

HOMEWORK 5

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SECTION 002 (MW 2:30 -3:50 PM)

Total points: 100 Topics: Recurrences , solved with methods: Master Theorem, Tree, Substitution (induction)

Convention: $\lceil \cdot \rceil$ means rounded up and $\lfloor \cdot \rfloor$ means rounded down.

P1. (23 points) Use the tree and table method to compute the Θ time complexity for $T(N)=5T(\lfloor N/4 \rfloor) + 2N^3$.

Assume $T(0) = 1$ and $T(1) = 1$. Fill in the table below and finish the computations outside of it:

Level	Argument/ Problem size	Cost of one node	Nodes per level	Cost of whole level
0	N	$2N^3$	1	$1 \cdot 2N^3$
1	$\frac{N}{4}$	$2\left(\frac{N}{4}\right)^3$	5	$5 \cdot 2\left(\frac{N}{4}\right)^3 = 2N^3\left(\frac{5}{4^3}\right)^1$
2	$\left(\frac{N}{4^2}\right)$	$2\left(\frac{N}{4^2}\right)^3$	5^2	$5^2 \cdot 2\left(\frac{N}{4^2}\right)^3 = 2N^3\left(\frac{5}{4^3}\right)^2$
i	$\left(\frac{N}{4^i}\right)$	$2\left(\frac{N}{4^i}\right)^3$	5^i	$5^i \cdot 2\left(\frac{N}{4^i}\right)^3 = 2N^3\left(\frac{5}{4^3}\right)^i$
$k = \log_4 N$ Leaf level. Write k as a function of N .	$1 = \frac{N}{4^k}$	$2 \cdot \left(\frac{N}{4^k}\right)^3$	5^k	$5^k \cdot 2\left(\frac{N}{4^k}\right)^3 = 2N^3\left(\frac{5}{4^3}\right)^k$

Total tree cost calculation: We showed that $T(N) = 2N^3 \times \frac{64}{5^9}$

$$T(N) = \Theta(N^3)$$

Draw the tree. Show levels 0,1,2 and the leaves level. Show the problem size $T(\dots)$ as a label next to the node and inside the node show the local cost (cost of one node) as done in class. For the leaf level and level 2 it suffices to show a few nodes.

Total Tree cost calculation:

$$T(N) = 2N^3 + 2N^3 \left(\frac{5}{4^3}\right)^1 + 2N^3 \left(\frac{5}{4^3}\right)^2 + \dots + 2N^3 \left(\frac{5}{4^3}\right)^i + \dots + 2N^3 \left(\frac{5}{4^3}\right)^k$$

Take our $2N^3$ common

$$T(N) = 2N^3 \left(1 + \left(\frac{5}{4^3}\right)^1 + \left(\frac{5}{4^3}\right)^2 + \dots + \left(\frac{5}{4^3}\right)^i + \dots + \left(\frac{5}{4^3}\right)^k\right)$$

Summation of geometric series $\sum_{k=0}^n x^k = \frac{1-x^{n+1}}{1-x}$

when $0 < x < 1$

$$\text{Similarly, } T(N) = 2N^3 \left[\sum_{i=0}^k \left(\frac{5}{4^3}\right)^i \right] \quad \text{--- (1)} \quad x = \frac{5}{4^3} < 1$$

$$\text{we know that, } \sum_{k=0}^n x^k \leq \sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$$

