

# Apache Flink 流批一体的 资源管理与任务调度

Resource Management and Task Scheduling for Streaming and Batch Processing in Apache Flink

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**FLINK FORWARD # ASIA**

实时即未来 # Real-time Is The Future

**FLINK  
FORWARD**



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# 流批一体的资源管理

Resource Management for Streaming and Batch Processing

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**01**

# 流批一体的资源管理的核心技术问题

Key technique problems of resource management for streaming and batch processing



特点

Characteristics



挑战

Challenges



解决方案

Solutions



# 特点1：内存消费主体差异

Characteristic 1 – Different memory consumers

## 流处理作业

Streaming processing jobs

### State backends

State backends

### Memory/FsStateBackend 使用 Java 堆内内存

Memory/FsStateBackend uses Java on-heap memory

### RocksDBStateBackend 使用非 Java 内存

RocksDBStateBackend uses non Java memory

## 批处理作业

Batch processing jobs

### 缓存哈希表、排序缓冲等

Cached hash tables, sorting buffers, etc.

### 使用 Flink 自行管理的 Managed Memory

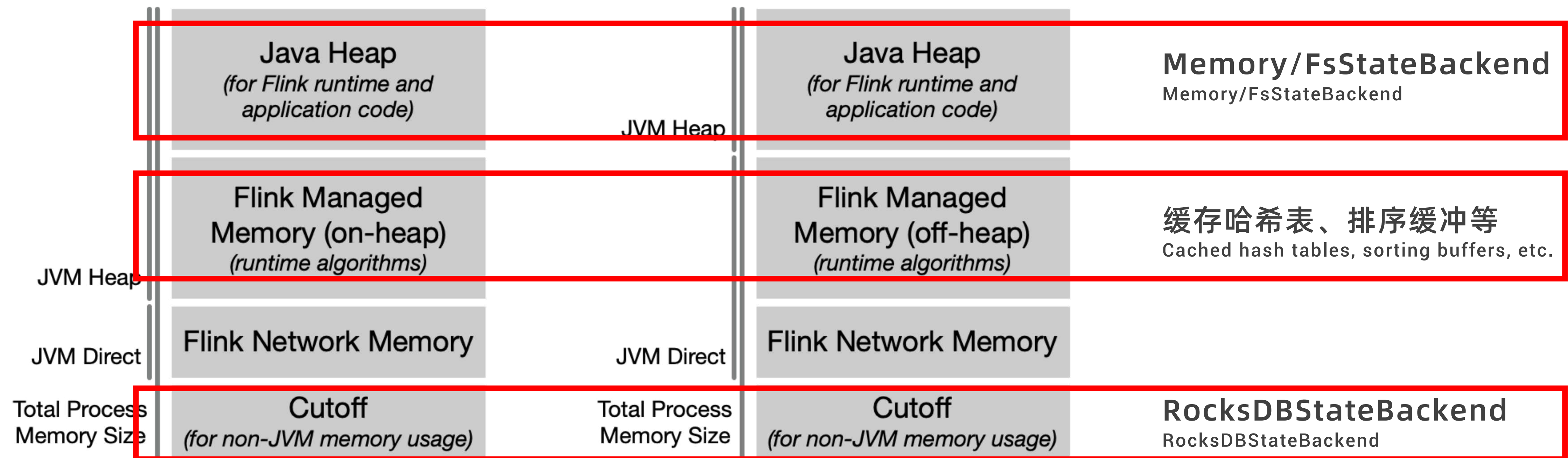
Use managed memory that managed by Flink itself

### 可使用 Java 堆内或堆外内存

Can use Java on-heap or off-heap memory

# 挑战1：能否用一套配置满足流和批作业的不同需求？

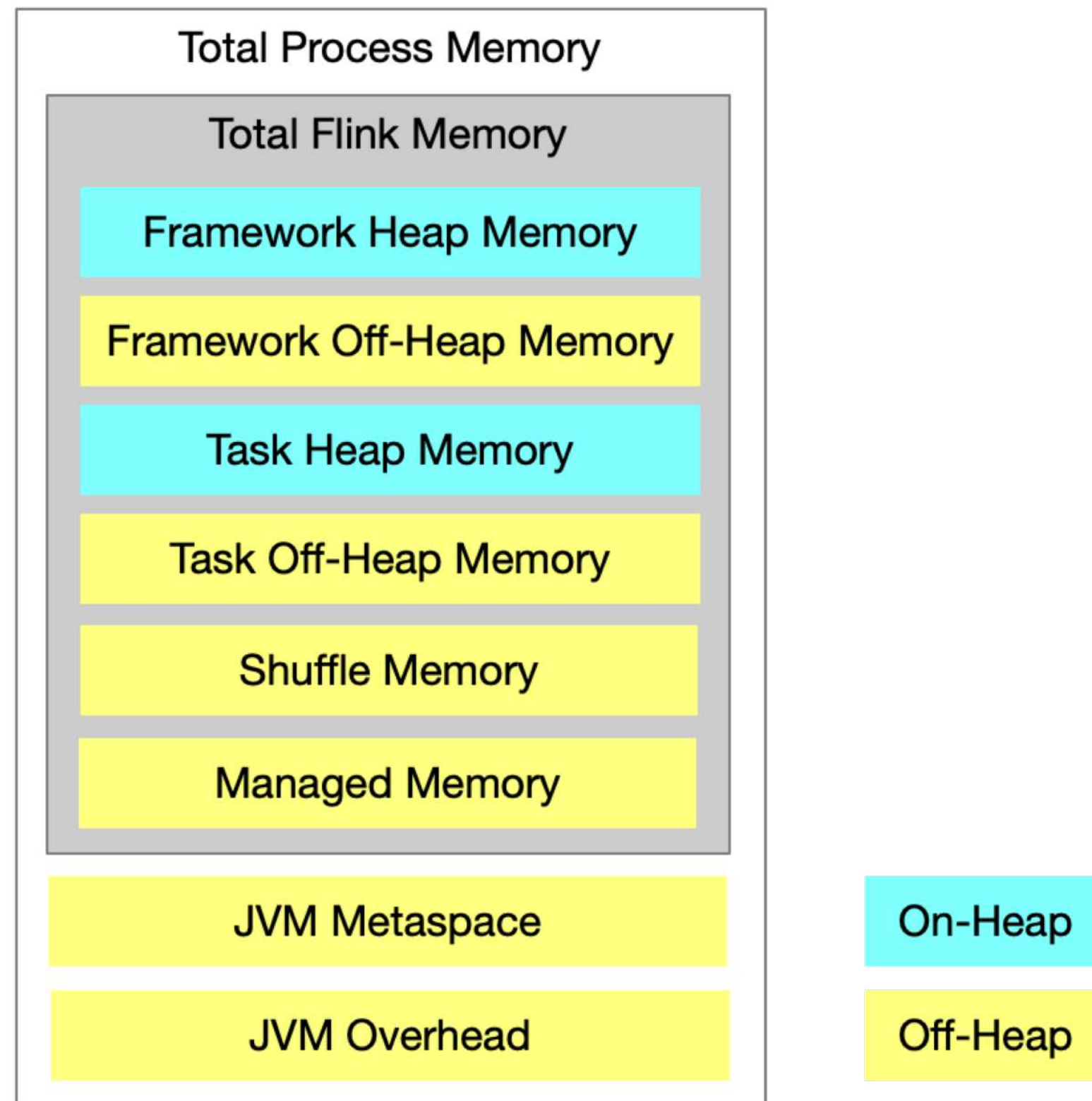
Challenge 1 – Can we use one unified set of configurations to satisfy requirements of streaming and batch processing jobs?



