORD aligned
    {
        pExcptRec->ExceptionFlags |= EH_STACK_INVALID;
        return DISPOSITION_DISMISS; // 0
    }
    if ( someProcessFlag )
    {
        // Doesn't seem to do a whole heck of a lot.
        hLog = RtlpLogExceptionHandler( pExcptRec,
pContext, 0, pRegistrationFrame, 0x10 );
    }
    DWORD retValue, dispatcherContext;
    retValue= RtlpExecuteHandlerForException(pExcptRec,
pRegistrationFrame,pContext, &dispatcherContext,
pRegistrationFrame->handler );

    // Doesn't seem to do a whole heck of a lot.
    if ( someProcessFlag )
        RtlpLogLastExceptionDisposition( hLog,
retValue );

    if ( 0 == pRegistrationFrame )

```

```

        {
            pExcptRec->ExceptionFlags &= ~EH_NESTED_CALL;
// Turn off flag
        }
        EXCEPTION_RECORD excptRec2;
        DWORD yetAnotherValue = 0;
        if ( DISPOSITION_DISMISS == retValue )
        {
            if ( pExcptRec->ExceptionFlags &
EH_NONCONTINUABLE )
            {
                excptRec2.ExceptionRecord = pExcptRec;
                excptRec2.ExceptionNumber =
STATUS_NONCONTINUABLE_EXCEPTION;
                excptRec2.ExceptionFlags = EH_NONCONTINUABLE;
                excptRec2.NumberParameters = 0
                RtlRaiseException( &excptRec2 );
            }
            else
                return DISPOSITION_CONTINUE_SEARCH;
        }
        else if ( DISPOSITION_CONTINUE_SEARCH == retValue )
        {
        }
        else if ( DISPOSITION_NESTED_EXCEPTION == retValue )
        {
            pExcptRec->ExceptionFlags |= EH_EXIT_UNWIND;
            if ( dispatcherContext > yetAnotherValue )
                yetAnotherValue = dispatcherContext;
        }
        else // DISPOSITION_COLLIDED_UNWIND
        {
            excptRec2.ExceptionRecord = pExcptRec;
            excptRec2.ExceptionNumber =
STATUS_INVALID_DISPOSITION;
            excptRec2.ExceptionFlags = EH_NONCONTINUABLE;
            excptRec2.NumberParameters = 0
            RtlRaiseException( &excptRec2 );
        }

        pRegistrationFrame = pRegistrationFrame->prev; //
Go to previous frame
    }

```



```
        return DISPOSITION_DISMISS;
    }
```

As is showed in figure 3, the `RtlDispatchException` will call `RtlCallVectoredExceptionHandlers` (VEH, here we don't discuss VEH), if it return true, after several function related to VEH calling, it will return true, if `RtlCallVectoredExceptionHandlers` return false, then next call `RtlpGetRegistrationHead` to retrieve the start head of SEH chain, starting the traversing of SEH chain. if the `pRegistrationFrame != 0xFFFFFFFF` (the end of SEH chain), call `RtlpExecuteHandlerForException` to execute the SEH handler: `RtlpExecuteHandlerForException -> ExecuteHandler ->`

`ExecuteHandler2 -> call ecx(SEH handler / _except_handler4) -> _except_handler4_common`

the return value of `RtlpExecuteHandlerForException` is an constant of enum `EXCEPTION_DISPOSITION`:

```
typedef enum _EXCEPTION_DISPOSITION {
    ExceptionContinueExecution, //0 return to execute the code that
                                //trigger exception
    ExceptionContinueSearch,    //1 continue to search the next EH
                                structure
    ExceptionNestedException,   //2 the nested exception occurred
    ExceptionCollidedUnwind
} EXCEPTION_DISPOSITION
```

Inside `_except_handler4`, you can see the detailed process of compiler-enhanced exception handling (including global unwinding and local unwinding of EH etc..) The routine is complex but not difficult, if you debug and tracing it all the way, you can see the nature landscape ☺ For the time being, I have no plan to write the detail about it, maybe I will write it in my subsequent Software Debugging series of topics.

Reference:

1. Book: <Software Debugging> Booked by YinKui, Zhang China.
2. Paper: A Crash Course on the Depths of Win32™ Structured Exception Handling
<https://www.microsoft.com/msj/0197/Exception/Exception.aspx>
3. Google ☺