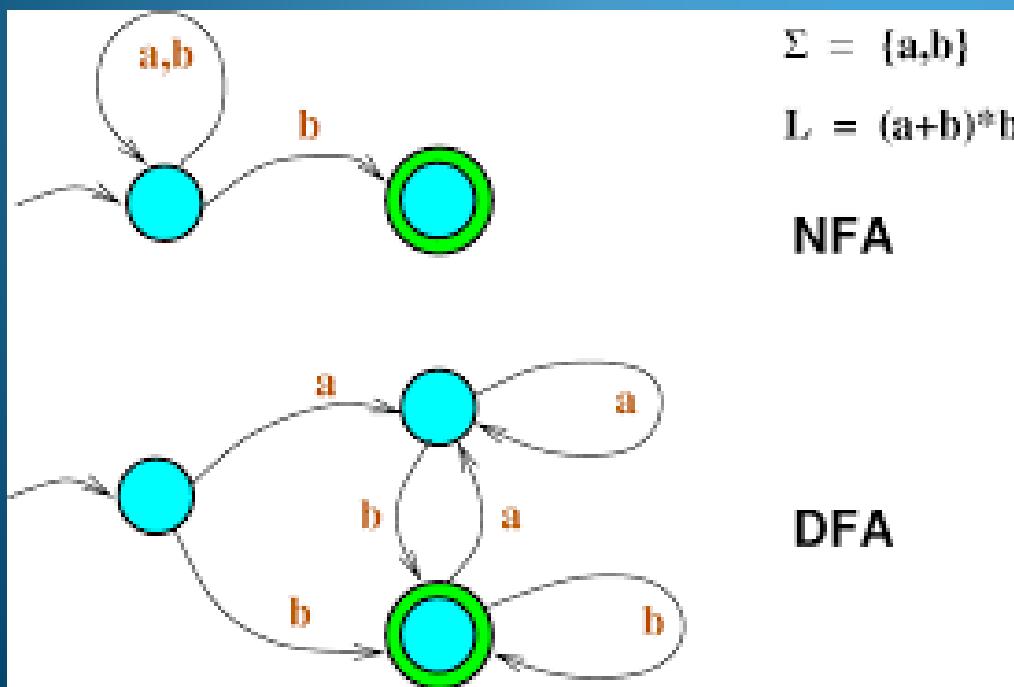
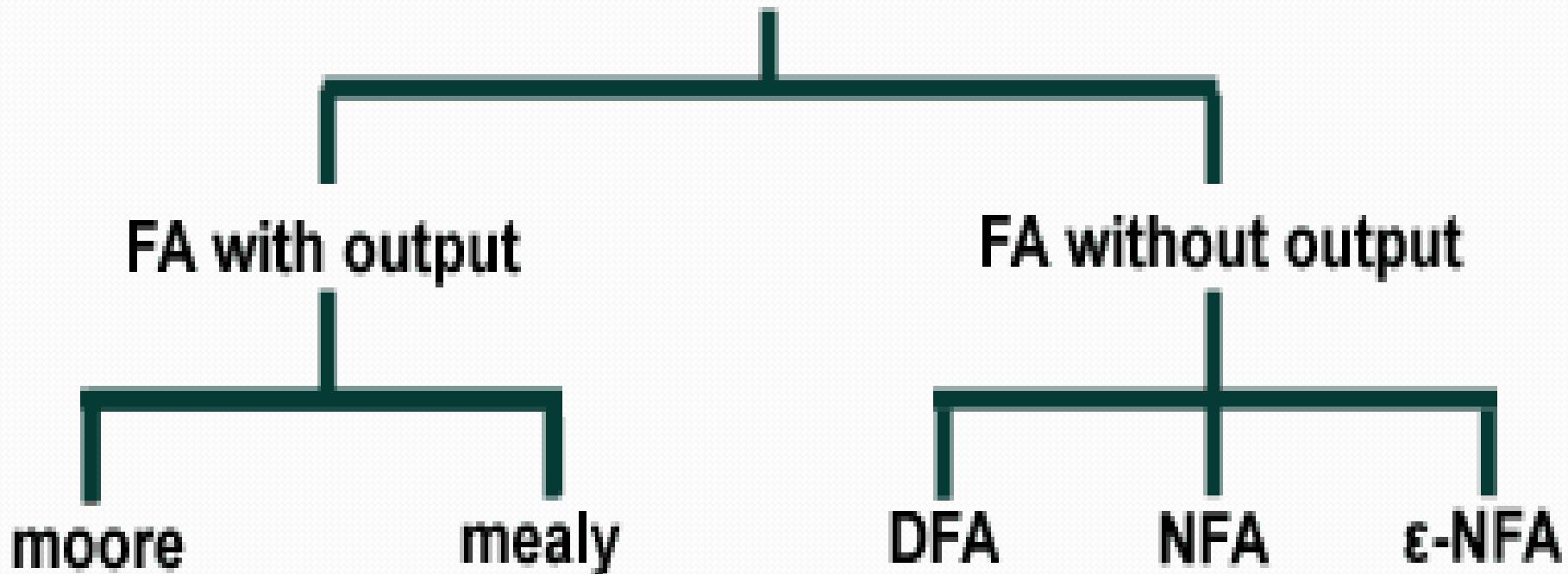


# Non Deterministic Finite Automation



---- Sakshi Surv

# Finite Automata



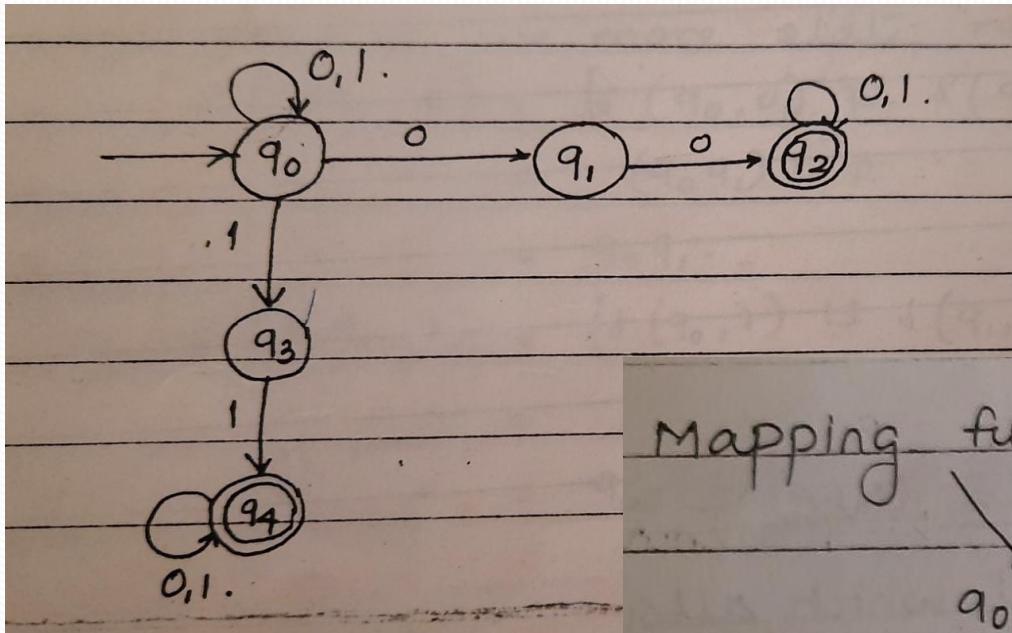
# Non - Deterministic Finite Automaton (NDFA/NFA)

- In NDFA, for a particular input symbol, the machine can move to any combination of the states in the machine.
- In other words, the exact state to which the machine moves cannot be determined. Hence, it is called **Non-deterministic Automaton**.
- As it has finite number of states, the machine is called **Non-deterministic Finite Machine** or **Non-deterministic Finite Automaton**.

