# Hongxin Zhang

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#### **EDUCATION**

#### University of Massachusetts Amherst, Amherst, MA, USA

Ph.D. in Computer Science

Sep. 2019 - June 2023

Advisor: Yong Yu, Diyi Yang

Shanghai Jiao Tong University, Shanghai, China

B.E. in Computer Science, ACM Honors Class

Sep. 2019 - June 2023

Advisor: Chuang Gan

Sep. 2023 - Present

#### **PUBLICATIONS**

- 1. **Hongxin Zhang\***, Zeyuan Wang\*, Qiushi Lyu\*, Zheyuan Zhang, Sunli Chen, Tianmin Shu, Yilun Du, Chuang Gan. "COMBO: Compositional World Models for Embodied Multi-Agent Cooperation" *International Conference on Learning Representations* (*ICLR*), 2025.
- 2. Weihua Du, Qiushi Lyu, Jiaming Shan, Zhenting Qi, **Hongxin Zhang**, Sunli Chen, Andi Peng, Tianmin Shu, Kwonjoon Lee, Behzad Dariush, Chuang Gan. "Constrained Human-AI Cooperation: An Inclusive Embodied Social Intelligence Challenge" *Neural Information Processing Systems (NeurIPS)*, 2024.
- 3. **Hongxin Zhang**\*, Weihua Du\*, Jiaming Shan, Qinhong Zhou, Yilun Du, Joshua B. Tenenbaum, Tianmin Shu, Chuang Gan. "Building Cooperative Embodied Agents Modularly with Large Language Models" *International Conference on Learning Representations (ICLR)*, 2024.
- 4. Qinhong Zhou, Sunli Chen, Yisong Wang, Haozhe Xu, Weihua Du, **Hongxin Zhang**, Yilun Du, Joshua B. Tenenbaum, Chuang Gan. "HAZARD Challenge: Embodied Decision Making in Dynamically Changing Environments" *International Conference on Learning Representations (ICLR)*, 2024.
- 5. Zhiqing Sun, Yikang Shen, **Hongxin Zhang**, Qinhong Zhou, Zhenfang Chen, David Cox, Yiming Yang, Chuang Gan. "SALMON: Self-Alignment with Principle-Following Reward Models" *International Conference on Learning Representations (ICLR)*, 2024.
- Zhiqing Sun, Yikang Shen, Qinhong Zhou, Hongxin Zhang, Zhenfang Chen, David Cox, Yiming Yang, Chuang Gan. "Principle-Driven Self-Alignment of Language Models from Scratch with Minimal Human Supervision" Neural Information Processing Systems (NeurIPS), 2023.
- 7. Omar Shaikh, **Hongxin Zhang**, William Held, Michael Bernstein, Diyi Yang. "On Second Thought, Let's Not Think Step by Step! Bias and Toxicity in Zero-Shot Reasoning" *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics* (ACL), 2023.
- 8. Bolin Lai\*, **Hongxin Zhang\***, Miao Liu\*, Aryan Pariani\*, Fiona Ryan, Wenqi Jia, Shirley Anugrah Hayati, James M. Rehg, Diyi Yang. "Werewolf Among Us: A Multimodal Dataset for Modeling Persuasion Behaviors in Social Deduction Games" *Findings of the Association for Computational Linguistics: ACL*, 2023.
- 9. Albert Lu\*, **Hongxin Zhang\***, Yanzhe Zhang, Xuezhi Wang, Diyi Yang. "Bounding the Capabilities of Large Language Models in Open Text Generation with Prompt Constraints" *Findings of the Association for Computational Linguistics: EACL*, 2023.
- 10. **Hongxin Zhang**, Yanzhe Zhang, Ruiyi Zhang, Diyi Yang. "Robustness of Demonstration-based Learning Under Limited Data Scenario" *Empirical Methods in Natural Language Processing (EMNLP)*, 2022.

