

# Fast and Guaranteed Safe Controller Synthesis for Nonlinear Vehicle Models

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## Appendix

### A System models for testing

#### A.1 Lyapunov controller for a bijective mobile robot

The bijective mobile robot is one of the models used in testing the 2D scenarios. The kinematics for a mobile robot are given by

$$\dot{q} = \begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 \\ \sin \theta & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} v \\ \omega \end{bmatrix}. \quad (1)$$

The model can be made bijective by using the states  $s = \sin \theta$  and  $c = \cos \theta$  in place of  $\theta$ . The kinematic equation becomes

$$\dot{q} = \begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{s} \\ \dot{c} \end{bmatrix} = \begin{bmatrix} c & 0 \\ s & 0 \\ 0 & c \\ 0 & s \end{bmatrix} \begin{bmatrix} v \\ \omega \end{bmatrix}. \quad (2)$$

When a reference trajectory is introduced, the error states are given by

$$\begin{aligned} e_x &= c(x_{\text{ref}} - x) + s(y_{\text{ref}} - y) \\ e_y &= -s(x_{\text{ref}} - x) + c(y_{\text{ref}} - y) \\ e_s &= \sin(\theta_{\text{ref}} - \theta) = s_{\text{ref}}c - c_{\text{ref}}s \\ e_c &= \cos(\theta_{\text{ref}} - \theta) = c_{\text{ref}}c + s_{\text{ref}}s - 1. \end{aligned} \quad (3)$$

From [?], the following Lyapunov function is proposed:

$$V = \frac{k}{2}(e_x^2 + e_y^2) + \frac{1}{2(1 + \frac{e_c}{a})}(e_s^2 + e_c^2) \quad (4)$$

with  $k > 0$  and  $a > 2$  are constants. The range of  $e_c$  is  $[-2, 0]$  and therefore  $0 < \frac{a-2}{a} \leq 1 + \frac{e_c}{a} \leq q$  and  $1 \leq \frac{1}{1+\frac{e_c}{a}} \leq \frac{a}{a-2}$ . The Lyapunov function in 4 has the derivative

$$\dot{V} = -ke_x v_b + e_s \left( kv_r e_y - \frac{w_b}{(1 + \frac{e_c}{a})^2} \right) \quad (5)$$

which is negative semi-definite with the control law

$$\begin{aligned} v_b &= k_x e_x \\ w_b &= kv_r e_y (1 + \frac{e_c}{a})^2 + k_s e_s \left[ \left( 1 + \frac{e_c}{a} \right)^2 \right]^n. \end{aligned} \quad (6)$$

It can be checked that  $e_s^2 + e_c^2 = -2e_c \in [0, 4]$ . The term  $\frac{1}{2(1+\frac{e_c}{a})}(e_s^2 + e_c^2)$  in  $V$  can also be bounded with  $\frac{1}{2(1+\frac{e_c}{a})}(e_s^2 + e_c^2) \in [2, \frac{2a}{a-2}]$ .

## A.2 Lyapunov-based controller for an autonomous underwater vehicle

The autonomous underwater vehicle is one of the models used for testing the 3D scenarios. The position of the AUV is  $\mathbf{x} = [x \ y \ z]^\top$ , and the Euler angles (roll, pitch, and yaw respectively) are  $\boldsymbol{\theta} = [\phi \ \theta \ \psi]^\top$ . The equations of motion for the position and Euler angles are given by

$$\begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{z} \end{bmatrix} = \begin{bmatrix} \cos \psi \cos \theta \\ \sin \psi \cos \theta \\ \sin \theta \end{bmatrix} v \quad (7)$$

$$\begin{bmatrix} \dot{\phi} \\ \dot{\theta} \\ \dot{\psi} \end{bmatrix} = \begin{bmatrix} 1 \sin \phi \tan \theta \cos \phi \tan \theta \\ 0 \cos \phi - \sin \phi \\ 0 \sin \phi \sec \theta \cos \phi \sec \theta \end{bmatrix} \begin{bmatrix} \omega_x \\ \omega_y \\ \omega_z \end{bmatrix}. \quad (8)$$

