

# EE360C: Algorithms

## A Review of Discrete Mathematics

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### Sets

- Set Definitions
- Set Operators

### Relations

### Functions

### Graphs

- Types of Graphs
- Edges
- Paths
- Connectivity
- Isomorphism
- Subgraphs
- Special Graphs

### Trees

- Free Trees
- Rooted Trees
- Binary Trees

### Questions

- a **set** is a collection of *distinguishable* objects, called **members** or **elements**
  - if  $x$  is an element of a set  $S$ , we write  $x \in S$
  - if  $x$  is not an element of set  $S$ , we write  $x \notin S$
- two sets are equal (i.e.,  $A = B$ ) if they contain exactly the same elements
- some special sets:
  - $\emptyset$  is the set with no elements
  - $\mathbb{Z}$  is the set of integer elements
  - $\mathbb{R}$  is the set of real number elements
  - $\mathbb{N}$  is the set of natural number elements

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- **subset:** if  $x \in A$  implies  $x \in B$ , then  $A \subseteq B$
- **proper subset:** if  $A \subseteq B$  and  $A \neq B$  then  $A \subset B$
- **intersection:**  $A \cap B = \{x : x \in A \text{ and } x \in B\}$
- **union:**  $A \cup B = \{x : x \in A \text{ or } x \in B\}$
- **difference:**  $A - B = \{x : x \in A \text{ and } x \notin B\}$

# RELATION DEFINITIONS

A **binary relation**  $R$  on two sets  $A$  and  $B$  is a subset of the Cartesian product  $A \times B$ . If  $(a, b) \in R$ , we sometimes write  $a R b$ .

Consider the relations "=", "<", and " $\leq$ " for each of the following.

- **reflexive:**  $R \subseteq A \times A$  is reflexive if  $a R a$  for all  $a \in A$
- **symmetric:**  $R$  is symmetric if  $a R b$  implies  $b R a$  for all  $a, b \in A$
- **transitive:**  $R$  is transitive if  $a R b$  and  $b R c$  imply  $a R c$  for all  $a, b, c \in A$
- **antisymmetric:**  $R$  is antisymmetric if  $a R b$  and  $b R a$  imply  $a = b$ .

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# MORE RELATION DEFINITIONS

A relation that is reflexive, symmetric, and transitive is an **equivalence relation**. If  $R$  is an equivalence relation on set  $A$ , then for  $a \in A$ , the **equivalence class** of  $a$  is the set  $[a] = \{b \in A : a R b\}$ .

Consider  $R = \{(a, b) : a, b \in \mathbb{N} \text{ and } a + b \text{ is an even number}\}$ . Is it reflexive? Is it symmetric? Is it transitive?

A relation that is reflexive, antisymmetric, and transitive is a **partial order**.

A partial order on  $A$  is a **total order** if for all  $a, b \in A$ ,  $a R b$  or  $b R a$  hold.

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# FUNCTION DEFINITIONS

Given two sets  $A$  and  $B$ , a **function**  $f$  is a binary relation on  $A \times B$  such that for all  $a \in A$ , there exists exactly one  $b \in B$  such that  $(a, b) \in f$ .

- the set  $A$  is the **domain** of  $f$  ( $a$  is an **argument** to the function)
- the set  $B$  is the **co-domain** of  $f$  ( $b$  is the **value** of the function)

We often write functions as:

- $f : A \rightarrow B$
- if  $(a, b) \in f$ , we write  $b = f(a)$

A function  $f$  assigns an element of  $B$  to each element of  $A$ . No element of  $A$  is assigned to two different elements of  $B$ , but the same element of  $B$  can be assigned to two different elements of  $A$ .

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- A **finite sequence** is a function whose domain is  $\{0, 1, \dots, n - 1\}$ , often written as  $\langle f(0), f(1), \dots, f(n - 1) \rangle$
- An **infinite sequence** is a function whose domain is the set of  $\mathbb{N}$  natural numbers  $(\{0, 1, \dots\})$ .
- When the domain of  $f$  is a Cartesian product, e.g.,  $A = A_1 \times A_2 \times \dots \times A_n$ , we write  $f(a_1, a_2, \dots, a_n)$  instead of  $f((a_1, a_2, \dots, a_n))$
- We call each  $a_i$  an argument of  $f$  even though the argument is really the n-tuple  $(a_1, a_2, \dots, a_n)$

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# AND STILL MORE FUNCTION DEFINITIONS

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If  $f : A \rightarrow B$  is a function and  $b = f(a)$ , then we say that  $b$  is the **image** of  $a$  under  $f$ .

