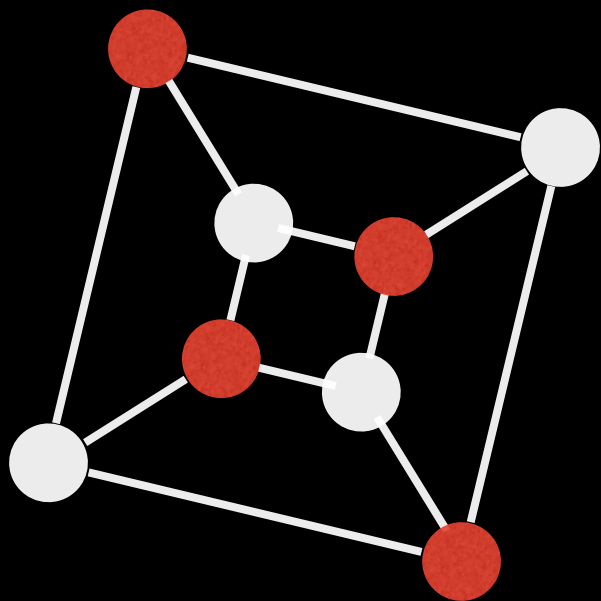


# Graph Theory

## Intro & Overview



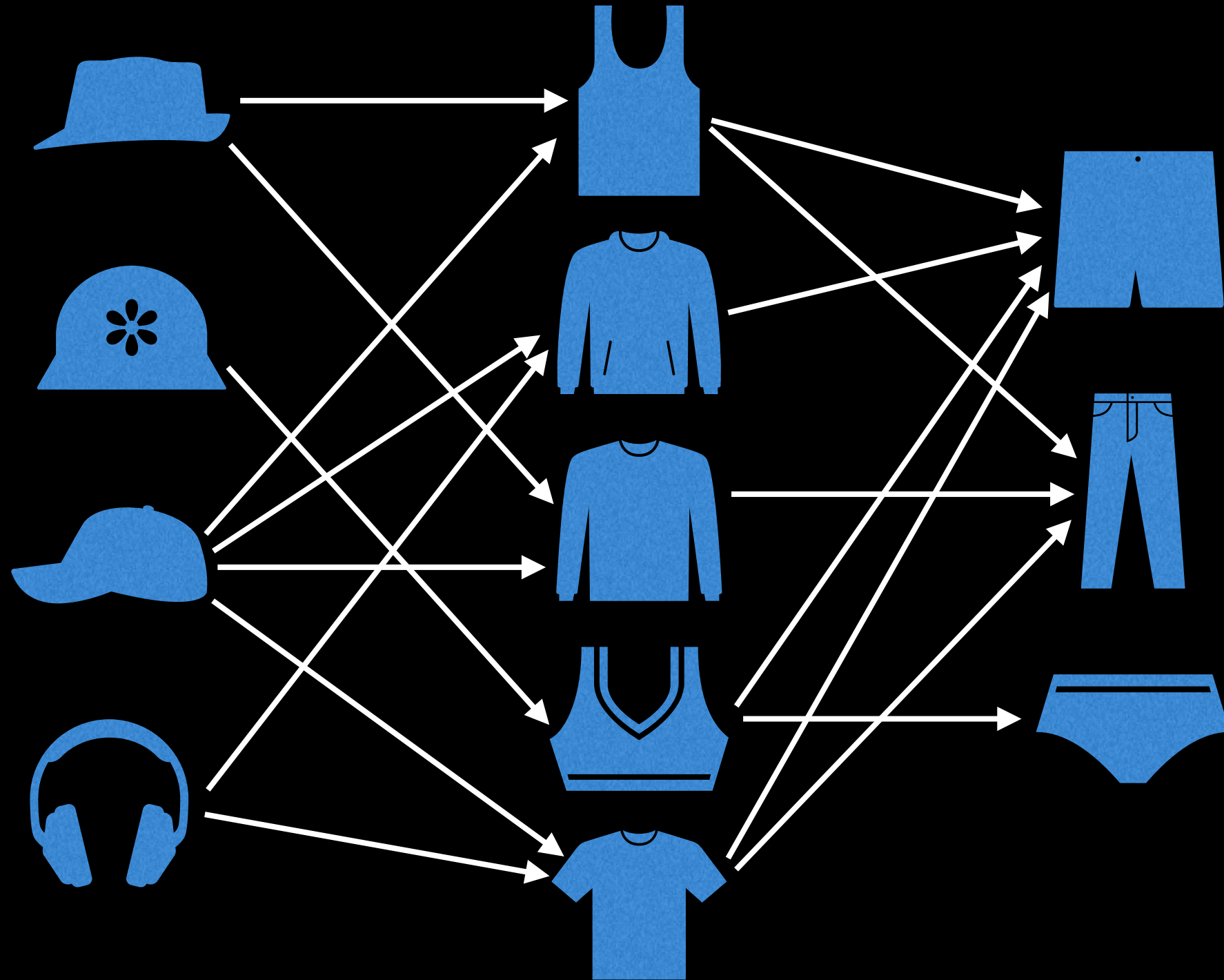
William Fiset

# Brief introduction

**Graph theory** is the mathematical theory of the properties and applications of graphs (networks).

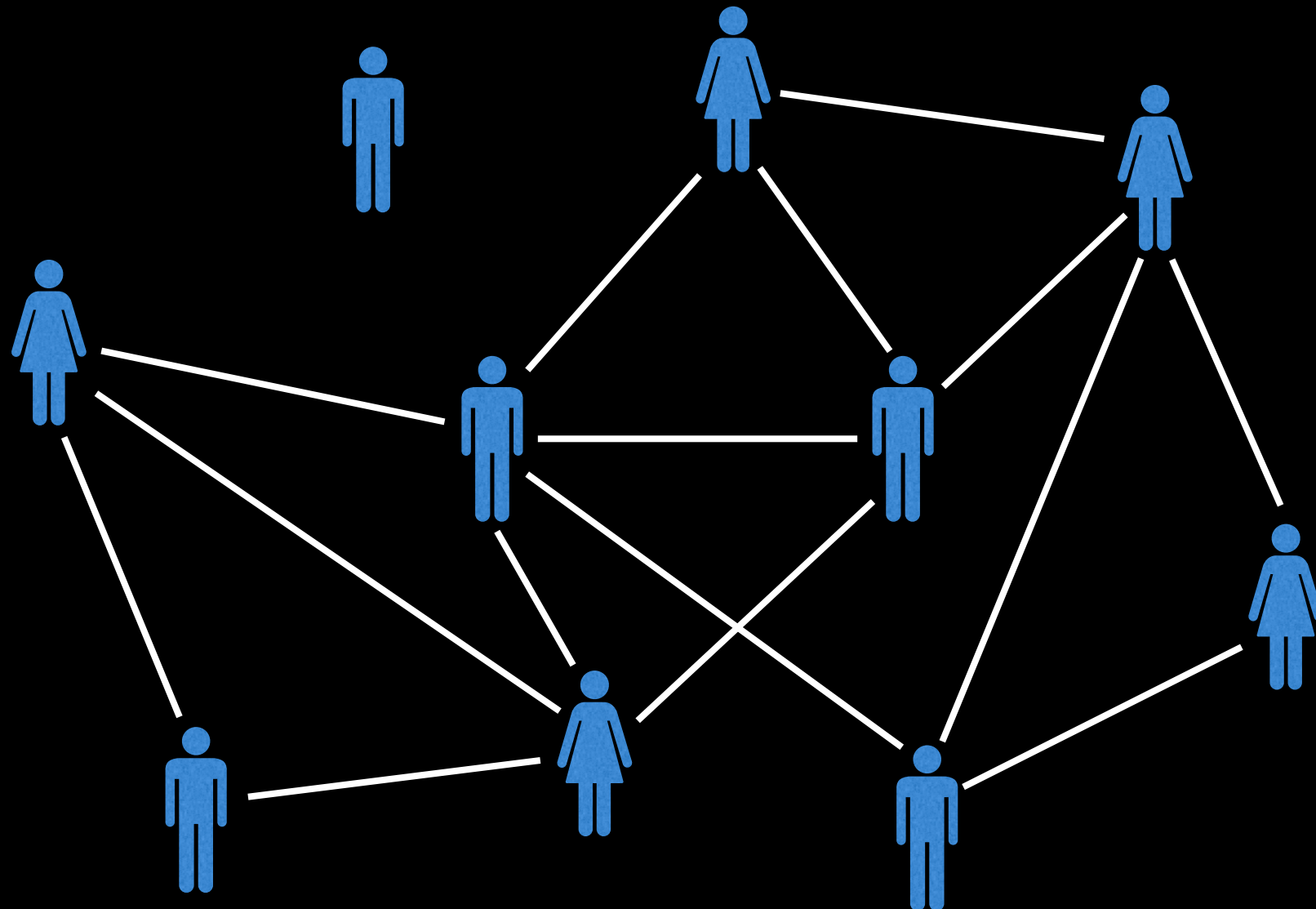
The goal of this series is to gain an understanding of how to apply graph theory to real world applications.

# Brief introduction



A graph theory problem might be:  
Given the constraints above, how many different  
sets of clothing can I make by choosing an article  
from each category?

# Brief introduction



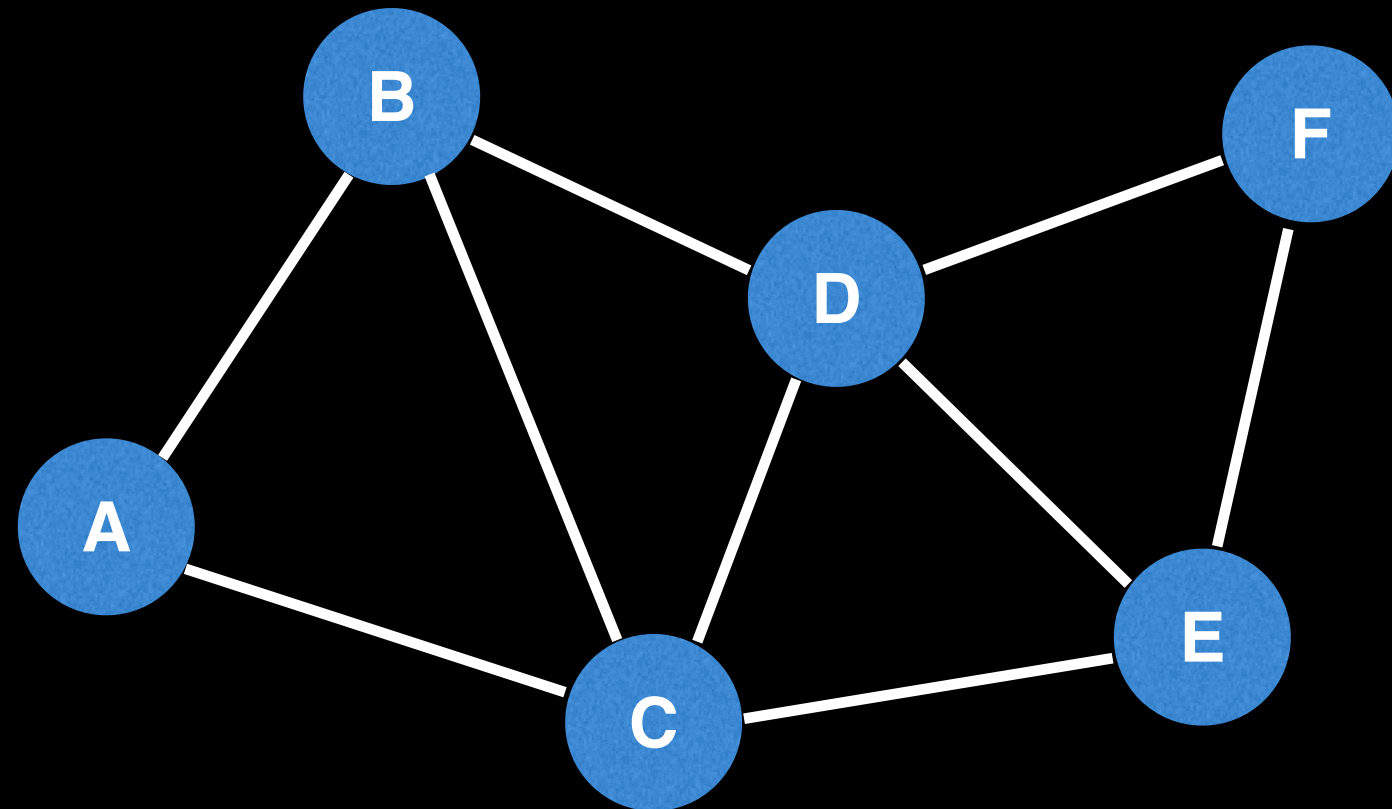
# Types of Graphs

# Undirected Graph

An **undirected graph** is a graph in which edges have no orientation. The edge  $(u, v)$  is identical to the edge  $(v, u)$ . – Wiki

# Undirected Graph

An **undirected graph** is a graph in which edges have no orientation. The edge  $(u, v)$  is identical to the edge  $(v, u)$ . – Wiki



In the graph above, the nodes could represent cities and an edge could represent a bidirectional road.

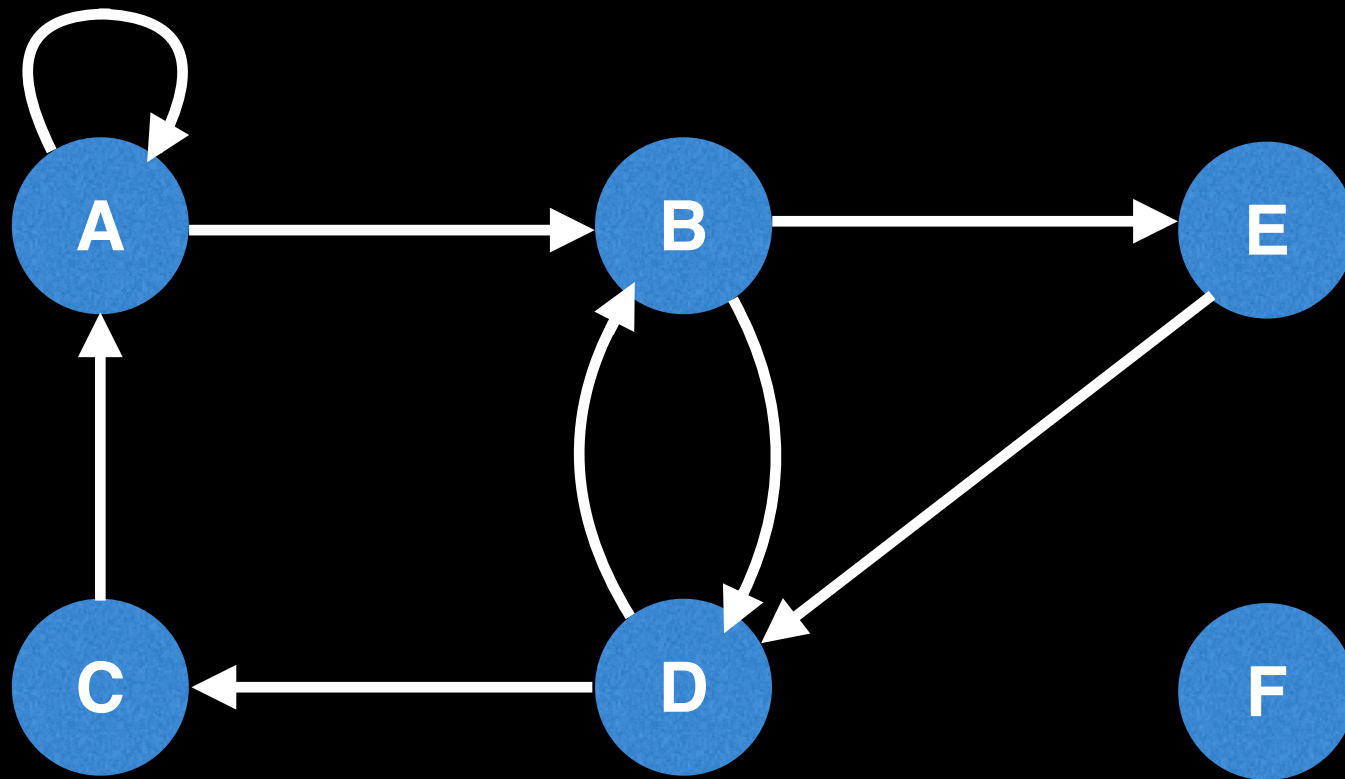
# Directed Graph (Digraph)

A *directed graph* or *digraph* is a graph in which edges have orientations. For example, the edge  $(u, v)$  is the edge *from* node  $u$  *to* node  $v$ .



