**PREDICTIVE PATIENT FLOW MODEL FOR HOSPITAL OVERCROWDING ANALYSIS USING MACHINE LEARNING AND EXPLAINABLE AI**

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**A PROJECT REPORT SUBMITTED IN PARTIAL FULFILMENT OF THE REQUIREMENT FOR THE DEGREE OF BACHELOR OF SCIENCE**

**(DATA SCIENCE AND ANALYTICS)**

**FATONI UNIVERSITY**

**1445/2024**

**CHAPTER I**

**INTRODUCTION**

* 1. PROJECT OVERVIEW

The issue of hospital overcrowding has been a recurring issue, leading to long waiting hours and delayed admissions to intensive care wards. This has been identified as a major challenge facing hospitals globally [1]. Overcrowding occurs when healthcare is forced to operate beyond its capacity due to a shortage of medical staff and an excessive number of patients seeking medical treatment [2]. Hospital overcrowding is primarily caused by factors such as unnecessary patient visits, lack of inpatient beds, and prolonged waiting times for available beds in wards. Research indicates that unnecessary visits often stem from inadequate standard procedures, while a shortage of inpatient beds exacerbates delays in emergency departments (EDs) and contributes to increased mortality rates among vulnerable populations, such as chronic kidney disease patients [3]. To mitigate these effects, healthcare systems can implement several strategies. Enhancing bed management and fostering departments can streamline patient flow and reduce boarding times [4]. Additionally, optimizing staffing levels in outpatient departments and employing queuing models to manage patient arrivals can significantly decrease wait times and improve overall operational effectiveness [3]. These measures can help alleviate overcrowding and enhance patient care quality.

Patient flow plays a critical role in hospital overcrowding, as inefficient management of patient movement can lead to significant delays and negative outcomes. Research indicates that effective patient flow management, including the use of artificial intelligence (AI) tools, can enhance the forecasting and monitoring of patient admissions, transfers, and discharges, thereby alleviating overcrowding in hospitals [5]. For instance, the implementation of discharge lounges has been shown to improve patient flow by increasing discharge rates and reducing turnaround times, which directly correlates with decreased overcrowding [6]. Additionally, systematic reviews highlight that managing patient flows across various hospital departments is essential, as disruptions in one area can impact the entire system. Factors such as prolonged waiting times and inadequate staffing in emergency departments exacerbate overcrowding, underscoring the need for targeted interventions to streamline patient flow [7]. Overall, optimizing patient flow is vital for improving hospital efficiency and patient care quality. The emerging technique of Artificial Intelligence (AI) has made it possible to manage overcrowding in emergency departments hence getting more attention in the community.

This project proposes comparison between k-Nearest Neighbor (KNN) and Random Forrest model of Machine Learning to be employed and trained using hospital admission data encompassing attributes such as diagnosis, consultancy episodes, number of admission and demography. The model will identify patterns and trends to predict which diagnosis requires the patient to have longer hospital stays or readmissions to help stakeholders to prioritize resource allocation accordingly. Apart from that, this project also emphasizes data visualization as it is essential for understanding and addressing the relationship between diagnosis and overcrowding in hospitals. It can help identify patterns, bottlenecks, and trends in the data, offering actionable insights for improving patient throughput and resource management. Data visualization using Python with libraries such as Matplotlib, Seaborn, and Plotly is a powerful approach to transforming raw data into meaningful insights through graphical representation.

* 1. PROBLEM STATEMENT

1. Insufficient Understanding of Diagnosis-Specific Flow Patterns: The absence of data visualized of how specific diagnoses contribute to patient flow dynamics creates challenges in identifying which medical conditions are most closely associated with overcrowding at different times.
2. Difficulty in Integrating Historical Data for Predictions: Hospitals face challenges in integrating historical patient diagnosis data to create accurate prediction models, limiting their ability to anticipate and mitigate future overcrowding effectively.
3. Lack of Explainability in Prediction Models: Stakeholders struggle with interpreting and understanding the predictive models used for anticipating overcrowding. The absence of explainability makes it difficult for healthcare professionals to trust and act upon predictions, which limits the effectiveness of these models in decision-making and patient flow management.
   1. OBJECTIVE
4. Employ data visualization techniques to explore and extract key features from the dataset. This includes identifying trends in hospital admissions, diagnosis patterns, and demographic impacts (such as age, gender, and types of admissions), which are relevant to predicting hospital overcrowding.
5. Build a machine learning model to predict hospital overcrowding based on historical hospital admission data and diagnosis patterns. The model will classify periods of potential overcrowding to assist in hospital resource planning and management.
6. Incorporates Explainable AI (XAI) through LIME to provide transparent, interpretable explanations of the model’s predictions. By using LIME, this study seeks to enhance stakeholders’ understanding of the factors driving individual predictions, enabling healthcare professionals to make informed, data-driven decisions.
   1. SCOPE OF STUDY

