# Laboratory of Bioinformatics 1 part B - Capriotti

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## Introduction

- There will be a project for the final, to be submitted for May 18
- Protein structure is more conserved than sequence
- When sequence identity is sufficiently high, we can transfer structural information
- A structural alignment is a rigid body transformation of 2 subsets from 2 sets of points that maximizes a given distance metric
  - The subsets need to have the same number of elements and define the corrispondence set
  - Finding the corrispondece set is an NP-hard problem
  - Finding the optimal rigid transformation of the corrispondence set is  $\Theta(n)$
- The distance of 2 sequences can be evaluated with a substitution matrix and a gap penalty
- Global alignments are computed with the NW algorithm, local alignments with the SW
- Local alignments are useful for multidomain proteins, when only some domains are conserved
- The significance of an alignment score can be evaluated by comparing with the score distribution for random alignments
- Sanders and Schneider developed a twilight curve before Rost
  - Sander's curve becomes straight after 80 residues
  - Rost's curve does never become straight
- Over 100 residues, under 25% of sequence identity only 10% of the sequences are homologous, while above 20% 90% of them are
- Over 30% identity sequences longer than 100 residues have similar structures, but this does NOT mean that under 30% the structure is necessarily different!
- Proteins with low sequence identity but high structural similarity are referred to as remote homologs
- Structures can be predicted by comparative modeling, threading, ab initio
- If I want to use sequence identity for trasferring annotation features, I need to identify the problemspecific twilight region
  - For subcellular localization, the twilight zone is 50% (!)
- The sequence identity needed for transferring subcellular localization is higher than that required for structure
- Function of proteins with really high sequence identity can be completely different
- In remote homologs the sequence alignment is often wrong
- Important residues in a sequence can be identified by comparing conservation levels

# Structural alignment

- Structural alignment is different from superimposition
- Superimposition assumes that I already have the correspondence set, and it is relatively easy
- Structural alignment requires the identification of the correspondence set, which is hard
- The definition of domain is often heuristic and questionable
- Proteins with similar spatial distribution but different topology are difficult to align
- Alignment methods can be classified in different ways

- Pairwise or multiple
- Depending on the descriptor used
  - \* Backbone
  - \* All atoms
  - \* Sequence-based
  - \* Contact map
  - \* Surface
- Rigid body or flexible
- The comparison of torsion angles is  $\Theta(n)$ 
  - They are invariant for rotation and translation
  - It is good for local regions but problematic for whole structures
- A distance matrix is also invariant for rotaion and translation
  - Comparing matrices is hard,  $\Theta(n^2)$
  - It is not sensitive to chirality
- At the moment, all methods are able to identify obvious similarities
- Remote similarities are detected by a subset of methods, and different methods recognize different similarities
- Speed is an issue in many algorithms
- We want our method to be biologically meaningful, not only geometrically
- The expected score or random pairwise alignments is an extreme value distribution
  - I would have a gaussian if there was no evolution
  - In real databases I have an excess of good-scoring pairs
- When I want to determine the distribution of scores, it is better to have an analitycal distribution than an empirical one
  - I don't have tools for working with empirical distributions (!)

### CE algorithm

- Compares AFPs composed of 8 residues, stiches them together and finds an optimal path trough them with dynamic programming
- It gives a statistical score
- The alignment is the longest continuous path of AFPs in a similarity matrix S
- The similarity matrix S is composed represent all AFPs conforming to a similarity criterion
- The dimensions of S are (na-m)(nb-m), where na and nb are the length of the sequences and m the size of the AFPs
- The matrix is large to compute, therefore we need constraints
- Two consecutive AFPs can be aligned with a gap in protein A, a gap in protein B or without gaps
- The AFP length is set to 6 and the maximum possible gap to 30
- Similarity measures are RMSD, full set of distances, and others
- ullet The best 20 alignments with Z score above 3.5 are compared based on RMSD and the best one is kept
  - I get an error in 1000 comparisons
- Each gap is assessed for relocation up to m/2 times
- $\bullet\,$  Iteritive optimization with dynamic programming
- It cannot find non-topological alignments
- The unit of comparison was originally the protein chain, but domains are optimal
  - Domains are difficult to define (!)
- The statistical distribution of alignment scores can be used to evaluate the Z score of an alignment

#### PDBe Fold

- It uses secondarys structure elements (SSEs)
- Secondary structure is typically conserved
- SSE are represented as vectors that connected in a graph by edges
  - 2 vertices and an edge describe position and orientation of the SSEs

- SSEs are helices and strands
- Each edge is labelled by a property vector containing information on edge-vertices angles, torsion angles between vertices, length of the edge
- The set of vertices, edges and labels defines the graph that is then matched with an algorithm
- Vertex and edge lengths are compare both in absolute and relative terms
  - In relative terms, the same absolute difference is less significative for longer edges
- Torsion angles are used for distinguishing mirror simmetries
- The SSE matching gives correspondences among SSEs, and can be used to yeld an initial sequence alignment
- Connectivity (topology) can be neglected, considered but allow for any number of missing SSEs (soft connectivity) or allow only for an equal number of unmatched SSEs (strict connectivity)