#### **PREPRINTS**

- 1. **Hongxin Zhang\***, Zheyuan Zhang\*, Zeyuan Wang\*, Zunzhe Zhang, Lixing Fang, Qinhong Zhou, Chuang Gan. "Ella: Embodied Social Agents with Lifelong Memory"
- 2. Qinhong Zhou\*, **Hongxin Zhang\***, Yutian Chen\*, Zheyuan Zhang\*, Xiangye Lin, Jincheng Yang, Lixing Fang, Jiageng Liu, Xinyu Sun, Zeyuan Wang, Sunli Chen, Chuang Gan. "Virtual Community: A Generative Social World for Embodied AI"
- 3. Yuncong Yang\*, Han Yang\*, Jiachen Zhou, Peihao Chen, **Hongxin Zhang**, Yilun Du, Chuang Gan. "3D-Mem: 3D Scene Memory for Embodied Exploration and Reasoning"

#### RESEARCH EXPERIENCE

#### **University of Massachusetts Amherst**

Amherst, Massachusetts

Graduate Research Assistant, advised by Prof. Chuang Gan

Sep. 2023 - Present

- Building Cooperative Embodied Agents Modularly with Large Language Models
  Hongxin Zhang\*, Weihua Du\*, Jiaming Shan, Qinhong Zhou, Yilun Du, Joshua B. Tenenbaum, Tianmin Shu, Chuang Gan
  ICLR 2024 Poster
  - Conducted the first systematic study on LLMs' capacity for planning and communication in embodied multi-agent cooperation.
  - Introduced a novel framework that utilizes LLMs to build cooperative embodied agents, surpassing strong planning-based methods.

- Conducted a user study to evaluate the possibility of achieving effective and trustworthy human-AI cooperation using LLMs.

#### • HAZARD Challenge: Embodied Decision Making in Dynamically Changing Environments

Qinhong Zhou, Sunli Chen, Yisong Wang, Haozhe Xu, Weihua Du, **Hongxin Zhang**, Yilun Du, Joshua B. Tenenbaum, Chuang Gan

#### **ICLR 2024 Poster**

- Proposed a new simulated embodied benchmark, called HAZARD, specifically designed to assess the decision-making abilities
  of embodied agents in dynamic situations.
- Developed an LLM-based agent and performed an in-depth analysis of its promise and challenge in solving these challenging tasks.

#### • SALMON: Self-Alignment with Principle-Following Reward Models

Zhiqing Sun, Yikang Shen, **Hongxin Zhang**, Qinhong Zhou, Zhenfang Chen, David Cox, Yiming Yang, Chuang Gan **ICLR 2024 Poster** 

- Proposed a new RLAIF paradigm for self-aligning language models from scratch, using only a small set of human-defined principles as guidance.
- Open-sourced Large Language Model Dromedary-2, which is trained with the SALMON paradigm on the LLaMA-2-70b base language model, with Principle-Driven Self-Alignment as the Supervised Fine-Tuning (SFT) strategy to initialize the policy model.
- Principle-Driven Self-Alignment of Language Models from Scratch with Minimal Human Supervision
   Zhiqing Sun, Yikang Shen, Qinhong Zhou, Hongxin Zhang, Zhenfang Chen, David Cox, Yiming Yang, Chuang Gan
   Neurips 2023 Spotlight
  - Proposed a novel approach called SELF-ALIGN, which combines principle-driven reasoning and the generative power of LLMs for the self-alignment of the AI agents with minimal human supervision.
  - Released Dromedary, an open-source self-aligned language model trained with minimal human supervision.

