



**HERIOT-WATT UNIVERSITY DUBAI  
SCHOOL OF MATHEMATICAL AND COMPUTER SCIENCES**

**F21BC - Biologically Inspired Computation - 2023-24**

**Coursework**

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Course code and name:	<b>F21BC - Biologically Inspired Computation</b>
Type of assessment:	Group
Coursework Title:	<b>F21BC - Biologically Inspired Computation</b>
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**Date:** 17.11.2023

# Introduction

The goal of this coursework is to delve deeper into the fundamentals of Deep Learning and gain practical experience in constructing an Artificial Neural Network (ANN) and Particle Swarm Optimization (PSO) from scratch. The culmination of this project is the integration of these two techniques to tackle a real-world problem: optimizing an ANN for a chosen dataset. By implementing a PSO algorithm equipped with informants, the project explores how different hyperparameters influence the ANN's performance. This practical application aims to provide insights into the effective combination of ANNs and PSO, contributing to an understanding of biologically-inspired computational techniques.

## Project Structure

### Brief Overview of Each Component

**1. activation.py:** This module implements the activation functions essential for the ANN's operation. It includes functions like the linear, softmax, sigmoid, tanh, and ReLU, each playing a critical role in determining how neurons in the network activate and transmit signals. This set of functions allows the model for both regression and classification problems.

**2. ANNbuilder.py:** This file is responsible for constructing the architecture of the Artificial Neural Network. It allows for the creation of a multi-layered ANN, defining the number of neurons in each layer and linking them together to form a complete network structure.

**3. loss.py:** This module includes the implementation of loss functions. These functions are important in evaluating the performance of the ANN, providing a measure of the error between the network's predictions and the actual data.

**4. layer.py:** Central to the ANN architecture, this file defines the layer structure of the network. Each layer, composed of a set of neurons, is defined here.

**5. network.py:** This file has some main methods of neural network such as adding layers to the network, performing forward propagation to compute the output of the network, setting the weights of the network, and compute the total number of weights in the network.

**6. particle.py:** In this file, the representation of each particle within the PSO framework is detailed. It defines the properties and behaviors of particles as they navigate the solution space in search of optimal ANN parameters. Also, there is a function which updates the best informants position.

**7. pso.py:** This file contains the core implementation function of the Particle Swarm Optimization algorithm. Which initializes a swarm of particles and updates their positions based on the loss function and data, then returns global best position. There is also the second version - `pso_global_best_loss_history.py` where global best loss history is stored specifically for visualization in parameters testing.

**8. swarm.py:** Focuses on the swarm behavior in PSO. It contains methods to initialize the swarm, assign informants to each particle, evaluate the global best position and loss for the swarm, and update the particles in the swarm.

**9. main files:** There are 4 main files each of them is focused on applying a model for a different problem: binary classification, multiclass one or a regression. All of them are produced in two forms: as a python file and as a Jupyter Notebook. These scripts connect all the components together, applying them to the dataset. In addition, there is one more *main* file which was used for parameters testing.

In the design of my ANN and PSO implementation, a key focus was on flexibility and adaptability. I adopted a generalized approach, enabling users to specify core parameters such as the architecture and activation functions for the ANN, and important parameters for PSO, like maximum iterations, population size, and loss function. This approach was chosen to ensure that the model could be easily adapted and optimized for a variety of problems, ranging from binary classification to multiclass problems or regression tasks.

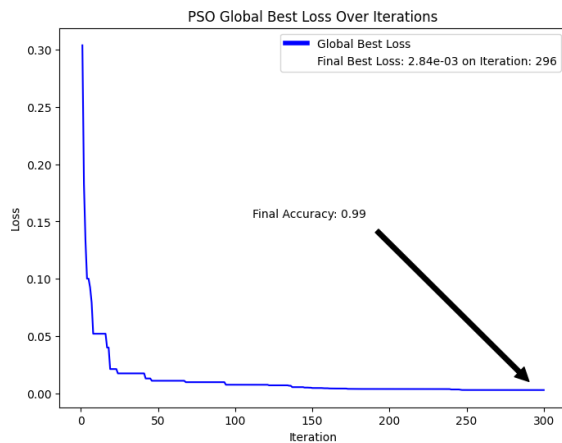
## Experimental Investigation

In this section, I delve into the experimental aspect of my project, focusing on how various hyperparameters influence the performance of the ANN optimized by PSO. Experiments are designed to evaluate the impact of changes in PSO settings, ANN architecture, and activation functions. All the tests were applied on a binary classification problem and since my model gets almost 100% accuracy with almost all range of parameters it wasn't possible to make tables or graphs which may represent statistical summary of performance. Thus, I decided to focus on the process of optimization and show how different parameters influence the speed of finding the global best loss. Here are the key areas which were explored:

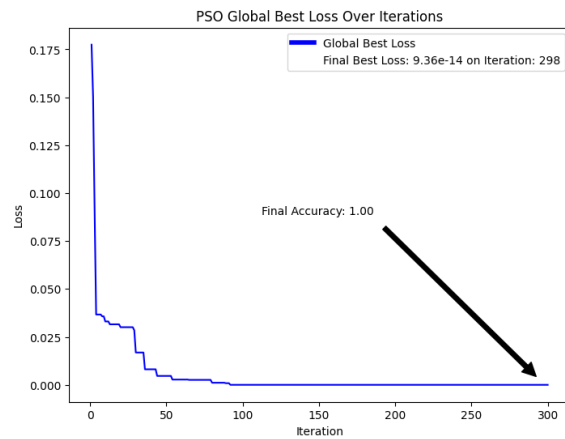
**1. PSO Parameters Adjustment:** I experimented with changing the PSO parameters, particularly the population size and the number of iterations. By reducing these parameters, I anticipated a decrease in performance due to the reduced search capability and learning opportunities for the network. This experiment helps understand the trade-off between computational efficiency and the quality of results.

## Pop\_size adjusting

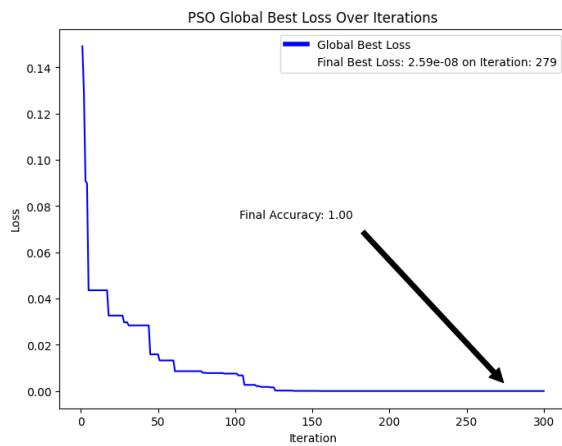
**Pop\_size = 25, Max\_iterations = 300**



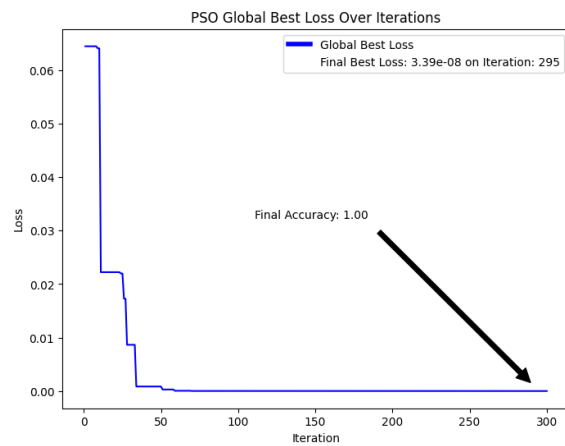
**Pop\_size = 50, Max\_iterations = 300**



**Pop\_size = 100, Max\_iterations = 300**



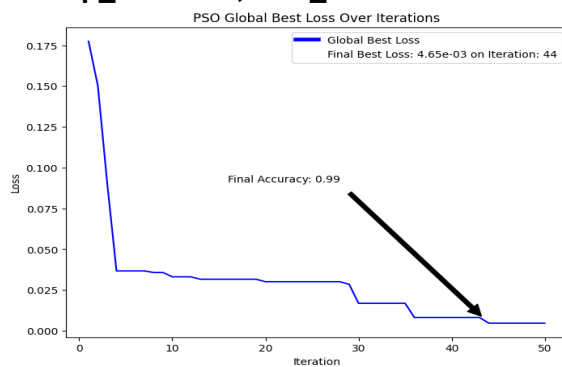
**Pop\_size = 150, Max\_iterations = 300**



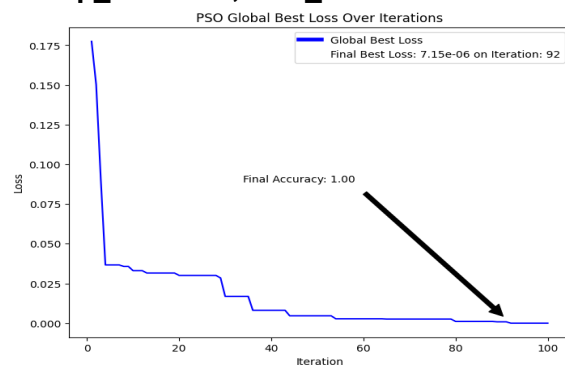
By changing the population size of a swarm in PSO we can see that it affects the finding of global best loss. For example, size 25 gave the lowest best loss among others, however, it was still enough to get almost 100% accuracy. 50 particles in the swarm is the optimal number which I got during testing and increasing population size further didn't give performance gains.

