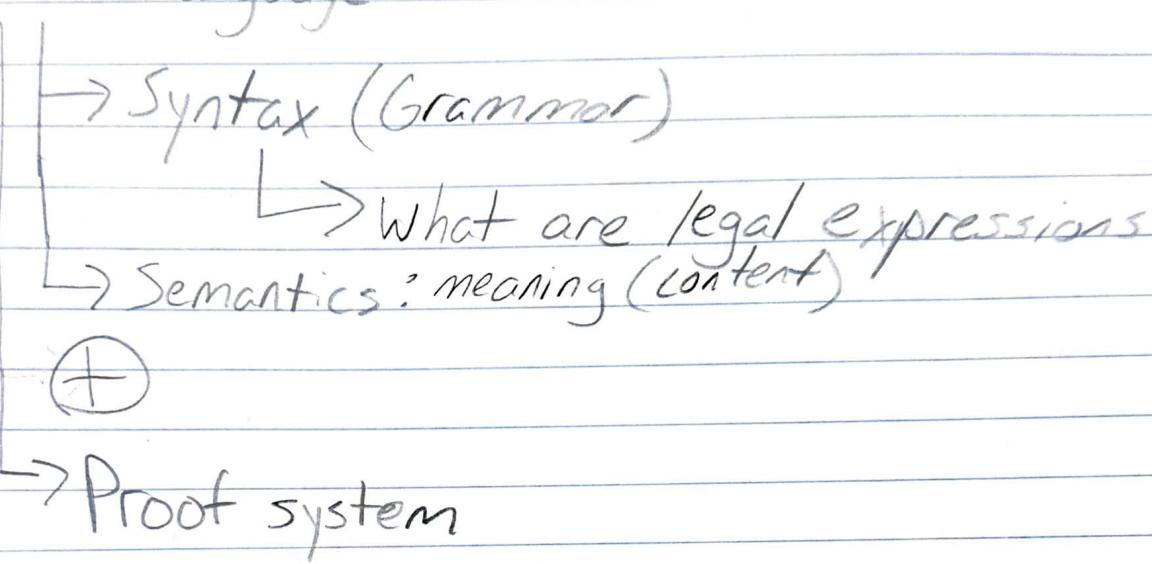


10/10/22

Logic

-Formal Language



(Ex) Someone is entering the room

{ his shoes are muddy and wet

:
:

Logic deduces
based on
information

⇒ It is raining outside

Two Types of Logic

Propositional Logic

(First-Order) Logic

L

* Syntax VS Semantics

in Programming Language

int a = $(3+4)*b;$
l-value

semantics
(meaning)

↳ Syntactically correct (syntax)

Chomsky:

"Colorless green ideas sleep furiously."

↳ Syntactically correct (syntax)

↳ But has no meaning (makes no sense) (Semantics)

Propositional Logic - (I'm handsome-not a proposition)
Ex:

* Term: Well-Formed formula (WFF)

⇒ Syntactically correct sentence

-Syntax

Sentence → atomic | complex

(is either) (constant) (constant)
atomic → "true" | "false" | symbol

symbol → P | Q | R | ...

Complex $\rightarrow \neg$ sentence

(sentence \vee sentence)

(sentence \wedge sentence)

(sentence \rightarrow sentence)

(sentence \leftrightarrow sentence)

bidirectional

"BNF" (Backwards-Naur Form)

Ex ϕ, ψ are WFF

★ Complex: $\neg\phi, (\phi \vee \psi), (\phi \wedge \psi)$

Sentence $\neg\psi, (\phi \rightarrow \psi), (\phi \leftrightarrow \psi)$

Rewrite

$\Rightarrow \phi \vee \psi, \phi \wedge \psi, \phi \rightarrow \psi, \phi \leftrightarrow \psi$

$\neg \wedge \vee \rightarrow \leftrightarrow$



high

low

Order of operations

(Left to right) (order of precedence)

Ex: Remove Parentheses

$(A \vee (B \wedge C))$

$\equiv \underline{A} \vee \underline{(B \wedge C)}$ ①

$\equiv A \vee B \wedge C$

Ex: Can you remove parenthesis, Numbers are order
 $((A \wedge B) \rightarrow (C \vee D))$

of precedence based
on order of
operations

$$\equiv (A \wedge B) \rightarrow (C \vee D)$$

$$\equiv A \wedge B \rightarrow (C \vee D)$$

$$\equiv \underbrace{A \wedge B}_{\textcircled{1}} \rightarrow \underbrace{C \vee D}_{\textcircled{2}}$$

(3)

$$\text{Ex: } ((A \rightarrow (\underbrace{B \vee C}_{\textcircled{1}})) \leftrightarrow \textcircled{3})$$

$$\equiv A \rightarrow B \vee C \leftrightarrow \textcircled{3}$$

$$\textcircled{2} (A \wedge B) \wedge C$$

$$\equiv A \wedge (B \wedge C)$$

- Semantics

* Term: t, f

, true, false

(different from atomic
"true", "false")

• Interpretation

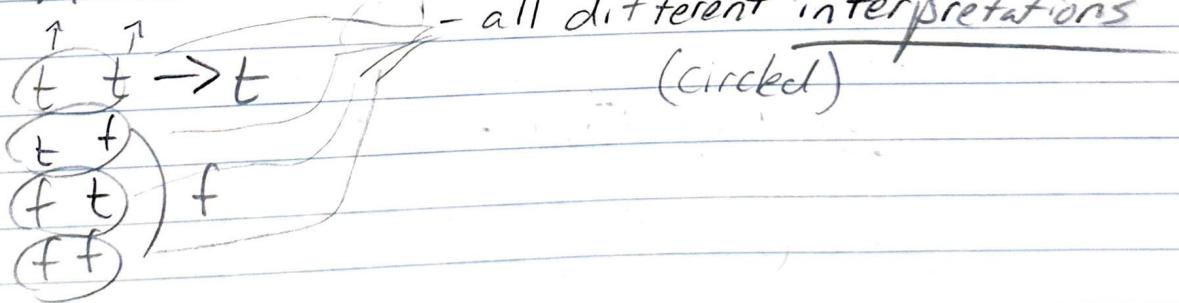
(Ex) Is $A \wedge B \wedge C$ t or f? (Depends on
values of)

depends on the meaning of A, B, C ,

II
Interpretation

A: t (true)
B: t (true)
C: t (true)

Ex: $A \wedge B$



Q: Is this sentence t or f given an interpretation

* Notation

hold (ϕ, i): ϕ is t under interpretation i

fail (ϕ, i): ϕ is f under interpretation i

- Syntax Rules

Sentence \rightarrow atomic / complex

atomic \rightarrow "true" / "false" / symbol

Symbol \rightarrow P / Q / ...

Complex \rightarrow \neg sentence |
(sentence \vee sentence) |
(" \wedge ") |
(" \rightarrow ") |
(" \leftrightarrow ") |

- Semantics Rules

hold("true", i) for $\forall i$

fail("false", i) for $\forall i$

hold($\neg \phi$, i) iff fail(ϕ , i)

hold($\phi \vee \psi$, i) iff hold(ϕ , i)

hold($\phi \wedge \psi$, i) iff hold(ϕ , i)
or hold(ψ , i)
and hold(ψ , i)

$\text{hold}(\phi \rightarrow \psi, i)$

$\equiv \text{hold}(\neg \phi \vee \psi, i)$

$\text{hold}(\phi \leftrightarrow \psi, i)$

$\equiv \text{hold}((\neg \phi \vee \psi) \wedge (\neg \psi \vee \phi), i) \quad \phi \leftrightarrow \psi$

$\equiv (\neg \phi \vee \psi) \wedge (\neg \psi \vee \phi)$

$\text{hold}(P, i) \text{ iff } i(P) = t$

$\text{fail}(P, i) \text{ iff } i(P) = f$

			$\neg P$	$P \wedge Q$	$P \vee Q$	$P \rightarrow Q$	$P \leftrightarrow Q$	$\neg P \vee Q$	equivalency totality
	P	Q							
Interpretations	t	t	f	t	t	t	t	t	
(one interpretation per line)	t	f	f	f	t	f	f	f	
	f	t	t	f	t	t	f	t	
	f	f	t	f	f	t	t	t	

(*) Term

- Valid:

ϕ is valid iff $\text{hold}(\phi, i), \forall i$

- Unsatisfiable sentence

ϕ is unsatisfiable iff $\text{fail}(\phi, i), \forall i$

-Satisfiable

at least 1 interpretation that makes it true

ϕ is satisfiable iff $\exists i \text{ hold}(\phi, i)$

(*) Given ϕ

(Ex) Rain \rightarrow umbrella

R	U	$(R \rightarrow U) \rightarrow (\neg U \rightarrow \neg R)$
t	t	t
t	f	f
f	t	t
f	f	t

(Ex) $(A \rightarrow B) \rightarrow (\neg B \rightarrow \neg A)$

A \rightarrow B
 $\neg B \rightarrow \neg A$

(*) Deciding whether ϕ is satisfiable

\rightarrow find at least 1 interpretation i so that $\text{hold}(\phi, i)$

(*) Why use logical description of world.

(Ex) If it rains, Tom becomes sad.
If Tom is sad, Mary will buy me dinner.
John is sad.
Today is rainy.

Q: Am I getting free dinner?

Rewritten

$$\left(\begin{array}{l} R \rightarrow T \\ T \rightarrow M \\ J \\ R \end{array} \right)_{KB}$$

Q: $M \rightarrow S$

Yes
Found at least
one true

JRTM

(TTT)
TTT

TTFF

TTFT

TFFT

TFFF

FTTT

FTTF

FTFT

FTFF

$R \rightarrow T$

(T)
T

F

F

T

T

T

F

T

T

$T \rightarrow M$

(T)
F

T

T

T

F

T

T

F

T

* Term: Entailment

Given a KB and a sentence S .

If any interpretation that satisfies KB,
and also satisfies S ,

then KB entails S

Representation
in Logic

word:

[KB] "entails" [S]
semantic
↓
Interpretation follows
↓
Interpretation

Proof system

→ Soundness (Truth-Preserving)

? : Proof System is sound

if it derives only entailed sentences.

→ Completeness

? : proof system is complete

if it can derive any entailed sentences

Natural Deduction

Alpha derives
beta

$$\alpha \rightarrow \beta$$

$$\alpha \rightarrow \beta$$

Alpha derives
beta

We have alpha

$$\frac{\alpha}{\beta}$$

$$\frac{\neg \beta}{\alpha}$$

We don't have
beta

? We have beta

$$\beta$$

$$\frac{\neg \alpha}{\beta}$$

? We don't have
alpha

* Modus Ponens

* Modus Tollens

We have alpha

$$\alpha$$

We have beta

$$\beta$$

? We have

alpha and beta

$$\alpha \wedge \beta$$

$$\frac{\alpha \wedge \beta}{\alpha}$$

$$\frac{\alpha \wedge \beta}{\beta}$$

We have alpha and
beta

? We have alpha
? We have beta

* AND-Introduction

* AND-Elimination

Don't know what values are, but know they are all true

(Ex) Given $P \wedge Q$

$P \rightarrow R$

$(Q \wedge R) \rightarrow S$

Prove S.

1.	$P \wedge Q$	KB
2.	$P \rightarrow R$	
3.	$(Q \wedge R) \rightarrow S$	
4.	P	1. AE
5.	Q	1. AE
6.	R	(2.4) MP - modus ponens
7.	$Q \wedge R$	(5.6) AI ponens
8.	S	(3.7) MP

Never put anything in table that is False, can only put in True sentences

If you want to add a False sentence, Negate it.

However, If is sound, but not complete

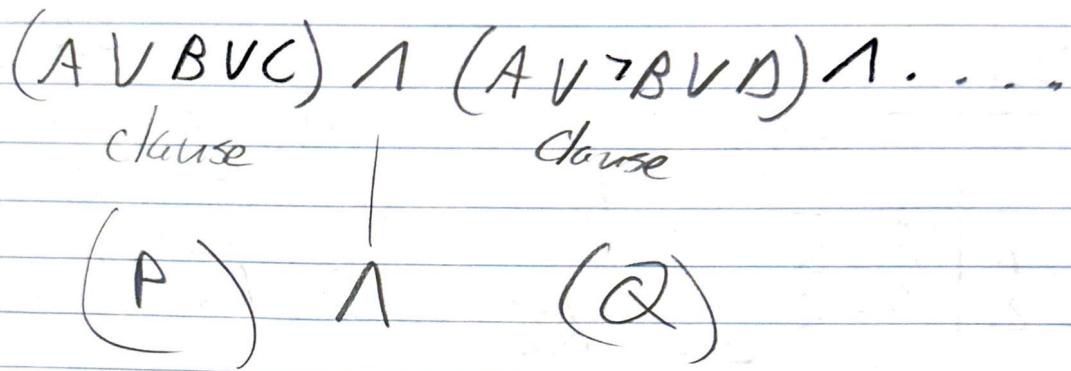
So we introduce

(*) Resolution: Sound and complete

$\alpha \vee \beta$ if $\alpha : t/f$
 $\gamma \vee \delta$ - gamma
 $\beta \vee \gamma$ if $\beta : t/f$
is true is true

⇒ constraint: Sentence must be
CNF (Conjunctive Normal Form)

* CNF



* Convert to CNF

1. Eliminate \leftrightarrow : $A \leftrightarrow B \equiv (A \rightarrow B) \wedge (B \rightarrow A)$

2. Eliminate \rightarrow : $A \rightarrow B \equiv \neg A \vee B$

3. Move \neg inward

so that \neg appears only
in literals

$$\begin{aligned}\neg(\neg A) &\equiv A && \text{Demorgan's} \\ \neg(A \wedge B) &\equiv \neg A \vee \neg B \\ \neg(A \vee B) &\equiv \neg A \wedge \neg B\end{aligned}$$

4. Distribute \vee over \wedge : $A \vee (\neg B \wedge \neg C)$

$$\equiv (A \vee B) \wedge (A \vee C)$$

$$\textcircled{Ex} (A \vee B) \rightarrow (C \rightarrow D)$$

1st step is done

$$\equiv (A \vee B) \rightarrow (\neg C \vee D)$$

$$\equiv \neg(A \vee B) \vee (\neg C \vee D)$$

$$\equiv (\neg A \wedge \neg B) \vee (\neg C \vee D)$$

$$4. \equiv (\neg A \vee (\neg C \vee D)) \wedge (\neg B \vee (\neg C \vee D))$$

$$\equiv (\neg A \vee \neg C \vee D) \wedge (\neg B \vee \neg C \vee D)$$

$$\textcircled{Ex} B \leftrightarrow A \vee C$$

$$\equiv (B \rightarrow A \vee C) \wedge (A \vee C \rightarrow B)$$

≡



10/24/22

*Resolution - sound and complete

$$\alpha \vee \beta$$

$$\neg \alpha \vee \gamma$$

$$\beta \vee \gamma$$

$$\begin{array}{l} \alpha: T \Rightarrow \beta \\ \text{or} \\ F \Rightarrow \beta \end{array}$$

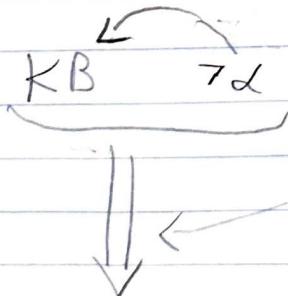
α	β	$\alpha \vee \beta$
T	T	T
T	F	T
F	T	T
F	F	F

$\beta \vee \gamma$ has
to be true
depending
on value of α

, knowledge base

Given KB, prove α is true

$$\Rightarrow KB \rightarrow \alpha$$



(proof by) Contradiction.

