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Chapter 1

Introduction

1.1 Relativistic Quantum Mechanics

Nature is described by Quantum Mechanics at short distances and by Special Relativity at large velocities. However, Quantum Mechanics does not take the relativistic effects into account: we usually deal with Hamiltonians with a kinetic term that looks like

$$(1.1) \quad \hat{H} = \frac{\hat{\mathbf{p}}^2}{2m}$$

while the expression for energy in Special relativity is given by

$$(1.2) \quad E = \sqrt{\mathbf{p}^2 c^2 + m^2 c^4}.$$

Moreover, non-relativistic Quantum Mechanics does not include any upper limit on velocities, meaning that particles would be allowed to go faster than light.

On the other hand, Special Relativity does not include quantum effects, the physical state is not described by a vector in the Hilbert Space, observable physical quantities are not operators and energy is not quantized.

We may make an attempt at building a relativistic quantum theory by using the same mathematical framework of Quantum Mechanics but by expressing the Hamiltonian operator via the relativistic expression of the energy (1.2), obtaining something that looks like

$$(1.3) \quad \hat{H} = \sqrt{\hat{\mathbf{p}}^2 c^2 + m^2 c^4}.$$

In this formalism we promote the time coordinate to an operator \hat{t} and require that both space and time operators satisfy the canonical commutation relations:

$$(1.4) \quad [\hat{x}, \hat{p}] = i\hbar,$$

$$(1.5) \quad [\hat{t}, \hat{H}] = i\hbar.$$

Through this formalism we can describe a physical system with a fixed number of particles as a vector in the Hilbert space \mathcal{H} . Eigenstates of

operators form a complete set in \mathcal{H} and their eigenvalues prived exact values for observables.

For symmetry reasons we assume \hat{p} and \hat{t} to act in the same way, forcing a minus sign in front of the time derivative:

$$(1.6) \quad \hat{p} \equiv -i\hbar \frac{\partial}{\partial x},$$

$$(1.7) \quad \hat{H} \equiv -i\hbar \frac{\partial}{\partial t}.$$

We can then write the generalized Schrödinger Equation as

$$(1.8) \quad -i\hbar \frac{\partial \psi}{\partial t} = \sqrt{\hat{\mathbf{p}}^2 c^2 + m^2 c^4} \psi,$$

which is not actually well defined since the differential operator $\hat{\mathbf{p}}$ appears under a square root.

This approach also fails for two main reasons:

1. In high energy physics, where relativistic effects are involved, particle collisions can destroy and create new particles, meaning that the number of particles is not conserved;
2. There are inconsistencies like the violation of causality and the existence of an infinite “tower” of negative energy states.

1.1.1 Number of particles and Fock’s space

An Hilbert space \mathcal{H} which describes a physical system with a fixed amount of particles is incomplete, since some processes can result in the creation or the destruction of particles—think of radioactive decays or particle–anti-particle annihilations.¹

Let us show a concrete example. Consider a single particle of mass m confined in a cubic box of size $\Delta x \simeq L$. From Heisenberg’s uncertainty principle, $\Delta x \Delta p \gtrsim \hbar$, we easily get

$$\Delta p \gtrsim \frac{\hbar}{L}.$$

At the same time, from the mass shell condition in the ultra-relativistic limit,² $E^2 = \mathbf{p}^2 c^2 + m^2 c^4 \simeq \mathbf{p}^2 c^2$, we find

$$\Delta p \simeq \frac{\Delta E}{c}.$$

By combining the two conditions we find

$$(1.9) \quad \Delta E \gtrsim \frac{c\hbar}{L},$$

¹Moreover, anti-particles were first successfully described by Dirac’s theory of relativistic Quantum Mechanics.

²This means as $|\mathbf{p}| \rightarrow \infty$ while m remains fixed.

meaning that the uncertainty on the energy grows more and more as the box shrinks.

We know from experimental evidence that when $E > 2mc^2$ a particle-anti-particle pair can pop into existence, which implies that the number of particles may not be conserved when the distances involved become small enough. The scale of this phenomenon is dictated by the quantity

$$L \simeq \frac{\hbar}{mc} \equiv \lambda_c,$$

commonly known as the *Compton wavelength* of a particle of mass m . At distances smaller than λ_c there is a high probability of observing the creation of a particle and an anti-particle similar to the one we were observing in the first place. However as we said earlier, the Hilbert space \mathcal{H} only contains the states associated to the fixed initial amount of particle.