# Directed Graph (Digraph)

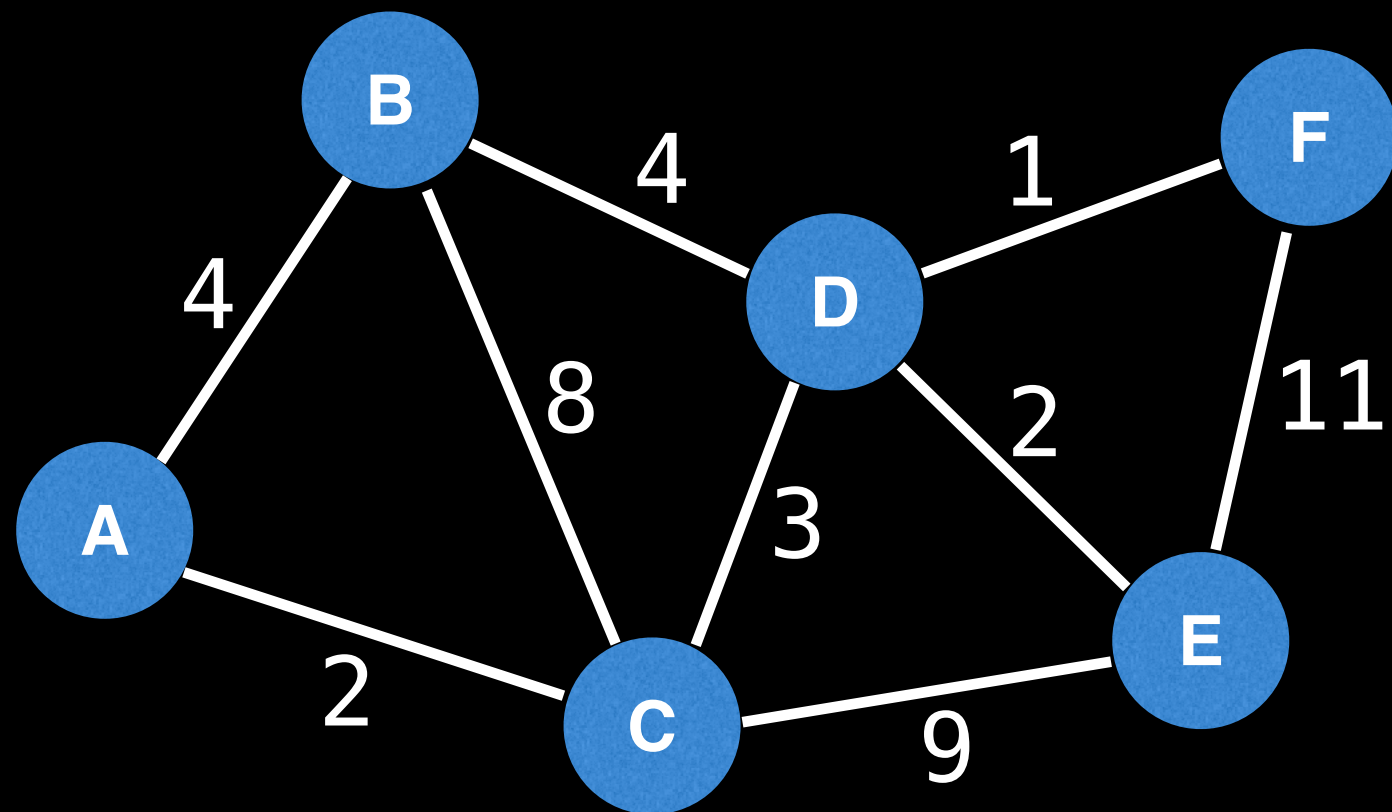
A **directed graph** or **digraph** is a graph in which edges have orientations. For example, the edge  $(u, v)$  is the edge *from* node  $u$  *to* node  $v$ .



In the graph above, the nodes could represent people and an edge  $(u, v)$  could represent that person  $u$  bought person  $v$  a gift.

# Weighted Graphs

Many graphs can have edges that contain a certain weight to represent an arbitrary value such as cost, distance, quantity, etc...

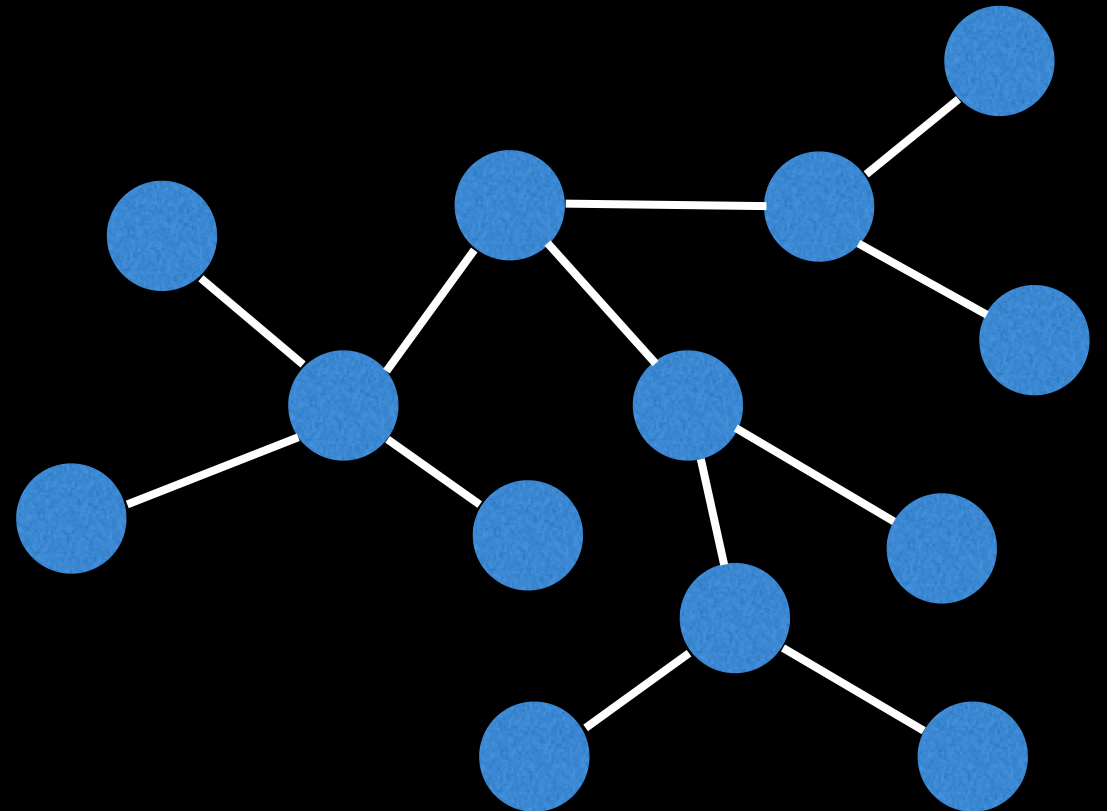
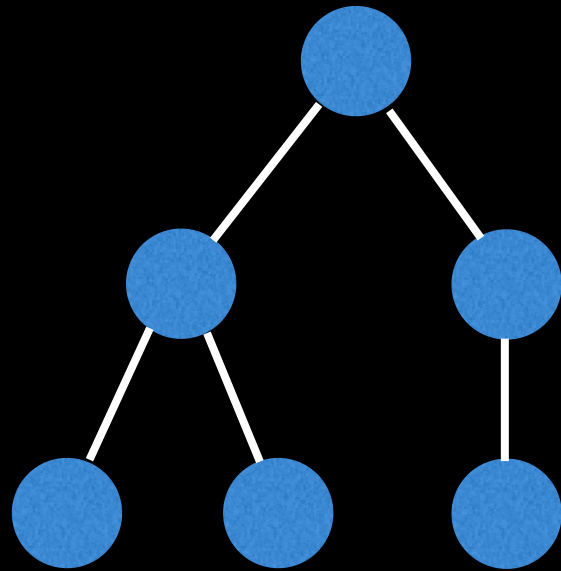
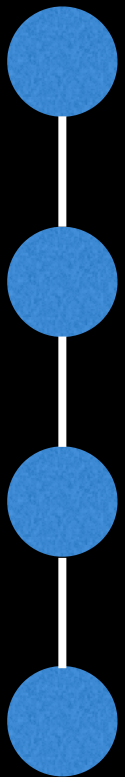


**NOTE:** I will usually denote an edge of such a graph as a triplet  $(u, v, w)$  and specify whether the graph is directed or undirected.

# Special Graphs

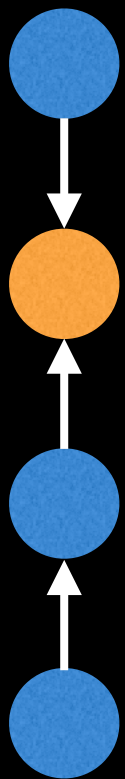
# Trees!

A **tree** is an **undirected connected graph with no cycles**. Equivalently, it is a connected graph with  $N$  nodes and  $N-1$  edges.

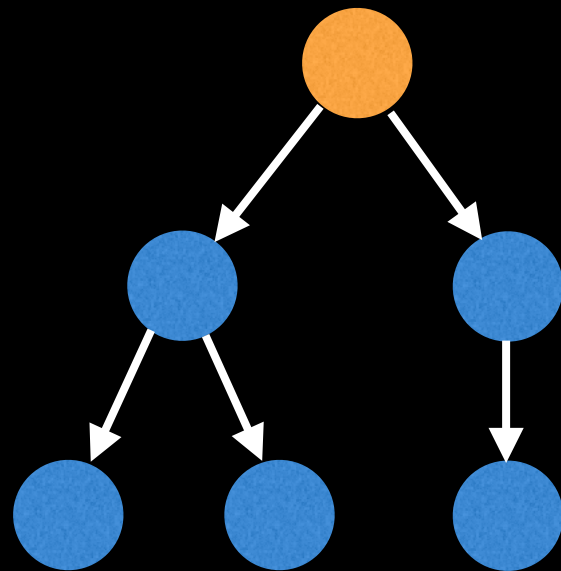


# Rooted Trees!

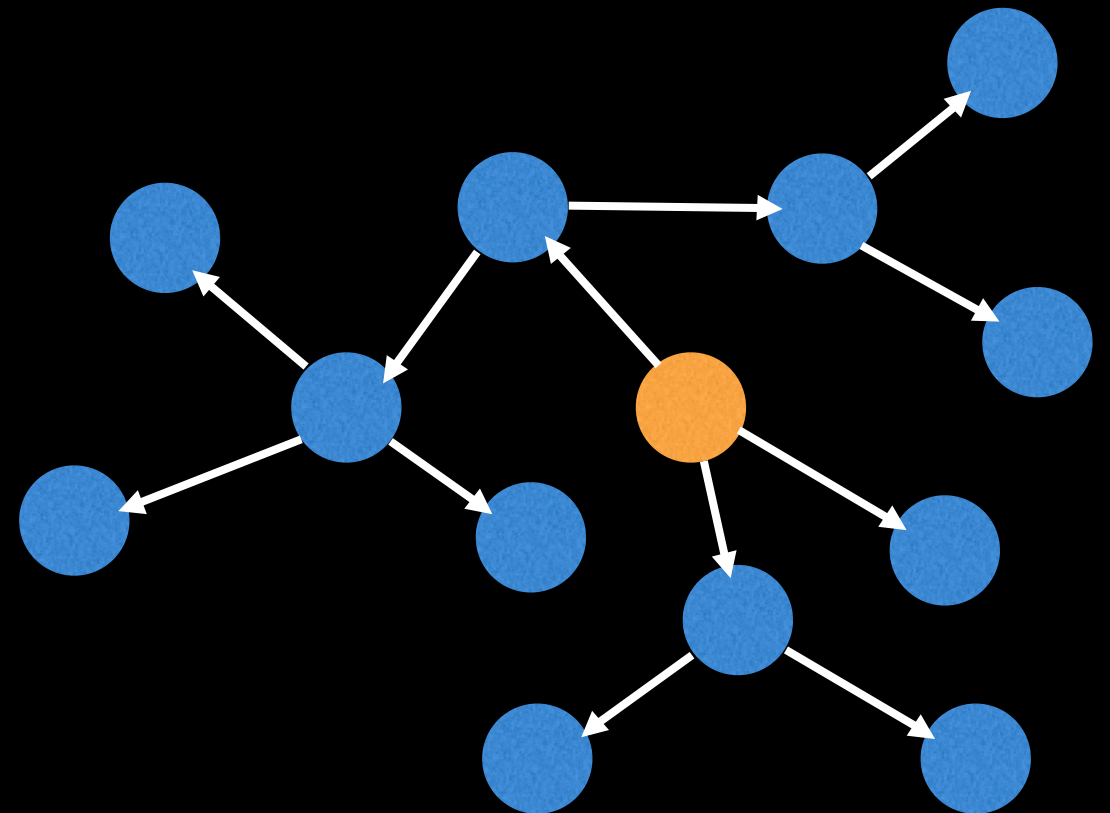
A **rooted tree** is a tree with a **designated root node** where every edge either points away from or towards the root node. When edges point away from the root the graph is called an **arborescence (out-tree)** and anti-arborescence (**in-tree**) otherwise.



In-tree



Out-tree



Out-tree

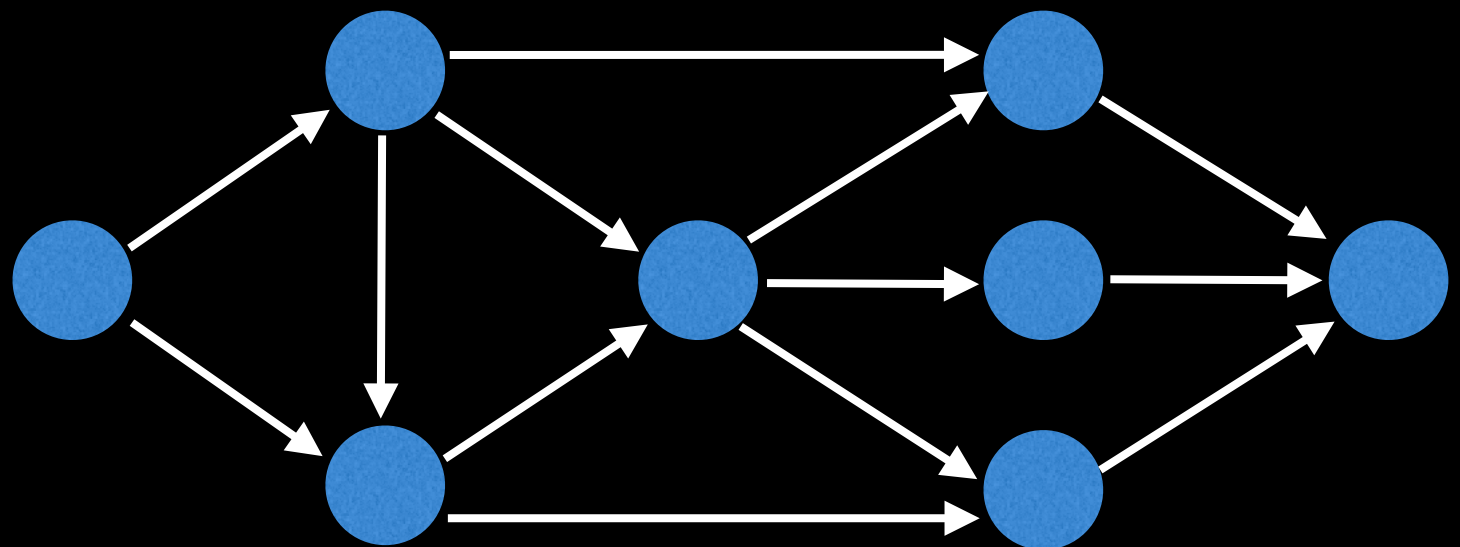
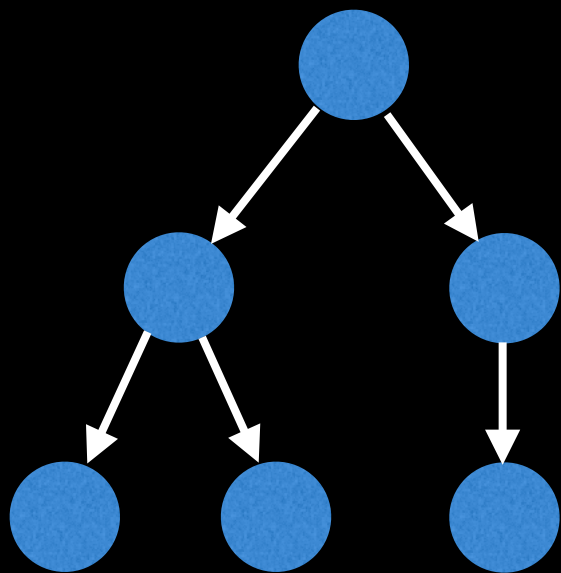
# Directed Acyclic Graphs (DAGs)

DAGs are **directed graphs with no cycles**.