# NFA :

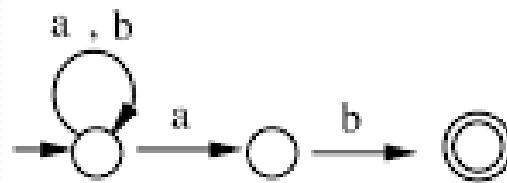
- A Finite Automaton model which allows zero or one or more transitions from a state on the same input symbol is called as Non-Deterministic Finite Automaton
- DFA is a special case of NFA where from each state, there is a unique transition over each input symbol

- Design a NFA for accepting all binary strings with either two consecutive zeroes or two consecutive 1's

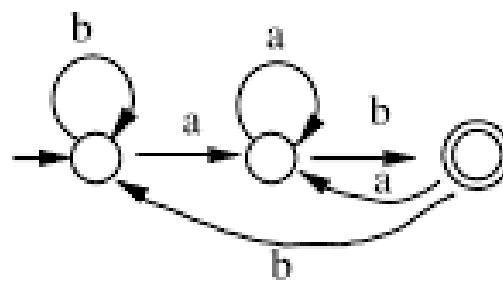


Mapping function  $\delta$  for NFA is :-

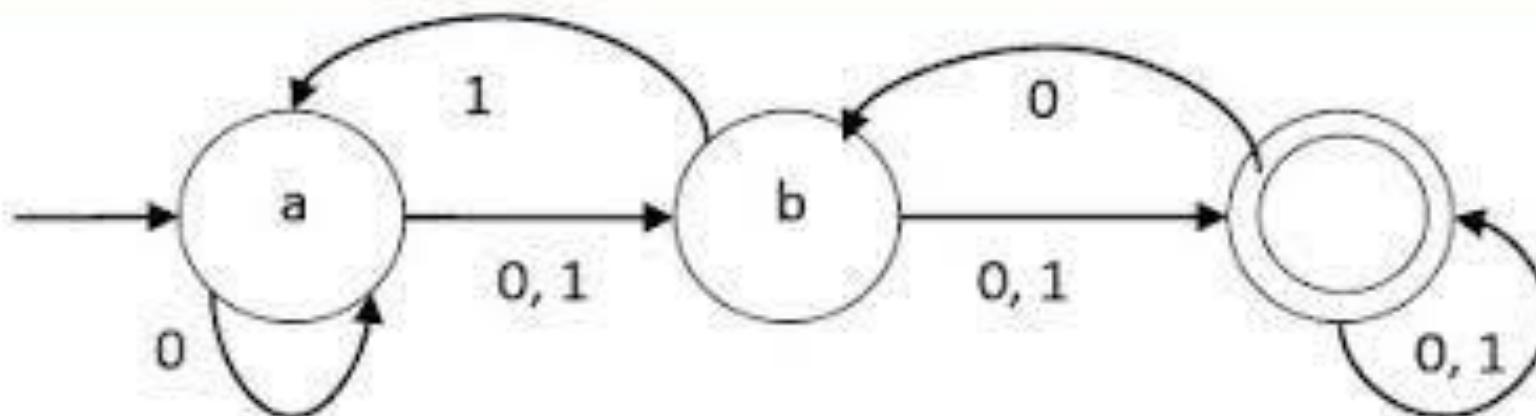
	$\varnothing$	1	0	1	0
$q_0$	$\{q_0, q_3\}$	$\{q_0, q_1\}$			
$q_1$	$\varnothing$		$\{q_2\}$		
$q_2$	$\{q_2\}$		$\{q_2\}$		
$q_3$	$\{q_4\}$		$\varnothing$		
$q_4$	$\{q_4\}$		$\{q_4\}$		



NFA  
for  $M[p]$



DFA  
for  $M[p]$



## Formal Definition of Nondeterministic Finite Automata

- An NFA is a five-tuple:  $N = (Q, \Sigma, \delta, q_0, F)$

$Q$  A finite set of states

$\Sigma$  A finite input alphabet

$q_0$  The initial/starting state,  $q_0$  is in  $Q$

$F$  A set of final/accepting states, which is a subset of  $Q$

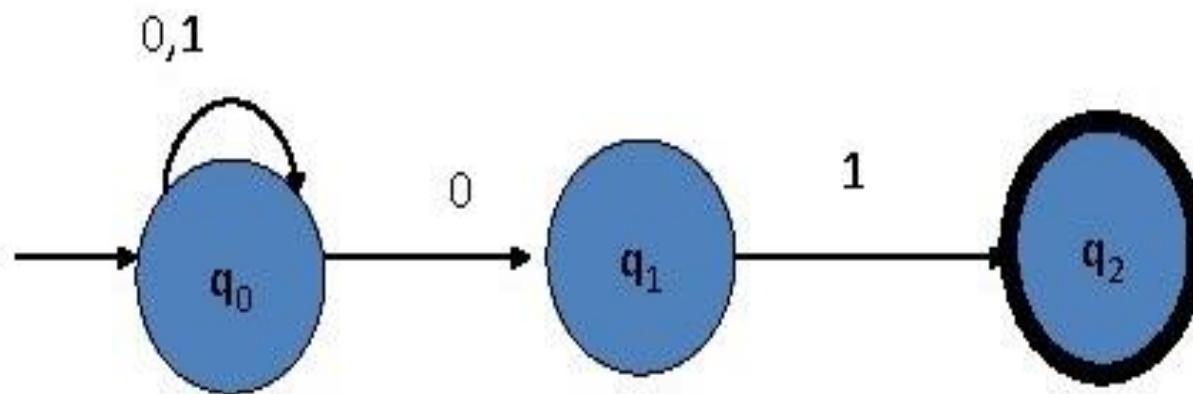
$\delta$  A transition function, which is a total function from  $Q \times \Sigma$  to  $2^Q$

$\delta: (Q \times \Sigma) \rightarrow P(Q)$  -  $P(Q)$  is the power set of  $Q$ , the set of all subsets of  $Q$

$\delta(q,s)$  - The set of all states  $p$  such that there is a transition labeled  $s$  from  $q$  to  $p$

$\delta(q,s)$  is a function from  $Q \times S$  to  $P(Q)$  (but not to  $Q$ )

- NFA that recognizes the language of strings that end in 01

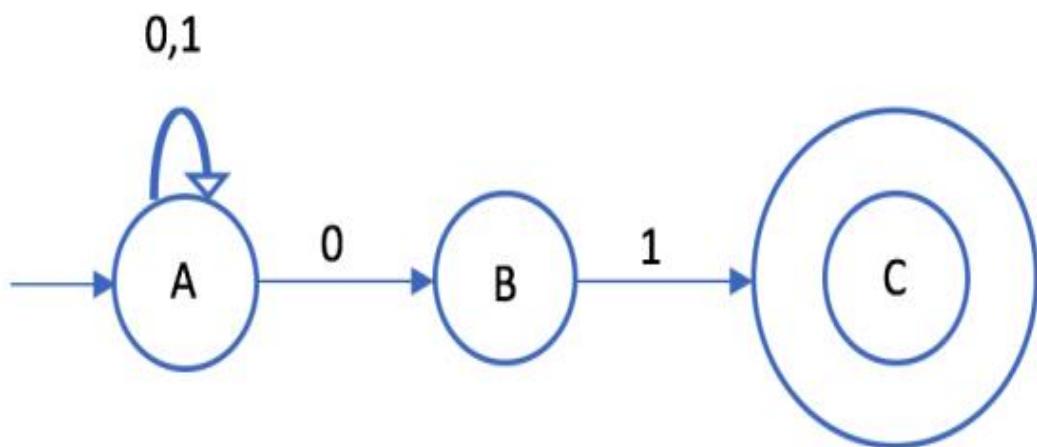


note:  $\delta(q_0, 0) = \{q_0, q_1\}$   
 $\delta(q_1, 0) = \emptyset$

## NFA

### State Transition Diagram for NFA

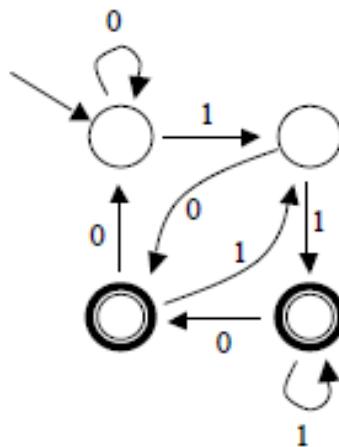
	0	1
→ A	{A, B}	{A}
B	∅	{C}
* C	∅	∅



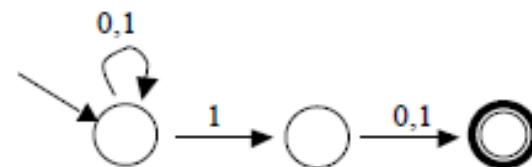
# NFA Advantage

- An NFA for a language can be smaller and easier to construct than a DFA
- Let  $L = \{x \in \{0,1\}^* \mid \text{where } x \text{ is a string whose next-to-last symbol is 1}\}$
- Construct both a DFA and NFA for recognizing L.