Thus, we need a new framework describing states with an unspecified number of particles, which is achieved through the introduction of the *Fock's space*

$$(1.10) \quad \mathcal{F} \equiv \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \cdots \oplus \mathcal{H}_n,$$

where \mathcal{H}_i is the Hilbert space describing a system of i particles.

1.1.2 Violation of causality

Let us consider a system described by $|\mathbf{x}\rangle \in \mathcal{H}$, the eigenstate of the position operator with eigenvalue \mathbf{x} , and let us evaluate the probability of finding the system in the eigenstate $|\mathbf{y}\rangle$ at time t :

$$A_{\mathbf{x} \rightarrow \mathbf{y}}(t) = \langle \mathbf{y} | e^{-i \frac{\hat{H}}{\hbar} t} | \mathbf{x} \rangle.$$

In standard Quantum Mechanics we would then write

$$\begin{aligned} A_{\mathbf{x} \rightarrow \mathbf{y}}(t) &= \langle \mathbf{y} | e^{-i \frac{\hat{\mathbf{p}}^2}{\hbar 2m} t} | \mathbf{x} \rangle \\ &= \int \frac{d^3 \mathbf{p}}{(2\pi\hbar)^3} \langle \mathbf{y} | e^{-i \frac{\hat{\mathbf{p}}^2}{\hbar 2m} t} | \mathbf{p} \rangle \langle \mathbf{p} | \mathbf{x} \rangle \\ &= \int \frac{d^3 \mathbf{p}}{(2\pi\hbar)^3} e^{-i \frac{\mathbf{p}^2}{\hbar 2m} t} e^{-i \frac{\mathbf{p} \cdot \mathbf{x}}{\hbar}} \langle \mathbf{y} | \mathbf{p} \rangle \\ &= \int \frac{d^3 \mathbf{p}}{(2\pi\hbar)^3} e^{-i \left[\frac{\mathbf{p}^2}{2m} + \mathbf{p} \cdot (\mathbf{x} - \mathbf{y}) \right]}, \end{aligned}$$

where we used $f(\hat{\mathbf{p}})|\mathbf{p}\rangle = f(\mathbf{p})|\mathbf{p}\rangle$ and $\langle \mathbf{x} | \mathbf{p} \rangle = e^{i \frac{\mathbf{x} \cdot \mathbf{p}}{\hbar}}$. This is the renowned Gaussian integral, which evaluates to

$$(1.11) \quad \int_{-\infty}^{+\infty} dx e^{-ax^2+bx} = \sqrt{\frac{\pi}{a}} e^{\frac{b^2}{4a}},$$

giving

$$A_{\mathbf{x} \rightarrow \mathbf{y}}(t) = \left(\frac{m}{2\pi i \hbar t} \right)^{\frac{3}{2}} e^{\frac{im}{2\hbar t}(\mathbf{y}-\mathbf{x})^2} > 0.$$

This means that the probability of finding the particle at a distance $|\mathbf{y} - \mathbf{x}|$ is non-zero at any point in space and time, even in the case in which the relative position vector $\mathbf{y} - \mathbf{x}$ crosses the boundary of the light cone. This is actually okay in the non-relativistic theory, as no limits are imposed on the velocity.

Let us now evaluate the same integral by using the relativistic expression for the Hamiltonian (1.3), with similar passages:

$$\begin{aligned} A_{\mathbf{x} \rightarrow \mathbf{y}}(t) &= \langle \mathbf{y} | e^{-\frac{it}{\hbar} \sqrt{\hat{\mathbf{p}}^2 c^2 + m^2 c^4}} | \mathbf{x} \rangle \\ &= \int \frac{d^3 \mathbf{p}}{(2\pi\hbar)^3} \langle \mathbf{y} | e^{-\frac{it}{\hbar} \sqrt{\mathbf{p}^2 c^2 + m^2 c^4}} | \mathbf{p} \rangle \langle \mathbf{p} | \mathbf{x} \rangle \\ &= \int \frac{d^3 \mathbf{p}}{(2\pi\hbar)^3} e^{-\frac{it}{\hbar} \sqrt{\mathbf{p}^2 c^2 + m^2 c^4}} e^{\frac{i}{\hbar}(\mathbf{y}-\mathbf{x})}. \end{aligned}$$

