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This exam has 12 questions, for a total of 100 points.

1. 5 points What is the observable behavior of the following $\mathcal{L}_{\mathsf{Fun}}$ program? (e.g. does it produce an error at compile time or runtime? does it produce an integer, which one? does it diverge?)

```
def f(x : Integer) -> tuple[int]
 v = (x, x)
 return v
v1 = f(3)
v2 = f(3)
v3 = v1
if v1 is v2:
  if v1 is v3:
   print(0)
 else:
   print(1)
else:
 if v1 is v3:
   print(2)
 else:
    print(3)
```

Solution: This program outputs the number 2 because v1 is v2 is false (they are tuples of different identity) and v1 is v3 is true (they are aliases for the same tuple).

2. 5 points What is the observable behavior of the following $\mathcal{L}_{\mathsf{Tup}}$ program? (e.g. does it produce an error at compile time or runtime? does it produce an integer, which one? does it diverge?)

```
v1 = (3,3)
v2 = (3,3)
if v1[0] == v2[2]:
   print(0)
else:
   print(1)
```

Solution: The program is not well-typed because the type of v2 is tuple[int,int] but v2[2] tries to access its third element. So the type checker outputs and error.

3. 8 points Given the following \mathcal{L}_{While} program, apply the Explicate Control pass to translate it to \mathcal{C}_{lf} .

```
sum = 0
i = input_int()
while i > 0:
    sum = sum + i
    i = i - 1
tmp = 27 + sum
print(27 + sum)
```

Solution: (2 points per basic block)

```
start:
    sum = 0
    i = input_int()
    goto loop.35
loop.35:
    if i > 0:
      goto block.37
    else:
      goto block.36
block.37:
    sum = (sum + i)
    i = (i - 1)
    goto loop.35
block.36:
    tmp = 27 + sum
    print(tmp)
    return 0
```

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4. 13 points Apply liveness analysis to the following pseudo-x86 program to determine the set of live locations before and after every instruction. (The callee and caller saved registers are listed in the Appendix of this exam.)

block66: start: movq y58, tmp64 callq read_int movq x57, %rax movq %rax, x57 addq tmp64, %rax movq \$1, y58 jmp conclusion callq read_int block67: movq %rax, i59 movq y58, tmp61 jmp loop65 movq y58, tmp62 loop65: movq tmp61, y58 movq i59, tmp60 addq tmp62, y58 cmpq \$0, tmp60 movq i59, tmp63 jg block67 movq tmp63, i59 jmp block66 subq \$1, i59

Solution: (1/2 point per liveness set)

jmp loop65

```
block66:
                                            { x57 y58}
                                      movq y58, tmp64
start:
   (set)
                                            { tmp64 x57}
                                      movq x57, %rax
callq read_int
                                            { tmp64}
   (set)
movq %rax, x57
                                      addq tmp64, %rax
      { x57}
                                            {}
movq $1, y58
                                      jmp conclusion
      { x57 y58}
                                            {}
callq read_int
      { x57 y58}
                                      block67:
movq %rax, i59
                                            { x57 y58 i59}
      { x57 y58 i59}
                                      movq y58, tmp61
                                            { tmp61 x57 y58 i59}
jmp loop65
      { x57 y58 i59}
                                      movq y58, tmp62
                                            { tmp61 tmp62 x57 i59}
loop65:
                                      movq tmp61, y58
      { x57 y58 i59}
                                            { tmp62 x57 y58 i59}
movq i59, tmp60
                                      addq tmp62, y58
      { x57 y58 i59 tmp60}
                                            { x57 y58 i59}
cmpq $0, tmp60
                                      movq i59, tmp63
      { x57 y58 i59}
                                            { tmp63 x57 y58}
jg block67
                                      movq tmp63, i59
      { x57 y58 i59}
                                            { x57 y58 i59}
jmp block66
                                      subq $1, i59
      { x57 y58}
                                            { x57 y58 i59}
                                      jmp loop65
                                            { x57 y58 i59}
```

