Minimal Spanning Trees

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Outline

- MST problem
- Generic algorithm
- Prim and Kruskal

- Given a weighted graph G = (V, E)
 - ▶ with "weight" function $w: E \to \mathbb{R}$

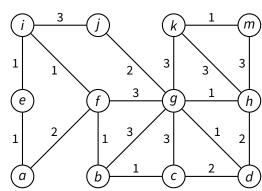
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 - ► a **tree**

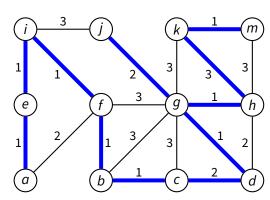
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- *T* "spans" the entire graph *G* (i.e., touches all vertexes)
 - ► a **spanning tree**

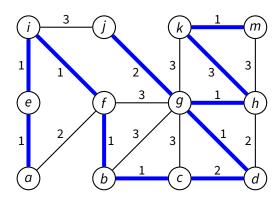
- Given a weighted graph G = (V, E)
 - ▶ with "weight" function $w: E \to \mathbb{R}$
- Find an acyclic subset $T \subseteq E$
 - a tree
- *T* "spans" the entire graph *G* (i.e., touches all vertexes)
 - ► a spanning tree
- T's total weight of the tree is minimal

$$w(T) = \sum_{(u,v)\in T} w(u,v)$$

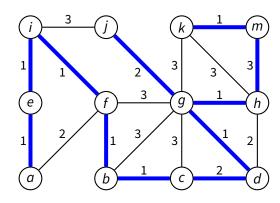
a minimum-weight spanning tree, or "minimum spanning tree"







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- Does it work?

GENERIC-MST(G, w)

- $1 \quad A = \emptyset$
- 2 **while** A is not a spanning tree
- 3 find a safe edge e = (u, v)
- $A = A \cup \{e\}$

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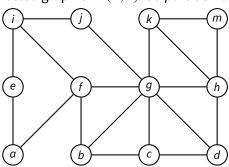
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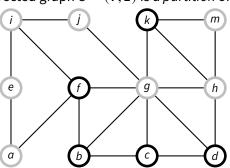
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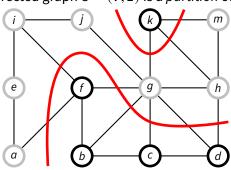
- *Invariant:* A is a subset of a minimum spanning tree
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 - e is such that, if A is a subset of a minimum spanning tree, then A ∪ {e} is also a subset of a minimum spanning tree

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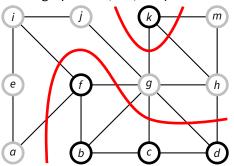
- *Invariant:* A is a subset of a minimum spanning tree
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 - e is such that, if A is a subset of a minimum spanning tree, then $A \cup \{e\}$ is also a subset of a minimum spanning tree
 - more or less the definition of a greedy algorithm



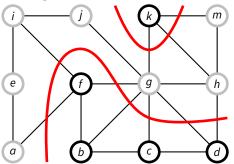




■ A **cut** (S, V - S) of an undirected graph G = (V, E) is a partition of V



■ An edge e = (u, v) crosses the cut (S, V - S) if $u \in S$ and $v \in V - S$, or vice-versa



- An edge e = (u, v) crosses the cut (S, V S) if $u \in S$ and $v \in V S$, or vice-versa
- A cut (S, V S) respects a set of edges A if no edge in A crosses the cut

Finding a Safe Edge

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■ Let (S, V - S) be a cut of G that respects A

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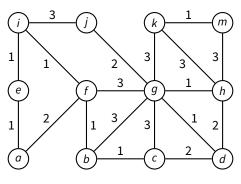
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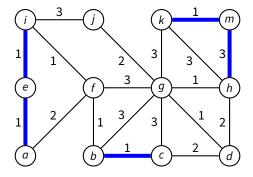
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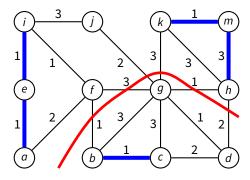


