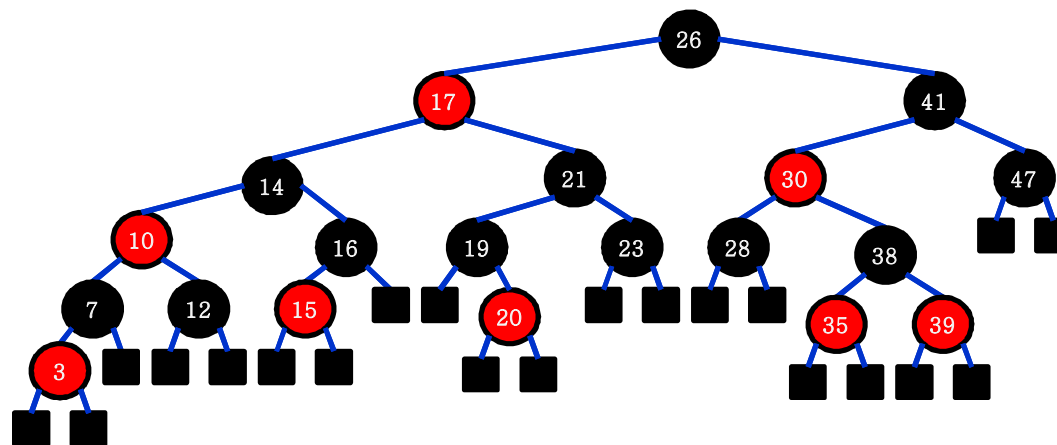


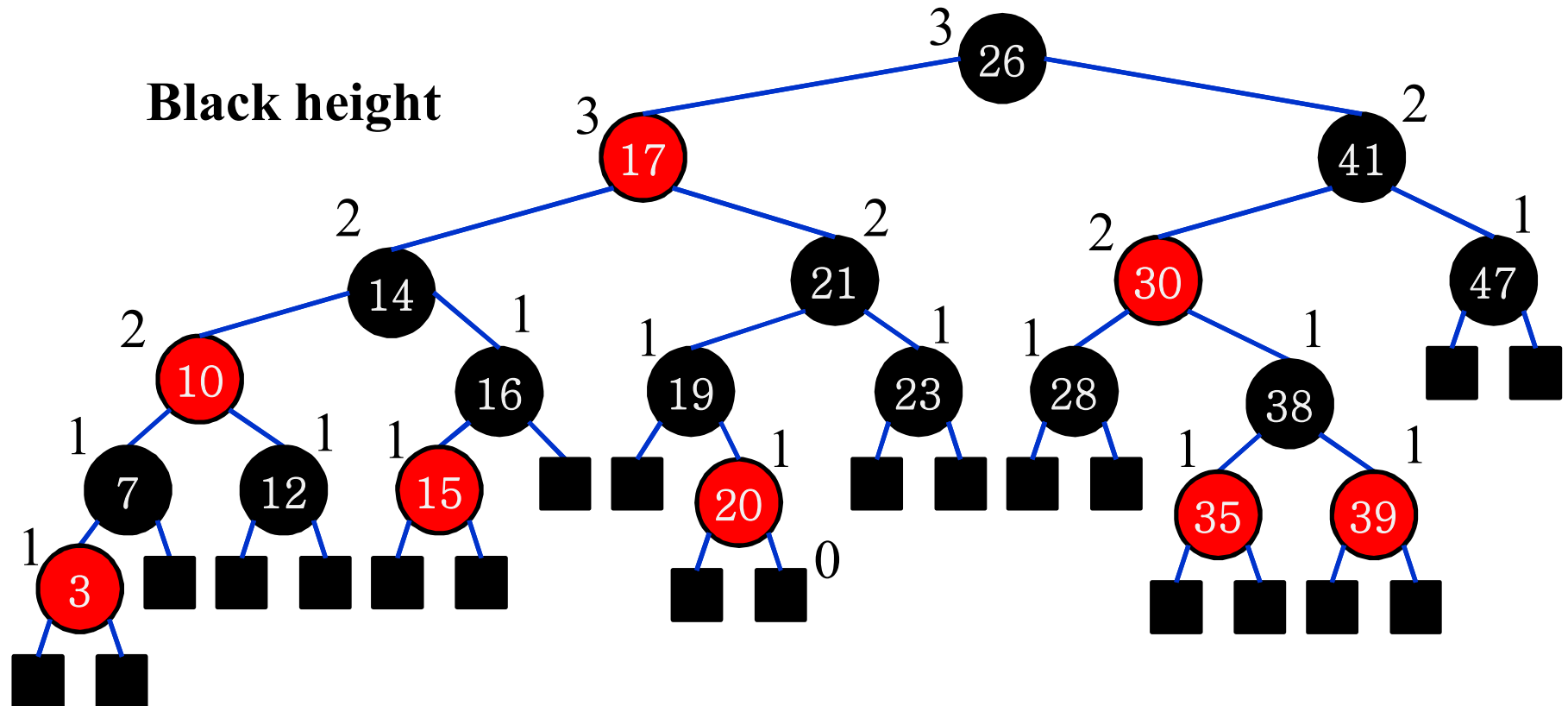
Red-Black Trees



Red-Black Trees

- A *red-black tree* is a binary search tree with one extra attribute for each node: the *color*, which is either **red** or black.
- A red-black tree is a binary search tree which has the following *red-black properties*:
 - **Color Property**: Every node is either red or black
 - **Root Property**: The root is black
 - **External Property**: Every external node is black
 - **Internal Property**: Both children of a red node are black
 - **Depth Property**: For each node, all simple paths from the node to descendant leaves contain the same number of black nodes

Red-Black Trees



Black height: It is the number of black nodes on any simple path from a node x (not including it) to a leaf. Black height of any node x is $bh(x)$.

The number of black nodes from a node to any leaf is the same.

Red-Black Trees

- **Proposition:** The height of a red-black tree storing n items is $O(\log n)$.

Justification:

We first show that, the subtree rooted at any node x contains at least $2^{\text{bh}(x)} - 1$ internal nodes.

If $\text{bh}(x) = 0$ then x is a leaf, so the subtree rooted at x contains

$$2^0 - 1 = 0 \text{ internal nodes.}$$

Let a node x has a positive height and is an internal node which has two children.

Each child of x has a black height of either $\text{bh}(x)$ or $\text{bh}(x) - 1$.

So we can use the recursive hypothesis to conclude that the subtree rooted at x contains at least $(2^{\text{bh}(x)-1} - 1) + (2^{\text{bh}(x)-1} - 1) + 1$

$$= (2 \cdot 2^{\text{bh}(x)-1} - 1) = (2^{\text{bh}(x)} - 1) \text{ internal nodes}$$

Red-Black Trees

Let h be the height of the tree.

According to the **internal property**, the black-height of the root must be at least $h/2$; thus

$$n \geq 2^{h/2} - 1.$$

$$\log(n+1) \geq h/2$$

$$h \leq 2 \log(n+1).$$

Thus $h = O(\log n)$.

The **main** Idea:

Since **red** nodes cannot have **red** children, in the worst case, the number of nodes on a path must alternate **red**/black.

Thus, that path can be only twice as long as the black depth of the tree.

Therefore, the worst case height of the tree is $O(2 \log n_b)$.

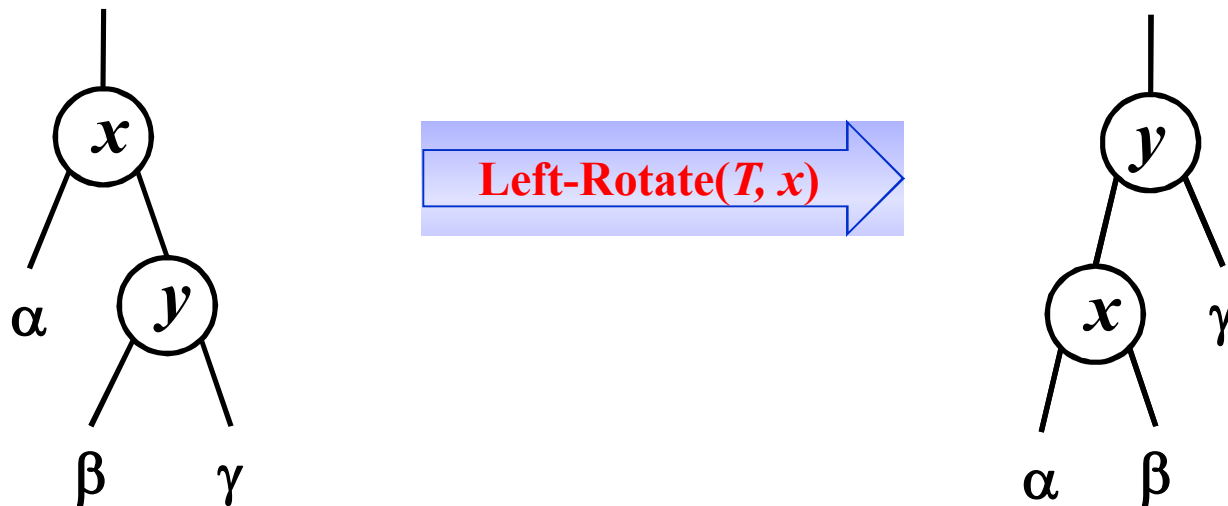
Therefore, the height of a **red**-black tree is $O(\log n)$.

Red-Black Trees

- **Rotations:** Rotations maintain the inorder ordering of keys:

$$\alpha \leq x \leq \beta \leq y \leq \gamma.$$

A rotation can be performed in $O(1)$ time, since a constant number of pointers need to be modified.

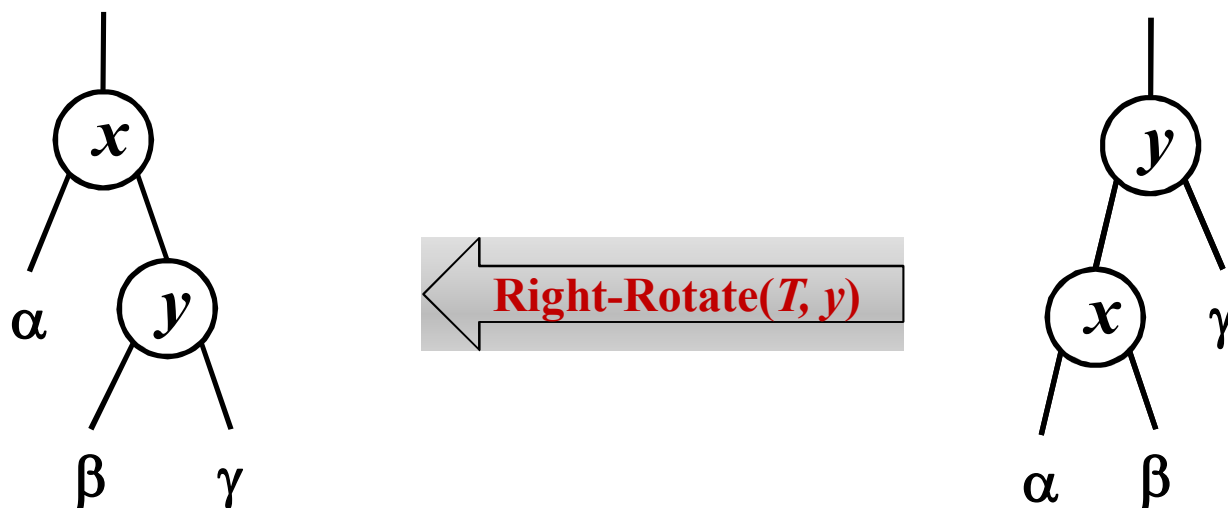


Red-Black Trees

- **Rotations:** Rotations maintain the inorder ordering of keys:

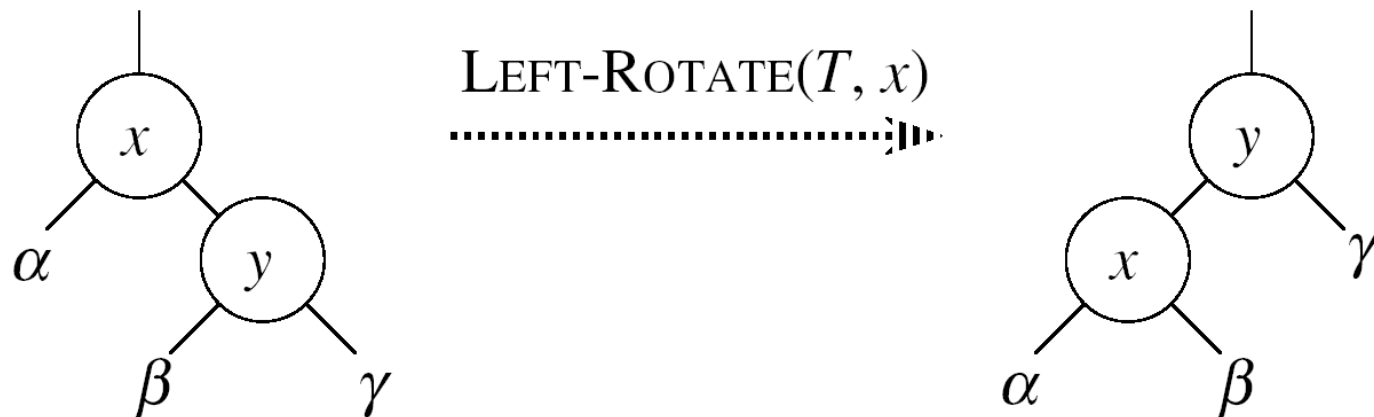
$$\alpha \leq x \leq \beta \leq y \leq \gamma.$$

A rotation can be performed in $O(1)$ time, since a constant number of pointers need to be modified.



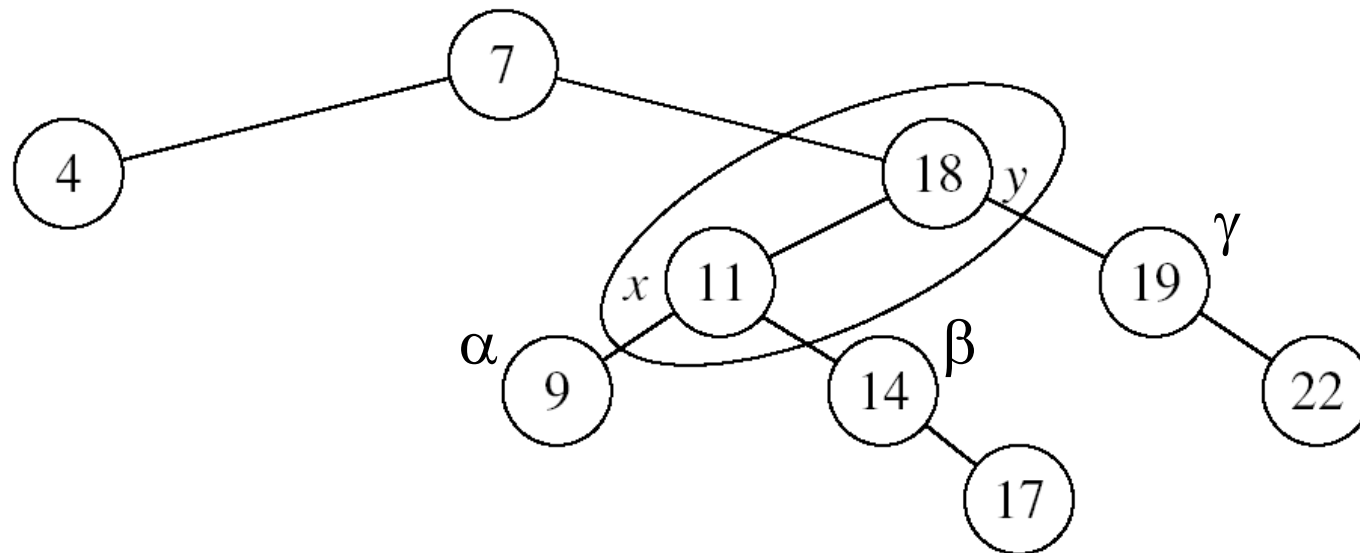
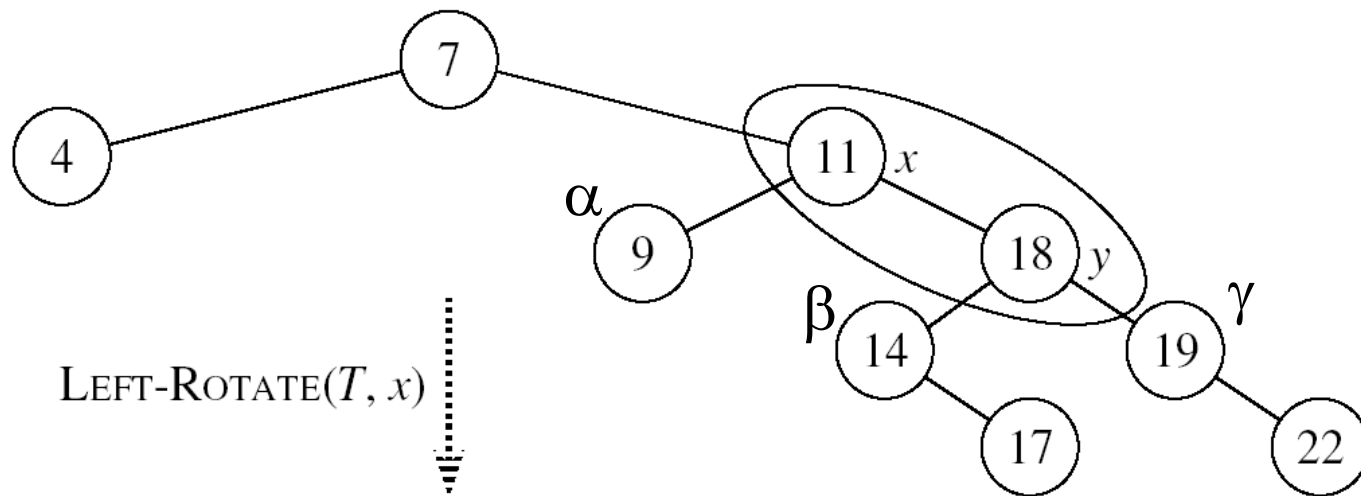
Left Rotations

- Assumptions for a left rotation on a node x :
 - The right child of x (that is, y) is not NIL



- Idea:
 - Pivots around the link from x to y
 - Makes y the new root of the subtree
 - x becomes y 's left child
 - y 's left child becomes x 's right child

Example: Left-Rotate



Left-Rotate(T, x)

```
1   $y \leftarrow \text{right}[x]$   \ \text{set } y
```

```
2   $\text{right}[x] \leftarrow \text{left}[y]$ 
```

```
3   $p[\text{left}[y]] \leftarrow x$ 
```

```
4   $p[y] \leftarrow p[x]$ 
```

```
5  if  $p[x] = \text{nil}$  then  \ \text{\textcolor{red}{$x$ is the root}}
```

```
6       $\text{root}[T] \leftarrow y$ 
```

```
7  else if  $x = \text{left}[p[x]]$  then  \ \text{\textcolor{red}{check whether $x$ is the left child of $p[x]$}}
```

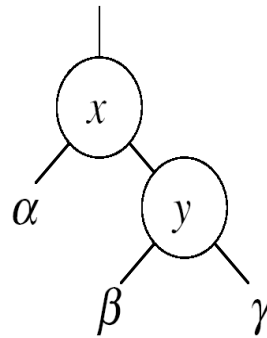
```
8       $\text{left}[p[x]] \leftarrow y$ 
```

```
9  else
```

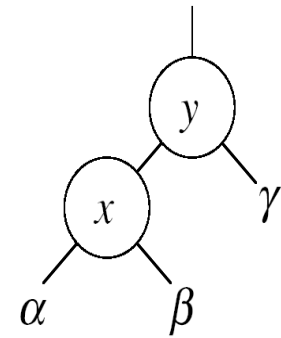
```
10      $\text{right}[p[x]] \leftarrow y$ 
```

```
11   $\text{left}[y] \leftarrow x$ 
```

```
12   $p[x] \leftarrow y$ 
```

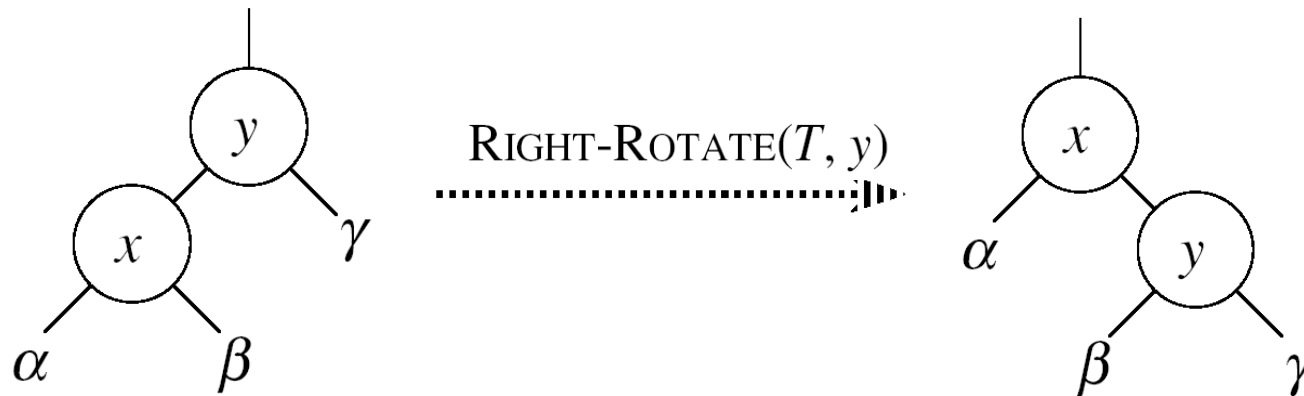


LEFT-ROTATE(T, x)
.....>>>



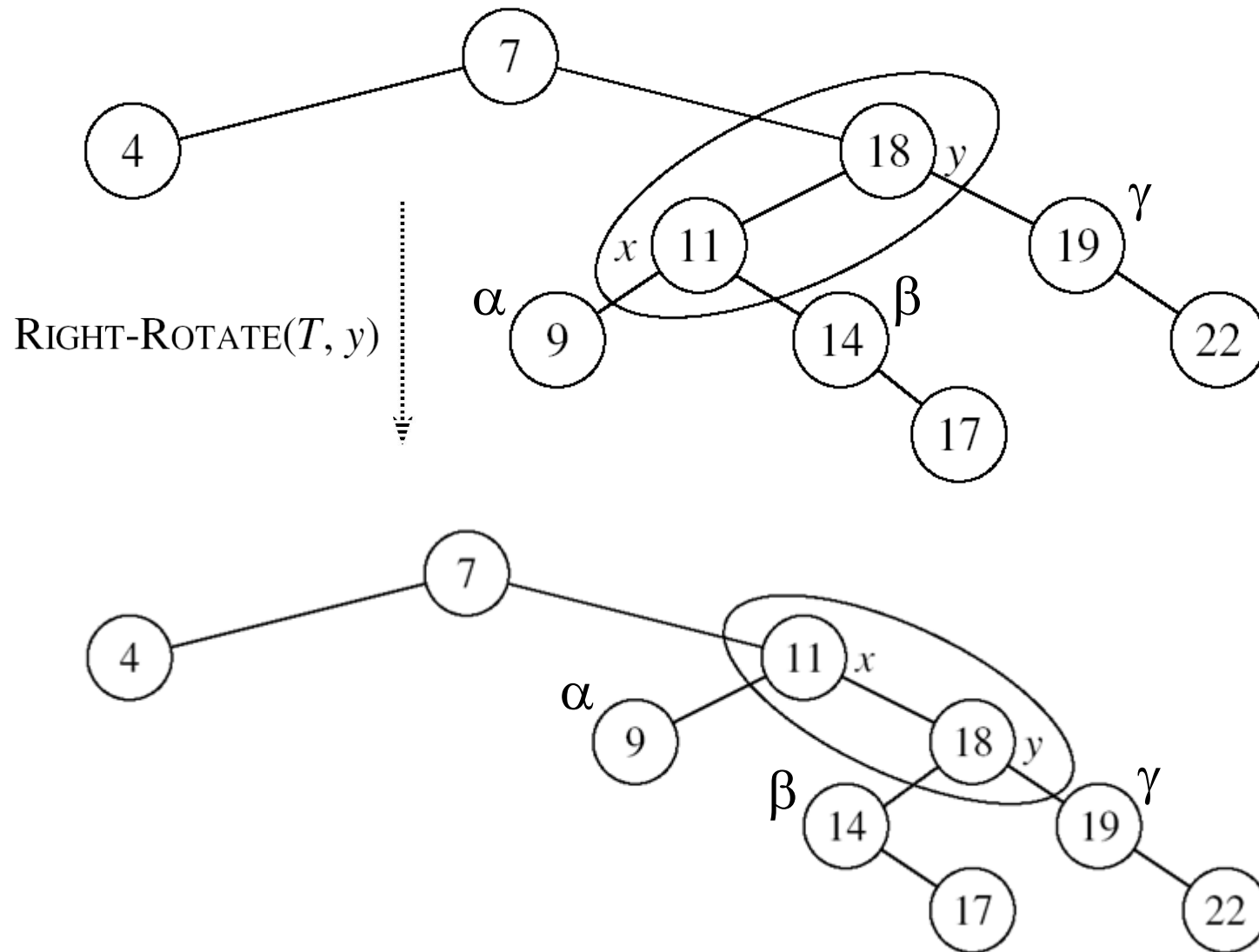
Right Rotations

- Assumptions for a right rotation on a node x :
 - The left child of y (that is, x) is not NIL



- Idea:
 - Pivots around the link from y to x
 - Makes x the new root of the subtree
 - y becomes x 's right child
 - x 's right child becomes y 's left child

Example: Right-Rotate



Red-Black Trees: Insertion

- Goal:
 - Insert a new node z into a red-black tree.
- Idea:
 - Insert node z into the tree as for an ordinary binary search tree.
 - ◆ Procedure **RB-Insert**(T, z)
 - Color the node z **red**.
 - Fix the modified tree by re-coloring nodes and performing rotation to preserve red-black tree property.
 - ◆ Use an auxiliary procedure **RB-Insert-Fixup**(T, z)

Red-Black Properties Affected by Insert

1. Every node is either **red** or **black**

OK!

