


# Turing Machines

**Formal Languages and Abstract Machines**

**Week 10**

**Baris E. Suzek, PhD**

# Outline

- Last week 
- Formal Definition for Turing Machines
- Computing Functions with Turing Machines
- Turing's Thesis
- Variations of the Turing Machine
- Universal Turing Machine
- Countable/uncountable Sets

# Context-Free and Regular Languages

Context-Free Languages

$$\{a^n b^n\} \quad \{ww^R\}$$

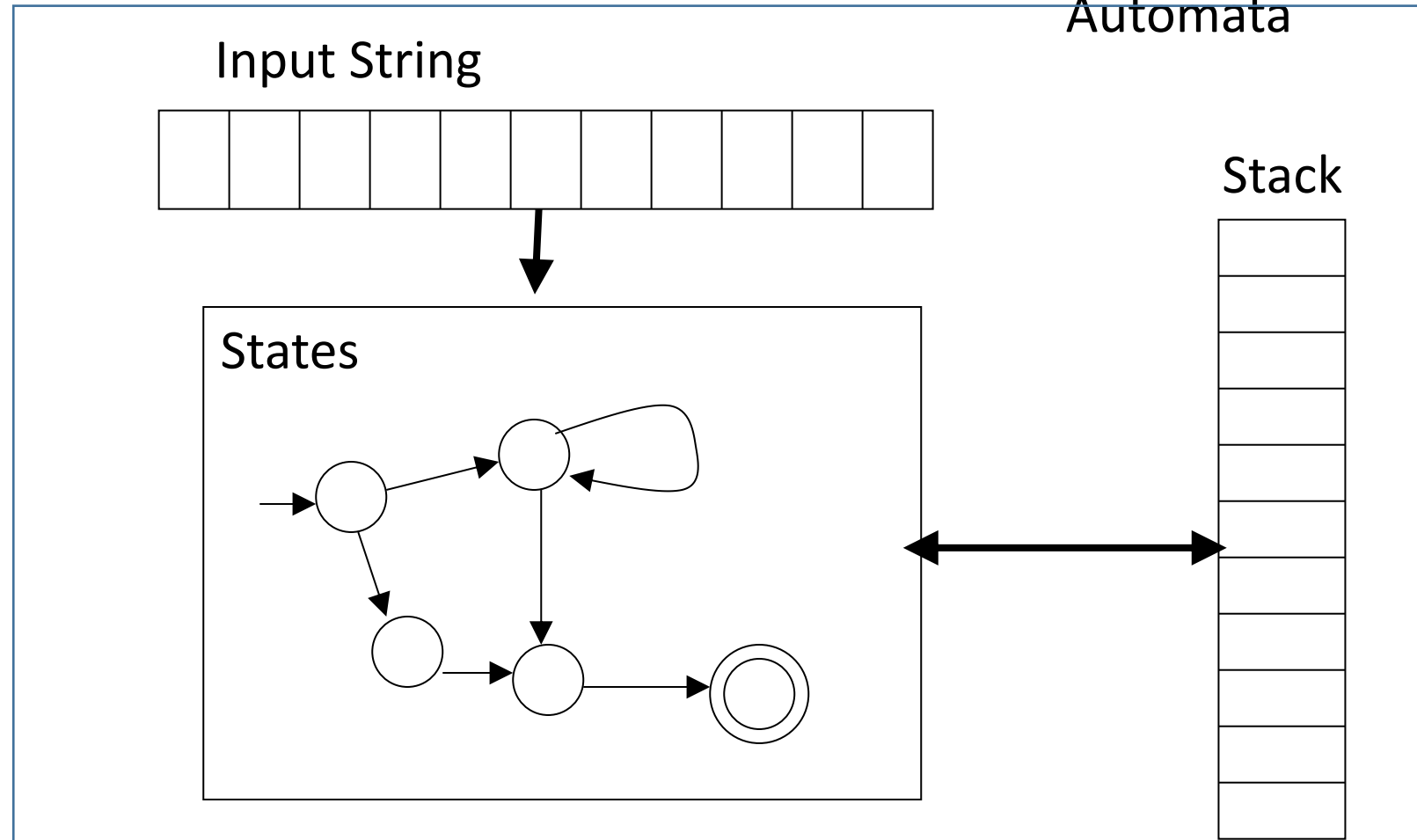
Regular Languages

$$a^*b^* \quad (a+b)^*$$

# Context-Free Languages

Context-Free  
Grammars

Pushdown  
Automata



A string is accepted if there is  
one computation such that:

All the input is consumed

**AND**

The last state is a final state

At the end of the computation,  
we do not care about the stack contents

A string is rejected  
if in every computation with this string:

The input cannot be consumed

**OR**

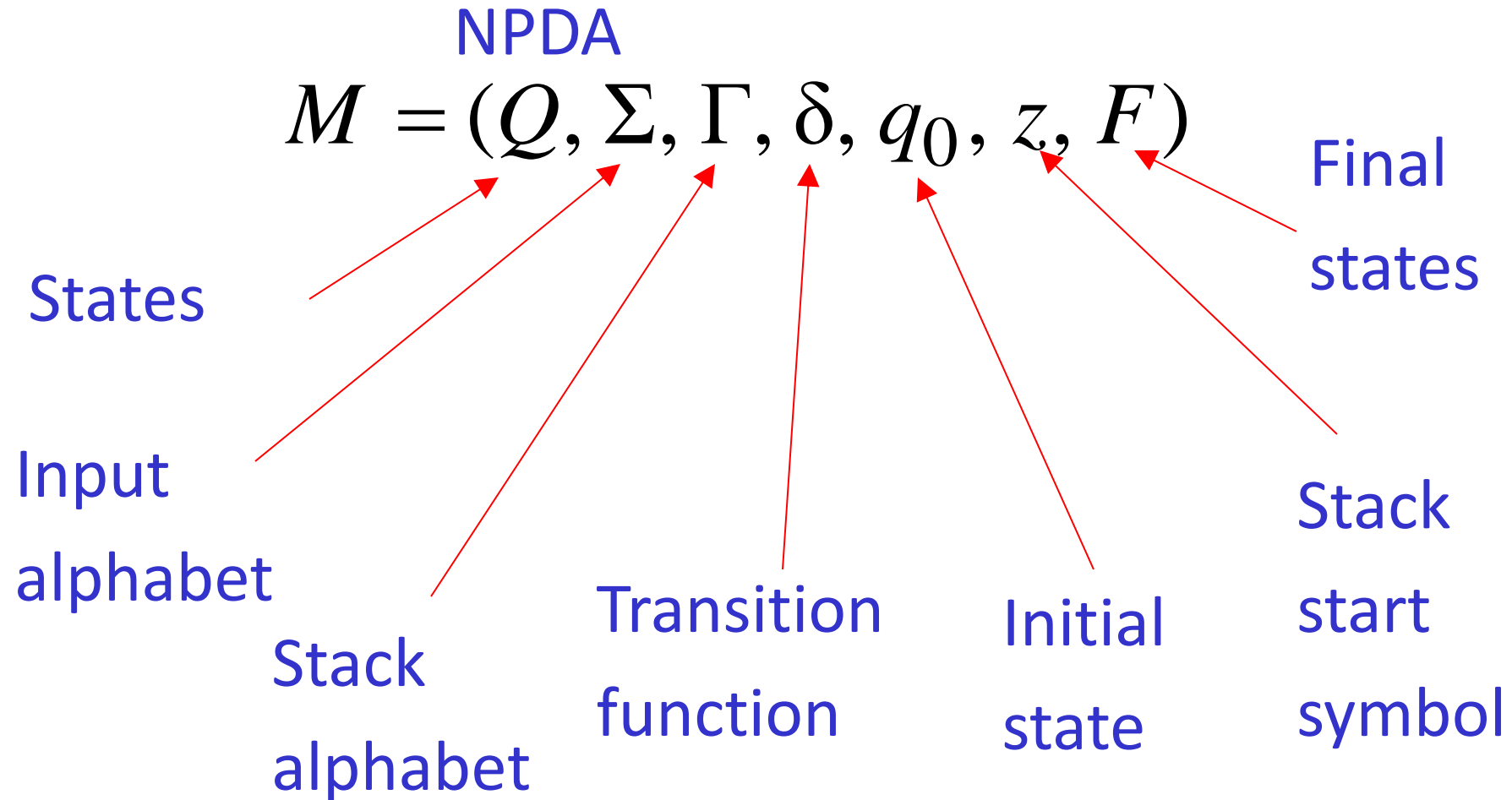
The input is consumed and the last state  
is not a final state

**OR**

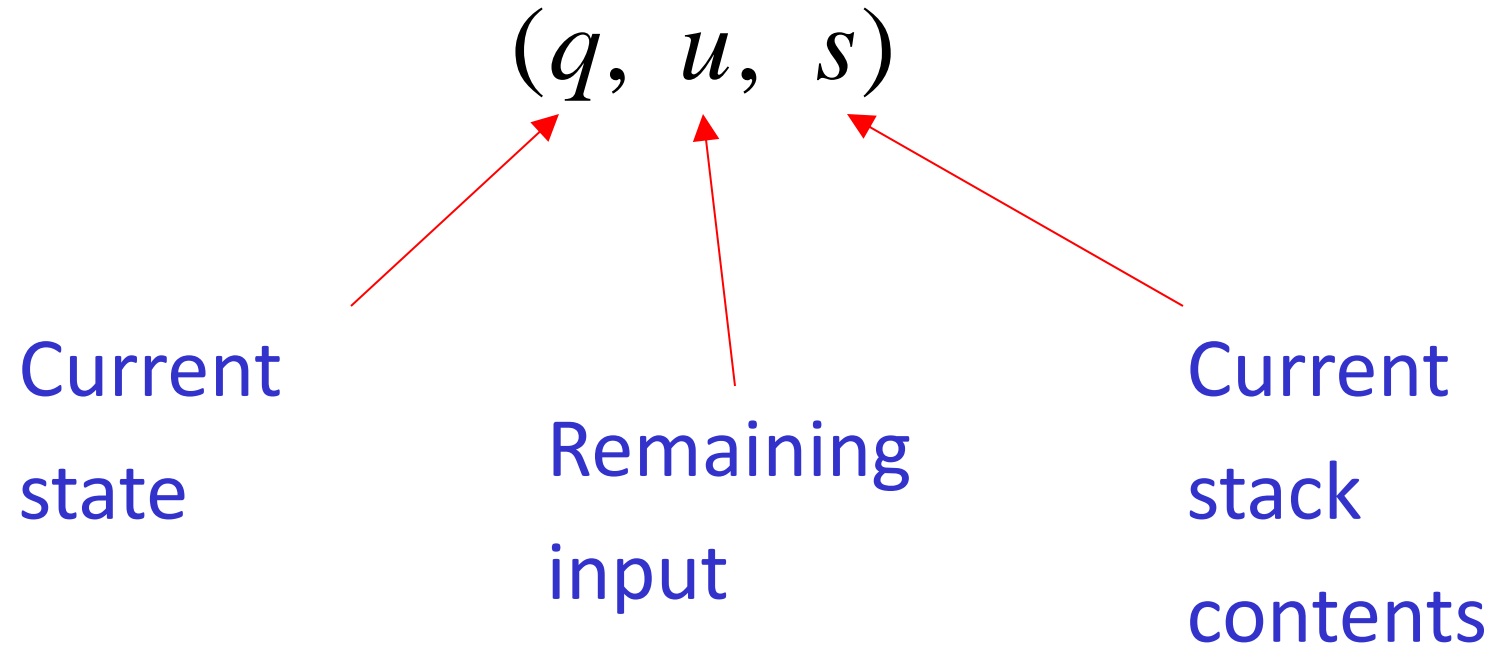
The stack head moves below the bottom  
of the stack

# Formal Definition

## Non-Deterministic Pushdown Automaton



# Instantaneous Description

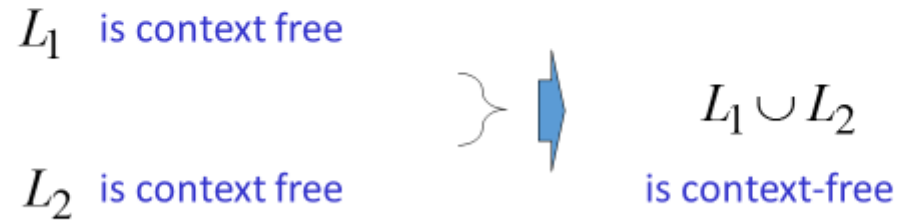




## Union

Context-free languages  
are closed under:

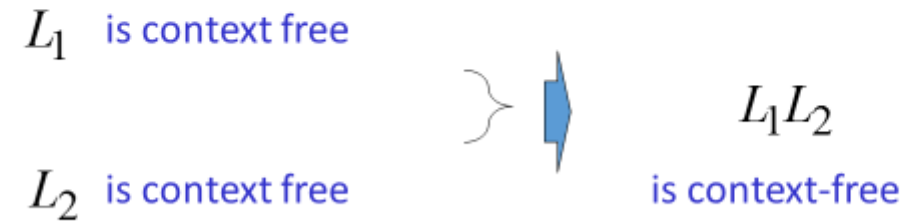
**Union**



## Concatenation

Context-free languages  
are closed under:

**Concatenation**



## Star Operation

Context-free languages  
are closed under:

**Star-operation**



## Intersection

Context-free languages  
are not closed under:

**intersection**

$L_1$  is context free



$$L_1 \cap L_2$$

$L_2$  is context free

not necessarily  
context-free

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## Complement

Context-free languages  
are not closed under:

**complement**

$L$  is context free

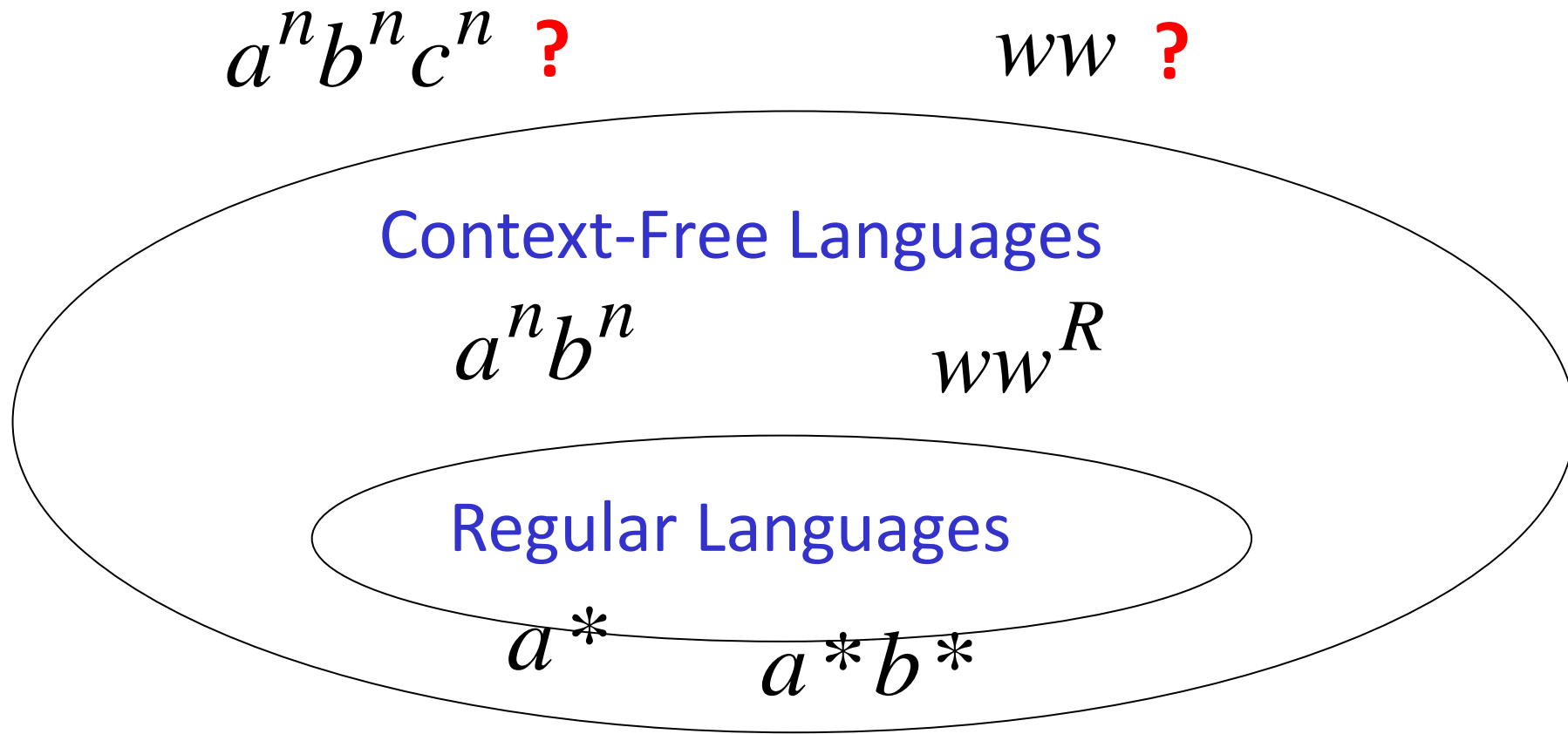


$\bar{L}$

not necessarily  
context-free

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# The Language Hierarchy



Languages accepted by  
**Turing Machines**

$a^n b^n c^n$

$ww$

Context-Free Languages

$a^n b^n$

$ww^R$

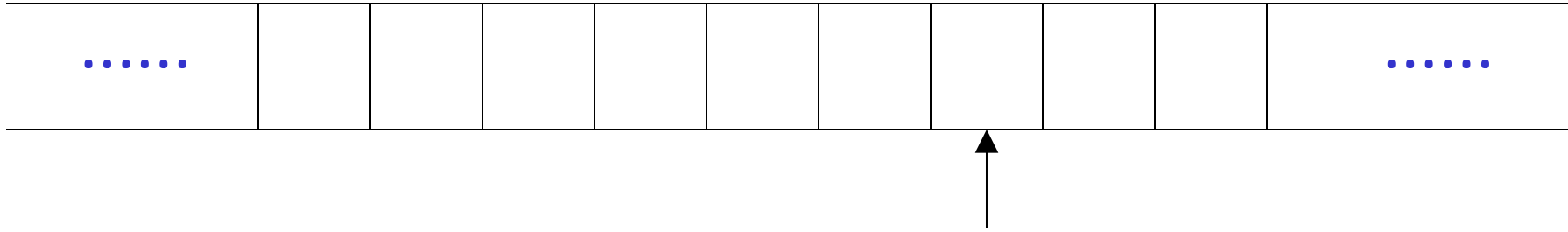
Regular Languages

$a^*$

$a^* b^*$

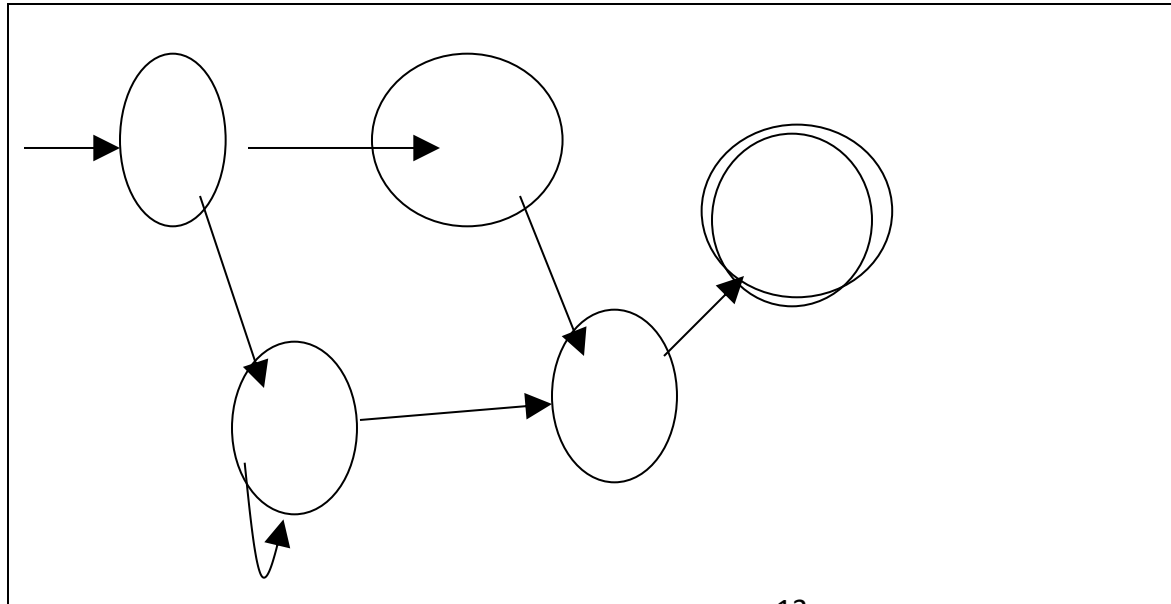
# A Turing Machine

Tape



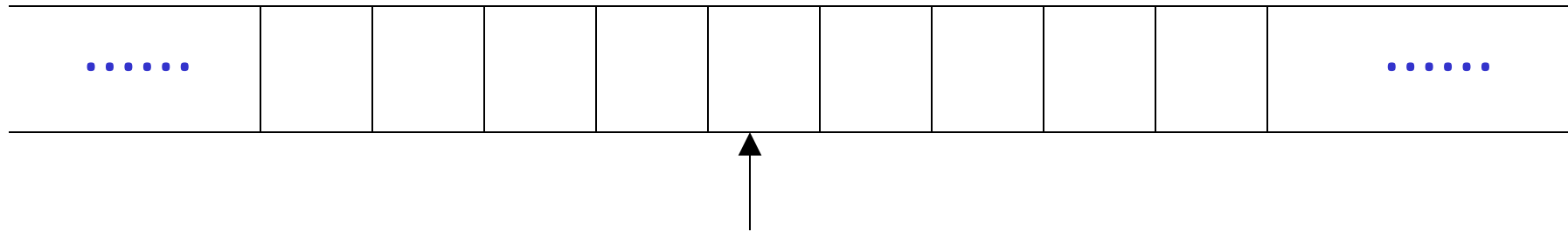
Read-Write head

Control Unit



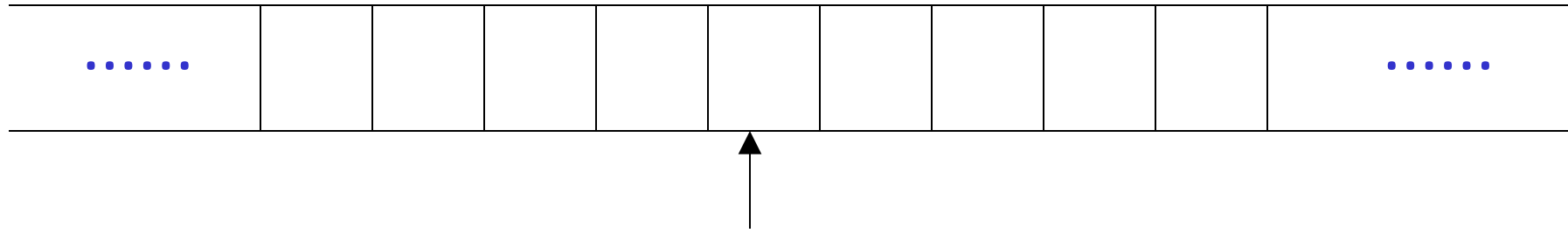
# The Tape

No boundaries -- infinite length



Read-Write head

The head moves Left or Right



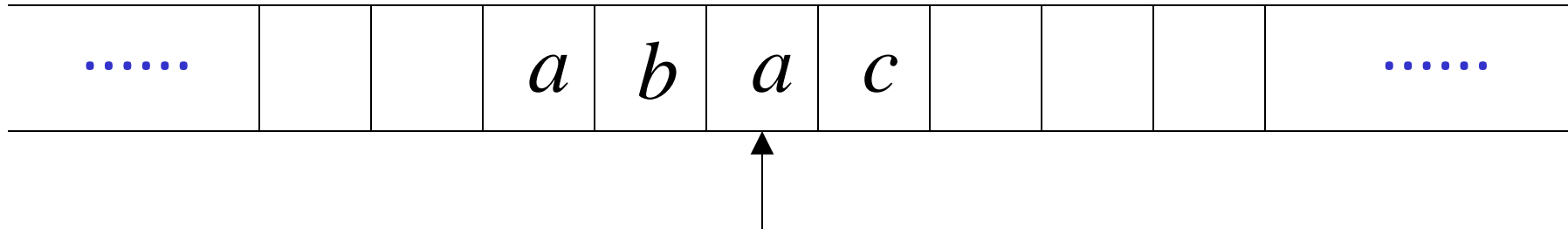
Read-Write head

The head at each time step:

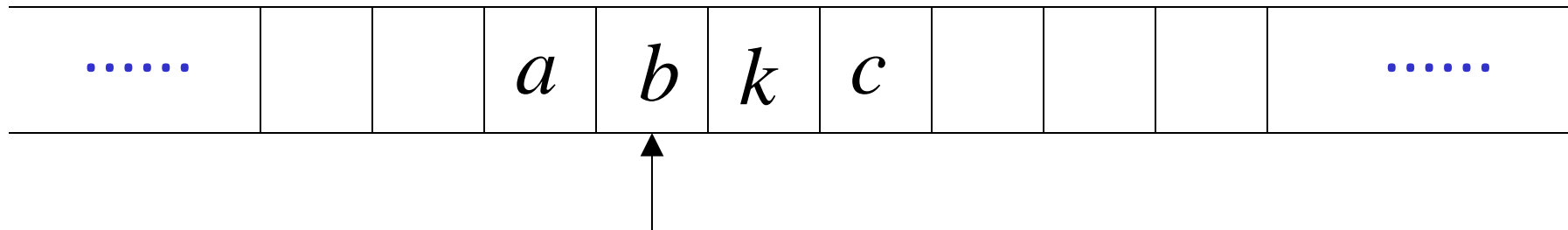
1. Reads a symbol
2. Writes a symbol
3. Moves Left or Right

Example:

Time 0



Time 1



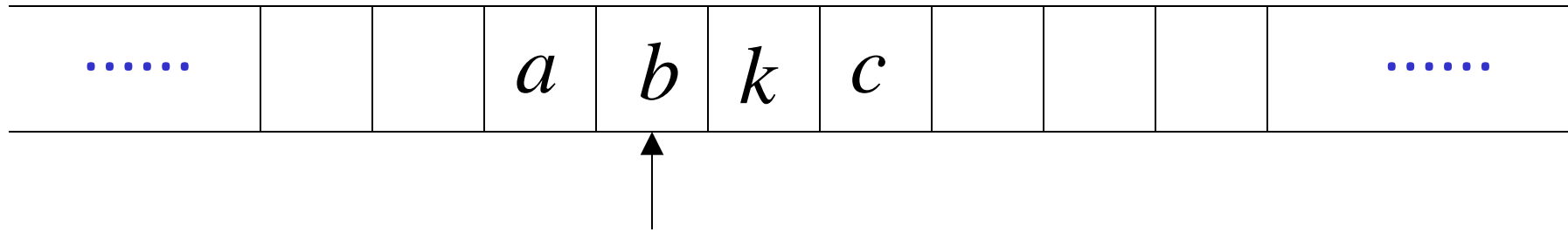
1. Reads  $a$

2. Writes  $k$

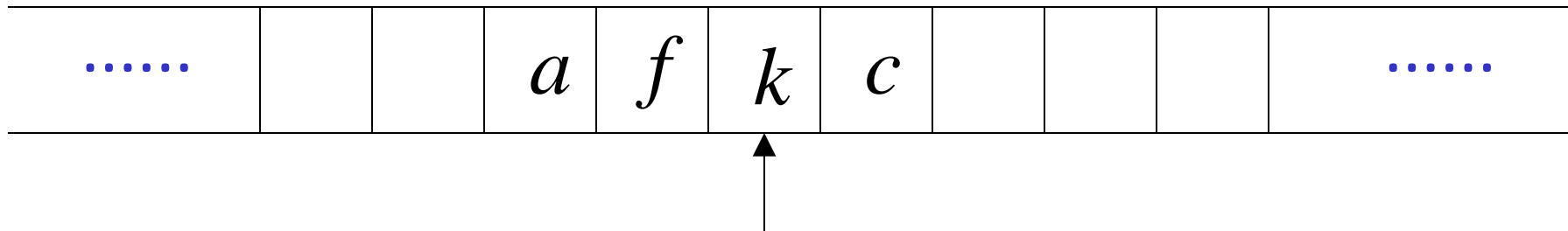
3. Moves Left



Time 1

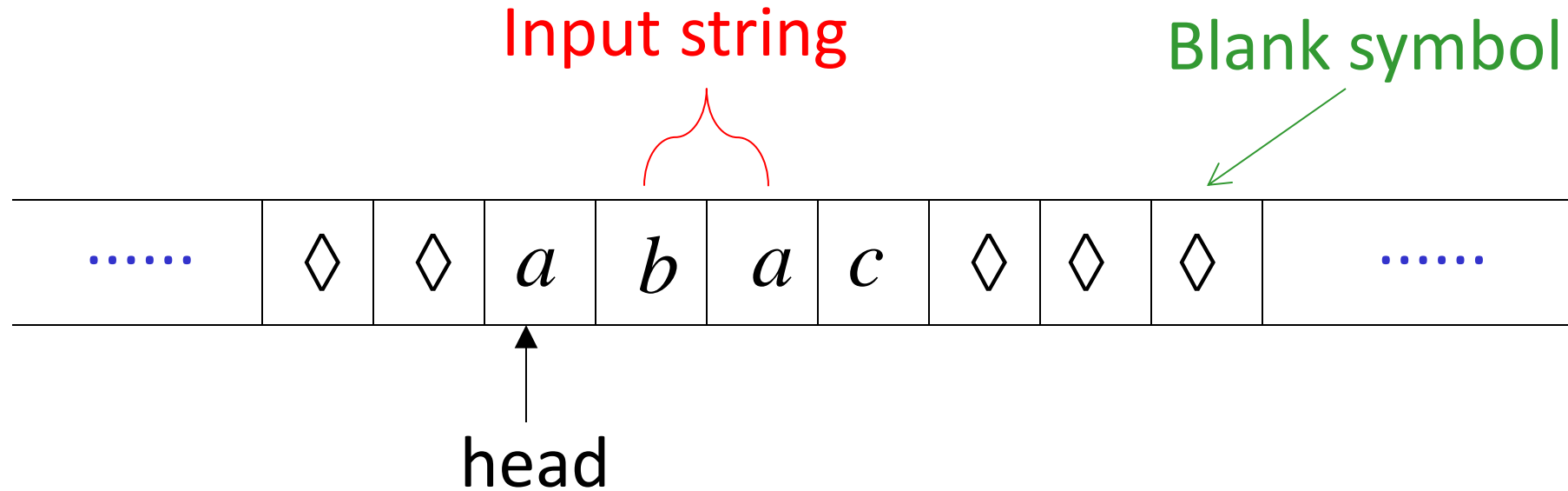


Time 2

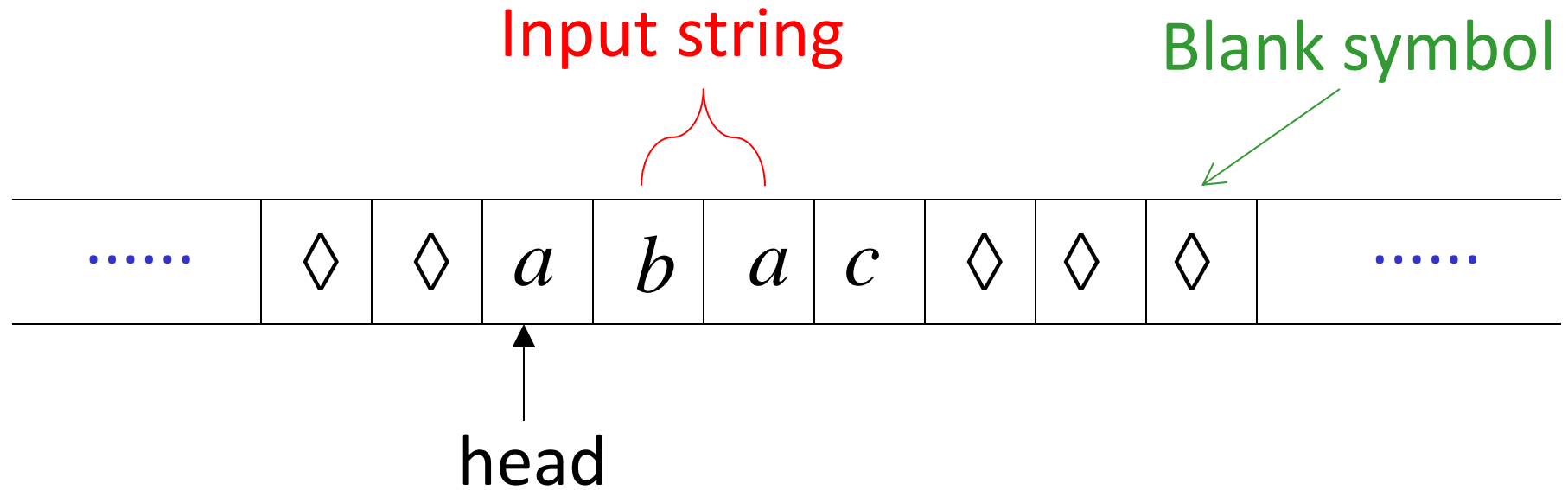


1. Reads  $b$
2. Writes  $f$
3. Moves Right

# The Input String

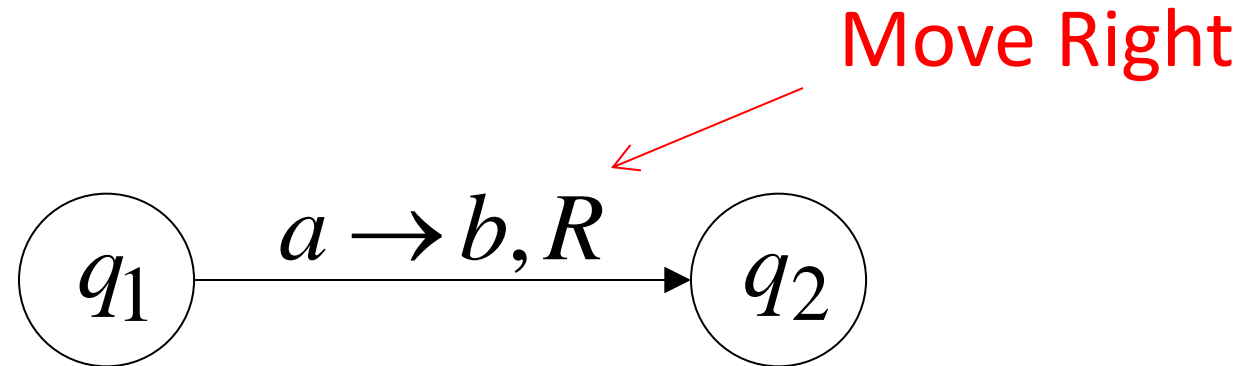
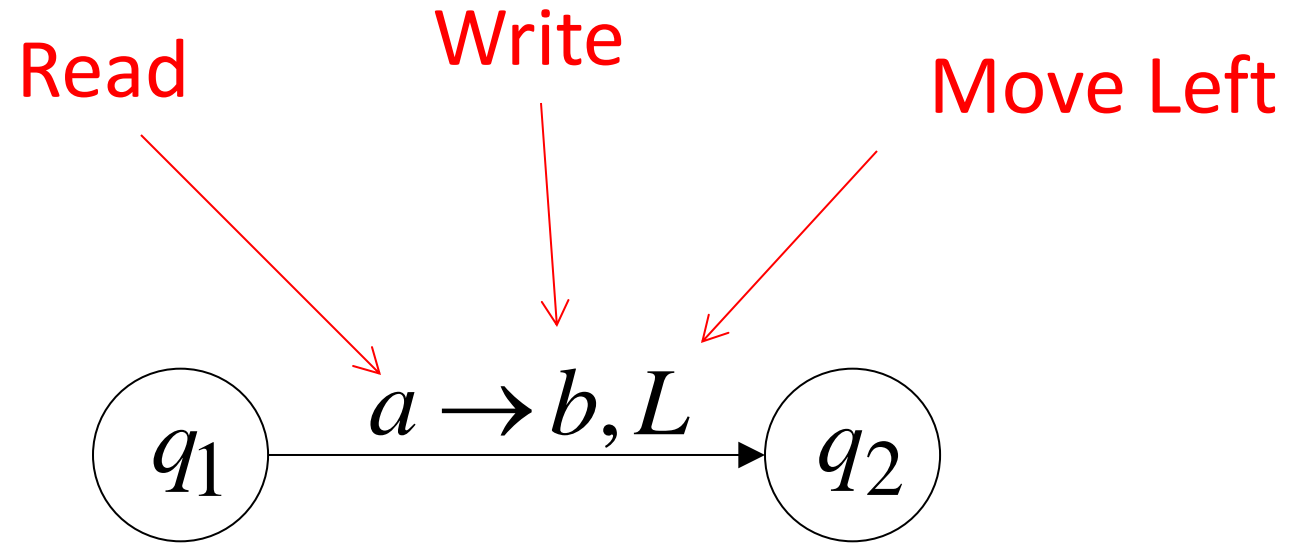


Head starts at the leftmost position  
of the input string



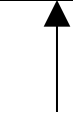
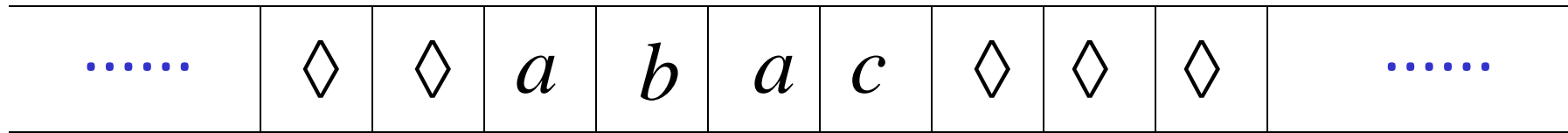
Remark: The input string is never empty

# States & Transitions



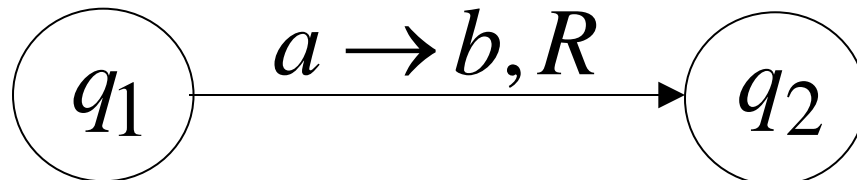
Example:

Time 1

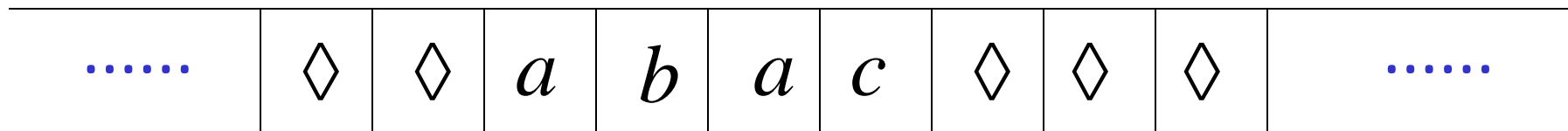


$q_1$

current state

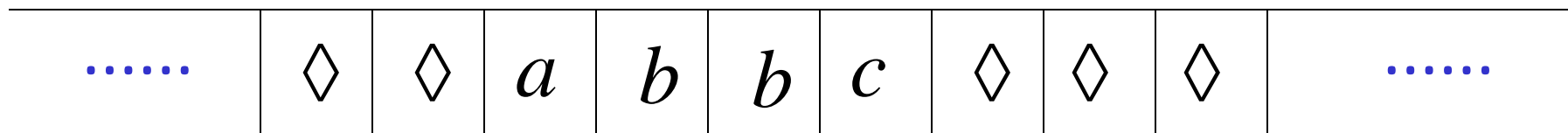


Time 1

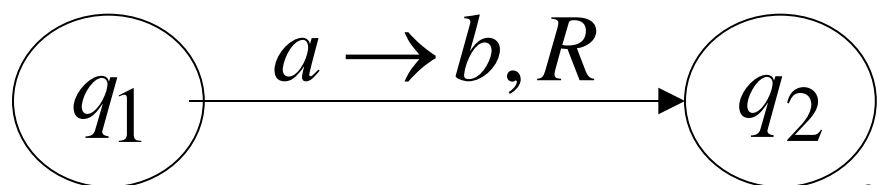


$q_1$

Time 2

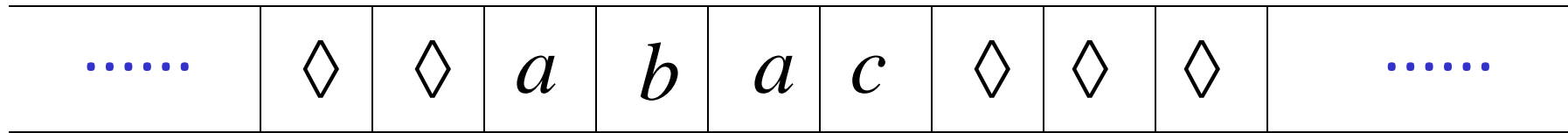


$q_2$



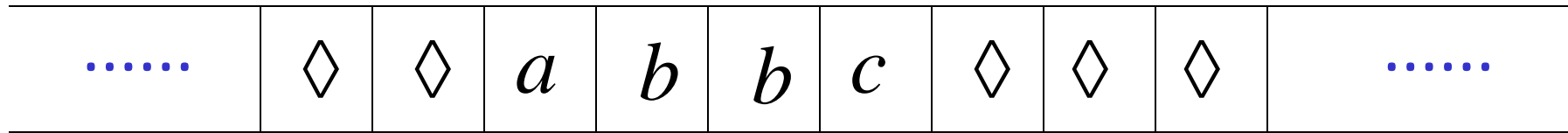
Example:

Time 1

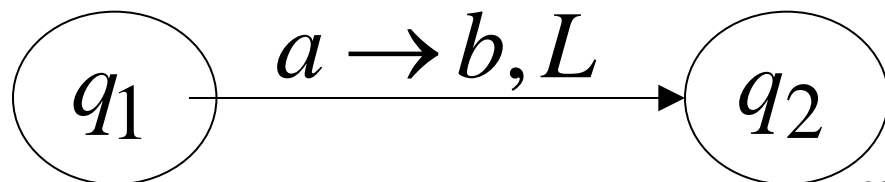


$q_1$

Time 2

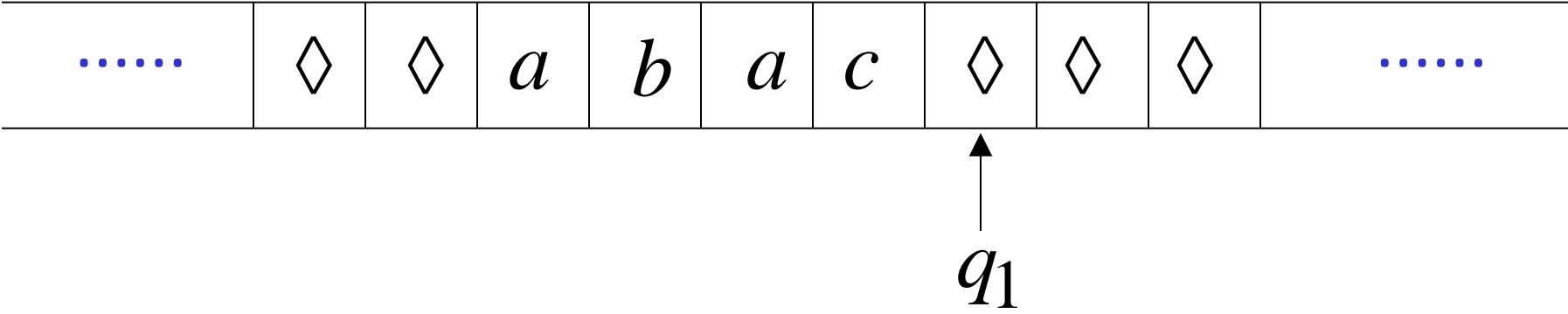


$q_2$

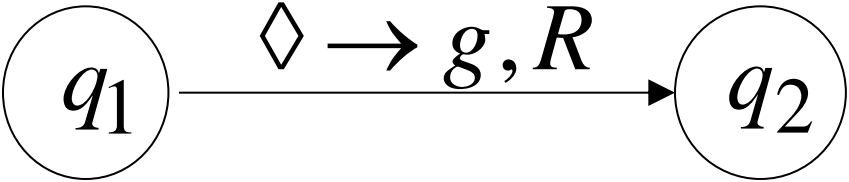
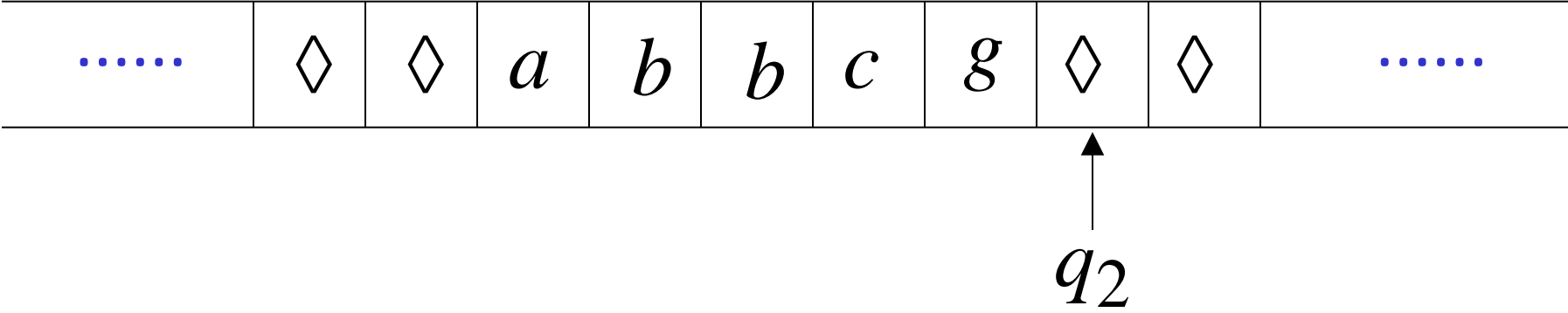


Example:

Time 1



Time 2

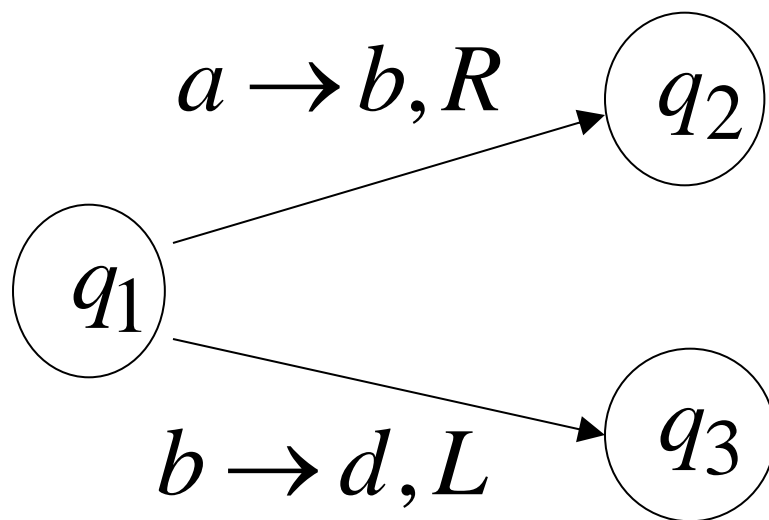




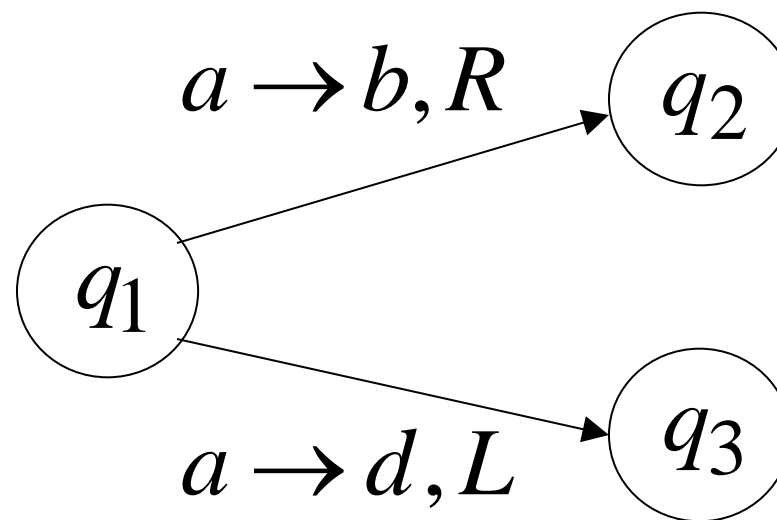
# Determinism

Turing Machines are deterministic

Allowed



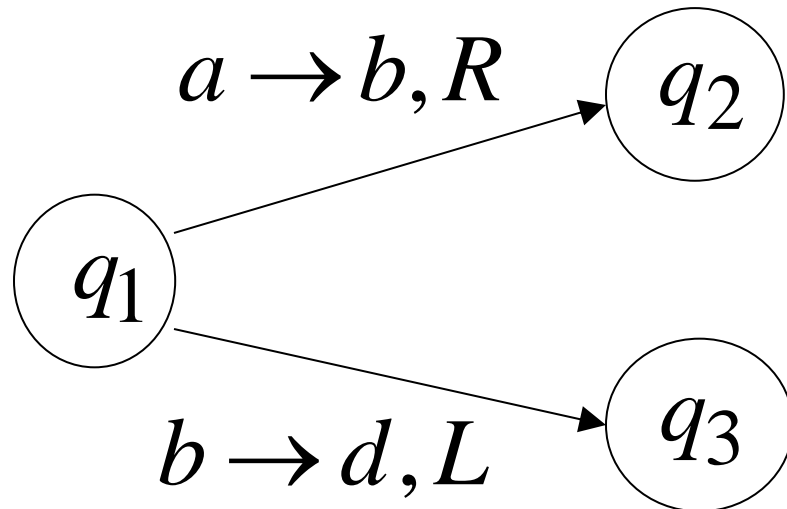
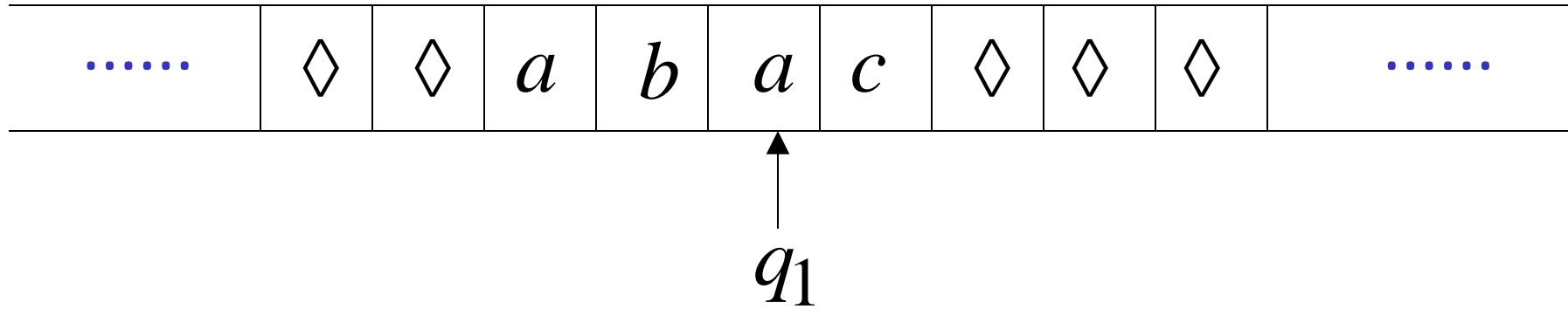
Not Allowed



No lambda transitions allowed

# Partial Transition Function

Example:



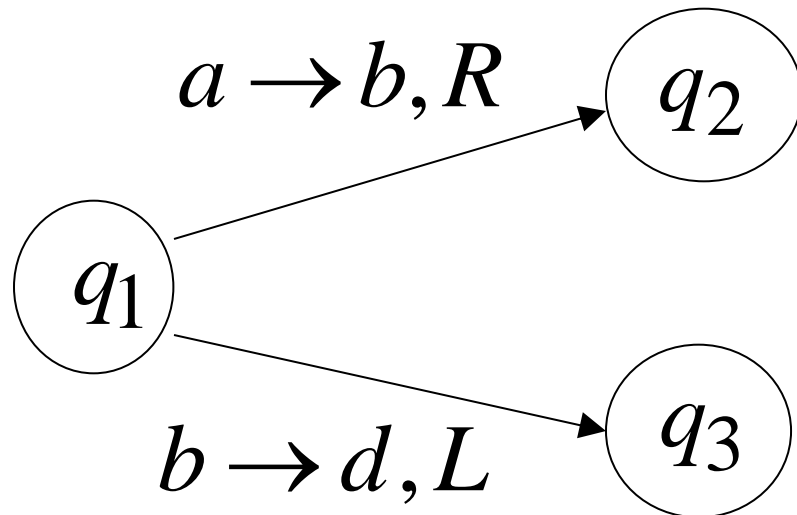
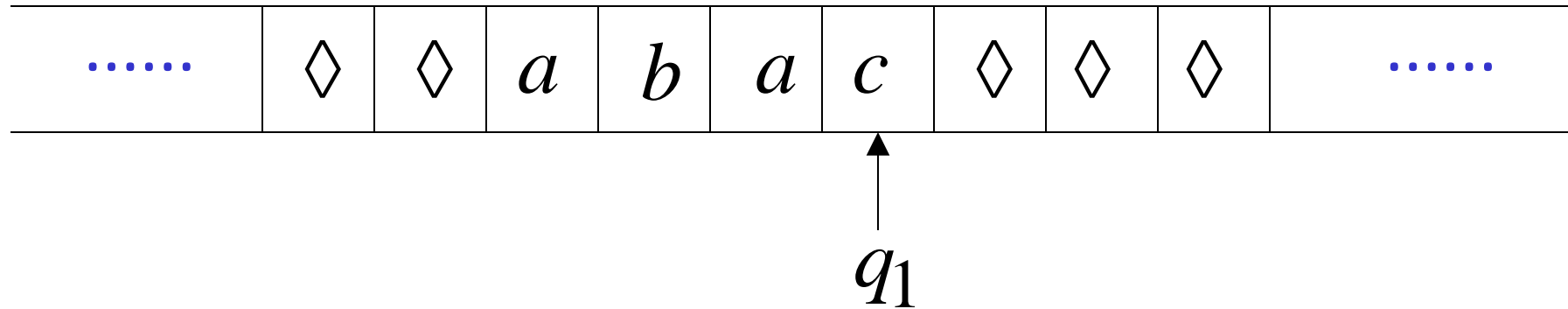
Allowed:

No transition  
for input symbol  $c$

# Halting

The machine *halts* if there are  
no possible transitions to follow

Example:



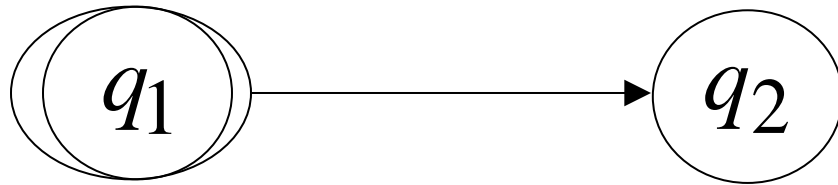
No possible transition

**HALT!!!**

# Final States



Allowed



**Not Allowed**

- Final states have no outgoing transitions
- In a final state the machine halts

# Acceptance

Accept Input



If machine halts  
in a final state

Reject Input



If machine halts  
in a non-final state

or

If machine enters  
*an infinite loop*

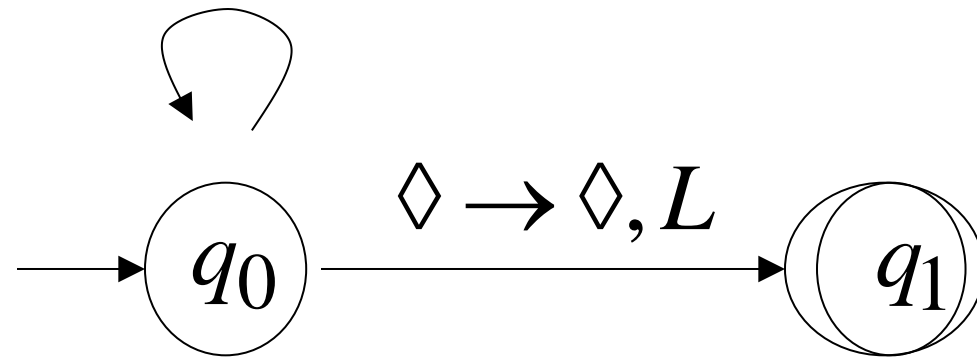
# Infinite Loop Example

A Turing machine  
for language

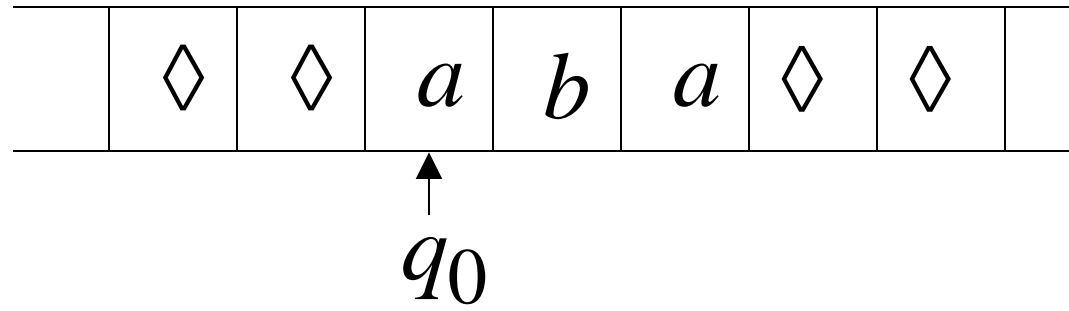
$$aa^* + b(a + b)^*$$

$$b \rightarrow b, L$$

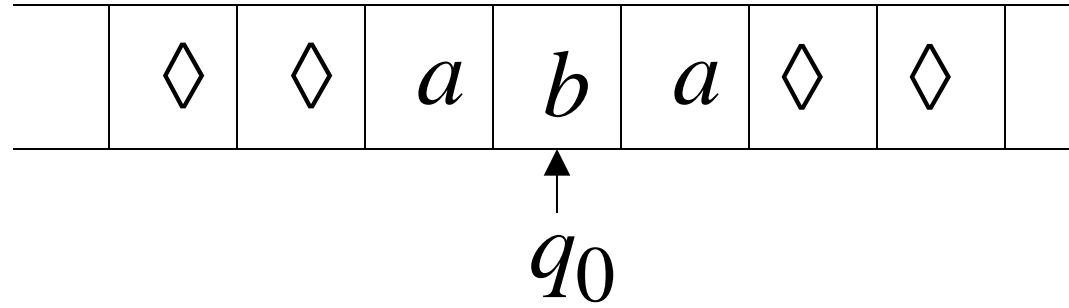
$$a \rightarrow a, R$$



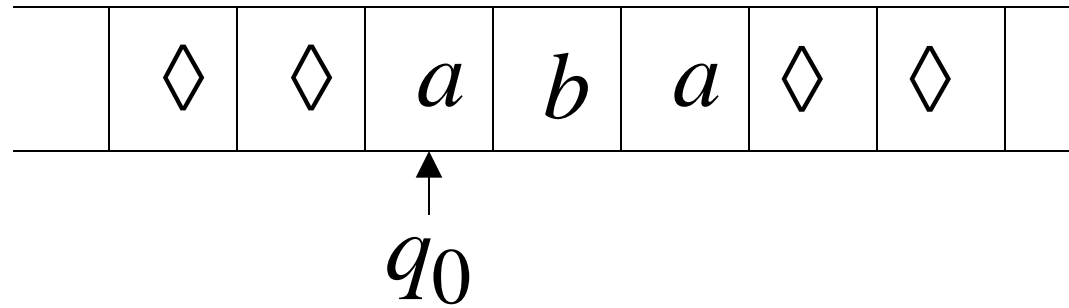
Time 2



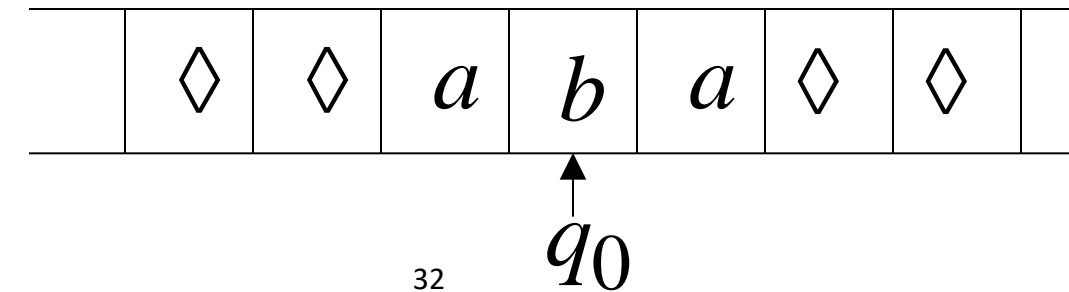
Time 3



Time 4



Time 5



Infinite loop



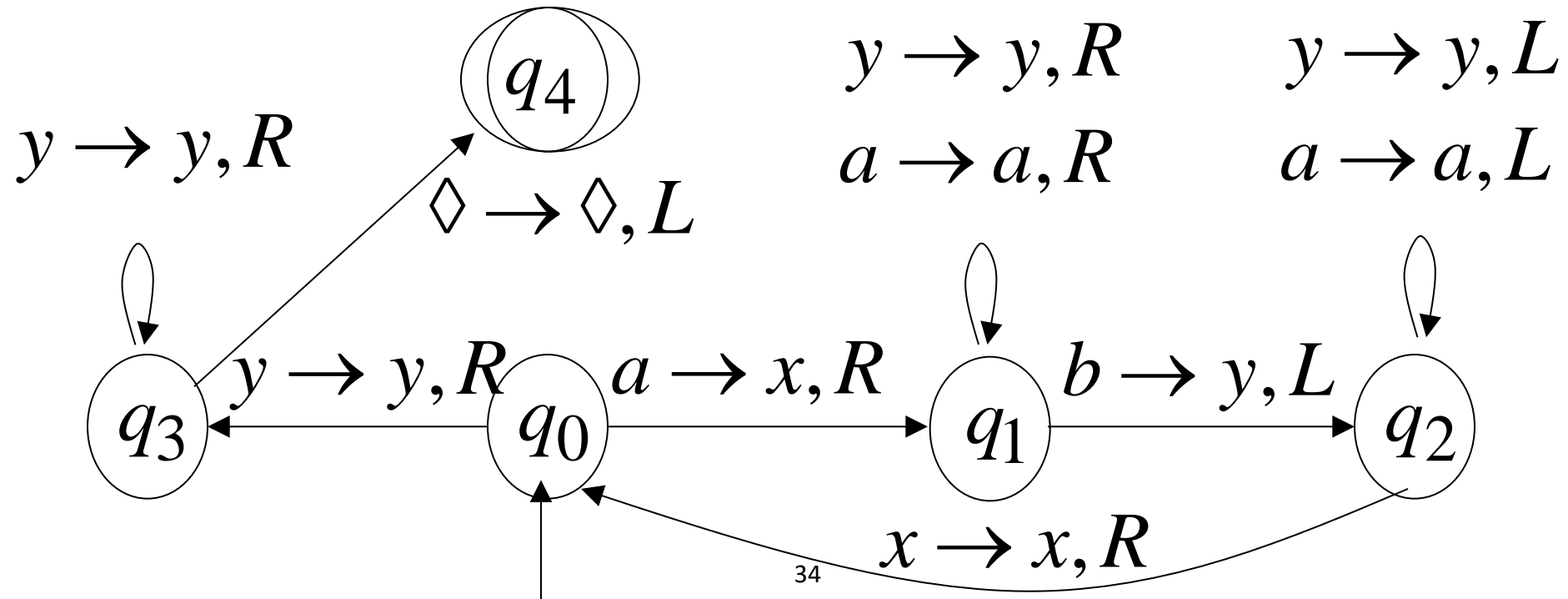
Because of the **infinite loop**:

- The final state cannot be reached
- The machine never halts
- The input is **not accepted**

# Another Turing Machine Example

Turing machine for the language

$$\{a^n b^n\}$$



# Standard Turing Machine

The machine we described is the standard:

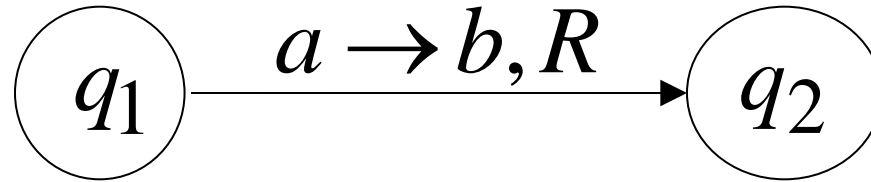
- Deterministic
- Infinite tape in both directions
- Tape is the input/output file

# Outline

- Last week
- Formal Definition for Turing Machines
- Computing Functions with Turing Machines
- Turing's Thesis
- Variations of the Turing Machine
- Universal Turing Machine
- Countable/uncountable Sets

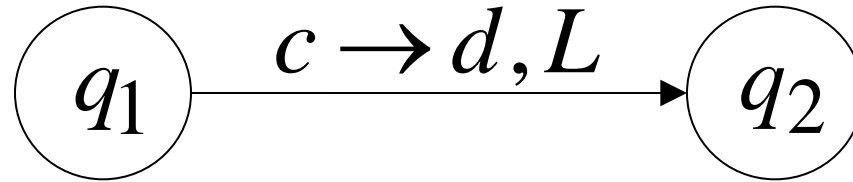


# Transition Function



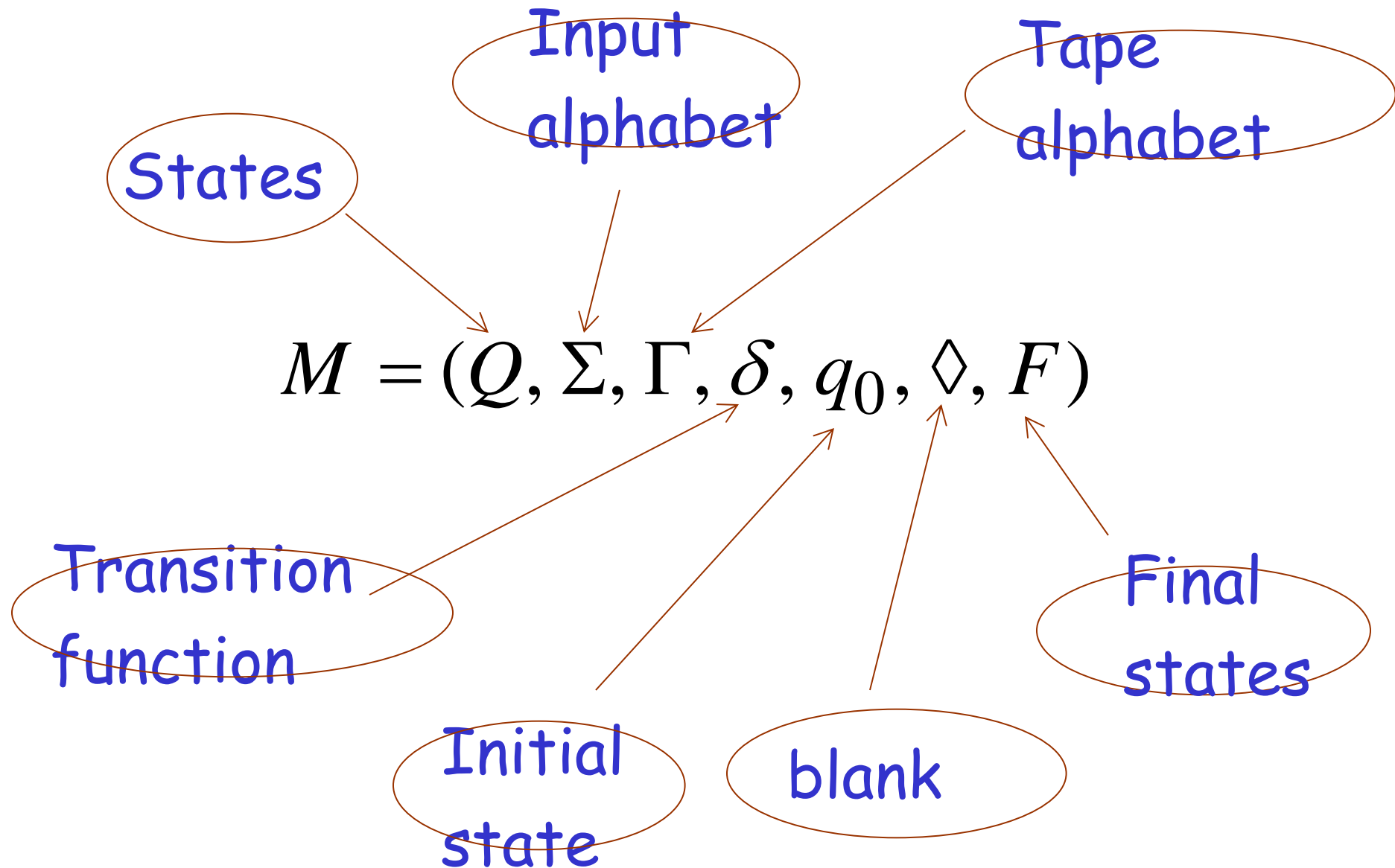
$$\delta(q_1, a) = (q_2, b, R)$$

# Transition Function

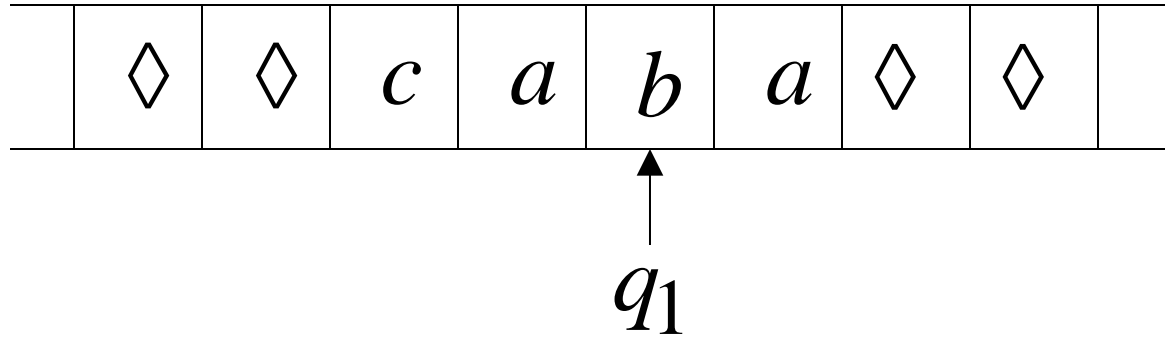


$$\delta(q_1, c) = (q_2, d, L)$$

# Turing Machine:



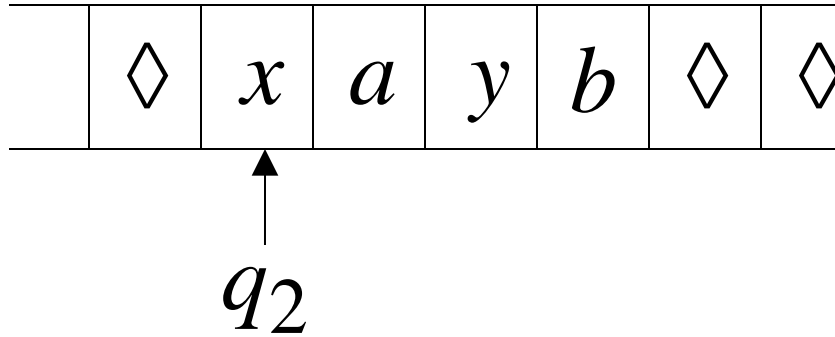
# Configuration



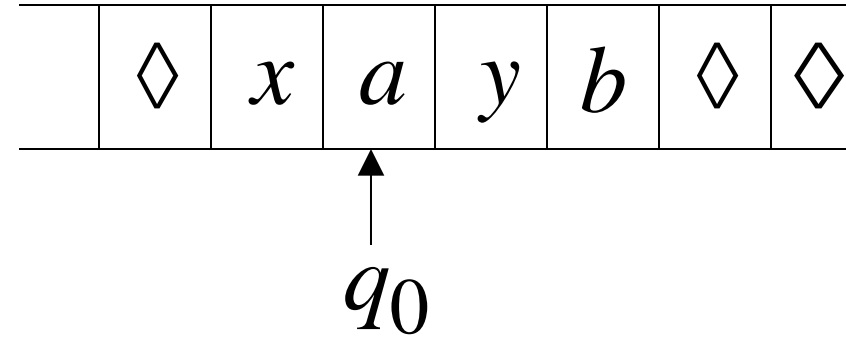
Instantaneous description:  $ca\ q_1\ ba$



Time 4

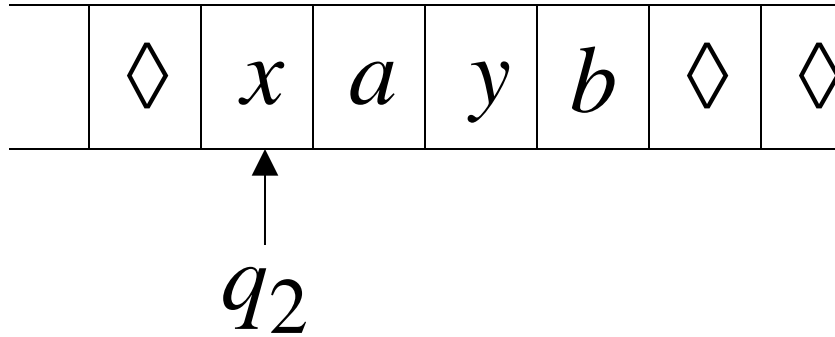


Time 5

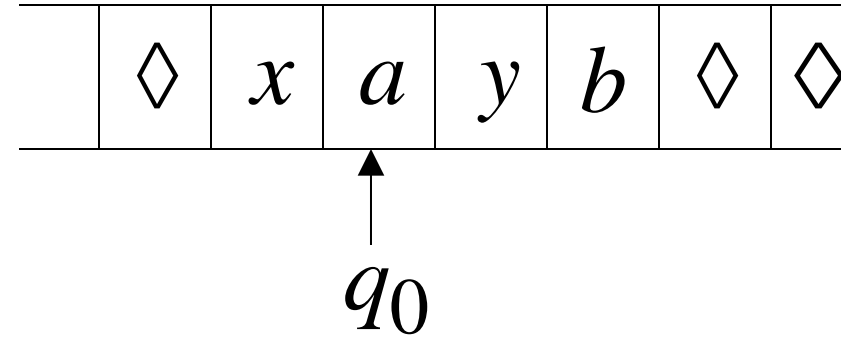


**A Move:**  $q_2 \ x a y b \succ x \ q_0 \ a y b$

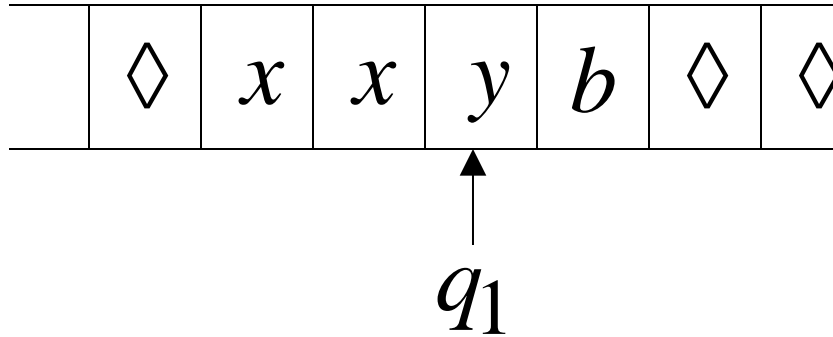
Time 4



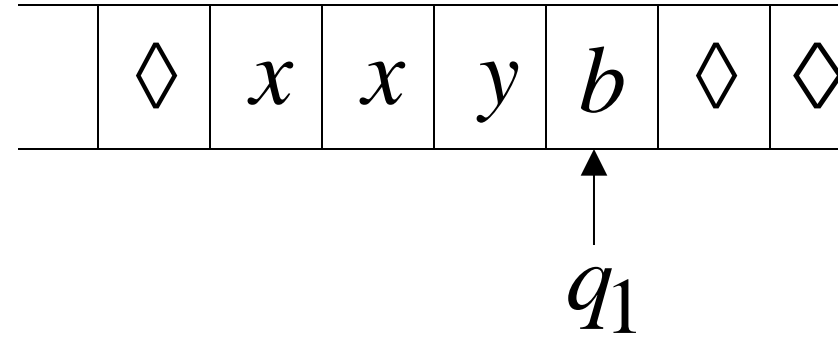
Time 5



Time 6



Time 7



$$q_2 \ x a y b \succ x \ q_0 \ a y b \succ x x \ q_1 \ y b \succ x x y \ q_1 \ b$$

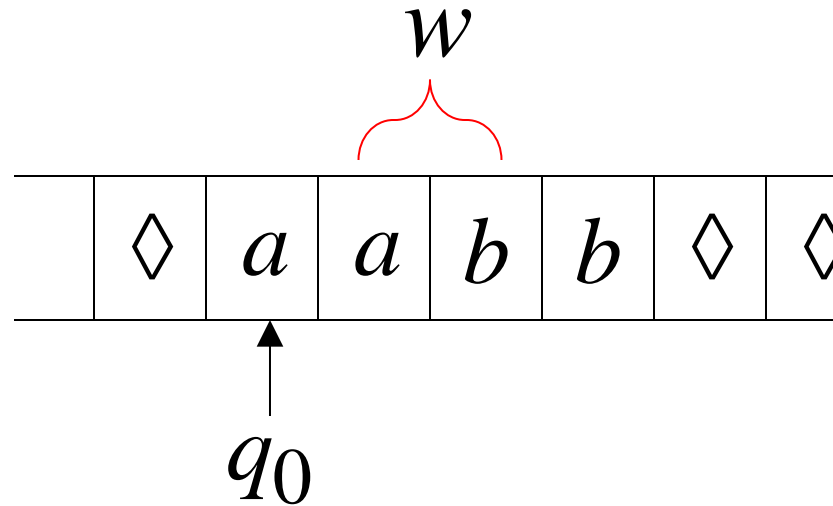
$$q_2 xayb \succ x q_0 ayb \succ xx q_1 yb \succ xxy q_1 b$$

Equivalent notation:

$$q_2 xayb \overset{*}{\succ} xxy q_1 b$$

Initial configuration:  $q_0 w$

Input string



# The Accepted Language

For any Turing Machine  $M$

$$L(M) = \{w : q_0 w \xrightarrow{*} x_1 q_f x_2\}$$

Initial state



Final state



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A function may have many parameters:

Example: Addition function

$$f(x, y) = x + y$$

# Integer Domain

Decimal: 5

Binary: 101

Unary: 1111

We prefer **unary** representation:

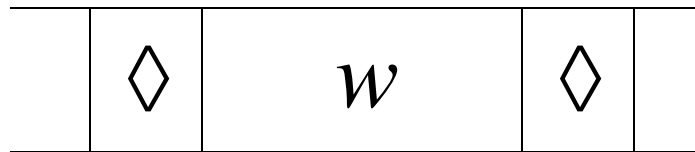
easier to manipulate with Turing machines



## Definition:

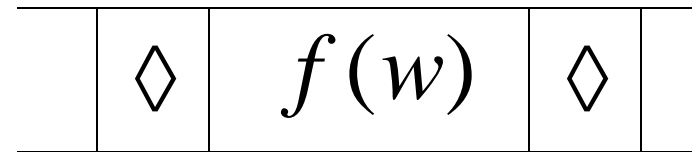
A function  $f$  is computable if  
there is a Turing Machine  $M$  such that:

Initial configuration



$q_0$  initial state

Final configuration

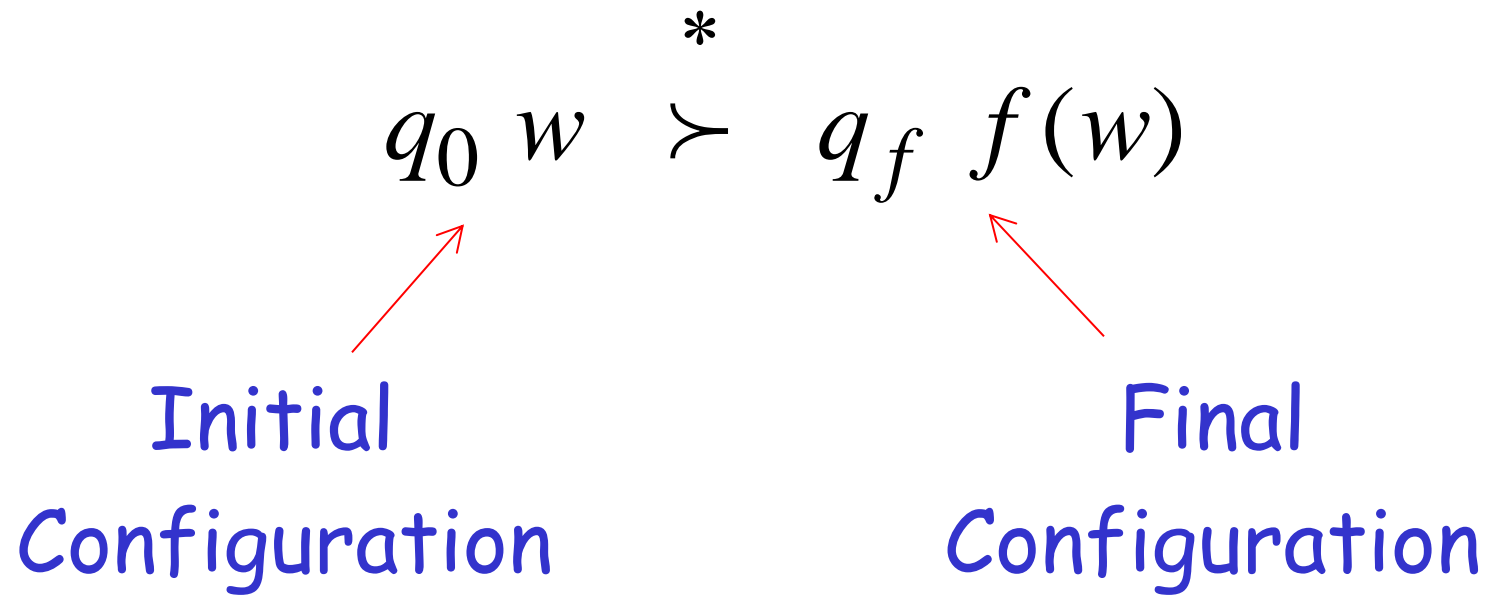


$q_f$  final state

For all  $w \in D$  Domain

In other words:

A function  $f$  is computable if  
there is a Turing Machine  $M$  such that:



For all  $w \in D$  Domain

# Example

The function  $f(x, y) = x + y$  is computable

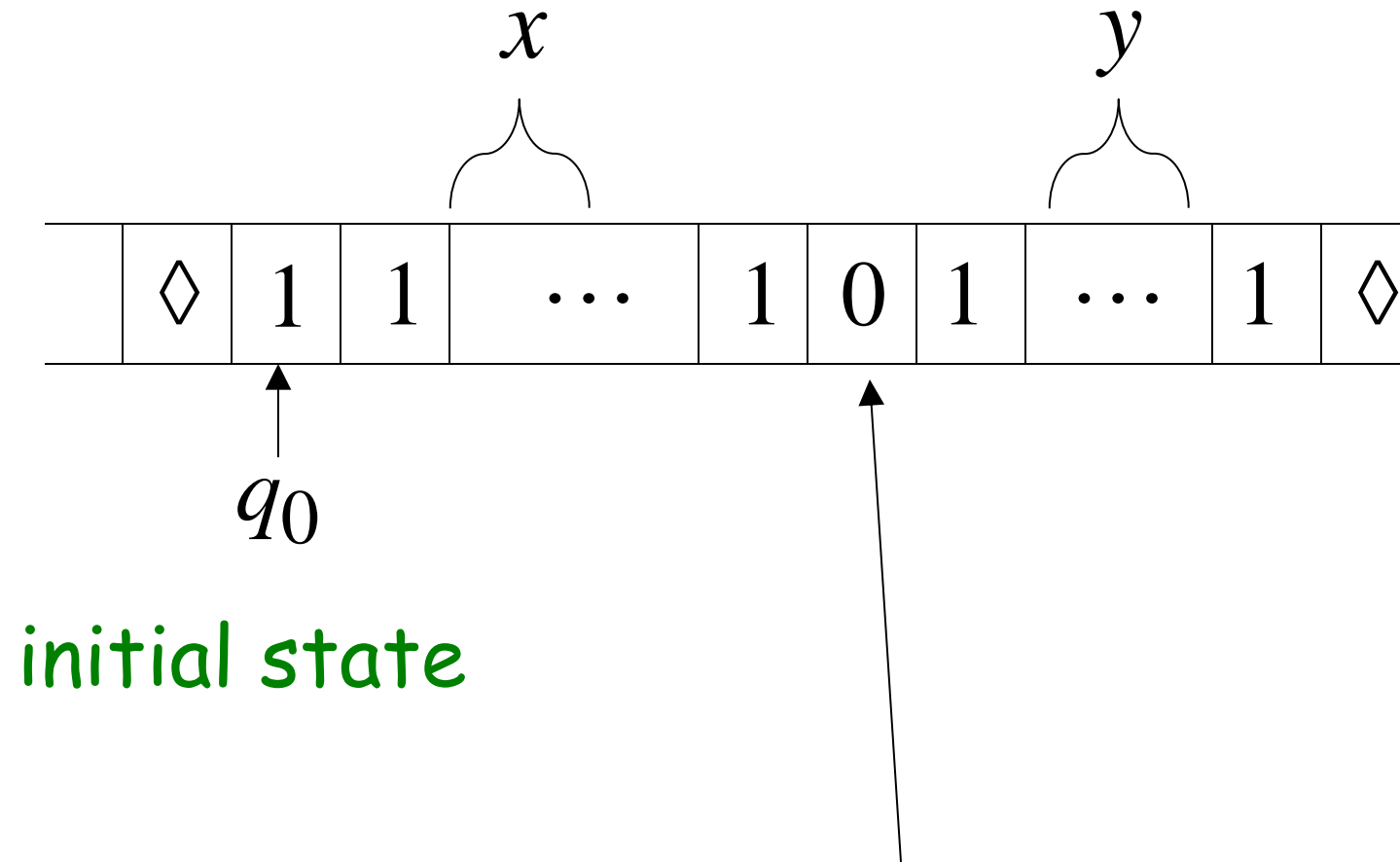
$x, y$  are integers

Turing Machine:

Input string:  $x0y$  unary

Output string:  $xy0$  unary

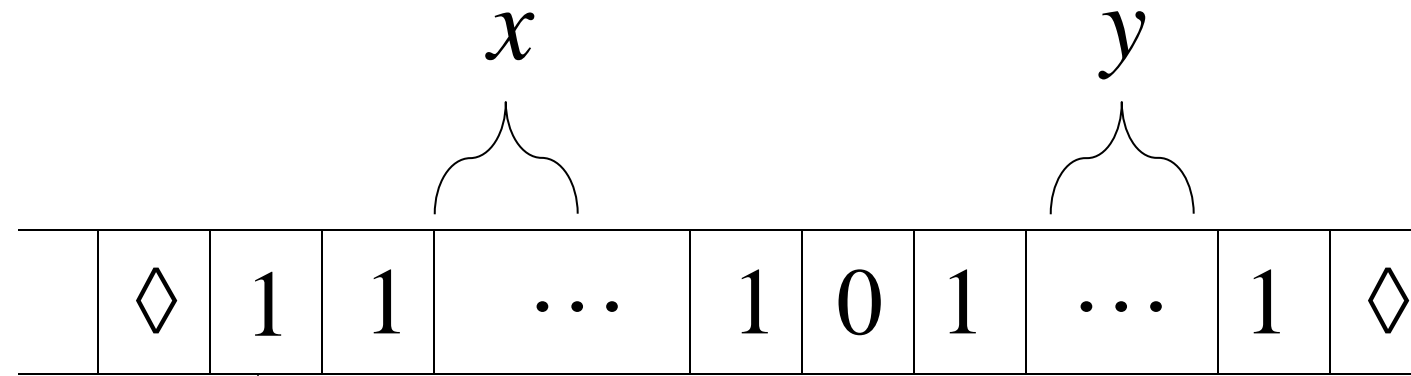
Start



initial state

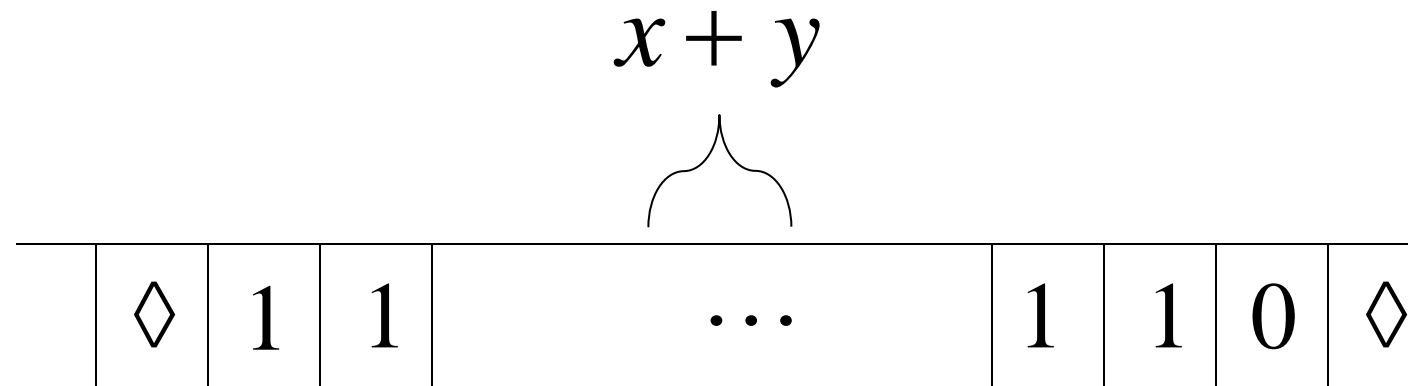
The 0 is the delimiter that separates the two numbers

Start



$q_0$  initial state

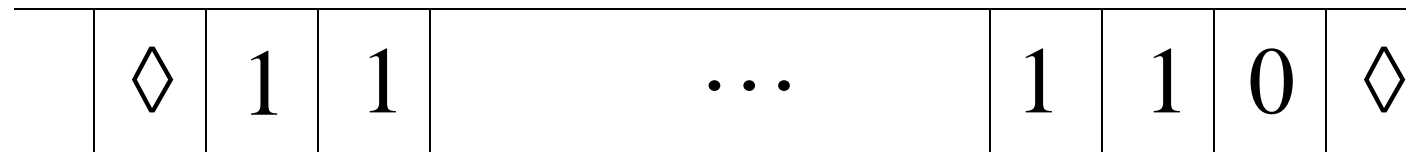
Finish



$q_f$  final state

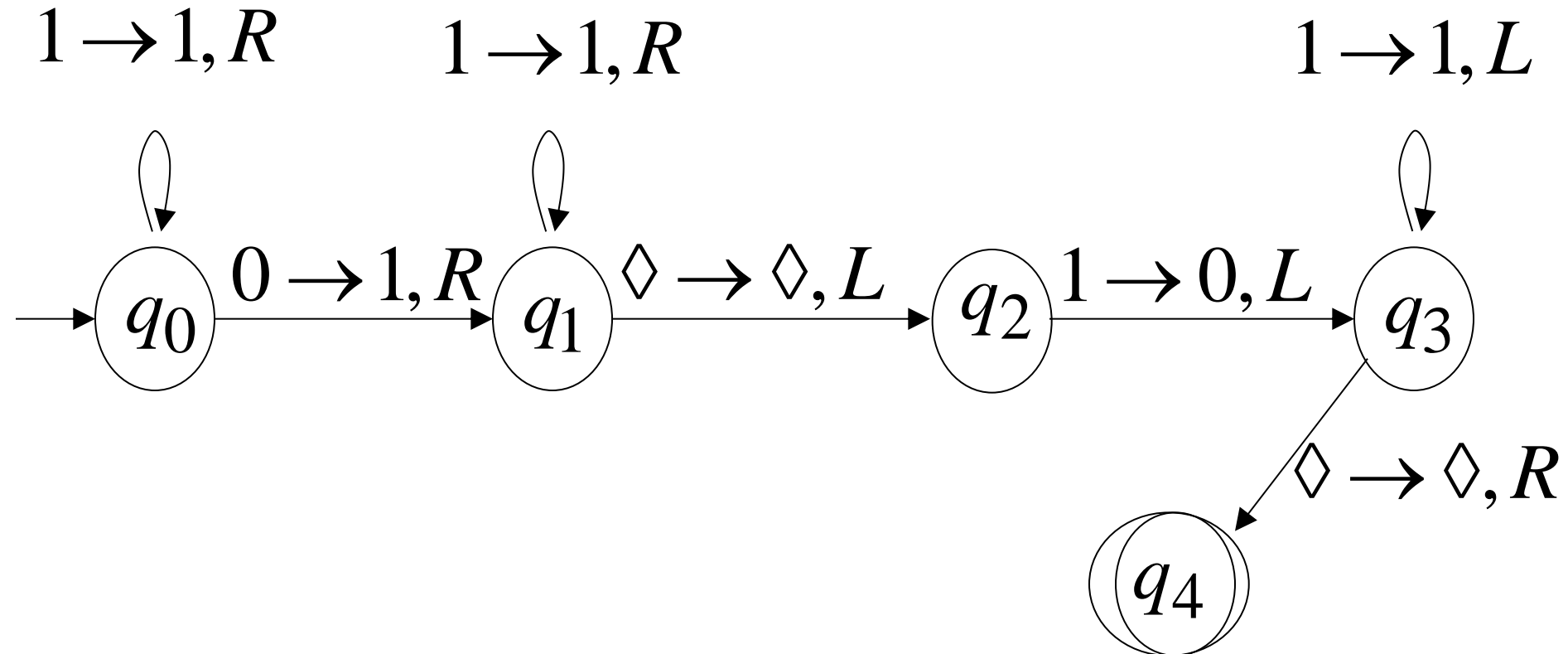
The 0 helps when we use  
the result for other operations

Finish



$q_f$  final state

# Turing machine for function $f(x, y) = x + y$

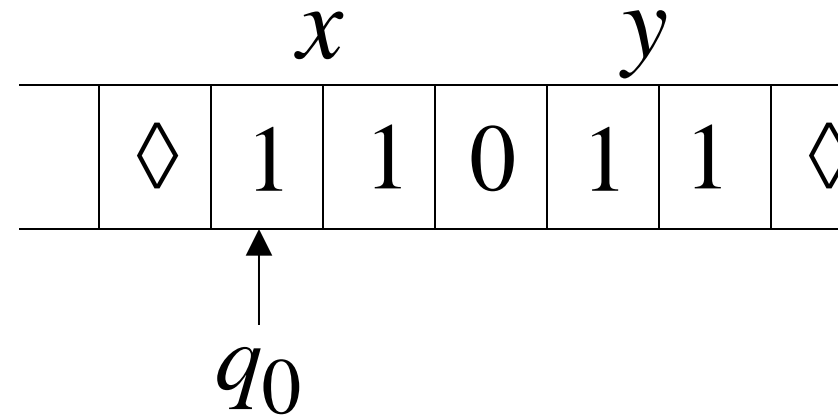


# Execution Example:

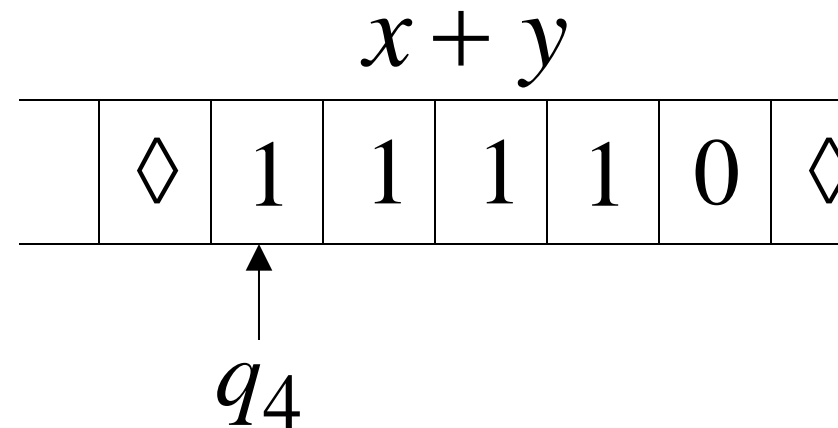
$$x = 11 \quad (2)$$

$$y = 11 \quad (2)$$

Time 0

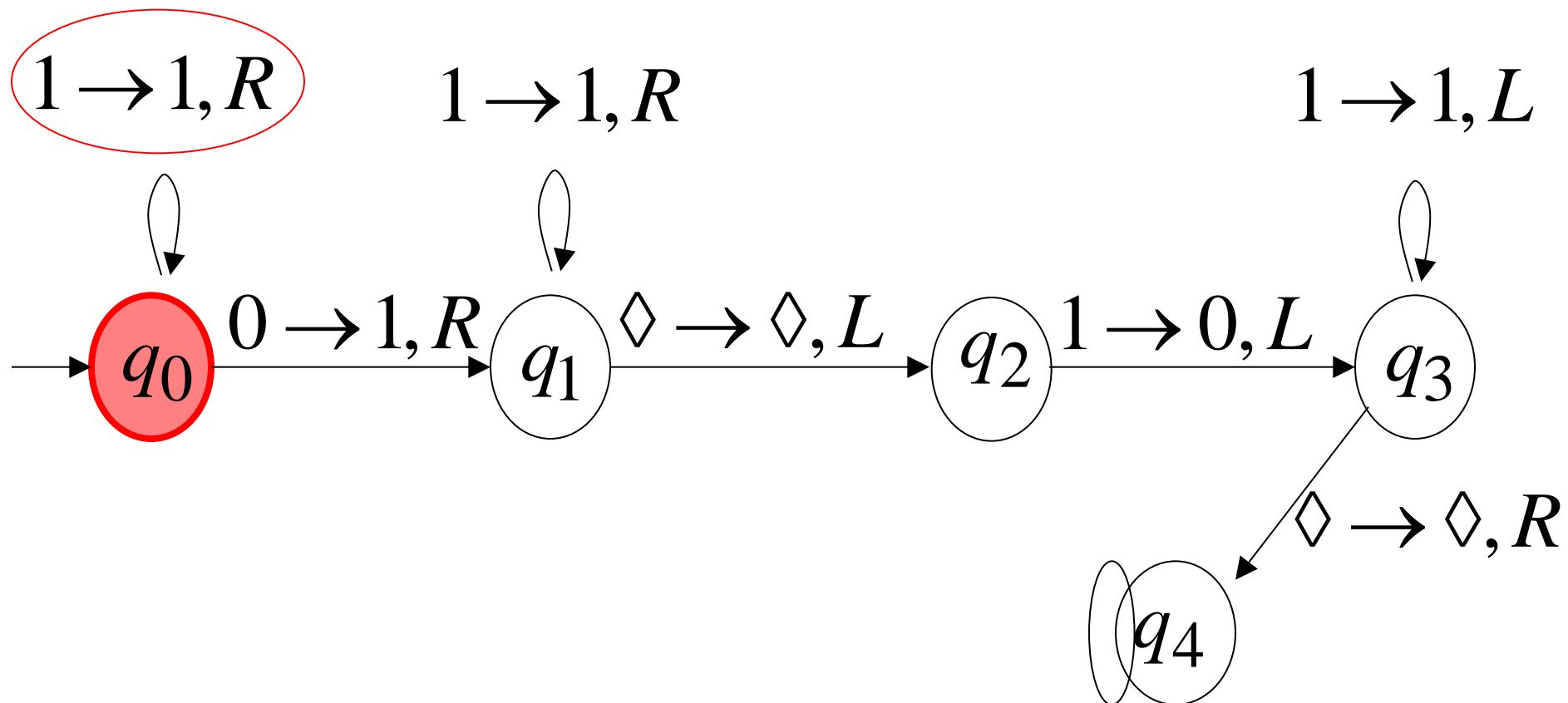
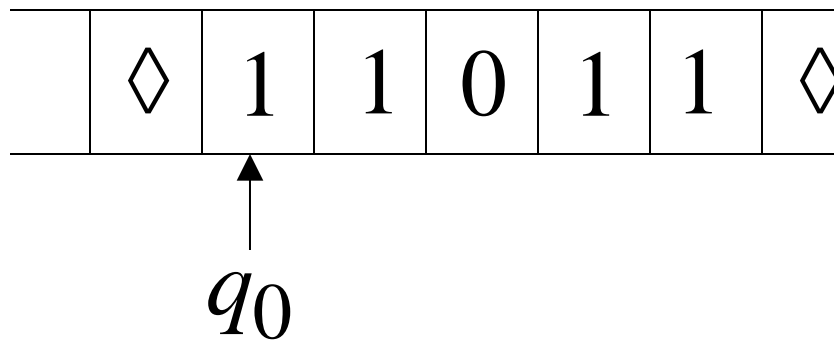


Final Result

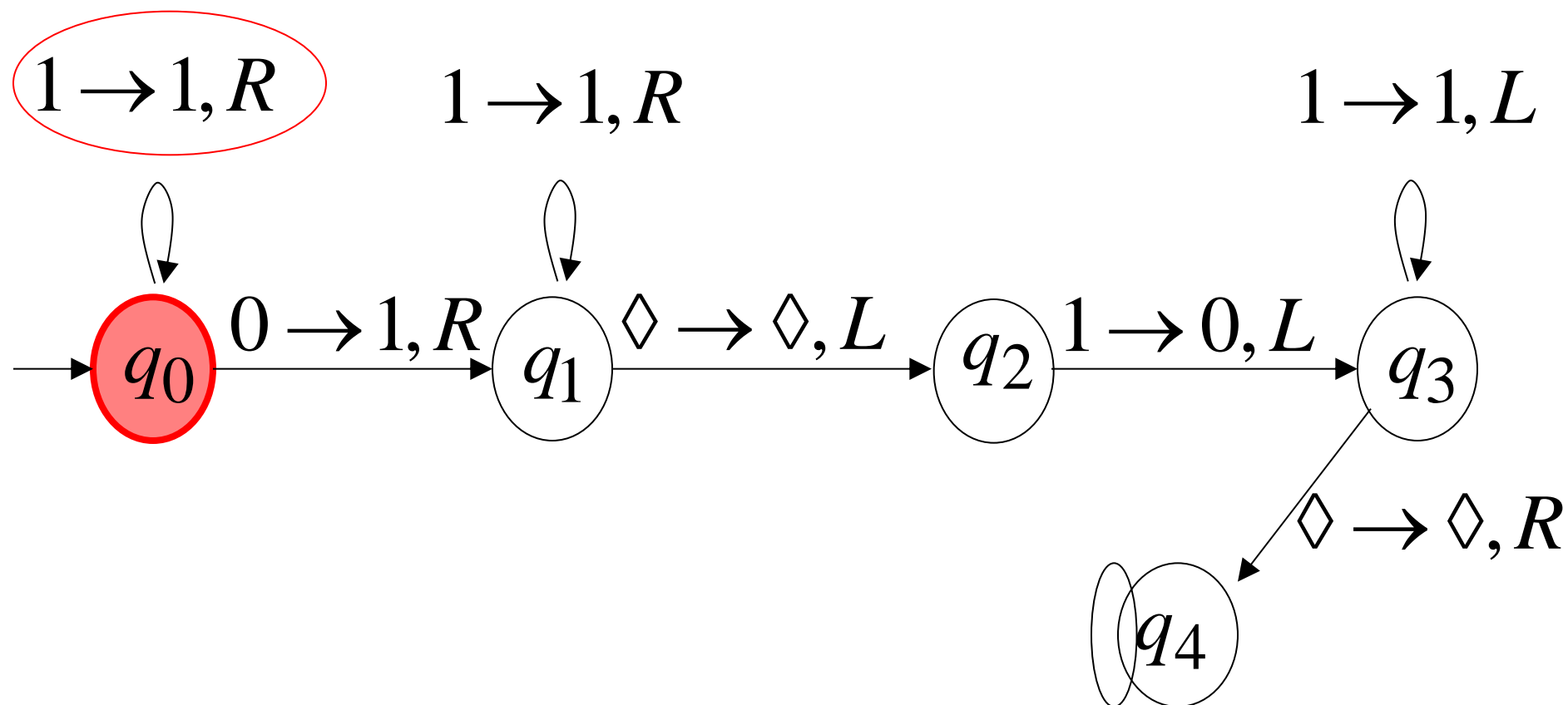
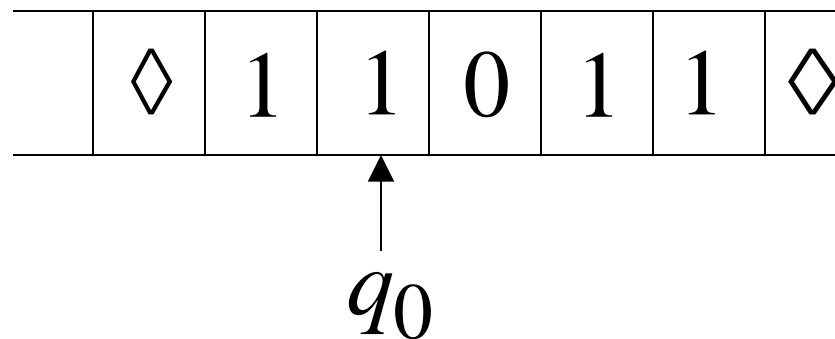