## Max\_iterations adjusting

**Pop\_size = 50, Max\_iterations = 50**



**Pop\_size = 50, Max\_iterations = 100**

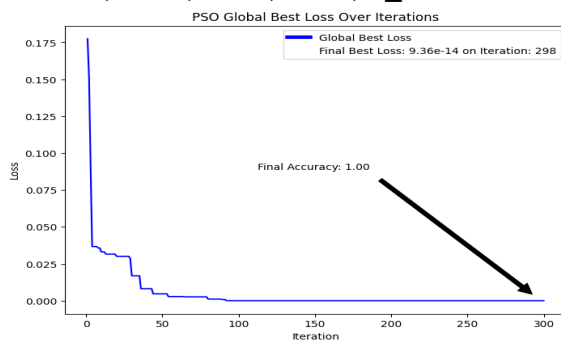


Moreover, the adjustment of iterations shed light on its role not just as a stopping criterion but also in fine-tuning the precision of the search. The influence of it is easily feasible that a low number of iterations might be not enough for finding best loss, but, on the other hand increasing the number of iterations more than 1000 is too much for simple datasets, thus emphasizing the need for a balanced approach in PSO parameterization.

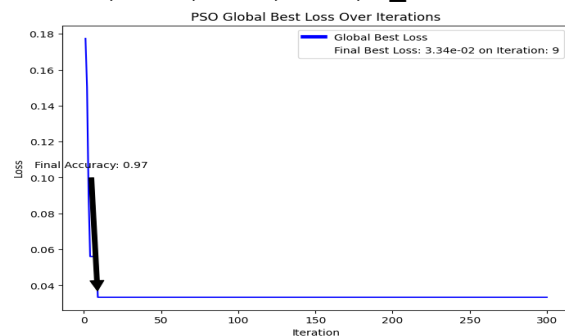
**2. Influence of the coefficients in the velocity equation of PSO:** The aim was to assess how each coefficient influences the performance and learning dynamics of the PSO algorithm. My experiment involved tweaking the coefficients  $C1$  (cognitive),  $C2$  (social), and  $C3$  (informant influence) in the PSO's velocity equation. These coefficients determine the extent to which particles in the swarm are influenced by their own best position, the swarm's global best position, and the best position of their informants, respectively.

- *The cognitive component ( $C1$ )* represents the particle's individual learning experience, influencing its movement towards its personal best position.
- *The social component ( $C2$ )* reflects the collective swarm intelligence, guiding particles towards the globally recognized best solution.
- *The informant influence ( $C3$ )* is a unique aspect of our implementation, where particles are influenced by a subset of peers, adding an additional layer of social learning.

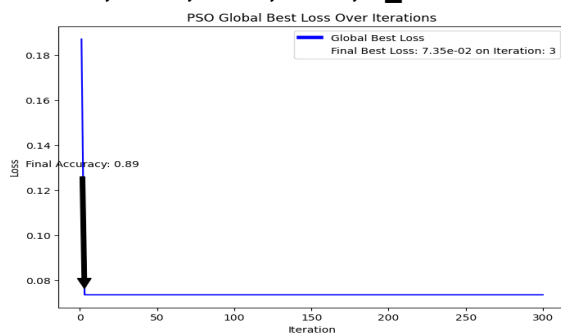
**Best set of coefficients**  
 $w=0.5, c1=1, c2=2, c3=1, n\_inform = 3$



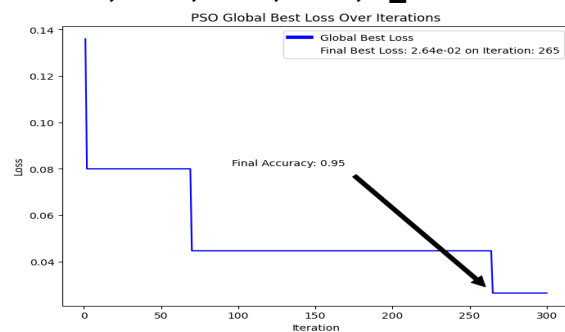
**Changing cognitive component ( $C1$ )**  
 $w=0.5, c1=2, c2=2, c3=1, n\_inform = 3$



