

# Number Theory

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41900 – Fundamentals of Security

# Overview

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# Motivation

- Number theory is the basic of a lot of public-key crypto.
- RSA is “secure” because factoring large numbers is hard.

## Core Concept

Find a number theoretic problem that's incredibly difficult to solve if you don't have a key piece of information.

- For example:
  - Multiplying two large primes  $p, q$  is easy. Splitting a number  $n = pq$  into its factors is hard.
  - Raising a number  $g$  to the power  $a$  is easy. Finding  $a$  given only  $g^a$  is hard.

# Introduction

- Will now introduce finite fields
- Increasing importance in cryptography
  - AES, Elliptic Curve, IDEA, Public Key
- Concern operations on “numbers”
  - Where what constitutes a “number” and the type of operations varies considerably
- Start with basic number theory concepts

# Divisors

- Say a non-zero number  $b$  divides  $a$  if for some  $m$  have  $a=mb$   
( $a, b, m$  all integers)
- That is  $b$  divides into  $a$  with no remainder
- denote this  $b \mid a$
- and say that  $b$  is a divisor of  $a$
- eg. all of 1,2,3,4,6,8,12,24 divide 24
- eg.  $13 \mid 182$ ;  $-5 \mid 30$ ;  $17 \mid 289$ ;  $-3 \mid 33$ ;  $17 \mid 0$

# Properties of Divisibility

- If  $a \mid 1$ , then  $a = \pm 1$ .
- If  $a \mid b$  and  $b \mid a$ , then  $a = \pm b$ .
- Any  $b \neq 0$  divides 0.
- If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$   
e.g.  $11 \mid 66$  and  $66 \mid 198$  so  $11 \mid 198$
- If  $b \mid g$  and  $b \mid h$ , then  $b \mid (mg + nh)$   
for arbitrary integers  $m$  and  $n$   
e.g.  $b = 7; g = 14; h = 63; m = 3; n = 2$   
 $7 \mid 14$  and  $7 \mid 63$  hence  $7 \mid 42 + 126 = 168$

# Division Algorithm

- if divide  $a$  by  $n$  get integer quotient  $q$  and integer remainder  $r$  such that:

$$a = qn + r \quad \text{where } 0 \leq r < n; q = \text{floor}(a/n)$$

- Remainder  $r$  often referred to as a **residue**

# Greatest Common Divisor (GCD)

- A common problem in number theory
- $\text{GCD}(a, b)$  of  $a$  and  $b$  is the largest integer that divides evenly into both  $a$  and  $b$

$$\text{e.g. } \text{GCD}(60, 24) = 12$$

- define  $\text{gcd}(0, 0) = 0$
- often want no common factors (except 1) define such numbers as relatively prime

$$\text{e.g. } \text{GCD}(8, 15) = 1$$

hence 8 & 15 are relatively prime



# Example GCD(1970,1066)

$1970 = 1 \times 1066 + 904$	$\text{gcd}(1066, 904)$
$1066 = 1 \times 904 + 162$	$\text{gcd}(904, 162)$
$904 = 5 \times 162 + 94$	$\text{gcd}(162, 94)$
$162 = 1 \times 94 + 68$	$\text{gcd}(94, 68)$
$94 = 1 \times 68 + 26$	$\text{gcd}(68, 26)$
$68 = 2 \times 26 + 16$	$\text{gcd}(26, 16)$
$26 = 1 \times 16 + 10$	$\text{gcd}(16, 10)$
$16 = 1 \times 10 + 6$	$\text{gcd}(10, 6)$
$10 = 1 \times 6 + 4$	$\text{gcd}(6, 4)$
$6 = 1 \times 4 + 2$	$\text{gcd}(4, 2)$
$4 = 2 \times 2 + 0$	$\text{gcd}(2, 0)$

# Integers modulo $n$ : $Z_n^\times$

Fix a number  $n \in \mathbb{Z}$ , and do arithmetic modulo  $n$  : keep only the remainder after dividing by  $n$ .

$$6 + 6 = 12 = 0 \pmod{12}$$

$$5 - 9 = -4 = 8 \pmod{12}$$

$$5 \times 11 = 55 = 7 \pmod{12}$$

This system of numbers is called  $Z_n$ . (The example above is  $Z_{12}$ ).

It is finite: each number is uniquely represented as one of

$$Z_n = \{0, 1, 2, 3, \dots, n - 1\}$$

If  $a, b \in Z_n$ , write simply  $a + b$  instead of  $a + b \pmod{n}$ .

# Properties of $Z_n^\times$

**Group Size** The size of the group  $Z_n^\times$  is denoted  $\phi(n)$ , called Euler's phi function or Euler's totient function.

If  $p, q$  are distinct primes, then  $\phi(pq) = \phi(p)\phi(q) = (p - 1)(q - 1)$

**Important** For any  $x \in Z_n^\times$ ,  $x^{\phi(n)} = 1$ .

**Generators** There is sometimes an element  $g \in Z_n^\times$  which "hits all of  $Z_n^\times$ ", i.e.  $\{x^0, x^1, x^2, \dots, x^{n-1}\} = Z_n^\times$ .

