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Gate Smashers Link: [DBMS \(Database Management system\) Complete Playlist](#)

Total : 121 videos

Gate smashers Notes :

📺 DBMS(All Videos+Notes)-Gate Smashers is now one stop Solution 😊 #shorts#ytshorts

## Difference

| Basis                     | DBMS Approach   | File System Approach   |
|---------------------------|---|--|
| <b>Meaning</b>            | DBMS is a collection of data. In DBMS, the user is not required to write the procedures.    | The file system is a collection of data. In this system, the user has to write the procedures for managing the database.                         |
| <b>Data Abstraction</b>   | DBMS gives an abstract view of data that hides the details.                                 | The file system provides the detail of the data representation and storage of data.  |
| <b>Recovery Mechanism</b> | DBMS provides a crash recovery mechanism, i.e., DBMS protects the user from system failure. | The file system doesn't have a crash mechanism, i.e., if the system crashes while entering some data, then the content of the file will be lost. |

|  |  |   |
|--|--|---|
| <b>Concurrency Problems</b>              | DBMS takes care of Concurrent access of data using some form of locking.   | In the File system, concurrent access has many problems like redirecting the file while deleting some information or updating some information.                     |
| <b>Data Redundancy and Inconsistency</b> | Due to the centralization of the database, the problems of data redundancy and inconsistency are controlled.   | In this, the files and application programs are created by different programmers so that there exists a lot of duplication of data which may lead to inconsistency. |
| <b>Data Independence</b>                 | <p>In this system, Data Independence exists, and it can be of two types.</p> <ul style="list-style-type: none"> <li>• Logical Data Independence</li> <li>• Physical Data Independence</li> </ul> | In the File system approach, there exists no Data Independence.   |
| <b>Integrity Constraints</b>             | Integrity Constraints are easy to apply.   | Integrity Constraints are difficult to implement in file system.  |

|                    |  |   |
|--------------------|--|---|
| <b>Data Models</b> | <p>In the database approach, 3 types of data models exist:</p> <ul style="list-style-type: none"> <li>• Hierarchal data models</li> <li>• Network data models</li> <li>• Relational data models</li> </ul> | In the file system approach, there is no concept of data models exists. |
| <b>Examples</b>    | Oracle, SQL Server, Sybase etc.  | Cobol, C++ etc.   |

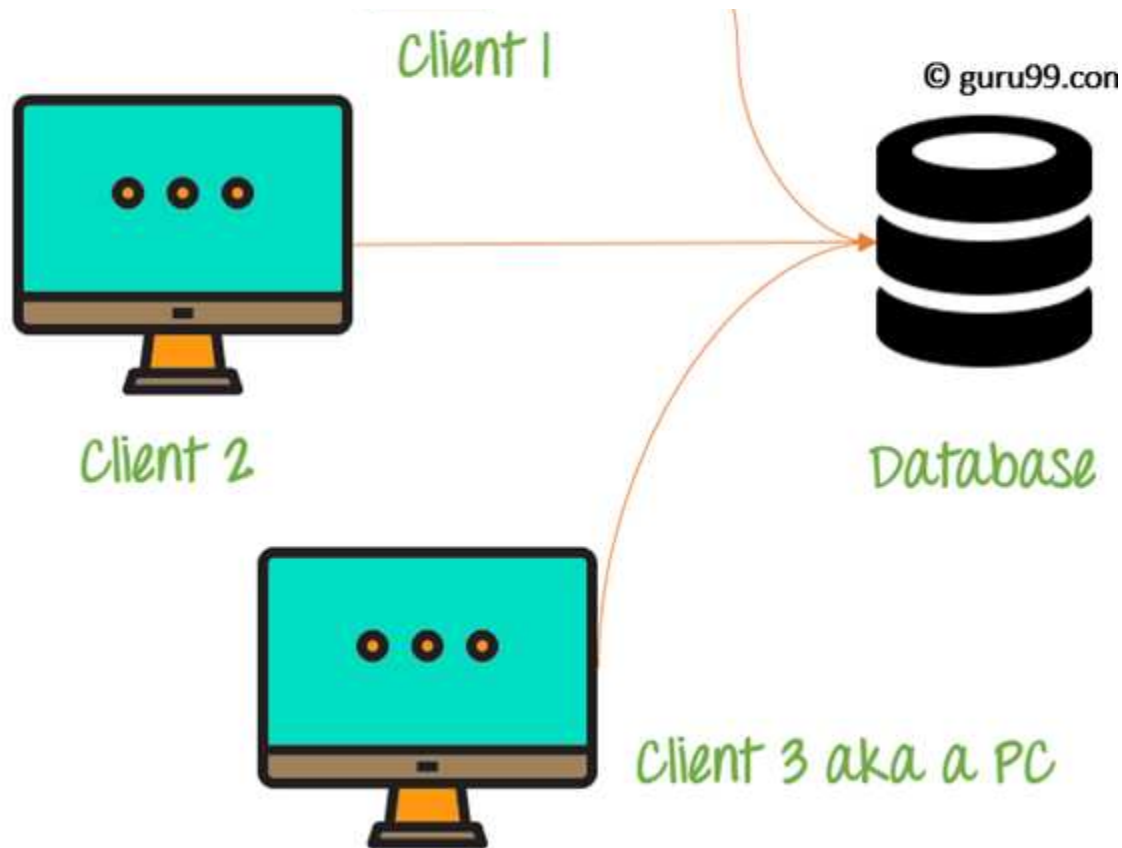
## DBMS Architecture

In DBMS there are 3 levels

1. External level / View level= describe that is relevant to the users .
2. Conceptual level / Logical level=Describe what data is store in DB and reln among the data
3. Internal level / storage level =Physical representation of the database , how the data is stored in the database . it covers the data structures and file organization

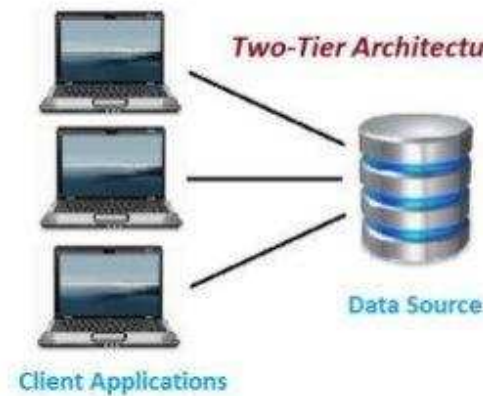
- DBMS can be seen as either Single tier or multi tier
- An n tier architecture divides the whole system into related but independent n modules
  1. 1-tier architecture

One tier means only one server will be there  
Here communication between client and file server (Database)



## 2. 2-tier architecture

- **It is client-server architecture**
- **Direct communication**
- **Run faster(tight coupled)**



## 3 . 3-tier architecture

It follows web based application

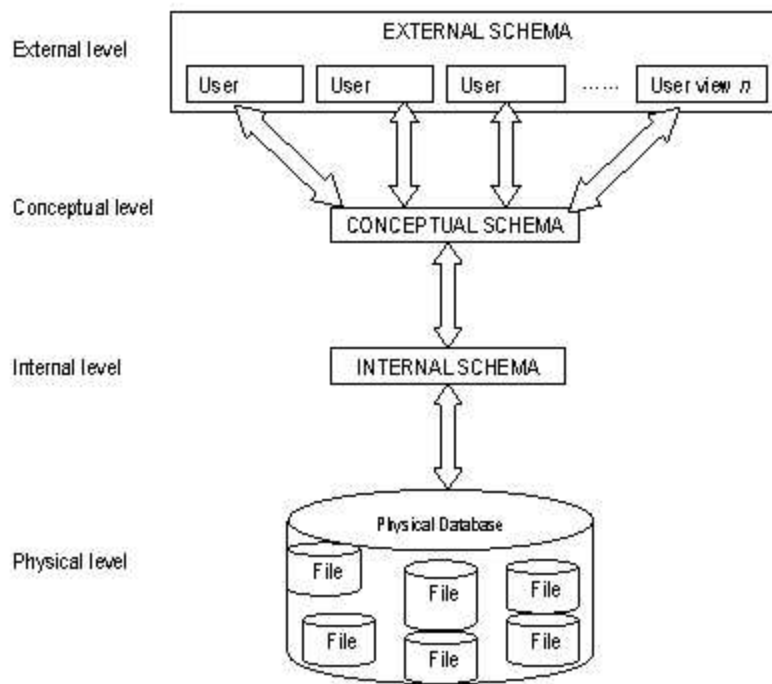
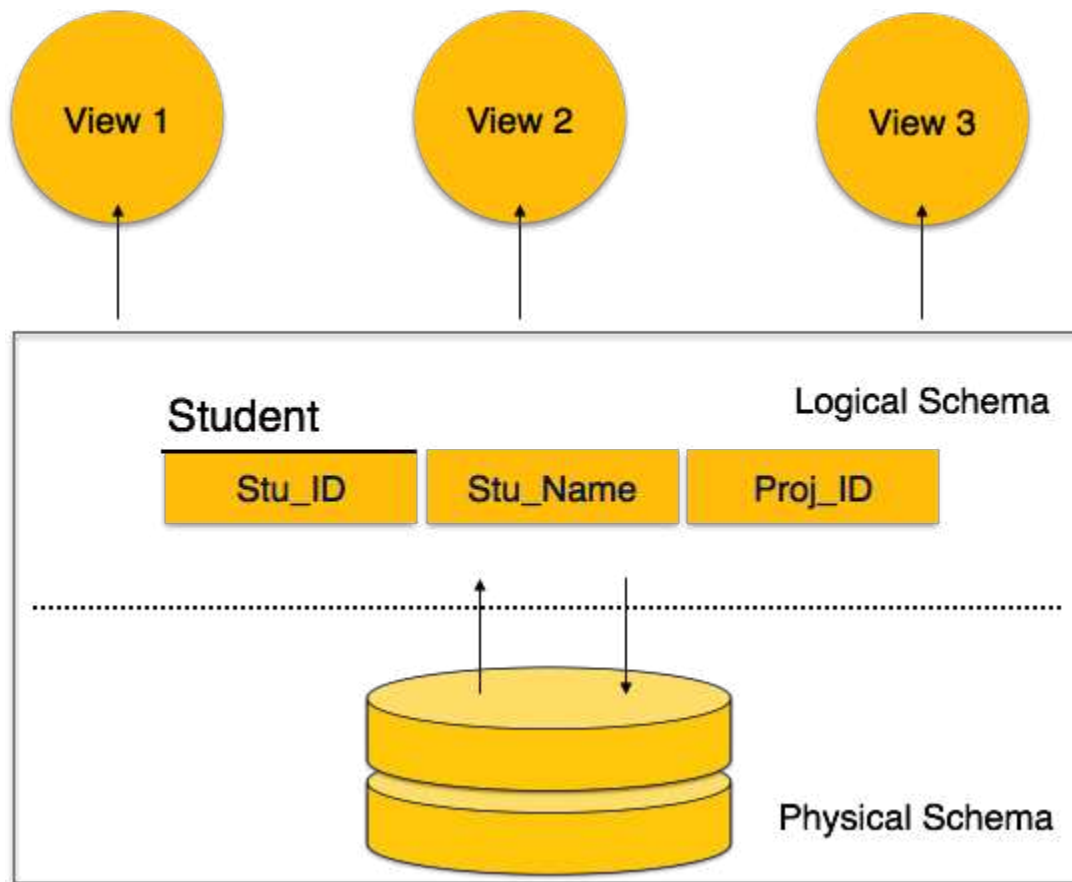


Fig. Three-tier database architecture

3 layers

- Client layer/user(presentation tier) =end user , they don't know beyond this layer
- Business layer /Application server(Abstract view ) Its mediator b/w user and db
- Data base layer / Database server (sql)

# Schema

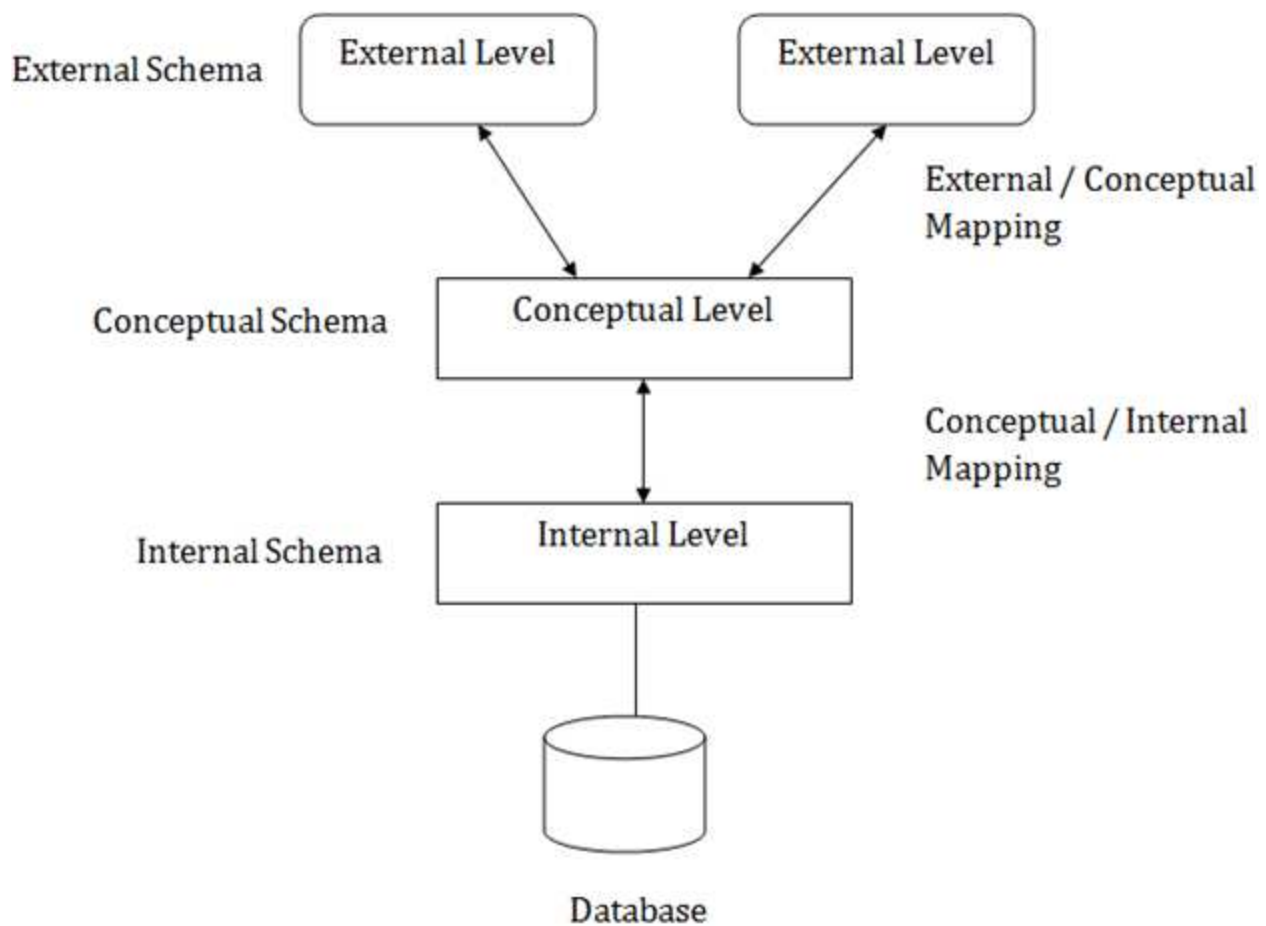


A database schema can be divided broadly into two categories –

- **Physical Database Schema** – This schema pertains to the actual storage of data and its form of storage like files, indices, etc. It defines how the data will be stored in a secondary storage.
- **Logical Database Schema** – This schema defines all the logical constraints that need to be applied on the data stored. It defines tables, views, and integrity constraints.

