



CASTLE  
SINGAPORE

## CONFIRMATION OF TRANSACTION FORM

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GLOBAL ASSET ALLOCATION FUND

CASTLE

SINGAPORE

## Confirmation of Transaction Form

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Investor's Name

Fund Cell

Relationship  
Manager Name  
(if any)

Telephone No.

Email

Secondary Contact  
Details (if any)

Date

This form shall be the prescribed form for investors of the Castle Global Asset Allocation Fund ("CGAAF") and shall be used for the confirmation of the Investor's instructions.

Nothing in this Form shall be taken to be an assumption of liability or responsibility by CGAAF or its Investment Manager, Trustee, Fund Administrator and Custodian Bank. For the avoidance of doubt, all investment decision(s) are taken solely by the Investor and fully at his/her own risk and liability.

The relevant terms of the Private Placement Memorandum ("PPM") remains applicable.

### Particulars of Confirmation

The abovementioned Investor hereby confirms that he/she undertakes full and absolute liability for the following transaction(s) (the "Transaction"). The Investor acknowledges and agrees that the Investor had obtained and received his/her own professional advice regarding the Transaction, including but not limited to his/her risk profile and the exceeding nature of the Transaction.

**Accordingly, the Investor is instructing CGAAF to proceed with the given Transaction, thereby assuming all risks and liabilities arising or which may arise from such Transaction.**

The Investor agrees and undertake to pay all fees, charges, expenses, losses and all liabilities incurred, directly or indirectly, as a result of the Transaction, whether or not such payables are reasonable in the circumstances.

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**Disclaimer:** CGAAF shall transact for and on behalf of the investor based on the instruction provided herein only. CGAAF shall not be responsible and/or liable for any transaction done for and on behalf of the investor. It shall be presumed that the investor has read and understood all the relevant terms and conditions applicable to CGAAF; conducted relevant research; and sought necessary advice prior to arranging this transaction.

### Important Points:

- Each instruction must be completed with the signature of the investor; every action must be accompanied by the corresponding signature in the signature column
- We will not execute any action(s) which corresponding column(s) is/are empty
- This Form must be provided in original copy to CGAAF
- CGAAF takes no responsibility or liability in the chosen investment or the execution of the same

### Legend

**Action** – Please indicate whether it is a Buy or Sell.

**Currency** – Please indicate the desired currency of transaction. Where this is blank or incorrectly filled in, CGAAF will automatically use USD as the default currency.

**Amount & Number of Units** – Investor may select either to buy a certain number of units of the investment or the Amount equivalent. Please note that there may be minimum or preset multiples required.

**ISIN Code** – Please let us have the ISIN Code for the chosen investment. This information can be found on the fact sheet.

**Investment Name** - Please provide the name of the chosen investment including its currency, any class that may apply, and the type of units, where applicable.

**Remarks** – This is for any additional information such as specified price range or detailed entry or exit price.

**Please ensure that the instruction is clear, complete and legible. CGAAF shall not be responsible for any misinterpretation of the instruction or any incomplete/omitted details.**

GLOBAL ASSET ALLOCATION FUND

Action Indicate Buy or Sell	Currency	Amount	Number of Units	ISIN Code	Investment Name  Please include details of the investment – currency, class, type etc.	Remarks	Investor's Signature

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**ALL INSTRUCTION(s) MUST BE SENT TO [dealing@castle-gaaf.sg](mailto:dealing@castle-gaaf.sg).** CGAAF does not accept any Instructions that are not handled in accordance with the policy laid out herein. CGAAF accepts no responsibility for any delayed or missed Instruction.

Investor's Signature\*

Date (DD/MM/YY)

GLOBAL ASSET ALLOCATION FUND

\*By signing and submitting this form, the investor confirms that he/she is aware of all the terms and conditions applicable, including without limitation the terms contained in the PPM. The investor hereby accepts, without reservation, the risk and liabilities associated with the investment indicated hereinabove. CGAAF accepts no responsibility for any of the investment chosen by the investor and the investor acknowledges that he is fully aware and undertakes all liabilities arising from or associated with the investment(s)



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