Polynomial Calculus for MaxSAT

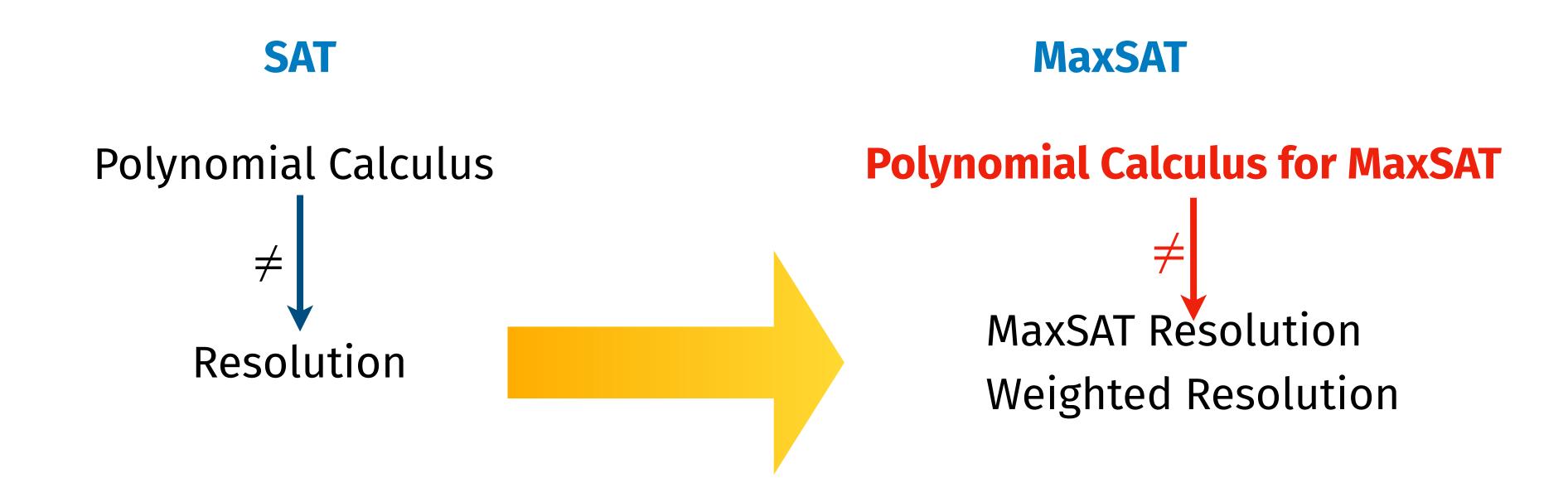
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Why?

Polynomials are more expressive than clauses
Natural/non-Boolean encodings of CSPs
Sometimes useful in practice (coloring, multiplier circuits)

 $P \longrightarrow Q : P \text{ is stronger than } Q$

weighted MaxSAT

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\begin{split} input \, F &= \{(C_1, w_1), \ldots, (C_m, w_m)\} \text{ a multiset} \\ goal \, \text{find} \, \min_{\alpha} \text{cost}(F, \alpha) \\ & \text{cost}(\{(C_1, w_1), \ldots, (C_m, w_m)\}, \alpha) := \text{sum of all } w_i \text{ s.t. } \alpha \text{ falsifies } C_i \end{split}
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 C_i : clauses

 W_i : weights (in \mathbb{Z})

 α : truth assignment

 F_0 (the input, a multiset of weighted clauses)

 F_1

 F_2

•

$$F_{\ell-1}$$

$$F_{\ell} = \{(\bot, w), (D_1, w_1), ..., (D_s, w_s)\}$$

 (\star) for every i and every α ,

$$cost(F_i, \alpha) = cost(F_{i-1}, \alpha)$$

Theorem

If $\{D_1, ..., D_s\}$ is satisfiable and (\star) , then $\min_{\alpha} \mathrm{cost}(F_0, \alpha) = w$

Theorem

If $w_1 \ge 0, ..., w_s \ge 0$ and (\star) , then $\min_{\alpha} \text{cost}(F_0, \alpha) \ge w$

