



CASTLE
SINGAPORE

TRADING ACCOUNT OPENING REQUEST

GLOBAL ASSET ALLOCATION FUND

CASTLE

SINGAPORE

Trading Account Opening Request

Investor's Name

Fund Cell

Relationship
Manager Name
(if any)

Telephone No.

Email

Secondary Contact
Details (if any)

Date

This form shall be the prescribed form for the investor of the Castle Global Asset Allocation Fund ("CGAAF") to open a trading account in Castle Trader (powered by Interactive Brokers) in relation to his/her cell (the "Request").

The relevant terms of the Private Placement Memorandum ("PPM") are applicable to the Request.

In addition, the investor undertakes to comply with the terms and conditions as prescribed by that particular institution the trading account is opened in. The investor understands and agrees that the trading account and all its liabilities will be accorded to him/her as the beneficial owner.

CGAAF shall not accept any responsibility for any investment made and/or carried out in the trading account. The investor agrees that he/she is aware that the trading account is limited by the cash available in his/her trading account and that the terms of each trading account is incorporated into CGAAF.

The investor is the beneficial owner of the assets and liabilities of the cell in CGAAF. The Trustee is the legal owner of the assets and shall be fully indemnified by the investor and not be liable for any decision and/or liabilities arising out of the trading account.

Disclaimer: The investor assumes all responsibility and/or liability for the trading account. CGAAF shall not be responsible and/or liable for any transaction done in the trading account. It shall be presumed that the investor has read and understood all the relevant terms and conditions applicable to CGAAF; has read and understood all the relevant terms and conditions applicable to the particular institution the trading account is opened in; conducted relevant research; and sought necessary advice prior to arranging this transaction. CGAAF shall have the right, in its sole discretion, to reject any Request made without providing any reason whatsoever to such rejection.

The investor acknowledges and agrees that he/she has read and understood all the terms and conditions stated in the PPM and fulfills the requirements thereto. The investor further confirms that he/she has read and understood the risk disclosure statements in the PPM and the relevant application form (electronic or otherwise) for the opening of the trading account indicated below.

Please note that the trading account opening is subject to the independent institution's satisfaction of the information provided:

- For Castle Trader (powered by Interactive Brokers) – an electronic application invitation will be sent to the email address provided by you above for your due completion after we receive and accept the request.

PLEASE TICK ACCORDINGLY	TRADING ACCOUNT	Amount to be allocated from Cell
	CASTLE TRADER powered by Interactive Brokers	

GLOBAL ASSET ALLOCATION FUND

Investor's Signature*

Date (DD/MM/YY)

***By signing and submitting this form, the investor confirms that he/she is aware of all the terms and conditions applicable, including without limitation the terms contained in the PPM.**

The investor hereby accepts, without reservation, the risk and liabilities associated with the investment indicated hereinabove. CGAAF accepts no responsibility for any of the investment chosen by the investor and the investor acknowledges that he is fully aware and undertakes all liabilities arising from or associated with the investment(s)



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What You Need – Castle Trader Application

You will need to provide the following information in the electronic application

Individual	IRA Everything from Individual column plus the following:	Trust Everything from Individual column plus the following:	Joint
<ul style="list-style-type: none"> • Social Security Number (SSN) or proof of ID number if not US citizen; • Your employer name, address and phone number; • Bank or 3rd party broker account numbers for funding purposes, plus routing number for check deposits. 	<p>Beneficiary info for individual:</p> <ul style="list-style-type: none"> • Name; • Address; • Social Security Number. <p>Beneficiary info for entity estate:</p> <ul style="list-style-type: none"> • Date of execution of will and % of ownership. <p>Beneficiary info for entity trust:</p> <ul style="list-style-type: none"> • Contact info; • Name of trust; • Article of will; • % ownership; • Date of execution of trust or will. <p>Beneficiary info for entity charity:</p> <ul style="list-style-type: none"> • Name of charity; • % ownership; • Address; • Date of execution of will. <p>For IRA beneficiaries:</p> <ul style="list-style-type: none"> • Date of Birth; • Social Security Number or US Tax ID number if not US citizen. 	<p>Trustees and Beneficiaries:</p> <ul style="list-style-type: none"> • Name; • Residential Address (if individual); • Principle Place of Business • Address (if entity); • Date of Birth; • Social Security Number or ID number if not US citizen. <p>Entity Trustees:</p> <ul style="list-style-type: none"> • Tax ID; • Exchange and Symbol (For any Entity Trustee or Beneficiary that is publicly traded); • Name, Address and Social Security Numbers of Individual Beneficiaries; • % of ownership per beneficiary; • Between 1 and 5 Settlers/Grantors and the name of each individual or entity Settlor/Grantor. <p>Trusts:</p> <ul style="list-style-type: none"> • Type of Trust; • Date the trust was established; • Tax or govt-issued ID number. 	<p>For Both Account Holders:</p> <ul style="list-style-type: none"> • Name; • Address; • Date of Birth; • Social Security Number; • Employer; • Employer Address; • Bank or 3rd party broker account numbers for funding purposes, plus routing number for check deposits.

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