

# CS310 : Automata Theory

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Hopcroft, motwani : 1.2 - 1.4 (proof systems)

# Introductory class

Automata Theory is known by :

1. Computability theory
2. Theory of Computation
3. Formal language theory

{ Automata theory developed to complexity theory, though complexity will not be covered}

- Calculational method  $\equiv$  Algorithm, e.g. gcd
- 1. Model of System (Semantics of Prog. Lang)
- 2. Computer system of Implementation
- Turing machine : one of central ideas of this course

Von-neumann architecture : ALU + Registers + control unit Data bus + Memory (data & prog.)  
(stored program machine)

{ equivalence b/w iterative and recursive programs ? which is more powerful ? }

# Recursive language

primitive recursion: constant 0,  $f(x) = x + 1$

function composition:  $f(g(x))$

Recursive function invocation:  $f(x+1) = h(f(x))$

**add(x, y)**

$\text{add}(x, 0) = x$

$\text{add}(x, S(y)) = S(\text{add}(x, y))$

**mult(x, y)**

$\text{mult}(x, 1) = x$

$\text{mult}(x, y+1) = \text{add}(\text{mult}(x, y), x)$

**exp(x)**

$\text{exp}(0) = 1$

$\text{exp}(y+1) = \text{mult}(2, \text{exp}(y))$

Proof of  $\text{exp}(n) = 2^n$  (induction on n)

Ackermann function

→ Because the semantics  
are not followed, 2<sup>nd</sup> param inc ↑

#ques: why doesn't fit into primitive recursion?

$A(0, y) = y + 1$

#ques: while model?

$A(x+1, 0) = A(x, 1)$

while programs are stronger than recursive ones,  
a stack of nums can be mapped to a single one  
using godel's numbering scheme (Gödel: general  
recursion conjectured to be as strong)

$A(x+1, y+1) \geq A(x, A(x+1, y))$

# Models of computation

- Counter machines
- Godel's Mu Recursive Functions
- Turing Machines
- Lambda Calculus
- Post system
- Chomsky Unrestricted Grammars
- Programming Languages: Fortran, Pascal, C, Lisp, Java.

Blue will be covered, others maybe

Note : Termination of ackermann function  
can be shown by double induction

Study of Systems of Computations:

- (**formal verification**) What are the properties of a concrete algorithm given in a system of computation? What methods can be used to analyse such questions?
- (**expressivity**) What kind of calculations are possible in a system of computation?  
Can system A compute everything that is computable in system B?
- (**computability**) Is a problem solvable in given system of computation?

Explored first by logicians and mathematicians (Hilbert, Godel, Church, Turing, Post, Kleene, ...)

- Turing : 1. Turing machine  
2. Church - Turing machine  
3. Universal turing machines  
(Turing - church hypothesis)  
4. Undecidability of halting problem  
  
**(absolute limits to computation)**

Computations which can be carried out with only a bounded amount of memory.

### Theorem

Every **Sequential Circuit** can be modelled as an equivalent **Finite State Automaton** and vice versa.

Given sequential circuits  $A$  and  $B$ , do they have the same behaviour?

Answered by checking if  $\text{Aut}(A) \equiv \text{Aut}(B)$ .

### Applications of Finite Automata

- Design of Digital Circuits
- Communication protocols, computer architecture
- Discrete control systems
- Analysis of correct behaviour of above systems (model checking)
- Regular expression pattern matching (most programming languages)
- Construction of lexical analyzers in compiler design
- Natural language processing (tokenization)

#ques : recursive progs are as hard as number theory (fermat's conjecture)

#ques : Is there a semantic for models of computation (i.e. what is a model of computation over which turing machine is most general)

#quel : Is this same as symbolic algo ?  
(this = recursion)

# Finite State Automata

Kozen: lectures 2 & 3

Hopcroft, Motwani & Ullmann: chap 1 & 2

Decision Problem (yes or no questions)

e.g. Given a directed graph, constant  $k$ ,  
Is there a path of length at most  $k$ ?

A : set of candidates, instances (e.g.  $\mathbb{N}$ )

B : subset of A for which answer is yes (e.g. prime)  
Given  $x \in A$  to determine if  $x \in B$

Automaton for  $(A, B)$

A machine which can solve any given instance of  
a decision problem  $(A, B)$

Test for eulerian # To do: give a proof

$G$  is eulerian iff  $\sum_v d(v)$  is even and  $G$  is connected

Standard formulation of instances (finite set)

Encode each input as a string of alphabet ( $\Sigma$ )

Unary encoding of  $n$ : repeat "a"  $n$  times  
 $\emptyset$  is empty string ( $\Sigma = \{a\}^*$   
 $= Q^n$ )

Graph inputs e.g. adjacency list  
(flatten into string encoding) (notation)

