Rational index of the languages of bounded dimension*

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Abstract

The rational index of a context-free language L is a function $f(n)$, such that for each regular language R recognized by an automaton with n states, the intersection of L and R is either empty or contains a word shorter than $f(n)$. It is known that the context-free language (CFL-)reachability problem and Datalog chain query evaluation for context-free languages (queries) with the polynomial rational index is in NL, while these problems is P-complete in the general case. We investigate the rational index of the languages of bounded dimension and show that it is of polynomial order. We obtain upper bounds on the values of the rational index for general languages of bounded dimension and for some of its previously studied subclasses.

Keywords. Dimension of a parse tree; rational index; CFL-reachability; parallel complexity; context-free languages; Datalog programs.

1 Introduction

The notion of a rational index was introduced by Boasson et al. [5] as a complexity measure for context-free languages. The rational index $\rho_L(n)$ is a function, which denotes the maximum length of the shortest word in $L \cap R$, for arbitrary R recognized by an n-state automaton. The rational index plays an important role in determining the parallel complexity of such practical problems as the context-free language (CFL-)reachability problem and Datalog chain query evaluation.

The CFL-reachability problem for a fixed context-free grammar G is stated as follows: given a directed edge-labeled graph D and a pair of nodes u and v, determine whether there is a path from u to v labeled with a string in $L(G)$. That is, CFL-reachability is a kind of graph reachability problem with path constraints given by context-free languages. It is an important problem underlying some fundamental static code analysis like data flow analysis and program slicing [27], alias analysis [8, 33], points-to analysis [20] and other [7, 16, 25], and graph database query evaluation [3, 13, 14, 34]. The *Datalog chain query* evaluation on a database graph is equivalent to the CFL-reachability problem [29, 30].

Unlike context-free language recognition, which is in NC (when context-free grammar is fixed), the CFL-reachability problem is P-complete [12, 26, 32]. Practically, it means that there is no efficient parallel algorithm for solving this problem (unless P $\neq$ NC).

The question on the parallel complexity of Datalog chain queries was investigated independently [1, 10, 30]. Ullman and Van Gelder [30] introduce the notion of a *polynomial fringe property* and show that chain queries having this property is in NC. The polynomial fringe

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property is equivalent to having the polynomial rational index: for a context-free language $L(G)$ having the polynomial rational index $\rho_L(n) = poly(n)$, where $poly(n)$ is some polynomial, is the same as for corresponding chain query to have the polynomial fringe property. It has been shown that for every algebraic number γ, a language with the rational index in $\Theta(n^\gamma)$ exists [24]. In contrast, the rational index of languages, which generate all context-free languages (an example of such language is the Dyck language on two pairs of parentheses D_2) is in order $exp(\Theta(n^2/\ln n))$ [23], and, hence, this is the upper bound on the value of the rational index for every context-free language.

While both problems is not parallelizable in general, it is useful to develop more efficient parallel solutions for specific subclasses of the context-free languages. For example, there are context-free languages which admit more efficient parallel algorithms in comparison with the general case of context-free recognition [17, 18, 21]. The same holds for the CFL-reachability problem: there are some examples of context-free languages, for which the CFL-reachability problem lies in NL complexity class (for example, linear and one-counter languages) [15, 19, 28, 31]. These languages have the polynomial rational index.

The family of linear languages (linear Datalog chain programs, respectively) is the well-known subclass of context-free languages having the polynomial rational index [5, 30]. The value of its rational index is in $O(n^2)$ [5]. It is known that problems solvable by a linear Datalog Program are solvable in non-deterministic logarithmic space and, hence, highly parallelizable. This class has received a lot of interest in complexity of constraint satisfaction, deductive databases and logic [2, 9, 22, 30].

In this work we investigate the rational index of the languages of bounded dimension, which are the natural generalization of the linear languages. The dimension of a parse tree considered as a measure of its branching.

Our contributions. Our results can be summarized as follows:

- We show that the rational index of the languages of bounded dimension is polynomial and give an upper bound on its value in dependence of the value of dimension.
- We give a lower bound on the rational index of the languages of bounded dimension, particularly we show that for any dimension d there is a language of dimension d that has the rational index is in $O(n^{2d})$.