* Module to be developed:
* Data use: Admitted Patient Care activity in England for the financial year 2023-24.
* Tools: Implement data visualization, prediction models, and Explainable AI using Python and deploy the model using Streamlit.
* Target User:
* The target users of this study are hospital stakeholders who aim to manage resources effectively to reduce overcrowding in hospitals and improve the quality of healthcare services. They can use data and analysis to plan strategies for resource management and enhance operational efficiency. Additionally, it includes healthcare providers (doctors and nurses) who need in-depth information about patient flow, which will help them make better decisions in patient care.
  1. PROJECT SIGNIFICANCE

This project holds significant potential for enhancing hospital resource management and improving patient care by predicting patient flow patterns and identifying factors that contribute to longer hospital stays or readmissions. With limited resources and frequent issues of overcrowding, hospitals often face challenges that impact both operational efficiency and patient safety. By developing a predictive model based on hospital admission data, this project enables administrators to allocate resources proactively, reducing bottlenecks and optimizing patient throughput. This data-driven approach supports strategic decision-making for staffing, facility management, and scheduling, ultimately contributing to a more responsive and well-organized healthcare environment.

Additionally, this project integrates explainable AI using LIME, allowing healthcare providers to understand the reasons behind each prediction. This transparency builds trust in the model’s recommendations and helps stakeholders make informed decisions aligned with patient needs. With insights into which factors most influence patient outcomes, hospitals can prioritize high-risk cases, implement preventive measures, and address potential issues before they escalate. The project not only enhances hospital efficiency but also sets a foundation for broader healthcare applications, offering scalable solutions that can improve patient care and operational efficiency across various healthcare settings.

## CHAPTER ORGANIZE

**Chapter I: Introduction**

**Chapter II: Literature Review**

**Chapter III: Methodology**

**Chapter IV: Propose Solution and Result**

**Chapter V: Conclusion and Discussion**

**CHAPTER II**

**LITERATURE REVIEW**

2.1 Data Analysis and Visualization in Patient Flow Management

Data analysis and visualization are crucial tools for managing and interpreting healthcare data, significantly enhancing the management of medical resources and improving patient service delivery [8]. Data visualization enables healthcare executives and staff to view data in an accessible format, making it easier to identify patterns, trends, and bottlenecks in patient admissions and movements [9]. Python libraries such as Matplotlib, Seaborn, Plotly, Bokeh, Altair, and ggplot are used to create detailed visualizations that support better decision-making [10]. Utilizing these visualization tools allows hospitals to optimize resource allocation, manage bed occupancy more effectively, and reduce patient waiting times clearly.

* Matplotlib is an established and popular library used for creating various types of graphs, such as line charts and histograms. It provides flexibility and detail for data visualization, making it a fundamental tool for healthcare data analysis and visualization [10].
* Seaborn builds on Matplotlib and is designed for statistical data visualization, facilitating the creation of complex plots like Heatmaps and Pair plots, which help in exploring data relationships and trends [10].
* Plotlysupports interactive and 3D graph creation, enhancing the effectiveness of detailed dashboards and making it suitable for visualizing patient flow data [10].
* Bokeh focuses on creating interactive and web-based visualizations, ideal for detailed and specific data representation [10].
* Altair is known for its simple syntax for creating statistical graphs and interactive visualizations, making it suitable for in-depth data analysis and presentation [10].
* ggplot adapted from R, uses a grammar of graphics approach, allowing for straightforward and clear graph creation, which is beneficial for detailed data interpretation [10].

An example of data visualization application is a study in Southwest Ethiopia, where a health information system was developed to aggregate data from 21 healthcare facilities over 41 months. Using Python Sankey diagrams, the researchers visualized patient flow and employed machine learning algorithms to achieve high prediction accuracy for outpatient flows [11]. The study found that Sankey diagrams effectively visualized patient flow across healthcare facilities, enabling stakeholders to monitor and predict patient movements with high accuracy (up to 85%) [11].

Additionally, Exploratory Data Analysis (EDA) using Python libraries such as Pandas and Matplotlib plays a crucial role in cleaning and visualizing healthcare data. This aids in discovering trends and relationships that inform patient care strategies [12]. Data visualization enhances understanding of complex datasets, allowing healthcare professionals to identify patterns and relationships crucial for evidence-based decision-making [12],[13]. Interactive dashboards also enable rapid data analysis, significantly improving response times in clinical settings and potentially saving lives [14].

2.2 Machine Learning Techniques for Managing Overcrowding

Managing hospital overcrowding is a critical challenge that directly affects the quality of patient care and resource management within healthcare facilities. Machine learning (ML) techniques play an essential role in forecasting patient flow, optimizing resource allocation, and enhancing existing services to reduce congestion.

Predicting patient flow and hospital admissions can be achieved through predictive modeling techniques such as Random Forest, K-Nearest Neighbors (KNN), and Support Vector Machines (SVM). These models are instrumental in analyzing patient data and forecasting future admission volumes. For instance, a study conducted in the southwestern region of Ethiopia utilized ML models to predict outpatient and inpatient flow, achieving an accuracy of up to 85% for outpatient admissions and 83% for predicting overallpatient flow. Techniques like NearMiss, SMOTE, and SMOTE-Tomek were employed to address data imbalance issues commonly found in patient data, significantly enhancing model performance and reliability [11]. These models are invaluable for anticipating patient demand and effectively planning hospital resource allocation, helping reduce overcrowding and improving service delivery efficiency.