2. The **root** is **black**

If z is the root

\Rightarrow **not OK**

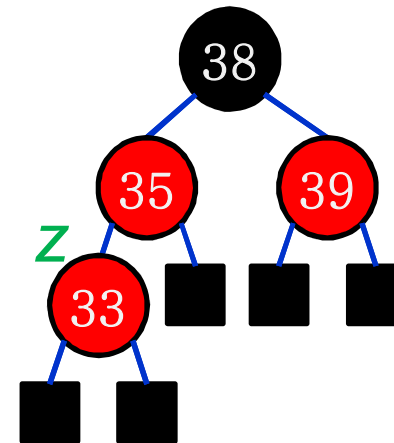
3. Every **leaf** (NIL) is **black** OK!

4. If a node is red, then both its children are black

If $p(z)$ is red \Rightarrow **not OK**
 z and $p(z)$ are both red

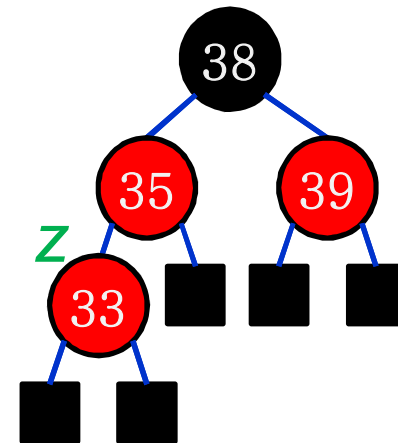
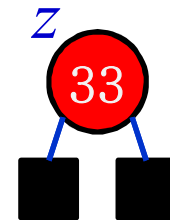
OK!

5. For each node, all paths from the node to descendant leaves contain the same number of black nodes



Red-Black Properties Affected by Insert

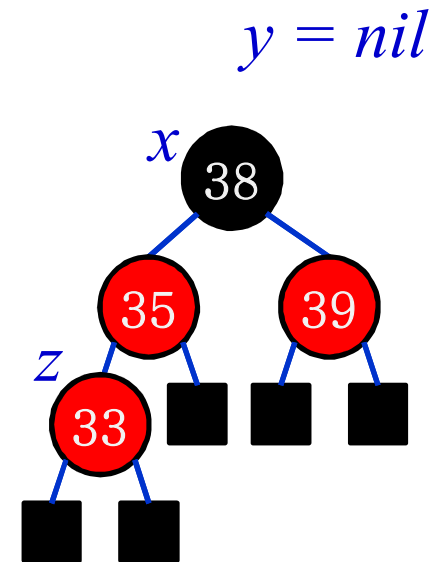
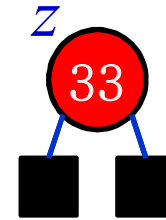
- The RB-Insert(T, z) can violate two properties
 - Root property
 - ◆ If z is the root.
 - Internal Property
 - ◆ If $p[z]$ is red.
- After each insert there is at most one violation



Red-Black Trees: Insertion

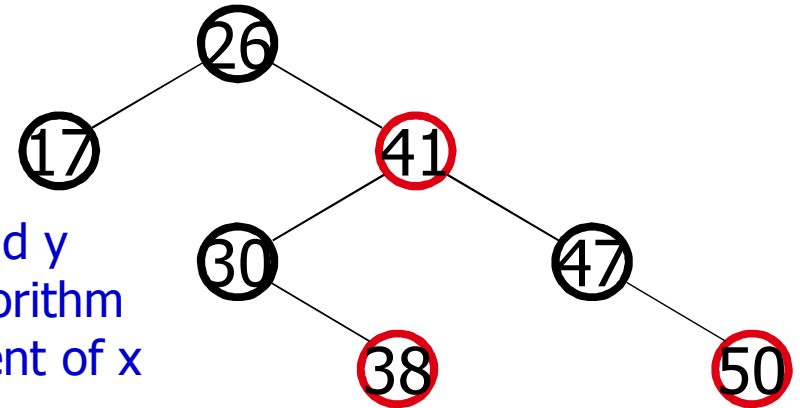
RB-Insert(T, z)

```
1   $y \leftarrow nil$ 
2   $x \leftarrow root[T]$ 
3  while  $x \neq nil$  do
4       $y \leftarrow x$ 
5      if  $key[z] < key[x]$  then  $x \leftarrow left[x]$ 
6      else  $x \leftarrow right[x]$ 
7   $p[z] \leftarrow y$ 
8  if  $y = nil$  then  $root[T] \leftarrow z$ 
9  else if  $key[z] < key[y]$  then  $left[y] \leftarrow z$ 
10     else  $right[y] \leftarrow z$ 
11   $left[z] \leftarrow right[z] \leftarrow nil$ 
12   $color[z] \leftarrow red$ 
13  RB-Insert-Fixup( $T, z$ )
```

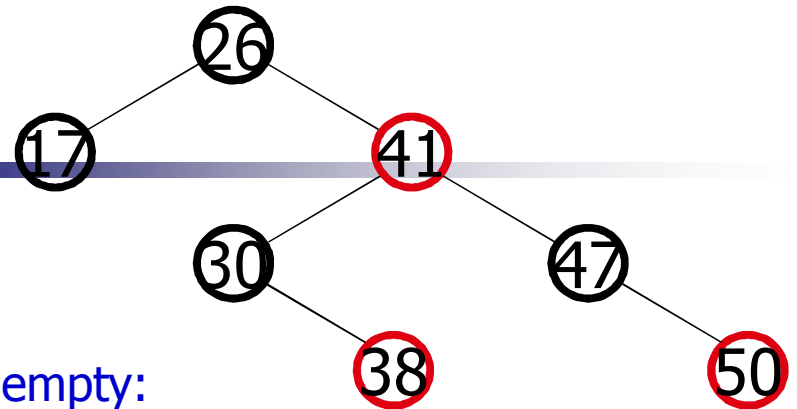


Red-Black Trees: Insertion

1. $y \leftarrow \text{NIL}$
 2. $x \leftarrow \text{root}[T]$
 3. **while** $x \neq \text{NIL}$
 4. **do** $y \leftarrow x$
 5. **if** $\text{key}[z] < \text{key}[x]$
 6. **then** $x \leftarrow \text{left}[x]$
 7. **else** $x \leftarrow \text{right}[x]$
 8. $p[z] \leftarrow y$
- Initialize nodes x and y
 - Throughout the algorithm y points to the parent of x
 - Go down the tree until reaching a leaf
 - At that point y is the parent of the node to be inserted
 - Sets the parent of z to be y



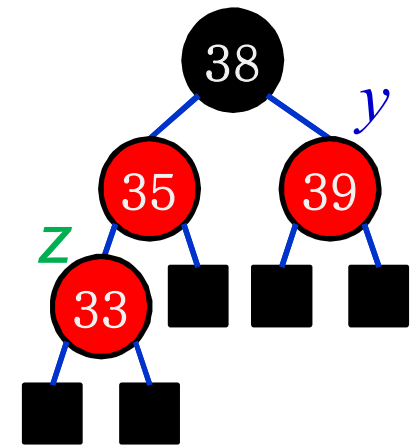
RB-Insert(T, z)



9. if $y = \text{NIL}$
 10. then $\text{root}[T] \leftarrow z$
 11. else if $\text{key}[z] < \text{key}[y]$
 12. then $\text{left}[y] \leftarrow z$
 13. else $\text{right}[y] \leftarrow z$
 14. $\text{left}[z] \leftarrow \text{NIL}$
 15. $\text{right}[z] \leftarrow \text{NIL}$
 16. $\text{color}[z] \leftarrow \text{RED}$
 17. **RB-INSERT-FIXUP(T, z)**
- The tree was empty:
set the new node to be the root
- Otherwise, set z to be the left or right child of y , depending on whether the inserted node is smaller or larger than y 's key
- Set the fields of the newly added node
- Fix any inconsistencies that could have been introduced by adding this new red node

Red-Black Trees: Insert-Fixup

- **Problem:** We may have one pair of consecutive reds where we did the insertion [**Internal Property** violation].
- **Solution:** rotate and move it up.
 - 6 cases have to be handled, 3 of which are symmetric to the other 3.
 - We consider the 3 cases in which $p[z]$ is a left child.
 - The other 3 cases in which $p[z]$ is a right child can be handled similarly.



Let y be z 's uncle ($p[z]$'s sibling).

Red-Black Trees: Insert-Fixup (Case 1)

Case 1:

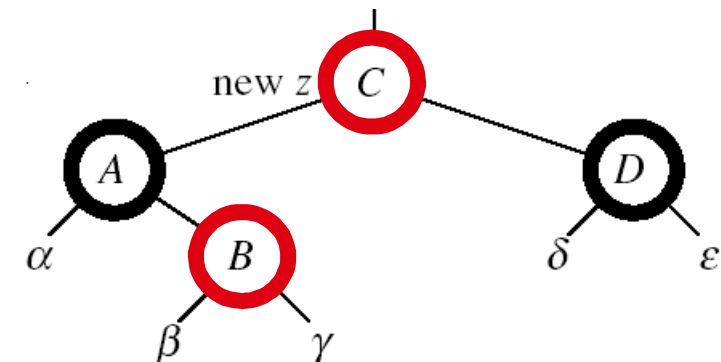
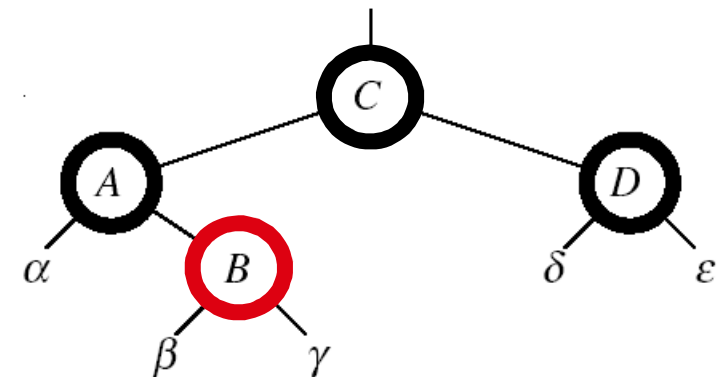
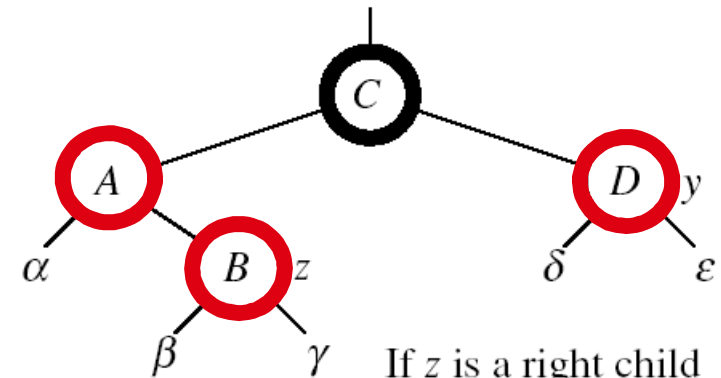
z 's "uncle" (y) is **red**

Idea: (z is a right child)

- $p[p[z]]$ (z 's grandparent) must be black: z and $p[z]$ are both red

- Color $p[z]$ black
- Color y black
- Color $p[p[z]]$ **red**
- $z = p[p[z]]$

- Push the "**red**" violation up the tree



Red-Black Trees: Insert-Fixup (Case 1)

Case 1:

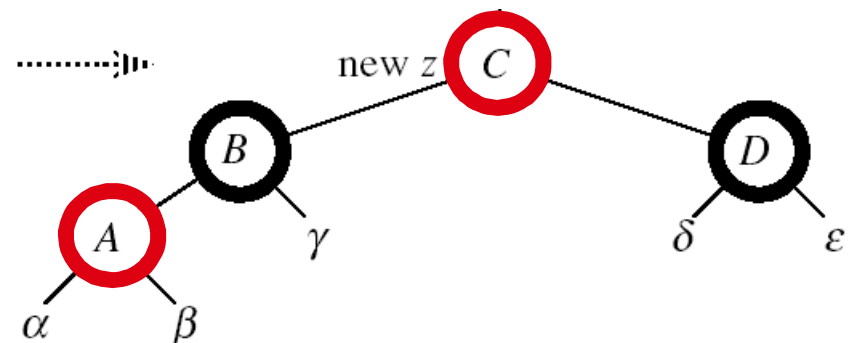
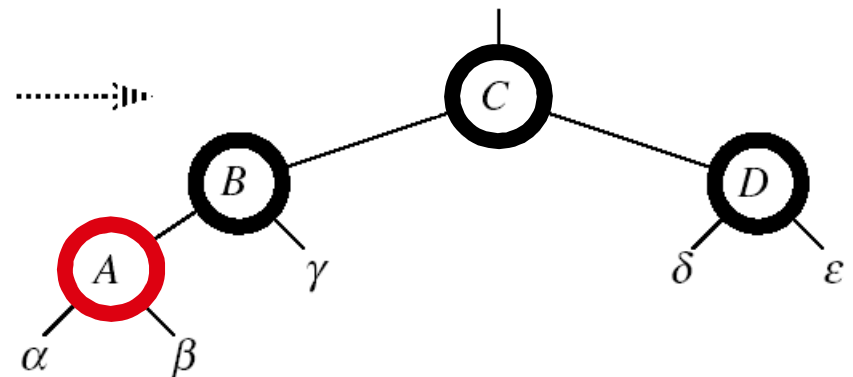
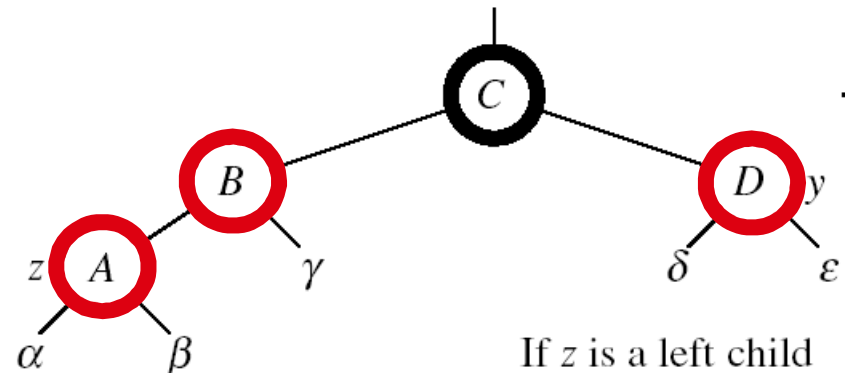
z 's "uncle" (y) is **red**

Idea: (z is a left child)

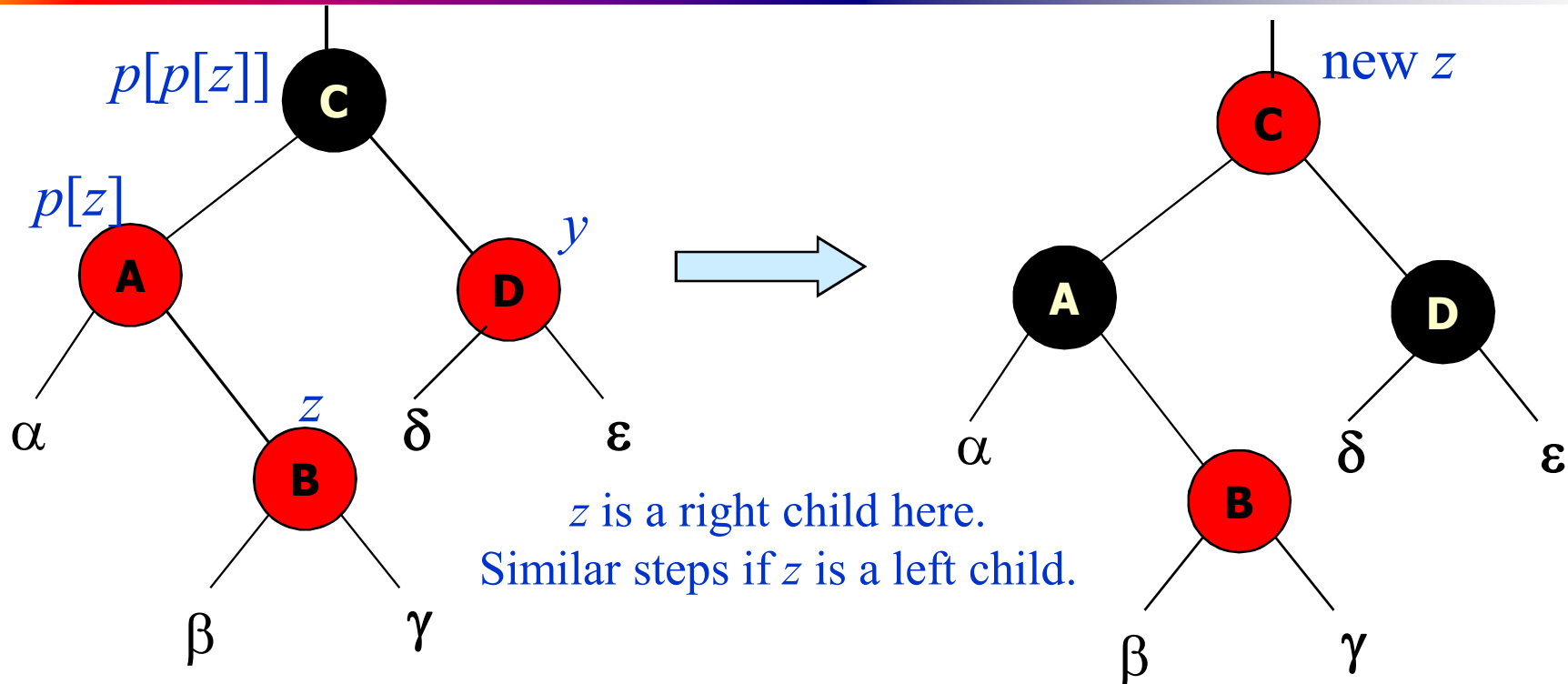
- $p[p[z]]$ (z 's grandparent) must be black: z and $p[z]$ are both red

- $\text{color } p[z] \leftarrow \text{black}$
- $\text{color } y \leftarrow \text{black}$
- $\text{color } p[p[z]] \leftarrow \text{red}$
- $z = p[p[z]]$

- Push the "**red**" violation up the tree



Red-Black Trees: Insert-Fixup (Case 1)



- $p[p[z]]$ (z 's grandparent) must be black, since z and $p[z]$ are both red and there are no other violations of property 4.
- Make $p[z]$ and y black \Rightarrow now z and $p[z]$ are not both red. But property 5 might now be violated.
- Make $p[p[z]]$ red \Rightarrow restores property 5.
- The next iteration has $p[p[z]]$ as the new z (i.e., z moves up 2 levels).

Red-Black Trees: Insert-Fixup (Case 2)

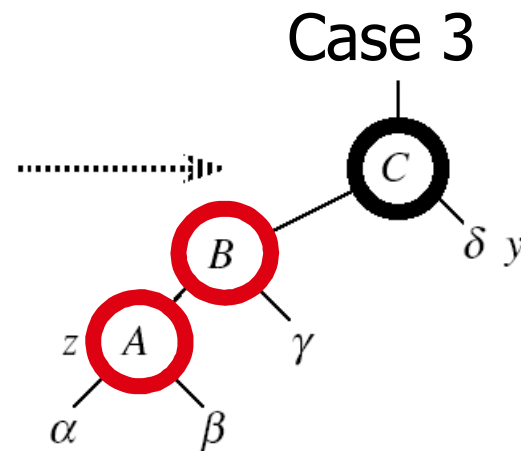
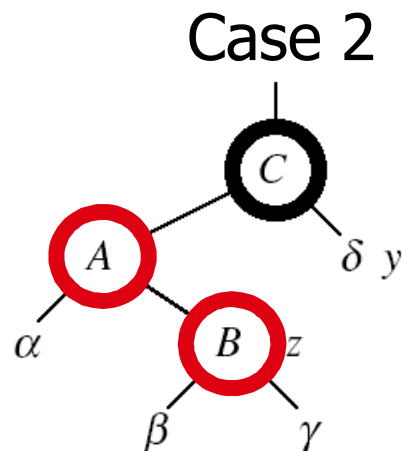
Case 2:

- z 's “uncle” (y) is **black**
- z is a right child

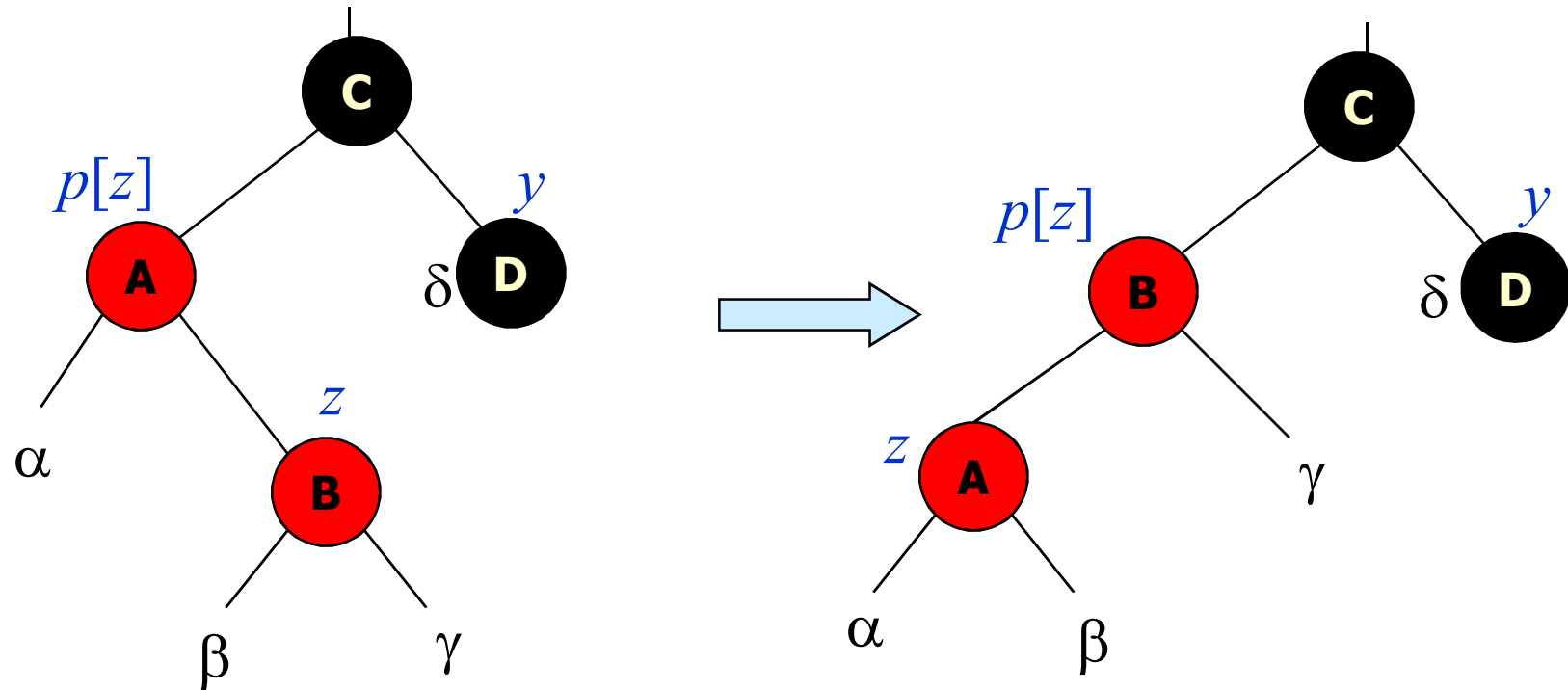
Idea:

- $z \leftarrow p[z]$
- $\text{LEFT-ROTATE}(\mathcal{T}, z)$

\Rightarrow now z is a left child, and both z and $p[z]$ are red \Rightarrow case 3



Red-Black Trees: Insert-Fixup (Case 2)



- Left rotate around $p[z]$.
- $p[z]$ and z switch roles \Rightarrow now z is a left child, and both z and $p[z]$ are red.
- Takes us immediately to case 3.

