

# Updates to the Integrated Protein–Protein Interaction Benchmarks: Docking Benchmark Version 5 and Affinity Benchmark Version 2

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## Abstract

We present an updated and integrated version of our widely used protein–protein docking and binding affinity benchmarks. The benchmarks consist of non-redundant, high-quality structures of protein–protein complexes along with the unbound structures of their components. Fifty-five new complexes were added to the docking benchmark, 35 of which have experimentally measured binding affinities. These updated docking and affinity benchmarks now contain 230 and 179 entries, respectively. In particular, the number of antibody–antigen complexes has increased significantly, by 67% and 74% in the docking and affinity benchmarks, respectively. We tested previously developed docking and affinity prediction algorithms on the new cases. Considering only the top 10 docking predictions per benchmark case, a prediction accuracy of 38% is achieved on all 55 cases and up to 50% for the 32 rigid-body cases only. Predicted affinity scores are found to correlate with experimental binding energies up to  $r = 0.52$  overall and  $r = 0.72$  for the rigid complexes.

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## Introduction

Protein–protein interactions are among the most important processes in biology, playing fundamental roles in the immune system, signaling pathways, and enzyme inhibition. Proteome-wide studies have revealed that most proteins interact with other proteins [1]. The experimental characterization of the structure of a protein–protein complex is, however, difficult and not always successful. To complement experimental approaches, we have developed computational techniques for the prediction of protein complexes over the years, stimulated by the CAPRI (Critical Assessment of PRedicted Interactions) experiment [2]. Computational approaches for modeling protein–protein com-

plex structures include *ab initio* docking methods [3,4], homology-based methods based on the experimental structures of similar complexes [5–11], and integrative, information-driven methods [12]. These approaches typically attempt to predict the most likely structure of a complex but are not designed to predict how strongly the proteins bind or whether they bind at all. Thus, a more complete computational description of protein–protein interaction also requires algorithms that can predict binding affinities. Although energy functions for affinity prediction and the ranking of docking poses are related, they are often developed specifically for their respective purposes and so far have shown varying and rather limited performance [13]. Example areas where scoring functions can be

**Table 1.** New cases in the docking benchmark 5 and affinity benchmark 2.

	Category <sup>a</sup>	PDB ID 1 <sup>b</sup>	Protein 1	PDB ID 2 <sup>b</sup>	Protein 2	I-RMSD (Å)	ΔASA <sup>c</sup> (Å <sup>2</sup> )	K <sub>d</sub> (M)	ΔG <sup>d</sup> (kcal/mol)	T (°C)
<i>Rigid body</i>										
2VXT_HL:I	A	2VXU_HL	Murine reference antibody 125-2H Fab	1J0S_A(6)	Interleukin-18	1.33	2163	5.33e-10	−12.65	
2W9E_HL:A	A	2W9D_HL	ICSM 18 Fab fragment	1QM1_A	Prion protein fragment	1.13	1677	1.3e-10	−13.49	
3EOA_LH:I	A	3EO9_LH	Efalizumab Fab fragment	3F74_A	Integrin alpha-L I domain	0.39	1272	2.2e-9	−11.81	25
3HMX_LH:AB	A	3HMW_LH	Ustekinumab Fab	1F45_AB	Interleukin-12	0.73	1841			
3MXW_LH:A	A	3MXV_LH	Anti-Shh 5E1 chimera Fab fragment	3M1N_A	Sonic Hedgehog N-terminal domain	0.48	1696	7e-9	−11.31	30
3RVW_CD:A	A	3RVT_CD	4C1 Fab	3F5V_A	DER P 1 allergen	0.50	1383	1.9e-8	−10.53	25
4DN4_LH:M	A	4DN3_LH	CNTO888 Fab	1DOL_A	MCP-1	0.81	1317	3.8e-11	−14.22	25
4FQI_HL:ABEFC	A	4FQH_HL	CR9114 Fab	2FK0_ABCEDEF	H5N1 influenza virus hemagglutinin	1.08	1459	9e-10	−12.55	30
4G6J_HL:A	A	4G5Z_HL	Canakinumab antibody fragment	4I1B_A	Interleukin-1 beta	0.61	1893	4.1e-9	−11.44	25
4G6M_HL:A	A	4G6K_HL	Gevokizumab antibody fragment	4I1B_A	Interleukin-1 beta	0.49	1673	2.9e-10	−13.01	25
4GXU_MN:ABEFC	A	4GXV_HL	1F1 antibody	1RUZ_HIJKLM	1918H1 hemagglutinin	0.78	1830	6.2e-9	−11.2	
1JTD_B:A	EI	3QI0_A	BLIP-II	1BTL_A	TEM-1 beta-lactamase	0.44	2180	2.72e-11	−14.41	25
2A1A_B:A	ES	3UIU_A	Eukaryotic translation initiation factor 2-alpha-kinase 2	1Q46_A	eIF2 alpha-subunit	1.35	1186			
2GAF_D:A	ER	3OWG_A	Poly(A) polymerase VP55	1VPT_A	Vaccinia protein VP39	0.69	3368	1.2e-9	−12.17	
2YVJ_A:B	ER	2YVF_A	Ferredoxin reductase BPHA4	2E4P_A	Biphenyl dioxygenase ferredoxin subunit	0.60	1377			
3A4S_A:D	EI	1A3S_A	SUMO-conjugating enzyme UBC9	3A4R_A	NFATC2-interacting protein	0.72	1116	2.81e-6	−7.57	25
3K75_D:B	ER	1BPB_A	DNA polymerase beta	3K77_A	SLD2 ubiquitin-like domain	0.64	1195	1.1e-7	−9.49	
3LVK_AC:B	ER	3LVM_AB	Cysteine desulfurase IscS	1DCJ_A(12)	Reduced XRCC1, N-terminal domain	0.81	1609	3.04e-7	−8.89	25
3PC8_A:C	ER	3PC6_A	DNA repair protein XRCC1	3PC7_A	Sulfur transferase tusA	0.50	1240	1.02e-7	−9.54	
3VLB_A:B	EI	3VLA_A	EDGP	3VL8_A	DNA ligase III-alpha-BRCT domain	0.51	2020			
4HX3_BD:A	EI	4HWX_AB	Neutral proteinase inhibitor ScNPI	1C7K_A	Xyloglucan-specific endo-beta-1,4-glucanase A	0.90	2086	6e-6	−7.41	37
4H03_A:B	ES	1GIQ_A	lota toxin component IA	1IJJ_A	Zinc endoprotease	0.68	1474			
1EXB_ABDC:EGFH	OX	1QRQ_ABCD	KV beta2 protein beta-subunit	1QDV_ABCD	Alpha-actin	0.62	3558			
1M27_AB:C	OX	1D4T_AB	SAP-SLAM complex	3UA6_A	KV1.2 potassium channel N-terminal domain	1.22	799	3.45e-6	−7.45	25
2GTP_A:D	OG	1GFI_A	Alpha-1 subunit guanine nucleotide-binding protein G(I)	2BV1_A	Fyn kinase SH3 domain RGS1	0.54	1442			
2X9A_D:C	OR	1S62_A(8)	ToIA C-terminal domain	2X9B_A	G3P ToIA binding domain	1.33	1571	4.4e-6	−7.31	25
3BIW_A:E	OX	3BIX_A	Neuroigin-1	2R1D_A	Neuroigin-1-beta	0.39	1191	9.7e-8	−9.41	20
3H2V_A:E	OX	3MYI_A	Vinculin tail domain	1WI6_A(8)	Raver1 RRM1 domain	0.80	1263	2.21e-5	−6.31	23
3P57_AB:P	OX	3KOV_AB	MEF2A	3IO2_A	p300 TAZ2 domain	0.53	1291			
3P57_CD:P	OX	3KOV_AB	MEF2A	3IO2_A	p300 TAZ2 domain	0.74	1177			
3P57_IJ:P	OX	3KOV_AB	MEF2A	3IO2_A	p300 TAZ2 domain	0.91	1126			
4M76_A:B	OR	1C3D_A	C3D	1M1U_A	Integrin alpha-M CD11B A-domain	0.43	1046	4.5e-7	−8.66	25