## Three schema Architecture

Data independence



View level ( front end ,executed with the view)

|  
Logical data independence

|  
Conceptual schema( relation among the table , foregin key , constrains)

|  
Physical Data independence

|  
Physical Schema

|  
Data base

## Candidate key

Key is a attribute

It is use to uniquely identify the two tuples

Now the collection of all keys is called candidate key set

{ aadhar card , voter id , license no , roll no , phone no , email}

Now one ek key choose karke usko primary key banate hain and remaining called alternative k

# Primary key ( Unique + NOT NULL)

Adhaar may be null

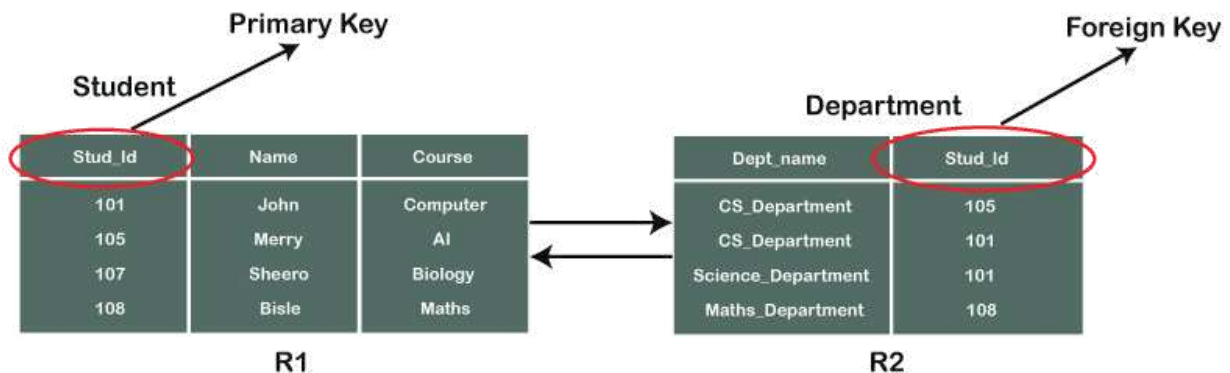
## Foreign key

It is an attribute or set of attributes that references to primary key of the same table or another table (relation )

→ maintain referential Integrity

Table containing primary key that is called referenced table

Table containing foreign key that is called referencing table



Create table Course

```
{  
Course id varchar(10)  
Course_name varchar(10)  
Rollno int references student(Rollno)  
}
```

Query after the table is created

Alter table course add constraint fk foreign key (rollno) references student(roll no)

Foreign key can be two in a table but primary key will be one

## Lect 11

Referenced table

- Insert no violation
- Delete may cause violation ( on delete cascade , on delete set NULL , on delete No action )
- Update : may cause violation

Referencing table

- Insert May cause violation



- Delete no violation
- Updation may cause violation

## Super key (lect 13)

Super key : A super key is a combination of all possible attributes which can uniquely identify two tuples in the tables

proper subset of any candidate key is Super key

Let Roll no is candidate key

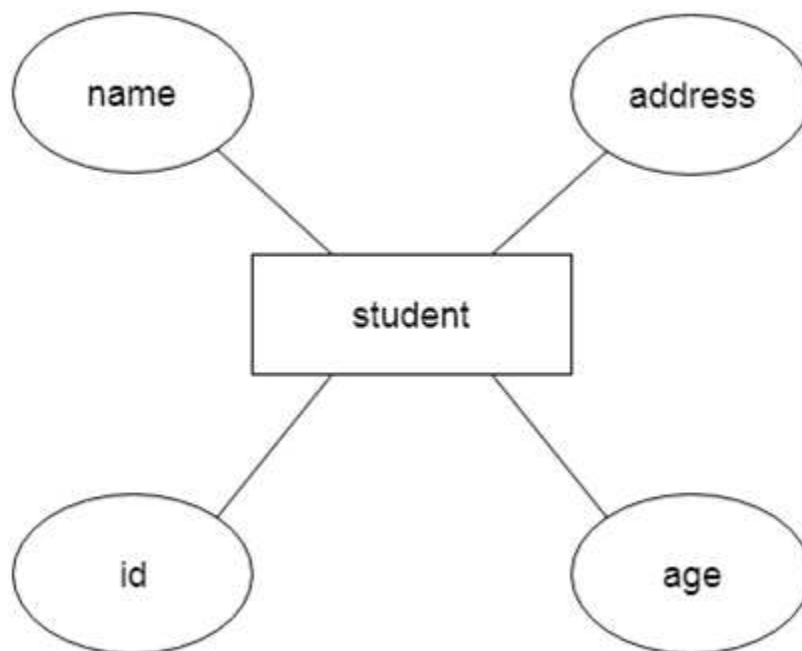
Then {roll no , name},{roll no ,age} , {roll no , name,age} can be super key

If A1 is the candidate key then total possible super key would be  $2^{(n-1)}$

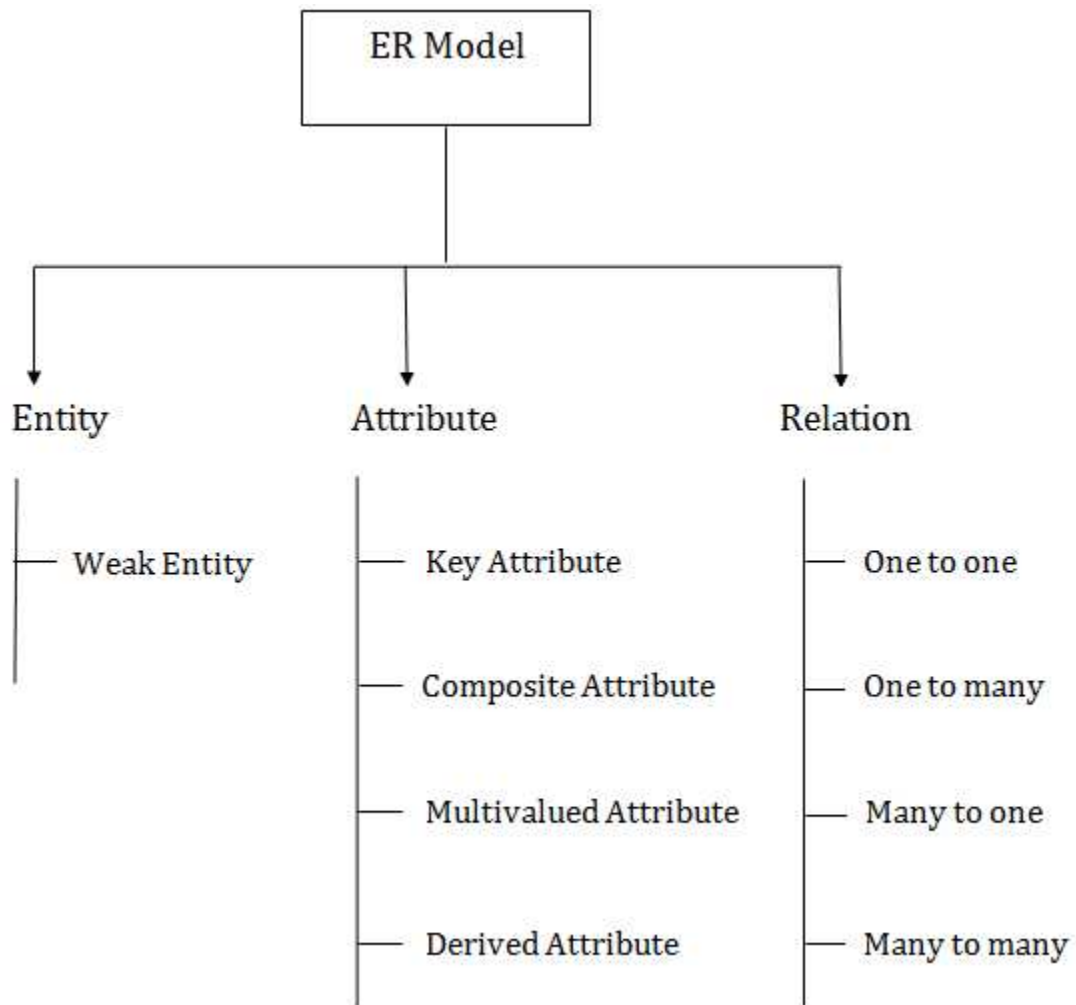
If A1 and A2 both are candidate key then total super key will

$2^{(n-1)}+2^{(n-1)}-2^{(n-2)}$ (common A1 and A2 are fixed)

## ER Model (lect 14)



Here student is entity and remaining circle part is attribute  
Components of ER models



Representation



Entity



Relationship



Attribute



Weak Entity



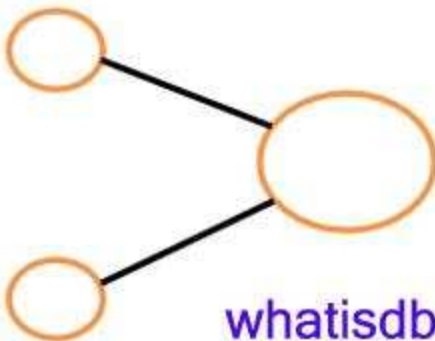
Weak Entity  
Relationship



Multivalued  
Attribute



Key Attribute



Composite  
Attribute

[whatisdbms.com](http://whatisdbms.com)

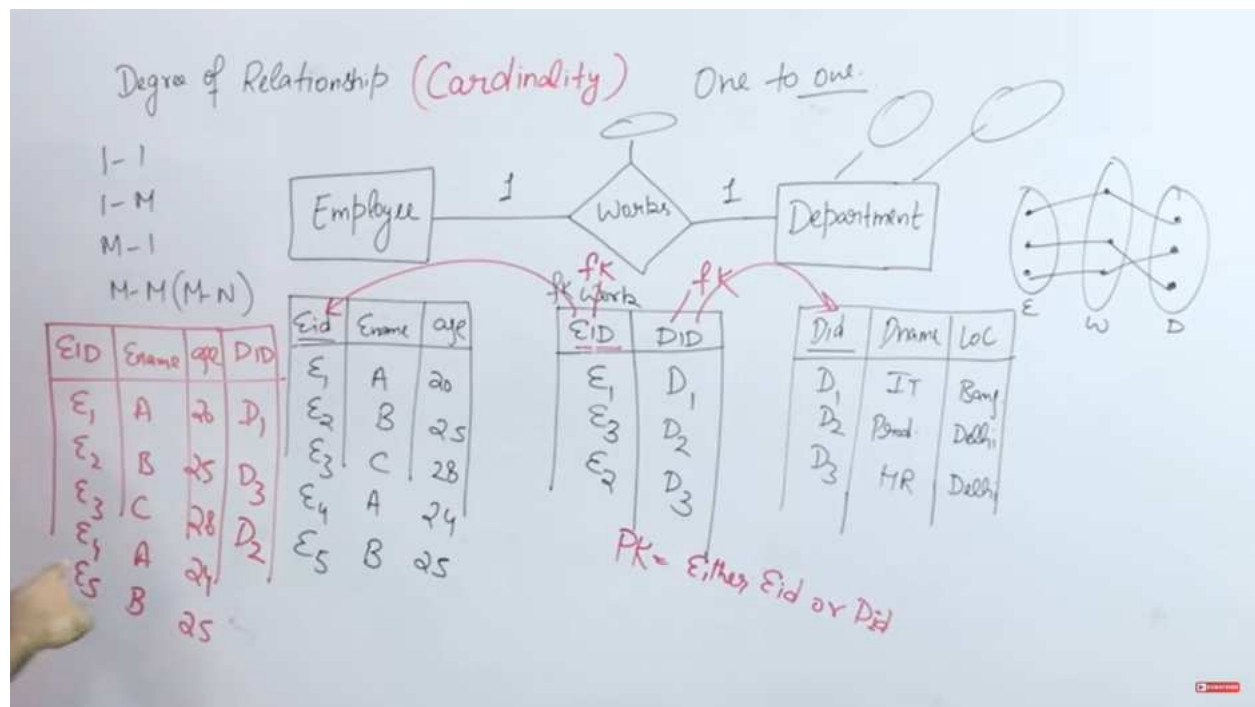
# Types of Attributes

1. Single vs Multivalued Attributes
2. Simple vs composite Attributes
3. Stored vs Derived Attributes
4. Key(unique attr) vs non key Attributes
5. Required(mandatory) vs optimal Attributes
6. Complex ( composite + multivalued)

## Type of relationship(Cardinality)

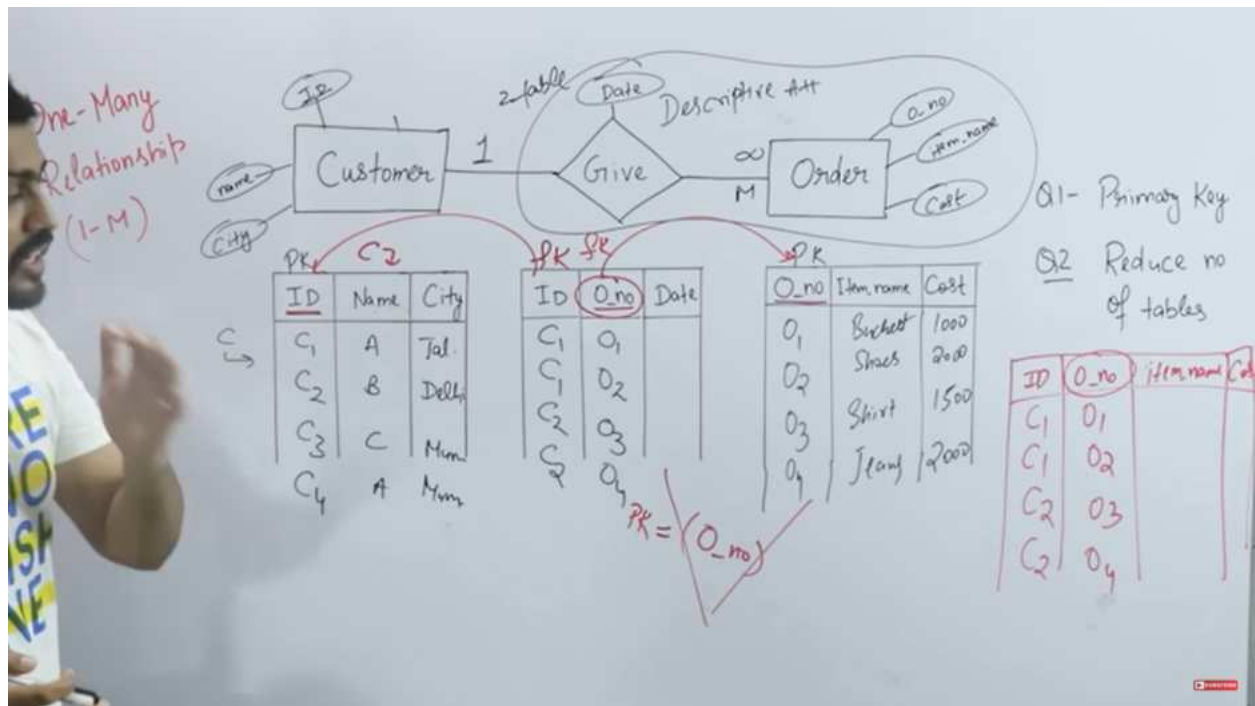
1. 1-1
2. 1-M
3. M-1
4. M-M (M-N)

One to one relationship



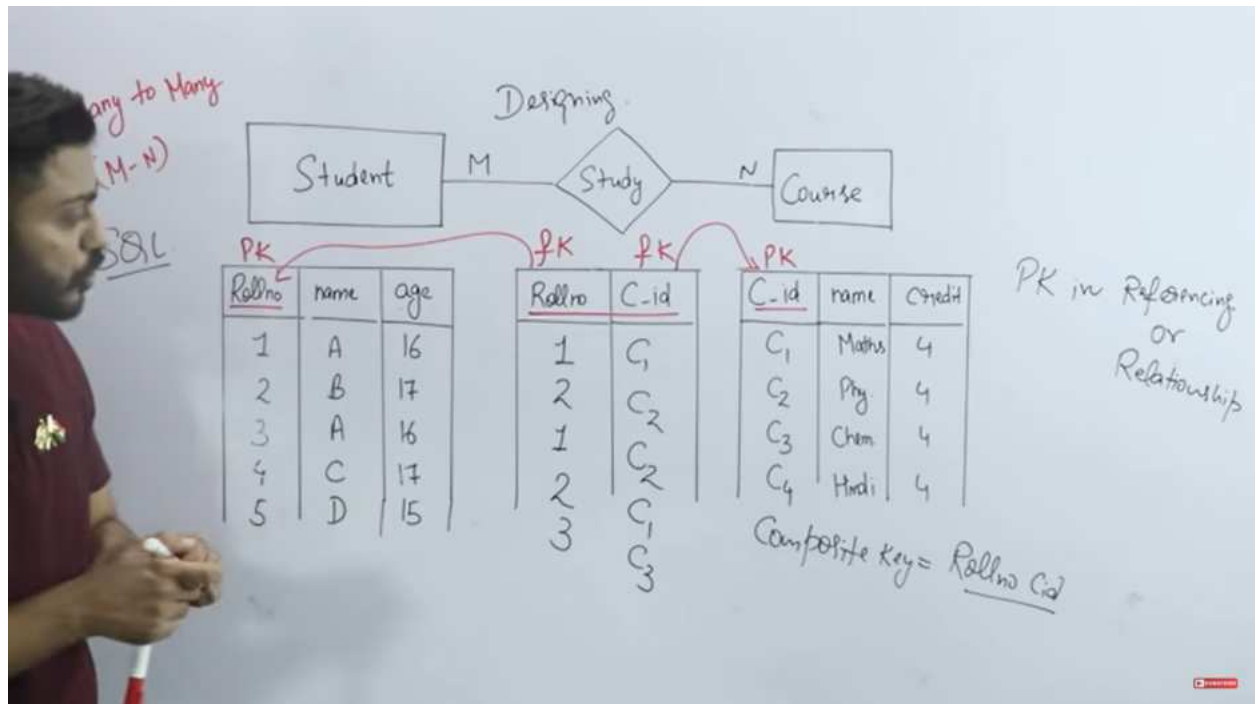
Finally there will be 2 tables

## One to many relationship



Finally there will 2 table  
And many ke side merge karna hai

## Many to Many relationship



Here primary key will combine (roll no + c\_id)  
No reduction in Table

## Normalization

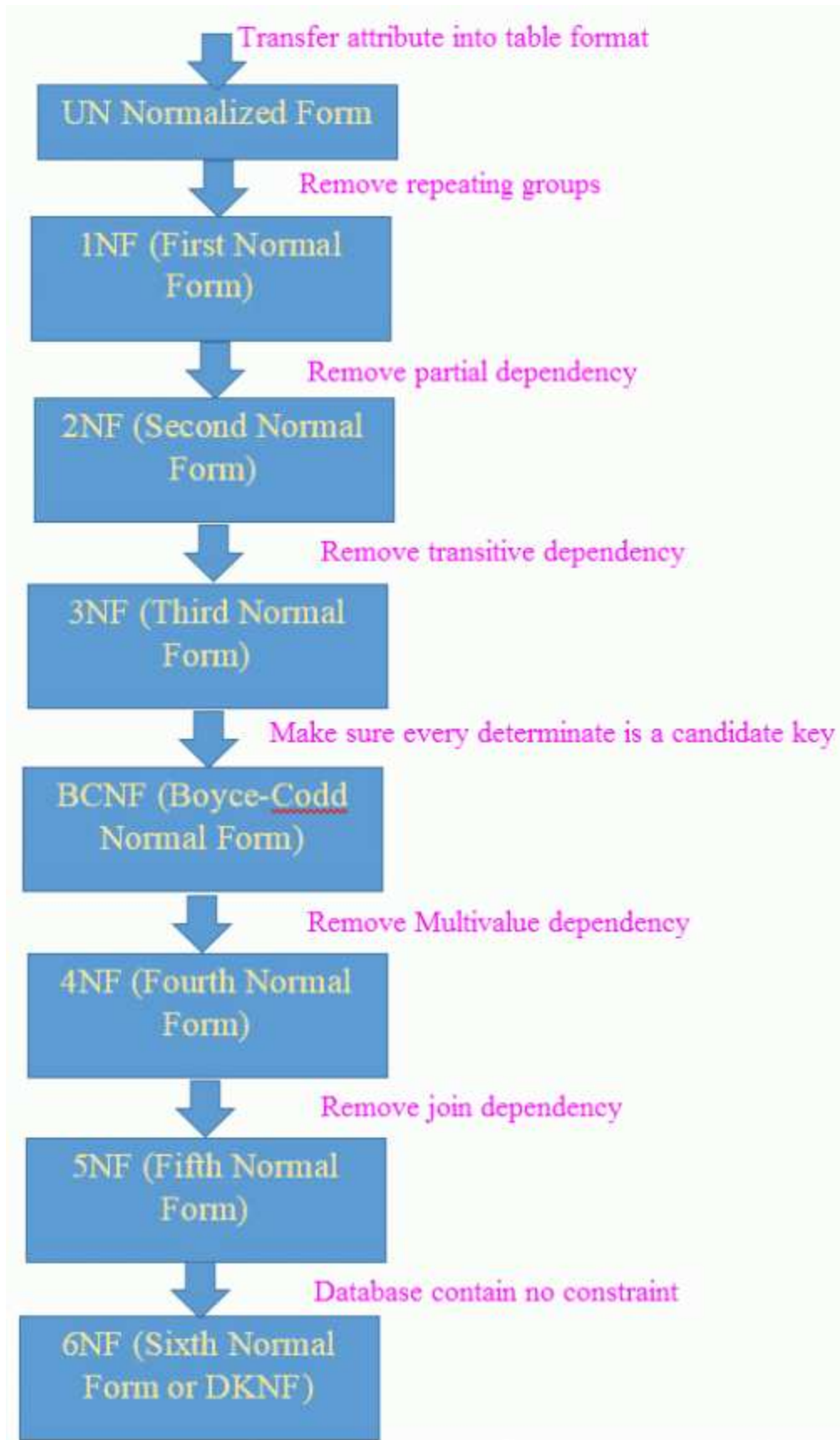
Normalization is the process of making a table free from insertion , update and delete anomaly and save space by reducing redundant data or duplicate data .