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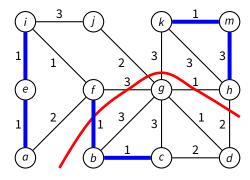
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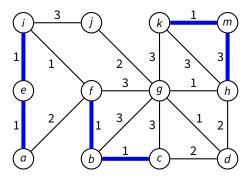
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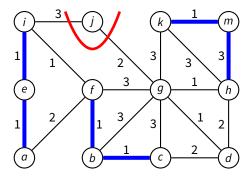
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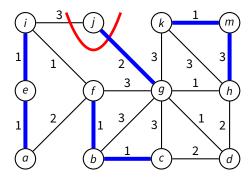
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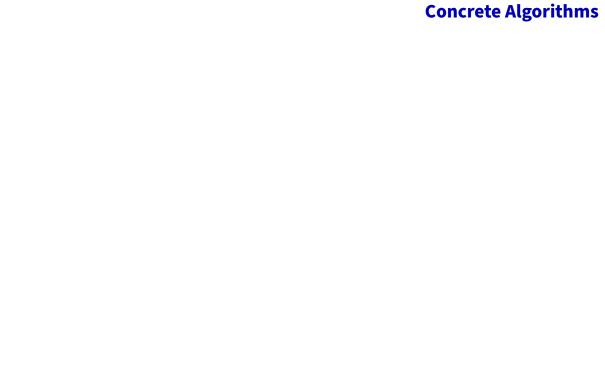


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Concrete Algorithms

- Kruskal's algorithm (1956)
 - based on the generic minimum-spanning-tree algorithm
 - ▶ incrementally builds a *forest* A

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- Kruskal's algorithm (1956)
 - based on the generic minimum-spanning-tree algorithm
 - ► incrementally builds a *forest* A
- Prim's algorithm (1957)
 - based on the generic minimum-spanning-tree algorithm
 - ► incrementally builds a *single tree* A

Disjoint-Set Data Structure

■ *Make-Set*(*x*) creates a set containing *x*

Disjoint-Set Data Structure

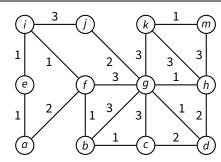
- \blacksquare Make-Set(x) creates a set containing x
- Find-Set(x) returns the representative of the set containing x
 - $ightharpoonup x, y \in S \Rightarrow Find\text{-Set}(x) = Find\text{-Set}(y)$
 - $\triangleright x \in S_1 \land y \in S_2 \land S_1 \neq S_2 \Rightarrow Find\text{-Set}(x) \neq Find\text{-Set}(y)$