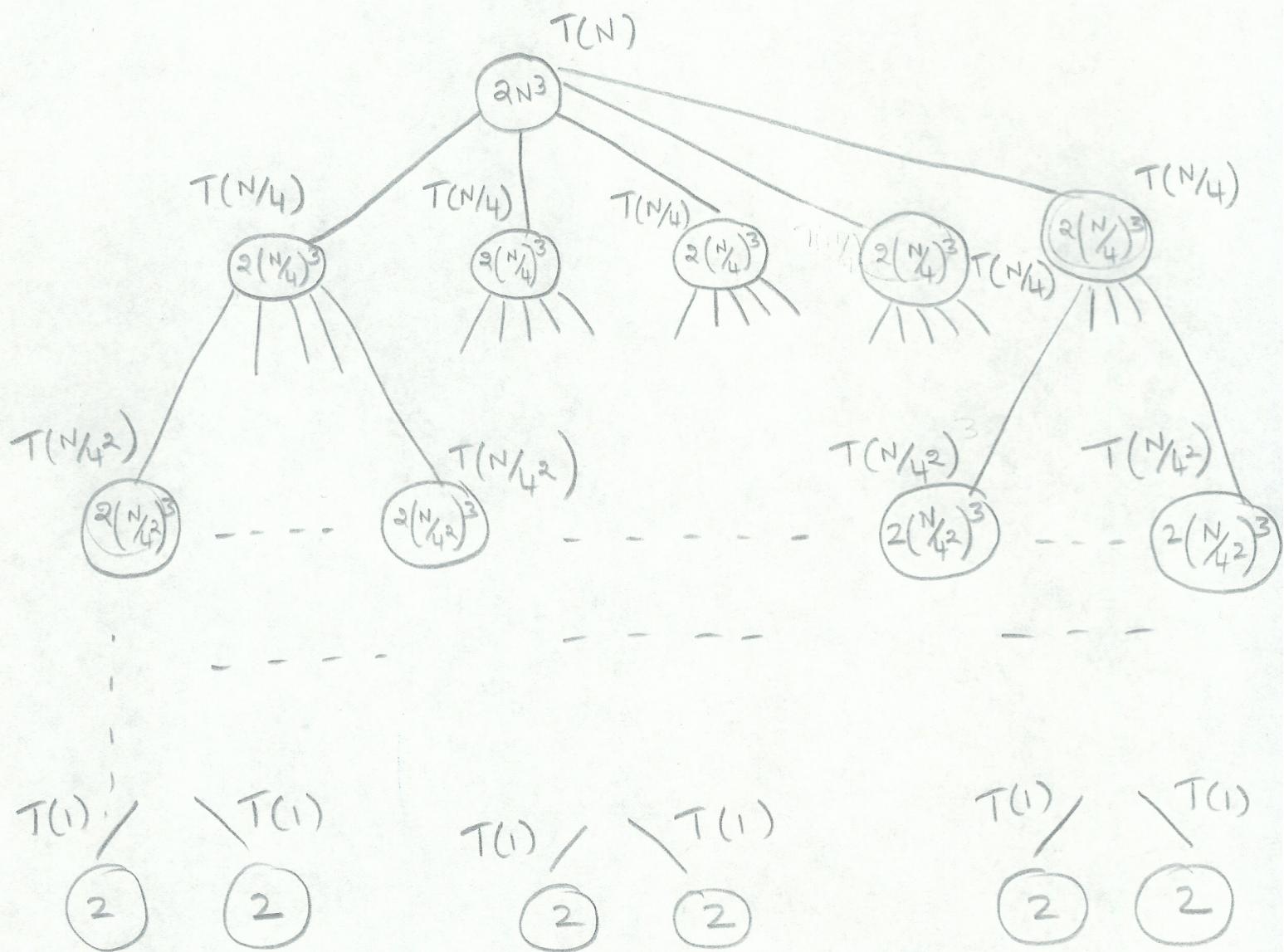
$$\sum_{i=0}^k \left(\frac{5}{4^3}\right)^i = \frac{1}{1-\frac{5}{4^3}} = \frac{1}{1-\frac{5}{64}} = \frac{64}{64-5}$$

$$= \frac{64}{59} \quad \text{Substitute Back in (1)}$$

$$T(N) = 2N^3 \times \frac{64}{59} \quad \text{so it is } O(N^3)$$

We showed that it is $\overline{O(N^3)}$ but sum $\neq 0$ so it is $\Omega(N^3)$
Hence, $\underline{\Omega(N^3)}$

Tree Structure



P2. (23 points) Use the tree and table method to compute the Θ time complexity for $T(N) = 4T(N - 5) + 7$. Assume $T(N) = 1$ for all $0 \leq N \leq 4$. Assume N is a convenient value for your computations.