2 Preliminaries

Formal languages. A *context-free grammar* is a 4-tuple $G = (\Sigma, N, P, S)$, where Σ is a finite set of alphabet symbols, N is a set of nonterminal symbols, P is a set of production rules and S is a start nonterinal. $L(G)$ is a context-free language generated by context-free grammar G. We use the notation $A \stackrel{*}{\Rightarrow} w$ to denote that the string $w \in \Sigma^*$ can be derived from a nonterminal A by sequence of applying the production rules from P. A *parse tree* is an entity which represents the structure of the derivation of a terminal string from some nonterminal.

A grammar G is said to be is in the *Chomsky normal form*, if all production rules of P are of the form: $A \to BC$, $A \to a$ or $S \to \varepsilon$, where $A, B, C \in N$ and $a \in \Sigma$.

The set of all context-free languages is identical to the set of languages accepted by pushdown automata (PDA). *Pushdown automaton* is a 7-tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, Z, F)$, where Q is a finite set of states, Σ is a input alphabet, Γ is a finite set which is called the stack alphabet, δ is a finite subset of $Q \times (\Sigma \cap \{\varepsilon\}) \times \Gamma \times Q \times \Gamma^*$, $q_0 \in Q$ is the start state, $Z \in \Gamma$ is the initial stack symbol and $F \subseteq Q$ is the set of accepting states.

A *regular language* is a language that can be expressed with a regular expression or a deterministic or non-deterministic finite automata. A *nondeterministic finite automaton* (NFA)

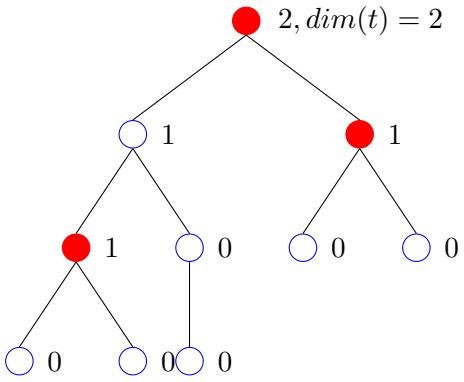


Figure 1: A tree t with $dim(t) = 2$. Nodes having children without unique maximum are filled.

is represented by a 5-tuple, $(Q, \Sigma, \delta, Q_0, F)$, where Q is a finite set of states, Σ is a finite set of input symbols, $\delta : Q \times \Sigma \to 2^{|Q|}$ is a transition function, $Q_0 \subseteq Q$ is a set of initial states, $F \subseteq Q$ is a set of accepting (final) states. *Deterministic finite automaton* is a NFA with the following restrictions: each of its transitions is uniquely determined by its source state and input symbol, and reading an input symbol is required for each state transition.

For a language L over an alphabet Σ, its rational index ρ_L is a function defined as follows:

$$\rho_L(n) = \max_{\mathcal{A}:\text{NFA with } n \text{ states}, L \cap L(\mathcal{A}) \neq \emptyset} \min_{w \in L \cap L(\mathcal{A})} |w|.$$

Languages of bounded dimension. For each node v in a tree t, its dimension $dim(v)$ is inductively defined as follows:

- if v is a leaf, then $dim(v) = 0$
- if v is an internal node with k children $v_1, v_2, ..., v_k$ for $k \geq 1$, then

$$dim(v) = \begin{cases} \max_{i \in \{1...k\}} dim(v_i) & \text{if there is a unique maximum} \\ \max_{i \in \{1...k\}} dim(v_i) + 1 & \text{otherwise} \end{cases}$$

The dimension of a parse tree t $dim(t)$ is the dimension of its root. It is observable from the definition that the dimension of a tree t is the height of the largest perfect binary tree, which can be obtained from t by contracting edges and accordingly identifying vertices. A tree of dimension $dim(t) = 2$ is illustrated in Figure 1.

Definition 1 (Grammars of bounded dimension)**.** *Context-free grammar G is of bounded dimension if the every parse tree t of G has $dim(t) \leq d$, where d is some constant. Then d is called a dimension $dim(G)$ of G.*

Definition 2 (Languages of bounded dimension)**.** *Languages of bounded dimension are languages generated by grammars of bounded dimension.*

Context-free language reachability. A *directed labeled graph* is a triple $D = (Q, \Sigma, \delta)$, where Q is a finite set of nodes, Σ is a finite set of alphabet symbols, and $\delta \subseteq Q \times \Sigma \times Q$ is a finite set of labeled edges. Let $L(D)$ denote a graph language a regular language, which is recognized by the NFA $(Q, \Sigma, \delta, Q, Q)$ obtained from D by setting every state as inial and accepting.