; Negation is wrong, because $KB \rightarrow \alpha$

Proof by Resolution Refutation *

Ex $A \rightarrow B$
KB - A

Prove B is true

CNF (Conjunctive Normal Form)

$(A \vee B \vee \Delta) \wedge (\neg A \vee C) \wedge \dots$

KB 1. $\neg A \vee B : KB$

2. A : KB

3. $\neg B$: $\neg Q$

4. $\neg A$: ① ③

★ 5. Contradiction : ② ④ ★

$\therefore B$ is true

$\neg A \vee B$

$\neg B$

Resolution $\neg A$

Ex Given $\begin{cases} P \vee Q \\ P \rightarrow R \\ Q \rightarrow R \end{cases}$

Prove R (is true)

① $P \vee Q : KB$

② $\neg P \vee R : KB$

③ $\neg Q \vee R : KB$

④ $\neg R : \neg$ Question

⑤ $Q \vee R : \text{① ②}$ because it is in resolution form

⑥ $P \vee R : \text{① ③}$

⑦ $R : \text{③ ⑤}$

⑧ contradiction: ④ ⑦

$$\frac{\neg Q \vee R}{R}$$



(Ex)

1. I like one or more of 3: Paul, George, John

2. If I like Paul but not George,
then I also like John

3. I either like George and John or
I like neither.

4. If I like George, I also like Paul

Q. Do I like all 3?

P: I like Paul

G: I like George

J: I like John

1. $P \vee G \vee J$

2. $P \wedge \neg G \rightarrow J$

3. $(G \wedge J) \vee (\neg G \wedge \neg J) \rightarrow (G \wedge J) \vee (\neg G \wedge \neg J)$

4. $G \rightarrow P$

$\neg Q: \neg(P \wedge G \wedge J)$

$\neg P \wedge \neg G \wedge \neg J$

$\neg(P \wedge \neg G) \vee J$

$\neg(\neg P \vee G) \vee J$

$[(G \wedge J) \vee \neg G] \wedge [(G \wedge J) \vee \neg J]$

$(G \vee \neg G) \wedge (J \vee \neg J) \wedge (G \vee \neg J)$
 $\wedge (J \vee \neg G)$

How T?

True \wedge $(J \vee \neg G) \wedge (G \vee \neg J)$

ATM

4. $\neg G \vee P$

$\neg Q : \neg (\neg A \wedge \neg J)$
 $(\neg P \vee \neg G \vee \neg J)$

1. $P \vee G \vee J$
2. $\neg P \vee G \vee J$
3. $J \vee \neg G$
4. $G \vee \neg J$
5. $\neg G \vee P$

KB

1. $P \vee G \vee J$
2. $\neg P \vee G \vee J$
3. $J \vee \neg G$
4. $G \vee \neg J$
5. $\neg G \vee P$

KB

6. $\neg P \vee \neg G \vee \neg J : \neg Q$ question

7. $G \vee J$: (1) (2) $\leftarrow \frac{P \vee G \vee J}{P \vee G \vee J}$

8. $\neg J \vee P$: (4) (5) $\leftarrow \frac{G \vee \neg J}{G \vee \neg J}$

resolution $\frac{G \vee \neg J}{\neg J \vee P}$

9. J : (3) (7) $\leftarrow \frac{J \vee \neg G}{J}$

10. $G \vee P$: (7) (8) $\leftarrow \frac{G \vee J}{J}$

$\frac{G \vee J}{\neg J \vee P}$

11. P : (10) (5) $\leftarrow \frac{\neg J \vee P}{\neg J \vee P}$

$\frac{\neg J \vee P}{P}$

12. G : (4) (7) $\leftarrow \frac{P \vee P}{P}$

$\frac{P \vee P}{P}$

13. $\neg P \vee \neg J$: (6) (12) $\leftarrow \frac{G \vee J}{G \vee J}$

13. $\frac{\neg P \vee \neg J}{\neg P \vee \neg J}$

14. $\neg P$

: (9) (13) $\leftarrow S$

$$\frac{\neg P \vee \neg S}{\neg P}$$

? Only
need one?

15. contradiction : (10) (14).

* Prop. Logic : Limited Expressiveness

(Ex) I like Paul $\Leftarrow P$ Mary like P $\Leftarrow MP$

I like George $\Leftarrow G$ " " G $\Leftarrow MG$

★ ✓
1000

(Ex) 0 is a NN
1 is a NN

infinite \wedge * \wedge
(would take forever)

(First-order Logic) ↗ More expressive than
Prop logic b/c can
use $\forall x$ or $\exists x$

(Ex) A is on top of B

C is on top of B

Is C above A?

(Ex) If an animal has feathers, $\Rightarrow F(\text{animal}) \rightarrow \text{Bird}(\text{animal})$
then it is a bird.

Is a humming bird a bird?

function notation

$$x, y \rightarrow f_n \rightarrow z$$

$$\forall x F(x) \rightarrow B(x)$$

$\forall x \in \underline{\text{Animal}}$ Feathers(x) \rightarrow Bird(x)

Universal Quantifier

Universe

what you are picking from

variables

Predicate: Property or

returns either True or False

$\exists x \in \underline{\text{people}}$ Sibling($x, \underline{\text{John}}$) \rightarrow Mother($\underline{\text{Mother-off}}(\underline{\text{John}}), x$)

Existential Quantifier

universe

Predicate

constant

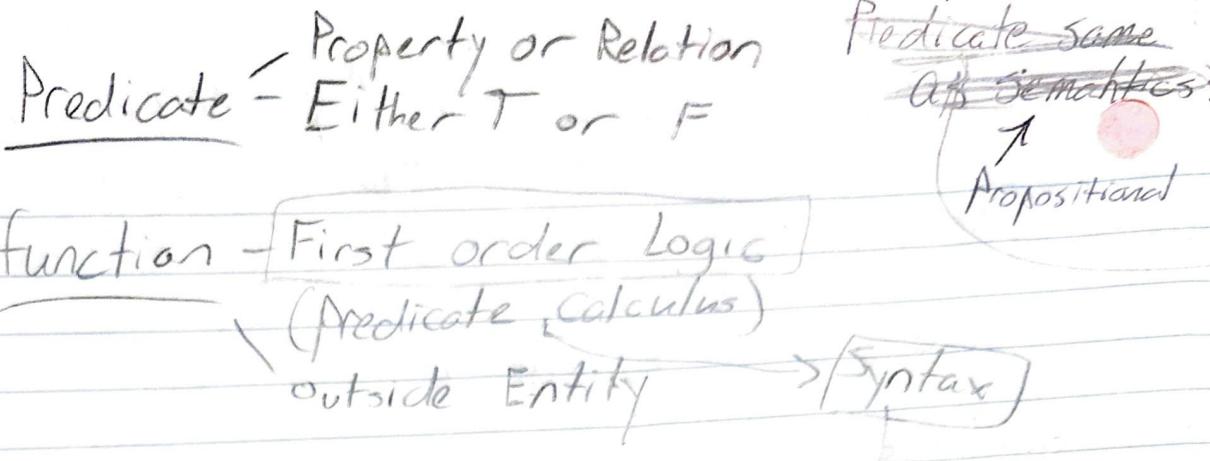
Predicate

function

constant

Outside

different from predicate, entity



Syntax

→ terms

* → Constant (literal) name of a particular thing in U

 → start w/ upper case

 ↑
 Universe

* → Variables: a place holder that can be bound to an obj.

 → lower case (x)

* → function: another way to name an object

- Sentence

- Atomic Sentence (Simplest first order logic form, cannot be divided any further)

★ → Predicate: expresses

Property or relation

→ Start w/ uppercase

(Ex) Bird (Hummingbird)

Love (John, Mary)

On (A, B), above (A, C) - A

→ Equality (=)

(Ex) Mother of (John) = Mary

- Complex Sentence - combination of sentences

★ → Quantifiers

$\forall x, y \phi$

$\exists x, y \phi$

→ Operators: Given ϕ , ψ - sentence

$\phi \wedge \psi \quad \phi \leftrightarrow \psi$

$\phi \vee \psi \quad \neg \phi$

$\phi \rightarrow \psi \quad (\phi)$

Complex sentence

Ex John is a smart student

Smart(John) \wedge Student(John)

Cats are mammals

$\forall x \in \text{Animal} \quad \text{Cat}(x) \rightarrow \text{Mammal}(x)$

↑
universe

Ex One's mother is one's female parent

$\forall x \in \text{people} \quad \text{Mother}(\text{mother-of}(x), x) \wedge \text{Female}(\text{mother-of}(x))$

$\forall x, y \in \text{people} \quad \text{mother-of}(x) = m \Leftrightarrow$

$\text{Mother}(m, x) \wedge \text{Female}(m)$

Sibling

$\forall x, y \quad \text{Sibling}(x, y) \Leftrightarrow \exists z \quad \text{Parent}(z, x) \wedge \text{Parent}(z, y)$

Everybody loves somebody

$\forall x \exists y \quad \text{Loves}(x, y)$

(Ex)

Somebody loves everybody

$$\exists x \forall y \text{ Loves}(x, y) \Leftrightarrow \neg (\exists x \forall y \text{ Loves}(x, y))$$

$\forall y \exists x \text{ Loves}(x, y)$ not the same

$$\exists \forall x \exists y \neg \text{Loves}(x, y)$$

Everybody hates someone

(Ex)

Nobody loves John

$$\forall x \neg \text{Loves}(x, \text{John})$$

$$\neg \exists x \text{ Loves}(x, \text{John})$$

$$\neg(\forall x A \vee B) \Leftrightarrow (\exists x A \vee B)$$

\equiv

(Ex)

Whoever has a father has a mother.

$$\forall x \exists y, z \text{ Father}(y, x) \wedge \text{Mother}(z, x)$$

y has to be
a father of x

z has to be
a mother of x

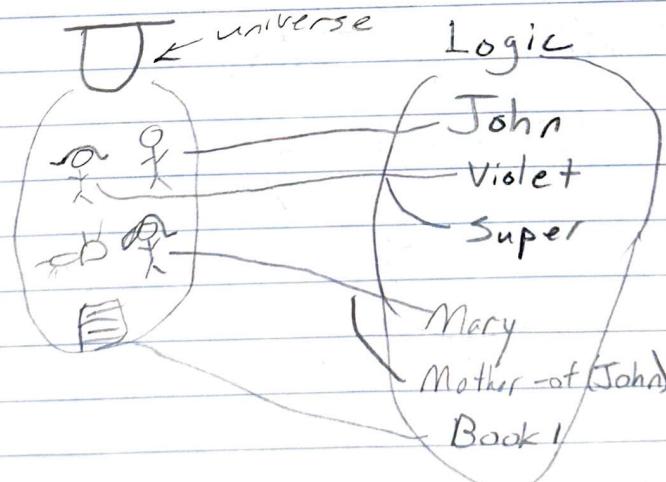
$$\equiv \forall x [\exists y \text{ Father}(y, x) \wedge \exists z \text{ Mother}(z, x)]$$

10/31/22

Interpretation

(Ex) "All cars are not created equal!" $\forall c \neg Eq(c)$
↳ Not all cars are created equal! $\neg(\forall c Eq(c))$
All cars are not red!
 $\forall c \neg Red(c)$
E CARS
↳ Not all cars are red!
 $\neg(\forall c Red(c))$

1. Universe: set of objects



2. Constant: a name of an object in U

3. Variable: represents nothing in U
but anything in U if it gets bounded
(Variable is bounded to an obj)

4. function

5. Predicate: represents properties/relations

(Ex) Loves(John, Mary)

6. = (equality)

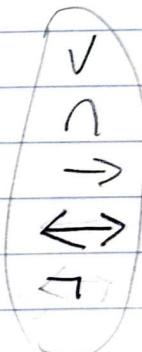
Mother-of(John) = Mary

Violet = Super \leftarrow ^{name}

7. Quantifier

$\forall x_{\cancel{\in T}}$: for all x in T
implied (don't need)

$\exists x_{\cancel{\in T}}$: one exists in T



Ex: $\exists x$ Mother(x, John)

true if x : Mary

\uparrow
unification



Proof System

\downarrow CNF.