**Changing social component ( $C2$ )**  
 $w=0.5, c1=1, c2=3, c3=1, n\_inform = 3$



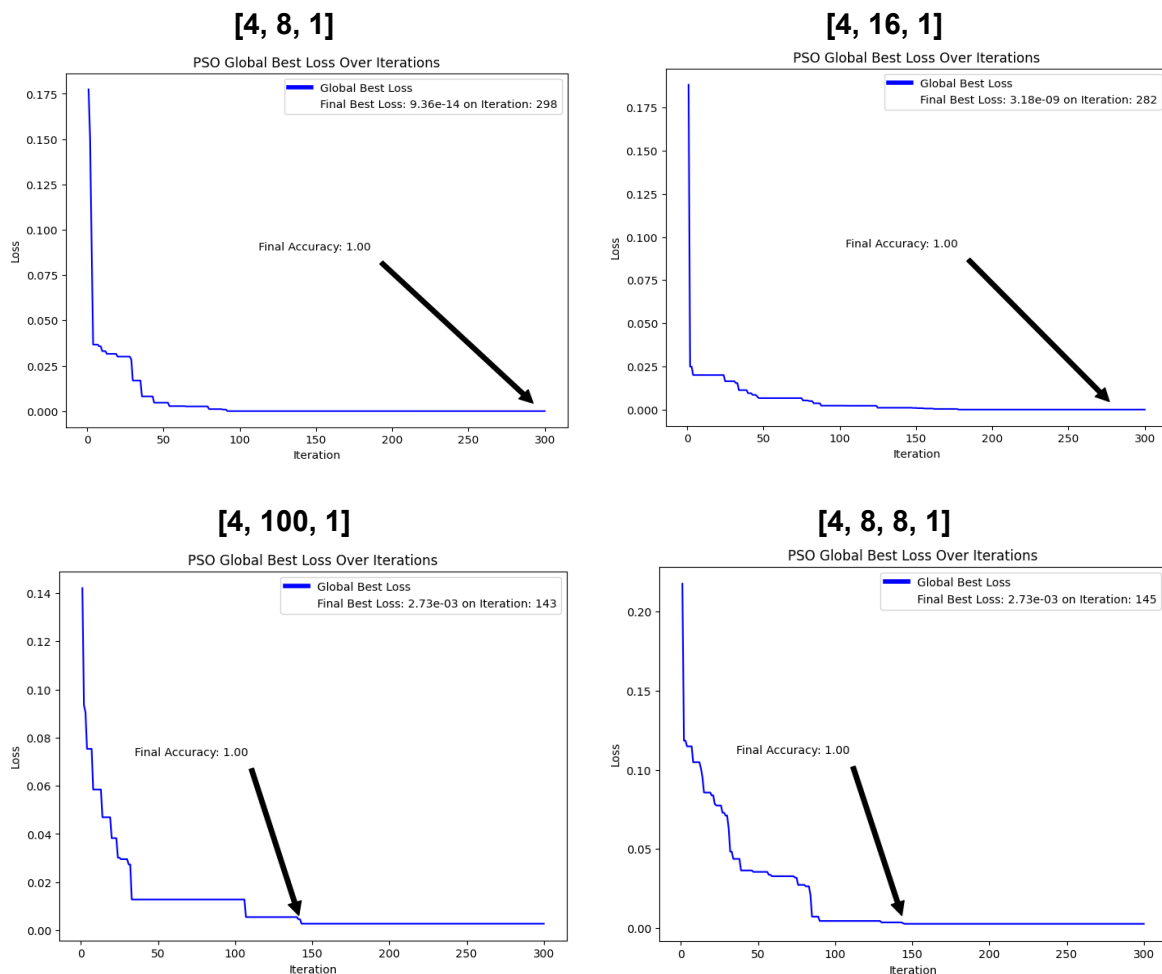
**Changing informants component ( $C3$ )**  
 $w=0.5, c1=1, c2=2, c3=2, n\_inform = 3$



My tests showed that using the coefficients  $[w=0.5, c1=1, c2=2, c3=1]$  worked the best for the dataset. When I increased the cognitive component,  $C1$ , to 2, the PSO got stuck early on and couldn't find better solutions, which wasn't ideal. Tweaking the social part,  $C2$ , taught me that going higher than 2 makes the PSO get stuck too, but dropping it to 0.5 was still pretty okay, just not as good as 2. Lastly, playing with the informant part,  $C3$ , showed that higher or lower than 1 either slows down the PSO or makes it less effective. What this tells us is that we have to be careful with how we set these numbers because leaning too much on any one of them can throw off our PSO's ability to do its job well.