Fill in the table below and finish the computations outside of it:

Level	Argument/ Problem size	Cost of one node	Nodes per level	Cost of whole level
0	N	7	1	$1 \cdot 7 = 7$
1	$(N-5)$	7	4	$4 \cdot 7$
2	$(N-10)$	7	4^2	$4^2 \cdot 7$
i	$(N-5^i)$	7	4^i	$4^i \cdot 7$
$k = \frac{N}{5}$ Leaf level. Write k as a function of N .	$(N-5k)$	7	4^k	$4^k \cdot 7$

Total tree cost calculation: $\frac{7}{3} (4^{N/5} \cdot 4 - 1)$

$$T(N) = \Theta(4^{N/5}) = (4^N)$$

Draw the tree. Show levels 0,1,2 and the leaves level. Show the problem size $T(\dots)$ as a label next to the node and inside the node show the local cost (cost of one node) as done in class. For the leaf level and level 2 it suffices to show a few nodes.

$$T(N) = 1 \quad 0 \leq N \leq 4$$

$$T(N-5k) = 1$$

$$0 \leq N-5k \leq 4$$

$$0 \leq N-5k$$

$$N-5k \leq 4$$

$$5k \leq N$$

$$N-4 \leq 5k$$

$$k \leq \frac{N-4}{5}$$

$$k \geq \frac{N-4}{5}$$

Total tree cost calculation

$$\begin{aligned}
 T(N) &= 7 + 4 \cdot 7 + 4^2 \cdot 7 + \dots + 4^{k-1} \cdot 7 \\
 &= 7(4^0 + 4^1 + 4^2 + \dots + 4^{k-1}) \\
 &= 7 \sum_{i=0}^{k-1} 4^i \\
 &= 7 \sum_{i=0}^{k-1} 4^i - \textcircled{1} \quad x \text{ here is } 4 > 1
 \end{aligned}$$

