

CS 331: Algorithms and Complexity (Spring 2024)  
Unique Number: 50930, 50935 50940, 50945

Assignment 1

Due on Thursday, 25 January, by 11.59pm

## Problem 1

**(a) (3 points)**

We need to find whether  $\sqrt{n}$  or  $\log(n^2)$  grows faster.

Solve:  $\lim_{n \rightarrow \infty} \frac{\sqrt{n}}{\log(n^2)} = \lim_{n \rightarrow \infty} \frac{d}{dn} \frac{\sqrt{n}}{\log(n^2)} = \lim_{n \rightarrow \infty} \frac{1}{2\sqrt{n}} / \frac{2n}{n^2}$  (Constants don't matter), this approaches  $\infty$  as  $n$  increases,  $\therefore$

$$O(f_4(n)) = \sqrt{n}$$

$$O(f_1(n)) = n$$

$$O(f_2(n)) = n \log \log n$$

Since  $\log \log n$  grows slower than  $n$ , then  $f_2(n)$  grows slower than  $f_3(n)$ .

$$O(f_3(n)) = n^2$$

By the growth rate diagram, polynomial functions grow slower than exponential functions.

$$O(f_6(n)) = 2^{\log n}$$

Since  $\log n$  grows slower than  $\sqrt{n}$ , then  $f_6(n)$  grows slower than  $f_5(n)$ .

$$O(f_5(n)) = 2^{\sqrt{n}}$$

$\therefore$  the order is:  $f_4(n), f_1(n), f_2(n), f_3(n), f_6(n), f_5(n)$

**(b) (3 points)**

Given  $a_1 = 1$ ,  $a_2 = 8$ , and  $a_n = a_{n-1} + 2a_{n-2}$  when  $n \geq 3$ , show  $a_n = 3 \cdot 2^{n-1} + 2(-1)^n$  for all  $n \in \mathbb{N}$ .

Define our predicate as  $P(n)$ :  $a_n = 3 \cdot 2^{n-1} + 2(-1)^n$

**Base Cases:**

We use two base cases since the recurrence relation is defined using the previous two terms.

Proof.  $P(1)$ : Show  $a_1 = 3 \cdot 2^{1-1} + 2(-1)^1 = 1$ :

$3 \cdot 2^{1-1} + 2(-1)^1$	Arithmetic
$= 3 \cdot 2^0 + 2(-1)$	Simplify
$= 3 \cdot 1 + -2$	Simplify
$= 1$	

$\therefore P(1)$  is true.

P(2): Show  $a_2 = 3 \cdot 2^{2-1} + 2(-1)^2 = 8$ :

$3 \cdot 2^{2-1} + 2(-1)^2$	Arithmetic
$= 3 \cdot 2^1 + 2(1)$	Simplify
$= 3 \cdot 2 + 2$	Simplify
$= 8$	

$\therefore$  P(2) is true.

$\therefore$ , the base cases are true. □

**Inductive Hypothesis:** Assume for  $n = k$ ,  $P(1)$ ,  $P(2)$ , ...,  $P(k)$  are all true.

**Inductive Step:**

Show  $P(k + 1)$ , aka  $a_{k+1} = 3 \cdot 2^k + 2(-1)^{k+1}$ .

$a_{k+1}$	Definition
$= a_k + 2a_{k-1}$	Inductive Hypothesis
$= (3 \cdot 2^{k-1} + 2(-1)^k) + 2(3 \cdot 2^{k-2} + 2(-1)^{k-1})$	Factor out 2 from first group
$= 2(3 \cdot 2^{k-2} + 2(-1)^{k-1}) + 2(3 \cdot 2^{k-2} + 2(-1)^{k-1})$	Combine like terms
$= 4(3 \cdot 2^{k-2} + 2(-1)^{k-1})$	$4 = 2^2$
$= 2^2(3 \cdot 2^{k-2} + 2(-1)^{k-1})$	Algebra
$= 3 \cdot 2^k + 2(-1)^{k+1}$	

$\therefore$ , we have shown that  $P(k + 1)$  is true, and by induction,  $P(n)$  is true for all  $n \in \mathbb{N}$ . □

**(c) (4 points)**

Algorithm 1(Alice)

*Proof.* We need to swap the lines

Report average as `sum / count`;

Increase count by 1;

as in the first iteration, we will divide by 0, which is not the correct average. □

Algorithm 2(Bob)

*Proof.* Bob's algorithm is correct, shown using loop invariants.