The angular acceleration  $\boldsymbol{\omega} = [\omega_x \ \omega_y \ \omega_z]^\top$  is given in the local frame. By combining the equations of motion for the position and Euler angles, the total kinematics are given by

$$\begin{bmatrix} \dot{\mathbf{x}} \\ \dot{\boldsymbol{\theta}} \end{bmatrix} = \begin{bmatrix} \mathbf{b}_1 & 0_{3 \times 3} \\ 0_{1 \times 3} & \mathbf{B}_2 \end{bmatrix} \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_2 \end{bmatrix} \quad (9)$$

where the  $m \times n$  zero matrix is denoted by  $0_{m \times n}$  and

$$\begin{aligned} \mathbf{b}_1 &= \begin{bmatrix} \cos \psi \cos \theta \\ \sin \psi \cos \theta \\ -\sin \theta \end{bmatrix} \\ \mathbf{B}_2 &= \begin{bmatrix} 1 \sin \phi \tan \theta \cos \phi \tan \theta \\ 0 \cos \phi - \sin \phi \\ 0 \sin \phi \sec \theta \cos \phi \sec \theta \end{bmatrix}. \end{aligned} \quad (10)$$

The error is defined as

$$\mathbf{x}_e = \mathbf{R}^\top (\mathbf{x}_{\text{ref}} - \mathbf{x}) \quad (11)$$

$$\boldsymbol{\theta}_e = \boldsymbol{\theta}_{\text{ref}} - \boldsymbol{\theta} \quad (12)$$

where  $\mathbf{R}$  is the rotation matrix

$$\mathbf{R} = \begin{bmatrix} c\psi c\theta & c\psi s\theta s\phi - s\psi c\phi & c\psi s\theta c\phi + s\psi s\phi \\ s\psi c\theta & s\psi s\theta s\phi + c\psi c\phi & s\psi s\theta c\phi - c\psi s\phi \\ -s\theta & c\theta s\phi & c\theta c\phi \end{bmatrix}. \quad (13)$$

Note that  $c\theta$  and  $s\theta$  denote  $\cos \theta$  and  $\sin \theta$  respectively.

Consider the Lyapunov function proposed in [?].

$$V = \frac{1}{2} \mathbf{x}_e^\top \mathbf{x}_e + \mathbf{k}^\top \mathbf{f}(\boldsymbol{\theta}_e) \quad (14)$$

where  $\mathbf{k} = [k_1 \ k_2 \ k_3]^\top$  is the controller gains vector and  $\mathbf{f}(\boldsymbol{\theta}_e) = [1 - \cos \phi_e \ 1 - \cos \theta_e \ 1 - \cos \psi_e]^\top$  is a vector-valued function. The time derivative of 14 is

$$\dot{V} = \mathbf{x}_e^\top \dot{\mathbf{x}}_e + \mathbf{k}^\top \frac{d\mathbf{f}}{d\boldsymbol{\theta}_e} \dot{\boldsymbol{\theta}}_e. \quad (15)$$

The error dynamics are given as

$$\begin{aligned} \dot{\mathbf{x}}_e &= \mathbf{b}_{1e} u_{1d} - \mathbf{R}^\top \mathbf{b}_1 u_1 - \boldsymbol{\omega} \times \mathbf{x}_e \\ \dot{\boldsymbol{\theta}}_e &= \dot{\boldsymbol{\theta}}_{\text{ref}} - \dot{\boldsymbol{\theta}} = \mathbf{B}_{2ref} \mathbf{u}_{2d} - \mathbf{B}_2 \mathbf{u}_2. \end{aligned} \quad (16)$$

When 16 is substituted into 15, it becomes

$$\begin{aligned} \dot{V} &= \mathbf{p}_e^\top \{ \mathbf{q} + (\mathbf{B}_{2ref} - \mathbf{B}_2) \mathbf{u}_{2ref} - \mathbf{B}_2 \mathbf{u}_{2ref} \} \\ &\quad + \mathbf{x}_e \{ v_{ref} (\cos \psi_e \cos \theta_e - 1) - v_b \} \end{aligned} \quad (17)$$

The feedback control law is chosen to be

$$\begin{aligned} u_1 &= v_{ref} + v_b \\ \mathbf{u}_2 &= \mathbf{u}_{2ref} + \mathbf{u}_{2b} \end{aligned} \quad (18)$$

where the subscript  $b$  denotes the feedback terms. The feedback terms are chosen to be

$$\begin{aligned} v_b &= v_{ref} (\cos \psi_e \cos \theta_e - 1) + \gamma^2 x_e \\ \mathbf{u}_{2b} &= \mathbf{B}_2^{-1} \{ \mathbf{q} + (\mathbf{B}_{2ref} - \mathbf{B}_2) \mathbf{u}_{2ref} + \mathbf{p}_e \} \end{aligned} \quad (19)$$

where  $\gamma$  is a chosen constant,  $x_e$  is the error in the local  $x$  position,  $\mathbf{q} = [0 - z_e v_{ref}/k_2 \ y_e v_{ref} \cos \theta_e/k_3]^\top$ , and  $\mathbf{p}_e = [k_1 \sin \phi_e \ k_2 \sin \theta_e \ k_3 \sin \psi_e]^\top$ . When the inputs are substituted into 17, the time derivative becomes

$$\dot{V} = \mathbf{p}_e^\top \mathbf{p}_e - \gamma^2 x_e^2 \quad (20)$$

which is negative semi-definite. We could also see that term  $\mathbf{k}^\top \mathbf{f}(\boldsymbol{\theta}_e) \in [0, 2(k_1 + k_2 + k_3)]$  for any  $\boldsymbol{\theta}_e$ .