⊥: empty clause

 D_i : clauses

 F_i : multisets of weighted clauses

 α : truth assignment

Z-weighted Resolution

Substitution rules to ensure that for every α , $cost(F_i, \alpha) = cost(F_{i-1}, \alpha)$

$$F_{i-1} \qquad (C \lor x, w) \quad (C \lor \bar{x}, w)$$

$$F_{i} \qquad (C, w)$$

or

$$\frac{F_{i-1}}{F_i} \qquad \frac{(C,w)}{(C\vee x,w) \quad (C\vee \bar{x},w)}$$

or

$$\frac{F_{i-1}}{F_i} \qquad \overline{(C,w) \quad (C,-w)}$$

+ natural "structural" rules

 $w \in \mathbb{Z}$

C: clause

x: variable

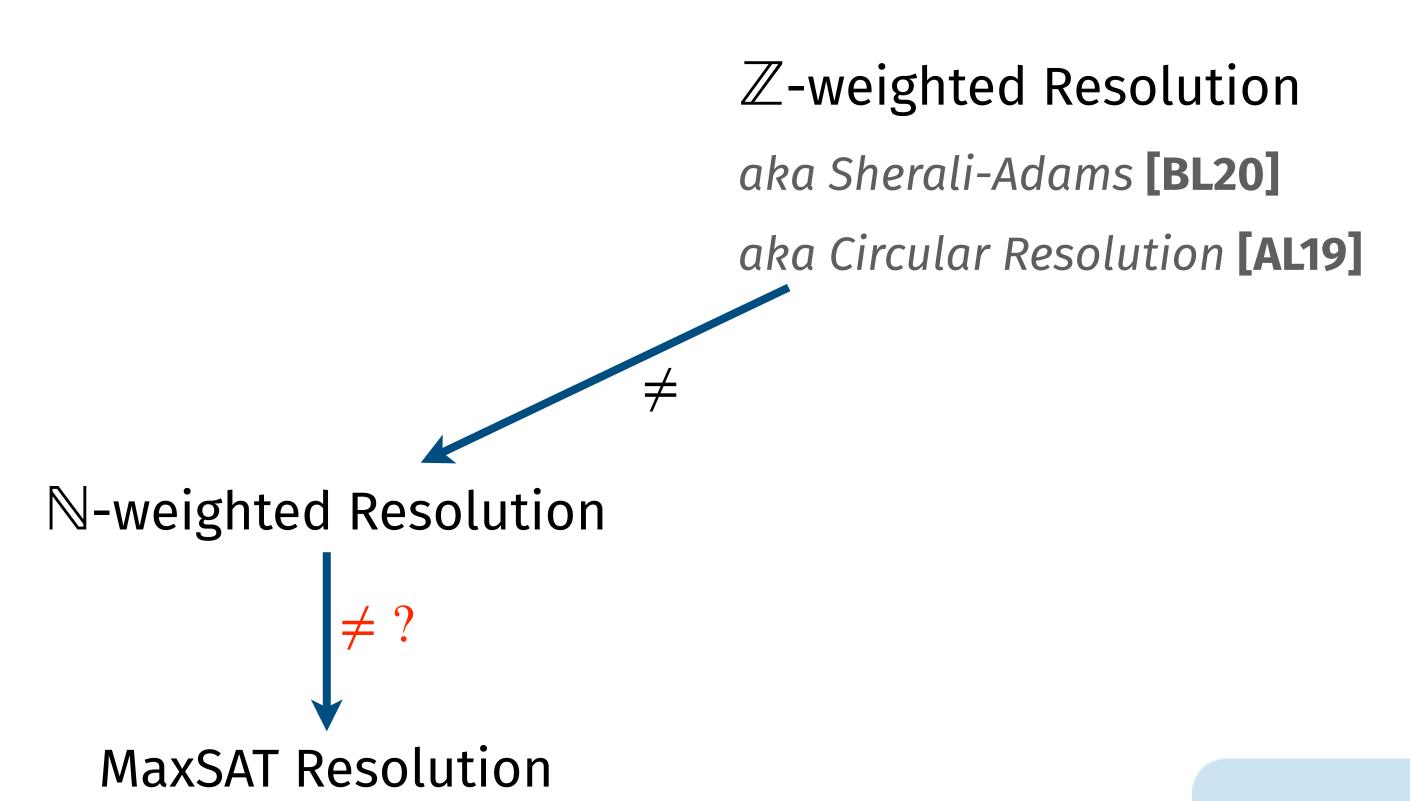
premises

-: <u>substitution</u> rules

conclusions

 α : truth assignment

 F_i : multisets of weighted clauses



weighted MaxSAT on polynomials

input
$$F = \{(p_1, w_1), ..., (p_m, w_m)\}$$
 a multiset goal find min $cost(F, \alpha)$

$$cost(\{(p_1, w_1), ..., (p_m, w_m)\}, \alpha) := sum of all w_i s.t. p_i(\alpha) \neq 0$$