<i>Medium</i>									
3EO1_AB:CF	A	3EO0_AB	GC-1008 Fab fragment	1TGJ_AB	Transforming growth factor beta-3	1.37	1630		
3G6D_LH:A	A	3G6A_LH	CNT0607 Fab	1IK0_A(10)	Interleukin-13	1.86	1793	1.84e-11	-14.65 25
3HI6_XY:B	A	3HI5_HL	AL-57 Fab fragment	1MJN_A	Integrin alpha-L I domain	1.65	1871	4.7e-6	-7.27
3L5W_LH:I	A	3L7E_LH	C836 Fab	1IK0_A(11)	Interleukin-13	0.48	1138	5.4e-11	-14.01 25
3V6Z_AB:F	A	3V6F_AB	Fab E6	3KXS_F	Capsid protein assembly domain	1.83	1922	3.3e-9	-11.57
4FZA_A:B	ER	1UPL_A	MO25 alpha	3GGF_A	Serine/threonine-protein kinase MST4	2.04	1695		
4IZ7_A:B	EI	1ERK_A	Non-phosphorylated ERK	2LS7_A(1)	PEA-15 Death Effector Domain	1.56	1202	1.33e-7	-9.44 27
4LW4_AB:C	ES	4LW2_AB	Cysteine desulfurase CsdA	1NI7_A(8)	Cysteine desulfuration protein CsdE	1.60	1610		
3AAA_AB:C	OX	3AA7_AB	Actin capping protein	1MYO_A(30)	Myotrophin	1.78	1686	2.1e-8	-10.3 20
3AAD_A:D	OX	1EQF_A	Double bromodomain	1TEY_A(13)	Histone chaperone ASF1	2.00	1461		
3BX7_A:C	OX	3BX8_A	Lipocalin 2	3OSK_A	CTLA-4 extracellular domain	1.63	2349	9e-9	-10.98 25
3DAW_A:B	OX	1IJJ_A	Alpha-actin	2HD7_A(5)	Twinfilin-1 C-terminal domain	1.49	2323	2e-5	-6.41
3R9A_AC:B	OR	1H0C_AB	Alanine-glyoxylate aminotransferase	2C0M_A	PEX5P TPR repeat domain	1.91	1926	3.5e-6	-7.44 25
3SZK_DE:F	OX	3ODQ_AB	Met hemoglobin	2H3K_A	ISDH-N1	2.10	1263	9.01e-8	-9.45 20
3S9D_B:A	OR	1N6U_A(15)	IFNAR2	1ITF_A(9)	IFNa2	1.69	1841	3e-9	-11.63
4JCV_ADBC:E	OX	1VDD_ABCD	Recombinational repair protein RecR	1W3S_A	DNA repair protein RecO	1.62	1949		
<i>Difficult</i>									
3FN1_B:A	ER	2EDI_A(5)	UQ_con domain from NEDD8-conjugating enzyme UBE2F	2LQ7_A	NEDD8-activating enzyme E1 catalytic subunit	3.65	1897		
3H11_BC:A	ER	4JJ7_AB	Caspase-8	3H13_A	c-FLIPL protease-like domain	3.79	3169		
4GAM_AFBGCH:D	ER	1XVB_ABCDEF	Methane monooxygenase hydroxylase	1CKV_A(9)	Methane monooxygenase regulatory protein B	5.79	6671		
1RKE_A:B	OX	1SYQ_A	Vinculin head	3MYI_A	Vinculin tail	4.25	2614		
3AAD_A:B	OX	1EQF_A	Double bromodomain	1TEY_A(4)	Histone chaperone ASF1	4.37	1654		
3F1P_A:B	OX	1P97_A(9)	HIF2 alpha-C-terminal PAS domain	1X0O_A(5)	ARNT C-terminal PAS domain	2.52	1919	1.4e-6	-7.85 20
3L89_ABC:M	OR	3L88_ABC	Ad21 fiber knob	1CKL_A	CD46 SCR1 and SCR2 domains	2.51	2167	2.84e-7	-8.93 25