**TaskExecutor 内存模型**  
TaskExecutor memory model

# 解决方案1

Solution 1



## RocksDBStateBackend 使用 managed memory

RocksDBStateBackend uses managed memory

## Managed memory 不在使用 Java 堆内内存

Managed memory no longer uses Java on-heap memory

## 请求 managed memory 的两种方式

Two ways of requesting managed memory

### 请求由 Flink 申请、封装的内存段

Request memory segments that are allocated and wrapped by Flink

### 请求特定大小的内存预算，供消费主体使用

Request to reserve certain size of memory budget, to be used by the consumer

# 解决方案1

Solution 1

## FLIP-49: 统一的 TaskExecutor 内存配置

FLIP-49: Unified Memory Configuration for TaskExecutors

### 统一流、批作业的内存配置

Unify memory configurations for streaming and batch processing

### 梳理 TaskExecutor 内存组成部分

Re-organize memory components of TaskExecutors

### 简化配置和计算逻辑

Simplify configuring and computing logics

## 已全部完成，将随 Flink 1.10 发布

Completed, will be available in Flink 1.10



# 特点2：同时运行 vs. 顺序运行

Characteristic 2 – Simultaneous execution vs. sequential execution

## 流处理作业

Streaming processing jobs

### 所有任务必须同时运行

All tasks must be running at the same time

### 使数据得以在节点之间顺畅流动

Allow data to flow through vertices

## 批处理作业

Batch processing jobs

### Slot 复用：资源不足时，可以先运行部分任务，待其结束并释放资源后再运行其他任务

Slot Reusing - If resource is not enough, we can first execute some of the tasks, and wait for their finishing and releasing resources to execute the rest of the tasks.

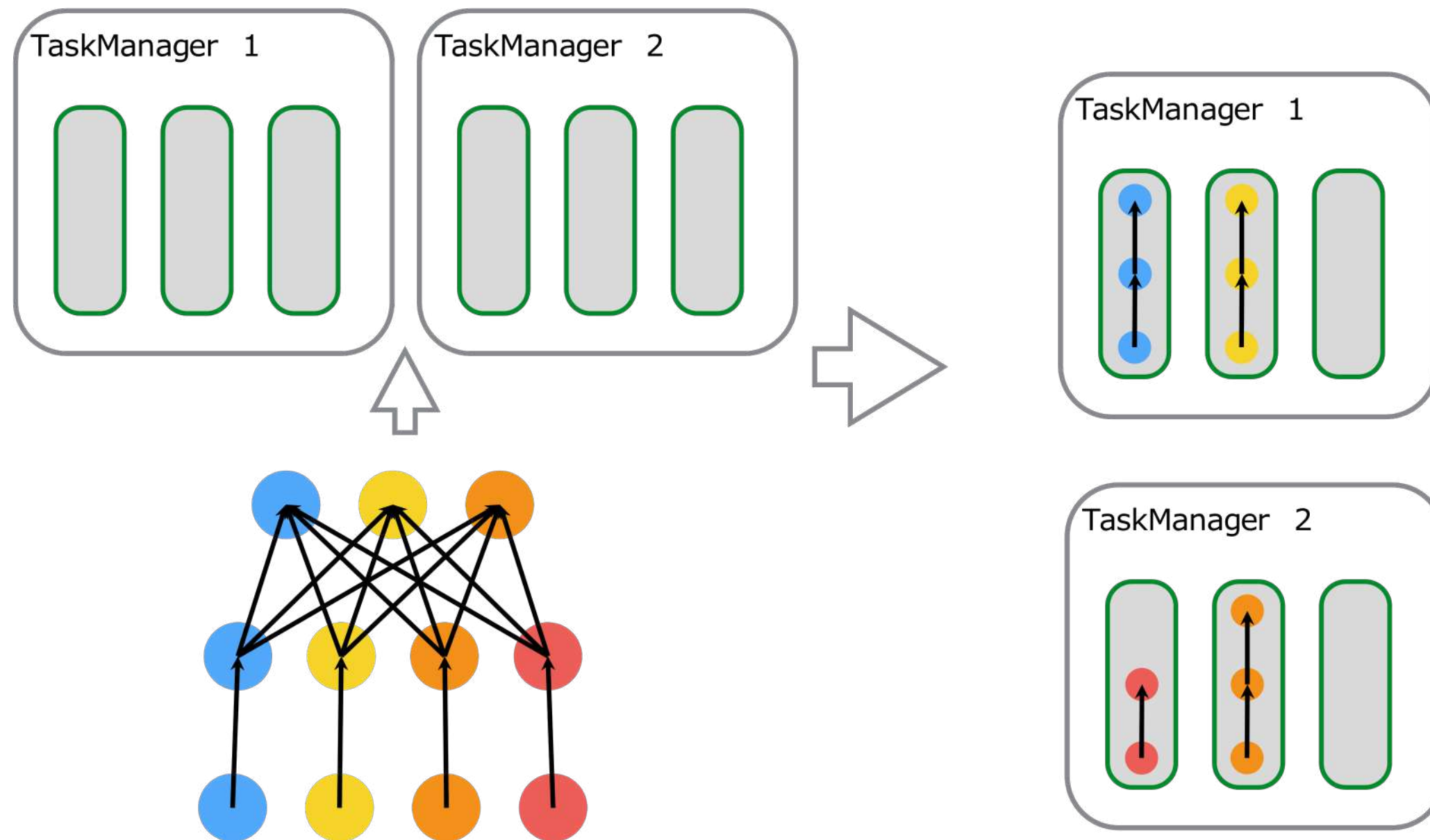
### 任务依赖：任务间可能存在输入依赖，有时下游任务需要等上游任务结束才能开始运行

Task Dependency - There might be input dependencies between tasks, requiring downstream tasks to start only after upstream tasks finish