Disjoint-Set Data Structure

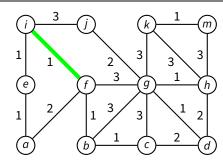
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- Union(x, y) joins the sets containing x and y

```
MST-KRUSKAL(G, w)1A = \emptyset2for each vertex v \in V(G)3MAKE-SET(v) // disjoint-set data structure4sort E in non-decreasing order by weight w5for each edge (u, v) \in E, taken in non-decreasing order by w6if FIND-SET(u) \neq FIND-SET(v)7A = A \cup \{(u, v)\}8UNION(u, v)
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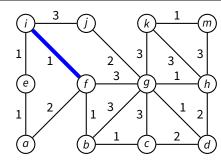
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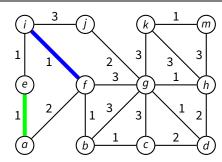
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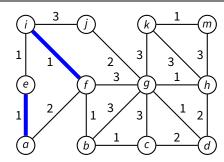
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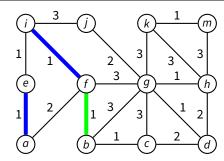
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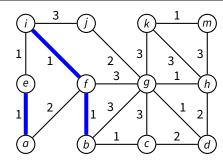
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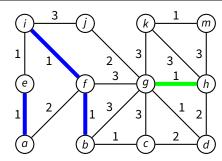
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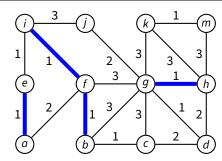
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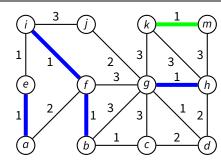
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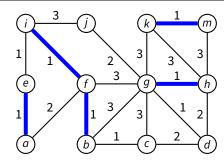
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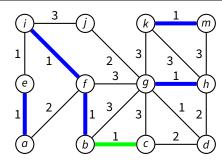
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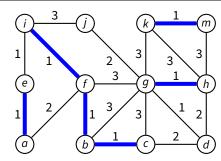
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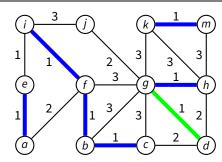
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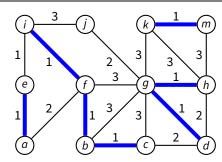
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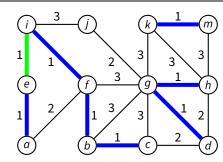
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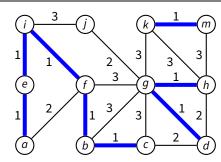
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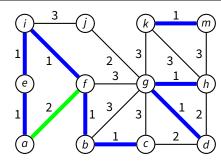
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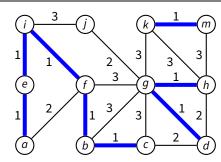
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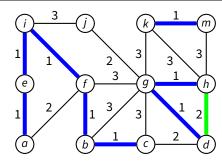
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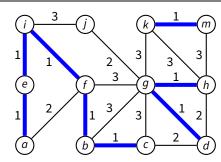
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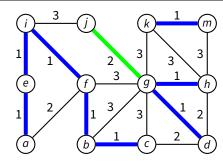
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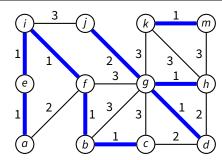
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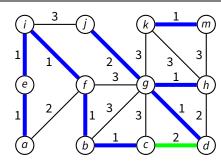
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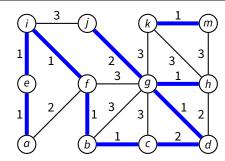
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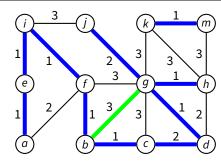
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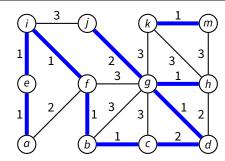
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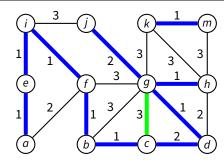
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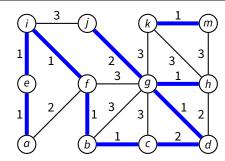
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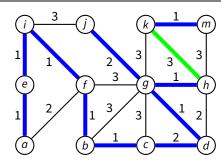
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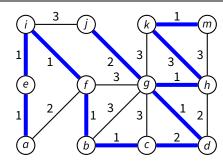
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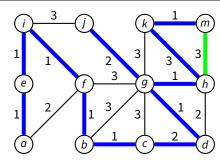