<sup>a</sup> Categories: antibody-antigen (A); enzyme-inhibitor (EI); enzyme-substrate (ES); enzyme complex with a regulatory or accessory chain (ER); others, G-protein containing (OG); others, receptor containing (OR); others, miscellaneous (OX).

<sup>b</sup> Numbers in parentheses denote the NMR model that was chosen as the unbound structure.

<sup>c</sup> Change in solvent-accessible surface area upon complex formation, calculated using the NACCESS program (see the materials and methods section).

<sup>d</sup> Calculated using  $\Delta G = RT \ln K_d$ , where  $R$  is the gas constant and  $T$  is the absolute temperature, with  $T$  set to 298.15 K when unknown.

improved are entropic contributions [14], solvent effects [15], and the optimal combination of terms [16].

Essential for the development of computational algorithms are training and test sets that are reliable and sufficiently large. It is computationally daunting to sift the Protein Data Bank (PDB) for structures of protein–protein complexes; the experimental conditions and accuracies of these structures vary widely and are not always straightforward to assess, and neither is the definition of the biological unit. Recognizing this, various benchmarks that attempt to collect a reliable and well-understood set of data were developed. Our docking benchmark, which after its initial development [17] has seen three updates [18–20], is widely used for developing and assessing docking methods. Key features are the availability of both the complex structure and the unbound structures of the component proteins, non-redundancy, and reliability of the data. Other benchmarks include DOCKGROUND [21], which also focuses on protein–protein interactions, and benchmarks that contain complexes of proteins with nucleic acids [22,23].

More recently, we used our protein–protein docking benchmark as a starting point for developing a structure-based affinity benchmark [24,25], which includes the entries from our docking benchmark for which experimental binding affinities were available. The affinity benchmark has been used for the development of algorithms for predicting protein–protein binding free energies, with a typical correlation coefficient of  $r = 0.6$  with experimentally measured binding free energies [26–28].

In this paper, we present updates to our docking and affinity benchmarks, of which the development is tightly integrated. We added 55 new protein–protein complexes to the docking benchmark, for 35 of which experimental affinities could be found that were added to the affinity benchmark. These new additions to both benchmarks were then used, as an independent test set, to assess the performance of four docking algorithms and a large panel of affinity

prediction algorithms that had been previously developed without seeing any of the new cases. This allowed us to assess the performance of docking and affinity predictions, both of which remained limited due to conformational changes, with an indication that low-affinity complexes were also more challenging to dock.

## Results and Discussion

### Composition

We added 55 cases to the docking benchmark (Table 1). PDB entries 3AAD and 3P57 show two and three distinct binding modes, respectively. As in the previous versions of the benchmark, the complexes that display multiple binding modes were split into different cases. This represents an increase of 31% over the previous 175 cases. We could find binding affinity data for 35 of the cases, which brought the total number of cases in the affinity benchmark to 179, a 24% increase. In Table 2, we show the composition of the updated benchmarks compared with the previous versions. The most noticeable increase is for antibody–antigen complexes: from 24 cases to 40 cases in the docking benchmark and from 19 cases to 33 cases in the affinity benchmark, which reflects a surging interest in antibody-based therapeutics.

In the previous versions of the benchmarks, some categories are underrepresented, most notably the antibody–antigen cases (14%) and difficult cases (15%), while rigid-body cases are overrepresented (68%). Although there still is overrepresentation and underrepresentation in the updated benchmark, the newly added cases do not worsen the representation of any category and achieve a more balanced composition for most categories. We examined the new cases on various properties related to size and flexibility of the component proteins, but we only found the total solvent-accessible surface area of the component

**Table 2.** Composition of the updated docking and affinity benchmarks (in parentheses are values for the previous versions of the benchmarks, docking version 4 and affinity version 1).