Let $i\pi j$ denote a unique path between nodes i and j of the input graph and $l(\pi)$ denote a unique string obtained by concatenating edge labels along the path π. Then the CFL-reachability can be defined as follows.

Definition 3 (Context-free language reachability)**.** *Let $L \subseteq \Sigma^*$ be a context-free language and $D = (Q, \Sigma, \delta)$ be a directed labeled graph. Given two nodes i and j we say that j is reachable from i if there exists a path $i\pi j$, such that $l(\pi) \in L$.*

There are four varieties of CFL-reachability problems: all-pairs problem, single-source problem, single-target problem and single-source/single-target problem [27]. In this paper we consider single-source/single-target problem.

3 Rational index of languages of bounded dimension

3.1 Upper bounds on the rational index of languages of bounded dimension

Assume w.l.o.g. that considered context free-grammars is a CFGs in Chomsky normal form as this simplifies the notation.

Before we estimate the value of the rational index for languages of bounded dimension, we need to prove the following.

Lemma 1. *Let $G = (\Sigma, N, P, S)$ be a context-free grammar in Chomsky normal form, $D = (V, E, \Sigma)$ be a directed labeled graph with n nodes. Let w be the shortest string in $L(G) \cap L(D)$. Then the height of every parse tree for w in G does not exceed $|N|n^2$.*

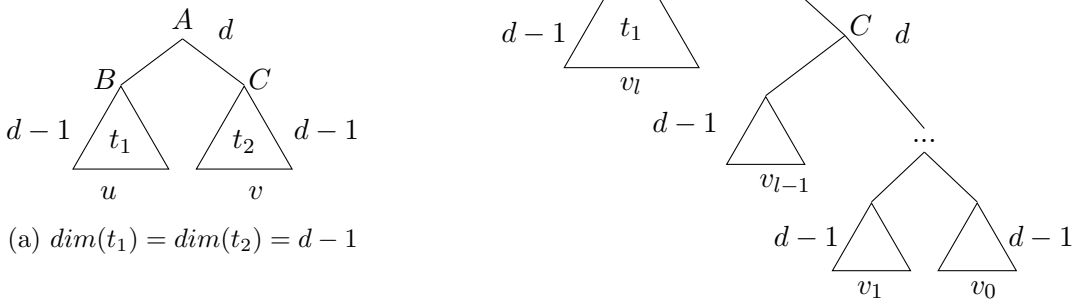
Proof. Consider grammar G' for $L(G) \cap L(D)$. The grammar $G = (\Sigma, N', P', S')$ can be constructed from G using the classical construction by Bar-Hillel et al. [4]: $N' \subseteq N \times V \times V$ contains all tiples (A, i, j) such that $A \in N, i, j \in V$; P' contains production rules in one of the following forms:

1. $(A, i, j) \to (B, i, k), (C, k, j)$ for all (i, k, j) in V if $A \to BC \in P$
2. $(A, i, j) \to a$ for all (i, j) in V if $A \to a$.

A triple (A, i, j) is *realizable* if and only if there is a path $i\pi j$ such that $A \stackrel{*}{\Rightarrow} l(\pi)$ for some nontermimal $A \in N$. Then the parse tree t_G for w in G can be converted into parse tree $t_{G'}$ in G'. Notice that every node of $t_{G'}$ is realizable triple. Also it is easy to see that the height of t_G is equal to the height of $t_{G'}$. Assume that $t_{G'}$ for w has a height of more than $|N|n^2$. Consider a path from the root of the parse tree to a leaf, which has length greater than $|N|n^2$. There are $|N|n^2$ unique labels (A, i, j) for nodes of the parse tree, so according to the pigeonhole principle, this path has at least two nodes with the same label. This means that the parse tree for w contains at least one subtree t with label (A, i, j) at the root, which has a subtree t' with the same label. Then we can change t with t' and get a new string w' which is shorter than w, because the grammar is in Chomsky normal form. But w is the shortest, then we have a contradiction. □

From Lemma 1 one can deduce an alternative proof of the fact that the rational index of linear languages is in $O(n^2)$ [5]: the number of leaves in a parse tree in linear grammar in Chomsky normal form is proportional to its height, and thus it is in $O(n^2)$.

