# A fully dynamic graph algorithm for recognizing interval graphs \*

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#### Abstract

We present the first dynamic graph algorithm for recognizing interval graphs. The algorithm runs in  $O(n \log n)$  worst-case time per edge deletion or edge insertion, where n is the number of vertices in the graph. The algorithm uses a new representation of interval graphs called the *train tree*, which is based on the clique-separator graph representation of chordal graphs. The train tree has a number of useful properties and it can be constructed from the clique-separator graph in O(n) time.

## 1 Introduction

# 1.1 Dynamic graph algorithms

A dynamic graph algorithm maintains a solution to a graph problem as the graph undergoes a series of small changes, such as single edge deletions or insertions. For every change, the algorithm updates the solution faster than recomputing the solution from scratch, i.e., with no previously computed information. Typically, a dynamic graph algorithm has a preprocessing step to compute a solution for the initial graph, along with some auxiliary information. For example, the World Wide Web may be modeled by a dynamic graph whose vertices and edges represent network nodes and links that unpredictably may lose or gain functionality as the network becomes congested, equipment fails, or new equipment is introduced.

We consider dynamic graph algorithms that support the following two operations: a query is a question about the solution being maintained, e.g., "Are vertices u, v connected?" or "Is the graph planar?", and an update is an edge deletion or edge insertion. A fully dynamic graph algorithm supports both deletions and insertions. A deletions-only or insertions-only dynamic graph algorithm supports only deletions or only insertions, respectively. Some problems are easier when only deletions or only insertions are allowed. For example, there is a fast insertions-only dynamic algorithm for connectivity that uses disjoint-set union [Tar75], but fast fully dynamic algorithms are considerably more complicated [HdT01].

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Fully dynamic algorithms have been developed for numerous problems on undirected graphs, including connectivity, biconnectivity, 2-edge connectivity, bipartiteness, minimum spanning trees, and planarity [EGI98, FK99]. There are also algorithms that support vertex insertions and vertex deletions, e.g., [HSS01].

## 1.2 Previous work

Chordal graphs can be recognized in O(m+n) time using a graph search such as Lex-BFS or Maximum Cardinality Search [RTL76, TY84]. Several well-known NP-complete problems can be solved on chordal graphs in O(m+n) time [Joh85]. There is a fully dynamic algorithm that maintains a clique tree of a chordal graph in O(n) time per update [Iba08a]. This algorithm supports updates that yield a chordal graph and queries that ask whether a particular update is supported. (All the running times in this paper are worst-case.)

Interval graphs can be recognized in O(m+n) time using PQ-trees [BL76], MPQ-trees [KM89], a substitution decomposition computed with Lex-BFS [HM99], or a vertex ordering computed with multiple passes of Lex-BFS [COS98]. Many well-known NP-complete graph problems can be solved on interval graphs in polynomial time [Joh85]. There is an incremental algorithm for interval graphs that runs in  $O(\log n)$  time per vertex insertion and  $O(m+n\log n)$  total time [Hsu96]. This algorithm supports vertex insertions that yield an interval graph and queries that ask whether a particular insertion is supported.

Proper interval graphs can also be recognized in O(m+n) time [CKN<sup>+</sup>95, DHH96]. There is a fully dynamic algorithm that maintains a straight enumeration of a proper interval graph in  $O(\log n)$  time per edge update and  $O(d + \log n)$  time per vertex update, where d is the degree of the vertex [HSS01]. This algorithm supports updates that yield a proper interval graph and queries that ask whether a particular update is supported.

The clique-separator graph of a chordal graph G is a graph G whose nodes are the maximal cliques and minimal vertex separators of G and whose (directed) arcs and (undirected) edges represent the containment relations between the sets corresponding to the nodes [Iba06]. The clique-separator graph reflects the structure of G and it has various structural properties when G is an interval graph, proper interval graph, or split graph. The clique-separator graph can be constructed in  $O(n^3)$  time if G is a chordal graph, in  $O(n^2)$  time if G is an interval graph, and in O(m+n) time if G is a proper interval graph [Iba06].

## 1.3 Our results

We introduce the train tree of an interval graph G, which is based on its clique-separator graph G. The train tree is also based on the PQ-tree [BL76]. In the Booth-Lucker algorithm for recognizing interval graphs [BL76], the PQ-tree represents valid orderings of the maximal cliques and it is built with a complicated set of templates. The train tree represents valid orderings of the maximal cliques and minimal vertex separators, and it is built with a simpler algorithm that uses none of the PQ-tree templates. In addition, the train tree specifies the vertices separated by each minimal vertex separator and it has a number of other properties that may be useful for future work with interval graphs.

We use the clique-separator graph and the train tree to develop the first dynamic graph algorithm for interval graphs. The algorithm maintains both representations and supports

edge updates that yield an interval graph and queries that ask whether a particular update is supported. We show that an update in G requires only a small, local change in G because at most two new maximal cliques or minimal vertex separators are created, each of which differs from an old maximal clique or minimal vertex separator by at most two vertices. Each operation updates the clique-separator graph G in  $O(n \log n)$  time and then builds the new train tree from the new G in O(n) time.

One potential application for this algorithm is in physical mapping of DNA. Molecular biologists often break DNA into fragments and then attempt to reconstruct the positions of the fragments on the original DNA. Some fragments overlap and new experimental data may change the list of fragments that are known to overlap [HSS01]. We create a graph where the vertices are the fragments and there is an edge between every pair of vertices corresponding to overlapping fragments. Since there may be many fragments that overlap, the graph is potentially dense. In order for the physical mapping problem to be solvable, the graph must be an interval graph. The dynamic graph algorithm would determine whether the graph is an interval graph as edges are added or deleted because of new experimental data.

Since interval graphs  $\subset$  chordal graphs and we will use results for these graph classes, we review them in Section 2. In Section 3, we review properties of the clique-separator graph  $\mathcal{G}$  when G is a chordal graph or an interval graph. In Section 4, we present the train tree and its properties. In Section 5, we present the train tree algorithm that constructs a train tree of an interval graph from its clique-separator graph in O(n) time. In Section 6 and Section 7, we present the delete and insert operations that run in  $O(n \log n)$  time. In Section 8, we present conclusions and open problems.

# 2 Preliminaries

Throughout this paper, let G = (V, E) = (V(G), E(G)) be a simple, undirected graph and let n = |V| and m = |E|. For a set  $S \subseteq V$ , the subgraph of G induced by S is G[S] = (S, E(S)), where  $E(S) = \{\{u, v\} \in E \mid u, v \in S\}$ . For a set  $S \subset V$ , G - S denotes G[V - S]. A clique of G is a set of pairwise adjacent vertices of G. A maximal clique of G is a clique of G that is not properly contained in any clique of G. Figure 1a shows a graph with maximal cliques  $\{x, y, z\}, \{y, z, w\}, \{u, z\}, \text{ and } \{v, z\}$ .

Let  $S \subset V$ . S is a separator of G if there exist two vertices that are connected in G and not connected in G - S. S is a minimal separator of G if S is a separator of G that does not properly contain any separator of G. For  $u, v \in V$ , S is a uv-separator of G if for some  $u, v \in V$ , S is a uv-separator of G if for some  $u, v \in V$ , S is a uv-separator of G that does not properly contain any uv-separator of G. Every minimal separator is a minimal vertex separator, but not vice versa. Figure 1a shows a graph with minimal separator  $\{z\}$  and minimal vertex separators  $\{z\}$  and  $\{y, z\}$ . Notice  $\{y, z\}$  is a minimal xv-separator and  $\{z\}$  is a minimal xv-separator, yv-separator, etc. For  $u, v \in V$ , S separates u, v if S is a uv-separator of G. For  $X, Y \subset V$ , S separates X, Y if S is a uv-separator of G for every  $u \in X$  and  $v \in Y$ .

A graph is *chordal* (or *triangulated*) if every cycle of length greater than 3 has a *chord*, which is an edge joining two nonconsecutive vertices of the cycle. A graph G is chordal if and only if G has a *clique tree*, which is a tree T on the maximal cliques of G with

the clique intersection property: for any two maximal cliques K and K', the set  $K \cap K'$  is contained in every maximal clique on the K-K' path in T [BP93, Gol04]. The clique tree is not necessarily unique. Blair and Peyton [BP93] discuss various properties of clique trees, including the following correspondence between the edges of T and the minimal vertex separators of G. (In [BP93], G is a connected chordal graph and then the clique intersection property implies that  $K \cap K' \neq \emptyset$  for every  $\{K, K'\} \in E(T)$ .)

**Theorem 1** ([HL89, Lun90]) Let G be a chordal graph with clique tree T. Let  $S \neq \emptyset$  be a set of vertices of G.

- 1. S is a minimal vertex separator of G if and only if  $S = K \cap K'$  for some  $\{K, K'\} \in E(T)$ .
- 2. If  $S = K \cap K'$  for some  $\{K, K'\} \in E(T)$ , then S separates K S and K' S and moreover, S is a minimal uv-separator for any  $u \in K S$  and  $v \in K' S$ .

It follows that for any clique tree T of a chordal graph G, the nodes and edges of T correspond to the maximal cliques and minimal vertex separators of G, respectively. A maximal clique corresponds to exactly one node of T and a minimal vertex separator may correspond to more than one edge of T. Furthermore, since a chordal graph G has at most n maximal cliques [FG65], G has at most n-1 minimal vertex separators.

An interval graph is a graph where each vertex can be assigned an interval on the real line so that two vertices are adjacent if and only if their assigned intervals intersect; such an assignment is an interval representation. A graph is an interval graph if and only if it has a clique tree that is a path, which is a clique path [FG65]. Interval graphs are a proper subclass of chordal graphs. Since interval graphs model many problems involving linear arrangements, interval graphs have applications in many areas, including archeology, computational biology, file organization, partially ordered sets, and psychology [BLS99, Gol04, MM99].

Golumbic [Gol04] and McKee and McMorris [MM99] discuss these classes in the context of perfect graphs and intersection graphs, respectively. Johnson [Joh85] discusses them with respect to the hardness of problems.

We will use some terms from partially ordered set theory. Let  $\mathcal{F}$  be a family of sets. For  $S \in \mathcal{F}$ , S is a minimal element of  $\mathcal{F}$  if no  $S' \in \mathcal{F}$  satisfies  $S' \subset S$ , and S is a maximal element of  $\mathcal{F}$  if no  $S' \in \mathcal{F}$  satisfies  $S \subset S'$ .  $\mathcal{F}$  is a chain if for any  $S, S' \in \mathcal{F}$ , we have  $S \subseteq S'$  or  $S' \subseteq S$ .  $\mathcal{F}$  is an antichain if for any distinct  $S, S' \in \mathcal{F}$ , we have  $S \not\subseteq S'$  and  $S' \not\subseteq S$ .

# 3 The clique-separator graph

In this section, we review the properties of the clique-separator graph  $\mathcal{G}$  when G is a chordal graph, including (a) the edges of  $\mathcal{G}$  induce a forest, i.e., deleting the arcs of  $\mathcal{G}$  produces a forest, (b) contracting every tree of this forest into a single node yields a directed acyclic graph, and (c) for every minimal vertex separator S of G, the vertices of G separated by S are specified by the nodes of G separated by the node corresponding to G. We then review the properties of G when G is an interval graph, including (d) every node in G has at most two predecessors and (e) every tree of the forest (with certain leaves removed) is the unique

clique path on those nodes. We will use these properties to construct the train tree and to perform updates.

## 3.1 The clique-separator graph of a chordal graph

Let G be a chordal graph. The *clique-separator graph*  $\mathcal{G}$  of G has the following nodes, (directed) arcs, and (undirected) edges.

- $\mathcal{G}$  has a *clique node* K for each maximal clique K of G.
- $\mathcal{G}$  has a separator node S for each minimal vertex separator S of G.
- Each arc is from a separator node to a separator node.  $\mathcal{G}$  has arc (S, S'') if  $S \subset S''$  and there is no separator node S' such that  $S \subset S' \subset S''$ .
- Each edge is between a separator node and a clique node.  $\mathcal{G}$  has edge  $\{S,K\}$  if  $S \subset K$  and there is no separator node S' such that  $S \subset S' \subset K$ .

Throughout this paper, we refer to the *vertices* of G and the *nodes* of G and we use lowercase variables for vertices and uppercase variables for nodes. We identify "maximal clique" and "clique node" and identify "minimal vertex separator" and "separator node". Figure 1 shows a chordal graph G and its clique-separator graph G, which has clique nodes  $K_1 = \{x, y, z\}, K_2 = \{y, z, w\}, K_3 = \{u, z\}, K_4 = \{v, z\}$  and separator nodes  $S_1 = \{y, z\}$  and  $S_2 = \{z\}$ .



Figure 1: A chordal graph and its clique-separator graph.

Let S and S' be distinct separator nodes of  $\mathcal{G}$ . If (S,S') is an arc of  $\mathcal{G}$ , then S is an immediate predecessor of S' and S' is an immediate successor of S, denoted  $S \to S'$ . If there is a directed path from S to S' in  $\mathcal{G}$ , then S is a predecessor of S' and S' is a successor of S, denoted  $S \leadsto S'$ .  $S \leadsto S'$  if and only if  $S \subset S'$ . Let K be a clique node of  $\mathcal{G}$ . If  $\{S,K\}$  is an edge of  $\mathcal{G}$ , then S is a neighbor of K and S is adjacent to K and vice versa. The number of neighbors of a node is its degree. If S is a neighbor of K or there is a directed path from S to a neighbor of K in  $\mathcal{G}$ , then S is a predecessor of K and K is a successor of S, denoted  $S \leadsto K$ .  $S \leadsto K$  if and only if  $S \subset K$ . The set of immediate predecessors of S, the set of immediate successors of S, and the set of neighbors of K are antichains.

We can readily show (see [Iba06]) that for every separator node S, there are at least two edges, or two arcs, or an edge and an arc, from S. The following is a simple property of the clique-separator graph that follows from the clique intersection property of clique trees.

**Lemma 2 ([Iba06])** Let G be a chordal graph with clique-separator graph G. For any clique node K and any node  $N \neq K$ , if  $N \cap K \neq \emptyset$ , then  $N \cap K$  is contained in some neighbor of K in G.

For a set of nodes S of G, let  $V(S) = \{v \in V \mid v \in N \text{ and } N \in S\}$ . For a subgraph H of G, let  $V(H) = \{v \in V \mid v \in N \text{ and } N \text{ is a node of } H\}$ . H is connected if the underlying undirected graph of H (obtained by replacing every arc with an edge) is connected. G is connected if and only if G is connected. If a subgraph H of G is connected, then G[V(H)] is connected, but the converse is not true in general.

A box of  $\mathcal{G}$  is a connected component of the undirected graph obtained by deleting the arcs of  $\mathcal{G}$ . By Lemma 2, a box of  $\mathcal{G}$  contains exactly one clique node K and no separator nodes if and only if K is a complete connected component of G. We will show that every box is a tree and so we extend the definition of the clique intersection property to a tree T on a set of nodes in the natural way: for any two nodes N and N' of T, the set  $N \cap N'$  is contained in every node on the N-N' path in T.

Figure 2 shows a chordal graph G and Figure 3 shows its clique-separator graph G, where separator nodes  $S_0, S_1, S_2$  have size 1,  $S_8$  has size 3, and every other  $S_i$  has size 2. The boxes of G are  $B_1, B_2, B_3, B_4, B_5$ , and  $B_6$ .

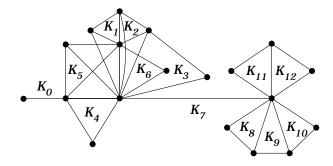


Figure 2: A chordal graph G.

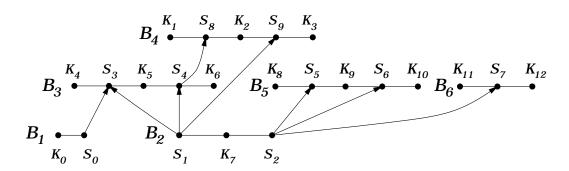


Figure 3: The clique-separator graph  $\mathcal{G}$  of the chordal graph in Figure 2.

The graph  $\mathcal{G}^c$  is obtained from  $\mathcal{G}$  by contracting each box into a single node and replacing multiple arcs by a single arc. We will denote a box of  $\mathcal{G}$  and the corresponding node in  $\mathcal{G}^c$  by the same variable. We will show that  $\mathcal{G}^c$  is a directed acyclic graph, which means  $\mathcal{G}^c$  has a topological sort  $\sigma$ . Given  $\sigma$ , we will assume the boxes of  $\mathcal{G}$  are indexed so

that  $\sigma = (B_1, B_2, \dots, B_{b(\mathcal{G})})$ , where  $b(\mathcal{G})$  is the number of boxes of  $\mathcal{G}$ . Let  $\mathcal{G}(\sigma, i)$  be the subgraph of  $\mathcal{G}$  induced by the nodes in  $B_i, B_{i+1}, \dots, B_{b(\mathcal{G})}$ . We will write  $\mathcal{G}(\sigma, i)$  as  $\mathcal{G}(i)$  if the topological sort  $\sigma$  is clear and we will refer to  $\sigma$  as a topological sort of  $\mathcal{G}$ . In Figure 3,  $\sigma = (B_1, B_2, B_3, B_4, B_5, B_6)$  is a topological sort of  $\mathcal{G}$ .

For a separator node S of  $\mathcal{G}$ , let  $Preds(S) = \{S' \mid S' = S \text{ or } S' \leadsto S\}$ . We say S divides nodes N and N' if N and N' are contained in the same connected component of  $\mathcal{G}$  and in different connected components of  $\mathcal{G} - Preds(S)$ . In Figure 3,  $S_4$  divides  $K_5$  and  $K_7$  and  $S_5$  divides  $K_8$  and  $K_9$ . We use "separates" when referring to G and "divides" when referring to G.

## 3.2 The Main Theorem

**Theorem 3 ([Iba06])** Let G be a chordal graph with clique-separator graph G and let G have topological sort  $\sigma$ . Let S be a separator node of G.