Name: _____

5. 10 points Fill in the blanks for the following expose_alloc_tuple auxilliary function of the Expose Allocation pass that translates from \mathcal{L}_{Tup} to \mathcal{L}_{Alloc} . (The grammar for \mathcal{L}_{Alloc} is in the Appendix.)

```
def expose_alloc_tuple(es, tupleType, allocExp):
   n = len(es)
   num_bytes =
                  (a)
   vec = generate_name('alloc')
   space_left = Compare((b)), [Lt()],
                         [GlobalValue('fromspace_end')])
   xs = [Name(generate_name('init')) for e in es]
   inits = [Assign([x], e) for (x,e) in zip(xs,es)]
   initVec = []
   i = 0
   for x in xs:
       initVec += [ (c) ]
       i += 1
   return Begin(inits \
                 + [If(space_left, [], [ (d) ])] \
                + [Assign([Name(vec)], allocExp)] \
                + initVec,
                Name(vec))
def expose_alloc_exp(e: expr) -> expr:
   match e:
        case Tuple(es, Load()):
           alloc = Allocate(len(es), e.has_type)
           return expose_alloc_tuple( (e) , e.has_type, alloc)
```

```
Solution: (2 points each)

(a) (n + 1) * 8
(b) BinOp(GlobalValue('free_ptr'), Add(), Constant(num_bytes))
(c) Assign([Subscript(Name(vec), Constant(i),Store())], x)
(d) Collect(num_bytes)
(e) [expose_alloc_exp(e) for e in es]
```

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6. 4 points In the expose_alloc_tuple function of the previous question, why are the intializing expressions es assigned to the temporary variables xs instead of using them directly in the tuple initialization?

Solution: The reason is that between the allocation of the tuple and the initialization of its elements, we cannot allow a call to **collect** because then the garbage collector would try to traverse a partially-initialized tuple, causing it to potentially jump to random locations in memory. The initializing expressions may contain tuple-creation expressions and hence calls to **collect**. The **let** binding of the initializing expressions causes them to be executed first, prior to the allocation and initialization of the tuple.

7. 6 points Describe the layout of the 64-bit tag at the beginning of every tuple.

Solution:

- Bit position 0 is set to 0 when the tuple has been copied into the TO space (in the process of doing a copy collection) and it is set to 1 otherwise. If it is set to 0, then the 64 bits are the address of the new location in the TO space. (2 points)
- Bit position 1 through 6 stores the length of the tuple. (2 points)
- Bit position 7 through 57 is the pointer mask. It says, for each element of the tuple, whether the element is a pointer (that is, a tuple) or something else (like an integer or Boolean). (2 points)

8. 10 points Fill in the blanks for the following explicate_control that translates $\mathcal{L}_{\mathsf{FunRef}}^{mon}$ programs into $\mathcal{C}_{\mathsf{Fun}}$ programs. def explicate_tail(e : expr, blocks: Dict[str, List[stmt]]) -> List[stmt]: match e: case Call(Name(f), args) if f in builtin_functions: return [(a)] case Call(func, args): return [(b)] def explicate_pred(cnd: expr, thn: List[stmt], els: List[stmt], basic_blocks: Dict[str, List[stmt]]) -> List[stmt]: match cnd: case Call(func, args): tmp = generate_name('call') return [(c)If(Compare(Name(tmp), [Eq()], [Constant(False)]), create_block(els, basic_blocks), create_block(thn, basic_blocks))] . . . def explicate_stmt(s: stmt, cont: List[stmt], blocks: Dict[str, List[stmt]]) -> List[stmt]: match s: case Return(value): return (d)def explicate_def(d) -> stmt: match d: case FunctionDef(name, params, body, _, returns, _): $new_body = []$ blocks = {} if isinstance(returns, VoidType): body = body + [Return(Constant(None))] for s in reversed(body): $new_body = (e)$ blocks[label_name(name + '_start')] = new_body return FunctionDef(name, params, blocks, None, returns, None)

```
Solution: (2 points each)

(a) Return(e)
(b) TailCall(func, args)
(c) Assign([Name(tmp)], cnd)
(d) explicate_tail(value, blocks)
(e) explicate_stmt(s, new_body, blocks)
```

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9. 6 points What is the purpose of the Reveal Functions pass? How does the output of Reveal Functions facilitate decisions made in later passes of the compiler?