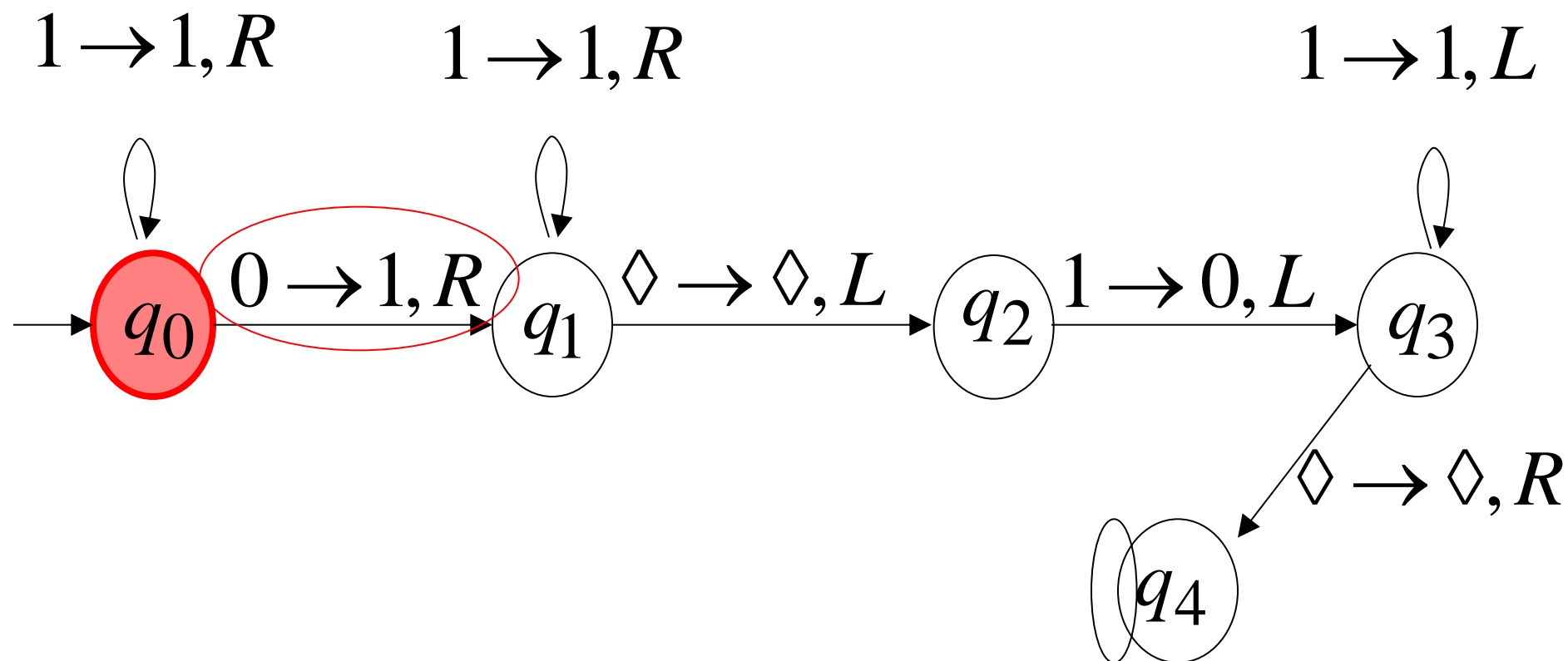
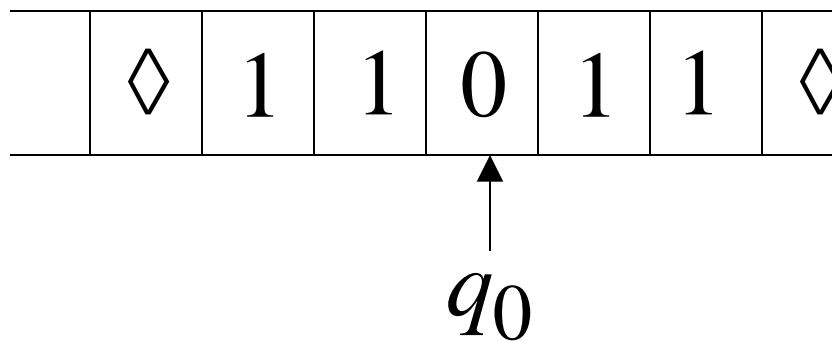
Time 0



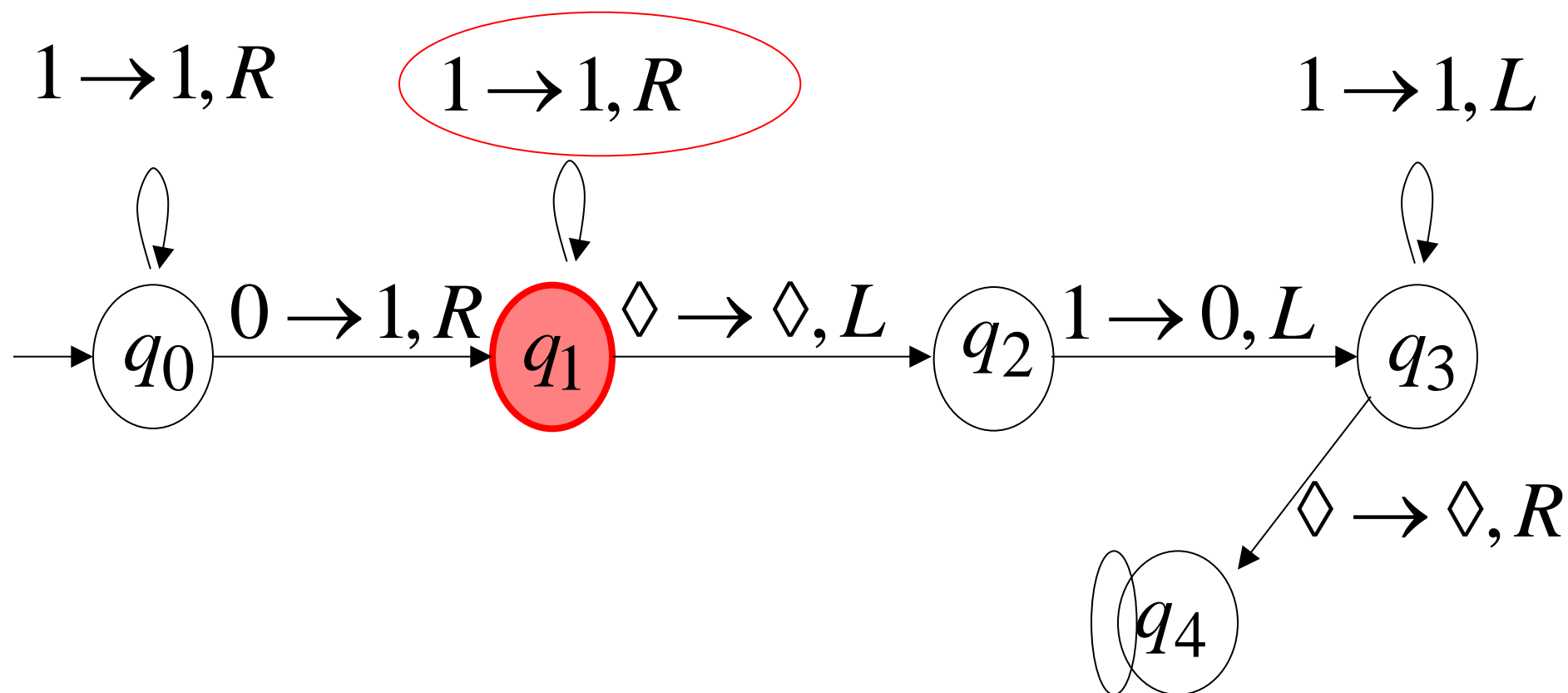
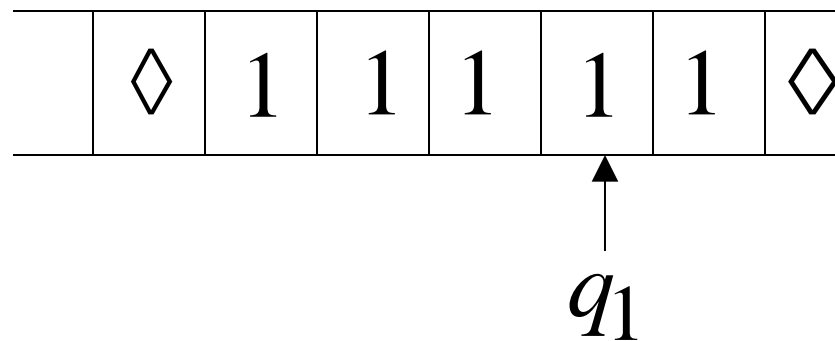
Time 1



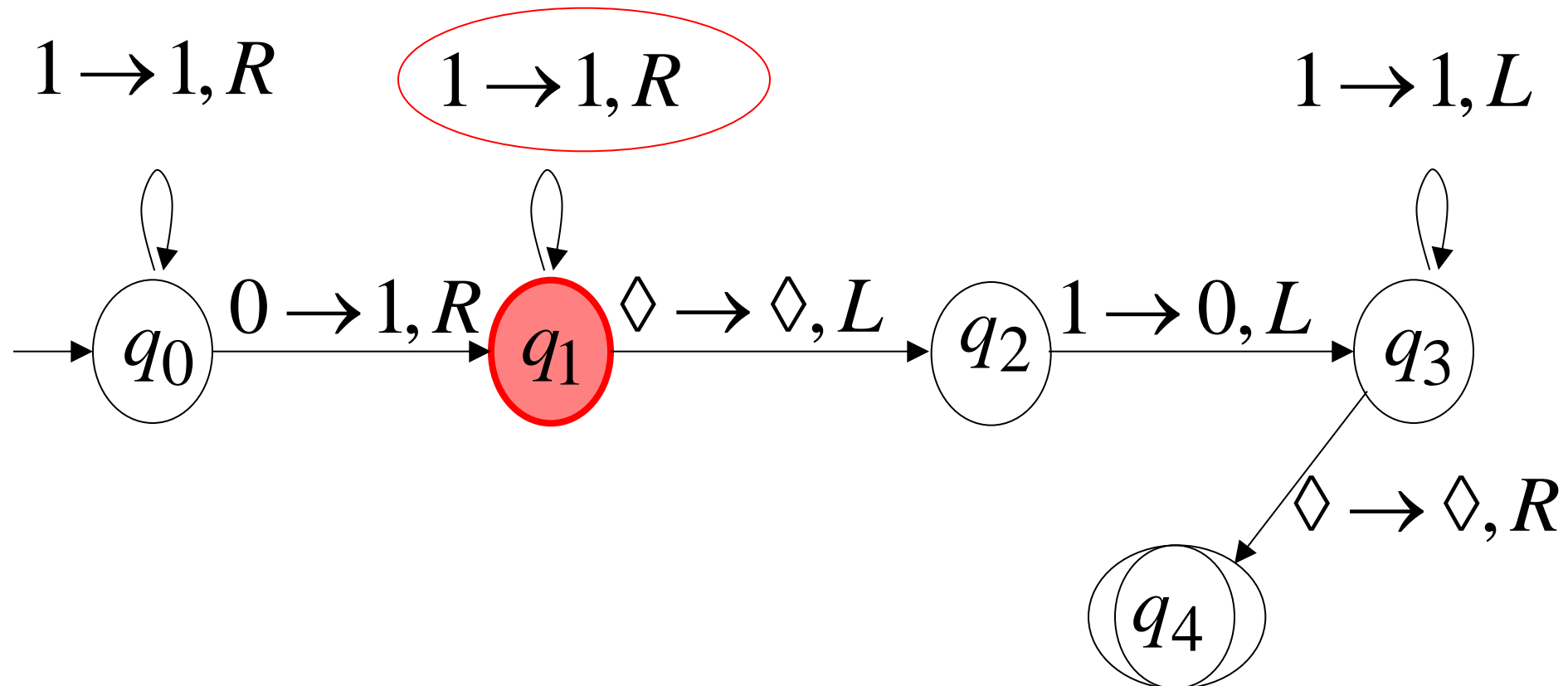
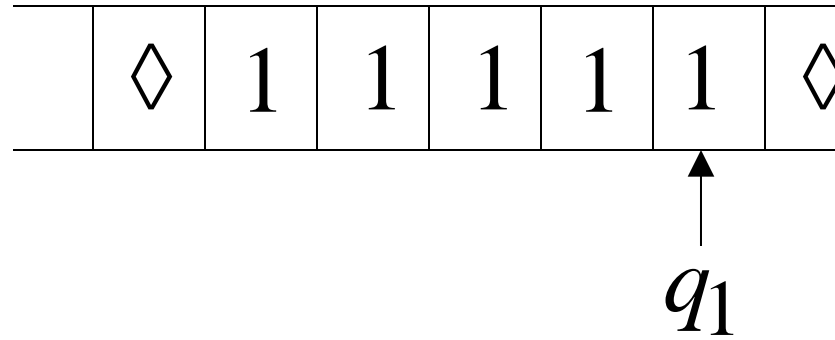
Time 2



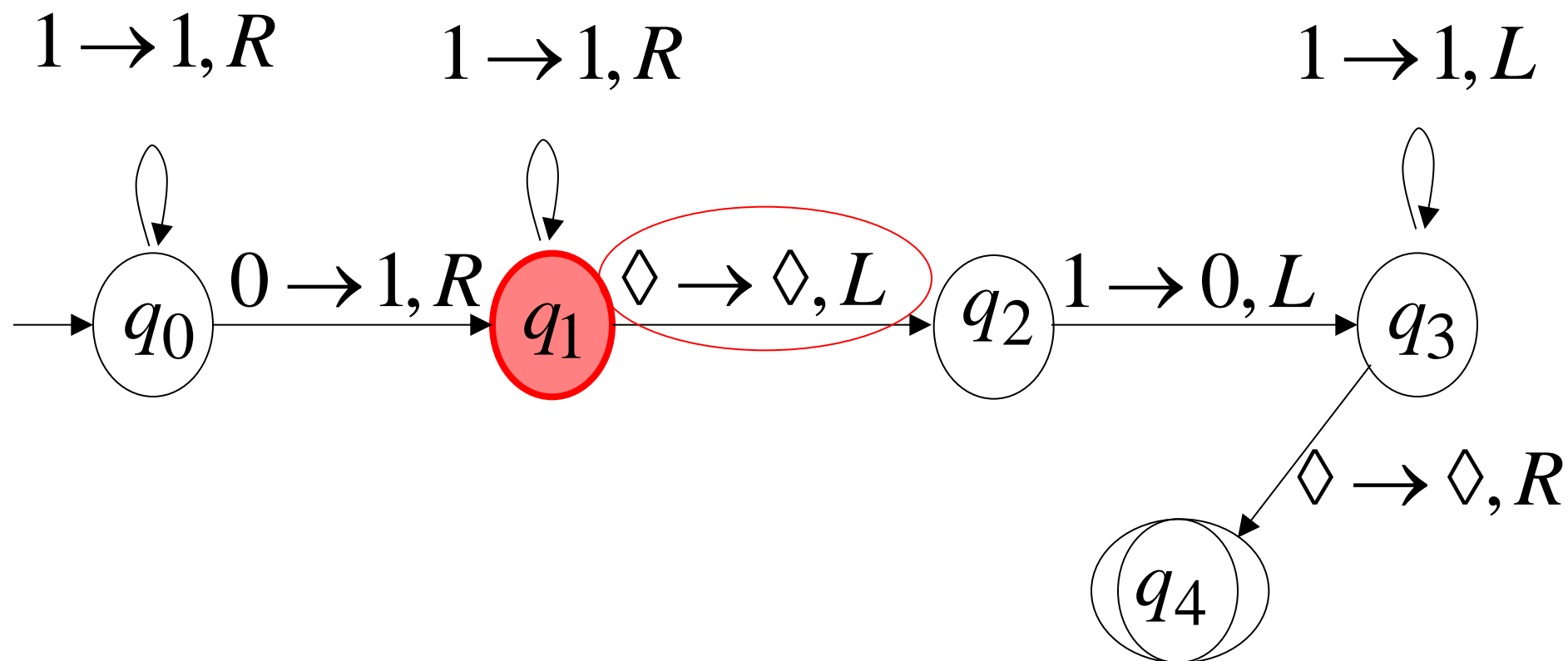
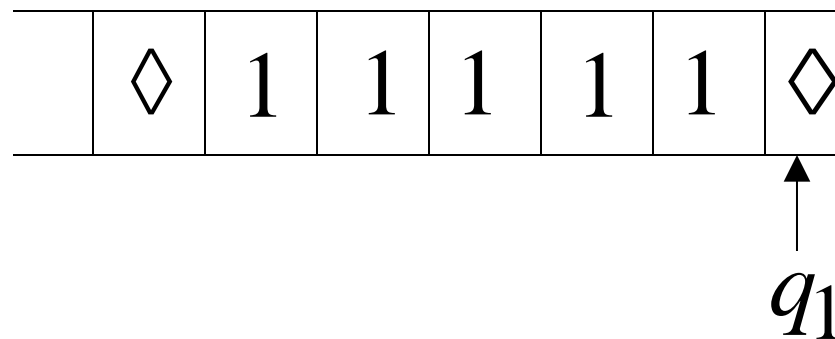
Time 3



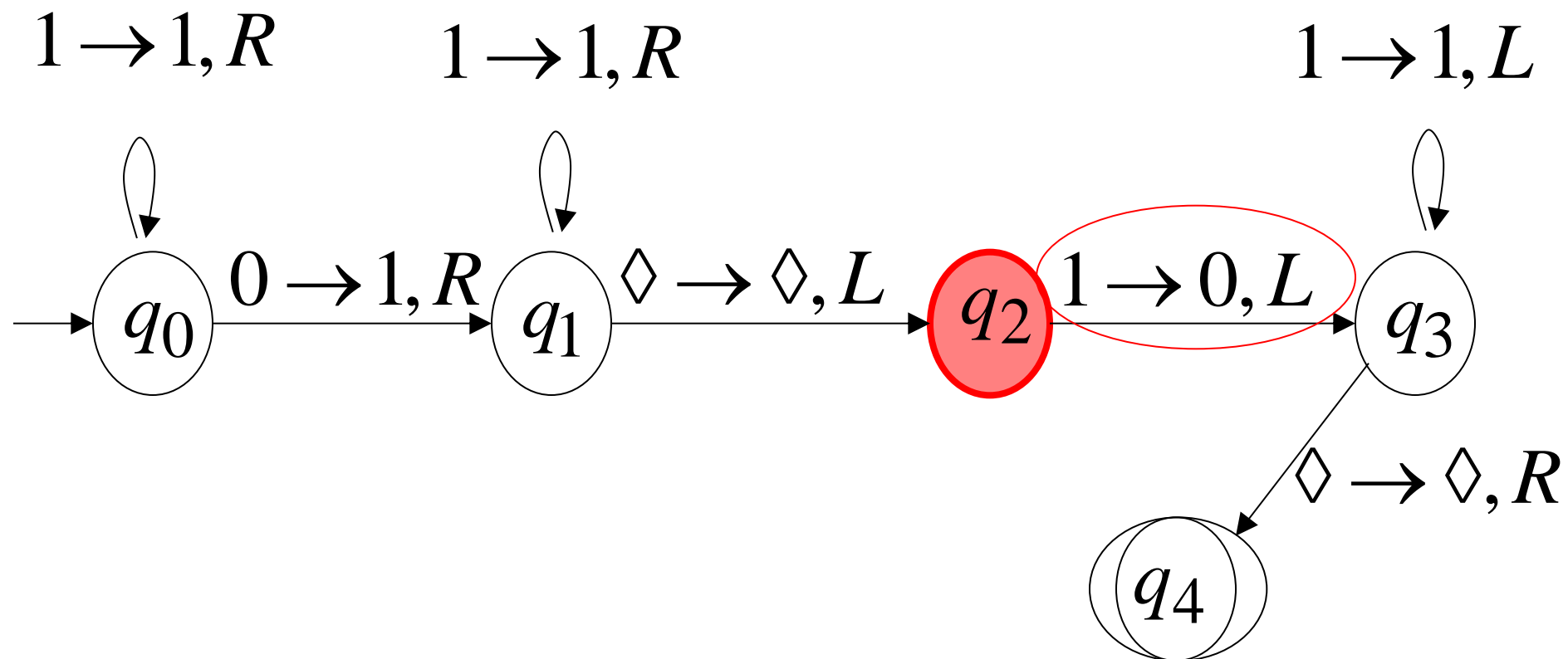
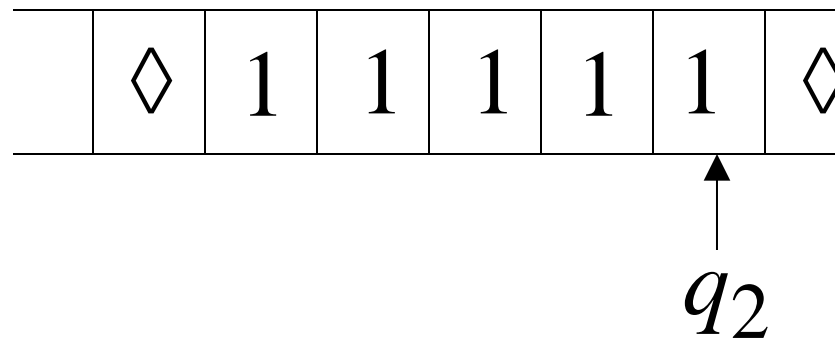
Time 4



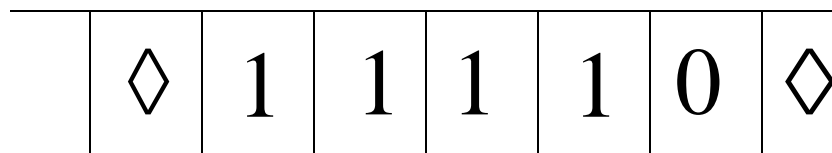
Time 5



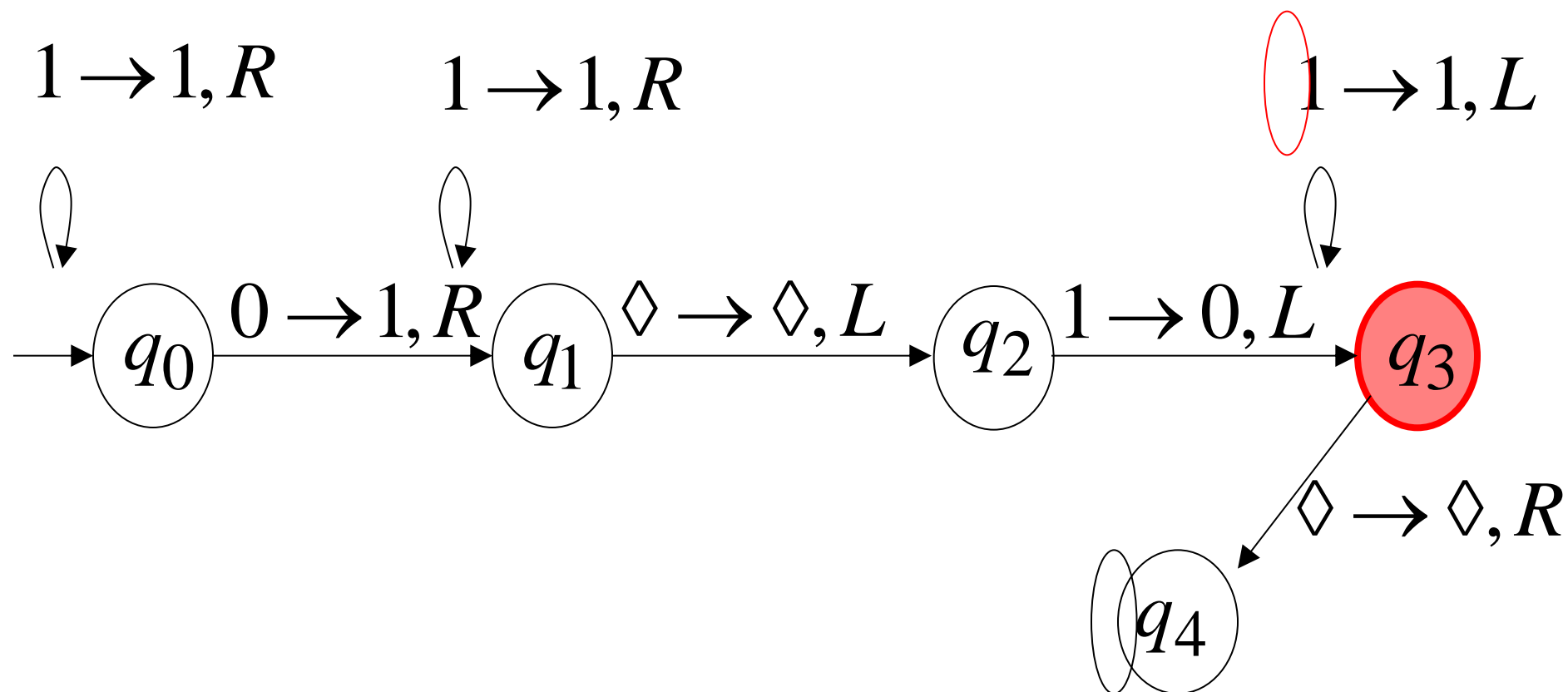
Time 6



Time 7

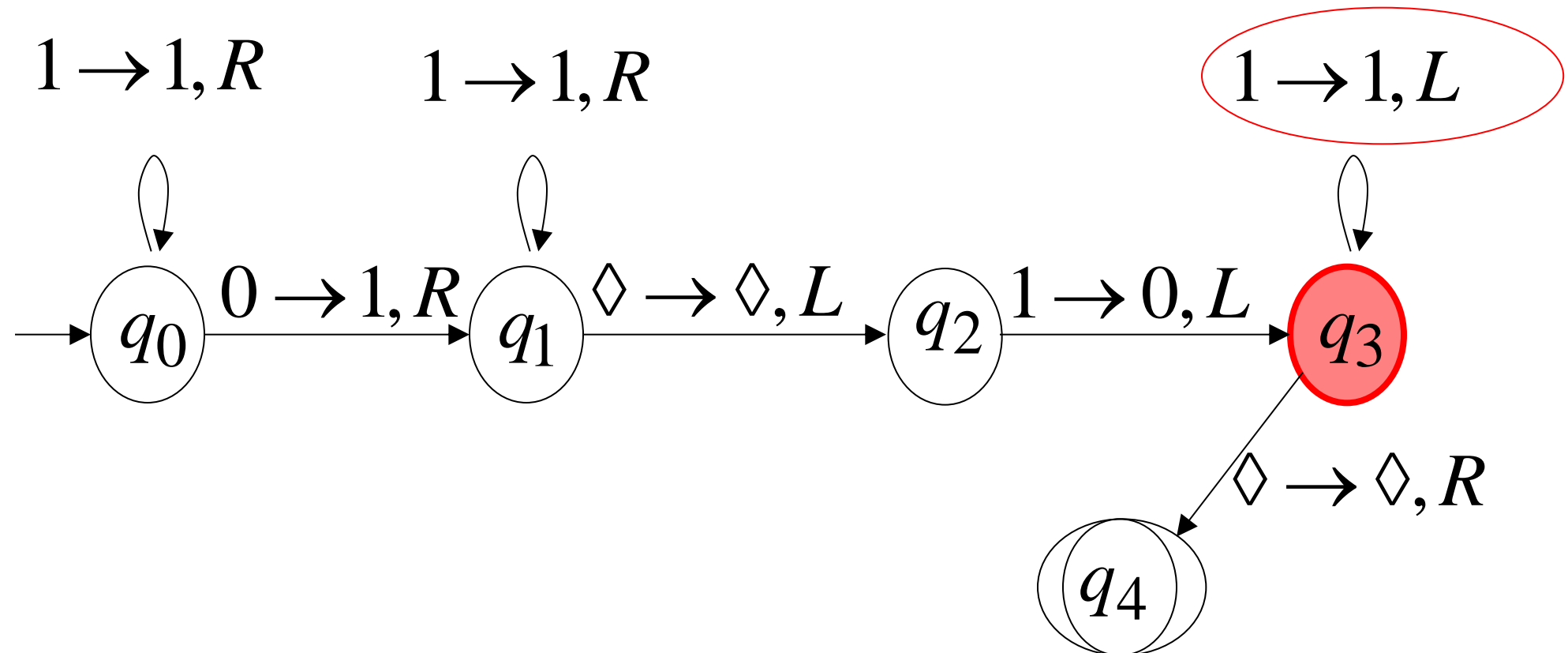
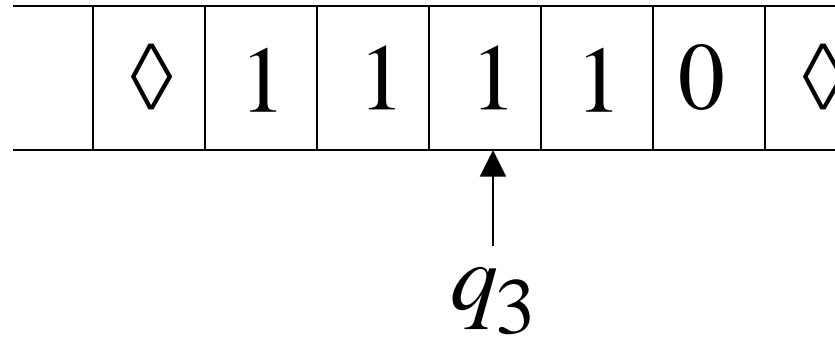


