

# AUTOMATA THEORY. CSC290.

- 1 Introduction to automata theory.
- 2 Deterministic finite automata. (Machine & specific characteristics) DFA
- 3 Non-deterministic finite automata N DFA.
- 4 N DFA  $\rightarrow$  DFA conversion.
- 5 Mealy and Mealy machines.
- 6 Classification of Grammars.
- 7 Regular grammar. (Discrete)
- 8 Context free Grammar (CFG)
- 9 Push down automata (PDA).
- 10 Turing Machine TM.
- 11 Decidability

## Introduction:

- The term automata is derived from Greek word  $\alpha\tau\mu\nu\omega\tau\alpha$  which means self acting.
- An automaton (automata) is an abstract self-propelled computing device that follows a predetermined sequence of operations automatically.
- An automaton  $\text{on}$  finite number of steps / positions is called finite automata. FA. ✓ | finite state machine FSM.

\* An automaton can be represented by a 5-tuple

- 1  $Q$  = This rep finite set of states.
- 2  $\Sigma$  = This rep finite set of symbols, called alphabet.
- 3  $S$  = This rep transition function.
- 4  $q_0$  = This rep first/initial state from where any input is processed.
- 5  $(q_0 \in Q)$
- 6  $F$  = This rep set of final state/states  
( $F \subseteq Q$ )

## 1 Alphabet.

\* Finite set of symbols eg

$$\Sigma = \{a, b, c, d\} = \text{Alphabetical symbols are } \underline{a, b, c, d}.$$

\* A word can be created here: bad, dad, baba

## 2 String

This is finite set or sequence formed from alphabetical symbols.

Symbols: bad, dad, baba

## 3 Length of string.

Number of symbols present in a string

$$\text{If } s = \underline{\text{dad}}$$

$$|s| = \text{length of } s \text{ is } 3$$

$$|s| = 3.$$

\* If length of  $|s| = 0$  its called empty string

If  $|s| = 0$  || empty string denoted by  $\lambda$ .

\* empty string is denoted by  $\lambda$  or  $\underline{\epsilon}$ .

## 4 Kleene Star (\*) = Denoted by $\Sigma^*$ works on alphabets

- This is unary operator on set of symbols or strings.

- Kleene star gives infinite set of all possible string of all possible lengths over alphabet including empty string  $\epsilon$

$$\text{eg } \Sigma = \{a, b, c, d\}$$

$$\Sigma^* \{ \epsilon \} = 0$$

$$\Sigma^* \{ a, b, c, d \} = 1$$

$$\Sigma^* \{ aa, bb, cc, dd \} = 2$$

$$\Sigma^* \{ \dots \} = \text{when } 10.$$

## 5 Kleene Closure - (Kleene Plus) - $\Sigma^*, (+)$

works on alphabets

It exclude empty string

- It is infinite set of all possible strings of all lengths over alphabets excluding empty string  $\epsilon$ .

$$\Sigma^+ = a, b, c, d, aa, bb, cc, dd.$$

$$\Sigma^+ = \Sigma^n \text{ where } n \geq 1$$

$$\Sigma^* = \Sigma^n \text{ where } n \geq 0$$

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## 6 Language.

- IS subset of  $\Sigma^*$  for some alphabet
- It can be finite or infinite.
- eg = If Language takes all possible strings of length 2 over alphabet  $\Sigma = \{a, b\}$  then Language = L =  
 $L = \{aa, bb, ab, ba\}$

## Deterministic Finite Automaton (DFA).

Finite automaton can be classified into two:

- 1 Deterministic Finite automaton DFA
- 2 Non-deterministic finite automaton NFA

- In DFA for each input symbol, one can determine the state which machine will move hence called deterministic automata.
- And coz it has finite no. of states, machine is called deterministic finite machine or deterministic finite automaton.

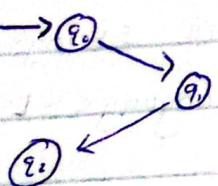
Define DFA. Formally.