	Docking		Affinity	
	<i>N</i>	%	<i>N</i>	%
All	230 (175)		179 (144)	
Enzyme containing	88 (71)	38% (41%)	69 (61)	39% (42%)
Antibody–antigen	40 (24)	17% (14%)	33 (19)	18% (13%)
Others	102 (80)	45% (45%)	77 (64)	43% (45%)
Rigid body <sup>a</sup>	151 (119)	65% (68%)		
Medium <sup>a</sup>	45 (29)	20% (17%)		
Difficult <sup>a</sup>	34 (27)	15% (15%)		
Rigid (I-RMSD < 1.0 Å) <sup>a</sup>			93 (75)	52% (52%)
Flexible (I-RMSD > 1.0 Å) <sup>a</sup>			86 (69)	48% (48%)

<sup>a</sup> See the materials and methods section for definition.

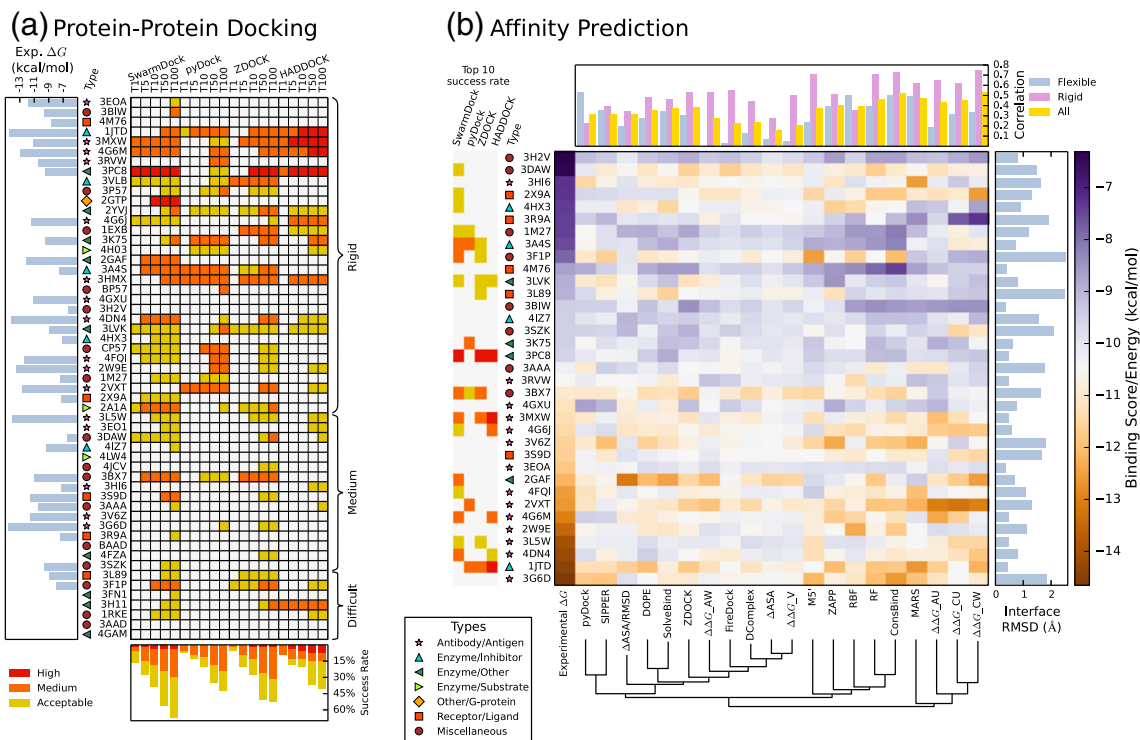
proteins to be significantly smaller in docking benchmark 4 than the 55 new cases ( $p = 0.05$ ; Kolmogorov–Smirnov test), with average total surface areas of  $\sim 24,000 \text{ \AA}^2$  and  $\sim 29,000 \text{ \AA}^2$ , respectively. It is not clear, however, to what extent this difference reflects changes in the content of the PDB. Finally, the cases in the docking benchmark that involve nuclear magnetic resonance (NMR) structures increased from 16 cases (9%) in version 4 to 32 cases (14%) in version 5.

### Performance of docking algorithms

We applied four docking algorithms (see Materials and Methods) to the new cases and their results are shown in Fig. 1a. SwarmDock [29,30], pyDock [31], and ZDOCK [32,33] are *ab initio* methods, whereas HADDOCK (*H*igh *A*mbiguity *D*riven *D*OCKing) uses bioinformatics predictions to drive the docking [34]; in this particular case, it uses CPORT to predict interface residues [35] and Paratome [36] to identify complementarity-determining region loops of anti-

bodies (see the materials and methods section). Overall the success rates (at least one acceptable prediction for a benchmark case) ranged between 5% and 16% for the top prediction, 20–38% for the top 10 predictions, and 40–67% for the top 100 predictions, comparable to the success rates on version 4 of the docking benchmark using SwarmDock and ZDOCK [37,38]. As expected, the success rate was much higher for the rigid-body category, with the success rates for the top 10 predictions at 31–50%, compared to 4–22% for the medium and difficult cases. The success rates also varied according to biological category, highest for enzyme containing complexes (29–41%) followed by the antibody/antigen complexes (13–38%) and finally the other complexes (5–36%).