Let  $S$  be the stream of integers indexed starting at 0.

**Inductive Hypothesis:** Assume at step  $k$ , `average`=avg of the first `count` elements where `count`= $k$ .

**Initialization:** Before the first iteration, `average`=0 and `count`=0, which is correct, as there are no integers to calculate the average of.

**Maintenance:** Assume the inductive hypothesis is true at the beginning of the  $k$ th iteration.

$\therefore$ , `average`=avg of the first `count` elements where `count`= $k$ .

We read in integer  $S_k$ .

Next, we focus on the line `average = (average * count +  $S_k$ ) / (count + 1)`.

Since `average`= $\frac{\text{sum}}{\text{count}}$ , after multiplying by `count` and adding  $S_k$ , the expression yields the sum of the first  $k+1$  elements.

Then, we divide by `count + 1` to get the average of the first  $k+1$  elements.

$\therefore$ , `average`=avg of the first `count+1` elements.

`count` is then incremented by one, which results in `count`= $k+1$ .

$\therefore$ , the induction hypothesis is true at the beginning of iteration  $n = k + 1$ .

**Termination:** The loop terminates when there are no more integers in the stream, in other words, when  $k=n$ , the length of the stream.

$\therefore$ , **average**=avg of the first **count** elements where **count**= $n$ , which is the average of all the integers in the stream.

$\therefore$ , we have shown that the algorithm is correct.  $\square$

## Problem 2

(6 points)

*For this problem, I would use a modified binary search, since I know that the array is sorted in ascending order with the target being less than the "max" values appended to the array.*

```
// Finding bounds
int low = 0, high = 1;
while(E[high] < x) {
    low = high;
    high *= 2;
    if (high outOfBounds) {
        break;
    }
}
// Binary search
while (low <= high) {
    int mid = low + (high - low) / 2; // or (low + high) >>> 1
    if (mid outOfBounds) high = mid - 1;
    else if (E[mid] == x) return mid;
    else if (E[mid] < x) low = mid + 1;
    else high = mid - 1;
}
```

*Proof.* **Inductive Hypothesis:** Assume at step  $k$ ,  $\text{low}(2^{k-1})$  is the lower bound of the search space and  $\text{high}(2^k)$  is the upper bound of the search space where  $x$  is at index  $\geq \text{low}$ .

**Initialization:** Before the first iteration,  $\text{low}=0$  and  $\text{high}=1$ , and since all elements of  $E$  have index  $\geq 0$ , then the inductive hypothesis is true.

The first while loop finds the bounds of the search space, which is  $O(\log n)$  since we are exponentially increasing the search space.

**Maintenance:** Assume the inductive hypothesis is true at the beginning of the  $k$ th iteration. if  $E[\text{high}] < x$ , then we double the search space while ignoring the current one, as we know that  $x$  is greater than the current high value.

We set  $\text{low}$  to  $\text{high}$  and  $\text{high}$  to  $\text{high} * 2$ , which means that  $\text{low} = 2^k$  and  $\text{high} = 2^{k+1}$ .

Since the loop only ran because  $E[\text{high}_{old}] < x$ , and  $\text{low}$  was set to  $\text{high}$ , then we know that  $E[\text{low}] < x$ .

$\therefore$ ,  $x$  is at index  $\geq \text{low}$ .

$\therefore$ , the induction hypothesis is true at the beginning of iteration  $n = k + 1$ .

