

# CSC324: Principles of Programming Languages

## Lecture 6

Wednesday 27 May 2020

# Last time...

... we saw how **quoting** and **quasiquoting** allows us to *defer the evaluation of an expression*.

Today, we'll continue our discussion into the practicalities of **evaluation semantics**.

# Recall:

- Denotational semantics: "what does the expression evaluate to?"
- Operational semantics: "How does the expression evaluate to its value?"
  - We had previously discussed the substitution model of function application

```
; apply a squaring function to the sum of 2 and 3  
((λ (x) (* x x)) (+ 2 3))
```

It's clear that we get 25, and we know that perform  $\lambda$ -calculus style substitution to yield 10, but what specifically happens, and in what order?

# Eager evaluation

```
(define (square x) (* x x))
```

; Eager evaluation:

```
(square (+ 2 3))  
((λ (x) (* x x)) (+ 2 3))  
((λ (x) (* x x)) 5)  
(* 5 5)  
25
```

```
; 1. Eval the expr representing the function  
; 2. Eval each argument's expression  
; 3. Apply the eval'd arguments to the fn  
; (4. evaluate the function expression)
```

# Lazy evaluation

```
(define (square x) (* x x))
```

*Hypothetical in Racket!!*

; Lazy evaluation:

```
(square (+ 2 3))  
((λ (x) (* x x)) (+ 2 3))  
(* (+ 2 3) (+ 2 3))  
(* 5 (+ 2 3))  
(* 5 5)  
25
```

- ; 1. Eval the expr representing the function
- ; 2. Apply the unevaluated arguments to the fn
- ; 3. Eval the function expression

# Eval, interrupted

; Eager evaluation

```
(square (/ 1 0))
```

```
((λ (x) (* x x)) (/ 1 0))
```

```
((λ (x) (* x x)) <boom!>) ; div by zero before square is called
```

; Lazy evaluation

```
(square (/ 1 0))
```

```
((λ (x) (* x x)) (/ 1 0))
```

```
(* (/ 1 0) (/ 1 0))
```

```
(* <boom> (/ 1 0)) ; division by zero inside square
```

# Consequences of eager eval in fn application

- All arguments are evaluated, even if they're not used in the body of a function
- If an argument is repeatedly used, it's only evaluated once




# Consequences of lazy eval in fn application

- *Only the arguments used in the function are actually evaluated*
- *An expression repeatedly used in the function is repeatedly evaluated*
- *Warning: Laziness may get in the way of tail-call optimisation! We'll see how in a bit.*


# Non-strict eval in otherwise strict-eval languages

Recall **short-circuiting** boolean operators:

`lhs_expr && rhs_expr`



If the left hand side  
evaluates to false...



...is there any use in evaluating  
the right hand side?

# Non-strict eval in otherwise strict-eval languages

Recall short-circuiting boolean operators:

```
#lang racket
```

```
(and #f (/ 1 0))
```

```
(and #t (/ 1 0))
```

Welcome to [DrRacket](#), version 7.6 [3m].

Language: racket, with debugging; memory limit: 128 MB.

```
#f
```

```
  /: division by zero
```

```
>
```

# Non-strict eval in otherwise strict-eval languages

Recall **short-circuiting boolean operators**:

The difference in how boolean operators evaluate their arguments, as compared to function application and other "normal" evaluation, suggests something special is going on.

**Definition:** a **syntactic form** generalises the notion of an expression.

**Definition:** a **special form** is a syntactic form that follows special evaluation rules.

# Delaying evaluation in an eager language

Recall that the body of a function is not evaluated until the function is called.

**Definition:** A **thunk** is a function with zero arguments. Thunks are often defined in order to be passed into a function, that will evaluate the "expression" at some point in the future.

In other languages, *callbacks* may serve the same purpose as a thunk.

# Free identifiers and Closures

```
(define x 3)  
(define a-thunk (λ () (+ x 1)))
```

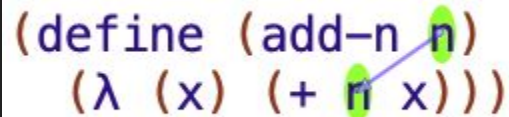


Here, a-thunk is a function that contains an identifier defined outside its local scope.