# 流作业的 Slot Sharing

Slot Sharing for streaming processing



## Slot Sharing Group 中的任务可共用 slot

Tasks of vertices in the same slot sharing group can share slots

### 默认所有节点在一个 Slot Sharing Group 中

All vertices are in one slot sharing group by default

### 一个 slot 中相同任务只能有一个

There can be at most one task of the same vertex in each slot

## 优点

Advantages

### 运行一个作业所需的 slot 数量为最大并发数

Number of slots needed for executing the job is its max vertex parallelism

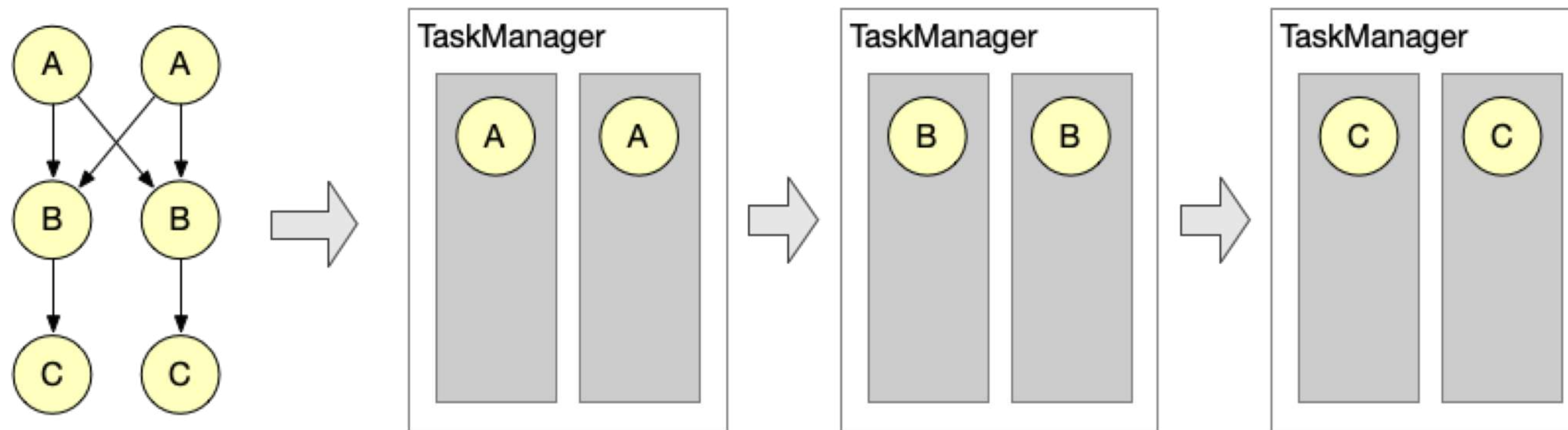
### 相对负载均衡

Relative load balancing



# 挑战2-1：批作业 Slot Sharing 的问题

Challenge 2-1 – Problems of Slot Sharing for batch processing

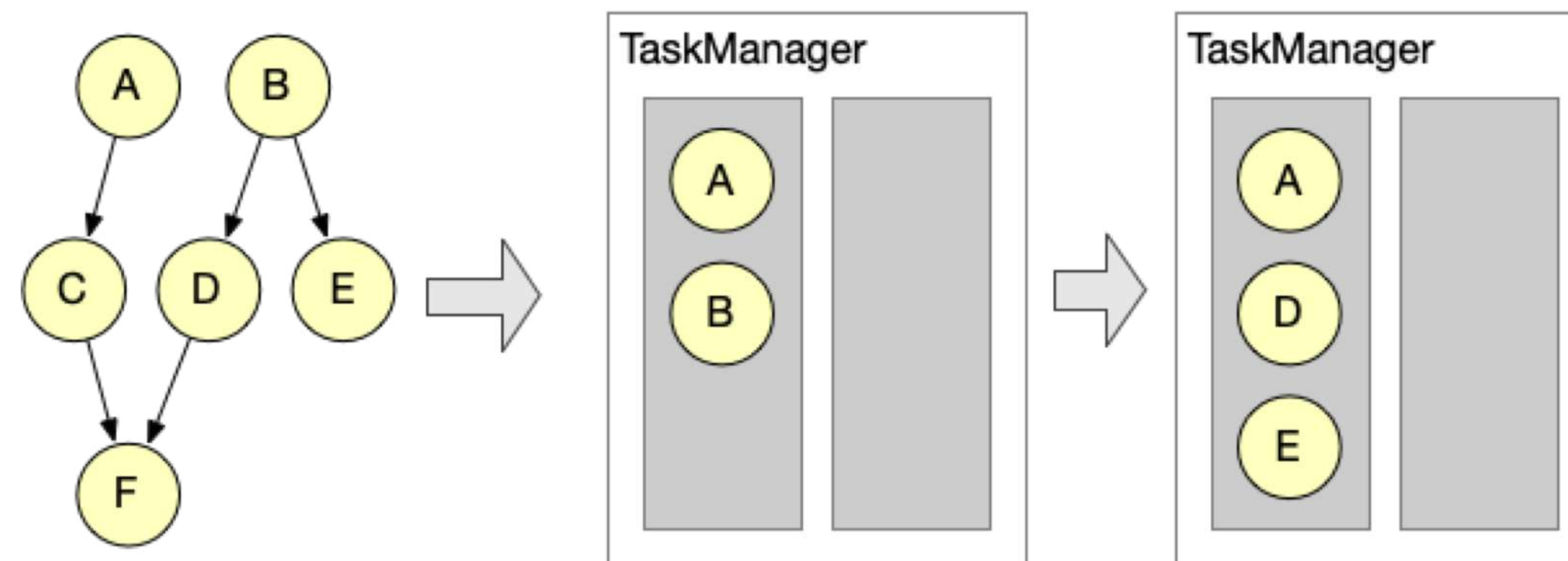


**Slot Sharing Group 中的任务并不同时运行**  
Tasks of the same slot sharing group do not run at the same time

**资源限制，效率低**  
Low efficiency due to idle resources

**Slot 中同时运行的任务数量是变化的**  
Amount of tasks running in the same slot changes

**需要为后续任务预留出合适的资源**  
Need to reserve proper resources for upcoming tasks





# 解决方案2-1

Solution 2-1

哪些任务是有可能同时运行的？

Which tasks can possibly run at the same time?

**Pipelined Region**

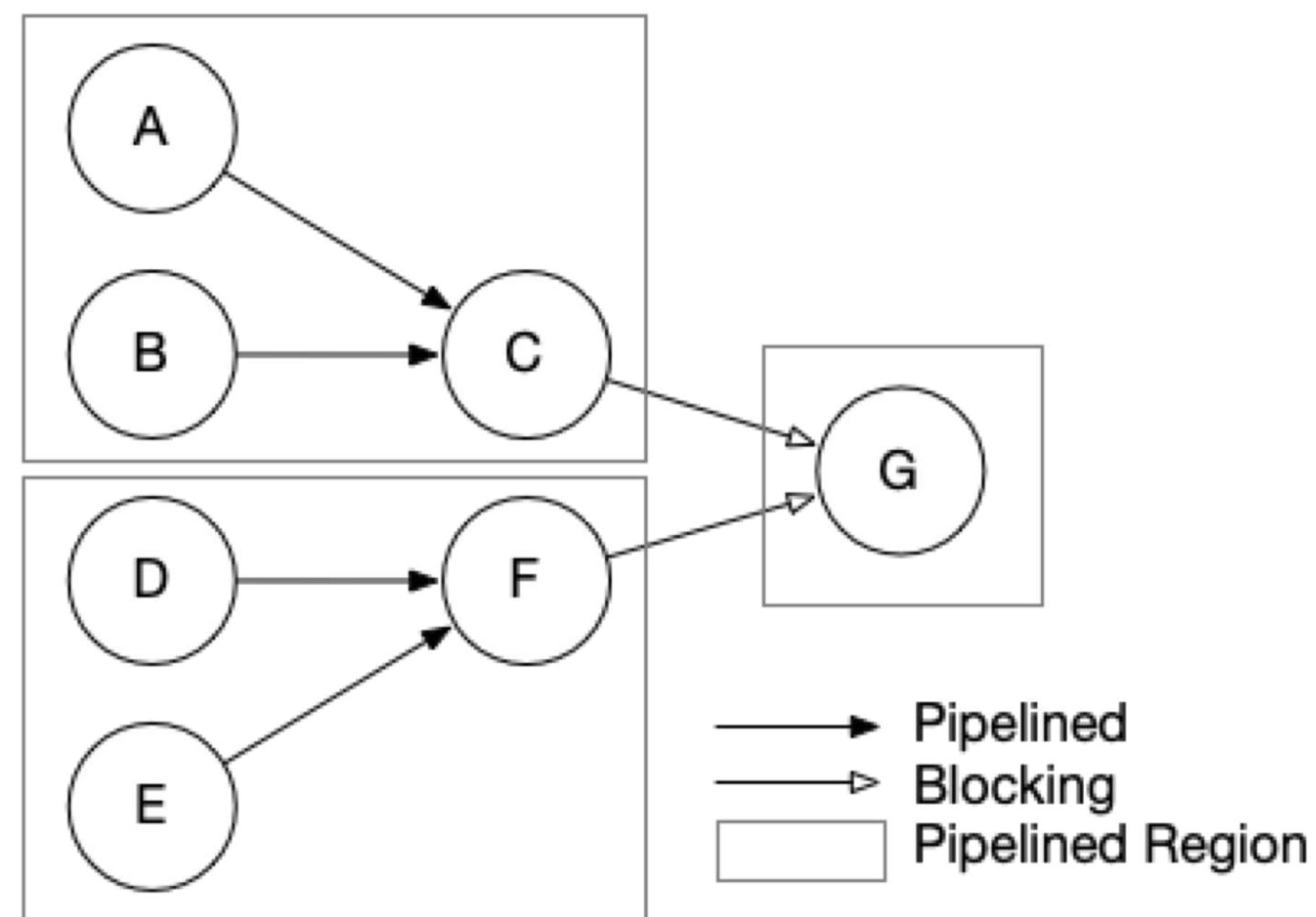
Pipelined Region

算子可以使用 slot 中的多少资源？

How many of the slot resources can a operator use?

