CS 331: Algorithms and Complexity (Spring 2024) Unique Number: 50930, 50935 50940, 50945

Assignment 5

Due on Tuesday, 5 March, by 11.59pm

Problem 1

(8 pts)

(a) (6 pts)

A naive solution would be to split into 3 cases, one for each of the 3 possible operations

- (1) Including characters in both strings(Until no more characters in one of the strings)
- (2) Include character of first string and add gap to second string(Until no more characters in first string)
- (3) Include character of second string and add gap to first string(Until no more characters in second string)

Let obj be the cost for comparing characters and gap be the cost of adding a gap

```
def align(i, j):
    if i == s1.length and j == s2.length:
        return 0
    elif i == s1.length:
        return gap(s2[j]) + align(i, j + 1))
    elif j == s2.length:
        return gap(s1[i]) + align(i + 1, j))

(1) both = align(i + 1, j + 1) + obj(s1[i], s2[j])
    (2) first = align(i + 1, j) + gap(s1[i])
    (3) second = align(i, j + 1) + gap(s2[j])
    return min(both, first, second)
```

This yields a time complexity of $O(3^{m+n})$

(b) (2 pts)

	_	A	L	G	О
1.	Τ	1	2	3	4
	Е	2	2	3	4
	S	3	3	3	4
	Τ	4	4	4	4

The optimal alignment is zero gaps:

ALGO

TEST

2. The cost is the value in the bottom right corner, 4
Cost is 4 since there are 4 sets of characters that are different

Problem 2

(12 pts)

(a) Indices (i, j) store the minimum number of cuts needed to make the substring from i to j a set of palindromes.

```
\label{eq:def_min_palindrome} \begin{tabular}{ll} def & min_palindrome(s): \\ & sections = [\infty] * len(s) \\ & for j in [1, len(s)]: \\ & min_sections = j \\ & for i in [1, j]: \\ & if isPali(i, j): \\ & min_sections = min(min_sections, sections[i - 1] + 1) \\ & sections[j] = min_sections \end{tabular}
```

I'll test by running it on the string 'coffee'

Each iteration runs with a fixed endpoint j and a increasing start point i.

First iteration: $(1 \to 1, 1) \ 1 \ \infty \ \infty \ \infty \ \infty \ \infty$ Second iteration: $(1 \to 2, 2) \ 1 \ 2 \ \infty \ \infty \ \infty$ Third iteration: $(1 \to 3, 3) \ 1 \ 2 \ 3 \ \infty \ \infty$

Fourth iteration: $(1 \rightarrow 4, 4)$ 1 2 3 3 ∞ ∞

Fifth iteration: $(1 \rightarrow 5, 5)$ $\boxed{1}$ $\boxed{2}$ $\boxed{3}$ $\boxed{3}$ $\boxed{4}$ $\boxed{\infty}$ Sixth iteration: $(1 \rightarrow 6, 6)$ $\boxed{1}$ $\boxed{2}$ $\boxed{3}$ $\boxed{3}$ $\boxed{4}$ $\boxed{4}$

Let n = w.length

The time complexity is $O(n^2)$, as each iteration of the outer loop goes from i + 1 to the end of the array, resulting in $\sum_{j=1}^n j \approx \frac{j^2}{2}$

(b) Assume S is 1-indexed

We either add the new character as a standalone or use it as a palindrome with the previous characters in the string.

```
\mathrm{OPT}(i) = \min(\mathrm{OPT}(i-1) + 1, \, \min(\mathrm{OPT}(j-1) + 1 \text{ for } j \text{ in } [1, i-1] \text{ if } \mathrm{isPali}(j, i)))
```

Claim: sections[i] = minimum palindromic substrings of [1, i]

Base Case: i = 1

Our algorithm is correct since for the single first character, it'll return 1 as the min_sections variable will stay 1 as it's the minimum

By definition, OPT(1) = 1 = f(1)

Therefore, our base case is correct

Inductive Hypothesis: Assume f(i) = OPT(i) = sections[i] for all $i \le k$

Inductive Step: Show f(k + 1) is correct

We know OPT(k + 1) = min(OPT(k) + 1, min(OPT(j - 1) + 1 for j in [1, k] if isPali(j, k + 1)))

```
f(k+1) = min(sections[i-1]+1) for i in [1, k+1] if isPali(i, k+1)
```

If the new character can't be used as a palindrome, then the minimum number of sections is just the previous string with this new character as a standalone

This yields the same result as the algorithm

If the new character can be used as a palindrome, then the minimum number of sections would be the minimum number of sections of the string before the start of this new palindrome plus 1

These is the logic of our algorithm, and it matches the optimal solution

Therefore, f(k + 1) = OPT(k + 1)

Therefore, our algorithm produces the optimal solution

Problem 3

(10 pts)

(a) Since we can't include the direct children of a manager, then we need to include subtrees another layer deeper.

The optimal solution could be to include the current person, but if the manager was accounted for, more enjoyment could be gained.

This isn't greedy since local best choices don't always lead to the global best choice.

(b) I used memoized top-down approach to store the maximum enjoyment for each person. The idea is to store the two maximum enjoyments for each person in a map, one for the person potentially included in the enjoyment and one for the person not allowed in the enjoyment.

 e_i = enjoyment if person can be included and its associated list of people

 e_e = enjoyment if person can't be included and its associated list of people

```
Map(person, \{e_i, e_e\}) def max_enjoyment(person, canInclude) -> (int, List):
```

```
if person in Map:
           return Map(person, canInclude ? \max(e_i, e_e) : e_e)
     ci = person.enjoyment
     for child in person.children:
          ci += max_enjoyment(child, false)
     ce = 0
     for child in person.children:
           ce += max_enjoyment(child, true)
     Map(person, {ci, ce})
     return canInclude ? max(ci, ce) : ce
Let i be the level where i = 0 is the bottom most person
The optimal solution is OPT(i) = max(OPT(i-1), OPT(i-2) + enjoyment_i)
We compute each peron's enjoyment included and excluded once, so the time complex-
ity is O(n), where n = number of people
Now, I'll show the algorithm is optimal
Base Case: person is bottom of tree, show f(0) = OPT(0)
Then, e_i = person.enjoyment and e_e = 0
This is optimal since OPT(i) = max(0, 0 + enjoyment_i) = enjoyment_i
Therefore, our base case is correct
Inductive Hypothesis: Assume f(i) = OPT(i) for i \le k
Inductive Step: Show f(k + 1) = OPT(k + 1)
We know OPT(k + 1) = max(OPT(k), OPT(k - 1) + enjoyment_{k+1})
Our algorithm computes e_e = f(k) and e_i = f(k-1) + \text{enjoyment}_{k+1}
It then returns \max(e_e, e_i) since we allow the inclusion of the current person
Since f(k) = OPT(k) and f(k-1) = OPT(k-1), then f(k+1) = max(OPT(k), OPT(k))
-1) + enjoyment<sub>k+1</sub>)
Therefore, f(k + 1) = OPT(k + 1)
Therefore, our algorithm produces the optimal solution
```