Clausal Form/PNF (Prenex Normal Form)

?

First Order Logic

How to convert to Clausal Form

1. Rem \leftrightarrow

2. Rem \rightarrow

3. Move \neg inward

4. Rename variables

$$\cancel{X \forall x A(x) \rightarrow \exists x B(x)}$$

bound z bound z

Confusing, so rename variable

x 's are not the same

$$\checkmark \forall x A(x) \rightarrow \exists y B(y)$$

5. Remove \exists (Skolemization)

(Ex) $\exists y \text{ Loves}(\text{John}, y) \Rightarrow \text{Loves}(\text{John}, \text{Mary})$

$$\forall x \exists y \text{ Loves}(x, y)$$

$$\Rightarrow \forall x \cancel{\text{Loves}(x, Raymond)}$$

$$\forall x \text{ Loves}(x, \text{loved-by}(x))$$

(Skolem) function

can't use because
you don't know
what y is, because

$\text{me}(x)$ could love
Raymond, but

that doesn't

mean $\text{Chang}(x)$

will love Raymond

(loves someone else)

\star function is different
for every $x \star$

6. Drop A

$$\forall x E(x) \rightarrow \forall y k(y)$$

$$\forall x \forall y E(x) \rightarrow k(y)$$

7. distribute V over A

Everyone who loves animals

★ (Ex) $\forall x \{ \forall y [\text{Animal}(y) \rightarrow \text{Loves}(x, y)] \} \rightarrow [\exists y \text{Loves}(y, x)] \}$

There is a person who is loved by someone

$$\forall x \{ \neg [\forall y [\text{Animal}(y) \rightarrow \text{Loves}(x, y)]] \vee [\exists y \text{Loves}(y, x)] \}$$

$$\forall x \{ \neg [\forall y [\neg \text{Animal}(y) \vee \text{Loves}(x, y)]] \vee [\exists y \text{Loves}(y, x)] \}$$

$$\forall x \{ \exists y [\text{Animal}(y) \wedge \neg \text{Loves}(x, y)] \vee [\exists z \text{Loves}(y, x)] \}$$

$$\forall x \{ [\text{Animal}(F(x)) \wedge \neg (\text{Loves}(x, F(x)))] \vee [\text{Loves}(G(x), x)] \}$$

All these x's
are quantified by universal Quantifier

★ (Distribute)

someone who
loves x

Distribute \star from previous page

$$[\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)] \wedge [\text{Loves}(x, F(x)) \vee \text{Loves}(G(x), x)]$$

(Ex) Given

- ① Everyone who loves all animals is loved by someone
- ② Anyone who kills an animal is loved by no one
- ③ Jack loves all animals.
- ④ Either Jack or Curiosity killed the cat
Whose name is Tom
- ⑤ All cats are animals

Q. Curiosity killed the cat

$$\begin{aligned} \textcircled{1} \quad & \forall x \{ \forall y [\text{Animal}(y) \rightarrow \text{Loves}(x, y)] \\ & \rightarrow \exists y \text{Loves}(y, x) \} \end{aligned}$$

$$\begin{aligned} \textcircled{2} \quad & \forall x \{ \exists y [\text{Animal}(y) \wedge \text{kills}(x, y)] \\ & \rightarrow [\forall y \neg \text{Loves}(y, x)] \} \end{aligned}$$

$$\textcircled{3} \quad \forall x [\text{Animal}(x) \rightarrow \text{Loves}(\text{Jack}, x)]$$

$$\textcircled{4} \quad \text{kills}(\text{Jack}, \text{Tom}) \vee \text{kills}(\text{Curiosity}, \text{Tom}) \quad \text{Cat}(\text{Tom})$$

③ $\forall x \text{Cat}(x) \rightarrow \text{Animal}(x)$

Q: kills(Curiosity, Tom)

① $\{\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)\} \wedge$
 $\{\neg \text{Loves}(x, F(x)) \vee \text{Loves}(G(x), x)\}$

② $\forall x \{ \exists y \text{Animal}(y) \wedge \text{kills}(x, y) \} \rightarrow \{ \forall y \neg \text{Loves}(y, x) \}$

$\forall x \{ \neg \{ \exists y \text{Animal}(y) \wedge \text{kills}(x, y) \} \vee \{ \forall y \neg \text{Loves}(y, x) \} \}$

$\forall x \{ \forall y \neg \text{Animal}(y) \vee \neg \text{kills}(x, y) \} \vee \{ \forall y \forall z \neg \text{Loves}(y, x) \}$

$\forall x \forall y \forall z \neg \{ \text{Animal}(y) \vee \text{kills}(x, y) \vee \text{Loves}(z, x) \}$

③ $\neg \text{Animal}(x) \vee \text{Loves}(\text{Jack}, x)$

④ kills(Jack, Tom) \vee kills(Curiosity, Tom)

Cat(Tom)

⑤ $\neg \text{Cat}(x) \vee \text{Animal}(x)$

$\neg Q: \neg \text{kills}(\text{Curiosity}, \text{Tom})$

$\alpha \vee \beta$
 $\neg \alpha \vee \gamma$
 $\beta \vee \gamma$

1. $\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)$
2. $\neg \text{Loves}(x, F(x)) \vee \text{Loves}(G(x), x)$
3. $\neg \text{Animal}(y) \vee \neg \text{kills}(x, y) \vee \neg \text{Loves}(z, x)$
4. $\neg \text{Animal}(x) \vee \text{Loves}(\text{Jack}, x)$
5. $\text{kills}(\text{Jack}, \text{Tom}) \vee \text{kills}(\text{Curiosity}, \text{Tom})$
6. $\text{Cat}(\text{Tom})$
7. $\neg \text{Cat}(x) \vee \text{Animal}(x)$
8. $\neg \text{kills}(\text{Curiosity}, \text{Tom})$

KB

$\neg Q$

		Unifier
9	$\text{Animal}(\text{Tom})$	$x: \text{Tom} \quad (1) \quad (6) \quad (7)$
10	$\text{Loves}(\text{Jack}, \text{Tom})$	$x: \text{Tom} \quad (4) \quad (9) \quad (4)$
11	$\text{Loves}(G(\text{Tom}), \text{Tom})$	$x: \text{Tom} \quad (2) \quad (2) \quad (10)$ $F(x): \text{Jack}$
12	$\text{kills}(\text{Jack}, \text{Tom})$	$(9) \quad (8)$
13	$\text{Loves}(G(\text{Jack}), \text{Jack}) \vee \neg \text{Animal}(F(\text{Jack}))$	$x: \text{Jack} \quad (2)$ $x: F(\text{Jack}) \quad (4) \quad (2) \quad (4)$
14	$\neg \text{kills}(x, \text{Tom}) \vee \neg \text{Loves}(z, x)$	$y: \text{Tom} \quad (3) \quad (3) \quad (9)$
15	$\neg \text{Loves}(z, \text{Jack})$	$x: \text{Jack} \quad (14) \quad (12) \quad (14)$
16	$\text{Loves}(G(\text{Jack}), \text{Jack})$	$x: \text{Jack} \quad (1) \quad (1) \quad (13)$
17	false	$z: G(\text{Jack}) \quad (15) \quad (16)$ (1)

Constant.

$X \leftrightarrow Y$

Variable

$X \leftrightarrow Y$

$X \leftrightarrow Y$ mother-of(Jack)

$X \leftrightarrow Y$ Most General Unifam

$X \leftrightarrow Y$
 $(X \leftrightarrow Y)$
 $Y \leftrightarrow Z$

Experiment - Roll a die two times

Outcome - 1, 2, 3, 4, 5, 6

Event - 2-5

$$\star \frac{1}{2} \cdot \frac{1}{6} = \frac{1}{12}$$

H1	T1
----	----

H2 T2

H3 T3

H4 T4

H5 T5

H6 T6

C \ D	1	2	3	4	5	6	$P(C=H)$ $= \sum P(C=H, D=d)$
H	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{2}$
T	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{12}$	$\frac{1}{2}$
	$\frac{1}{6}$	$\frac{1}{6}$	$\frac{1}{6}$	$\frac{1}{6}$	$\frac{1}{6}$	$\frac{1}{6}$	1

$$P(D=3)$$

$$= \sum_{C \in \{M, T\}} P(D=3, C=c)$$

$$P(C=T) = \sum_{D=d} P(C=T, D=d)$$

$$d \in \{1, 2, 3, 4, 5, 6\}$$



Marginal Problem

Conditional Problem

$$P(D=2 | C=H) = \frac{1}{6} \quad \text{--- } \star$$

$$= \frac{P(D=2, C=H)}{P(C=H)} = \frac{\frac{1}{12}}{\frac{1}{2}} = \frac{2}{12} = \frac{1}{6}$$

Independent Mutually Exclusive

Joint: $P(x,y)$ $P(x) \cdot P(y)$

\circ

Marginal $\sum_y P(x)$ $P(x)$

$P(x)$

Cond. $P(x|y)$ $P(x)$

\circ

(Ex)

	Male	Female	Total
Tea	80	120	200
Coffee	100	25	125
Soda	50	125	175
Total	230	270	500

$$P(G = \text{Male}, D = \text{coffee}) = \frac{100}{500}$$

	Male	Female	Total
Tea	0.16	0.24	0.4
Coffee	0.2	0.05	0.25
Soda	0.1	0.25	0.35
Total	0.46	0.54	1

Q: What is Prob. subj being Male.

$$P(G = \text{Male}) = 0.4\%$$

Q: Prob drink coffee

$$P(D = \text{coffee}) = 0.25$$

Q: Prob male w/ coffee

$$P(D = \text{coffee}, G = \text{Male}) = 0.2$$

Q: Prob. Male or

Drink: coffee

$$P(G = \text{Male} \cup D = \text{coffee})$$

$$= P(G = \text{Male}) + P(D = \text{coffee}) - P(G = M, D = C)$$
$$= 0.46 + 0.25 - 0.2 = 0.51$$

Q. Prob. Female drinking Tea

$$P(D = T | G = F)$$
$$= \frac{P(D = T, G = F)}{P(G = F)} = \frac{0.24}{0.54} = 0.44$$

$$P(G^M, D^{\text{coffee}}) = P(G^M) \cdot P(D^{\text{coffee}})$$

↓

$$.2 \neq 0.46 \times .25$$

11/7/22 Probability

\bar{W} : weather

$\rightarrow s$: sunny
 $\rightarrow r$: rainy

$$P(\bar{W}=s, \bar{W}=r)$$

$$= P(s, r) \quad S/R \quad | \quad P(s, r) \leftarrow \text{Probability}$$

		Both sunny and rainy
s	t	0.02
s	f	0.70
r	t	0.08
r	f	0.20

★ - Marginal Prob.

Ex:

$$P(\bar{W}=s) = \sum_{r \in R} P(s, r)$$

$$\star \boxed{\begin{matrix} \text{where } s \\ = t \end{matrix}} = P(s, r) + P(s, \neg r)$$

$$= 0.72$$

Ex: 2

$$P(\neg s) = \sum_{r \in R} P(\neg s, r)$$

$$\star \boxed{\begin{matrix} \text{where } s \\ = f \end{matrix}}$$

$$= P(\neg s, r) + P(\neg s, \neg r)$$

- Conditional Probability

?
 $P(S)$ and $P(r) = t$

$$P(s|r) = \frac{0.02}{0.02 + 0.08} = 0.2$$

where $r=t$

$$P(\neg s|r) = \frac{0.08}{0.02 + 0.08} = 0.8$$

where $r=t$

$$P(x|z) = \frac{P(x,z)}{P(z)}$$

$$P(x,y|z) = \frac{P(x,y,z)}{P(z)}$$

$$P(x|y,z) = \frac{P(x,y,z)}{P(y,z)}$$

$$\begin{aligned} P(x,y|z) &= \frac{P(x,y,z)}{P(z)} = \frac{P(x,y,z)}{P(x,z)} \cdot \frac{P(x,z)}{P(z)} \\ &= P(y|x,z) \cdot P(x|z) \end{aligned}$$

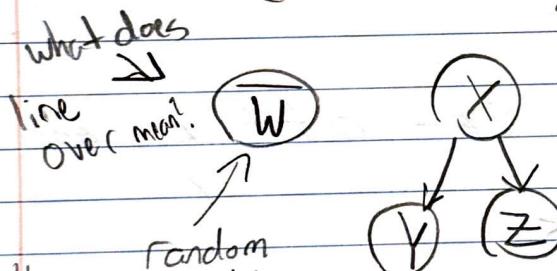
- Or you can rearrange values to whatever you want!

$$= \frac{P(x, y, z)}{P(y, z)} \cdot \frac{P(y, z)}{P(z)} = P(x|y, z) \cdot P(y|z)$$

swapped

* Bayesian Network (Bayes Net) (Belief Net)

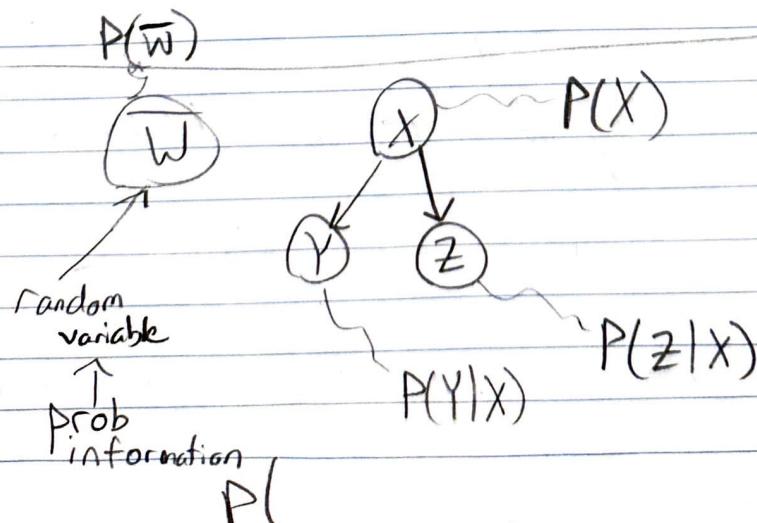
\Rightarrow DAG (Directed Acyclic Graph)