Theorem 1. *Let $G = (\Sigma, N, P, S)$ be a grammar in Chomsky normal form with dimension $dim(G) = d$. Let $0 \leq d \leq c$, where c is some constant, $A \in N$, $\mathcal{A}$ — NFA with n states, where p and q is a start and final state respectively, and let w be the shortest string having a parse tree with root labeled by A. Suppose that there is a computation path $p \stackrel{w}{\rightsquigarrow} q$ in $\mathcal{A}$. Then $|w| \leq |N^d|n^{2d}$.*



(a) $dim(t_1) = dim(t_2) = d - 1$

(b) $dim(t_1) = d - 1$ and $dim(t_2) = d$

Figure 2: Two cases for the dimensions of children of the root

Proof. Proof by induction on $dim(G)$.

Basis. $dim(G) = 0$.

Consider the grammar G_0 with $dim(G_0) = 0$. $dim(G_0) = 0$ if G_0 has single rule of the form $S \to a$, where $a \in \Sigma$. Clearly, $|w| = 1 \leq |N|n^0 = |N|$.

Inductive step. $dim(G) = d$.

Let $d \geq 1$, then $A \to BC \in P$. Let $w = uv$, where $B \overset{*}{\Rightarrow} u$ and $C \overset{*}{\Rightarrow} v$. Let t_1 and t_2 are parse trees for u and v respectively. By the definition of the dimension there are two cases:

1. $dim(t_1) = dim(t_2) = d - 1$ (Figure 2a). Since w is the shortest, then u and v are the shortest, and the computation for w can be factorized as $p \overset{w}{\rightsquigarrow} q = p \overset{u}{\rightsquigarrow} r \overset{v}{\rightsquigarrow} q$. By the induction hypothesis, $|u|, |v| \leq |N|^{d-1}n^{2(d-1)}$. Then $|w| \leq 2|N|^{d-1}n^{2(d-1)} \leq |N|^d n^{2d}$.

2. $dim(t_1) < d$ and $dim(t_2) = d$ (Figure 2b). Then w can be factorized as $w = v_l v_{l-1} ... v_1 v_0$, where parse tree for v_i has dimension $d - 1$ in the worst case. By the induction hypothesis, $|v_i| \leq |N|^{d-1}n^{2(d-1)}$ for all i and by Lemma 1 $w = \sum_i |v_i| \leq l|N|^{d-1}n^{2(d-1)} \leq h|N|^{d-1}n^{2(d-1)} \leq |N|n^2|N|^{d-1}n^{2(d-1)} = |N|^d n^{2d}$.

   Case $dim(t_2) < d$ and $dim(t_1) = d$ can be proved symmetrically.

□

3.2 Lower bounds on the rational index of languages of bounded dimension

Theorem 2. *Let $G = (\Sigma, N, P, S)$ be a grammar of bounded dimension $dim(G) = d$, where d is some constant. Then there exists a language $L(G)$ with rational index in $O(n^{2d})$ for any n.*

Proof. Graph and grammar can be constructed inductively on $dim(G)$.

Basis. $dim(G) = 1$.

The family of the languages having dimension $d = 1$ coincides with the family of linear languages. Consider a linear grammar $G_1 = (\{a, b\}, \{S\}, \{S \to aSb \mid ab\}, S)$ which generates a language $L(G_1) = \{a^k b^k | k > 0\}$. Consider a NFA $\mathcal{A}_1$ consisiting of two cycles connected via a shared node q_0 (Figure 3). Suppose the first cycle consists of m edges labeled with a, and the second cycle consists of m' edges labeled with b. Let m and m' be coprime integers, and let q_0 be start and final state of $\mathcal{A}_1$. Then the length of the shortest word $w \in L(G_1) \cap L(\mathcal{A}_1)$ equals $2mm'$. Suppose $\mathcal{A}_1$ has n states, and let $m = n/2 + 1$, $m' = n/2$. It is easy to see that m and

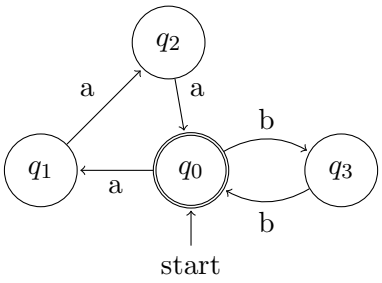


Figure 3: Worst-case NFA $\mathcal{A}_1$ for $L(G_1)$ and $n = 4$ ($m = 3, m' = 2$).

m' are coprime for all n. Then $|w| = 2mm' = 2n/2(n/2+1) = O(n^2) = O(n^{2d})$. This example is well-known to the community [14, 32].

Inductive step. $dim(G) = d$.