This is always the case if  $n$  is prime.

**Inverses** Every element  $a \in Z_n^\times$  has an inverse: some  $b \in Z_n^\times$  such that  $ab = 1$ . Since  $a^{\phi(n)} = 1$ , this makes  $a^{\phi(n)-1}$  the inverse of  $a$ :  $a^{\phi(n)-1}a = 1$ .

- Inverses are usually found using Bézout's identity, rather than computing  $\phi(n)$ .

# Generated Sequences in $Z_n^\times$

If all elements in  $Z_n^\times$  can be obtained via  $g$  using:  
 $g^x \bmod n$

Where  $x \in \mathbb{Z}$  (i.e. any integer)

Then we state that:

$$g \text{ is a generator for } Z_n^\times$$
$$Z_n^\times = [1, g, g^2, g^3, \dots, g^{\phi(n)-1}]$$

The length of the maximum sequence for  $Z_n^\times$  is given by  $\phi(n)$ .

- If  $Z_p^*$ , where  $p$  is prime, then  $\phi(p) = p - 1$
- If  $Z_n^\times$ , where  $n = pq$  (a composite prime), then:  
$$\phi(n) = \phi(p)\phi(q) = (p - 1)(q - 1)$$

Note: the length of the sequence is maximal for  $Z_p^*$

# Inverses in $Z_n^\times$

Each element  $a \in Z_n^\times$  has an inverse  $a^{-1}$  such that

$$a \times a^{-1} = 1 \text{ mod } n.$$

Each element  $a \in Z_n^\times$ , except for 0, is invertible.

## Simple inversion algorithm

For  $Z_p^*$ , where  $p$  is prime:

$$x^{-1} = x^{\phi(n)-1} = x^{(p-1)-1} = x^{p-2} \text{ mod } p$$

For  $Z_n^\times$ , where  $n = pq$ :

$$x^{-1} = x^{\phi(n)-1} = x^{\phi(p)\phi(q)-1} = x^{(p-1)(q-1)-1} \text{ mod } p$$

# Example inverses in $Z_n^\times$

Example:

Given  $p = 7$ ,  $q = 3$ , and  $n = pq = 7 \times 3 = 21$

We select  $x = 11$  out of  $Z_{21}^*$  and want to invert it.

$$\begin{aligned}x^{-1} &= x^{(p-1)(q-1)-1} \bmod n \\&= x^{(6 \times 2)-1} \bmod 21 \\&= 11^{11} \bmod 21 \\&= 2\end{aligned}$$

$$\begin{aligned}\text{check that } x \cdot x^{-1} \bmod n &= 1 \\11 \times 2 \bmod 21 &= 22 \bmod 21 = 1\end{aligned}$$

# Modular Arithmetic

- Define modulo operator “ $a \bmod n$ ” to be remainder when  $a$  is divided by  $n$ 
  - Where integer  $n$  is called the modulus
- $b$  is called a residue of  $a \bmod n$ 
  - Since with integers can always write:  $a = qn + b$
  - Usually chose smallest positive remainder as residue  
i.e.  $0 \leq b \leq n-1$
  - process is known as modulo reduction  
e.g.  $-12 \bmod 7 = -5 \bmod 7 = 2 \bmod 7 = 9 \bmod 7$
- $a$  &  $b$  are congruent if:  $a \bmod n = b \bmod n$ 
  - when divided by  $n$ ,  $a$  &  $b$  have same remainder  
e.g.  $100 \bmod 11 = 34 \bmod 11$   
so 100 is congruent to 34 mod 11

# Modular Arithmetic Operations

- can perform arithmetic with residues
- uses a finite number of values, and loops back from either end

$$\mathbb{Z}_n = \{ 0, 1, \dots, (n - 1) \}$$

- modular arithmetic is when do addition & multiplication and modulo reduce answer
- can do reduction at any point,

$$\text{i.e. } a + b \bmod n = [a \bmod n + b \bmod n] \bmod n$$



# Modular Arithmetic Operations

- $[(a \bmod n) + (b \bmod n)] \bmod n = (a + b) \bmod n$
- $[(a \bmod n) - (b \bmod n)] \bmod n = (a - b) \bmod n$
- $[(a \bmod n) \times (b \bmod n)] \bmod n = (a \times b) \bmod n$

e.g.

$$[(11 \bmod 8) + (15 \bmod 8)] \bmod 8 = 10 \bmod 8 = 2 \quad (11 + 15) \bmod 8 = 26 \bmod 8 = 2$$

$$[(11 \bmod 8) - (15 \bmod 8)] \bmod 8 = -4 \bmod 8 = 4 \quad (11 - 15) \bmod 8 = -4 \bmod 8 = 4$$

$$[(11 \bmod 8) \times (15 \bmod 8)] \bmod 8 = 21 \bmod 8 = 5 \quad (11 \times 15) \bmod 8 = 165 \bmod 8 = 5$$