For the sake of simplifying the notation, let us impose the convention

$$(1.12) \quad c \equiv \hbar \equiv 1,$$

known as *system of natural units*, and also

$$\omega_{\mathbf{p}} = \sqrt{\mathbf{p}^2 c^2 + m^2 c^4} \equiv \sqrt{\mathbf{p}^2 + m^2}, \quad \mathbf{r} = \mathbf{y} - \mathbf{x}.$$

We can now write

$$\begin{aligned} A_{\mathbf{x} \rightarrow \mathbf{y}}(t) &= \int \frac{d^3 \mathbf{p}}{(2\pi)^3} e^{i(\mathbf{p} \cdot \mathbf{r} - \omega_{\mathbf{p}} t)} \\ &= \frac{1}{(2\pi)^3} \int_0^{+\infty} p^2 dp \int_{-1}^{+1} d \cos \vartheta \int_0^{2\pi} d \varphi e^{i(pr \cos \vartheta - \omega_{\mathbf{p}} t)} \\ &= \frac{1}{(2\pi)^2} \int_0^{+\infty} e^{-i\omega_{\mathbf{p}} t} p^2 dp \int_{-1}^{+1} e^{pr \cos \vartheta} d \cos \vartheta \\ &= -\frac{i}{(2\pi)^2 r} \int_0^{+\infty} (e^{ipr} - e^{-ipr}) e^{-i\omega_{\mathbf{p}} t} p dp. \end{aligned}$$

This integral can be solved exactly by exploiting the properties of Bessel functions, which is a complicated procedure. We can however give an estimate of the result through the help of complex analysis.

Complex magic goes here...

As a final result we get

$$(1.13) \quad 0 \leq |A_{\mathbf{x} \rightarrow \mathbf{y}}| < \frac{1}{2\pi^2 r} \frac{m(r-t)+1}{(r-t)^2} e^{-m(r-t)}.$$

While this means that the probability of finding the particle outside of the light cone—where $r \gg t$ —decays exponentially, it could still be non-zero.

1.2 The introduction of fields

The only hope we have left relies on a complete change of paradigm, leaving the “classical” Quantum Mechanics behind, in favour to a more modern and advanced Quantum Field Theory.

There are many examples of field theories appearing in classical physics, some examples being the electromagnetic and the gravitational fields. These fields were originally introduced as a solution to the problem of the “action at a distance” induced by Coulomb’s law for electrostatics and Newton’s law of gravitation, in order to preserve the locality of interactions.

We have then learned to describe photons as *quanta* of excitation of the electromagnetic field, meaning that the field is some sort of fundamental quantity and its quanta are one expression of the existence of the field. On the other hand we know that some particles like the electron carry some fundamental quantities themselves, even in the absence of an evident “electron field”. So what is more fundamental? The field describing a particle or the particle itself?

The answer that Quantum Field Theory proposes to this question is, unsurprisingly, that fields are more fundamental, explaining that particles emerge as excited states of their corresponding field and that vacuum corresponds to their ground state.

1.2.1 Properties of quantum field theories

It turns out that the theories of quantum fields have some properties that make them some solid candidates for a Theory of Everything. Let us cover the most important properties.

1. Locality: all interactions in quantum field theories are local.
2. Causality: nothing can travel faster than light and the principle of causality is not violated.
3. Anti-particles: relativistic theories of quantum fields predict the existence of particles and anti-particles and successfully describe reactions of creation and annihilation.
4. All particles of the same kind are identical: the fact that a proton produced on the Earth is exactly the same as a proton produced in a *Supernova* many light-years away is guaranteed by the fact that both protons are quanta of the *same* field.
5. Correct spin-statistics relation: identical particles are indistinguishable up to a minus sign when we swap two of them. The wavefunction describing N identical particles is

$$\psi = \psi(\mathbf{x}_1, \dots, \mathbf{x}_N),$$

and it may be either symmetric or anti-symmetric, successfully describing both Bose–Einstein’s and Fermi–Dirac’s statistic, while these properties must be imposed by hand in standard Quantum Mechanics.