- defined by 5 tuples:

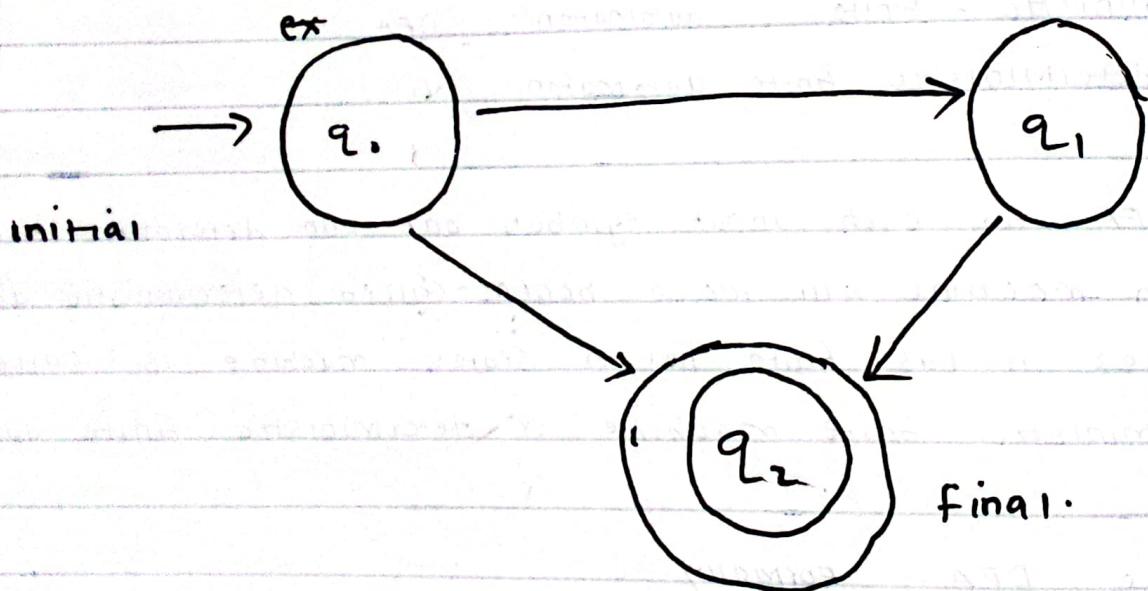
- 1  $Q$  - finite set of states.
- 2  $\Sigma$  - finite set of symbols called alphabets / input symbols
- 3  $\delta$  - transition function where  $\delta: Q \times \Sigma \rightarrow Q$
- 4  $q_0$  - initial state. ( $q_0 \in Q$ ) from where any input is processed
- 5  $F$  - set of final state / states where  $(F \subseteq Q)$

## Graphical representation of DFA

- DFA is repd by digraphs (direction graphs) called State diagram where,
- a - the vertices represent the states.
  - b - The edges labeled to input alphabet show transition.
  - c - The initial state is denoted by empty single incoming arrow.
  - d - The final state is indicated by double circles.



- d - The final state is indicated by double circles.



ex

Let DFA be:

$$Q = \{q_1, b, c\}$$

$$\Sigma = \{0, 1\}$$

$$Q_0 = \{q_1\}$$

$$F = \{c\}$$

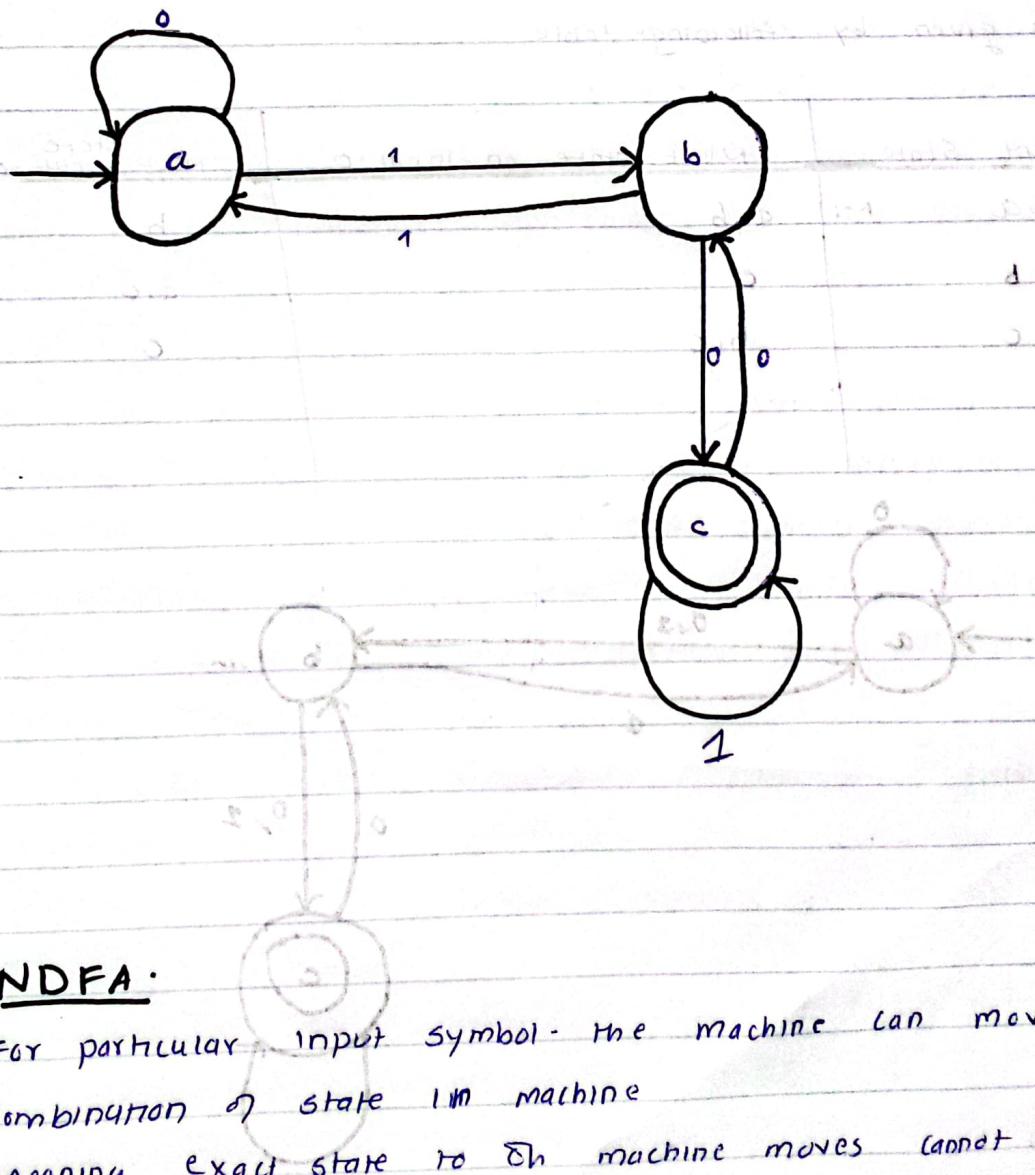
where  $\delta$  = given by:

present state	Next state on Input = 0	Next state on Input = 1
→ a	a	b
b	c	a
c	b	c

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It should move to only 1 particular state.

Diagram.



### NDFA

For particular input symbol - the machine can move to any combination of state in machine meaning exact state to which machine moves cannot be determined hence NDFA.  
 - AS it has finite no. of states, the machine is called, **NDFM or NDFA**.

Formal definition of N DFA.

Replicate DFA definition except in transition

$$\delta \subseteq Q \times \Sigma \rightarrow Q$$

e.g.