- 1. G-S has connected components  $G_1, G_2, \ldots, G_k$  and G-Preds(S) has connected components  $G_1, G_2, \ldots, G_k$  such that k > 1 and for every  $1 \le i \le k$ ,  $V(G_i) = V(G_i) S$ .
- 2. Every box is a tree with the clique intersection property and the set of its separator nodes is an antichain.  $\mathcal{G}^c$  is a directed acyclic graph.
- 3. For every  $1 \le i < j \le b(\mathcal{G})$ , if S divides nodes N and N' in  $\mathcal{G}(j)$ , then S divides N and N' in  $\mathcal{G}(i)$ .
- 4. For every  $1 \leq i < j \leq b(\mathcal{G})$  and every connected component  $\mathcal{H}$  of  $\mathcal{G}(j)$ , at most one separator node of box  $B_i$  is a predecessor of any node in  $\mathcal{H}$ .
- 5. For every  $1 \leq j \leq b(\mathcal{G})$  and every connected component  $\mathcal{H}$  of  $\mathcal{G}(j)$ ,  $G[V(\mathcal{H})]$  is a connected chordal graph with clique-separator graph  $\mathcal{H}$ .

We extend the definition of divides to sets of nodes S and S' of G as follows: S divides S and S' if S - Preds(S) and S' - Preds(S) are contained in the same connected component of G and in different connected components of G of G and in different connected components of G in the same way. We need one more definition: S strongly divides nodes S and S are contained in the same connected component S and S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained in the same connected S and S are contained S and S are

Corollary 4 ([Iba06]) Let G be a chordal graph with clique-separator graph G. Let S be a separator node of G.

1. Let N and N' be nodes of G. Then S divides N and N' if and only if S separates N-S and N'-S.

Let S and S' be sets of nodes of G. Then S divides S and S' if and only if S separates V(S) - S and V(S') - S.

Let  $\mathcal{H}$  and  $\mathcal{H}'$  be subgraphs of  $\mathcal{G}$ . Then S divides  $\mathcal{H}$  and  $\mathcal{H}'$  if and only if S separates  $V(\mathcal{H}) - S$  and  $V(\mathcal{H}') - S$ .

- 2. If S has distinct neighbors N and N', or S has neighbor N and successor N', then S strongly divides N and N'.
- 3. S strongly divides nodes N and N' if and only if  $S = N \cap N'$  and S separates N S and N' S.
- 4. S strongly divides clique nodes K and K' if and only if  $S = K \cap K'$  for some edge  $\{K, K'\}$  in a clique tree of G. There are clique nodes that satisfy these statements.

## 3.3 The clique-separator graph of an interval graph

We now review properties of the clique-separator graph  $\mathcal{G}$  when G is an interval graph. A path P on a set of nodes of  $\mathcal{G}$  (not necessarily a subgraph of  $\mathcal{G}$ ) is a c.i.p. path if P has the clique intersection property. A graph G is an interval graph if and only if G has a clique path [FG65], which in our terminology is a c.i.p. path on the clique nodes of  $\mathcal{G}$ . It is straightforward to show that this characterization implies the following characterization.

**Observation 5** Let G be a chordal graph with clique-separator graph G. Then G is an interval graph if and only if there is a c.i.p. path on the nodes of G.

A path P on a set of nodes of  $\mathcal{G}$  (not necessarily a subgraph of  $\mathcal{G}$ ) is constraining if P is the unique c.i.p. path on the set of nodes in P. For paths P and Q on sets of nodes of  $\mathcal{G}$ , P is a subsequence of Q if, when the paths are viewed as sequences, P or its reverse is a subsequence of Q. Observe that a constraining path P is a subsequence of every c.i.p. path that contains the nodes of P. A leaf clique node is a clique node that has degree 1, or equivalently, a clique node that is a leaf of some box. The body of a box P0, denoted body P1, is the subgraph of P2 obtained by deleting the leaf clique nodes of P3. The following theorem summarizes the main properties of P3 when P4 is an interval graph.

**Theorem 6** ([Iba06]) Let G be an interval graph with clique-separator graph G. Then for every box B, body(B) is a constraining path whose endpoints are separator nodes. Moreover, every separator node has at most two immediate predecessors and there are O(n) nodes, edges and arcs in G.

# 4 The train tree

In this section, we present the train tree of an interval graph and describe its properties. Since the train tree is based on the PQ-tree, we first review the PQ-tree. For a rooted tree T, leaves(T) is the set of leaves of T and path(T) is the left to right sequence of leaves of T. For a node x of T, leaves(x) and path(x) are defined similarly for  $subtree_T(x)$ , the subtree of T rooted at x.

## 4.1 PQ-trees

Booth and Lueker invented the PQ-tree, which represents a set of permutations, for their algorithms to recognize interval graphs and planar graphs [BL76]. Booth and Lueker constructed a PQ-tree using a set of templates, but we do not use templates in our algorithms.

A PQ-tree is a rooted tree where the leaves are the elements of a set and each internal node is either a P-node or a Q-node. A P-node is drawn as a circle and a Q-node is drawn as a rectangle. Two PQ-trees T and T' are equivalent, denoted  $T \equiv T'$ , if T can be transformed into T' by any sequence of the following operations: (1) arbitrarily permute the children of a P-node, (2) reverse the order of the children of a Q-node. A PQ-tree T represents the set of permutations  $\{path(T') \mid T' \equiv T\}$  of leaves(T) and a node x of T represents the set of permutations  $\{path(T') \mid T' \equiv subtree_T(x)\}$  of leaves(x).

A PQ-tree is *proper* if every P-node has at least two children and every Q-node has at least three children. A proper PQ-tree is unique up to equivalence: if T and T' are proper PQ-trees that represent the same set of permutations, then  $T \equiv T'$  [BL76]. Figure 4 shows a proper PQ-tree that represents 4 permutations of  $\{1, 2, 3, 4\}$ :  $\{1, 2, 3, 4\}$ ,  $\{1, 3, 2, 4\}$ ,  $\{4, 2, 3, 1\}$ ,  $\{4, 3, 2, 1\}$ .

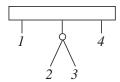


Figure 4: A proper PQ-tree. The circle is a P-node and the rectangle is a Q-node.

For a PQ-tree T with node x, we view path(T) and path(x) as paths. Consequently, T represents a set of paths on leaves(T), x represents a set of paths on leaves(x), and we can refer to the endpoints of path(T) and the endpoints of path(x).

## 4.2 Train trees

Let G be a chordal graph with clique-separator graph  $\mathcal{G}$  and let  $\mathcal{G}$  have connected subgraph  $\mathcal{H}$ . A train tree of  $\mathcal{H}$  is a proper PQ-tree T such that leaves(T) is the set of nodes of  $\mathcal{H}$  and each node x of T represents all c.i.p. paths on leaves(x). Then path(T) is a c.i.p. path on the nodes of  $\mathcal{H}$ . Figure 5 shows a train tree of the clique-separator graph in Figure 1b.

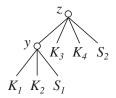


Figure 5: A train tree of the clique-separator graph in Figure 1b.

Let S be any separator node of  $\mathcal{G}$  (not necessarily in  $\mathcal{H}$ ) and let x be an internal node of T. If S is contained in both endpoints of path(x), then S meets x, and if S is contained in exactly one endpoint of path(x), then S semi-meets x. If S meets root(T), then S meets

T, and if S semi-meets root(T), then S semi-meets T. Since path(x) is a c.i.p. path, the following three statements are equivalent: S meets x,  $S \subset N$  for every  $N \in leaves(x) - \{S\}$ ,  $S \rightsquigarrow N$  for every  $N \in leaves(x) - \{S\}$ . In Figure 5, for example,  $S_2$  meets  $S_2$  and  $S_3$ .

We will later show that in any train tree, every P-node y has exactly one separator node child  $S_y$  and y is closely associated with  $S_y$ . An offspring of a Q-node x is a child of x that is a clique node or separator node, or a grandchild of x that is the separator node  $S_y$  of a P-node child y of x. Notice that the offspring of a Q-node are leaves of T. Figure 6 shows an interval graph, its clique-separator graph, and its train tree T, where  $S_1$  and  $S_2$  have size 3,  $S_3, S_4$ , and  $S_5$  have size 2, and  $S_6, S_7, S_8$ , and  $S_9$  have size 1. The offspring of root(T) are  $S_6, S_7, K_{11}, S_8, K_{12}$ , and  $S_9$ , and the offspring of the Q-node child of root(T) are  $S_1, K_2$ , and  $S_2$ .

We need a bit more notation. If y is a leaf of T and y is a separator node, then  $S_y$  denotes this separator node. If y is a P-node of T, then  $S_y$  denotes its separator node child, as before. Then whether y is a separator node or P-node,  $S_y$  is a separator node.

We will show that any train tree T of  $\mathcal{H}$  has the following properties, which can be verified on Figure 6.

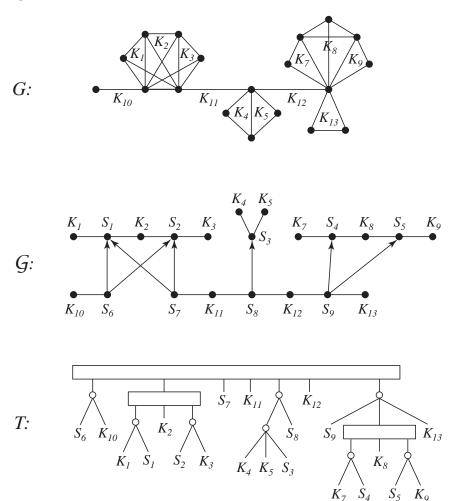


Figure 6: An interval graph G, its clique-separator graph G, and a train tree T of G.

**Property 1.** For every P-node x,  $S_x$  meets x and the following holds.

- a.  $S_x$  divides leaves(y) and leaves(y') in  $\mathcal{H}$  for any other children y and y' of x.
- b.  $S_x$  divides leaves(x) and leaves(T) leaves(x) in  $\mathcal{H}$ .

**Property 2.** For every Q-node x with children  $(y_1, y_2, \ldots, y_k)$ , the following holds.

- a. If any  $y_i$  is a separator node or P-node, then  $S_{y_i}$  divides  $leaves(y_1) \cup \cdots \cup leaves(y_{i-1})$  and  $leaves(y_{i+1}) \cup \cdots \cup leaves(y_k)$  in  $\mathcal{H}$ .
- b. If any  $y_i$  is a Q-node, then  $y_{i-1}$  and  $y_{i+1}$  are separator nodes or P-nodes and  $y_i$  has meeting siblings  $S_{y_{i-1}}$  and  $S_{y_{i+1}}$  that meet  $y_i$  and that are incomparable, where 1 < i < k.
- c.  $y_1$  and  $y_k$  are P-nodes and no separator node in leaves(x) is a predecessor of  $S_{y_1}$  or  $S_{y_k}$ .
- d. If x has consecutive offspring N and N', then  $N \subset N'$  or  $N \supset N'$ .

Properties 1 and 2 imply various train tree properties that we will use to prove the correctness of the algorithms. We present these properties in the rest of this section. In each result, the interval graph H is connected so that its clique-separator graph  $\mathcal{H}$  is connected. (If  $\mathcal{H}$  is disconnected, then the root of T must be a P-node r whose children are the roots of the train trees of the connected components of  $\mathcal{H}$ . But no separator node  $S_r$  meets T and thus Property 1 cannot hold.)

In the next lemma, part 2 holds for any clique C, whether or not C is maximal.

**Lemma 7** Let H be a connected interval graph with clique-separator graph  $\mathcal{H}$  and let  $\mathcal{H}$  have train tree T with Properties 1 and 2.

- 1. For any two consecutive nodes  $N_1$  and  $N_2$  of path(T), there is a separator node  $S \in leaves(T)$  such that  $S = N_1 \cap N_2$ .
- 2. For any clique C of H, if separator nodes  $S_1$  and  $S_2$  are minimal elements of the set  $\{N \mid N \in leaves(T) \text{ and } C \subseteq N\}$ , then  $S_1$  and  $S_2$  are offspring of the same Q-node.

**Proof** Let x be a node of T with consecutive children  $y_1$  and  $y_2$  such that  $N_1 \in leaves(y_1)$  and  $N_2 \in leaves(y_2)$ . We claim that if x is a P-node, then  $S_x = N_1 \cap N_2$ , and if x is a Q-node, then x has a separator node offspring S such that  $S = N_1 \cap N_2$ . We will prove the claim and then use it to prove the lemma.

Suppose x is a P-node. Then  $S_x \in leaves(x)$  may be  $N_1$  or  $N_2$ . We use Property 1 in both of the following cases. If  $S_x = N_1$  or  $S_x = N_2$ , then  $N_1 \subset N_2$  or  $N_2 \subset N_1$ , respectively, and so  $S_x = N_1 \cap N_2$ . If  $S_x \neq N_1$  and  $S_x \neq N_2$ , then  $S_x \leadsto N_1$  and  $S_x \leadsto N_2$  and  $S_x$  divides  $N_1$  and  $N_2$ . Then by definition,  $S_x$  strongly divides  $N_1$  and  $N_2$ . By Corollary 4-3,  $S_x = N_1 \cap N_2$ .

Suppose x is a Q-node. Then  $y_1$  and  $y_2$  are not both Q-nodes by Property 2b. Assume exactly one of  $y_1, y_2$  is a Q-node, say  $y_2$  (Figure 7). Then  $y_2$  has meeting sibling  $S_{y_1}$ . If  $S_{y_1} = N_1$ , then  $N_1 \subset N_2$  and so  $S_{y_1} = N_1 \cap N_2$ . If  $S_{y_1} \neq N_1$ , then  $y_1$  is a P-node and so  $S_{y_1}$  divides  $N_1$  and  $N_2$ . Since  $S_{y_1}$  meets  $y_1$  and  $y_2$ ,  $S_{y_1}$  strongly divides  $N_1$  and  $N_2$ . Then

by Corollary 4-3,  $S_{y_1} = N_1 \cap N_2$ . Assume neither of  $y_1, y_2$  is a Q-node. Then each of  $y_1, y_2$  is a P-node or separator node or clique node; both cannot be clique nodes by Property 2d. Suppose  $y_1$  and  $y_2$  are both P-nodes. Since  $S_{y_1}$  and  $S_{y_2}$  are consecutive offspring of x, either  $S_{y_1} \subset S_{y_2}$  or  $S_{y_1} \supset S_{y_2}$ , say  $S_{y_1} \subset S_{y_2}$ . If  $S_{y_1} = N_1$ , then  $N_1 \subset N_2$  and so  $S_{y_1} = N_1 \cap N_2$ . If  $S_{y_1} \neq N_1$ , then  $S_{y_1}$  is a P-node and as before, it follows that  $S_{y_1} = N_1 \cap N_2$ . The other cases for  $y_1$  and  $y_2$  are similar.

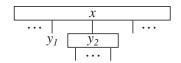


Figure 7: Proof of Lemma 7.

- 1. Let x be the lowest common ancestor of  $N_1$  and  $N_2$ . Then we are done by the claim.
- 2. Let x be the lowest common ancestor of  $S_1$  and  $S_2$ , where  $S_1$  and  $S_2$  are minimal elements of the specified set. Suppose x is a P-node. By the claim,  $S_x = S_1 \cap S_2$ . Then  $C \subseteq S_1 \cap S_2 = S_x$ . Since  $S_x$  cannot equal both  $S_1$  and  $S_2$ , suppose  $S_x \neq S_1$ . Then  $S_x \subset S_1$  and thus  $S_1$  is not a minimal element of the given set, a contradiction. Hence, x must be a Q-node. Let  $y_1$  and  $y_2$  be the children of x such that  $S_1 \in leaves(y_1)$  and  $S_2 \in leaves(y_2)$ . If  $y_1$  and  $y_2$  are consecutive children of x, then by the claim, x has a separator node offspring S such that  $S = S_1 \cap S_2$ . Then  $C \subseteq S_1 \cap S_2 = S$  and as before, we have a contradiction. Hence,  $y_1$  and  $y_2$  are not consecutive children of x.

Suppose at least one of  $y_1, y_2$  is a Q-node, say  $y_2$ . Let S be the meeting sibling of  $y_2$  between  $y_1$  and  $y_2$ . By Property 2a, S divides  $S_1$  and  $S_2$  and thus S separates  $S_1 - S$  and  $S_2 - S$ . This implies  $(S_1 \cap S_2) \subseteq S$  and thus  $C \subseteq S$ . But since S is a meeting sibling of  $y_2$ ,  $S \subset S_2$ . Then  $S_2$  is not a minimal element of the given set, a contradiction. Hence, neither of  $y_1, y_2$  is a Q-node. Now  $S_1$  and  $S_2$  are descendants of x. Suppose at least one of  $S_1, S_2$  is not an offspring of x, say  $S_1$ . Then  $y_1$  is a P-node and  $S_{y_1} \neq S_1$ . Then  $S_{y_1}$  divides  $S_1$  and  $S_2$  and thus  $S_{y_1}$  separates  $S_1 - S_{y_1}$  and  $S_2 - S_{y_1}$ . This implies  $(S_1 \cap S_2) \subseteq S_{y_1}$  and thus  $C \subseteq S_{y_1} \subset S_1$ . Then  $S_1$  is not a minimal element of the given set, a contradiction. Hence,  $S_1$  and  $S_2$  are both offspring of x.

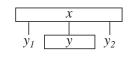
Intuitively, the next lemma shows that there are no "upward" arcs in a train tree.

**Lemma 8** Let H be a connected interval graph with clique-separator graph  $\mathcal{H}$  and let  $\mathcal{H}$  have train tree T with Properties 1 and 2.

- 1. For every P-node x with a child y, there are no arcs in  $\mathcal{H}$  from nodes in leaves(y) to nodes in leaves(T) leaves(y) and vice versa, except for arcs from  $S_x$  to nodes in leaves(y).
- 2. For every Q-node x with a Q-node child y, there are no arcs in  $\mathcal{H}$  from nodes in leaves(y) to nodes in leaves(T) leaves(y) and vice versa, except for arcs from the meeting siblings of y to nodes in leaves(y).

#### Proof





a. P-node x has child y

b. Q-node x has Q-node child y

Figure 8: Proof of Lemma 8.