Solution: The Reveal Functions pass separates the references to global functions from references to local variables, changing the former to FunRef. (2 points) The later passes can then treat them differently, in particular,

- In Remove Complex Operands, FunRef is treated as a complex operand to make sure it only appears on the righ-hand side of an assignment statement. (2 points)
- In Instruction Selection, each assignment with FunRef on the right-hand side is translated to a leaq instruction that obtains the function's address from the function's label. (2 points)
- 10. 8 points Describe the general layout of the procedure call frame that your compiler uses.

Solution: The procedure call frame stores the following information:

- The return address, i.e., the address of the caller. (2 points)
- The caller's value for rbp. (2 points)
- The caller's values of the callee-saved registers that are going to be used in this function. (2 points)
- The spilled local variables. (2 points)

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11. 13 points Apply Instruction Selection to the following two functions, translating them from $\mathcal{C}_{\mathsf{Fun}}$ to $x86^{\mathsf{Def}}_{\mathsf{callq*}}$. (The definitions of $\mathcal{C}_{\mathsf{Fun}}$ and $x86^{\mathsf{Def}}_{\mathsf{callq*}}$ are in the Appendix, as is the list of argument-passing registers.) (The function even calls odd, but you do not need to translate the odd function for this exam question, so its definition is omitted.) (The below functions are presented in concrete syntax except for the FunRef nodes.)

```
def even(x : int) -> bool:
 even_start:
        if x == 0:
          goto block.146
          goto block.147
 block.146:
        return True
 block.147:
        fun.138 = FunRef(odd, 1)
        tmp.139 = (x - 1)
        tail fun.138(tmp.139)
def main() -> int:
 main_start:
        fun.142 = FunRef(even, 1)
        tmp.143 = input_int()
        call.152 = fun.142(tmp.143)
        if call.152 == False:
          goto block.153
        else:
          goto block.154
 block.153:
        tmp.144 = 0
        goto block.151
 block.154:
        tmp.144 = 42
        goto block.151
 block.151:
        print(tmp.144)
        return 0
```

```
Solution: (approx. 1/2 point per instruction)

def even() -> bool:
    even_start:
        movq %rdi, x
        cmpq $0, x
        je block.146
        jmp block.147

block.146:
        movq $1, %rax
        jmp even_conclusion

block.147:
        leaq odd(%rip), fun.138
        movq x, tmp.139
```

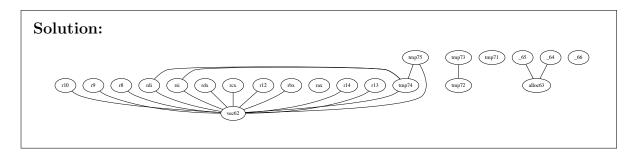
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```
subq $1, tmp.139
        movq tmp.139, %rdi
        tailjmp fun.138
def main() -> int:
 main_start:
        leaq even(%rip), fun.142
        callq read_int
        movq %rax, tmp.143
        movq tmp.143, %rdi
        callq* fun.142
        movq %rax, call.152
        cmpq $0, call.152
        je block.153
        jmp block.154
  block.151:
        movq tmp.144, %rdi
        callq print_int
        movq $0, %rax
        jmp main_conclusion
  block.153:
        movq $0, tmp.144
        jmp block.151
  block.154:
        movq $42, tmp.144
        jmp block.151
```

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12. 12 points Draw the interference graph for the following program fragment by adding edges between the nodes below. You do not need to include edges between two registers. The live-after set for each instruction is given to the right of each instruction and the types of each variable is listed below. (The callee and caller saved registers are listed in the Appendix of this exam.)