Consider N DFA  $\bar{C}$

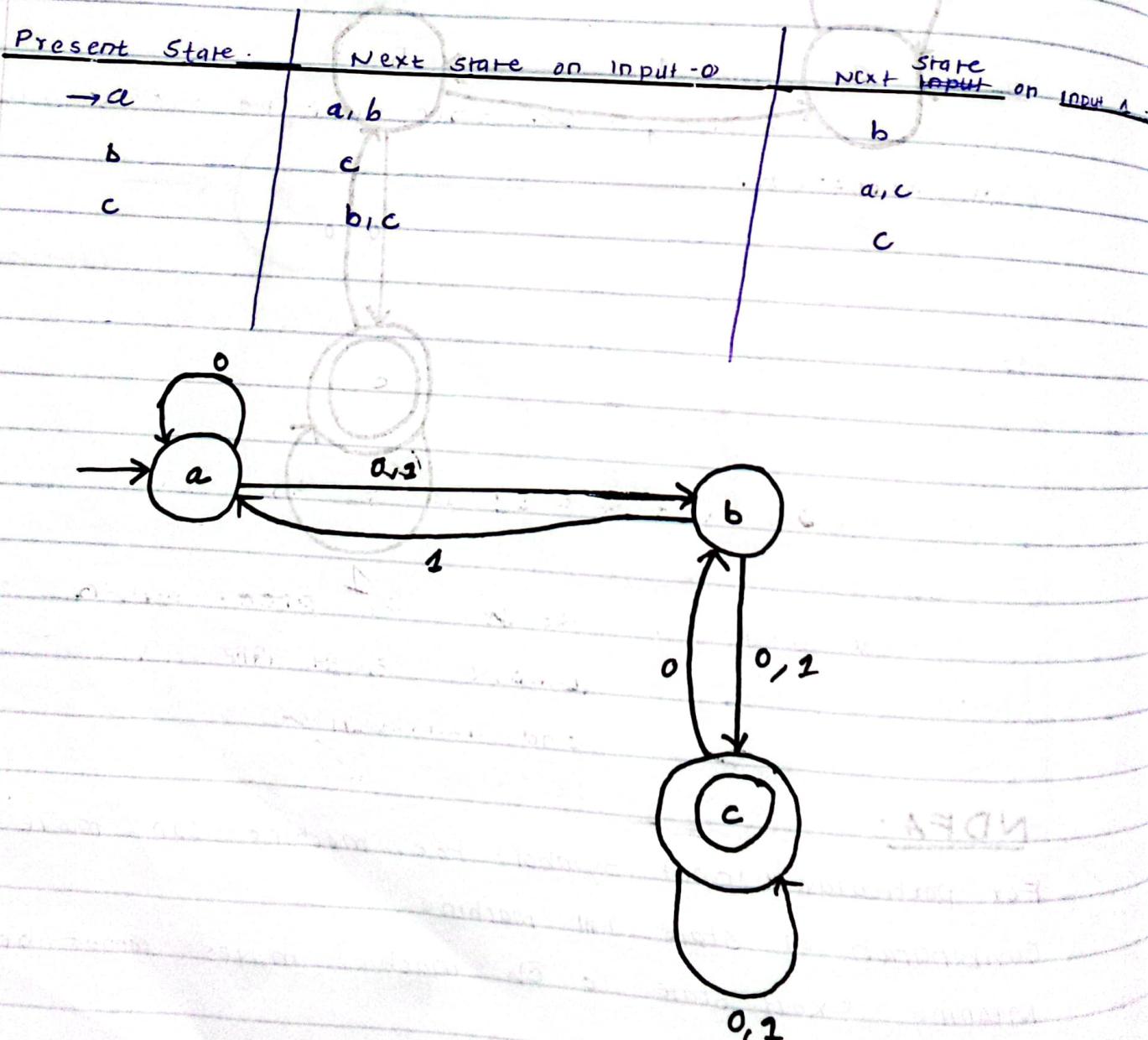
$$Q = \{a, b, c\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = a$$

$$F = \{c\}$$

$\delta$  is given by following table.



## Comparison b/w DFA and NDFA.

- 1 - The transition in DFA, in a state is to a single particular input for each hence deterministic.
  - In NDFA the transition can be to multiple next state in each input symbol. Thus Non-deterministic.
- Null Transition*
- 2 IN DFA empty string transitions are not seen while in NDFA empty string transition is permitted/allowed.
  - 3 DFA allows backtracking while NDFA, backtracking is not possible.
  - 4 DFA requires more space while NDFA, requires less space.  
 L In DFA, transition in any state must be stored coz you hv to backtrack.  
 L NDFA no backtracking.
- minimum space*
- 5 A string is accepted by DFA if it transit to final state while a string is accepted by NDFA if atleast one of all possible transition, ends in final state.
- \* 01001 = not accepted by DFA.