- 1. Let P-node x have child y (Figure 8a). By Property 1a, there are no arcs from nodes in leaves(y) to nodes in leaves(y'), where  $y' \neq y$  is any other child of x. Since  $S_x$  meets y, there are no arcs from nodes in leaves(y) to  $S_x$ . It remains to show there are no arcs from nodes in leaves(y) to nodes in leaves(T) leaves(x) and vice versa. By Property 1b,  $S_x$  divides leaves(y) and leaves(T) leaves(x). Since  $S_x$  meets y,  $Preds(S_x) \subseteq leaves(T) leaves(x)$ . Then there are no arcs from nodes in leaves(y) to nodes in  $(leaves(T) leaves(x)) Preds(S_x)$  and vice versa. Since  $S_x$  meets y, there are no arcs from nodes in leaves(y) to nodes in  $Preds(S_x)$  and vice versa, so we are done.
- 2. Let Q-node x have Q-node child y (Figure 8b). By Property 2b, y has meeting siblings  $S_{y_1}$  and  $S_{y_2}$  that meet y and that are incomparable. An argument similar to the one in part 1, except using Property 2a, shows there are no arcs from nodes in leaves(y) to nodes in leaves(x) leaves(y) and vice versa, except for arcs from  $S_{y_1}$  or  $S_{y_2}$  to nodes in leaves(y). It remains to show there are no arcs from nodes in leaves(y) to nodes in leaves(y) and vice versa. Let w be the parent of x. We claim there are no arcs from leaves(y) to nodes in leaves(w) leaves(x) and vice versa. If w is a P-node, then part 1 proves the claim except for arcs from  $S_w$  to nodes of leaves(y). But no such arcs exist because  $S_w$  is a predecessor of  $S_{y_1}$  and  $S_{y_2}$ . If w is a Q-node, then Property 2a proves the claim except for arcs from the meeting siblings of x to nodes in leaves(y). But as before, no such arcs exist. Similar arguments for the other ancestors of x complete the proof.  $\Box$

# 4.3 The Ordering Lemma

Let G be a chordal graph with clique-separator graph  $\mathcal{G}$  and let  $\mathcal{G}$  have topological sort  $\sigma$ . A spanning set of train trees of  $\mathcal{G}(i)$  is a set of train trees with Properties 1 and 2 such that there is one train tree for each connected component of  $\mathcal{G}(i)$ , where  $1 \leq i \leq b(\mathcal{G})$ . If  $\mathcal{G}$  has a spanning set of train trees, then by Observation 5, G is an interval graph. We will prove the converse by showing that if G is an interval graph, then the train tree algorithm in the next section constructs a spanning set of train trees of  $\mathcal{G}$ .

The train tree algorithm works incrementally by processing the boxes of  $\mathcal{G}$  in reverse topological order. After building a spanning set of train trees  $\mathcal{T}$  of  $\mathcal{G}(i+1)$ , the algorithm processes box  $B_i$ . If  $B_i$  contains a separator node S such that  $S \to S'$ , then S' is a leaf of some train tree  $T \in \mathcal{T}$ , which is a successor tree of S and  $B_i$ . The algorithm merges  $B_i$  and its successor trees to obtain a train tree  $T^{new}$  of the connected component of  $\mathcal{G}(i)$  containing  $B_i$ . It adds  $T^{new}$  to  $\mathcal{T}$  to obtain a spanning set of train trees of  $\mathcal{G}(i)$ .

The correctness proof for the train tree algorithm is inductive. A successor tree of  $B_i$  is a train tree T of some connected component  $\mathcal{H}$  of  $\mathcal{G}(i+1)$ . By the Main Theorem-5,

 $H = G[V(\mathcal{H})]$  is a connected chordal graph, and since it has a train tree, it is an interval graph. So H is a connected interval graph with clique-separator graph  $\mathcal{H}$  and  $\mathcal{H}$  has train tree T with Properties 1 and 2. Then we can apply the results from the previous subsection to  $H, \mathcal{H}, T$ . Thus, every successor tree of  $B_i$  has all the train tree properties we have presented. In the correctness proof, we use these properties to show that  $T^{new}$  is the required train tree.

The Ordering Lemma, shown below, specifies the successor trees that  $B_i$  can have and also specifies the general structure of  $T^{new}$ . A box is *short* if it contains exactly one separator node and long if it contains two or more separator nodes. In Figure 3, for example, boxes  $B_1$  and  $B_6$  are short and boxes  $B_2$ ,  $B_3$ ,  $B_4$ , and  $B_5$  are long. The *outer nodes* of box B are the endpoints of body(B), which are separator nodes, and the *inner nodes* of B are the remaining separator nodes of B. For a path P containing nodes N and N', P(N, N') denotes the N-N' subpath of P. In this paper, disjoint means node-disjoint.

**Lemma 9** Let G be a chordal graph with clique-separator graph G and let G have topological sort  $\sigma$ . Let T be a spanning set of train trees of G(i+1), where  $1 \leq i < b(G)$ .

- 1. Suppose  $B_i$  has successor trees T and T'. Let P be any c.i.p. path containing the nodes in  $B_i$  and leaves $(T) \cup leaves(T')$ . Then leaves(T) and leaves(T') are contained in disjoint subpaths of P and no node of  $B_i$  is between any two nodes of leaves(T) in P.
- 2. Suppose  $B_i$  is a long box with inner node S and suppose S has successor tree T. Let P be any c.i.p. path containing the nodes in  $B_i$  and leaves(T). Let  $K_1$  and  $K_2$  be the neighbors of S on body $(B_i)$ . Then every leaf clique node adjacent to S and every node in leaves(T) is on  $P(K_1, K_2)$ . Furthermore, S meets T.
- 3. Suppose  $B_i$  is a long box with outer node S and suppose S has successor tree T. Let P be any c.i.p. path containing the nodes in  $B_i$  and leaves(T). Let K be the neighbor of S on  $body(B_i)$  and N be the endpoint of P such that P(K, N) contains S. Then every leaf clique node adjacent to S and every node in leaves(T) is on P(K, N). Furthermore, S meets T or semi-meets some  $T' \equiv T$ . In the latter case, the nodes in  $B_i$  and the nodes in leaves(T) are in disjoint subpaths of P.
- 4. Suppose  $B_i$  is a box with outer node S. If  $B_i$  is a long box, then S semi-meets at most one successor tree. If  $B_i$  is a short box, then S semi-meets at most two successor trees.

**Proof** Suppose  $B_i$  has a successor tree T. Let P be any c.i.p. path containing the nodes in  $B_i$  and leaves(T). Since  $body(B_i)$  is a constraining path by Theorem 6,  $body(B_i)$  is a subsequence of P. Since T represents every c.i.p. path on leaves(T), there exists  $\overline{T} \equiv T$  such that  $path(\overline{T})$  is a subsequence of P. Since T can be transformed into  $\overline{T}$ , for simplicity we assume henceforth that path(T) is a subsequence of P.

1. Suppose leaves(T) and leaves(T') are not contained in disjoint subpaths of P. Then some node N' of path(T') appears between two consecutive nodes of path(T) in P. Then by Lemma 7-1, some separator node  $N \in leaves(T)$  is contained in N' and thus  $N \leadsto N'$  in  $\mathcal{G}$ . Then there is a directed path from a node in leaves(T) to a node in leaves(T') in  $\mathcal{G}(i+1)$ . But leaves(T) and leaves(T') are the nodes of different connected components of  $\mathcal{G}(i+1)$ , a contradiction. Suppose some node N'' of  $B_i$  appears between two nodes of leaves(T) in P.

Then a similar argument shows that there is a directed path from a node in leaves(T) to a node in  $B_i$ . But  $\sigma$  is a topological sort, a contradiction.

2. Since  $B_i$  is long and S is an inner node,  $body(B_i)$  has a subpath  $(S_1, K_1, S, K_2, S_2)$ . Suppose  $K^l$  is a leaf clique node adjacent to S. If  $K^l$  is not on  $P(S_1, S_2)$  or  $K^l$  is on  $P(S_1, K_1)$  or  $P(K_2, S_2)$ , then one separator node of  $B_i$  is contained in another, contradicting the Main Theorem-2. Thus,  $K^l$  is on  $P(K_1, K_2)$ .

3. Since  $B_i$  is long and S is an outer node, K has a neighbor  $S' \neq S$  in  $B_i$ . Then (S', K, S, N) is a subsequence of P. Suppose  $K^l$  is a leaf clique node adjacent to S. If  $K^l$  is not on P(S', N) or  $K^l$  is on P(S', K), then one separator node of  $B_i$  is contained in another, contradicting the Main Theorem-2. Thus,  $K^l$  is on P(K, N).

Suppose S has successor tree T. Let  $N_1$  and  $N_2$  be the endpoints of path(T). By part 1, S is not on  $P(N_1, N_2)$ . Since S is contained in at least one node on  $P(N_1, N_2)$ , S is contained in  $N_1$  or  $N_2$  or both. Thus, S meets or semi-meets T. Now by part 1, K is not between any two nodes of leaves(T) in P. This implies that if any node of leaves(T) is not on P(K, N), all nodes of leaves(T) are not on P(K, N), which leads to contradictions. Thus, every node of leaves(T) is on P(K, N). If S meets T, we are done. If S semi-meets T, then the nodes in  $B_i$  and at least one node of leaves(T) are in disjoint subpaths of P. Then part 1 implies that the nodes in  $B_i$  and the nodes in leaves(T) are in disjoint subpaths of P.

4. Suppose  $B_i$  is long and S semi-meets successor trees  $T_1$  and  $T_2$ . Let  $S' \neq S$  be the other outer node of  $B_i$ . By part 1, the nodes in  $leaves(T_1), leaves(T_2)$ , and  $body(B_i)$  are contained in disjoint subpaths  $P_1, P_2$ , and  $P_3$  of P, respectively. If P contains  $P_1, P_2, P_3$  in this order, then S is contained in every node of  $P_2$  and so S meets  $T_2$ , a contradiction. If P contains  $P_1, P_3, P_2$  in this order, then S is contained in S', contradicting the Main Theorem-1. The other cases are symmetric. Hence, S semi-meets at most one successor tree. A similar argument shows that if  $B_i$  is short, then S semi-meets at most two successor trees.  $\square$ 

# 5 Computing the train tree

In this section, we present the train tree algorithm that constructs a spanning set of train trees  $\mathcal{T}$  of  $\mathcal{G}$  or rejects because G is not an interval graph. The algorithm processes the boxes of  $\mathcal{G}$  in reverse topological order and merges each box of  $\mathcal{G}$  and its successor trees. If G is connected, then  $\mathcal{G}$  is connected and the algorithm finishes with one train tree.

Let G be a chordal graph with clique-separator graph  $\mathcal{G}$  and let  $\mathcal{G}$  have topological sort  $\sigma$ . The algorithm builds a spanning set of train trees of  $\mathcal{G}(b(\mathcal{G})), \mathcal{G}(b(\mathcal{G})-1), \ldots, \mathcal{G}(1) = \mathcal{G}$  in this order. After building a spanning set of train trees  $\mathcal{T}$  of  $\mathcal{G}(i+1)$ , the algorithm processes box  $B_i$ . For a separator node S of  $B_i$ , let  $\mathcal{T}_S$  be the set of its successor trees and let  $\mathcal{T}_S^m$ 

and  $\mathcal{T}_S^{sm}$  be the sets of successor trees that S meets and semi-meets, respectively. Then  $\mathcal{T}_S^m \cup \mathcal{T}_S^{sm} \subseteq \mathcal{T}_S \subseteq \mathcal{T}$ . By the Main Theorem-4,  $\mathcal{T}_S \cap \mathcal{T}_{S'} = \emptyset$  for distinct separator nodes S and S' of  $B_i$ . The algorithm processes  $B_i$  in three main steps, as follows.

Step 1 calls the subroutine Scan(S) for each separator node S of  $B_i$ . Scan(S) computes  $\mathcal{T}_S^m$  and  $\mathcal{T}_S^{sm}$  and rejects if any of the following holds: (a)  $\mathcal{T}_S^m \cup \mathcal{T}_S^{sm} \neq \mathcal{T}_S$ , (b)  $|\mathcal{T}_S^{sm}| > 0$  and S is an inner node of  $B_i$ , (c)  $|\mathcal{T}_S^{sm}| > 1$  and S is an outer node of  $B_i$  and  $B_i$  is a long box, (d)  $|\mathcal{T}_S^{sm}| > 2$ . If it rejects, then G is not an interval graph by the Ordering Lemma. This step is the only one that can reject. Then Step 1 creates a train tree  $T^{new}$  such that  $path(T^{new})$  is  $body(B_i)$ .

Step 2 merges the  $\mathcal{T}_S^{sm}$  trees into  $T^{new}$ . Let  $S_1$  and  $S_2$  be the outer node(s) of  $B_i$ , where  $S_1 = S_2$  if  $B_i$  is a short box. If  $T_1 \in \mathcal{T}_{S_1}^{sm}$  and  $T_2 \in \mathcal{T}_{S_2}^{sm}$ , the subroutine SemiMeets merges  $T_1$  and  $T_2$  into  $T^{new}$  so that  $path(T^{new}) = path(T_1) + body(B_i) + path(T_2)$ , where + is concatenation.

Step 3 merges the  $\mathcal{T}_S^m$  trees into  $T^{new}$ . For a separator node S of  $B_i$ , the subroutine Meets replaces S with a P-node whose children are S, the leaf clique node(s) adjacent to S, and the root(s) of the tree(s) in  $\mathcal{T}_S^m$ . We will show that  $T^{new}$  is now a train tree of the connected component of  $\mathcal{G}(i)$  containing  $B_i$  and that  $T^{new}$  has Properties 1 and 2. The algorithm adds  $T^{new}$  to  $\mathcal{T}$  to obtain a spanning set of train trees of  $\mathcal{G}(i)$ . Hence, after all boxes of  $\mathcal{G}$  are processed,  $\mathcal{T}$  is a spanning set of train trees of  $\mathcal{G}$ .

In the train tree algorithm, Q-nodes are never split. Instead, Q-nodes are merged to form larger Q-nodes. So when the nodes in  $body(B_i)$  become consecutive offspring of a Q-node, they remain consecutive offspring of some Q-node for the rest of the algorithm.

## 5.1 The data structure

Each node of  $\mathcal{G}$  has a characteristic vector of the vertices it contains. Each clique node has pointers to its neighbors in  $\mathcal{G}$ . Each separator node has pointers to its immediate predecessors, immediate successors, and neighbors in  $\mathcal{G}$ . Each P-node has a linked list of its children and every child has a parent pointer. Each Q-node has an ordered linked list of its children and, to achieve the desired running time, only the first and last child has a parent pointer.

Every separator node S has the following attributes, which are used by the update operations.  $components_{\mathcal{G}}(S)$  is the number of connected components of  $\mathcal{G} - Preds(S)$  that contain a successor of S. By Theorem 1-1 and Corollary 4-4,  $components_{\mathcal{G}}(S) \geq 2$ . If S is an offspring of a Q-node x, then left(S) and right(S) are pointers to the leftmost and rightmost offspring  $N_l$  and  $N_r$  of x such that  $S \subseteq N_l$  and  $S \subseteq N_r$ . If S is not an offspring of any Q-node, then left(S) = right(S) = S.

# 5.2 The train tree algorithm

The input is the clique-separator graph  $\mathcal{G}$  of a chordal graph G. If  $\mathcal{G}$  does not have the properties in Theorem 6, then reject because G is not an interval graph. Otherwise, compute the directed acyclic graph  $\mathcal{G}^c$  and a topological sort  $\sigma$  of  $\mathcal{G}^c$ . Index the boxes so that the boxes with no successors in  $\mathcal{G}^c$  are  $B_j, B_{j+1}, \ldots, B_{b(\mathcal{G})}$ , where  $1 \leq j \leq b(\mathcal{G})$ .

For each  $k = j, j + 1, ..., b(\mathcal{G})$ , create a train tree as follows. If  $B_k$  is a short box with separator node S, create a P-node whose children are S and the leaf clique nodes adjacent to S. If  $B_k$  is a long box, create a Q-node whose children are the nodes in  $body(B_k)$  in the same order; then for every separator node S' in  $B_k$  adjacent to one or more leaf clique nodes, replace S' with a P-node whose children are S' and the leaf clique node(s) adjacent to S'. The set of these train trees is the initial  $\mathcal{T}$ . Figure 9 shows the initial  $\mathcal{T}$  for the clique-separator graph in Figure 6.

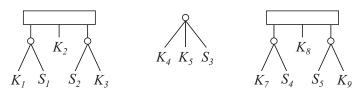


Figure 9: The initial  $\mathcal{T}$  for the clique-separator graph in Figure 6.

For each  $i = j - 1, \dots, 2, 1$  in decreasing order, process  $B_i$  with the following three steps.

- Step 1. [Create  $\mathcal{T}_S^{sm}$  and  $\mathcal{T}_S^m$ .] For each separator node S of  $B_i$ , call Scan(S) to compute  $\mathcal{T}_S^{sm}$  and  $\mathcal{T}_S^m$ . Create the following train tree  $T^{new}$ . If  $B_i$  is a short box with separator node S and  $\mathcal{T}_S^{sm} = \emptyset$ , then  $T^{new}$  is S. Otherwise,  $T^{new}$  is a Q-node whose children are the nodes in  $body(B_i)$  in the same order.
- Step 2. [Merge  $\mathcal{T}_S^{sm}$  trees.] For each separator node S in  $B_i$ , if  $\mathcal{T}_S^{sm} \neq \emptyset$ , then for each  $T \in \mathcal{T}_S^{sm}$ , call SemiMeets(S,T).
- **Step 3.** [Merge  $\mathcal{T}_S^m$  trees.] For each separator node S in  $B_i$ , if  $\mathcal{T}_S^m \neq \emptyset$  or S is adjacent to one or more leaf clique nodes, then call Meets(S). Add  $T^{new}$  to  $\mathcal{T}$ .

Scan(S):

Scan(S) computes  $\mathcal{T}_S^{sm}$  and  $\mathcal{T}_S^m$  in three steps. For each successor tree T of S, the first step marks the lowest common proper ancestor of the immediate successors of S in leaves(T). (The immediate successors of S are in no particular order. By Lemma 7-2, if there are several immediate successors of S, they are offspring of the same Q-node of T.) For each marked node x, the second step decides whether S meets or semi-meets the train tree that contains x. The third step checks the rejection conditions and sets the attributes of S.