In the context of managing patient flow in emergency departments, classification algorithms have been applied to predict and manage patient length of stay (LOS). A study in Nigeria explored various classification techniques, including SVM, Classification and Regression Trees (CART), and Random Forest, to forecast LOS in emergency rooms. The study found that the SVM algorithm performed the best, with an accuracy of 0.986984 and a Mean Squared Error (MSE) of 0.358594, demonstrating its effectiveness in predicting LOS and managing patient flow [15]. This high accuracy allows hospitals to better manage resources and patient treatment times, thereby reducing congestion and enhancing service efficiency.

Additionally, clustering techniques are pivotal in analyzing and managing inpatient bed demand by identifying patterns and trends within the data, which facilitates accurate predictions of bed requirements. The study "MachineLearning BasedForecast for the Prediction of Inpatient Bed Demand" employed K-means clustering combined with Support Vector Machine Regression (K-SVR) to predict inpatient bed demand. The study achieved a Mean Absolute Percentage Error (MAPE) ranging between 0.49% and 4.10%, highlighting the effectiveness of clustering and regression techniques in improving bed management and alleviating hospital congestion [16]. These techniques enable hospitals to better plan admissions, reduce waiting times, and optimize the allocation of limited bed resources.

Overall, the application of machine learning techniques in hospital overcrowding management demonstrates significant potential in forecasting patient flow, optimizing resource allocation, and enhancing medical services. These approaches contribute to reducing congestion and improving the overall patient care experience.

2.3 The Role and Challenges of Explainable AI (XAI) in healthcare.

In recent years, artificial intelligence (AI) has become increasingly significant in healthcare, particularly in diagnostics and treatment recommendations. However, a crucial challenge is enabling users to understand and trust AI model outcomes. To address this issue, Explainable Artificial Intelligence (XAI) has emerged as a key concept, providing in-depth explanations of AI model operations. This helps medical professionals understand the rationale behind AI decisions, enhancing transparency and fostering trust between AI systems and healthcare providers [17]. Additionally, XAI techniques contribute to improving decision-making processes, ensuring that AI systems operate effectively and are understandable [17].

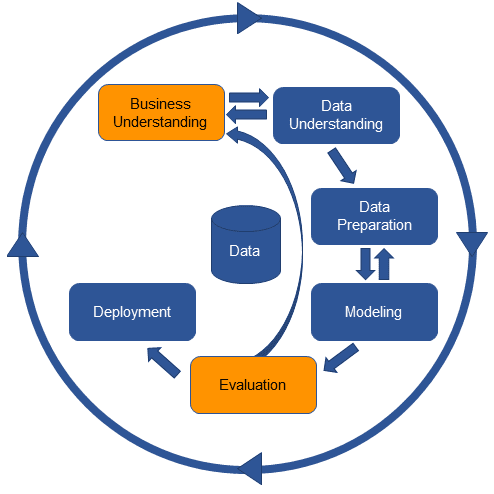
Among the important XAI techniques are Local Interpretable Model-Agnostic Explanations (LIME) and Shapley Additive explanations (SHAP), which have been applied in various healthcare contexts. LIME is a tool that provides pixel-level explanations of model outcomes, which is particularly useful in medical imaging tasks such as breast cancer diagnosis. LIME allows physicians to visualize model operations and understand decision-making on a granular level [18]. SHAP, on the other hand, offers a robust framework for understanding the contributions of individual features to model predictions. SHAP provides clear attribution scores, enabling detailed analysis of model outcomes. However, its performance can be influenced by model choice and feature relationships [19].

Despite their significant benefits, XAI techniques like LIME and SHAP face several challenges. One key challenge is the need for systematic evaluation and improvement to ensure these methods are effective in diverse healthcare scenarios. Additionally, there is a need for developing mechanisms that can adapt to complex feature relationships, which remains a major limitation of current XAI applications [20]. Integrating XAI techniques with medical models requires systematic assessment to ensure reliability and practical applicability. Future research should focus on refining these methods to overcome existing limitations and enhance the capabilities of XAI in personalized medicine [21].

Incorporating XAI fulfills the essential requirement for trust and accountability in AI-driven decision-making. For healthcare professionals, the ability to interpret model outputs is critical for making confident, data-informed decisions. Explainable AI, particularly through LIME, offers the clarity needed to understand and rely on these predictions. With LIME, the model’s outputs move beyond "black-box" predictions to become transparent and interpretable, enabling stakeholders to grasp the factors driving each result. This transparency empowers them to verify, understand, and act effectively on the insights provided by the patient flow model. Consequently, explainability enhances the model's practical relevance in addressing hospital overcrowding challenges while encouraging AI's responsible and ethical use within healthcare. Ongoing research and development in this area will contribute to making AI systems more reliable and beneficial in the future.