Example. Maxcut

$$G=(V,E)$$
 a graph Boolean variables x_v for each $v\in V$

$$F = \{(x_v + x_w + 1, 1) : (v, w) \in E\}$$
$$x_v + x_w + 1 \in \mathbb{F}_2[x_v, x_w]$$

$$p_i$$
: polynomials in $\mathbb{F}[x_1, ..., x_n]$

$$w_i$$
: weights (in \mathbb{Z})

$$\alpha: \{x_1, ..., x_n\} \rightarrow \mathbb{F}$$
 assignment

 F_0 (the input, a multiset of weighted polynomials)

$$F_1$$

$$F_2$$

•

$$F_{\ell-1}$$

$$F_{\mathcal{C}} = \{(1, \mathbf{w}), (p_1, \mathbf{w}_1), ..., (p_s, \mathbf{w}_s)\}$$

 (\star) for every i and every α ,

$$cost(F_i, \alpha) = cost(F_{i-1}, \alpha)$$

Theorem

If $\{p_1, ..., p_s\}$ have a common zero and (\star) , then $\min_{\alpha} \operatorname{cost}(F_0, \alpha) = w$

Theorem

If $w_1 \ge 0, ..., w_s \ge 0$ and (\star) , then $\min \operatorname{cost}(F_0, \alpha) \ge w$

1: the polynomial 1

 p_i : polynomials

 F_i : multisets of weighted polynomials

 α : truth assignment

weighted Polynomial Calculus over \mathbb{F}_2

Substitution rules to ensure that for every α , $cost(F_i, \alpha) = cost(F_{i-1}, \alpha)$

$$F_{i-1} \qquad \qquad (p,w) \\ F_{i} \qquad \qquad (pq,w) \quad (p(q+1),w)$$

or

$$F_{i-1} \qquad \qquad (p,w) \quad (q,w)$$

$$F_i \qquad \qquad (p+q,w) \quad (pq, 2w)$$

or

$$F_{i-1} = \overline{F_i} \qquad \overline{(p,w) \quad (p,-w)}$$

+ natural "structural" rules e.g. identify the polynomials p^2 and p

 $w \in \mathbb{Z}$ $p, q \in \mathbb{F}_2[x_1, ..., x_n]$ $\frac{premises}{conclusions} : \underline{\text{substitution rules}}$

 α : assignment

 F_i : multisets of weighted polynomials

$$(xz + y, 1)$$

$$((xz+y)z, 1)$$
 $((xz+y)(z+1), 1)$

$$(xz + yz, 1)$$
 $(yz + y, 1)$

$$(x + y, 1)$$
 $(x + z, 1)$
 $(y + z, 1)$ $((x + z)(x + y), 2)$
 $(y + z, 1)$ $(x + xy + xz + yz, 2)$

$$\frac{(p,w)}{(pq,w) \quad (p(q+1),w)} \quad \mathsf{SPLIT}$$

$$\frac{(p,w) \quad (q,w)}{(p+q,w) \quad (pq, 2w)} \text{ SUM}$$

Weights coefficients of the polynomials $PC_{\mathbb{F}_2,\mathbb{N}}$ Not in this talk

Also **not** in this talk:

- weights in unary or binary
- encodings (e.g. twin variables)
- how to deal with hard constraints

Theorem (soundness)

If there is a $\operatorname{PC}_{\mathbb{F}_2, \mathbb{Z}}$ derivation of (1, w) from F, then $\min_{\alpha} \operatorname{cost}(F, \alpha) \geq w$

Theorem (completeness)

If $\min \operatorname{cost}(F, \alpha) = w$, then there is a $\operatorname{PC}_{\mathbb{F}_2, \mathbb{N}}$ derivation of (1, w) from F via an algorithmic procedure

Remark.