DFA:



NFA:



# Differences: DFA vs. NFA

- **DFA**

1. All transitions are deterministic
  - Each transition leads to exactly one state
2. For each state, transition on all possible symbols (alphabet) should be defined
3. Accepts input if the last state is in F
4. Sometimes harder to construct because of the number of states
5. Practical implementation is feasible

- **NFA**

1. Transitions could be non-deterministic
  - A transition could lead to a subset of states
2. For each state, not all symbols necessarily have to be defined in the transition function
3. Accepts input if *one* of the last states is in F
4. Generally easier than a DFA to construct
5. Practical implementation has to be deterministic (so needs conversion to DFA)

But, DFAs and NFAs are equivalent (in their power) !!

# NFA to DFA Conversion :

Q. Convert given NFA to DFA

M = ( $\{q_0, q_1\}$ ,  $\{0, 1\}$ ,  $\delta$ ,  $q_0$ ,  $\{q_1\}$ )

$q$	$\Sigma$	0	1
$q_0$		$\{q_0, q_1\}$	$\{q_1\}$
$q_1$		$\{\emptyset\}$	$\{q_0, q_1\}$

The converted DFA will be -

$$M' = (\underline{Q'}, \leq, \underline{q_0}, \underline{\delta'}, \underline{F'})$$

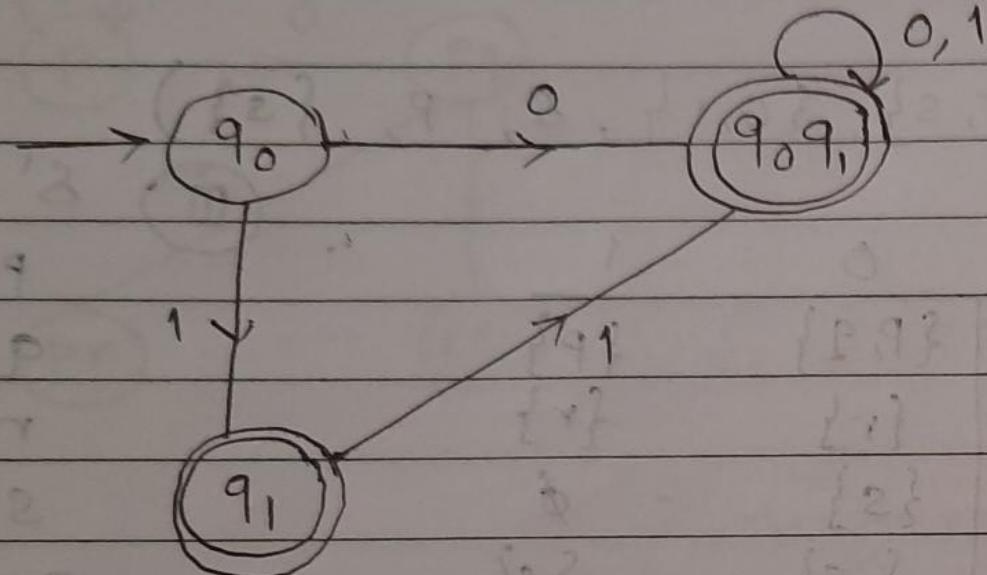
Constructing  $\delta'$  from given  $\delta$  as follows -

$\delta'$	0	1
9 <sub>0</sub>	9 <sub>09<sub>1</sub></sub>	9 <sub>1</sub>
* 9 <sub>1</sub>	-	9 <sub>09<sub>1</sub></sub>
* 9 <sub>09<sub>1</sub></sub>	9 <sub>09<sub>1</sub></sub>	9 <sub>09<sub>1</sub></sub>

This  $\delta'$  can not be reduced further.

∴ converting it to

Transition Diagram.



The tuples of the converted DFA are :-

$$Q' = \{q_0, q_1, q_0q_1\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 = q_0$$

$$F' = \{q_1, q_0q_1\}$$

$\delta'$  - Mentioned above.

# Rules Of Replacement :

- A Non Final state can be replaced by it's equivalent Non Final state only
- A Final state can be replaced by another Final state only
- The Initial state can not be replaced by any other state
- Replacing state 'A' by 'B' means deleting entries related to 'A' and whenever we find symbol 'A' in the Transition Mapping Function, replace it with 'B'

- Convert the following NFA to DFA

$M = (\{P, Q, R, S\}, \{0, 1\}, \delta, P, \{Q, S\})$

	0	1
P	$\{Q, R\}$	$\{Q\}$
Q	$\{R\}$	$\{Q, R\}$
R	$\{S\}$	$\{P\}$
S	$\{\emptyset\}$	$\{P\}$

The converted DFA will be -

$$M' = (Q', \leq, q_0, F', \delta')$$

Constructing  $\delta'$  from given  $\delta$  as follows -

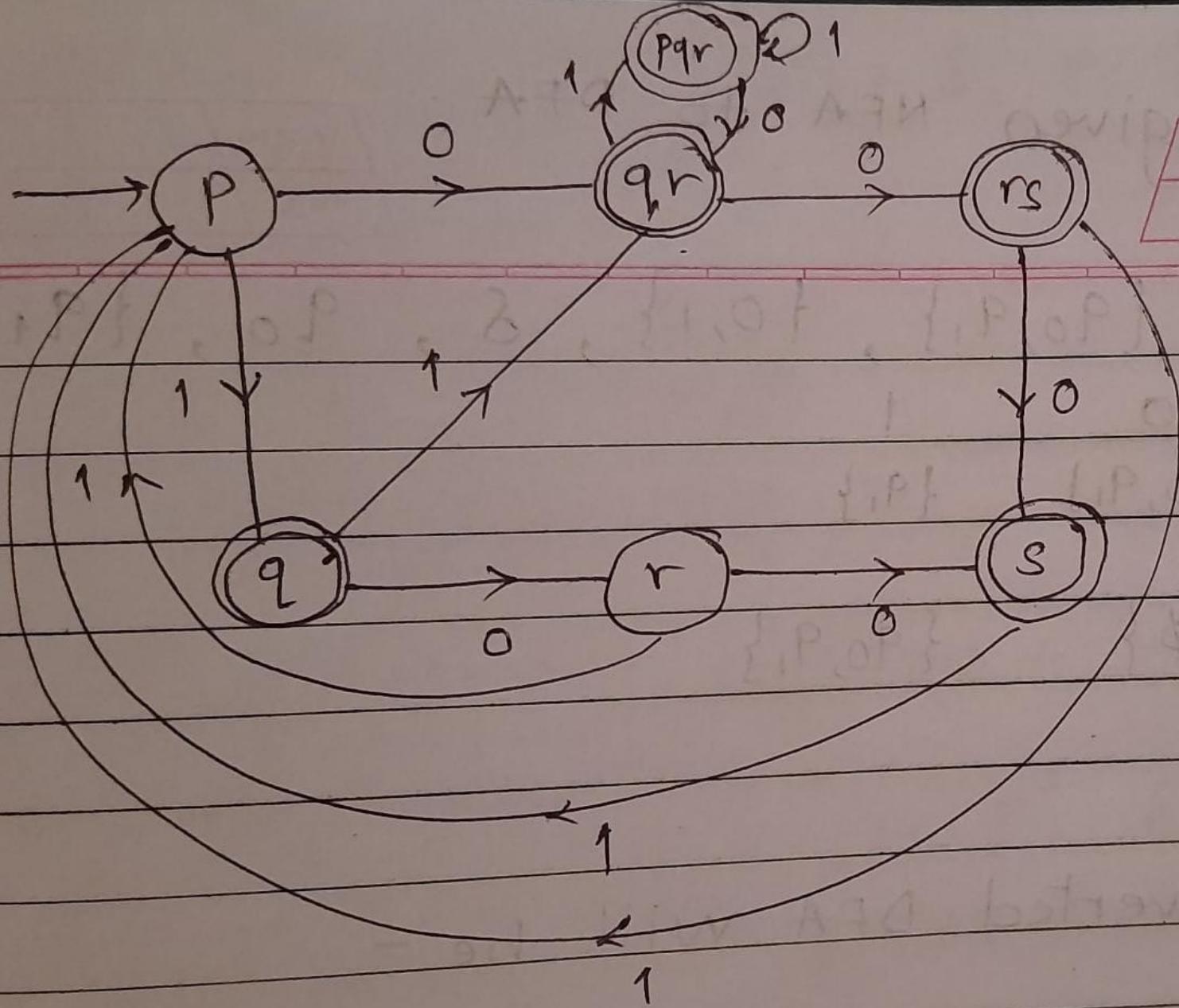
$\delta' \Rightarrow$	0	1	
P	qr	q	from $\delta'$ ,
*	q	r	$r \equiv rs$
*	r	s	$qr \equiv qrs$ .
*	s	-	
*	qr	rs	$pqr \leftarrow$
*	rs	s	$p \leftarrow$
*	pqr	qrs	$pqr \leftarrow$
*	qrs	rs	$pqr \leftarrow$