**基于 fraction 的相对资源预算**

Fraction based relative resource quota





# 解决方案2-1

Solution 2-1

编译阶段：

At compiling:

**设置 slot sharing group 为 pipelined region**

Set slot sharing groups to pipelined regions

**根据 slot sharing group 中需要使用某种资源的算子数量为算子设置 fraction**

Set fraction for operators according to number of operators in the slot sharing group that use certain resource

部署阶段：

At deploying:

**根据 slot 资源及算子的 fraction 决定算子资源预算**

Decide operator's resource quota according to the slot's resource and the operator's fraction

# 解决方案2-1

Solution 2-1

## FLIP-53: 细粒度的算子资源管理

FLIP-53: Fine Grained Operator Resource Management

### 细粒度的算子资源预算管理

Fine grained operator resource quota management

### Slot Sharing 的处理

Slot sharing handling

大部分工作已完成，预计 Flink 1.10 能够全部完成

Mostly finished, should be completed in Flink 1.10



# 挑战2-2： 如何满足算子确定的资源需求

Challenge 2-2: How to satisfy deterministic operator resource requirements

**解决方案2-1 本质上解决了一个什么问题？**

Essentially, what problem does solution 2-1 solve?

**算子可以使用多少资源**

How many resources an operator can use

**这个解决方案隐含的假设是什么？**

What is the implicit assumption behind this solution?

**算子使用的资源可多可少**

The amount of resource an operator uses does not really matter

**不一定成立**

Not always true

# 挑战2-2： 如何满足算子确定的资源需求

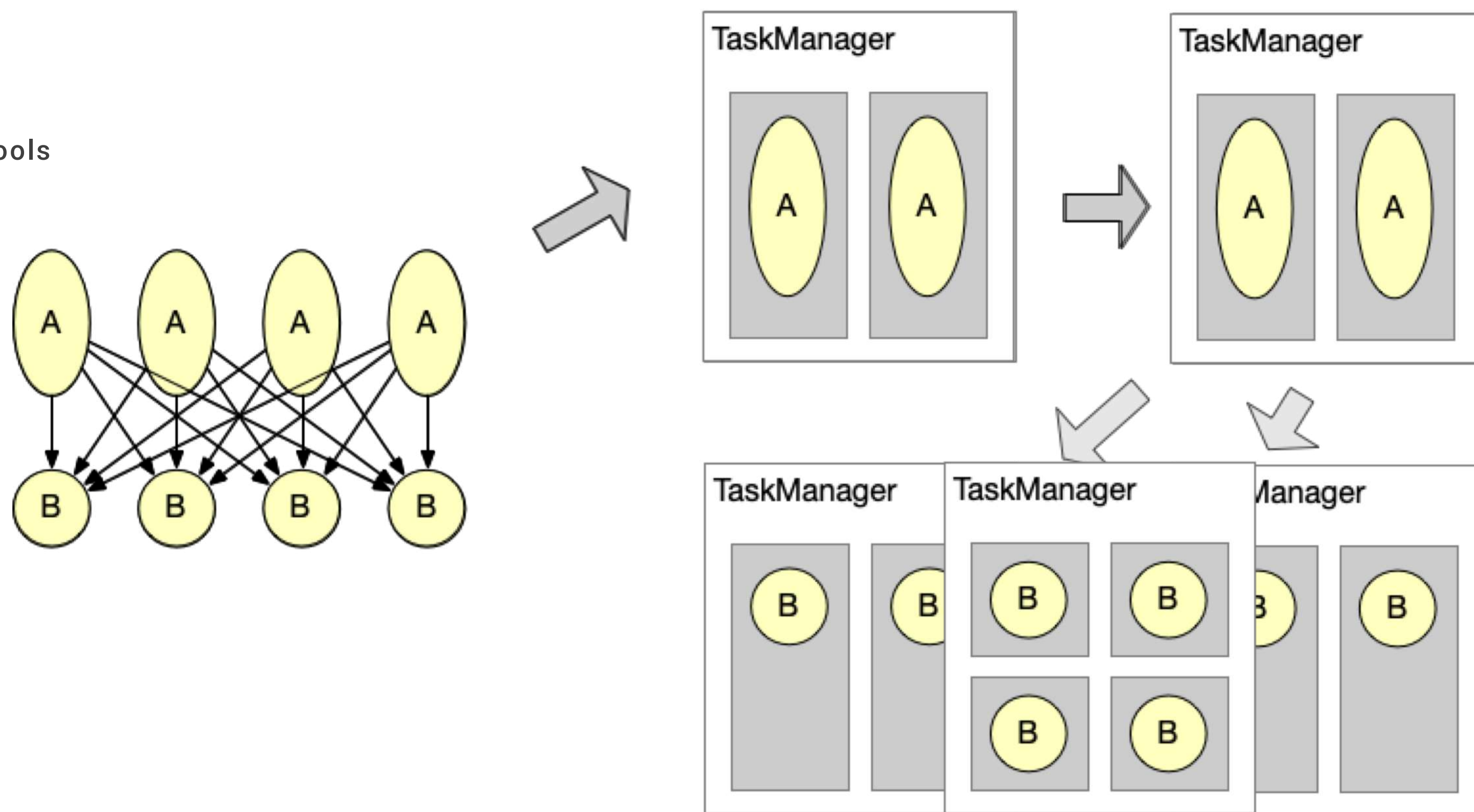
Challenge 2-2: How to satisfy deterministic operator resource requirements