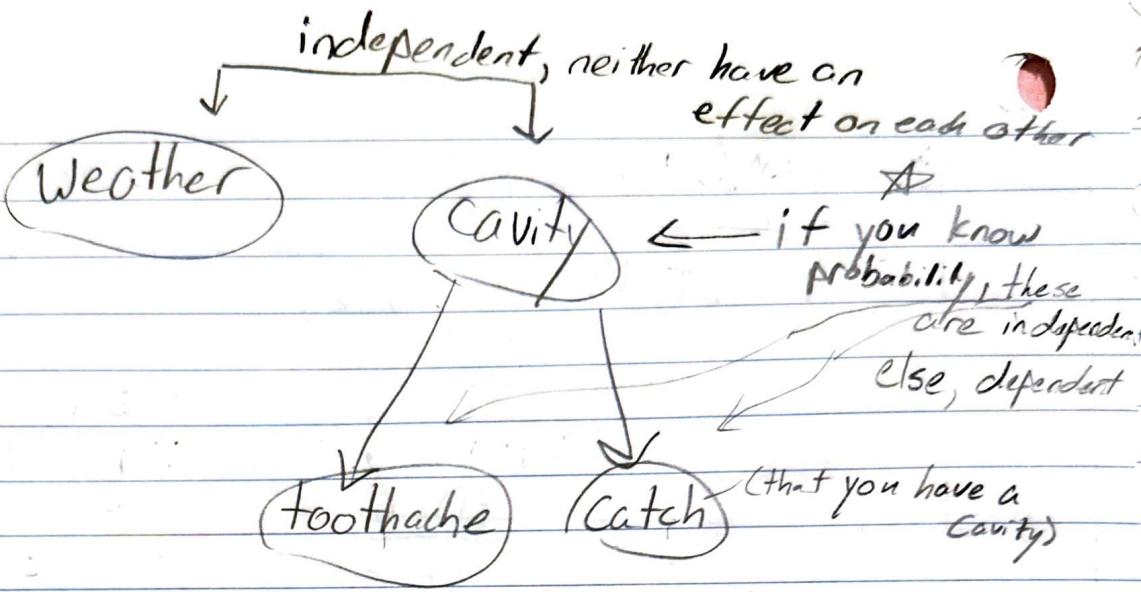


Each node has a random variable with some probability information

X directly influence Y \Rightarrow X is a parent of Y

cause effect





Ex: Burglar Alarm

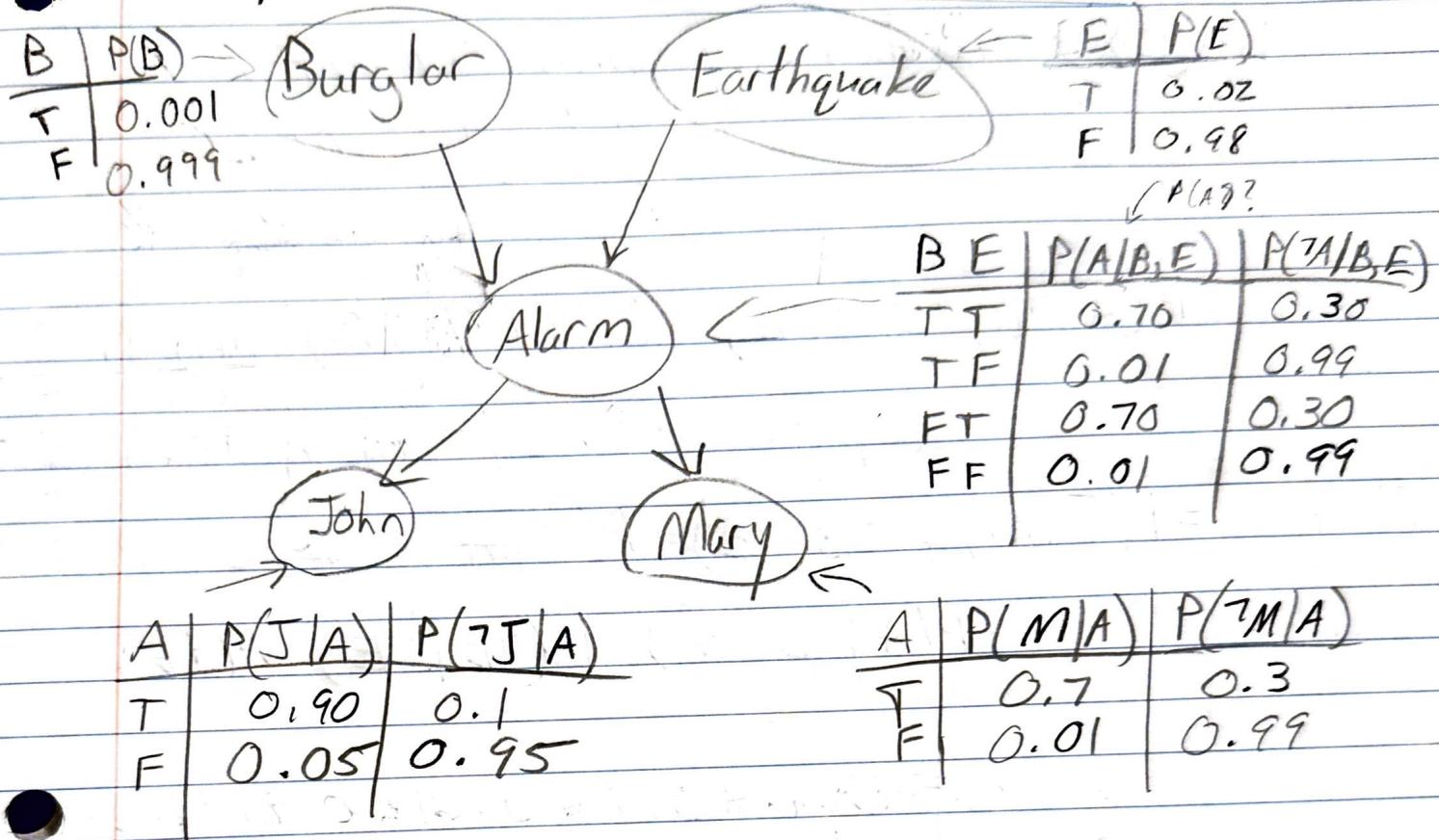
- ① fairly accurate at detecting burglar
- ② occasionally set off by earthquake
- ③ 2 neighbors

John: almost always calls you when he hears alarm
sometimes confuses phone ring w/ alarm and calls

Mary: often misses alarm due to loud music

Find probability that an actual burglary occurred.

Bayes' net:



? ★ Joint Prob. w/ n variables

$$\begin{aligned}
 & P(X_1 = x_1, X_2 = x_2, \dots, X_{n-1} = x_{n-1}, X_n = x_n) \\
 &= P(x_1, x_2, \dots, x_{n-1}, x_n) \\
 &= P(x_n | x_1, x_2, \dots, x_{n-1}) \cdot P(x_1, x_2, \dots, x_{n-1}) \\
 &= P(x_n | x_1, \dots, x_{n-1}) \cdot P(x_{n-1} | x_1, \dots, x_{n-2}) \cdot P(x_1, \dots, x_{n-2}) \\
 &= P(x_n | x_1, \dots, x_{n-1}) \cdot P(x_{n-1} | x_1, \dots, x_{n-2}) \cdot P(x_{n-2} | x_1, \dots, x_{n-3}) \\
 &\quad \cdot P(x_1, \dots, x_{n-3}) \\
 &= \sum_{i=1}^n P(x_i | \text{Parent}(x_i))
 \end{aligned}$$

(Ex:

Prob. that / alarm set off but
no burglary, no EQ, ^{Earthquake},
John & Mary both called.

$$P(A, \neg B, \neg E, J, M) = P(A | \neg B, \neg E, J, M) \cdot P(\neg B | \neg E, J, M)$$

$$\text{Each permutation} \cdot P(\neg E | J, M) \cdot P(J | M) \cdot P(M)$$

$$= P(J, M, A, \neg B, \neg E) = P(J | A, A, \neg B, \neg E) \cdot P(M | A, \neg B, \neg E) \\ \cdot P(A | \neg B, \neg E) \cdot P(\neg B | \neg E) \cdot P(\neg E)$$

Gloss out

Why rewrite?

$$= 0.9 * 0.7 * 0.01 * 0.999 * 0.98$$

$$P(M = \text{true}, J = \text{false}) = P(M = t, J = f, A, B, E)$$

$$P(M = t, J = f) = P(M = t, J = f, A, B, E) \\ = P(M = t | J = f, A, B, E) \cdot P(J = f | A, B, E) \cdot P(A, B, E) \\ \cdot P(B, E) \cdot P(E)$$

$$= P(M = t | A) \cdot P(J = f | A) \cdot P(A | B, Z) \cdot P(B) \cdot P(Z)$$

$$P(M = t | A = t) + P(M = t | A = f)$$

$$+ P(A = t | B = f, Z = t) + P(A = f | B = t, E = t)$$



Direct Cause



$$P(Y|X)$$

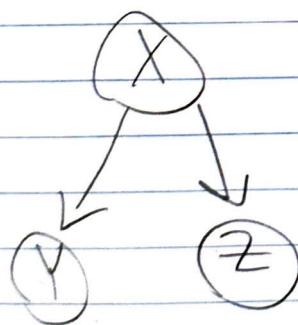
Indirect cause (Markov dependence)



$$\begin{aligned} P(Y|X) \\ P(Z|Y) \end{aligned}$$

Z is independent of X , given Y
conditionally

Common cause



Ex: cavity toothache problem

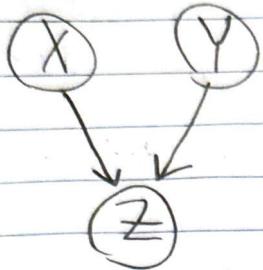
$$P(Y|X)$$

$$P(Z|X)$$



Y & Z are conditionally independent given X

Common Effect



$$P(z|x, y)$$

↑
and

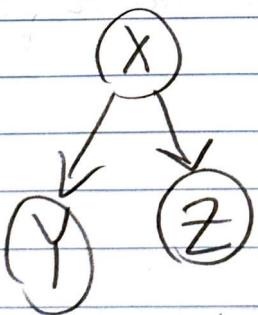
Marginal Independence



$$P(x, y, z) = P(x) \cdot P(y) \cdot P(z)$$

How to tell if 2 random variables are independent from one another

$$\underline{P(A, B) = P(A) \cdot P(B)}$$



$$\underline{P(x, y, z) = P(y|x) \cdot P(z|x) \cdot P(x)}$$

$$P(x, y, z)$$

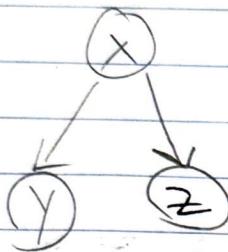
$$= P(z, y, x)$$

$$= P(z|x, x) \cdot P(y|x) \cdot P(x)$$

$$P(z|x) \cdot P(y|x) \cdot P(x)$$

$$P(y, z) = \sum_x P(y|x) \cdot P(z|x) \cdot P(x)$$

$$\neq P(y) \cdot P(z)$$



$$P(y, z|x) = \frac{P(x, y, z)}{P(x)} = \frac{P(z|x) \cdot P(y|x) \cdot P(x)}{P(x)}$$

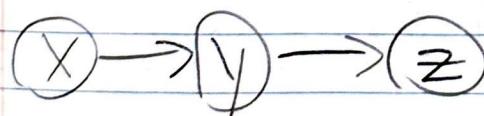
$$= P(y|x) \cdot P(z|x)$$

When x is observed

y & z are independent

When x is not observed.