```
def main() -> int:
  var_types:
    tmp73 : int, tmp72 : int, tmp75 : Callable[[int], int],
    tmp70 : Int, tmp71 : Int, tmp71 : Callable[Callable[[int], int], tuple[int, int]], Void), tmp71 : int,
_65 : int, _64 : int, _66 : int, alloc63 : tuple[int, int],
    vec62 : tuple[int,int]
                                      block76:
                                           {}
                                      movq free_ptr(%rip), %r11
                                           {}
mainstart:
                                       addq $24, free_ptr(%rip)
    {}
                                           {}
movq free_ptr(%rip), tmp71
                                       movq $5, 0(%r11)
    {tmp71}
                                           {r11}
movq tmp71, tmp72
                                       movq %r11, alloc63
    {tmp72}
                                           {alloc63}
addq $24, tmp72
{tmp72}
                                       movq alloc63, %r11
                                           {alloc63}
movq fromspace_end(%rip), tmp73
                                       movq $0, 8(%r11)
    {tmp72 tmp73}
                                           -
{alloc63}
cmpq tmp73, tmp72
                                      movq $0, _65
    {}
                                           {alloc63}
jl block77
                                       movq alloc63, %r11
    {}
                                           -
{alloc63}
jmp block78
                                      movq $41, 16(%r11)
    {}
                                           {alloc63}
                                       movq $0, _64
block77:
                                           {alloc63}
     {}
                                      movq_alloc63, vec62
movq $0, _66
                                           {vec62}
    {}
                                      leaq map_vec_57(%rip), tmp74
jmp block76
                                           {vec62 tmp74}
    {}
                                       leaq add158(%rip), tmp75
                                           {vec62 tmp74 tmp75}
block78:
                                      movq tmp75, %rdi
    {}
movq %r15, %rdi
                                           {tmp74 rdi vec62}
                                       movq vec62, %rsi
    {rdi}
                                           -
{rsi tmp74 rdi vec62}
movq $24, %rsi
                                      callq *tmp74
    .
{rdi rsi}
                                           {vec62}
callq collect
                                       movq vec62, %r11
    {}
                                           {r11}
jmp block76
                                       movq 16(%r11), %rax
    {}
                                           {rax}
                                       jmp mainconclusion
```



P423/523 Compilers (Python)	Final	Fall 2022
	Name:	

Appendix

```
The caller-saved registers are:

rax rcx rdx rsi rdi r8 r9 r10 r11

and the callee-saved registers are:

rsp rbp rbx r12 r13 r14 r15

The argument-passing registers are:

rdi rsi rdx rcx r8 r9
```

Grammar for $\mathcal{L}_{\mathsf{While}}$

```
::= Constant(int) | Call(Name('input_int'),[])
          UnaryOp(USub(), exp) | BinOp(exp,Add(),exp)
          BinOp(exp,Sub(),exp)
     ::= Expr(Call(Name('print'),[exp])) | Expr(exp)
stmt
     ::= Name(var)
exp
stmt
          Assign([Name(var)], exp)
              And() | Or()
boolop
              Not()
unaryop
         ::= Eq() | NotEq() | Lt() | LtE() | Gt() | GtE()
cmp
         ::= True | False
bool
exp
         ::= Constant(bool) | BoolOp(boolop,[exp,exp])
              Compare (exp, [cmp], [exp]) | If Exp(exp, exp, exp)
         ::= If(exp, stmt^+, stmt^+)
     ::= While(exp, stmt^+, [])
stmt
\mathcal{L}_{\mathsf{While}} ::= Module(stmt^*)
```

Grammar for C_{lf}