### A.3 Lyapunov controller for a hovering kinematic car

The hovering kinematic car is one of the models used in testing the 3D scenarios. The  $x$ ,  $y$ , and  $\theta$  states are the same as the kinematic car. A fourth state  $z$  is added to allow the car to hover. The kinematics for the hovering kinematic car are given by

$$\dot{q} = \begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{z} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & 0 \\ \sin \theta & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} v \\ v_z \\ \omega \end{bmatrix} \quad (21)$$

where  $v$  is the velocity in the xy-plane,  $v_z$  is the velocity along the z-axis, and  $\omega$  is the rate of turning. When a reference trajectory is introduced, the error states are given by

$$\begin{aligned} e_x &= \cos \theta (x_r - x) + \sin \theta (y_r - y) \\ e_y &= -\sin \theta (x_r - x) + \cos \theta (y_r - y) \\ e_z &= z_r - z \\ e_\theta &= \theta_r - \theta. \end{aligned} \quad (22)$$

The following Lyapunov function is proposed:

$$V = \frac{1}{2}(x_e^2 + y_e^2 + z_e^2) + \frac{(1 - \cos \theta_e)}{k_2} \quad (23)$$

with the time derivative

$$\dot{V} = -k_1 x_e^2 - k_4 z_e^2 - \frac{v_{\text{ref}} k_3 \sin^2 \theta_e}{k_2}. \quad (24)$$

This time derivative is negative semi-definite when  $k_1, k_2, k_3, k_4 > 0$  and the control law is given by:

$$\begin{aligned} v &= v_{\text{ref}} \cos \theta_e + k_1 x_e \\ \omega &= \omega_{\text{ref}} + v_{\text{ref}}(k_2 y_e + k_3 \sin \theta_e) \\ v_z &= v_{z,\text{ref}} + k_4 z_e \end{aligned} \quad (25)$$

We can also see that the term  $\frac{(1 - \cos \theta_e)}{k_2} \in [0, \frac{2}{k_2}]$  for any  $\theta_e$ .

## B Example solutions to various scenarios

In this appendix there are some example solutions to the various scenarios found in this paper.

The comparison of RRT to SAT-Plan was run without bloating the polytopes to see if a solution could be found. It should be noted that the RRT algorithm used was a very basic algorithm from [?]. The paths for RRT could be optimized if a different algorithm such as RRT\* was used; however, this would increase the running time of the algorithm.