**CHAPTER III**

**METHODOLOGY**

For this project, the CRISP-DM (Cross Industry Standard Process for Data Mining) framework will be used, which is a widely recognized standard for data analysis and developing Machine Learning models. This process consists of six main stages that help organize research activities systematically and efficiently manage large datasets.

*Figure 1: CRISP-DM diagram*

CRISP-DM is flexible and can be adapted to different data and situations. In this project, CRISP-DM will guide the following steps:

* 1. Business Understanding:

In this project, NHS UK data in this project is utilized, structuring the business understanding phase to align insights from the dataset with the operational needs of hospitals. To support this alignment, an interview with a local Thailand hospital is conducted where study goals, such as predicting hospital stays, understanding readmission risks, and managing patient flow, in collaboration with the hospital staff are defined. Although the data is specific to the UK healthcare system, it provided a foundation for building predictive models that address universal hospital management challenges, applicable to Thailand hospitals as well. By framing the interview objectives around common issues like resource allocation and discharge planning, broader relevance and applicability across diverse healthcare contexts are achieved.

The interview also identified the needs of key stakeholders—hospital administrators, clinicians, and resource managers, as they are the primary beneficiaries of such predictive insights. Despite the use of NHS data, predictions related to patient flow and resource optimization may prove valuable in settings similar to the Thailand hospital referenced in the interview. Attributes such as demographics, diagnoses, and admission histories in the NHS dataset were emphasized, as these factors often impact patient flow. From the interview, potential data limitations, especially concerning differences between the NHS data structure and the intended deployment environment are anticipated.

Finally, concrete data science tasks from these insights to address hospital management priorities are outlined. Below are findings gain from the interview:

* Models from NHS data to predict long hospital stays or frequent readmissions, offering hospital staff actionable insights into patient throughput and bed occupancy.
* Model would be deployed, whether for real-time resource planning or for periodic reporting is important for strategic oversight.
* By incorporating explainable AI (e.g., LIME), model’s predictions are interpretable, allowing healthcare providers to act confidently on its insights.
* By aligning NHS data with practical applications in hospital management, resource optimization and improved patient care are able to establish the model as a valuable decision-support tool.

* 1. Data Understanding:

In this section, the study will provide a structured overview of the dataset by focusing on two critical components: Data Collection and Visualization. The Data Collection part will outline the sources, methods, and processes used to gather the dataset, ensuring a clear understanding of its origins, scope, and any potential biases or limitations. The Visualization part will then employ various graphical representations to illustrate the dataset’s core attributes, trends, and distributions, offering an intuitive grasp of its structure and patterns. Together, these components will offer a comprehensive perspective on the dataset, enabling deeper insights into its characteristics and underlying features, which will serve as a foundation for subsequent analysis.

* + 1. Data Collection

The data used for this project was obtained from NHS Digital, specifically from the Hospital Episode Statistics (HES) dataset for admitted patient care during the 2023-24 financial year. The dataset was downloaded directly from the official NHS website [22], which provides comprehensive information about hospital admissions, diagnoses, treatments, and patient demographics.

* + 1. Data Description

In this project, we gather hospital admission data that encompasses various details about patient diagnoses, demographic information, and admission types. The primary focus is on a comprehensive range of diagnoses, classified according to the ICD-10 system [23]. ICD-10 organizes diseases and health conditions into multiple groups [24], covering a broad spectrum of medical conditions:

|  |  |
| --- | --- |
| Code Range | Description |
| A00-B99 | Certain infectious and parasitic diseases |
| C00-D49 | Neoplasms |
| D50-D89 | Diseases of the blood and blood-forming organs and certain disorders involving the immune mechanism |
| E00-E89 | Endocrine, nutritional and metabolic diseases |
| F01-F99 | Mental, Behavioral and Neurodevelopmental disorders |
| G00-G99 | Diseases of the nervous system |
| H00-H59 | Diseases of the eye and adnexa |
| H60-H95 | Diseases of the ear and mastoid process |
| I00-I99 | Diseases of the circulatory system |
| J00-J99 | Diseases of the respiratory system |
| K00-K95 | Diseases of the digestive system |
| L00-L99 | Diseases of the skin and subcutaneous tissue |
| M00-M99 | Diseases of the musculoskeletal system and connective tissue |
| N00-N99 | Diseases of the genitourinary system |
| O00-O9A | Pregnancy, childbirth and the puerperium |
| P00-P96 | Certain conditions originating in the perinatal period |
| Q00-Q99 | Congenital malformations, deformations, and chromosomal abnormalities |
| R00-R99 | Symptoms, signs, and abnormal clinical and laboratory findings, not elsewhere classified |
| S00-T88 | Injury, poisoning, and certain other consequences of external causes |
| U04-U82 | Codes for special purposes |
| Z00-Z99 | Factors influencing health status and contact with health services |