```
\begin{array}{llll} atm & ::= & \mathtt{Constant}(int) \mid \mathtt{Name}(var) \mid \mathtt{Constant}(bool) \\ exp & ::= & atm \mid \mathtt{Call}(\mathtt{Name}('\mathtt{input\_int'}), []) \mid \mathtt{UnaryOp}(\mathtt{USub}(), atm) \\ & \mid & \mathtt{BinOp}(atm, \mathtt{Sub}(), atm) \mid \mathtt{BinOp}(atm, \mathtt{Add}(), atm) \\ & \mid & \mathtt{Compare}(atm, [cmp], [atm]) \\ stmt & ::= & \mathtt{Expr}(\mathtt{Call}(\mathtt{Name}('\mathtt{print'}), [atm])) \mid \mathtt{Expr}(exp) \\ & \mid & \mathtt{Assign}([\mathtt{Name}(var)], exp) \\ tail & ::= & \mathtt{Return}(exp) \mid \mathtt{Goto}(label) \\ & \mid & \mathtt{If}(\mathtt{Compare}(atm, [cmp], [atm]), [\mathtt{Goto}(label)], [\mathtt{Goto}(label)]) \\ \mathcal{C}_{\mathsf{lf}} & ::= & \mathtt{CProgram}(\{label: [stmt, \ldots, tail], \ldots \}) \end{array}
```

Grammar for $\mathcal{L}_{\mathsf{Tup}}$

```
exp ::= Constant(int) | Call(Name('input_int'),[])
          UnaryOp(USub(), exp) | BinOp(exp, Add(), exp)
          BinOp(exp,Sub(),exp)
     ::= Expr(Call(Name('print'),[exp])) | Expr(exp)
stmt
          Name(var)
     ::=
exp
stmt
          Assign([Name(var)], exp)
             And() | Or()
boolop
unaryop
        ::= Not()
         ::= Eq() | NotEq() | Lt() | LtE() | Gt() | GtE()
cmp
         ::= True | False
bool
         ::= Constant(bool) | BoolOp(boolop,[exp,exp])
exp
              Compare (exp, [cmp], [exp]) \mid IfExp(exp, exp, exp)
         ::= If (exp, stmt^+, stmt^+)
stmt
     ::= While(exp, stmt^+, [])
stmt
     ::= Is()
cmp
          Tuple(exp^+, Load()) \mid Subscript(exp, Constant(int), Load())
          Call(Name('len'),[exp])
     ::= Module(stmt^*)
```

Grammar for $\mathcal{L}_{\mathsf{Alloc}}$

The \mathcal{L}_{Alloc} language extends \mathcal{L}_{Tup} with the following grammar rules:

```
\begin{array}{lll} exp & ::= & \texttt{Collect}(int) \mid \texttt{Allocate}(int, type) \mid \texttt{GlobalValue}(name) \\ stmt & ::= & \texttt{Assign}(\texttt{[Subscript}(exp, int, \texttt{Store}())], exp) \end{array}
```

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Grammar for \mathcal{L}_{Fun}

```
exp ::= Constant(int) | Call(Name('input_int'),[])
           UnaryOp(USub(), exp) | BinOp(exp,Add(),exp)
           BinOp(exp,Sub(),exp)
stmt
      ::= Expr(Call(Name('print'),[exp])) | Expr(exp)
     ::= Name(var)
exp
           Assign([Name(var)], exp)
stmt
              And() | Or()
boolop
         ::= Not()
unaryop
         ::= Eq() | NotEq() | Lt() | LtE() | Gt() | GtE()
cmp
bool
         ::= True | False
         ::= Constant(bool) | BoolOp(boolop, [exp, exp])
exp
              Compare (exp, [cmp], [exp]) | If Exp(exp, exp, exp)
         ::= If (exp, stmt^+, stmt^+)
stmt
      ::= While(exp, stmt^+, [])
stmt
     ::= Is()
cmp
     ::= Tuple(exp^+,Load()) | Subscript(exp,Constant(int),Load())
exp
          Call(Name('len'),[exp])
             IntType() | BoolType() | VoidType() | TupleType[type+]
type
             FunctionType(type^*, type)
        ::= Call(exp, exp^*)
exp
             Return(exp)
stmt
        ::=
params ::= (var, type)^*
        ::= FunctionDef(var, params, stmt^+, None, type, None)
\mathcal{L}_{\mathsf{Fun}} ::= \mathsf{Module}([\mathit{def} \ldots \mathit{stmt} \ldots])
```

Grammar for $\mathcal{L}_{\mathsf{FunRef}}^{mon}$