OLTP= normalized data required

OLAP= denormalized data is required

# What is an Anomaly?

- Anything we try to do with a database that leads to unexpected (unpredictable) results.
- Three types of Anomaly:
  - insert
  - delete
  - update
- Need to check your logical database design carefully:
  - the only good database is an anomaly free database.





# 1st Normal form

## 1st Normal Form Example

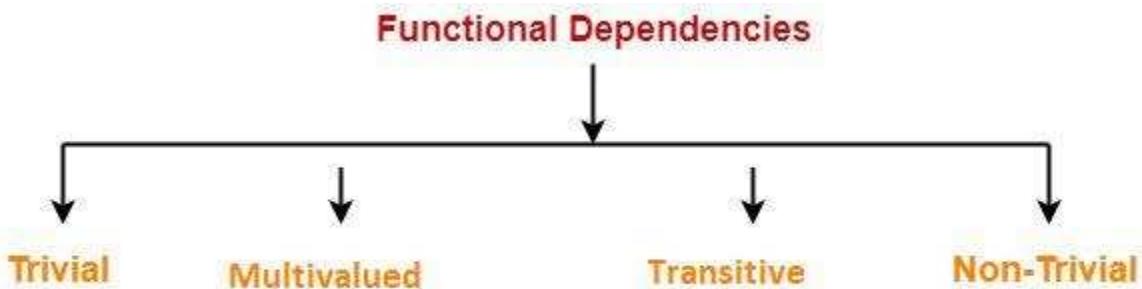
How do we bring an unnormalized table into first normal form?  
Consider the following example:

**TABLE\_PRODUCT**

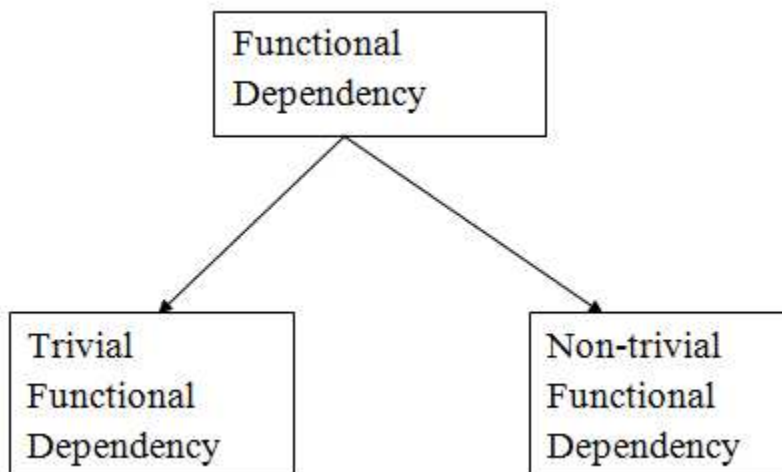
| Product ID | Color        | Price |
|------------|--------------|-------|
| 1          | red, green   | 15.99 |
| 2          | yellow       | 23.99 |
| 3          | green        | 17.50 |
| 4          | yellow, blue | 9.99  |
| 5          | red          | 29.99 |

This table is not in first normal form because the [Color] column can contain multiple values. For example, the first row includes values "red" and "green."

## Closure



# Functional Dependency



Super key : set of attributes whose closure contains all attributes of the given relation

Candidate key : Minimal Super key whose proper subset does not contains super key

Phi does not contain super key

$X^+$  = contains set of attributes determined by x

$R(A,B,C,D,E)$

Functional dependencies  $\{A \rightarrow B, D \rightarrow E\}$

$ABCDE^+ = \{A,B,C,D,E\}$

Here B can be determined by A and similarly E can be determined by D

This can be reduced to

$ACD^+ = \{A,B,C,D,E\}$

Now  $\{ABCDE, ACD\}$  are super key because they contains all the attributes

Minimal of them ACD

Their proper subset will  $\{A,C,D,AC,AD\}$

And none of them is super key so ACD is candidate key

Prime attributes  $= \{A,C,D\} = ACD$

Now check whether from  $(A,C,D)$  any of them present in the right side of the function dependencies or not , if no then that will be candidate key otherwise we need to more check neither of  $(A,C,D)$  present on right side of the function dependencies

Another question

$R(A,B,C,D)$

Functional dependencies =  $\{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$

$ABCD^+ = \{A,B,C,D\}$

Here we can get B from A and C from B and A from C

Its reduced to

$AD^+ = \{A,B,C,D\}$

So  $\{ABCD, AD\}$  super key and minimal of them is AD

$\{A\}^+ = \{A B C\}$

$\{D\}^+ = \{D\}$

Here from both A and D none of them is super key so here I can say that AD is candidate key .

The proper subset of AD will be

$AD = \{A,D\}$  = prime attributes

Now check prime attributes in right side of the functional dependencies

In functional dependencies  $C \rightarrow A$  is available then

$CD = \{C,D\}$  = prime attributes

$BD = \{B,D\}$  = prime attributes

So the prime attributes will be  $\{A, B, C, D\}$

No prime attributes will be 0 here

Then here  $\{AD, CD, BD\}$  3 candidate key available

Another question

Relation given  $R(A,B,C,D,E,F)$

$FD = \{AB \rightarrow C, C \rightarrow DE, E \rightarrow F, D \rightarrow A, C \rightarrow B\}$

Here  $C \rightarrow DE$  can be replaced with  $C \rightarrow D$  and  $C \rightarrow E$

Find no of candidate key for this question

$ABCDEF^+ = \{A,B,C,D,E,F\}$

$ABDEF = \{A,B,C,D,E,F\}$

$ABF = \{A,B,C,D,E,F\}$

$AB = \{A,B,C,D,E,F\}$

Now  $\{ABCDEF, ABDEF, ABF, AB\}$  are super key and

AB is candidate key because AB is minimal

Now check for proper subset of AB

$\{A,B\}$

$\{A\}^+ = \{A\}^*$

$\{B\}^+ = \{B\}^*$

Prime attributes =  $\{A,B\}$

Now check for right side in functional dependencies for {A,B}

AB

/ \

DB AC → sk here Closures of C is all attributes then AC cant be candidate key

$\{D\}^+ = \{DA\}^*$

$\{C\}^+ = \{CDEFAB\}$  here C is candidate key because their proper subset will be phi and phi is not super key

Then finally prime attribute will be {A,B,D,C}

And there will only 3 candidate key {AB,BD,C}

Normalization in DBMS

Student

| Sname    | Credits | Dept_name      | Building | Room No |
|----------|---------|----------------|----------|---------|
| Rahul    | 5       | CSE            | B1       | 101     |
| Jiya     | 8       | CSE            | B1       | 101     |
| Jenny    | 9       | Fashion.design | B2       | 201     |
| Payal    | 9       | Fashion.design | B2       | 201     |
| Ankur    | 7       | Civil          | B1       | 110     |
| Aakash   | 7       | ECE            | B1       | 115     |
| Vanshika | 8       | Civil          | B1       | 110     |
| Tanushka | 7       | CSE            | B1       | 101     |
| N        | N       | ME             | B1       | 120     |

Problems:-

- ① Insertion Anomaly
- ② Update Anomaly
- ③ Delete Anomaly

Student FK

| Sid | Sname    | Credit | Dept Name |
|-----|----------|--------|-----------|
| 1   | Rahul    | 5      | CSE       |
| 2   | Jiya     | 8      | CSE       |
| 3   | Jenny    | 9      | F.Design  |
| 4   | Payal    | 9      | F.Design  |
| 5   | Ankur    | 7      | Civil     |
| 6   | Aakash   | 7      | Civil     |
| 7   | Vanshika | 8      | ECE       |
| 8   | Tanushka | 7      | CSE       |

Dept PK

| Dept Name | Building | Room No |
|-----------|----------|---------|
| CSE       | B1       | 101     |
| Civil     | B1       | 110     |
| F.Design  | B2       | 201     |
| ECE       | B1       | 115     |
| ME        | B1       | 120     |

# 1st normal form

1st Normal Form

- A col. should contain value from same domain
- Each Column should have unique name
- no ordering to rows & columns.
- no duplicate rows.

atomic domains

- each attribute should contain atomic values

Base table

| Sid | Sname | State  | Country |
|-----|-------|--------|---------|
| 1   | Jenny | HR     | IN      |
| 2   | Jiya  | PUNJAB | IN      |
| 3   | Payal | Raj.   | IN      |

FK

| Sid | P.No           |
|-----|----------------|
| 1   | P <sub>1</sub> |
| 2   | P <sub>2</sub> |
| 3   | P <sub>3</sub> |
| 3   | P <sub>4</sub> |
| 3   | P <sub>5</sub> |

(Sid, P.No)

Suggested: Part-1 Find Number of Candidate Keys in a relation | ...

## Second Normal form

- It is in 1st NF
- No partial Dependency in the relation  
PROPER SUBSET OF CK  $\Rightarrow$  NON PRIME ATTRIBUTE, ye nahi hona chahiye

Example

Relation R(A,B,C,D,E,F)

F.D = {A  $\rightarrow$  B, B  $\rightarrow$  C, C  $\rightarrow$  D, D  $\rightarrow$  E}

ABCDEF = {A,B,C,D,E,F}

AF+ = {A,B,C,D,E,F}

Here AF is candidate key and Prime attribute = {A,F}

Non prime attribute = {B,C,D,E}

Now check for partial dependency

A  $\rightarrow$  B is available in the functional dependencies so its not in second normal form

## Third Normal form

- It is in 2 NF
- No transitive dependency for non-prime attributes  
A  $\rightarrow$  B and B  $\rightarrow$  C  $\Rightarrow$  A  $\rightarrow$  C, here A and C is non prime attributes

Non Prime attribute  $\rightarrow$  Non Prime attribute = for transitive dependency ( that will not in 3 NF)

A table is in 3 NF , if and only if for each of its non-trivial functional dependency at least one of the following conditions holds

1. L.H.S is Super key
2. R.H.S is Prime attribute

$R(A,B,C,D)$

Functional dependencies  $\rightarrow (A \rightarrow B, B \rightarrow C, C \rightarrow D)$

$A^+ = \{A B C D \}$

Here is super key and candidate key

Prime attribute =  $\{A\}$

Non prime attribute =  $\{B,C,D\}$

In FD there is relation  $NPA \Rightarrow NPA$  that is  $B \rightarrow C$  ,  $C \rightarrow D$   
Then this is not in 3 NF

Another Example

$F(A,B,C,D,E,F)$

F.D =  $\{AB \rightarrow CDEF, BD \rightarrow F\}$

$ABCDEF^+ = \{A B C D E F \}$

$AB^+ = \{A B C D E F \}$

Super key AB and prime attribute A and B

So then Non prime attribute C D E F

Now check in F.D

$BD \rightarrow F$

This will be considered as  $NPA \Rightarrow NPA$  ( Not in 3rd Normal form)

## BCNF( Boyce-codd Normal form)

$R(A,B,C)$

FD-  $\{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$

A relation is in BCNF iff

1. It is in 3 NF
2. For each non-trivial FD  $x \rightarrow y$  , x must be super key

$R(A,B,C)$

FD-  $\{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$

$ABC^+ = \{A B C\}$

$A = \{A B C\}$

Here A is candidate key and there is no proper subset of A

$Ck = A$

And now check for A in the right side

C is also candidate key and B is also candidate key

So A B C are candidate key

So above relation is in BCNF

Another question

Find the highest Normal form in the given relation

$R(A,B,C,D,E)$

F.D:-  $\{A \rightarrow BCDE, BC \rightarrow ACE, D \rightarrow E\}$

$ABCDE = \{A B C D E\}$

$A^+ = \{A B C D E\}$

Here A is candidate key because there is no any proper subset of A

And now check for right side

$BC = \{B, C\}$

$B^+ = \{B\}$

$C^+ = \{C\}$

So here BC is candidate key because their proper subset does not contain super key

Till now candidate key = A, BC

Prime attribute = A, B, C

From BC

/ \

AC BA these are super key not sure about the candidate key but these both have A is proper subset and A is super key then both can not be candidate key

Now check

$A \rightarrow BCDE$     $BC \rightarrow ACE$     $D \rightarrow E$

BCNF   correct   correct   incorrect ( LHS should be super key )

3NF   correct   correct   incorrect ( if BCNF then below than that will also correct , both condition not satisfying for 3 NF )

2NF   correct   correct   correct ( No partial dependencies)

Another Example

$R(A,B,C,D,E)$

F.D :  $\{AB \rightarrow CDE, D \rightarrow A\}$

Here candidate key will be AB and DB and prime attribute will be A B D

|      |                      |   |
|------|----------------------|---|
|      | $AB \rightarrow CDE$ | $D \rightarrow A$                       |
| BCNF | correct              | incorrect ( LHS is not super key )      |
| 3NF  | correct              | correct ( satisfying second conditions) |

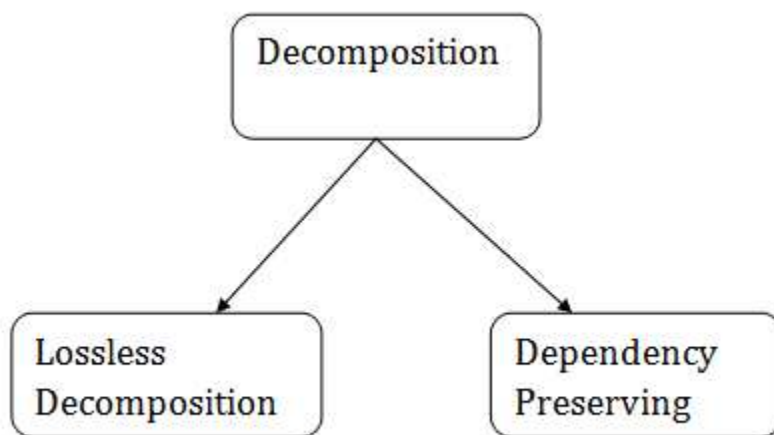
Then the highest Normal form of this relation is 3NF

- ☐ Third Normal form always ensures ' Dependency Preserving Decomposition' but not in BCNF
- ☐ Both third and BCNF ensures lossless decomposition

Jan 14, 2022

# Decomposition

- When a relation in the relational model is not in appropriate normal form then the decomposition of a relation is required.
- In a database, it breaks the table into multiple tables.
- If the relation has no proper decomposition, then it may lead to problems like loss of information.
- Decomposition is used to eliminate some of the problems of bad design like anomalies, inconsistencies, and redundancy.





## Dependency Preserving Decomposition

$R(A,B,C) \Rightarrow R$

|   |   |   |
|---|---|---|
| 1 | 1 | 1 |
| 2 | 1 | 2 |
| 3 | 2 | 1 |
| 4 | 2 | 2 |

Functional dependency can be derived like

$A \rightarrow B, A \rightarrow C$  then this can be  $A \rightarrow BC$

And Combining B and C derive A this can be written as  $BC \rightarrow A$

$F = FD(R) = \{A \rightarrow BC, BC \rightarrow A\}$

Let suppose R further divided into n parts

$R = R_1, R_2, R_3, \dots, R_n$

$F = F_1, F_2, F_3, \dots, F_n$

$F_1 \cup F_2 \cup F_3 \dots \cup F_n = F$  then that will be dependency Preserving Decomposition

Now let's break R into 2 parts

$R_1(A,B)$

|   |   |
|---|---|
| 1 | 1 |
| 2 | 1 |
| 3 | 2 |
| 4 | 2 |

$R_2(B,C)$

|   |   |
|---|---|
| 1 | 1 |
| 1 | 2 |
| 2 | 1 |
| 2 | 2 |

$F_1 = FD(R_1) = \{A \rightarrow B\}$

$F_2 = FD(R_2) = \{\}$

So here  $F \neq F_1 \cup F_2$  This is not dependency preserving Decomposition

### Dependency Preserving Decomposition

$R(A, B, C, D, E)$   
 $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\} \Rightarrow DP =$

$R_1(A, B, C)$   
 $A^+ = ABC$   
 $B^+ = BCA$   
 $C^+ = CAB$   
 $AB^+ = ABC$   
 $BC^+ = BCA$   
 $AC^+ = CAB$

$R_2(C, D, E)$   
 $C^+ = CDE$   
 $D^+ = CDE$   
 $E^+ = CDE$   
 $CD^+ = CDE$   
 $DE^+ = CDE$   
 $CDE^+ = CDE$

$F_1 = \{A \rightarrow B, B \rightarrow C\}$   
 $F_2 = \{C \rightarrow D, D \rightarrow A\}$

$F_1 \cup F_2 \equiv F$   
 $\checkmark G \text{ covers } F$   
 $\checkmark F \text{ covers } G?$

$D^+ = DCAB$

Here  $A \rightarrow A$  or  $BA \rightarrow BA$  will be trivial functional dependencies, so we can discard this, only non-trivial functional dependencies will be valid

### Dependency Preserving Decomposition

$R(ABCEDE)$   
 $F = \{A \rightarrow BCD, B \rightarrow AE, BC \rightarrow AED, D \rightarrow E, C \rightarrow DE\}$