- 1. [Find LCAs.] For each immediate successor S' of S, mark the parent of S' in its train tree and if S' is an offspring of a Q-node, then mark the Q-node too. Then for each marked Q-node y in any train tree, if y has exactly one marked child and this child is a P-node, then unmark y, and otherwise, unmark all marked children of y.
- 2. [Does S meet or semi-meet tree?] For each marked node x in any train tree, do the following. If x is the root of its train tree T and S meets x (because x is a P-node or x is a Q-node with leftmost and rightmost children l and r such that  $S \to S_l$  and  $S \to S_r$ ), then add T to  $\mathcal{T}_S^m$  and go to step 3. Otherwise, place x on the leftmost path

from root(T) by following parent pointers from x to root(T) and applying the PQ-tree operations permute and reverse. Reject if this is not possible, which means x has an ancestor y that is a child of a Q-node but is neither the leftmost nor the rightmost child. If x is a Q-node, then if necessary reverse x so that  $S \to S_y$ , where y is the leftmost child of x; reject if this is not possible. Add T to  $\mathcal{T}_S^{sm}$ . Reject if  $|\mathcal{T}_S^{sm}| > 2$ .

3. [Set attributes.] Reject if  $|\mathcal{T}_S^{sm}| > 0$  and S is an inner node, or if  $|\mathcal{T}_S^{sm}| > 1$  and S is an outer node of a long box. Set  $components_{\mathcal{G}}(S) = degree(S) + |\mathcal{T}_S^m| + |\mathcal{T}_S^{sm}|$ . If S has no neighbors that are internal nodes of  $B_i$ , then set left(S) = S and right(S) = S. If S has exactly one such neighbor K, then set left(S) = K and right(S) = S. If S has two such neighbors K and K', then set left(S) = K and right(S) = K'.

## SemiMeets(S,T):

Let  $x_T$  be the lowest common proper ancestor of the immediate successors of S in leaves(T). We assume that  $x_T$  is on the leftmost path from root(T) and that the root r of  $T^{new}$  is a Q-node whose rightmost child is S. SemiMeets merges T into  $T^{new}$  by following parent pointers from  $x_T$  and merging  $x_T$  and its ancestors into  $T^{new}$ .

1. [Merge  $x_T$ .] If S meets  $x_T$ , then make  $x_T$  into a child of root r (Figure 10). If S semi-meets  $x_T$ , then delete  $x_T$ , which must be a Q-node, and make the children of  $x_T$  into children of root r in the same order (Figure 11). Now S is to the immediate left of the new child(ren) of root r.



Figure 10: S meets  $x_T$ .

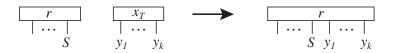


Figure 11: S semi-meets  $x_T$ .

2. [Merge ancestors of  $x_T$ .] If  $x_T$  was the root of T, then go to step 3. Otherwise,  $x_0 = x_T$  had proper ancestors  $x_1, x_2, \ldots, x_k$  in T, where each  $x_j$  is the leftmost child of  $x_{j+1}$  and  $k \ge 1$ . For each  $j = 1, 2, \ldots, k$  in this order, do the following.

If  $x_j$  is a P-node, then make  $x_j$  into a child of root r and if the only child of  $x_j$  is  $S_{x_j}$ , replace  $x_j$  with  $S_{x_j}$ . If  $x_j$  is a Q-node, then delete  $x_j$  and make the children of  $x_j$  into children of root r in the same order.

Now  $x_{j-1}$  (or its former children) is to the immediate left of  $x_j$  (or its former children).

- 3. [Set right(S).] If S met  $x_T$  and  $x_T$  was a P-node, then set  $right(S) = right(S_{x_T})$ . If S met  $x_T$  and  $x_T$  was a Q-node, then set right(S) = S. If S semi-met  $x_T$ , then set right(S) = right(S'), where S' was the rightmost offspring of  $x_T$  such that  $S \to S'$ .
- 4. [Set  $left(S_{x_j})$ .] For each  $x_j$  that was a P-node, set  $left(S_{x_j}) = S'$ , which is the leftmost offspring of root r that is to the right of S. (If S met  $x_T$  and  $x_T$  was a P-node, then  $S' = S_{x_T}$ . If S met  $x_T$  and  $x_T$  was a Q-node, then S' is the meeting sibling to the right of  $x_T$ . If S semi-met  $x_T$ , then S' is the leftmost offspring of  $x_T$ .)

## Meets(S):

Replace S with a P-node whose children are S, the leaf clique node(s) adjacent to S, and the root(s) of the tree(s) in  $\mathcal{T}_S^m$ .

Figure 12 shows the train tree algorithm applied to the clique-separator graph in Figure 3. Figure 12a shows the initial  $\mathcal{T}$ , which consists of  $B_4$ ,  $B_5$ , and  $B_6$ . Figure 12b shows  $\mathcal{T}$  after  $B_3$  is processed by calling Semi-Meets. Figure 12c shows  $\mathcal{T}$  after  $B_2$  is processed by calling Meets twice. Figure 12d shows  $\mathcal{T}$  after  $B_1$  is processed by calling Semi-Meets. In that last call, S is  $S_0$ , T is the train tree in Figure 12c,  $x_T$  is the Q-node with six children,  $x_1$  is the P-node with child  $S_1$ , and  $x_2$  is root(T). As examples of the attributes in the final  $\mathcal{T}$ ,  $components_{\mathcal{G}}(S_0) = 2$ ,  $left(S_0) = S_0$ ,  $right(S_0) = S_3$ , and  $components_{\mathcal{G}}(S_1) = 2$ ,  $left(S_1) = S_3$ ,  $right(S_1) = K_7$ , and  $components_{\mathcal{G}}(S_4) = 3$ ,  $left(S_4) = K_5$ ,  $right(S_4) = K_2$ .

## 5.3 Correctness and complexity

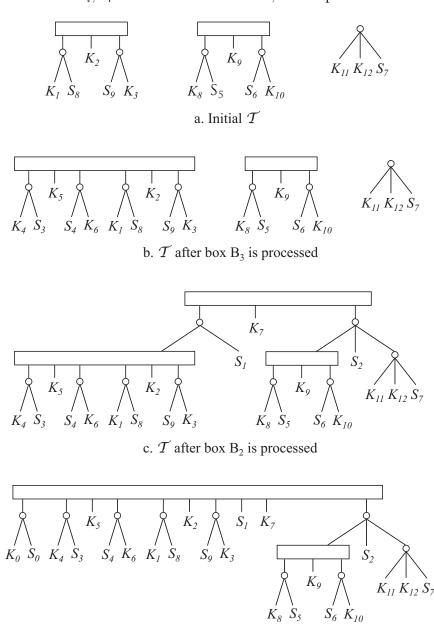
**Lemma 10** If Scan(S) rejects, then G is not an interval graph, and otherwise, Scan(S) correctly computes  $\mathcal{T}_S^m$  and  $\mathcal{T}_S^{sm}$ . Furthermore, after step 1 of Scan(S), each successor tree T of S has a unique marked node, which is the lowest common proper ancestor of the immediate successors of S in leaves(T).

**Proof** Consider step 1. Let T be any successor tree of S. If S has exactly one immediate successor S' in leaves(T), then after step 1, the parent of S' (whether P-node or Q-node) is the unique marked node of T. Otherwise, S has at least two immediate successors  $S'_1$  and  $S'_2$  in leaves(T). Since  $S'_1$  and  $S'_2$  are minimal elements of the set  $\{N \mid N \in leaves(T) \text{ and } S \subseteq N\}$ ,  $S'_1$  and  $S'_2$  are offspring of the same Q-node S by Lemma 7-2. Then step 1 marks S and unmarks the marked children of S. Then S contains a unique marked node S, which is the lowest common proper ancestor of the immediate successors of S in S

Consider step 2. Let x be the marked node of some successor tree T. We examine whether S meets x. Suppose x is a P-node. Then by step 1,  $S \to S_x$ , and by Property 1, S meets x. Suppose x is a Q-node with leftmost and rightmost children l and r, which are P-nodes by Property 2c. Then S meets x if and only if S is a predecessor of every node in l equivalence follows by Property 2c. Hence, S meets x if and only if  $S \to S_l$  and  $S \to S_r$ . The last equivalence follows by Property 2c. Hence, S meets x if and only if x is a P-node or x is a Q-node with leftmost and rightmost children l and r such that  $S \to S_l$  and  $S \to S_r$ .

Now we examine whether S meets T. Suppose x is root(T). Then S meets T if and only if S meets x, which step 2 decides correctly. Suppose x is not root(T). We claim that at least one node in leaves(T) - leaves(x) is not a successor of S, which implies S does not meet

T. Assume x is a Q-node. Then by Lemma 8, there are no arcs from nodes in leaves(x) to nodes in leaves(T) - leaves(x). Then every successor of S is contained in leaves(x), which proves the claim. Assume x is a P-node. Then again Lemma 8 proves the claim, except in the case that the parent of x is a Q-node and root(T). In that case, root(T) has leftmost and rightmost children l and r and no separator node in leaves(x) is a predecessor of  $S_l$  or  $S_r$ . Then at least one of  $S_l$ ,  $S_r$  is not a successor of S, which proves the claim.



• •

Figure 12: The train tree algorithm applied to the clique-separator graph in Figure 3.

d.  $\mathcal{T}$  after box  $B_1$  is processed

We have shown that step 2 adds T to  $\mathcal{T}_S^m$  if and only if S meets T. Suppose step 2 does not add T to  $\mathcal{T}_S^m$ . If x cannot be placed on the leftmost path from root(T), then x has an

ancestor y (we may have y=x) that is a child of a Q-node z but is neither the leftmost nor the rightmost child of z. Since the immediate successors of S in leaves(T) are contained in leaves(y), Property 2c implies that S does not semi-meet z, and moreover, S does not semi-meet any  $T' \equiv T$ . Then G is not an interval graph by the Ordering Lemma-3. Thus, step 2 adds T to  $T_S^{sm}$  if and only if S semi-meets T. If  $|T_S^{sm}| > 2$ , then G is not an interval graph by the Ordering Lemma-4. (We test whether  $|T_S^{sm}| > 2$  in step 2 so that it follows parent pointers for at most three successor trees of S.) Lastly, if step 3 rejects, then G is not an interval graph by the Ordering Lemma-2 or the Ordering Lemma-4.

**Lemma 11** Suppose the train tree algorithm makes a SemiMeets(S,T) call, where S is a separator node of  $B_i$  and T is a train tree of a connected component  $\mathcal{H}$  of  $\mathcal{G}(i+1)$ . Let  $\mathcal{C}^{semi}$  be the graph containing  $body(B_i)$  and  $\mathcal{H}$  and the arcs from nodes of  $body(B_i)$  to nodes of  $\mathcal{H}$ .

- 1. If a separator node divides two nodes in  $\mathcal{H}$ , then it divides these two nodes in  $\mathcal{C}^{semi}$ .
- 2. Let  $T^{semi}$  be the train tree resulting from the call. For every node w of  $T^{semi}$  that is neither the root nor a child of the root,  $subtree_{T^{semi}}(w)$  is the same as  $subtree_{T}(w)$ .

**Proof** Since  $\mathcal{H}$  is a connected component of  $\mathcal{G}(i+1)$  and  $\mathcal{C}^{semi}$  is a subgraph of a connected component of  $\mathcal{G}(i)$ , the Main Theorem-3 implies part 1. When SemiMeets(S,T) runs, each  $x_j, 0 \leq j \leq k$ , is made a child of root r or  $x_j$  is a Q-node whose children are made children of root r. Part 2 follows.

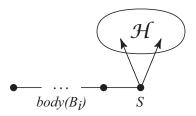


Figure 13: The graph  $C^{semi}$ .

**Theorem 12** Let G be a chordal graph with clique-separator graph G. Then G is an interval graph if and only if the train tree algorithm computes a spanning set of train trees of G.

**Proof** The  $\Leftarrow$  direction follows by Observation 5 and it remains to prove the  $\Rightarrow$  direction. For the rest of the proof, assume G is an interval graph.

The algorithm begins by creating a train tree for each box  $B_k$ ,  $k = j, j + 1, ..., b(\mathcal{G})$ , and these trees form the initial  $\mathcal{T}$ . If  $B_k$  is short, then any ordering of its nodes is a c.i.p. path. If  $B_k$  is long, then Theorem 6 states that  $body(B_k)$  is a constraining path and the Ordering Lemma-2-3 specifies where the leaf clique nodes of  $B_k$  may appear in a c.i.p. path on the nodes of  $B_k$ . It remains to show that every train tree has Properties 1 and 2. Property 1 and Property 2a hold by Corollary 4-2. Property 2c holds by the Main Theorem-1. The other properties are trivial. Therefore, the initial  $\mathcal{T}$  is a spanning set of train trees of  $\mathcal{G}(j)$ .

Suppose the algorithm has built a spanning set of train trees  $\mathcal{T}$  of  $\mathcal{G}(i+1)$ ,  $1 \leq i \leq j-1$ . Then the algorithm processes box  $B_i$ . If it rejects, it does so in Step 1 and by Lemma 10,

G is not an interval graph, a contradiction. Let  $\mathcal{C}$  be the connected component of  $\mathcal{G}(i)$  that contains  $B_i$ . The rest of the proof shows that the algorithm computes a train tree of  $\mathcal{C}$  that has Properties 1 and 2. Therefore, when the algorithm adds this train tree to  $\mathcal{T}$ , it obtains a spanning set of train trees of  $\mathcal{G}(i)$ .

The algorithm processes  $B_i$  in three steps. Step 1 creates  $T^{new}$  with root r. Assume Step 2 makes no SemiMeets calls. Then Step 3 makes at least one call of Meets because  $B_i$  has at least one successor tree. After Step 3, we have the following. Suppose  $B_i$  is a short box with separator node S. Then root r is a P-node whose children are S, the leaf clique node(s) adjacent to S, and the roots of the successor tree(s) of S. By the Ordering Lemma-1,  $T^{new}$  is a train tree of C. Suppose  $B_i$  is a long box. Then root r is a Q-node and for every separator node S of  $B_i$ , root r has child S or a P-node child whose children are S, the leaf clique node(s) adjacent to S, and the roots of the successor tree(s) of S. By Theorem 6 and the Ordering Lemma,  $T^{new}$  is a train tree of C. In either case, we can readily show that  $T^{new}$  has Properties 1 and 2. Therefore,  $T^{new}$  is the required tree and we are done.

Assume Step 2 makes at least one SemiMeets call, one of at most two calls. Consider the first SemiMeets(S,T) call, where S is a separator node of  $B_i$  and T is a train tree of a connected component  $\mathcal{H}$  of  $\mathcal{G}(i+1)$ . Let  $\mathcal{C}^{semi}$  be the graph containing  $body(B_i)$  and  $\mathcal{H}$  and the arcs from nodes of  $body(B_i)$  to nodes of  $\mathcal{H}$  (Figure 13). By Corollary 4-2, S divides  $body(B_i)$  and  $\mathcal{H}$  in  $\mathcal{C}^{semi}$ . In the rest of the proof, T denotes the train tree passed to the call and  $T^{semi}$  denotes the train tree resulting from the call. Here is a summary of the notation.

- S is a separator node of  $B_i$  and T is a train tree of a connected component  $\mathcal{H}$  of  $\mathcal{G}(i+1)$ .
- $T^{semi}$  is the train tree resulting from the SemiMeets(S,T) call.
- $\mathcal{C}^{semi}$  is the graph containing  $body(B_i)$  and  $\mathcal{H}$  and the arcs from nodes of  $body(B_i)$  to nodes of  $\mathcal{H}$ .

The major part of the proof shows that  $T^{semi}$  is a train tree of  $C^{semi}$  and  $T^{semi}$  has Properties 1 and 2. We first show that  $T^{semi}$  is a proper PQ-tree, i.e., every P-node has at least two children and every Q-node has at least three children. By Lemma 11-2, it suffices to consider root r and its children. Consider SemiMeets(S,T). If S meets  $x_T$ , then  $x_T$  is not the root of T and so step 1 and step 2 add at least two children to root r. If S does not meet  $x_T$ , then  $x_T$  is a Q-node and so step 1 adds at least three children to root r. In step 2, if any  $x_j$  becomes a P-node whose only child is  $S_{x_j}$ , then  $x_j$  is replaced by  $S_{x_j}$ . Thus,  $T^{semi}$  is a proper PQ-tree.

Next we show that every internal node y of  $T^{semi}$  represents all c.i.p. paths on leaves(y). As before, it suffices to consider root r and its children. For any node w of T, oldleaves(w) denotes the set of leaves in  $subtree_T(w)$ , and if w is a node of  $T^{semi}$ , leaves(w) denotes the set of leaves in  $subtree_{T^{semi}}(w)$ . Consider the children of root r. Every child that is a node of  $body(B_i)$  is a leaf and every other child was  $x_j$  or a child of  $x_j$  in T, for some  $0 \le j \le k$ . Before SemiMeets(S,T) runs, each  $x_j$  represents all c.i.p. paths on  $oldleaves(x_j)$ . After SemiMeets(S,T) runs,  $x_j$  is a child of root r (possibly with one fewer child) or  $x_j$ 's children are children of root r. So for every child y of root r, y represents all c.i.p. paths on leaves(y).

Consider root r. We will show that as SemiMeets(S,T) runs, it maintains the invariant that root r represents all c.i.p. paths on leaves(r) such that the nodes in  $body(B_i)$  and

the nodes in  $leaves(r) \cap leaves(T)$  are contained in disjoint subpaths of the path. Initially leaves(r) consists of the nodes in  $body(B_i)$  and so  $leaves(r) \cap leaves(T) = \emptyset$ . Since  $body(B_i)$  is a constraining path by Theorem 6, the invariant holds. After step 1, leaves(r) consists of the nodes in  $body(B_i)$  and  $oldleaves(x_T)$ . Since  $x_T$  represented every c.i.p. path on  $oldleaves(x_T)$ , the invariant holds after step 1.

Consider step 2. If  $x_T$  was the root of T before step 1, then S semi-met  $x_T$  and leaves(r) now consists of the nodes in  $body(B_i)$  and leaves(T), so the invariant holds after step 2. Otherwise,  $x_0 = x_T$  had proper ancestors  $x_1, x_2, \ldots, x_k$  in T,  $k \geq 1$ . By Lemma 10, S was not contained in any node in  $oldleaves(x_1) - oldleaves(x_T)$ . Since  $x_1$  represented every c.i.p. path on  $oldleaves(x_1)$ , it follows that after step 2 processes  $x_1$ ,  $leaves(r) \cap leaves(T) = oldleaves(x_1)$  and the invariant holds. This holds whether  $x_1$  was a P-node or a Q-node. Similarly, after step 2 processes  $x_i, 2 \leq i \leq k$ ,  $leaves(r) \cap leaves(T) = oldleaves(x_i)$  and the invariant holds. Then after step 2,  $leaves(r) \cap leaves(T) = oldleaves(x_k) = leaves(T)$  and the invariant holds.