#### **Stanford University**

Yang. ACL 2023

Stanford, California

Visiting Student Researcher, advised by Prof. Divi Yang

Sep. 2022 - Jan. 2023

- On Second Thought, Let's Not Think Step by Step! Bias and Toxicity in Zero-Shot Reasoning Omar Shaikh, Hongxin Zhang, William Held, Michael Bernstein, Diyi Yang ACL 2023
  - Performed a controlled evaluation of zero-shot CoT across two sensitive domains: harmful questions & stereotype benchmarks.
  - Found that using zero-shot CoT reasoning in a prompt can significantly increase a model's likelihood of producing undesirable output.
- Werewolf Among Us: A Multimodal Dataset for Modeling Persuasion Behaviors in Social Deduction Games Bolin Lai\*, Hongxin Zhang\*, Miao Liu\*, Aryan Pariani\*, Fiona Ryan, Wenqi Jia, Shirley Anugrah Hayati, James M. Rehg, Diyi
  - Presented the first multimodal dataset for persuasion modeling which is collected in naturalistic social game scenarios with intensive in-person conversations with multiple players.
  - Conducted comprehensive experiments to show the importance of context and visual signals for persuasion strategy prediction.
  - Provided additional experiment results to investigate model generalization on the persuasion modeling task, and discuss how persuasion strategy influences the game voting outcome.
- Bounding the Capabilities of Large Language Models in Open Text Generation with Prompt Constraints Albert Lu\*, Hongxin Zhang\*, Yanzhe Zhang, Xuezhi Wang, Diyi Yang. EACL 2023
  - Provided a taxonomy of prompts containing stylistic or structural constraints to facilitate finer-grained analyses of open text generation.
  - Conducted a systematic experiment using proposed taxonomy by creating 288 different prompts and evaluating 3000+ generated outputs to analyze the capabilities and limitations of current LLMs on open-ended text generation.
  - Analyzed in-context mitigation strategies to improve model performance.

#### **Georgia Institute of Technology**

Atlanta, Georgia

Visiting Student Researcher, advised by Prof. Diyi Yang

Aug. 2021 - Aug. 2022

- Robustness of Demonstration-based Learning Under Limited Data Scenario Hongxin Zhang, Yanzhe Zhang, Ruiyi Zhang, Diyi Yang. EMNLP 2022
  - Investigated the robustness of demonstration-based learning by designing pathological demonstrations.
  - Experiments show demonstrations composed of random tokens still make the model a better few-shot learner; the length of
    random demonstrations and the relevance of random tokens are the main factors affecting the performance; demonstrations
    increase the confidence of model predictions on captured superficial patterns.

# SELECTED PROJECTS

Mx\* Compiler Java

• Developed a compiler that compiles C-and-Java-like language (Mx\*) to Assembly Language

- Designed LLVM-like IR
- Implemented optimizations based on data-flow and control-flow analysis

RISC-V CPU Verilog

- Designed a RISC-V CPU that supports RV32I Base Integer Instruction Set V2.0 (2.1 2.6) with the following property:
  - 5-stage pipelined
  - 1KB iCache, direct mapped
  - Branch Prediction using 2-bit saturating counter BHT with Branch Target Buffer (Size is 128\*4 Byte)
  - Running on FPGA with 200MHz
  - Data forwarding supported

# TEACHING EXPERIENCE

Teaching Assistant: Great Ideas in Computer Science	Fall 2020
Teaching Assistant: Data Structure	Spring 2021
Student Instructor: Principle and Practice of Computer Algorithms	Summer 2021
Teaching Assistant: Mathematical Logic	Fall 2021
Teaching Assistant: Machine Learning	Spring 2022
Teaching Assistant: CICS-160 Object Oriented Programming	Spring 2024
Teaching Assistant: COMPSCI-603 Robotics	Spring 2025

### **SKILLS AND INTERESTS**

**Programming**: Python / C++ / Java / Go / Verilog

Language: Mandarin (native), English (TOEFL 109/120), Latin (Beginner)

Interests: Literature, Movie, Billiards

# **HONORS AND AWARDS**

Shanghai Excellent Graduate (Awarded for overall performance in undergraduate career)	2023
Zhiyuan Outstanding Student Scholarship (Highest award for undergraduate in SJTU)	2023
Foresight-Sequoia Scholarship (5 winners at Zhiyuan College)	2022
Academic Excellence Scholarship	2020, 2021, 2022
35th China's National Olympiad in Informatics (NOI) Silver Medal	2018