We observed that the performances of the different docking algorithms were correlated; for 25% of the rigid-body cases, not a single acceptable solution was found in the top 10 predictions by any of the algorithms, and for 22% cases, all four methods succeeded. These



**Fig. 1.** (a) Performance of four docking algorithms on the new cases in the benchmarks, showing whether acceptable/medium/high-quality structures evaluated using the CAPRI criteria were present in the top 1/5/10/50/100 predictions for each case (denoted by T1, T5, T10, T50, and T100, respectively). Also shown are the overall success rates (bottom), complex type (left), and binding energy where available (far left). The complexes are ordered first by the difficulty category, then by I-RMSD. (b) Evaluation of affinity prediction methods. Complexes are ordered by increasing experimental affinities, to which the predicted affinities were fitted using linear regression in order to compare the performance of various prediction methods. The performances are grouped using a weighted average linkage agglomerative clustering algorithm (bottom). Correlations against the experimental data are shown at the top, for all the new benchmark cases and for the flexible complexes (I-RMSD  $\geq 1.0 \text{ \AA}$ ) only or for the rigid complexes (I-RMSD  $< 1.0 \text{ \AA}$ ) only. Also shown are the I-RMSD values (right), complex type (left), and the docking success rate at top 10 predictions (far left).



figures are much higher than would be expected if the complexes with correct predictions were randomly distributed among the rigid-body cases (16% and 2%, respectively). Some insight into why some interactions were inherently easier to dock than others, even within the rigid-body category, can be gleaned by focusing on the cases for which affinities are available. When all the docking algorithms failed to find an acceptable solution in the top 10 predictions, the affinity predictors also predicted weak binding energies (3EOA, 3BIW, 4M76, 3RVW, 4GXU, and 3H2V). This is either because the complexes are indeed of low affinity or due to deficiencies in the energy functions used in both docking and affinity prediction. The success rates were higher for enzyme containing and antibody–antigen complexes than for other complexes, as the latter tend to form weaker interactions.

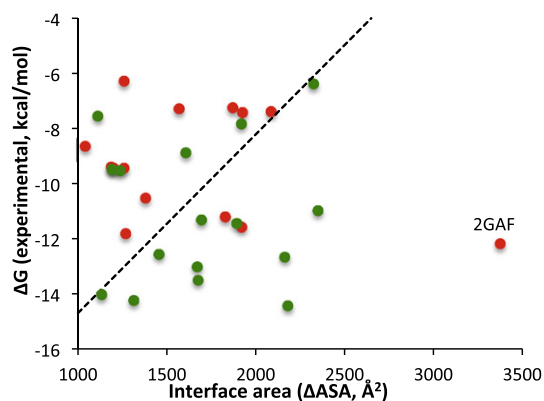
We searched for features indicative of a successful docking outcome. We define a successful run as a benchmark case for which at least three out of four docking algorithms yielded an acceptable or better prediction in the top 100 predictions, while an unsuccessful docking run had at most one algorithm with an acceptable prediction in the top 100 predictions. We asked which features could separate the cases with successful docking runs from the cases with unsuccessful docking runs. Because a major driving force in many protein–protein docking algorithms is the desolvation of the protein components [28], we computed the buried interface area ( $\Delta\text{ASA}$ ) upon complex formation, which is a good measure for desolvation. We further hypothesized that strong binders were easier to dock than weak binders. Indeed,  $\Delta\text{ASA}$  and experimentally measured binding free energy achieved a good separation of the two sets of cases with successful and unsuccessful docking runs (Fig. 2). Note that the correlation between  $\Delta\text{ASA}$

and the experimental binding energy is low, as reported in Fig. 1b and discussed below. These two features were individually mildly predictive of docking success (e.g., the seven strongest binders all resulted in successful docking runs), the combination of them could almost cleanly separate the successful and unsuccessful docking runs. Below the separating line, 79% docking runs were successful, and above the line, the docking performance drops to 31%. The outlier 2GAF [39] has the largest interface area of all the cases and a binding energy stronger than any of the other cases with unsuccessful docking runs. Below, we discuss this complex in more detail.

### Performance of affinity prediction algorithms

The change in buried surface area,  $\Delta\text{ASA}$ , does not correlate well with binding energy ( $r = -0.16$ ), even for the rigid complexes [interface root-mean-square deviation (I-RMSD)  $< 1.0$  Å,  $r = -0.28$ ], due to complexes with large  $\Delta\text{ASA}$  but low affinity, such as the snpA protease/inhibitor complex (4HX3), as well as high-affinity complexes with low surface area such as the C836 (3L5W) and carlumab (4DN4) antibodies, which are highly optimized for cytokine binding. Similarly, the binding energy does not correlate highly with I-RMSD ( $r = -0.24$ ), and only a small improvement is found using a minimal linear model combining  $\Delta\text{ASA}$  and I-RMSD ( $r = 0.31$ ) [40]. We further evaluated a number of prediction methods that include the specific geometry and composition of the interaction (Fig. 1b). This yielded overall correlations of up to  $r = 0.53$ , with a predictive power much higher for rigid complexes, up to  $r = 0.75$ , than for the flexible cases, up to  $r = 0.53$ . The best performing methods were trained either using the first version of the affinity benchmark [25] or using changes in affinity upon mutation [41], yet these functions yielded lower correlations on the new benchmark cases than the best correlation of  $r = 0.63$  previously reported for the original affinity benchmark [26,27,42]. The correlations were lower for the statistical potentials and docking scores.