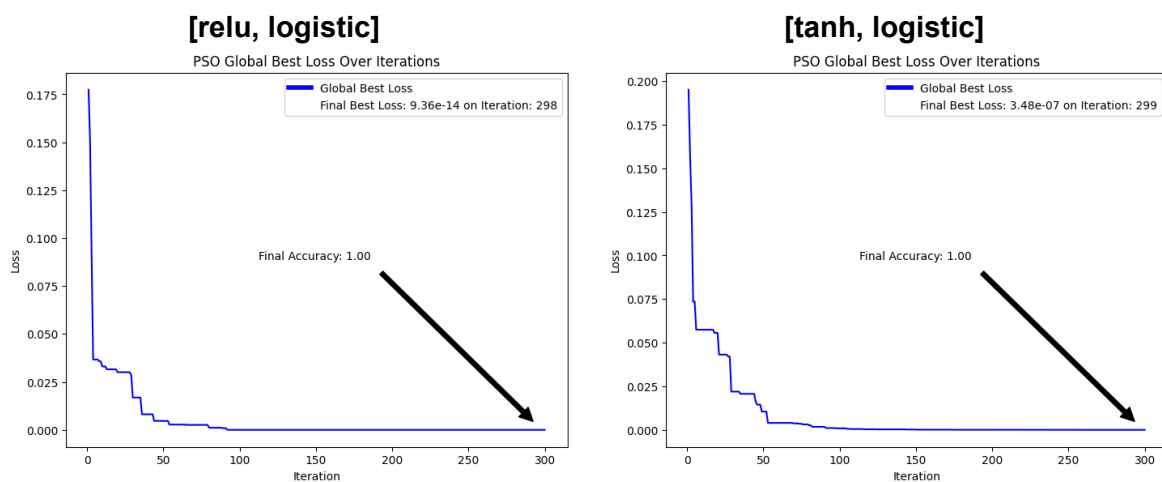
**3. Varying ANN Architectures and Activation Functions:** I tested different architectures and sets of activation functions to measure their effect on the network's performance. For instance, using an architecture like  $[6, 8, 1]$  with activation functions such as  $['relu', 'logistic']$ . This experiment was crucial to determine how the depth of the network and the type of non-linear transformations applied by activation functions contribute to the learning capability and accuracy of the ANN. At first I tried to just change an architecture while set of activation functions remained constant, after the same was done with activations

### Architectures



Testing different ANN architectures showed that a basic setup with  $[4, 8, 1]$  layers performed the best, achieving perfect scores. Increasing the size to  $[4, 16, 1]$  kept the high performance, suggesting that the model scales well, yet the global best loss was lower. However, going much larger to  $[4, 100, 1]$  didn't add much benefit, it even gave the lowest loss and scores, implying there's a limit to the advantage of more neurons. Adding one more hidden layer didn't give any improvements in comparison with  $[4, 8, 1]$  structure, which means that for this specific dataset there is no need for more hidden layers than one, however, this might not be the case for more complicated datasets. Overall, the tests showed our ANN is flexible and can manage different architectures, but the best setup depends on the specific problem's complexity.

## Activation Functions



In testing activation functions, I compared combinations of 'relu' with 'logistic' and 'tanh' with 'logistic'. The 'relu', 'logistic' combo led to a final best loss that was considerably higher than the 'tanh', 'logistic' pair, which achieved a much lower final best loss.

## Discussion

My exploration of the ANN-PSO system demonstrates the robustness of the ANN model through a variety of architectural choices, consistently achieving high accuracy. The experiments highlighted the sensitivity of the PSO algorithm to its hyperparameters, with particular accent on the trade-off coefficients between personal experience and social sharing of information.

In particular, the impact of the information influence coefficient suggests that placing too much importance on peer information can hinder the optimization process.

The complexity of these PSO parameters represents a complex but important aspect of ANN optimization, requiring careful consideration to avoid early meeting to local minima and ensure searchability to the overall swarm.



## Conclusion

The performed experiments clearly demonstrated that the success of the ANN-PSO system lies in the delicate adjustment of its parameters. A set of optimal PSO coefficients and a well-structured ANN architecture are instrumental in achieving near-optimal performance on the used data set.

While the results are promising, I see limitations such as potential overfitting and degraded performance with networks that are too large or too deep.

Future research may benefit from applying these findings to more diverse and complex datasets, exploring alternative neural network models, and studying adaptive PSO mechanisms that can further improve the balance between exploration and exploitation.

## References

1. Kinsley, H., & Kukiela, D. (2020). Neural Networks from Scratch in Python. Harrison Kinsley.
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