Let $G_{d-1} = (\Sigma_{d-1}, N_{d-1}, P_{d-1}, S_{d-1})$ be a context-free grammar with $dim(G_{d-1}) = d-1$ and $\mathcal{A}_{d-1} = (Q_{d-1}, \Sigma_{d-1}, \delta_{d-1}, q_0^{d-1}, \{q_0^{d-1}\})$ be a NFA with n states. Let w_0^{d-1} be the shortest string in $L(G_{d-1}) \cap L(\mathcal{A}_{d-1})$.

Construction of the grammar $G_d = (\Sigma_d, N_d, P_d, S_d)$. Grammar G_d can be defined as follows:

- $\Sigma_d = \Sigma_{d-1} \cup \{a_d, b_d, c_d\}$
- $P_d = P_{d-1} \cup P'$, where $P' = \{$
  $S_d \to A_d S_d c_d \mid A_d c_d$
  $A_d \to a_d A_d b_d \mid a_d S_{d-1} b_d$
  $\}$.
- $N_d = N_{d-1} \cup \{S_d, A_d\}$.

It is left to show that dimension $dim(G_d) = dim(G_{d-1}) + 1 = d$. Consider the dimension of the parse tree t labeled by A_d (Figure 4a). By the induction $dim(S_{d-1}) = d-1$. It is easy to see that dimension of $dim(t) = dim(G_{d-1}) = d-1$. Multiple applications of the rule $A_d \to a_d A_d b_d$ do not increase the dimension of the parse tree because the dimensions of nodes labeled with a_d, b_d are equal to 0.

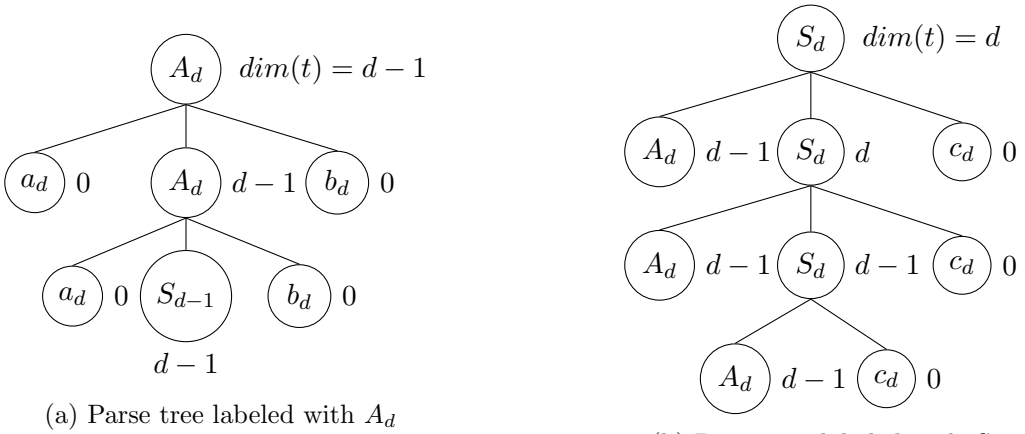
Now consider the dimension of the parse tree t labeled by S_d (Figure 4b). As it was mentioned above, nodes labeled with A_d have dimension $d-1$, nodes labeled with S_d have dimension $d-1$ and nodes labeled with c_d have dimension 0. As there is no unique maximum, $dim(t) = \max_i(v_i)+1 = d-1+1 = d$. Notice that only one application of the rule $S_d \to A_d S_d c_d$ increases the dimension of t, whereas futher applications do not make any effect on the dimension of parse tree.

Construction of NFA $\mathcal{A}_d = (Q_d, \Sigma_d, \delta_d, q_0^d, F_d)$ with n vertices.

Suppose w.l.o.g. that n is divisible by 4. Assume that the number of states in NFA $\mathcal{A}_{d-1} = (Q_{d-1}, \Sigma_{d-1}, \delta_{d-1}, q_0^{d-1}, \{q_0^{d-1}\})$ equals to $n/2$ (by the induction hypothesis such an automaton exists). Fix two coprime integers $m = n/4+1$ and $m' = n/4$.