**Definition:** A **free identifier** is an identifier within a function body that:

- Is not a parameter to the function
- Is not bound in a local let-expression

# Free identifiers and Closures



```
(define (add-n n)
  (λ (x) (+ n x)))
```

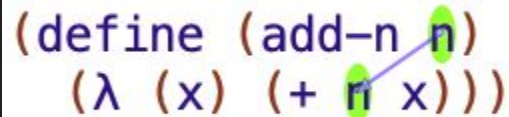
The diagram illustrates a free identifier 'n' in a lambda expression. The code is: `(define (add-n n) (λ (x) (+ n x)))`. The identifier 'n' in the function body `(+ n x)` is highlighted in green. A blue arrow points from this 'n' to the 'n' in the function's parameter list `(n)`, indicating that 'n' is a bound variable within the function's scope.

Here, `add-n` returns a function, that contains an ident defined outside its local scope.

**Definition:** A **free identifier** is an identifier within a function body that:

- Is not a parameter to the function
- Is not bound in a local let-expression

# Free identifiers and Closures



```
(define (add-n n)
  (λ (x) (+ n x)))
```

The diagram shows the Racket code snippet above. The identifier 'n' in the function body '(+ n x)' is highlighted in green. A blue line connects this 'n' to the 'n' in the function parameter list '(add-n n)', which is also highlighted in green. This illustrates that 'n' is a free identifier within the lambda function's local scope.

Here, `add-n` is a function that contains an identifier defined outside its local scope.

**Definition:** A function with a free identifier is said to **close over** that identifier, and we say that the function in question is a **closure**.



# Haskell: lazy by design

In contrast to Racket, Haskell **uses non-strict evaluation semantics** for:

- arguments to functions
- name bindings

# Haskell: evaluating function arguments lazily

```
Prelude> f x y = x
Prelude> f 3 (error "Second eval argument")
3
Prelude>
Prelude> my_and x y = if x then y else False
Prelude> my_and False (error "uh oh")
False
Prelude> my_and True (error "uh oh")
*** Exception: uh oh
CallStack (from HasCallStack):
  error, called at <interactive>:25:14 in interactive:Ghci15
```

# Haskell: evaluating name bindings lazily

Imagine implementing a function that behaves like Python's `range()`, which lazily constructs the list `[0,1,2,..(n-1)]`:

```
➔ ~ python3
Python 3.7.6 (default, Dec 30 2019, 19:38:26)
[Clang 11.0.0 (clang-1100.0.33.16)] on darwin
Type "help", "copyright", "credits" or "license" for more information.
>>> list(range(10))
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
>>>
>>> █
```

# Haskell: evaluating name bindings lazily

```
1 my_range x =  
2   let  
3     iter n  
4       | x == n    = []  
5       | otherwise = [n] ++ iter (n+1)  
6   in  
7     iter 0  
8
```

my\_range 0

-> []

# Haskell: evaluating name bindings lazily

```
1 my_range x =  
2   let  
3     iter n  
4       | x == n    = []  
5       | otherwise = [n] ++ iter (n+1)  
6   in  
7     iter 0  
8
```

my\_range 1  
-> [0] ++ (iter (0+1))

# Haskell: evaluating name bindings lazily

```
1 my_range x =  
2   let  
3     iter n  
4       | x == n    = []  
5       | otherwise = [n] ++ iter (n+1)  
6   in  
7     iter 0  
8
```

my\_range 1

-> { [0] ++ (iter (0+1)) }

Not evaluated until  
someone reads an  
element from the list



# Haskell: evaluating name bindings lazily

```
1 my_range x =  
2   let  
3     iter n  
4       | x == n    = []  
5       | otherwise = [n] ++ iter (n+1)  
6   in  
7     iter 0  
8
```

my\_range 1  
-> [0] ++ { (iter (0+1)) }

Not evaluated until  
someone reads **the  
second element** from  
the list

## Haskell: evaluating name bindings lazily

```
*Main> my_take 3 (my_range 10)
[0,1,2]
*Main> 
```

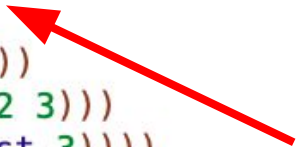
The thunk that would have produced the list `[3,4,...8,9]` was left unevaluated!