y & z are not independent



$$P(x, y, z) = P(x) \cdot P(y|x) \cdot P(z|y)$$

$$\text{see if } P(x, z) = \sum_y P(x) \cdot P(y|x) \cdot P(z|y)$$

$\neq P(x) \cdot P(z)$

X and Z
are independent
or not

$$P(x, z|y) = P(x|y) \cdot P(z|y) ?$$

$$P(x, z | y)$$



$$= \frac{P(x, y, z)}{P(y)} = \frac{P(x)P(y|x)P(z|y)}{P(y)}$$

\swarrow

$$P(y|x) = \frac{P(x,y)}{P(x)} \Rightarrow P(x,y) = P(y|x) \cdot P(x)$$

$$P(x|y) = \frac{P(x,y)}{P(y)} \Rightarrow P(x,y) = P(x|y) \cdot P(y)$$

$$P(y|x) \cdot P(x) = P(x|y) \cdot P(y)$$

\swarrow

$$P(x|y) = P(y|x) \cdot P(x)$$

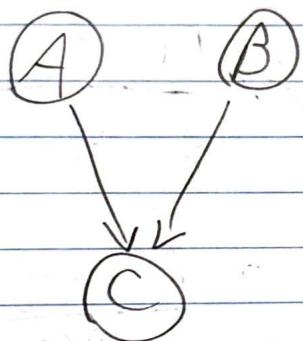
: Bayes' theorem

$$P(y|x) = \frac{P(x|y) \cdot P(y)}{P(x)}$$

When y is observed, x and z are cond.
independent given y

When y is not observed, x and z are
not independent

Common Effect



$$P(A, B, C)$$

$$= P(C|A, B) \cdot P(A) \cdot P(B)$$

$$P(A, B, C) = P(C, A, B)$$

$$= P(C|A, B) \cdot P(A|B) \cdot P(B)$$

$$P(A, B) = \sum_C P(C|A, B) \cdot P(A) \cdot P(B)$$

$$= P(A) \cdot P(B)$$

$$= P(A, B|C) = P(A|C) \cdot P(B|C) ?$$

$$P(A, B | C)$$

when C is observed

$$= \frac{P(A, B, C)}{P(C)}$$

$A \& B$ are not independent

$$P(C)$$

when C is not observed

$$= \frac{P(C|A, B)P(A)P(B)}{P(C)}$$

$A \& B$ are cond. independent

$$P(C)$$

$$\neq P(A|C)P(B|C)$$

$$P(C=t | A, B)$$

$$P(C=t | A, B)$$

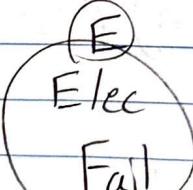
$$+ P(C=f | A, B)$$

$$P(C=f | A, B)$$

$$+ \frac{P(C=f | A, B)}{P(A, B)}$$

$$P(E=t)$$

0, 1



$$P(M=t),$$

0, 2

★ M and E
are independent



E	M	$P(C=t E, M)$
T	T	1
T	F	1
F	T	0.5
F	F	0

$$P(C = T)$$

$$= P(C = T, E, M)$$

$$= P(C = T | E, M) \cdot P(E | M) \cdot P(M)$$

$$= P(C = T | E = T, M = T) \cdot P(E = T | M = T) \cdot P(M = T) +$$

$$= P(C = T | E = T, M = F) \cdot P(E = T | M = F) \cdot P(M = F) +$$

$$= P(C = T | E = F, M = T) \cdot P(E = F | M = T) \cdot P(M = T) +$$

$$= P(C = T | E = F, M = F) \cdot P(E = F | M = F) \cdot P(M = F)$$

Exam *

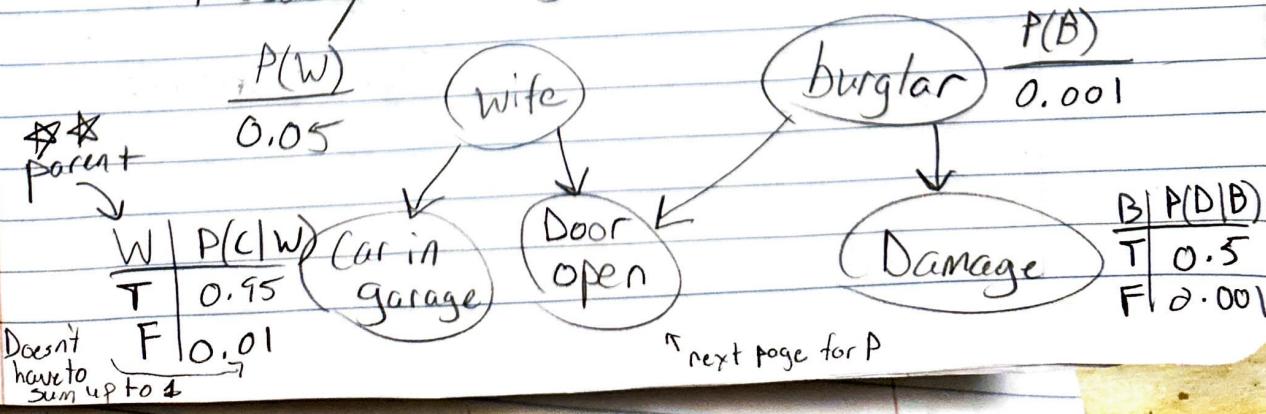
Ex Garage door open

Car in garage

Probably wife is at home, not a burglar

Garage door is damaged

Probably a burglar at home, not wife



$$P(x_1 \dots x_w) = \sum_{i=1}^n P(x_i | \text{Par}(x_i))$$

or \prod ?

any diff?

W	B	$P(O W, B)$
T	T	0.75
T	F	0.05
F	T	0.25
F	F	0.01

Find:

Prob that: door open,
wife at home, not burglar
car in garage
door not damaged.

$$P(O=t, W=t, B=f, C=t, D=f)$$

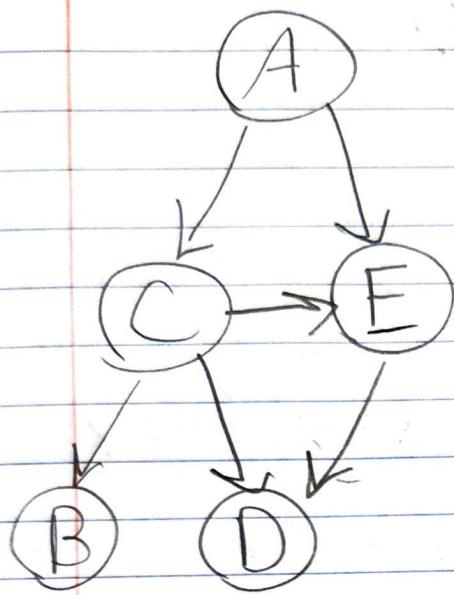
$$= P(D=f, \underbrace{\neg d}_{O=t}, \underbrace{\neg b}_{C=t}, \underbrace{w=t}_{W=t}, \underbrace{\neg b}_{B=f})$$

$$= P(\neg d, O, C, W, \neg b)$$

$$= P(\neg d | O, C, W, \neg b) \cdot P(O | C, W, \neg b) \cdot P(C | W, \neg b) \\ \cdot P(W | \neg b) \cdot P(\neg b)$$

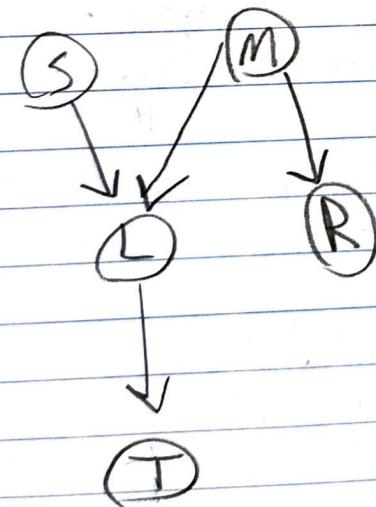
$$= 0.999 * 0.05 * 0.95$$

$$* 0.05 * 0.999$$

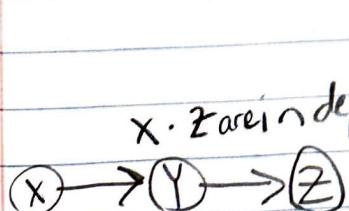


$$\begin{aligned}
 & P(A, B, C, D, E) \\
 & = P(B, D, E, C, A) \\
 & = P(B | \cancel{A} \# C) \cdot P(D | E \# A) \cdot P(E | A) \\
 & \quad \cdot P(C | A) \cdot P(A) \\
 & = P(B | C) \cdot P(D | E, C) \cdot P(E | C, A) \\
 & \quad \cdot P(C | A) \cdot P(A)
 \end{aligned}$$

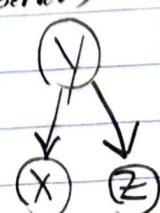
11/4/22



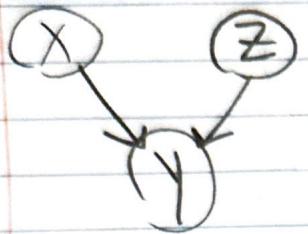
$$\begin{aligned}
 & P(t, \gamma_r, l, \gamma_m, s) \\
 & = P(t, \gamma_r, l, s, m) \\
 & = P(t | \gamma_r, l, s, m) \cdot P(\gamma_r | t, s, m) \cdot P(l | s, m) \\
 & \quad \cdot P(s | m) \cdot P(m) \\
 & = P(t | l) \cdot P(\gamma_r | m) \cdot P(l, s, m) \cdot P(s) \cdot P(m)
 \end{aligned}$$



x, z are independent given y (is observed)



x and z are independent
given y (is observed)



X and Z are independent

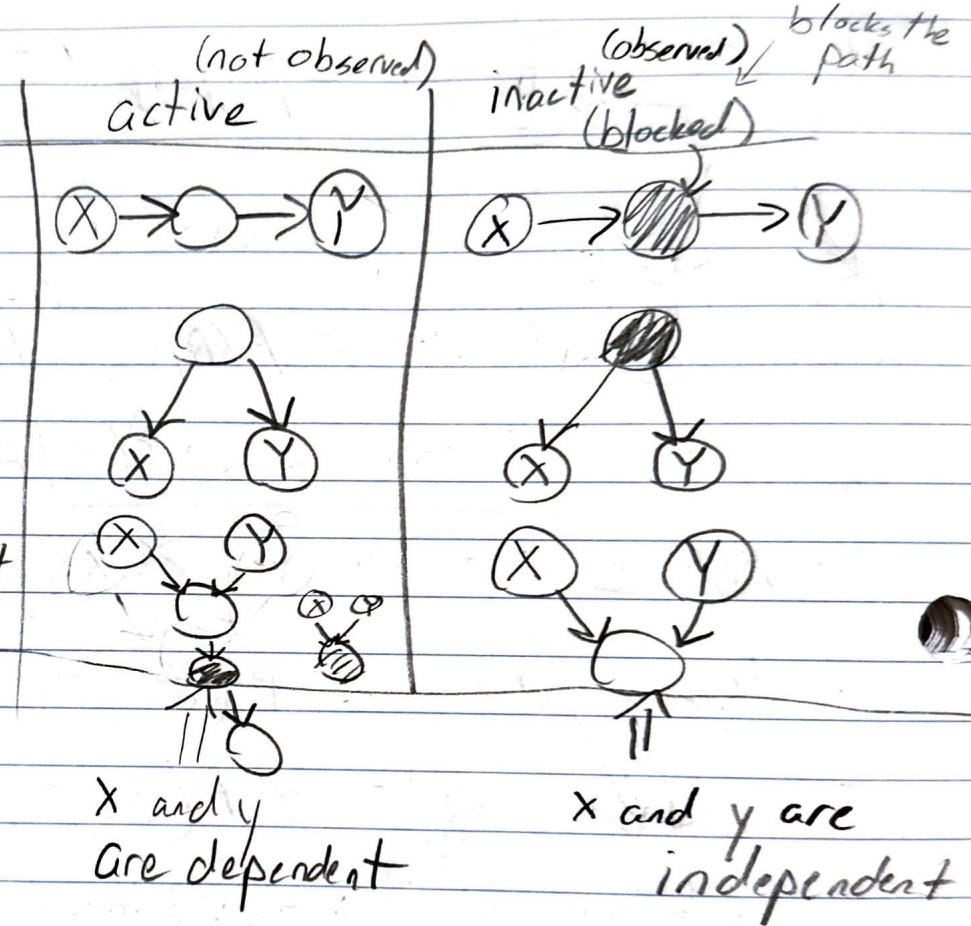
Summary of previous

Base cases

Causal chain

Common cause

Common effect



* d-separation (Alg) (to make it easier)

X and Y are conditionally independent given Z .

if X and Y are d-separated by Z .

\uparrow
all path b/w
~~X and Y are~~
inactive (blocked)

Alg

1. Draw ancestral graph

↳ graph of all mentioned variables and ancestors.

2. Moralize the graph

↳ connect 2 nodes w/ same child
★

3. Disorient the graph

↓
arrows (\rightarrow) become undirected (-)

4. Delete the "given" nodes

5. Find independency from the graph

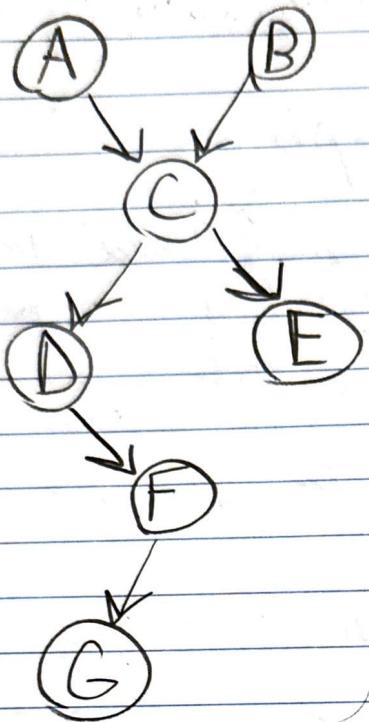
Note: If 2 vars are connected, then those 2 vars are not guaranteed to be independent

Note 2: If 2 vars are disconnected, then they are guaranteed to be independent

Note 3: If one of the 2 vars are deleted, they are guaranteed to be independent

Follow alg to solve

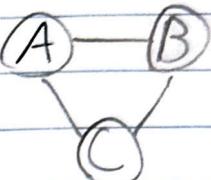
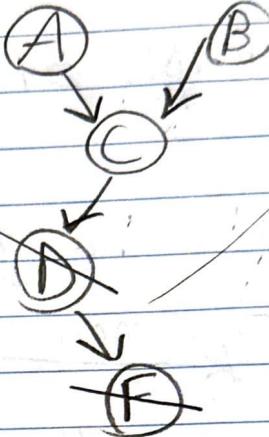
(Ex)



$$P(A | B, D, F) \\ = P(A | D, F)$$

1. Are A, B conditionally independent, given D and F?

NO

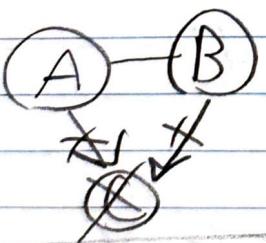


2. Are A, B marginally independent? Yes



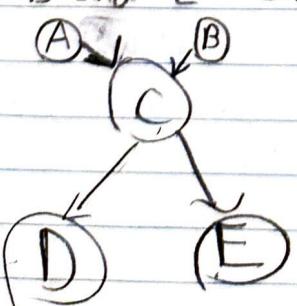
Follow alg to solve

3. Are A and B conditionally independent, given c?



No

4. Are D and E conditionally independent, given c?

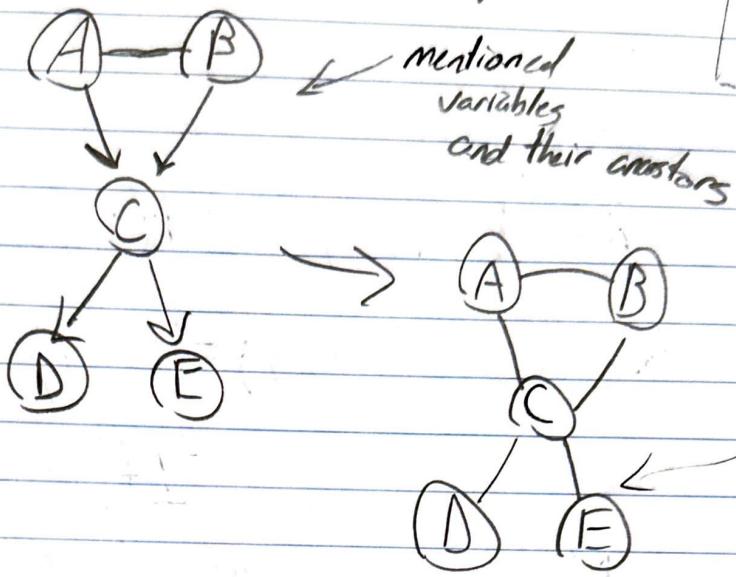


Yes, disconnected



5. Are D and E marginally independent?

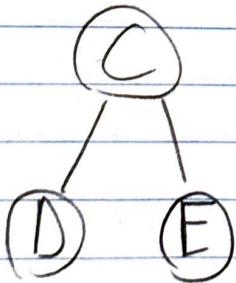
Not guaranteed because there is a path
b/w D and E