Instance of a problem: finite word

# Todo : insert grammar here

Notation :  $x, y, z, \dots$  will denote words

$x \circ y$  and  $xy$  is same thing

# concatenation with alphabet forms monoid  
 $(S, \circ)$  is a monoid if  $\circ$  is associative and  
there is an identity, i.e. semigroup with identity.  
if every element has an inverse then group

$$x^{n+1} = (x^n) x \quad \{ \text{recursive definition} \}$$

Structural induction is v. important

Defining length

$$|x| : \Sigma^* \rightarrow \mathbb{N}$$

$$|\emptyset| = 0$$

$$|ua| = |u| + 1$$

language over  $\Sigma = L(\Sigma) \subseteq \Sigma^*$

e.g.  $\Sigma = \{a\}$ ,  $A = \{a^p \mid p \text{ is prime}\}$

$\Sigma = \{0, 1\}$ ,  $x \in \Sigma^*$ ,  $\#0(x) = \text{count of zeros}$ ,

$\#1(x) = \text{count of ones}$ .  $A = \{x \in \Sigma^* \mid \#0(x) = \#1(x)\}$

( $\#$  can be defined inductively again)

$A = \{x \in \Sigma^* \mid \exists i \in \mathbb{N} \text{ s.t. } x \text{ is binary rep of } 2^i\}$

$\Sigma = \{a, \dots, z\}$ . palindromes are language

$\Sigma = \text{ASCII}$ . valid C programs are language

Let  $\Sigma = \{0, 1\}$ .  $A = \{0^n 1^n \mid n \geq 0\}$

#Notation:  $A = 0^n 1^n$  is equivalent notation

Operations on languages: 1. Usual algebra over sets

#To do: coze chapter 2 ( $\cup, \cap, \sim$ ) (same alphabet for both languages)

2. Concatenation (forms a monoid over languages as well)

$$L_1 \circ L_2 = \{a \cdot b \mid a \in L_1, b \in L_2\}$$

(languages can be infinite as  $\Sigma^*$  is infinite)

e.g.  $\{a^p \mid p \text{ is prime}\}$

Props:  $A \circ (B \cup C) = A \circ B \cup A \circ C$

Language recognition problem:  $x \in \Sigma^*$ , does  $x \in A$   
( $A$  is a language)

All computational decision problems can be encoded  
as language recognition problems

e.g.  $A_1 = \{x \in \{a, b\}^* \mid \#a(x) = \#b(x)\}$   
1 counter program (inc, dec)

# ques : Why is induction an axiom over structures,  
and does it depend on the property of the structure?

1. Unique homomorphic extension theorem

A helper  $f^n$  which applied to  
satisfy structural defn is unique

↓  
must satisfy  
an underlying  
inductive property

2. free generation

elements of lang when measured as  $\# f^n$ 's applied  
(e.g. length = # power), so, number of  $f^n$ 's should  
be unambiguous.

Short answer : Yes, it depends on the structure,  
(works for context-free grammars)

#ques: If problem solvable 2 counters but  
not 1 counter

#ques: Why can any computational decision  
problem be modelled as a language detection  
over words

# Finite State Automata

(S1&123)

# To do : paste list of topics

## DFA

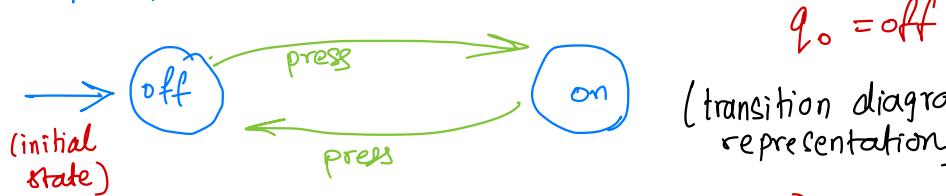
e.g. light with toggle switch.

Set of states

$$\text{Actions} = \Sigma = \{\text{press}\}$$

(alphabet)

$$\mathcal{L} = \{\text{off, on}\}$$



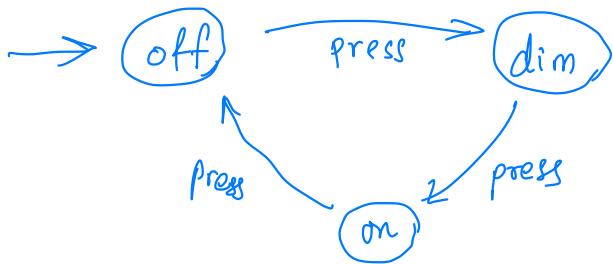
Transition function ( $f : Q \times \Sigma \rightarrow Q$ )

$$f(\text{off}, \text{press}) = \text{on}$$



$$f(\text{on}, \text{press}) = \text{off}$$

e.g. dimmable light



Accepting run : define set of final states . Final states  $F \subseteq Q$ . Run is accepting if end state in F (accepts a language)