\* 01001 = First case accepted. NDFA. Thus accepted since one ~~the~~ route is going accordingly.

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## Acceptors, Classifiers and Transducers.

- \* An acceptor/recognizer - is an automaton that computes a boolean function
  - All states of acceptor is either accepting or rejecting input given to it.
- \* Classifier → Has more than 2 final states and it gives single outputs when it terminates.
- \* Transducer → is an automaton that produces output based on current input and previous state
  - Transducers can be of 2 types:

### ① Mealy Machine

- The output depends on current state and current input.
- 

### ② Moore Machine

The output depends only on current state.

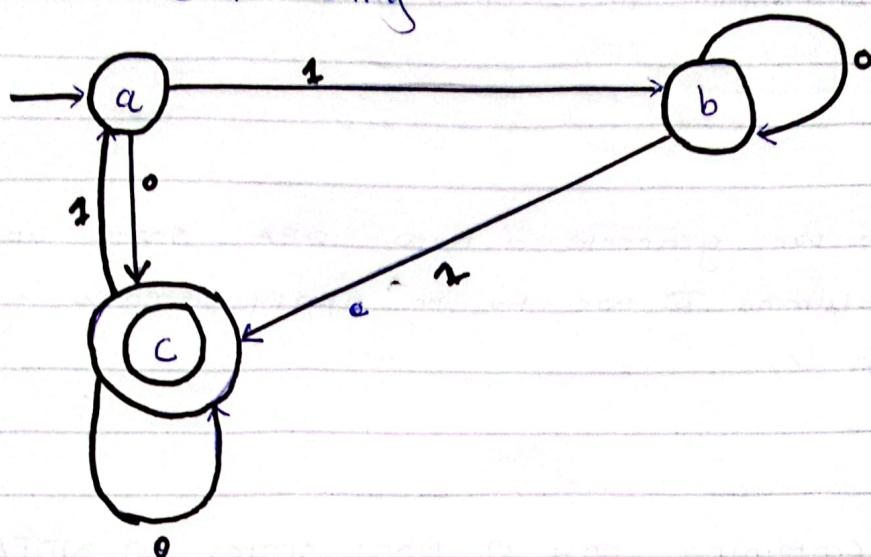
## Acceptability of DFA and NFA.

- \* A string is accepted by DFA or NFA iff the DFA or NFA starting at initial state ends in an accepting state (any of final state) after reading the string wholly.
  - \* Automata should begin from initial
  - \* It should transit one state after the other →
  - \* Must reach final state & all symbols in a string consumed.

} Acceptable condition

Ex

Consider the following



20, 1010, 11, 110, 101 - All accepted.

21, 011, 0110, - All rejected.

## ~~CONVERSION BTW DFA $\rightarrow$ NDFA.~~

DFA  $\rightarrow$  NDFA CONVERSION.

Algorithm:

Input - DFA.

Output - Equivalent DFA.

Step 1:

1 Create state table from given DFA.

Step 2:

2 Create blank state table under possible input alphabet for equivalent DFA.

For equivalent DFA.

Step 3:

3 Mark start state in DFA by  $q_0$  same as that in NDFA.

Dates

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Step 4:

- Find out Combination of states for each possible input alphabet.

Step .