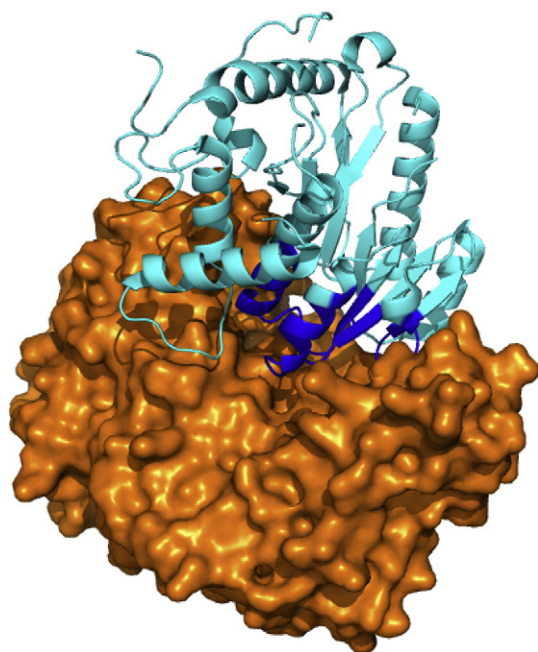
For some of the complexes, the predictions were consistently poor across all methods. All methods underestimated the affinities for the antibody/hemagglutinin complex (4GXU), which features a glycosylated asparagine at the periphery of the interface; the C3D/integrin  $\alpha$ -M complex (4M76), for which the interaction is mediated via a  $\text{Ca}^{2+}$  ion at the core of the interface; and the efalizumab/integrin  $\alpha$ -L complex (3EOA), which is the most rigid interaction in the benchmark update (I-RMSD = 0.39 Å). On the other hand, all methods overestimated the affinities for the actin/twinfilin (3DAW), AL-57/integrin  $\alpha$ -L (3HI6), TolA/G3P (2X9A), and HIF2/ARNT (3F1P) complexes, all of which have high flexibility, for which the energy penalty of conformational rearrangement may not be well estimated.



**Fig. 2.** Interface area *versus* experimental binding energy of the benchmark cases with successful docking runs (green; at least three docking protocols yielding acceptable predictions in the top 100) or unsuccessful docking runs (red; at most one docking protocol yielding acceptable predictions in the top 100).

### Highlighted case: Poly(A) polymerase VP55/ vaccinia protein VP39 (2GAF)

Figure 2 shows that the combination of experimentally measured binding energy and buried surface area forms a good indicator for a successful docking run. The complex of poly(A) polymerase VP55 and vaccinia protein VP39 (2GAF) [39], however, is a striking outlier. Only a single docking protocol was successful despite 2GAF having the largest buried surface area of all complexes and stronger binding than any of the other complexes that had at most one successful docking run. Furthermore, this complex belongs to the rigid-body category, with an I-RMSD of 0.69 Å, and we did not find co-factors or other aspects that might complicate the docking. We studied 2GAF in more detail to understand the poor docking performance. Inspection of the structure (Fig. 3) suggests that the difficulty may be related to the deep cavity of the receptor being completely filled by the ligand. To quantify this, we calculated the degree of encapsulation of a protein by its binding partner using C $\alpha$  atoms and performed the same calculation for all the benchmark cases in Fig. 2. We found that 39 residues of the vaccinia protein VP39 are within the cavity of the poly(A) polymerase VP55 (indicated in blue in Fig. 3). This is the highest number observed in the set of proteins considered for Fig. 2; 4FQI and 3BX7 have 25 and 12 residues



**Fig. 3.** Crystal structure (2GAF) of the complex of poly(A) polymerase (orange) VP55 and vaccinia protein VP39 (blue and cyan). Vaccinia protein VP39 residues that are within the poly(A) polymerase cavity are colored blue, while the residues outside the cavity are colored cyan.

encapsulated, respectively, while all other proteins have fewer than 10 residues within the cavities (39 proteins show zero residues). Presumably, the tight fit seen in 2GAF renders the mouth of the energy funnel narrow, which may impact the ability of docking algorithms to find and enter the energy funnel. In addition, the tight fit may cause difficulty for grid-based methods (ZDOCK and pyDock) because even small deviations from the ideal position, resulting from the discreet rigid-body conformational parameters, may cause clashes that prevent favorable scores. Indeed, for a run with a finer rotational sampling (6° versus the default of 15°), ZDOCK found a high-accuracy prediction at rank 23. SwarmDock was able to find a solution in the top 5. Small conformational changes allowed by SwarmDock, which may have alleviated steric clashes at the funnel entrance, could have facilitated a smoother entry to the binding funnel. Indeed, the lowest-frequency normal mode corresponds to the opening of the binding cavity, allowing ligand insertion. In the case of HADDOCK, it was the low quality of the bioinformatics predictions for the ligand binding site (recall of 7%) that prevented the sampling of near-native solutions. Docking with center of mass or random ambiguous interaction restraints (two *ab initio* docking modes of HADDOCK) does generate acceptable solutions in the top 50 (data not shown). In general, it appears that the poor performance of the docking algorithms for 2GAF is caused by the inability to correctly sample or find the native orientation of the ligand within the receptor cavity. This makes 2GAF an exception to the general consensus in the field that failures of docking protocols are caused either by inaccuracies of the scoring functions (including explicit solvation and entropy effects) or by the difficulty of modeling protein conformational changes [43,44].

## Conclusions

We have presented updated versions to our widely used protein–protein docking and affinity benchmarks with 55 and 35 new entries, respectively. This represents relative increases of 31% and 24%, respectively, compared with the previous versions. The updated benchmarks have slightly improved the balance with respect to both complex types and the range of conformational changes between bound and unbound forms complete.