Language :  $\emptyset$  or words of form  $ua, u \in \Sigma^*$

## Formal definition of automaton

$$Q = \{s_1, s_2\}$$

$$\Sigma = \{a, b\}$$

$$q_0 = s_1$$

$$F = \{s_1\}$$

$$\delta = \{(s_1, a, s_1), (s_1, b, s_2), (s_2, b, s_2), (s_2, a, s_1)\}$$

( $\delta$  is a total function : defined on entire  $Q \times \Sigma$ )

$\rightarrow$  used in theorems about DFA

1. 5-tuple method  $(Q, \Sigma, q_0, F, \delta)$

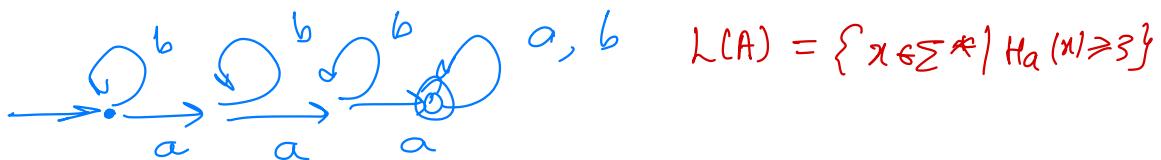
2. Transition diagram

3. Transition table

e.g.

states			alphabet
	a	b	
0	1	0	
1	2	1	
2	3	2	
3F	3	3	

final state has  
F next to it



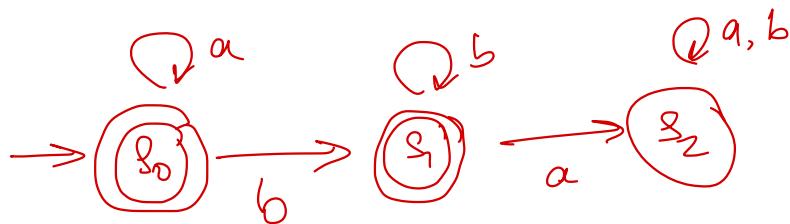
$q \xrightarrow{a} q'$  denotes  $\delta(q, a) = q'$

- A run of DFA A on  $x = a_0, a_1, \dots, a_{n-1}$  is a sequence of states  $q_0, q_1, \dots, q_n$  s.t.  $q_i \xrightarrow{a_i} q_{i+1}$  for  $0 \leq i \leq n$
- For a given word  $x$ , the DFA has a unique run
- A run is accepting if last state  $q_n \in F$

syntax = just def<sup>n</sup>. semantics = run, accepting word, etc -  
(does not mean anything)

$L(A) = \{u \in \Sigma^* \mid \text{The run of } A \text{ on } u \text{ is accepting}\}$   
 Language is **regular** if accepted by a DFA.

