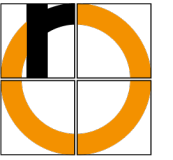


# Computer Science Fundamentals

Channel Coding – Hamming Codes / Checksums

Technische Hochschule Rosenheim  
Winter 2021/22  
Prof. Dr. Jochen Schmidt

- Hamming Codes
- Non-binary checksums



# Hamming Codes

- Developed by R. Hamming (1950)
  - Binary Hamming codes are **1-error-correcting**
  - with a Hamming distance of 3
- Idea
  - Mixes (even) **parity bits** with the original data **at defined positions** (powers of two)
  - Uses **check bits** during decoding, whose **binary coding** indicates
    - $= 0 \rightarrow$  error-free transmission
    - $> 0 \rightarrow$  the location of the erroneous bit
  - All Hamming codes have length  $2^r - 1$  bits, with  $r \geq 2$ 
    - $r$  parity bits (which will be used to generate the check bits during decoding) at positions  $2^0, 2^1, 2^2, \dots, 2^{r-1}$ .
    - $2^r - 1 - r$  data bits

# (7, 4) Hamming Code

Shortest non-trivial Hamming code

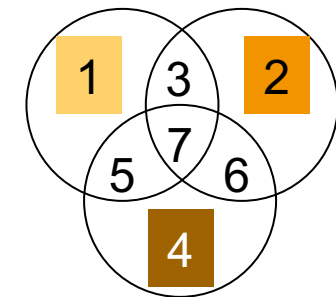
- block code of length  $2^3 - 1 = 7$  bits
- 3 parity bits at positions  $2^0, 2^1, 2^2 \rightarrow$  we can encode 7 error positions
- 4 data bits

we count bits right to left as usual  
you'll often see this from left to right  
this is just a convention

we start counting at 1  
(not at 0 as usual – 0 indicates “no error”)

| 7 | 6 | 5 | 4 | 3 | 2 | 1 | Parity bit at position |
|---|---|---|---|---|---|---|------------------------|
| D | D | D | P | D | P | P |                        |
| D | - | D | - | D | - | P | $2^0$                  |
| D | D | - | - | D | P | - | $2^1$                  |
| D | D | D | P | - | - | - | $2^2$                  |

D ... Data bit  
P ... Parity bit



# (7, 4) Hamming Code – Example

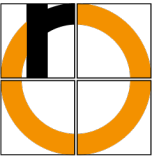
We want to transmit 1101 → the transmitted bit sequence is 1100110

| 7 | 6 | 5 | 4 | 3 | 2 | 1 |
|---|---|---|---|---|---|---|
| 1 | 1 | 0 | 0 | 1 | 1 | 0 |
| 1 | - | 0 | - | 1 | - | 0 |
| 1 | 1 | - | - | 1 | 1 | - |
| 1 | 1 | 0 | 0 | - | - | - |

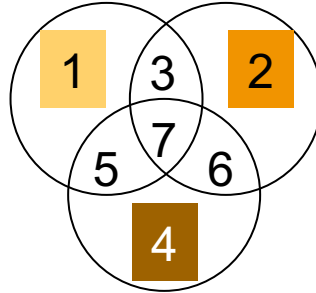
When one of the bits 1 to 7 is changed → one or more of the three parity bits are affected

- Error in bit 7 → affects all three parity bits
- Error in bit 6 → affects only parity bits 2 and 4
- Error in a parity bit → affects only this bit