We analyzed the performance of four different docking methods and a comprehensive set of state-of-the-art protein–protein complex affinity prediction methods. We found that the newly added complexes provide a challenging test set for both docking and affinity prediction algorithms: Structure prediction success rates and correlations with experimentally obtained affinities are lower than reported using previous versions of the benchmark.

These updated benchmarks will aid the community in improving these algorithms and increasing our understanding of biomolecular interactions.

## Materials and Methods

### Benchmark construction

We collected new structures for our benchmarks from the PDB [45] using a semiautomatic pipeline. We first used the BLAST sequence homology search tool [46] to find protein–protein complexes for which the experimental structures of both the complex and the unbound component proteins were available. We also used the SACS resource [47] to collect a candidate list of antibody–antigen complexes. These complexes were then filtered using various quality criteria: (1) the complex structure needed to be determined by X-ray crystallography, the unbound structures by either X-ray crystallography or NMR; (2) the sequence identity between bound and unbound chains needed to be at least 96% with an alignment coverage larger than 80%; (3) the X-ray resolution needed to be 3.25 Å or better; and (4) chains needed to consist of at least 30 residues.

While constructing the previous versions of our docking benchmark [17–20], we deemed two complexes redundant when the pairs of interacting domains were the same at the SCOP [48] family level. Antibody–antigen complexes were considered redundant only when the SCOP families of the antigens were identical, and at least 80% of the antigen interface residues were shared between the two complexes. We used SCOPe 2.03 [49] (previously named SCOP 1.75C), which represented a limited update with respect to the 1.75 release used for the first four versions of the docking benchmark. To further compensate for the lack of SCOP coverage for the most recently solved PDB structures, we inferred their SCOP family-level assignments using the older PDB entries with identical sequences and known SCOP IDs.

We manually investigated the candidate complexes extensively, consulting the literature associated with the PDB entries. We checked whether any residues were missing or mutated in the interface (allowing such residues only if binding would not be affected) and whether co-factors that affect binding were present or compatible in both bound and unbound forms. The starting point for the manual step was the first biological assembly listed in the PDB, although in a number of cases, these were not accurate and an alternative assembly had to be used. When multiple entries were available for a complex or a component protein, we chose the entry that had the best overall structure quality. This was to some extent a subjective criterion, as we had to balance all the aforementioned features in the decision. For component proteins with NMR structures, we chose the model that had the lowest I-RMSD from the bound structure. Finally, we prepared structure files that included the fewest protein chains that correctly reflected the binding process, aligned the bound and unbound structures, and retained only those HETATM fields that we deemed biologically relevant.

We evaluated several properties from the structure files. The change in solvent-accessible surface area ( $\Delta$ ASA) upon complex formation was calculated using the NACCESS algorithm [50]. The I-RMSD was calculated by superposing the unbound component proteins onto their

bound forms, using the C $\alpha$  atoms for residues that had any atom within 10 Å of any atom of the binding partner. We also assessed the expected difficulty of a benchmark entry for protein–protein docking algorithms [17–20]. Complexes with I-RMSD > 2.2 Å were considered difficult, and complexes with I-RMSD < 1.5 Å were considered rigid body if their  $f_{\text{non-nat}}$  [51] were < 0.40. All other complexes were considered to be of medium docking difficulty.

We then used the set of complexes as a starting point for extending the structural affinity benchmark. For many entries, affinities were reported multiple times either by different groups or by using different techniques. These measurements were mostly in mutual accordance with one another, typically within 1 order of magnitude in terms of equilibrium constant. When selecting the value to include in the benchmark, priority was given to affinities reported for samples matching the sequences of the reported structures of the complexes. When this criterion could not be met or still resulted in multiple values, preference was based on sequence similarity and the measurement method. As in the first version of the affinity benchmark, most affinities were measured using surface plasmon resonance, isothermal titration calorimetry, or spectroscopic methods. The affinities of four new cases were measured using the more recent thermophoresis and bio-layer interferometry technologies. We also collected experimental conditions and additional thermodynamic and kinetic data whenever available. Affinities were measured at a pH in the 7–8 range, typically within the 20–25 °C temperature range and with an ionic strength of around 150 mM. In the context of affinity prediction, we consider complexes with I-RMSD < 1.0 Å as rigid body and the remaining complexes as flexible.

### Docking algorithms

ZDOCK is an FFT-based rigid-body docking algorithm that performs a grid-based exhaustive search with a 15° or 6° rotational sampling in three-dimensional (3D) rotational space and a 1.2 Å sampling in the 3D translational space [32,33,38,52]. For each combination of the three rotational angles, the best scoring prediction in the translational space is retained, yielding 3600 or 54,000 predictions for the 15° and the 6° sampling, respectively. Here we report results obtained using the 15° sampling. We used ZDOCK version 3.0.2 that uses the IFACE [53] scoring function and the advanced 3D convolution library [54].