A quantum field theory is therefore good for explaining phenomena down to the fundamental level. It can describe relativistic systems and also non-relativistic systems with a large number of particles, which is of interest for condensed matter physics.

1.3 Units and scales

In §1.1.2 we used natural units (1.12) to simplify the notation. Let us briefly comment the consequences of this choice. In nature we usually have to deal with three fundamental units:

c : the speed of light in vacuum;

\hbar : the reduced Planck constant;

G : Newton's gravitational constant;

and in SI units they are measured in

$$\begin{aligned}[c] &= \text{L T}^{-1}, \\ [\hbar] &= \text{ML}^2 \text{T}^{-1}, \\ [G] &= \text{L}^3 \text{M}^{-1} \text{T}^{-2}. \end{aligned}$$

When we work with $c = \hbar = 1$, from $c = 1$ we get $\text{L} = \text{T}$ and by substituting in $\hbar = 1$ we find that $\text{M} = \text{T}^{-1}$.

Since only one of the units is independent, we shall express L and T as M^{-1} . From this we see that $[G] = \text{M}^{-2}$.

We may also define some new fundamental quantities like the Planck mass and the Planck length, which we don't really care about right now but you can check professor Cicoli's hand-written notes for more details.

1.4 Mechanical model of a quantum field

Let us gain some intuition and the main ideas from a mechanical model of a field. Consider an elastic string, which is the continuum limit of a one dimensional lattice of N atoms. Let it be a metastable system, *id est*, a system which does not change drastically if we apply a small perturbing force,³ with a restoring force which brings the system back to a state where the force vanishes.

For a small displacement δx , with respect to the equilibrium point x_0 , the force can be expanded as

$$F(x_0 + \delta x) = F(x_0) + \frac{dF}{dx} \Big|_{x_0} \delta x + \dots$$

³Nota bene: all the systems we can observe in nature are metastable, otherwise they would quickly evolve away towards equilibrium.

and by setting $F(x_0 = 0) = 0$ and $dF/dx|_0 = -|k|$ we may express the force as

$$F(x) = -|k|x.$$

We therefore see that any metastable system may be describe at first order as a simple harmonic oscillator. Each of the N components of the lattice has the same mass m and they are coupled via springs with the same elastic constant k and separated by a distance of Δx ; the interaction is *local* in the sense that each atom only interacts with its nearest neighbours. From classical mechanics we know we can describe the system by determining the functions $y_i(t)$ that describe the displacement of the i -th atom along the y axis. We see that as $\Delta x \rightarrow 0$ and $N \rightarrow \infty$, the functions $y_i(t)$ approach the function $\phi(x, t)$ as the discrete index i becomes the continuous variabe x .

This function ϕ can be thought of as a field, where the dependency on x can be interpreted as a continuous label for infinitely many harmonic oscillators. This formalism lets us describe systems with an infinite number of degrees of freedom.

Assuming that the lattice iss periodic for $i = i + N$, the Lagrangian of the system is

$$(1.14) \quad L = \sum_{i=1}^N \left[\frac{1}{2}m\dot{y}_i^2(t) - \frac{1}{2}k\left(\frac{y_i(t) - y_{i+1}(t)}{\Delta x}\right)^2 \right],$$

with $y_i(t) - y_{i+1}(t) \ll \Delta x$. Notice that k has the same dimensions of L , an energy: if we define $k = mv^2$ we may write

$$L = \frac{1}{2}m \sum_{i=1}^N \left[\dot{y}_i^2 - v^2 \left(\frac{y_i - y_{i+1}}{\Delta x} \right)^2 \right],$$

where the terms in brackets represent the kynetic energy and the potential energy due to nearest neighbour interactions.

We can write the Euler–Lagrange equations of motion obtained from extremising the action⁴

$$(1.15) \quad \frac{d}{dt} \frac{\partial L}{\partial \dot{y}_i} - \frac{\partial L}{\partial y_i} = 0,$$

which become

$$(1.16) \quad \ddot{y}_i(t) = -v^2 \left(\frac{2y_i(t) - y_{i+1} - y_{i-1}}{\Delta x^2} \right),$$

where we can clearly see that the i -th harmonic oscillator is coupled with the $(i + 1)$ -th and with the $(i - 1)$ -th oscillator.