$R_1(A, B)$   
 $A^+ = AB$   
 $B^+ = BA$   
 $AB^+ = AB$

$R_2(B, C)$   
 $B^+ = BC$   
 $C^+ = BC$   
 $BC^+ = BC$

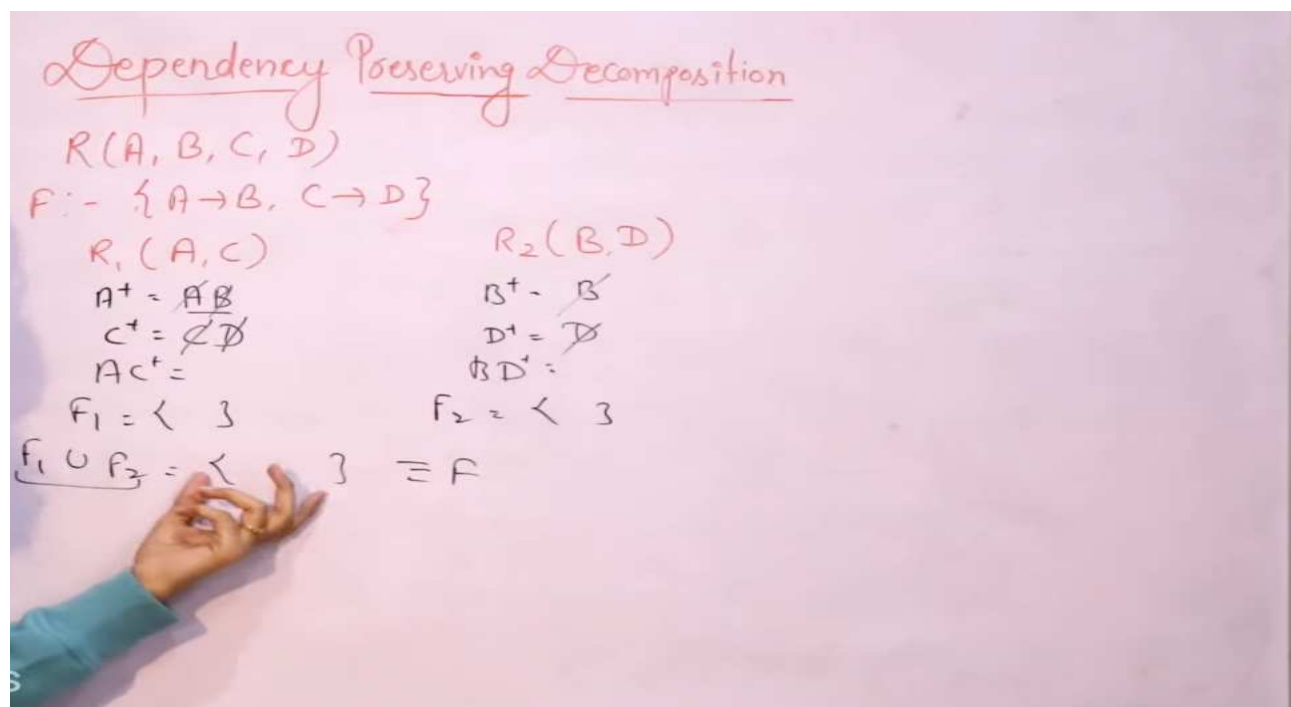
$R_3(C, D, E)$   
 $C^+ = CDE$   
 $D^+ = CDE$   
 $E^+ = CDE$   
 $CD^+ = CDE$   
 $DE^+ = CDE$   
 $CDE^+ = CDE$

$F_1 \cup F_2 \cup F_3 = \{A \rightarrow B, B \rightarrow A, B \rightarrow C, C \rightarrow DE, D \rightarrow E\}$

$A^+ = ABCEDE$   
 $B^+ = BACDE$

$G \text{ covers } F$

This is dependency preserving decomposition



This is not dependency preserving decomposition

Some time BCNF me dependency preserving decomposition possible nahi ho pata hai

## Lossless Join Decomposition

It is a mandatory property for decomposition .

If R divides into R1 and R2 then Natural join of both R1 and R2 should be equal to R

$R1 \text{ Natural join } R2 == R1$

Then that will lossless join Decomposition

Here Natural join is advanced version of cross product of R1 and R2

Only extra condition  $R1.B == R2.B$  then that will consider as natural join

## Lossless Join Decomposition

| $R(A, B, C)$ | $R_1(A, B)$ | $R_2(B, C)$ |
|--------------|-------------|-------------|
| 1 1 1        | 1 1         | 1 1         |
| 2 1 2        | 2 1         | 1 2         |
| 3 2 1        | 3 2         | 2 1         |
| 4 3 2        | 4 3         | 3 2         |

| $R(A, B)$ | $R_1(B, C)$ | $R_1 \times R_2$ | $R_1 \bowtie R_2$ |
|-----------|-------------|------------------|-------------------|
| 1 1       | 1 1         | A B C            | A B B C           |
| 2 1       | 1 2         | 1 1 1            | 1 1 1 1           |
| 3 2       | 2 1         | 2 1 2            | 2 1 2 1           |
| 4 3       | 3 2         | 3 2 3            | 3 2 3 2           |
|           |             | 4 3 4            | 4 3 4 3           |
|           |             | 1 1 1            | 1 1 1 1           |
|           |             | 2 1 2            | 2 1 2 1           |
|           |             | 3 2 3            | 3 2 3 2           |
|           |             | 4 3 4            | 4 3 4 3           |

$R_1.B = R_2.B$  90%

## Lossy Join Decomposition

| $R(A, B, C)$ | $R_1(A, B)$ | $R_2(B, C)$ |
|--------------|-------------|-------------|
| 1 1 1        | 1 1         | 1 1         |
| 2 1 2        | 2 1         | 1 2         |
| 3 2 1        | 3 2         | 2 1         |
| 4 3 2        | 4 3         | 3 2         |

| $R_1(B, C)$ | $R_1 \times R_2$ | $R_1 \bowtie R_2$ |
|-------------|------------------|-------------------|
| 1 1         | A B C            | A B C             |
| 1 2         | 1 1 1            | 1 1 1             |
| 2 1         | 2 1 2            | 2 1 2             |
| 3 2         | 3 2 3            | 3 2 3             |
| 4 3         | 4 3 4            | 4 3 4             |
|             | 1 1 1            | 1 1 1             |
|             | 2 1 2            | 2 1 2             |
|             | 3 2 3            | 3 2 3             |
|             | 4 3 4            | 4 3 4             |

| $R_1 \bowtie R_2$ |
|-------------------|
| A B C             |
| 1 1 1             |
| 1 1 2             |
| 2 1 1             |
| 2 1 2             |
| 3 2 1             |
| 3 2 2             |
| 4 3 1             |
| 4 3 2             |

This is not lossless join decomposition because after combining these two relations some extra is coming, but it should be totally equal.

ONE ANOTHER WAY TO CHECK THIS, DONO ME SE JO COMMON ATTRIBUTE HAI, AGAR OO KISI V RELATION ME CANDIDATE KEY BAN JAATA HAI THEN THAT WOULD BE LOSSLESS JOIN DECOMPOSITION.

### Lossless Join Decomposition

$$\begin{aligned}
 & qH(R_1) \cup qH(R_2) = qH(R) \\
 & qH(R_1) \cap qH(R_2) \neq \emptyset \\
 & qH(R_1) \cap qH(R_2) \rightarrow qH(R_1) \\
 & \text{or} \\
 & qH(R_1) \cap qH(R_2) \rightarrow qH(R_2)
 \end{aligned}$$

$$\begin{array}{ccc}
 R(A, B, C) & \Rightarrow & R_1(A, B) \quad R_2(B, C) \\
 \begin{array}{ccc} 1 & 1 & 1 \\ 2 & 1 & 2 \\ 3 & 2 & 1 \\ 4 & 3 & 2 \end{array} & & \begin{array}{ccc} \begin{array}{cc} 1 & 1 \\ 2 & 1 \\ 3 & 2 \\ 4 & 3 \end{array} & \begin{array}{cc} 1 & 2 \\ 1 & 2 \\ 2 & 1 \\ 3 & 2 \end{array} \end{array}$$

$$\begin{array}{ccc}
 R_1 \bowtie R_2 & & R_1 \bowtie R_2 \\
 \begin{array}{ccc} A & B & C \\ 1 & 1 & 1 \\ 1 & 1 & 2 \\ 2 & 1 & 1 \\ 2 & 1 & 2 \\ 3 & 2 & 1 \\ 4 & 3 & 2 \end{array} & & \begin{array}{ccc} A & B & C \\ 1 & 1 & 1 \\ 1 & 1 & 2 \\ 2 & 1 & 1 \\ 2 & 1 & 2 \\ 3 & 2 & 1 \\ 4 & 3 & 2 \end{array}
 \end{array}$$

X Lossy

if R is decomposed into  $R_1$  &  $R_2$  then decomposition is lossless if -

$$\begin{array}{ccc}
 R_1(A, B) & R_2(A, C) \\
 \begin{array}{ccc} 1 & 1 \\ 2 & 1 \\ 3 & 2 \\ 4 & 3 \end{array} & \begin{array}{ccc} 1 & 1 \\ 2 & 2 \\ 3 & 1 \\ 4 & 2 \end{array}
 \end{array}$$

$A \rightarrow B$   
 $A \rightarrow C$

$$\begin{array}{ccc}
 R_1 \bowtie R_2 & & R_1 \bowtie R_2 \\
 \begin{array}{ccc} A & B & C \\ 1 & 1 & 1 \\ 2 & 1 & 2 \\ 3 & 2 & 1 \\ 4 & 3 & 2 \end{array} & & \begin{array}{ccc} A & B & C \\ 1 & 1 & 1 \\ 2 & 1 & 2 \\ 3 & 2 & 1 \\ 4 & 3 & 2 \end{array}
 \end{array}$$

y's Lectures

For lossless join decomposition there should be only 3 conditions required

## Minimal cover

For the following Functional dependencies, find the correct Minimal Cover

$\{ A \rightarrow B, C \rightarrow B, D \rightarrow ABC, AC \rightarrow D \}$

a)  $A \rightarrow B, C \rightarrow B, D \rightarrow A, AC \rightarrow D$   
 b)  $A \rightarrow B, C \rightarrow B, D \rightarrow C, AC \rightarrow D$   
 c)  $A \rightarrow BC, D \rightarrow CA, AC \rightarrow D$   
 d)  $A \rightarrow B, C \rightarrow B, D \rightarrow AC, AC \rightarrow D$

**Step 1:**  $A \rightarrow B$  (circled),  $C \rightarrow B$  (circled),  $D \rightarrow A$  (boxed),  $D \rightarrow B$  (boxed),  $D \rightarrow C$  (boxed),  $AC \rightarrow D$  (circled)

**Step 2:**  $D \rightarrow B$  is redundant (marked with X).  $D \rightarrow C$  is redundant (marked with X).

**Step 3:**  $A \rightarrow B, C \rightarrow B, D \rightarrow A, D \rightarrow C, AC \rightarrow D$

**Final Minimal Cover:**  $A \rightarrow B, C \rightarrow B, D \rightarrow A, D \rightarrow C, AC \rightarrow D$

$A^+ = A$   
 $C^+ = C$   
 $D^+ = DBC$   
 $D^+ = DABC$   
 $D^+ = DAB$   
 $AC^+ = ACB$   
 $C^+ = C$   
 $A^+ = AB$

Total 3 steps required

1. Write in simplified version
2. Now let assume that ki remove some particular relation and take closures , if all attribute are derived from that then that will be redundant
3. Now try to break left hand side , if left hand side contain more that one attribute  
Way to simplified left hand side is  
Take clouser of removing that attribute , if in clouser of another key contain removed key then that is removal  
If not then that key not removal

## Equivalence of functional dependency

$X = \{A \rightarrow B, B \rightarrow C\}$   
 $Y = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$

For this we have to check

If X covers Y

And

Y covers X

Now check X covers Y

Let check for Y attributes

$A^+ = \{ABC\}$  (select A from Y and take closures from X)

From here we can say that  $A \rightarrow B$  and  $A \rightarrow C$  , determine

$B^+ = \{BC\}$

$B \rightarrow C$

Then here X covers Y

Now check for Y covers X , or not

$A^+ = \{ABC\}$

$A \rightarrow B$

$A \rightarrow C$

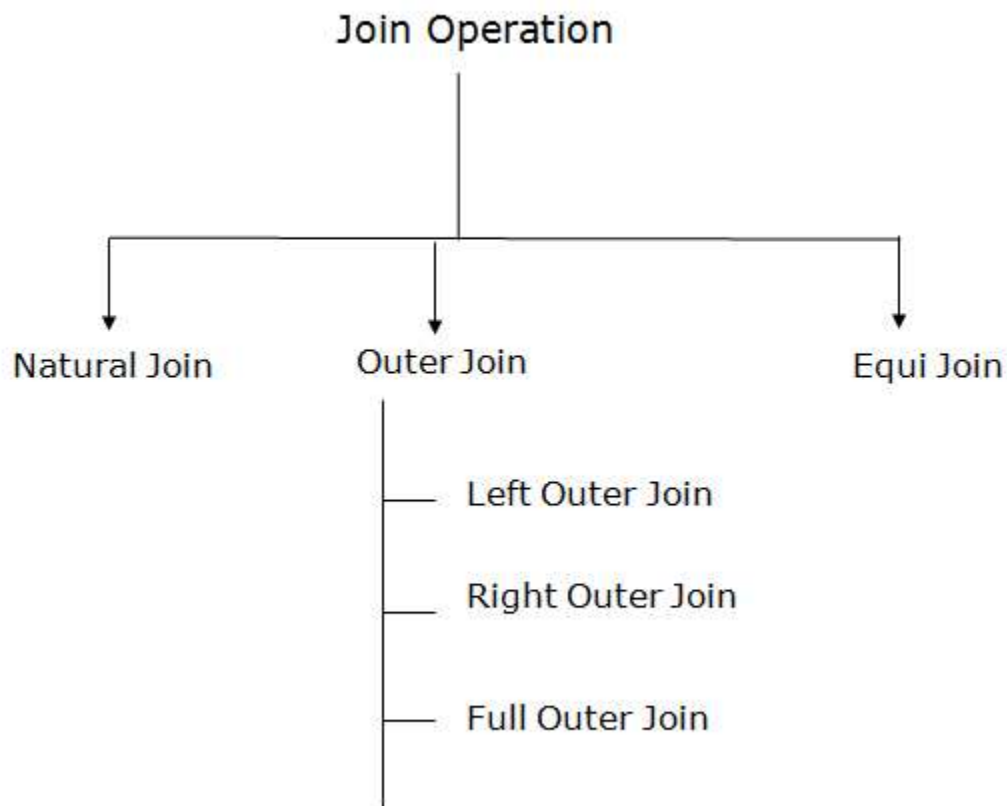
$B^+ = \{BC\}$

$B \rightarrow C$

So here Y covers X

Then this is equivalence functional dependency

# JOINS



## JOINS

1. Cross join
2. Natural join
3. Conditional join
4. Equi join
5. Self join
6. Outer join ( there 3 types)

When we need help of 2 or more tables then we need joins to fetch the data and sub query is also a another options

And there must be some common attribute in both of the table then join will be possible

JOINS = CROSS PRODUCT + SELECT STATEMENT(CONDITIONS)

# Natural joins

JAB 2 COMMON ATTRIBUTE KEY VALUE KO EQUAL KARNA HAI TO US CASE MEIN HUM NATURAL JOIN KARTE HAIN

Employe table

| E_NO | E_NAM | ADD   |
|------|-------|-------|
| 1    | RAM   | DELHI |
| 2    | VARUN | CHD   |
| 3    | RAVI  | CHD   |
| 4    | AMRIT | DELHI |

Department Table

| DEPTN | NAME | E_NO |
|-------|------|------|
| D1    | HR   | 1    |
| D2    | IT   | 2    |
| D3    | MRKT | 4    |

QUESTION

Find the emp name who is working in a department

In cross product , there will be 12 rows (4\*3)

Query will be like this

Select E\_NAM from emp , dept ( this cross product of emp,dept) where emp.E\_no =dept.E\_No;

emp.E\_no =dept.E\_No , ye conditions har row ke run hoga , and matching row will be in the output

This can be simply written as

Select E\_NAM from emp natural join Dept

Natural join = to common attribute key value ko equal karne ke liye use karte hain

And dono attribute ke name v same ho that means column should be the same for both

If one table contain E\_NO and second table should also contain E\_NO then natural join will work



# SELF JOIN

This is study table

| s_id | c_id | since |
|------|------|-------|
| s1   | c1   | 2016  |
| s2   | c2   | 2017  |
| s3   | c3   | 2017  |

Find student id who is enrolled in at least two courses

Ans

For join we need two tables so here we will take same table for making joins

For joins here cross product will contains  $3 \times 3 = 9$  rows that is self join actually

Select T1.s\_id from study as T1, study as T2 where T1.s\_id=T2.s\_id and T1.c\_id<>T2.c\_id

Here T1 and T2 is two sample table of study table we can not use study directly otherwise it will error in IDE

# EQUI JOINS

Question

Find the emp name who worked in a department having a location same as their address?

emp

| E_no | E_name | Address |
|------|--------|---------|
| 1    | Ram    | Delhi   |
| 2    | varun  | CHd     |
| 3    | Ravi   | chd     |
| 4    | Amrit  | delhi   |

department

| Dept no | Location | Eno |
|---------|----------|-----|
| D1      | Delhi    | 1   |
| D2      | Pune     | 2   |
| D3      | Patn     | 4   |

Select Ename from emp, dept where emp.e\_no=dept.e\_no and emp.address=dept.location

# LEFT OUTER JOIN

It gives the matching rows and the rows which are in left table but not in right table

Let consider there is two table

EMP and DEPT

And i have to take left join on EMP with DEPT

Query will be

Select emp, e\_name, d\_name, loc from emp ( left me hi hona chahiye) left join dept on( emp.deptno=dept.deptno)

Jo value right me nahi hai oha pe NULL aa jayega

## Right outer join

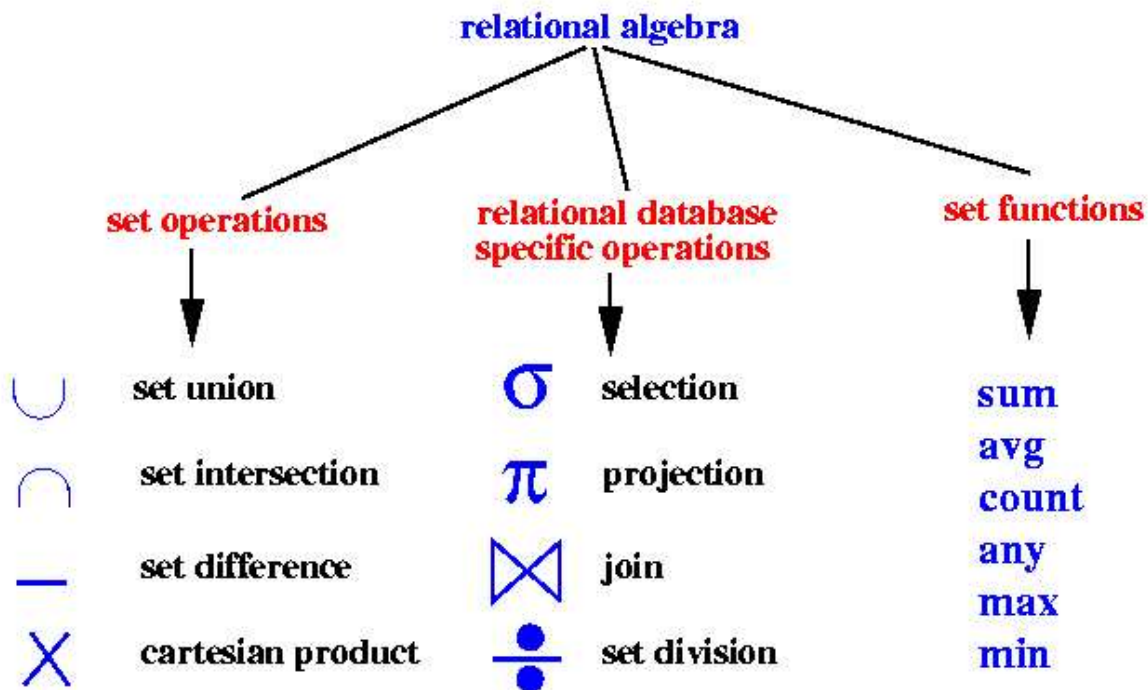
Similarly like left outer join right outer join will work

Those missing value on left side that will NULL

Query

Select emp, ..... From emp right outer join dept(right side hi hona chahiye) on ( emp.deptno= dept.deptno)

## Relational algebra



# Relational Algebra

## Six basic operators:

- **Select:**  $\sigma$  - Selects tuples that satisfy a given predicate
  - **Project:**  $\pi$  - Projects specified fields.
  - **Union:**  $\cup$
  - **Intersection:**  $\cap$
  - **Set difference:**  $-$
  - **Cartesian product:**  $\times$
  - **Rename:**  $\rho$
  - **Division:**  $\div$
  - **Joins**
- The operators take one or two relations as inputs and produce a new relation as a result.