## MAMMOTH algorithm

- Matching molecular models obtained from theory (MAMMOTH) is one of the fastest algorithms
- The protein is represented as a set of unit vectors among Ca
- It is based on dynamic programming
- An unit vector is the normalized vector among Ca atoms
  - For each position, k consecutive vectors are mapped into a unit sphere that represents the local structure of k residues
- Each set of unit vectors is compare to all the sets in the other structure, building a matrix
- Each comparison yelds a unit root mean square distance (URMS)
  - This is compared against the expected random URMS
  - The alignment score is obtained by normalizing the URMS with its expected value
- The path trough the matrix is found with dynamic programming by a global alignment without end-gap penalties

## RNA structure

- Most RNAs are around 50 bp
- Secondary structure of RNAs is usually represented with parenteses
  - I cannot represent pseudo-knots in this way
- For RNA, the secondary structure is much more informative than for proteins
  - A certain secondary structure constraints a lot the tertiary structure
- There is less variability in RNA strucutures than in proteins
- The best atom for representing the backbone is C3', since it has the most constant inter-nuclotide distance
- The professor adapted MAMMOTH to work with RNA C3' atoms instead of Ca in proteins: SARA
  - The statistics of the score had to be re-evaluated
  - They still used the extreme value distribution, which is defined by  $\mu$  and  $\sigma$
  - They selected how the parameters change when RNA size changes
  - The set of unit vectors was 3 instead of 7
  - The method gives a -log(p-value) score
  - By comparing RNAs of known function, I can determine a score threshold that gives correct functional annotation
- Another method was developed in Israel: ARTS
- Few people are working in RNA: not so many methods
- The twilight zone of RNA sequence alignment is around 60%
- Secondary structure identity (PSS) correlates well with tertiary structure identity (PSI) but not with sequence identity

# Multiple sequence alignment

- In MSA it is easier that in pariwise alignments to identify conserved regions, that could be functionally important
  - We can observe blocks of conservation in MSAs
- I can transform a MSA in a profile of the sequences
- A profile is a matrix with a row for each possible residue and a column for each position
  - The value of each element reflects the frequency of a residue in a specific position
  - Each position is therefore a vector of 20 elements
  - I represent a profile as a matrix containing as many vectors as the number of positions
  - I can also have a row for the presence of a gap in the position
- A sequence logo is a plot showing the entropy of each residue in each position
  - It is obtained from a profile and it is a way to represent it
- Shannon entropy: information content of a message
  - For a single colum  $S(p) = \sum_{i=1}^{20} -p_i \ln p_i$  Total conservation: S(p) = 0

  - All residues are equally probable: S(p) = ln(20)
  - There are more sofisticated models that take into account the expected frequency of residues
  - The entropy of an alignment is obtained by summing the Shannon entropy over the all alignment
- Scoring an MSA: sum of pairwise scores or entropy score
  - Not all the position are equal in an MSA: some conservations are critical, others not
  - Scoring has necessarily to depend on the evolutionary history of the sequences
  - Almost all scoring functions assume positional independence
- I can score each pairwise alignment and sum it
- $-S = \sum_{i < j} S(A_i, A_j)$  I can score an MSA depending on its entropy
  - The best alignment is the one with the lowest entropy (i.e. the most conserved one)
  - It is the sum over the alignment of the entropy in each position  $S=\sum_{j=1}^{Ncols}\sum_{i=1}^{20}-p_i\ln p_i$
- I can align a sequence to a profile
  - Each position is aligned to a vector for the position
  - The score for the position of the residue in the sequence with every possible residue is summed and weighted for the frequency encoded in the vector
    - \* This is a matrix by vector multiplication (!)
  - These scores can be used with a dynamic programming algorithm

# Algorithms for MSAs

- Dynamic programming approaches exist, but they are  $O(N^M)$  and they are np-hard
- An MSA method can be evaluated from the functionally important residues that are correctly aligned

### Progressive MSA

- ClustalW is an example of progressive MSA
- I allign sequences in pairs, one after the other
- The result depends on the order of how I pair sequences (!)
  - I usually pair the most similar sequences first
- Similarity is measured by Kimura distance (see MUSCLE for more info)
- From each pairwise alignment, I build a profile
- I iterate until there are no sequences left, by aligning pairwise sequences and profiles
- In order to do this I need to be able to align profiles (!)
- I want to be conservative with gaps with the initial pairwise alignments, and introduce them later on profiles
  - When I get to profiles I have info about conservation (!)