These graphs play an important role in representing structures with dependencies.

Several efficient algorithms exist to operate on DAGs.

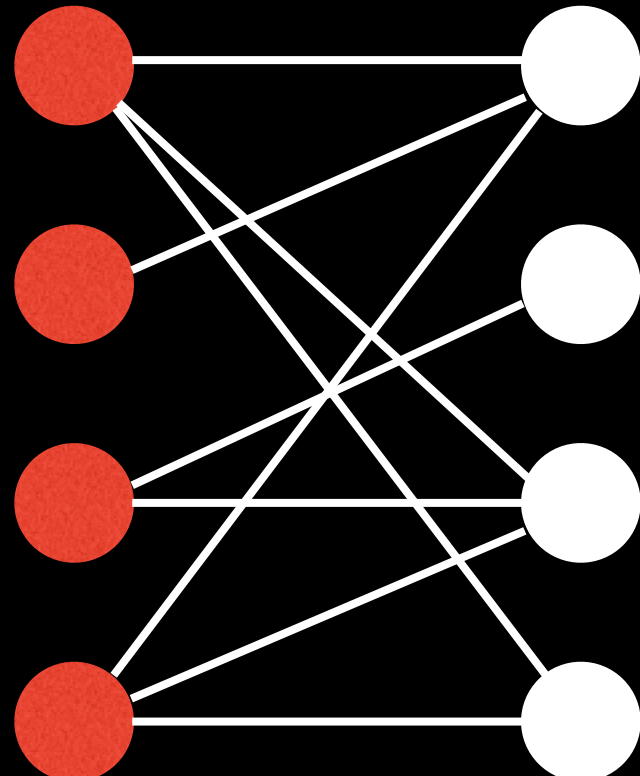
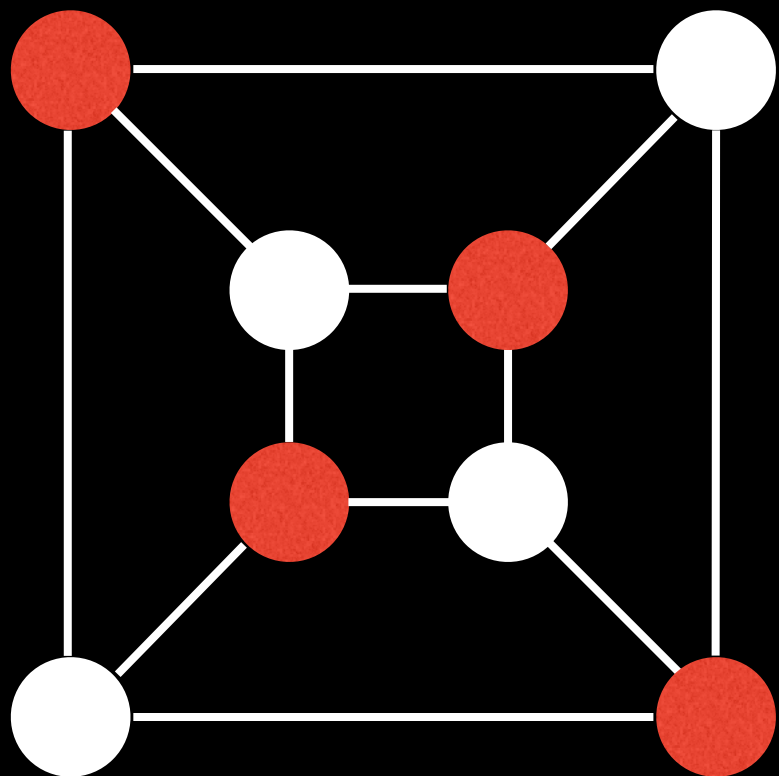
Cool fact: **All out-trees are DAGs** but **not all DAGs are out-trees**.



# Bipartite Graph

A **bipartite graph** is one whose *vertices* can be split into two independent groups  $U$ ,  $V$  such that every edge connects between  $U$  and  $V$ .

Other definitions exist such as: The graph is two colourable or there is no odd length cycle.



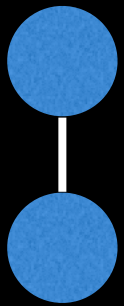
# Complete Graphs

A **complete graph** is one where there is a unique edge between every pair of nodes.

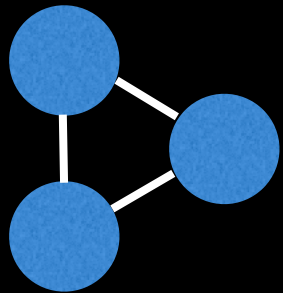
A complete graph with  $n$  vertices is denoted as the graph  $K_n$ .



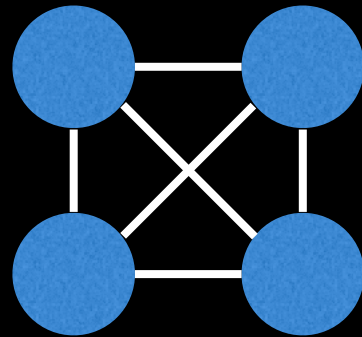
$K_1$



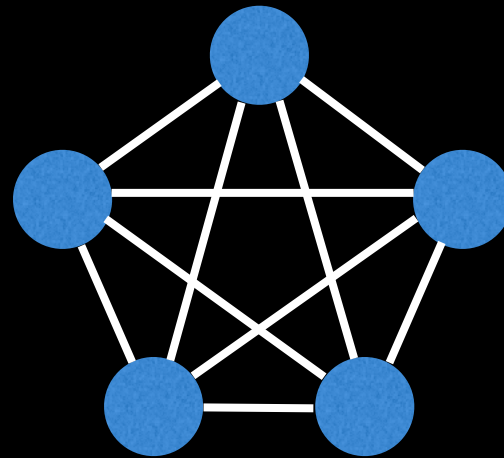
$K_2$



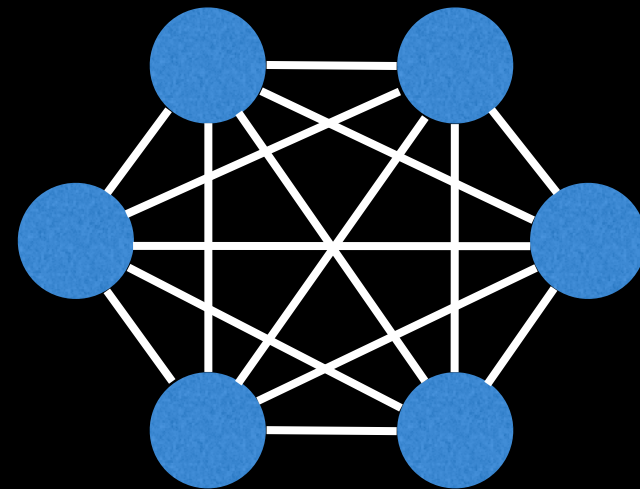
$K_3$



$K_4$



$K_5$



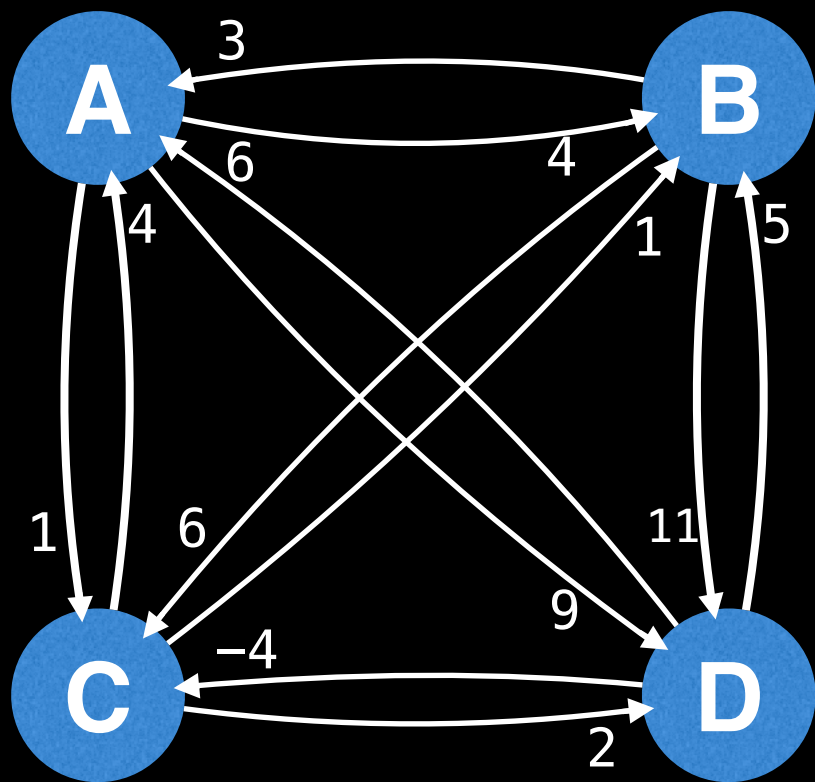
$K_6$



# Representing Graphs

# Adjacency Matrix

A **adjacency matrix**  $m$  is a very simple way to represent a graph. The idea is that the cell  $m[i][j]$  represents the edge weight of going from node  $i$  to node  $j$ .



	A	B	C	D
A	0	4	1	9
B	3	0	6	11
C	4	1	0	2
D	6	5	-4	0

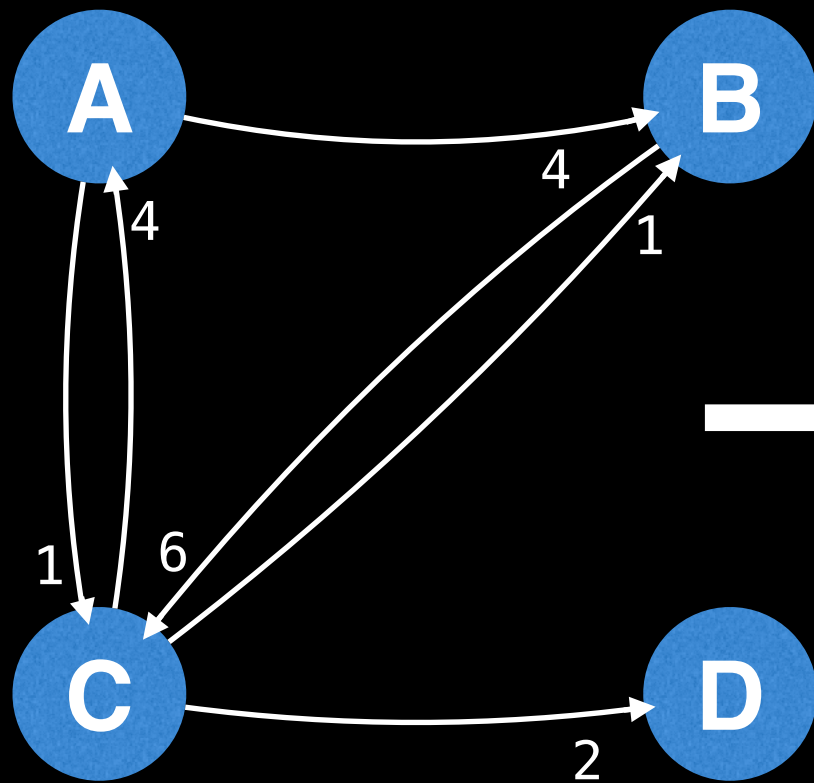
**NOTE:** It is often assumed that the edge of going from a node to itself has a cost of zero.

# Adjacency Matrix

Pros	Cons
Space efficient for representing dense graphs	Requires $\theta(V^2)$ space
Edge weight lookup is $\theta(1)$	Iterating over all edges takes $\theta(V^2)$ time
Simplest graph representation	

# Adjacency List

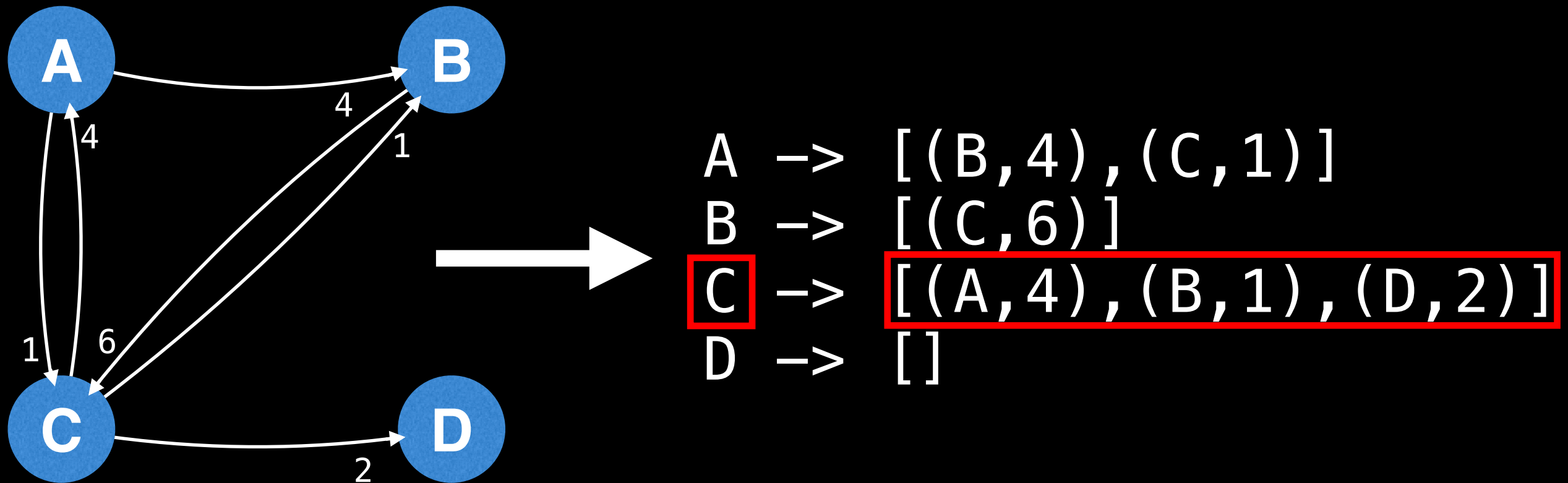
An **adjacency list** is a way to represent a graph as a map from nodes to lists of edges.



A → [(B, 4), (C, 1)]  
B → [(C, 6)]  
C → [(A, 4), (B, 1), (D, 2)]  
D → []

# Adjacency List

An **adjacency list** is a way to represent a graph as a map from nodes to lists of edges.