## Derived Operator

1. Join
2. Interset
3. Division

## Projections

## Example - Projection

- × **Produce a list of salaries for all staff, showing only staffNo, fName, lName, and salary details.**

$\pi_{\text{staffNo, fName, lName, salary}}(\text{Staff})$

| staffNo | fName | lName | salary |
|---------|-------|-------|--------|
| SL21    | John  | White | 30000  |
| SG37    | Ann   | Beech | 12000  |
| SG14    | David | Ford  | 18000  |
| SA9     | Mary  | Howe  | 9000   |
| SG5     | Susan | Brand | 24000  |
| SL41    | Julie | Lee   | 9000   |

## Selection operator

Projection operator bilkul last me aata hai

Lets a example , Retrieve a name whose staffNO SG3

PHAI ( name) (Sigma(staffNO='SG3')(staff))

Set difference

A-B  $\Rightarrow$  A but not in B

1. No of column must be same
2. Domain of the data must be same

## Division operator

Enrolled

| sid | cid |
|-----|-----|
| s1  | c1  |
| s2  | c1  |
| s1  | c2  |
| s3  | c2  |

Course table

| cid |
|-----|
| c1  |
| c2  |

Query : Retrieve sid of students who enrolled in every course

When we get all , every , these two is indicating for division

$A(X,Y)/B(Y)$  = if the result x values for that there should be tuples  $\langle x,y \rangle$  for every y value of relation B.

Enrolled(sid,cid)/ course(cid) , jisse output aayega oo numerator me hona chahiye , jo help kar raha oo denominator

And actually there should be S1 , because in S1 , both the courses available c1 and c2.

$\phi(\text{sid})(\text{enrolled}) - \phi(\text{sid}) ((\phi(\text{sid}) (\phi(\text{sid})(\text{enrolled}) * \phi(\text{cid})(\text{course}))) - (\text{enrolled}))$

|    |    |
|----|----|
| S1 | c1 |
| S2 | c2 |
| S3 |    |

Their cross product will be

S1 c1                      s1 c1

S1 c2                      s2c1

S2 c1 (cross) –                      s1c2(enrolled) =====> s2 c2 ( jo dono course me enrolled nahi hai) matlab disqualified

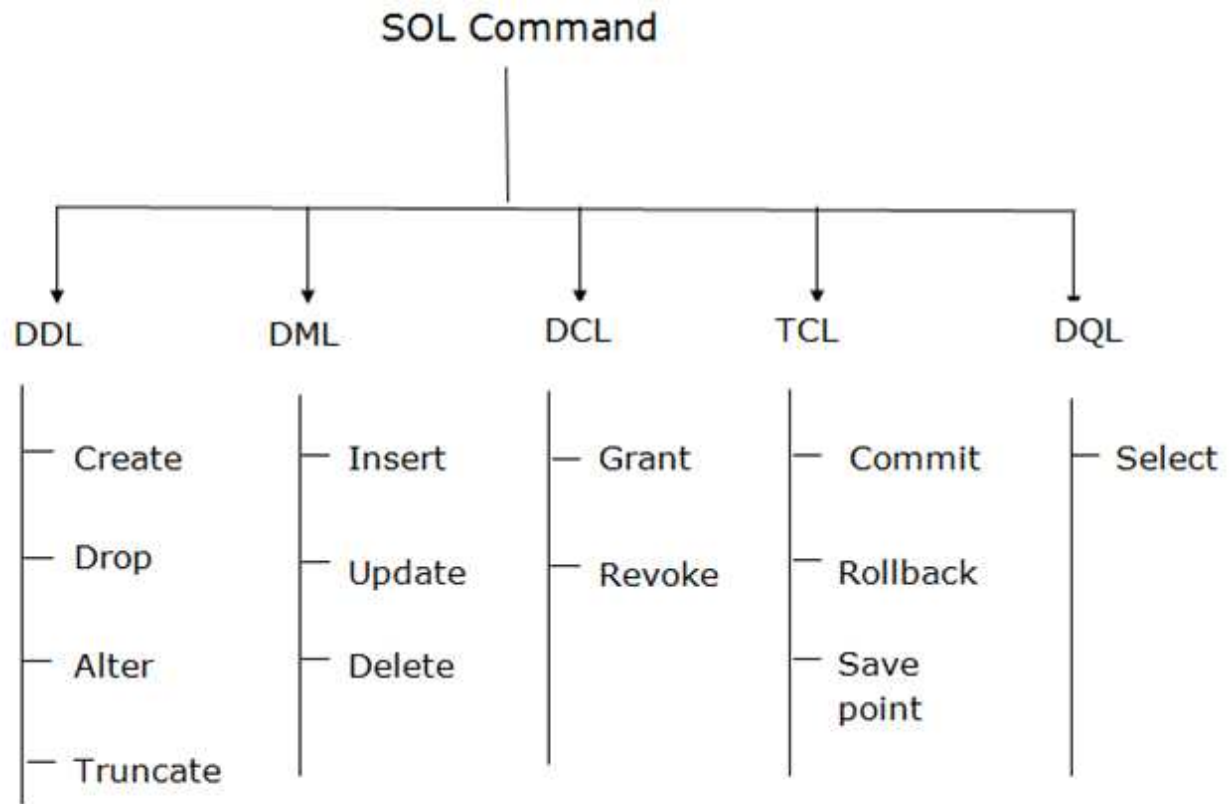
S2 c2                      s3c2                      s3 c1

S3 c1

S3 c2

Total-disqualified = qualified ( real output)

# Structure query Language( sql)



Constraints command

1. Primary key
2. Foreign key
3. Check
4. Unique
5. Default
6. Not null

M= manipulation

C= Control

Desc table name = it will show the attribute of the schema

## Create table

```
1 CREATE TABLE users (  
2   id INTEGER PRIMARY KEY NOT NULL AUTO_INCREMENT,  
3   email VARCHAR(255) NOT NULL,  
4   `password` VARCHAR(255) NOT NULL,  
5   phone_number VARCHAR(15),  
6   created TIMESTAMP NOT NULL DEFAULT NOW()  
7 );  
8  
9 CREATE TABLE orders (  
10  id INTEGER PRIMARY KEY NOT NULL AUTO_INCREMENT,  
11  user_id INTEGER NOT NULL,  
12  created TIMESTAMP NOT NULL DEFAULT NOW(),
```

## Alter command

```
ALTER TABLE table_name ADD column_name datatype;  
ALTER TABLE table_name DROP column column_name;  
ALTER TABLE table_name MODIFY column column_name datatype;  
ALTER TABLE table_name rename column old_column to new_column;  
ALTER TABLE table_name rename To new_table_name;  
Alter table Student add weight integer; Alter table Student add Course  
varchar(60);
```

1. Add columns

2. Rename columns
3. Modify datatype
4. Modify datatype length
5. Add constraints
6. Remove Constraints
7. Rename columns/table

|  |  |
|--|--|
| <p style="text-align: center;"><b>ALTER</b></p> <ol style="list-style-type: none"> <li>1. DDL(only structure me change)</li> <li>2.</li> </ol> | <p style="text-align: center;"><b>UPDATE</b></p> <ol style="list-style-type: none"> <li>1. DML ( data me koi v change)</li> <li>2. We use here update and set</li> </ol> |
|--|--|

|   |  |  |
|---|--|--|
| <p style="text-align: center;"><b>Delete</b></p> <ol style="list-style-type: none"> <li>1. DML</li> <li>2. Delete from student (rows)</li> <li>3. Ek ek karke delete hota hai</li> <li>4. We can delete here specifically where id=1</li> <li>5. Rollback possible</li> </ol> | <p style="text-align: center;"><b>DROP</b></p> <ol style="list-style-type: none"> <li>1. DDL</li> <li>2. Drop table student (pura structure delete)</li> </ol> | <p style="text-align: center;"><b>Truncate</b></p> <ol style="list-style-type: none"> <li>1. DDL</li> <li>2. Delete the rows</li> <li>3. EK baar me saare row ko delete karta hai</li> <li>4. No conditions required</li> <li>5. No rollback possible</li> </ol> |
|---|--|--|

## Constraints in sql

7. Primary key
8. Foreign key
9. Check
10. Unique
11. Default
12. Not null

## Queries and subqueries

Table name = EMP

| Eid | Ename | Dept | Salary |
|-----|-------|------|--------|
| 1   | Ram   | HR   | 10000  |
| 2   | Amrit | MRKT | 20000  |
| 3   | Ravi  | HR   | 30000  |
| 4   | Nitin | MRKT | 40000  |
| 5   | Varun | IT   | 50000  |

### Queries

1. Write a sql query to display maximum salary from emp table  
Select max(salary) from emp ;
2. Write a sql query to display employee name having salary is maximum  
Select ename from emp where salary = ( select Max(salary) from emp); outer query =  
inner query , here phale inner query execute hoge
3. Write a sql query to display the second highest salary from the emp table ?  
Select salary from emp where salary <>(select max(salary) from emp)
4. Write a query to display all the dept names along with no emps working in that ?  
Here we use group by clause  
Select dept from emp group by dept;  
Or we can use aggregate function also  
Select dept , count(\*) from emp group by dept ;
5. Write a query to display all the dept names where no of emps are less than 2  
Query :  
Count se sath where clause use nahi ho sacta , because where clause pure table me  
lagta hai , count sirf ek colum ka data fetch karta hai  
  
Select dept from emp group by dept having count(\*)<2; IT  
For the name we need to check nested query  
Select ename from emp where dept In (Select dept from emp group by dept having  
count(\*)<2;) IT
6. Write a query to display highest salary department wise and name of emp who is taking  
that salary ;  
Department wise highest salary  
Select dept, max(salary) from emp group by dept;  
HR- 30000  
MRKT- 40000  
IT- 50000



So that final will be select ename from emp where salary In (select Max(salary) from emp group by dept);

Here every row will be compare using (30000,40000,50000)

## IN and NOT IN

Question : find the name of emps who are working on a project ?

Select ename from emp where eid IN (select dist(eid) from project) ;

## Exists/ Not exists

It always return true or false

Correlated Nested query ke ander use karte hain

Find the details of emp who is working on at least one project ?

Emp

| Eid | Ename | Address |
|-----|-------|---------|
|-----|-------|---------|

Project

| Eid | Pid | Pname | Location |
|-----|-----|-------|----------|
|-----|-----|-------|----------|

Select \* from emp where Eid exists ( select Eid from project where emp.eid=project.eid)

COrelated Nested query : yaha pe outer se ke row lekar match karwate hain inner query me , agar match kiya toh print otherwise check for next row .

But for the in or not execution will be totally different because there inner query run first and outer query run later

## Aggregate function

1. Max
2. Min
3. Count
4. Sum
5. Avg

Select max(salay) from emp;

## Correlated Subquery(synchronized query)

It is a subquery that uses value from outer query

Top to down approach

Find all the employee detail who work in a department

Table name : EMP

|     |      |         |
|-----|------|---------|
| Eid | name | Address |
|-----|------|---------|

Table Name: DEPT

|     |       |     |
|-----|-------|-----|
| Did | Dname | Eid |
|-----|-------|-----|

Select \* from emp where exists( select \* from dept where dept.eid= emp.eid);

Its top to down approach

## DIFFERENCE BETWEEN query

1. Nested query ( Bottom up)

Select \* from emp where e\_id in (select e\_id from dept)

2. Correlated subquery (top down approach )

Select \* from emp where exists (select id from dept where emp.e\_id=dept.e\_id);

3. Joins (cross product + condition)

Select \* from emp ,dept where emp.e\_id=dept.e\_id

Find nth highest salary using sql

Select id , salary from emp e1 where N-1= ( select count(distinct salary) from emp e2 where e2.salary>e1.salary)

## Transaction

1. It is a set of operations used to perform a logical unit of work
2. A transaction generally represent change in database

Two operation in Transaction

1. Read = Database ko access
2. Write = some change in database

Example transferring the money

Let  $A=1000$  ,  $B=2000$

Before commit , everything running in RAM ( local memory)

$R(A)$  reading the value of A

$A=A-500$  removing 500 from A's account

$w(A)$  change to database to 500

$R(B)$

$B=B+500$

$W(B) = 2500$

Commit ( after committing everything will be stored in harddisk permanently)

Then final value will be

$A=500$

$B=2500$

## ACID PROPERTIES

A= Atomicity ( either all or none)

C= consistency

I= Isolation

D= Durability

### Atomicity (either all or none)

Agar commit se pahle kuch v galt hota hai then it will be roll back to previous state

Commit hone ke baad koi transaction fail nahi hote because commit ke baad hard disk me permanently save ho jaata hain

A fail transaction can not be resumed it only restart

Agar transaction 99 pe v fail hota hai to pure ke pure roll back hoge

### Consistency

Before the transaction start and after the transaction complete , sum of the money should be the same

Let take for above example

Sum of the money before transaction start is  $A+B= 3000$

Sum of the money after transaction end is  $A+B = 3000$

So then before==After ( consistency is valid here)

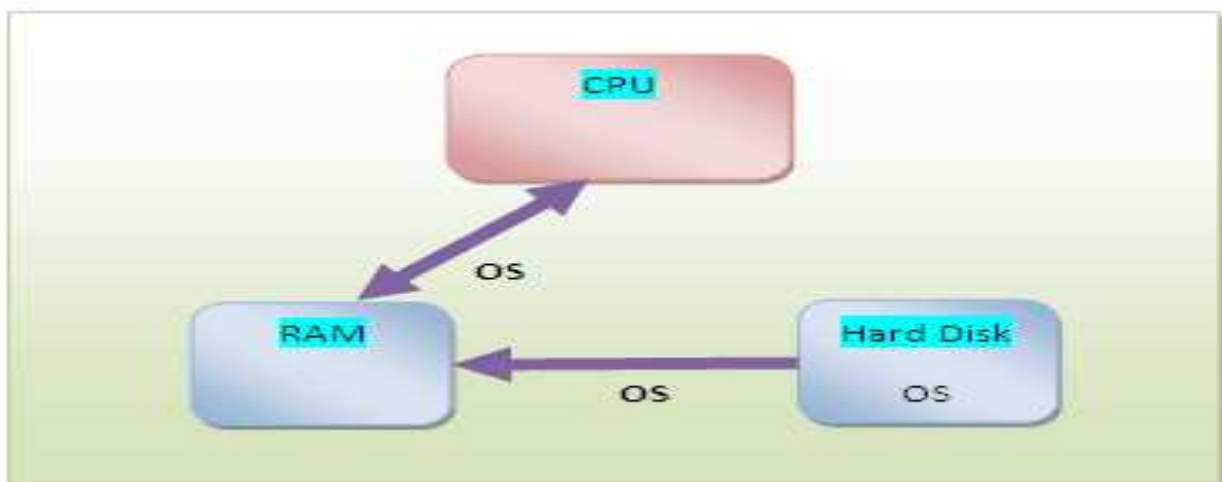
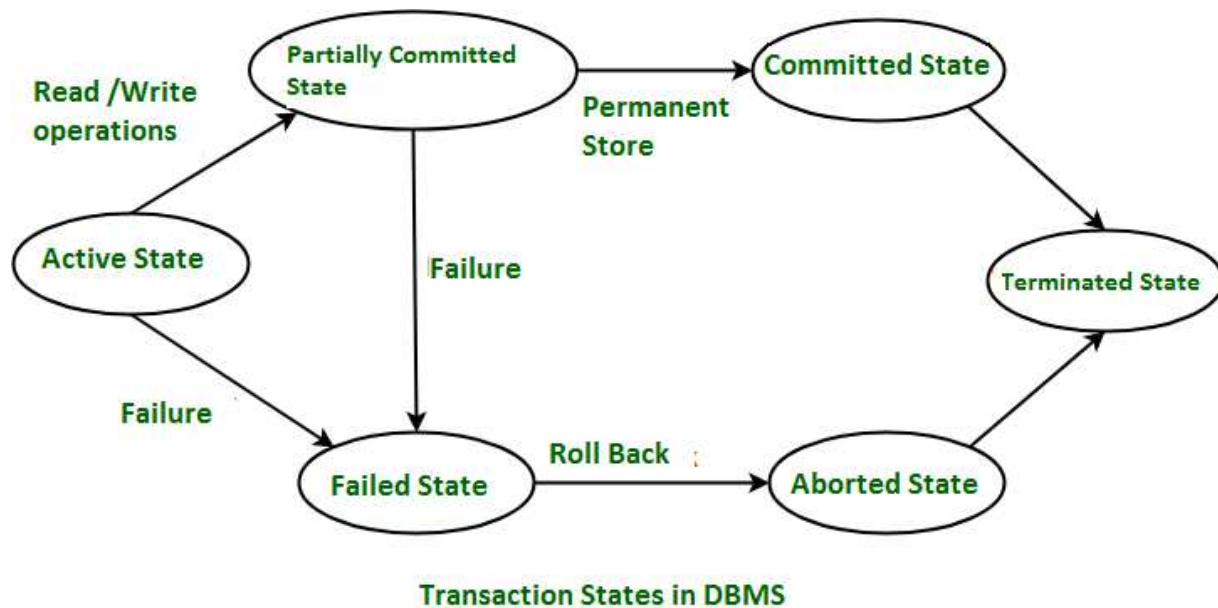
### Isolation