*Table 1: Hospital Admission Data by ICD-10 Diagnosis Classification*

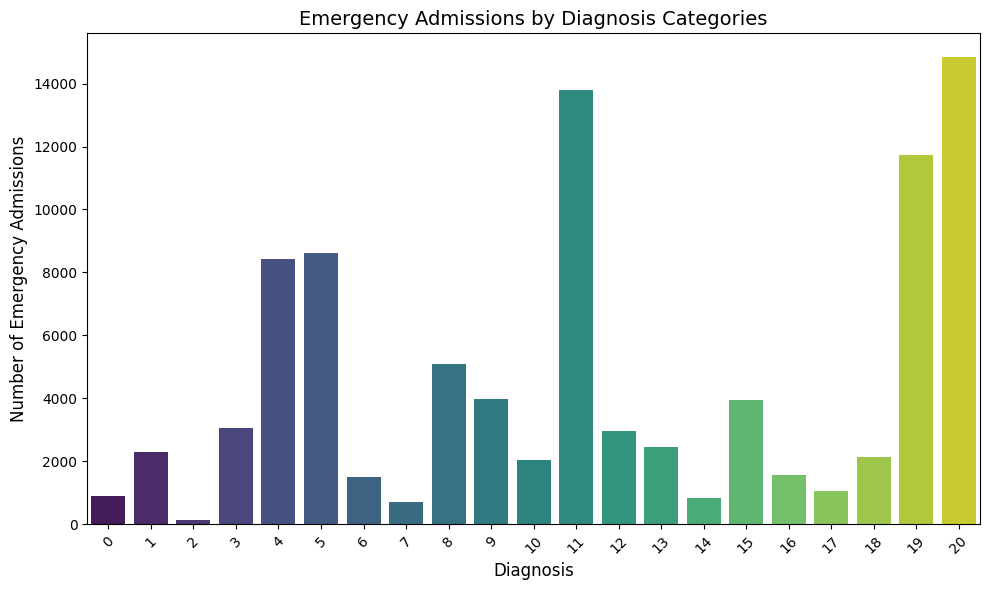
About Dataset

The dataset consists of hospital admission statistics for various diagnoses, detailing the outcomes of finished admission episodes (FAEs) across different categories. represented by ICD-10 codes. Table 1 lists all the variables used in the analysis.

|  |  |
| --- | --- |
| Variable | Description |
| Code | ICD-10 code representing the diagnosis |
| Diagnosis | Description of the diagnosed condition |
| Diagnosis Category | Category under which the diagnosis falls, as classified by ICD-10 |
| Finished Admission Episodes (FAEs) | Total number of completed admissions for each diagnosis |
| Admission Types | Classification of admissions as Emergency, Waiting List, Planned, and Other |
| Mean Time Waited (Days) | Average time waited for treatment for each diagnosis |
| Mean Length of Stay (Days) | Average duration of stay in the hospital for each diagnosis |
| Age Distribution | Number of patients in various age categories |

*Table 2: Independent variables in the study*

* + 1. Visualization



*Figure 1: shows the distribution of emergency admissions across different diagnosis codes.*

Figure 1 shows the distribution of emergency admissions across different diagnosis codes. From the bar chart, the diagnosis codes Z00-Z99, K00-K95, and U04-U82 have the highest number of emergency admissions, respectively. This may indicate the severity and urgency of treatment required for diseases in these categories. On the other hand, the diagnosis codes D50-D89, H60-H95, O00-O9A, and R00-R99 show very low numbers of emergency admissions, which may reflect the ability to manage these conditions without the need for urgent care, such as planned treatments or effective symptom management.

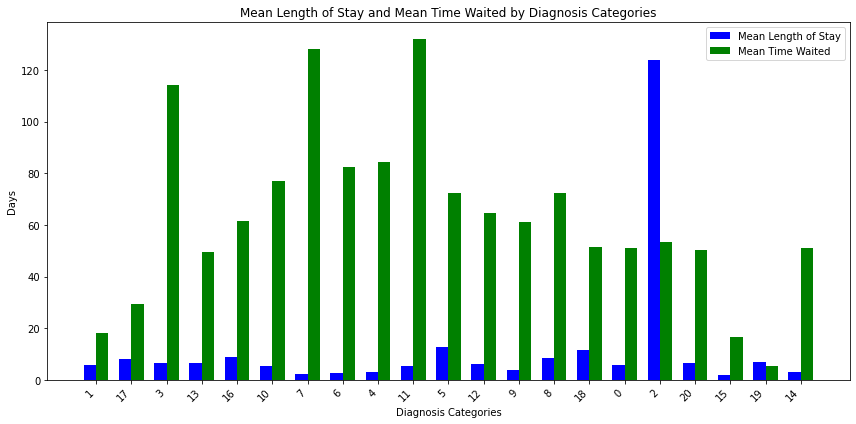
This analysis highlights the importance of prioritizing resource allocation, such as medical personnel and equipment, for diagnosis categories with high emergency admission numbers to ensure that treatment demands can be met efficiently and promptly.