Node C can reach

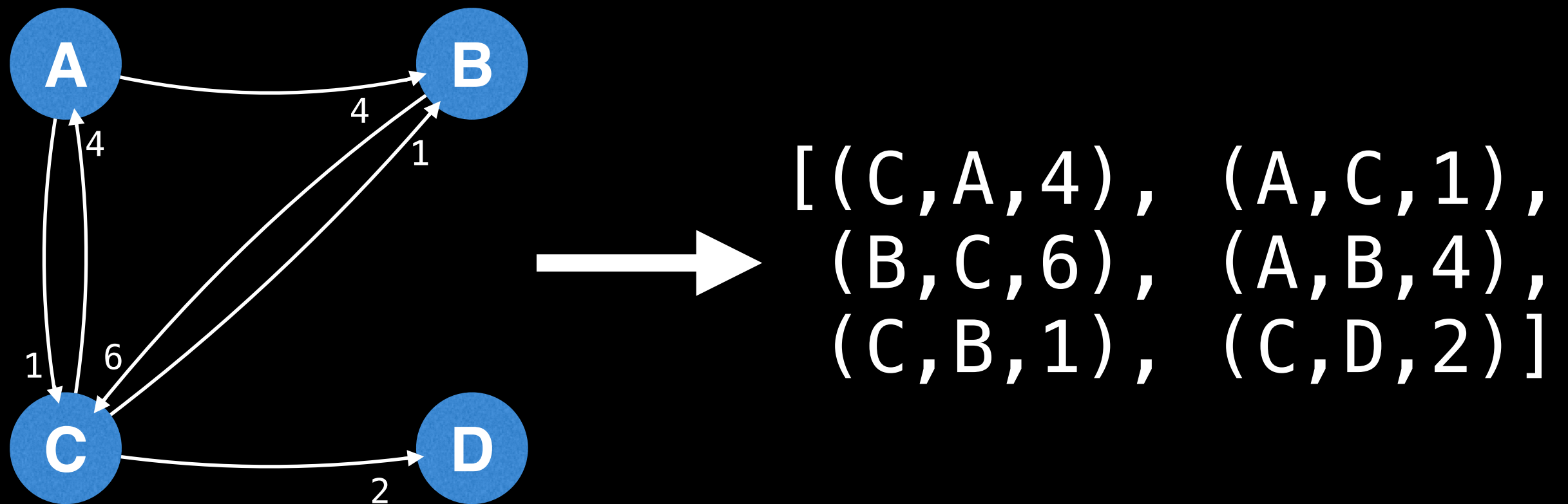
- Node A with cost 4
- Node B with cost 1
- Node D with cost 2

# Adjacency List

Pros	Cons
Space efficient for representing sparse graphs	Less space efficient for denser graphs.
Iterating over all edges is efficient	Edge weight lookup is $O(E)$
	Slightly more complex graph representation

# Edge List

An **edge list** is a way to represent a graph simply as an unordered list of edges. Assume the notation for any triplet  $(u,v,w)$  means: “the cost from node  $u$  to node  $v$  is  $w$ ”



This representation is seldomly used because of its lack of structure. However, it is conceptually simple and practical in a handful of algorithms.

# Edge List

Pros	Cons
Space efficient for representing sparse graphs	Less space efficient for denser graphs.
Iterating over all edges is efficient	Edge weight lookup is $O(E)$
Very simple structure	



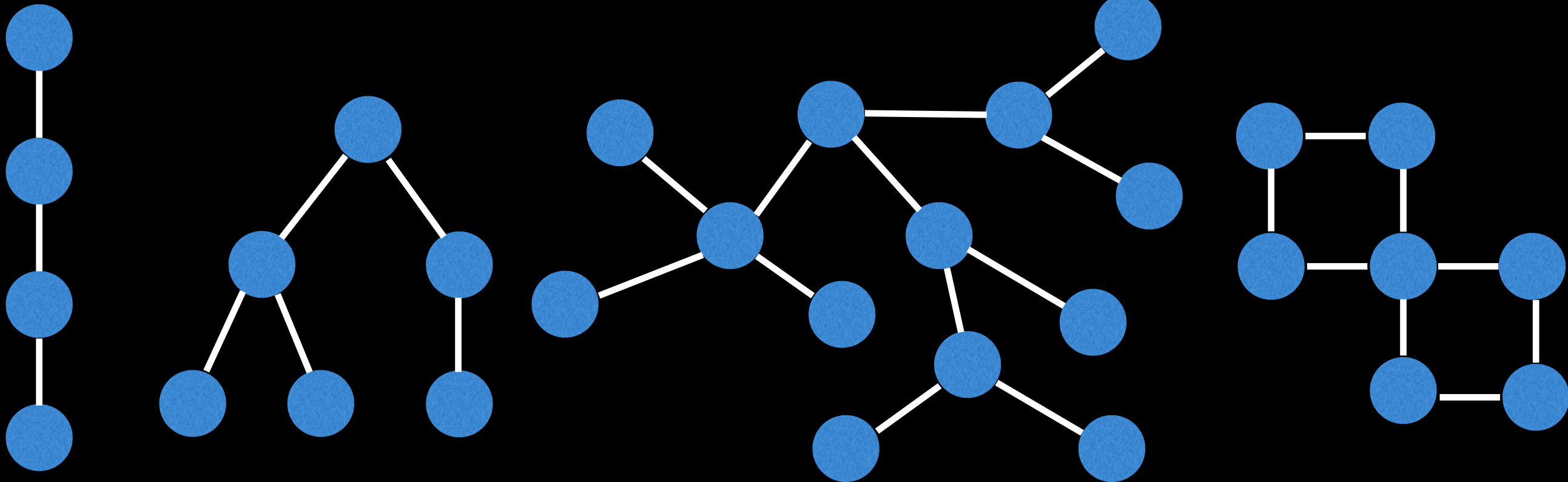
# Storage and representation of trees

Definitions and storage representation

 William Fiset 

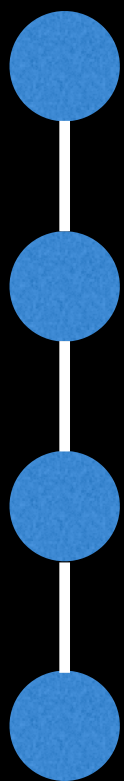
# Trees!

What *is* a tree?

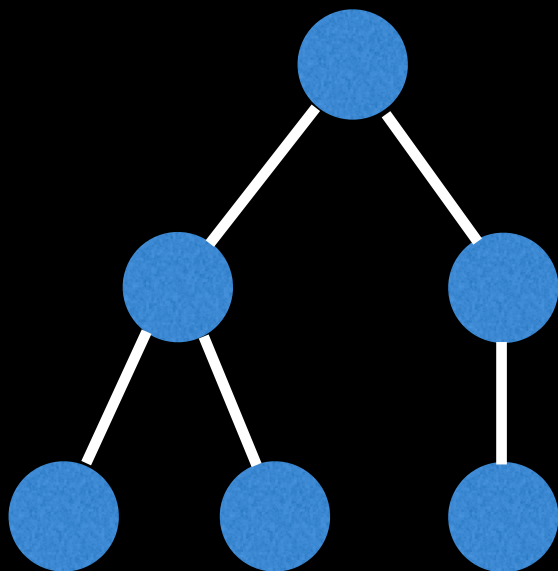


# Trees!

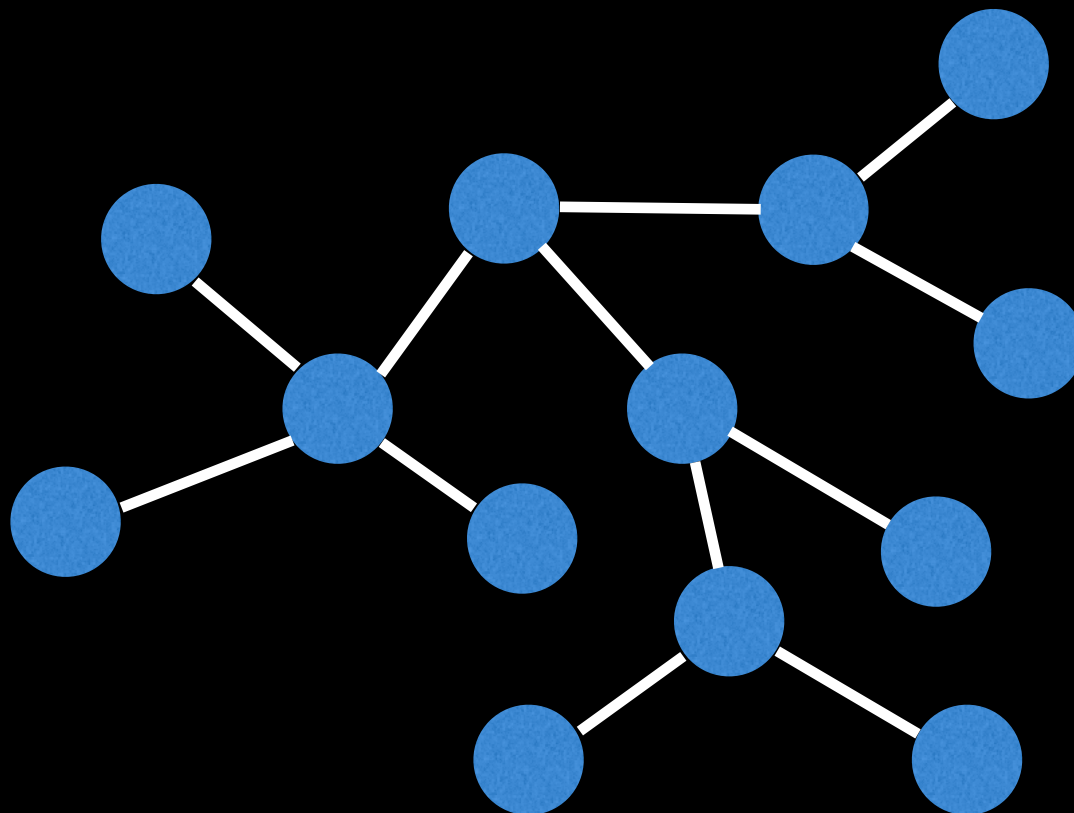
What *is* a tree?



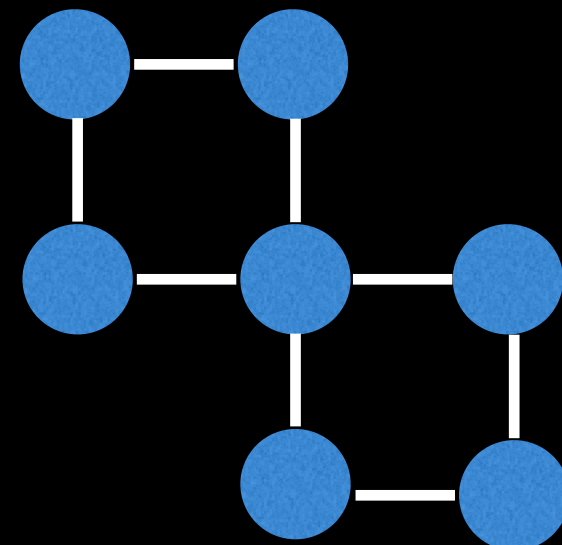
tree



tree



tree

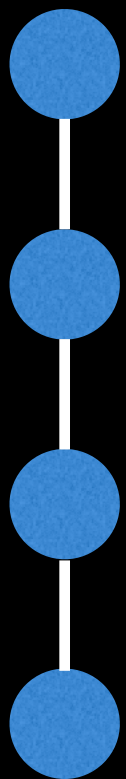


not a tree

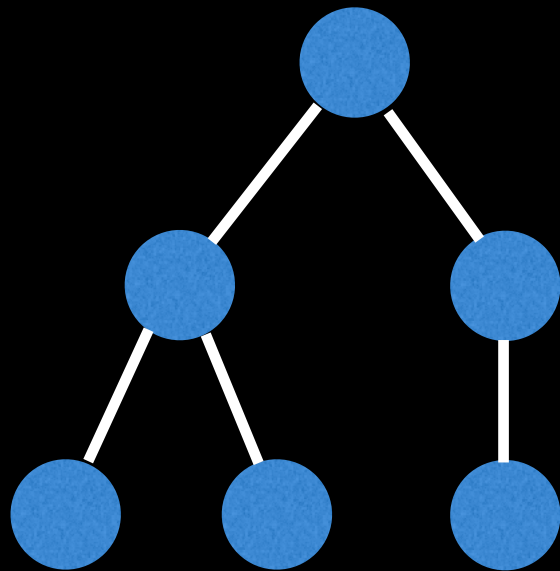


# Trees!

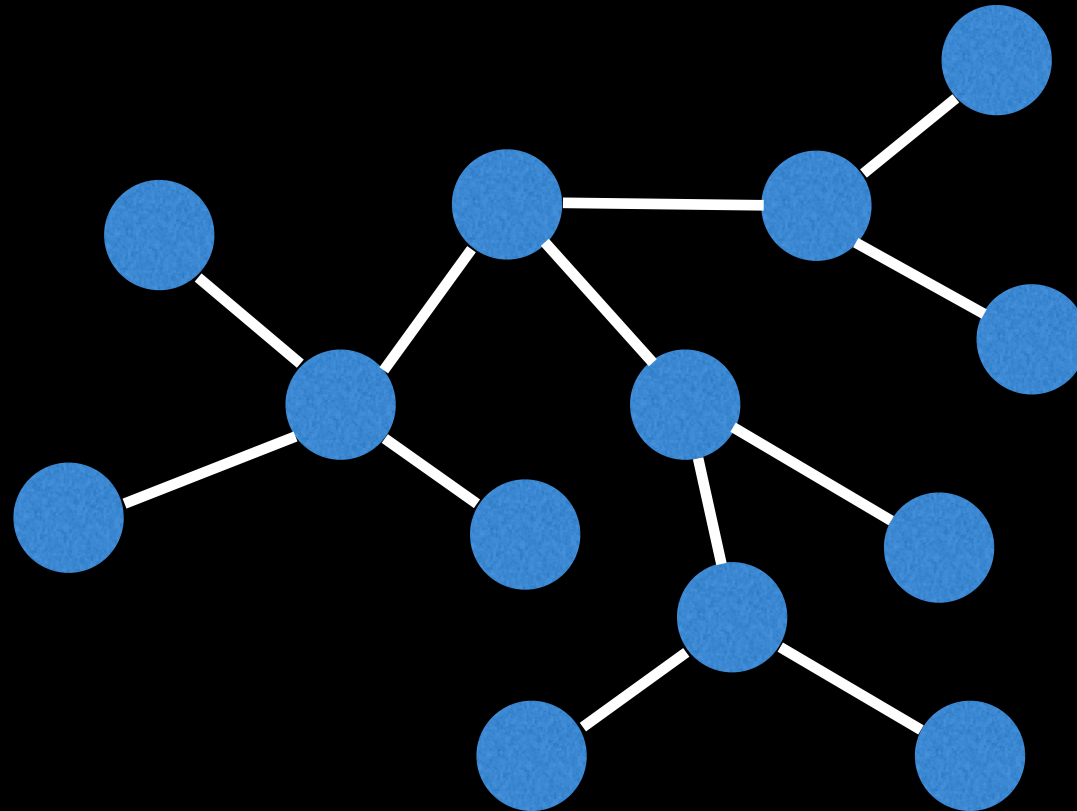
A **tree** is a connected, undirected graph with **no cycles**.