# (7, 4) Hamming Code



| Decimal | D | D | D | P | D | P | P |
|---------|---|---|---|---|---|---|---|
| 0       | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1       | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| 2       | 0 | 0 | 1 | 1 | 0 | 0 | 1 |
| 3       | 0 | 0 | 1 | 1 | 1 | 1 | 0 |
| 4       | 0 | 1 | 0 | 1 | 0 | 1 | 0 |
| 5       | 0 | 1 | 0 | 1 | 1 | 0 | 1 |
| 6       | 0 | 1 | 1 | 0 | 0 | 1 | 1 |
| 7       | 0 | 1 | 1 | 0 | 1 | 0 | 0 |
| 8       | 1 | 0 | 0 | 1 | 0 | 1 | 1 |
| 9       | 1 | 0 | 0 | 1 | 1 | 0 | 0 |
| 10      | 1 | 0 | 1 | 0 | 0 | 1 | 0 |
| 11      | 1 | 0 | 1 | 0 | 1 | 0 | 1 |
| 12      | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| 13      | 1 | 1 | 0 | 0 | 1 | 1 | 0 |
| 14      | 1 | 1 | 1 | 1 | 0 | 0 | 0 |
| 15      | 1 | 1 | 1 | 1 | 1 | 1 | 1 |



All Hamming codes are **perfect**

- They are as dense as possible for a 1-error-correcting code and can always be decoded uniquely.
- Every possible word is either actually a code word or
- has a distance of 1 to an actual code word.

# (7, 4) Hamming Code – Decoding Example

The sent bit sequence was 1100110; bit 6 changes and we receive 1**0**00110

| 7 | 6 | 5 | 4 | 3 | 2 | 1 | Parity bit | Check bit |
|---|---|---|---|---|---|---|------------|-----------|
| 1 | 0 | 0 | 0 | 1 | 1 | 0 |            |           |
| 1 | - | 0 | - | 1 | - | 0 | correct    | 0         |
| 1 | 0 | - | - | 1 | 1 | - | incorrect  | 1         |
| 1 | 0 | 0 | 0 | - | - | - | incorrect  | 1         |

- The **check bits** written bottom to top = left to right encode the **position of the erroneous bit** in binary.
- Here: Binary number  $110_2 = 6_{10} \rightarrow$  error in bit at position 6.
- Just invert this bit  $\rightarrow$  we obtain the nearest correct code word.



- You receive the following (7, 4) Hamming code word: 1000111
- Was the transmission error-free?
  - If no: correct the code word!
- Decode: Which decimal number was sent?

# Hamming Codes are Linear

Using row vectors:  $\mathbf{c} = \mathbf{oG}$

Generator for (7, 4) code:

$$\mathbf{G} = \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

maps 4 data bits to  
7-bit Hamming code word

Check matrix for (7, 4) code:  $\mathbf{Hc}^T$

$$\mathbf{H} = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix}$$

7    6    5    4    3    2    1

| 7 | 6 | 5 | 4 | 3 | 2 | 1 |
|---|---|---|---|---|---|---|
| D | D | D | P | D | P | P |
| D | - | D | - | D | - | P |
| D | D | - | - | D | P | - |
| D | D | D | P | - | - | - |

matches the table exactly!

# Hamming Codes are Linear – Example

We want to encode 1101

$$\mathbf{c} = \mathbf{oG} = (1 \quad 1 \quad 0 \quad 1) \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix} = (1 \quad 1 \quad 0 \quad 0 \quad 1 \quad 1 \quad 0)$$

The sender receives the (correct) code word 1100110 and checks for errors:

$$\mathbf{Hc}^T = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \rightarrow \text{no error detected}$$

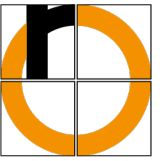
remember: it's all mod 2

# Hamming Codes are Linear – Example

The sender receives the (incorrect) code word 1000110 and checks for errors:

$$\mathbf{H}\mathbf{c}^T = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \uparrow \rightarrow \text{error detected at position 6}$$

remember: it's all mod 2



In fact, we can choose the order of the columns of  $\mathbf{H}$  any way we like

- this will give us a different, but equivalent Hamming code
- in particular, we can use the **standard form**
  - this way, the parities are **no longer mixed** in between the data bits
  - the generator has to be changed accordingly

Example: (7, 4) code:

(negate) & transpose

$$\mathbf{H}_s = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 \end{pmatrix}$$