# Tail-call optimisation and lazy evaluation

Recall our right fold from the previous class. The size of the expression grows linearly with the size of the input.

```
; a right fold consumes a binary operator f,  
; an initial value, and a list, and produces the  
; result of applying the binary operator to all  
; elements of the list, ending with the fold.  
;  
; (a -> b -> b) -> b -> list a -> b  
(define (foldr f acc l)  
  (match* (l)  
    [('()) acc]  
    [((cons x xs)) (f x (foldr f acc xs))]))  
  
(foldr + 0 (list 1 2 3))  
(+ 1 (foldr + 0 (list 2 3)))  
(+ 1 (+ 2 (foldr 0 (list 3))))  
(+ 1 (+ 2 (+ 3 (foldr 0 '()))))  
(+ 1 (+ 2 (+ 3 0)))  
(+ 1 (+ 2 3))  
(+ 1 5)  
6|
```




# Tail-call optimisation and lazy evaluation

We saw how this problem was avoided in Racket by using a left fold instead.

The fold evaluates the reduction function with the current list element and the accumulator for every recursive call.

```
; foldl consists of a binary function to apply,  
; an accumulator, and a list of a, and applies  
; the binary operator in turn to each of a, with the  
; accumulator passed to the function.  
; (a -> b -> b) -> b -> list a -> b  
(define (foldl f acc l)  
  (match* (l)  
    [('()) acc]  
    [((cons x xs)) (foldl f (f x acc) xs)]))  
  
(foldl + 0 '(1 2 3))  
(foldl + (+ 1 0) '(2 3))  
(foldl + 1 '(2 3))  
(foldl + (+ 2 1) '(3))  
(foldl + 3 '(3))  
(foldl + (+ 3 3) '())  
(foldl + 6 '())  
6
```



# Tail-call optimisation and lazy evaluation

However, in Haskell, both fold variations run out of memory with a large list.

```
Prelude> foldr (+) 0 [0..1000000000000]  
*** Exception: stack overflow  
Prelude> foldl (+) 0 [0..1000000000000]  
*** Exception: stack overflow
```

Why? Isn't foldl tail-recursive?

## Heap

```
1 my_foldl f acc □ = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7
```

## Function Applications

my\_foldl (+) acc [0..1000000]

```

1 my_foldl f acc □ = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7

```

Heap



## Function Applications

```

my_foldl (+) acc          [0..1000000]
my_foldl (+) (acc + 1)    [1..1000000]

```

```

1 my_foldl f acc □ = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7

```

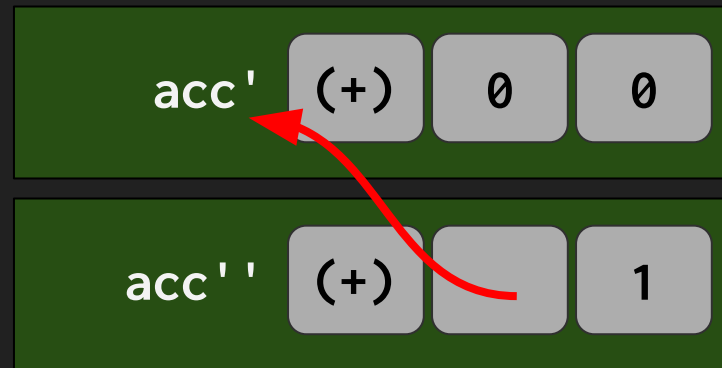
## Function Applications

```

my_foldl (+) acc      [0..1000000]
my_foldl (+) (acc + 1) [1..1000000]
my_foldl (+) (acc' + 1) [2..1000000]

```

## Heap



```

1 my_foldl f acc □ = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7

```

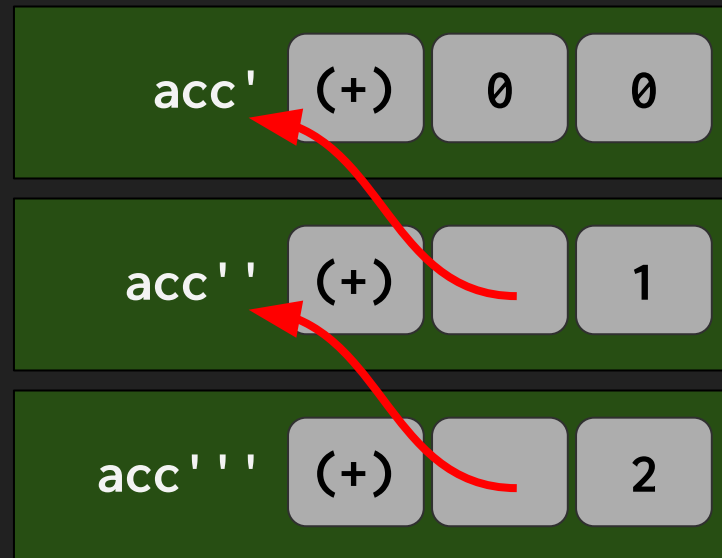
## Function Applications

```

my_foldl (+) acc          [0..1000000]
my_foldl (+) (acc + 1)    [1..1000000]
my_foldl (+) (acc' + 1)   [2..1000000]
my_foldl (+) (acc'' + 1)  [3..1000000]