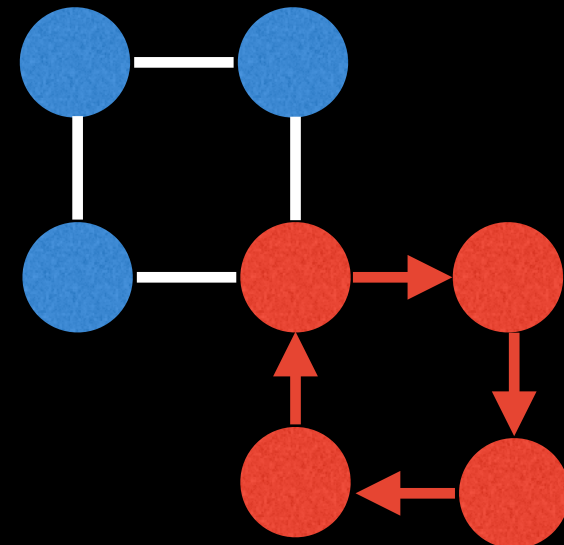
tree



tree



tree

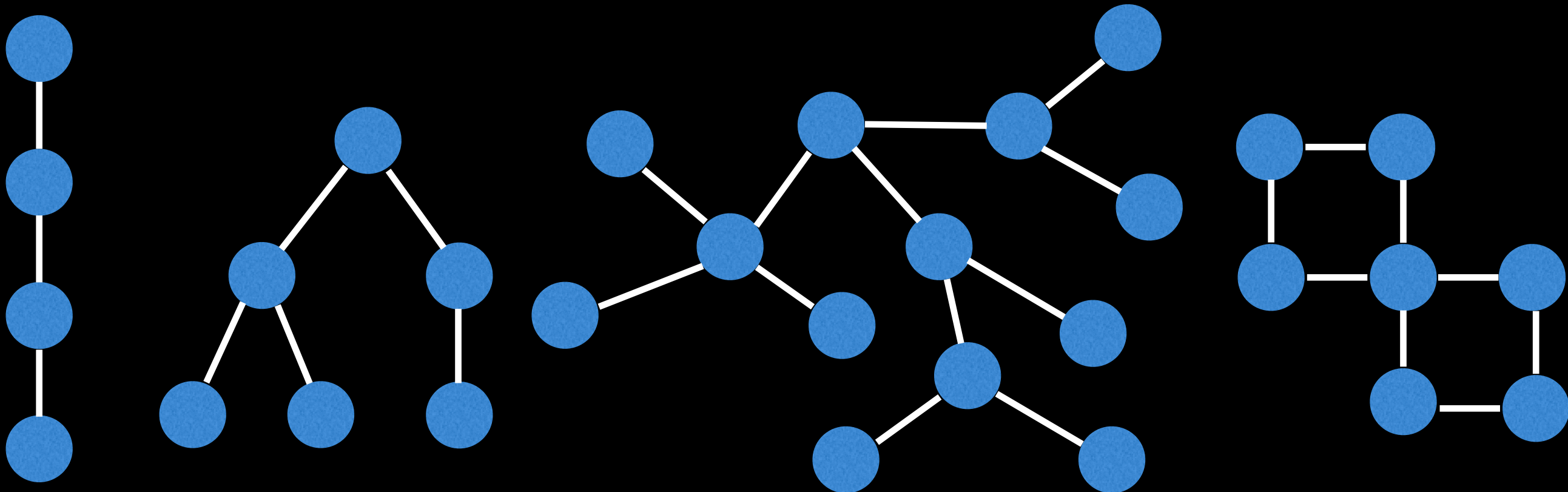


not a tree



# Trees!

Equivalently, a **tree** is a connected undirected graph with  $N$  nodes and  $N-1$  edges.



4 nodes  
3 edges

6 nodes  
5 edges

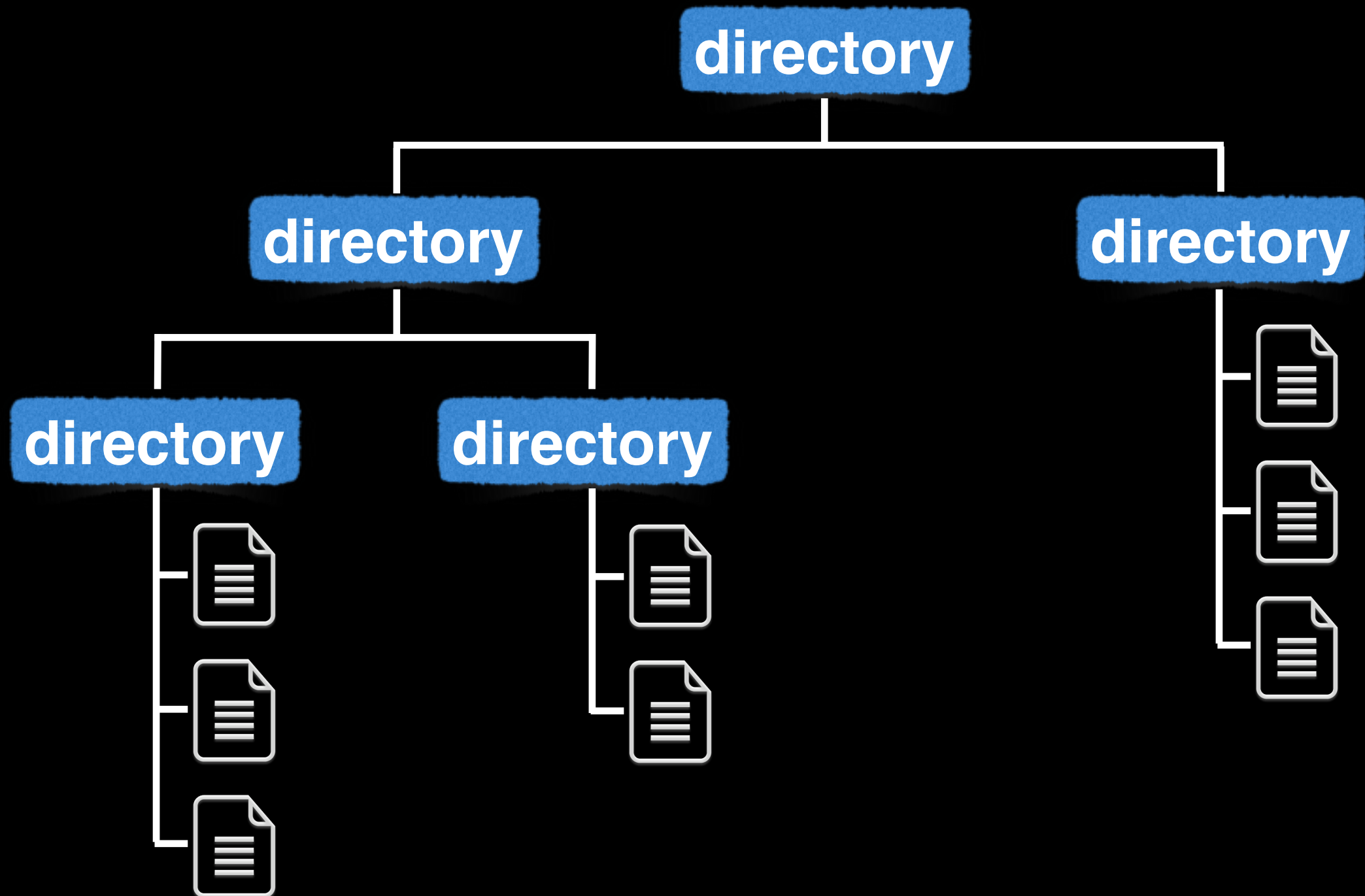
13 nodes  
12 edges

7 nodes  
8 edges

# Trees out in the wild

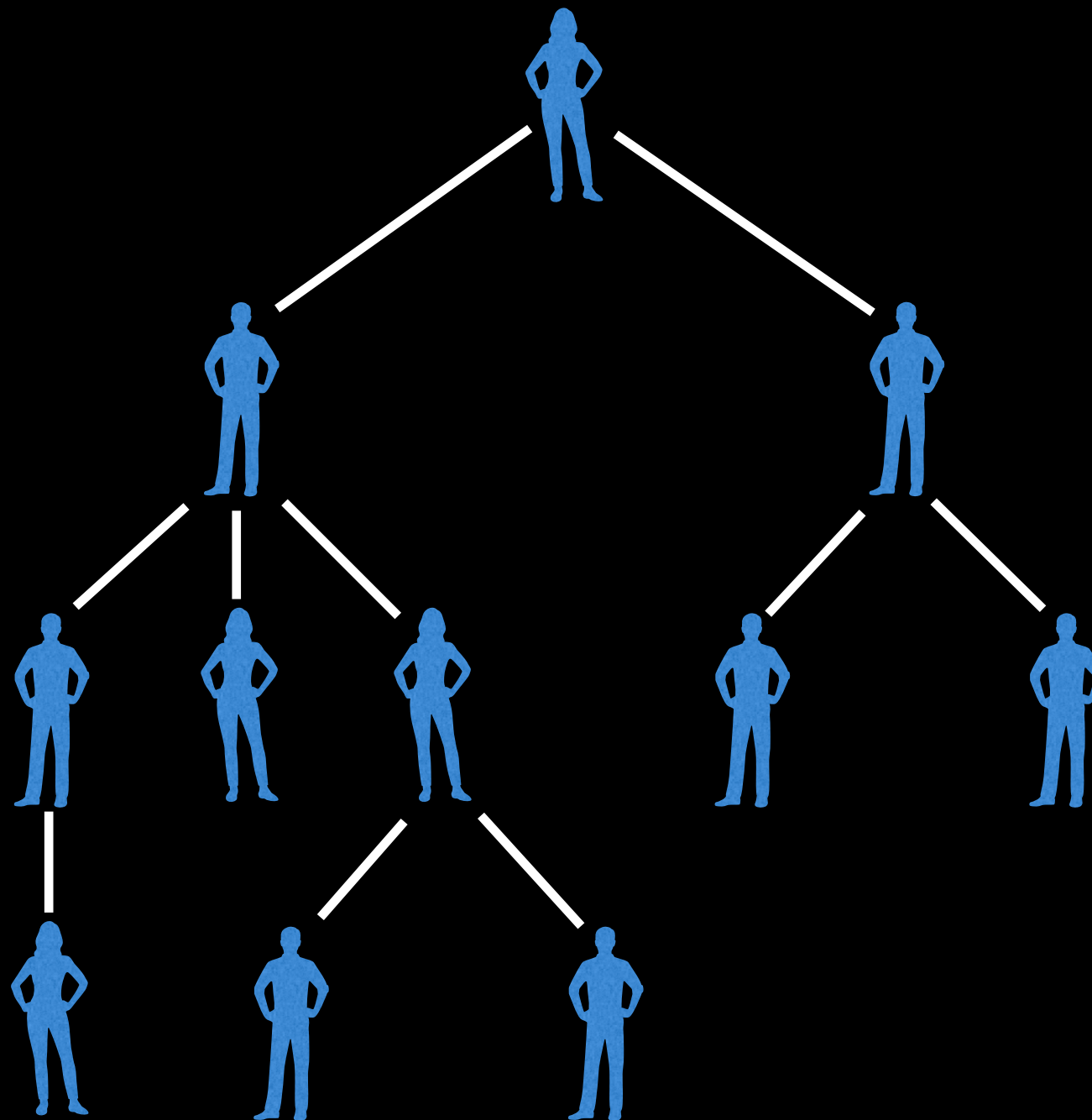
# Trees out in the wild

Filesystem structures are inherently trees



# Trees out in the wild

Social hierarchies

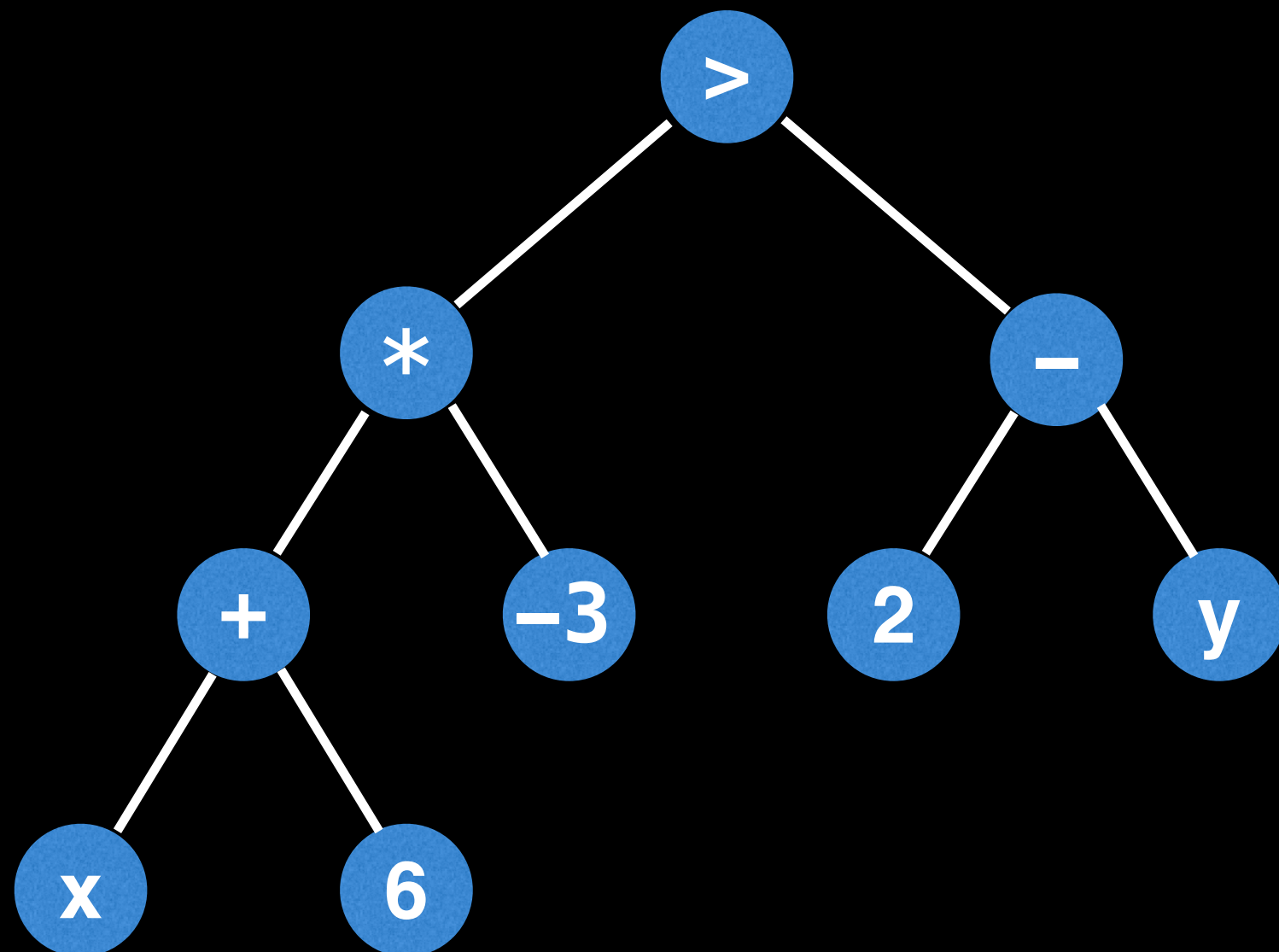




# Trees out in the wild

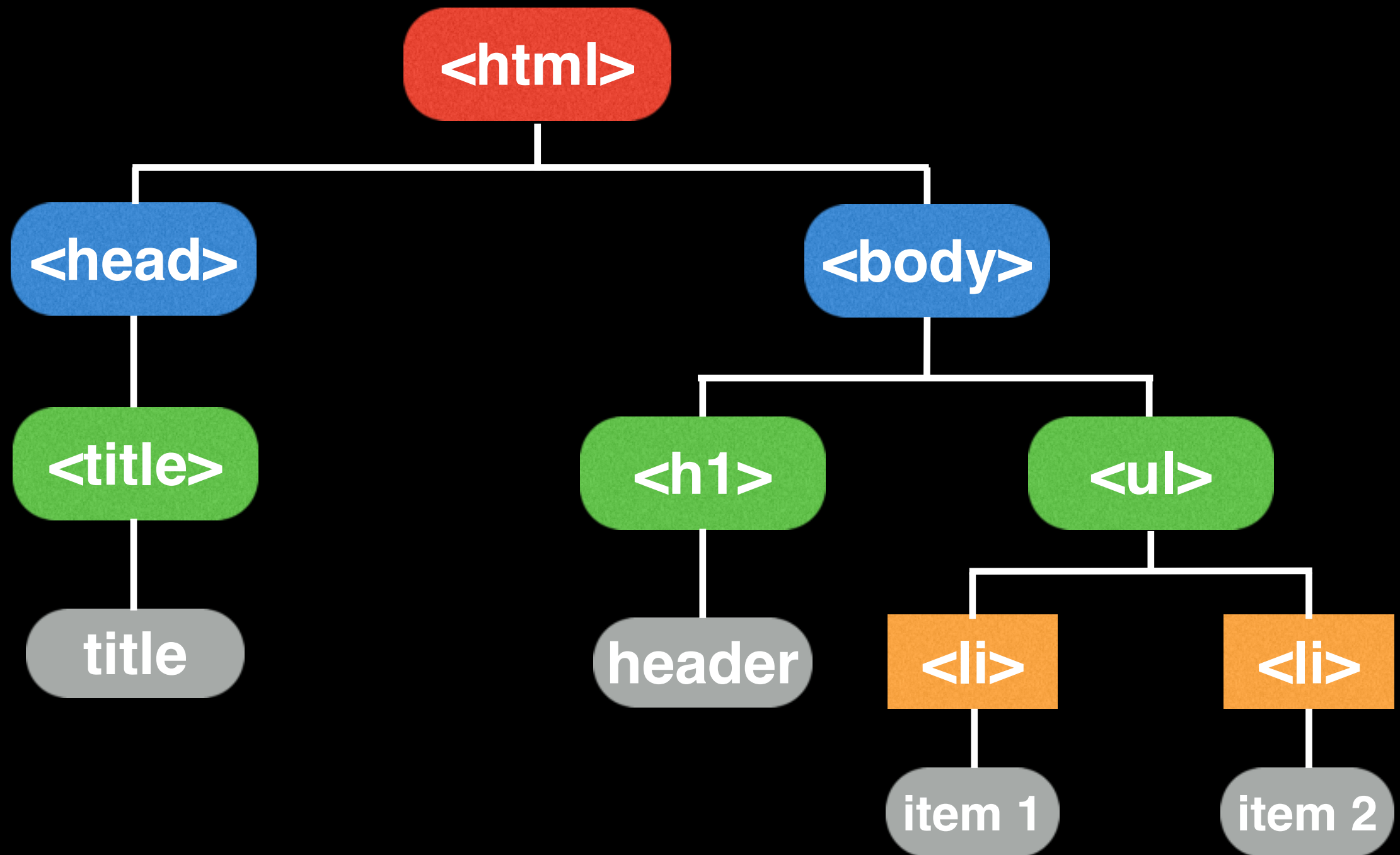
Abstract syntax trees to decompose source code and mathematical expressions for easy evaluation.