$q_3$

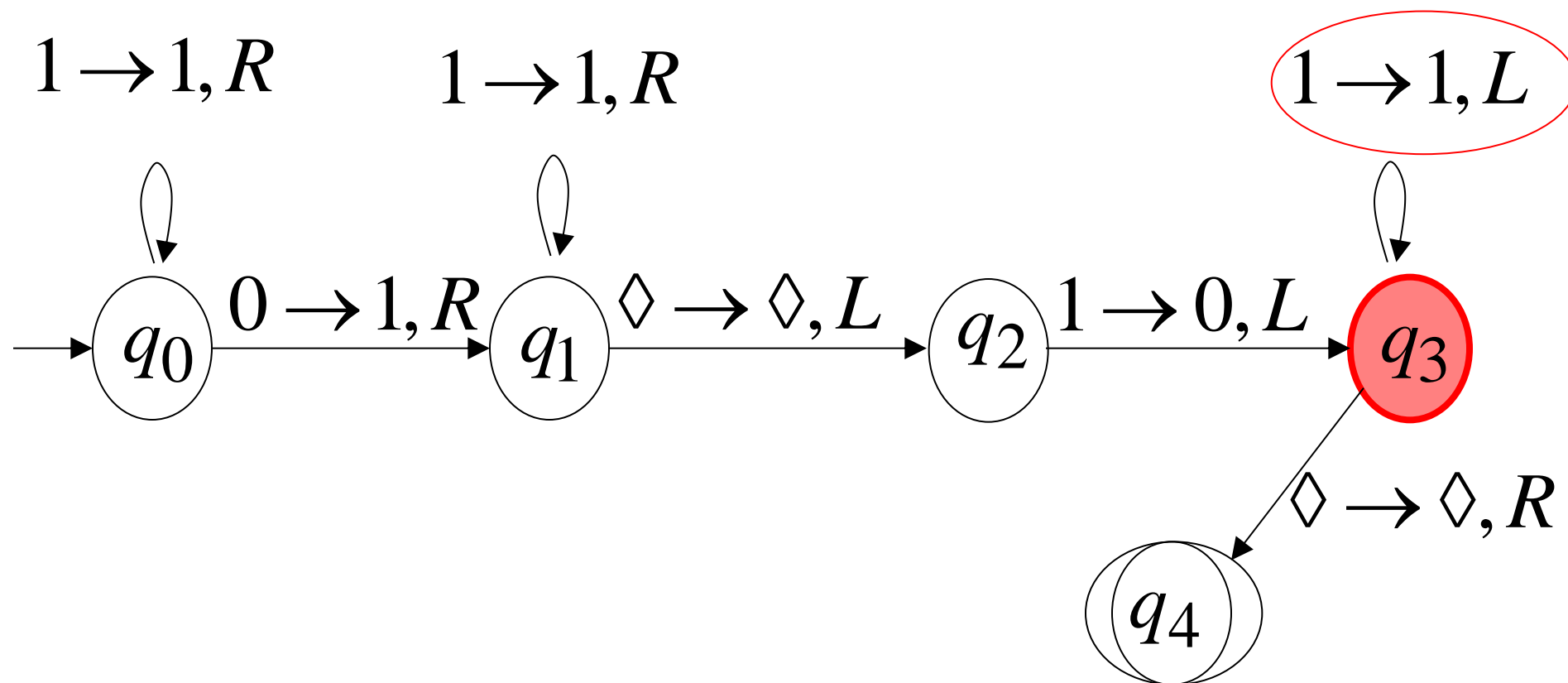
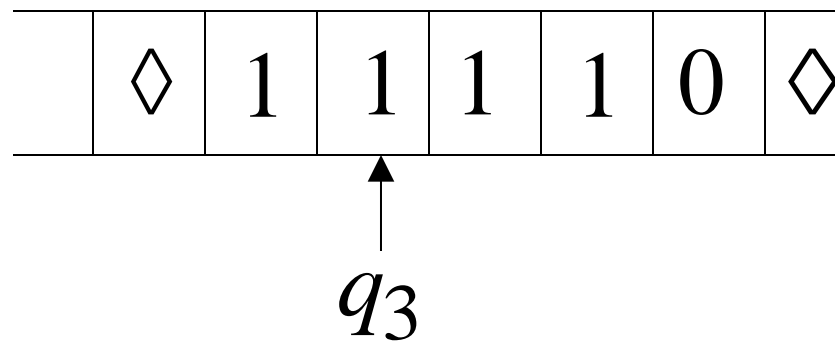




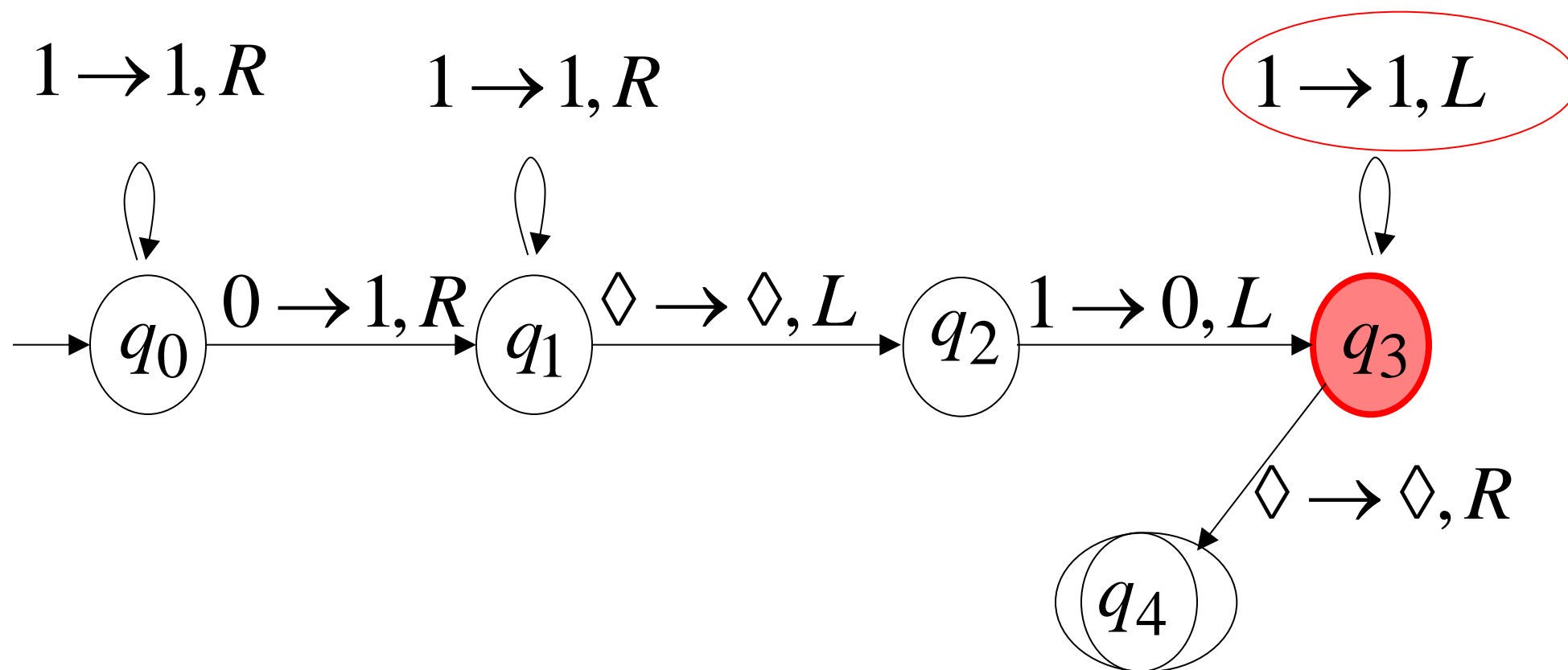
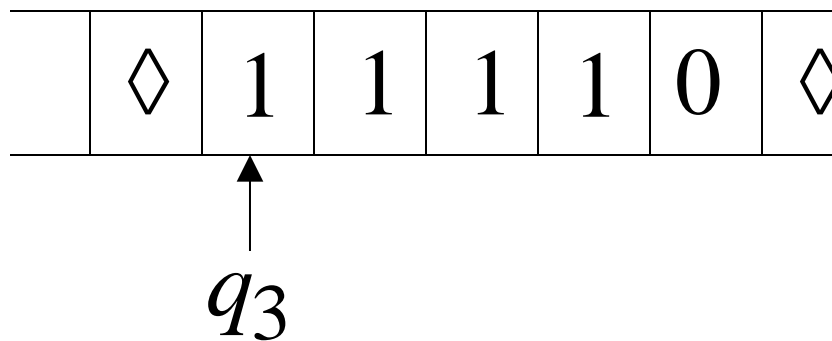
Time 8



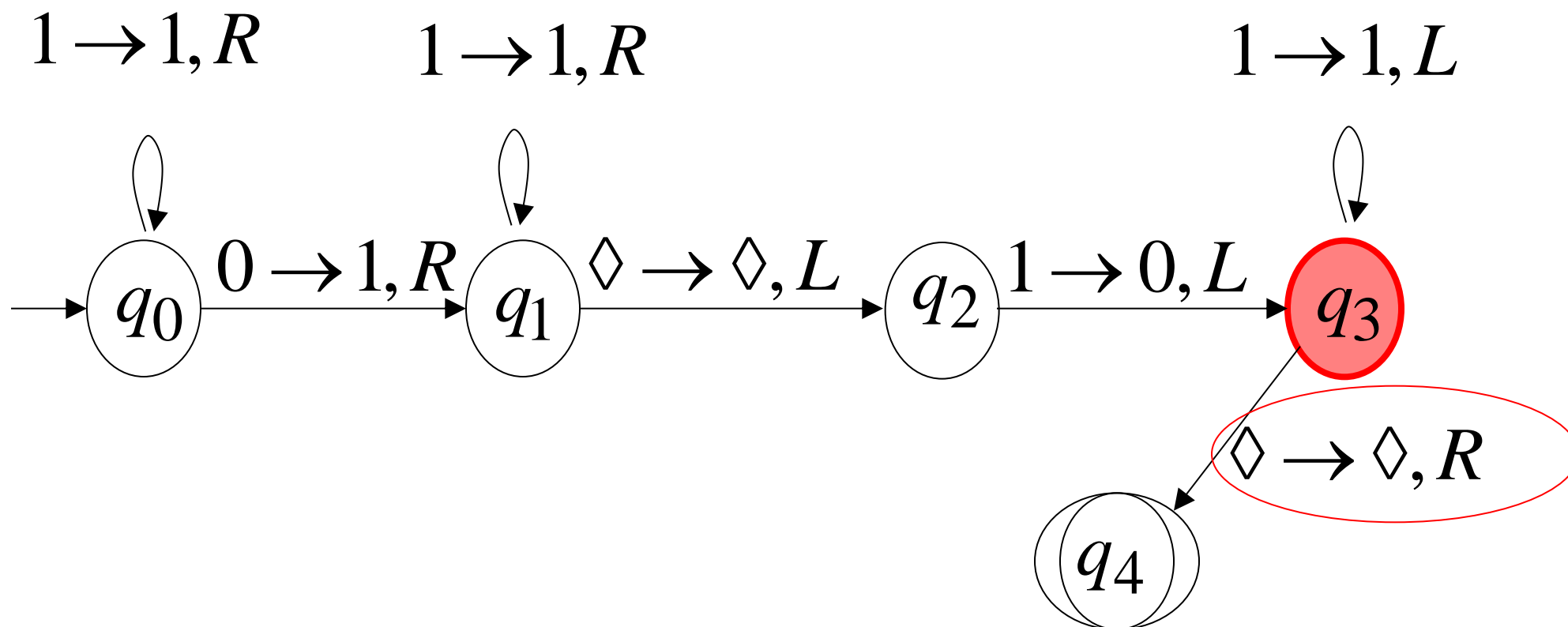
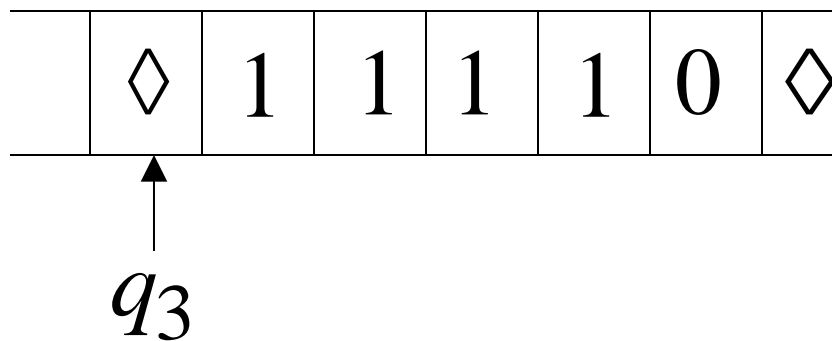
Time 9



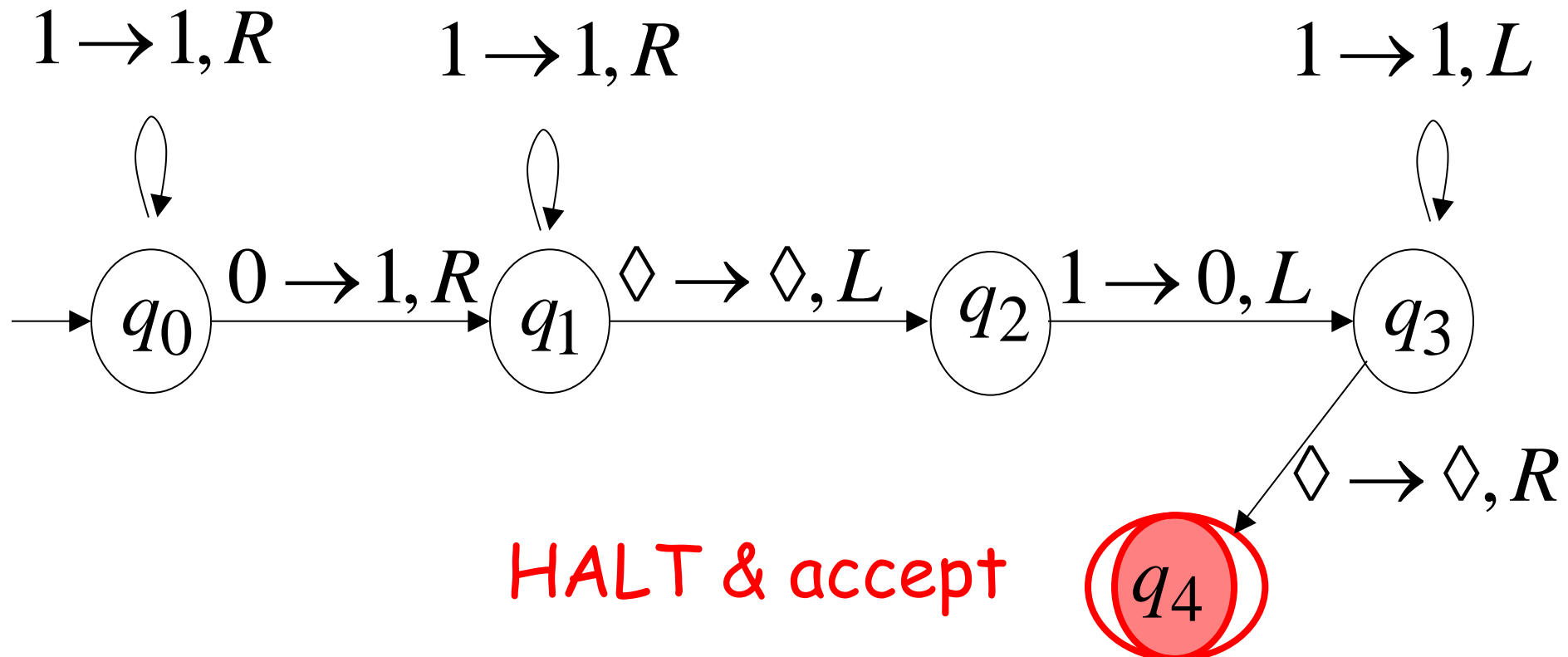
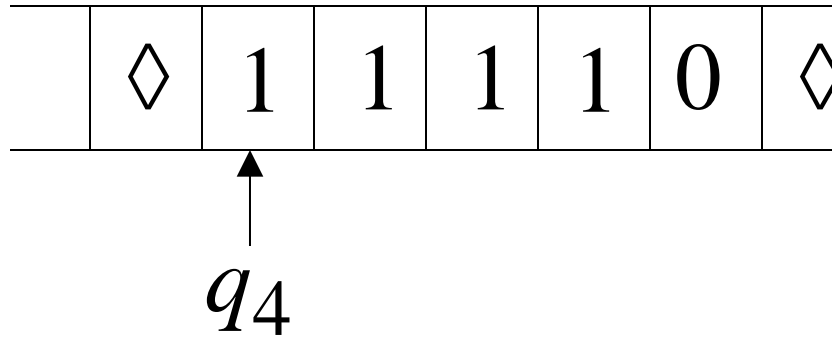
Time 10



Time 11



Time 12



# Another Example

The function  $f(x) = 2x$  is computable

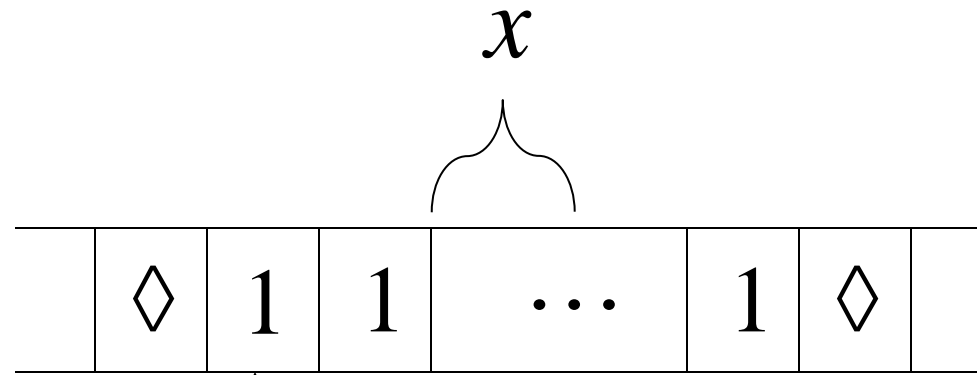
$x$  is integer

Turing Machine:

Input string:  $x$  unary

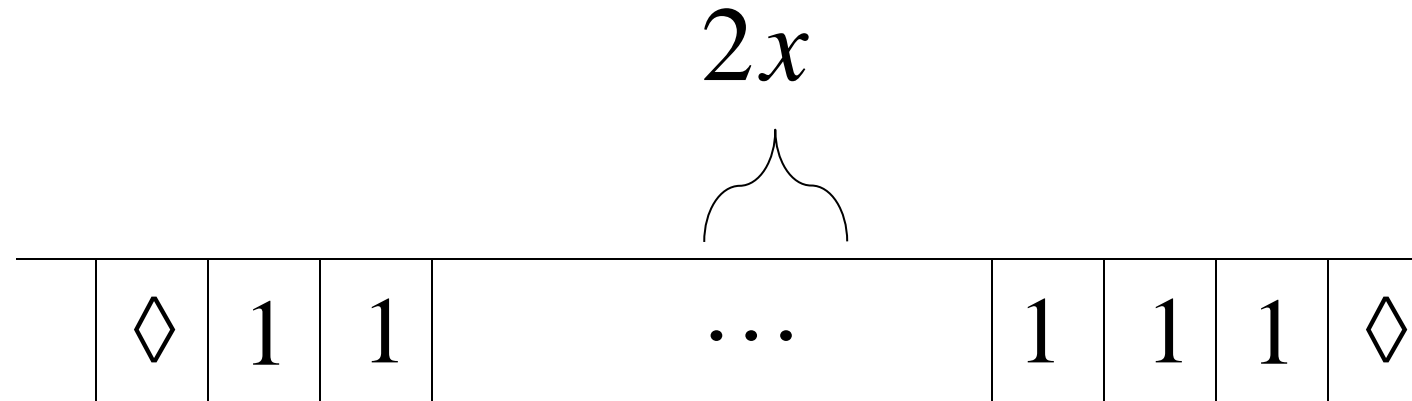
Output string:  $xx$  unary

Start



$q_0$  initial state

Finish



$q_f$  final state

# Turing Machine Pseudocode for $f(x) = 2x$

- Replace every 1 with \$
- Repeat:
  - Find rightmost \$, replace it with 1
  - Go to right end, insert 1

Until no more \$ remain

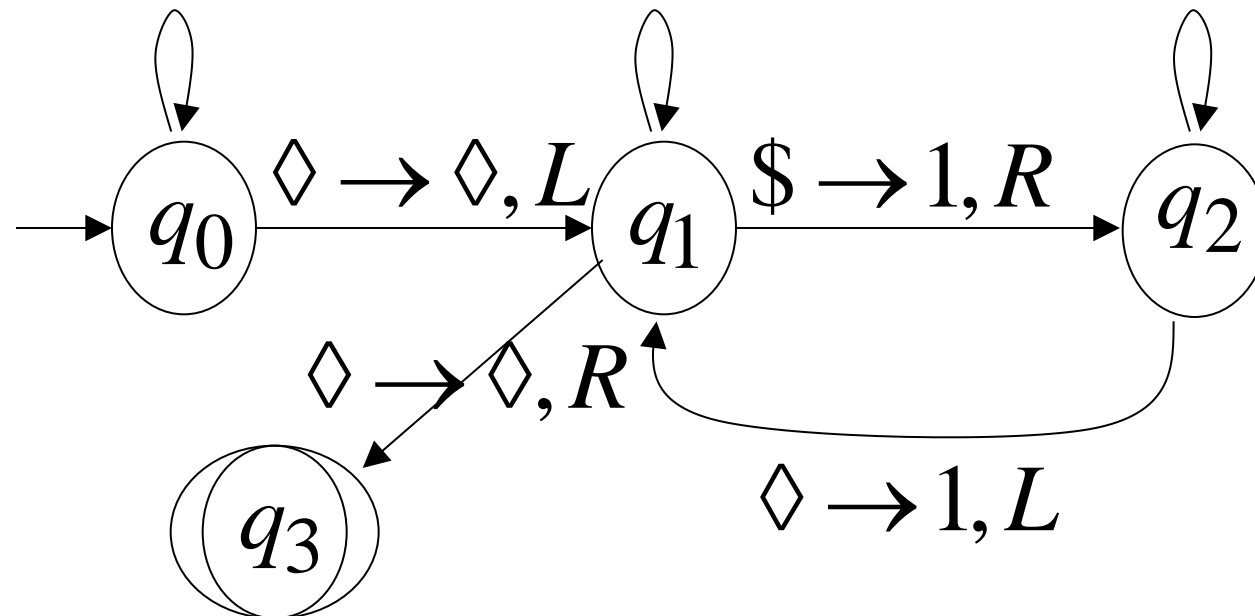


# Turing Machine for $f(x) = 2x$

$1 \rightarrow \$, R$

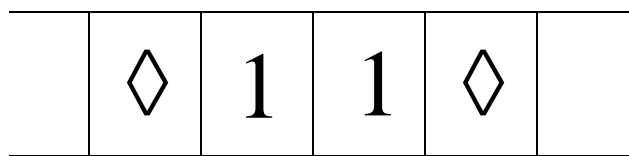
$1 \rightarrow 1, L$

$1 \rightarrow 1, R$



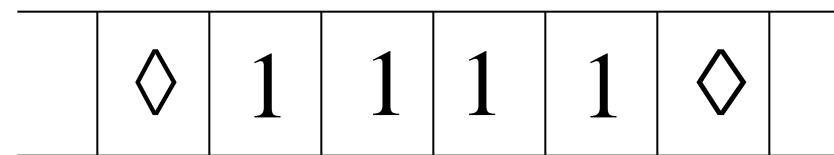
# Example

Start



$q_0$

Finish

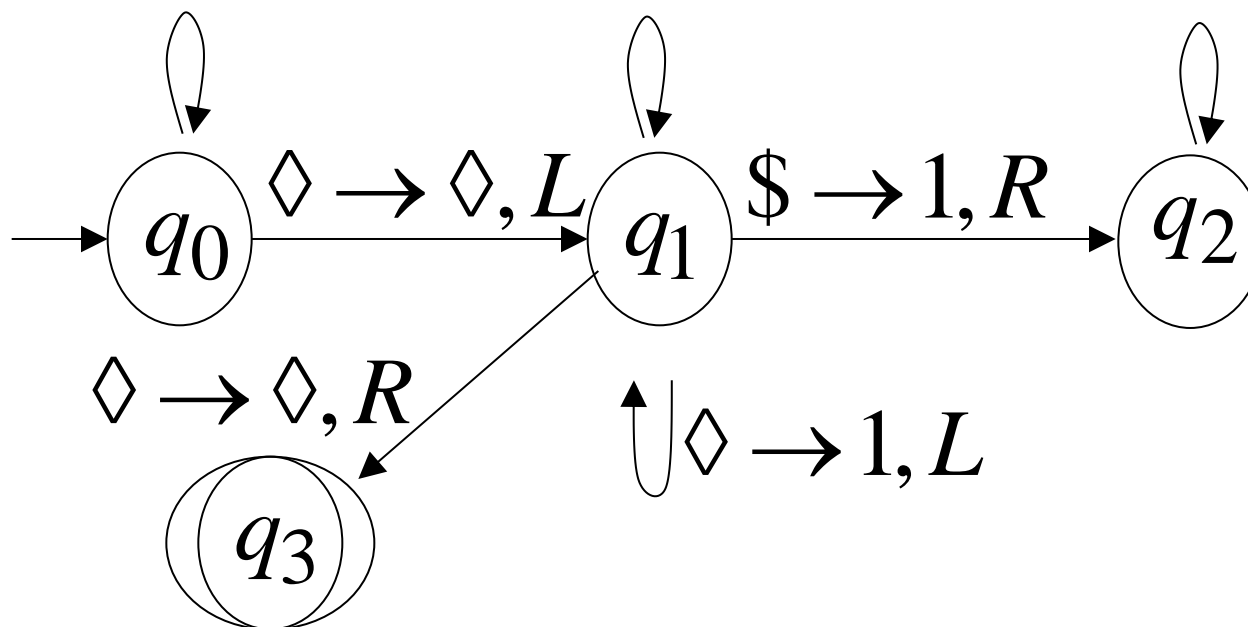


$q_3$

$1 \rightarrow \$, R$

$1 \rightarrow 1, L$

$1 \rightarrow 1, R$



# Another Example

The function  $f(x, y) = \begin{cases} 1 & \text{if } x > y \\ 0 & \text{if } x \leq y \end{cases}$  is computable