3x3 Identity matrix

$$\mathbf{G}_s = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix}$$

fill to correct dimension with identity matrix (here: 4x4)

# Hamming Codes are Linear – Example Revisited

We want to encode 1101

$$\mathbf{c} = \mathbf{oG}_s = (1 \quad 1 \quad 0 \quad 1) \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix} = (1 \quad 1 \quad 0 \quad 1 \quad 0 \quad 1 \quad 0)$$

original data – much easier to separate  
from parities by receiver after correction

The sender receives the (correct) code word 1101010 and checks for errors:

$$\mathbf{H}_s \mathbf{c}^T = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \rightarrow \text{no error detected}$$

remember: it's all mod 2

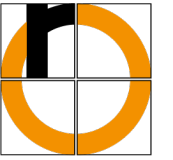
# Hamming Codes are Linear – Example Revisited

The sender receives the (incorrect) code word 1**0**01010 and checks for errors:

$$\mathbf{H}_S \mathbf{c}^T = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \uparrow \rightarrow \text{error detected at position 6}$$

remember: it's all mod 2

# (15, 11) Hamming Code



15 bits total

- 4 parities
- 11 data bits

| 15 | 14 | 13 | 12 | 11 | 10 | 9 | $2^3$<br>8 | 7 | 6 | 5 | $2^2$<br>4 | 3 | $2^1$<br>2 | $2^0$<br>1 |
|----|----|----|----|----|----|---|------------|---|---|---|------------|---|------------|------------|
| D  | D  | D  | D  | D  | D  | D | P          | D | D | D | P          | D | P          | P          |
| D  | -  | D  | -  | D  | -  | D | -          | D | - | D | -          | D | -          | P          |
| D  | D  | -  | -  | D  | D  | - | -          | D | D | - | -          | D | P          | -          |
| D  | D  | D  | D  | -  | -  | - | -          | D | D | D | P          | - | -          | -          |
| D  | D  | D  | D  | D  | D  | D | P          | - | - | - | -          | - | -          | -          |

D ... Data bit

P ... Parity bit

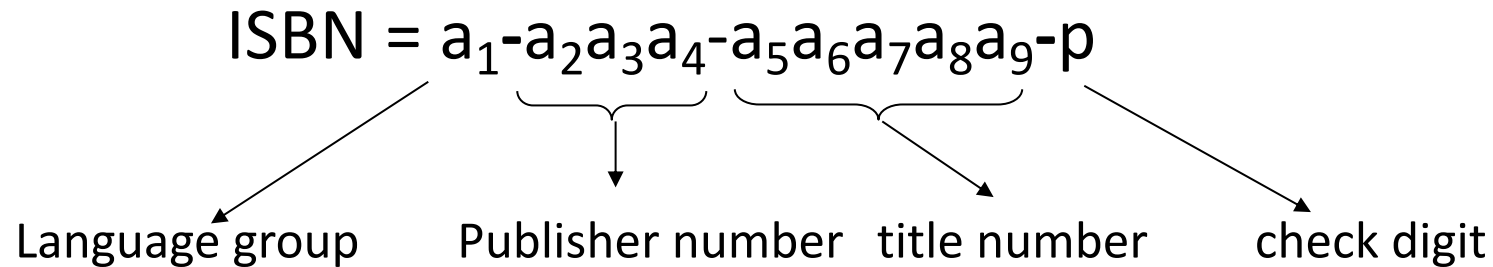




# Checksums

- Humans handling sequences of (mostly decimal) digits are prone to make mistakes
  - e.g., when entering order numbers or product codes into computers
- We will look at codes that add checksums to cope with incorrect inputs
- Simple method: **Digit Sum** (*Quersumme*)
  - Add up all digits, reduce modulo 10 to get a single decimal digit (**check digit**, *Prüfziffer*)
  - Append at the end of the sequence
  - Incorrect inputs can be detected by comparing the resulting check digit with the expected one
  - Drawback: permutations of correct digits result in the same check digit
  - Possible solution: Weigh digits when summing