Red-Black Trees: Insert-Fixup (Case 3)

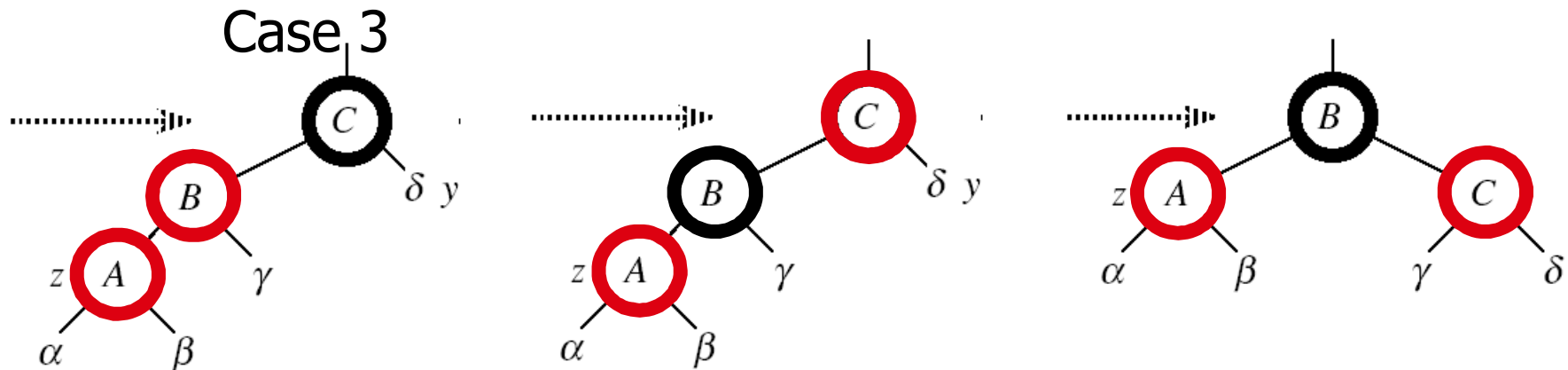
Case 3:

- z 's "uncle" (y) is **black**
- z is a left child

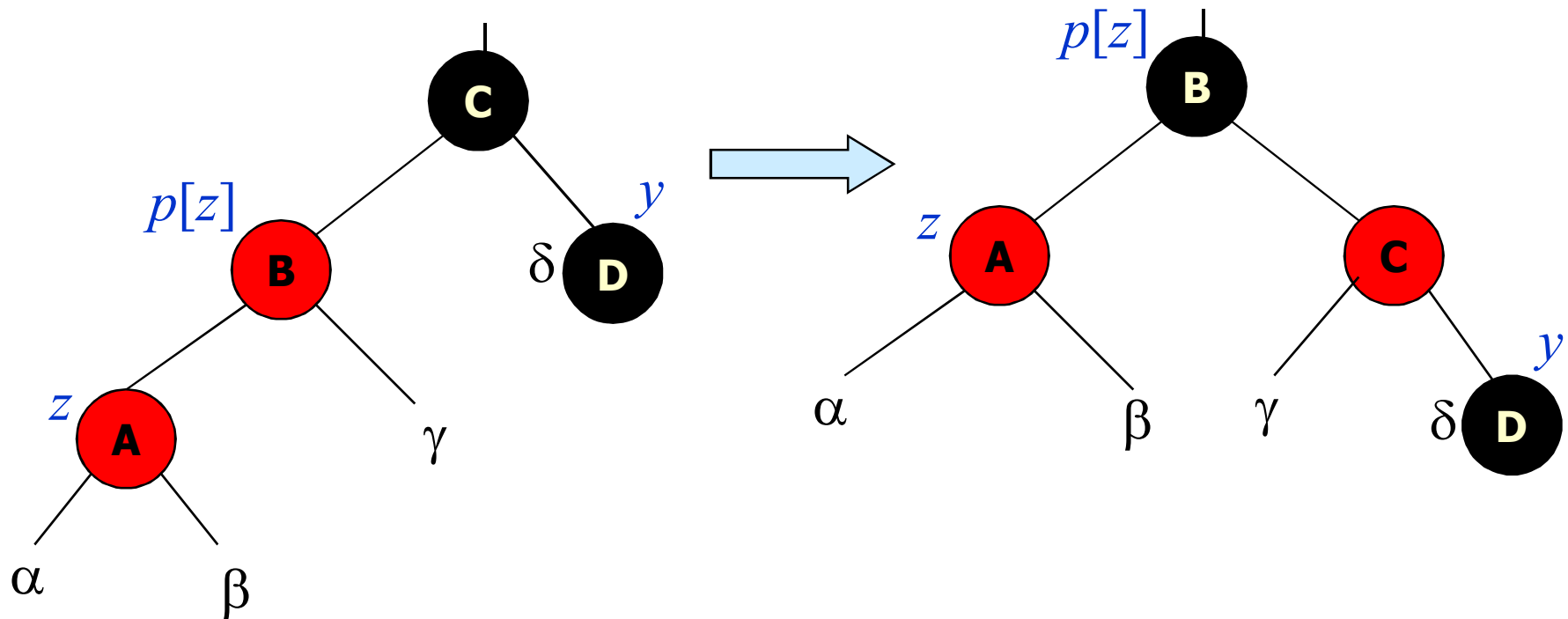
Idea:

- $\text{color } p[z] \leftarrow \text{black}$
- $\text{color } p[p[z]] \leftarrow \text{red}$
- $\text{RIGHT-ROTATE}(T, p[p[z]])$

- No longer have 2 reds in a row
- $p[z]$ is now black



Red-Black Trees: Insert-Fixup (Case 3)



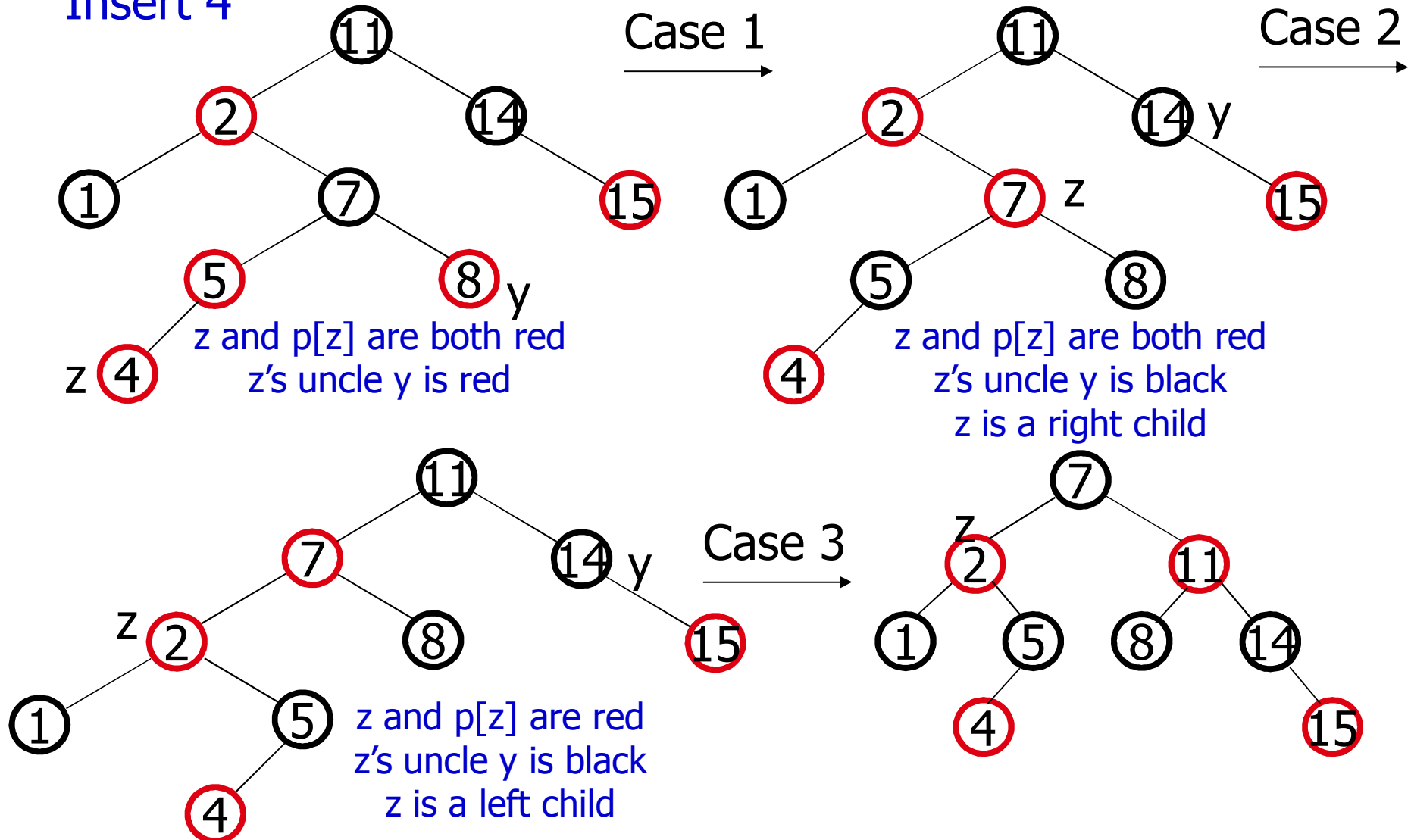
- Make $p[z]$ black and $p[p[z]]$ red.
- Then right rotate on $p[p[z]]$. Ensures property 4 is maintained.
- No longer have 2 reds in a row.
- $p[z]$ is now black \Rightarrow no more iterations.

Red-Black Trees: Insert-Fixup (Example)

Insert 4

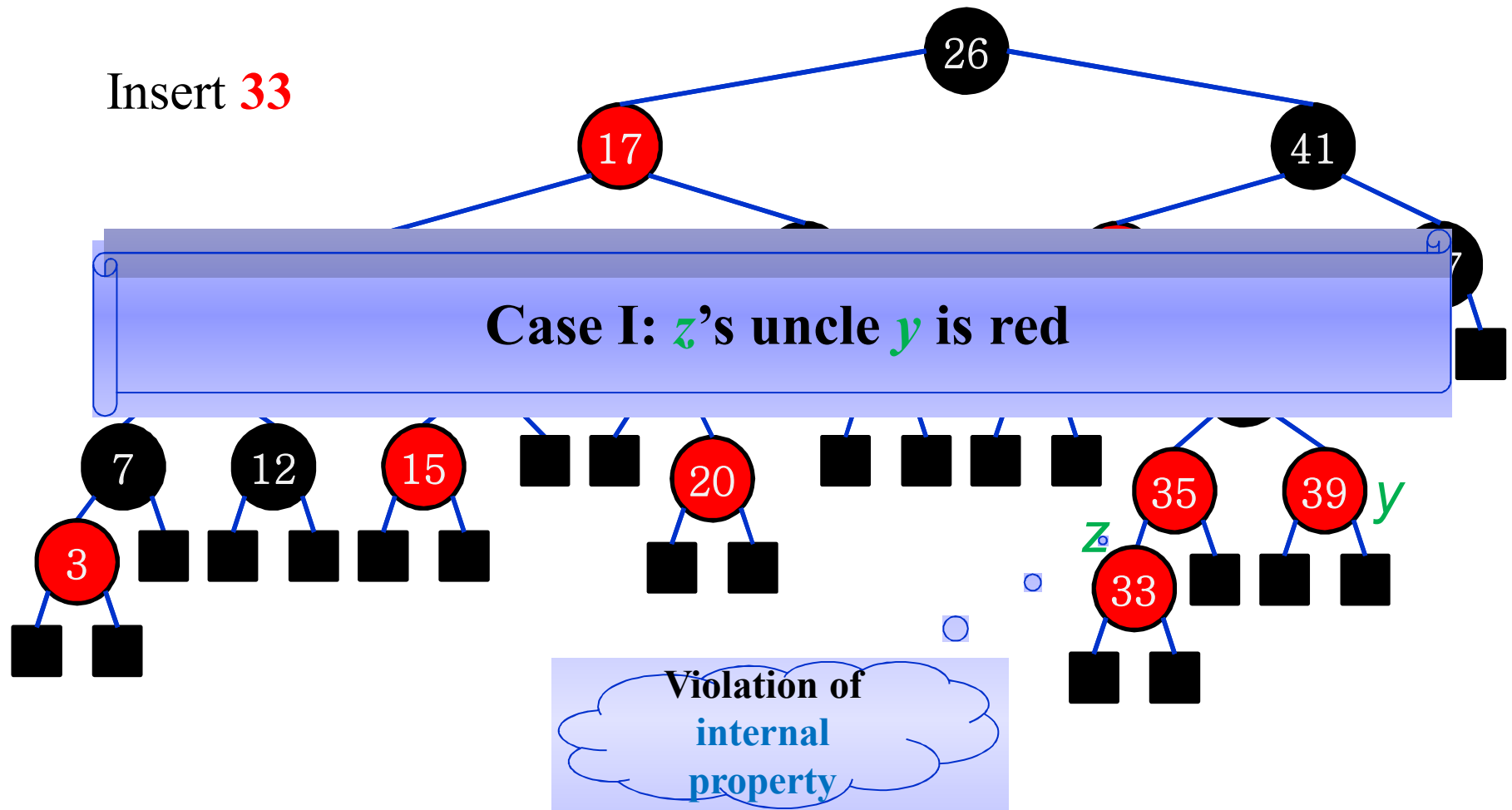
Case 1

Case 2



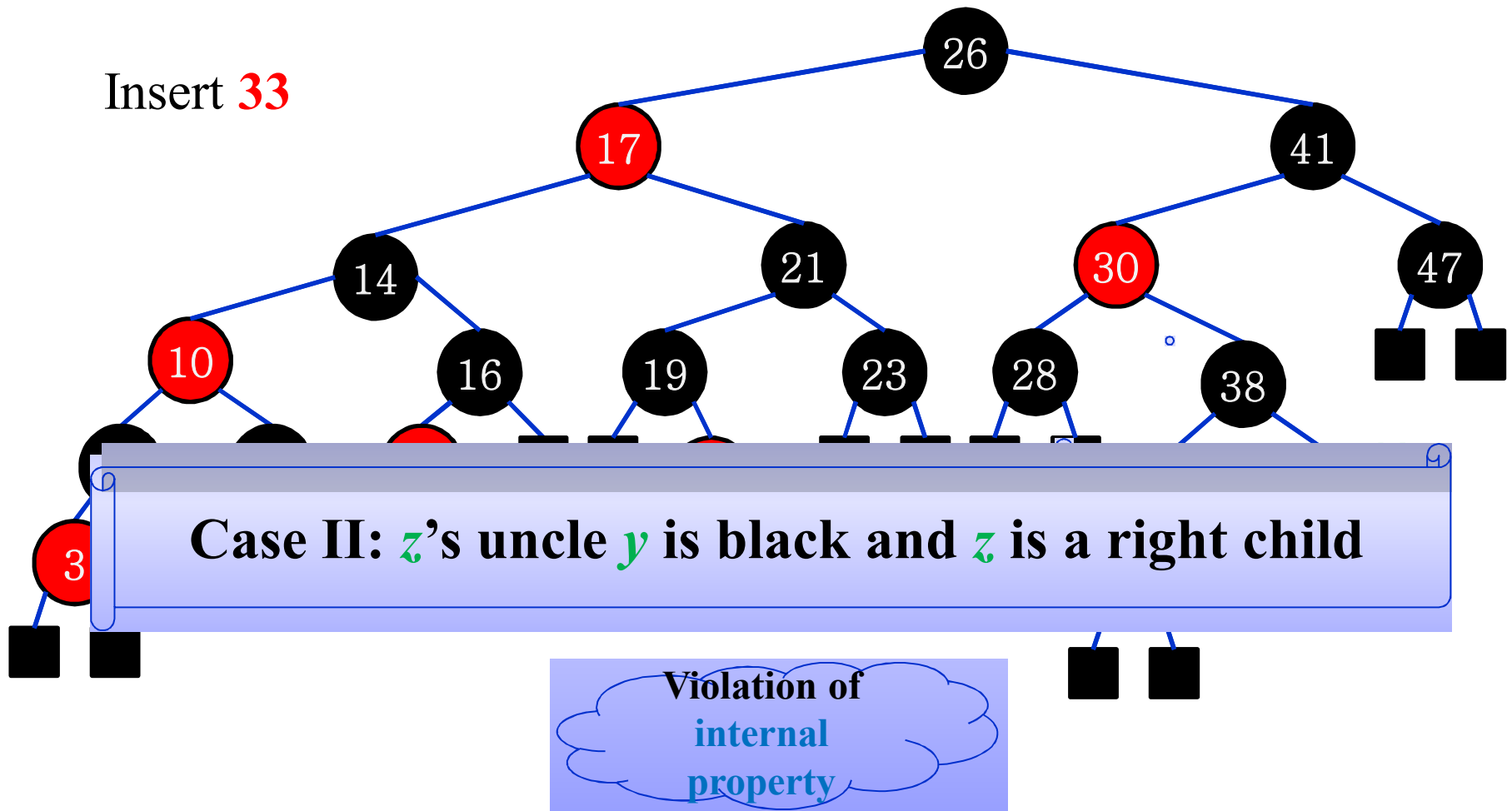
Red-Black Trees: Insert-Fixup

Insert **33**

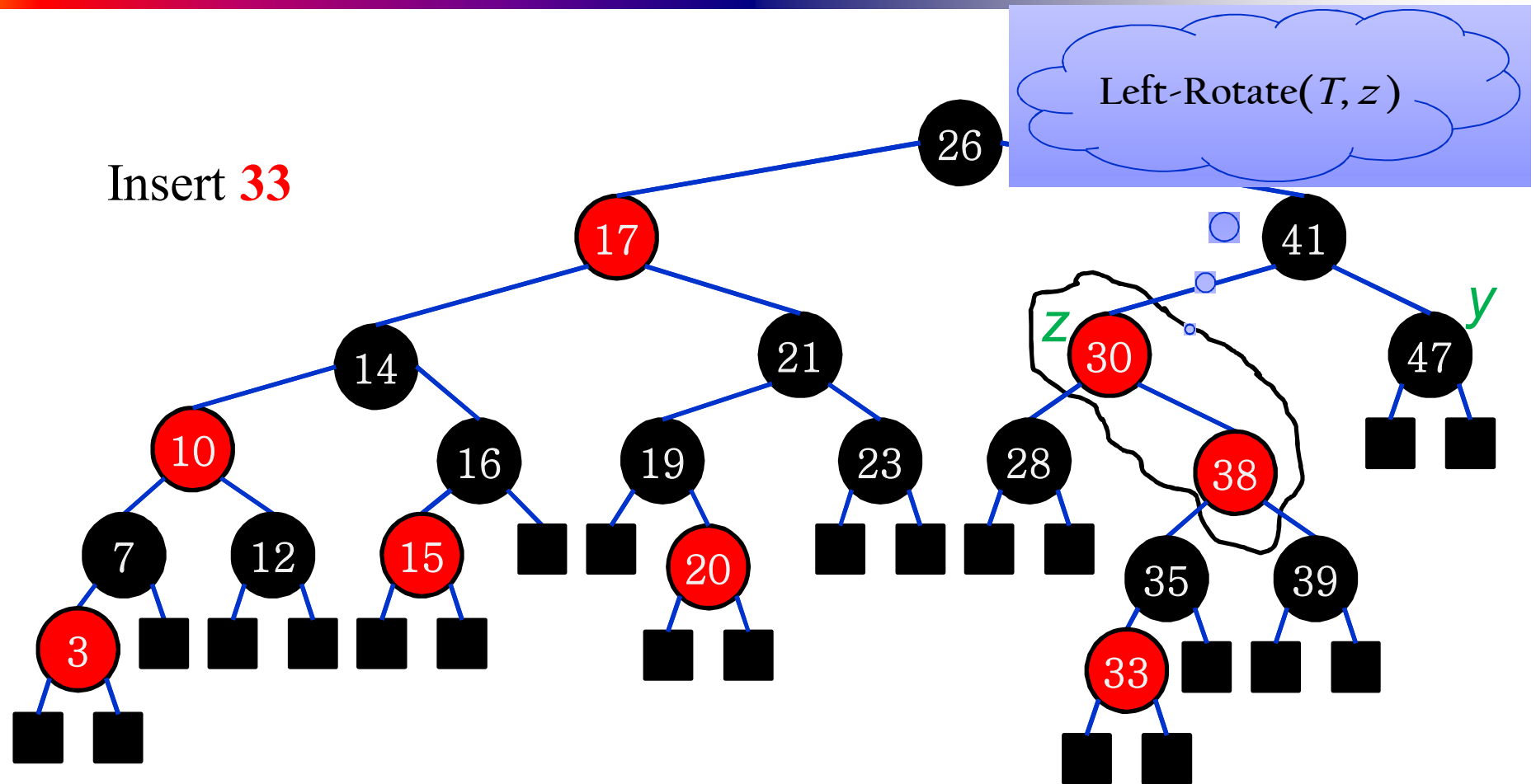


Red-Black Trees: Insert-Fixup

Insert **33**

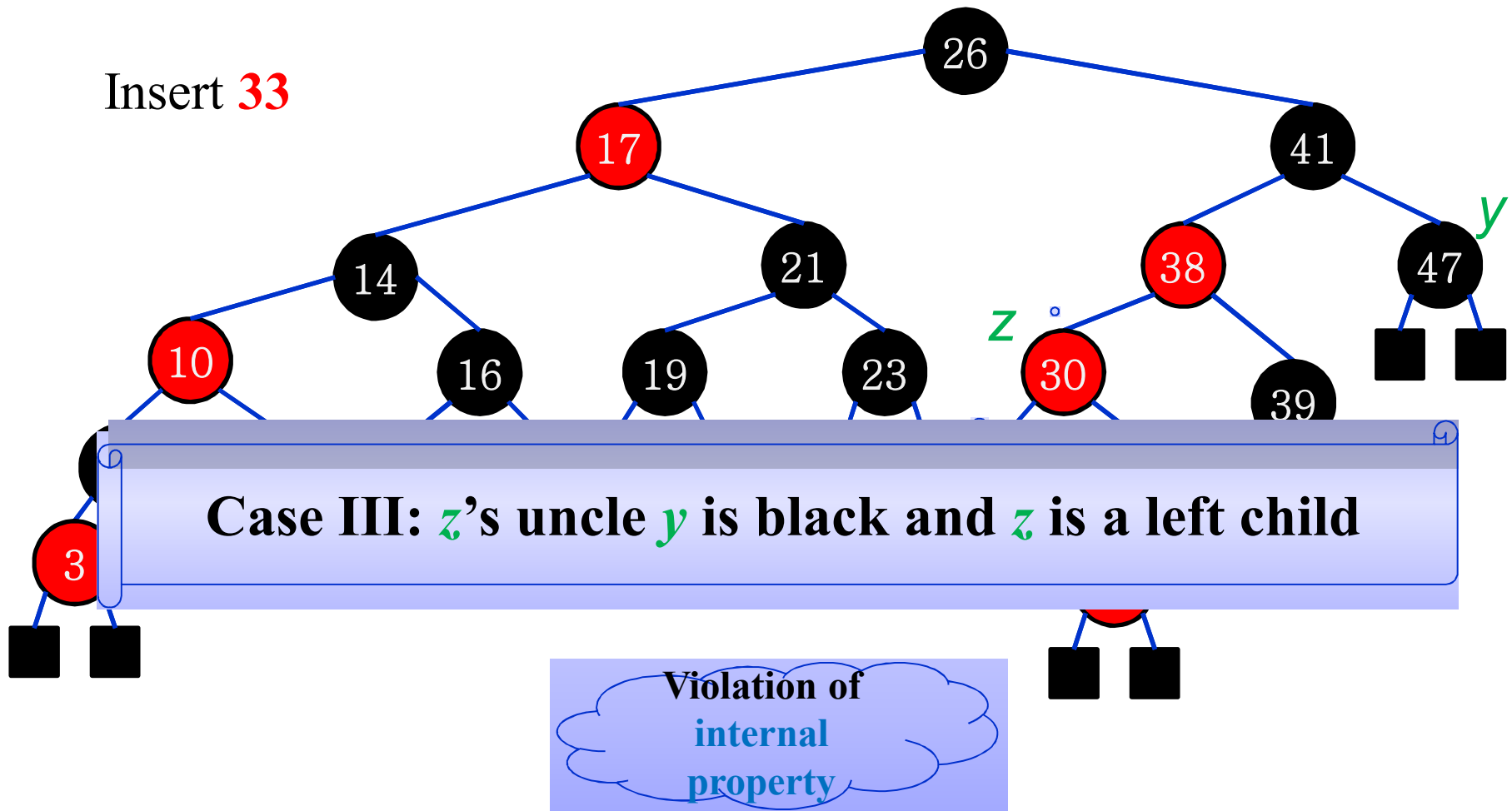


Red-Black Trees: Insert-Fixup



Red-Black Trees: Insert-Fixup

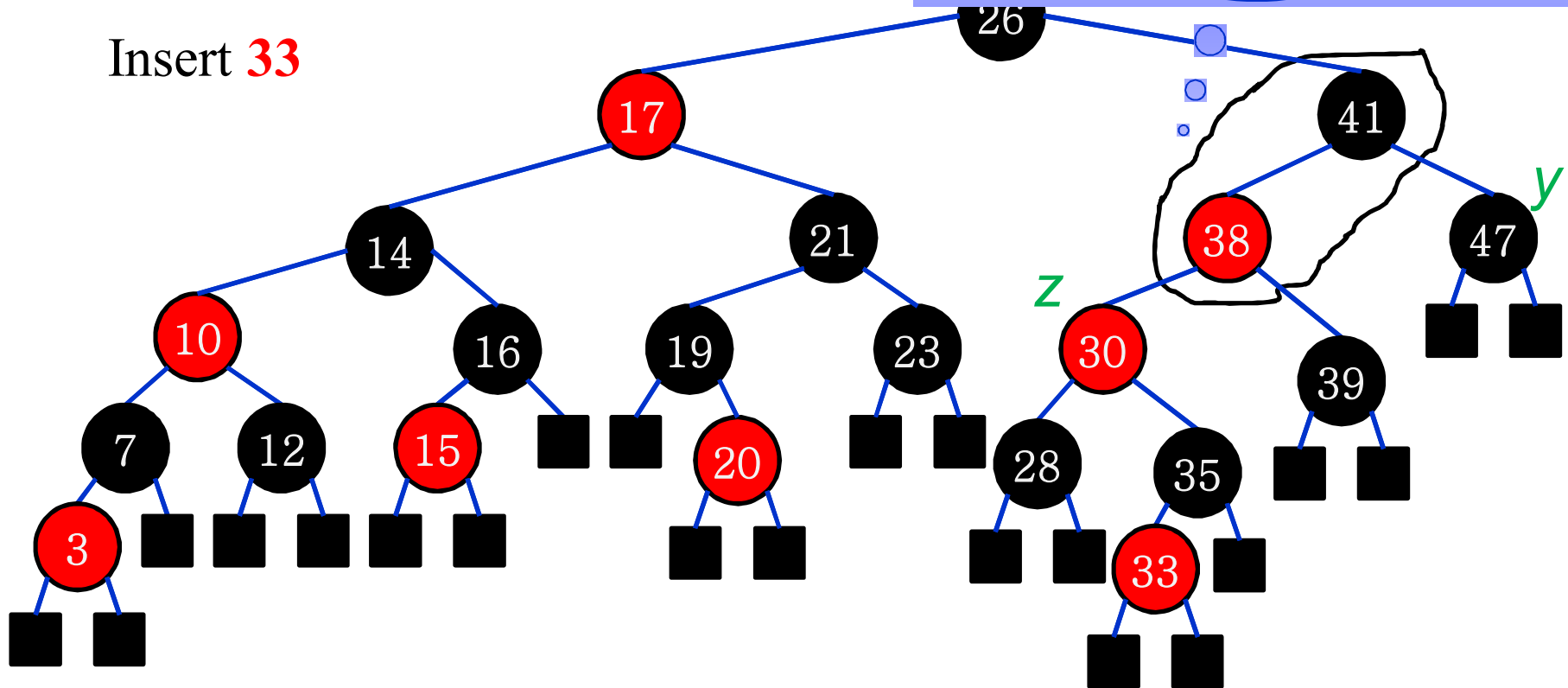
Insert **33**



Red-Black Trees: Insert-Fixup

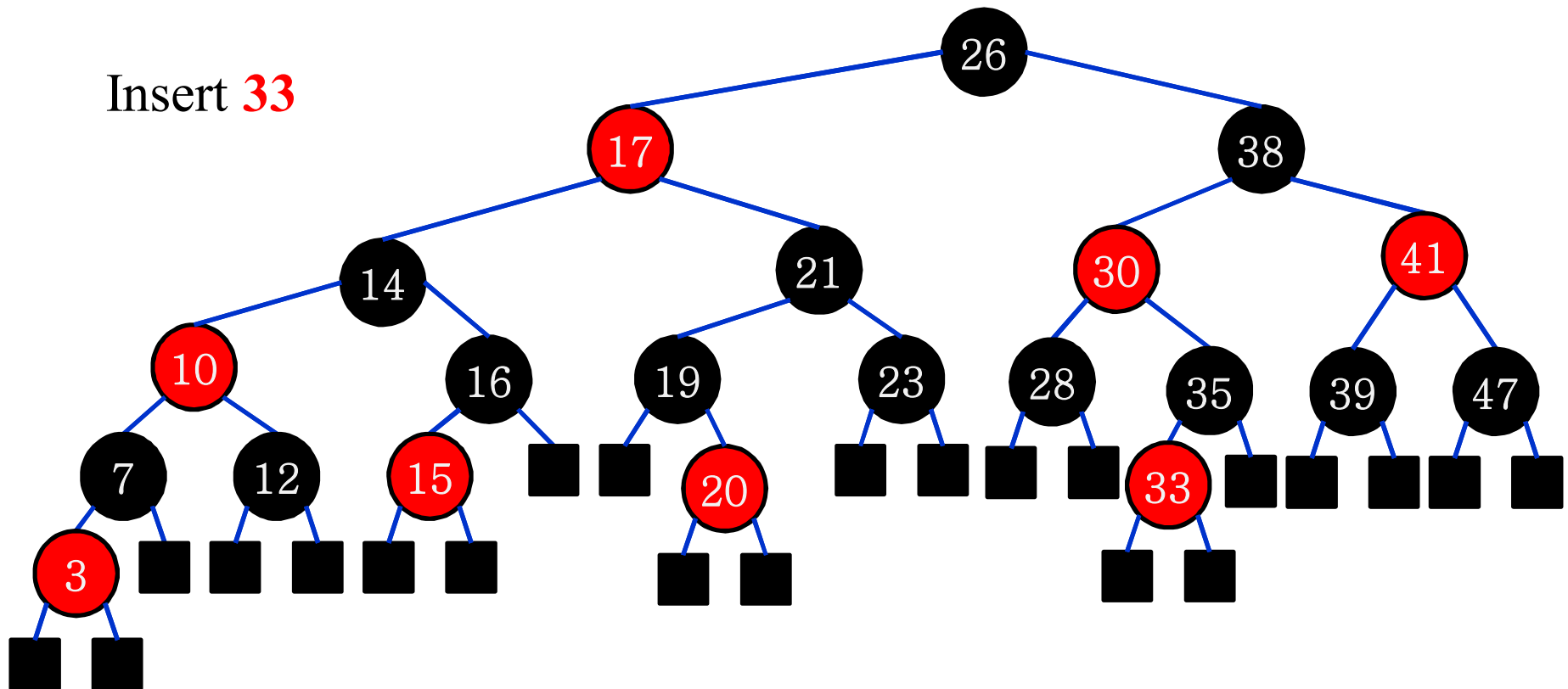
Right-Rotate($T, p[p[z]/l]$)