Applying the rules of replacement, the new  $\delta'$  becomes -

		0	1
$\delta' \Rightarrow$	p	qr	q
*	q	r	qr
*	r	s	p
*	s	-	p
*	qr	rs	pqr
*	rs	s	p
*	pqr	qr	pqr

This  $\delta'$  cannot be reduced further. The transition

Diagram becomes -



PAGE NO.  
DATE

Now, tuples of minimized (converted) DFA can be mentioned as :-

$$Q' = \{P, q, r, s, qr, rs, pqr\}$$

$$\Sigma = \{0, 1\}$$

$$F' = \{q, s, rs, qr, pqr\}$$

$$q_0 = P$$

$s'$  - Mentioned before.

# Homework

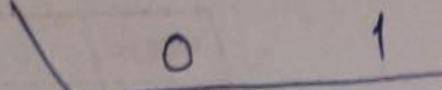
- Convert the given NFA to DFA

$M = (\{P, Q, R, S\}, \{0, 1\}, \delta, P, \{S\})$

$\delta \Rightarrow$

	0	1
P	$\{P, Q\}$	$\{P\}$
Q	$\{R\}$	$\{R\}$
R	$\{S\}$	$\emptyset$
S	$\{S\}$	$\{S\}$

$\delta' \Rightarrow$



$p$	$pq$	$p$
-----	------	-----

$q$	$r$	$r$
-----	-----	-----

$r$	$s$	-
-----	-----	---

$s$	$s$	$s$
-----	-----	-----

$pq$	$pqr$	$pr$
------	-------	------

$pqr$	$pqrs$	$pr$
-------	--------	------

$pr$	$pqs$	$pr$
------	-------	------

*	$pqrs$	$pqrs$	$prs$
---	--------	--------	-------

*	$pqs$	$pqrs$	$prs$
---	-------	--------	-------

*	$prs$	$pqs$	$ps$
---	-------	-------	------

*	$ps$	$pqs$	$ps$
---	------	-------	------

PAGE No.	/ /
DATE	/ /

from  $\delta'$ , the equivalent states are:-

$$pqrs \equiv pqs$$

$$prs \equiv ps$$

The Reduction is possible  
as all of them are  
final states

Applying the rules of replacement, the new  
 $\delta'$  becomes -

$$\delta' \Rightarrow \begin{array}{c|cc} & 0 & 1 \end{array}$$

$$\begin{array}{c|cc} p & pq & p \end{array}$$

$$\begin{array}{c|cc} q & r & r \end{array}$$

$$\begin{array}{c|cc} r & s & - \end{array}$$

$$\begin{array}{c|cc} s & s & s \end{array}$$

$$\begin{array}{c|cc} pq & pqr & pr \end{array}$$

$$\begin{array}{c|cc} pqr & \cancel{pqr} \overset{pqrs}{\cancel{s}} & pr \end{array}$$

$$\begin{array}{c|cc} pr & pq s & pr \end{array}$$

$$\begin{array}{c|cc} * \quad pq s & pq s & ps \end{array}$$

$$\begin{array}{c|cc} * \quad ps & pq s & ps \end{array}$$

From new  $\delta'$ , the equivalent states are:-

$$pq s \equiv ps.$$

Reduction is possible.

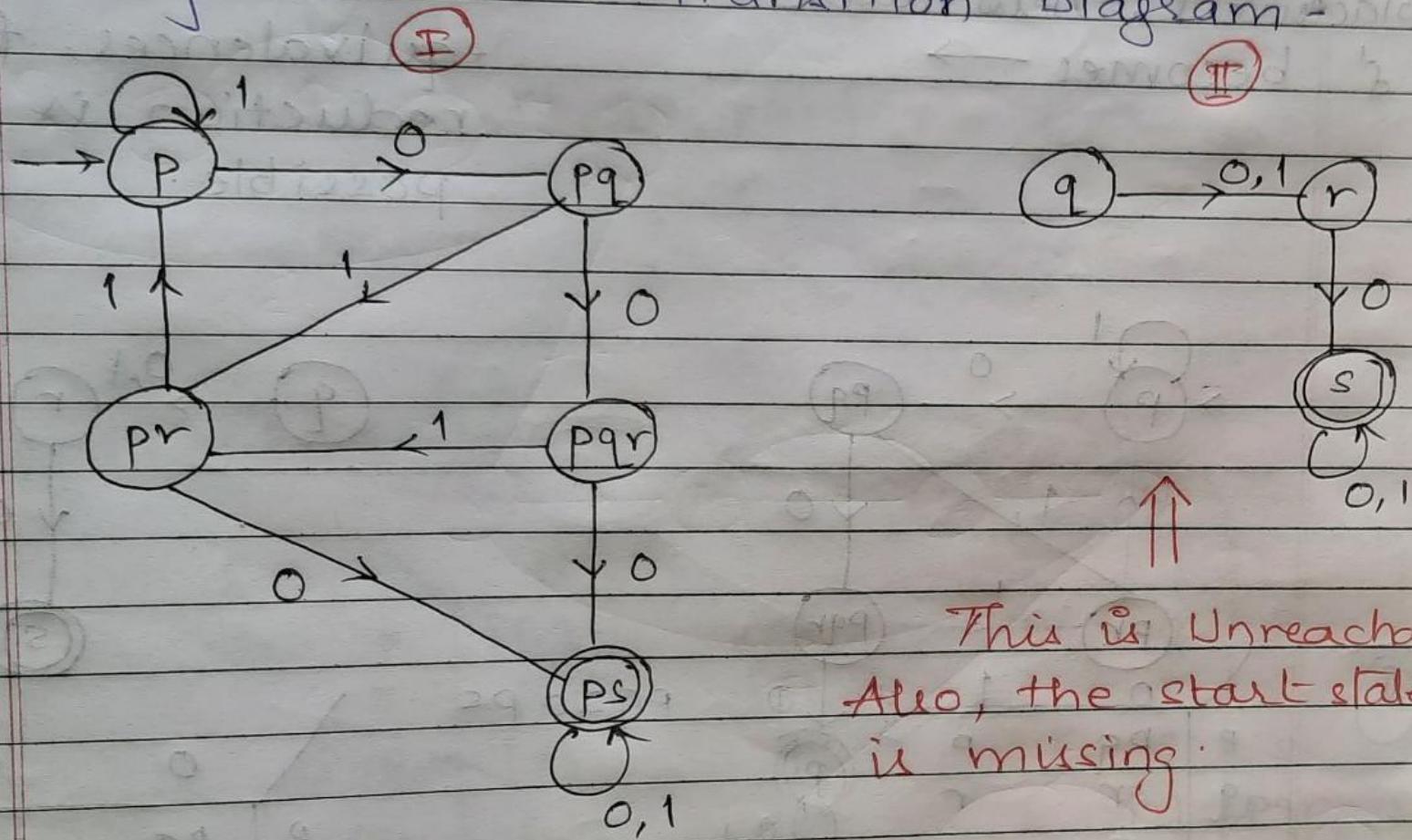
By Applying rules of replacement, the new S' becomes -

PAGE No.	
DATE	/ /

		0	1
p	pq	p	
q	r	r	
r	s	-	
s	s	s	
pq	pqr	pr	
pqr	ps	pr	
pr	ps	p	
* ps	ps	ps	

No more equivalence found in  $S'$ .