# ques: Does regularity of a problem depend on encoding?

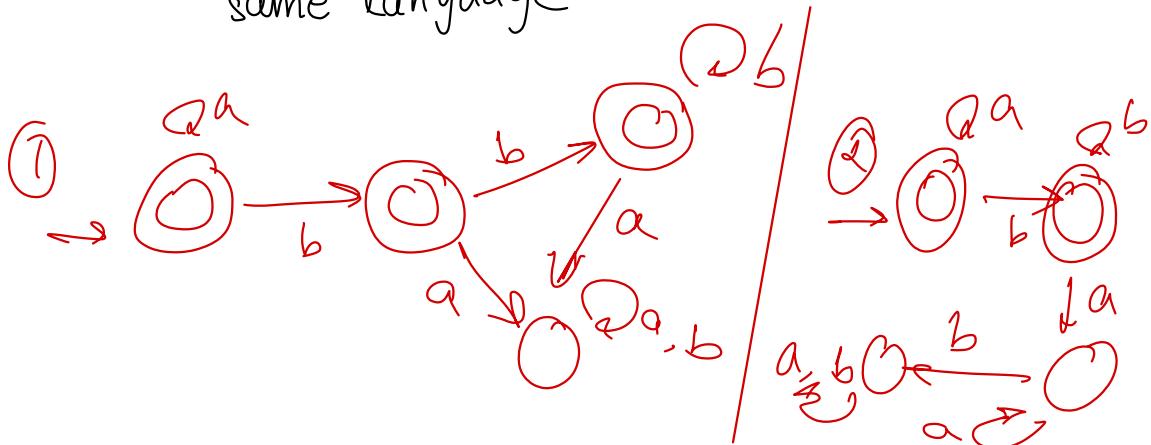


$$S_0 = (\#b = 0)$$

$$S_1 = (\#b > 0)$$

$$S_2 = (b \text{ preceding } a)$$

# ques : Can we have non-isomorphic automata with same number of states, encoding the same language

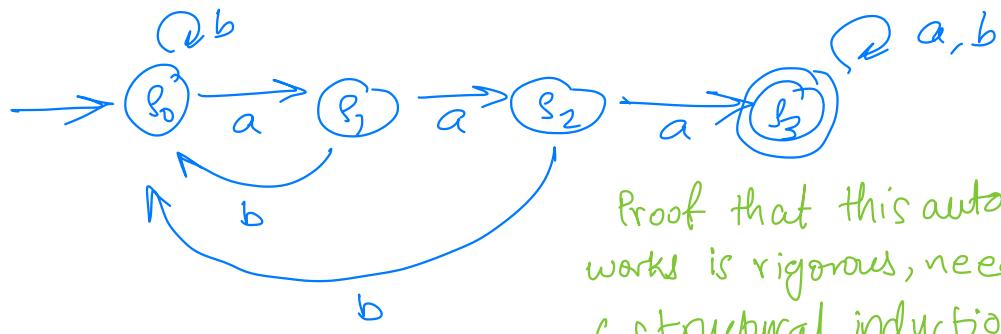


$$x \leq_p y \stackrel{\Delta}{=} (\text{defined as}) \quad \exists z \cdot x.z = y \quad (\text{prefix})$$

$$x \leq_s y \stackrel{\Delta}{=} \exists_{u,v} uxv = y \quad (\text{subword})$$

subword 'aaa'

(matching first occurrence)



Proof that this automata  
works is rigorous, needs math  
(structural induction)

## Lecture 3

(Generation of natural numbers)

(7/8/23)

Basis elements :  $\emptyset$ Constructors  $S: n \mapsto n+1$  (over  $\mathbb{N}$ ){inductive/recursive  
defined}

set should be "freely generated" (unique way of gen from basis)

Unique homomorphic extension theorem (UHET)

 $X_+$  is a free set generated by  $X(\text{basis})$  and  $f(\text{functions})$ ,  
for each fn  $h: X \rightarrow B$   $\exists$  single  $\hat{h}: X_+ \rightarrow B$  s.t.

$$\forall_{x \in X} \hat{h}(n) = h(n)$$

Over words Universe =  $\Sigma^*$ Basis =  $\epsilon$ 

$$\begin{aligned} (\text{e.g. } \Sigma = \{a, b, c\}) \quad & \alpha_a: \Sigma^* \rightarrow \Sigma^* \\ & \alpha_b: \\ & \alpha_c: \end{aligned}$$

append a, b, c (constructor)  
at the end of string

$$\boxed{\left( \forall_{x \in \Sigma^*} (P(x) \Rightarrow \bigwedge_{a \in \Sigma} P(\alpha_a(x))) \right) \text{ iff } \forall_{x \in \Sigma^*} P(x)}$$

induction step

$$\frac{\text{len}(x)}{\text{Base step: } \text{len}(\epsilon) = 0}$$

$$\text{len}(\alpha_a(x)) = \text{len}(xa) = \text{len}(x) + 1$$

by UHET,  
len is unique

 $\cup_{x \in \Sigma^*}, \Sigma = \{a, b, c\}$  (even length palindromes)

Constructor Basis =  $\epsilon$

$$\forall_{x \in \Sigma} \alpha_a: x \mapsto axa$$

PALIN

Claim :  $\forall x \in \text{PALIN}$ ,  $\text{len}(x)$  is even

Basis :  $\text{len}(\epsilon) = 0$

Induction :  $\text{len}(\alpha_a(x)) = \text{len}(axa) = \text{len}(x) + 2$

$\text{len}(x)$  is even

hence,  $\text{len}(\alpha_a(x))$  is even

■

Exercise : Numbers in unary

Powers of 2 = {  $a, aa, aaaa, \dots$  }

Numbers in binary

= {  $1, 10, 100, \dots$  }

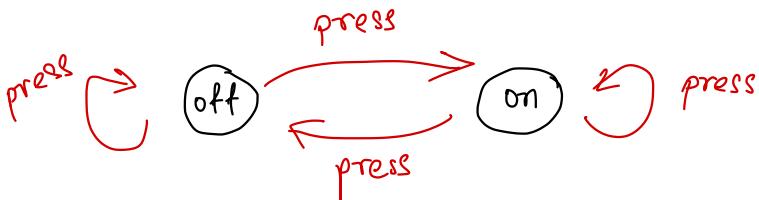
Binary num (with leading zeros) divisible by 3 (trivial, done in Kozen)

mod 0, mod 1, mod 2  
states for binary

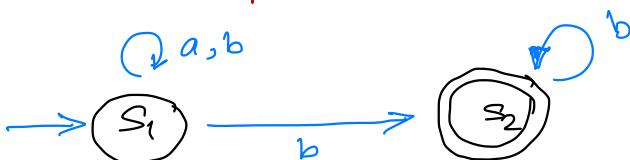
lecture 4

(08/08/23)

NFA



zero or more edges from state corresponding to alphabet



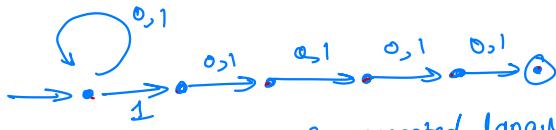
Is NFA a machine?