Insert **33**



Red-Black Trees: Insert-Fixup

Insert **33**



Red-Black Trees: Insert-Fixup

RB-Insert-Fixup(T, z)

```
1  while  $color[p[z]] == \text{red}$  do
2      if  $p[z] == \text{left}[p[p[z]]]$  then
3           $y = \text{right}[p[p[z]]]$           \\ set  $y$  as  $z$ 's uncle
4          if  $color[y] == \text{red}$  then      \\ case I
5               $color[p[z]] \leftarrow \text{black}$ 
6               $color[y] \leftarrow \text{black}$ 
7               $color[p[p[z]]] \leftarrow \text{red}$ 
8               $z = p[p[z]]$ 
9          else
10             if  $z == \text{right}[p[z]]$  then  \\ case II
11                  $z \leftarrow p[z]$ 
12                 Left-Rotate( $T, z$ )
13                  $color[p[z]] \leftarrow \text{black}$   \\ case III
14                  $color[p[p[z]]] \leftarrow \text{red}$ 
15                 Right-Rotate( $T, p[p[z]]$ )
16             else same as then clause of line 2 with left and right exchanged.
17   $color[\text{root}[T]] \leftarrow \text{black}$       \\ for root property
```

Red-Black Trees: Correctness of Insertion

Loop invariant:

- At the start of each iteration of the **while** loop in $\text{RB-Insert-Fixup}(T, z)$,
 - z is red.
 - If z is the root, then $p[z]$ is black [nil].
 - There is at most one red-black violation:
 - ◆ **Property 2:** z is a red root, or
 - ◆ **Property 4:** z and $p[z]$ are both red.

Red-Black Trees: Correctness of Insertion

- **Initialization:** ✓
- **Termination:**
 - The loop terminates only if $p[z]$ is black. Hence, Property 4 is OK.
 - The last line (Line 17) ensures Property 2 always holds.
- **Maintenance:**
 - **Violation of Property 2:** We drop out when z is the root (since then $p[z]$ is *nil*, which is black).
 - **Violation of Property 4:** When we start the loop body, the only violation is of Property 4.

Red-Black Trees: Analysis of Insertion

- $O(\log n)$ time to get through RB-Insert up to the call of RB-Insert-Fixup.
- Within RB-Insert-Fixup:
 - Each iteration takes $O(1)$ time.
 - Each iteration but the last moves z up 2 levels.
 - $O(\log n)$ levels $\Rightarrow O(\log n)$ time.
- Thus, insertion in a red-black tree takes $O(\log n)$ time.

Red-Black Trees: Deletion

- Deletion, like insertion, should preserve all the Red-Black properties.
- The properties that may be violated depends on the color of the deleted node.
 - Red – OK. Why?
 - Black ???
- Steps:
 - Do regular BST deletion.
 - Fix any violations of Red-Black properties that may result.

Operations of BSTs: Delete

- Delete node z

- 3 cases:

- z has no children:

- ◆ Remove z

- z has one child:

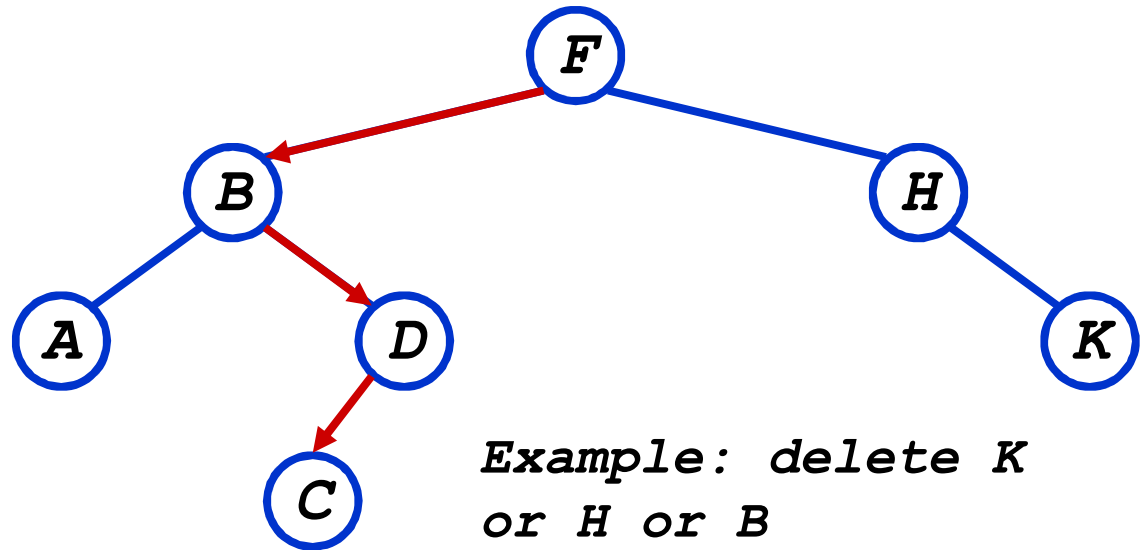
- ◆ Splice out z

- z has two children:

- ◆ Find its inorder successor y

- ◆ Replace z with y

- ◆ Delete y



y cannot have left child !

Red-Black Trees: Deletion

RB-Delete(T, z)

1 **if** $left[z] = nil$ or $right[z] = nil$ **then**

$y \leftarrow z$

2 **else** $y \leftarrow TREE_SUCCESSOR(z)$

3 **if** $left[y] \neq nil$ **then**

$x \leftarrow left[y]$

4 **else** $x \leftarrow right[y]$

5 $p[x] \leftarrow p[y]$

6 **if** $p[y] = nil$ **then**

$root[T] \leftarrow x$

7 **else if** $y = left[p[y]]$ **then**

$left[p[y]] \leftarrow x$

8 **else** $right[p[y]] \leftarrow x$

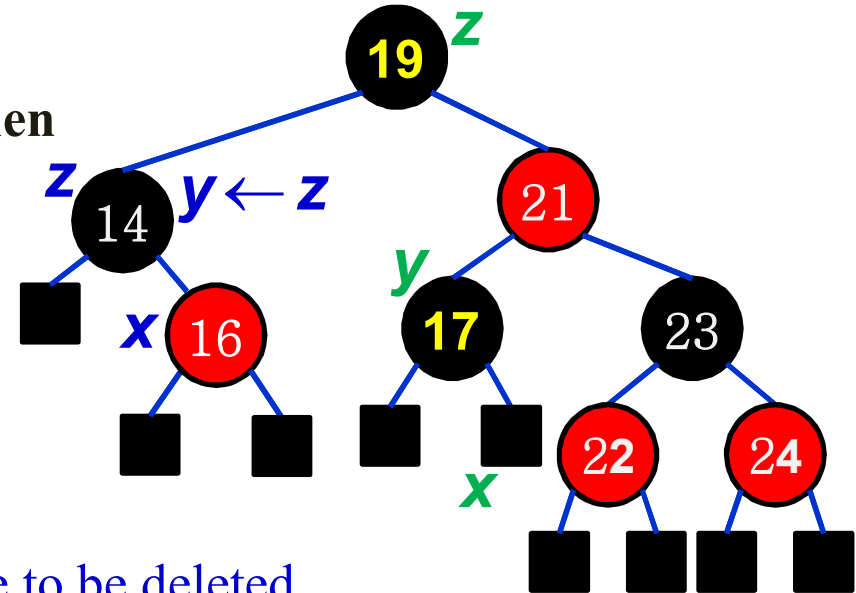
9 **if** $y \neq z$ **then**

$key[z] \leftarrow key[y]$

10 **if** $color[y] = black$ **then**

$RB_Delete_Fixup(T, x)$

11 **return** y



z : node to be deleted


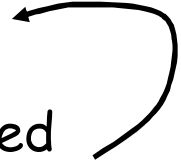

y : points to z when z has < 2 children and is deleted,

y : otherwise, points to z 's successor and will move to z 's position

x : node that moves to y 's original position

Red-Black Properties Affected by Delete

- If y is black, we could have violations of red-black properties:

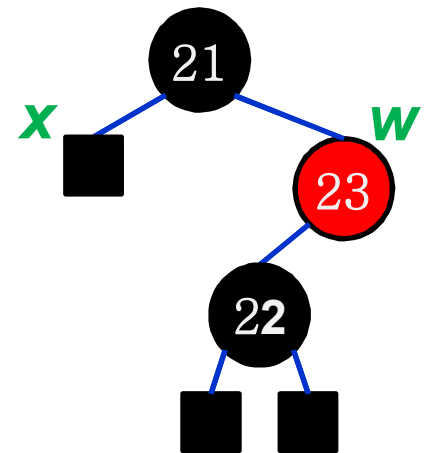
1. Every **node** is either **red** or **black** OK!
2. The **root** is **black**  If y is the root and x is red, then the root has become red.
3. Every **leaf (NIL)** is **black** OK! \Rightarrow **not OK**
4. If a node is red, then both its children are black  If $p[y]$ and x are both red \Rightarrow **not OK**
5. For each node, all paths from the node to descendant leaves contain the same number of black nodes  Any path containing y now has 1 fewer black node \Rightarrow **not OK**

Red-Black Properties Affected by Delete

- Violation of Prop. 5: Any path containing y now has 1 fewer black node.
 - Correct by giving x an “extra black.”
 - Add 1 to count of black nodes on paths containing x .
 - Now property 5 is OK, but property 1 is not.
 - x is either *doubly black* (if $color[x] = \text{BLACK}$) or *red & black* (if $color[x] = \text{RED}$).
 - The attribute $color[x]$ is still either RED or BLACK. No new values for $color$ attribute.
 - In other words, the extra blackness on a node is by virtue of x pointing to the node.
- Remove the violations by calling **RB-Delete-Fixup**.

Red-Black Trees: Delete-Fixup

- **Idea:** Move the extra black up the tree until x points to a red & black node \Rightarrow turn it into a black node,
- x points to the root \Rightarrow just remove the extra black, or
- We can do certain rotations and recoloring and finish.
- Within the **while** loop:
 - x always points to a nonroot *doubly black* node.
 - w is x 's sibling.
 - w cannot be *nil*, since that would violate Property 5 at $p[x]$.
- 8 cases in all, 4 of which are symmetric to the other.

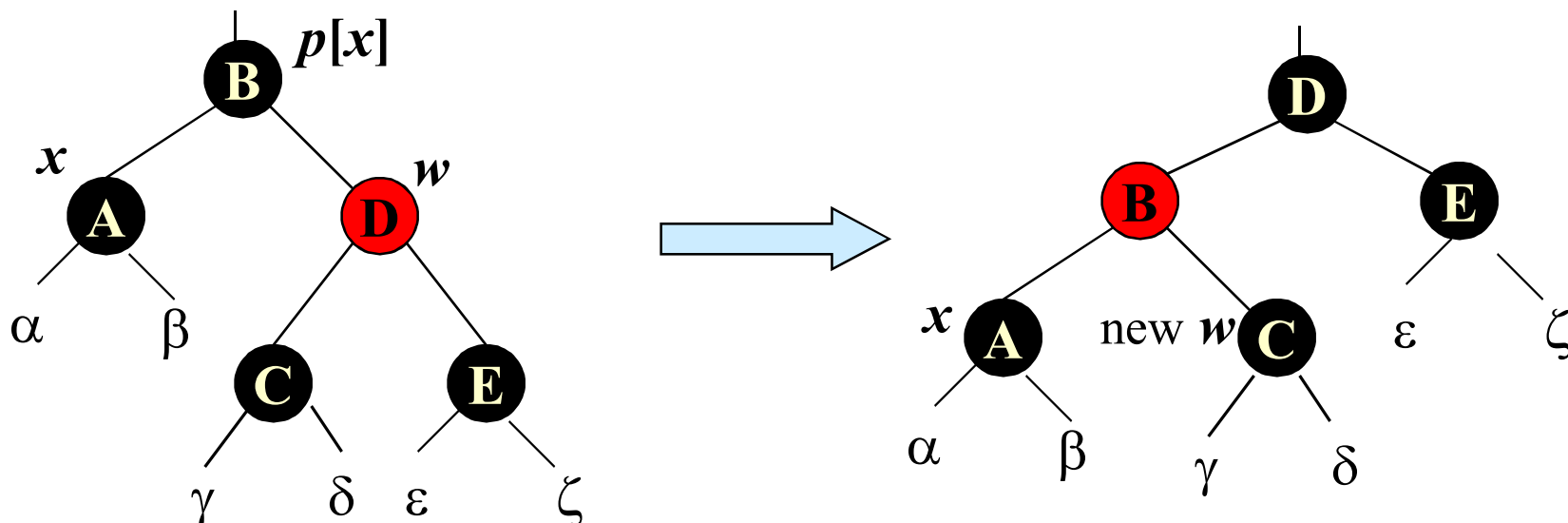


RB-Delete-Fixup: Case 1 – w is red

After removing or moving the black node y , we push its blackness onto x

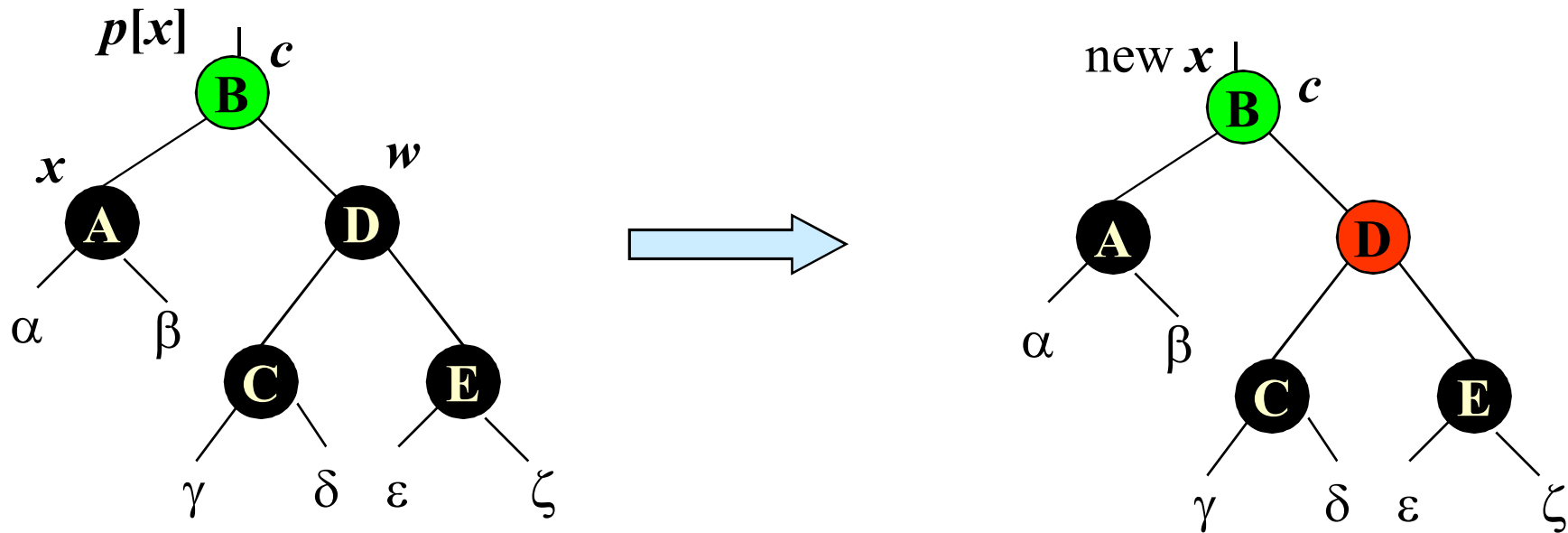
x : now a nonroot doubly black node,

w : sibling of x



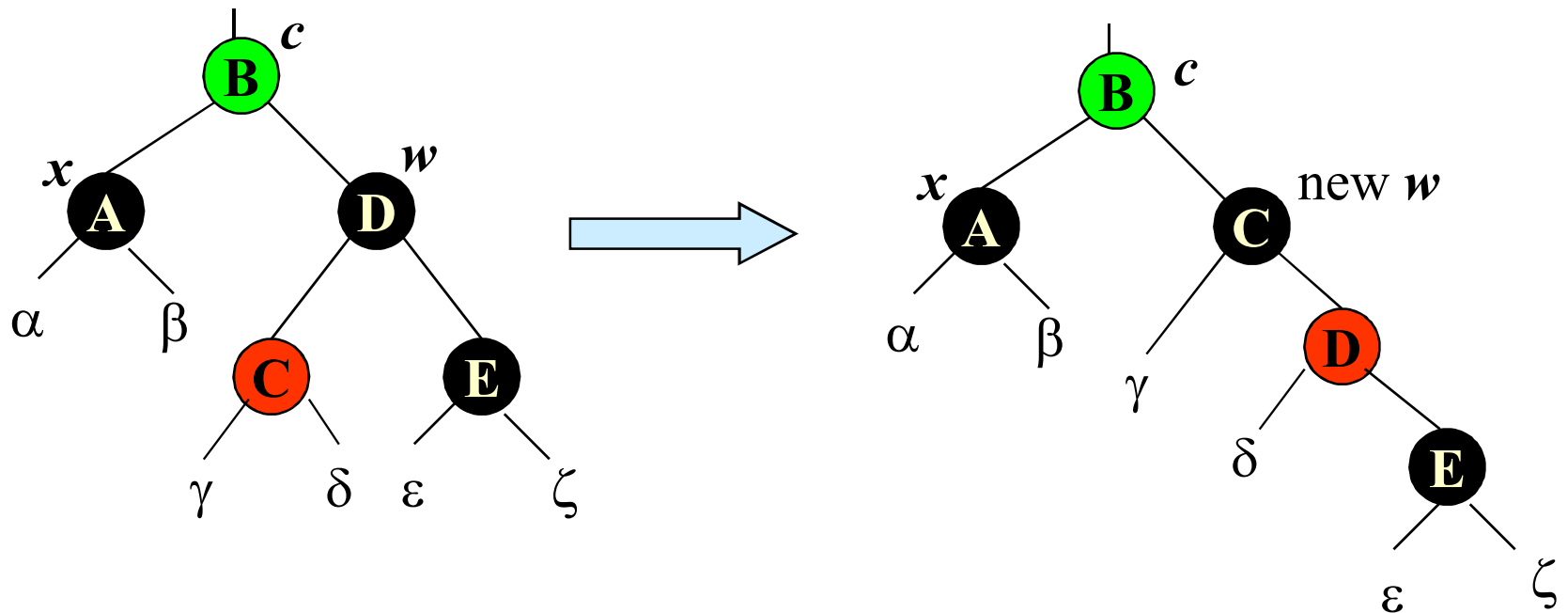
- w must have black children.
- Make w black and $p[x]$ red (because w is red $p[x]$ couldn't have been red).
- Then left rotate on $p[x]$.
- New sibling of x was a child of w before rotation \Rightarrow must be black.
- Go immediately to case 2, 3, or 4.

RB-Delete-Fixup: Case 2 – w is black, both w 's children are black



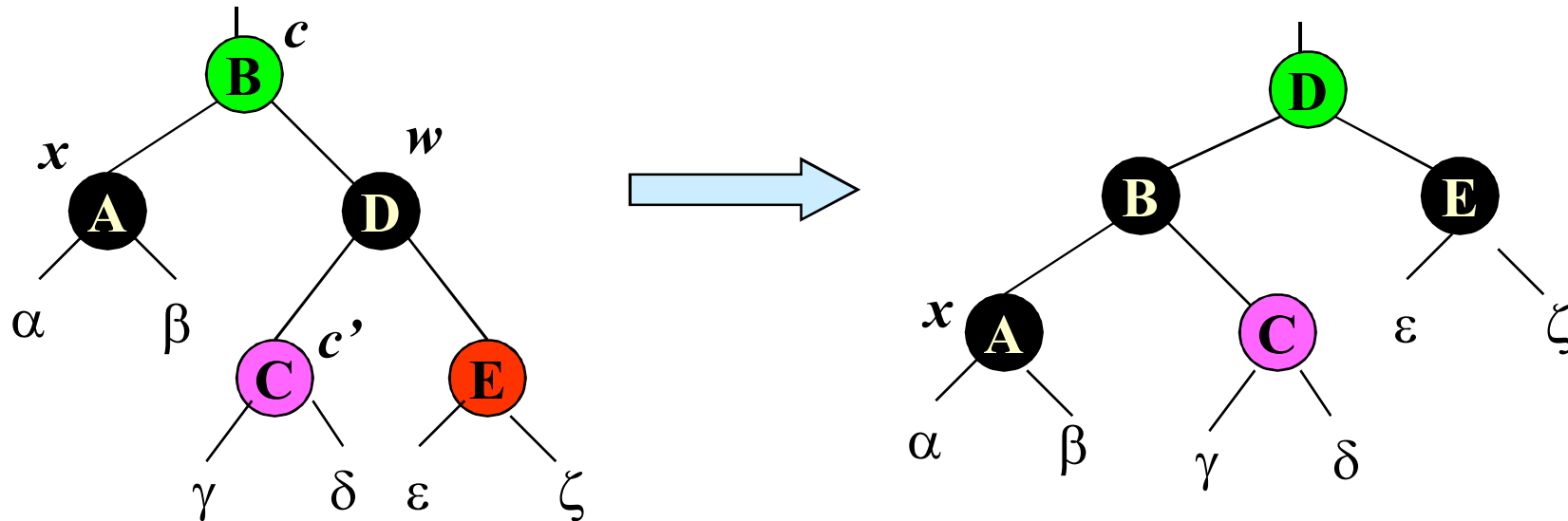
- Take 1 black off x (\Rightarrow singly black) and off w (\Rightarrow red).
- Move that black to $p[x]$.
- Do the next iteration with $p[x]$ as the new x .
- If entered this case from case 1, then $p[x]$ was red \Rightarrow
new x is red & black \Rightarrow
color attribute of new x is RED \Rightarrow loop terminates.
Then new x is made black in the last line.

RB-Delete-Fixup: Case 3 – w is black, w 's left child is red, w 's right child is black



- Make w red and w 's left child black.
- Then right rotate on w .
- New sibling w of x is black with a red right child \Rightarrow Case 4.