Converting the  $\delta'$  to Transition Diagram



This is Unreachable  
Also, the start state  
is missing.

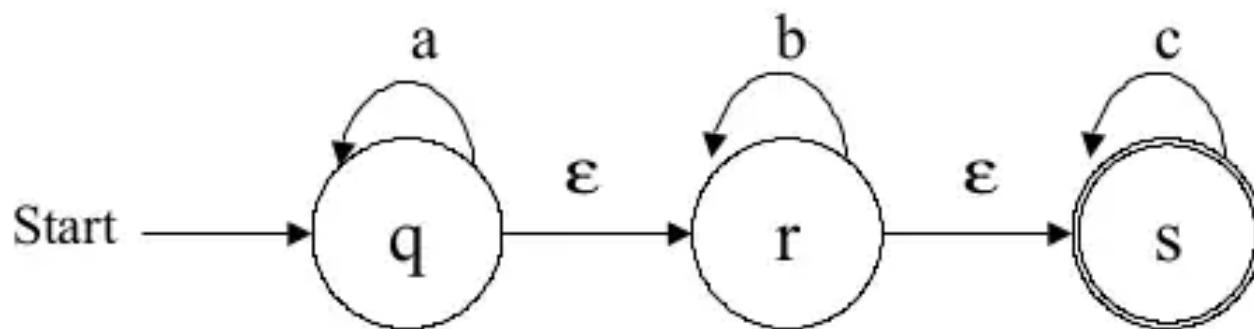
∴ Final Answer - <sup>Write</sup> Tuples of part I.

# Epsilon Transitions

- Extension to NFA – a “feature” called epsilon transitions, denoted by  $\epsilon$ , the empty string
- The  $\epsilon$  transition lets us spontaneously take a transition, without receiving an input symbol
- Another mechanism that allows our NFA to be in multiple states at once.
  - Whenever we take an  $\epsilon$  edge, we must fork off a new “thread” for the NFA starting in the destination state.
- While sometimes convenient, has no more power than a normal NFA
  - Just as a NFA has no more power than a DFA

# Epsilon Elimination Exercise

- Exercise: Here is the  $\epsilon$ -NFA for the language consisting of zero or more a's followed by zero or more b's followed by zero or more c's.
- Eliminate the epsilon transitions.



## Formal Definition of NFAs with $\epsilon$ Moves

- An NFA- $\epsilon$  is a five-tuple:  $N = (Q, \Sigma, \delta, q_0, F)$

$Q$  A finite set of states

$\Sigma$  A finite input alphabet

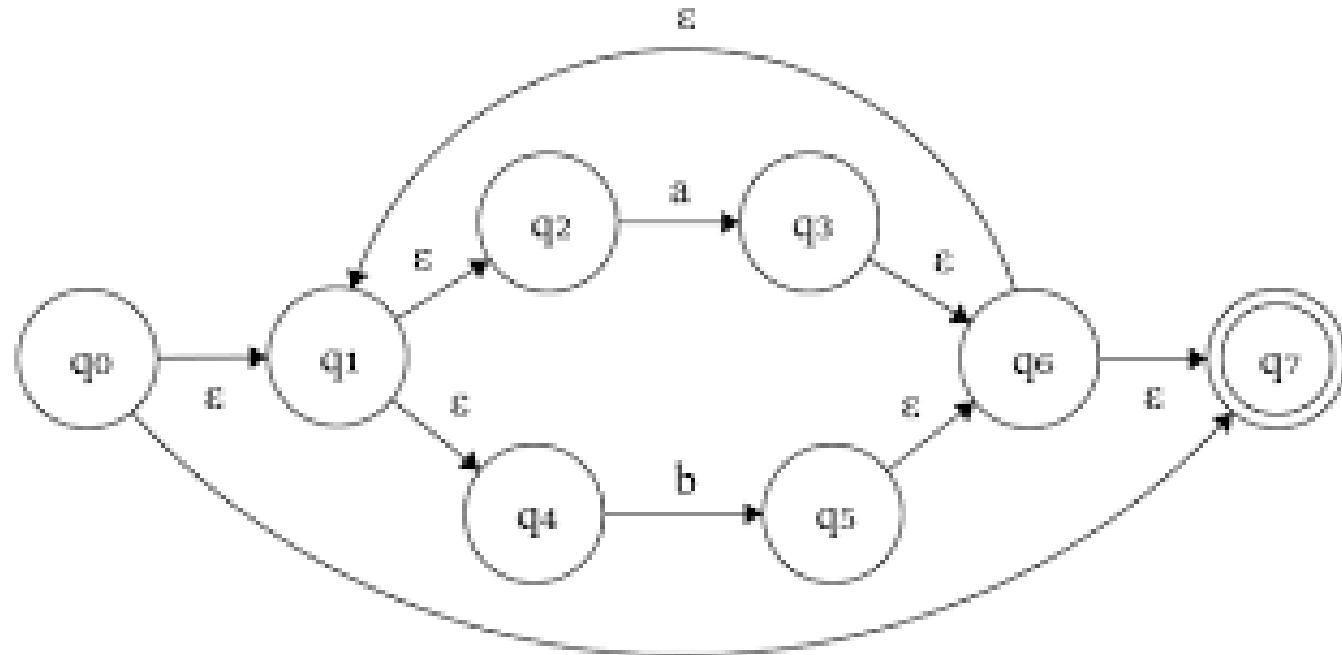
$q_0$  The initial/starting state,  $q_0$  is in  $Q$

$F$  A set of final/accepting states, which is a subset of  $Q$

$\delta$  A transition function, which is a total function from  $Q \times (\Sigma \cup \{\epsilon\})$  to  $P(Q)$

$$\delta: (Q \times (\Sigma \cup \{\epsilon\})) \rightarrow P(Q)$$

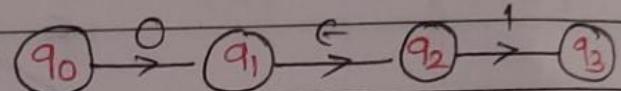
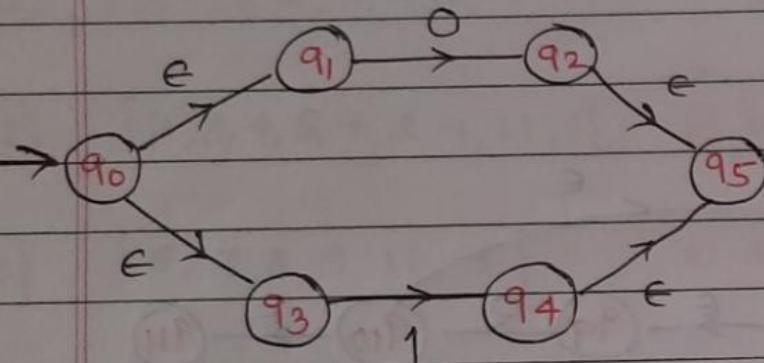
- A String  $w$  in  $\Sigma^*$  is *accepted* by NFA iff there exists a path in NFA from  $q_0$  to a state in  $F$  labeled by  $w$  and zero or more  $\epsilon$  transitions.
- Sometimes referred to as an NFA- $\epsilon$  other times, simply as an NFA.