Now in any c.i.p. path containing the nodes in  $B_i$  and leaves(T), the nodes in  $B_i$  and the nodes in leaves(T) are contained in disjoint subpaths of the path. This follows by the Ordering Lemma-3 if  $B_i$  is a long box and by the Ordering Lemma-1 if  $B_i$  is a short box. We conclude that after SemiMeets(S,T) runs, root r represents all c.i.p. paths on leaves(r). Therefore,  $T^{semi}$  is a train tree of  $C^{semi}$ .

Next we show that  $T^{semi}$  has Properties 1 and 2 with an exception (Property 2c) that we will discuss. We write each property with the variables renamed appropriately.

Property 1: For every P-node y,  $S_y$  meets y and the following holds.

- a.  $S_y$  divides leaves(z) and leaves(z') in  $\mathcal{C}^{semi}$  for any children z and z' of y.
- b.  $S_y$  divides leaves(y) and  $leaves(T^{semi}) leaves(y)$  in  $C^{semi}$ .

Let y be a P-node of  $T^{semi}$ . Then y was a P-node of T, although y may have one fewer child in  $T^{semi}$ . By Lemma 11-1, y satisfies Property 1a in  $T^{semi}$ , and moreover,  $S_y$  divides leaves(y) and leaves(T) - leaves(y) in  $C^{semi}$ . To show that y satisfies Property 1b in  $T^{semi}$ , we must show that  $S_y$  divides  $body(B_i)$  and leaves(y) in  $C^{semi}$ . Recall S divides  $body(B_i)$  and leaves(T) in  $C^{semi}$ . Then whether  $S \rightsquigarrow S_y$  or  $S \not \leadsto S_y$ ,  $body(B_i)$  and leaves(y) are in different connected components of  $C^{semi} - Preds(S_y)$ . Then y satisfies Property 1b in  $T^{semi}$ .

Property 2: For every Q-node y with children  $(z_1, z_2, \ldots, z_k)$ , the following holds.

- a. If any  $z_i$  is a separator node or P-node, then  $S_{z_i}$  divides  $leaves(z_1) \cup \cdots \cup leaves(z_{i-1})$  and  $leaves(z_{i+1}) \cup \cdots \cup leaves(z_k)$  in  $C^{semi}$ .
- b. If any  $z_i$  is a Q-node, then  $z_{i-1}$  and  $z_{i+1}$  are separator nodes or P-nodes and  $z_i$  has meeting siblings  $S_{z_{i-1}}$  and  $S_{z_{i+1}}$  that meet  $z_i$  and that are incomparable, where 1 < i < k.
- c.  $z_1$  and  $z_k$  are P-nodes and no separator node in leaves(y) is a predecessor of  $S_{z_1}$  or  $S_{z_k}$ .
  - d. If y has consecutive offspring N and N', then  $N \subset N'$  or  $N \supset N'$ .

Other than root r, every Q-node of  $T^{semi}$  was a Q-node of T and thus satisfies Property 2 in  $T^{semi}$ . It remains to show that root r, which is a Q-node, satisfies Property 2 in  $T^{semi}$ .

Property 2a: We consider the children of root r from left to right. Every separator node of  $B_i$  is a child of root r and by Corollary 4-2, it satisfies Property 2a in  $T^{semi}$ . Consider  $x_T$  in T. If  $x_T$  was a P-node of T (Figure 10a), then  $x_T$  is a P-node of  $T^{semi}$  and  $S \to S_{x_T}$ .

Then since S and  $x_T$  are siblings,  $S_{x_T}$  satisfies Property 2a in  $T^{semi}$ . If  $x_T$  was a Q-node of T and S met  $x_T$  (Figure 10b), then  $x_T$  became a Q-node of  $T^{semi}$  and we may ignore it.

Suppose  $x_T$  was a Q-node of T and S semi-met  $x_T$  (Figure 11). Then the children of  $x_T$  in T became children of root r in  $T^{semi}$ . By Property 2c, the leftmost child of  $x_T$  was a P-node with separator node child S'. Since  $S \to S'$ , S' satisfies Property 2a in  $T^{semi}$ . Let S'' be any separator node child of  $x_T$ ; the argument is the same if S'' is an offspring of  $x_T$ . If  $x_T$  was the root of T, then by Lemma 11-1, S'' satisfies Property 2a in  $T^{semi}$ . If  $x_T$  was not the root of T, then its parent  $x_1$  was a P-node because a Q-node cannot be the leftmost child of another Q-node by Property 2b. Since  $S_{x_1}$  met  $x_T$ ,  $S_{x_1} \leadsto S''$ . Since  $S_{x_1}$  satisfied Property 1b in T and S'' satisfied Property 2a in T, S'' satisfies Property 2a in  $T^{semi}$ .

For any  $x_j$ ,  $1 \le j \le k$ , S did not meet  $x_j$ . If  $x_j$  was a P-node of T, then since  $S_{x_j}$  satisfied Property 1b in T,  $S_{x_j}$  satisfies Property 2a in  $T^{semi}$ . If  $x_j$  was a Q-node of T, then an argument similar to the one for  $x_T$  shows that every separator node child of  $x_j$  satisfies Property 2a in  $T^{semi}$ .

Property 2b: Let z be a Q-node child of root r. Suppose  $z = x_T$ . Then  $x_T$  was a Q-node of T and S met  $x_T$ , which implies  $x_T$  had parent  $x_1$  in T. Then  $x_1$  was a P-node and  $S_{x_1}$  met  $x_T$ . Since S and  $S_{x_1}$  are incomparable, S and  $S_{x_1}$  are the meeting siblings of  $x_T$  in  $T^{semi}$ . Suppose  $z \neq x_T$ . Then z was a Q-node child of some Q-node  $x_j$ ,  $1 \leq j \leq k$ , in T that was deleted. Since z had meeting siblings in T, z has the same meeting siblings in  $T^{semi}$ .

Property 2c: Let z and z' be the leftmost and rightmost children of root r in  $T^{semi}$ , respectively. Consider z'. The root of T was  $x_k, k \geq 0$ . Case 1:  $x_k$  was a Q-node. Then z' was the rightmost child of  $x_k$  in T. Since S semi-met T and T had Property 2c, z' is a P-node and no separator node in leaves(r) is a predecessor of  $S_{z'}$ . Case 2:  $x_k$  was a P-node. Then  $S_{x_k}$  was in a short box and  $Meets(S_{x_k})$  was called when that box was processed. Since  $S_{x_k}$  had (at least) two adjacent leaf clique nodes, or two successor trees, or an adjacent leaf clique node and a successor tree,  $x_k$  had (at least) two children besides  $S_{x_k}$ . Then Semi-Meets(S,T) does not replace P-node  $x_k$  with  $S_{x_k}$ , which means  $x_k = z'$ . Then as before, no separator node in leaves(r) is a predecessor of  $S_{z'}$ . Therefore, z' satisfies Property 2c.

Consider z. Since z is an outer node of  $B_i$ ,  $S_z$  is a separator node. If  $B_i$  is a long box,  $S_z \neq S$ , and if  $B_i$  is a short box,  $S_z = S$ . By the Main Theorem-2, no separator node in leaves(r) is a predecessor of  $S_z$ . Since  $S_z$  has (at least) an adjacent leaf clique node or a successor tree  $T' \neq T$ , there will be a call of  $Meets(S_z)$  or  $Semi-Meets(S_z, T')$ . In the first case,  $S_z$  will be replaced with a P-node with child  $S_z$ , and in the second case,  $S_z$  will no longer be the leftmost child of root  $S_z$ . Therefore, the leftmost child of root  $S_z$  will satisfy Property 2c when the processing of  $S_z$  is complete.

Property 2d: We consider the offspring of root r from left to right. Clearly, Property 2d holds for the nodes in  $body(B_i)$ . Consider  $x_T$ . If  $x_T$  was a P-node of T, then S met  $S_{x_T}$  and so  $S \subset S_{x_T}$ . Suppose  $x_T$  was a Q-node of T. If S met  $x_T$ , then  $x_T$  is a Q-node in  $T^{semi}$  and we may ignore it. If S semi-met  $x_T$ , then the children of  $x_T$  became children of root r in  $T^{semi}$ ; the leftmost child of  $x_T$  was a P-node with child S' such that  $S \subset S'$ . We do not need to consider the other children of  $x_T$  because T had Property 2d.

Consider  $x_1$ . Case 1:  $x_1$  was a Q-node of T. Then the children of  $x_1$  became children of root r in  $T^{semi}$ . Moreover,  $x_T$  was a P-node of T and since  $S_{x_T}$  was an offspring of  $x_1$  in T,  $S_{x_T}$  is an offspring of root r in  $T^{semi}$ . Case 2:  $x_1$  was a P-node of T. Suppose  $x_1$  becomes a P-node child of root r; the argument is the same if  $x_1$  is replaced with  $S_{x_1}$ . Let z be the

left sibling of  $x_1$  in  $T^{semi}$ . What is z? If  $x_T$  was a P-node of T, then  $z=x_T$  is a P-node in  $T^{semi}$  and  $S_z \supset S_{x_1}$ . If  $x_T$  was a Q-node of T, then either S met  $x_T$  and we may ignore  $x_T$ , or S semi-met  $x_T$  and z was  $x_T$ 's rightmost child in T, in which case z was a P-node and  $S_z \supset S_{x_1}$ . A similar argument applies for each of  $x_2, \ldots, x_k$ . Thus,  $T^{semi}$  has Property 2d.

This concludes the discussion of SemiMeets(S,T). Now there may be a SemiMeets(S',T') call for a successor tree  $T' \neq T$ , where S' is an outer node of  $B_i$  and T' is a train tree of a connected component  $\mathcal{H}'$  of  $\mathcal{G}(i+1)$ . Let  $T^{semi'}$  denote the train tree resulting from this call. A similar argument shows that  $T^{semi'}$  is a train tree of the graph containing  $body(B_i)$  and  $\mathcal{H}$  and  $\mathcal{H}'$  and the arcs from nodes of  $body(B_i)$  to nodes of  $\mathcal{H}$  or  $\mathcal{H}'$ , and that  $T^{semi'}$  has Properties 1 and 2. This completes Step 2 of the processing of  $B_i$ .

Step 3 calls Meets for certain separator nodes of  $B_i$ . For a separator node S, Meets(S) replaces S with a P-node whose children are S, the leaf clique node(s) adjacent to S, and the root(s) of the tree(s) in  $\mathcal{T}_S^m$ . Informally, Step 3 adds the leaf clique nodes of  $B_i$  and the successor trees in  $\mathcal{T}_S^m$  to the train tree. Let  $T^{new}$  be the new train tree. Recall that  $\mathcal{C}$  is the connected component of  $\mathcal{G}(i)$  that contains  $B_i$ . The leaves of  $T^{new}$  are the nodes of  $\mathcal{C}$  and  $T^{new}$  is a proper PQ-tree. By the Ordering Lemma and the preceding discussion, every internal node y of  $T^{new}$  represents all c.i.p. paths on leaves(y). So  $T^{new}$  is a train tree of  $\mathcal{C}$ . We can readily show  $T^{new}$  has Properties 1 and 2. This completes the processing of  $B_i$ .  $\square$ 

Remark. Theorem 12 implies that every train tree of a connected component of  $\mathcal{G}$  has Properties 1 and 2 because a proper PQ-tree is unique up to equivalence [BL76] and Properties 1 and 2 are invariant under the PQ-tree operations permute and reverse.

**Theorem 13** Given a chordal graph G and its clique-separator graph G, the train tree algorithm runs in O(n) time, where n is the number of vertices of G.

**Proof** If  $\mathcal{G}$  has more than 2n arcs, then the train tree algorithm rejects before it processes any boxes. Otherwise,  $\mathcal{G}$  has size O(n) and thus computing  $\mathcal{G}^c$  and a topological sort of  $\mathcal{G}^c$  requires O(n) time. We consider the cost of all Meets, Scan, and SemiMeets calls in turn. Since there are at most 2n arcs in  $\mathcal{G}$ , there are at most 2n Meets calls. Each Meets call takes O(1) time so the total time for all Meets calls is O(n). Since there are at most n separator nodes, there are at most n Scan calls. Then the total time for step 1 and step 3 in all Scan calls is O(n) because step 1 examines each arc from S in O(1) time and step 3 runs in O(1) time. Now in a particular Scan(S) call, step 2 places each T in  $T_S^m$  in O(1) time, or places T in  $T_S^{sm}$  after following parent pointers from T to T to T to T in T to T in T to T in T to T in T then the total cost of following parent pointers in all T in all T is bounded by the total cost of following parent pointers in all T in all T is because the same pointers in all T in T

The train tree algorithm creates the following train tree nodes. For each box  $B_i$ , at most one Q-node is created (in Step 1 or before Step 1). For each separator node of  $B_i$ , at most one P-node is created (in Step 3). Then the total number of train tree nodes created is bounded by the number of boxes in  $\mathcal{G}$  plus the number of separator nodes in  $\mathcal{G}$ , which at most 2n. Then the total number of parent pointers from train tree nodes is at most 2n. Now in any call of SemiMeets, each parent pointer followed from  $x_j$  to  $x_{j+1}$  is deleted. This means that as the train tree algorithm runs, each parent pointer is followed at most once. Thus, the total cost of following parent pointers in all SemiMeets calls is O(n).

We now bound the cost of making the children of each Q-node  $x_j$ ,  $0 \le j \le k$ , into children of root r in SemiMeets. Each Q-node has a linked list of its children and only the first and last child has a parent pointer. Then we can concatenate two lists and update the parent of the first and last child in O(1) time. Since this is done at most once for each parent pointer followed, the total cost in all SemiMeets calls is O(n). (If Scan(S) finds an ancestor y of x that is not the first or last child of its Q-node parent, then y does not have a parent pointer. But in this case, Scan(S) rejects.) Hence, the train tree algorithm runs in O(n) time.

**Theorem 14** The train tree algorithm correctly computes the components<sub> $\mathcal{G}$ </sub>(S), left(S), and right(S) attributes for every separator node S.

**Proof** Let S be a node of box  $B_i$  and consider the processing of  $B_i$ . Step 1 calls Scan(S), which sets  $components_{\mathcal{G}}(S) = degree(S) + |\mathcal{T}_S^m| + |\mathcal{T}_S^{sm}|$ . This is the number of connected components of  $\mathcal{G}(i) - Preds(S)$  that contain a successor of S. By the Main Theorem-3, this equals the number of connected components of  $\mathcal{G} - Preds(S)$  that contain a successor of S, so  $components_{\mathcal{G}}(S)$  is set correctly. Then Scan(S) initializes left(S) and right(S) after determining whether  $B_i$  is a short box or long box.

Step 2 may call SemiMeets(S,T), which sets right and left for some nodes. First, we show that SemiMeets(S,T) sets right(S) correctly. Suppose S met  $x_T$  (Figure 10). If  $x_T$  was a Q-node, then  $x_T$  is a Q-node in  $T^{new}$ . If  $x_T$  was a P-node, then consider the parent  $x_1$  of  $x_T$ . If  $x_1$  was a P-node, then  $right(S_{x_T}) = S_{x_T}$  and  $S \subset S_{x_T}$  and  $S \not\subset S_{x_1}$ , and if  $x_1$  was a Q-node, then  $right(S_{x_T})$  was already set. In every case, right(S) is set correctly.

Suppose S semi-met  $x_T$  (Figure 11). The algorithm sets right(S) = right(S'), where S' was the rightmost offspring of Q-node  $x_T$  such that  $S \to S'$ . Let N = right(S'). Since  $S \subset S' \subseteq N$  and S semi-met  $x_T$ , N had a right sibling N'. Then (S', N, N') was a subsequence of  $path(x_T)$ . Suppose  $S \subseteq N'$ , that is,  $S \leadsto N'$ . Then there exists a separator node  $S'' \in leaves(x_T)$  such that  $S \to S''$  and  $S'' \leadsto N'$ . By Lemma 7-2, S'' is an offspring of  $x_T$ . Then S'' must be to the left of S'. Then since  $path(x_T)$  was a c.i.p. path,  $S'' \subset N'$  implies  $S'' \subset S'$ . But then  $S \subset S'' \subset S'$ , which contradicts  $S \to S'$ . Then  $S \not\subseteq N'$ , which implies right(S) is set correctly.

Next we show that SemiMeets(S,T) sets left correctly. For each  $x_j$  that was a P-node, it sets  $left(S_{x_j}) = S'$ , which is the leftmost offspring of root r that is to the right of S. Since  $x_j$  was an ancestor of  $x_T$ ,  $S_{x_j} \subset S'$  and  $S_{x_j} \not\subset S$ , which implies  $left(S_{x_j})$  is set correctly. For each  $x_j$  that was a Q-node, it does not set any left attributes for the following reason. By Property 2c, the leftmost child of  $x_j$  is P-node  $x_{j-1}$  and  $left(S_{x_{j-1}})$  is set correctly as just discussed. Let  $y \neq x_{j-1}$  be a child of  $x_j$  such that y is a separator node or P-node. By Property 2c,  $S_y \not\subset S_{x_{j-1}}$  and thus  $left(S_y)$  does not need to be changed. A similar argument shows that we do not need to change the right attributes of the nodes in leaves(T) that become offspring of root r.

# 6 Inserting an edge

In this section, we present the operation that inserts an edge into G and uses  $\mathcal{G}$  to decide whether the new G is a chordal graph. If it is, the operation computes the new  $\mathcal{G}$  by making

a small, local change. Subsequently, the train tree algorithm computes the new  $\mathcal{T}$  or rejects because the new G is not an interval graph.

## 6.1 Preliminaries

Let G be a chordal graph (not necessarily an interval graph) with clique-separator graph  $\mathcal{G}$ . Throughout this section,  $\{v_1, v_2\} \notin E$ . Then no clique node or separator node of  $\mathcal{G}$  contains both  $v_1$  and  $v_2$ ; we will use this fact implicitly. Given  $v_1 \in V$  and  $v_2 \in V$ ,  $G + \{v_1, v_2\}$  denotes  $(V, E \cup \{\{v_1, v_2\}\})$ . The next two theorems give necessary and sufficient conditions for  $G + \{v_1, v_2\}$  to be chordal. Theorem 15 uses the clique tree of G and Theorem 16 uses the clique-separator graph of G.