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คำอธิบายที่สร้างโดยอัตโนมัติ

*Figure 2: Distribution of Admission Types Across Different Diagnosis Categories*

Figure 2 shows the distribution of admission types (Emergency, Waiting list, Planned, Other) across different diagnosis categories, providing a clear overview of how patient care is managed and distributed within each diagnosis group. Diagnosis category 5 shows a high number of patients requiring emergency care, with a notable proportion of emergency admissions and waiting list cases compared to planned or other types of care. This suggests that the conditions in this category are severe and require urgent treatment. In contrast, diagnosis categories 17 and 20 display a high number of emergency admissions, yet also show significant numbers of planned admissions, indicating that care for these conditions may involve both urgent and planned treatments. Additionally, some diagnosis categories, such as 0, 1, 3, 8, and 9, demonstrate low emergency admissions but higher numbers in planned or waiting list categories, suggesting that these conditions are more manageable in advance without the need for emergency care. The information from this chart can be used for planning and allocating medical resources to respond effectively and promptly to patient needs in each category.



*Figure 4:*

And the *Figure 4* illustrating the Mean Time Waited and Mean Length of Stay (LOS) categorized by different types of neoplasm diagnoses.

For the Mean Time Waited, it can be observed that patients with Benign neoplasms have the highest average waiting time, around 90 days. The waiting time steadily decreases for In situ neoplasms, dropping to about 40 days. For Malignant neoplasms, the average waiting time is around 40 days, with a slight increase for Neoplasms of uncertain or unknown behaviour, reaching approximately 50 days. This figure indicates that patients with benign neoplasms generally have the longest waiting times, while those with other types of neoplasms experience shorter waiting periods.

For the Mean LOS, it is found that the mean LOS for all types of neoplasms is relatively low compared to the mean waiting time, with averages ranging between 5 to 10 days. The line graph remains relatively steady, with a slight increase for Malignant neoplasms and Neoplasms of uncertain or unknown behaviour, but still staying below 10 days.

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* 1. Data Preparation

In this project, the data was prepared and cleaned using a comprehensive set of steps to ensure its readiness for analysis.

Data Cleaning

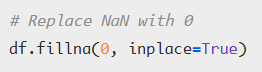
Data Transformation

Feature Engineering

Data Splitting

*Figure 5: Data Preparation Process*

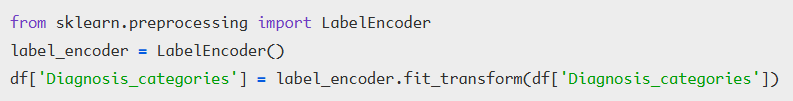
* + 1. Data Cleaning

*Figure 6: Handling Missing Value*

* Handling Missing Data:

Use **fillna(0)** function**,** causing all missing values to be replaced with 0 so that no NaN is left in the data.

* + 1. Data Transformation



*Figure 7: Label Encoding*

* Label Encoding:

The *Diagnosis\_categories* column, which is a categorical variable, was transformed into numeric values using **LabelEncoder** to ensure compatibility with machine learning models. This transformation assigns each category with a unique numeric value.

* + 1. Feature Engineering
* Create new features:

*‘Emergency Admission Ratio’*: We calculated the emergency admission ratio using the formula [25],[26]:

This feature helps to understand the level of emergency admissions for each type of patient and can be used to identify hospital overcrowding status. If the number of emergency admissions exceeds 85% of the total admissions, it is also identified as Overcrowding.

* Variable Selection:

Variable selection is a crucial step in the modeling process because it directly impacts on the accuracy and effectiveness of the developed model. In the context of this project, as mentioned in the data description above, we split the data into features (X) and target variables (y), with the following details:

1. Feature Matrix X: Independent Variables
2. Target Variables (y): Dependent Variables
   * 1. Data Splitting

In this part, we performed data splitting to create training and testing sets, which is an essential step for assessing the model's performance. By dividing the dataset, we can evaluate how well the model generalizes to unseen data, allowing us to reduce overfitting and improve the reliability of predictions. Typically, we allocate around 80% of the data for the Training Set and 20% for the Testing Set [27].

We utilized the *train\_test\_split* function from the *sklearn.model\_selection* module, which offers advantages such as automatic random data splitting and customizable proportions. This approach helps ensure that both the training and testing sets share similar distributions of the target variable, enhancing the objectivity and reliability of model evaluation.

* 1. Modeling

In this project, we compared three machine learning models: K-Nearest Neighbors (KNN), Random Forest (RF), and Gradient Boosting (GB). The selection of these three models was based on their advantages and limitations, making them suitable for predicting hospital overcrowding, which involves complex and imbalanced data. This comparison helped us identify which model would be the most appropriate for making predictions based on such intricate datasets, considering factors like computational speed, accuracy, and the ability to handle imbalanced data.

The reasons for selecting these three models are as follows:

**K-Nearest Neighbors (KNN):**

KNN was chosen for its simplicity and suitability for less complex datasets. Although KNN does not require a complicated training process, it has limitations in terms of performance when working with large datasets or high-dimensional data. Thus, KNN is ideal for smaller, less complex prediction tasks, helping us understand how a basic model works without requiring numerous parameters. It also serves as a benchmark for comparing more complex models.

**Random Forest (RF):**

Random Forest was selected for its ability to handle high-dimensional data and imbalanced classes effectively. It uses the bagging process, which helps reduce the risk of overfitting. This model tends to provide accurate results when dealing with complex data and uneven data distributions. The use of Random Forest allows us to leverage ensemble learning, combining decisions from multiple trees to strengthen the model and reduce overall error.