When $x > 1$ we have summation formula

$$\sum_{k=0}^n x^k = \frac{x^{n+1} - 1}{x - 1}$$

$$\sum_{i=0}^{k-1} 4^i = \frac{4^{k+1} - 1}{4 - 1} = \frac{4^{k+1} - 1}{3}$$

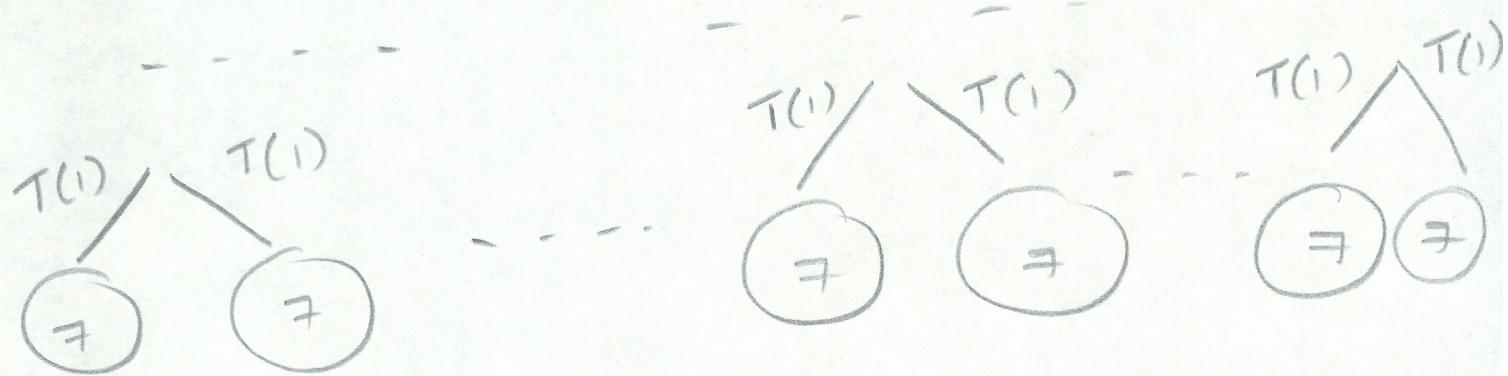
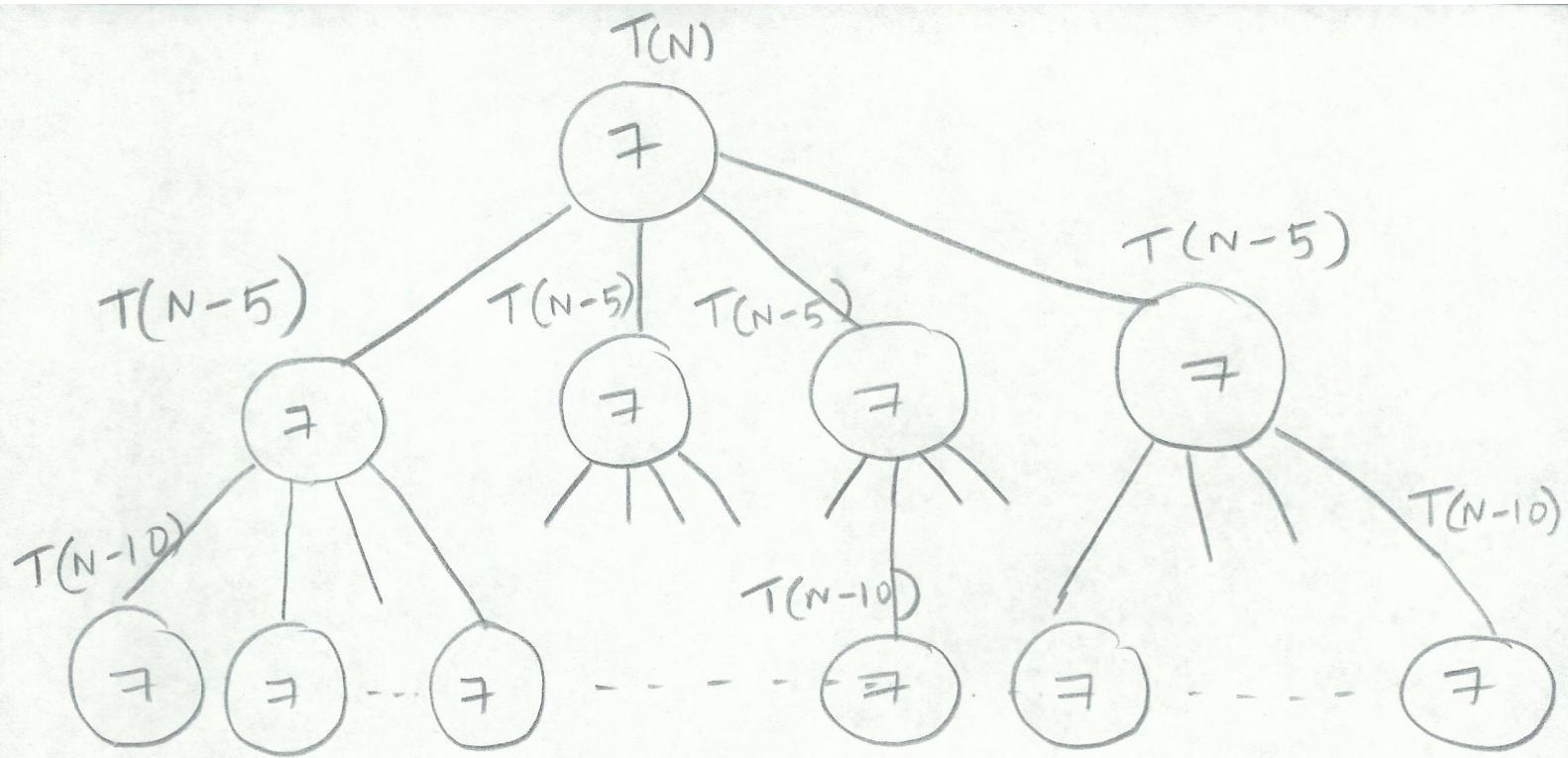
Substitute in $\textcircled{1}$