- The **range** of  $f$  is the image of its domain (i.e.,  $f(A)$ ).
- A function is a **surjection** if its range is its codomain. (This is sometimes referred to as mapping  $A$  **onto**  $B$ .)
  - $f(n) = \lfloor n/2 \rfloor$  is a surjective function from  $\mathbb{N}$  to  $\mathbb{N}$
  - $f(n) = 2n$  is not a surjective function from  $\mathbb{N}$  to  $\mathbb{N}$
  - $f(n) = 2n$  is a surjective function from  $\mathbb{N}$  to the even numbers

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# THE LAST OF THE FUNCTION DEFINITIONS

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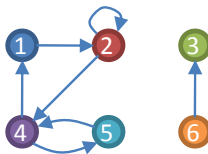
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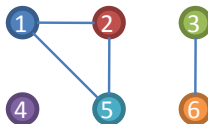
- A function is an **injection** if distinct arguments to  $f$  produce distinct values, i.e.,  $a \neq a'$  implies  $f(a) \neq f(a')$ . (This is sometimes referred to as a **one-to-one function**.)
  - $f(n) = \lfloor n/2 \rfloor$  is not an injective function from  $\mathbb{N}$  to  $\mathbb{N}$
  - $f(n) = 2n$  is an injective function from  $\mathbb{N}$  to  $\mathbb{N}$
- A function is a **bijection** if it is both injective and surjective. (This is sometimes referred to as a **one-to-one correspondence**.)

# TYPES OF GRAPHS

A **directed graph** (or **digraph**)  $G$  is a pair  $(V, E)$  where  $V$  is a finite set (of “vertices”) and  $E$  (the “edges”) is a subset of  $V \times V$ .



An **undirected graph**  $G$  is a pair  $(V, E)$  where  $V$  is a finite set (of “vertices”) and  $E$  (the “edges”) is a set of unordered pairs of edges  $\{u, v\}$ , where  $u \neq v$ .



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- If  $(u, v)$  is an edge in a *digraph*  $G$ , then  $(u, v)$  is **incident from** or **leaves**  $u$  and is **incident to** or **enters**  $v$ .
- If  $(u, v)$  is an edge in an undirected graph  $G$ , then  $(u, v)$  is **incident to** both  $u$  and  $v$ .
- In both cases,  $v$  is **adjacent** to  $u$ ; in a digraph adjacency is not necessarily symmetric.
- The **degree** of a vertex in an undirected graph is the number of edges incident to it (which is the same as the number of vertices adjacent to it).
- The **out-degree** of a vertex in a digraph is the number of edges leaving it.
- The **in-degree** of a vertex in a digraph is the number of edges entering it.

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# PATHS IN GRAPHS

A **path** from a vertex  $u$  to a vertex  $v$  is a sequence of vertices  $\langle v_0, v_1, \dots, v_k \rangle$  such that  $u = v_0$ ,  $v = v_k$ , and  $(v_{i-1}, v_i) \in E$  for  $i = 1, 2, \dots, k$ .

- The **length** of a path is the number of edges
- The path **contains** the vertices  $v_0, v_1, \dots, v_k$  and the edges  $(v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k)$
- $v$  is **reachable** from  $u$  if there is a path from  $u$  to  $v$
- A path is **simple** if all its vertices are distinct
- A **subpath** of a path  $p$  is any  $\langle v_i, v_{i+1}, \dots, v_j \rangle$  where  $0 \leq i \leq j \leq k$ . ( $p$  is a subpath of itself)
- In a digraph, a path  $\langle v_0, v_1, \dots, v_k \rangle$  forms a **cycle** if  $v_0 = v_k$  and  $k \geq 1$ . Such a cycle is **simple** if all vertices other than  $v_0$  and  $v_k$  are distinct.
- In an undirected graph, a path  $\langle v_0, v_1, \dots, v_k \rangle$  forms a **cycle** if  $v_0 = v_k$ ,  $k \geq 3$  and  $v_1, v_2, \dots, v_k$  are distinct.
- An **acyclic** graph has no cycles.

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An undirected graph is **connected** if each pair of vertices is connected by a path.

- The **connected components** are the equivalence classes of vertices under the “is reachable from” relation

A directed graph is **strongly connected** if every two vertices are reachable from one another

- The **strongly connected components** of a digraph are the equivalence classes of vertices under the “are mutually reachable” relation
- A digraph is strongly connected if it has exactly one strongly connected component

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# GRAPH ISOMORPHISM

$G = (V, E)$  is **isomorphic** to  $G' = (V', E')$  if there is a 1-to-1 onto function  $f : V \rightarrow V'$  such that  $(u, v) \in E$  if and only if  $(f(u), f(v)) \in E'$