Step 5:

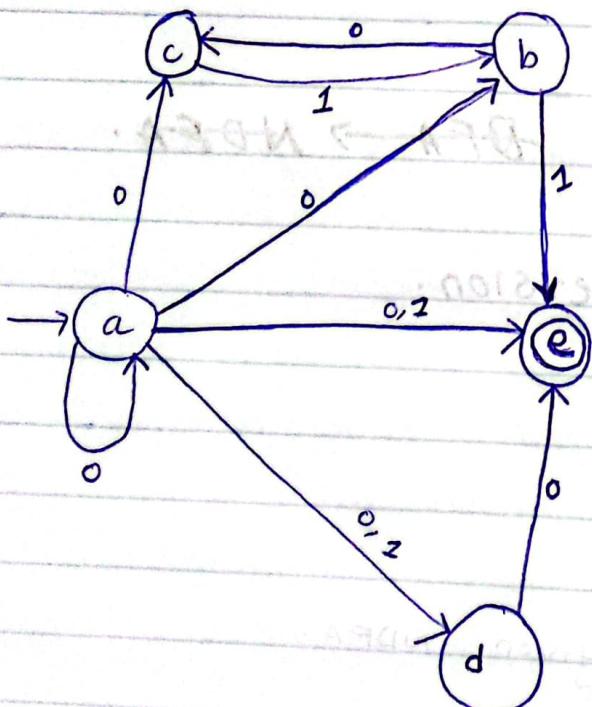
Each time we generate a new DFA state under input alphabet columns  $\rightarrow$  we have to apply Step 4 again otherwise go to step 6.

Step 6:

The State containing any of final states of NDFA are final states of equivalent DFA.

Ex.

Consider following NDFA.



Convert to DFA.

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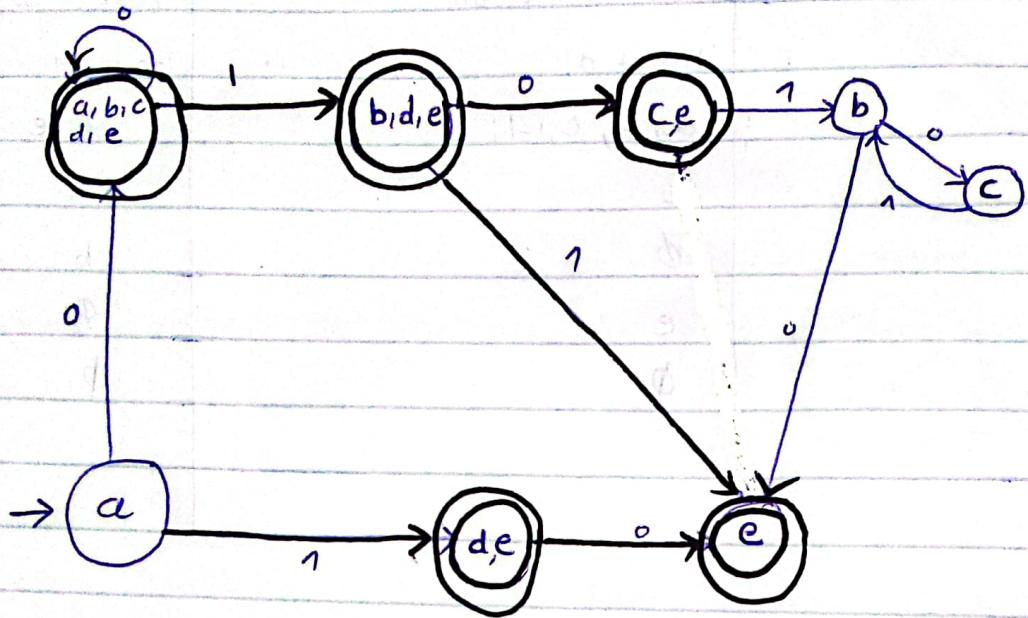
### Step 1

Present state	Next state on Input 0	Next state on Input 1
$\rightarrow a$	a, b, c, d, e	d, e
b	c	e
c	$\emptyset$	b
d	e	$\emptyset$
e	$\emptyset$	$\emptyset$

### Step 2:

Present state	Next state on Input 0	Next state on Input 1
$\rightarrow a$	a, b, c, d, e	d, e - COPY NDF
[a, b, c, d, e]	a, b, c, d, e	b, d, e
[d, e]	e,	$\emptyset$
[b, d, e]	c, e,	e,
e	$\emptyset$	$\emptyset$
c, e	$\emptyset$	b
b	c	e
c	$\emptyset$	b

Diagram.



Since final state was  $e$ , any group  $\subseteq e$  is final state.