⁴Qui sarebbe carino mettere nel margine in scriptsize il principio di Hamilton $\delta S = 0 \iff$ eq. di Lagrange.

We can decouple the harmonic oscillators by diagonalizing the potential through a change of basis. Since y_i must be periodic in i so that $y_i(t) = y_{i+N}(t)$ we may use the discrete Fourier transform:

$$(1.17) \quad y_j(t) = \frac{1}{\sqrt{N}} \sum_{k=1}^N e^{i \frac{2\pi}{N} j k} \tilde{y}_k(t).$$

From this position follow the equations of motion in the new coordinates. By substituting (??) in (1.16) we get:

Chapter 2

Classical Field Theory

Before we talk about Quantum Field Theory, let us develop the mathematical framework of Classical Field Theory, as we need to define classical fields first and then quantise them to obtain a quantum theory.

2.1 Euler–Lagrange equations for fields

In Classical Mechanics we work with a finite number or degrees of freedom $q_i(t)$ corresponding to the number of generalised coordinates in the configuration space. A *field*, on the other hand, is a quantity defined at every point $x = (t, \mathbf{x})$ in space-time. The field is the analogous of q_i and is denoted as $\phi_i = \phi_i(x)$, where i denotes different components of the same vector field or different independent scalar fields. It is important to understand that while position¹ q_i is a variable, in Field Theory the position x is just a label and the function $\phi_i(x)$ is the actual variable with infinite degrees of freedom. This means that the Lagrangian \mathcal{L} shall not be defined as a function of $x(t)$ and $\dot{x}(t)$ but rather as a function of $\phi_i(x)$ and $\partial_\mu \phi_i(x)$. Since q_i is a function of time only, the Lagrangian is a function of the total derivative of q_i with respect to t . In the place of the time derivative, we find the 4-gradient of ϕ_i :

$$(2.1) \quad \mathcal{L} = \mathcal{L}(\phi_i, \partial_\mu \phi_i).$$

From this starting point, we define the action as

$$(2.2) \quad \mathcal{S}[\phi_i] = \int \mathcal{L}(\phi_i(t, \mathbf{x}), \partial_\mu \phi_i(t, \mathbf{x})) dt.$$

However, for a more elegant development of the theory, we shall introduce a quantity called *Lagrangian density*, and denoted by \mathcal{L} , such that

$$(2.3) \quad \mathcal{L}(\phi_i, \partial_\mu \phi_i) = \int \mathcal{L}(\phi_i(t, \mathbf{x}), \partial_\mu \phi_i(t, \mathbf{x})) d^3\mathbf{x},$$

¹Or rather the generalised position.

and in this way the action \mathcal{S} can be written in the more relativistic friendly expression

$$(2.4) \quad \mathcal{S}[\phi_i] = \int \mathcal{L}(\phi_i(x), \partial_\mu \phi_i(x)) d^4x.$$

We should briefly note that in natural units \mathcal{L} has mass dimension 1 and \mathcal{L} has mass dimension 4, since $[d^4x] = -4$. Moreover $[\partial_\mu] = +1$, while the mass dimension of ϕ_i and any coupling constants will depend on the theory. Finally, $[\mathcal{S}] = 0$, meaning that the action is a pure scalar quantity.

By requiring that the action be stationary on the physical trajectories of fields, *id est* $\delta\mathcal{S} = 0$, we find the expression of the Euler–Lagrange equations for fields.

$$\begin{aligned} \delta\mathcal{S}[\phi_i] &= \delta \int \mathcal{L}(\phi_i(x), \partial_\mu \phi_i(x)) d^4x \\ &= \int \delta \mathcal{L}(\phi_i(x), \partial_\mu \phi_i(x)) d^4x \\ &= \int \left[\frac{\partial \mathcal{L}}{\partial \phi_i} \delta \phi_i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \delta (\partial_\mu \phi_i) \right] d^4x \\ &= \int \left[\frac{\partial \mathcal{L}}{\partial \phi_i} \delta \phi_i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \partial_\mu (\delta \phi_i) \right] d^4x, \end{aligned}$$

by integrating by parts just like in Classical Mechanics we get

$$\delta\mathcal{S}[\phi_i] = \int \left(\frac{\partial \mathcal{L}}{\partial \phi_i} \delta \phi_i - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \delta \phi_i \right) d^4x + \int \partial_\mu \left(\frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \delta \phi_i \right) d^4x,$$

where the second integral vanishes, assuming that $\delta\phi_i = 0$ at the boundaries of the domain of integration. We finally get

$$\delta\mathcal{S}[\phi_i] = \int \left(\frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} \right) \delta \phi_i d^4x$$

which is zero, for any perturbation $\delta\phi_i$ if and only if

$$(2.5) \quad \frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_i)} = 0,$$