**Gradient Boosting (GB):**

Gradient Boosting was chosen for its ability to capture non-linear relationships and improve model performance sequentially. This is particularly useful for complex, imbalanced datasets. Gradient Boosting often yields excellent results when predicting complex data with a lot of noise. By selecting Gradient Boosting, we aim to improve the accuracy of our predictions efficiently.

* + 1. K-Nearest Neighbors (KNN)

The K-Nearest Neighbors (KNN) model is applied to predict hospital overcrowding by considering factors that may influence this condition. This model examines the nearest neighbors based on the specified number of neighbors (k value) to determine whether a new data point should be classified as "overcrowded" or "normal," relying on most neighboring points in either group. The distance between data points is used as the criterion for calculating proximity [29]. The distance between data points is typically calculated using the Euclidean distance formula:

where:

* is the distance between points and,
* is the number of features,
* ​ and ​ are the feature values for points and.

In this project, we used **GridSearchCV** to find the optimal value of 𝑘 the number of neighbors to consider, and selected the value that provided the best prediction results. GridSearchCV tests multiple values of 𝑘 and evaluates performance using cross-validation to avoid overfitting.

Once the optimal 𝑘 was determined, the KNN model was used to predict hospital overcrowding in the test set.

* + 1. Random Forest

The Random Forest (RF) model is used to predict hospital overcrowding by constructing a collection of decision trees during the training phase and aggregating their predictions to improve accuracy and robustness. As an ensemble learning method, Random Forest utilizes a combination of tree predictors to classify new instances, making it a highly effective model for this type of classification problem.

The decision-making process in Random Forest relies on "bagging" (Bootstrap Aggregating), where multiple subsets of data are randomly sampled with replacement to train several decision trees. Each tree independently predicts whether the hospital will be "overcrowded" or "normal," and the final output is determined by majority voting among the trees.

For Model Tuning and Optimization: In this project, we applied the **RandomizedSearchCV** process to tune hyperparameters and identify the most optimal values, such as the number of trees *(n\_estimators)*, maximum tree depth *(max\_depth)*, and the number of features used at each node *(max\_features)*. After tuning the parameters, the best model is trained on the training dataset and tested on the test dataset.

* + 1. Gradient Boosting

Gradient Boosting is an ensemble learning technique that combines multiple weak models, typically decision trees, to create a stronger model through sequential training. In each step, a new model is trained to correct the errors (residual errors) made by the previous model. This makes the process additive, where each new model builds upon the errors of the previous one, leading to incremental improvements.

The model minimizes the loss function by training a new model to fit the residual errors of the previous model. Parameters of the model are adjusted based on the gradient of the loss function using gradient descent. The gradient indicates the direction in which the model should adjust its parameters to reduce the loss value.

During the initial training phase, parameters such as n\_estimators (the number of trees), max\_depth (the depth of the trees), and learning\_rate (the learning rate) are defined to train the model on the training set.

Afterward, parameters are fine-tuned using **GridSearchCV** to find the best parameter values from a predefined grid. GridSearchCV optimizes the parameters through cross-validation, using performance statistics derived from the training data.

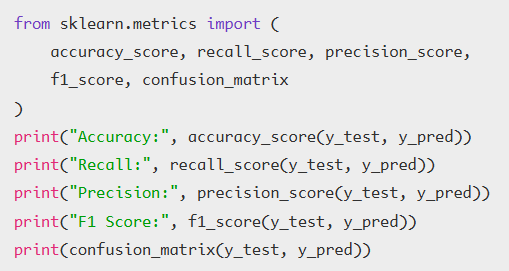
Once the best parameters are identified, the model is trained and evaluated.

* + 1. Evaluation

The performance of each model was evaluated using several classification metrics: Accuracy, Precision, Recall, and F1-Score. These metrics provide insights into the model’s ability to predict hospital overcrowding accurately and identify areas for improvement.

* Accuracy: Measures the overall correctness of the model, calculated as:
* Precision: Indicates the proportion of true positive predictions among all positive predictions:
* Recall: Measures of the model's ability to identify true overcrowding instances:
* F1-Score: The harmonic means of Precision and Recall, providing a balance between both metrics:

***\*\* TP: True Positive, TN: True Negative, FN: False Negative, FP: False Positive***



*Figure 11: Example of Model Evaluation*

Figure 11 shows an example of model evaluation with calculated metrics for each model. These results will be further analyzed in Chapter 4, including tables and graphs that visualize the performance comparison across different models.

* 1. Explainable AI (XAI) using LIME

In this section, we implement Local Interpretable Model-agnostic Explanations (LIME) to interpret the predictions made by our machine learning models. The primary objective of using LIME is to explain why a model predicts a certain outcome, focusing on specific predictions rather than global behavior. This step is crucial for understanding how input features influence predictions, particularly when dealing with black-box models.

* + 1. What is LIME?