```

## Heap



```

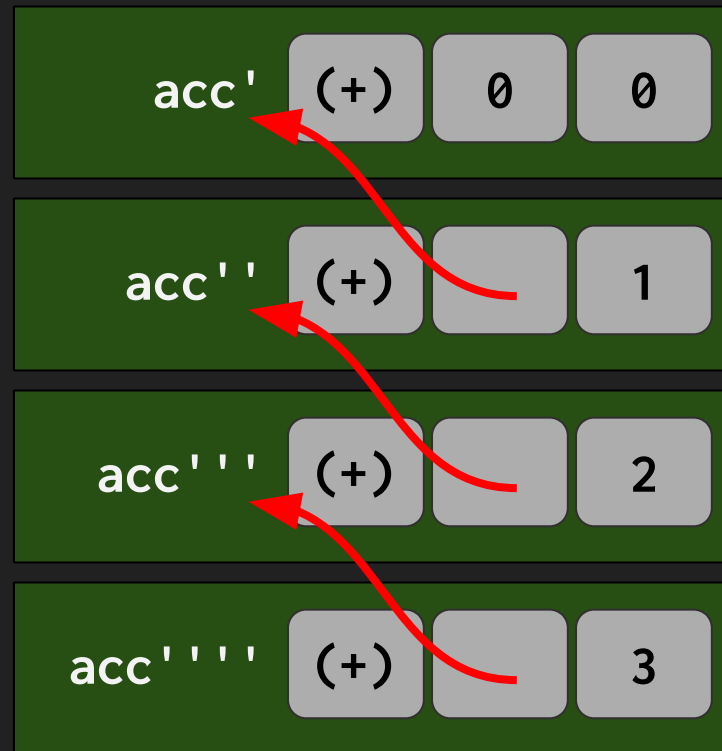
1 my_foldl f acc □ = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7

```

## Function Applications

my_foldl	(+)	acc	[0..1000000]
my_foldl	(+)	(acc + 1)	[1..1000000]
my_foldl	(+)	(acc' + 1)	[2..1000000]
my_foldl	(+)	(acc'' + 1)	[3..1000000]
my_foldl	(+)	(acc''' + 1)	[4..1000000]

## Heap

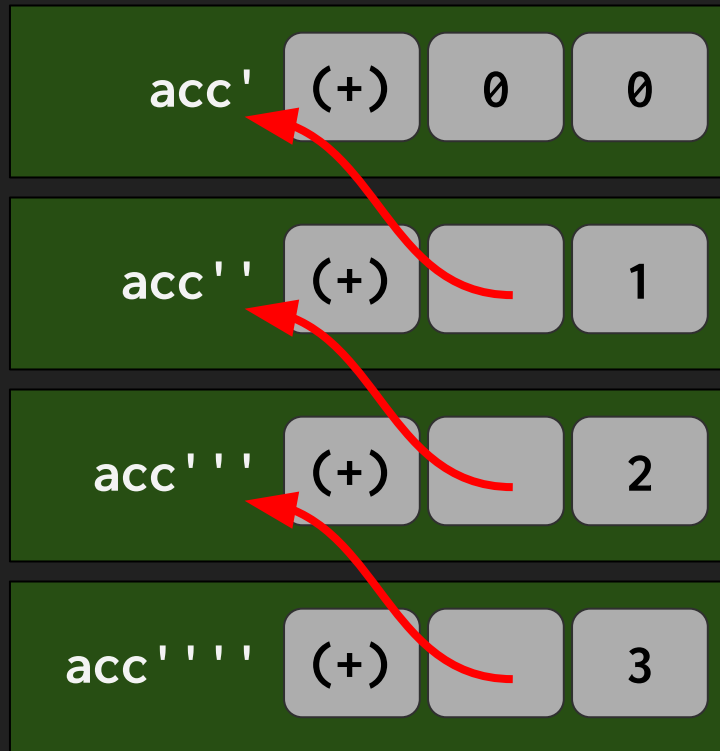




# foldl: Where's the stack overflow?

All the thunks were being allocated on the heap, and foldl is in tail-position, so how can we blow our stack?