RB-Delete-Fixup: Case 4 – w is black, w 's right child is red

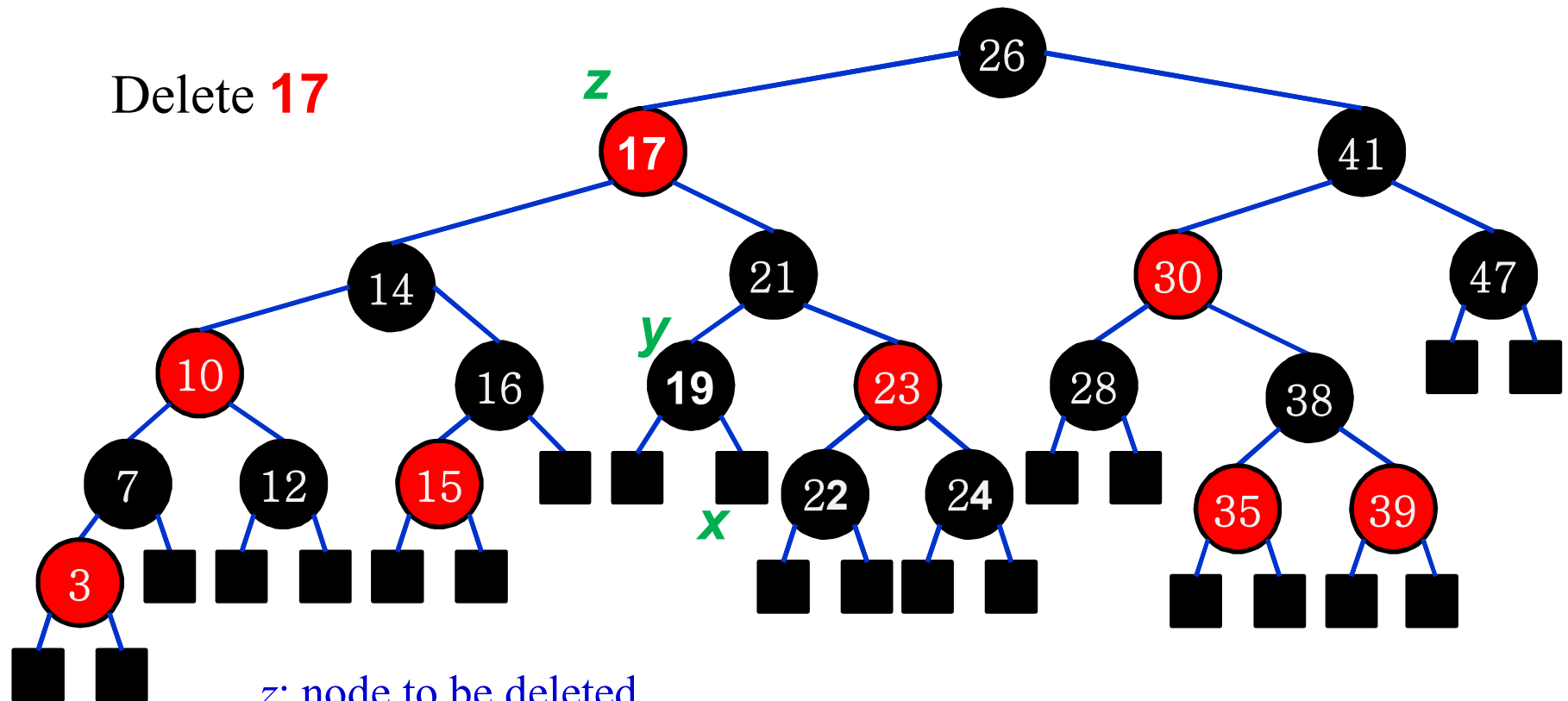


- Make w be $p[x]$'s color (c).
- Make $p[x]$ black and w 's right child black.
- Then left rotate on $p[x]$.
- Remove the extra black on x ($\Rightarrow x$ is now singly black) without violating any red-black properties.
- All done. Setting x to root causes the loop to terminate.

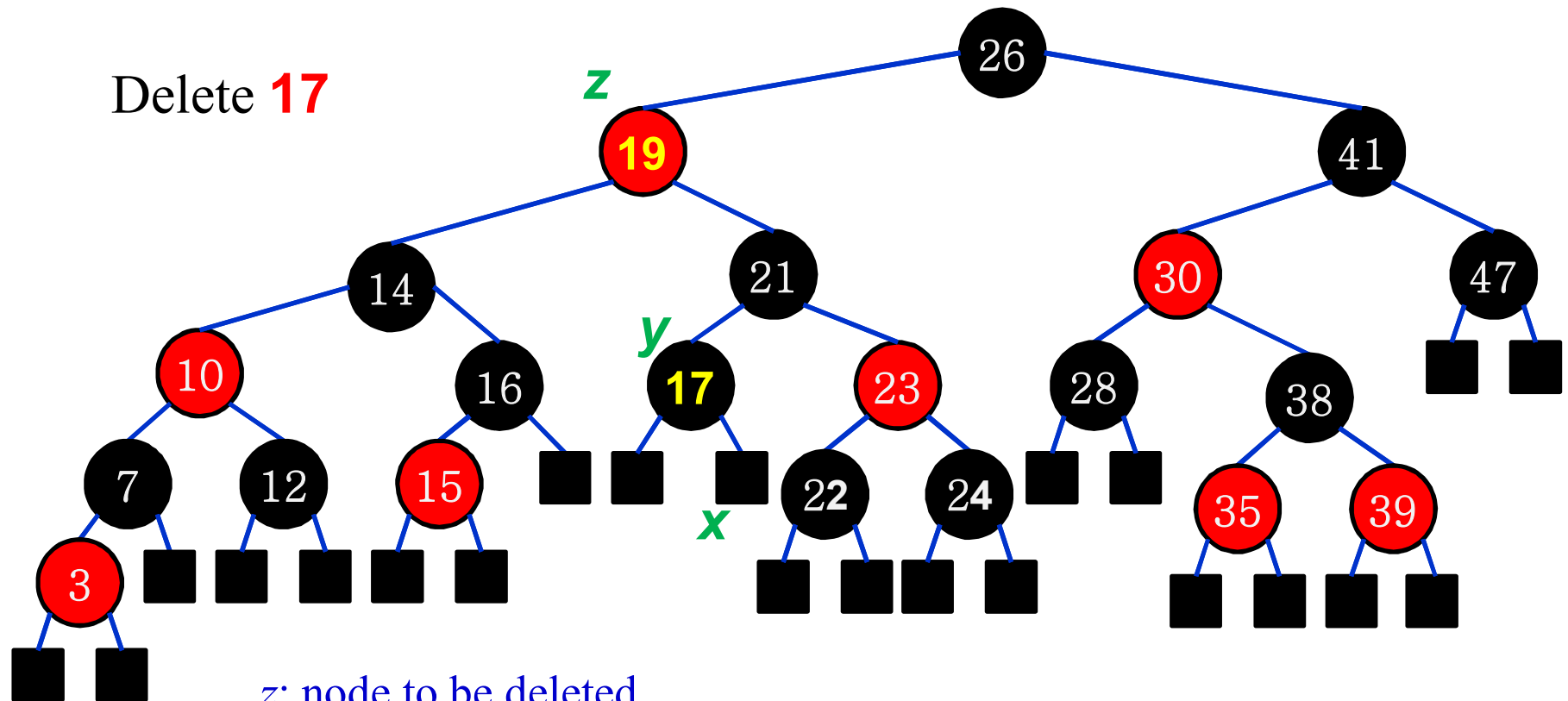
Red-Black Trees: Analysis of Deletion

- $O(\log n)$ time to get through RB-Delete up to the call of RB-Delete-Fixup.
- Within RB-Delete-Fixup:
 - Case 2 is the only case in which more iterations occur.
 - ◆ x moves up 1 level.
 - ◆ Hence, $O(\log n)$ iterations.
 - Each of cases 1, 3, and 4 has 1 rotation
 $\Rightarrow \leq 3$ rotations in all.
 - Hence, $O(\log n)$ time.

Red-Black Trees: Delete-Fixup



Red-Black Trees: Delete-Fixup

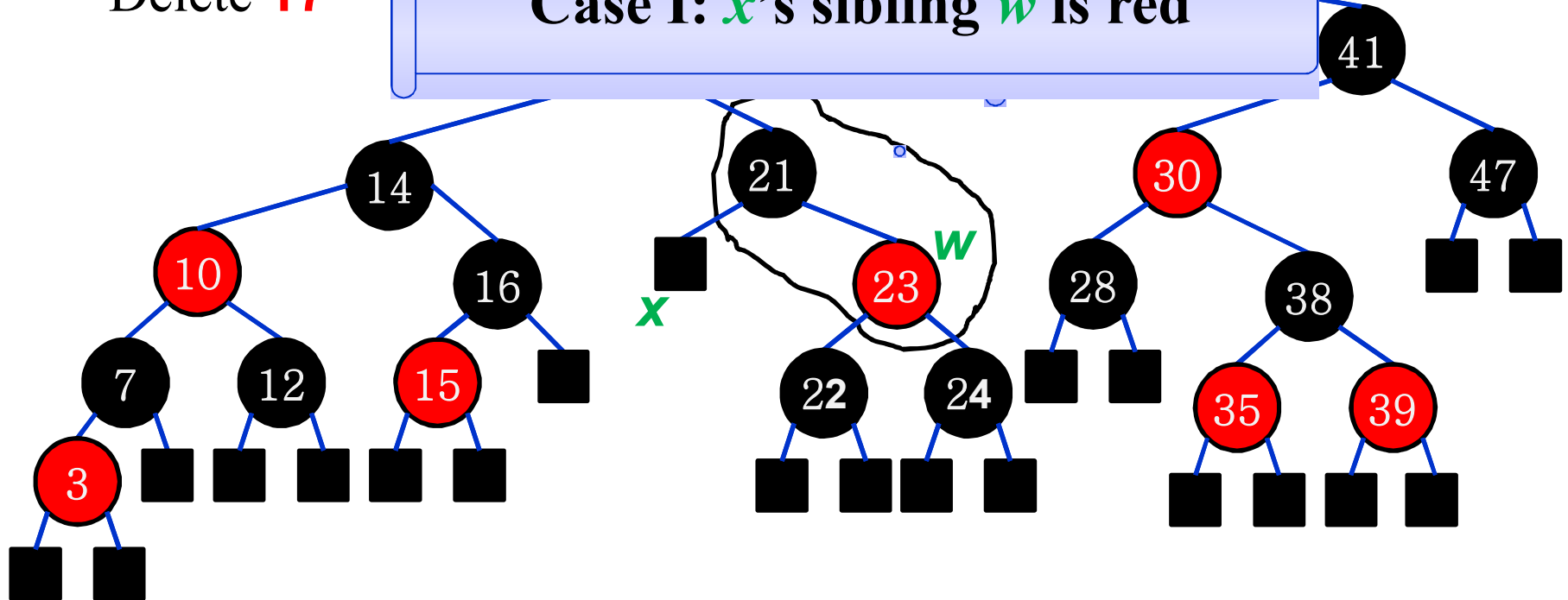


Red-Black Trees: Delete-Fixup

Left-Rotate($T, p[x]$)

Delete **17**

Case I: x 's sibling w is red



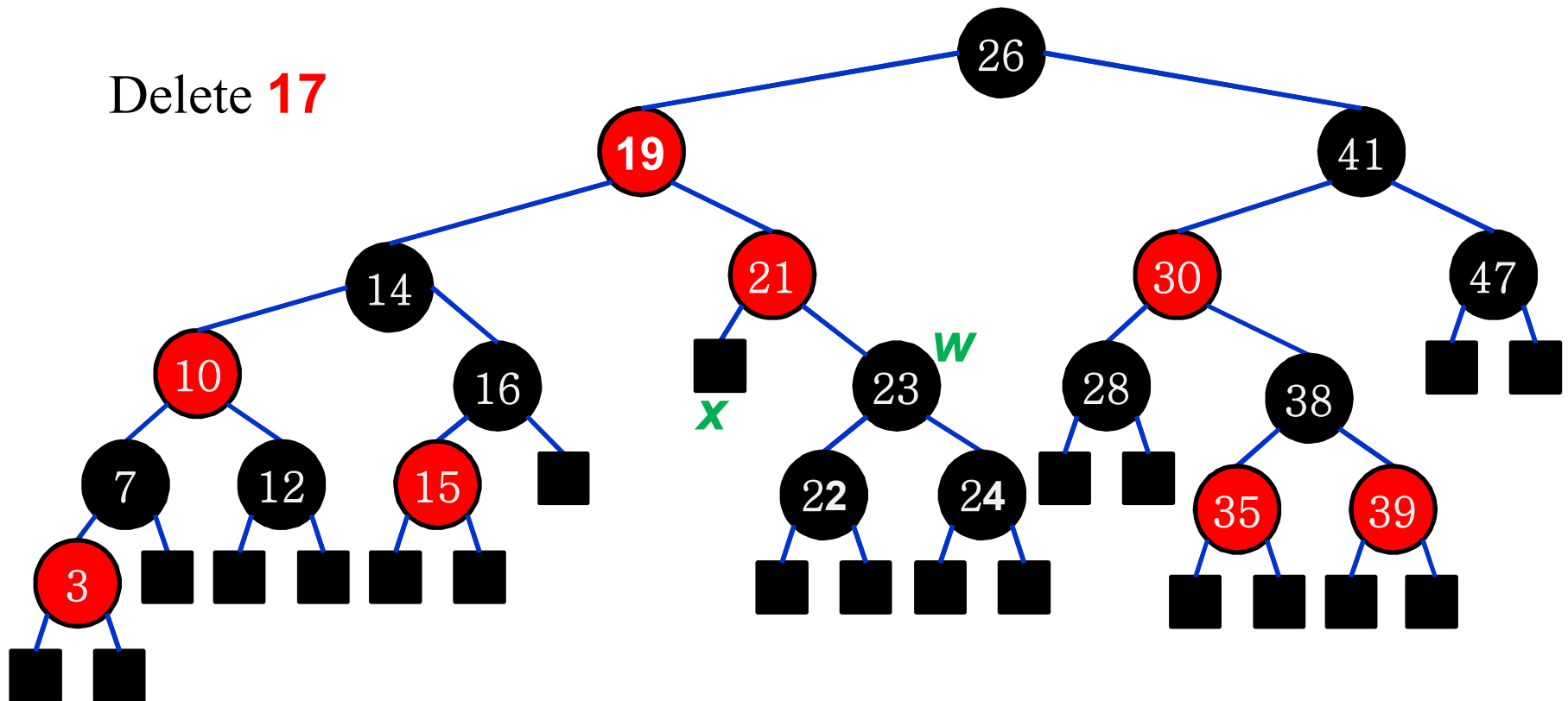
After removing or moving the black node y , we push its blackness onto x

x : now a nonroot doubly black node

w : sibling of x and w cannot be *nil* since x is doubly black

Red-Black Trees: Delete-Fixup

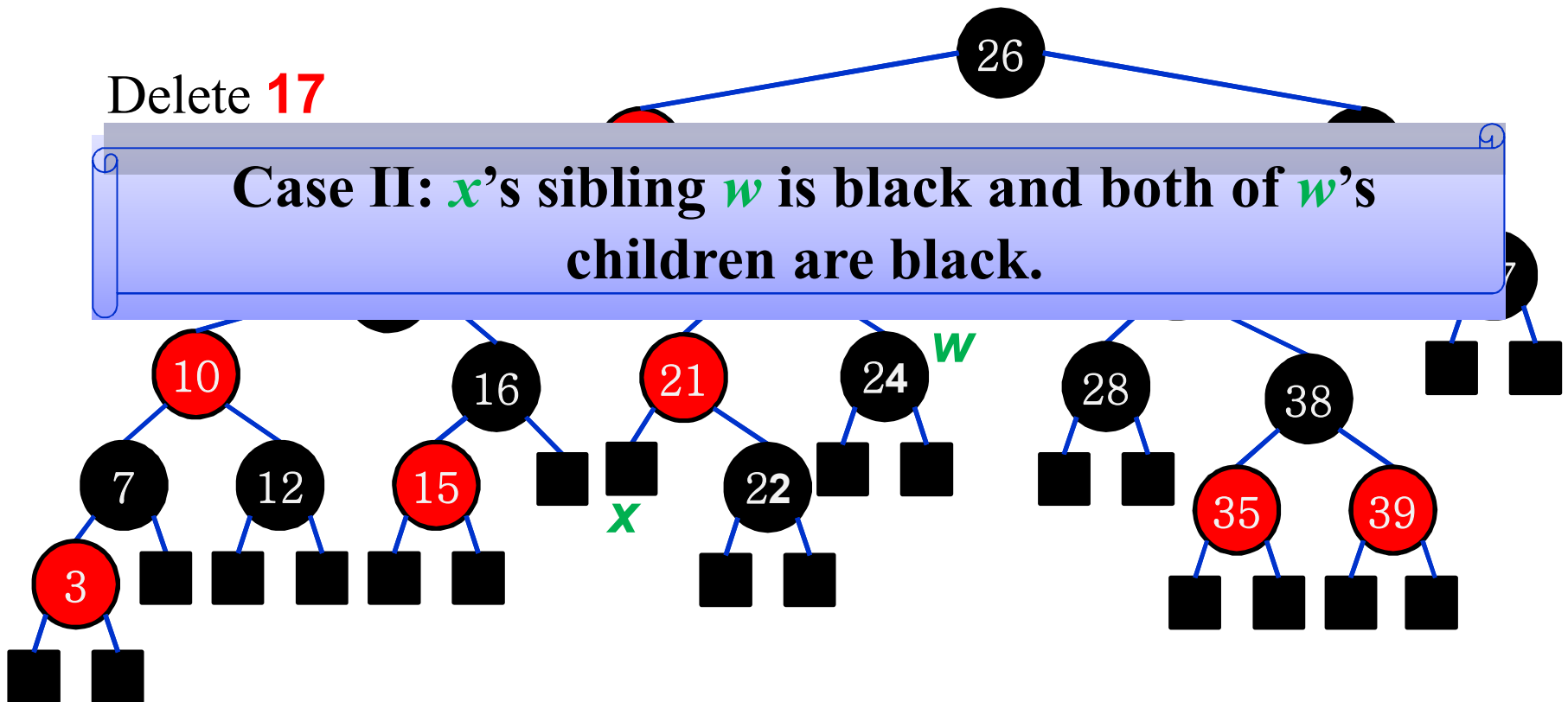
Delete **17**



Red-Black Trees: Delete-Fixup

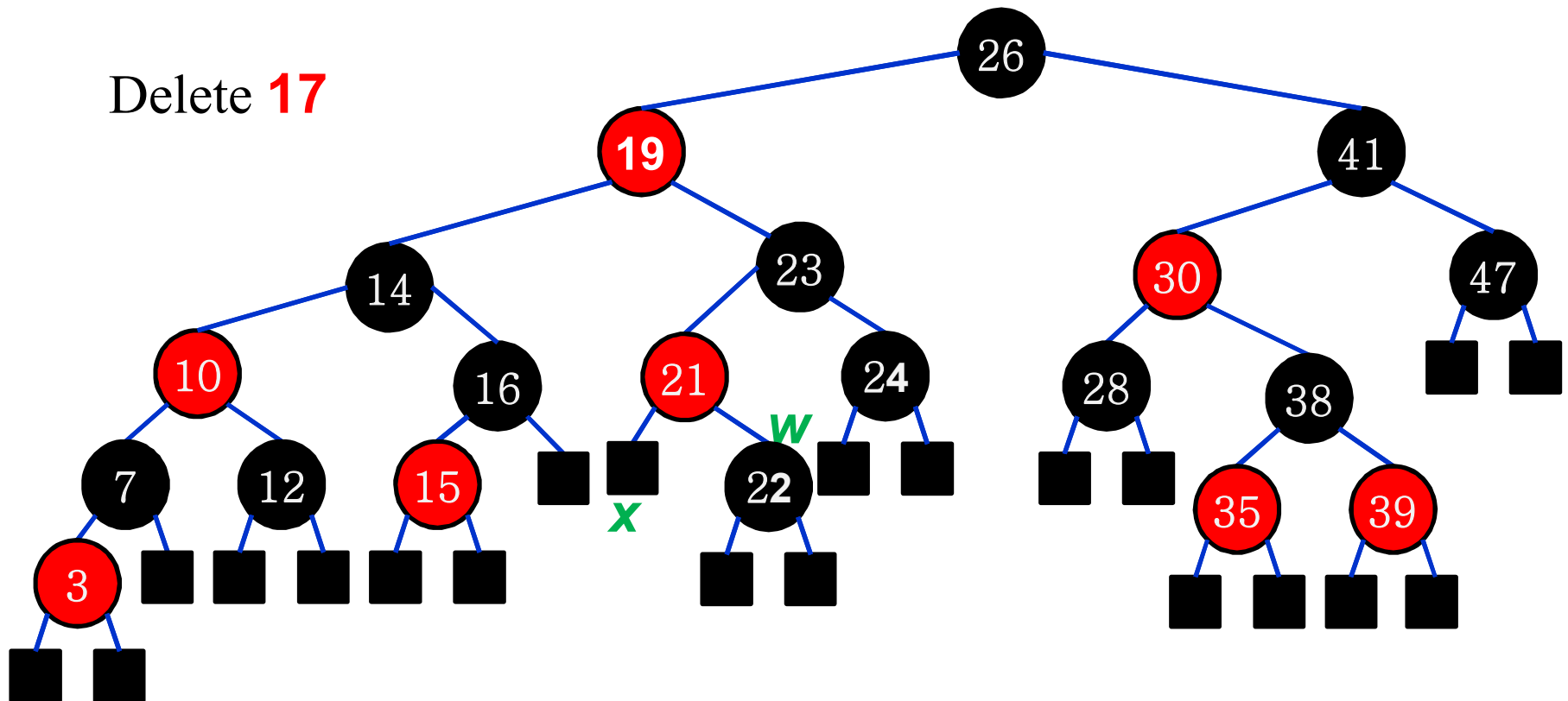
Delete **17**

Case II: x 's sibling w is black and both of w 's children are black.