- conceptually, we “relabel”  $G$  to get  $G'$

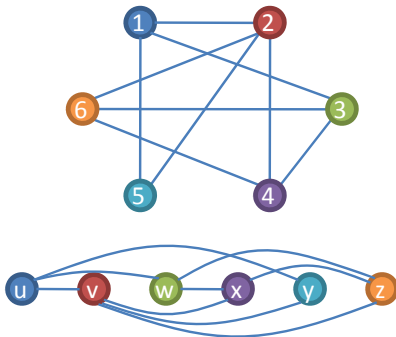


Figure : Two isomorphic graphs

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# SUBGRAPHS AND TRANSFORMATIONS

The graph  $G' = (V', E')$  is a **subgraph** of  $G = (V, E)$  if  $V' \subseteq V$  and  $E' \subseteq E$

- Given  $V' \subseteq V$ , the **subgraph induced by  $V'$**  is  $G' = (V', (V' \times V') \cap E)$ , or, equivalently,  $E' = \{(u, v) \in E : u, v \in V'\}$

Given an undirected graph  $G = (V, E)$ , the **directed version** of  $G$  is the graph  $G' = (V, E')$ , where  $(u, v) \in E'$  if and only if  $(u, v) \in E$

- Conceptually, we introduce two edges for each original edge

Given a directed graph  $G = (V, E)$ , the **undirected version** of  $G$  is the graph  $G' = (V, E')$  where  $(u, v) \in E'$  if  $u \neq v$  and  $(u, v) \in E$ .

- Conceptually, we remove directionality and self-loops

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# SPECIAL GRAPHS

- **complete graph:** an undirected graph in which every pair of vertices is adjacent
- **bipartite graph:** an undirected graph in which the vertex set can be partitioned into two sets  $V_1$  and  $V_2$  such that every edge in the graph is of the form  $(x, y)$  where  $x \in V_1$  and  $y \in V_2$ .
- **forest:** an acyclic undirected graph
- **tree:** a connected, acyclic undirected graph
- **dag:** directed acyclic graph



Figure : A complete graph



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- **multigraph**: like an undirected graph but can have multiple edges between vertices and self-loops
- **hypergraph**: like an undirected graph, but each **hyperedge** can connect an arbitrary number of vertices

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# (FREE) TREES

## Theorem (Properties of Free Trees)

*Let  $G = (V, E)$  be an undirected graph. Then the following are equivalent statements:*

- ❶  *$G$  is a free tree.*
- ❷ *Any two vertices of  $G$  are connected by a unique simple path.*
- ❸  *$G$  is connected, but if any edge is removed from  $E$ , the resulting graph will not be connected.*
- ❹  *$G$  is connected and  $|E| = |V| - 1$*
- ❺  *$G$  is acyclic and  $|E| = |V| - 1$*
- ❻  *$G$  is acyclic, but if any edge is added to  $E$ , the resulting graph contains a cycle*

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# ROOTED TREES

A **rooted tree** is a free tree in which one vertex is distinguished from the others.

- the distinguished vertex is called the **root**
- a vertex in a rooted tree is often called a **node**

Let  $r$  be the root of a rooted tree  $T$ . For any node  $x$ , there is a unique path from  $r$  to  $x$ .

- any node  $y$  on a path from  $r$  to  $x$  is an **ancestor** of  $x$
- if  $y$  is an ancestor of  $x$ , then  $x$  is a **descendant** of  $y$
- every node is its own ancestor and descendant
- a **proper ancestor (descendant)** is an ancestor (descendant) that is not the node itself
- the **subtree rooted at  $x$**  is the tree induced by the descendants of  $x$

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# MORE ON ROOTED TREES

If the last edge of the path from  $r$  to  $x$  is  $(y, x)$ , then  $y$  is the **parent** of  $x$  and  $x$  is the **child** of  $y$

- The root is the only node with no parent
- **siblings**: two nodes that share the same parent
- **leaf**: a node with no children (also called an **external node**)
- **internal node**: a non-leaf node

The number of children of a node  $x$  in a rooted tree  $T$  is called the **degree** of  $x$ .

The length of a path from  $r$  to  $x$  is called the **depth** of  $x$ .

- The largest depth of any node in  $T$  is the **height** of  $T$

An **ordered tree** is a rooted tree in which the children at each node are ordered.

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Binary trees are defined recursively. A **binary tree**  $T$  is a structure defined on a finite set of nodes that either:

- ① contains no nodes (we call this **empty** or **null** or **NIL**)
- ② is composed of three disjoint sets of nodes: a **root node**, a **left subtree**, and a **right subtree**

If the left subtree of a binary tree is nonempty, its root is called the **left child**; similar definition of the **right child**.

A **full binary tree** is a binary tree in which each node is either a leaf or has degree 2.

A binary tree is not just an ordered tree in which each node has degree at most two. Left and right children matter.

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