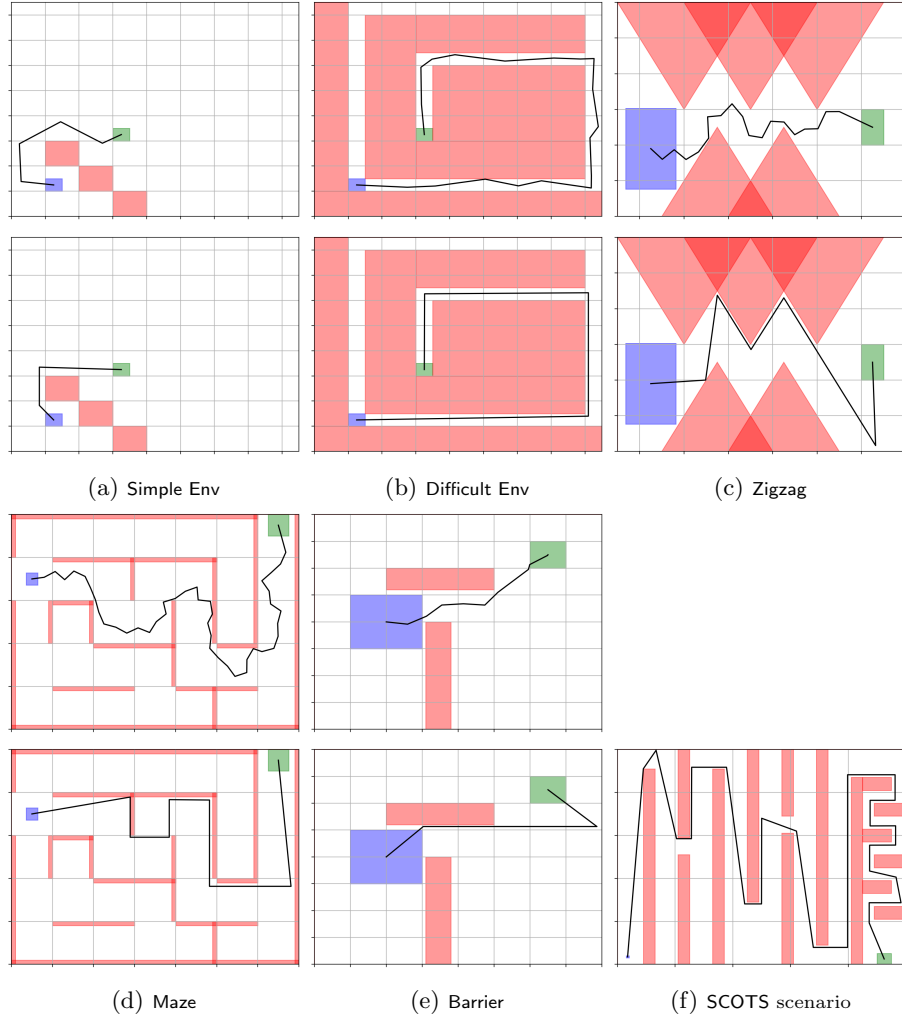


Fig. 1: Example solutions using RRT vs. SAT-Plan. The top figures show the RRT solution and the bottom figures show the SAT-Plan solution. Note that RRT timed out in the SCOTS scenario.

Below are some example solutions to the reach-avoid scenarios. The scenarios run in the two-dimensional  $\mathcal{W}$  use the car model. The scenarios run in the three dimensional  $\mathcal{W}$  use the hovercraft model. The black lines denote  $\xi_{\text{ref}}$  and the dotted violet lines denote  $\xi_g$ .

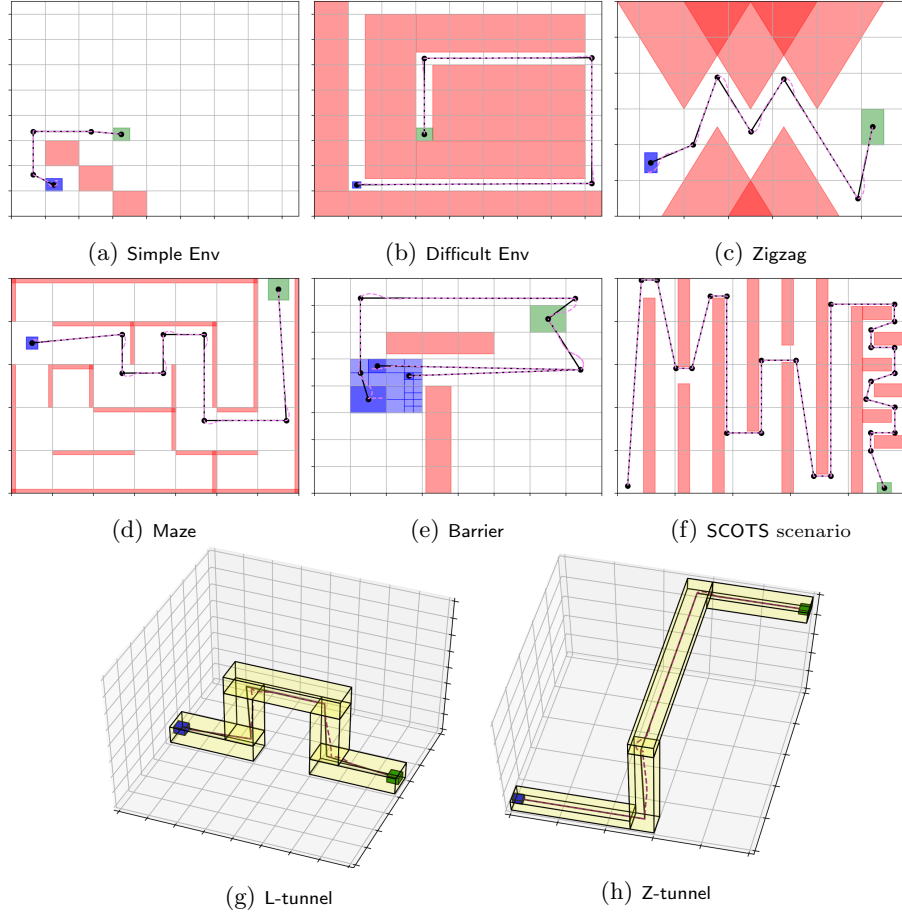


Fig. 2: Example solutions to the scenarios using FACTEST. The initial set is seen in blue, the goal set is seen in green, and the obstacle set is shown in red.