- **Basic constructs of any RE :**
  - Union (+)
  - Concatenation(.)
  - Kleene Closure (\*)
  - Positive Closure (+)
- Thomson's Rules

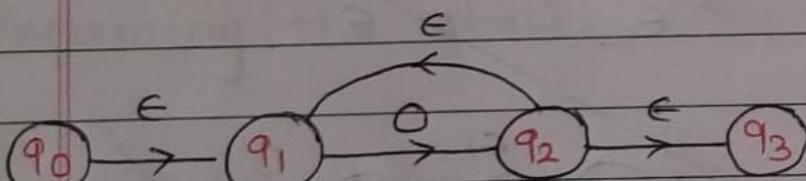
# Basic Constructs using Thomson's Rules :

Parallel path, Series (concatenation), closures can be represented using Thomson's rules as -

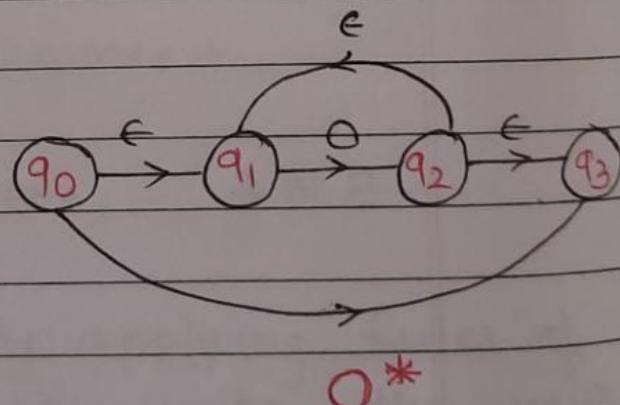


$0 \cdot 1$

$(a + b)$



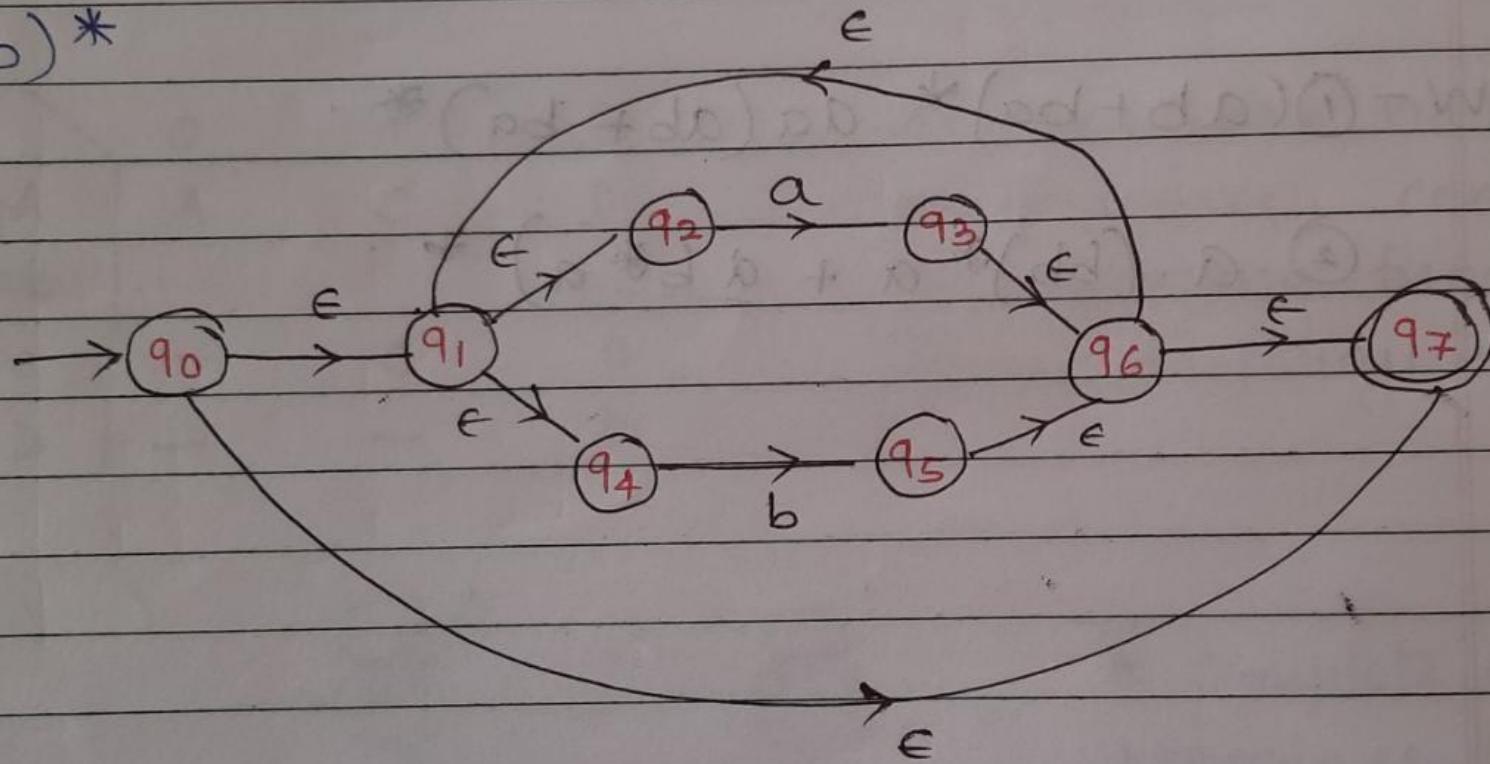
$0^+$



$0^*$

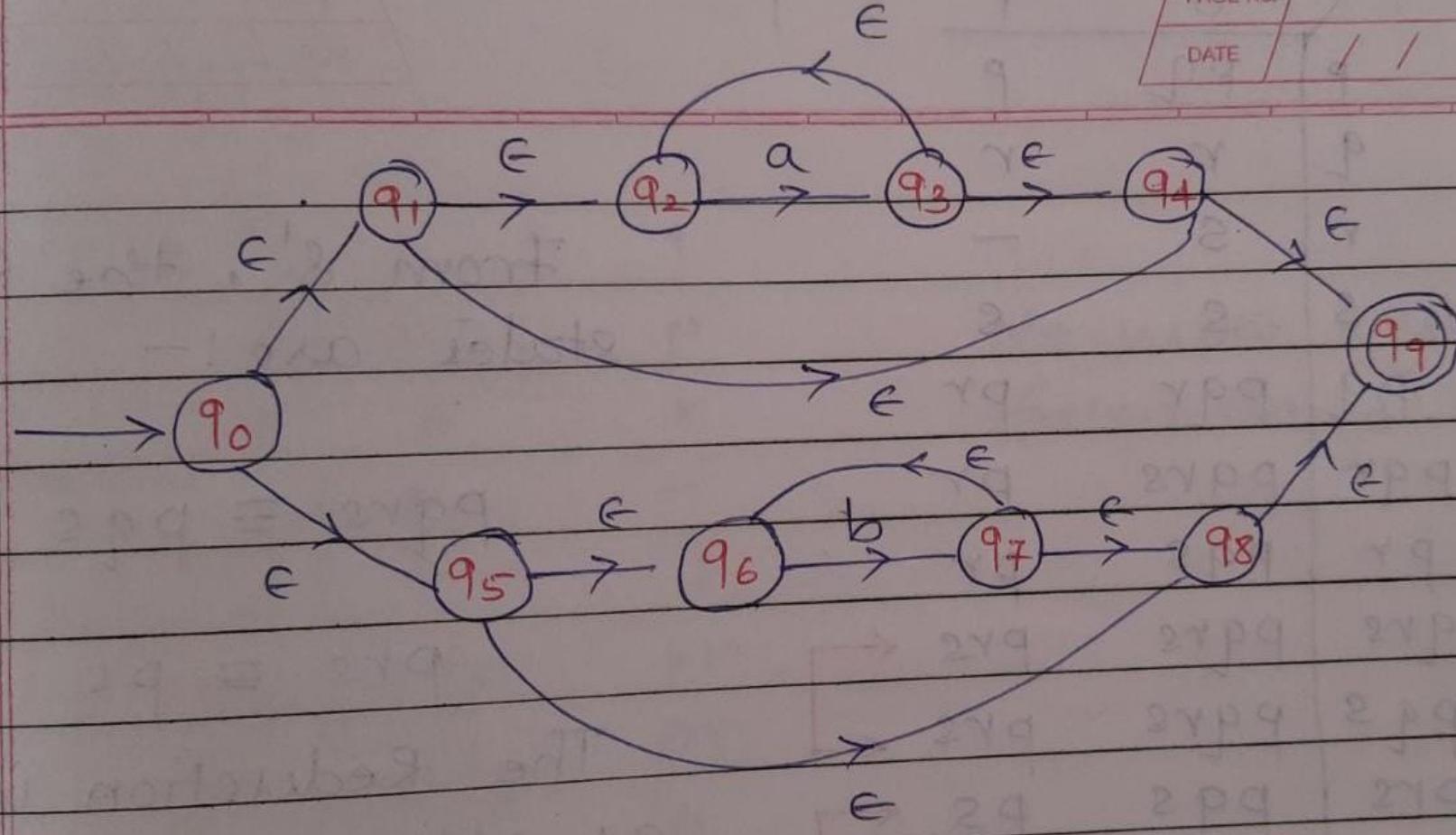
- Design NFA with  $\epsilon$  -moves for