It tries to convert parallel schedules to serial schedules , when a lot of parallel scheduling is running . And serial schedule always consistent hota hai

## Durability

Data base me jitne v changes ho rahe hain oo saare ke saare permanent hone chahiye

## Transaction states



Active state = that means data come into the RAM from hard disk and all operations will be hold in RAM and after commit it will permanently save to hard disk ;  
RAM= local memory or shared memory

Partially commit = let suppose to n operations there including commit then n-1 operations completed and remaining only commit so that is called partially commit state  
Commit me humlog hard disk ke baat karte hain

# Schedule

It is chronological execution sequence of multiple transactions

Two types of schedule

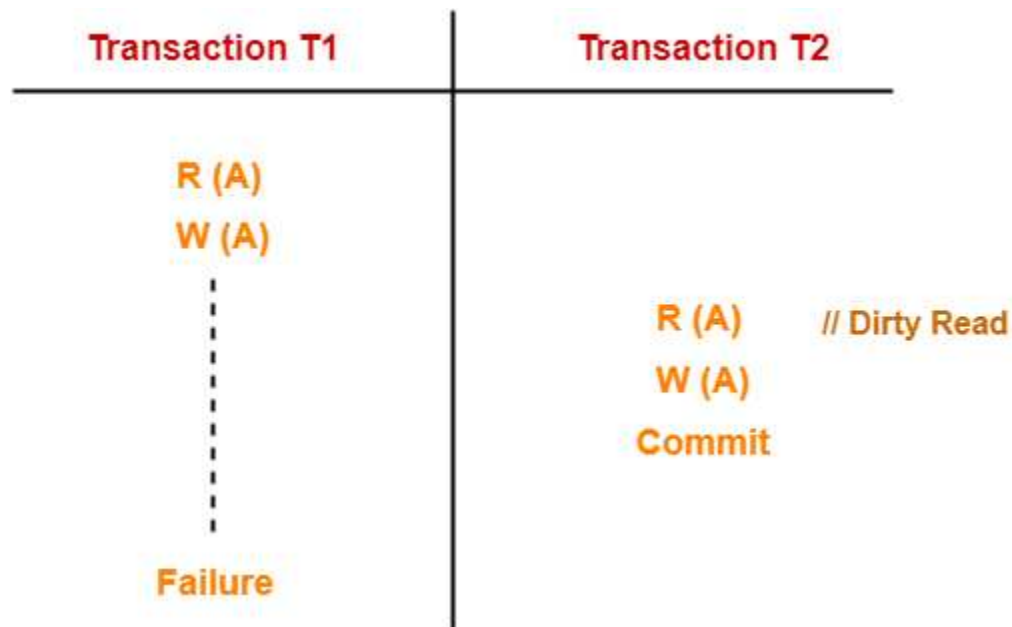
1. Serial ( always consistent)
2. Parallel ( multiple transaction can come together and start simultaneously )

In parallel performance and throughput is high

## Types of problems in concurrency

1. Dirty read
2. Incorrect summary
3. Lost update
4. Unrepeated read
5. Phantom read

### Dirty Read



# Write- Read conflict( Dirty read Problem)

## SCHEDULE

A=70 at starting

| T1   | T2                                   |
|--|--------------------------------------|
| R(A)<br>A= A-50;<br>w(A)<br><br>R(B)<br>Fail<br>W(B) | R(A)<br>A= A*2;<br>w(A) 40<br>commit |

T1 fail ho gaya but T2 to commit kar diya tha that means T2 ne galt read kiya that is dirty read

# Read-Write Conflict( unrepeatable read)

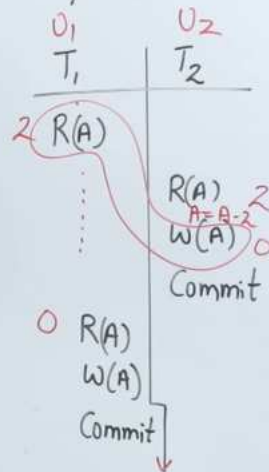
A=70 at starting

| T1  | T2   |
|---|--|
| R(A) x<br><br><br>R(A) y (we get two diff because ..)<br>W(A)<br>commit | R(A)<br>w(A)<br>Commit (database update for A) |

Same Data

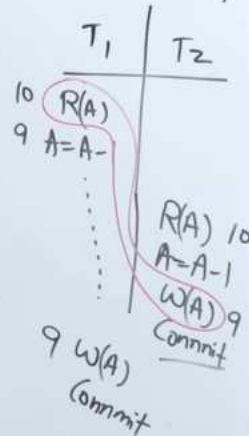
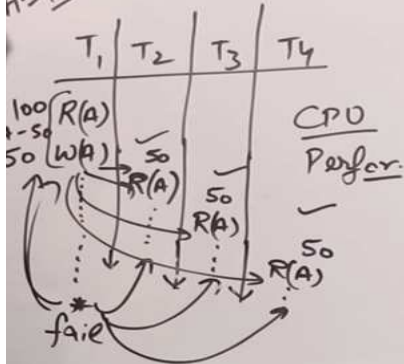
|        |        |
|--------|--------|
| $R(A)$ | $R(A)$ |
| $R(A)$ | $W(A)$ |
| $W(A)$ | $R(A)$ |
| $W(A)$ | $W(A)$ |

Psob

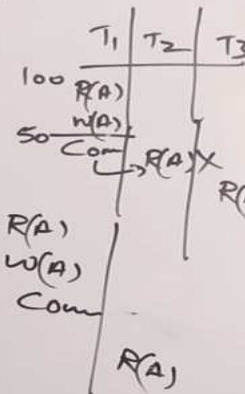


$$A = \cancel{2}0$$

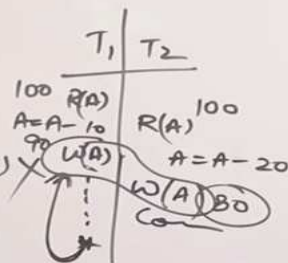
A = ~~10~~ ~~9~~ 9

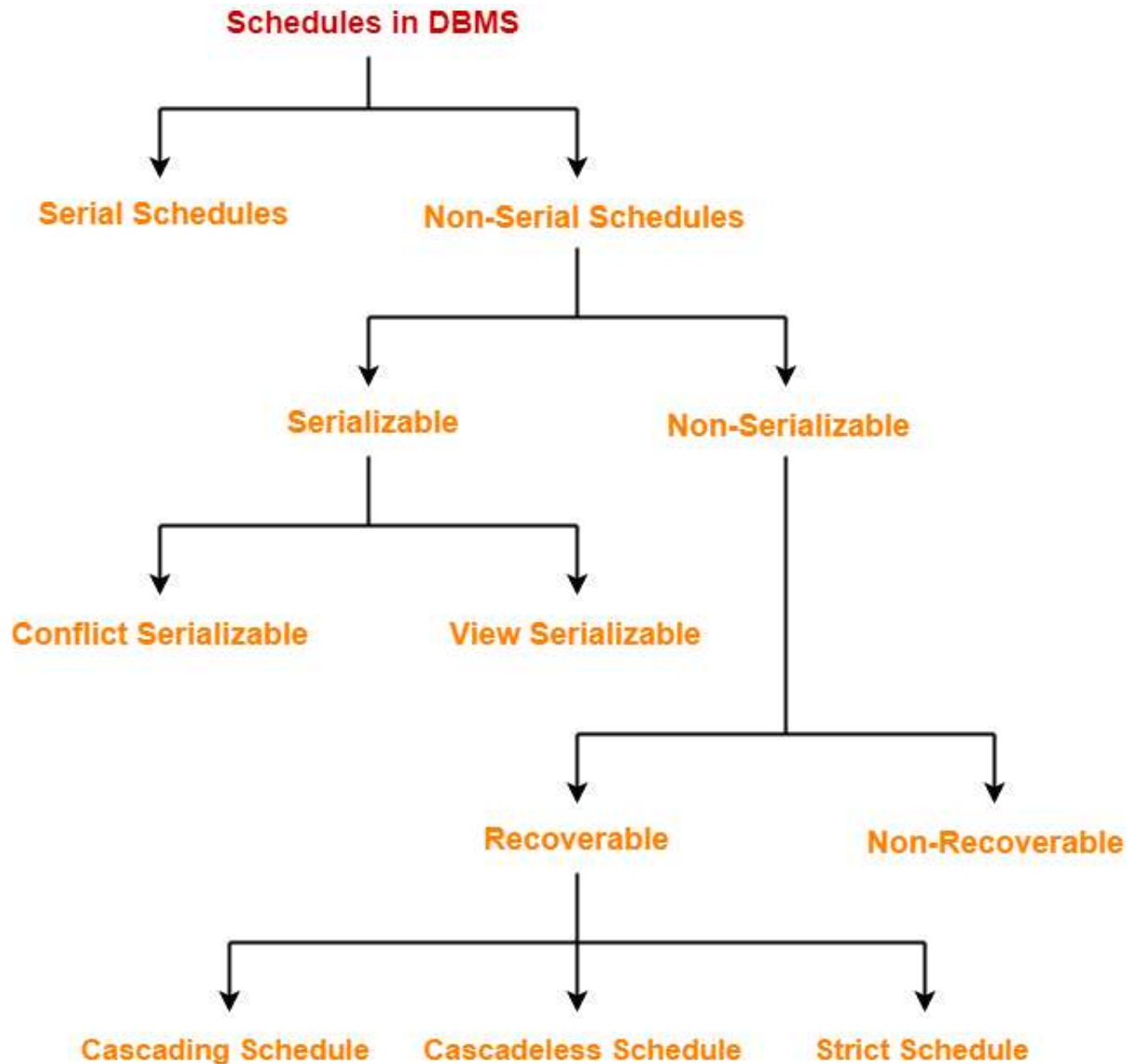

$$A = \frac{100}{100}$$


$A = 10050$



$$A = \underline{100}$$





Cascading schedule Vs cascadeless schedule

### Cascadeless schedules

| T10      | T11      | T12     |
|----------|----------|---------|
| read(A)  |          |         |
| read(B)  |          |         |
| write(A) |          |         |
|          | read(A)  |         |
|          | write(A) |         |
|          |          | read(A) |

1. Transaction T10 writes a value of A that is read by Transaction T11.
2. Transaction T11 writes a value of A that is read by Transaction T12.

Suppose at this point T10 fails.

3. T10 must be rolled back, since T11 is dependent on T10, T11 must be rolled back, T12 is dependent on T11, T12 must be rolled back.

This phenomenon, in which a single transaction failure leads to a series of transaction rollbacks is called Cascading rollback.





## Cascade-less Schedule



- Schedules requiring cascaded rollback:
  - A schedule in which **uncommitted** transactions that **read** an item from a failed transaction must be **rolled back**.
    - As shown in schedule  $S_e$
- **Cascadeless Schedule:**
  - One where every transaction **reads** only the items that are **written** by committed transactions.
    - $r_2(X)$  in  $S_d$  and  $S_e$  must be postponed until after  $T_1$  has committed (or aborted), thus delaying  $T_2$  but ensuring no cascading rollback if  $T_1$  aborts.



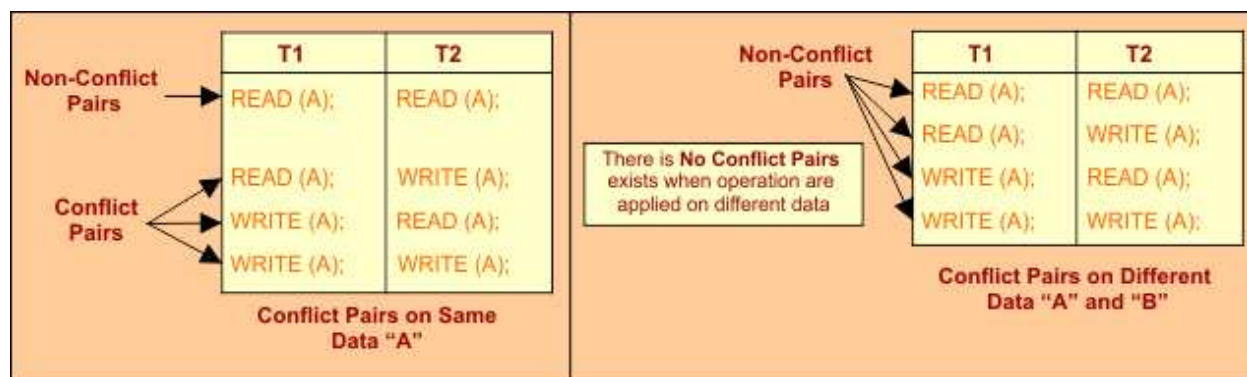
## Serializability

There is two type of Serializability

1. Conflict serializability
2. View serializability

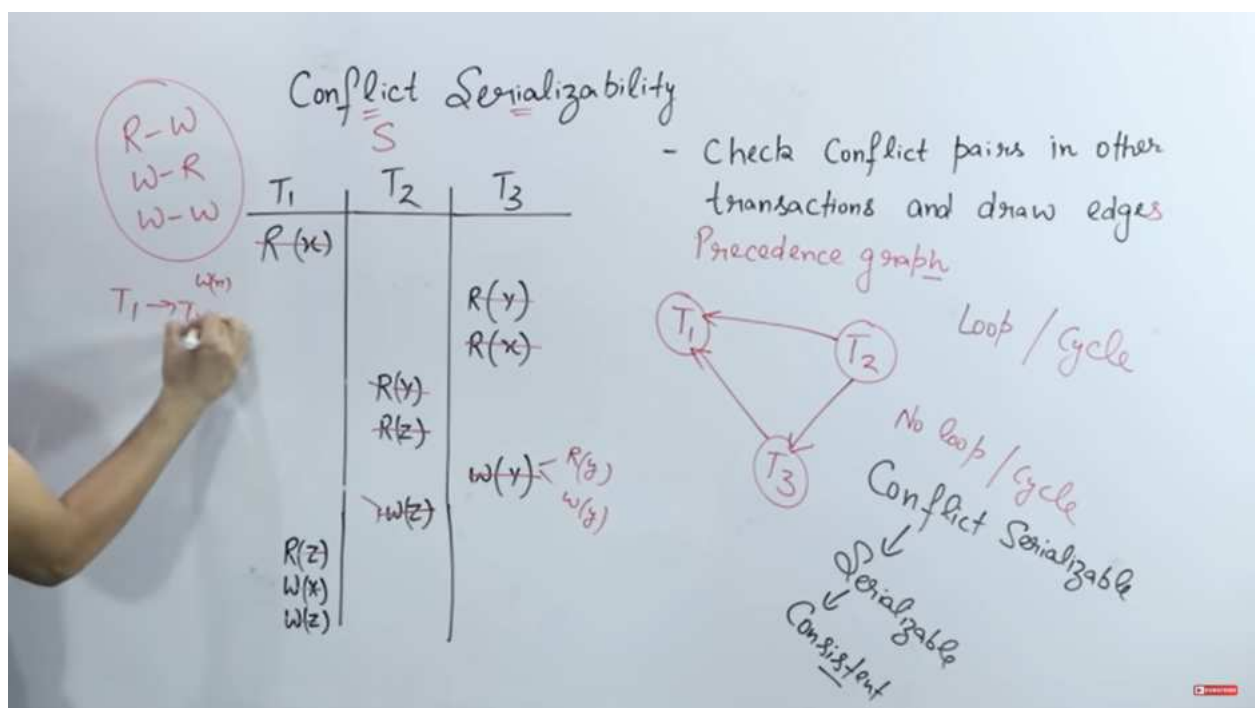
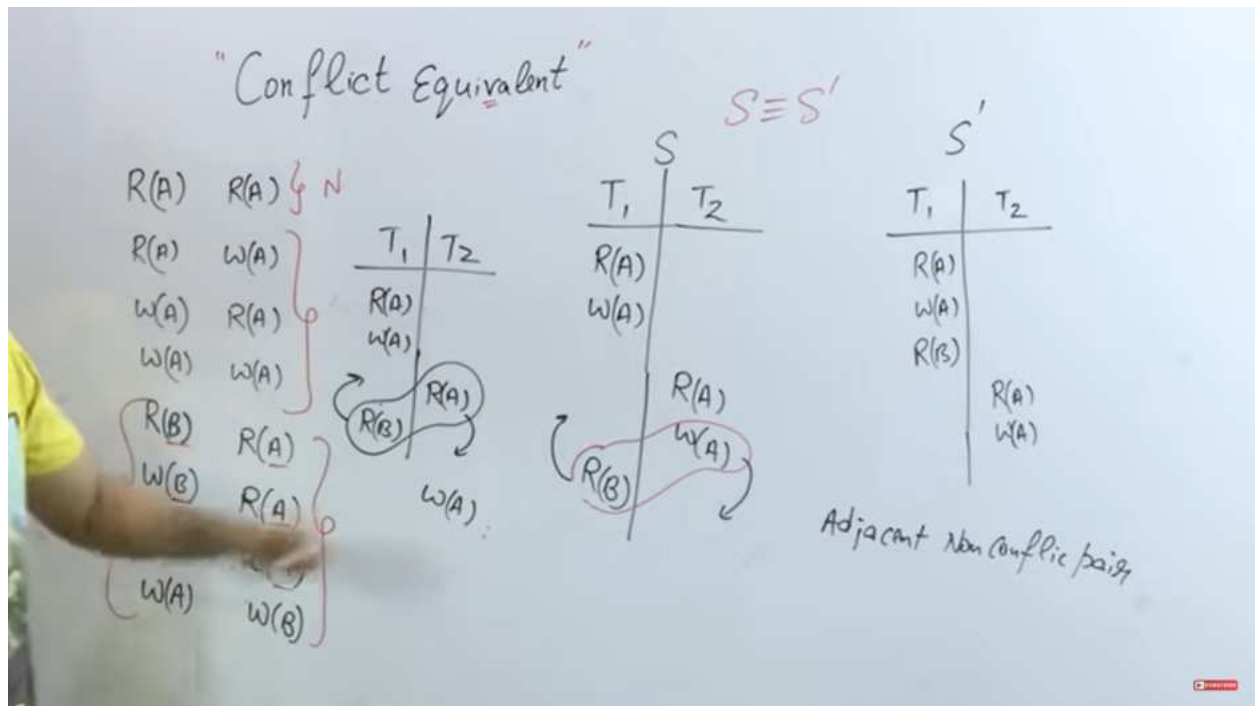
A schedule is serialized if it is equivalent to a serial schedule. A concurrent schedule must ensure it is the same as if executed serially means one after another. It refers to the sequence of actions such as read, write, abort, commit are performed in a serial manner.