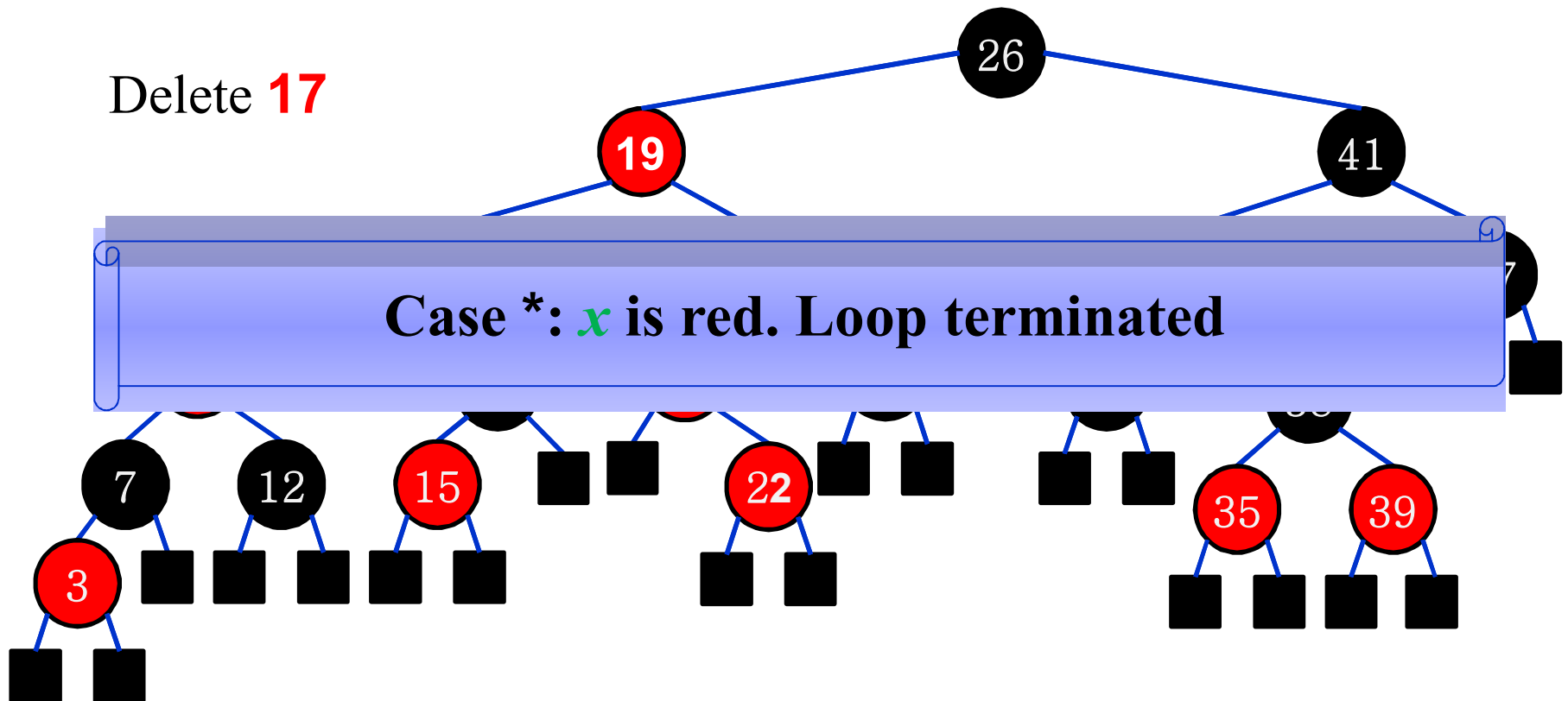
Red-Black Trees: Delete-Fixup

Delete **17**



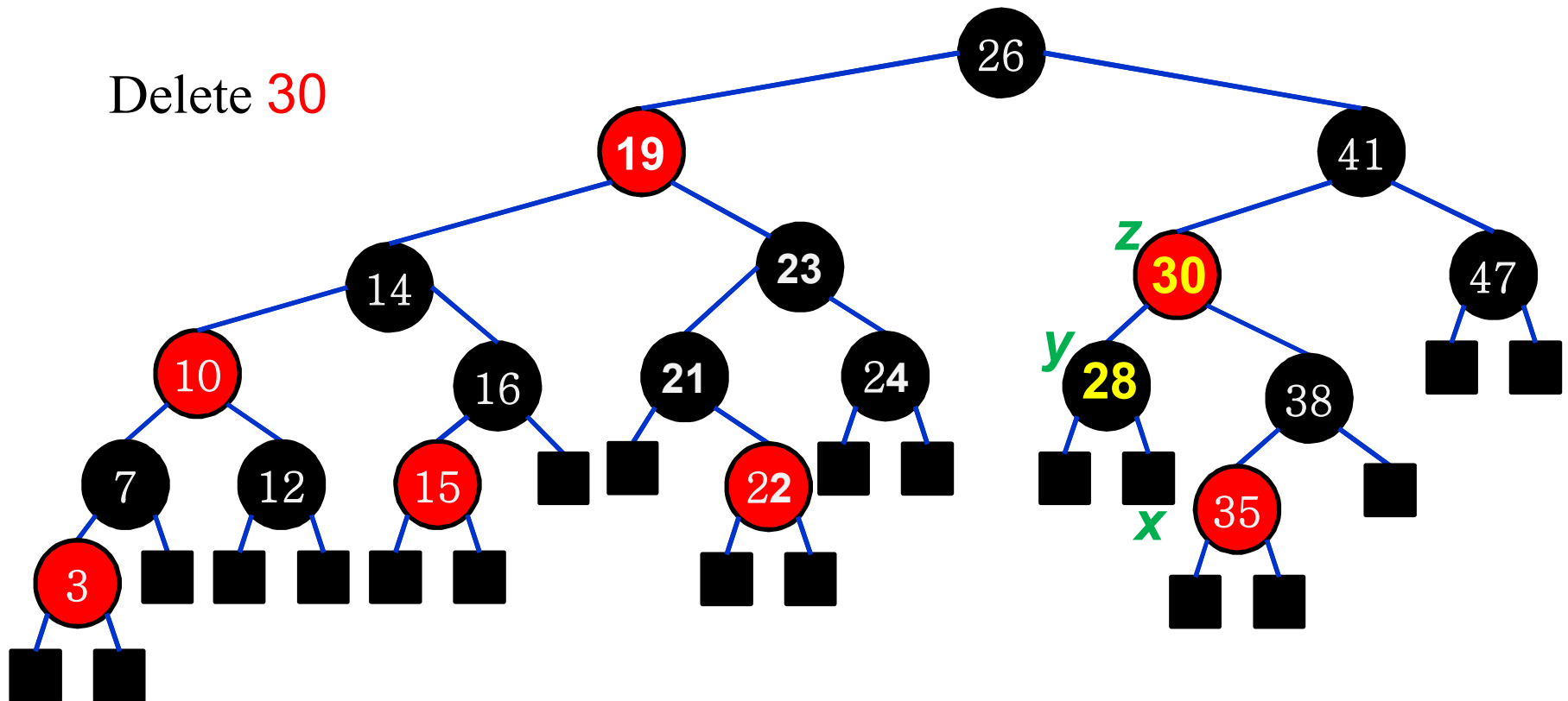
Red-Black Trees: Delete-Fixup

Delete **17**



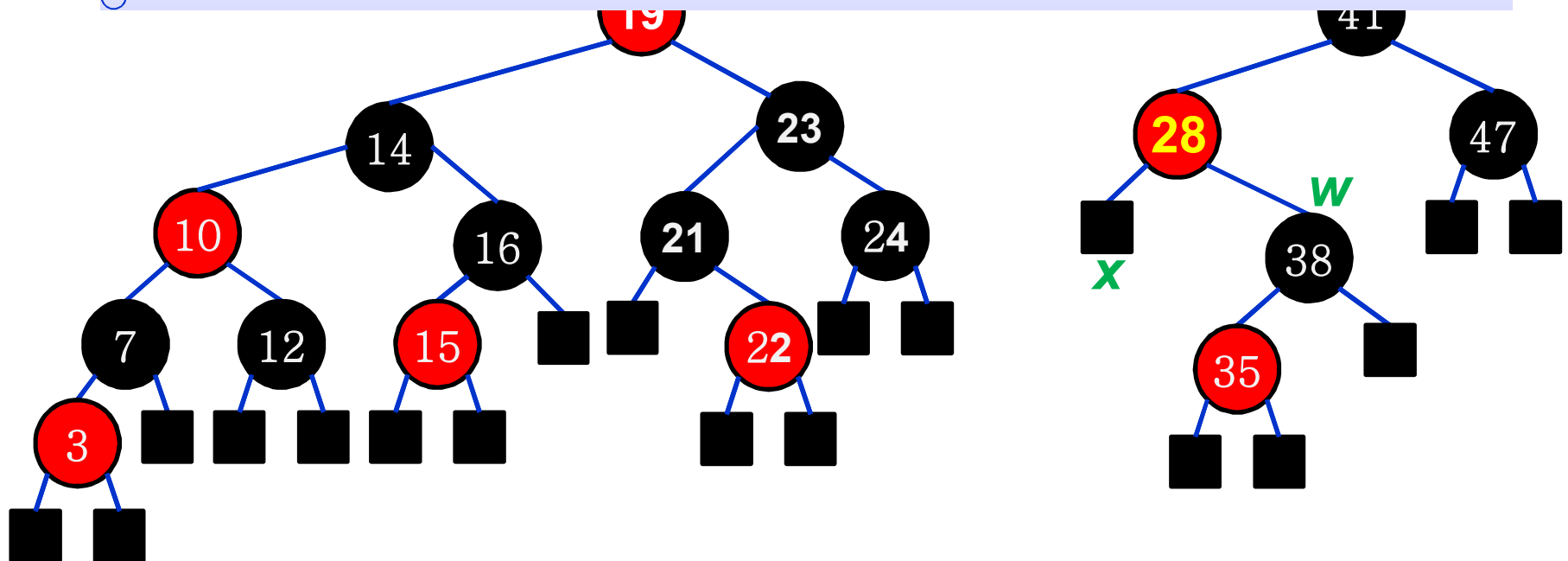
Red-Black Trees: Delete-Fixup

Delete 30



Red-Black Trees: Delete-Fixup

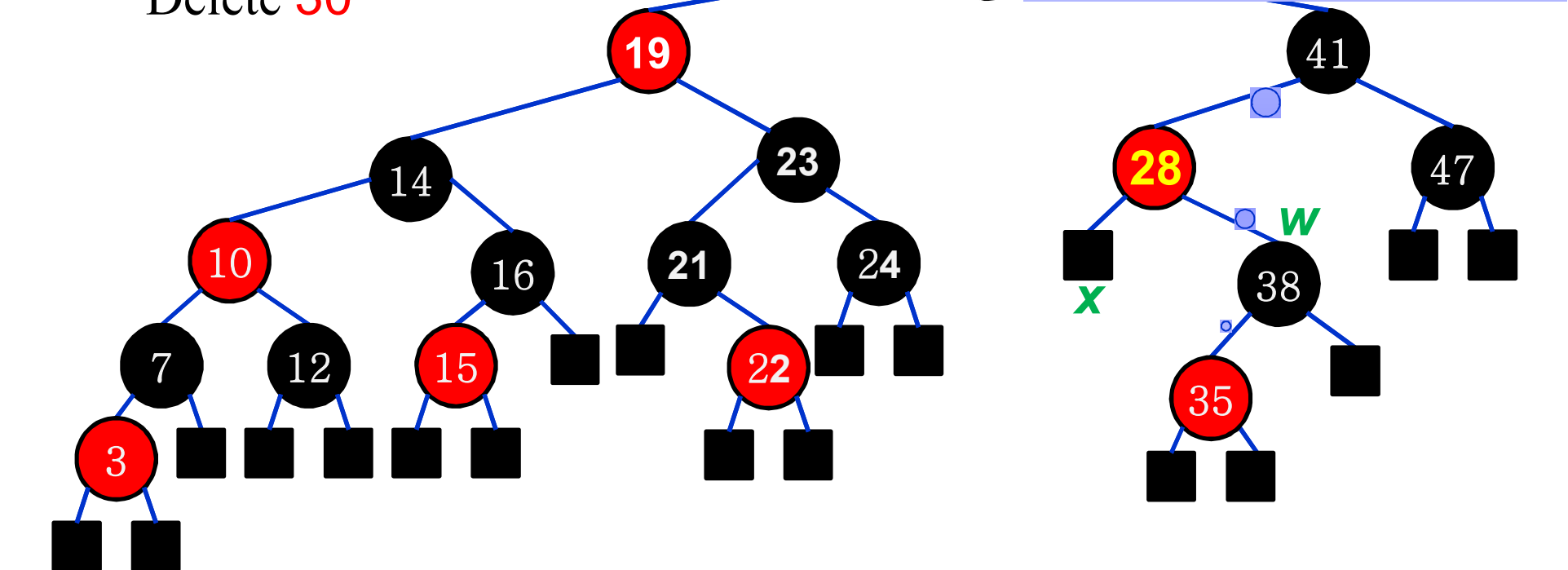
Case III: x 's sibling w is black and left child of w is red and right child of w is black



Delete 30

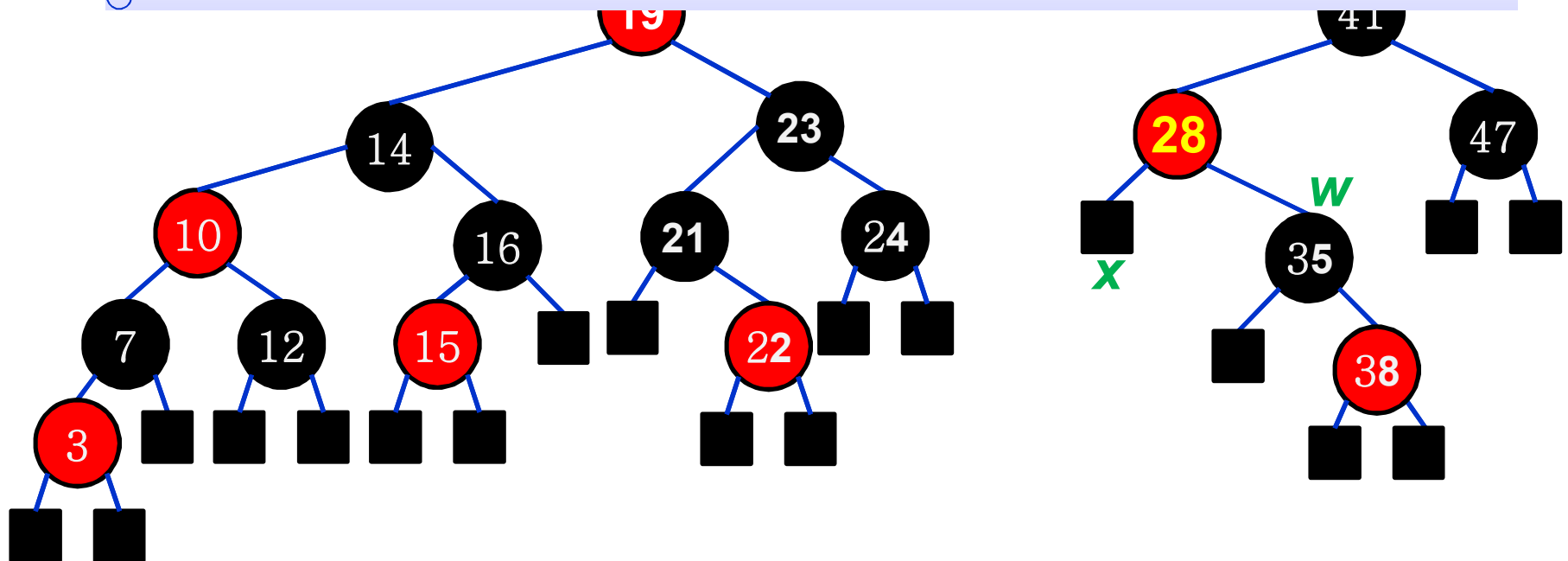
26

Right-Rotate(T, w)



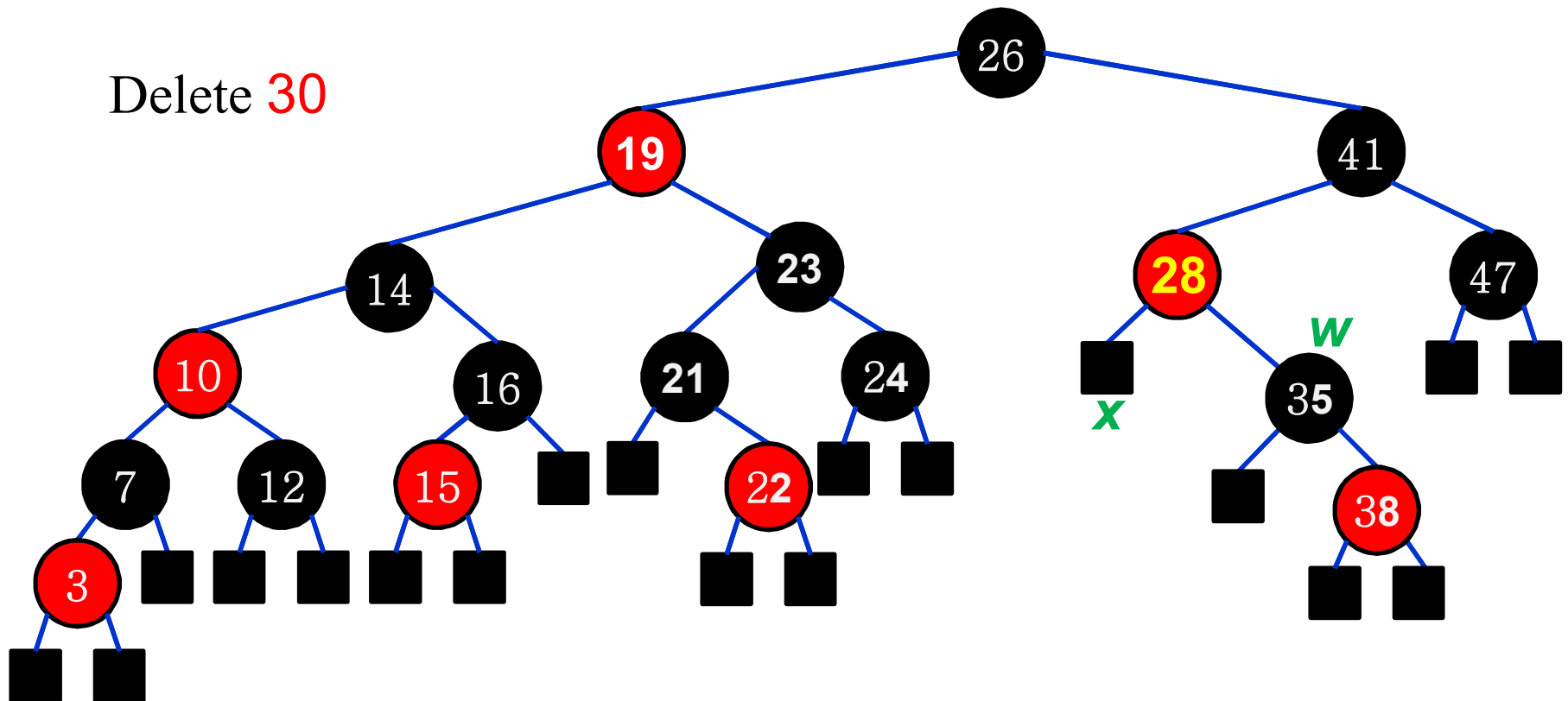
Red-Black Trees: Delete-Fixup

Case IV: x 's sibling w is black and right child of w is red



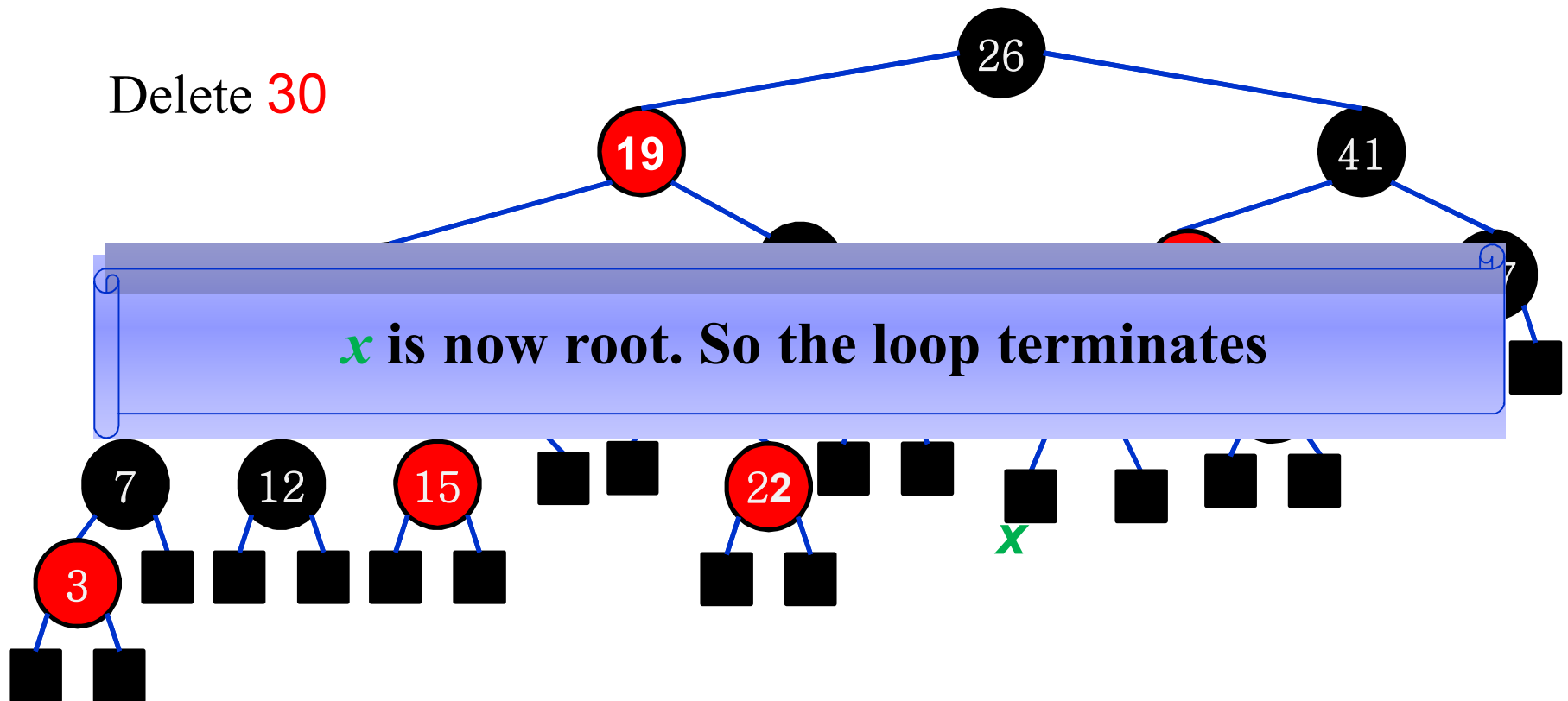
Red-Black Trees: Delete-Fixup

Delete 30



Red-Black Trees: Delete-Fixup

Delete 30



Red-Black Trees: Delete-Fixup

RB-Delete-Fixup(T, x)

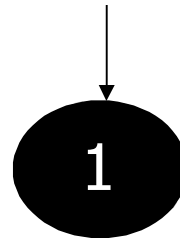
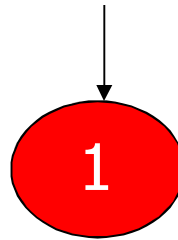
```
1  while  $color[x] = \text{black}$  and  $x \neq \text{root}[T]$  do
2      if  $x = \text{left}[p[x]]$  then
3           $w = \text{right}[p[x]]$            \\ set  $w$  as  $x$ 's sibling
4          if  $color[w] = \text{red}$  then      \\ case I
5               $color[w] \leftarrow \text{black}$ 
6               $color[p[x]] \leftarrow \text{red}$ 
7              Left-Rotate( $T, P[x]$ )
8               $w \leftarrow \text{right}[p[x]]$ 
9          if  $color[\text{left}[w]] = \text{black}$  and  $color[\text{right}[w]] = \text{black}$  then \\ case II
10              $color[w] \leftarrow \text{red}$ 
11              $x \leftarrow p[x]$ 
12         else
13             if  $color[\text{right}[w]] = \text{black}$  then \\ case III
14                  $color[\text{left}[w]] \leftarrow \text{black}$ 
15                  $color[w] \leftarrow \text{red}$ 
16                 Right-Rotate( $T, w$ )
17                  $w \leftarrow \text{right}[p[x]]$ 
18              $color[w] \leftarrow color[p[x]]$  \\ case IV
19              $color[p[x]] \leftarrow \text{black}$ 
20              $color[\text{right}[w]] \leftarrow \text{black}$ 
21             Left-Rotate( $T, P[x]$ )
22              $x \leftarrow \text{root}[T]$ 
23     else same as then clause of line 2 with left and right exchanged.
24      $color[x] \leftarrow \text{black}$ 
```

Example of Inserting Sorted Numbers

- 1 2 3 4 5 6 7 8 9

Insert 1:

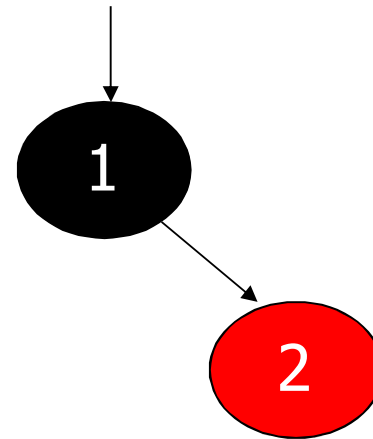
- A leaf, so **red**.
- Realize it is root,
so recolor to black.



Insert 2

Insert 2:

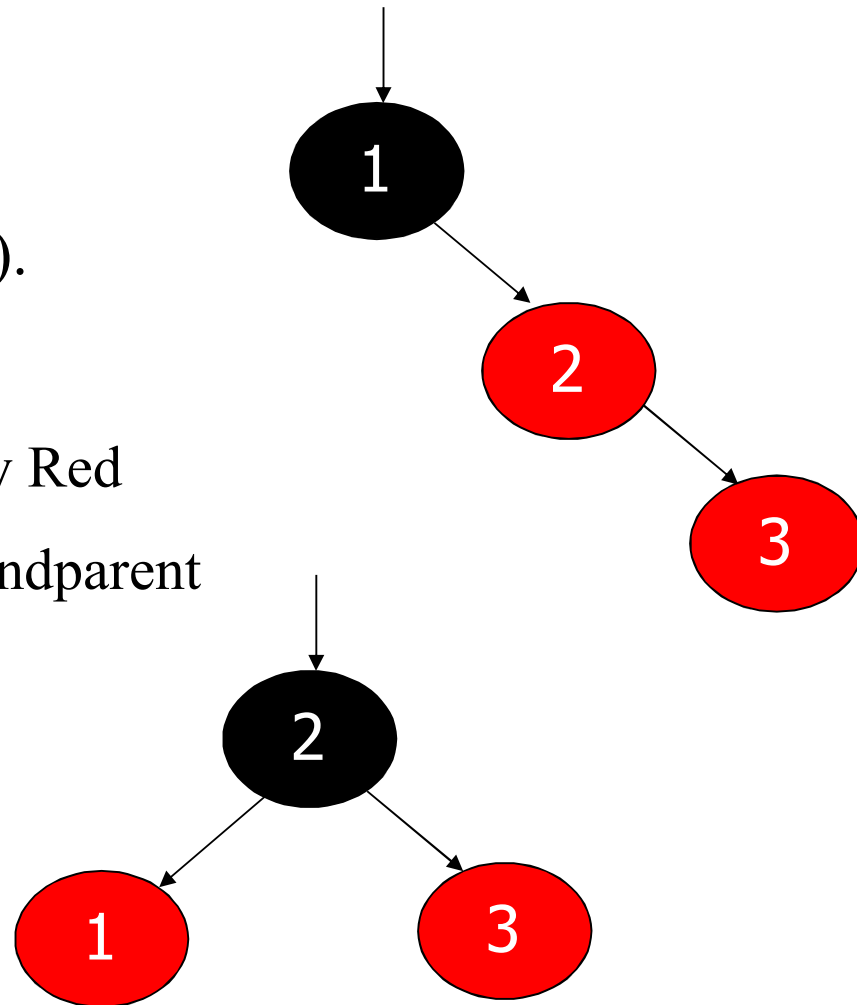
- Make 2 red.
- Parent is black so done.