Then NFA $\mathcal{A}_d$ can be defined as follows:

- $\Sigma_d = \Sigma_{d-1} \cup \{a_d, b_d, c_d\}$
- $\delta_d = \delta_{d-1} \cup \{$
  $(q_0^d, a_d) \to q_0^{d-1}$,
  $(q_0^{d-1}, b_d) \to q_1^d$,
  $(q_i^d, b_d) \to q_{i+1}^d, 1 \le i \le m-1$,
  $(q_i^d, a_d) \to q_{i-1}^d, 1 \le i \le m-1$,



(a) Parse tree labeled with A_d

(b) Parse tree labeled with S_d

Figure 4: Parse trees of G_d and dimensions of their vertices

$(q^d_{m-1}, b_d) \to q^d_0$,
$(q^d_0, c_d) \to p^d_1$,
$(p^d_i, c_d) \to p^d_{i+1}, 1 \le i \le m' - 1$,
$(p^d_{m'-1}, c_d) \to q^d_0\}$

- $F_d = \{q^d_0\}$
- $Q_d = Q_{d-1} \cup \{q^d_0, ..., q^d_{m-1}, p^d_0, ..., p^d_{m'-1}\}$

The general form of $\mathcal{A}_d$ is shown in Figure 5.

Let w be the shortest sting in $L(G_d) \cap L(\mathcal{A}_d)$. Consider how w is formed. Start state is q^d_0. According to the grammar rule $S_d \to A_d S_d c_d \mid A_d c_d$, w should start from a substring u such that $A_d \stackrel{*}{\Rightarrow} u$. There is the only one outgoing edge labeled with a_d, so the next state is q^{d-1}_0. The next part of w should be a symbol a_d or a word v such that $S_{d-1} \stackrel{*}{\Rightarrow} u$. As there is no outgoing edge labeled with a_d, u is the shortest string in $L(G_d) \cap L(\mathcal{A}_{d-1})$, and, hence, $u = w_0^{d-1}$. Now the first part of w is $a_d w_0^{d-1}$. To complete a substring derived by A_d, there is only one possible transition, which is an edge from q^{d-1}_0 to q^d_1 labeled with b_d. The next substring should be symbol c_d (the rule $S_d \to A_d c_d$) or a word derived by A_d. The only suitable transition here is an edge from q^d_1 to q^{d-1}_0 labeled by a_d, so the substring derived by A_d is started. Again, to complete the word generated by A_d, one goes to the state q^d_2, and w now starts with $a_d w_0^{d-1} b_d a_d a_d w_0^{d-1} b_d b_d$. By the construction of NFA $\mathcal{A}_d$, this process continues until one comes to the state q^d_0 without starting a substring derived by A_d (notice that such substrings are the shortest possible). It happens after m iterations. Then it is left to read m symbols c_d by going from q^d_0 to q^d_0. But m and m' are coprime, so to balance the number of substrings derived by A_d and the number of symbols c_d, one needs to repeat the first cycle m' times and the second cycle m times.

Now estimate the length of w. Let w_i be the shortest string such that there exists computation $q^d_{i-1} \stackrel{w_i}{\rightsquigarrow} q^d_i$ ($q^d_{m-1} \stackrel{w_m}{\rightsquigarrow} q^d_0$ for w_m) for $1 \le i \le m$ in $\mathcal{A}_d$ and $A_d \stackrel{*}{\Rightarrow} w_i$. Notice that $w_i = a_d w_{i-1} b_d$ and $w_0 = w_0^{d-1}$, and there exists computation $q^d_0 \stackrel{w_1}{\rightsquigarrow} q^d_1 \stackrel{w_2}{\rightsquigarrow} q^d_2 \stackrel{w_3}{\rightsquigarrow} ... \stackrel{w_{m-1}}{\rightsquigarrow} q^d_m \stackrel{w_m}{\rightsquigarrow} q^d_0$ in $\mathcal{A}_d$.

Considering the above and the rules of the grammar G_d $S_d \to A_d S_d c_d | A_d c_d$, w is of the following form:

$$w = (\prod_{i=1}^{m} w_i)^{m'} c_d^{mm'}$$

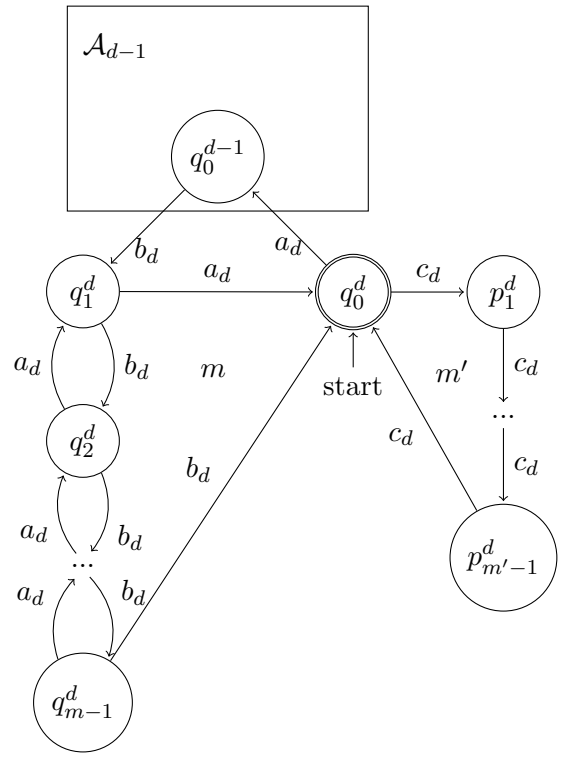


Figure 5: NFA $\mathcal{A}_d$

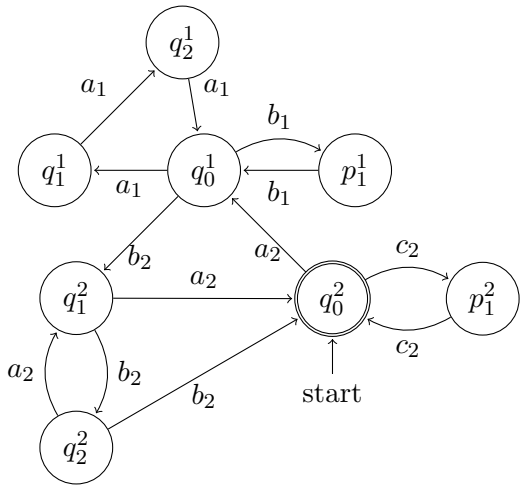


Figure 6: NFA $\mathcal{A}_2$ with $n = 8$ and $m = 3, m' = 2$

Then the length of w can be calculated as follows:

$$|w| = (\sum_{i=1}^{m} |w_i|)m' + mm' = (\sum_{i=1}^{m} (|w_0^{d-1}| + 2i))m' + mm' = (\sum_{i=1}^{m} |w_0^{d-1}| + \sum_{i=1}^{m} 2i)m' + mm' =$$

$$= |w_0^{d-1}|mm' + 2mm' + (m-1)mm' + mm' = |w_0^{d-1}|mm' + 3mm' + (m-1)mm'.$$

As the NFA $\mathcal{A}_{d-1}$ has $n/2$ states, by the induction assumption $|w_0^{d-1}| = O((n/2)^{2d-2})$. Recall that $m = n/4 + 1$ and $m' = n/4$. Then the length of w is equal to:

$$|w| = O((n/2)^{2d-2})(n/4+1)n/4 + 3(n/4+1)n/4 + (n/4+1)n^2/16 =$$

$$= O((n/2)^{2d-2})n^2/16 = O(n^{2d}).$$

Let $n = 2^k$, where $k > 0$ is some constant.

For $d = 1$ let $m = 2^{k-1} + 1$ and $m' = 2^{k-1}$. Then $|w| = 2^k(2^{k-1} + 1) \geq 2^{2k-1}$.

For $d = 2$ let $m = 2^{k-2} + 1$ and $m' = 2^{k-2}$. Then $|w| \geq 2^{2k-3}(2^{k-2} + 1)2^{k-2} \geq 2^{4k-7}$.

Suppose that $|w| \geq 2^{2k(d-1)-c}$ for $d-1$ and some constant $c > 0$. Then for $dim = d$ $|w| \geq 2^{2(k-1)(d-1)-c}(2^{k-2} + 1)2^{k-2} \geq 2^{2k-4+2kd-2k-2d+2-c} = 2^{2kd-2d-2-c} = 2^{2kd-c'}$, where $c' = c + 2d + 2$. □

Example 1. *The automaton $\mathcal{A}_2$ with $n = 8$ states, $m = 3$ and $m' = 2$ is illustrated in Figure 6, the rules of the grammar G_2 : $\{S_2 \to A_2S_2c_2|A_2c_2; A_2 \to a_2A_2b_2|a_2S_1b_2; S_1 \to a_1S_1b_1|a_1b_1\}$.*

3.3 The rational indices of some subclasses of languages of bounded dimension

Superlinear languages. A context-free grammar $G = (\Sigma, N, P, S)$ is *superlinear* [6] if all productions of P satisfy these conditions:

1. there is a subset $N_L \subseteq N$ such that every $A \in N_L$ has only linear productions $A \to aB$ or $A \to Ba$, where $B \in N_L$ and $a \in \Sigma$.
2. if $A \in N \setminus N_L$, then A can have non-linear productions of the form $A \to BC$ where $B \in N_L$ and $C \in N$, or linear productions of the form $A \to \alpha B \mid B\alpha \mid \alpha$ for $B \in N_L$, $\alpha \in \Sigma^*$.

