

## Polytime reductions

Turing vs Many-one reductions.

$A \rightarrow B$ . A uses B as an oracle. If  $B \in NP \Rightarrow A \in NP ?$   $\leftarrow$  equivalent to  $NP = co-NP ?$

If  $B \in NP$  and  $A = \overline{B} \rightarrow B$  then  $\overline{B} \in NP$  i.e.  $NP = co-NP$  (we don't know)

$SAT \rightarrow \overline{SAT}$

oracle access, flip ans.

## Primality testing

Primes  $\in co-NP$

↪ Give composite numbers as proof.

Primes  $\in P$

{ AKS test }

↪ Primes  $\in NP$   $\{1, \dots, N-1\}$  is <sup>& order  $N-1$</sup>  cyclic iff  $N$  is prime.

↪ 1. Guess a s.t.  $a^n \neq 1$  for any  $n < N-1$

$a^{N-1} = 1$ . So, we need  $a^{(N-1)/p_i} \neq 1$  for every prime divisor of  $N-1$

2. Guess  $p_1, \dots, p_k | N-1$ .

Certificate for  $p_i \downarrow$  being prime.

1. Largest prime factor of  $N-1$  has size  $\leq \sqrt{N}$

2. Atmost  $\log(N-1)$  primes

$$S(\log N) = \sum_i S(\log p_i) + \underbrace{\log^2(N)}_{\text{Verif } a^{(N-1)/p_i} \neq 1}$$

$$\leq (\log^2 N + \sum_i (\log p_i)^c)$$

$$\leq \log^2 N + \left(\sum_i \log p_i\right)^c \quad \text{i.e. on } \log N \text{ numbers.}$$

$$\leq \log^2 N + (\log(N-1))^c \quad \text{exp. takes time } \log N$$

$$= O(\log N)^c$$

$$\text{ZPP} = \text{RP} \cap \text{co-RP}$$

①  $L \in \text{RP} \cap \text{co-RP}$  (M<sub>1</sub>) (M<sub>2</sub>)  
Monte-Carlo TMs for L and  $\bar{L}$

1. run w on M<sub>1</sub>, if M<sub>1</sub> accepts, accept  
2. run w on M<sub>2</sub>, if M<sub>2</sub> accepts, reject  
if w ∈ L, has 50% chance of working } 50% in each  
w ∉ L, 50% chance round.

$$\text{Time} = 2p(n)$$

$$\text{Next, repeating, } p(\text{reaches round } i) = \left(\frac{1}{2}\right)^i$$

$$\text{So } \mathbb{E}[\text{running time}] = 2p(n) \sum \left(\frac{1}{2}\right)^i = 4p(n).$$

Q: Almost sure termination? → Yes,  $\mathbb{E}[\text{running time}] = \text{stronger.}$

②  $L \in \text{ZPP}$ .

M<sub>1</sub> accepts or rejects in expected time p(n)

M<sub>2</sub>: simulates M<sub>1</sub> for 2p(n) steps.

Let p(acceptance within 2p(n) time) = c < 1/2

$\mathbb{E}_{M_1}[\text{time}] \geq (1-c)2p(n) > p(n)$  i.e. contradiction.

$$\{2(1-c) \geq 1\}$$

Hence,  $L \in \text{RP}$ .

$L \in \text{ZPP}$  so, similarly  $L \in \text{co-RP}$ .

$\text{RP} \subseteq \text{NP}$

$L \in \text{RP}$   
 $\exists \text{TM } M \text{ s.t. if } w \in L, M \text{ rejects } w \} \text{ all runs in}$   
 $w \notin L, 50\% \text{ accept } \} \text{ time poly}(n)$

Instead of having q's introduce non-deterministic transitions.  
so, w ∈ L, then accepted.

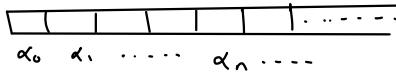
## Cook's Thm (SAT is NP-complete)

1. SAT is in NP.

- Guess an assignment  $x$ . If encoded  $E$  is of length  $n$ ,  $O(n)$  time suffices
- Evaluate assignment  $T$ , if  $E(T)=1$ , accept

2. If  $L$  is in NP, it reduces to SAT.

Let  $\mathcal{M}$  single tape NTM s.t.  $p(n)$  steps atleast for  $w \in L$ .