## International Standard Book Number



### Calculation of p:

- weighted sum:  $10a_1 + 9a_2 + 8a_3 + 7a_4 + 6a_5 + 5a_6 + 4a_7 + 3a_8 + 2a_9$
- Determine p such that the total sum including p is divisible by 11 without remainder

### Result:

- $0 \leq p \leq 10$  – the two-digit remainder 10 is replaced by the single character X
- For a correct ISBN-10 we have:  $(10a_1 + 9a_2 + 8a_3 + 7a_4 + 6a_5 + 5a_6 + 4a_7 + 3a_8 + 2a_9 + p) \bmod 11 = 0$
- Both, incorrectly entered digits as well as transposed digits can be detected

Check the following ISBN: 3-528-25717-2

Is it correct?

## International Bank Account Number

- up to 34 characters, usually shorter
- in Germany: 22 characters

DE  $p_1 p_2 b_1 b_2 b_3 b_4 b_5 b_6 b_7 b_8 k_1 k_2 k_3 k_4 k_5 k_6 k_7 k_8 k_9 k_{10}$

|  |                       |
|--|-----------------------|
| $k_1 k_2 k_3 k_4 k_5 k_6 k_7 k_8 k_9 k_{10}$ : | former account number |
| $b_1 b_2 b_3 b_4 b_5 b_6 b_7 b_8$ :            | former bank code      |
| $p_1 p_2$ :                                    | checksum              |
| DE:  | country code          |

- Initialize  $p_1 p_2 = 00$
- Move country code and  $p_1 p_2$  to the right:

$b_1 b_2 b_3 b_4 b_5 b_6 b_7 b_8 k_1 k_2 k_3 k_4 k_5 k_6 k_7 k_8 k_9 k_{10}$  **DE 00**

- Replace country code by the positions of the characters in the alphabet + 9 (A=10, B=11, ...):

$b_1 b_2 b_3 b_4 b_5 b_6 b_7 b_8 k_1 k_2 k_3 k_4 k_5 k_6 k_7 k_8 k_9 k_{10}$  **13 14 00**

- Calculate remainder of division by 97
- Choose  $p_1 p_2$  such that the remainder is 1

# IBAN Checksum Calculation – Example

Bank code: 711 500 00, account number: 215 632

- Combination, country code/checksum on the right:  
711500000000215632**DE00**
- Replace country code by the positions in alphabet + 9:  
711500000000215632**131400**
- Remainder of division by 97:  
 $711500000000215632131400 \bmod 97 = 49$
- Checksum is:  $98 - 49 = 49$
- IBAN: DE 49 7115 0000 0000 2156 32

IBAN: DE 49 7115 0000 0000 2156 32

- country code/checksum on the right:

711500000000215632**DE49**

- Replace country code by the positions in alphabet + 9 :

711500000000215632**131449**

- Remainder of division by 97 :

711500000000215632131449 mod 97 = 1                      → IBAN correct



1. Is this a weighted sum that we use with IBAN?
2. We use integers with up to 36 decimal digits – with standard data types in typical programming languages, we have up to 64 bits:
  - How many bits would be required for (unsigned) integer arithmetic with 36 decimal places?
  - Nevertheless: How can we perform the calculations using standard types? Or can't we?
3. Why mod 97? And not 98, 99, 100? We would still get two-digit checksums.

Check the (decimal) sequence  $z_n \dots z_i \dots z_0$  (including check digits) using weights  $g_i$

$$\sum_{i=0}^n g_i z_i \mod m = 0$$

- Detection of **single incorrect digits** is guaranteed if all weights  $g_i$  and  $m$  are relatively prime (*teilerfremd*):  $\gcd(g_i, m) = 1$
- Detection of the **transposition** (*Vertauschung*) of two digits  $z_i$  and  $z_k$  is guaranteed, if  $g_i - g_k$  and  $m$  are relatively prime.

→ using **prime numbers** for  $m$  makes sense