(NFA-DFA construction)

Imp: Explicit construction for  $2^n$  states

$$L = \{x \mid \exists u, v, x = u \cdot v, v \in \Sigma^4\}$$

$$= \Sigma^* \cdot \{1\}^4 \cdot \Sigma^4$$



(5<sup>th</sup> last letter is a 1) = accepted language

Claim: There is no DFA  $M$  with  $|M| < 2^5$  and  $L(M) = L(N)$

Proof: Assume to contrary, such an  $M$  exists.

for  $2^5$  paths, atleast two end up in the same state, then, extend them so that one should be accepted, one rejected.

Construct the paths as  $(0,1)^5$ . Let two paths end up in same state, differ in first position  $i$ ,

e.g.  $\begin{array}{l} 0101100 \\ 0111000 \end{array}$  now extend with  $4-i$  zeros.

They must end up in the same state but one run is accepting and the other isn't

■

Lecture 5  
(14/08/2023)

Quiz : 31/08/23 (Monday), 28/08 : Doubt Solving  
Syllabus: Upto minimization of DFA

$L_1 \cap L_2 : (q_1, q_2, \dots)$  Final states if  $q_1 \in F_1, q_2 \in F_2$

$L_1 \cup L_2 : (q_1, q_2, \dots)$  if  $q_1 \in F_1$  or  $q_2 \in F_2$

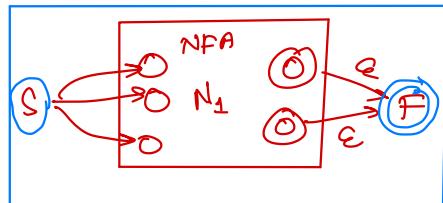
$\sim L_1$ : final states are  $\notin F$

Complexity of closure operations :

	DFA
• Complement	$m$
• Intersect	$m \times n$
• Union	$m \times n$
(#ques : what is $\epsilon$ idea?)	$\epsilon$
ans :	$\begin{array}{l} \xrightarrow{\epsilon} 1 \\ \xrightarrow{\epsilon} 2 \end{array}$ $\begin{array}{l} \xrightarrow{\epsilon} 1 \\ \xrightarrow{\epsilon} 2 \end{array}$ $\begin{array}{l} \xrightarrow{\epsilon} 1 \\ \xrightarrow{\epsilon} 2 \end{array}$ $\begin{array}{l} \xrightarrow{\epsilon} 1 \\ \xrightarrow{\epsilon} 2 \end{array}$

Given  $\epsilon$ -NFA, we can construct normalised  $\epsilon$ -NFA

$N_2$



Proof : Any word accepted by  $N_1$  is accepted by  $N_2$  and vice versa. Easy to show.

**NFA**  
 $2^m$  (determinising then complement, acceptance cond" issue)  
 $m \times n$   
 $m+n$  (place ill'd)



Normalised  $\epsilon$ -NFA

1. Single start & single final state
2. No incoming transitions to start
3. No outgoing transitions from final state.

(5-tuple construction

for union of NFAs

normalised  $\epsilon$ -NFA)

Theorem

Given normalized  $\epsilon$ -NFA  $N_1 = (Q_1, \Sigma, \Delta_1, s_1, f_1)$  and  $N_2 = (Q_2, \Sigma, \Delta_1, s_2, f_2)$  we can construct normalized  $\epsilon$ -NFA  $N_3 = (Q_3, \Sigma, \Delta_3, s_3, f_3)$  s.t.  $L(N_3) = L(N_1) \cup L(N_2)$ .

- $Q_3 = Q_1 \cup Q_2 \cup \{s_3, f_3\}$  fresh states  $s_3, f_3$ .
- $\Delta_3(s_3, \epsilon) = \{s_1, s_2\}$ , and  $\forall a \in \Sigma. \Delta_3(s_3, a) = \emptyset$ .
- $\forall s \in Q_1. \Delta_3(s, a) = \Delta_1(s, a)$  and  $\forall s \in Q_2. \Delta_3(s, a) = \Delta_2(s, a)$ .
- $\forall s \in Q_1 - \{f_1\}. \Delta_3(s, \epsilon) = \Delta_1(s, \epsilon)$ , and  $\forall s \in Q_2 - \{f_2\}. \Delta_3(s, \epsilon) = \Delta_2(s, \epsilon)$ .
- $\Delta_3(f_1, \epsilon) = \Delta_1(f_1, \epsilon) \cup \{f_3\}$  and  $\Delta_3(f_2, \epsilon) = \Delta_2(f_2, \epsilon) \cup \{f_3\}$

## Catenation

$$A \cdot B = \{x \cdot y \mid x \in A \text{ and } y \in B\}$$

A • B = { $x \cdot y \mid x \in A$  and  $y \in B\}$   
 normalised  $\epsilon$ -NFAs  $N_1 \cdot N_2$ , then add  $\epsilon$ -transition from F of  $N_1$  to S of  $N_2$ .  
 $\epsilon$ -normalisation is optional

#ques: if no  $\varepsilon$ -normalisation?  $\rightarrow$  no problem! ( $\varepsilon$ -norm.)

## Kleene closure

$NP = \{A, An, The\} \cup \{\varepsilon\} \cdot (\text{Adjective})^* \cdot (\text{Noun})$

the black cat  
the nasty black cat

Let  $A \subseteq \Sigma^*$ , define  $A^n = \{a_1 a_2 \dots a_n \mid a_i \in A\}$   
 $A^{n+1} = A \cdot A^n$  (inductive def'n).

$$\{ab, aab\}^0 = \{\epsilon\}$$

$$\{ab, aab\}^1 = \{ab, aab\}$$

$$\{ab, aabb\}^2 = \{abaab, aabaab, abab\}, \{aababb\}$$

$$A^* = \bigcup_{c \geq 0} A^c = A^0 \cup A^1 \cup A^2 \cup \dots \quad (\text{kleene closure})$$

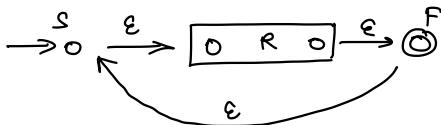
e.g.  $A = \{ab^n\}$ , then  $A^* = \{(ab)^n \mid n \geq 0\}$

$$L = \{x \mid \text{fifth last letter is } 1\}$$

$$= \sum^* \{1\} \cdot \sum^4$$

Kleene closure of regular language is regular.

normalised  $\epsilon$ -NFA  $N_1 \rightarrow N_2$



## Homomorphism :

$$h: \Sigma^* \rightarrow \Gamma^* \circ \dashv$$

$$A_{u,v \in \Sigma^*} h(u \circ v) = h(u) \cdot h(v)$$

### Consequences:

$h(A) = c$  if  $h(c) = x$  then

1.  $h(\varepsilon) = c$
2. Giving  $h(a)$  for  $a \in \mathbb{Z}$  uniquely determines  $h$

$$h(x \cdot y) = h(y) \\ = x \cdot h(y) + x \\ \text{hence } x = \epsilon$$

\* letter a maps to some string in b

$\text{determines } h$   
 $\rightarrow \text{if } h: \sum^* \rightarrow T^*$  is a homomorphism and  $B \subseteq T^*$  is regular then

$h^{-1}(B) = \{x \in \Sigma^* \mid h(x) \in B\}$  is also regular.

Proof: Given a DFA  $B = (\mathbb{Q}, \Sigma, \delta, q_0, F)$  for  $B$  construct DFA  $A$  for  $\delta^{-1}(B)$  as  
 $A := (\mathbb{Q}, \Sigma, \delta', q_0, F)$  with  $\delta'(q, a) = \hat{\delta}(q, h(a))$

Proof that this is correct follows from showing that accepted words are the same.

If  $h: \Sigma^* \rightarrow \Gamma^*$  and  $A \subseteq \Sigma^*$  is regular then  $h(A) = \{h(x) | x \in A\}$  is also regular.

Pf: replace string with chain of useless states!

Refer: Kozen for proof of homomorphism theorems.

Example: Let  $x \in \{0,1\}^*$   
 $H_3(x) = \{y | \text{hamming distance between } x, y \leq 3, |x| = |y|\}$

$H_3(A) = \text{set of all pointwise dist } \leq 3 \text{ from elements of } A$ .

If  $A$  is regular,  $H_3(A)$  is regular as

1. Copy states of  $A$  4 times
2. Have directed transitions for complement bits to copied DFA's.

Alternative:  $\Sigma = \{[0], [1], [!], [?]\}$

$\text{top}([x]) = x$ .  $\text{bottom}([x_y]) = y$ .

Say  $x = \begin{smallmatrix} 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \end{smallmatrix}$   $\text{top}(x) = 01010$

$D_3 \subseteq \Sigma^* = \{x \in \Sigma^* | d_H(\text{top}(x), \text{bottom}(x)) \leq 3\}$

$A_3$  is automaton for  $D_3$  (easy to make)

Given  $A \subseteq \{0,1\}^*$ ,  $H_3(A) = \text{bottom}(\text{top}^{-1}(A) \cap D_3)$

So,  $H_3$  can be built as  $\text{top}$ ,  $\text{bottom}$  are homomorphisms over words.

$\Sigma = \{[0], [1], [!], [?]\}$

$\Gamma = \{0, 1\}$

$h: \Sigma^* \rightarrow \Gamma^*$

$H_3$  is order  $\Gamma^*$

#ques

$A/B = \{x | \exists y \in B \text{ } xy \in A\}$

Prefix(A)  $\leftarrow$  all states from which F reachable are accepting

Suffix(A)  $\leftarrow$  mult. start state. reachable from S.

Infix(A)  $\leftarrow$  intx ideas.

First Half(A)  $= \{x | \exists y \mid |x|=|y| \text{ and } xy \in A\}$

1. collapse A using  $\Sigma$  transitions (call A')

2. Simultaneously run  $A, (A')^R$  and add accepting iff both reach same state.

{Regular Expressions : refer slides}

Kozen : ref homomorphism proof

Non-deterministic (angel)

n-states

$\Rightarrow \log n$  bits (for bits)  
(NL-algorithm)

Decision problems :

1) membership  $x \in L(N)$ ? Simulate  $N$  on  $x$

2) Non-emptiness : check  $S - F$  reachability (DFS? BFS?)

regular : check if reg-doesn't have  $\emptyset$ . even if it does

has  $\emptyset$  (reg)  $\leftarrow$  exercise #

3) Language equivalent : given NFA  $N_1, N_2$ , determine if  $L(N_1) = L(N_2)$   
we can reduce this to checking  $L(N_1) \subseteq L(N_2) \wedge L(N_2) \subseteq L(N_1)$

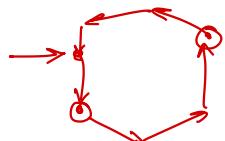
$A \subseteq B$  iff  $(A \cap (\sim B)) = \emptyset$

check if  $\text{Product}(N_1, \text{compl}(N_2)) = \emptyset$

$$|N| = n_1 \cdot 2^{n_2}$$

time for emptiness =  $\Theta(\underbrace{n_1 \cdot 2^{n_2}}_{\# \text{edges}}^2)$

Multiple DFAs for same language



E-NFA to DFA conversion  
often gives rise to large automaton  
which may not be "optimal" in size.

Q: How to find optimal DFA for a DFA?

Q: Is it unique? (unique = isomorphic to other optima)

Essentially :  $p, q$  states are distinguishable if  $\exists$  suffix  $s \in \Sigma^*$   
 $p_s$  is accept but  $q_s$  rejects or vice versa.

$$p \approx q \triangleq \forall x \in \Sigma^*. (\hat{\delta}(p, x) \in F \iff \hat{\delta}(q, x) \in F)$$

$\uparrow$   
reflexive,  
transitive, symm.  
(equivalence rel'n)

$$p \approx p \text{ (trivially)}$$

$$p \approx q \Rightarrow q \approx p \text{ (def'n is symm.)}$$

$$p \approx q, q \approx r \Rightarrow p \approx r. \text{ (easy to show)}$$

$(S, R)$  Equivalence rel' if reflexive, transitive, symmetric.

$$\uparrow \quad \uparrow \\ \text{set} \quad R \subseteq S \times S.$$

e.g.  $(\mathbb{N}, R)$ ,  $xRy \text{ iff } x \bmod 3 = y \bmod 3$

Equivalence partitioning

$$\{0, 3, 6, 9, \dots\}$$

$$\{1, 4, 7, \dots\}$$

$$\{2, 5, 8, \dots\}$$

Note: Equival. has either all final or all non-final states!

(E distinguish)

$$(X/\sim \cong \{[x] \mid x \in X\})$$

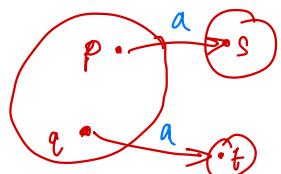
(Quotient set)

### Quotient Automata

Collapse eq. class into 1 state

$M/\tilde{\sim}$   
quotient automaton

$[i]$  ↗  
equivalence  
class of:



} not possible

Hence, now transitions can be defined!

If so,  $\exists w \in \Gamma^*$ ,  
 $\hat{s}(t, w) \in F$ ,  
 $\hat{s}(t, w) \notin F$   
then  $aw$  is dist. word for  
 $p, q$ .

TBD: Quotienting collapses once, but is it the "best"?

→ yes! PHP. If  $\exists n-1$  state  $i^{th}$  eq. class.