$M$  accepts input  $w$  then,

1.  $\alpha_0 = \text{initial ID}$

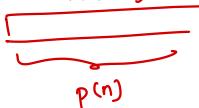
$\alpha_0 \rightarrow \alpha_1 \rightarrow \dots \rightarrow \alpha_k$  where  $k \leq p(n)$

$\alpha_k = \text{accepting}$

2.  $\alpha_i = \text{sequence of symbols } X_{i,0} X_{i,1} \dots X_{i,p(n)} . X_{ij} = \text{state}, \text{ we need only } p(n) \text{ tape symbols}$

(state of turing machine tape)

↳ head + symbols



$$y_{ij,A} : X_{ij} = A$$

3. i)  $q_0 w$  is initial state

ii) Next move is correct

iii) Finishes right  $\rightarrow$  there is some ID i.e. accepting

Correct

Starts Right

$X_{00}$  must be the start state  $q_0$  of  $M$ ,  $X_{01}$  through  $X_{0n}$  must be  $w$  (where  $n$  is the length of  $w$ ), and the remaining  $X_{0j}$ , must be the blank,  $B$ . That is, if  $w = a_1 a_2 \dots a_n$ , then:

$$S = y_{00a_0} \wedge y_{01a_1} \wedge y_{02a_2} \wedge \dots \wedge y_{0na_n} \wedge y_{0,n+1,B} \wedge y_{0,n+2,B} \wedge \dots \wedge y_{0,p(n),B}$$

ID	0	1	...			...	$p(n)$
$\alpha_0$	$X_{00}$	$X_{01}$					$X_{0,p(n)}$
$\alpha_1$	$X_{10}$	$X_{11}$					$X_{1,p(n)}$
$\alpha_i$				$X_{i,i-1}$	$X_{i,i}$	$X_{i,i+1}$	
$\alpha_{i+1}$				$X_{i+1,i-1}$	$X_{i+1,i}$	$X_{i+1,i+1}$	
$\alpha_{p(n)}$	$X_{p(n),0}$	$X_{p(n),1}$					$X_{p(n),p(n)}$

Since we assume that an accepting ID repeats forever, acceptance by  $M$  is the same as finding an accepting state in  $\alpha_{p(n)}$ . Remember that we assume  $M$  is an NTM that, if it accepts, does so within  $p(n)$  steps. Thus,  $F$  is the OR of expressions  $F_j$ , for  $j = 0, 1, \dots, p(n)$ , where  $F_j$  says that  $X_{p(n),j}$  is an accepting state. That is,  $F_j$  is  $y_{p(n),j,a_1} \vee y_{p(n),j,a_2} \vee \dots \vee y_{p(n),j,a_k}$ , where  $a_1, a_2, \dots, a_k$  are all the accepting states of  $M$ , Then,

$$F = F_0 \vee F_1 \vee \dots \vee F_{p(n)}$$

### Construction of $N$

$$N = N_0 \wedge N_1 \wedge \dots \wedge N_{p(n)-1}$$

{  $\alpha_{i+1}$  is one of possibilities allowed by TM  $M$  using  $\alpha_i^*$  }  $\leftarrow N$ :

$$N_i = \bigwedge_{j=0}^{p(n)} (A_{ij} \vee B_{ij})$$

↑ head cannot influence state  $j$

State of  $X_{ij}$   $\leftarrow$   $i$  is at position  $j$  (head) and  $\rightarrow$  move to transform