**Theorem 15** ([**Iba08a**]) Let G be a chordal graph and let  $\{v_1, v_2\} \notin E(G)$ . Then  $G + \{v_1, v_2\}$  is chordal if and only if G has a clique tree T and maximal cliques  $K_1$  and  $K_2$  such that  $v_1 \in K_1$  and  $v_2 \in K_2$  and  $\{K_1, K_2\} \in E(T)$ .

**Theorem 16** Let G be a connected chordal graph with clique-separator graph  $\mathcal{G}$  and let  $\{v_1, v_2\} \notin E(G)$ . Then  $G + \{v_1, v_2\}$  is chordal if and only if  $\mathcal{G}$  has a separator node S and clique nodes  $K_1$  and  $K_2$  such that  $v_1 \in K_1$  and  $v_2 \in K_2$  and S strongly divides  $K_1$  and  $K_2$ . Moreover, S is unique if it exists.

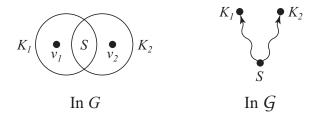


Figure 14:  $S = K_1 \cap K_2$  and S strongly divides  $K_1$  and  $K_2$ .

**Proof** The equivalence follows by Theorem 15 and Corollary 4-4. The condition is illustrated in Figure 14. Notice that G must be connected because otherwise, we may have  $K_1 \cap K_2 = \emptyset$  and then no separator node equals  $K_1 \cap K_2$ . To prove uniqueness, suppose separator nodes S and S' and clique nodes  $K_1, K_2$  and  $K'_1, K'_2$ , respectively, satisfy the right-hand side of the theorem. Then G has a clique tree T such that  $\{K_1, K_2\} \in E(T)$  and  $v_1 \in K_1$  and  $v_2 \in K_2$ . Now edge  $\{K_1, K_2\}$  is on the  $K'_1 - K'_2$  path in T, or else by the clique intersection property  $\{v_1, v_2\}$  is contained in  $K_1$  or  $K_2$ , contradicting  $\{v_1, v_2\} \notin E(G)$ . Then  $K'_1 \cap K'_2 \subseteq K_1 \cap K_2$ . Similarly,  $K'_1 \cap K'_2 \supseteq K_1 \cap K_2$ . Then  $S = K_1 \cap K_2 = K'_1 \cap K'_2 = S'$ .

For example, consider the chordal graph G in Figure 6. Let  $v_1$  and  $v_2$  be the vertices with degree 2 in  $K_7$  and  $K_9$ ; these vertices are contained in no other clique nodes. Inserting edge  $\{v_1, v_2\}$  into G yields a non-chordal graph because G has no clique tree where  $K_7$  and  $K_9$  are adjacent, or equivalently,  $\mathcal{G}$  has no separator node that strongly divides  $K_7$  and  $K_9$ .

If  $G^+ = G + \{v_1, v_2\}$  is a chordal graph, then we must update  $\mathcal{G}$  to obtain the new clique-separator graph  $\mathcal{G}^+$ . The following theorem shows how to update the nodes of  $\mathcal{G}$ . We will later consider the edges and arcs of  $\mathcal{G}$ .

**Theorem 17** Let G be a connected chordal graph with clique-separator graph  $\mathcal{G}$  and let  $\{v_1, v_2\} \not\in E(G)$ . Suppose  $G^+ = G + \{v_1, v_2\}$  is a chordal graph with clique-separator graph  $\mathcal{G}^+$ . Let  $S, K_1, K_2$  be the nodes in Theorem 16. Then the following changes to the nodes of  $\mathcal{G}$  produce the nodes of  $\mathcal{G}^+$ .

- 1. Add clique node  $K = S \cup \{v_1, v_2\}$ .
- 2. For i = 1 and i = 2, do the following. If  $|K_i S| = 1$ , then delete clique node  $K_i$ . If  $|K_i S| > 1$ , then add separator node  $S_i = S \cup \{v_i\}$  unless it already exists.
- 3. If components<sub>G</sub>(S) = 2, then delete separator node S.

**Proof** Since  $G^+$  is chordal, Theorem 15 states that G has a clique tree T and maximal cliques  $K_1$  and  $K_2$  such that  $\{K_1, K_2\} \in E(T)$  and  $v_1 \in K_1$  and  $v_2 \in K_2$ . Ibarra [Iba08a] showed that for i = 1 and i = 2,  $K_i$  is not a maximal clique in  $G^+$  if and only if  $|K_i - S| = 1$ . Ibarra also showed that the following steps update T so that it becomes a clique tree of  $G^+$ .

Delete edge  $\{K_1, K_2\}$ . Add maximal clique K and edges  $\{K_1, K\}$  and  $\{K, K_2\}$  (Figure 15). If  $K_1$  and  $K_2$  are both maximal cliques of  $G^+$ , then stop. If  $K_1$  is not a maximal clique of  $G^+$ , then contract edge  $\{K_1, K\}$  and replace  $K_1$  with K. If  $K_2$  is not a maximal clique of  $G^+$ , then contract edge  $\{K, K_2\}$  and replace  $K_2$  with K. So  $K_1$  and  $K_2$  are replaced by 1, 2, or 3 maximal cliques.



Figure 15: Updating the clique tree T.

It follows that the changes to  $\mathcal{G}$  produce the clique nodes of  $\mathcal{G}^+$ . Next we show that the changes to  $\mathcal{G}$  produce the separator nodes of  $\mathcal{G}^+$ . Since the edges of a clique tree correspond to the minimal vertex separators of the chordal graph by Theorem 1-1, the edges  $\{K_1, K\}$  and  $\{K, K_2\}$  correspond to  $S_1 = K_1 \cap K$  and  $S_2 = K \cap K_2$ , respectively. By the steps that update T, if  $|K_i - S| > 1$ , then  $S_i$  is a minimal vertex separator of  $G^+$  (we can readily show that the converse holds). In this case we add separator node  $S_i$  unless it already exists in  $\mathcal{G}$ , which occurs when a different edge of T corresponds to  $S_i$ . Consider  $S = K_1 \cap K_2$ . Since the edge  $\{K_1, K_2\}$  is the only edge deleted from T, S is the only separator node that might be deleted from  $\mathcal{G}$ . But S should not be deleted from  $\mathcal{G}$  if a different edge of T corresponds to S. We show that S is a separator node of  $\mathcal{G}^+$  if and only if  $components_{\mathcal{G}}(S) > 2$ .

- ( $\Leftarrow$ ) Suppose  $components_{\mathcal{G}}(S) > 2$ . Then there exists a clique node  $K_3$  such that  $K_1, K_2$  and  $K_3$  are in different connected components of  $\mathcal{G} Preds(S)$  and S is contained in  $K_1, K_2$ , and  $K_3$ . By Corollary 4-1, S separates  $K_1 S$ ,  $K_2 S$ , and  $K_3 S$  in G. Then  $S = K_1 \cap K_3$  and S separates  $K_1 S$  and  $K_3 S$  in G, and moreover, in  $G^+$ . Then S is a minimal vertex separator of  $G^+$  by Corollary 4-3, 4-4, and Theorem 1.
- $(\Rightarrow)$  Suppose  $components_{\mathcal{G}}(S) = 2$ . Then  $\mathcal{G} Preds(S)$  has exactly two connected components that contain a clique node containing S. By the Main Theorem-1, G S has exactly two connected components that contain a vertex in a maximal clique containing S;

let  $V_1$  and  $V_2$  be the vertex sets of the components. Then  $v_1 \in V_1$  and  $v_2 \in V_2$ , or vice versa. Since  $G^+ = G + \{v_1, v_2\}$ , the vertices in  $V_1 \cup V_2$  are in one connected component of  $G^+ - S$ . Then for any maximal clique K' of  $G^+$  that contains  $S, K' - S \subseteq V_1 \cup V_2$ . It follows that  $G^+$  does not contain two maximal cliques K' and K'' such that  $S = K' \cap K''$  and S separates K' - S and K'' - S. Then by Theorem 1, S is not a minimal vertex separator of  $G^+$ .  $\square$ 

## 6.2 The insert operation

Let G be an interval graph with clique-separator graph  $\mathcal{G}$  and let  $\mathcal{G}$  have a spanning set of train trees  $\mathcal{T}$ . The *Insert* operation computes the new clique-separator graph  $\mathcal{G}^+$  or rejects because  $G^+$  is not a chordal graph. Subsequently, the train tree algorithm computes the new  $\mathcal{T}$  and the new attributes, or rejects because  $G^+$  is not an interval graph. We maintain the connected components of G, so every vertex can access the name of its connected component.

If  $v_1$  and  $v_2$  are in different connected components of G, then  $G^+$  is always a chordal graph so the operation merges the connected components of G and merges their clique-separator graphs. For example, let G be the graph in Figure 2 with edge  $K_7$  deleted, so that its clique-separator graph G is the graph in Figure 3 with nodes  $S_1, K_7, S_2$  deleted. Inserting edge  $K_7$  into G yields the graph in Figure 2 with the clique-separator graph in Figure 3.

If  $v_1$  and  $v_2$  are in the same connected component of G, then the operation finds the separator node S and clique nodes  $K_1$  and  $K_2$  in Theorem 16; it rejects if they are not found. The operation updates the nodes as specified in Theorem 17 and adds the appropriate edges and arcs. The main difficulty is that if a separator node  $S_i$  is created, the operation must find the arcs to  $S_i$ , which is done with a graph search in G. If a node is deleted, any edges or arcs incident on it are deleted.

 $Insert(v_1, v_2)$ :

## $v_1$ and $v_2$ are in different connected components of G:

Suppose  $v_1$  and  $v_2$  are in connected components  $G_1$  and  $G_2$  of G with clique-separator graphs  $G_1$  and  $G_2$ , respectively. Merge the connected components  $G_1$  and  $G_2$ . Add clique node  $K = \{v_1, v_2\}$  and let  $S_1 = \{v_1\}$  and  $S_2 = \{v_2\}$ . For i = 1 and i = 2, do the following. If  $S_i$  is a separator node of  $G_i$ , then add edge  $\{S_i, K\}$  and stop. Otherwise, if  $v_i$  is not the only vertex in  $G_i$ , then add  $S_i$  and edge  $\{S_i, K\}$  and do the following to compute the other edges and arcs incident to  $S_i$ .

Compute the set  $\mathcal{M}$  of minimal elements of  $\{N \mid N \text{ is a node of } \mathcal{G}_i \text{ and } v_i \in N\}$  as follows: for every node N of  $\mathcal{G}_i$  that contains  $v_i$ , if no predecessor of N contains  $v_i$ , then add N to  $\mathcal{M}$ . (It suffices to examine the immediate predecessors of a separator node and the neighbors of a clique node. Theorem 18 will show that  $\mathcal{M}$  contains either one clique node or only separator nodes.) If  $\mathcal{M}$  contains a clique node K', then add edge  $\{S_i, K'\}$ , and otherwise, add an arc from  $S_i$  to every separator node in  $\mathcal{M}$ .

#### $v_1$ and $v_2$ are in the same connected component of G:

1. [Find  $S, K_1, K_2$ .] Find the predecessors of the clique nodes that contain  $v_1$  and the predecessors of the clique nodes that contain  $v_2$ . Compute a topological sort  $\sigma = (B_1, B_2, \ldots, B_{b(\mathcal{G})})$  of  $\mathcal{G}$ . Find the separator node S that is a predecessor of some clique nodes  $K_1$  and  $K_2$  containing  $v_1$  and  $v_2$ , respectively, and that is in box  $B_j$  where

j is as large as possible. If S does not exist or if S exists and  $K_1$  and  $K_2$  are in the same connected component of  $\mathcal{G}(j+1)$ , then reject.

2. [Process  $K_1, K_2$ .] Add clique node  $K = S \cup \{v_1, v_2\}$ . Do the appropriate case and then repeat with  $K_1, S_1, v_1$  replaced by  $K_2, S_2, v_2$ .

Case 1:  $|K_1 - S| = 1$ . Delete  $K_1$ . If  $K_1$  formerly had a neighbor  $S' \neq S$ , then add edge  $\{S', K\}$  (Figure 16).



Figure 16: Case 1.

Case 2:  $|K_1 - S| > 1$ . Let  $S_1 = S \cup \{v_1\}$ . Then  $S_1$  is a node of  $\mathcal{G}$  if and only if  $(S, S_1)$  is an arc of  $\mathcal{G}$ . If  $S_1$  is a node of  $\mathcal{G}$ , then add edge  $\{S_1, K\}$  and go to step 3. Otherwise, add  $S_1$  and edge  $\{S_1, K\}$  and do steps (a) and (b) to compute the other edges and arcs incident to  $S_1$ .

(a) [Arcs to  $S_1$ .] Add arc  $(S, S_1)$ . (If step 3 deletes S, it adjusts this arc appropriately.) Compute the chain  $C = \{S' \mid S' \leadsto K_1 \text{ and } S' \neq S \text{ and } S' \not\leadsto S \not\leadsto S'\}$  by finding the predecessors of  $K_1$  and the predecessors and successors of S (Figure 17). Use binary search to find the largest set  $S^h$  in C such that  $S^h \subset S_1$ . If C is empty or  $S^h$  does not exist or  $v_1 \not\in S^h$ , then go to step (b). Otherwise, add arc  $(S^h, S_1)$  and delete  $\{S^h, K_1\}$  if it is an edge of  $\mathcal{G}$ .

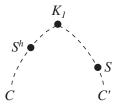


Figure 17: The predecessors of  $K_1$ .

(b) [Arcs and edges from  $S_1$ .] Compute the set  $\mathcal{M}$  of minimal elements of  $\{N \mid N \text{ is a node of } \mathcal{G} \text{ and } S_1 \subset N\}$  as follows: for every successor N of S that contains  $v_1$ , if no predecessor of N is a successor of S that contains  $v_1$ , then add N to  $\mathcal{M}$ . (It suffices to examine the immediate predecessors of a separator node and the neighbors of a clique node. Theorem 18 will show that either  $\mathcal{M} = \{K_1\}$  or  $\mathcal{M}$  contains only separator nodes.) If  $\mathcal{M} = \{K_1\}$ , then add edge  $\{S_1, K_1\}$  and delete  $\{S, K_1\}$  if it is an edge of  $\mathcal{G}$ . Otherwise, for every separator node S' in  $\mathcal{M}$ , add arc  $(S_1, S')$  and delete (S, S') if it is an arc of  $\mathcal{G}$ .

3. [Process S.] Do the appropriate case.

Case 1:  $components_{\mathcal{G}}(S) > 2$ . If  $|K_1 - S| = 1$  and  $|K_2 - S| = 1$ , then add edge  $\{S, K\}$ . Case 2:  $components_{\mathcal{G}}(S) = 2$ . Delete S. For each former immediate predecessor  $S^p$  of S, do the following. If  $|K_1 - S| > 1$ , then add arc  $(S^p, S_1)$ . If  $|K_2 - S| > 1$ , then add arc  $(S^p, S_2)$ . If  $|K_1 - S| = 1$  and  $|K_2 - S| = 1$ , then add edge  $\{S^p, K\}$  unless  $S^p$  is

contained in a neighbor of K, in which case add arc  $(S^p, S')$  for each neighbor S' of K such that  $S^p \subset S'$  and  $(S^p, S')$  is not an arc of  $\mathcal{G}$ .

#### End Insert

Figure 18 shows an interval graph G, its clique-separator graph G, and the new clique-separator graph  $G^+$  after edges e and f are individually inserted into G. For each insertion, we will indicate which three nodes correspond to the nodes in Theorem 16 and what the operation does. (Note that when we have a choice between two clique nodes, neither clique node is ever deleted.)

When edge e is inserted, the three nodes are  $S_2, K_2$ , and  $K_3$ . Since  $|K_2 - S_2| = 1$  and  $|K_3 - S_2| = 1$ , step 3 deletes  $K_2$  and  $K_3$  and adds edge  $\{S_1, K\}$ . Since  $components_{\mathcal{G}}(S_2) = 2$ , step 4 deletes  $S_2$  and adds edge  $\{S_5, K\}$ ; edge  $\{S_4, K\}$  is not added since  $(S_4, S_1)$  is an arc.

When edge f is inserted, the three nodes are  $S_5, K_5$ , and either  $K_6$  or  $K_7$ ; we choose  $K_6$ . Since  $|K_5 - S_5| = 1$ , step 3 deletes  $K_5$ . Since  $|K_6 - S_5| > 1$ , step 3 adds separator node  $S_{new}$  and edge  $\{S_{new}, K\}$ . Step 3(a) adds are  $(S_5, S_{new})$  and no other arcs because C is empty. Step 3(b) computes  $\mathcal{M} = \{S_6\}$  and thus adds are  $(S_{new}, S_6)$  and deletes are  $(S_5, S_6)$ .

When edge g is inserted, the three nodes are  $S_4$ ,  $K_4$ , and either  $K_1$  or  $K_2$ ; we choose  $K_1$ . Since  $|K_4 - S_4| = 1$ , step 3 deletes  $K_4$ . Since  $|K_1 - S_4| > 1$  and  $(S_4, S_1)$  is an arc of  $\mathcal{G}$ , step 3 adds edge  $\{S_1, K\}$  and goes to step 4. Since  $components_{\mathcal{G}}(S_4) = 2$ , step 4 deletes  $S_4$ . We omit the figure since the operation only deletes  $K_4$  and  $S_4$  and adds edge  $\{S_1, K\}$ .

When edge h is inserted, the three nodes are  $S_5$ ,  $K_2$ , and either  $K_6$  or  $K_7$ ; we choose  $K_6$ . The operation adds edges  $\{K_2, S_{new}\}$  and  $\{S_{new}, K\}$  and other edges and arcs. Since  $K_2$  has three neighbors in  $\mathcal{G}^+$ , the train tree algorithm will reject.

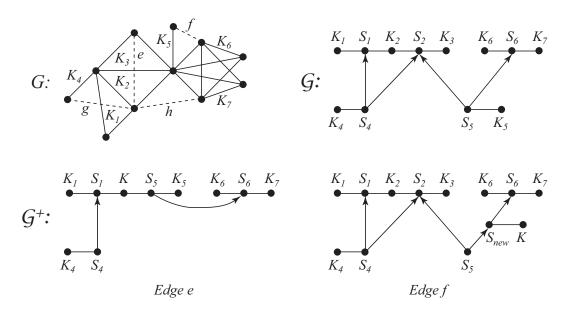


Figure 18: Insert examples.