$$= 7 \times \frac{4^{k+1} - 1}{3} \Rightarrow \frac{7}{3} (4^{k+1} - 1) \quad k = \frac{N}{5}$$

$$= \frac{7}{3} (4^k \cdot 4 - 1)$$

$$= \frac{7}{3} (4^{\frac{N}{5}} \cdot 4 - 1) \quad \text{Hence}$$

$$\underline{\underline{T(N)} \in \Theta(4^{\frac{N}{5}})}$$



$$P3) a) T(N) = 5T\left(\frac{N}{4}\right) + 2N^3. \text{ Assume } T(0)=1, T(1)=1$$

This Equation of the form $T(N) = aT(N/b) + f(n)$

we have $a=5$ $b=4$ $f(n)=2N^3$

$$\begin{array}{l} a=5 \\ b=4 \end{array} \quad \left. \begin{array}{l} n^{\log_b a} \\ \Rightarrow n^{\log_4 5} \end{array} \right. \Rightarrow n^{1.12}$$

[Note $N=n$]

$$f(n)=2N^3 \quad g(n)=1.12$$

$$f(n) = \underline{g(n)}$$

$$2N^3 = \underline{\Sigma} n^{1.12}$$

Case 3: $f(n) = \Omega(n^{\log_b a} + \epsilon)$ for some constant

$\epsilon > 0$ and if $af(n/b) \leq kf(n)$ for some

constant $k < 1$ and all sufficiently large n .

then $T(n) = \Theta(f(n))$

$$f(n) = \Omega(n^{\log_b a} + \epsilon)$$

$$2N^3 = \Omega(n^{1.12 + \epsilon}) \quad \epsilon = 0.88$$

$$2N^3 = \Omega(n^{1.12 + 0.88})$$

$$2N^3 = \Omega(n^2) \quad \rightarrow \text{satisfy the equation}$$

=====

if $af(\frac{n}{b}) \leq kf(n)$ for some constant $K < 1$

$$a = 5 \quad b = 4$$

$$5 \times f\left(\frac{n}{4}\right) \leq kf(n)$$

$$5 \times 2\left(\frac{n}{4}\right)^3 \leq k(2n^3)$$

$$\frac{5}{4^3} \times 2(n^3) \leq k(2n^3)$$

$$\frac{5}{64} \leq k$$

$$k = \frac{5}{64} \text{ which is less than } \underline{1}$$

Hence, we have $T(n) = \Theta(f(n))$

$$T(n) = \Theta(2n^3)$$

$$= \underline{\underline{\Theta(n^3)}}$$

P3. b) $T(N) = 4T(\lceil N/4 \rceil) + d$, for some constant $d > 0$.

Assume $T(0) = 1$ $T(1) = 1$.