LIME is a model-agnostic explainability technique that approximates the behavior of a complex model locally around a single prediction. It creates a simpler, interpretable model (e.g., a linear regression or decision tree) to explain the black-box model's decision for a specific instance. This is achieved by perturbing the input data and observing how the black-box model's predictions change.

* + 1. Steps for Using LIME

The process of using LIME involves selecting the model to be explained (e.g., Random Forest or Gradient Boosting) and a specific instance from the test dataset to analyze. LIME perturbs the features of the selected instance to generate new data points and studies the relationship between features and predictions. A local surrogate model, such as Linear Regression, is then constructed to approximate the black-box model's behavior in the vicinity of the selected instance. This surrogate model assigns feature importance scores and generates explanations in text or visual formats, illustrating how individual features impact the prediction. This approach enables users to understand the model’s reasoning and make decisions with enhanced confidence.

* 1. Deployment

**CHAPTER IV**

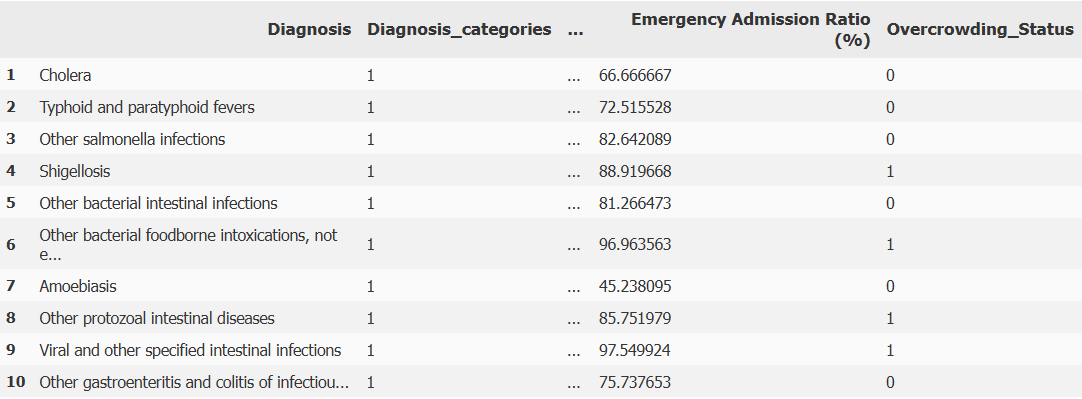
**PROPOSED SOLUTION AND RESULT**

1. **New Feature**

A new ‘Overcrowding status’ feature has been added to monitor the proportion of emergency admissions to assess hospital overcrowding. This feature is created by having a threshold of 85% of the Emergency Admission Ratio.

The benchmark refers to hospitals operating at average occupancy levels above 85% that are likely to experience regular bed shortages and periodic crises that cause overcrowding. This significant threshold indicates that the hospital is operating near or at full capacity, leaving little room to accommodate unexpected surges in patient admissions, particularly emergencies [30].

The new feature is created to address overcrowding which was not mentioned in the original data. The new feature also aims to improve model explainability and interpretability as one of the objectives of this study is for model explainability for stakeholders to make informed data-driven decisions.



*Figure 12: Sample Data Highlighting the New 'Overcrowding status' Feature*

1. **Comparison between KNN and Gradient Boosting for Model Accuracy**

This project compares the performance of K-Nearest Neighbors (KNN) and Gradient Boosting models in predicting hospital overcrowding. Various metrics, including Accuracy, Precision, Recall and F1 Score, are used to evaluate each model's performance.

|  |  |  |  |
| --- | --- | --- | --- |
| METRIC | K-NEAREST NEIGHBORS | RANDOM  FOREST | GRADIENT BOOSTING |
| ACCURACY | 0.8845 | 0.9629 | 0.967 |
| PRECISION | 0.7083 | 1.0 | 0.9255 |
| RECALL | 0.7083 | 0.8125 | 0.9062 |
| F1-SCORE | 0.7083 | 0.8966 | 0.9158 |

*Figure 13: Model Performance Comparison: KNN, Random Forest and Gradient Boosting*

For KNN, the accuracy is 91.02%, with Precision (74.19%) and Recall (77.97%) reflecting moderate performance in handling false positives and false negatives. The F1 Score is 76.03%, showing a balance between Precision and Recall. For Gradient Boosting, the model performs better with an accuracy of 96.28%, Precision (92.73%), and Recall (86.44%) showing better handling of imbalanced data. The F1 Score is 89.47%, indicating a higher reliability.

In summary, Gradient Boosting outperforms KNN in all metrics, with higher Precision and Recall, and fewer false positives and negatives. The higher F1 Score indicates that Gradient Boosting is more suitable for real-world applications where errors are critical. Therefore, Gradient Boosting is chosen as the best model for Explainable Artificial Intelligence (XAI). The use of XAI techniques like LIME will provide better transparency and understanding of the model’s decision-making process, making it highly beneficial for hospital management systems.

1. **LIME for Explainable AI**

4.2 Gradient Boosting, SHAP vs LIME on StreamLit

**CHAPTER V**

**CONCLUSION**

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