

# Computational Complexity

30/7/24

Grading : Scribe (5%)

2 or 3 Assignments (20%)

Presentations (20%)

Midsem (20%)

Endsem (35%)

Ref: course webpage

Pre-req: Basic Algorithm Design,

\* Basic Linear Algebra,

Basic Graph Theory,

NP, NP-C,

Turing Machines

Can we show that 3-coloring problem requires atleast  $\Omega(2^n)$ ? ( $\$1 \text{ million}$  dollars  $\Rightarrow$ )

Naive: Try all colors  $\rightarrow 3^n$  time

Resources: Memory (Working memory)

Given a graph  $n$  vertices, 2 vertices  $u, v$  whether  $u \sim v$

BFS:  $\Omega(n)$  memory (maintain a queue of vertices)

$\hookrightarrow$  too much if graph is big

$n$  vertices  $\Rightarrow \log n$  bits to store index of vertex.

2005 Reingold [Ambitious goal:  $O(\log n)$  bits of memory randomization

using random walk  $O(n^3)$  time with high probability

$\rightarrow 1$  with  $n \uparrow$

Resource: Randomness

Expensive resource: seed (system / sound)  $\xrightarrow{f}$ : complicated fn, looks random.

Not predictable  $\Rightarrow$  random [view of complexity theory]

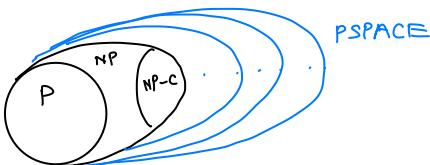
Resource: Communication

Computation b/w many parties [distributed computing].

Want to limit comm. (local computation is cheap)

Course: Connections between different concepts of complexity

Connect problems that are hard, hardness assumptions.



Proving pseudo randomness  $\equiv$  Proving ckt lower bounds

Hard to compute  $f^n \rightarrow$  generate pseudo-random

$\downarrow$   
Make algos deterministic.

Does use of randomness give you more power? [Open for 30 years]

E.g. Using random  $O(\log n)$  bits memory w.h.p. without random show  $> \log n$  } will show randomized algo more powerful than deterministic.

→ Belief : Equal power

Interactive Proofs, Zero-knowledge, Prob. checkable Pf

Graph : Is there a path from  $u$  to  $v$  of length  $\leq 100$

Yes → give path

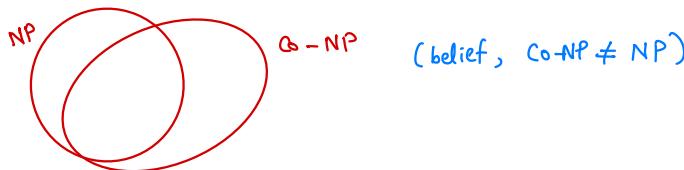
No → give PFS pf, run algo and show

Graph : Is there a 3-coloring

Yes → give coloring scheme (easy proof)

No → Maybe some way? (e.g. existence of 4-clique)

If a logical statement is true, then there is always a small proof? (Open)



Allowing interaction makes it possible! ( $\Phi = f(\text{Ans})$ : Proof w.h.p.)

↓ Poly # rounds, prover unlimited power.

3-coloring has unlimited power. (90s)

Blockchains : Certain nodes compute, convince other parties of that computation, so interaction is useful here.

Probabilistic checkable proofs  $\longleftrightarrow$  useful for blockchains : verifier reads small part of pt.

Give a proof , see 3-bits and that will convince w.h.p. (reading 3 bits of if p is enough)

Probabilistic Checkable Proofs  $\iff$  Hardness of Approximation

Zero - Knowledge Proofs

[Goldwasser]

Want to convince I know , without giving away information

## Basic Lower Bound on Sorting

Claim : Sorting  $n$  numbers requires  $\Omega(n \log n)$  comparisons

Adversarial argument :

Initially  $n!$  =  $A_i > A_j$   $A_i < A_j$  [First query  $A_i > A_j$ ]

Adversary picks answer with bigger set from the two. (at least half of initial size)

$$n! \xrightarrow{1^{\text{st}} \text{ query}} n!/2 \xrightarrow{2^{\text{nd}}} n!/4 \quad \left. \begin{array}{l} \log_2(n!) \text{ queries needed} \\ \text{to obtain permutation} \\ \Rightarrow \text{needed for sorting} \\ = \Omega(n \log n) \end{array} \right\}$$

Above is an information theoretic lower bound  $\Rightarrow$  Need  $x$  queries to obtain enough info

- Complexity Lower Bound : Given all info, how much computation needed to get answer
- Can you write a program for any given problem ?
  - Lack of understanding information
  - Lack of computational power

Puzzle :  $n$  numbers, exactly two of them are equal

Queries  $A_i, A_j \rightarrow \{<, >, =\}$

Goal : Find the pair that is equal

## Computational Task

Input  $\in \{0,1\}^*$

Output  $\in \{0,1\}^*$

1. Search Problem :  $R \subseteq \{0,1\}^* \times \{0,1\}^*$   
(or)  $f : \{0,1\}^* \rightarrow (\{0,1\}^* - \emptyset)$

2. Decision Problem :  $f : \{0,1\}^* \rightarrow \{0,1\}$

For every search problem there is a natural decision problem s.t. solving the latter solves the former and vice versa [e.g. in poly time]

1. SAT :  $\emptyset$ , output : a satisfying assignment,  
decision : given  $\emptyset$ , is there a satisfying assignment?  
Search  $\xrightarrow[\text{to}]{\text{reduces}}$  decision  
(self reduction)

2. Input : integer  $n$  (input size =  $\log n$ )  
Output : prime factors of  $n$   
Decision : is there a factor of  $n < k$ ?  $\rightarrow$  binary search.

\*  $f : \{0,1\}^* \rightarrow \{0,1\}$   
Counting argument,  
 $\# f^n = \text{uncountable} \Leftrightarrow \text{no bijection}$  (  $\exists f^n \text{ not computable by programs}$ )  
Program =  $\{0,1\}^*$  is countable

## Diagonalisation

Let  $G$  have a program.

Well defined  $f^n$ : 1.  $G$  : input natural no.  $i$   
output =  $\begin{cases} 1, & \text{if } i\text{th program on input } i \text{ halts} \\ 0, & \text{otherwise} \end{cases}$

Consider program  $P \rightarrow$  input  $i \in \mathbb{N}$

run program  $G$  on input  $i$

If output is 1  $\rightarrow$  loop  
0  $\rightarrow$  return 0

Let  $j$  = index of program  $P$

If  $G(j) = 1$  : Program  $P$  halts on input  $j$  } contradiction  
but  $P$  loops

$= 0$  : Program  $P$  doesn't halt on  $j$  } contradiction.  
but  $P$  returns 0

$\Rightarrow G$  doesn't have a program.

H : input  $i, x$

$\uparrow$  output 1 if  $i$ th program halts on input  $x$

Halting  
problem

0 otherwise

HW Problem : Given two C++ programs, do they have same behaviour ?

[Show undecidable]

Hilbert's 10th problem

Input : Poly multivariable eq w/ integer coeff } undecidable

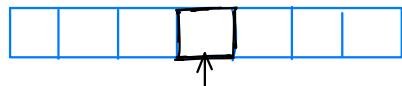
Output : Is there an integer sol?

- Any C++ program can be converted into a polynomial eqn  
s.t. program on this input halts iff equation has integer solution
- Similar approach for undecidability of game of life

Turing Machine

$$\mathcal{Q} \leftarrow \text{states}, \Sigma = \{0, 1\}$$

- Infinite Tape, head

Transition function

$$\delta : \mathcal{Q} \times \Sigma \rightarrow \mathcal{Q} \times \Sigma \times \{\text{left, right, stay}\}$$

↑                                      ↑  
 write symbol                        movement of head

Church - Turing Thesis : Every function computable by "natural", "reasonable" model of computation can be computed by a turing machine.

Abstract RAM Machine

Infinite memory cells

(finite) registers

program counter

(unbounded)

← int

← int

← int

Instructions

reset (r)

(makes it zero)

dec (r)

inc (r)

load (r<sub>1</sub>, r<sub>2</sub>)

store (r<sub>1</sub>, r<sub>2</sub>)

cond goto (r, l)

Universal Turing Machine (2 state, 3 symbol) ← can simulate the TM

Which takes description of any TM with input and it can simulate it

## Time Complexity

$A \leftarrow \text{TM which always halts}$

$$t_A : \{0,1\}^* \rightarrow \mathbb{N}$$

$$t_A(n) = \max_{x \in \{0,1\}^n} t_A(x) \leftarrow \text{Time complexity}$$

## Efficient Computation

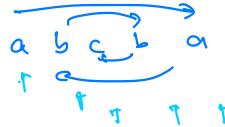
Cobham 1964  
Edmonds 1965

$\text{DTIME}(t(n))$  = class of problems with TM running time atmost  $t(n)$   $\leftarrow$  deterministic time

$$P = \bigcup_{c \geq 1} \text{DTIME}(n^c)$$

Cobham - Edmonds Thesis : Any function computable by any reasonable model can be by TM with polynomial time overhead.

Palindrome : 2 tape  $\leftarrow O(n)$



Multitape TM  $t(n)$

1 tape  $\leftarrow O(n^2)$

single tape  $t(n)^2$

needs

$\Omega(n^2)$  time.

reason why  
polytime is a  
universal measure.

(try !)

## Eg of problems not in P

Input : description of TM and an input  $x$  for it

Output : whether it stops in  $2^{|x|}$  time

obvious : Simulate program  $2^{|x|}$  time algo.

Can show : No faster algorithm  $\leftarrow$  diagonalization argument

Input: A boolean ckt with  $2^l$  variables.

(Defines a graph on  $2^l$  vertices)

Output: whether  $s \sim t$  in this graph

Trivial algo:  $2^l = O(\text{size of formula})$

Exp-time needed in this.

NP

10/8

Decision Problem  $\mathcal{Q}$

Search Problem  $P$

The two are equivalent if  $P$  has a polytime algo iff  $\mathcal{Q}$  has a polytime algo.

Def:  $L \in NP$  if  $\exists TM M$  which runs in polytime and  $\exists$  polynomial  $q$  s.t.

$\forall x \in L \quad \exists c \in \{0,1\}^*, |c| \leq q(n) \text{ s.t. } M(x, c) = 1$

$\forall x \notin L \quad \forall c \in \{0,1\}^*, M(x, c) = 0$

E.g. (Independent Set)

Input: Graph  $G$ , number  $k$

Problem:  $G$  has an independent set of size  $k$ ?

Certificate: set of vertices of size  $k$  which forms an independent set

$M$  → verifies if  $c$  is valid indep-set in  $G$  with size  $k$

E.g. #SAT =  $\{\underbrace{<\phi, k> : \text{no. of satisfying assignments of } \phi \geq k}\}_{1 \leq l + \log k}$

↑  
Not sure  
 $\phi$  in NP

certificate  $\leq k \log k$

E.g. MCSP =  $\{\underbrace{<\phi, k> : \text{there is a equivalent boolean circuit } \phi' \text{ with}}_{(\text{min. circuit size problem})} \text{size atmost } k\}$

Not sure if in NP, since verifying if  $\phi$  equivalent  $\phi'$  is not known to be in P

Indset = { $\langle G, k \rangle$  : Graph  $G$  doesn't have an ind. set of size  $k$ }

Not sure if in NP

$$L \in P \iff \overline{L} \in P$$

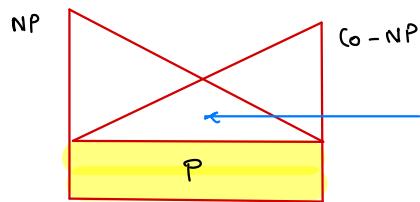
$$L \in NP \stackrel{?}{\iff} \overline{L} \in NP$$

$P \subseteq NP$ , Certificate:  $\varepsilon$ , TM runs the algo itself

Def (Co-NP): We say  $L \in \text{co-NP}$  if  $\overline{L} \in NP$

PRIMES  $\in P$  :  $\{\langle n \rangle : n \text{ is prime}\}$

Easy to see: PRIMES  $\in$  Co-NP  $\rightarrow$  certificate -  $a, b, n = ab$   
Known to be in P before 2002



$$P \subseteq NP \cap \text{co-NP}$$

Not known if  $P = NP \cap \text{co-NP}$   
But if  $L \in NP \cap \text{co-NP}$   
then strong indication that  $L \in P$

GI = { $\langle G, H \rangle$  :  $G$  &  $H$  are isomorphic}

GI  $\Rightarrow$  verification using randomisation

GI  $\in$  Quasi P ( $n \log^6 n$ )

System of linear equations : Solvable / Not Solvable

SLE  $\in$  NP

Solution size is poly (input)

SLE  $\in$  co-NP [Give linear combination which adds upto 0]

Pf (Primes  $\in$  NP) :

A number  $p$  is prime iff there is a number  $\neq s.t.$

$$z^{p-1} \equiv 1 \pmod{p}$$

and for any  $r < p-1$ ,  $\neq^r \not\equiv 1 \pmod{p}$

NP

A  $L \subseteq \{0,1\}^*$  is said to be NP if  $\exists$  a polynomial time TM  $\gamma$  and a polynomial fn  $p: \mathbb{N} \rightarrow \mathbb{N}$  s.t.

If  $x \in L$  then  $\exists c \in \{0,1\}^{ \leq p(x) }$  s.t.  $\gamma(x, c) = 1$

If  $x \notin L$  then  $\forall c \in \{0,1\}^{ \leq p(x) }$  s.t.  $\gamma(x, c) = 0$

Non-deterministic TM

Two transition functions  $\delta_0, \delta_1$

$$\delta_0, \delta_1 : Q \times \Sigma \rightarrow Q \times \Sigma \times \{\text{L}, \text{R}, \text{S}\}$$

$q_{\text{accept}}, q_{\text{reject}} \leftarrow \text{Halting states}$

(3 Non-det transition  
 $\rightarrow 2$  w/ poly running  
 time blowup)

A Language  $L$  is said to be accepted by a NTM  $T$

if  $x \in L$  iff  $\exists$  computational path in TM halting  
 in  $q_{\text{accept}}$

A Language  $L \subseteq \{0,1\}^*$  is in NP if  $\exists$  polytime (all paths halt in polytime) NTM  
 accepts  $L$ .

Claim : Two definitions are equivalent

Pf : Verifier  $\Rightarrow$  NTM

1. Pick  $c$  non-deterministically
2. Run  $M(x, c)$

NTM  $\Rightarrow$  Verifier

1. Run NTM, produce Yes path as verifier TM certificate.

(accepting paths halt in P)  
 equivalent  
 (don't accept if runs for more  
 than  $p(x)$ )

## PRIMES ∈ NP

A number  $p$  is prime iff there is a number  $\neq 1$  s.t.

$$z^{p-1} \equiv 1 \pmod{p}$$

and for any  $r < p-1$ ,  $z^r \not\equiv 1 \pmod{p}$

Pf.

If  $q$  is a prime,  $\forall z$ ,  $z^{q-1} \equiv 1 \pmod{q}$ ,  $q \nmid z$

$z, z^2, \dots, z^{q-1}$  } remainder  
repeats at some point.

$$\text{Obs: } z \times \{0, 1, \dots, q-1\} = \{0, 1, \dots, q-1\}$$

$$\text{if } z \times a_1 = z \times a_2 \Rightarrow z \times (a_1 - a_2) = 0 \pmod{q}$$

$$\Rightarrow q \mid a_1 - a_2. \text{ (contr.)}$$

$$z \times 0 = 0. \text{ So}$$

$$(z \times 1, z \times 2, \dots, z \times q-1) = (1, \dots, q-1)$$

$$z^{q-1} \times (1 \times \dots \times q-1) = 1 \times \dots \times q-1.$$

$$z^{q-1} = 1.$$

If  $p$  is not a prime, no such certificate. (trick: chinese remaindering)

$$\begin{aligned} (z^3-1)^{\frac{p-1}{3}} &\equiv 1 \pmod{3} \Rightarrow z^8 \equiv 1 \pmod{3}, 1 \pmod{5} \\ (z^5-1)^{\frac{p-1}{5}} &\equiv 1 \pmod{5} \qquad \qquad \qquad \Rightarrow z^8 \equiv 1 \pmod{15} \end{aligned}$$

←

$$3 \mid z^8 - 1 \Rightarrow 15 \mid z^8 - 1$$

$$5 \mid z^8 - 1$$

$\text{Order}_p(z) \equiv \min \text{ power of } z \text{ which is } 1 \pmod{p}$

Need to show  $\exists z \text{ Order}_p(z) = p-1$ .

$$\text{HW: } \left. \begin{array}{l} \text{Order}_p(z_1) = r_1 \\ \text{Order}_p(z_2) = r_2 \end{array} \right\} \Rightarrow \text{Order}_p(z_1 z_2) = r_1 r_2$$

$$\gcd(r_1, r_2) = 1$$

Among all elements if maximum order is  $r$  then  $\forall z, z^r = 1$ .  
 ↓  
 for a field.  $z^r - 1 = 0$ . degree of poly. atmost  $r$  roots.  
 $q$  elements  
 $\Rightarrow r = q - 1$ .

Verifying A number  $p$  is prime iff there is a number  $\neq 1$  s.t. is not simple.  
 $\exists z^{p-1} \equiv 1 \pmod{p}$   
 and for any  $r < p-1$ ,  $\exists z^r \not\equiv 1 \pmod{p}$

Obs:  $p$  is prime,  $\text{order}_p(z) = r \Rightarrow r \mid p-1$ .

Just need to check  $z^r \equiv 1 \pmod{p}$  for maximal factors of  $p-1$ .

Take prime factorisation of  $p-1$  in certificate.

↓

Prime factors of  $p-1$  need } Recursive  
certificate scheme

e.g.  $p = 37$  then  $p-1 = 36$

2, 3 prime factors.

Check 18, 12 (all other divisors divide

$\frac{6}{p_1}, \dots, \frac{6}{p_k}$ )

HW: Overall certificate size is  $\mathcal{O}(\log p^c)$

Karp Reduction ( $L' \leq_p L$ )

We say  $L'$  reduces to  $L$  if  $\exists$  polytime computable  $f: \{0,1\}^k \rightarrow \{0,1\}^k$   
 s.t.  $x \in L'$  iff  $f(x) \in L$ . (algo for  $L \Rightarrow$  algo for  $L'$ )

Cook Levin (NP-completeness)

$L$  is called NP-complete if  $L \in \text{NP}$  and  $\forall L' \in \text{NP}, L' \leq_p L$

Turing Reduction (Many-one reduction)

We say  $L'$  turing-reduces to  $L$  if  $\exists$  polynomial time TM for  $L'$  which uses an oracle machine for  $L$ .

NP-hard

$L$  is called NP-hard  $\forall L' \in \text{NP}, L' \leq_p L$

Thm : (Cook-Levin) SAT is NP-complete

(Karp 1972 — 21 problems)

NP-compl. {  $\downarrow$  SAT  
 $\Leftarrow$  reduction, transitive  
 relation

pf: ① SAT is in NP  $\rightarrow$  SAT Assignment is certificate

②  $(M, x, p, T) \rightarrow$  CNF formula  $\phi_{M,x}$   
 s.t.  $\uparrow$  time  
 $\uparrow$  poly.

$\exists u \in \{0,1\}^{|P(x)|}$  s.t.  $M(x, u) = 1$  iff  $\phi_{M,x}$  is satisfiable  
 $\downarrow$   
 poly in  $(|M|, |x|, c, 0)$

Tape:



Tape at  $t = t_i, *$   $\left\{ \begin{array}{l} t_{00}, \dots, t_{0T} \\ \vdots \\ t_{T0}, t_{T1}, \dots, t_{TT} \end{array} \right. \quad \left\{ \begin{array}{l} q_{01}, \dots, q_{0K} \\ \vdots \\ q_{T1}, \dots, q_{TK} \end{array} \right\}$  State

Head position  $\left\{ \begin{array}{l} h_{00}, \dots, h_{0T} \\ \vdots \\ h_{T0}, \dots, h_{TT} \end{array} \right.$

- At every  $i$ , only one of  $q_{i,*}$  must be true  
 "—"  $h_{i,*}$  must be true

$h_{ij} \wedge t_{ij} \wedge q_{i,l} \Rightarrow h_{i+1,j+1}, q_{i+1,l}, \neg t_{i+1,j+1}, \dots$  } for all transitions  
 time stamp  
 $\Downarrow$   
 still polynomial.

Co-NP complete : Given a CNF formula, is it a tautology ?

Proof of complexity :

PHP       $x_{i,j} \leftarrow i^{\text{th}}$  pigeon is in  $j^{\text{th}}$  hole

$n$  holes,  $n+1$  pigeons

Resolution  $\leftarrow$  exponential size.

Examples  $\in$  Co-NP  $\cap$  NP but not known in P

• Integer factoring

• Discrete log. given  $a, b, p$  find  $r$  s.t.  $a^r \equiv b \pmod{p}$

$\exists r \in [m, n]$  s.t.  $a^r \equiv b \pmod{p}$

HAM • Given a directed graph, is there a path from  $v_1$  to  $v_n$  which covers all vertices

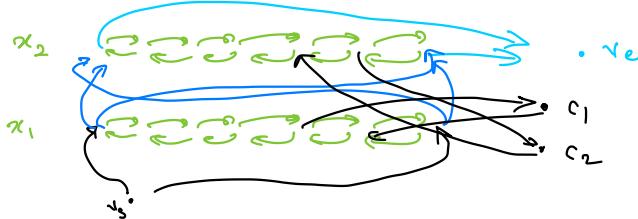
SAT  $\leq_p$  HAM

• 1 vertex for every clause

$\emptyset \rightarrow G_p$  (sat iff HAM path)

• 1 chain of vertices for every variable

$c_i \rightarrow a_1 \cup a_2 \cup \neg a_3$



$$\text{SAT} \leq_p 3\text{-SAT (3-CNF)}, \quad \text{SAT} \leq \text{HAM}$$

$\mathbb{Q}$ : Longest Path : Given a directed graph and  $s, t$ . Find the longest path from  $s$  to  $t$ .

$$\text{HAM} \leq \text{Longest Path} \Rightarrow \text{Longest path is NP-hard}$$

$$\text{HW : HAM path} =_p \text{HAM cycle}$$

$$\text{HW : Dir. longest path} \leq \text{Undirected longest path}$$

⇒ Undirected longest path is NP-hard.

Given a directed graph and  $s, t$  is there a path of even length from  $s$  to  $t$ ?  $\leftarrow$  Show NP-hard.

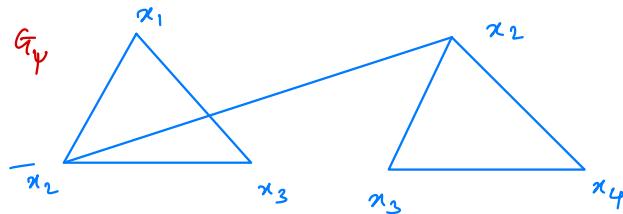
$$3\text{-SAT} \leq \text{IND SET} \quad (\text{G}_i, k_i, \text{ is there an independent set of size } k)$$

Pf : Construct  $\Psi \rightarrow G_\Psi, k_\Psi$  st.

$\Psi$  is satisfiable iff  $G_\Psi$  has independent set of size  $k_\Psi$

from every clause, create triangles, connect complements

$$(x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_2 \vee x_3 \vee x_4)$$



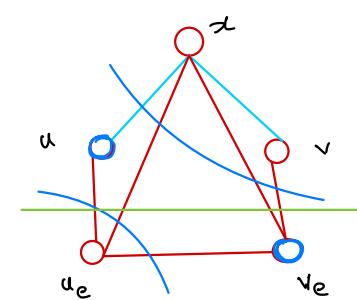
$k_\Psi = \#\text{clauses}$   $\leftarrow$  in each clause pick 1 literal to satisfy clause

$$\text{IND SET} \leq \text{MAX-CUT}$$

Pf :  $G_i, k_i \longleftrightarrow G_m, k_m$

$G_i$  has independent set of size  $k_i$  iff  $G_m$  has cut of size at least  $k_m$

We know complement of ind-set is vertex cover.



#blue edges cut = k

#red edges cut = 4 per edge

$G$  has ind-set of size  $k$  (I)  
start with  $S = I$   
 $\{u, v\}$        $u \in I \vee u \notin I \Rightarrow$  add  $u$  to  $S$   
 $u, v \notin I \Rightarrow$  add  $u, v$  to  $I$   
( $u, v \in I$  not possible)  
4 edges cut in both cases.  
 $\downarrow$   
 $K_m = k + 4|E|$

INTPROG: (Integer programming)

$3\text{-SAT} \leq \text{INTPROG}$

$$x_1 \vee \bar{x}_2 \vee x_3 \mapsto y_1 + (\bar{y}_2) + y_3 \geq 1$$

Quadratic Programming

Degree 2 inequalities.

$3\text{-SAT} \leq \text{Quad-Prog}$  (since linear programs are quadratic)

Trick:  $y_i = y_i^2$  (to set  $y_i = 0$  or  $1$ )

We don't know if it is in NP?

Solution can be arbitrarily large  $\Rightarrow$  Can't say certificate is poly size.

$3\text{-SAT} \leq 3\text{-coloring}$

$3\text{-SAT} \leq \text{Subset-Sum}$

Conjecture:  $3\text{-SAT}$  doesn't have a polytime algorithm

$$\text{EXP} = \bigcup_{c \geq 1} \text{DTIME}(2^{n^c})$$

$$E = \bigcup_{c \geq 1} \text{DTIME}(2^{nc})$$

Notation

EXP is bigger class than E

Claim : NP  $\subseteq$  EXP

Pf : Iterate over all certificates

pf : Reduce to SAT, SAT  $\subseteq$  EXP

Theorem : P  $\subsetneq$  EXP (proper subset)

Pf : We prove it by diagonalisation

Time - Hierarchy Thm

E.g. DTIME( $n^2$ )  $\subsetneq$  DTIME( $n^3$ )

Time constructible fn :  $f: \mathbb{N} \rightarrow \mathbb{N}$

If  $f(n)$  can be computed in  $\mathcal{O}(f(n))$  time.

Thm : For two time constructible fn  $f(n), g(n)$  s.t.

$$f(n) \log f(n) = o(g(n)) \quad \{ \text{little } o \}$$

i.e.  $g(n)$  is not  $\mathcal{O}(f(n) \log f(n))$

Then, DTIME( $f(n)$ )  $\subsetneq$  DTIME( $g(n)$ )

E.g.  $f(n) = n$ ,  $g(n) = n^2$

Note :

$f(n) = o(g(n))$   
means  $\forall \alpha > 0, \exists n_0$   
s.t.  $\forall n \geq n_0$   
 $f(n) < \alpha g(n)$

$\mathcal{O}(g(n))$ : If  
or  
 $f(n) = o(g(n))$   
iff  $g(n)$  is not  
 $\mathcal{O}(f(n))$

Universal TM

Input:  $\langle x_m, \alpha \rangle$

$x_m$ : integer coded version of M

M : a Turing Machine

Output:  $M(\alpha)$

If  $M(\alpha)$  runs in time t

$U(x_m, \alpha)$  runs in time  $\mathcal{O}(t \log t)$

Interleave encoding of  
TM M, timer.

copy of M + log t(n)  
(logt size) + binary number ← logt size blocks.  
= timer

$B_0, B_1, \dots$  : copies of M  
 $C_0, C_1, \dots$  : computation of M.

Assumptions : 1. Every string represents a TM (say all invalid strings corresp. to trivial TM)

2. For any TM there are infinitely many representations  $\leftarrow$  seems crucial to pf  
(like adding comments to C++ code)

DTIME  $O(n) \subsetneq O(n^2)$

D:  $\{0,1\}^* \rightarrow \{0,1\}$

$D(\langle M, x \rangle)$ : Run M on input  $\langle M, x \rangle$  for  $|x|^{1.9}$  steps  $\leftarrow$  some number  $< 2$

If it stops, flip output ( $\begin{matrix} 1 & \rightarrow & 0 \\ 0 & \rightarrow & 1 \end{matrix}$ )

If doesn't stop, output 0.

Take any TM N which always halts in  $O(|\text{input}|)$  time (i.e.  $O(n)$ )  $\nearrow$  decides computation of M in  $O(n)$  time

To show: N, D cannot accept same language.

Input:  $\langle x_N \leftarrow \text{large}, w \rangle$   $\nearrow$  N halts before  $|x|^{1.9}$

N and D will disagree on a "large enough" string representing N.  $\nearrow$  (assumption 2)

Output of D: Runs N on input  $\langle Nw \rangle$  for

$|x|^{1.9}$  steps  $\rightarrow$  stops  $\Rightarrow$  opp N  $\Downarrow$  different outputs.

Output of N: Runs N on input  $\langle N, w \rangle \Rightarrow$  output N.

## Space Complexity

$\text{SPACE}(s(n))$ : Decision problems for which there is TM working space  $s(n)$

TM: Input tape (read only)  
Work tape (read & write)

Functions (output is arbitrary)

TM: Input tape (Read only)  
Output tape (Write only)  
Work tape (Read /write)

Obs:  $\text{DTIME}(s(n)) \subseteq \text{SPACE}(s(n))$  {can't use more cells than # steps}  
 $\vdash \subseteq \text{PSPACE}$

$\text{SPACE}(s(n)) \subseteq \text{DTIME}(2^{O(s(n))})$

Configuration  $\leftarrow$  tape  $\leftarrow 2^{\text{s}(n)}$  config  
state  $\leftarrow$  constant work input tape  
head position  $\leftarrow s(n) \cdot n$  positions }  $2^{O(s(n))} \cdot n$   
 $2^{O(s(n))}$   
TM always halts  $\Rightarrow$  Doesn't repeat configuration

We always consider  $s(n) = \Omega(\log n)$   
at least store  
which cell in input tape  
we are looking at

$\text{NSPACE}(s(n))$ : On every computation path space is bounded by  $O(s(n))$

DTM: Configuration graph : outdegree  $\leq 1$



NDTM: Confign graph : some directed graph

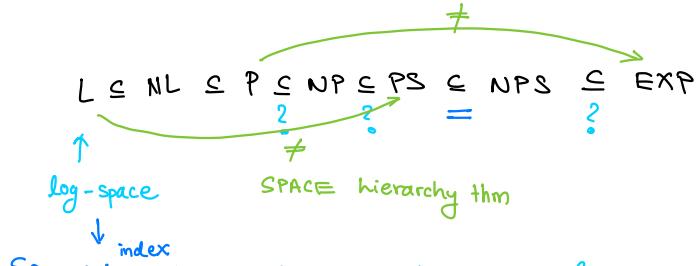
NTM :  $s(n) \rightarrow$  build configuration graph :  $2^{O(s(n))}$  vertices

Accepted by NTM  $\Rightarrow$  3 path reaches an accepting state + edges using transition function  
 $\downarrow$   
 $O(1 \in 1) = (2^{s(n)})^2 = 2^{O(s(n))}$

$$\text{DTIME}(s(n)) \subseteq \text{SPACE}(s(n)) \subseteq \text{NSPACE}(s(n)) \subseteq \text{DTIME}(2^{\text{O}(s(n))})$$

$\subseteq \text{SPACE}(s(n)^2)$

$\text{NP} \subseteq \text{PSPACE}$  ← go over all certificates, run checking TM.



E.g. minimum in an array : maintain index of minimum element (comp using traversal over bits)

Addition

(is  $a+b=c$  ?)

$a+b=c$  ?

$$f(x) \in L \quad \left\{ \begin{array}{l} \text{functions (output tape)} \\ g(x) \in L \end{array} \right.$$

$$f \circ g(x) \in L$$

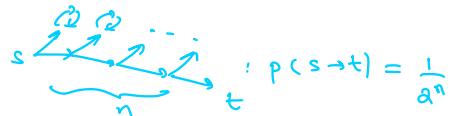
pf :  $f$  only accesses few bits of  $g(x)$

Recalculate  $g$ , output only that bit.

$f \in L \Rightarrow g \in P \Rightarrow$  output needs index space  $\in L$

① Undirected graph  $s, t$   
is there a path from  $s$  to  $t$       } Random walk  $\rightarrow p > 0$  (RL)

(2005) Reingold  $\in L$



② Directed graph  $s, t$  is there path from  $s$  to  $t$ ?  $\in NL$  (trivial)

(open)  $L = NL$  ?

$(NL \leq \log^2 \notin L)$

$$\text{NP} \subseteq \text{PSPACE} \supseteq \text{co-NP}$$

QBF :  $\exists_{x_1} \forall_{x_2} \exists_{x_3} \forall_{x_4} \exists_{x_5} \dots \forall_{x_n} \Phi(x_1, \dots, x_n)$

$\text{QBF} \in \text{PSPACE} \leftarrow \text{can design recursive algo}$

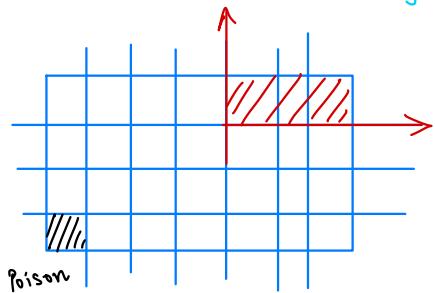
$$x_1 = 0 \underbrace{(\Phi(x_2, \dots))}_{\text{Recursion depth}} \vee (x_1 = 1) (\quad) \quad \left. \begin{array}{l} \\ \\ \end{array} \right\} = \text{poly}(n).$$

$x_2 = 0 \wedge x_2 = 1$

Combinatorial games : from configuration  $X$  is there a winning strategy for black?

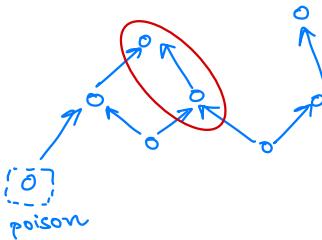
Two player Games with perfect information, no randomness  $\in \text{PSPACE}$

no hidden things  
E.g. Poker



Many such problems  
are PS-complete

Poset Game :



Poset Game  
is PS-complete

Savitch Thm

3018

$$\text{NPSPACE}(S(n)) \subseteq \text{SPACE}(S(n)^2)$$

$$\Rightarrow \text{NL} \subseteq \text{L}^2$$

A TM with space bound  $O(S(n))$ , and an input  $x$ .

$\rightarrow$  Configuration graph :  $2^{O(S(n))}$  size.

Def. " "  
(head, state, tape)

Is there a path from starting confign to accepting confign?

Given  $c, c'$  how to find edge  $c \rightarrow c' \leftarrow$  check in  $O(S(n))$  space.

Is  $\text{PATH}(c, c', i)$ : whether  $\exists c \rightarrow c'$  at length  $\leq 2^i$  ?

$c \xrightarrow{\leq 2^{i-1}} c'' \xrightarrow{\leq 2^i} c'$

$T(i)$  iterate over  $c'' \in 2^{\text{O}(s(n))}$  choices  
 $s(n) \rightarrow s(n)-1 \rightarrow \dots$

$\text{PATH}(\underbrace{c, c''}_{\text{can re-use space}}, i-1) \wedge (\underbrace{c', c''}_{\text{can re-use space}}, i-1)$

$$T(i) = \underbrace{T(i-1)}_{\text{space=T}} + \text{store } c'' \text{ choice}$$

$$(space=T) = T(i-1) + \text{O}(s(n))$$

$$i = \text{O}(s(n)) \Rightarrow T(i) = \text{O}(s(n)^2)$$

Conclusion : Reachability on  $n$  nodes  $\in$  space  $(\text{O}(\log^2 n))$ , time  $(n^{\log n})$

$$\text{Time} = \underbrace{(2^{\text{O}(s(n))})}_{\text{choices of } c \text{ in each step}}^{(s(n))} \leftarrow \# \text{ steps.}$$

A problem is  $\text{PS}$ -complete if every problem in  $\text{PSPACE}$  reduces polytime to  $\mathcal{Q}$ , and  $\mathcal{Q} \in \text{PS}$ .

Thm : QBF is  $\text{PS}$ -complete

Poset Game =  $\text{PS}$ -complete.      GAMES against nature. [Papadimitriou]



$\downarrow$   
PSPACE-complete  
(even there hard to win)

→ Memory usage depend only on depth.

Proof :  $\in \text{PS}$  is easy.

Is there an edge from  $c$  to  $c'$  ? → can convert to QBF

$\psi_i(c, c')$  is true iff  $\exists$  path of length  $\leq 2^i$  from  $c$  to  $c'$ .

$$\psi_{i+1} = \exists_{c''} \psi_i(c, c'') \wedge \psi_i(c'', c') \quad \leftarrow \begin{array}{l} 2x \text{ blowup} \\ S(n) \text{ times} \end{array}$$

Trick :  $\Rightarrow$  Need to be better.

$$\psi_{i+1}(c, c') =$$

formula size is not doubling now!

$$\exists_{c''} \forall_{D_1, D_2} \boxed{\begin{array}{l} \text{If } (D_1, D_2) = (c, c'') \\ \quad (D_1, D_2) = (c'', c') \end{array}} \Rightarrow \psi_i(D_1, D_2)$$

Up Next : Directed reach is NL-complete.

Immerman Szemerédi:  $NL = co-NL$

Unreachability has a certificate