F is a generic set of polynomials, it might be encoding a CNF formula or not

 $w \in \mathbb{N}$

F: multiset of weighted polynomials over \mathbb{F}_2

 α : assignment

Theorem (soundness)

If there is a $\operatorname{PC}_{\mathbb{F}_2, \mathbb{Z}}$ derivation of (1, w) from F, then $\min_{\alpha} \operatorname{cost}(F, \alpha) \geq w$

Why the substitution rules preserve the cost?

$$\frac{(p,w)}{(pq,w) \quad (p(q+1),w)} \text{SPLIT}$$

$$\frac{(p,w) \quad (q,w)}{(p+q,w) \quad (pq,2w)} \text{SUM}$$

Remark.

With weights in \mathbb{Z} the rules are <u>redundant</u>

$$w \in \mathbb{Z}$$
$$p, q \in \mathbb{F}_2[x_1, ..., x_n]$$

Theorem (completeness)

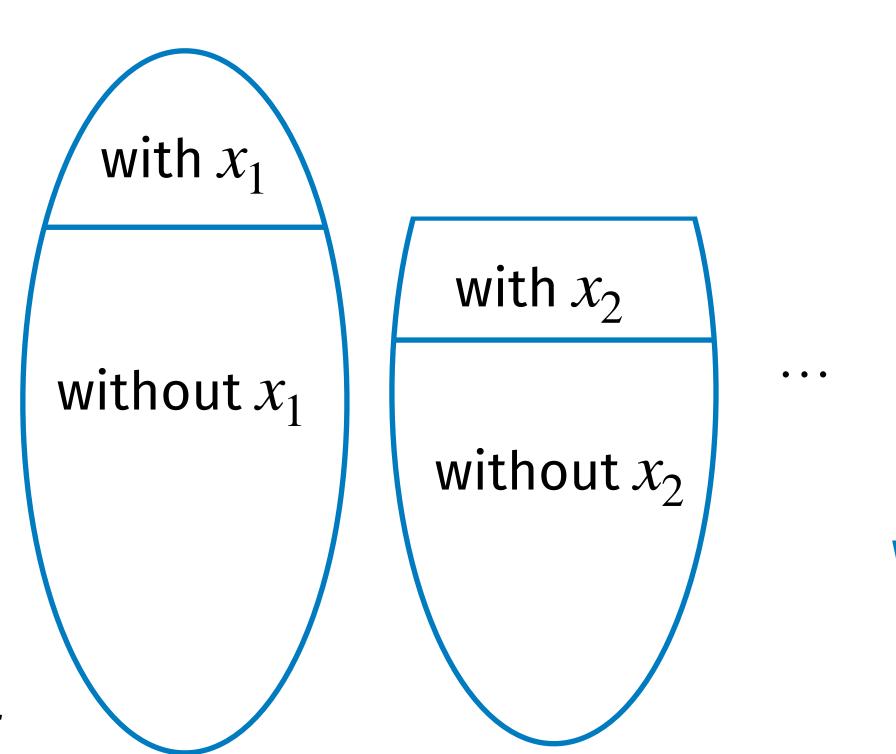
If $\min_{\alpha} \operatorname{cost}(F, \alpha) = w$, then there is a $\operatorname{PC}_{\mathbb{F}_2, \mathbb{N}}$ derivation of (1, w) from F

Proof idea (similar to [BLM07])