→ This Equation of the form $T(N) = aT(N/b) + f(n)$

we have $a = 4$ $b = 4$ $f(n) = d$, $d > 0$

$$\begin{array}{l} a=4 \\ b=4 \end{array} \left. \right\} n^{\log_4 4} \Rightarrow n^1$$

$f(n) = d$ $d > 0$, some constant

$$g(n) = n^1$$

$$f(n) = \underline{\quad} g(n)$$

$$f(n) = \underline{\quad} g(n)$$

$$d = \underline{0} n^1 \quad d \text{ can be any constant } > 0.$$

Case 1: If $f(n) = O(n^{(\log_b a)} - \epsilon)$ for some constant

$$\epsilon > 0, \text{ then } T(n) = \Theta(n^{\log_b a})$$

$$f(n) = O(n^{(\log_b a)} - \epsilon) \quad \epsilon > 0 \text{ let } \epsilon = 0.5$$

$$d = O(n^{1-0.5})$$

$d = O(n^{0.5})$ which satisfy the → Big O

$$\text{Hence } T(n) = \Theta(n^{\log_b a}) \Rightarrow \Theta(n^{\log_4 4}) = \Theta(n^1)$$

$$\underline{T(n) = \Theta(n)}$$

$$P3) c) T(n) = 6T\left(\frac{n}{6}\right) + 5n, \text{ Assume } T(0)=1, T(1)=1$$

This Equation of the form $T(n) = aT\left(\frac{n}{b}\right) + f(n)$

We have $a=6, b=6, f(n)=5n$

$$\left. \begin{array}{l} a=6 \\ b=6 \end{array} \right\} n^{\log_b a} \Rightarrow n^{\log_6 6} \Rightarrow n^1 \quad g(n)=n^2$$

$$f(n) = \underline{\quad} g(n)$$

$$f(n) = \underline{\quad} g(n)$$

$$5n = \underline{\quad} n^1$$

$$5n = \underline{\quad} n^2$$

$$\underline{5n = \Theta(n)}$$

Case 2: If $f(n) = \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b a} \lg n)$



$$5n = \Theta(n^{\log_6 6})$$

$$5n = \Theta(n^2) \rightarrow \text{still satisfy the condition}$$

Hence, $\underline{T(n) = \Theta(n \lg n)}$

P3)d) $T(N) = 8T(N/2) + CN^3 \lg N$, Assume $T(0)=1$ $T(1)=1$

→ This Equation of the form $T(n) = aT(n/b) + f(n)$

we have $a=8$ $b=2$ $f(n) = CN^3 \lg N$

$$\left. \begin{array}{l} a=8 \\ b=2 \end{array} \right\} n^{\log_b a} \Rightarrow n^{\log_2 8} \Rightarrow \underline{n^3} \quad g(n) = n^3$$

$$f(n) = \underline{g(n)}$$

$$f(n) = \underline{g(n)}$$

$$CN^3 \lg N = \underline{\sum n^3}$$

lets see Case 3: $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$ and if $af(n/b) \leq kf(n)$ for some constant $k > 1$ and sufficiently large n then $T(n) = \Theta(f(n))$

$$\rightarrow f(n) = \Omega(n^{\log_b a + \epsilon})$$

$$CN^3 \lg N = \Omega(n^{3+\epsilon})$$

$$CN^3 \lg N = \Omega(n^3 \cdot n^\epsilon) \text{ which is not true.}$$

→ log always grows slower than any polynomial.

Even if we choose any small value for ϵ , say

Suppose $\epsilon=0.5$, The equation will not hold good.

Hence, $CN^3 \lg N = O(n^3 \cdot n^\epsilon)$ for any $\epsilon > 0$ we cannot apply Case 3. or any other case. Hence Cannot Solve this equation using Masters Theorem.

P(4) go to section "Inadmissible equations" and list the reason why it does not satisfy Master Theorem requirement.

$$1) T(n) = 2^n T\left(\frac{n}{2}\right) + n^n$$

$$a=2^n \quad b=2 \quad f(n)=n^n$$

Here $a=2^n$, here subproblem ~~size~~ needs to be fixed. Subproblem is not a constant.

Hence, Master theorem Cannot be applied to this

$$2) T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n}$$

$$a=2 \quad b=2 \quad f(n) = \frac{n}{\log n}$$

In Master theorem we have a polynomial

Relation between $n^{\log_b a}$ and $f(n)$.