6. D and E cond. independent

given A, B

No there is a path



Get rid of

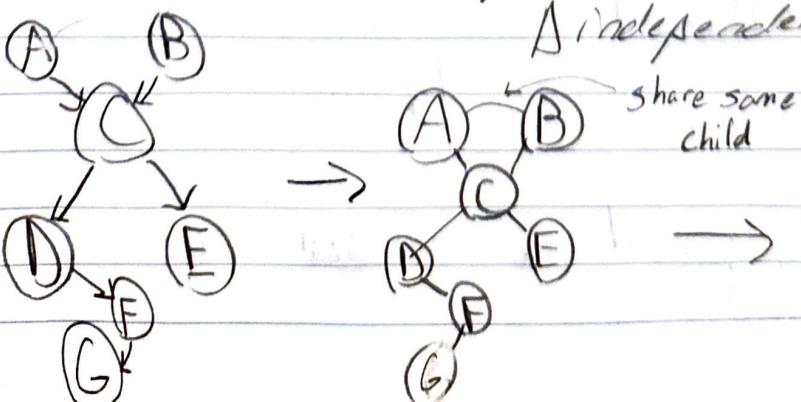


find common condition (c)

$$7. \underset{=} P(D|C, E, G) = P(D|C)?$$

(Given C, is D independent to E and is

D independent to G)



D and E are independent, but D and G are not.

Rules of probability.

1. Sum Rule: $P(x) = \sum_y P(x, y)$

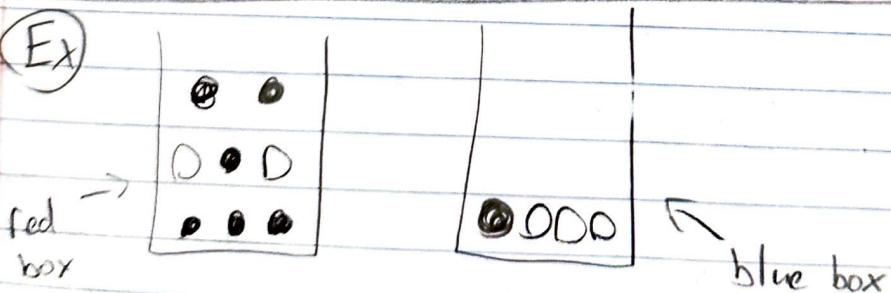
2. Product Rule: $P(x, y) = P(x|y) \cdot P(y)$
 $= P(y|x) \cdot P(x)$

$$P(y|x) \cdot P(x) = P(x|y) \cdot P(y)$$

Bayes' theorem \Rightarrow

$$P(y|x) = \frac{P(x|y) \cdot P(y)}{P(x)} = \frac{P(x|y) P(y)}{\sum_y P(x,y)}$$

(Ex)



○ = orange ball

● = black ball

Reach into one of the boxes (blindfolded)
Randomly picked one ball and it was ○ (orange)

What is the probability that O came from red box?

knowing that $P(\text{red box}) = \frac{2}{5}$

Given

$$P(\text{blue box}) = \frac{3}{5}$$

$$P(O | \text{red box}) = \frac{6}{8}$$

$$P(O | \text{red box}) = \frac{2}{8}$$

$$P(O | \text{blue box}) = \frac{1}{4} \quad P(O | \text{blue box}) = \frac{3}{4}$$

We are trying to find: $P(\text{orange} | O)$

What is $P(\text{red box} | O)$ ← Find using Bayes' thm.

$$P(\text{Red box} | O) = \frac{P(\text{orange} | \text{red box}) P(\text{red box})}{P(\text{orange})}$$

$$= \frac{2}{8} * \frac{2}{5}$$

$$\rightarrow \frac{P(\text{orange} | \text{red box}) * P(\text{red box})}{P(\text{orange} | \text{red box}) + P(\text{orange} | \text{blue box})}$$

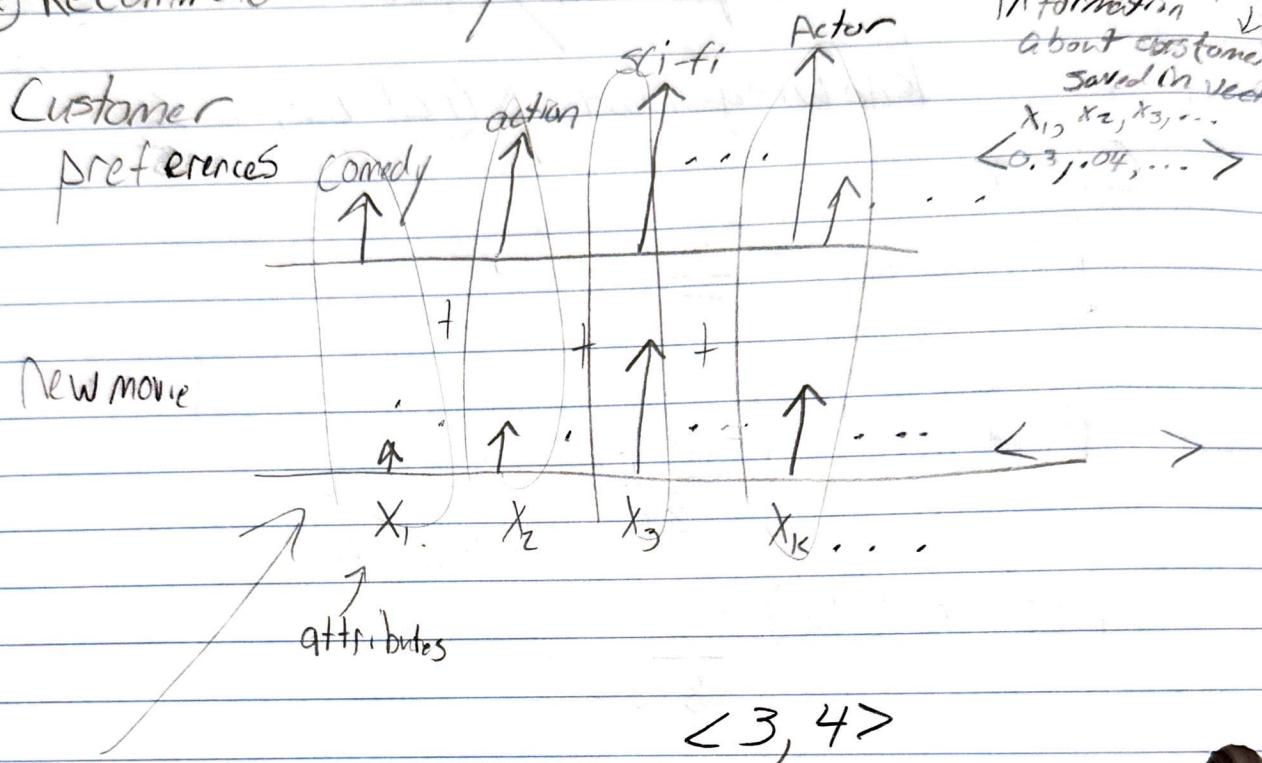
$$= \frac{2}{8} * \frac{2}{5}$$

$$\frac{\frac{2}{8} * \frac{2}{5} + \frac{3}{4} * \frac{3}{5}}{3}$$

2
3

$$* P(\text{blue box})$$

* Recommendation System



$\langle 3, 4 \rangle$

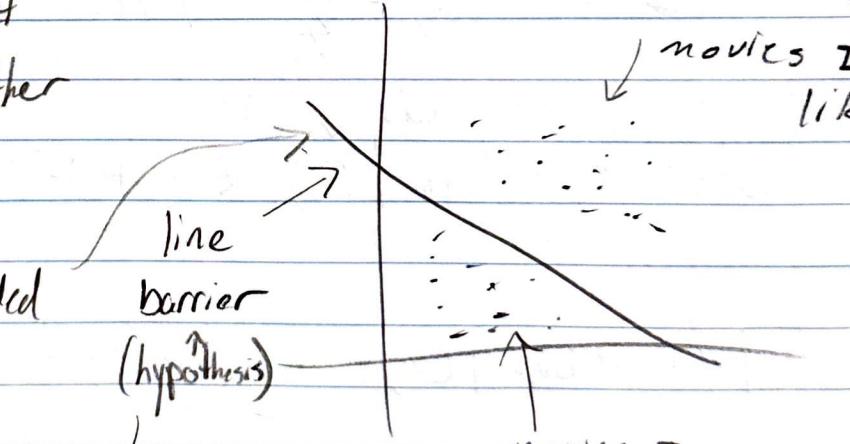
Take dot product

and add together

to see if

movie should

be recommended



Machine learning
basically decides

what hypothesis is the
best for user

$\langle \langle 0.3, 0.4, \dots \rangle, \text{Like} \rangle$ Preference

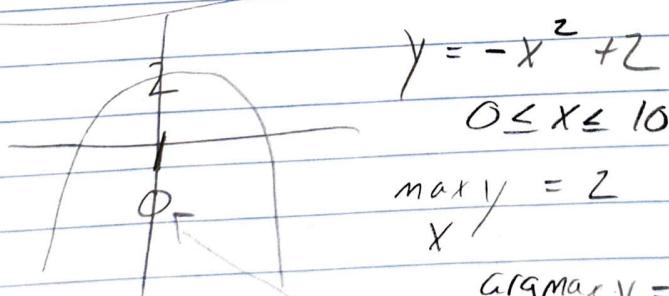
$\langle \langle \dots \rangle, \text{Dislike} \rangle$

Training Data (\emptyset)

Vector
Data

$$P(v | D) = P(v | a_1, a_2, a_3, \dots)$$
$$= \frac{P(a_1, a_2, \dots | v) P(v)}{P(a_1, a_2, \dots)}$$

$$\underset{v}{\operatorname{argmax}}(v | D) = \underset{v}{\operatorname{argmax}} \frac{P(a_1, a_2, \dots | v) P(v)}{P(a_1, a_2, \dots)}$$



$\underset{x}{\operatorname{argmax}} y = 0$ \leftarrow given arg to get $\max y$

$$= \underset{v}{\operatorname{argmax}} P(a_1, a_2, \dots | v) P(v)$$

v_{MAP}

Maximum A-priori

$$v = \underset{v}{\operatorname{argmax}} P(a_1, a_2, \dots | v) \cdot P(v)$$

Assumption: a_1, a_2, \dots are conditionally independent.

$$P(a_1, a_2, \dots | v)$$

$$= P(a_1 | v) \cdot P(a_2 | v) \cdot \dots$$

$$= \prod_i P(a_i | v)$$

$$v = \underset{v}{\operatorname{argmax}} \prod_i P(a_i | v) \cdot P(v)$$



v_{NB}



* Naive-Bayesian

(Ex)

	Color	Type	Origin	Stolen?
1	Red	Sedan	Domestic	Y
2	R	S	D	N
3	R	S	D	Y
4	Yellow	S	D	N
5	Y	S	Imported	Y
6	Y	SUV	I	N
7	Y	SUV	I	Y
8	Y	SUV	D	N
9	R	SUV	I	N
10	R	S	I	Y

For Red Domestic SUV

What is the most probable label?

Label

$$v_{NB} = \operatorname{argmax}_{v \in \{Y, N\}} P(v) \cdot \prod_i P(a_i | v)$$

$$= \operatorname{argmax}_{v \in \{Y, N\}} P(v) \cdot P(R, v) \cdot P(SUV|v) \cdot P(D|v)$$

if $v = Y$

\uparrow
yes

$$P(Y) \cdot P(R|Y) \cdot P(SUV|Y) \cdot P(D|Y)$$
$$= \frac{1}{2} \cdot \frac{3}{5} \cdot \frac{1}{5} \cdot \frac{3}{5}$$

if $v = N$

\uparrow
no

$$P(N) \cdot P(R|N) \cdot P(SUV|N) \cdot P(D|N)$$
$$= \frac{1}{2} \cdot \frac{2}{5} \cdot \frac{3}{5} \cdot \frac{3}{5} =$$

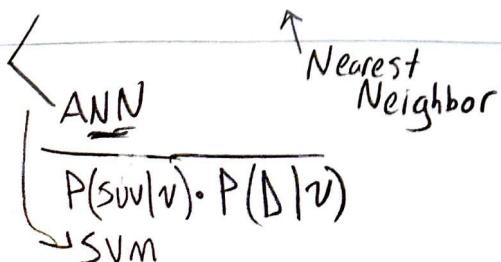
↑
Most probable b/c
bigger total

what NB is
Offline learning - has all information beforehand (?)