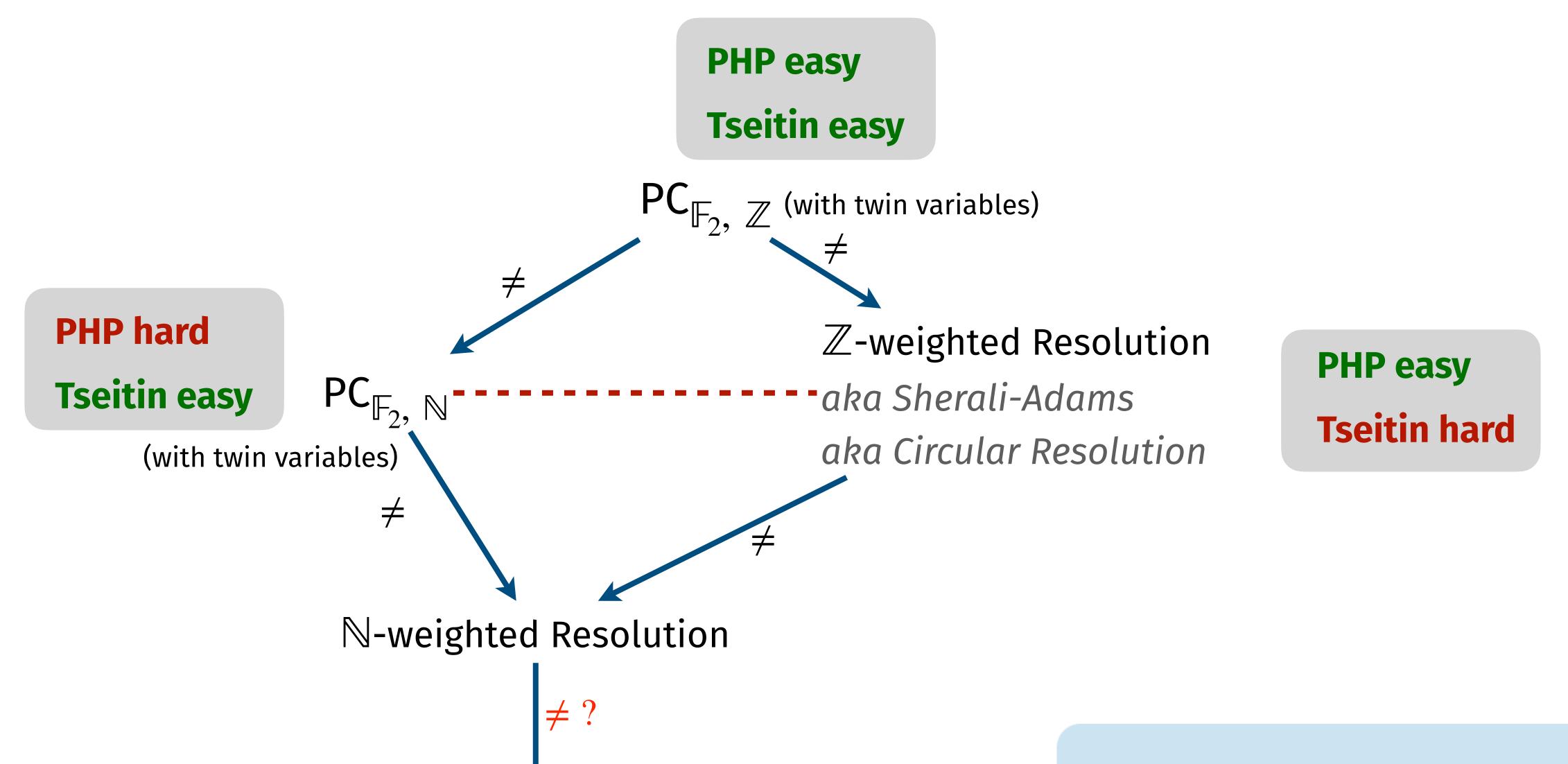
~**Definition** A set S of polynomials is **saturated** w.r.t a variable x, if no SPLIT or SUM can be applied to get new *non-trivial* polynomials without the x

Proposition

If a set S is saturated w.r.t. x, then setting x=0 or x=1 satisfies all the polynomials in S containing x



with x_n



 $P \longrightarrow Q : P \text{ is stronger than } Q$

P---Q: P and Q are incomparable

MaxSAT Resolution

Open problems

- Is $PC_{\mathbb{F}_2,\mathbb{Z}}$ degree-automatable? I.e. can we find $PC_{\mathbb{F}_2,\mathbb{Z}}$ proofs of degree d in time $n^{O(d)}$?
- Hardness results on $PC_{\mathbb{F}_2,\mathbb{Z}}$?
- Is Polynomial Calculus on $\{\pm 1\}$ -variables stronger than Resolution?
- Is N-weighted Resolution equivalent to MaxSAT Resolution?

Thanks!



This presentation



Article relative to this talk

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