Here we need to find a clone of a parallel schedule , which should be a serial schedule .



Conflict & Non-Conflict Pairs in DBMS

| Transaction T1 | Transaction T2 |
|----------------|----------------|
| R (A)          |                |
| W (A)          |                |
| R (B)          |                |
| W (B)          |                |
| Commit         |                |
|                | R (A)          |
|                | W (B)          |
|                | Commit         |



For order we should check INDEGREE

$T2(0) \rightarrow T3(1) \rightarrow T1(2)$ , yaha pe sabse pahle T2 complete hoga then T3 then T1

(View serializable remaining)

## Locking

Compatibility chart

| State of the lock | Lock request type |           |
|-------------------|-------------------|-----------|
|                   | Shared            | Exclusive |
| Shared            | Yes               | No        |
| Exclusive         | No                | No        |

### Shared-exclusive locking (1st locking protocol)

Shared Lock(s) => if transaction locked data item in shared mode then allowed to read only .

Exclusive Lock(x) => if transaction locked data item in exclusive mode then allowed to read and write both

Problems in shared -exclusive locking

1. May not sufficient to produce only serializable schedule
2. May not free from Irrecoverability
3. May not free from deadlock
4. May not free from starvation

Agar kisi transaction pe only read karna hai toh Shared lock se hi kaam chal jayega no requirement to take exclusive lock

For example

|                      |
|----------------------|
| T1                   |
| S(A)<br>R(A)<br>U(A) |

S(A) = shared lock on A

R(A) = read operation on A

U(A) = unlock operation on A after finishing the read operation

| T2   |
|------|
| X(A) |
| R(A) |
| W(A) |
| U(A) |

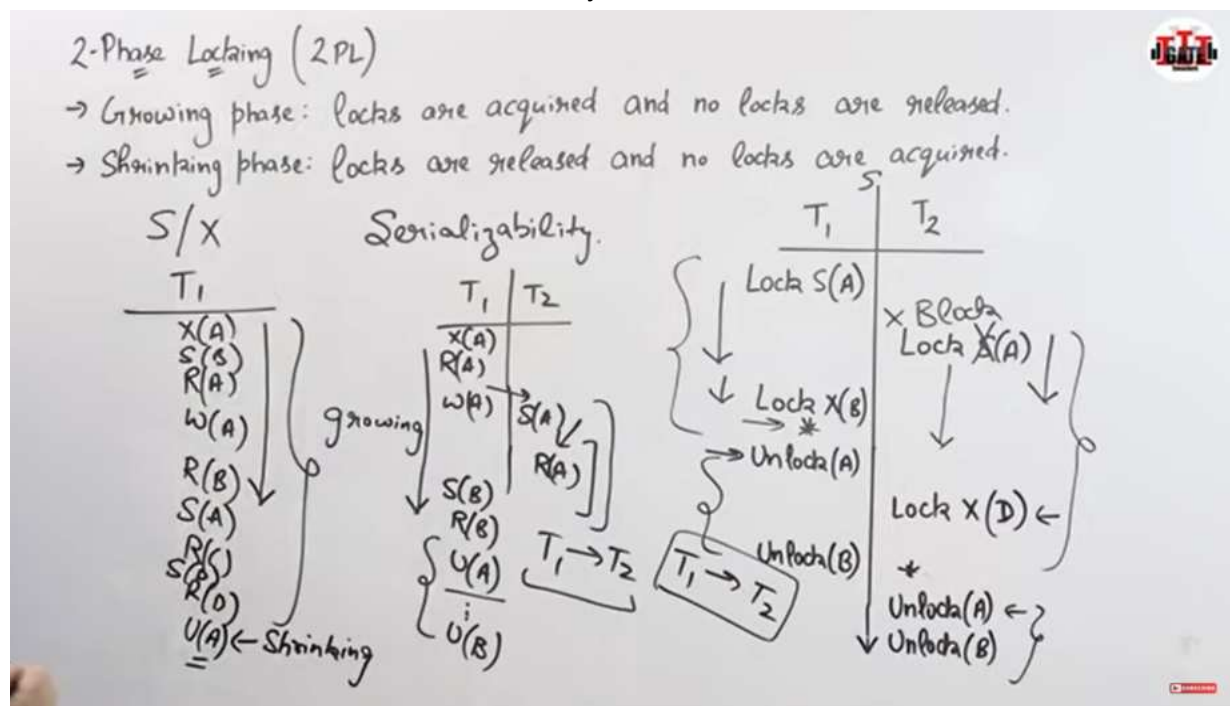
Here I have to perform Read and write both on A , so here we need exclusive lock on A for read and write both operations

## 2-phase locking (2PL)

Growing Phase : locks are acquired and no locks are released

Shrinking phase : locks are released and no locks are acquired

2PL transaction always serializable



S(A) = shared lock on A

X(A) = exclusive lock on B

First always try to growing and after shrinking there is no chance of growing

\*\* star is locking point

Last waala me agar S(A) ko X(A) se replace kar de toh saara T2 block ho jayega , because they have no permission to lock this T2 because T1 already taken the permission

## Advantage

Always ensures Serializability

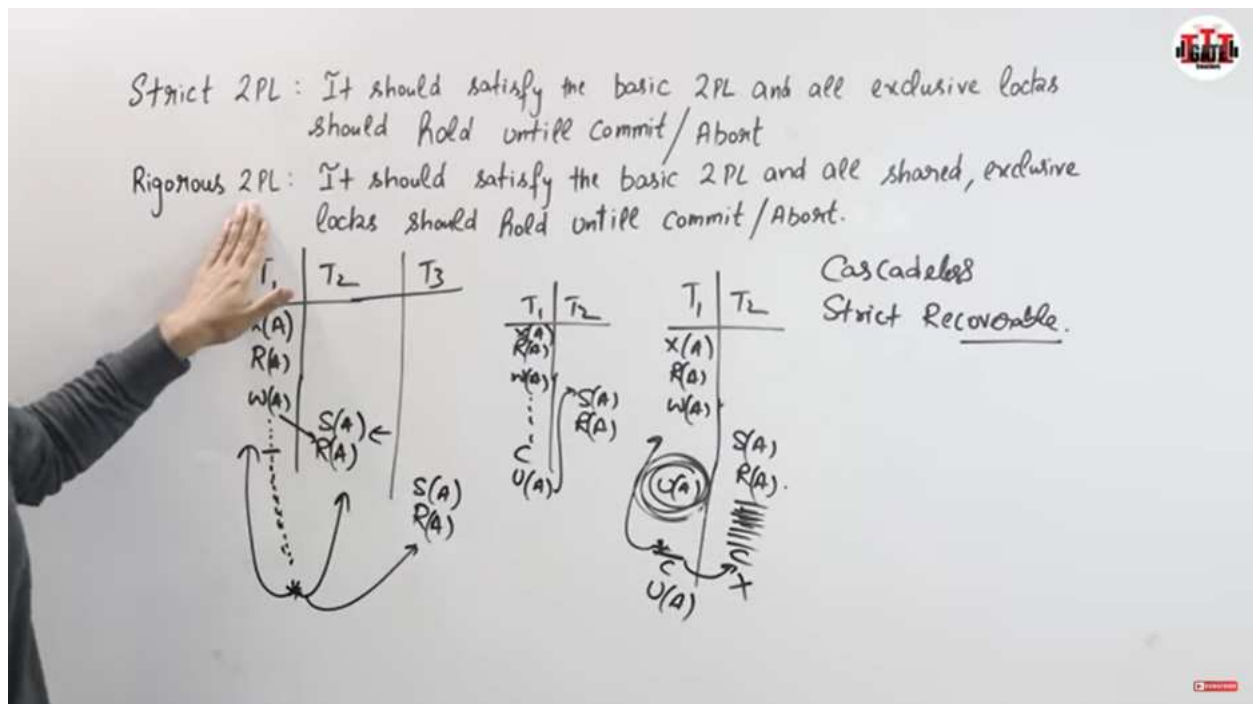
## Drawbacks:

- May not free from irrecoverability
- Not free from deadlocks
- Not free from Starvation
- Not free from cascading rollback

## Strict 2PL or Rigorous 2PL

Strict 2PL = It should satisfy the basic 2PL and all exclusive locks should hold until commit/Abort

Rigorous 2PL = It should satisfy the basic 2PL and all shared , exclusive locks should hold until commit/Abort



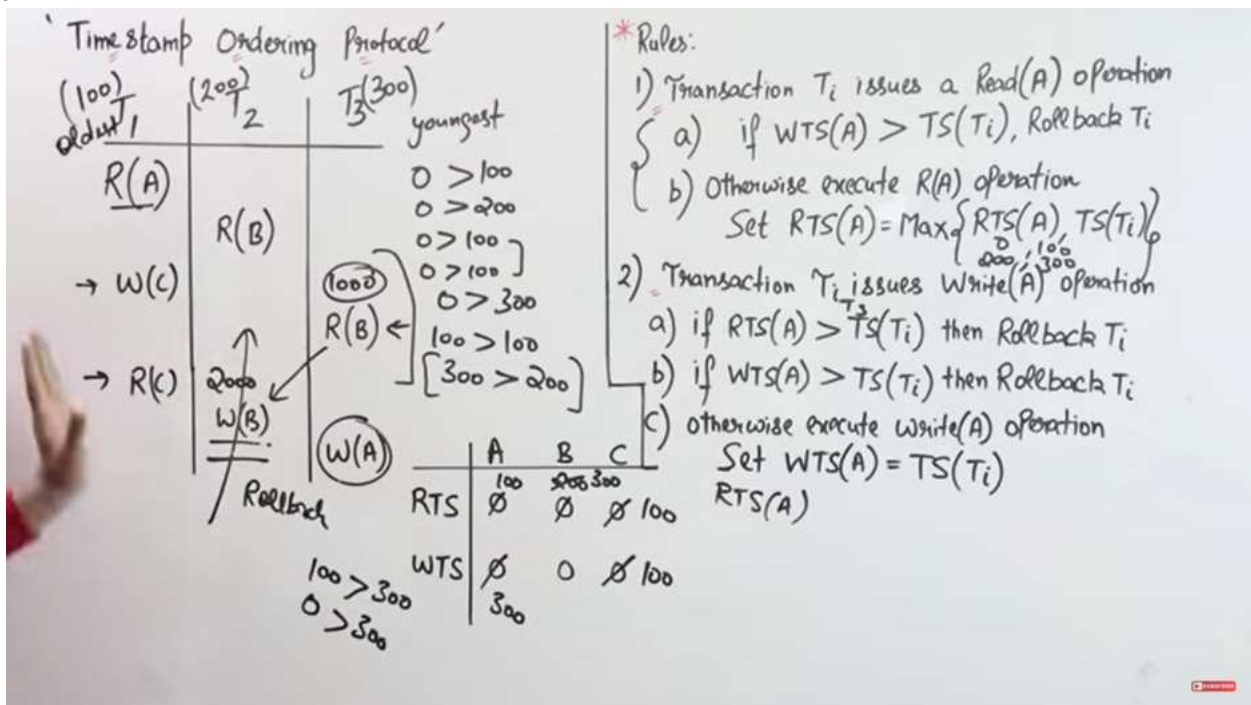
# Timestamp ordering protocol

- Unique value assign to every transaction
- Tells the order( when they enters into system)
- $Read\_TS(RTS) = \text{Last}(\text{lastest})$  transaction no which performed read successfully
- $Write\_TS(WTS) = \text{last}(\text{latest})$  transaction no which performed write successfully

- Rules

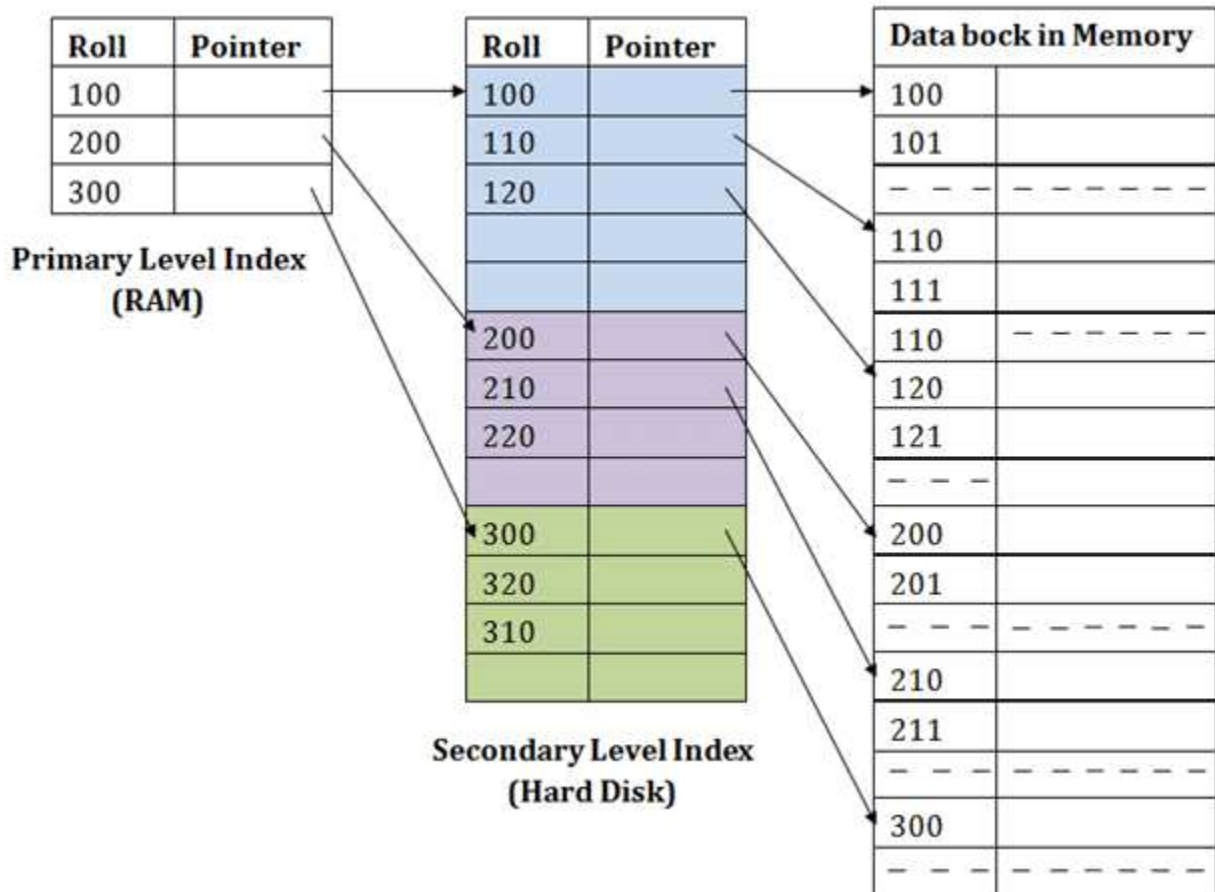
1. Transaction  $T_i$  issues a Read(A) operation
  - a) if  $WTS(A) > TS(T_i)$ , Rollback  $T_i$
  - b) otherwise execute R(A) operation  
Set  $RTS(A) = \text{Max}\{RTS(A), TS(T_i)\}$
2. Transaction  $T_i$  issues write(A) operation
  - a) If  $RTS(A) > TS(T_i)$  then rollback  $T_i$
  - b) If  $WTS(A) > TS(T_i)$  then rollback  $T_i$
  - c) otherwise execute write(A) operation set  $WTS(A) = TS(T_i)$

AGAR YOUNGER( jo baad me aaya) ne pahle Read ya write kiya or uske baad older usko read ya write karna chahta hai then we should not allow them .