$$X_{i+1,j} = X_{ij}$$

$$B_{ij} = (y_{i,j-1,Z_1} \vee y_{i,j-1,Z_2} \vee \dots \vee y_{i,j-1,Z_r}) \wedge (y_{i,j,Z_1} \vee y_{i,j,Z_2} \vee \dots \vee y_{i,j,Z_r}) \wedge \dots \wedge (y_{i,j+1,Z_1} \vee y_{i,j+1,Z_2} \vee \dots \vee y_{i,j+1,Z_r}) \wedge \dots \wedge (y_{i,j,Z_1} \wedge y_{i+1,j,Z_1}) \vee (y_{i,j,Z_2} \wedge y_{i+1,j,Z_2}) \vee \dots \vee (y_{i,j,Z_r} \wedge y_{i+1,j,Z_r})$$

$$x_{ij} \leftarrow x_{i+1,j}$$

$B_{ij}$  is easier to write. Let  $q_1, q_2, \dots, q_m$  be the states of  $M$ , and let  $Z_1, Z_2, \dots, Z_r$  be the tape symbols. Then:

$\leftarrow$   $K_{i,j-1}$  is a tape symbol

$X_{i,j-1}, X_{ij}, X_{i,j+1}, X_{i+1,j-1}, X_{i+1,j}$ , and  $X_{i+1,j+1}$ . An assignment of symbols to each of these six variables is *valid* if:

1.  $X_{ij}$  is a state, but  $X_{i,j-1}$  and  $X_{i,j+1}$  are tape symbols.
2. Exactly one of  $X_{i+1,j-1}, X_{i+1,j}$ , and  $X_{i+1,j+1}$  is a state.
3. There is a move of  $M$  that explains how  $X_{i,j-1}X_{ij}X_{i,j+1}$  becomes

$$X_{i+1,j-1}X_{i+1,j}X_{i+1,j+1}$$

Possibilities:

$$y_{i,j-1,D} \wedge y_{i,j,q} \wedge y_{i,j+1,A} \wedge y_{i+1,j-1,p} \wedge y_{i+1,j,D} \wedge y_{i+1,j+1,C}$$

$$y_{i,j-1,D} \wedge y_{i,j,q} \wedge y_{i,j+1,A} \wedge y_{i+1,j-1,D} \wedge y_{i+1,j,C} \wedge y_{i+1,j+1,p}$$

$$\begin{array}{l} Dq[A] \rightarrow pDC, \delta(q, A) = (p, C, L) \\ \curvearrowleft \quad \curvearrowright DCP, \delta(q, A) = (p, C, R) \end{array}$$

$A_{ij} = \text{OR of all valid terms}$

$$E_{M,w} = S \wedge N \wedge F$$

as a function of both  $M$  and  $w$ , the fact is that only the “starts right” part  $S$  that depends on  $w$ , and it does so in a simple way ( $w$  is on the tape of the initial ID). The other parts,  $N$  and  $F$ , depend on  $M$  and on  $n$ , the length of  $w$ , only.

**Theorem 11.3:** If  $M$  is a polynomial-space-bounded TM (deterministic or nondeterministic), and  $p(n)$  is its polynomial space bound, then there is a constant  $c$  such that if  $M$  accepts its input  $w$  of length  $n$ , it does so within  $c^{1+p(n)}$  moves.

Pf: Idea (ID must repeat in those many moves  $\Rightarrow$  smaller sequence)

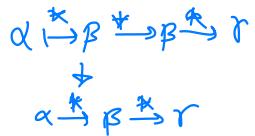
$t = \text{number of tape symbols}$

$S = \text{number of states in } M$

$$\text{Number of different IDs used} = S^{p(n)} t^{p(n)}$$

# position for head, state =  $S^{p(n)}$

# tape possibilities =  $t^{p(n)}$



$$\text{Hence } c = S + t$$

$$(t+S)^{1+p(n)} = t^{1+p(n)} + S t^{p(n)} (1+p(n)) \geq \# \text{ids.} \quad \blacksquare$$

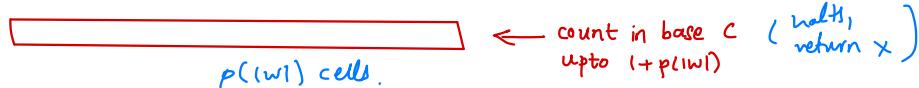
**Theorem 11.4:** If  $L$  is a language in  $PS$  (respectively  $NPS$ ), then  $L$  is accepted by a polynomial-space-bounded deterministic (respectively nondeterministic) TM that halts after making at most  $c^{q(n)}$  moves, for some polynomial  $q(n)$  and constant  $c > 1$ .

$L$  is accepted by  $M_1$ ,  $s \cdot p(m)$  symbols, accepts in  $c^{1+p(|w|)}$  steps.

$M_2$



$\left\{ \begin{array}{l} p^2(n) \\ \text{cells} \\ \text{in 1 tape} \end{array} \right\}$   
 $(M_3)$



## Savitch's thm

**Theorem 11.5: (Savitch's Theorem)  $PS = NPS$ .**

$PS \subseteq NPS$  (trivial since  $DTM \subseteq NTM$ )

Let  $L$  be accepted by some NTM  $N$  with space bound  $p(n)$   
 $\Rightarrow$  accepts with  $c^{1+p(n)}$  steps

DTM  
can  $NTM \Sigma \rightarrow \Sigma$  in  
 $m$  steps

$[I_0, J, m]$

1.  $I_0$  is the initial ID of  $N$  with input  $w$ .
2.  $J$  is any accepting ID that uses at most  $p(n)$  tape cells; the different  $J$ 's are enumerated systematically by  $D$ , using a scratch tape.
3.  $m = c^{1+p(n)}$ .

We argued above that there will never be more than  $\log_2 m$  recursive calls that are active at the same time, i.e., one with third argument  $m$ , one with  $m/2$ , one with  $m/4$ , and so on, down to 1. Thus, there are no more than  $\log_2 m$  stack frames on the stack, and  $\log_2 m$  is  $O(p(n))$ .

$$\log_2(c^{1+p(n)}) \Rightarrow \text{space} = O(p(n)^2)$$

$[I, J, m] \leftarrow$  plus size each

**QBF is PS-Complete**

1. QBF is in PS

1. The expression is of the form  $(E)$ . Then  $E$  is of length  $n - 2$  and can be evaluated to be either 0 or 1. The value of  $(E)$  is the same.
2. The expression is of the form  $\neg E$ . Then  $E$  is of length  $n - 1$  and can be evaluated. If  $E = 1$ , then  $\neg E = 0$ , and vice versa.
3. The expression is of the form  $EF$ . Then both  $E$  and  $F$  are shorter than  $n$ , and so can be evaluated. The value of  $EF$  is 1 if both  $E$  and  $F$  have the value 1, and  $EF = 0$  if either is 0.
4. The expression is of the form  $E + F$ . Then both  $E$  and  $F$  are shorter than  $n$ , and so can be evaluated. The value of  $E + F$  is 1 if either  $E$  or  $F$  has the value 1, and  $E + F = 0$  if both are 0.
5. If the expression is of the form  $(\forall x)(E)$ , first replace all occurrences of  $x$  in  $E$  by 0 to get the expression  $E_0$ , and also replace each occurrence of  $x$  in  $E$  by 1, to get the expression  $E_1$ . Observe that  $E_0$  and  $E_1$  both:
  - Have no free variables, because any occurrence of a free variable in  $E_0$  or  $E_1$  could not be  $x$ , and therefore would be some variable that is also free in  $E$ .
  - Have length  $n - 6$ , and thus are shorter than  $n$ .
 Evaluate  $E_0$  and  $E_1$ . If both have value 1, then  $(\forall x)(E)$  has value 1; otherwise it has the value 0. Note how this rule reflects the "for all  $x$ " interpretation of  $(\forall x)$ .
6. If the given expression is  $(\exists x)(E)$ , then proceed as in (5), constructing  $E_0$  and  $E_1$ , and evaluating them. If either  $E_0$  or  $E_1$  has value 1, then  $(\exists x)(E)$  has value 1; otherwise it has value 0. Note that this rule reflects the "exists  $x$ " interpretation of  $(\exists x)$ .

1. Recursively evaluate  
using stack as tm.

2.  $PTPS \rightarrow QBF$ . (Similar to proof of Cook's thm)