- $(a+b)^*$
- $(a^* + b^*)$
- $0^* 1^* 2^*$
- $(0+ \epsilon) (10)^* (\epsilon+1)$

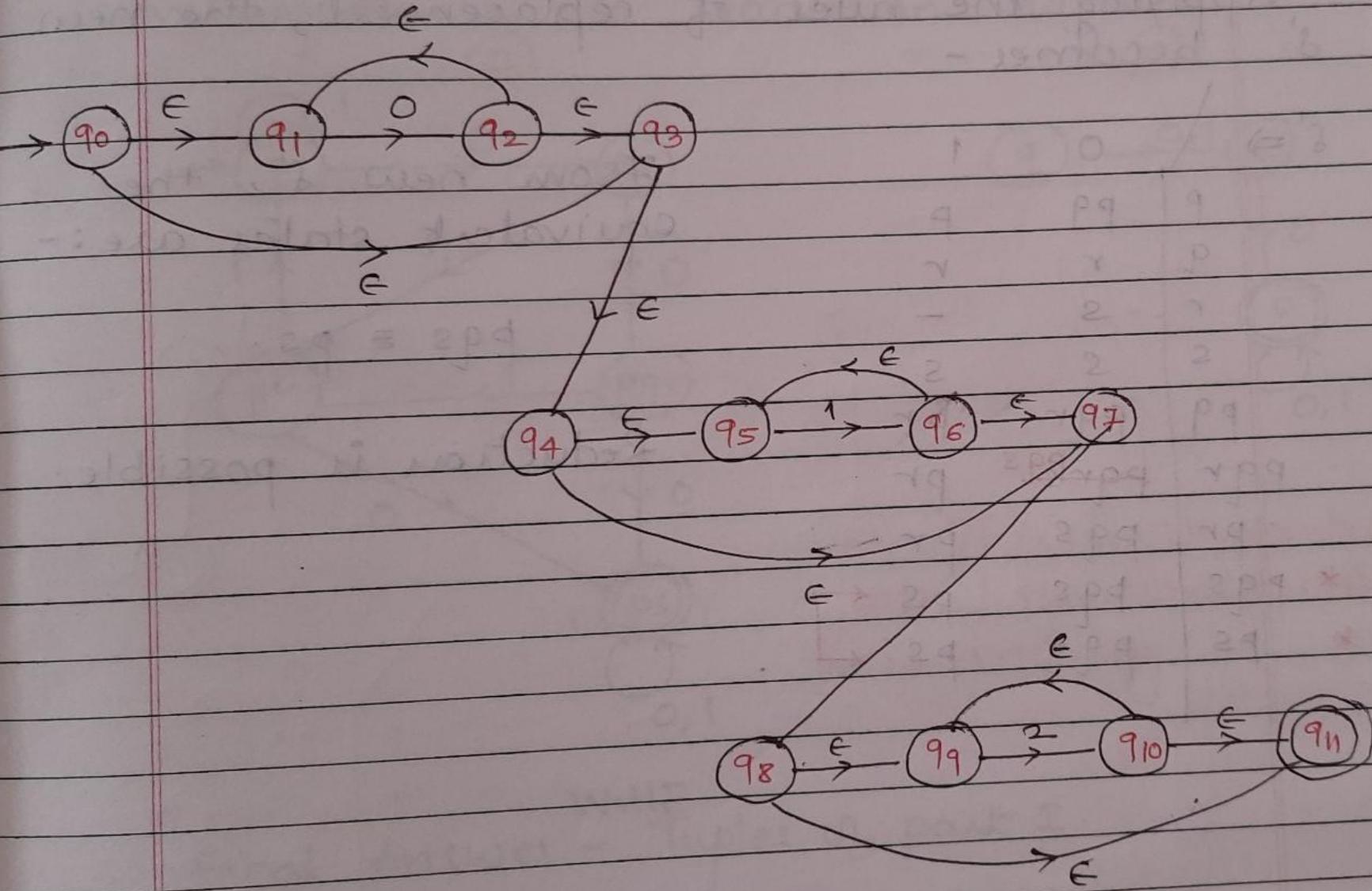
$(a+b)^*$ 

$a^*$  +  $b^*$

PAGE No.	/
DATE	/ / /

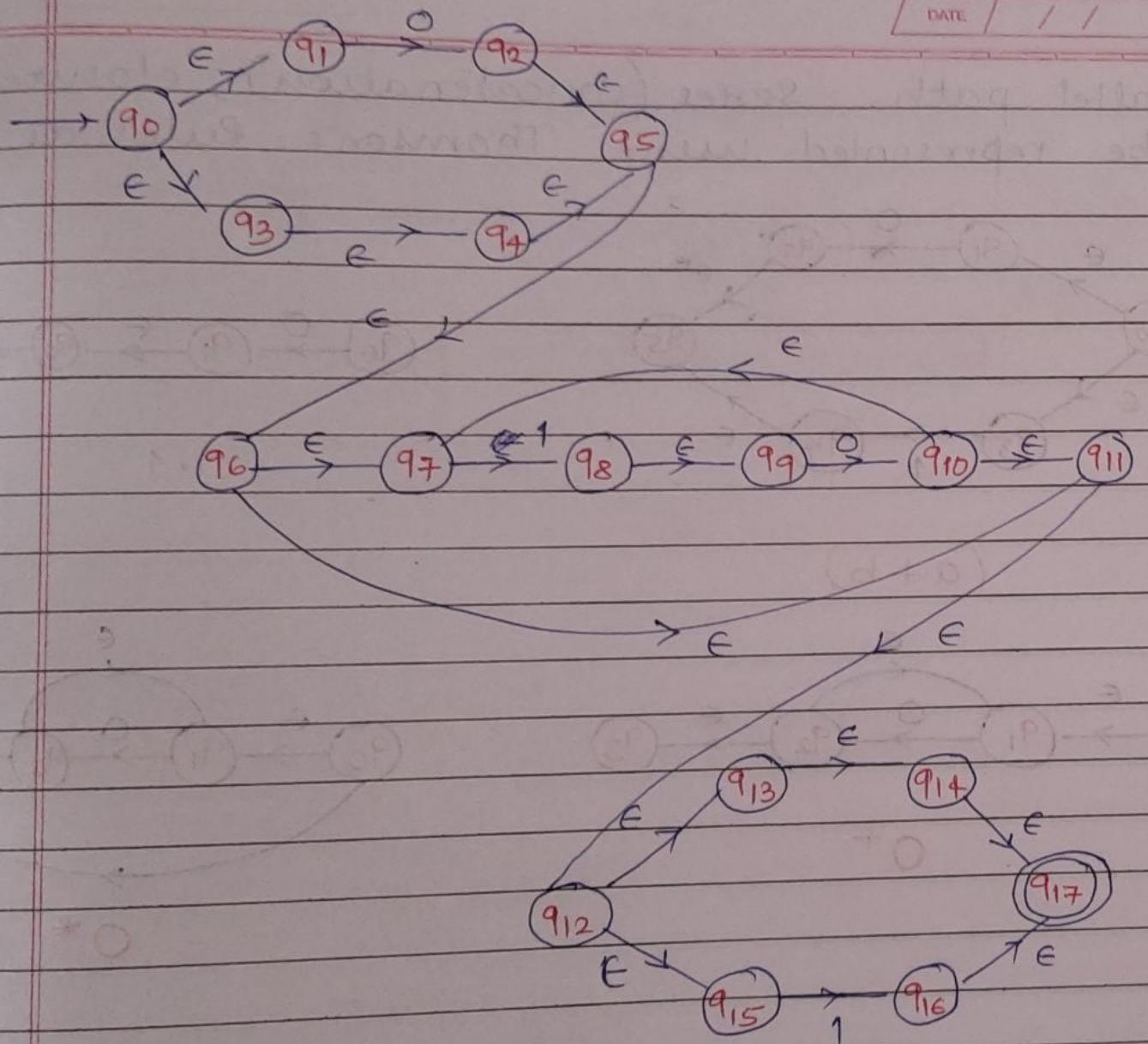


$0^*$   $1^*$   $2^*$



$$(0+\epsilon) \ (10)^* (\epsilon+1)$$

PAGE NO.	/ /
DATE	/ /



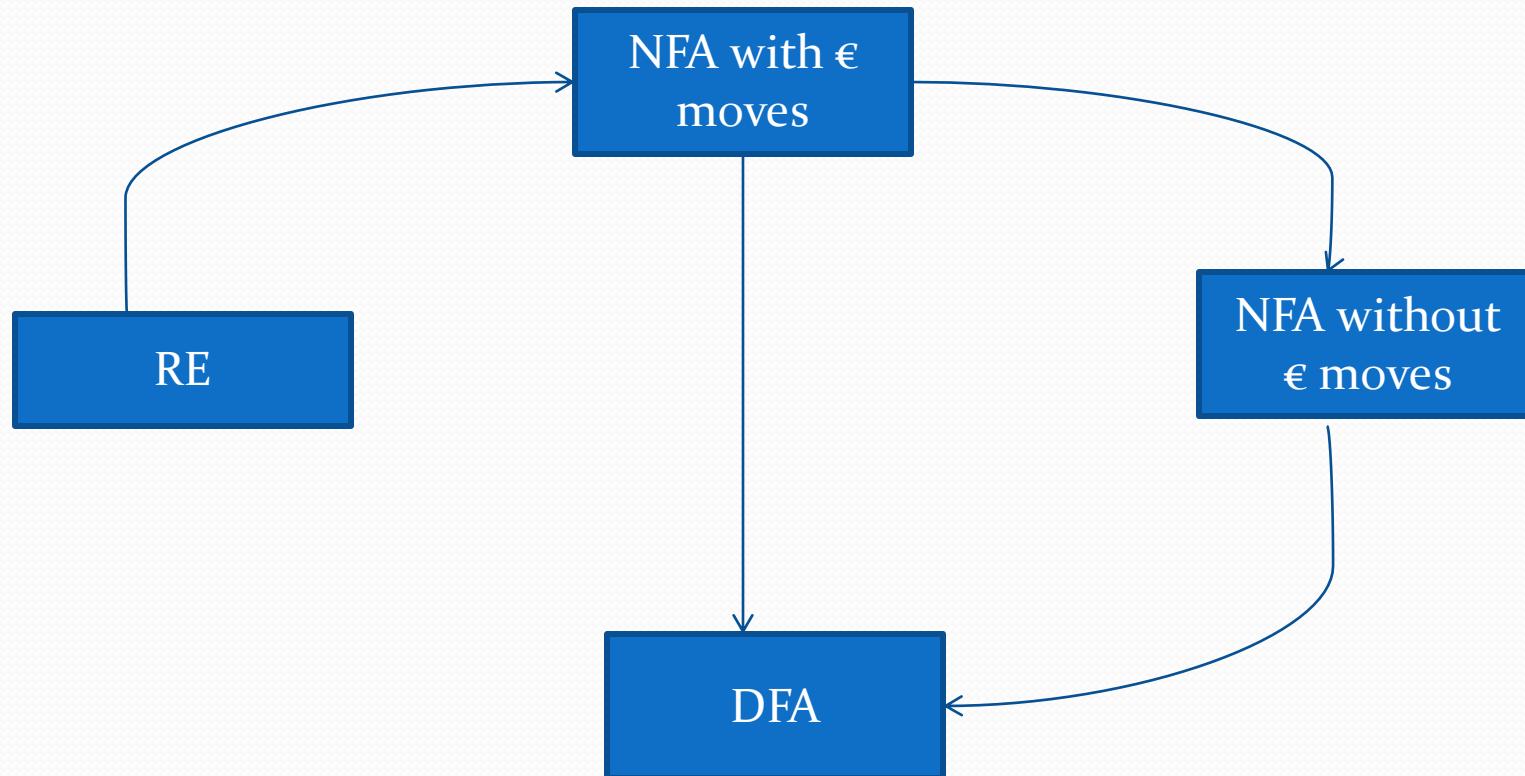
# Homework :

- Design NFA with  $\epsilon$  -moves for

- $(ab + ba)^* aa (ab + ba)^*$

- $(a(bb)^* a + a b^* a)^*$

# RE to NFA



- Design DFA for the following REs

- $0^* 1^* 2^*$
- $(0 + \epsilon) (10)^* (\epsilon + 1)$

$0^* 1^* 2^*$

$x$	$y = \epsilon\text{-closure}(x)$	$\delta(y, 0)$	$\delta'(y, 1)$	$\delta''(y, 2)$	
$\{0\}$	$\{0, 1, 3, 4, 5, 7, 8, 9, 11\}$	A *	$\{2\}$	$\{6\}$	$\{10\}$
$\{2\}$	$\{2, 3, 4, 5, 7, 8, 9, 11, 1\}$	B *	$\{2\}$	$\{6\}$	$\{10\}$
$\{6\}$	$\{6, 7, 8, 9, 11, 5\}$	C *	$\{\}$	$\{6\}$	$\{10\}$
$\{10\}$	$\{10, 9, 11\}$	D *	$\{\}$	$\{\}$	$\{\}$