我们姑且先假设算子的资源需求是已知的

Let's assume operator resource requirements are known

经验性预估、半自动化/自动化工具

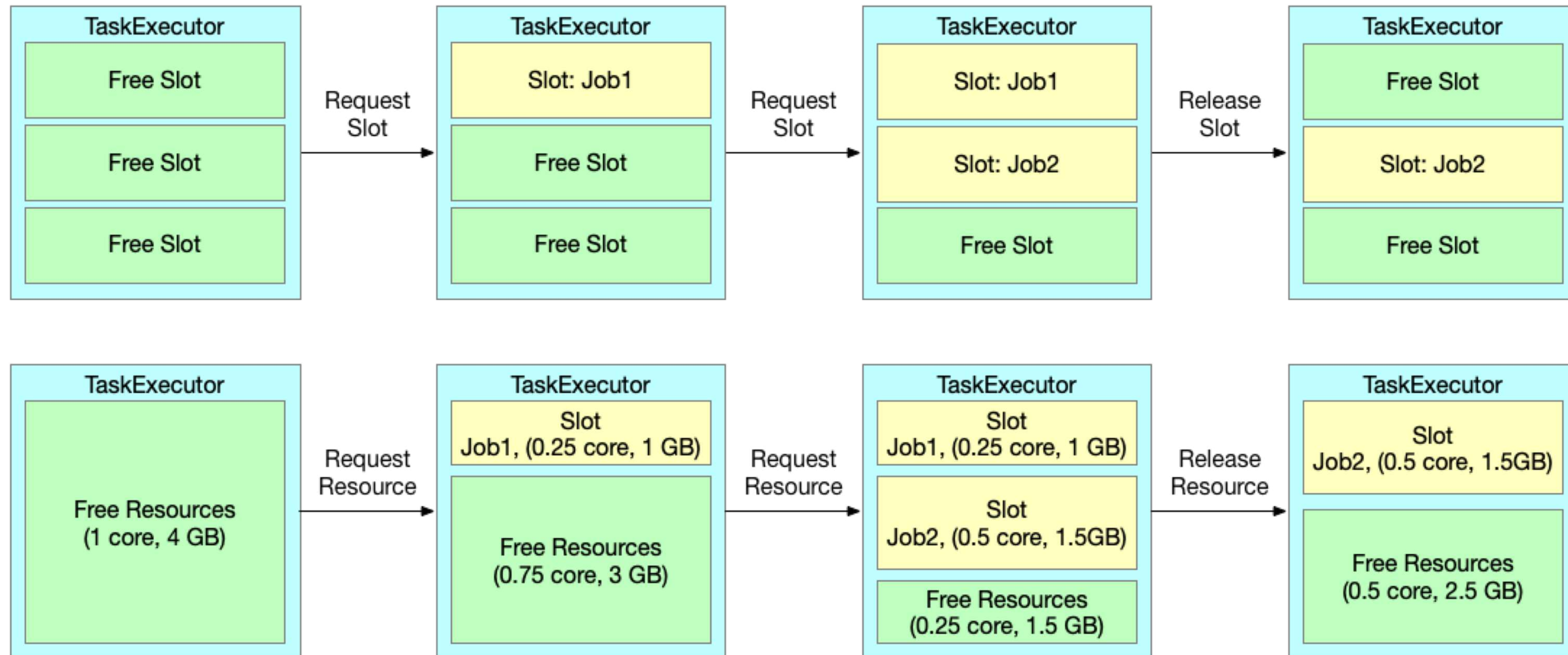
Empirical estimation, semi-automatic/automatic tools





# 解决方案2-2

Solution 2-2



# 解决方案2-2

Solution 2-2

## FLIP-56: 动态 slot 分配

FLIP-56: Dynamic Slot Allocation

### 动态创建、销毁 slot

Dynamically create and destroy slots

### TM 资源使用记账

Bookkeeping of TM resource usages

### 非细粒度资源请求的处理

Handling of non fine grained resource requirements

开发中，按目前进度很难在 Flink 1.10 完成，预计下一个版本可全部完成

In developing, may not make Flink 1.10, should be completed in the next release



# 资源管理的两种理念

Two philosophies of resource management

## 自顶向下的资源管理

Top-Down Resource Management

### Slot Sharing

Slot sharing

### 作业整体资源决定单个任务资源

First decide job resource, then derive task resource

### 配置难度低，效率一般

Easy to config, with normal efficiency

### 适合基础用户、小规模作业

Recommended for primary users and small jobs

## 自底向上的资源管理

Bottom-Up Resource Management

### 细粒度资源管理

Fine grained resource management

### 单个任务资源决定作业整体资源

First decide task resource, then derive job resource

### 配置难度高，效率高

Difficult to config, with high efficiency

### 适合深度用户、大规模作业

Recommended for expert users and big jobs

两种资源管理理念反映出了不同场景下的需求差异  
The two philosophies reflects different demands in different scenarios  
两种理念均有存在的价值  
The two philosophies both should be preserved

# 流批一体的任务调度

Task Scheduling for Streaming and Batch Processing

02



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How to schedule batch and streaming job perfectly?

### b 基于Concurrent-Group的调度

Scheduling based on concurrent group

### c 测试效果与未来发展

Test result and future work

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# 调度系统的目标

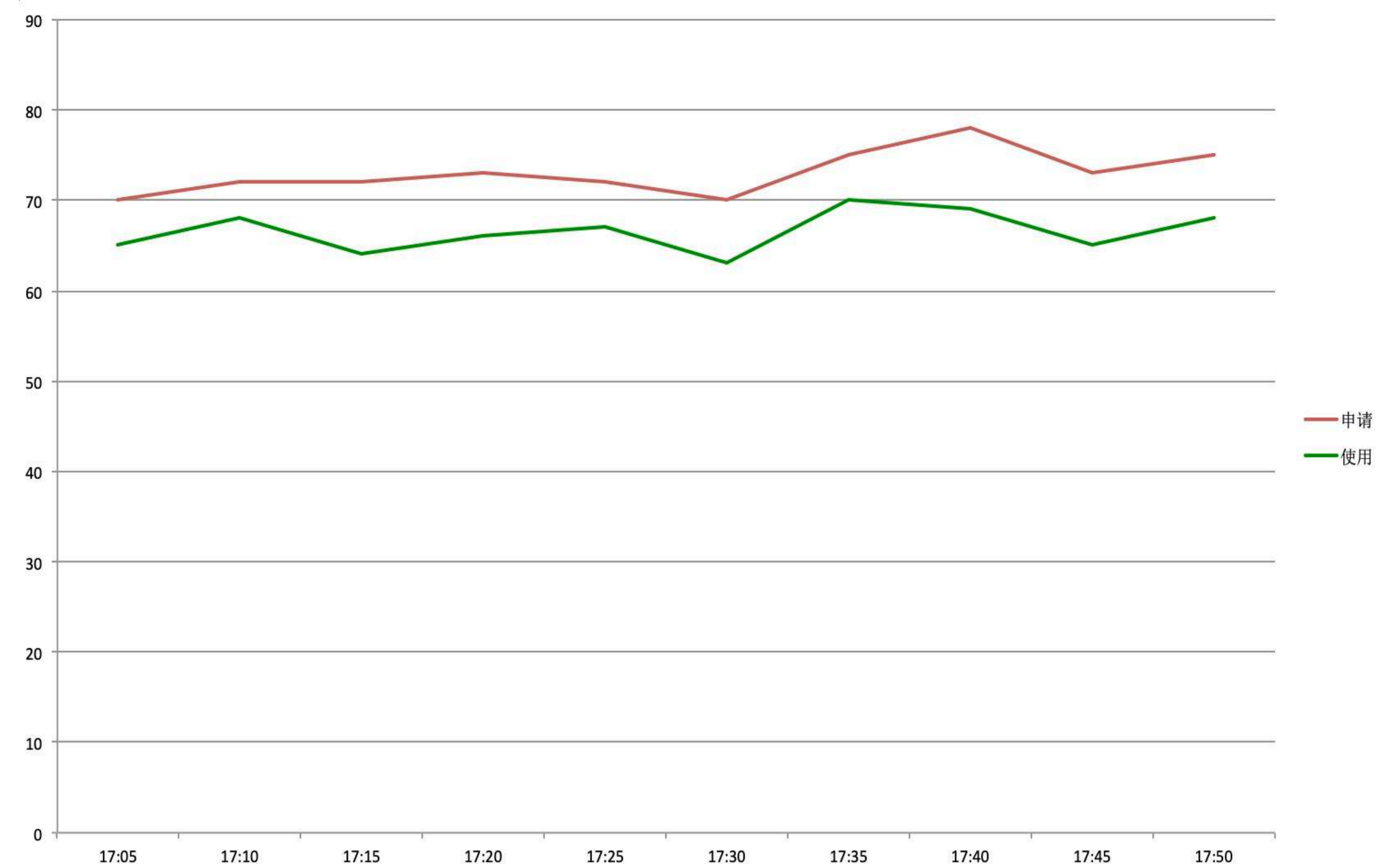
The goal of scheduling system

集群层面：

The whole cluster level:

提升集群实际利用率

Increase the actual utilization of cluster



# 调度系统的目标

The goal of scheduling system

## 作业层面：

For a specified job level:

- 资源不足时能够以最小资源运行

Can run with a minimal resource

- 资源充足时能够充分利用资源

Fully utilize the resource in the cluster

- 不占用当前用不上的资源

Occupy resource only when really need

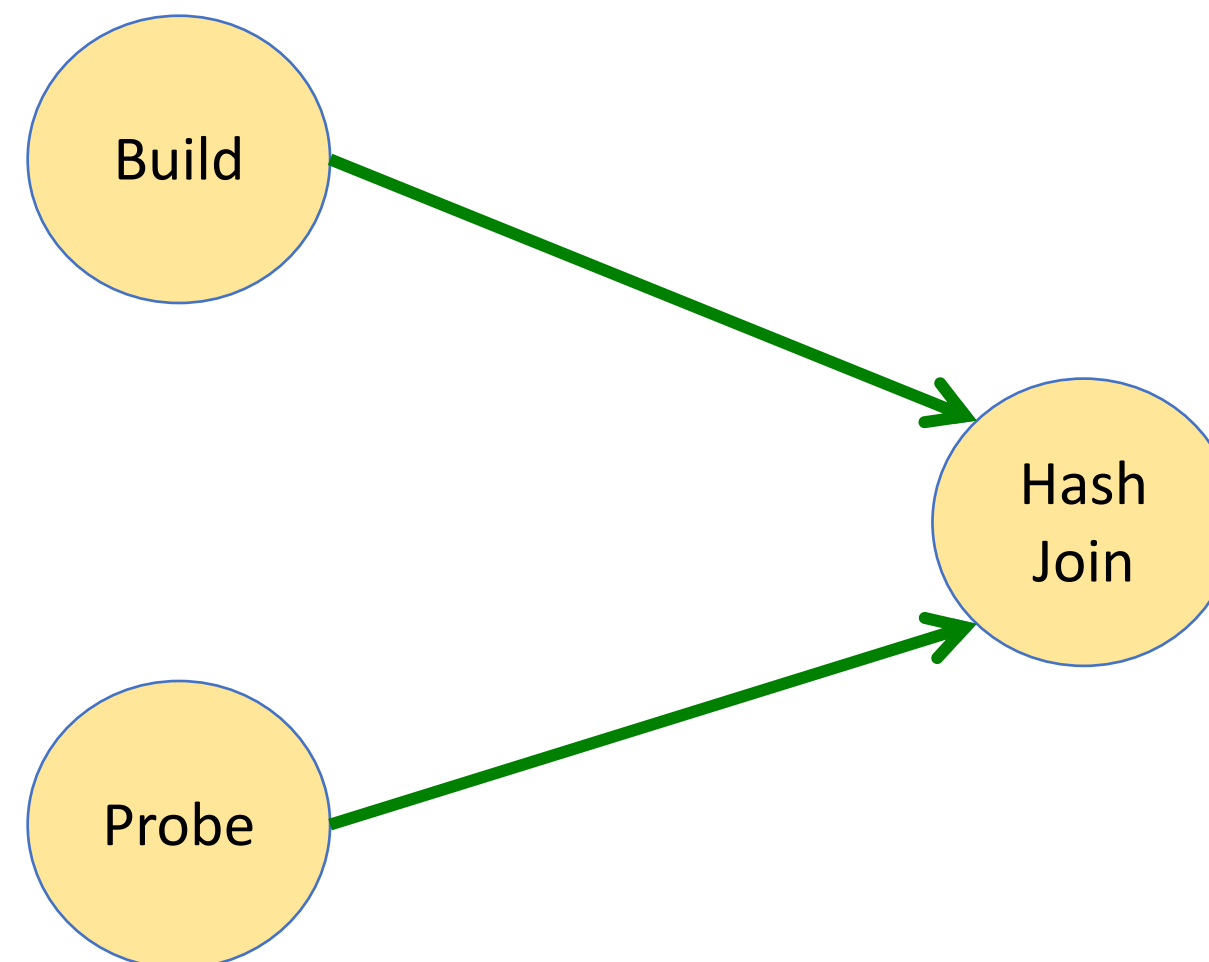


# 批作业的特性

The characteristics of batch jobs

算子可能按序读取上游数据：

The operator may consume its inputs in order:

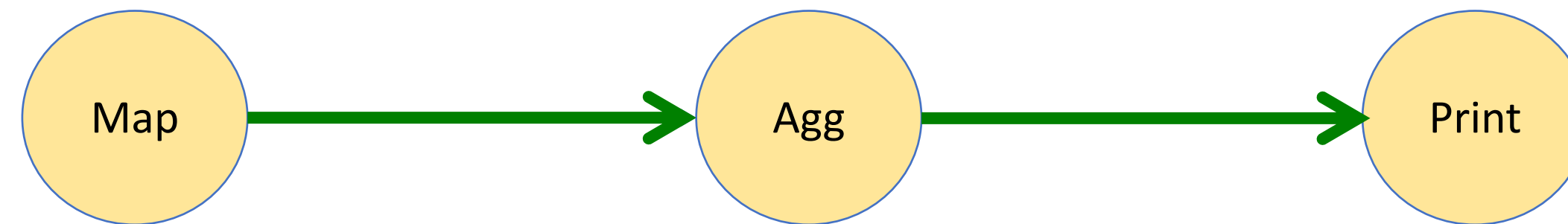


# 批作业的特性

The characteristics of batch jobs

算子需要计算一定时间后才会输出结果：

The operator may compute for a long time before outputting the result:





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Test result and future work

# 如何划分Group?

How to split a job graph into concurrent groups?