Insert 3

Insert 3:

- Parent is red.
- Parent's sibling is black (*nil*).
- So it is **Case 3**:
 - Color 2 by Black, and 1 by Red
 - Left Rotate parent and grandparent



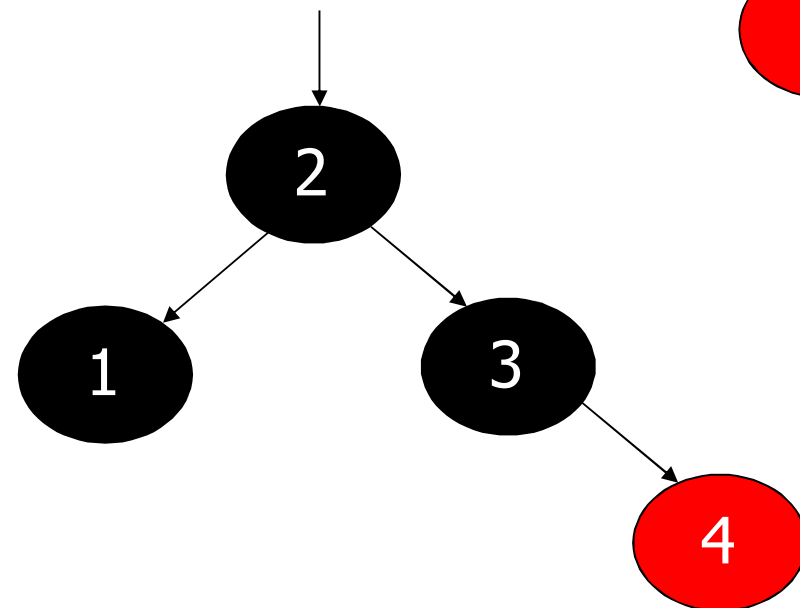
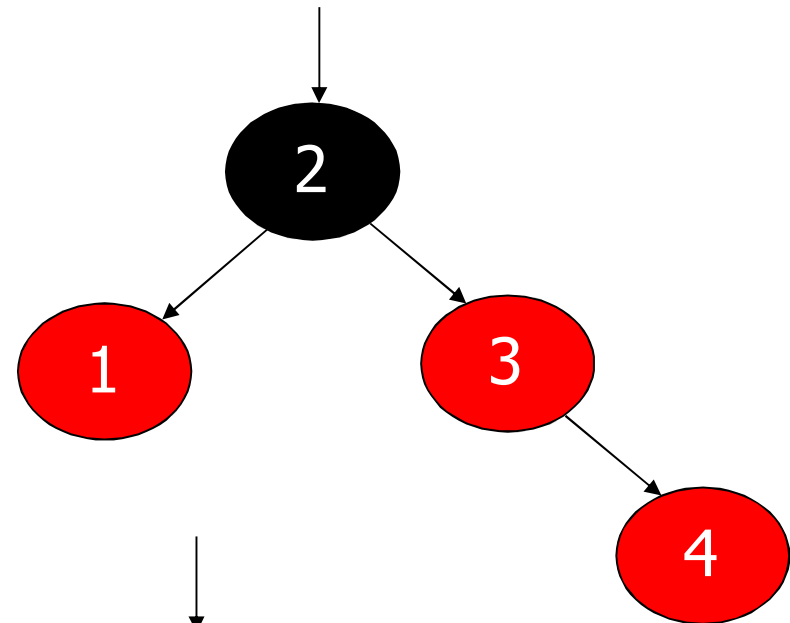
Insert 4

Insert 4:

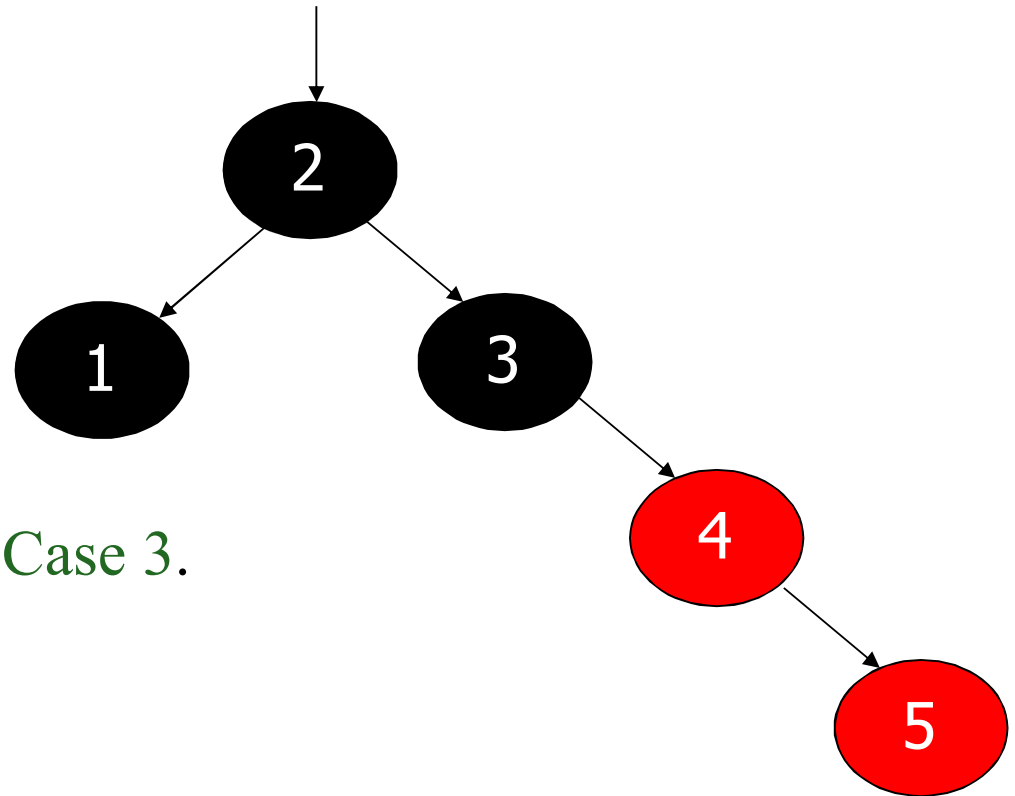
4's uncle 1 is red. So it is **Case 1**.

- Recolor 2 red and both of its children black.
- Realize 2 is root, so color back to black.

Now parent of 4 is black,
so done.



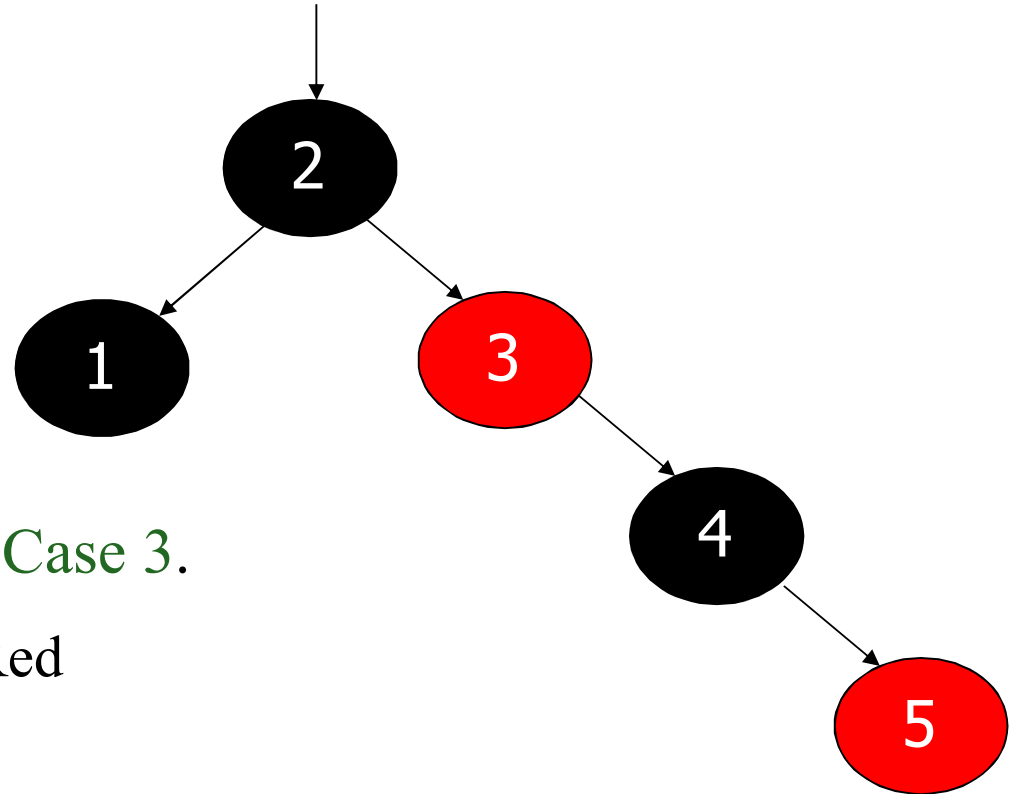
Insert 5



Insert 5:

5's uncle(*nil*) is black. So it is Case 3.

Insert 5



Insert 5:

5's uncle(*nil*) is black. So it is **Case 3**.

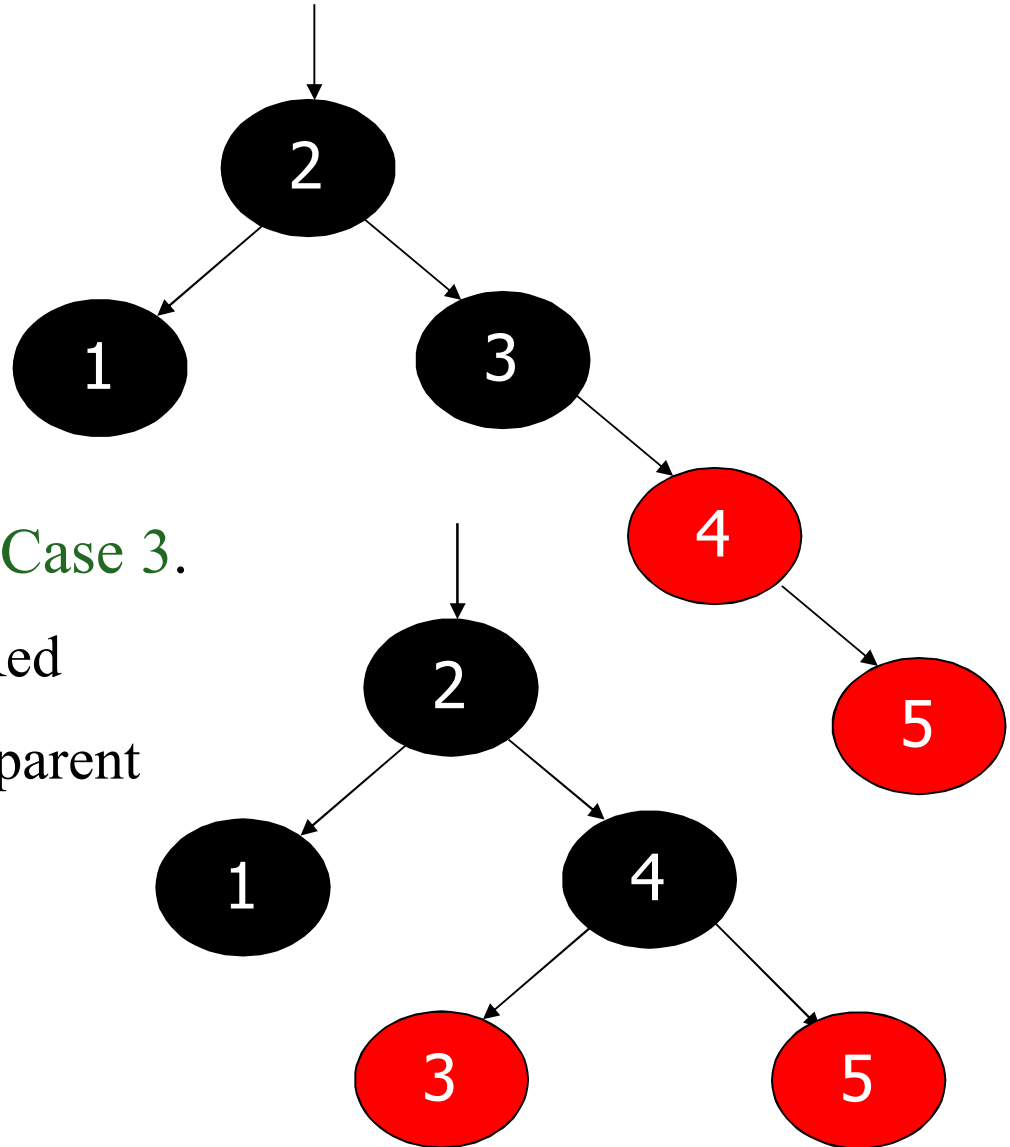
- Color 4 by Black, and 3 by Red

Insert 5

Insert 5:

5's uncle(*nil*) is black. So it is **Case 3**.

- Color 4 by Black, and 3 by Red
- Left Rotate parent and grandparent

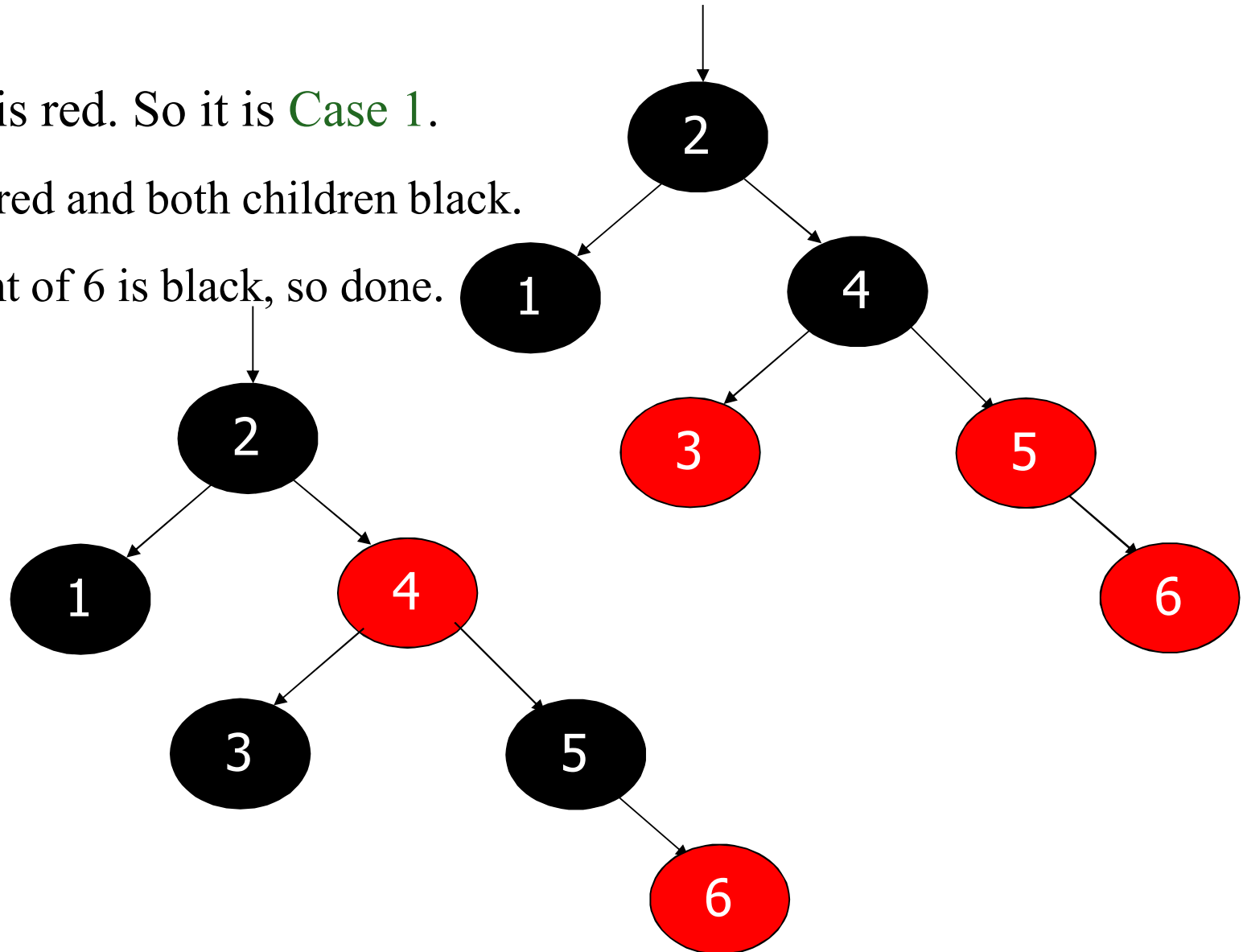


Insert 6

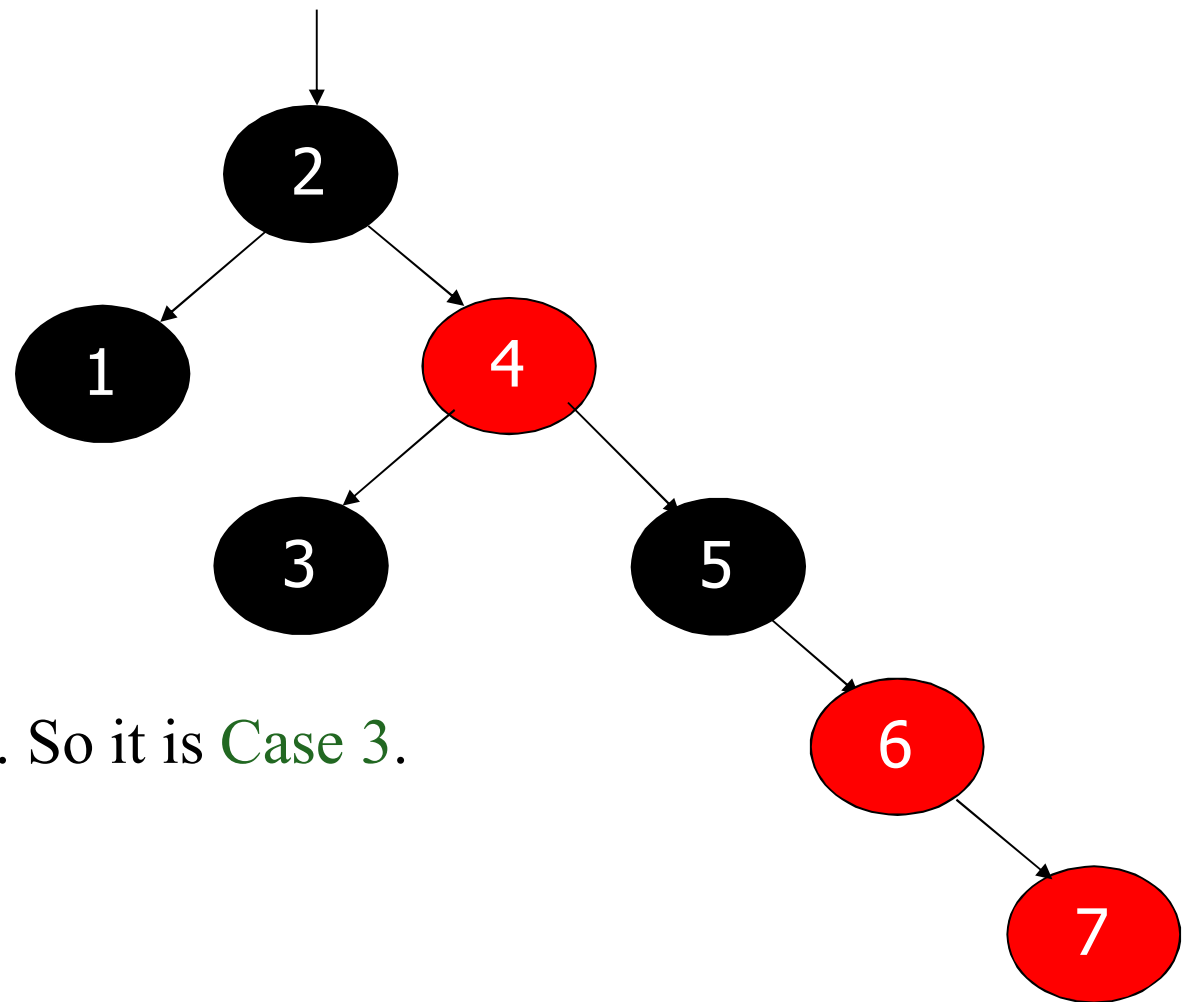
Insert 6:

6's uncle 3 is red. So it is **Case 1**.

- Recolor 4 red and both children black.
- Now parent of 6 is black, so done.



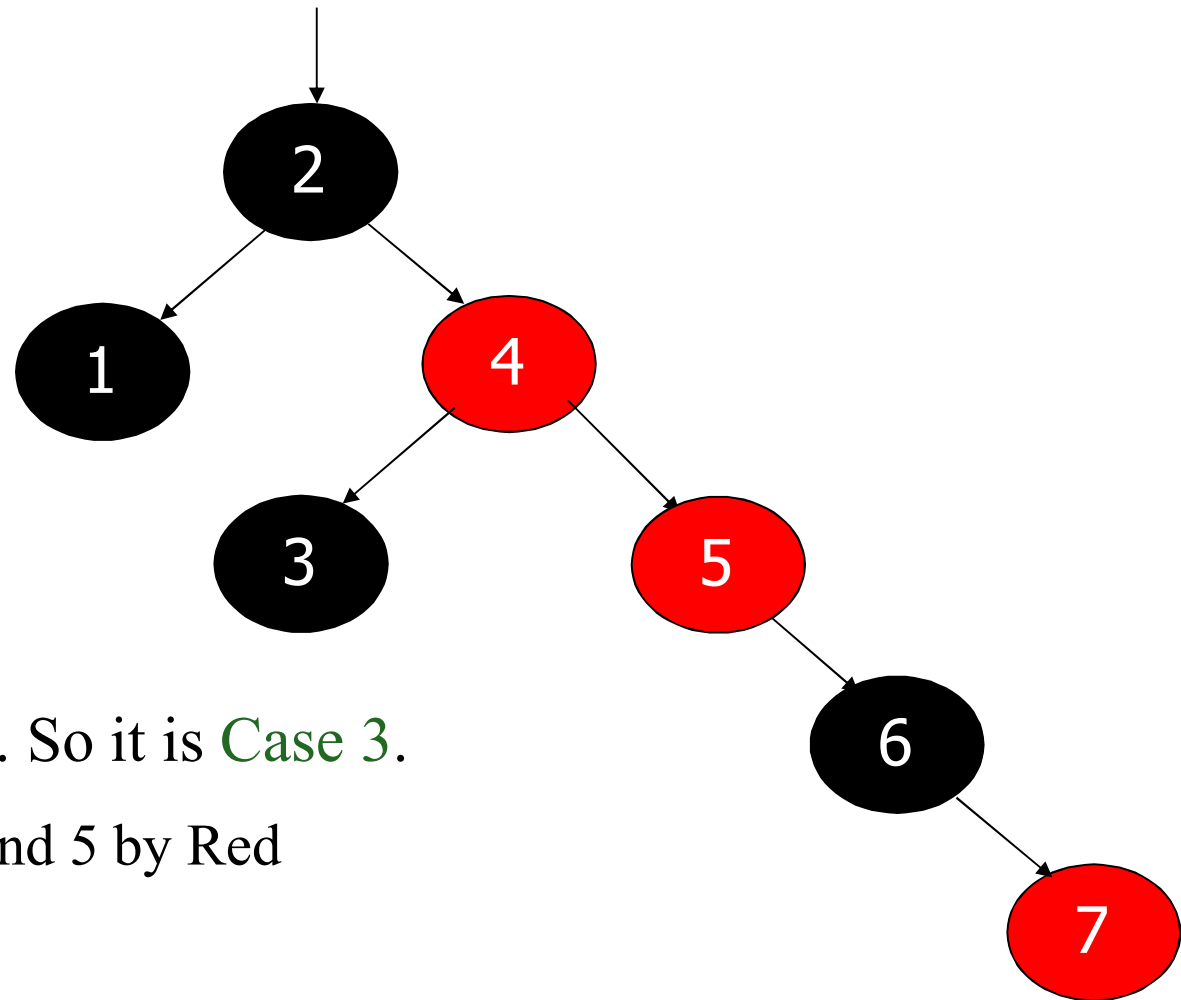
Insert 7



Insert 7:

7's uncle(*nil*) is black. So it is Case 3.

Insert 7

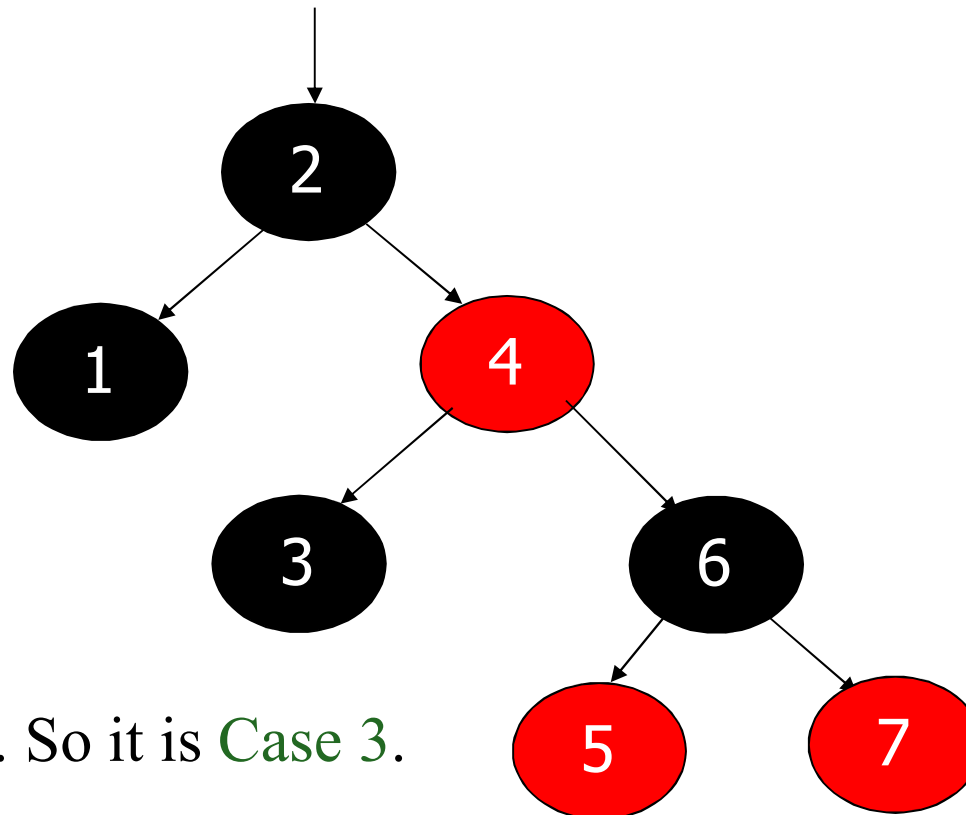


Insert 7:

7's uncle(*nil*) is black. So it is **Case 3**.