## DFA MINIMIZATION.

DFA minimization by Myhill - Nerode theorem or Table filling algorithm.

INPUT: DFA

OUTPUT: Minimized DFA

Step 1:

Draw table for all pairs of states not necessarily connected directly

Step 2:

Consider every state pair in the DFA where one state is a final state and other one is not final state and mark them.

Step 3:

Repeat these step till we can't mark any more state.

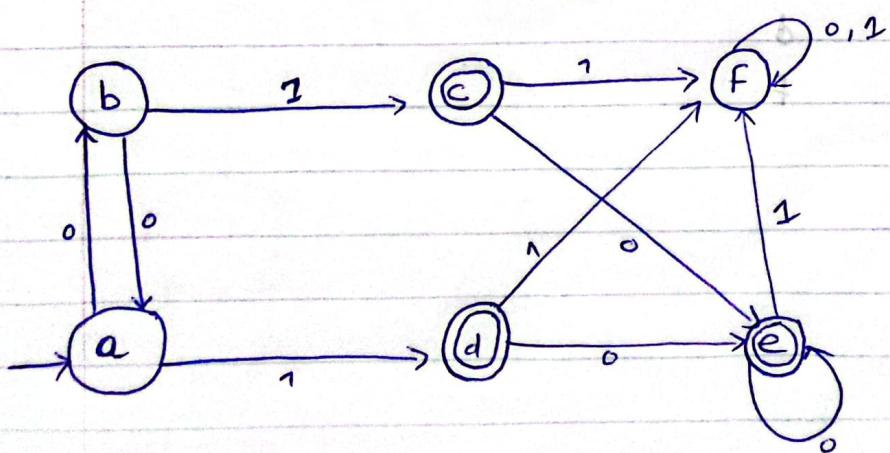
- If there's unmarked pair  $(q_i, q_j)$  mark it if the pair  $(\text{Transition from } q_i, A), (\text{Transition } q_j, A)$  is marked //  $\delta(q_i, A) \delta(q_j, A)$  for some input alphabet  $A$ .

Step 4:

Combine all unmarked pairs and make them single state in reduced DFA

### Example

Consider following DFA.



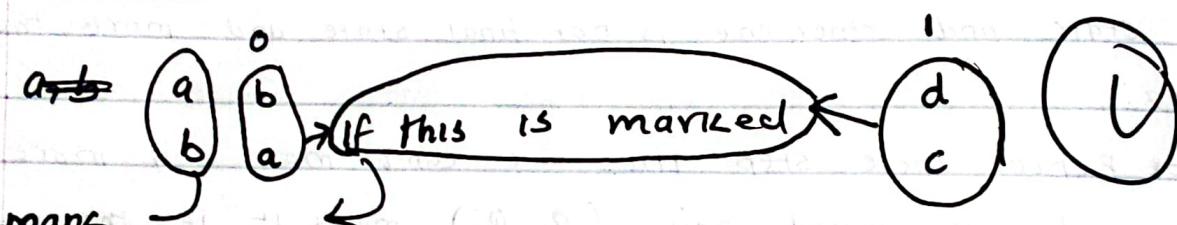
1 = final  
2 = nor.

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	a	b	c	d	e	f
a						
b						
c	✓	✓				
d	✓	✓				
e	✓	✓				
f	✓	✓	✓	✓	✓	

Step 3:



$\begin{matrix} & o & \\ d & e x & f \\ c & e \end{matrix}$

$\begin{matrix} & o \\ e & e \end{matrix} \quad f x$

$\begin{matrix} & o \\ a & f \end{matrix} \quad \begin{matrix} & o \\ f & f \end{matrix}$

$\begin{matrix} & o \\ b & a \end{matrix} \quad \begin{matrix} & o \\ f & f \end{matrix}$

Step 4:

combine unmarked pairs

$(a,b), (c,d), (c,e), (d,e)$  } to avoid similarity.

around Similar

$c \subset d, e$ )

$(a,b), (c,d, e)$

Redraw.

Any group  $\subseteq a$  is initial State.