A language is *superlinear* if it is generated by some superlinear grammar.

Theorem 3. *Let G be a superlinear grammar. Then $\rho_{L(G)}$ is in $O(n^4)$.*

Proof. From the definition of superlinear grammar G it is observable that its parse trees have dimension at most 2. From Theorem 1, if dimensions of all parse trees are bounded by some k then the rational index $\rho_{L(G)}$ of such language is in $O(n^4)$. □

Bounded-oscillation languages Bounded-oscillation languages were introduced by Ganty and Valput [11] as the generalization of the class of linear languages.

Oscillation is defined using a hierarchy of *harmonics*. Let $\bar{a}$ be a *push*-move and a be a *pop*-move. Then a PDA run r can be described by a well-nested sequence $\alpha(r)$ of $\bar{a}$-s and a-s. Two positions $i < j$ form a *matching pair* if the corresponding $\bar{a}$ at i-th position of the sequence matches with a at j-th position. For example, word $\bar{a}\bar{a}\bar{a}aa\bar{a}aa$ has the following set of matching pairs: $\{(1,8),(2,5),(3,4),(6,7)\}$ $(\bar{a}(\bar{a}(\bar{a}a)a)(\bar{a}a)a)$.

Harmonics are inductively defined as follows:

- order 0 harmonic h_0 is ε
- $h_{(i+1)}$ harmonic is $\bar{a}h_i a\ \bar{a}h_i a$.

PDA run r is *k-oscillating* if the harmonic of order k is the greatest harmonic that occurs in r after removing 0 or more matching pairs.

Definition 4 (Bounded-oscillation languages)**.** *Bounded-oscillation languages are languages accepted by pushdown automata with all runs k-oscillating.*

It is important that the problem whether a given CFL is a bounded-oscillation language is undecidable [11].

The oscillation of a parse tree of a context-free grammar can be defined similarly to the oscillation of a PDA run. Given a parse tree t, we define corresponding well-nested word $\alpha(t)$ inductively as follows:

- if n is the root of t then $\alpha(t) = \bar{a}\alpha(n)$
- if n is a leaf then $\alpha(n) = a$
- if n has k children then $\alpha(n) = a \underbrace{\bar{a}...\bar{a}}_{k \text{ times}} \alpha(n_1)...\alpha(n_k)$

Moreover, given a PDA run r, there exists a corresponding parse tree t with the same well-nested word $\alpha(t) = \alpha(r)$ and vice versa [11]. Therefore, a language L is of bounded oscillation if all parse trees in a corresponding context-free grammar have bounded oscillation.

The oscillation of a parse tree is closely related with its dimension. It is known that the dimension of parse trees and its oscillation are in linear relationship.

Lemma 2 ([11])**.** *Let a grammar $G = (\Sigma, N, P, S)$ be in Chomsky normal form and let t be a parse tree of G. Then $osc(t) - 1 \leq dim(t) \leq 2osc(t)$.*

Combining Theorem 1 and Lemma 2 we obtain the following.

Corollary 1. *Let L be a k-bounded-oscillation language. Then $\rho_{L(G)}$ does not exceed $O(n^{4k})$.*

4 Conclusion and open problems

We have proved that bounded-oscillation languages have polynomial rational index. This means that the CFL-reachability problem and Datalog query evalution for these languages is in NC. This class is a natural generalization of linear languages, and might be the largest class of queries among such generalizations that is known to be in NC.

There is a family of languages which has polynomial rational index, but is incomparable with the linear languages: *the one-counter languages*. Moreover, it is not comparable with the bounded-oscillation languages: for example, the Dyck language D_1 is a one-counter language, but not a bounded-oscillation language for any k. Could this class be generalized in the same manner as linear languages with respect to the polynomiality of the rational index? One can consider the Polynomial Stack Lemma by Afrati et al. [1], where some restriction on the PDA stack contents are given, or investigate the properties of the substitution closure of the one-counter languages, which is known to have polynomial rational index [5].

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