**Theorem 18** If  $G^+ = G + \{v_1, v_2\}$  is a chordal graph, the Insert operation computes its clique-separator graph  $\mathcal{G}^+$ , and otherwise, the operation rejects.

**Proof** Suppose  $v_1$  and  $v_2$  are in distinct connected components  $G_1$  and  $G_2$  of G with clique-separator graphs  $\mathcal{G}_1$  and  $\mathcal{G}_2$ , respectively. Then  $\{v_1, v_2\}$  is a cut edge of  $G^+$  and  $K = \{v_1, v_2\}$  is a clique node of  $\mathcal{G}^+$  and the following holds for i = 1 and i = 2. If  $v_i$  is not the only vertex in  $G_i$ , then  $\{v_i\}$  is a separator of  $G^+$  and  $S_i = \{v_i\}$  is a separator node of  $\mathcal{G}^+$ . Then  $\{S_i, K\}$  is an edge of  $\mathcal{G}^+$ . If  $S_i$  is a separator node of  $\mathcal{G}_i$ , then any other edges and arcs incident on  $S_i$  exist in  $\mathcal{G}_i$  and we are done. Otherwise, consider  $\mathcal{M}$ . If exactly one clique node K' of  $\mathcal{G}_i$  contains  $v_i$ , then  $\mathcal{M} = \{K'\}$  because every separator node is contained in at least two clique nodes. Otherwise, the clique nodes of  $\mathcal{G}_i$  that contain  $v_i$  induce a subtree  $T_i$  in any clique tree of  $G_i$ . Since  $v_i$  is contained in every separator node corresponding to an edge of  $T_i$ ,  $\mathcal{M}$  contains only separator nodes. Thus, the operation computes the arcs and edges incident to  $S_i$ . Suppose  $v_1$  and  $v_2$  are in the same connected component of G. We consider each of the three steps in turn.

1. We will show that this step finds the nodes in Theorem 16 if  $G^+$  is a chordal graph, and rejects if  $G^+$  is not a chordal graph. Suppose the operation does not find S and rejects. Then no separator node strongly divides a clique node containing  $v_1$  and a clique node containing  $v_2$ . Then  $G^+$  is not a chordal graph by Theorem 16. Suppose the operation finds S. Assume at least one of  $K_1, K_2$  is in  $B_j$ , say  $K_1$ . Then  $K_1$  is a neighbor of S, or else S is contained in a neighbor of S, contradicting the Main Theorem-2. Similarly, if  $K_2$  is in  $S_j$ , then  $S_2$  is a neighbor of S, and otherwise,  $S_2$  is a successor of  $S_2$  in some box  $S_2$ ,  $S_3$ . In either case,  $S_3$  strongly divides  $S_3$  and  $S_4$  by Corollary 4-2. Assume neither of  $S_3$  is in  $S_3$ .

Suppose  $K_1$  and  $K_2$  are in different connected components of  $\mathcal{G}(j+1)$ . Since  $K_1$  and  $K_2$  are in the same connected component of  $\mathcal{G}(j)$  and in different connected components of  $\mathcal{G}(j) - S$  by the Main Theorem-4, S divides  $K_1$  and  $K_2$  in  $\mathcal{G}(j)$ . Then S divides  $K_1$  and  $K_2$  in  $\mathcal{G}$  by the Main Theorem-3. Therefore, S strongly divides  $K_1$  and  $K_2$ .

Suppose  $K_1$  and  $K_2$  are in the same connected component of  $\mathcal{G}(j+1)$  and the operation rejects. We claim that no separator node of  $\mathcal{G}$  strongly divides a clique node containing  $v_1$  and a clique node containing  $v_2$ . By the choice of j, no separator node in a box  $B_k, k > j$ , is a predecessor of a clique node containing  $v_1$  and a clique node containing  $v_2$ . Let S' be any separator node in a box  $B_i, i \leq j$ . Since  $K_1$  and  $K_2$  are in the same connected component of  $\mathcal{G}(j+1)$ ,  $K_1$  and  $K_2$  are in the same connected component of  $\mathcal{G} - Preds(S')$ . Then by the Main Theorem-1, all clique nodes containing  $v_1$  or  $v_2$  are contained in the same connected component of  $\mathcal{G} - Preds(S')$ . The claim follows. Then  $G^+$  is not a chordal graph.

- 2. We will use Theorem 17 implicitly in the rest of the proof. By symmetry, the following statements hold when  $K_1, S_1, v_1$  are replaced by  $K_2, S_2, v_2$ . Case 1:  $|K_1 S| = 1$ . Then  $K_1$  is not a node of  $\mathcal{G}^+$ . If  $\{S', K_1\}$  is a edge of  $\mathcal{G}$  for some  $S' \neq S$ , then  $\{S', K\}$  is a edge of  $\mathcal{G}^+$  because  $K_1 = S \cup \{v_1\}$  and  $K = S \cup \{v_1, v_2\}$ . Case 2:  $|K_1 S| > 1$ . Then  $K_1$  and  $S_1$  are nodes of  $\mathcal{G}^+$  and  $\{S_1, K\}$  is a edge of  $\mathcal{G}^+$ . If  $S_1$  is not a node of  $\mathcal{G}$ , then steps (a) and (b) compute the other edges and arcs incident to  $S_1$  in  $\mathcal{G}^+$ , as follows.
- (a)  $(S, S_1)$  is an arc of  $\mathcal{G}^+$  unless S is deleted in step 3. Suppose there exists  $\bar{S} \not\subseteq S$  such that  $(\bar{S}, S_1)$  is an arc of  $\mathcal{G}^+$ . Then  $v_1 \in \bar{S}$  and  $\bar{S} \leadsto K_1$ . For any node of  $\mathcal{G}$ , the set of its predecessors can be partitioned into at most two chains [Iba06, Lemma 5-1]. Then the predecessors of  $K_1$  can be partitioned into two chains C and C', where S is in C' (Figure 17). Then  $\bar{S}$  is in C, which is computed by step (a). Let  $S^h$  be the largest set in C such that  $S^h \subset S_1$ . Note C is nonempty,  $S^h$  exists, and  $v_1 \in S^h$ . Then  $S^h = \bar{S}$ . Thus, step (a) finds  $\bar{S}$  if it exists.

- (b) Apart from K, every successor of  $S_1$  in  $\mathcal{G}^+$  is a successor of S in  $\mathcal{G}$ . For any successor N of S,  $S_1 \subset N$  if and only if N contains  $v_1$ . Therefore, the operation correctly computes  $\mathcal{M}$ . Since  $S_1 \subset K_1$ , if there is exactly one maximal clique of G containing  $S_1$ , then  $\mathcal{M} = \{K_1\}$ . Otherwise, an argument similar to the one for the previous  $\mathcal{M}$  shows that  $\mathcal{M}$  contains only separator nodes. Thus, step (b) computes the rest of the edges and arcs from  $S_1$ .
- 3. Case 1:  $components_{\mathcal{G}}(S) > 2$ . Then S is a node of  $\mathcal{G}^+$ . If  $|K_1 S| = 1$  and  $|K_2 S| = 1$ , then  $S_1$  and  $S_2$  are not nodes of  $\mathcal{G}^+$  and  $\{S, K\}$  is an edge of  $\mathcal{G}^+$ . Otherwise,  $(S, S_1)$  is an arc and  $\{S_1, K\}$  is an edge of  $\mathcal{G}^+$ , or  $(S, S_2)$  is an arc and  $\{S_2, K\}$  is an edge of  $\mathcal{G}^+$ , or both, so no more changes are required. Case 2:  $components_{\mathcal{G}}(S) = 2$ . Then S is not a node of  $\mathcal{G}^+$ . Consider any immediate predecessor  $S^p$  of S. If  $|K_1 S| > 1$ , then  $S_1$  is a node of  $\mathcal{G}^+$  and  $(S^p, S_1)$  is an arc of  $\mathcal{G}^+$ . If  $|K_2 S| > 1$ , the argument is symmetric. If  $|K_1 S| = 1$  and  $|K_2 S| = 1$ , then  $\{S^p, K\}$  is an edge of  $\mathcal{G}^+$  unless  $S^p$  is contained in a neighbor S' of K in  $\mathcal{G}^+$ . (If S' exists, the edge  $\{S', K\}$  was added in step 2, Case 1.)

**Theorem 19** The Insert operation runs in  $O(n \log n)$  time, where n is the number of vertices of G.

**Proof** By Theorem 6, every separator node has at most two immediate predecessors, every clique node has at most two neighbors, and the size of  $\mathcal{G}$  is O(n). Since each node has a characteristic vector of the vertices it contains, each step requires O(n) time except the binary search in step 3. Testing whether one separator node is contained in another requires O(n) time, so the binary search requires  $O(n \log n)$  time.

# 7 Deleting an edge

In this section, we present the operation that deletes an edge from G and uses  $\mathcal{G}$  and  $\mathcal{T}$  to decide whether the new G is a chordal graph. If it is, the operation computes the new  $\mathcal{G}$  by making a small, local change. Subsequently, the train tree algorithm computes the new  $\mathcal{T}$  or rejects because the new G is not an interval graph.

## 7.1 Preliminaries

Let G be a chordal graph (not necessarily an interval graph) with clique-separator graph  $\mathcal{G}$ . Throughout this section,  $\{v_1, v_2\} \in E$ . Then  $\{v_1, v_2\}$  is contained in at least one clique node of  $\mathcal{G}$ ; we will use this fact implicitly. Given  $v_1 \in V$  and  $v_2 \in V$ ,  $G - \{v_1, v_2\}$  denotes  $(V, E - \{\{v_1, v_2\}\})$ . The next theorem gives a necessary and sufficient condition for  $G - \{v_1, v_2\}$  to be chordal.

**Theorem 20** ([Iba08a]) Let G be a chordal graph and let  $\{v_1, v_2\} \in E(G)$ . Then  $G - \{v_1, v_2\}$  is chordal if and only if G has exactly one maximal clique that contains both  $v_1$  and  $v_2$ .

Suppose K is the unique maximal clique that contains both  $v_1$  and  $v_2$ . Then K is not a maximal clique in  $G - \{v_1, v_2\}$ , whereas  $K - \{v_1\}$  and  $K - \{v_2\}$  may or may not be maximal cliques in  $G - \{v_1, v_2\}$ . For example, consider the chordal graph in Figure 1. Deleting

edge  $\{y, z\}$  yields a non-chordal graph because two maximal cliques contain both y and z. Deleting edge  $\{x, y\}$  yields a chordal graph and  $\{x, z\}$ , but not  $\{y, z\}$ , is a maximal clique in the new chordal graph.

If  $G^- = G - \{v_1, v_2\}$  is a chordal graph, then we must update  $\mathcal{G}$  to obtain the new clique-separator graph  $\mathcal{G}^-$ . The following theorem shows how to update the nodes of  $\mathcal{G}$ . We will later consider the edges and arcs of  $\mathcal{G}$ .

**Theorem 21** Let G be a chordal graph with clique-separator graph  $\mathcal{G}$  and let  $\{v_1, v_2\} \in E(G)$ . Suppose  $G^- = G - \{v_1, v_2\}$  is a chordal graph with clique-separator graph  $\mathcal{G}^-$ . Let K be the unique clique node of  $\mathcal{G}$  that contains both  $v_1$  and  $v_2$ . Let  $N_1 = K - \{v_2\}$  and  $N_2 = K - \{v_1\}$ . Then the following changes to the nodes of  $\mathcal{G}$  produce the nodes of  $\mathcal{G}^-$ .

- 1. Delete clique node K.
- 2. Add separator node  $\bar{S} = K \{v_1, v_2\}$  unless it already exists or  $\bar{S} = \emptyset$ .
- 3. For i = 1 and i = 2, do the following. If  $N_i$  is not a neighbor of K, then add clique node  $N_i$ . If  $N_i$  is a neighbor of K and components<sub>G</sub> $(N_i) = 2$ , then delete separator node  $N_i$ .

**Proof** Let T be any clique tree of G. Ibarra [Iba08a] showed that that the following steps update T so that it becomes a clique tree of  $G^+$ .

Delete maximal clique K. Add  $N_1$  and  $N_2$  and add edge  $\{N_1, N_2\}$ . For every former neighbor K' of K, if  $v_1 \in K'$ , then add edge  $\{K', N_1\}$ , and otherwise, add edge  $\{K', N_2\}$  (The maximal cliques containing neither  $v_1$  nor  $v_2$  could be made neighbors of either  $N_1$  or  $N_2$ . In Figure 19,  $K_1, \ldots, K_i$  are the maximal cliques containing  $v_1$  and  $K'_1, \ldots, K'_i$  are the remaining maximal cliques.) If  $N_1$  and  $N_2$  are both maximal cliques, then stop. If  $N_1$  is contained in some maximal clique K', then contract edge  $\{K', N_1\}$  and replace  $N_1$  with K'. If  $N_2$  is contained in some maximal clique K', then contract edge  $\{K', N_2\}$  and replace  $N_2$  with K'. So K is replaced by 0, 1, or 2 maximal cliques.

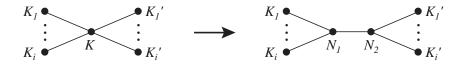


Figure 19: Updating the clique tree T.

Since  $N_1 \cap N_2 = K - \{v_1, v_2\} = \bar{S}$ , we add separator node  $\bar{S}$  unless it already exists or  $\bar{S} = \emptyset$ . Consider  $N_1$ ; the argument for  $N_2$  is symmetric. Suppose  $N_1$  is not a clique node of  $\mathcal{G}^-$ . Then  $N_1$  is contained in a clique node K' of  $\mathcal{G}$ . Then  $K \cap K' = N_1 \neq \emptyset$ . Then by Lemma 2,  $N_1$  is contained in a neighbor of K in  $\mathcal{G}$ . Since  $|K - N_1| = 1$ ,  $N_1$  must be a neighbor of K in  $\mathcal{G}$ . Thus, if  $N_1$  is not a neighbor of K in  $\mathcal{G}$ , then  $N_1$  is a clique node of  $\mathcal{G}^-$ .

Suppose  $N_1$  is a neighbor of K in  $\mathcal{G}$ . Then  $S_1 = N_1$  is a separator node of  $\mathcal{G}$ . We show that  $S_1$  is a separator node of  $\mathcal{G}^-$  if and only if  $components_{\mathcal{G}}(S_1) > 2$ .

- ( $\Leftarrow$ ) Suppose  $components_{\mathcal{G}}(S_1) > 2$ . Then there exist clique nodes  $K' \neq K$  and  $K'' \neq K$  such that  $S_1$  strongly divides K' and K'' in  $\mathcal{G}$ . Then by Corollary 4-3,  $S_1 = K' \cap K''$  and  $S_1$  separates  $K' S_1$  and  $K'' S_1$  in G; the same is true in  $G^-$  since neither K' nor K'' contains both  $v_1$  and  $v_2$ . Then  $S_1$  is a minimal vertex separator of  $G^-$  by Corollary 4-3 and 4-4.
- ( $\Rightarrow$ ) Suppose  $components_{\mathcal{G}}(S_1)=2$ . Then  $\mathcal{G}-Preds(S_1)$  has exactly two connected components that contain a clique node containing  $S_1$ . By the Main Theorem-1,  $G-S_1$  has exactly two connected components that contain a vertex in a maximal clique containing  $S_1$ ; let  $V_1$  and  $V_2$  be the vertex sets of these two components. Let  $G_1$  and  $G_2$  be the subgraphs of G induced by  $V_1 \cup S_1$  and  $V_2 \cup S_1$ , respectively. Without loss of generality assume  $v_2 \in V_2$  (Figure 20). Note  $K = S_1 \cup \{v_2\}$ .

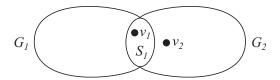


Figure 20: The subgraphs  $G_1$  and  $G_2$ .

Now  $v_2$  is the only vertex in  $V_2$  that is adjacent to every vertex of  $S_1$  in G. Otherwise, there is a clique node  $K' \neq K$  in the same connected component of  $\mathcal{G} - Preds(S_1)$  as K and  $S_1 \leadsto K'$ . But since  $S_1$  has neighbor K, this contradicts Corollary 4-2. Then no vertex in  $V_2$  is adjacent to every vertex of  $S_1$  in  $G^-$ . It follows that  $G^-$  does not contain two maximal cliques K' and K'' such that  $S_1 = K' \cap K''$  and  $S_1$  separates  $K' - S_1$  and  $K'' - S_1$ . Then by Theorem 1,  $S_1$  is not a minimal vertex separator of  $G^-$ .

# 7.2 The delete operation

Let G be an interval graph with clique-separator graph  $\mathcal{G}$  and let  $\mathcal{G}$  have a spanning set of train trees  $\mathcal{T}$ . The *Delete* operation computes the new clique-separator graph  $\mathcal{G}^-$  or rejects because  $G^-$  is not a chordal graph. Subsequently, the train tree algorithm computes the new  $\mathcal{T}$  and the new attributes, or rejects because  $G^-$  is not an interval graph. We maintain the connected components of G, so every vertex can access the name of its connected component.

The operation begins by finding the clique node K that contains both  $v_1$  and  $v_2$ . There are two main cases. In the first, K is a leaf with neighbor S and in the second, K is an internal node with neighbors S and S'. Each case has subcases that depend on whether  $v_1$  or  $v_2$  is contained in a neighbor of K. (No neighbor of K contains both  $v_1$  and  $v_2$  because K is unique.) The main difficulty is that if separator node  $\bar{S}$  is created, the operation must find the arcs from  $\bar{S}$ , which is done with a search in a train tree of T. The operation uses two subroutines: GetPreds(S, u) returns the maximal elements of the set of predecessors of S that do not contain vertex  $u \in S$ , and Remove(S) deletes S and adjusts the edges and arcs incident on S.

 $Delete(v_1, v_2)$ :

Find a clique node K that contains both  $v_1$  and  $v_2$  and reject if K is not unique. Let  $N_1 = K - \{v_2\}$ ,  $N_2 = K - \{v_1\}$ , and  $\bar{S} = K - \{v_1, v_2\}$ . If |K| > 2, then do Case 1 or Case 2 or Case 3. If |K| = 2, then  $\{v_1, v_2\}$  is a cut edge; split G into the connected components  $G_1$ 

and  $G_2$  that contain  $v_1$  and  $v_2$ , respectively, and do the following for i = 1 and i = 2. If  $v_i$  is the only vertex in  $G_i$ , then add clique node  $N_i$ . Otherwise, if  $components_{\mathcal{G}}(N_i) = 2$ , then call  $Remove(N_i)$ .