# Turing Machine for

$$f(x, y) = \begin{cases} 1 & \text{if } x > y \\ 0 & \text{if } x \leq y \end{cases}$$

Input:  $x0y$

Output: 1 or 0

# Turing Machine Pseudocode:

- Repeat


Match a 1 from  $x$  with a 1 from  $y$

Until all of  $x$  or  $y$  is matched

- If a 1 from  $x$  is not matched  
erase tape, write 1  $(x > y)$   
else  
erase tape, write 0  $(x \leq y)$



# Outline

- Last week
- Formal Definition for Turing Machines
- Computing Functions with Turing Machines
- Turing's Thesis 
- Variations of the Turing Machine
- Universal Turing Machine
- Countable/uncountable Sets

## Turing's thesis:

Any computation carried out  
by mechanical means  
can be performed by a Turing Machine

(1930)



## Computer Science Law:

A computation is mechanical  
if and only if  
it can be performed by a Turing Machine

There is no known model of computation  
more powerful than Turing Machines

## Definition of Algorithm:

An algorithm for function  $f(w)$

is a

Turing Machine which computes  $f(w)$

# Algorithms are Turing Machines

When we say:

There exists an algorithm

We mean:

There exists a Turing Machine  
that executes the algorithm

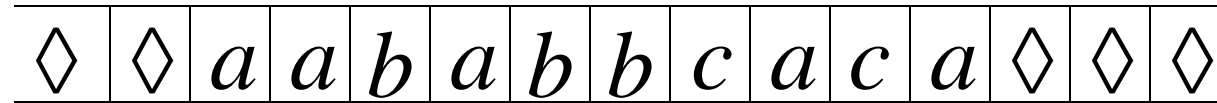
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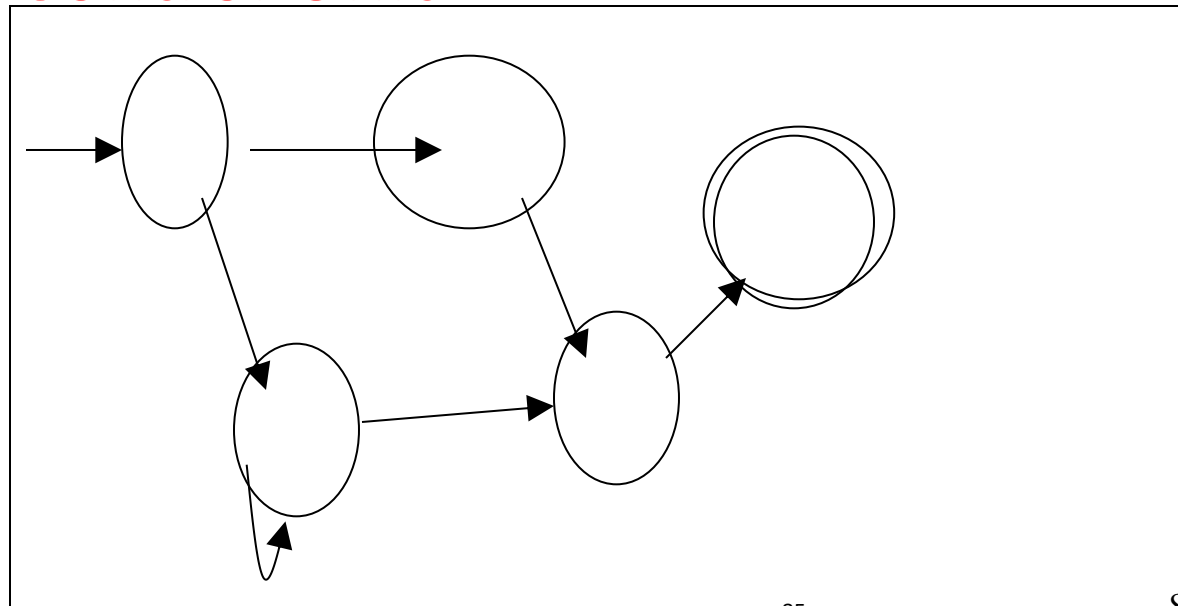
# The Standard Model

## Infinite Tape



Read-Write Head (Left or Right)

## Control Unit



Deterministic

## Variations of the Standard Model

- Turing machines with:
- Stay-Option
  - Semi-Infinite Tape
  - Off-Line
  - Multitape
  - Multidimensional

The variations form different  
Turing Machine **Classes**

Each **Class** has the same  
power with the **Standard Model**

Same Power of two classes means:

For any machine  $M_1$  of first class

there is a machine  $M_2$  of second class

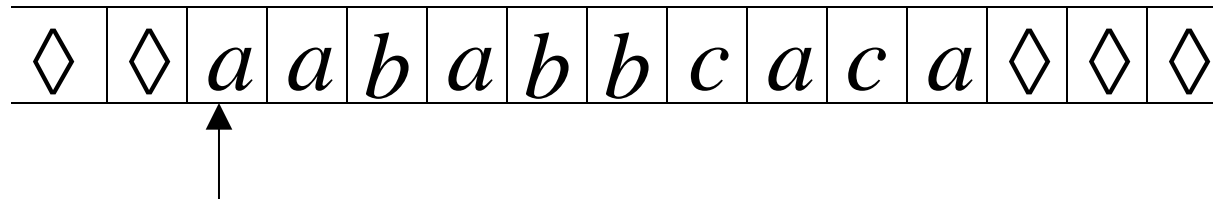
such that:  $L(M_1) = L(M_2)$

And vice-versa



# Turing Machines with Stay-Option

The head can stay in the same position

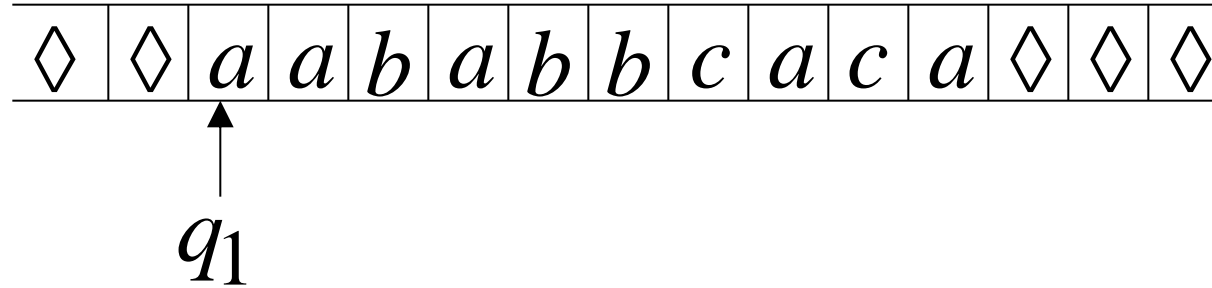


Left, Right, Stay

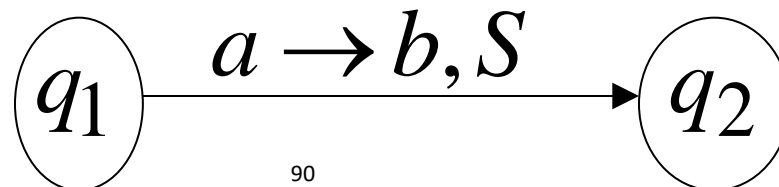
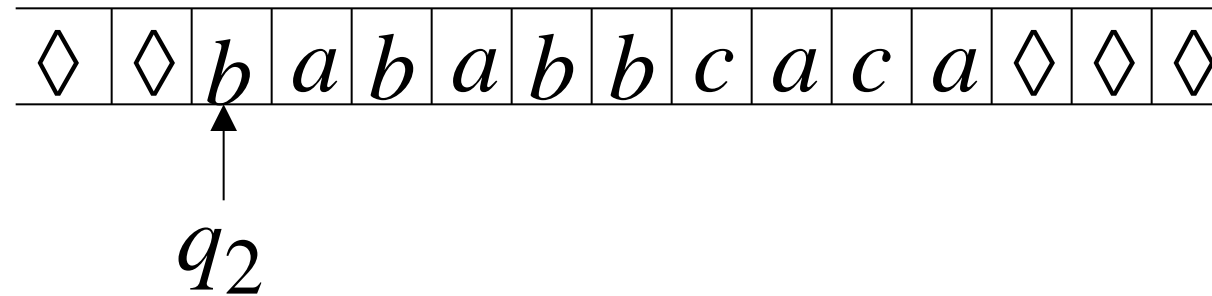
L,R,S: moves

Example:

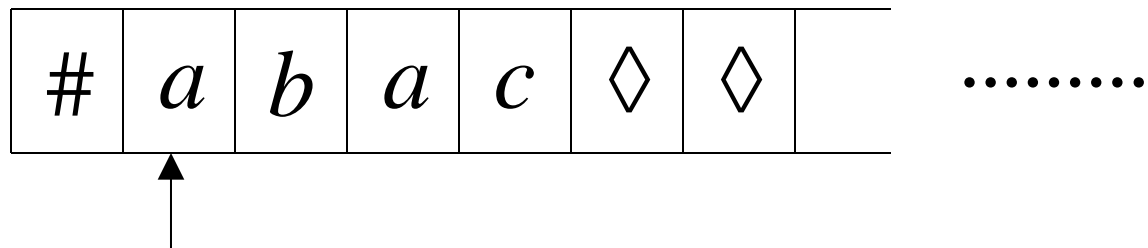
Time 1



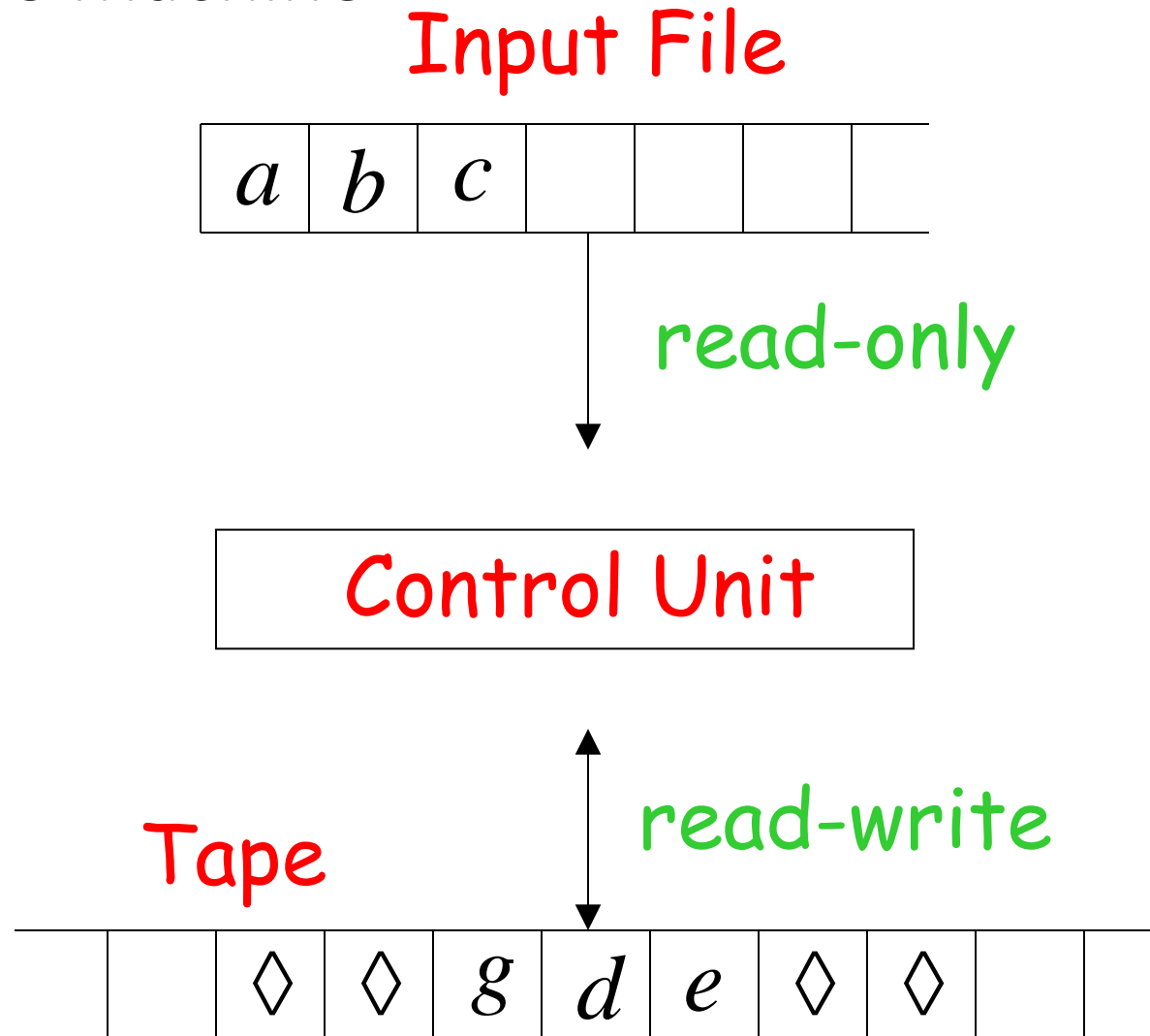
Time 2



# Semi-Infinite Tape



# The Off-Line Machine

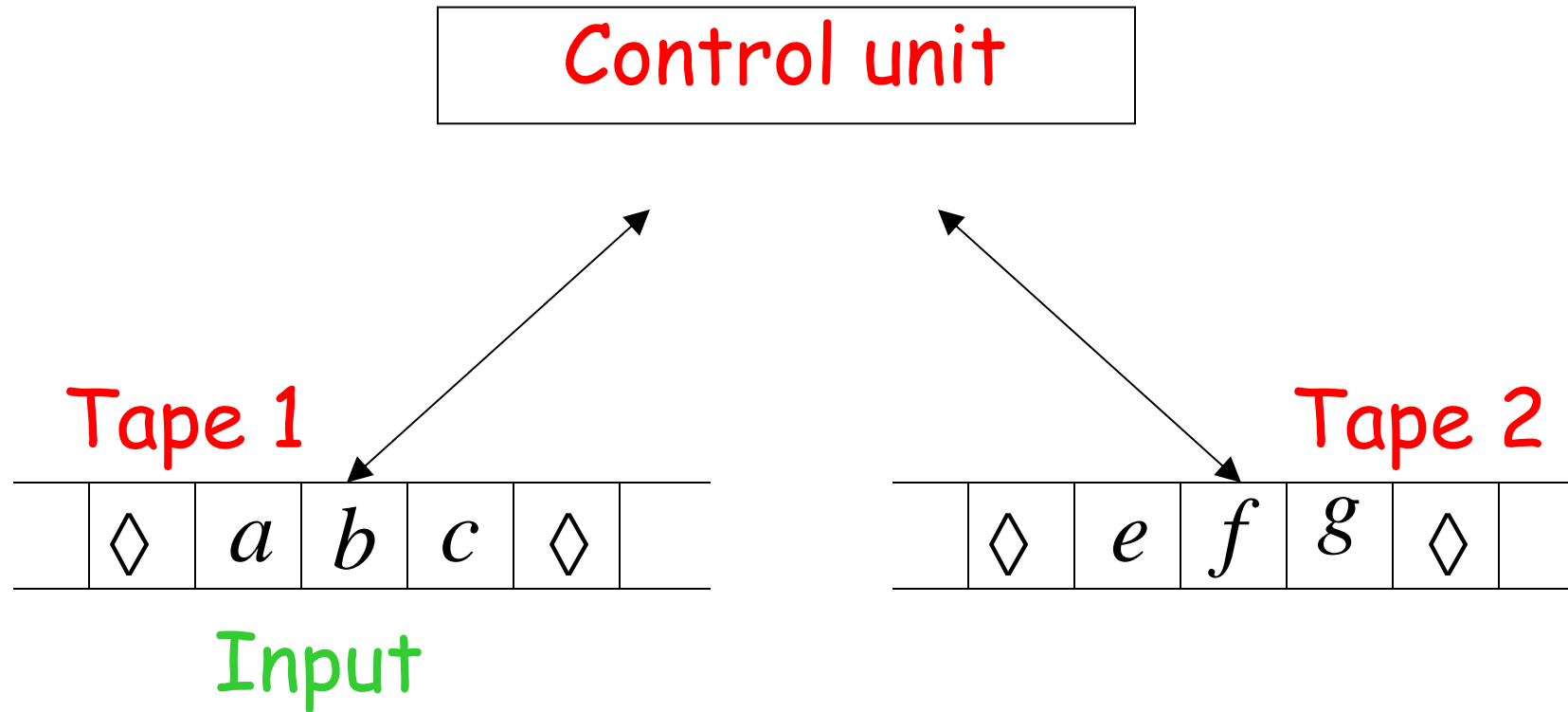


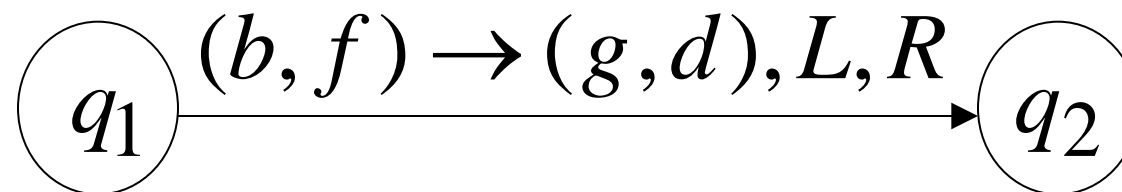
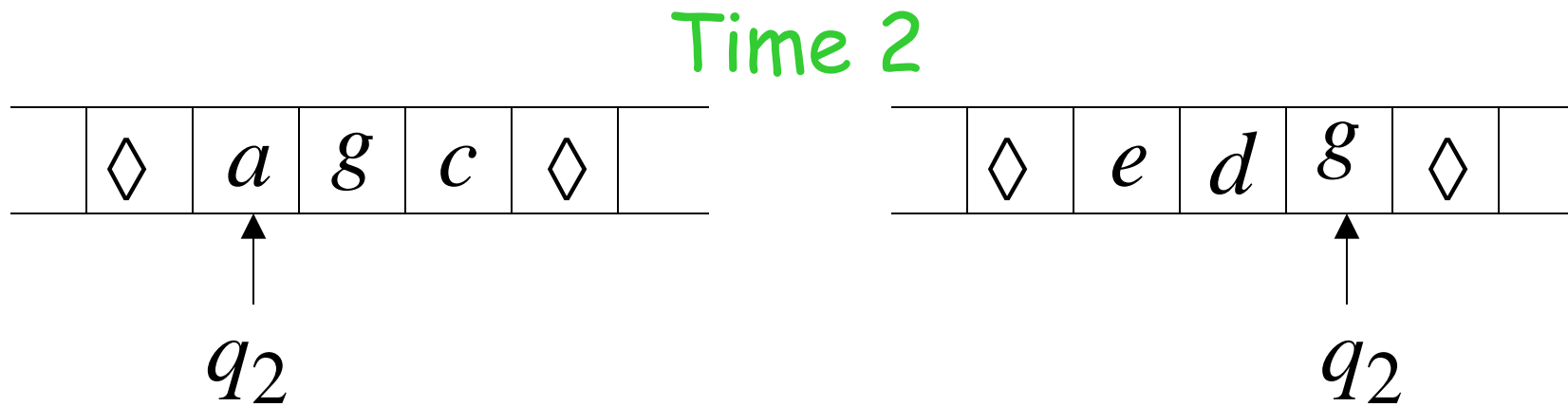
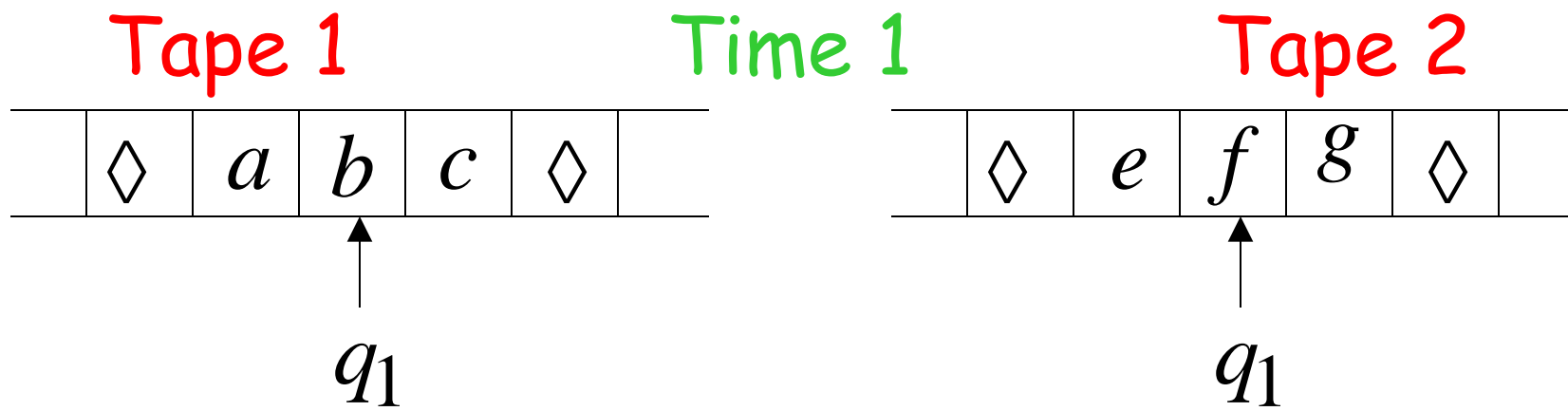
# Off-line machines simulate Standard Turing Machines:

Off-line machine:

1. Copy input file to tape
2. Continue computation as in  
Standard Turing machine

# Multitape Turing Machines





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# A limitation of Turing Machines:

Turing Machines are "hardwired"



they execute  
only one program

Real Computers are re-programmable

# Solution: Universal Turing Machine

## Attributes:

- Reprogrammable machine
- Simulates any other Turing Machine

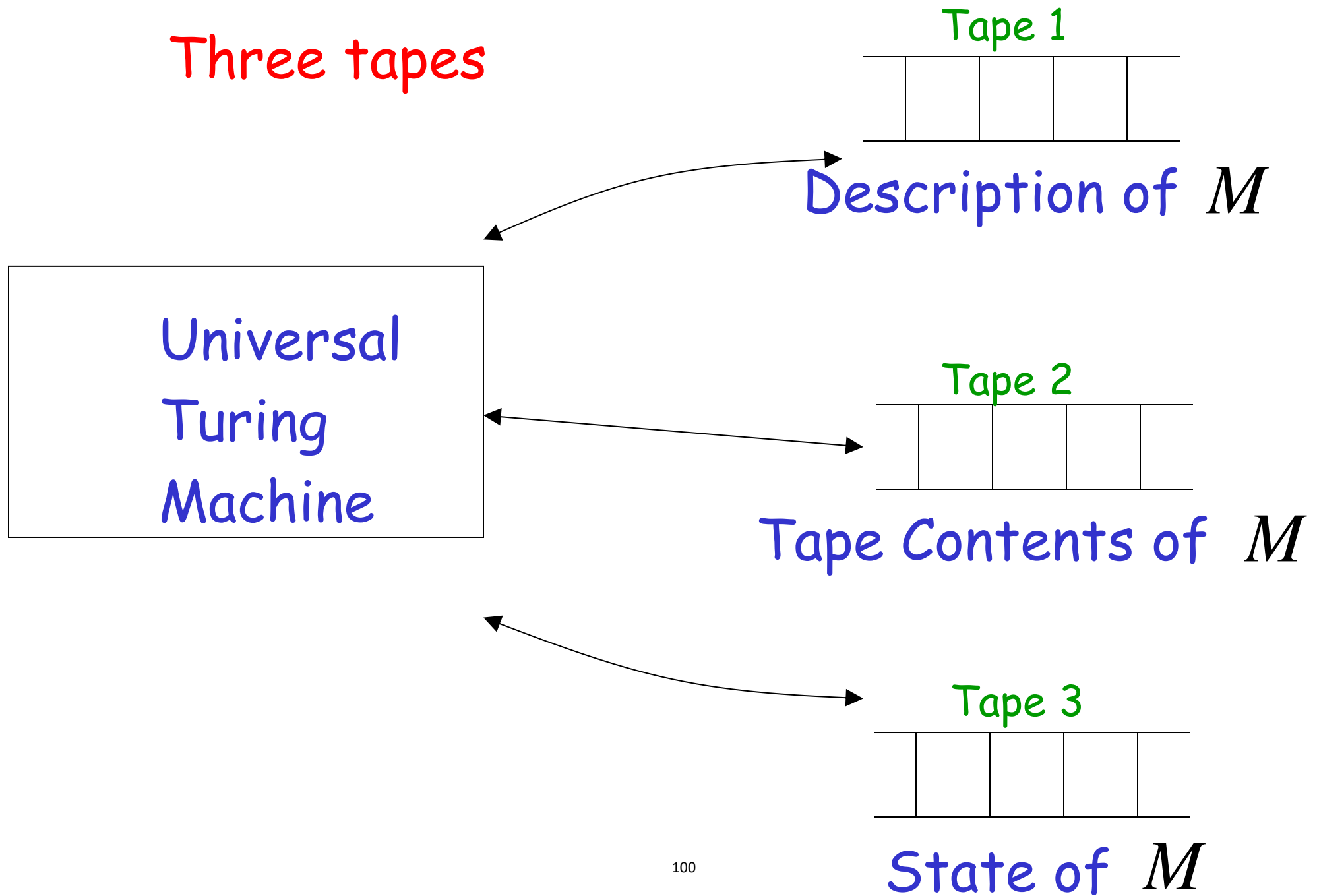
Universal Turing Machine  
simulates any other Turing Machine  $M$

Input of Universal Turing Machine:

Description of transitions of  $M$

Initial tape contents of  $M$

# Three tapes



Tape 1





--	--	--	--	--

Description of  $M$





We describe Turing machine  $M$   
as a string of symbols:

We encode  $M$  as a string of symbols

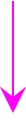

# Alphabet Encoding

Symbols:	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	...
					