Here, $f(n) = \frac{n}{\log n}$ which is non polynomial

degree . Hence Master theorem Cannot be applied.

$$3) T(n) = 0.5 T\left(\frac{n}{2}\right) + n$$

$$a=0.5 \quad b=2 \quad f(n)=n$$

According to the Master theorem definition

we have $a > 1$. Here $a = 0.5$ which indicates that subproblem is less than 1. Which cannot be accepted by Master Theorem. Hence, Master Theorem Cannot be applied to this.

$$4) T(n) = 64 T\left(\frac{n}{8}\right) - n^2 \log n$$

$$a=64 \quad b=8 \quad f(n)=(n^2 \log n)$$

$f(n)$ which is the combination time here is negative. It Should be positive.

As per the Master theorem, Equation of the form

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

In this Equation $f(n)$ is clearly negative

Hence, Master theorem cannot be applied to this.

$$5) T(n) = T\left(\frac{n}{2}\right) + n(2 - \log n)$$

$$\text{Here, } a=1 \quad b=2 \quad f(n) = n(2 - \log n)$$

This equation violates Case 3

→ according to Case 3

$$\text{we have } af\left(\frac{n}{b}\right) \leq kf(n)$$

→ But given equation violates this condition.

Hence cannot apply Master Theorem to this.

P(5) Show that $T(n) = 5T(\lfloor n/4 \rfloor) + 2n^3 = \Theta(n^3)$
by showing that it is $O(n^3)$ and $\Omega(n^3)$

Assume $T(0) = 1$ $T(1) = 1$

a) $\rightarrow T(n) = 5T(\lfloor n/4 \rfloor) + 2n^3 = O(n^3)$

Use inductive step to show ' ∞ ' by definition
of constants.

We have $f(n) = O(g(n))$ if and only if

there exist C, n_0 such that

$$f(n) \leq Cg(n) \quad \forall n \geq n_0$$

Base case: $f(n) = 5T(\lfloor n/4 \rfloor) + 2n^3$ $g(n) = N^3$

We should show $f(n) \leq Cg(n)$

$$T(n) \leq Cn^3$$

Consider $n=1$ $T(1) = 1$

$$1 \leq CN^3$$

$$1 \leq C$$

$$\underline{\underline{C \geq 1}}$$

Consider $N=2$ $T(2) = 5T\left(\lfloor \frac{2}{4} \rfloor\right) + 2 \times 2^3$

$$= 5T(0) + 2 \times 8$$

$$= 5 \times 1 + 16 = \underline{\underline{21}}$$

$T\left(\lfloor \frac{2}{4} \rfloor\right) = T(0)$
 $= 0$

$$21 \leq Cn^3$$

$$21 \leq C8$$

$$\underline{\underline{C \geq 2.65}}$$

$N=3$ $T(3) = 5T\left(\lfloor \frac{3}{4} \rfloor\right) + 2 \times 3^3$

$$= 5T(0) + 54$$

$$= 5 \times 1 + 54 = \underline{\underline{59}}$$

$$59 \leq Cn^3$$

$$59 \leq C27$$

$$\underline{\underline{C \geq 2.18}}$$

$N=4$ $T(4) = 5T\left(\lfloor \frac{4}{4} \rfloor\right) + 2 \times 4^3$

$$T(4) = 5 \times 1 + 128$$

$$T(4) = 133$$

$$133 \leq Cn^3$$

$$133 \leq C64$$

$$\underline{\underline{C \geq 2.07}}$$

$$N=5 \quad T(5) = 5T\left\lfloor \frac{5}{4} \right\rfloor + 2 \times 5^3$$

$$T(5) = 5T[1.25] + 2 \times 125$$

$$T(5) = 5 \times 1 + 250$$

$$T(5) = 255$$

$$255 \leq Cn^3$$

$$255 \leq C \times 125$$

$$\underline{C \geq 2.04}$$

Inductive Step. \rightarrow Next Page

Inductive Step

Assume $T(k) \leq ck^3 \quad \forall k < n$

$$T(n) = 5T\left(\left\lfloor \frac{n}{4} \right\rfloor\right) + 2n^3 \quad \frac{n}{4} < n$$