**Termination:** The loop terminates when  $E[\text{high}] \geq x$ , in other words, when  $x$  is in the current search space.

$\therefore$ ,  $x \geq \text{low}$ .

$\therefore$ , we have shown that the algorithm is correct.

Since the search space is doubled every time until  $x$  is in the search space or the search space exceeds the size of the array, then the algorithm is  $O(\log n)$ .

The second while loop is a modified binary search, which is  $O(\log n)$ .

We are trying to find  $x < \max$  in  $E[\text{low}:\text{high}]$ .

**Case 1:**  $\text{mid} > |E| \rightarrow x$  is the lower half of the search space.

**Case 2:**  $x < E[\text{mid}] \rightarrow x$  is the lower half of the search space.

**Case 3:**  $x > E[\text{mid}] \rightarrow x$  is the upper half of the search space.

**Case 4:**  $x = E[\text{mid}] \rightarrow \text{return mid}$ .

$\therefore$ , since the array is increasing, then if the element at  $\text{mid}$  is greater than  $x$ , we can ignore all elements after it too, and conversely, if the element at  $\text{mid}$  is less than  $x$ , we can ignore all elements before it.

$\therefore$ , we half the search space each iteration, thus the algorithm is  $O(\log n)$ .  $\square$

## Problem 3

Your task is to do the following:

i (7 points)

```

Initialize all TAs to be unassigned
Store all TA preferences in a sorted list for each course and the
number of TAs needed
while (course needs TA) {
    c = a course that needs a TA
    ta = first TA in c's preference list that c hasn't tried to assign
    if (ta is unqualified for c) {
        c rejects ta
    } else if (ta is unassigned) {
        assign ta to c
    } else if (ta prefers c to ta's current course) {
        c' = ta's current course
        unassign ta from c'
        assign ta to c
    }
    else {
        ta rejects c
    }
}

```

## ii (7 points)

*Proof.* The sorted list of applicants TAs for each course is strictly decreasing each iteration,  $\therefore$  the algorithm will terminate.

Next, we show correctness.

Observation 1: Courses are assigned TAs in order of preference.

Observation 2: Once a TA is assigned to a course, they will not be unassigned, only reassigned to a different course.

Claim 1: All available TA spots are filled unless unqualified.

Proof: Assume by contradiction that there is an available TA spot upon termination, in other words, there is a course  $c_0$  that needs a TA and there is one that's qualified and unassigned.

Since there are more TAs than courses, there must be a TA  $t_0$  that is unassigned.

Since  $t_0$  is unassigned, then  $c_0$  must have rejected  $t_0$ , however, since  $t_0$  is unassigned, then  $t_0$  must be unqualified for  $c_0$ .

$\therefore$  we have a contradiction, and all available TA spots are filled unless unqualified.

Claim 2: All TAs are assigned to a course in a stable marriage.

Proof: Assume by contradiction that there is a TA  $t_0$  that is assigned to course  $c_1$  and TA  $t_1$  that is assigned to course  $c_0$  such that  $c_0$  prefers  $t_0$  over  $t_1$  and  $t_0$  prefers  $c_0$  over  $c_1$ .

Case 1:  $t_0$  was never assigned to  $c_0$ .

Since  $c_0$  prefers  $t_0$  over  $t_1$  and  $c_0$  is assigned TAs based on preference, then  $t_0$  must have been assigned to a course that is higher on  $t_0$ 's preference list than  $c_0$ , which is a contradiction.

Case 2:  $t_0$  was assigned to  $c_0$  and then reassigned.

This means that  $t_0$  rejected  $c_0$  and was assigned to a course that is higher on their preference list than  $c_0$ .

However, since  $c_1$  is lower on  $t_0$ 's preference list than  $c_0$ , this is a contradiction.

$\therefore$ , we have shown that all TAs are assigned to a course in a stable marriage.

$\therefore$ , we have shown that the algorithm is totally correct.

□