- Color 6 by Black, and 5 by Red

Insert 7

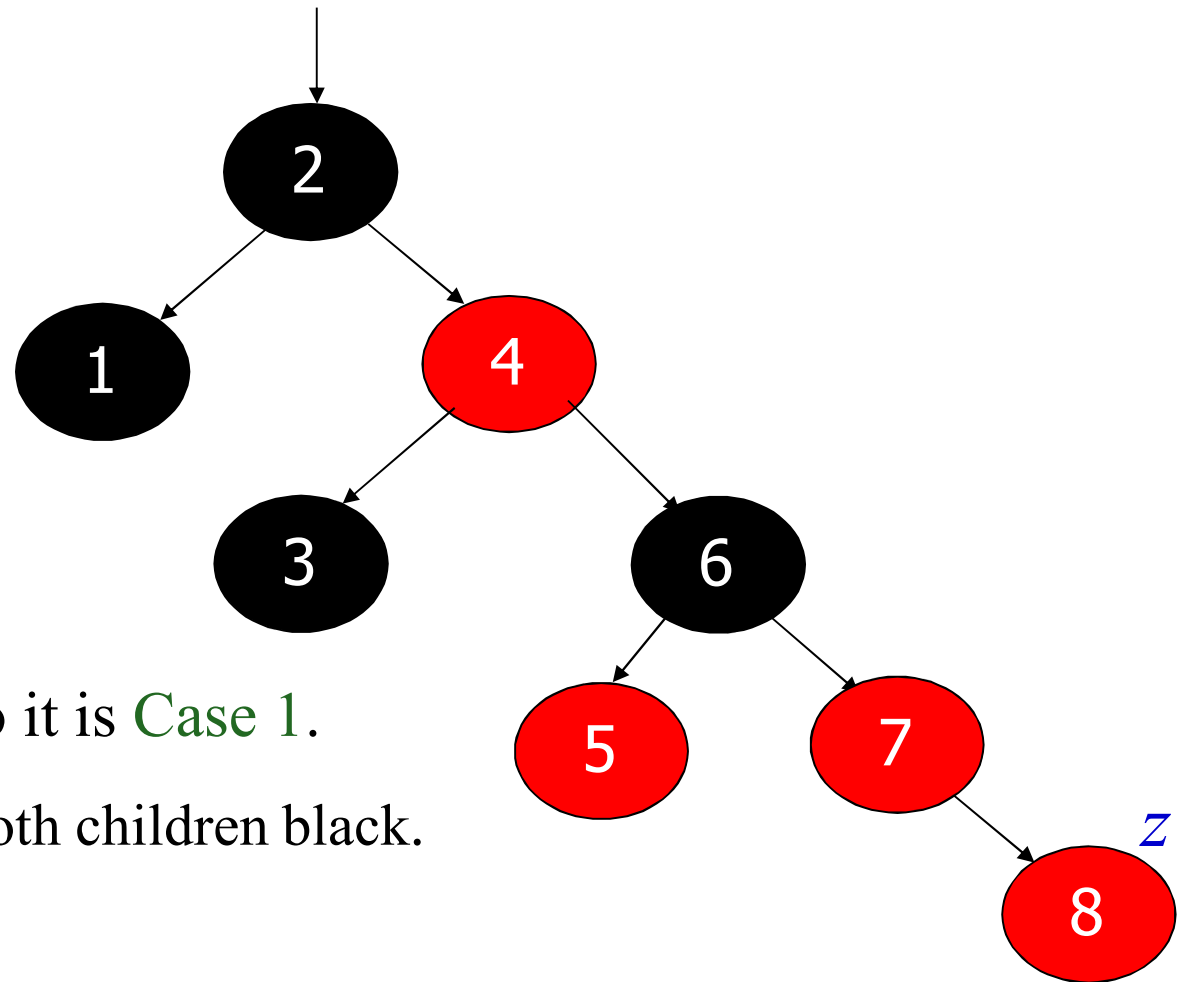


Insert 7:

7's uncle(*nil*) is black. So it is **Case 3**.

- Color 6 by Black, and 5 by Red
- Left Rotate parent and grandparent

Insert 8

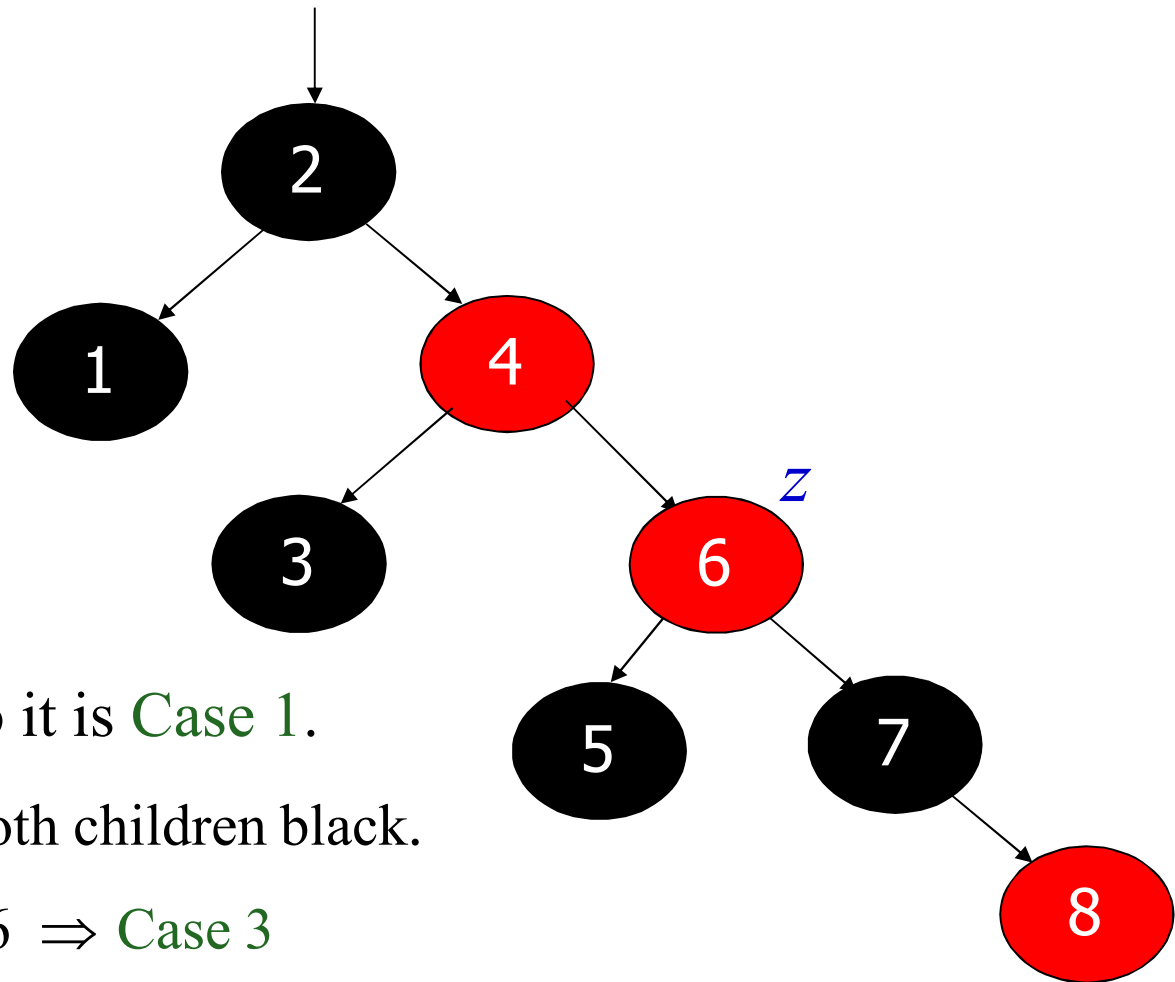


Insert 8:

8's uncle 5 is red. So it is **Case 1**.

- Recolor 6 red and both children black.

Insert 8



Insert 8:

8's uncle 5 is red. So it is **Case 1**.

- Recolor 6 red and both children black.
- Now new z is node 6 \Rightarrow **Case 3**

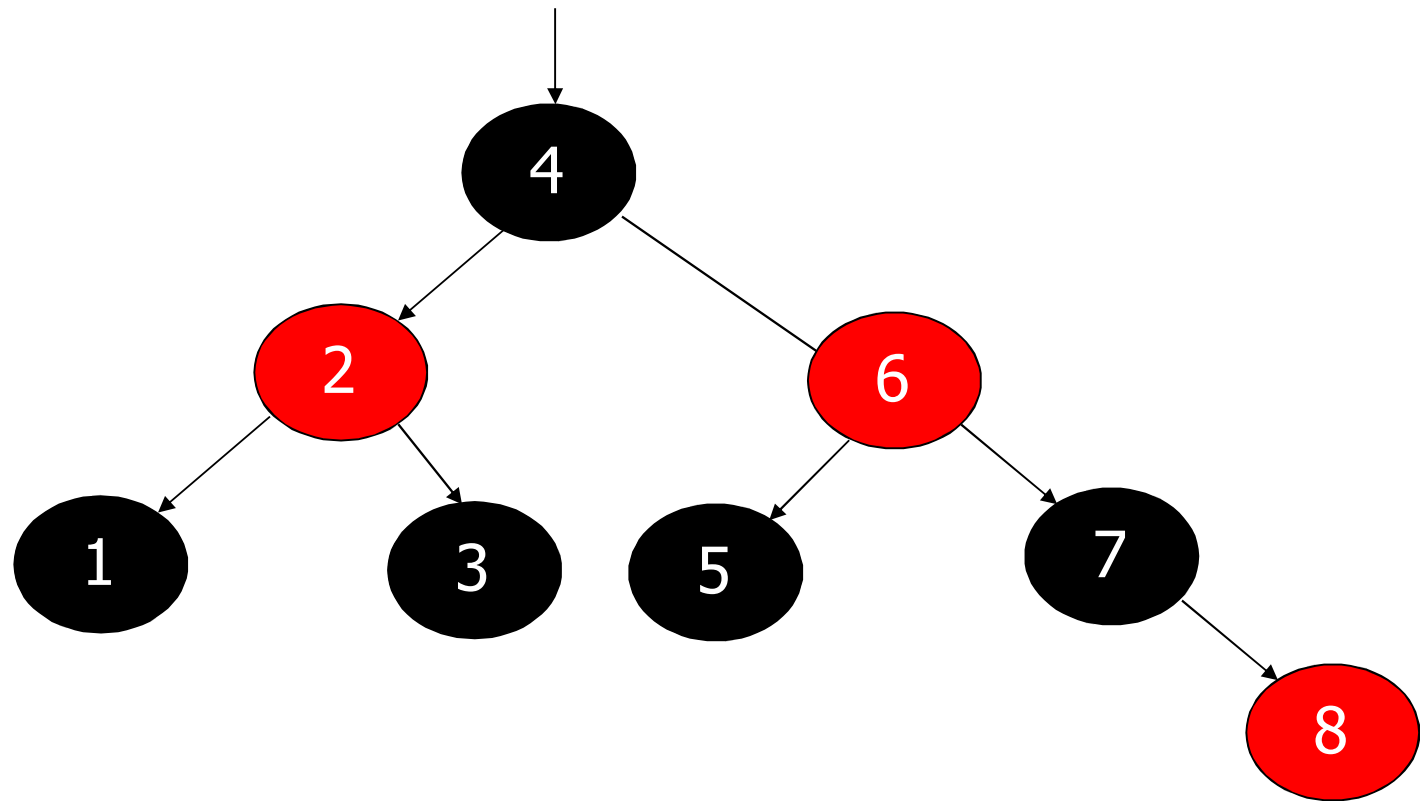


Insert 8:

8's uncle 5 is red. So it is **Case 1**.

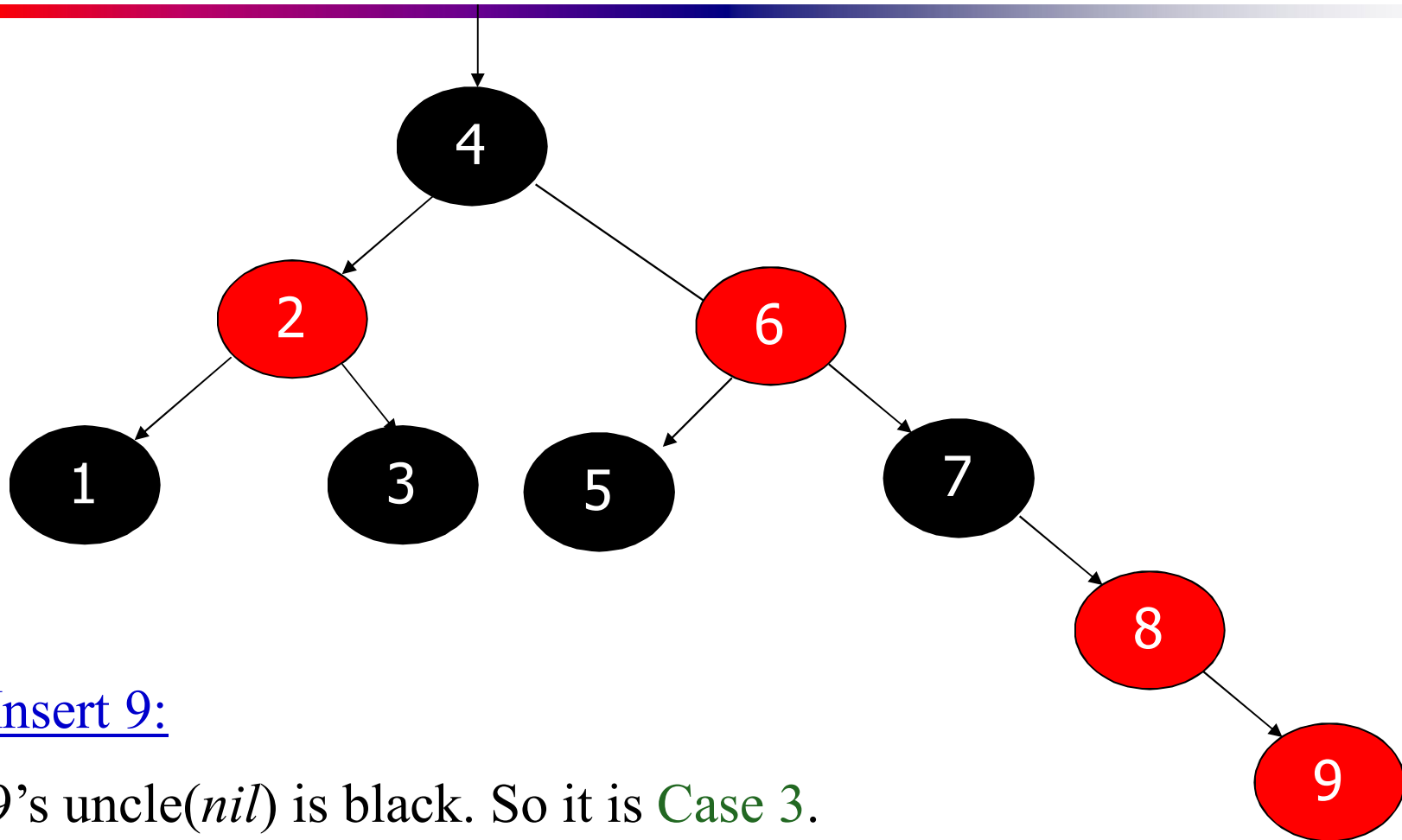
- Recolor 6 red and both children black.
- Now new z is node 6 \Rightarrow Case 3
 - Color 4 by Black, and 2 by Red

Insert 8



- Now new z is node 6 \Rightarrow Case 3
 - Color 4 by Black, and 2 by Red
 - Left Rotate parent and grandparent

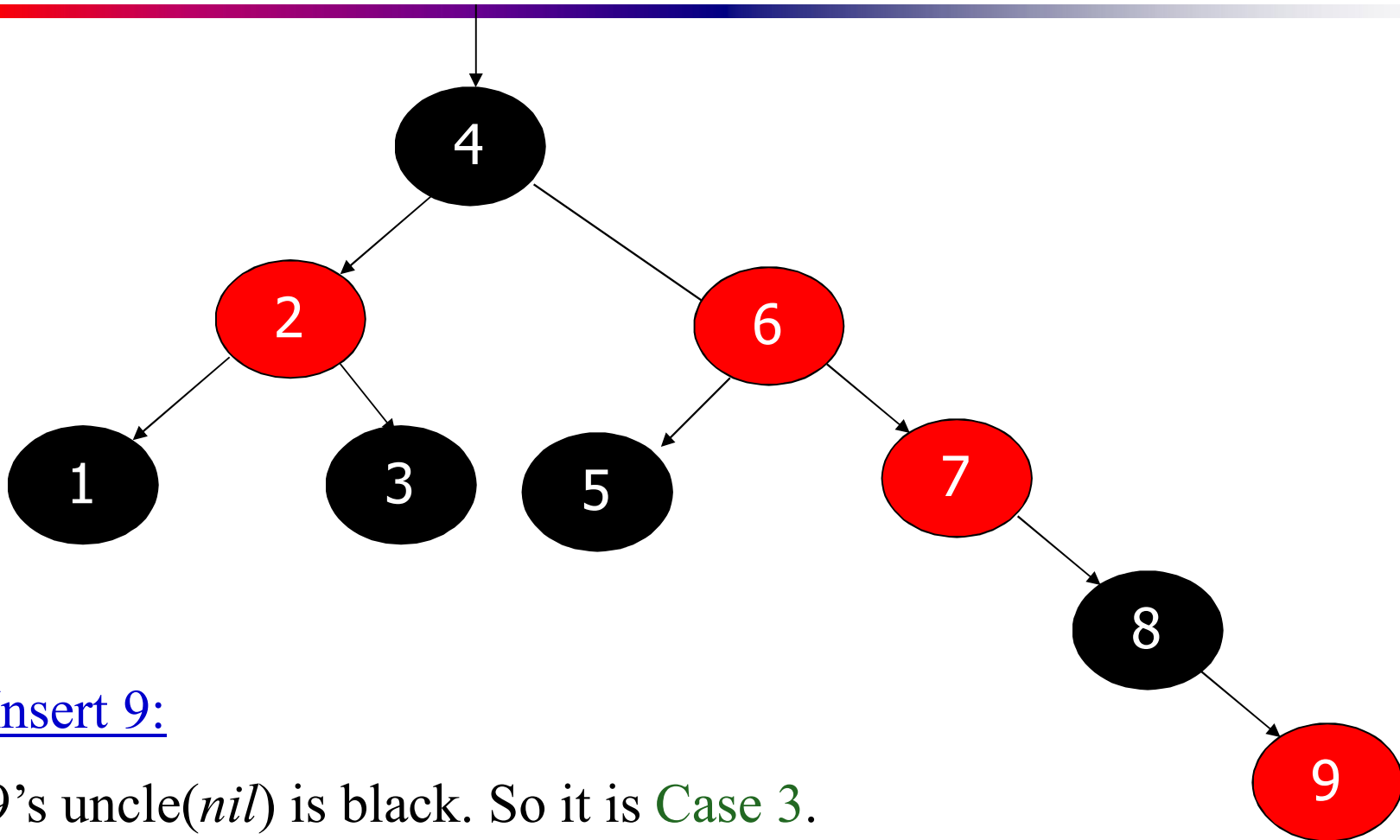
Insert 9



Insert 9:

9's uncle(*nil*) is black. So it is Case 3.

Insert 9

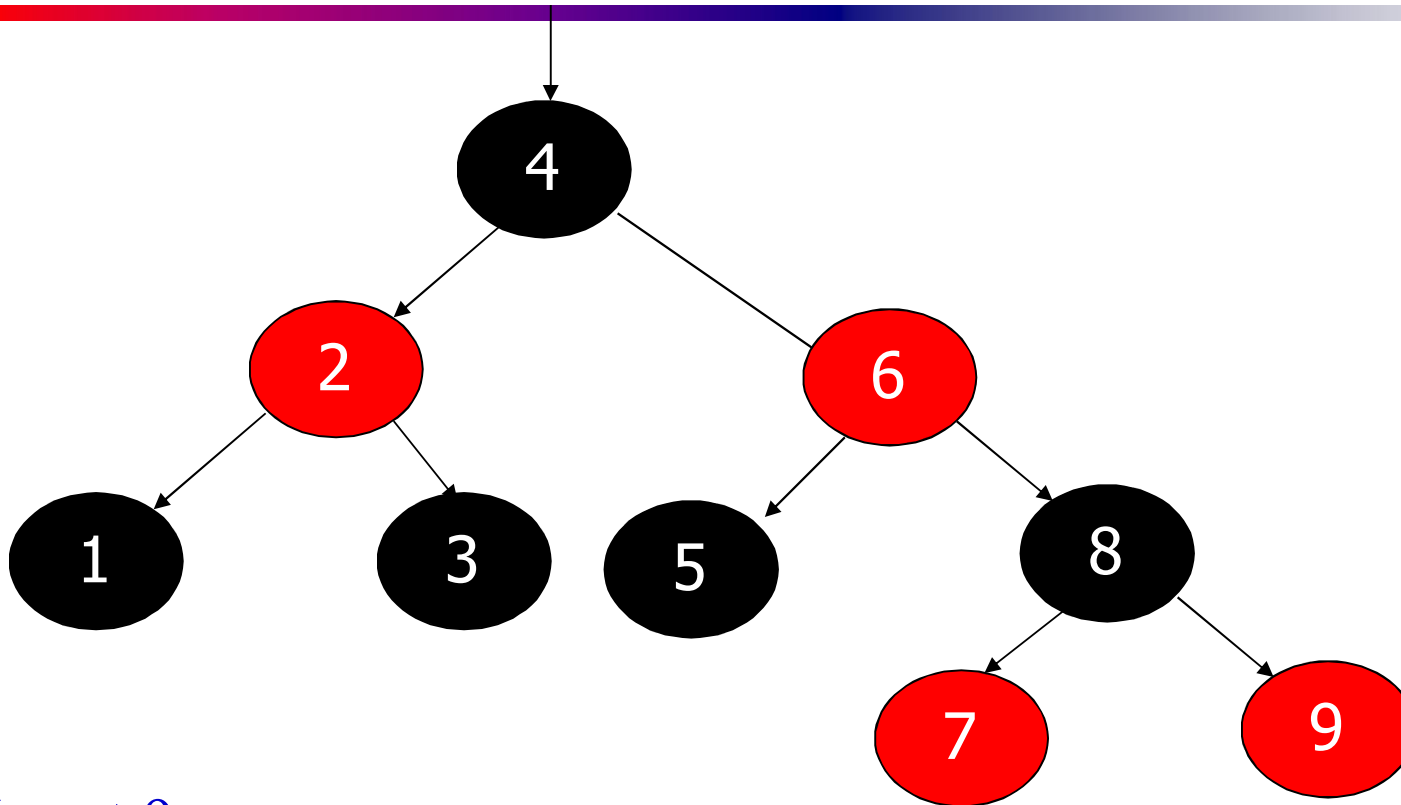


Insert 9:

9's uncle(*nil*) is black. So it is **Case 3**.

- Color 8 by Black, and 7 by Red

Insert 9



Insert 9:

9's uncle(*nil*) is black. So it is **Case 3**.

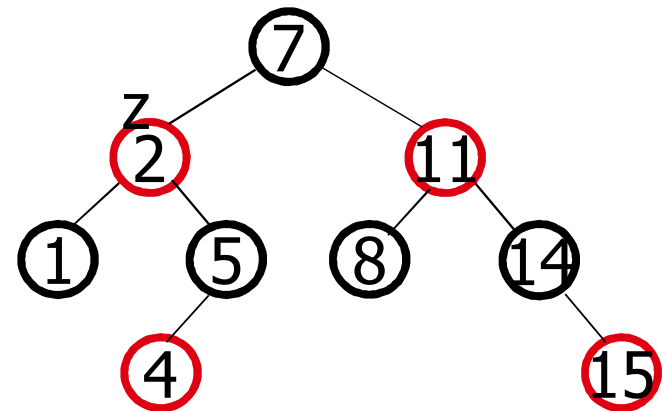
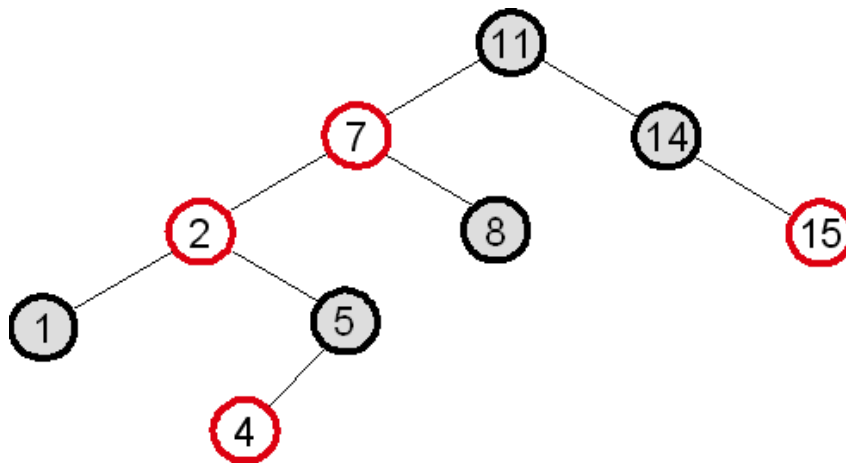
- Color 8 by Black, and 7 by Red
- Left Rotate parent and grandparent

Problems

- What is the ratio between the longest path and the shortest path in a red-black tree?
 - The shortest path is at least $bh(\text{root})$
 - The longest path is equal to $h(\text{root})$
 - We know that $h(\text{root}) \leq 2bh(\text{root})$
 - Therefore, the ratio is ≤ 2

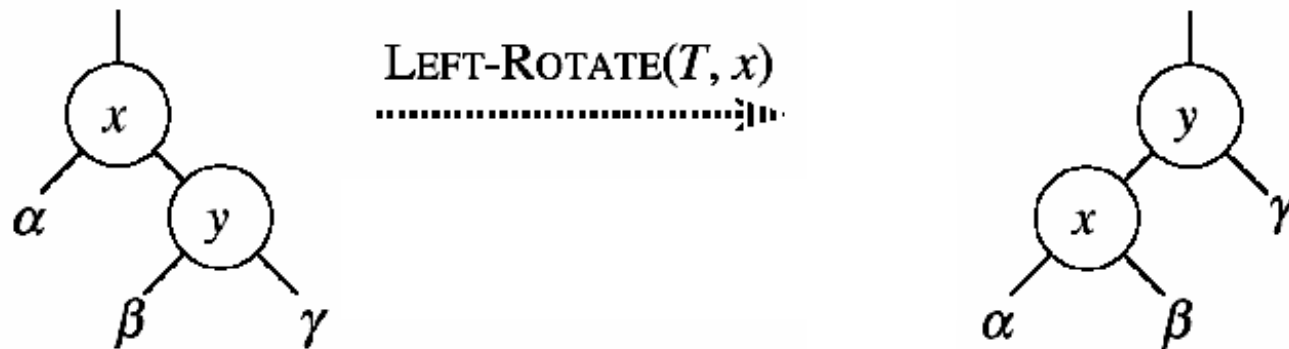
Problems

- What red-black tree property is violated in the tree below? How would you restore the red-black tree property in this case?
 - Property violated: if a node is red, both its children are black
 - Fixup: color 7 black, 11 red, then right-rotate around 11



Problems

- Let a, b, c be arbitrary nodes in subtrees α, β, γ in the tree below. How do the depths of a, b, c change when a left rotation is performed on node x ?
 - a : increases by 1
 - b : stays the same
 - c : decreases by 1



Problems

- When we insert a node into a red-black tree, we initially set the color of the new node to red. Why didn't we choose to set the color to black?
- **(Exercise 13.4-7, page 294)** Would inserting a new node to a red-black tree and then immediately deleting it, change the tree?