- Errors in the first alignments are propagated
- If I am not conservative I can become full of gaps
- I can improve the alignment by changing the sequence tree
  - By default Clustal uses NJ
  - Maybe I have a tree available (!)
- Adding gaps is tricky, since their penalty logically depends on the position and conservation
  - They are usually added in the first alignments
- In ClustalW the penalty is multiplied by a factor which is context specific
  - Gaps in hydrophobic regions are more penalised
  - These coefficients were derived from gaps frequencies in a large number of structural alignments
  - Gaps are discouraged if there is another gap nearby in the MSA
- Low-scoring alignments are postponed for later by adjusting the tree
  - ClustalW aligns them when it has more information deriving from the profiles
- A profile-to-profile alignments involve the pairwise comparison of same-dimentional vectors
  - I do a double sum all against all elements weighted with a substitution matrix
  - This is done via a simple vector to matrix multiplication, followed by a multiplicatio for the remaining vector (!)
- ClustalW corrects for biased representation of subfamilies
- The scoring matrices used change depending on the similarity of the sequences to be compared
- In general, ClustalW uses an heavily crafted heuristics
- The main problem of progressive alignment: subalignments are frozen in place
  - Once aligned, a group of sequences cannot be re-aligned by taking advantage of the new information deriving from other sequences

#### Iterative MSA

- Iterative MSA tries to overcome the problem of frozen subalignments
- MUSCLE: multiple sequence comparison by log expectations
- It is based on 3 steps: draft progressive, improved progressive, and refinement
- Draft progressive: create a first progressive MSA
  - Sequence similarity is defined by k-mer distance, not pairwise alignment score
    - \* If a rare kmer is present in 2 sequences maybe they are related
  - It creates a distance matrix with all sequences against each other
  - It uses UPGMA instead of NJ for building the tree from the matrix
  - The score is based on log expectations, not pairwise score for profile to profile alignments
    - \* It is the entropy score
- Improved progressive: from the draft create a new matrix and from that a new tree and a new alignment
  - The pairwise distances are calculated from the Kimura distance
    - \*  $K_{dist} = -\ln 1 D D^2/5$ , where D is the pairwise identity
- Refinement: cut and re-align the tree
  - I edge is deleted at random from the tree
  - The 2 resulting profiles are re-aligned to each other to get the full MSA
  - If the score improves, keep the new MSA otherwise keep the previous one
  - This is iterated until convergence on a local minimum

### Consistency-based MSA

- Consistency: if residue X is aligned with Y and Y is aligned with Z, then X is aligned to Z
  - This is necessarily true in an MSA
- In reverse, I can use consistency to align two sub-alignments: I take advantage of transitivity of alignments
- MSA are not necessarily consistent with the repsective pairwise alignments
  - Progressive MSA methods frequently are not consistent with the pairwise alignments used for building the tree

- T-Coffe (tree-based consistency objective function for alignment evaluation) is an MSA method based on consistency
  - Build the primary library
    - \* I do all the possible pairwise alignments and I measure the pairwise sequence identity
    - \* Each pairwise alignment is equipped with a weight equal to the average identity of matched residues, ignoring gaps
  - Build the extended library
    - \* In order to align sequences A and B, I try all the possible alignment, direct and based on an intermediate sequence C
    - \* The weight of each alignment is the minimum of the pairwise weights for the intermediate alignments
    - \* The final weight of a position is the sum of the weights of all the possible alignments supporting it
  - Maximise the pairwise alignments from the extended library with dynamic programming
    - \* The score of each match corresponds to its weight
  - From the extended pairwise alignments, build a guide tree
  - Do a progressive MSA from this guide tree and the extended pairwise alignments
  - T-Coffe considers both global and local pairwise alignments and it can use information about domains and motifs

### MSA benchmark

- BaliBASE is used for MSA benchmark
  - It is a dataset with manually cureted alignments deriving from structural superimposition
  - SP score: 1 if a pair of residues is aligned corrextly, 0 if not and I sum all the scores
- No single method is perfect in all cases (!)
  - On average, consistency-based methods are better but slower
  - Many algos take advantage of parallel processing

# Probabilistic models of protein families

- I can define the probability of a sequence  $s_i$  to be generated by a family described by the model  $M p(s_i|M)$
- I want my model to be trainable and consist in a probability density function
  - A basic model can be based on a similarity measure of the sequence to the family
- What I really want is not  $p(s_i|M)$  but  $p(M|s_i)$ 
  - This is the probability of the model given the sequence
  - My given is always the sequence (!)
- To pass among the 2 I need Bayes theorem and so p(M) and  $p(s_i)$
- p(M) can be estimated from the abundance of the family (number of sequences contained)
  - A family with many sequences is a priori more probable to contain any sequence
- I cannot exstimate  $p(s_i)!$
- I can overcome this by comparing different models
  - The ratio of conditional probabilities of the sequence on 2 models allows to cancel the  $p(s_i)$  term
- To standardize the calculation for all families, we can create a null model
- The null model can equiprobably generate any sequence and it takes into account only differential residue probabilities
- A Markov chain is the probability of a sequence of events that depend only on the event before
  - It is given by the probability of the first event of the chain times the chain of conditional probabilities (transition probabilities)
- $\bullet~$  I can apply a MM to the probability of sequences
  - I can model the probability of a residue given the one before
  - Since I have also BEGIN and END states the MM has N\*(N+2) parameters

For the model to be complete the sum of probabilities going out of a node has to be 1	