```
Constant(int) \mid Name(var)
atm
     ::=
          atm | Call(Name('input_int'),[])
 exp
           UnaryOp(USub(), atm) | BinOp(atm,Add(), atm)
           BinOp(atm,Sub(),atm)
stmt
     ::= Expr(Call(Name('print'),[atm])) | Expr(exp)
           Assign([Name(var)], exp)
atm
          Constant(bool)
      ::=
 exp
      ::= Compare(atm,[cmp],[atm]) | IfExp(exp, exp, exp)
          Begin(stmt^*, exp)
          If (exp, stmt^*, stmt^*)
stmt
      ::=
          While (exp, stmt^+, [])
stmt
           Subscript(atm,atm,Load())
 exp
      ::=
           Call(Name('len'), [atm])
           Allocate(int, type) | GlobalValue(var)
     ::= Assign([Subscript(atm,atm,Store())], atm)
stmt
           Collect(int)
             IntType() | BoolType() | VoidType() | TupleType[type+]
type
             FunctionType(type^*, type)
             FunRef(label, int) | Call(atm, atm^*)
exp
        ::=
             Return(exp)
stmt
        ::=
params
        ::=
             (var, type)^*
def
             FunctionDef(var, params, stmt+, None, type, None)
\mathcal{L}_{\mathsf{FunRef}}^{mon}
             Module([def...stmt...])
```

Grammar for $\mathcal{C}_{\mathsf{Fun}}$

```
atm
      ::=
          Constant(int) \mid Name(var) \mid Constant(bool)
exp
           atm | Call(Name('input_int'),[]) | UnaryOp(USub(), atm)
           BinOp(atm,Sub(),atm) \mid BinOp(atm,Add(),atm)
           Compare (atm, [cmp], [atm])
      ::= Expr(Call(Name('print'), [atm])) | Expr(exp)
stmt
           Assign([Name(var)], exp)
tail
      ::= Return(exp) | Goto(label)
           If (Compare (atm, [cmp], [atm]), [Goto (label)], [Goto (label)])
          Subscript(atm, atm, Load()) | Allocate(int, type)
exp
           GlobalValue(var) | Call(Name('len'), [atm])
stmt
     ::= Collect(int)
           Assign([Subscript(atm,atm,Store())], atm)
             FunRef(label, int) | Call(atm, atm^*)
exp
             TailCall(atm, atm^*)
tail
params
             [(var, type), \dots]
        ::=
block
        ::=
             label:stmt^* tail
blocks
        ::= \{block, \dots\}
             FunctionDef(label, params, blocks, None, type, None)
def
    ::= CProgramDefs([def,...])
```

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Grammar for $x86_{\mathsf{callq}*}^{\mathsf{Def}}$

```
\begin{array}{llll} arg & ::= & {\tt Constant}(int) \mid {\tt Reg}(reg) \mid {\tt Deref}(reg,int) \mid {\tt ByteReg}(reg) \\ & \mid & {\tt Global}(label) \mid {\tt FunRef}(label,\ int) \\ & instr & ::= & \ldots \mid {\tt IndirectCallq}(arg,\ int) \mid {\tt TailJmp}(arg,\ int) \\ & \mid & {\tt Instr}('{\tt leaq}', [arg, {\tt Reg}(reg)]) \\ & block & ::= & label:\ instr^* \\ & blocks & ::= & \{block, \ldots\} \\ & def & ::= & {\tt FunctionDef}(label,\ [],\ blocks,\ \_,\ type,\ \_) \\ & x86^{\tt Def}_{\tt callq*} & ::= & X86{\tt ProgramDefs}([def,\ldots]) \\ \end{array}
```