$((x + 6) * -3) > (2 - y)$



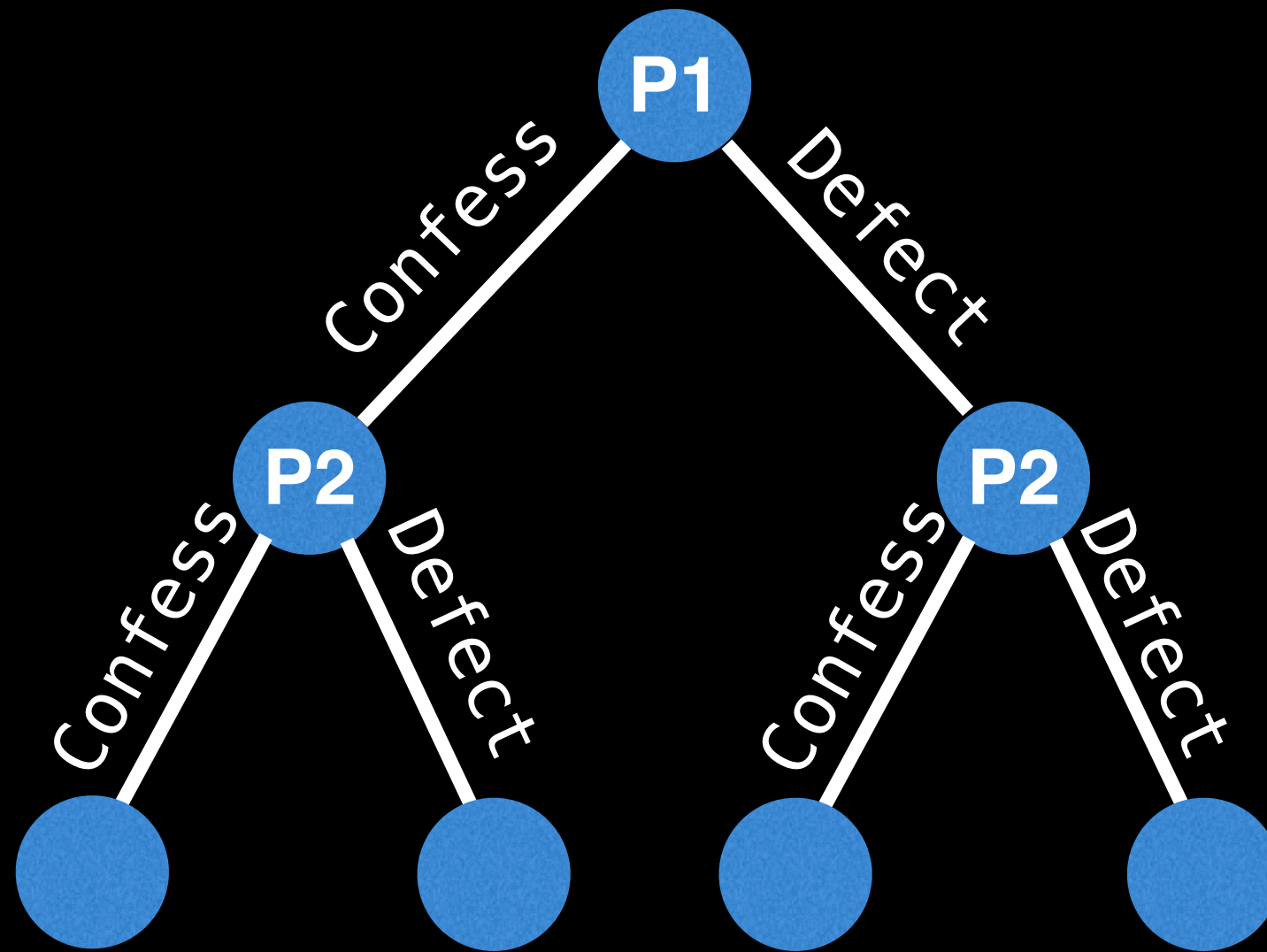
# Trees out in the wild

Every webpage is a tree as an HTML  
DOM structure



# Trees out in the wild

The decision outcomes in game theory are often modeled as trees for ease of representation.



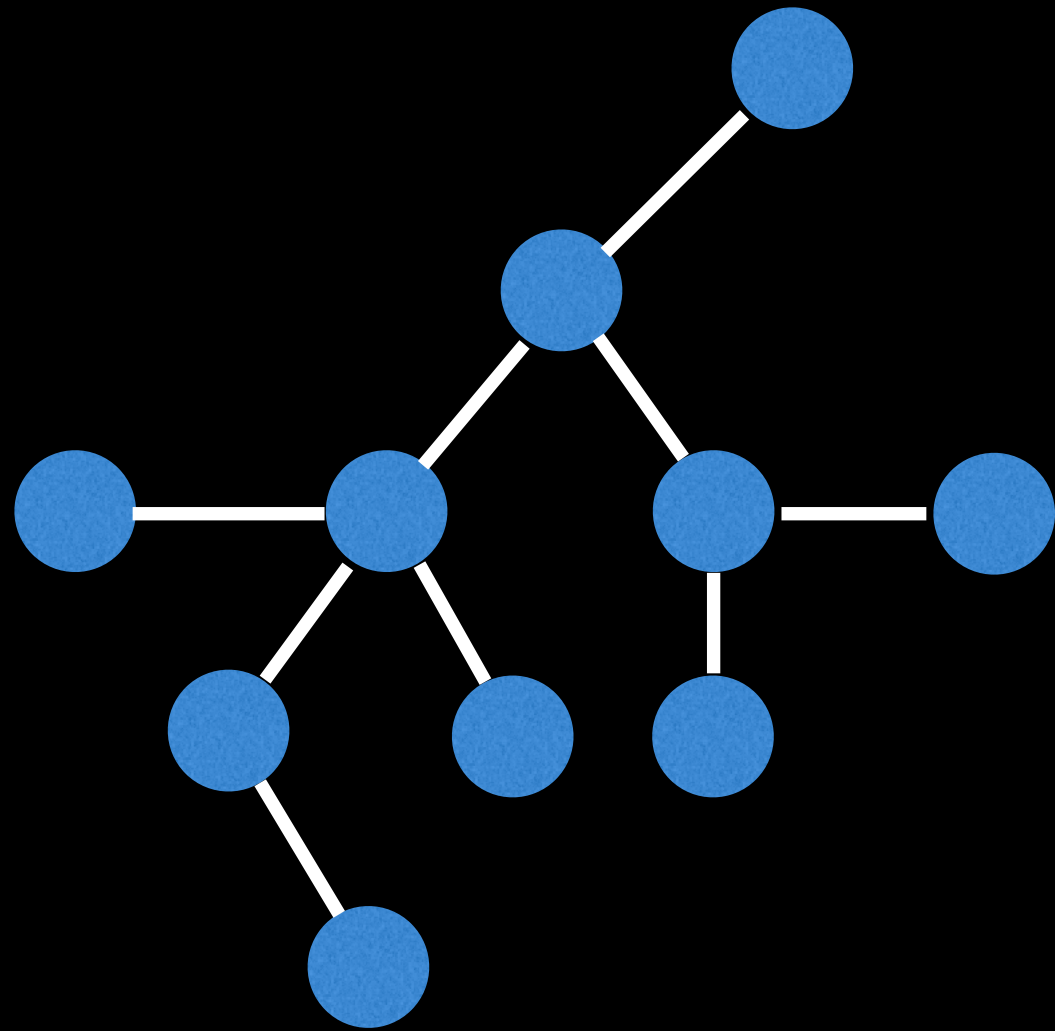
Tree of the prisoner's dilemma

# Trees out in the wild

There are many many more applications...

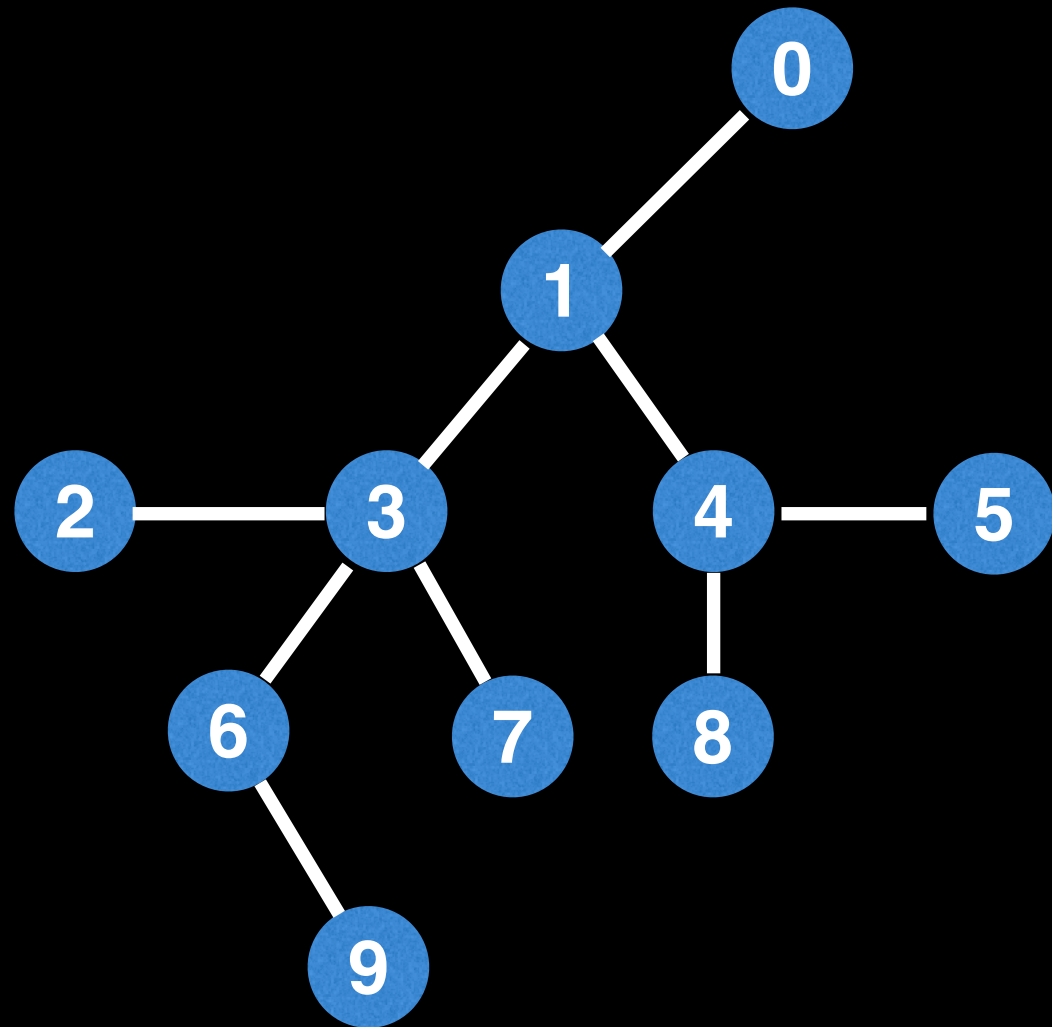
- Family trees
- File parsing/HTML/JSON/Syntax trees
- Many data structures use/are trees:
  - AVL trees, B-tree, red-black trees, segment trees, fenwick trees, treaps, suffix trees, tree maps/sets, etc...
- Game theory decision trees
- Organizational structures
- Probability trees
- Taxonomies
- etc...

# Storing undirected trees



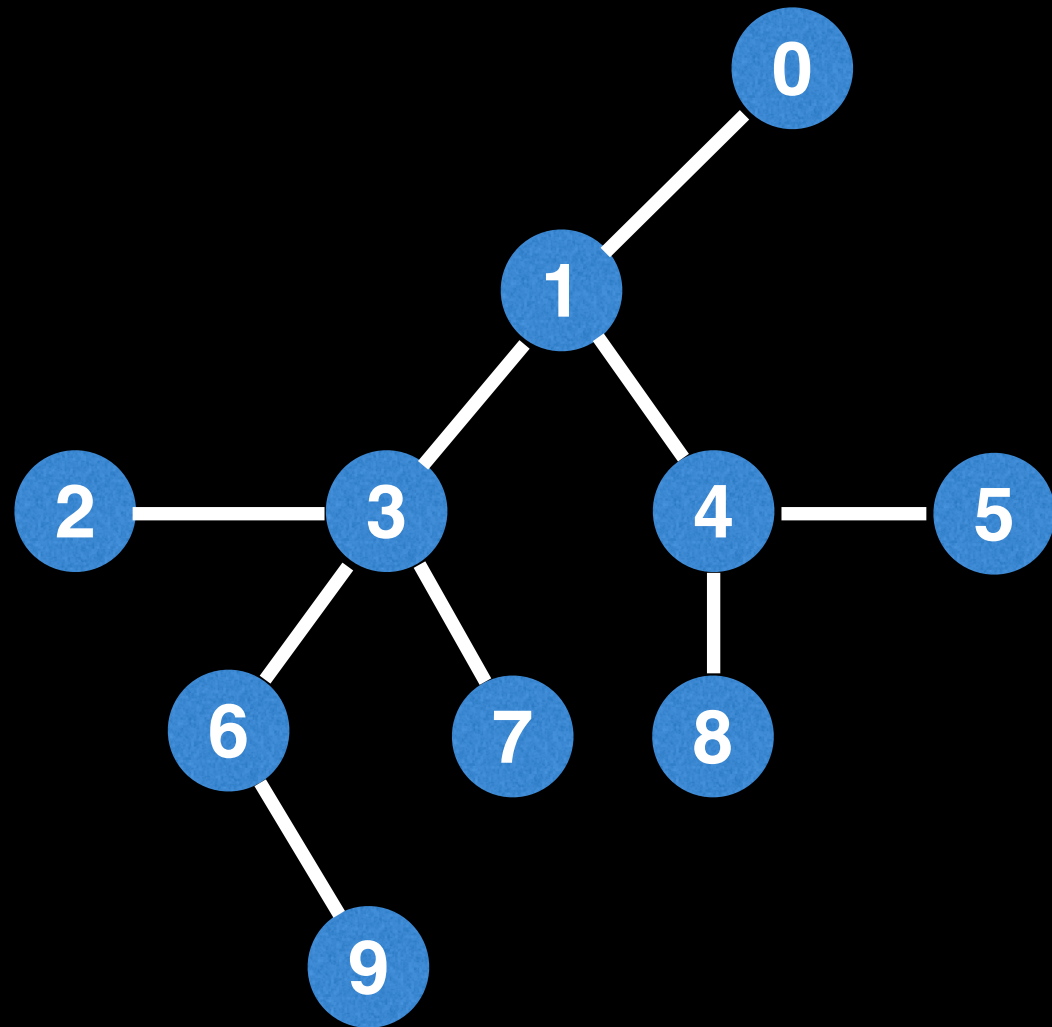
# Storing undirected trees

Start by labelling the tree  
nodes from  $[0, n)$



# Storing undirected trees

edge list storage  
representation:

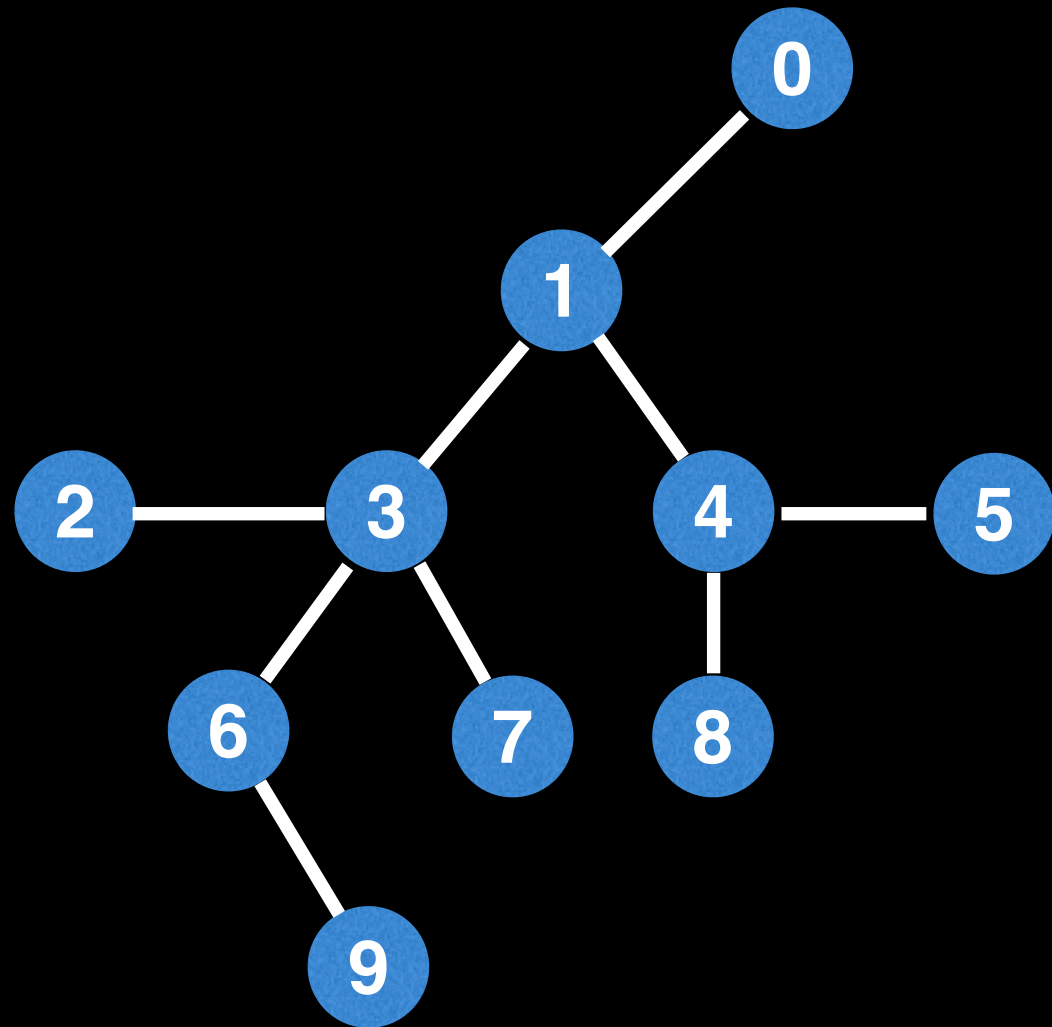


```
[(0, 1),  
 (1, 2),  
 (1, 3),  
 (3, 4),  
 (3, 5),  
 (4, 6),  
 (4, 7),  
 (6, 8),  
 (8, 9)]
```

**pro:** simple and easy to iterate over.

# Storing undirected trees

edge list storage  
representation:

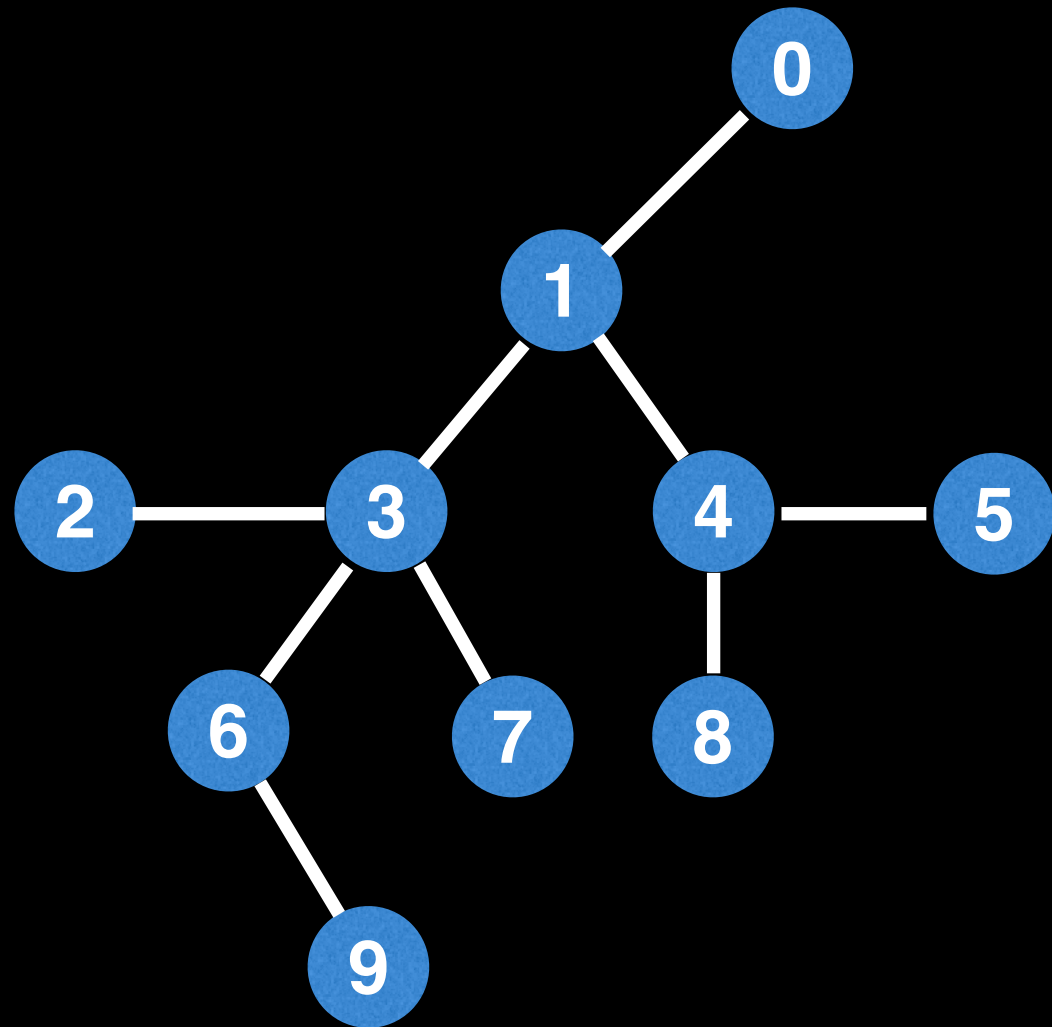


$[(0, 1),$   
 $(1, 2),$   
 $(1, 3),$   
 $(3, 4),$   
 $(3, 5),$   
 $(4, 6),$   
 $(4, 7),$   
 $(6, 8),$   
 $(8, 9)]$

**con:** storing a tree as a list lacks the structure to efficiently query all the neighbors of a node.



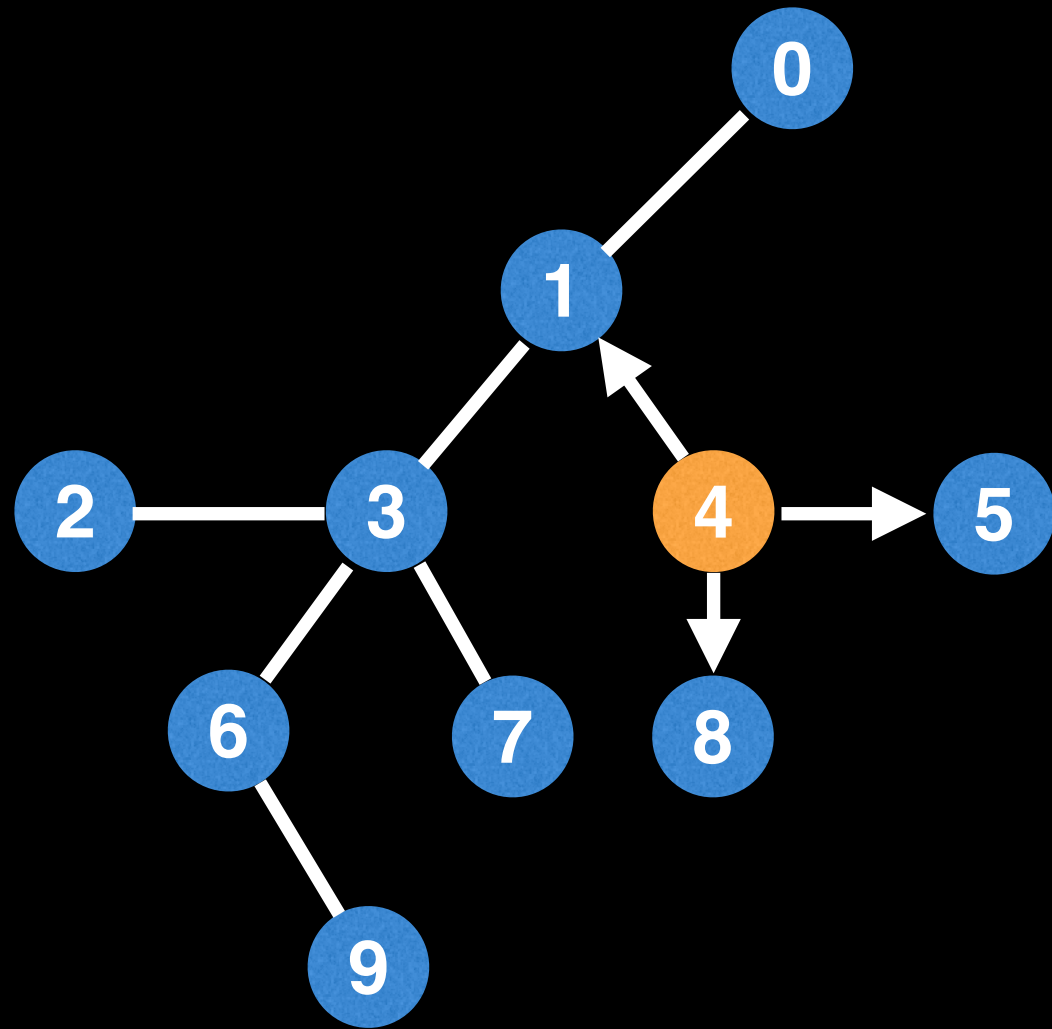
# Storing undirected trees



adjacency list  
representation

0	→	[1]
1	→	[0, 3, 4]
2	→	[3]
3	→	[1, 2, 6, 7]
4	→	[1, 5, 8]
5	→	[4]
6	→	[3, 9]
7	→	[3]
8	→	[4]
9	→	[6]

# Storing undirected trees

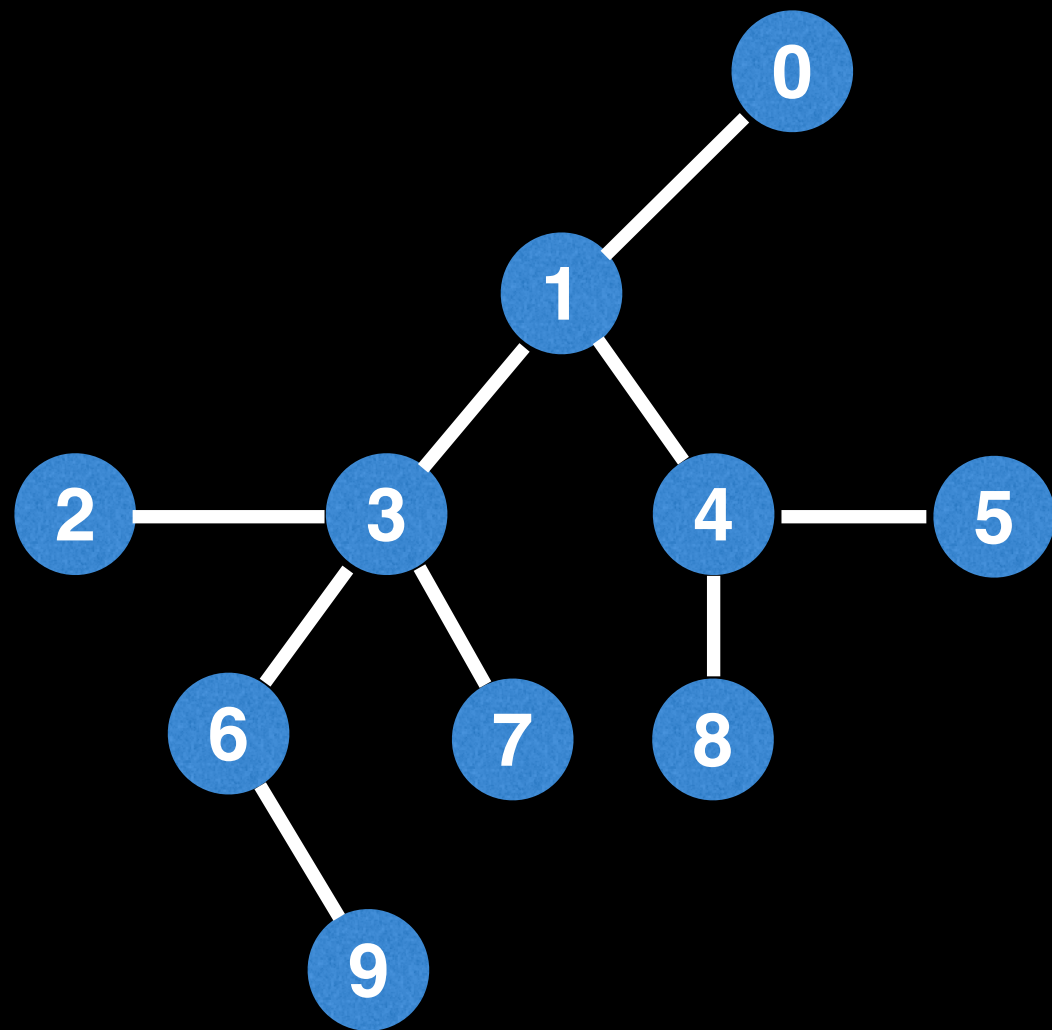


adjacency list  
representation

0	→	[1]
1	→	[0, 3, 4]
2	→	[3]
3	→	[1, 2, 6, 7]
4	→	[1, 5, 8]
5	→	[4]
6	→	[3, 9]
7	→	[3]
8	→	[4]
9	→	[6]