## 划分规则:

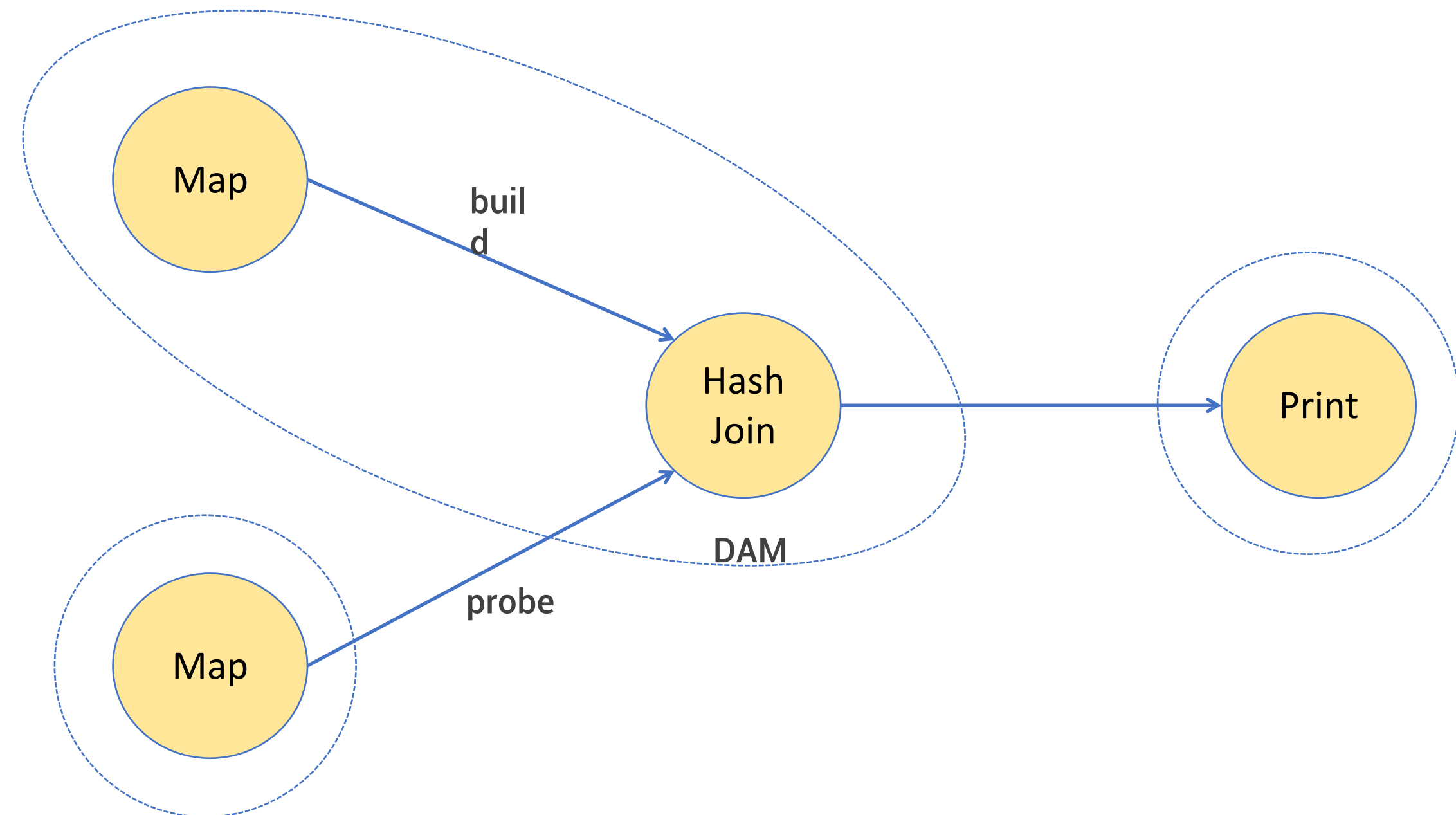
The rule for group split:

- 如果一个节点是DAM的，则与它的下游不放到同一个Group中。

Put the vertex and its downstream into different groups if it is dam.

- 如果一个节点的多个输入有读取顺序，则后读的输入跟它不放在同个Group中。

Put the upstream with later order into a different group.





# Group排序

The groups should be sorted and in order

## 排序依据：

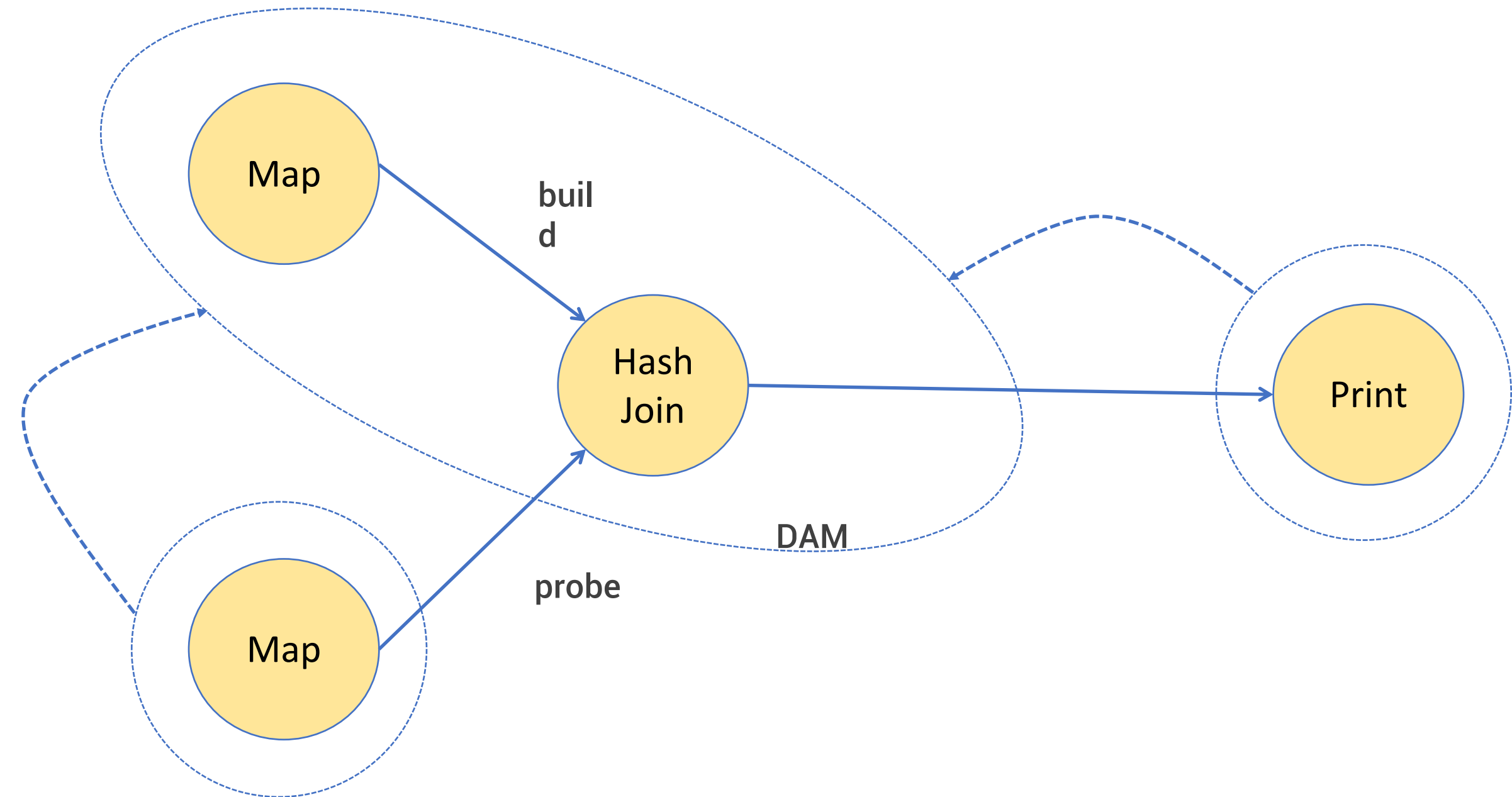
The rule for sorting groups:

- 根据节点间的输入输出顺序

The data flow between two vertices

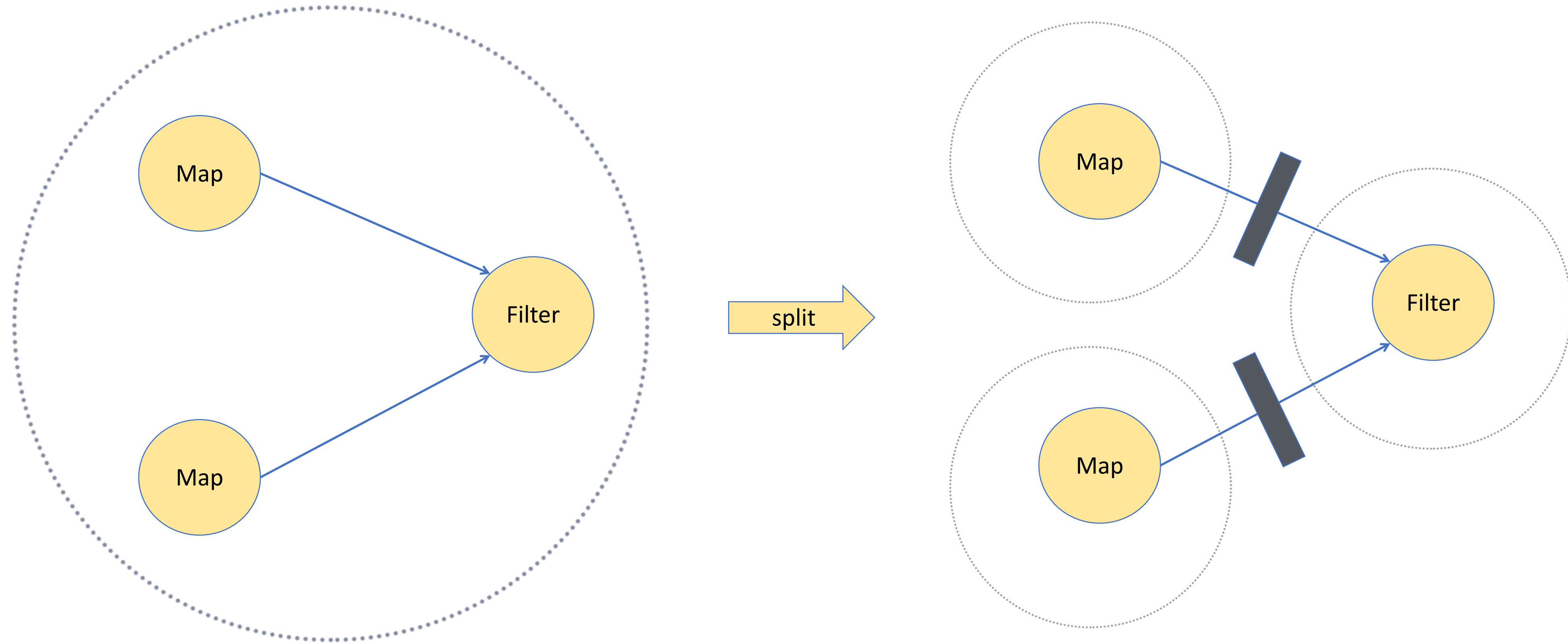
- 输入的读取顺序

The order of data consumed by the same consumer



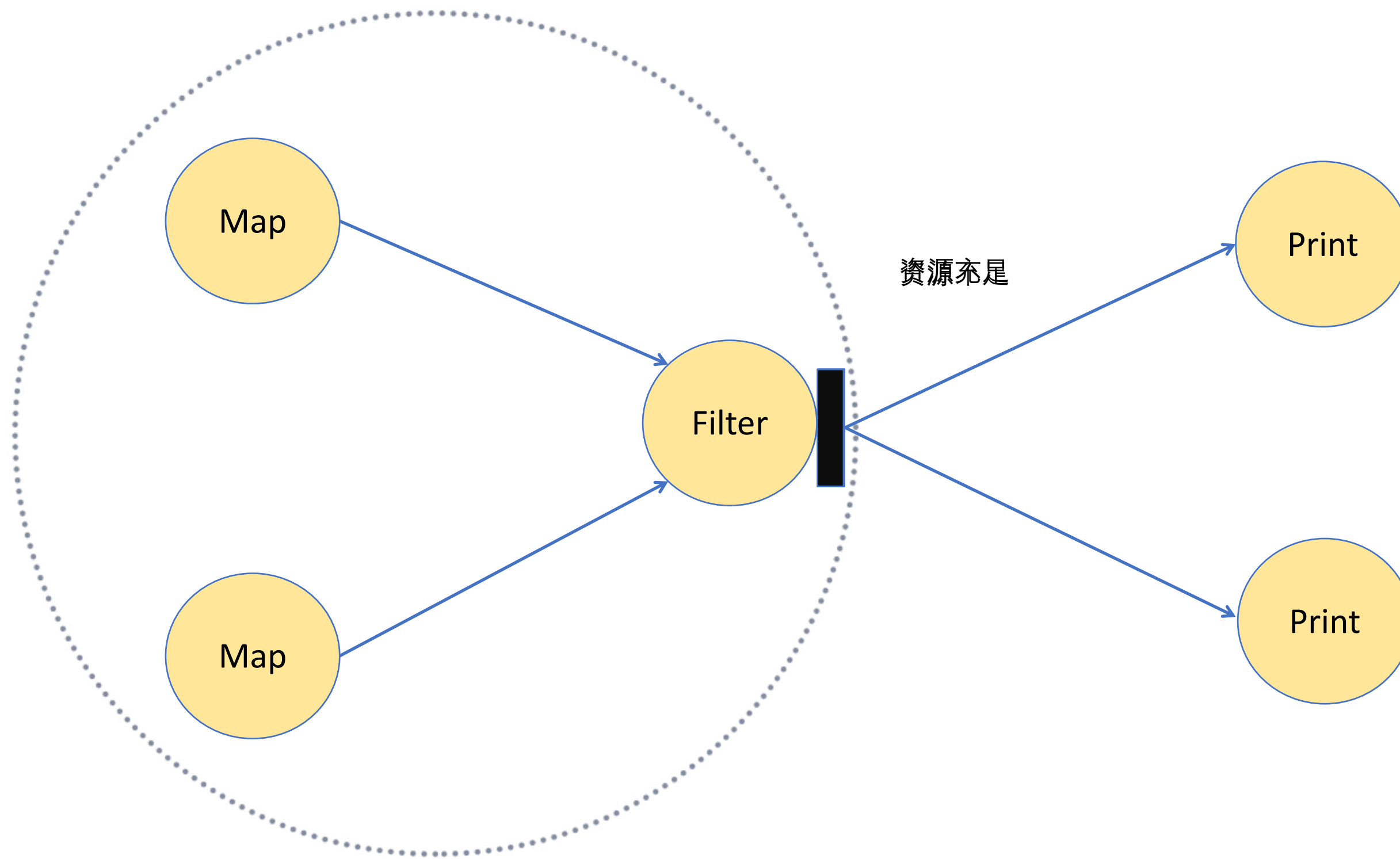
# Group资源不足？

What to do if there is no enough resource for a group?



# 下游Group何时启动？

When should the downstream groups be scheduled?





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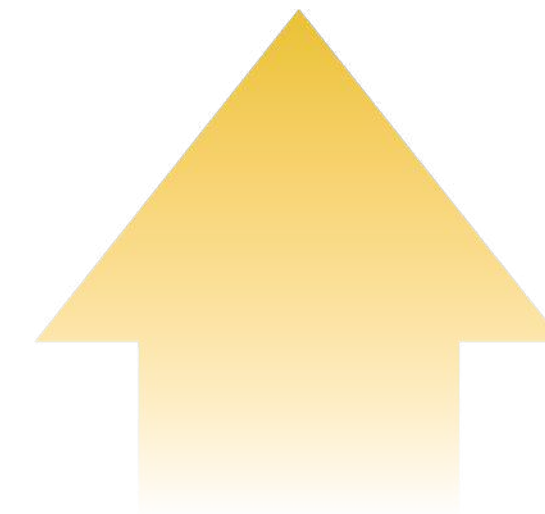
# 测试效果

Test results



**16台机器上跑通  
10T的TPC-DS测试**

Pass TPC-DS tests on 16 machines



**性能提升13%**

Improve the performance by 13%

# 未来工作

Future work



**支持资源抢占**

Support resource preemption



**统一Pipeline和  
Blocking的资源**

Unify resource usage of  
pipeline and blocking



**THANKS**