Encoding:	1	11	111	1111	

## State Encoding

States:	$q_1$	$q_2$	$q_3$	$q_4$	$\dots$
					
Encoding:	1	11	111	1111	

## Head Move Encoding

Move:	$L$	$R$
		
Encoding:	1	11

# Transition Encoding

Transition:  $\delta(q_1, a) = (q_2, b, L)$

Encoding:

10101101101

separator



# Machine Encoding

Transitions:

$$\delta(q_1, a) = (q_2, b, L)$$

$$\delta(q_2, b) = (q_3, c, R)$$

Encoding:

1 0 1 0 1 1 0 1 1 0 1 0 0 1 1 0 1 1 1 0 1 1 1 0 1 1

separator

## Tape 1 contents of Universal Turing Machine:

encoding of the simulated machine  $M$   
as a binary string of 0's and 1's

A Turing Machine is described  
with a binary string of 0's and 1's

Therefore:

The set of Turing machines forms a language:

each string of the language is  
the binary encoding of a Turing Machine

# Language of Turing Machines

$L = \{$  010100101, (Turing Machine 1)  
00100100101111, (Turing Machine 2)  
111010011110010101, .....  
..... }

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Infinite sets are either:

Countable

or

Uncountable

## Countable set:

Any finite set

or

*Any Countably infinite set:*

There is a one to one correspondence

between

elements of the set

and

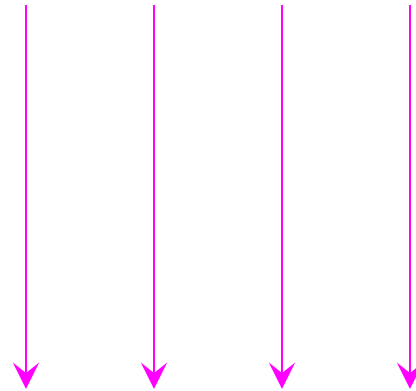
Natural numbers

Example: The set of even integers  
is countable

Even integers: 0, 2, 4, 6, ...

Correspondence:

Positive integers: 1, 2, 3, 4, ...



$2n$  corresponds to  $n+1$



Example: The set of rational numbers  
is countable

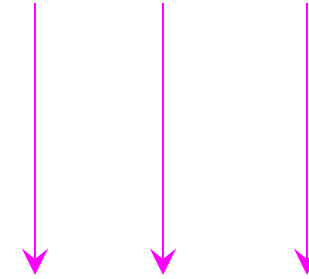
Rational numbers:  $\frac{1}{2}, \frac{3}{4}, \frac{7}{8}, \dots$

## Naïve Proof

Rational numbers:  $\frac{1}{1}, \frac{1}{2}, \frac{1}{3}, \dots$

Correspondence:

Positive integers: 1, 2, 3, ...



Doesn't work:

we will never count

numbers with nominator 2:

$\frac{2}{1}, \frac{2}{2}, \frac{2}{3}, \dots$

# Better Approach

$$\frac{1}{1} \quad \frac{1}{2} \quad \frac{1}{3} \quad \frac{1}{4} \quad \dots$$

$$\frac{2}{1} \quad \frac{2}{2} \quad \frac{2}{3} \quad \dots$$

$$\frac{3}{1} \quad \frac{3}{2} \quad \dots$$

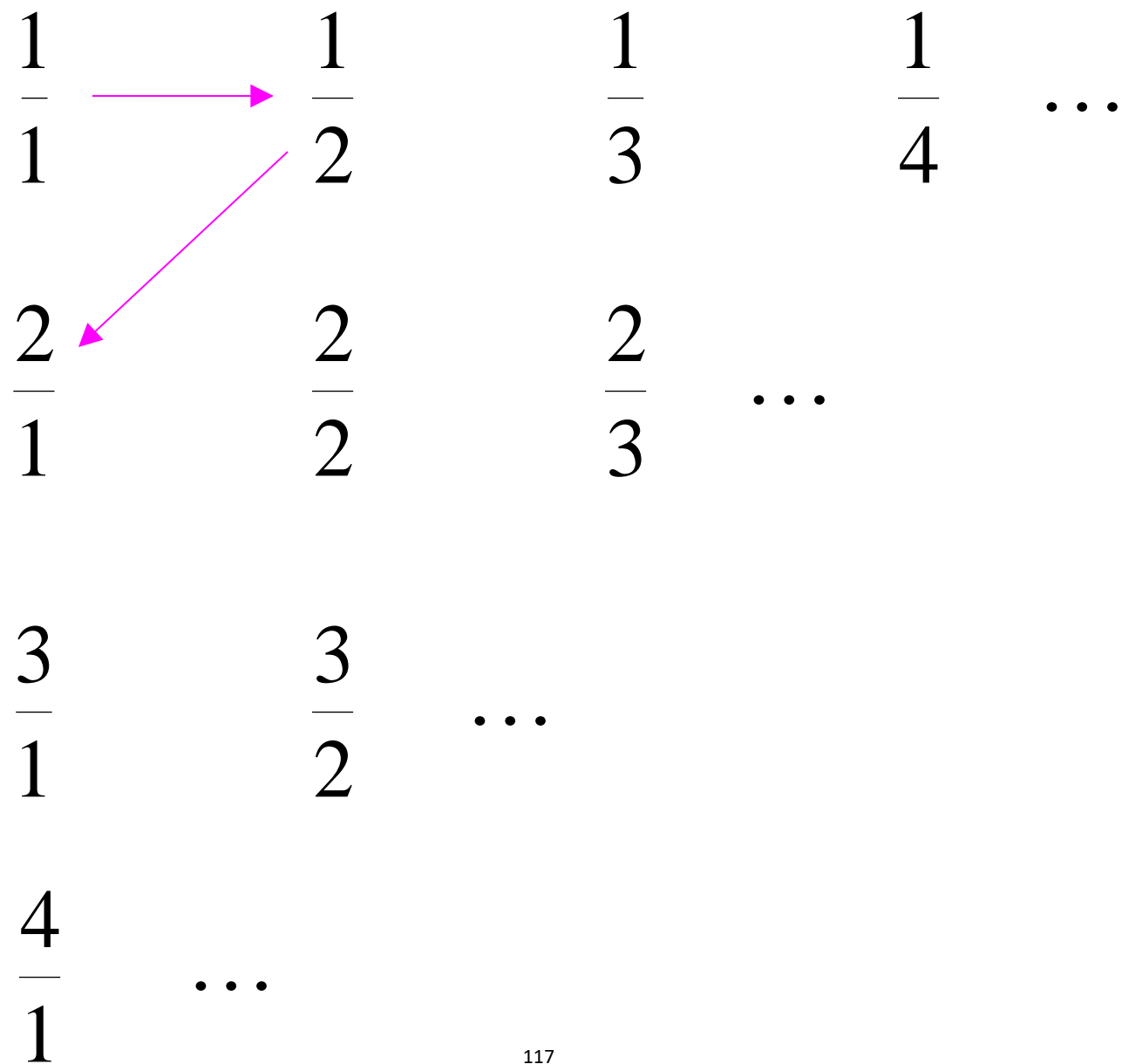
$$\frac{4}{1} \quad \dots$$

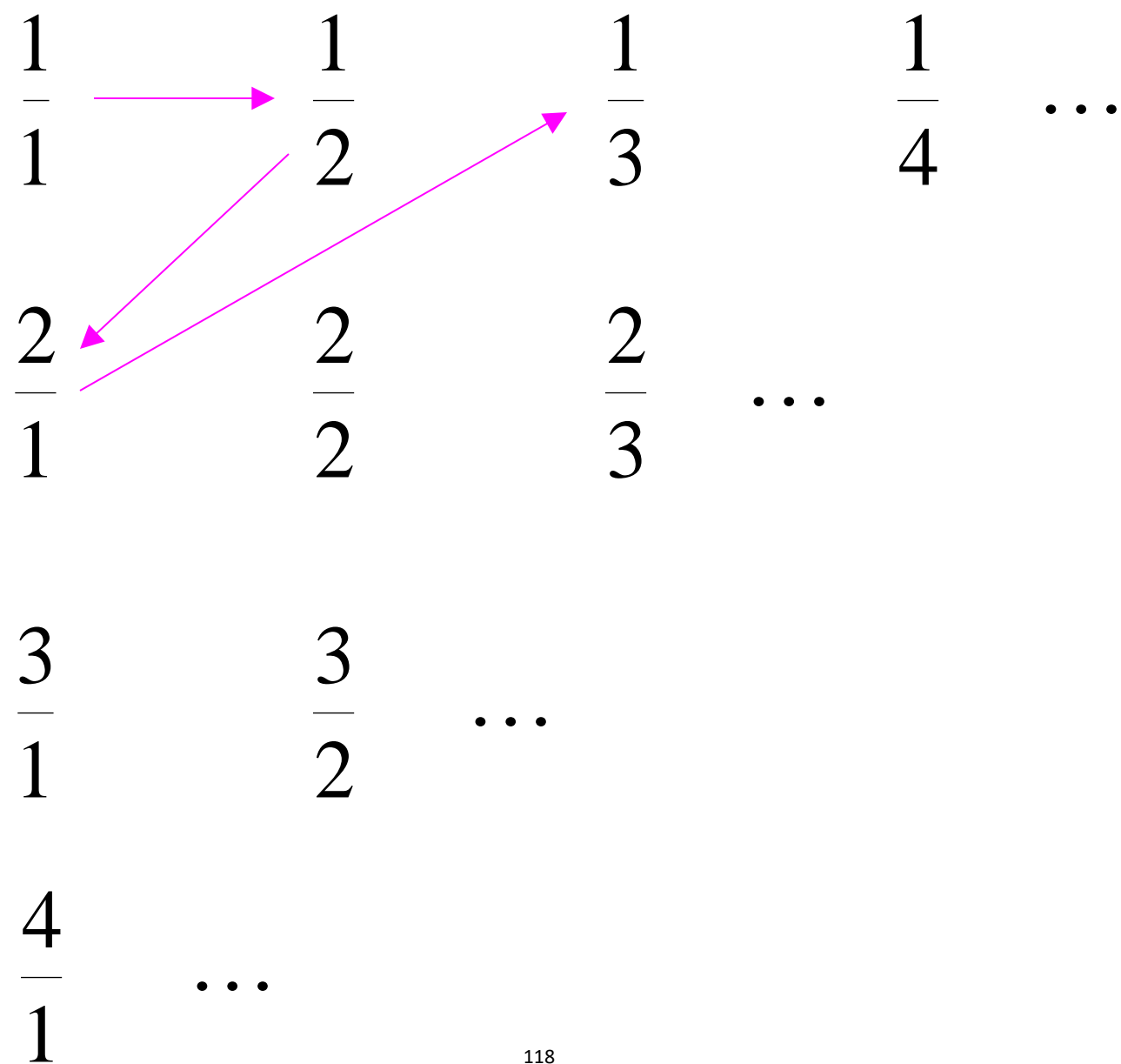
$$\frac{1}{1} \xrightarrow{\quad} \frac{1}{2} \qquad \frac{1}{3} \qquad \frac{1}{4} \qquad \dots$$

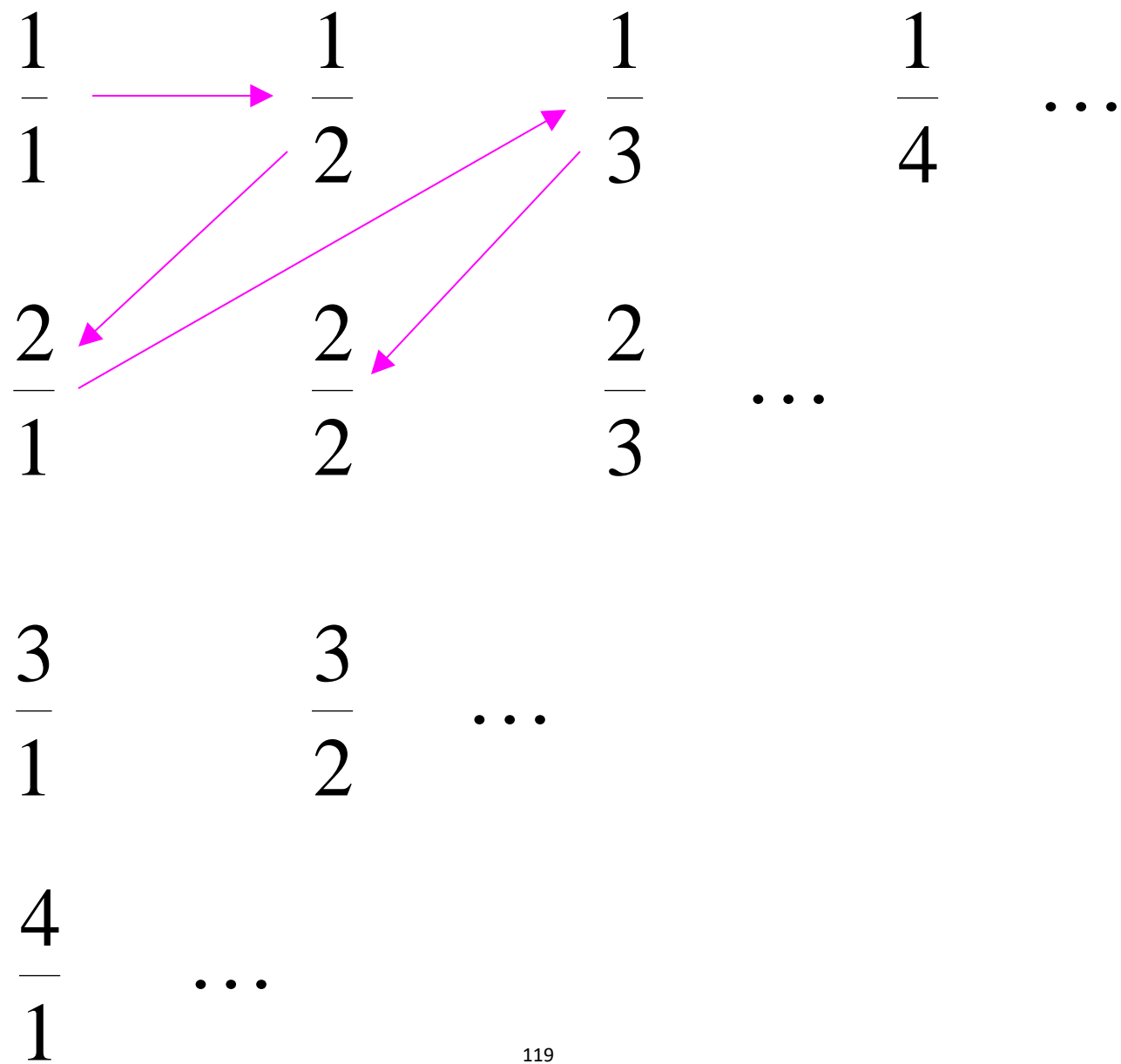
$$\frac{2}{1} \qquad \frac{2}{2} \qquad \frac{2}{3} \qquad \dots$$

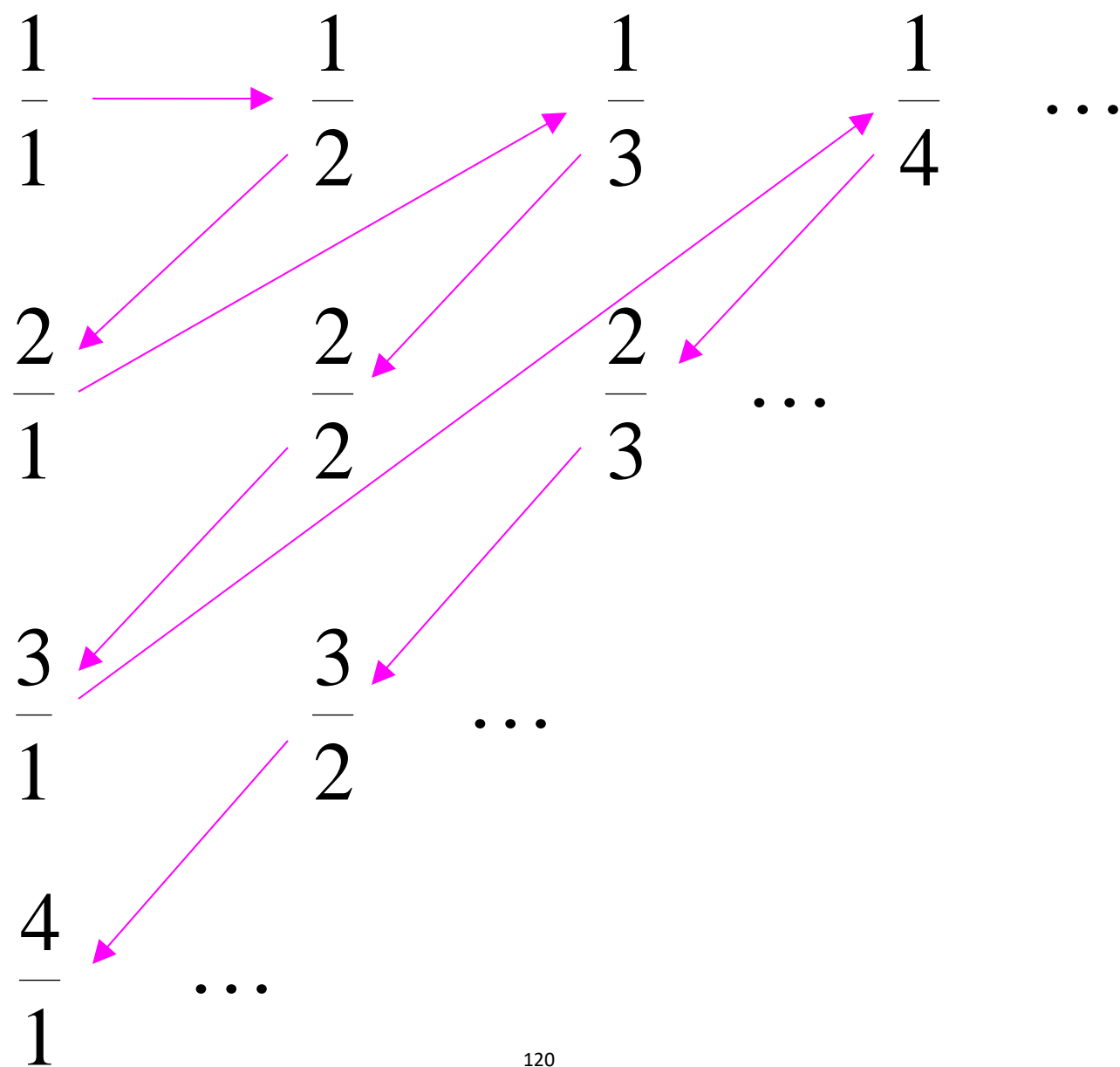
$$\frac{3}{1} \qquad \frac{3}{2} \qquad \dots$$

$$\frac{4}{1} \qquad \dots$$











Rational Numbers:

$\frac{1}{1}, \frac{1}{2}, \frac{2}{1}, \frac{1}{3}, \frac{2}{2}, \dots$

Correspondence:

Positive Integers:

1, 2, 3, 4, 5, ...

We proved:

the set of rational numbers is countable  
by describing an enumeration procedure

## Definition

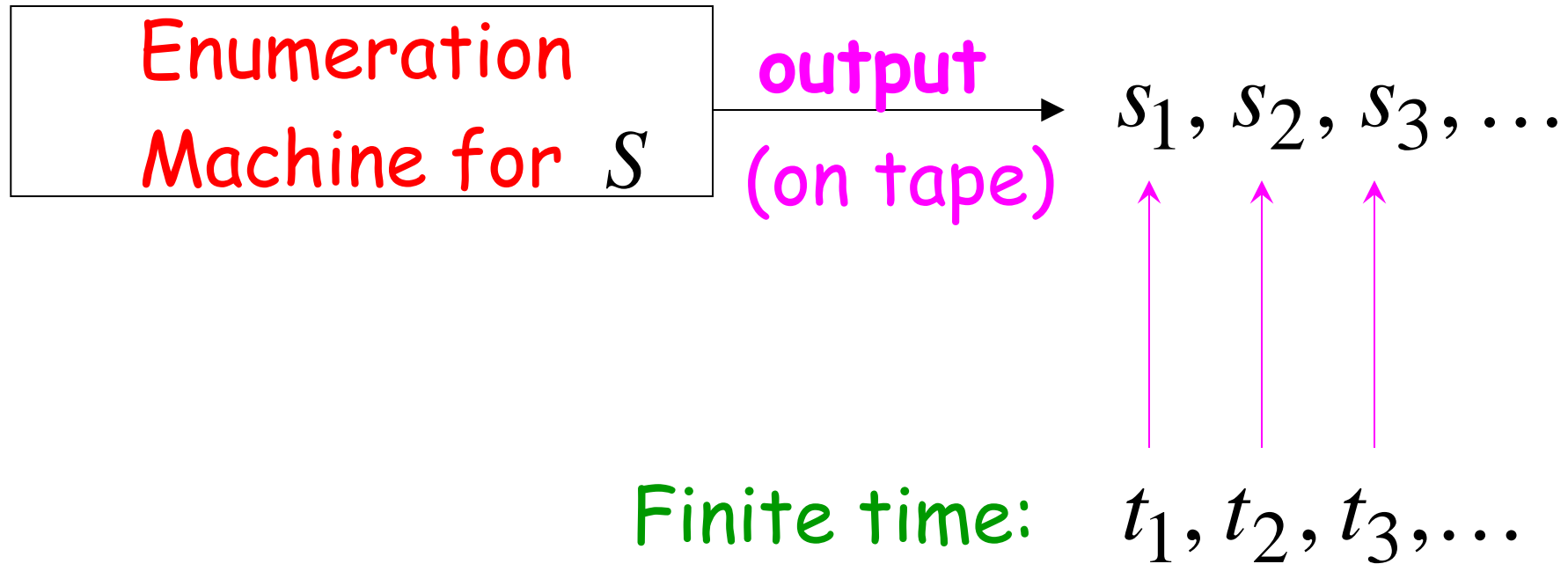
Let  $S$  be a set of strings

An **enumeration procedure** for  $S$  is a Turing Machine that generates all strings of  $S$  one by one

and

each string is generated in finite time

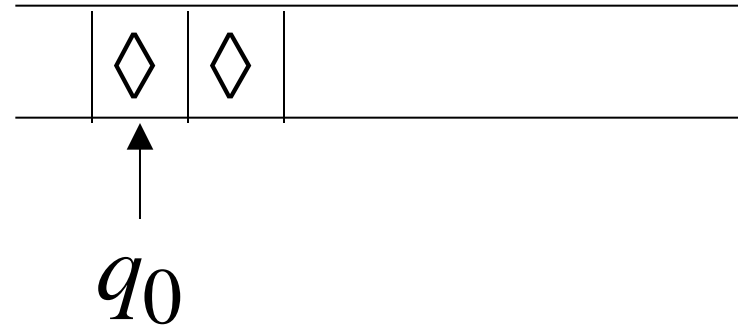
strings  $s_1, s_2, s_3, \dots \in S$



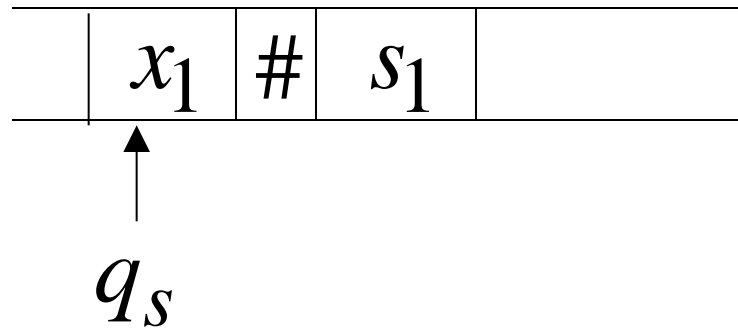
# Enumeration Machine

## Configuration

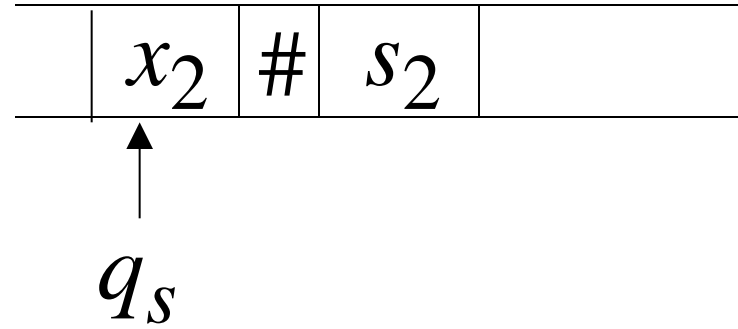
Time 0



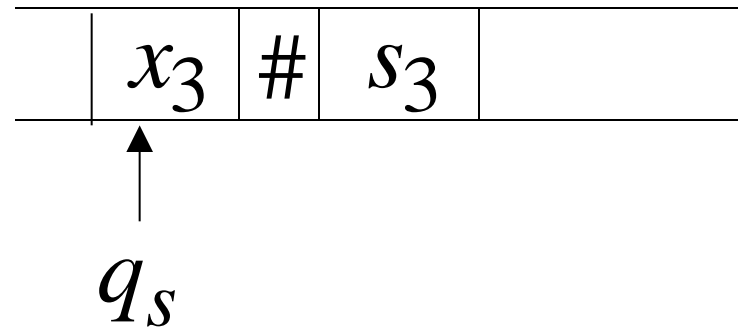
Time  $t_1$



Time  $t_2$



Time  $t_3$



## Observation:

If for a set there is an enumeration procedure, then the set is countable

Example:

The set of all strings  $\{a,b,c\}^+$   
is countable

Proof:

We will describe an enumeration procedure



## Naive procedure:

Produce the strings in lexicographic order:

*a*

*aa*

*aaa*

*aaaa*

.....

## Doesn't work:

strings starting with *b* will never be listed  
(violates the generation in finite time rule)

## Better procedure: Proper Order

1. Produce all strings of length 1
2. Produce all strings of length 2
3. Produce all strings of length 3
4. Produce all strings of length 4
- .....

Produce strings in  
**Proper Order:**

*a*  
*b*  
*c* } length 1

*aa*  
*ab*  
*ac*  
*ba*  
*bb* } length 2  
*bc*  
*ca*  
*cb*  
*cc*

*aaa*  
*aab* } length 3  
*aac*

.....

**Theorem:** The set of all Turing Machines  
is countable

**Proof:** Any Turing Machine can be encoded  
with a binary string of 0's and 1's

Find an enumeration procedure  
for the set of Turing Machine strings

# Enumeration Procedure:

## Repeat

1. Generate the next binary string of 0's and 1's in proper order
2. Check if the string describes a Turing Machine
  - if **YES**: print string on output tape
  - if **NO**: ignore string

**Definition:** A set is uncountable  
if it is not countable