# Modulo 8 Addition Example

+	0	1	2	3	4	5	6	7
0	0	1	2	3	4	5	6	7
1	1	2	3	4	5	6	7	0
2	2	3	4	5	6	7	0	1
3	3	4	5	6	7	0	1	2
4	4	5	6	7	0	1	2	3
5	5	6	7	0	1	2	3	4
6	6	7	0	1	2	3	4	5
7	7	0	1	2	3	4	5	6

# Modulo 8 Multiplication

×	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6	7
2	0	2	4	6	0	2	4	6
3	0	3	6	1	4	7	2	5
4	0	4	0	4	0	4	0	4
5	0	5	2	7	4	1	6	3
6	0	6	4	2	0	6	4	2
7	0	7	6	5	4	3	2	1

# Modular Arithmetic Properties

Property	Expression
Commutative laws	$(w + x) \bmod n = (x + w) \bmod n$ $(w \times x) \bmod n = (x \times w) \bmod n$
Associative laws	$[(w \times x) \times y] \bmod n = [w \times (x \times y)] \bmod n$ $[(w \times x) \times y] \bmod n = [w \times (x \times y)] \bmod n$
Distributive laws	$[w \times (x + y)] \bmod n = [(w \times x) + (w \times y)] \bmod n$
Identities	$(w + 0) \bmod n = w \bmod n$ $(w \times 1) \bmod n = w \bmod n$
Additive inverse (-w)	For each $w \in Z_n$ , there exist a $z$ such that $w + z = 0 \bmod n$

# Euclidean Algorithm

- an efficient way to find the  $\text{GCD}(a, b)$
- uses theorem that:

$$\text{GCD}(a, b) = \text{GCD}(b, a \bmod b)$$

- **Euclidean Algorithm to compute  $\text{GCD}(a, b)$  is:**

```
Euclid(a, b)
```

```
    if (b=0) then return a;
```

```
    else return Euclid(b, a mod b);
```

# Extended Euclidean Algorithm

- calculates not only GCD but  $x$  &  $y$ :

$$ax + by = d = \text{gcd}(a, b)$$

- useful for crypto computations
- follow sequence of divisions for GCD but assume at each step  $i$ , can find  $x$  &  $y$ :

$$r = ax + by$$

- at end find GCD value and also  $x$  &  $y$
- if  $\text{GCD}(a, b) = 1$  these values are inverses

# Finding Inverses

EXTENDED EUCLID( $m, b$ )

**1.**  $(A1, A2, A3) = (1, 0, m);$

$(B1, B2, B3) = (0, 1, b)$

**2. if**  $B3 = 0$

**return**  $A3 = \text{gcd}(m, b);$  no inverse

**3. if**  $B3 = 1$

**return**  $B3 = \text{gcd}(m, b); B2 = b^{-1} \bmod m$

**4.**  $Q = A3 \text{ div } B3$

**5.**  $(T1, T2, T3) = (A1 - Q B1, A2 - Q B2, A3 - Q B3)$

**6.**  $(A1, A2, A3) = (B1, B2, B3)$

**7.**  $(B1, B2, B3) = (T1, T2, T3)$

**8. goto** 2

# Group

- a set  $S$  of elements or “numbers”
  - may be finite or infinite
- with some operation  $\cdot$  so  $G = (S, \cdot)$
- Obeys CAIN:
  - Closure:  $a, b \text{ in } S, \text{ then } a \cdot b \text{ in } S$
  - Associative law:  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
  - has Identity  $e$ :  $e \cdot a = a \cdot e = a$
  - has iNverses  $a^{-1}$ :  $a \cdot a^{-1} = e$
- if commutative  $a \cdot b = b \cdot a$ 
  - then forms an abelian group



# Cyclic Group

- define exponentiation as repeated application of operator

example:  $a^3 = a . a . a$

- and let identity be:  $e = a^0$
- a group is cyclic if every element is a power of some fixed element  $a$   
i.e.,  $b = a^k$  for some  $a$  and every  $b$  in group
- $a$  is said to be a **generator** of the group

# Ring

- a set of “numbers”
- with two operations (addition and multiplication) which form:
- an abelian group with addition operation
- and multiplication:
  - has closure
  - is associative
  - distributive over addition:  $a(b+c) = ab + ac$
- if multiplication operation is commutative, it forms a **commutative ring**
- if multiplication operation has an identity and no zero divisors, it forms an **integral domain**

# Field

- a set of numbers
- with two operations which form:
  - abelian group for addition
  - abelian group for multiplication (ignoring 0)
  - ring
- have hierarchy with more axioms/laws
- group  $\rightarrow$  ring  $\rightarrow$  field

# Using a Generator

- equivalent definition of a finite field
- a generator  $g$  is an element whose powers generate all non-zero elements

in  $F$  have  $0, g^0, g^1, \dots, g^{q-1}$

- can create generator from root of the irreducible polynomial
- then implement multiplication by adding exponents of generator