SwarmDock is a flexible docking method employing a population-based memetic algorithm that combines a modified particle swarm optimization global search with an adaptive random local search [29,30]. Elastic network normal mode analysis is used to model flexibility, and the algorithm simultaneously optimizes translational, quaternion, and normal coordinates, using the DComplex statistical potential as objective function [55]. The algorithm was run at the SwarmDock server [37]; swarms are initialized around ca 120 points surrounding the receptor and the algorithm was run four times from each starting point for 600 iterations. The lowest energy solutions found in each run were ranked using the centroid potential of Tobi [56] and clustered, retaining only the lowest energy member of each cluster.

pyDock [31] is a protein–protein docking protocol built upon FTDock [57], an FFT-based method that searches for



geometrically complementary rigid-body poses in the translational and rotational space. FTDock predicts 10,000 poses that are then scored using an empirical potential composed of electrostatic interaction (coulombic energy with a distance-dependent dielectric constant  $\epsilon = 4.0$  and charges specified by the AMBER94 force field [58], truncated to be in between 1.0 and  $-1.0$  kcal/mol), desolvation (based on atomic solvation parameters optimized for rigid-body docking), and a limited (10%) contribution from the van der Waals energy (6–12 Lennard-Jones potential with atomic parameters from the AMBER94 force field, truncated to be below 1.0 kcal/mol).

HADDOCK [34] is a semiflexible docking protocol that uses bioinformatics predictions and biochemical/biophysical interaction data to drive the docking process. It uses CNS (Crystallography and NMR System) [59] as its structure calculation engine. The protocol consists of three steps: (i) randomization of orientation and rigid-body docking via energy minimization driven by interaction restraints (it0), (ii) semiflexible refinement in the torsional angle space in which side-chain and backbone atoms of the interface residues are allowed to move (it1), and (iii) Cartesian dynamics refinement in explicit solvent, typically water. The final structures are clustered using the pairwise backbone ligand interface RMSD and the resulting clusters ranked according to the HADDOCK score (weighted sum of the restraint energy, the van der Waals and electrostatic energies based on OPLS parameters [60], and a desolvation energy term [61]). Note that, in the docking performance analysis presented here, no clustering was performed and individual models were selected based on their HADDOCK score.

We used the HADDOCK Web server [62], outputting 10,000/400/400 models for the three stages of the protocol. Restraints to drive the docking were derived from bioinformatics predictions by CPORT [35], except for the antibody–antigen complexes for which complementarity-determining regions identified with Paratome [36] were defined as active, and all solvent-accessible residues of the antigen were used as passive residues to define ambiguous interaction restraints to drive the docking. The predicted interfaces (and their recall and precision) used for docking are available at the SBGRid Data Bank, along with all docking decoys and HADDOCK input files from the deposited HADDOCK docking set [63].

### Affinity prediction algorithms

ZAPP predicts protein–protein binding free energies using a linear combination of nine energy terms and a constant [26]. Only one term uses the unbound structures in addition to the complex structures, while the other eight terms only require the complex structure.

ConsBind is an affinity prediction method based on machine learning in which the predicted affinity is a consensus of four learners [42]: multivariate adaptive regression splines, random forest regression, radial basis function interpolation, and an M5' regression tree. The learners were trained using 143 of the 144 affinities in the previous affinity benchmark [25] with all 108 features extracted from the bound structures using the CCharPPI Web server [64]. Information from the unbound structures was not used. The final consensus score is the arithmetic mean of the four learners.

SolveBind is a binding affinity prediction method based on the global surface model of Kastiris *et al.* [27], combining the number of atoms in the interface ( $N_{\text{AtomsINT}}$ ) and the percentages of charged and polar residues in the non-interacting surface ( $\%AA_{\text{char}}^{\text{NIS}}$  and  $\%AA_{\text{pol}}^{\text{NIS}}$ ):

$$-\log K_d = \alpha \cdot \%AA_{\text{pol}}^{\text{NIS}} + \beta \cdot \%AA_{\text{char}}^{\text{NIS}} + \gamma \cdot N_{\text{AtomsINT}} + \delta$$

with  $\alpha = 0.0857$ ,  $\beta = -0.0685$ ,  $\gamma = 0.0262$ , and  $\delta = 3.0125$  (obtained after 4-fold cross-validation based on the rigid-body complexes of the previous affinity benchmark [25]). Properties of the non-interacting surface were found to correlate with affinity [13,27] and may regulate solvation and electrostatic contributions to binding affinity [27,65].

Besides the aforementioned binding affinity prediction methods developed in our groups, we also assessed the minimal affinity model of Janin ( $\Delta\text{ASA}/\text{RMSD}$ ) [40], buried surface area ( $\Delta\text{ASA}$ ), the DOPE [66] and DComplex [55] statistical potentials, the pyDock [31], SIPPER [67], ZDOCK [68], and FireDock [69] docking scores, as well as contact potentials ( $\Delta\Delta G_{\text{AW}}$ ,  $\Delta\Delta G_{\text{AU}}$ ,  $\Delta\Delta G_{\text{CW}}$ , and  $\Delta\Delta G_{\text{CU}}$ ) [41] and a surface energy model ( $\Delta\Delta G_{\text{V}}$ ) [70] derived from mutation data.

## Appendix A. Supplementary data

Supplementary data (CDR definition used for docking antibody–antigen complexes with HADDOCK, predicted affinities listed by benchmark entry, experimental conditions of the affinities measurements, and the full references to the experimentally measured affinities) to this article can be found online at <http://dx.doi.org/10.1016/j.jmb.2015.07.016>.

The complete docking benchmark is hosted at <http://zlab.umassmed.edu/benchmark>, and the complete affinity benchmark at <http://bmm.cancerresearchuk.org/~bmmadmin/Affinity>.

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#### Abbreviations used:

PDB, Protein Data Bank; I-RMSD, interface root-mean-square deviation; 3D, three-dimensional.

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