This set of differential equations, labeled by the index i , goes under the name of *Euler–Lagrange equations for fields*.

It is important to notice that while the solution $\phi_i(x)$ may be the solution to a wave equation—*exempli gratia* when equations (2.5) take the form of the d'Alembert equation—the field is not a quantum wave-function itself! The function $\phi_i(x)$ describes the dynamical evolution, *id est* the *trajectory*, of the field in space and time and is not, in any way, interpretable as a probability distribution. The wave-function as a probability distribution only comes into the picture when we consider the quantum state associated to the field in the Fock space—in this case the solution of the appropriate wave equation describes the evolution of this state in the Fock space—and evaluate its projection on the eigenstates of the position operator.

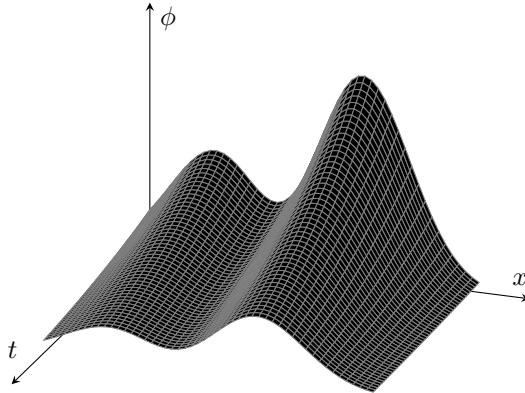


Figure 2.1: A solution to the Euler–Lagrange equations describes the evolution of the field through space and time. In this graph x is not the 4-vector (t, \mathbf{x}) but rather the first spacial component in $x^\mu = (t, x, y, z)$.

2.2 Hamiltonian formalism

In order to build our quantum theory, we need to develop a Hamiltonian theory first, so that we may promote fields and their conjugate momenta to operators defined through some canonical commutation relations.

By treating the fields ϕ^i as the contravariant components of a vector, and therefore labeling them with an upper index ϕ^i , we start by defining the covariant *conjugate momentum density* of ϕ^i as

$$(2.6) \quad \pi_i = \frac{\partial \mathcal{L}}{\partial \dot{\phi}^i},$$

where $\dot{\phi}^i$ denotes the time derivative of ϕ^i . We then define the *Hamiltonian density* as the Legendre transform of the Lagrangian density

$$(2.7) \quad \mathcal{H}(\phi^i, \pi_i) = \pi_k \dot{\phi}^k(\pi_i) - \mathcal{L}(\phi^i, \dot{\phi}^i(\pi_k)).$$

This formalism will be useful later when we quantise the fields by promoting \mathcal{H} , π_i and ϕ^i to operators, expressing them in terms of *creation* and *annihilation* operators, $\hat{a}_\mathbf{p}^\dagger$ and $\hat{a}_\mathbf{p}$.

2.3 Noether's theorem

Symmetries are fundamental both in Classical and in Quantum Field Theory as Noether's² theorem binds each symmetry to a conserved current. There exist many different kinds of symmetries, *discrete* and *continuous*, *global* and *local*, and *external* and *internal*. External symmetries are symmetries under changes of reference frame or more general space-time transformations,

²One may be tempted to write *Nöther* instead of *Noether*, however the latter spelling is the correct one. This surname was allegedly wrongfully recorded as Noether at some point in Emmy's family tree and this is therefore the legally correct spelling.

while internal symmetries are not related with the environment but rather with internal degrees of freedom like spin or a phase in the function that describes the system. Global symmetries are the ones that emerge under transformations that are independent on space-time coordinates, as opposed to local or *gauge* transformations that depend on the point in space and time. Finally, continuous symmetries depend on parameters that may vary smoothly in some interval while the parameters of discrete symmetries are bound to countable set, whether they are finite or infinite. Some of the most common examples are:

- ◆ *Lorentz transformations* are continuous, global, external transformations;
- ◆ *General coordinate transformations* from General Relativity are continuous, local, external transformations;
- ◆ *Gauge transformations* from $U(1)$, $SU(2)$ and $SU(3)$ are continuous, local, internal transformations;
- ◆ *Parity* and *Time reversal* are discrete, global, external transformations;
- ◆ *Charge conjugation* is a discrete, global, internal transformation.