# Storing undirected trees

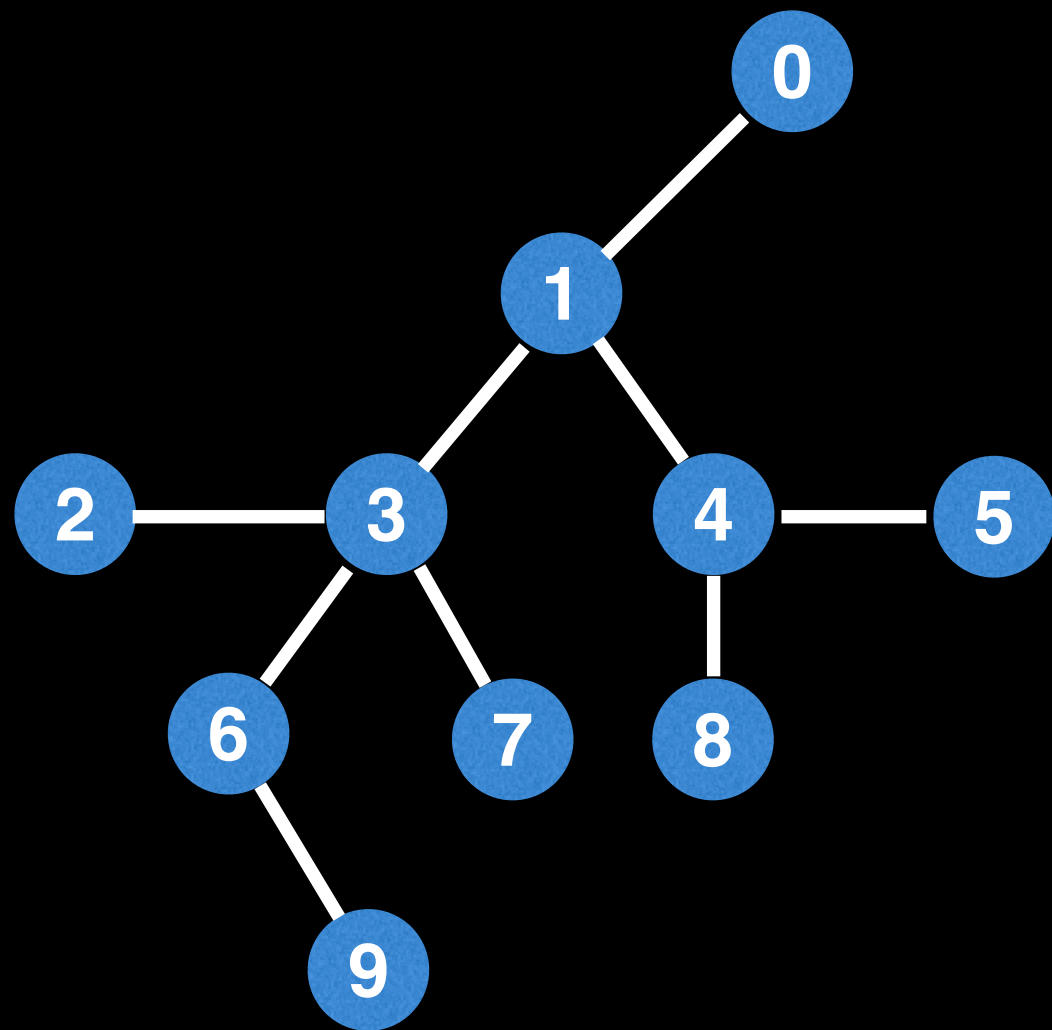
adjacency matrix  
representation



	0	1	2	3	4	5	6	7	8	9
0	0	1	0	0	0	0	0	0	0	0
1	1	0	0	1	1	0	0	0	0	0
2	0	0	0	1	0	0	0	0	0	0
3	0	1	1	0	0	0	1	1	0	0
4	0	1	0	0	0	1	0	0	1	0
5	0	0	0	0	1	0	0	0	0	0
6	0	0	0	1	0	0	0	0	0	1
7	0	0	0	1	0	0	0	0	0	0
8	0	0	0	0	1	0	0	0	0	0
9	0	0	0	0	0	0	1	0	0	0

# Storing undirected trees

## adjacency matrix representation

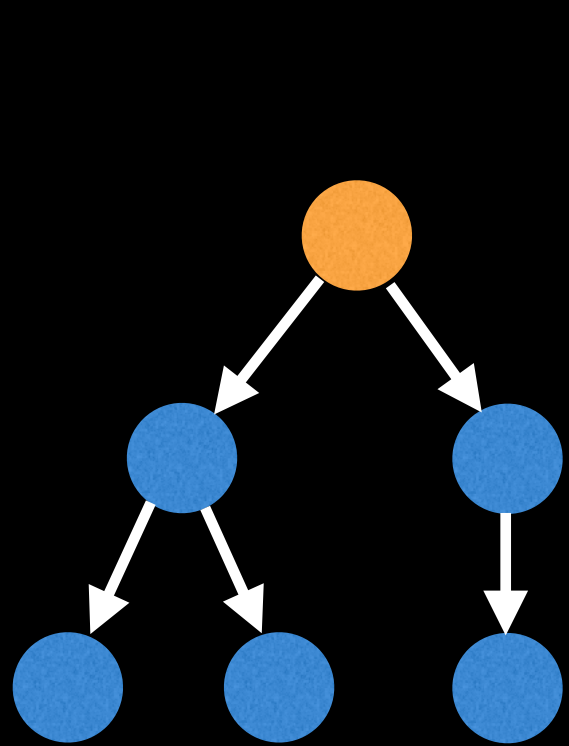


	0	1	2	3	4	5	6	7	8	9
0	0	1	0	0	0	0	0	0	0	0
1	1	0	0	1	1	0	0	0	0	0
2	0	0	0	1	0	0	0	0	0	0
3	0	1	1	0	0	0	1	1	0	0
4	0	1	0	0	0	1	0	0	1	0
5	0	0	0	0	1	0	0	0	0	0
6	0	0	0	1	0	0	0	0	0	1
7	0	0	0	1	0	0	0	0	0	0
8	0	0	0	0	1	0	0	0	0	0
9	0	0	0	0	0	0	1	0	0	0

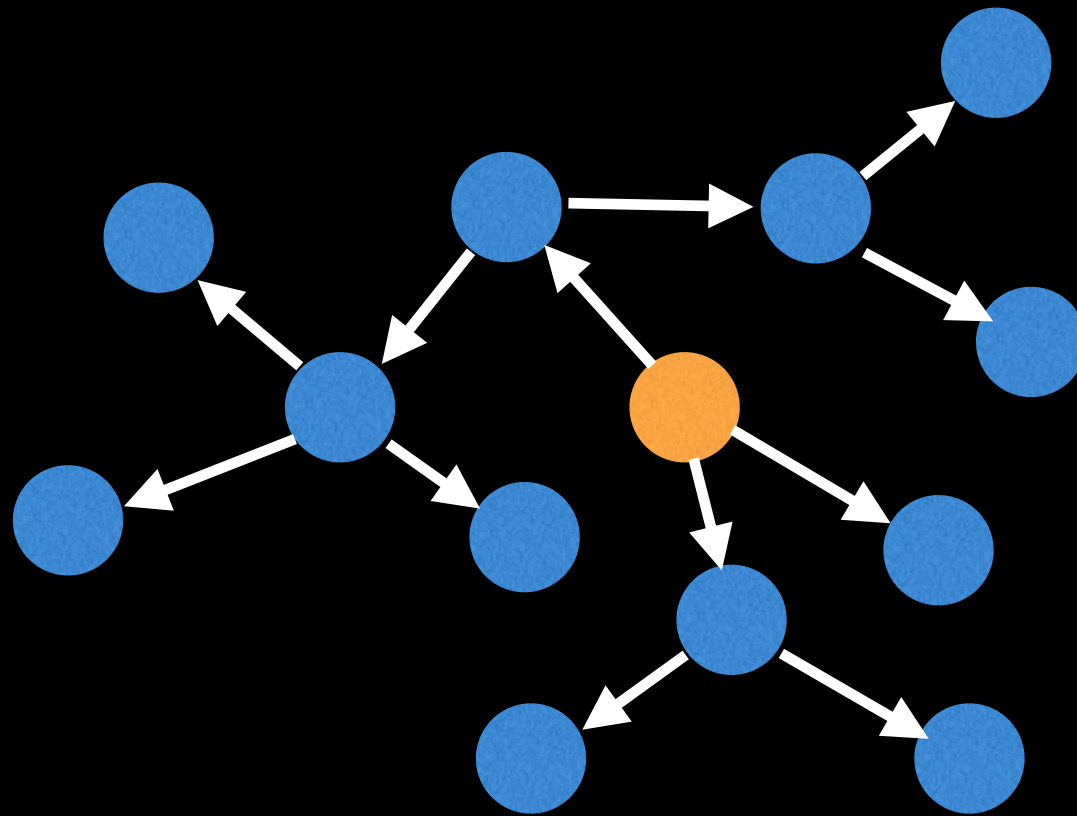
In practice, avoid storing a tree as an adjacency matrix! It's a huge **waste of space** to use  $n^2$  memory and only use  $2(n-1)$  of the matrix cells.

# Rooted Trees!

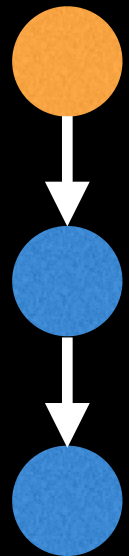
One of the more interesting types of trees is a **rooted tree** which is a tree with a designated **root node**.



Rooted tree



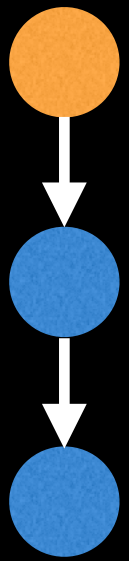
Rooted tree



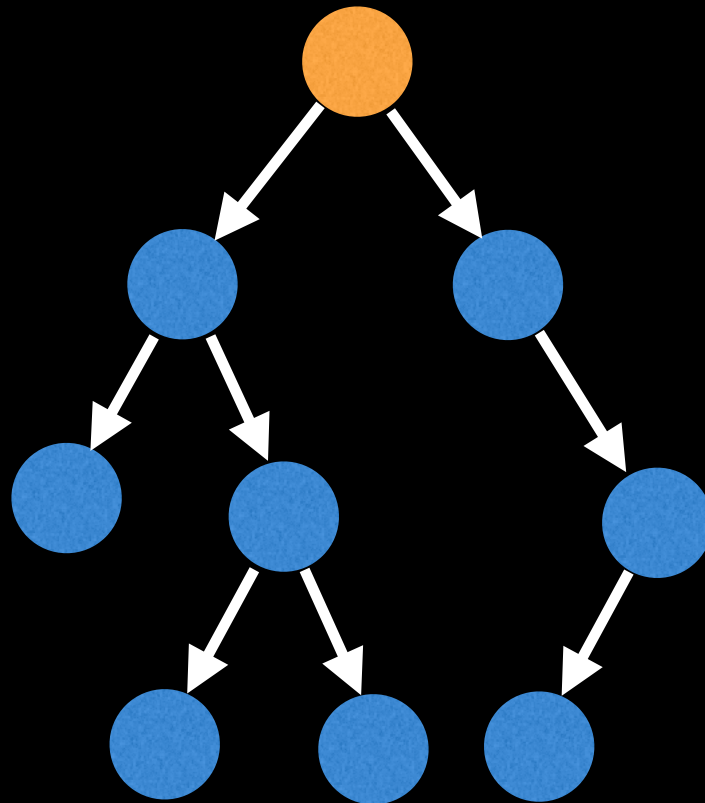
Rooted tree

# Binary Tree (BT)

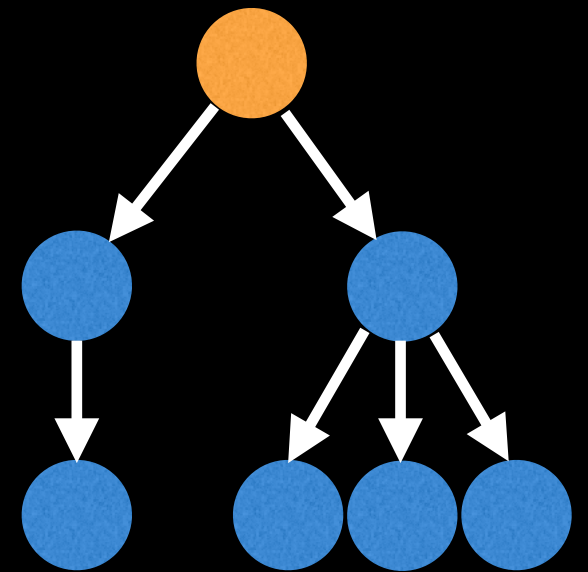
Related to rooted trees are **binary trees** which are trees for which every node has **at most two child nodes**.



Binary tree



Binary tree



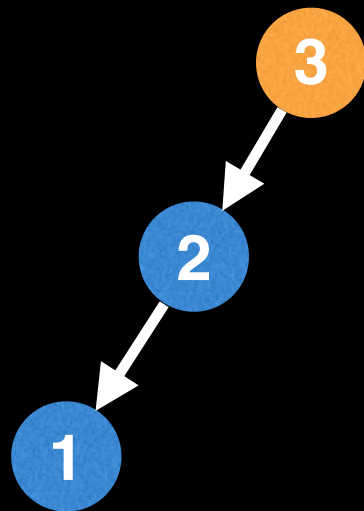
Not a  
binary tree



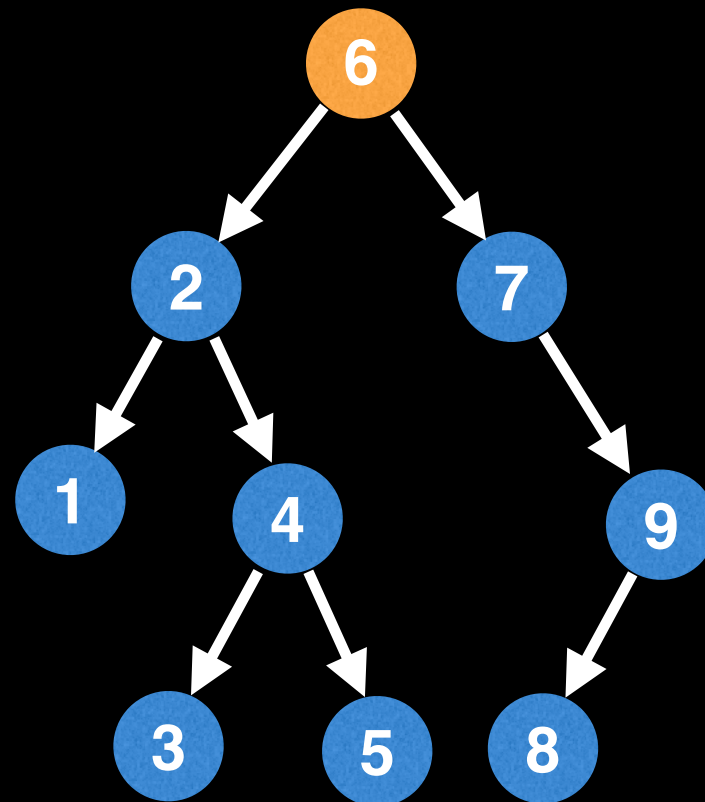
# Binary Search Trees (BST)

Related to binary trees are **binary search trees** which are trees which satisfy the BST invariant which states that for every node  $x$ :

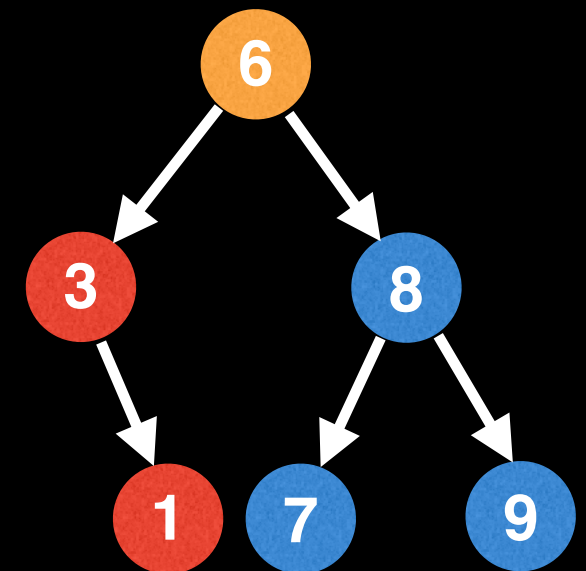
$$x.\text{left.value} \leq x.\text{value} \leq x.\text{right.value}$$



BST



BST



Not a BST