Renaming the states, the  $\delta'$  becomes -

	0	1	2
* A	B	C	D
* B	B	C	D
* C	I	C	D
* D	-	-	D

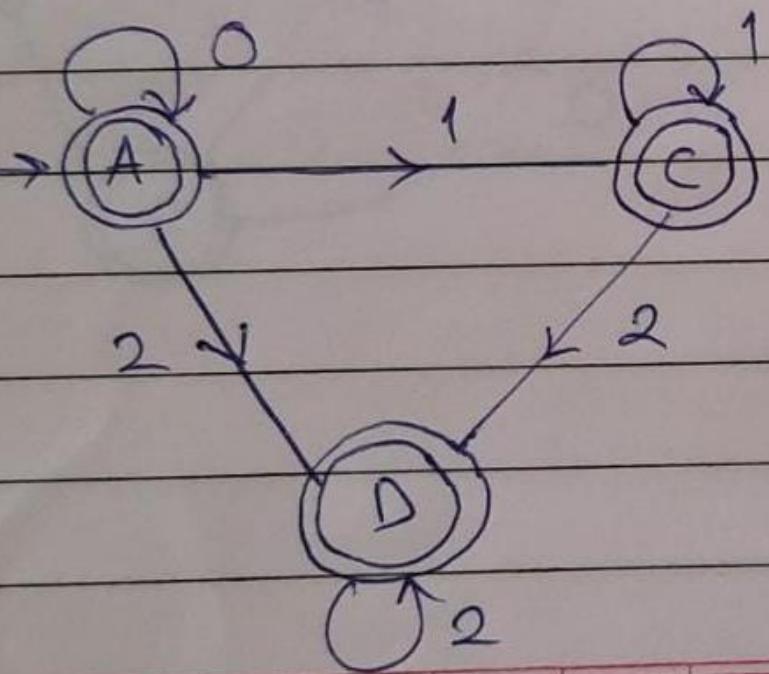
Here,  $A \equiv B$ .

$\therefore$  By applying rules of  
replacements, the new  
 $\delta'$  becomes -

$\delta' \Rightarrow$

	0	1	2
* A	A	C	D
* B			
* C	-	C	D
* D	-	-	D

As there are no further equivalences, converting the mapping function to Transition Diagram-



\* Complete Ans with  
remaining tuples.

\* No examples  
needed.

•  $(0+\epsilon)(10)^*(\epsilon+1)$

$\alpha$	$\beta = \epsilon\text{-closure}(\alpha)$	$S(4,0)$	$S(4,1)$
$\{0\}$	$\{0, 1, 3, 4, 5, 6, 7, 11, 12, 13,$ $15, 16, 17\}$	$A *$ $\{2\}$	$\{8, 16\}$
$\{2\}$	$\{2, 5, 6, 7, 11, 12, 13, 15,$ $16, 17\}$	$B *$ $\{\emptyset\}$	$\{8, 16\}$
$\{8, 16\}$	$\{8, 9, 16, 17\}$	$C *$ $\{10\}$	$\{\emptyset\}$
$\{10\}$	$\{10, 11, 12, 13, 15,$ $16, 17\}$	$D *$ $\{\emptyset\}$	$\{8, 16\}$

	0	1		0	1
* A	B	C		A	B
* B	-	C		B	C
* C	D	-		-	C
* D	-	C		B	-

$B = \Delta$