Online learning - learning while it is running

Different types of machine learning

instance based:

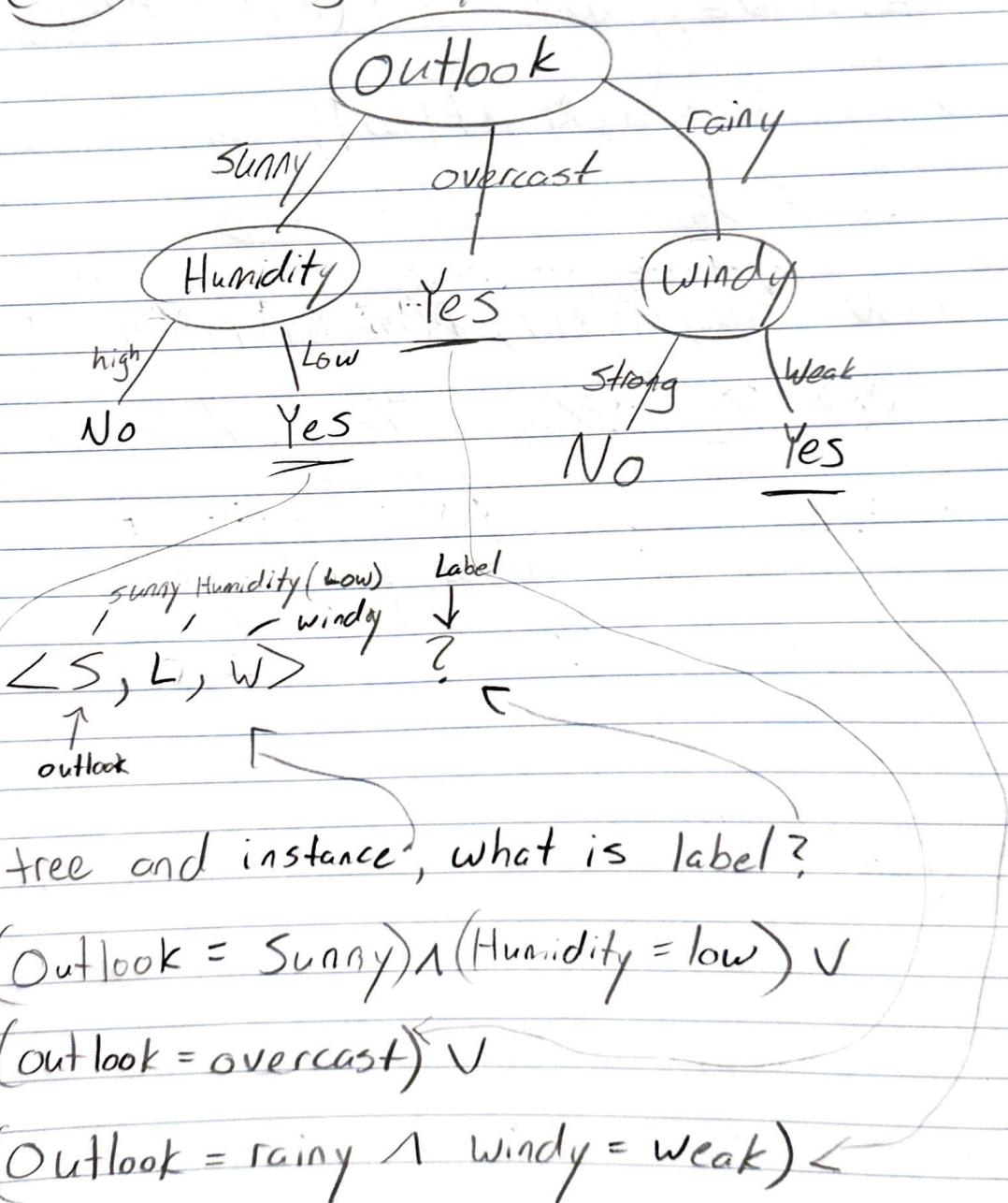


11/28/22

Decision Tree Learning

- learning discrete value function

(Ex) Learning (Play Tennis) Function



Given tree and instance, what is label?

* All Yes $\neg (\text{Outlook} = \text{Sunny}) \wedge (\text{Humidity} = \text{low}) \vee$
Values $(\text{outlook} = \text{overcast}) \vee$
* $(\text{Outlook} = \text{rainy} \wedge \text{Windy} = \text{weak}) \leftarrow$

Algorithms to form tree

(*) ID3 (Quinlan, '86) (o)
C45 (" , '93)

* Pick an attribute that separates the data very well

Entropy (Cloud Shannon '49)

Bell Lab

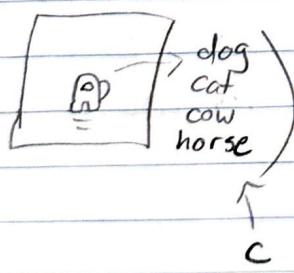
Measure the purity/impurity of data

Given data set S ,

$$1. \text{ Entropy}(S) = \sum_{i=1}^c -P_i \lg P_i = -P_1 \lg P_1 - P_2 \lg P_2 - P_3 \lg P_3 - \dots$$

c: #classes

goes up
to 4



$$\begin{aligned} \text{(*) } \lg 1 &= 0 \\ \lg 2 &= 1 \\ \lg \frac{1}{2} &= \lg 2^{-1} \\ &= -1 \cdot \lg 2 = -1 \end{aligned}$$

(Ex) 14 Example

9: True \oplus

$$= -\frac{9}{14} \lg \frac{9}{14} - \frac{5}{14} \cdot \lg \frac{9}{14}$$

5: False \ominus

$$= \boxed{.94}$$

Entropy

$$\text{Ent}(S) = -P_0 \lg P_0 - P_1 \lg P_1$$

$$\text{Entropy } (S) = \underline{\#_+ = \#_-}$$

$$= -P_+ \lg P_+ - P_- \lg P_-$$

$$= -\frac{1}{2} \lg \frac{1}{2} - \frac{1}{2} \lg \frac{1}{2}$$

$$= \frac{1}{2} + \frac{1}{2} = \boxed{1}$$

$$E_{n+}(S) = \underline{\#_+ = 0}$$

$$= -P_+ \lg P_+ - P_- \lg P_-$$

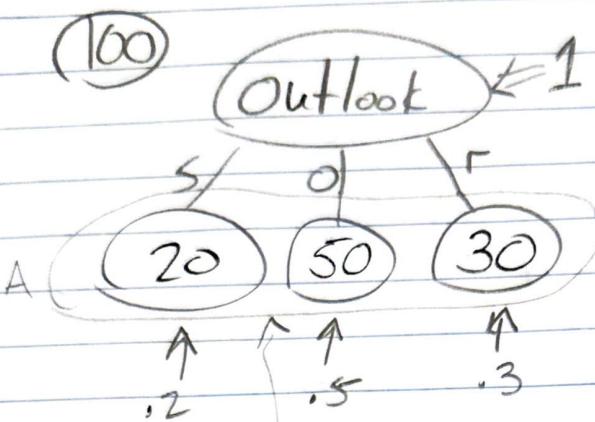
all positive = $\lg 2$

$$= -1 \lg 1 - 0 \lg 0^0$$

$$= \boxed{0}$$

Most pure when all positive
or all neg,
when its 1/2 each, that's when it
is most impure

④ Information gain: Expected reduction in Entropy caused by partitioning data using an attribute



$$\text{Gain}(S, A) = \text{Entropy}(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} \text{Entropy}(S_v)$$

* Select attribute that gives most weight gain

Decision Tree (Handout)

Pick best attribute for root, have to calculate
whole data set each gain to find out

$$\text{Gain}(S, \text{Outlook}) = .246 \text{ (best attribute)}$$

(pick largest)

$$\text{Gain}(S, \text{Temperature}) = .029 \leftarrow \text{Try These for practice}$$

$$\text{Gain}(S, \text{Humidity}) = .152$$

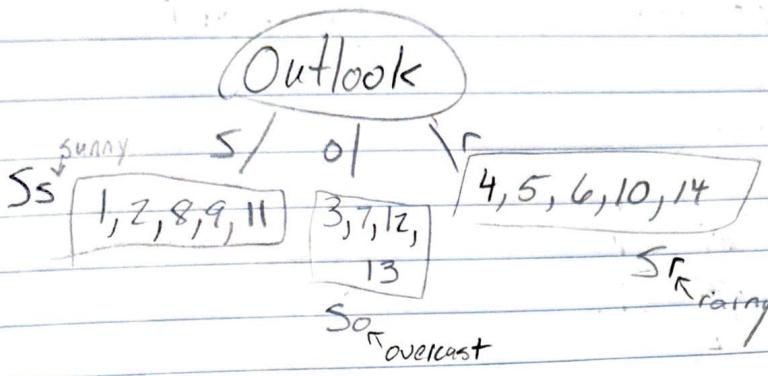
$$\text{Gain}(S, \text{Wind}) = .048 \leftarrow$$

$$S: 9 \text{ } \bigcirc^{\text{yes}}, 5 \text{ } \bigcirc^{\text{No}}$$

$$\text{Entropy}(S) = -\frac{9}{14} \lg \frac{9}{14} - \frac{5}{14} \lg \frac{5}{14} = \boxed{.94}$$

$$Gain(S, A) = Ent(S) - \sum_{A \in Val(A)} \frac{|S_A|}{|S|} Ent(S_A)$$

$$Val(Outlook) = \{S, O, R\}$$



$$S_{\text{Sunny}}: 2 \oplus 3 \ominus \quad Ent(S_{\text{Sunny}}) = -\frac{2}{5} \lg \frac{2}{5} - \frac{3}{5} \lg = 0.971$$

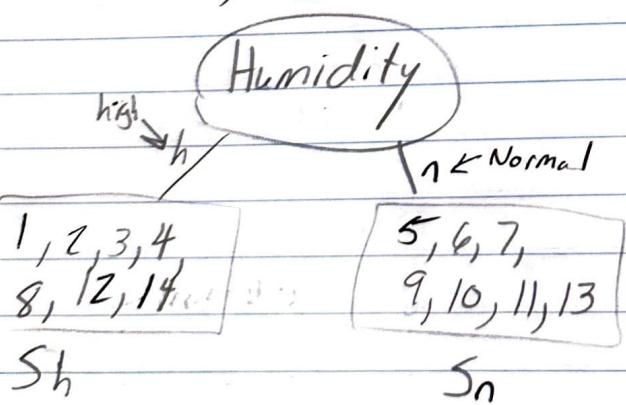
$$S_{\text{Overcast}}: 4 \oplus 0 \ominus \quad Ent(S_{\text{Overcast}}) = 0 \quad (\text{all positive} = \text{pure})$$

$$S_{\text{Rainy}}: 3 \oplus 2 \ominus = 0.971$$

$$Gain(S, \text{Outlook}) = .94 - \left[\frac{5}{14} \cdot 0.971 + \frac{4}{14} \cdot 0 + \frac{5}{14} \cdot 0.971 \right]$$

Entropy(S)

$$Val(Hum) = \{h, n\}$$

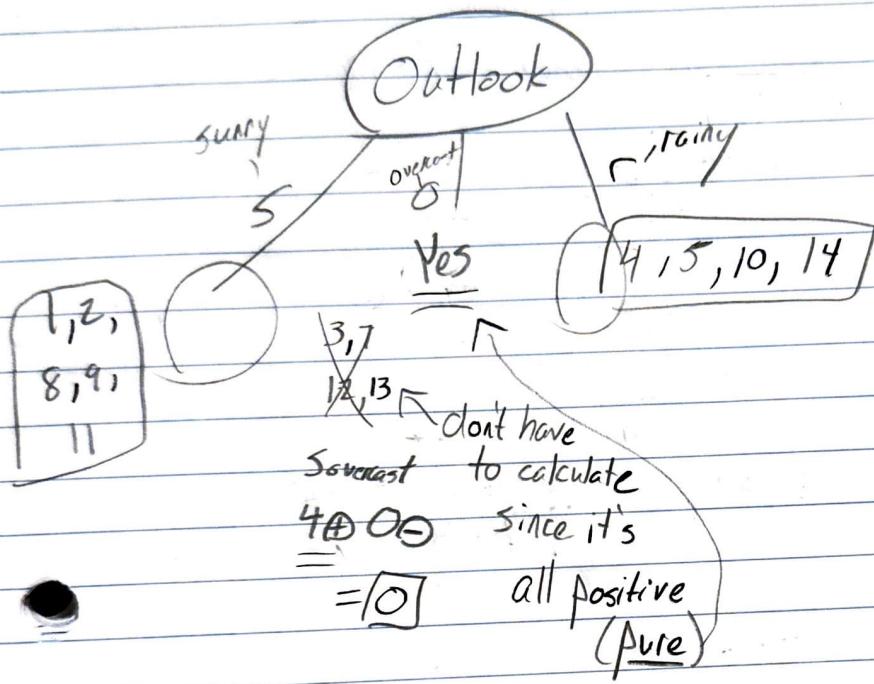


$$S_{\text{High}}: 3 \oplus 4 \ominus \quad Ent(S_{\text{High}}) = \frac{3}{7} \lg \frac{3}{7} - \frac{4}{7} \lg \frac{4}{7} = 0.985$$