$$T\left(\left\lfloor \frac{n}{4} \right\rfloor\right) \leq c\left(\left\lfloor \frac{n}{4} \right\rfloor\right)^3$$

$$5T\left(\left\lfloor \frac{n}{4} \right\rfloor\right) + 2n^3$$

$$\leq 5 \cdot c \left(\left\lfloor \frac{n}{4} \right\rfloor\right)^3 + 2n^3 \leq cn^3$$

$$\leq 5 \cdot c \frac{n^3}{4^3} + 2n^3 < cn^3$$

$$\leq n^3 \left(\frac{5c}{64} + 2\right) \leq cn^3$$

$$\frac{5c}{64} + 2 \leq c$$

$$5c + 128 \leq 64c$$

$$c \geq 2.16$$

$$5T\left(\frac{4}{4}\right) + 2 \cdot 4^3 = 3(4^3)$$

$$5 \times 1 + 2 \times 64 = 192$$

$$133 \leq 192$$

Hence, $c = 3 \quad n_0 = 4$

$$T(n) = 5T\left(\left\lfloor \frac{n}{4} \right\rfloor\right) + 2n^3 = \underline{\underline{O(n^3)}}$$

b) To prove $f(n) = \Omega g(n)$ (To ensure extra mark)

We have definition by constants

There exist positive constant c_0 and n_0

such that $c_0 g(n) \leq f(n)$ for all $n \geq n_0$

Here, $g(n) \Rightarrow c n^3$

$$f(n) \Rightarrow 5T\left(\left\lfloor \frac{n}{4} \right\rfloor\right) + 2n^3$$

$$c_0 g(n) \leq f(n)$$

$n=1$

$$cn^3 \leq 5T\left(\left\lfloor \frac{n}{4} \right\rfloor\right) + 2n^3$$

$$cn^3 \leq 1$$

\rightarrow we know
 $T(1)=1$ from
Subquestion ④

$$\underline{c \leq 1}$$

$n=2$

$$cn^3 \leq 21$$

\rightarrow we know $T(2)=21$

$$c \times 8 \leq 21$$

$$\underline{c \leq 2.65}$$

$n=3$

$$cn^3 \leq 59$$

\rightarrow we know $T(3)=59$

$$c \times 27 \leq 59$$

$$\underline{\underline{c \leq 2.18}}$$

$$\text{when } N=4 \quad CN^3 \leq 133 \quad \rightarrow \text{we know}$$

$$C \times 64 \leq 133 \quad T(4) = 133$$

$$\underline{C \leq 2.07}$$

So from the values we have got from above, I pick $C = 1$ by ~~considering~~ Considering

Base Case when $N=1$

$$C=1, n_0=4$$

$$T(n) = 5T\left(\lfloor \frac{n}{4} \rfloor\right) + 2n^3 \quad g(n) = n^3$$

$$C_0 n^3 \leq 5T\left(\lfloor \frac{n}{4} \rfloor\right) + 2n^3$$

$$C_0 n^3 \leq 5T\left(\lfloor \frac{n}{4} \rfloor\right) + 2n^3$$

$$\textcircled{1} \times 64 \leq 5T\left(\lfloor \frac{64}{4} \rfloor\right) + 2 \times 64$$

$$1 \times 64 \leq 5 \times 1 + 128$$

$1 \times 64 \leq 133$ which satisfy the Equation.

Hence we say $T(n) = 5T\left(\lfloor \frac{n}{4} \rfloor\right) + 2n^3 = \Omega(n^3)$

We proved that

$$T(n) = 5T\left(\lfloor \frac{n}{4} \rfloor\right) + 2n^3 = \Theta(n^3)$$

for values of $C=3, C=1, n_0=4$