We shall now recast Noether's theorem in powerful and general form, in the language of Field Theory. The theorem states as follows.

Theorem (Noether). *Every continuous symmetry of the action \mathcal{S} gives rise to a conserved current J^μ , such that*

$$(2.8) \quad \partial_\mu J^\mu = 0.$$

Every conserved current gives rise to a conserved charge Q defined as

$$(2.9) \quad Q = \int_{\mathbb{R}^3} J^0 d^3x.$$

Proof. Let us first assume (2.8) to be true to quickly prove (2.9). Equation (2.8) can be written in components as

$$\frac{\partial J^0}{\partial t} + \nabla \cdot \mathbf{J} = 0,$$

where \mathbf{J} is the spacial component of the 4-current $J^\mu = (J^0, \mathbf{J})$. By integrating both sides of the equation over a finite volume $\Omega \subseteq \mathbb{R}^3$, we see the volume integral of the divergence of the vector field \mathbf{J} , which may be translated to the flux of the field itself through the boundary $\partial\Omega$ of the region due to Gauss' theorem:

$$\begin{aligned} \int_{\Omega} \frac{\partial J^0}{\partial t} d^3r &= \int_{\Omega} \nabla \cdot \mathbf{J} d^3x \\ &= \oint_{\partial\Omega} \mathbf{J} \cdot \hat{\mathbf{n}} d\sigma. \end{aligned}$$

J^μ must be a regular function of the field, therefore, if we assume that the field and all of its functions vanish at infinity, as we usually do with real systems that cannot hold infinite energy, we see that by taking the limit as $\Omega \rightarrow \mathbb{R}^3$, the flux goes to zero. Since J^μ is regular, we may as well bring the derivative out of the integral, obtaining

$$\frac{d}{dt} \int_{\mathbb{R}^3} J^0 d^3x = \oint_{\partial\mathbb{R}^3} \mathbf{J} \cdot \hat{\mathbf{n}} d\sigma = 0.$$

By recognizing the definition of Q we easily get

$$\frac{dQ}{dt} = 0.$$

Let us now prove the existence of the conserved current by considering an arbitrary infinitesimal transformation of the coordinates

$$\phi_i \rightarrow \phi' = \phi_i + \delta\phi_i,$$

such that

$$\mathcal{L} \rightarrow \mathcal{L}' = \mathcal{L} + \delta\mathcal{L}.$$

We may evaluate the variation of the Lagrangian as

$$\begin{aligned} \delta\mathcal{L} &= \frac{\partial\mathcal{L}}{\partial\phi_i} \delta\phi_i + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)} \delta(\partial_\mu\phi_i) \\ &= \frac{\partial\mathcal{L}}{\partial\phi_i} \delta\phi_i + \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)} \partial_\mu(\delta\phi_i) \\ &= \left(\frac{\partial\mathcal{L}}{\partial\phi_i} - \partial_\mu \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)} \right) \delta\phi_i + \partial_\mu \left(\frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)} \delta\phi_i \right), \end{aligned}$$

where we exploited the usual trick of integrating by part. We see that when we evaluate $\delta\mathcal{L}$ on the trajectories $\phi_i(x)$ that satisfy the Euler–Lagrange equations, the first term of the sum simplifies to zero, hence

$$\delta\mathcal{L} = \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)} \delta\phi_i.$$

It is now time to exploit the hypothesis that the transformation $\phi_i \rightarrow \phi'_i$ is a symmetry for the actions \mathcal{S} .

moreover the second term of the sum may be interpreted as the 4-divergence of a function K^μ defined as

$$K^\mu = \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi_i)} \delta\phi_i,$$

therefore

$$\delta\mathcal{L} = \partial_\mu K^\mu.$$

We may now evaluate