# INDEXING



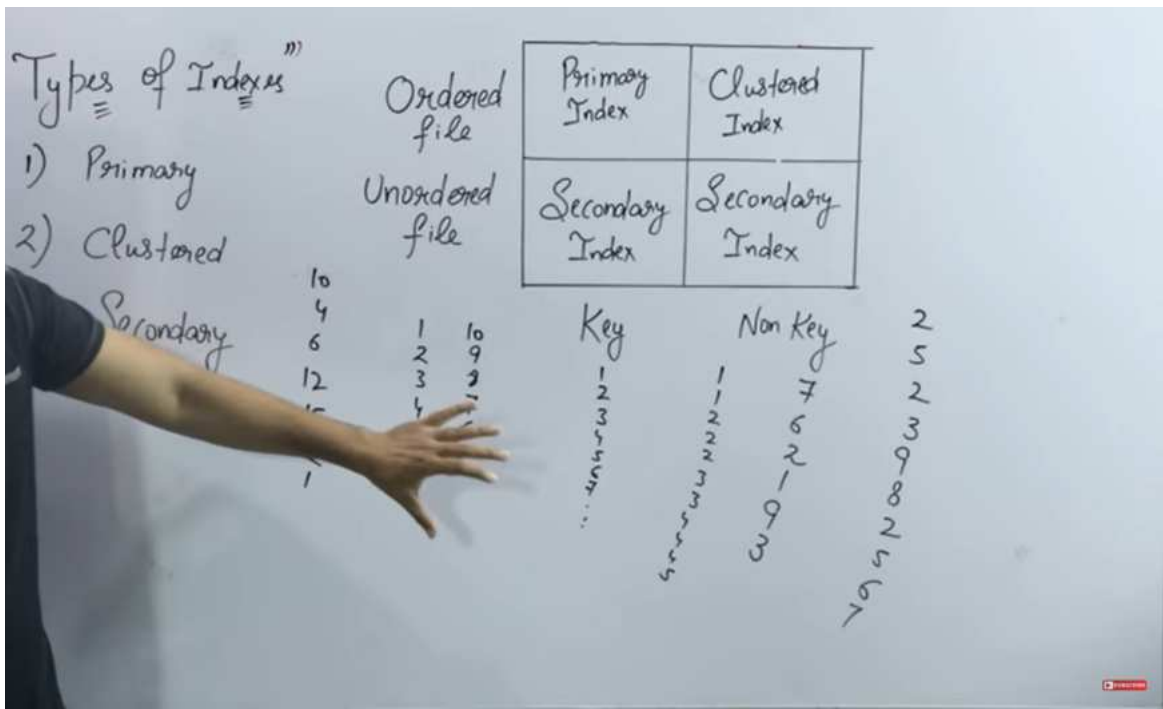
Indexing reduce I/O cost

Question :

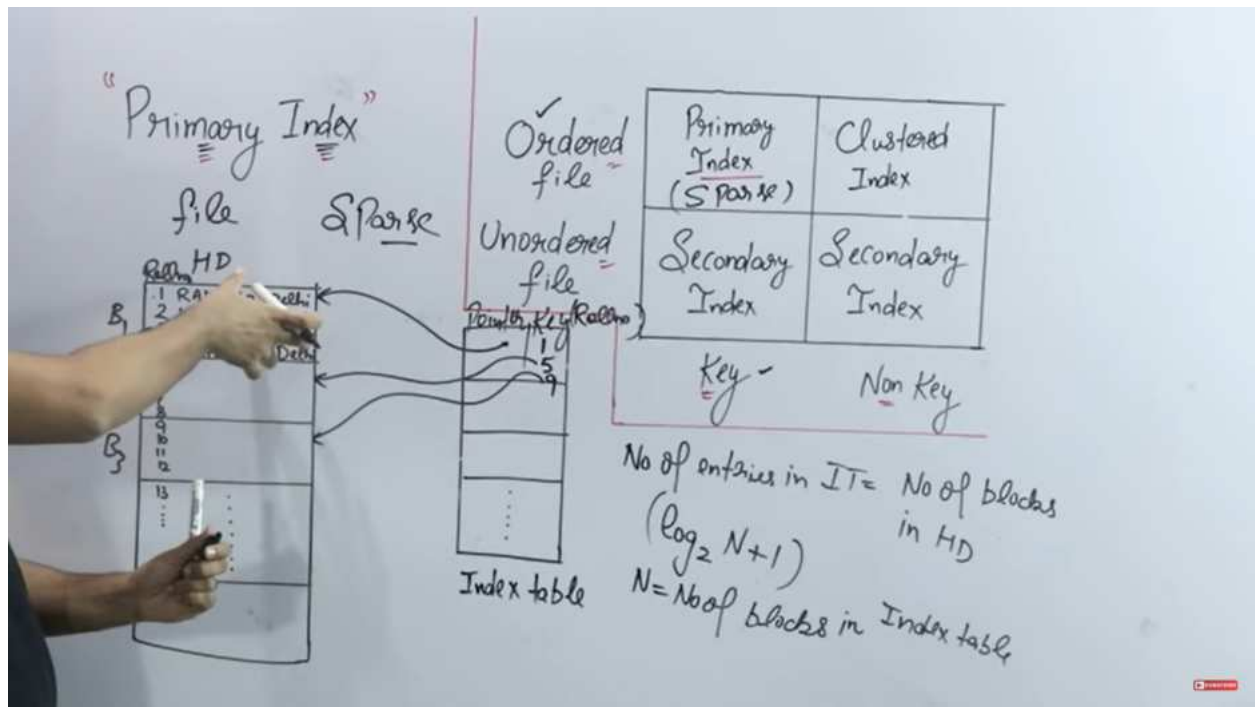
Consider a Hard disk in which block size =1000 Bytes, each record is of size = 250 bytes . If total no of records are 10000 and the data entered in hard disk without any order (unordered) what is avg time complexity to search a record from HD ?



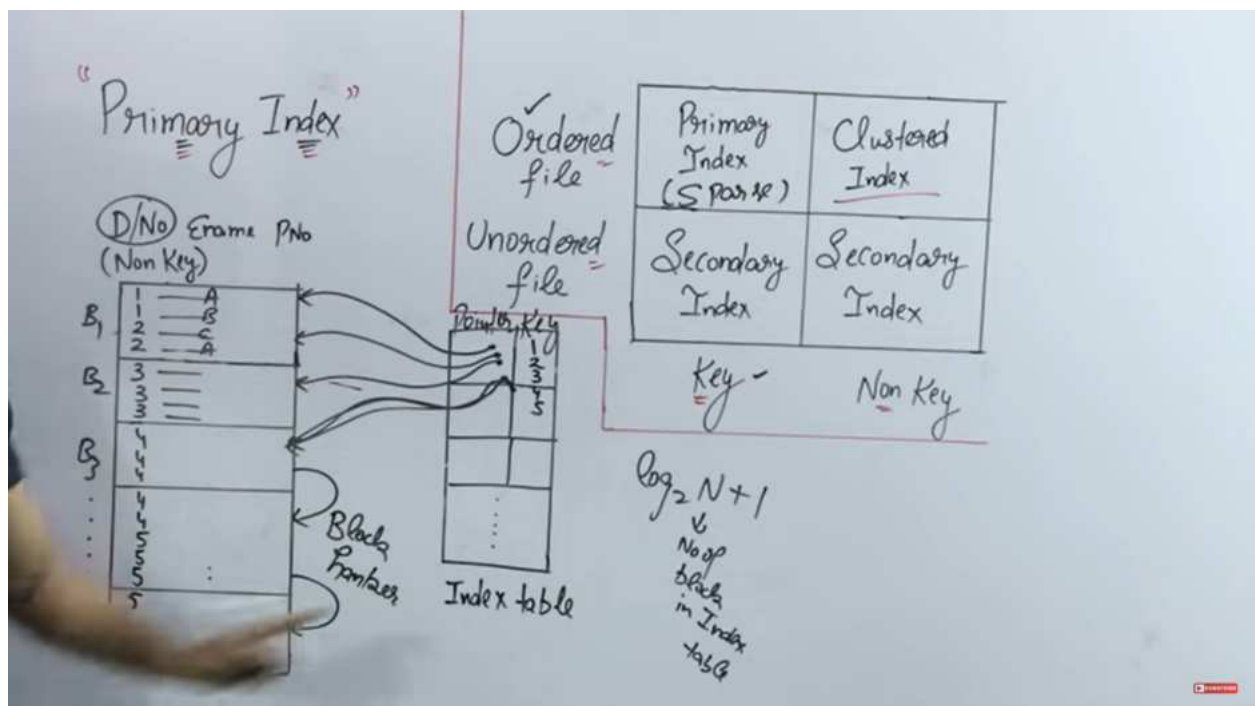




# Primary Index

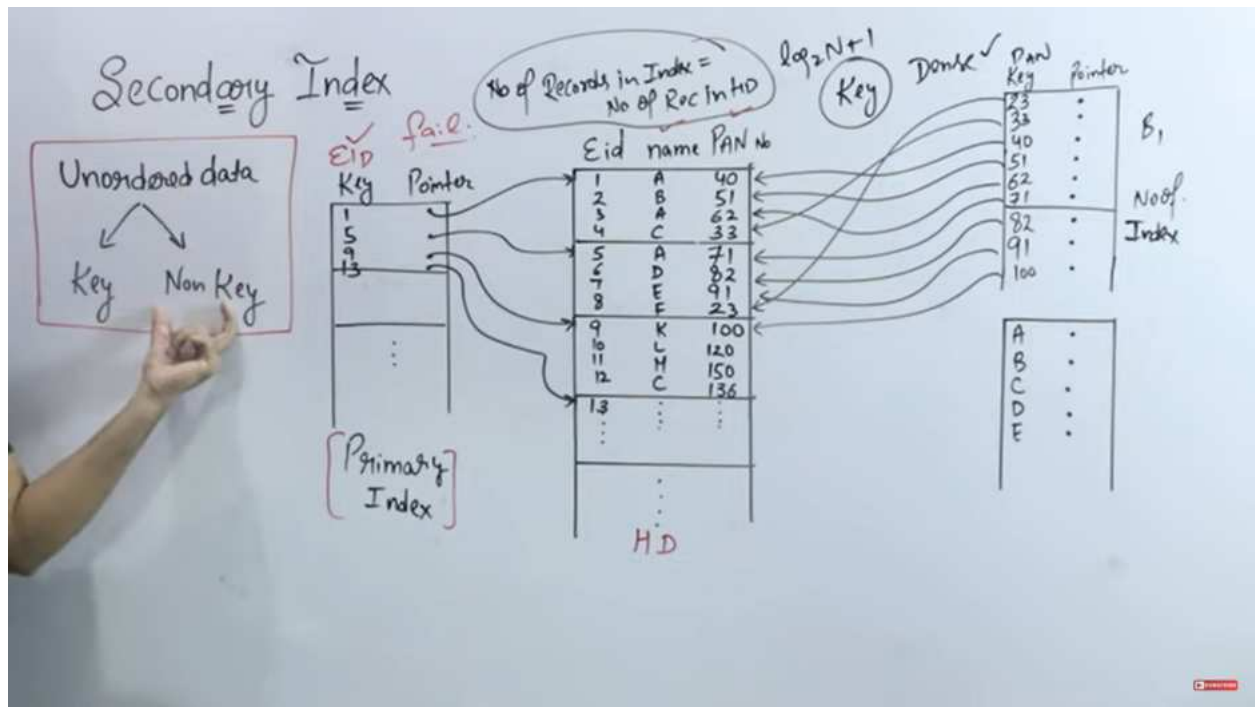


# Cluster index

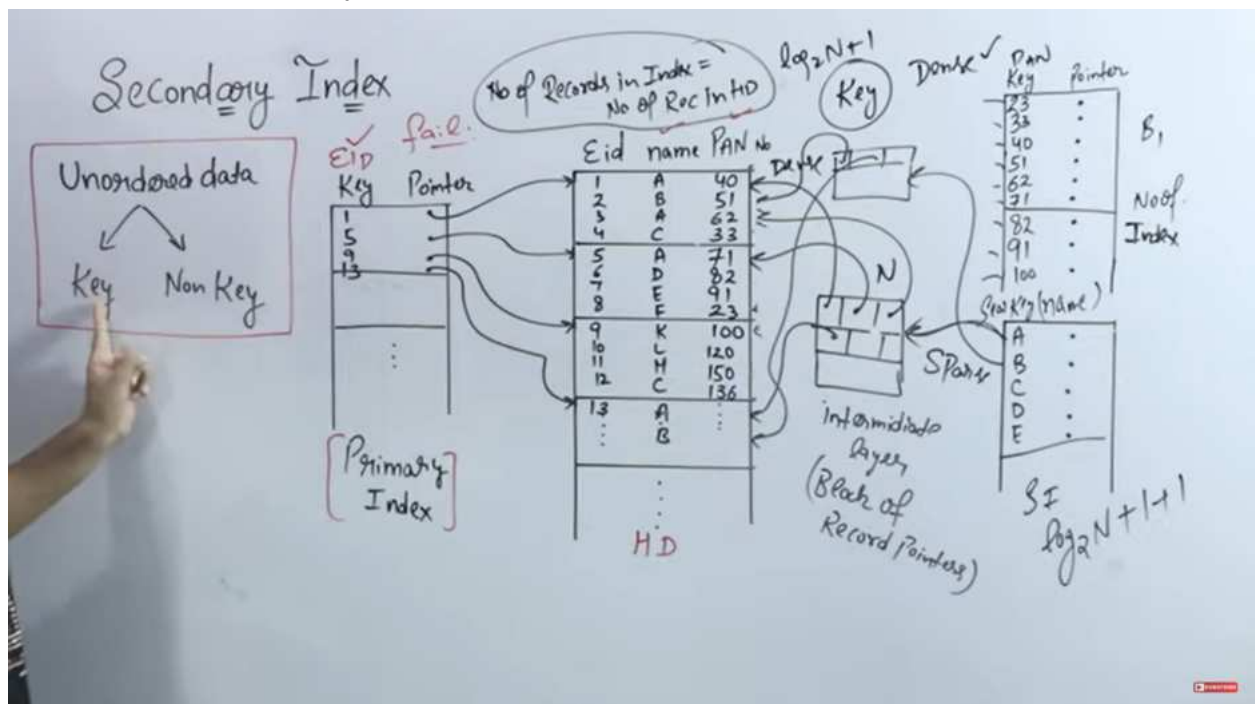


Clustered index v sparse hota hai

# Secondary index



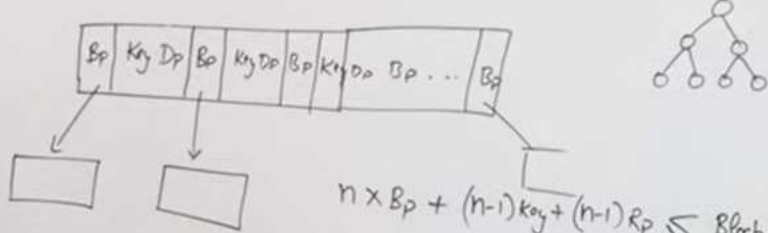
Here PAN No is key attribute , that means its non order and unique



Here indexing done on the basis of Non key attribute that is name ( this is repeating and not unique ) or ye dense indexing hota hai secondary indexing

# B-Tree

Consider a B-Tree with Key Size = 10 bytes, block size 512 bytes, data pointers 18 of size 8 bytes and block pointer is 5 bytes. Find the order of B-Tree?



The diagram shows a B-Tree node structure with slots for Block Pointers (Bp), Keys (Ky), Data Pointers (Dp), and more Block Pointers (Bp). Arrows indicate pointers to leaf nodes. A small tree diagram shows a root node with three children.

Handwritten calculations for finding the order  $n$ :

$$n \times B_p + (n-1) \times K_{\text{key}} + (n-1) \times R_p \leq \text{Block size}$$

$$n \times 5 + (n-1) \times (10 + 8) \leq 512$$

$$5n + 18n - 18 \leq 512$$

$$23n - 18 \leq 512$$

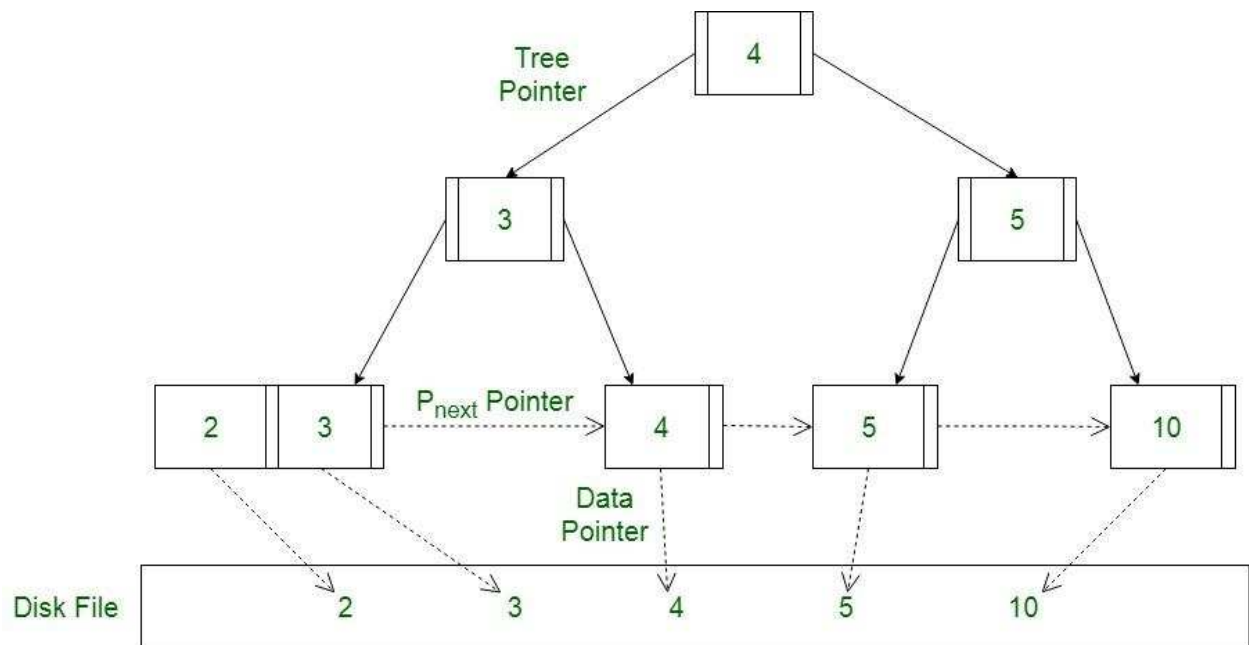
$$23n \leq 530$$

$$n \leq \frac{530}{23} = 23.04$$

The order of the B-Tree is 23.

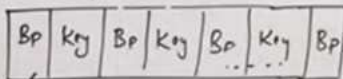
| B-Tree   | B+ Tree   |
|--|---|
| Data is stored in leaf nodes as well as internal nodes.                        | Data is stored only in leaf nodes.  |
| Searching is a bit slower as data is stored in internal as well as leaf nodes. | Searching is faster as the data is stored only in the leaf nodes.               |
| No redundant search keys are present.  | Redundant search keys may be present.   |
| Deletion operation is complex.   | Deletion operation is easy as data can be directly deleted from the leaf nodes. |
| Leaf nodes cannot be linked together.  | Leaf nodes are linked together to form a linked list.                           |





Consider a B+ Tree with Key Size = 10 bytes, block size = 512 bytes, data pointer = 8 bytes and block pointer = 5 bytes. What is the order of leaf and non leaf node?

Non leaf.



$$n \times B_p + (n-1) \times \text{Key} \leq \text{Block size}$$

$$n \times 5 + (n-1) \times 10 \leq 512$$

$$5n + 10n - 10 \leq 512$$

$$15n \leq 522$$

$$n \leq \frac{522}{15} = 34.8$$

(34)



Leaf:

