

Chains, antichains, shadows

Noting that a complete matching of a bipartite graph from X to Y is a vertex disjoint collection of edges such that we cover X .

Theorem 1 (Hall's theorem) $G = (X \cup Y, E)$ a bipartite graph has a complete matching from X to Y if and only if for all $S \subseteq X$, $|\Gamma(S)| \geq |S|$.

We then claim that there is a partition of $\mathcal{P}(n)$ into $\binom{n}{\lfloor n/2 \rfloor}$ chains, following as we can find matchings from layer to layer when viewed as Q_n , and there are $\binom{n}{\lfloor n/2 \rfloor}$ elements in the centre allowing us to choose that number of such chains through the layers. Thus we can then prove Sperner's lemma.

Theorem 2 (Sperner's lemma) An antichain in $\mathcal{P}(n)$ has size at most $\binom{n}{\lfloor n/2 \rfloor}$.

This is clear as a chain and an antichain meet on at most one element, so partitioning $\mathcal{P}(n)$ into some number of chains means having an antichain with more elements would mean it shares two elements with a chain.

Theorem 3 (LYM Inequality) Let $\mathcal{F} \subseteq \mathcal{P}(n)$ be an antichain. Then

$$\sum_{i=0}^n \frac{|\mathcal{F} \cap [n]^{(i)}|}{\binom{n}{i}} \leq 1,$$

and we have equality iff $\mathcal{F} = [n]^{(i)}$ for some $i \in [n]$.

Definition 1 With $\mathcal{F} \subseteq X^{(k)}$ a k -uniform family on X , the lower shadow $\partial\mathcal{F}$ of \mathcal{F} is $\bigcup_{A \in \mathcal{F}} A^{(k-1)}$.

Essentially, to form a shadow on a k -uniform family \mathcal{F} , from each $A \in \mathcal{F}$ we pick $k-1$ elements.

This is proven using a local version with $\mathcal{F} \subseteq [n]^{(r)}$, that

$$\binom{n}{r} |\partial\mathcal{F}| \geq \binom{n}{r-1} |\mathcal{F}|,$$

shown via double counting of edges in Q_n , noting that each element in \mathcal{F} contains k sets of size $k-1$, and each element in $\partial\mathcal{F}$ is contained by $\leq n - (k-1)$ elements in \mathcal{F} , so $(n-k+1)|\partial\mathcal{F}| \geq k|\mathcal{F}|$. Equality occurs $\mathcal{F} = [n]^{(k)}$ or $\mathcal{F} = \emptyset$. Understand proof a bit better.

As a result, if \mathcal{F} is an antichain in $\mathcal{P}(n)$, $|\mathcal{F}| \leq \binom{n}{\lfloor n/2 \rfloor}$ with equality for $\mathcal{F} = [n]^{(k)}$, $k \in \{\lfloor n/2 \rfloor, \lceil n/2 \rceil\}$.

Theorem 4 (Dilworth's theorem) Let (P, \leq) be a finite poset. The minimum number of chains needed to cover P is the maximum size of an antichain.

One direction of this is clear: the minimum number of chains needed to cover P is certainly not less than the maximum size of an antichain, because then we would have an antichain of maximum size which contains more than one element in one of the covering chains, giving a contradiction.

The other direction is less clear: we need to show that we can construct a covering of P with a chain for each element of a maximum-size antichain. The intuition here is that we should be able to take a maximum size antichain, and generate chains by trawling for elements above and below each element of the antichain. If this doesn't generate a covering, we have an element x which isn't at the top or bottom of any generated chain. x must be comparable with some element of the antichain y , and thus we just select the first element (closest to y) of the chain generated by y with which x is not comparable ...

The above explanation requires some work, but in principle captures how this should operate. We also have a dual to this theorem:

Theorem 5 (Mirsky's theorem) Let (P, \leq) be a finite poset. The minimum number of antichains needed to cover P is the maximum size of a chain.

To prove this, we calculate the height of a maximal chain starting at each element $v \in P$, and note that we can get antichains by selecting the elements with a particular height, for each possible height.

Theorem 6 (Erdős) With $\mathbf{x} \in \mathbb{R}^n$ s.t. $x_i \geq 1$ for all $i \in [n]$. For every $\alpha \in \mathbb{R}$, there are at most $\binom{n}{\lfloor n/2 \rfloor}$ subsets $I \subseteq [n]$ such that $\sum_{i \in I} x_i \in [\alpha, \alpha + 1]$.

By using Sperner's lemma, we see that if more such subsets existed, we would have one containing the other, and thus violating the required property.

Indeed we can extend this theorem to the case $|x_i| \geq 1$, noting that replacing $+x_i$ with $-x_i$ just switches around whether we're making an 'include' or 'don't include' decision, translating the collection of possible sums.

We want to generalise this a bit more, and in order to do this we introduce the concept of chain symmetry – we say that a chain in $\mathcal{P}(n)$ is symmetric if each link adds one element, and if the smallest and largest sets in the chain have gross cardinality n . Indeed we can partition $\mathcal{P}(n)$ into symmetric chains by induction. Critically, as every symmetric chain must contain an element in $[n]^{(\lfloor n/2 \rfloor)}$, thus there are $\binom{n}{\lfloor n/2 \rfloor}$ chains in such a decomposition.

Theorem 7 Let $k, n \geq 1$, suppose that $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_n] \in \mathbb{R}^{k \times n}$ s.t. $\|\mathbf{x}_i\|_2 \geq 1$ for all i . With $K \subseteq \mathbb{R}^k$ s.t. $\text{diam}(K) < 1$, there are at most $\binom{n}{\lfloor n/2 \rfloor}$ subsets $I \subseteq [n]$ s.t. $\sum_{i \in I} x_i \in K$.

It is sufficient to show that we can partition $\mathcal{P}(n)$ into $\binom{n}{\lfloor n/2 \rfloor}$ sets $D_1, \dots, D_{\binom{n}{\lfloor n/2 \rfloor}}$ which are 'sparse', meaning that for $A, B \in D_i$, $\|\sum_{i \in A} \mathbf{x}_i - \sum_{j \in B} \mathbf{x}_j\| \geq 1$. If such a partition exists then we must only be able to select one valid set from each collection in the partition, and the result is proven.

To prove this, we find a symmetric partition $\mathcal{P}(n)$ into sparse families by induction. For a symmetric partition $\mathcal{F}_1, \dots, \mathcal{F}_m$, order the elements of \mathcal{F}_i as A_1, \dots, A_t where $\langle x_{A_1}, x_{A_t} \rangle \leq \dots \langle x_{A_m}, x_{A_1} \rangle$, and we hope to then generate new chains for which the sets more aligned with x_n are at the top (and thus $x_n + x_{A_t}$ is kept far from the other sets). We do this and find straightforwardly that with the same procedure to generate a symmetric partition in the first place subject to this particular reordering, we get the desired result.

We would like to get a more precise understanding of the relative cardinalities of r -uniform sets and their shadows. We get an immediate inequality via LYM, but want to understand where we get closer to and further from the equality. To do this, we define lexicographic and colexicographic order:

In lex , for $A, B \in [n]^{(r)}$, $A <_{\text{lex}} B$ if $A \neq B$ and $\min(A \Delta B) \in A$. Thus in lex order, the place of a set in an order is primarily determined by its lowest element (and then its next lowest, and on and on).

In colex , for $A, B \in [n]^{(r)}$, $A <_{\text{colex}} B$ if $A \neq B$ and $\max(A \Delta B) \in B$. Thus in colex order, the place of a set in an order is primarily determined by its highest element (and then its next highest, and on and on).

Theorem 8 (Kruskal-Katona) Let $\mathcal{F} \subseteq [n]^{(r)}$, \mathcal{A} be the family of the first $|\mathcal{F}|$ elements of $[n]^{(r)}$ in colex order. Then $|\partial\mathcal{F}| \geq |\partial\mathcal{A}|$.

Essentially, the claim is that colex order creates the most overlap in the shadow, thus minimising its size. To show this takes some time, but fundamentally we do this by reducing \mathcal{F} to a family which is an initial segment of colex, and demonstrate that at each step $|\partial\mathcal{F}'| \leq |\partial\mathcal{F}|$. Intuitively, our goal is to swap large elements for small elements, to get ourselves towards colex.

We define a compression operator to swap in i instead of j and j instead of i wherever this is coherent, and to leave all other sets in \mathcal{F} untouched otherwise. We refer to this as a left compression where $i < j$, and conjecture that left compressions should not increase the shadow.

$$C_{ij}(A) = \begin{cases} (A \setminus j) \cup i & \text{if } i \notin A, j \in A \\ A & \text{otherwise} \end{cases}$$

$$C_{ij}(\mathcal{F}) = \{C_{ij}(A) : A \in \mathcal{F}\} \cup \{A \in \mathcal{F} : C_{ij}(A) \in \mathcal{F}\}.$$

Indeed, the shadow of $C_{ij}(\mathcal{F})$ is no larger than the shadow of \mathcal{F} , and there is a family \mathcal{A} which is a fixed point of all left-compressions (which we call left-compressed). Unfortunately, not every left-compressed family is in colex form, as $\{12, 13, 14\}$ is left-compressed but contains 14, which we can't get rid of e.g. via C_{34} because we get it back from 13. Indeed we want to be able to convert this into $\{12, 13, 23\}$, but this is impossible without doing two steps simultaneously.

Thus we want to replace i and j with disjoint sets $U, V \in \mathcal{P}(n)$, $|U| = |V|$, and technical details allow us to get the compression as desired.

Combinatorial Nullstellensatz

Theorem 9 (Combinatorial Nullstellensatz) Let \mathbb{F} be a field, $f \in \mathbb{F}[x_1, \dots, x_n]$ a polynomial of degree t , with t_1, \dots, t_n such that $\sum_{i=1}^n t_i = t$ and $\prod_{i=1}^n x_i^{t_i}$ has non-zero coefficient in f .

If there are sets S_1, \dots, S_n in \mathbb{F} each with $|S_i| \geq t_i + 1$, then there is $\mathbf{s} \in \prod_{i=1}^n S_i$ such that $f(\mathbf{s}) \neq 0$.

The proof is by induction. For $t = 1$, f is linear in an argument, and the statement is immediate. Assuming that there are S_1, \dots, S_n in \mathbb{F} with $|S_i| \geq t_i + 1$, and taking $s_1 \in S_1$,

$$f(x_1, \dots, x_n) = (x_1 - s_1)g(x_1, \dots, x_n) + h(x_2, \dots, x_n)$$

where g is a polynomial of degree $t-1$ with monomial $x_1^{t_1-1} \prod_{i=2}^n x_i^{t_i}$, and $h \in \mathbb{F}[x_2, \dots, x_n]$ with degree t . Immediately, for $(s_2, \dots, s_n) \in \prod_{i=2}^n S_i$, $f(s_1, s_2, \dots, s_n) = h(s_2, \dots, s_n)$. Furthermore, by the inductive hypothesis there is some $(s'_1, \dots, s'_n) \in (S_1 \setminus \{s_1\}) \times \prod_{i=2}^n S_i$ such that $g(s'_1, \dots, s'_n) \neq 0$, so

$$f(s'_1, \dots, s'_n) = (s'_1 - s_1)g(s'_1, \dots, s'_n) + h(s'_2, \dots, s'_n)$$

$$= (s'_1 - s_1)g(s'_1, \dots, s'_n) + f(s_1, s'_2, \dots, s'_n)$$

and thus $f(s'_1, \dots, s'_n) \neq f(s_1, s'_2, \dots, s'_n)$, so at least one of these is non-zero.

This is naturally an incredibly wide-reaching theorem. The key idea is that given a problem, we want to construct a polynomial with informative zeros, and then take a subset of its domain big enough to guarantee a non-zero value, which indicates that we've exited our initial case.

Theorem 10 With H_1, \dots, H_m a family of hyperplanes in \mathbb{R}^n , and

$$\left| \{0, 1\}^n \cap \bigcup_{i=1}^m H_i \right| = 2^n - 1.$$

Then $m \geq n$.

To prove this, construct a polynomial $P(\mathbf{x})$ for $\mathbf{x} \in \mathbb{R}^n$, which is zero on $\{0, 1\}^n$ if \mathbf{x} is contained in a hyperplane and non-zero, or equal to zero. This can be easily constructed with degree $n \vee m$, and if $m < n$, the combinatorial nullstellensatz gives us that there is $\mathbf{s} \in \{0, 1\}^n$ such that $P(\mathbf{s}) \neq 0$, so thus there must be a point not contained in a hyperplane.

Theorem 11 (Cauchy-Davenport) If p is prime and $A, B \subseteq \mathbb{Z}_p$, then

$$|A + B| \geq \min\{p, |A| + |B| - 1\}.$$

The claim is almost immediate for $|A| + |B| > p$, as we just need to show that $A + B = \mathbb{Z}_p$. In the case $|A| + |B| \leq p$, we want to claim a contradiction if $|A + B| \leq |A| + |B| - 2$, by constructing a polynomial of degree $|A| + |B| - 2$, zero on $A \times B$. Yet combinatorial nullstellensatz should give an element on which the polynomial is nonzero, and this gives us a contradiction.

Intersections and traces

We say that $\mathcal{A} \subseteq \mathcal{P}(n)$ is intersecting if $A \cap B \neq \emptyset$ for $A, B \in \mathcal{A}$. It's immediately clear that $|\mathcal{A}| \leq 2^{n-1}$.

Theorem 12 (Erdős-Ko-Rado) For $r \leq n/2$, $\mathcal{A} \subseteq [n]^{(r)}$ intersecting implies that $|\mathcal{A}| \leq \binom{n-1}{r-1}$.

There are two separate proofs for this: the first involves Katona's circle method, and the second using the Kruskal-Katona theorem.

Theorem 13 (Liggett's theorem) With $\mathbf{Y} \stackrel{\text{i.i.d.}}{\sim} \text{Ber}(p)^n$, $\boldsymbol{\alpha} \geq 0$, $\sum \alpha_i = 1$,

$$\mathbb{P}\left(\sum_i \alpha_i Y_i \geq 1/2\right) \geq p.$$

The proof of this theorem follows by applying EKR to $\{A \subseteq [n]^{(k)} : \sum_{i \in A} \alpha_i > 1/2\}$.

Theorem 14 (Two Families Theorem) Let $A_1, \dots, A_k, B_1, \dots, B_k$ be finite sets such that each (A_i, B_i) pair is disjoint, but no other (A_i, B_j) pair is disjoint. Then

$$\sum_{i=1}^k \left(\frac{|A_i| + |B_i|}{|A_i|} \right)^{-1} \leq 1.$$

Observe immediately that each summand is equal to the probability that we choose the (A_i, B_i) partition of $A_i \cup B_i$.

VC-dimension

Definition 2 (Trace) For $\mathcal{F} \subseteq \mathcal{P}(X)$, $S \subseteq X$, the trace of \mathcal{F} on S is

$$\mathcal{F}|_S := \{F \cap S : F \in \mathcal{F}\}$$

and $\text{tr}_{\mathcal{F}}(S) = |\mathcal{F}|_S|$.

We say that S is shattered by \mathcal{F} if $\mathcal{F}|_S = \mathcal{P}(S)$, and define the VC-dimension of \mathcal{F} as

$$\max\{|S| : S \subseteq X \text{ is shattered by } \mathcal{F}\}.$$

To give some intuition: as a result of this, we're mainly concerned with being able to divide up a finite set S of elements by the various sets in \mathcal{F} . For example, the VC-dimension of the set of half-spaces in \mathbb{R}^2 is