The stack overflow happens when we *evaluate the thunks, which are not in tail-position*, after reaching the base case.



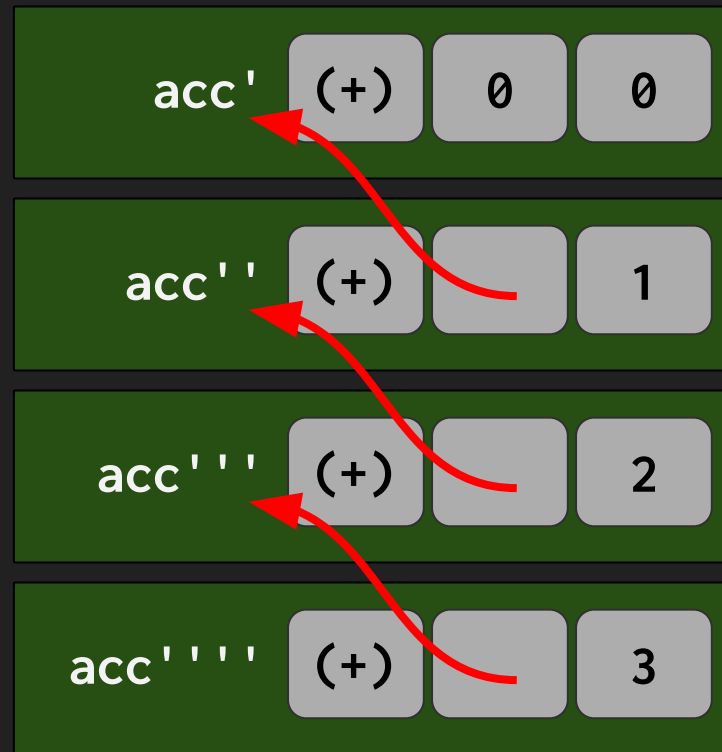
```

1 my_foldl f acc □ = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7

```

## Function Applications

### Heap



```
my_foldl (+) (((acc + 1) + 1) + 1) + 1 [4..1000000]
```

# Enforcing strictness in a lazy world

Our problem is that we would like to evaluate the function call on line 4 eagerly.

## Function Applications


```
my_foldl (+) 0 [1..1000000]
my_foldl (+) 1 [2..1000000]
my_foldl (+) 3 [3..1000000]
my_foldl (+) 6 [4..1000000]
my_foldl (+) 10 [5..1000000]
...
```

```
1 my_foldl f acc [] = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7
```

## foldl': an eager implementation of foldl

```
1 my_foldl f acc [] = acc
2 my_foldl f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     my_foldl f acc' xs
7
```

```
1 my_foldl' f acc [] = acc
2 my_foldl' f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     seq acc' (my_foldl f acc' xs)
7
```



# Introducing strictness with seq

seq's behaviour is equivalent to the definition

$$\text{seq } x \ y = y$$

but with the additional effect that it forces whatever expression is bound by  $x$  to be evaluated.

```
1 my_foldl' f acc [] = acc
2 my_foldl' f acc (x:xs) =
3   let
4     acc' = f acc x
5   in
6     seq acc' (my_foldl f acc' xs)
7
```

# Gotchas with seq (1)

In this example, the intention was to eagerly evaluate `(f acc x)`, but this implementation doesn't bind it to a name, so there's no relationship, as far as `seq` is concerned, between the two `(f acc x)` calls.

A compiler's ability to detect that both `(f acc x)` calls are equivalent is called **common subexpression elimination (CSE)**, but the Haskell compiler can't do it here.

```
1 my_borked_foldl' f acc [] = acc
2 my_borked_foldl' f acc (x:xs) =
3     seq (f acc x)
4         (my_foldl f (f acc x) xs)
5
6
```

## Gotchas with seq (2)

When is seq evaluated here? When someFunc is lazily called, but that might not happen for some time!

```
1  
2 uhoh x y = someFunc (seq x y)  
3  
4
```

Aside: semantics of division by zero (TODO: figure out when to talk about total vs partial functions, move this there)

```
Welcome to DrRacket, version 7.6 [3m].  
Language: racket, with debugging; memory limit: 128 MB.
```

```
> (/ 1 0)
```

```
  /: division by zero
```

```
>
```

```
Prelude> 1/0  
Infinity
```

We said previously that every expression produces a value, but in Racket, division by zero performs some action akin to "raising an exception", whereas Haskell defines a special "infinity" value to produce.