Let  $w_i$  s.t.  $\hat{s}(s, w_i) = s_i$   $i=1 \text{ to } n$ .

then  $\hat{s}(s_i, w_i) = \hat{s}(s_j, w_j)$  for some  $i \neq j$

$$\Rightarrow \hat{s}(s_i, w_i y) = \hat{s}(s_j, w_j y)$$

But,  $s_i, s_j$  have  $y$  as disting. word hence

$$\hat{s}(s_i, w_i y) \neq \hat{s}(s_j, w_j y)$$

Hence, contradiction

$$\Sigma = \{0, 1\}, L = \Sigma^* 1 \Sigma^{n-1}.$$



Note: reverse of this is a DFA! ( $A^L = B$ )  
 So, reverse of  $B$   $\overline{B}$   
 exponential blowup.

Claim: There is no DFA with  $< 2^n$  states accepting  $L$ .

$\exists x, y \in \Sigma^n$  s.t.  $x \neq y$  and  $\hat{g}(q_0, x) = \hat{g}(q_0, y)$  (PHP)

where  $x = u \# z$   
 $y = v \# z$

$$|x \# z| = |v \# z| = n.$$

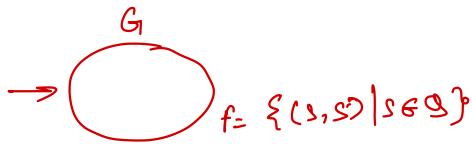
$u \# w \in L, v \# w \notin L$

$\Rightarrow$  first  $n$  primes (or) div no succinct DFA. (atleast  $\text{lcm}(p_1, q_1, \dots)$  states)

soln:

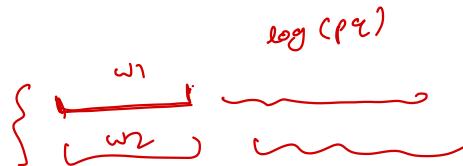


First half



Second half

$p, q$  nos. with diff  $(p \bmod p, q \bmod q)$   
 If two in same state, whose mod p is different



$$2^{\log p^q} (w1) = (p_1, q_1)$$

$$2^{\log p^q} (w2) = (p_2, q_2)$$

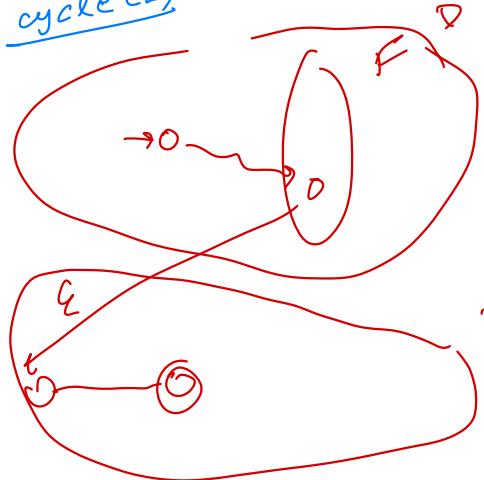
$p_1 \neq p_2$  (wlog)

1) say  $q_1 \neq q_2$   
 then find  $k \leftarrow ?$

2) say  $q_1 = q_2$   
 then find  $k = (-p_1, +q_1)$

$$k = (-p_1, +q_1)$$

cycle(L)



$L \rightarrow \text{regular}$   
 $\text{cycle}(L) = \{vu \mid u, v \in L\}$

$\left. \begin{array}{c} \\ \end{array} \right\} n \text{ copies.}$



Second half

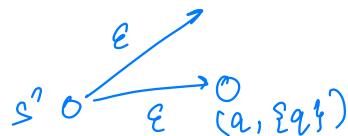
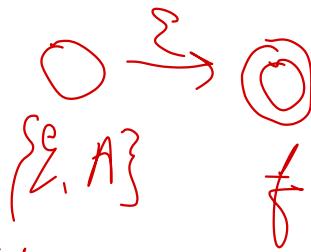
$$\Phi' = \Phi \times 2^\Phi \cup \{s, f\}$$

$$g \xrightarrow{\delta} \{g, \{g\}\} \quad \forall g \in \Phi$$

$$\{g, A\} \xrightarrow{g'} \{g', A'\}$$

if  $g \xrightarrow{a} g'$

$$A' = \{g'' \mid \exists a \in \Sigma \quad g'' \xrightarrow{a} g''' \in A\}$$



if  $q \in F$

$s \in A$



original

Note: Any rational part  $\frac{p}{q}$  can be extracted by repeated cuts of  $\frac{p}{q_{k+1}}$  fraction.

$$g'(\{q, A\}, a) = (\delta(q, a),$$

$$\{q' | \exists a \in \Sigma \delta(a', a) \in A\}$$

Note: Similarly any fraction can be constructed using first half, 2nd half idea by constructing new edges = paths of length  $k$  to have first  $\frac{p}{q_{k+1}}$ , last  $\frac{p}{q_{k+1}}$ , first  $\frac{p}{q_{k+1}}$ , last  $\frac{p}{q_{k+1}}$ .