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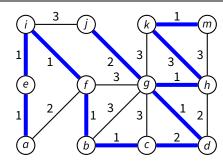
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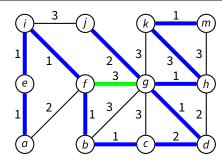
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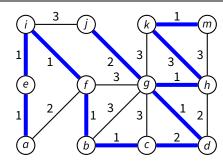
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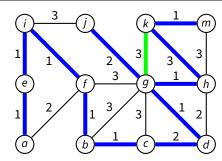
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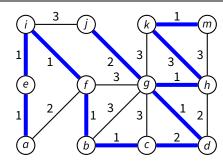
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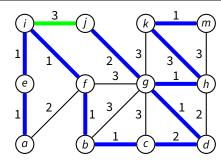
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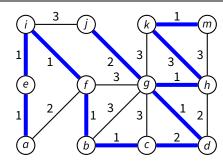
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■ |V| times **MAKE-SET** (loop of line 2–3)

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- $O(|E| \log |E|)$ for sorting E (line 4)

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- |V| times **MAKE-SET** (loop of line 2–3)
- $O(|E| \log |E|)$ for sorting E (line 4)
- 2|E| times FIND-SET
- O(|E|) times **UNION**

- Build builds *T* incrementally
 - ▶ in each iteration, add a node *v* to *T* through an edge that connecs *v* with *T*

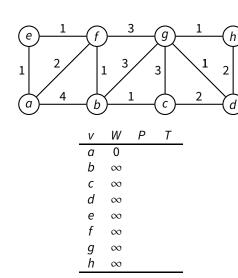
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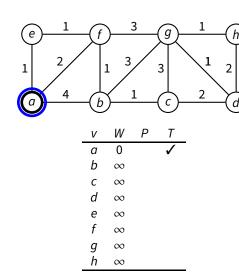
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 - ▶ P[v], node $u \in T$ such that the edge (u, v) is the least-cost edge connecting v with T

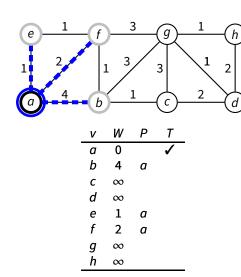
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     for each vertex v \in V(G)
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     W[u] = 0
     while V(T) \neq V(G)
           find u \notin V(T) such that W[u] is minimal
           T = T \cup \{u\} // add u to T
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           for all v \in Adj(u) \setminus V(T)
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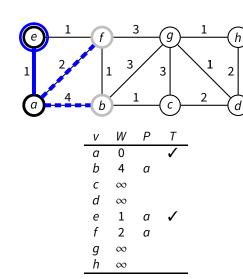
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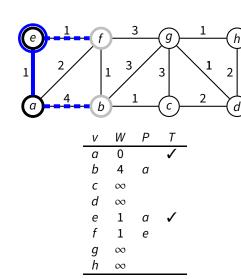
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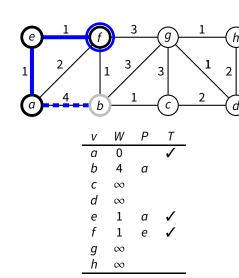
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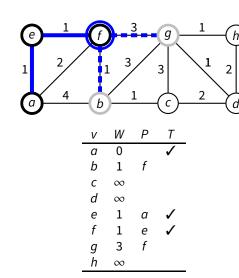
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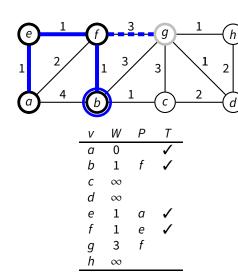
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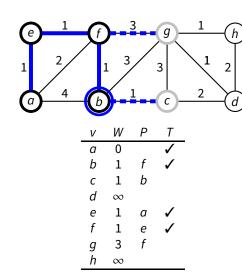
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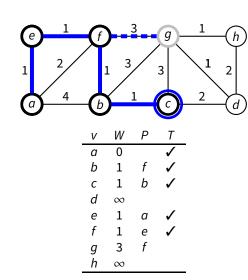
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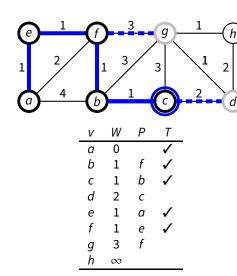
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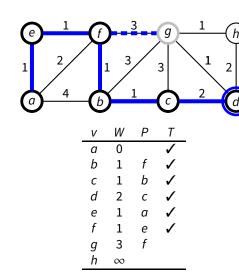
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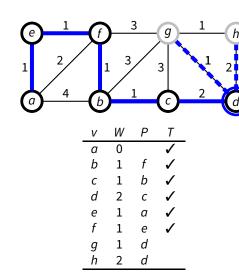
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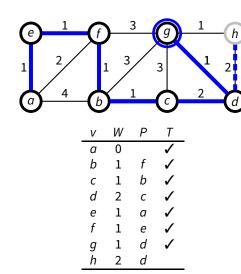
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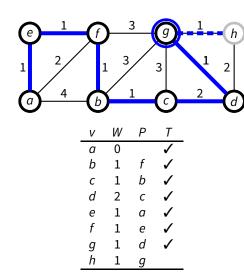
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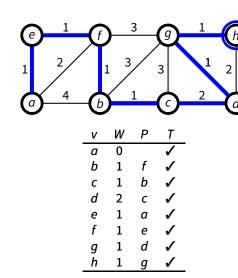
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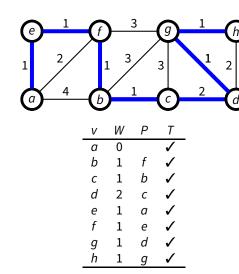
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