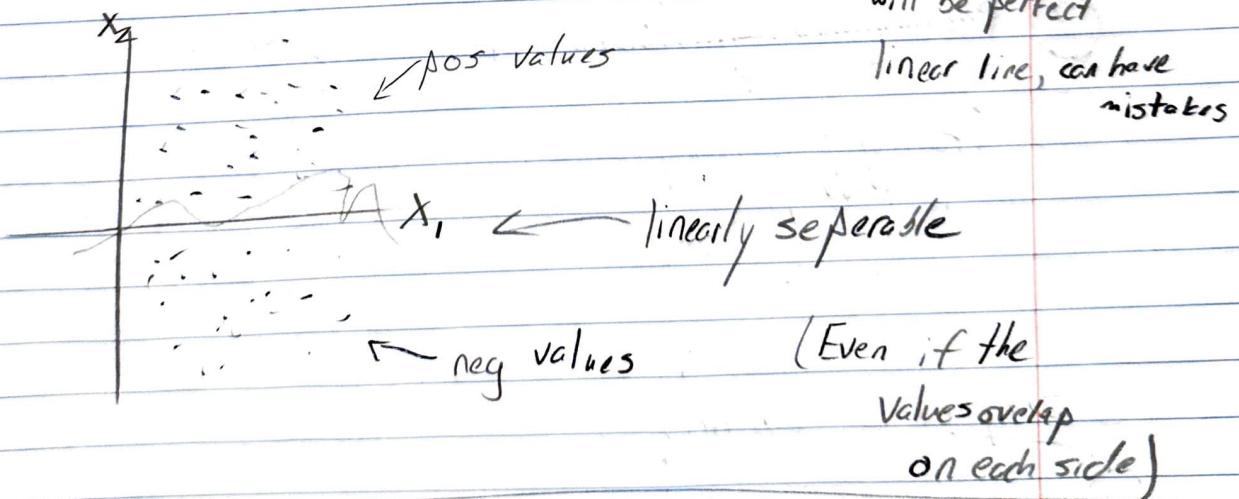
$$S_{\text{Normal}}: 6 \oplus 1 \ominus \quad Ent(S_{\text{Normal}}) = \frac{6}{7} \lg \frac{6}{7} - \frac{1}{7} \lg \frac{1}{7} = 0.592$$

$$\text{Gain}(S, \text{Hum}) = .94 - \left[\frac{7}{14} \cdot .985 + \frac{7}{14} \cdot .592 \right] \\ = .152$$

* Choose outlook as best attribute b/c highest value (to create tree)



Overfitting



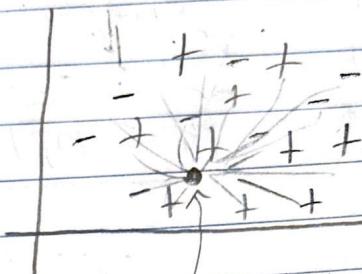
End

k-NN (Nearest Neighbor)

→ Instance-based learning

→ Store all data w/o learning

→ For New instance, find closest example saved
use the label of that example



whatever closest

value next to

point is new instance

(Ex) Credit Card App ^{application} Approval (Machine learning
Supervised Learning problem)

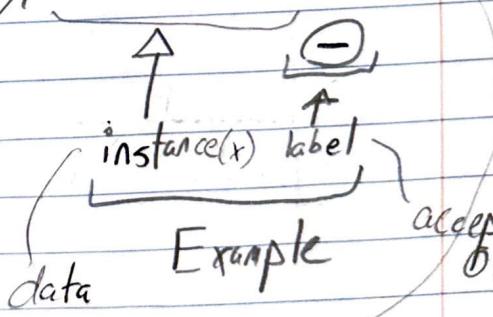
① Task (T): approve/Deny

Salary Job debt

② Performance Measure (P)

$\pi \leftarrow \dots \rightarrow \oplus$

③ Training Examples (E)



Supervised learning

④ Target Function (C)

$$C: X \rightarrow Y$$

\uparrow \uparrow
instance label

accept
or rejected
data

⑤ Representation of target function

→ Hypothesis Space (H) → all possible linear functions
(guessed)

⑥ Learning

$$h \in H$$

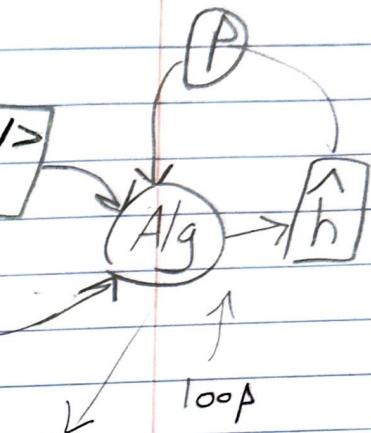
$$C: X \rightarrow Y$$

epoch

$$E, \langle \text{instance}, \text{label} \rangle$$

$$H$$

(guess)



loop that tries to find best one

$$\text{Label } Y = \{+1, -1\}$$

H : linear

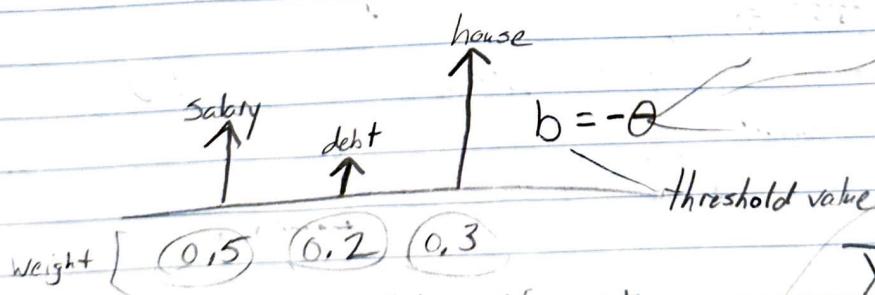
$$\underset{\downarrow}{\text{salary}} \quad \underset{\downarrow}{\text{debt}}$$

$$\sum_{i=1}^d w_i \cdot x_i \geq \theta : \oplus$$

$$\text{Example: } d = \langle 90,000, 12,000, \dots \rangle, \oplus$$

<

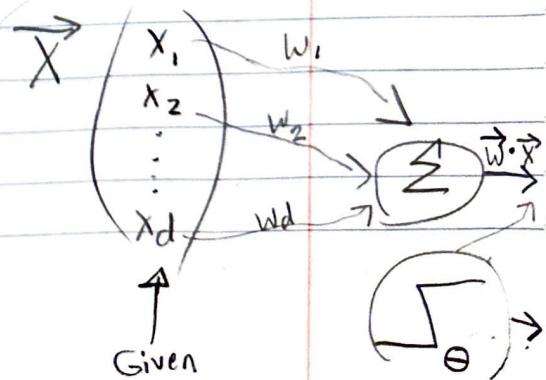
, ⊖



weight [(0.15) (0.2) (0.3)]

$$h = \text{sign} \left[\sum_{i=1}^d w_i \cdot x_i + b \right]$$

each instance accept or denied



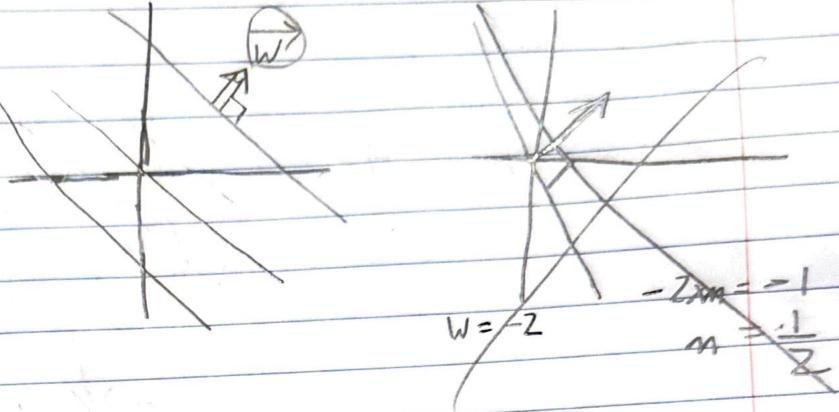
Learn lines in 2d and put in 3d

perception

$$\sum_{i=1}^d w_i \cdot x_i = \vec{w} \cdot \vec{x}$$

$$\vec{w} = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix}$$

$$\vec{x} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$



$$\sum_{i=0}^d w_i x_i = \vec{w} \cdot \vec{x}$$

$$h = \text{sign}(\vec{w} \cdot \vec{x})$$

↑ ↑

~~★~~ Perception Rule (iterative method)

1. Init $\vec{w} = \vec{0}$ (zero vector or small vector)

2. Repeat until properly learned

For $t = 1 \dots |\Theta|$

epoch

$$\hat{y}_t = \text{sign}(\vec{w} \cdot \vec{x}_t)$$

Only learning when
making mistake

$$\vec{w} \leftarrow \vec{w} + \gamma (y_t - \hat{y}_t) \cdot \vec{x}_t$$

learning rate

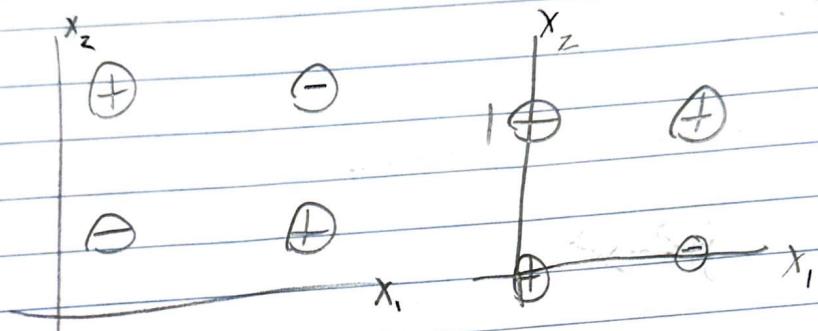
predicate label

$$\langle \vec{x}, +1 \rangle$$

$$+1 \uparrow$$

Perception receives multiple input signals, and if the sum of the input signals exceeds a certain threshold, it either outputs a signal or does not return an output.

$$\begin{cases} \gamma_t : +1 \\ \hat{\gamma}_t : -1 \end{cases}$$



x_1	x_2	XNOR	$(x_1 \text{ AND } x_2) \text{ OR } (\bar{x}_1 \text{ AND } \bar{x}_2)$
0	0	+	$\Rightarrow x_1 \text{ AND } x_2$
0	1	-	$\bar{x}_1 \text{ AND } \bar{x}_2$
1	0	-	
1	1	+	A OR B

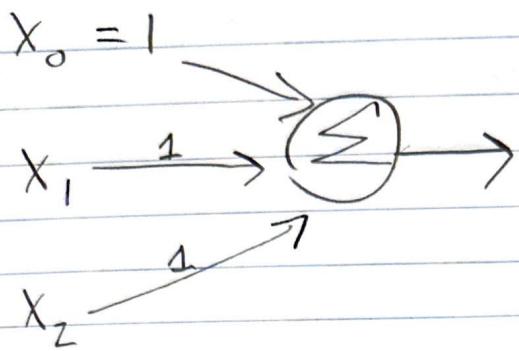
$x_1 \text{ AND } x_2$

$$x_0 = 1 \quad w_0 = -1.5$$

$$x_1 \xrightarrow{w_1=1} \sum \xrightarrow{w_2=1} x_2$$

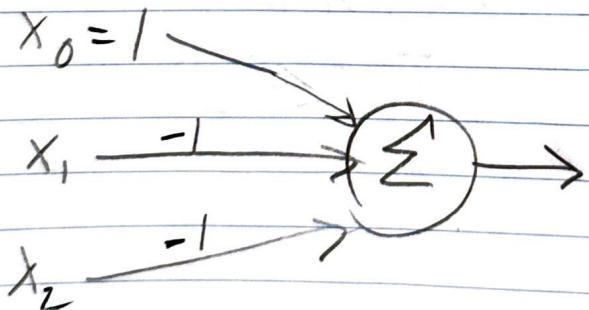
x_1	x_2	$\vec{w} \cdot \vec{x}$	$x_1 + x_2$
0	0	-1.5	0
0	1	-0.5	1
1	0	-0.5	1
1	1	0.5	2 \in \oplus

x_1 OR x_2

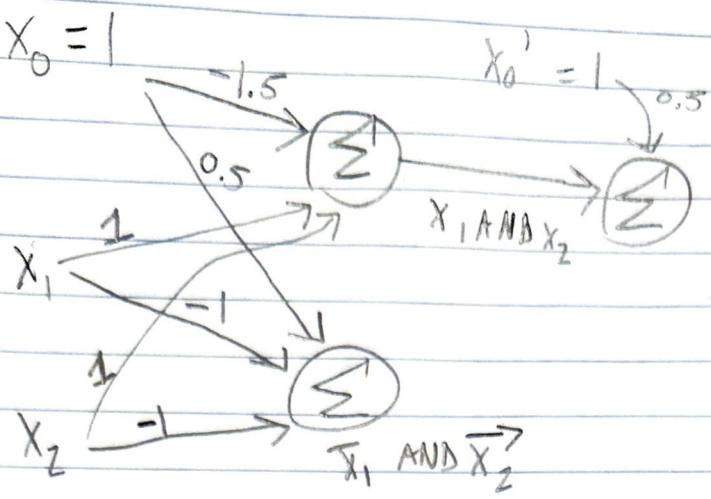


$$\begin{array}{c|c} x_1 & x_2 \\ \hline 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{array} \quad \left| \begin{array}{c} x_1 + x_2 \\ 0 \\ -1 \\ -1 \\ -2 \end{array} \right. \quad \begin{array}{l} + \\ - \end{array}$$

\bar{x}_1 AND \bar{x}_2



$$\begin{array}{c|c} x_1 & x_2 \\ \hline 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{array} \quad \left| \begin{array}{c} \bar{x}_1 \text{ AND } \bar{x}_2 \\ 1 \\ 0 \\ 0 \\ 0 \end{array} \right. \quad \begin{array}{c|c} x_1 + x_2 & \vec{w} \cdot \vec{x} \\ \hline 0 & 0 \\ 1 & -1 \\ 1 & -1 \\ 2 & -2 \end{array}$$



Multi-layer Perception

MLP

can't take derivative of step function

To find gradient descent



Need something smoother

Sigmoid

End of Material!