At the end of the operation, delete K and its incident edges.

#### Case 1: K is a leaf with neighbor S

Case 1a:  $v_1 \notin S$ ,  $v_2 \notin S$ , and |K - S| = 2 (Figure 21a). Then  $S = \bar{S}$ . Add clique nodes  $N_1, N_2$  and edges  $\{S, N_1\}, \{S, N_2\}$ .

Case 1b:  $v_1 \notin S$ ,  $v_2 \notin S$ , and |K - S| > 2 (Figure 21b). Then  $S \subset \bar{S}$ . Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , edges  $\{\bar{S}, N_1\}, \{\bar{S}, N_2\}$ , and arc  $(S, \bar{S})$ .

Case 1c:  $v_1 \in S$ ,  $v_2 \notin S$ , and |K - S| > 1 (Figure 21c). Then  $\bar{S} \not\subset S$  and  $S \not\subset \bar{S}$ . Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , and edges  $\{S, N_1\}, \{N_1, \bar{S}\}, \{\bar{S}, N_2\}$ . For each  $S' \in GetPreds(S, v_1)$ , add arc  $(S', \bar{S})$ .

Case 1d:  $v_1 \in S$ ,  $v_2 \notin S$ , and |K - S| = 1 (Figure 21d). Then  $S = N_1 = \bar{S} \cup \{v_1\}$ . Then  $\bar{S}$  is a node of  $\mathcal{G}$  if and only if  $(\bar{S}, S)$  is an arc of  $\mathcal{G}$ . Do the appropriate case.

Subcase 1:  $\bar{S}$  is a node of  $\mathcal{G}$ . Add clique node  $N_2$  and edge  $\{\bar{S}, N_2\}$ . If  $components_{\mathcal{G}}(S) = 2$ , then call Remove(S).

Subcase 2:  $\bar{S}$  is not a node of  $\mathcal{G}$ . Add clique node  $N_2$ , separator node  $\bar{S}$ , and edge  $\{\bar{S}, N_2\}$ . For each  $S' \in GetPreds(S, v_1)$ , add arc  $(S', \bar{S})$  and delete (S', S) if (S', S) is an arc of  $\mathcal{G}$ . Let T be the train tree of  $\mathcal{G}$ . If S is not an offspring of any Q-node of T, then add arc  $(\bar{S}, S)$ . Otherwise, S is an offspring of a Q-node S. Use binary search to mark the leftmost and rightmost offspring of S that contain S, and then mark every intervening offspring. For each marked separator node S' that does not have a marked predecessor, add arc  $(\bar{S}, S')$ . If  $Components_{\mathcal{G}}(S) = 2$ , then call  $Components_{\mathcal{G}}(S) = 2$ .

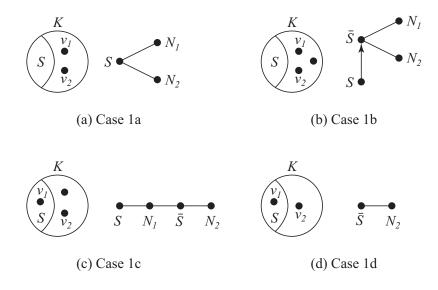


Figure 21: K is a leaf with neighbor S.

Case 2: K is an internal node with neighbors S and S'

- Case 2a:  $v_1 \notin S \cup S'$  and  $v_2 \notin S \cup S'$  (Figure 22a). Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , edges  $\{N_1, \bar{S}\}, \{\bar{S}, N_2\}$ , and arcs  $(S, \bar{S}), (S', \bar{S})$ .
- Case 2b:  $v_1 \in S \cap S'$  and  $v_2 \notin S \cup S'$  (Figure 22b). Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , and edges  $\{S, N_1\}, \{S', N_1\}, \{N_1, \bar{S}\}, \{\bar{S}, N_2\}$ .
- Case 2c:  $v_1 \in S S'$ ,  $v_2 \notin S \cup S'$ , and |K S'| > 2 (Figure 22c). Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , edges  $\{S, N_1\}, \{N_1, \bar{S}\}, \{\bar{S}, N_2\}$ , and arc  $(S', \bar{S})$ . For each  $S'' \in GetPreds(S, v_1)$ , if S'' is not a predecessor of S', then add arc  $(S'', \bar{S})$ .
- Case 2d:  $v_1 \in S S'$ ,  $v_2 \notin S \cup S'$ , and |K S'| = 2 (Figure 22d). Add clique nodes  $N_1, N_2$  and edges  $\{S, N_1\}, \{N_1, S'\}, \{S', N_2\}$ .
- Case 2e:  $v_1 \in S S'$ ,  $v_2 \in S' S$ , and  $|K (S \cup S')| > 0$  (Figure 22e). Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , and edges  $\{S, N_1\}, \{N_1, \bar{S}\}, \{\bar{S}, N_2\}, \{N_2, S'\}$ . Compute  $S = GetPreds(S, v_1)$  and  $S' = GetPreds(S', v_2)$ . For each  $S'' \in S \cup S'$ , add arc  $(S'', \bar{S})$ .
- Case 2f:  $v_1 \in S S'$ ,  $v_2 \in S' S$ , and  $|K (S \cup S')| = 0$  (Figure 22f). Theorem 22 will prove the following. If |S' S| = 1, then it follows that  $\bar{S}$  is a node of  $\mathcal{G}$  if and only if  $(\bar{S}, S)$  is an arc of  $\mathcal{G}$ . If |S S'| = 1, then it follows that  $\bar{S}$  is a node of  $\mathcal{G}$  if and only if  $(\bar{S}, S')$  is an arc of  $\mathcal{G}$ . If |S' S| > 1 and |S S'| > 1, then  $\bar{S}$  is not a node of  $\mathcal{G}$ . If  $\bar{S}$  is not a node of  $\mathcal{G}$ , then add separator node  $\bar{S}$ , and if  $\bar{S} \subset S$  and/or  $\bar{S} \subset S'$ , then add arc  $(\bar{S}, S)$  and/or  $(\bar{S}, S')$ , respectively. If |S' S| > 1, then add clique node  $N_1$  and edges  $\{S, N_1\}, \{N_1, \bar{S}\}$ , and if |S' S| = 1 and  $components_{\mathcal{G}}(S) = 2$ , then call Remove(S). Do the symmetric action with |S S'|.

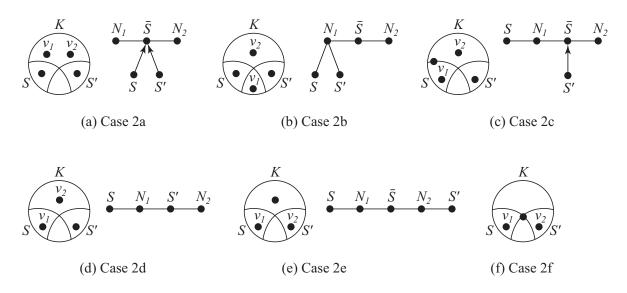


Figure 22: K is an internal node with neighbors S and S'.

#### Case 3: K has no neighbors

Add clique nodes  $N_1, N_2$ , separator node  $\bar{S}$ , and edges  $\{N_1, \bar{S}\}, \{\bar{S}, N_2\}$ .

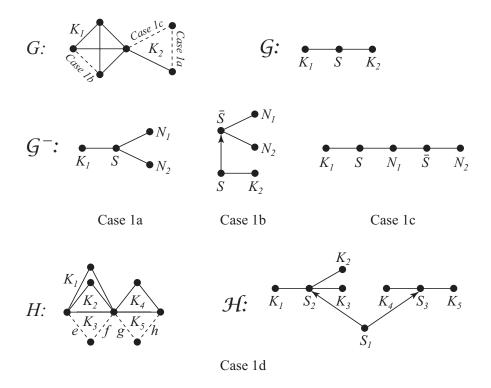


Figure 23: Examples of Case 1.

## GetPreds(S, v):

Compute the set of maximal elements of  $\{S' \mid S' \leadsto S \text{ and } v \notin S\}$  by examining the predecessors of S in reverse topological order. Return this set.

## Remove(S):

Delete S and its incident edges and arcs. For each old immediate predecessor  $S^p$  of S, do the following. Mark the successors of  $S^p$ . For each old immediate successor  $S^s$  of S that is unmarked, add arc  $(S^p, S^s)$ . For any old neighbor  $K' \neq K$  of S that is unmarked, add edge  $\{S^p, K'\}$ . Unmark the successors of  $S^p$ .

#### End Delete

Figure 23 shows an interval graph G, its clique-separator graph G, and the new clique-separator graph when the edges labeled Case 1a, Case 1b, and Case 1c are individually deleted from G. Figure 23 also shows an interval graph H, its clique-separator graph H, and four examples of Case 1d. If edge e or edge h is deleted, then  $\bar{S} = S_1$  is a node of G, but in the other cases  $\bar{S}$  is not a node of G. If edge e or edge f is deleted, then f is a node of G but in the other cases f is not a node of G. Figure 24 shows examples of Case 2.

**Theorem 22** If  $G^- = G - \{v_1, v_2\}$  is a chordal graph, the Delete operation computes its clique-separator graph  $\mathcal{G}^-$ , and otherwise, the operation rejects.

**Proof** We can readily prove that  $K = \{v_1, v_2\}$  if and only if  $\{v_1, v_2\}$  is a cut edge of G. The backwards direction is easy and the forward direction uses the fact that in a chordal graph, the shortest cycle (if there is one) containing a given edge is a triangle. If  $K = \{v_1, v_2\}$ , then

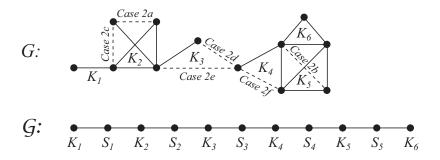


Figure 24: Examples of Case 2.

G splits into the connected components  $G_1$  and  $G_2$ . Then if  $v_i$  is the only vertex in  $G_i$ , then  $N_i = \{v_i\}$  is the only maximal clique of  $G_i$ . Otherwise,  $v_i$  is a minimal vertex separator of G and so  $N_i$  is a neighbor of K. By Theorem 21, the changes are correct. If  $K \neq \{v_1, v_2\}$ , then we have Case 1 or Case 2 or Case 3.

## Case 1: K is a leaf with neighbor S

By Lemma 2, the vertices in K-S are not contained in any other node of  $\mathcal{G}$ . In Cases 1a–1c,  $N_1 \neq S$  and  $N_2 \neq S$ . In Cases 1b–1c,  $\bar{S}$  contains a vertex in K-S and so  $\bar{S}$  is not a node of  $\mathcal{G}$ . Then by Theorem 21, the nodes added in Cases 1a–1c are correct. From the containment relations among the new nodes, it follows that the edges and arcs added in Cases 1a–1c are correct. Moreover, the edges and arcs incident on S in  $\mathcal{G}$  are still in  $\mathcal{G}^-$ , except for  $\{S, K\}$ . The following comments discuss the edges and arcs incident on  $\bar{S}$ .

Case 1a: This case does not add  $\bar{S}$ .

Case 1b: Since  $\bar{S}$  contains a vertex in K-S, there are no other edges or arcs from  $\bar{S}$ . There are no other arcs to  $\bar{S}$  because for any separator node S' of  $\mathcal{G}$ , if  $S' \subset \bar{S}$ , then  $S' \subseteq S$ .

Case 1c: As before, there are no other edges or arcs from  $\bar{S}$ . For any separator node S' of  $\mathcal{G}$ , if  $S' \subset \bar{S}$ , then  $S' \subseteq S - \{v_1\}$ . Then the immediate predecessors of  $\bar{S}$  are the maximal elements of  $\{S' \mid S' \leadsto S \text{ and } v_1 \not\in S'\}$ , which are the elements returned by  $GetPreds(S, v_1)$ .

Case 1d: Since  $\bar{S}$  and  $N_2$  are nodes of  $\mathcal{G}^-$ ,  $\{\bar{S}, N_2\}$  is an edge of  $\mathcal{G}^-$ . Since  $S = N_1$ , if  $components_{\mathcal{G}}(S) = 2$ , then S is not a node of  $\mathcal{G}^-$  and the operation calls Remove(S), which deletes S. In Subcase 1,  $\bar{S}$  is a separator node of  $\mathcal{G}$  and so any other edges and arcs incident on  $\bar{S}$  already exist and we are done. In Subcase 2,  $\bar{S}$  is not a separator node of  $\mathcal{G}$  and so the operation adds  $\bar{S}$ . The immediate predecessors of  $\bar{S}$  are the nodes returned by  $GetPreds(S, v_1)$ , so the arcs to  $\bar{S}$  are computed correctly. The immediate successors of  $\bar{S}$  are the minimal elements of the set of nodes of  $\mathcal{G}$  containing  $\bar{S}$ , or equivalently, the minimal elements of leaves(T) containing  $\bar{S}$ , where T is the train tree of  $\mathcal{G}$ . (Notice S is a minimal element of leaves(T) containing  $\bar{S}$ , but S may not be a node of  $\mathcal{G}^-$ .)

If S is an offspring of some Q-node x of T, then by Lemma 7-2, every minimal element of leaves(T) containing  $\bar{S}$  is also an offspring of x. Otherwise, S is not an offspring of any Q-node of T. Then S is a child of a P-node y such that y is the root of T or y has a P-node parent z. In the first case, S is contained in every node of leaves(T) and so S is the only minimal element of leaves(T) containing  $\bar{S}$ . In the second case, S is contained in every node of leaves(y) (Figure 5 shows a similar case). It follows that if  $S' \neq S$  is a minimal element of leaves(T) containing  $\bar{S}$ , then  $S' \in leaves(T) - leaves(y)$ . Then  $S_z$  divides S and S' by

Property 1. Then  $\bar{S} \subset S$  and  $\bar{S} \subset S'$  imply that  $\bar{S} \subset S_z \subset S$ , a contradiction because  $\bar{S} \cup \{v_1\} = S$ . Thus, S is the only minimal element of leaves(T) containing  $\bar{S}$ .

Consider the arcs from  $\bar{S}$ . If S is not an offspring of any Q-node of T, then S is the only minimal element of leaves(T) containing  $\bar{S}$  and so arc  $(\bar{S}, S)$  is added. Otherwise, S is an offspring of some Q-node x and every minimal element of leaves(T) containing  $\bar{S}$  is an offspring of x. By the clique intersection property, every marked offspring contains  $\bar{S}$ . Suppose some marked separator node S' is not a minimal element of leaves(T) containing  $\bar{S}$ . Then  $S'' \to S'$  for some element S'' of leaves(T) containing  $\bar{S}$ . By Lemma 8,  $S'' \in leaves(x)$ , or else  $\bar{S} \subset S'' \subset S$ , a contradiction. By Lemma 8 again, S'' is an offspring of x, or else  $S'' \not\to S'$ , a contradiction. Then S'' is marked and arc  $(\bar{S}, S')$  is not added. It follows that the arcs added are from  $\bar{S}$  to the minimal elements of leaves(T) containing  $\bar{S}$ . The arc  $(\bar{S}, S)$  is also added. If S is not a node of  $\mathcal{G}^-$ , then S and  $(\bar{S}, S)$  are deleted by Remove(S).

Consider the neighbors of  $\bar{S}$ . If  $\bar{S}$  has a neighbor  $K' \neq N_2$  in  $\mathcal{G}^-$ , then K' is a clique node of  $\mathcal{G}$ . Then  $\bar{S} \subseteq K' \cap K$  and by Lemma 2,  $\bar{S} \subseteq S'$  for some neighbor S' of K' in  $\mathcal{G}$ . This is a contradiction unless S' = S and S is deleted. Therefore, Remove(S) deletes S and adds the edge  $\{\bar{S}, K'\}$ . Thus, the operation correctly computes the edges and arcs incident on  $\bar{S}$ .

## Case 2: K is an internal node with neighbors S and S'

Since S and S' are neighbors of K, S and S' are incomparable and so |S - S'| > 0 and |S' - S| > 0. Then in Cases 2a-2e,  $S \neq N_1 \neq S'$  and  $S \neq N_2 \neq S'$ . Moreover, Lemma 2 implies that  $\bar{S}$  is not a node of  $\mathcal{G}$  except in Case 2d where  $\bar{S} = S$ . Then by Theorem 21, the nodes added in Cases 2a-2e are correct. From the containment relations among the new nodes, it follows that the edges and arcs added in Cases 2a-2e are correct. Moreover, the edges and arcs incident on S and S' in  $\mathcal{G}$  are still in  $\mathcal{G}^-$ , except for  $\{S, K\}$  and  $\{S', K\}$ . The following comments discuss the edges and arcs incident on  $\bar{S}$ .

Case 2a: By Lemma 2, there are no other arcs to  $\bar{S}$  and no arcs from  $\bar{S}$ .

Case 2b: Since clique node  $N_1$  has three neighbors, the train tree algorithm will reject.

Case 2c: By Lemma 2, there are no arcs from  $\bar{S}$ . Moreover, for any separator node S'' of  $\mathcal{G}$ , if  $S'' \subset \bar{S}$ , then  $S'' \subseteq S'$  or  $S'' \subseteq S - \{v_1\}$ . Then the immediate predecessors of  $\bar{S}$  are S' and the nodes in  $GetPreds(S, v_1)$  that are not predecessors of S'.

Case 2d: This case does not add  $\bar{S}$ .

Case 2e: By Lemma 2, there are no arcs from  $\bar{S}$  and the immediate predecessors of  $\bar{S}$  are the nodes in  $S \cup S'$ .

Case 2f: If |S' - S| = 1, then  $S - \{v_1\} = \bar{S}$ , which implies that  $\bar{S}$  is a node of  $\mathcal{G}$  if and only if  $(\bar{S}, S)$  is an arc of  $\mathcal{G}$ . If |S - S'| = 1, the argument is symmetric. If |S' - S| > 1 and |S - S'| > 1, then  $\bar{S} \not\subset S$  and  $\bar{S} \not\subset S'$ , and by Lemma 2,  $\bar{S}$  is not a node of  $\mathcal{G}$ . If  $\bar{S}$  is not a node of  $\mathcal{G}$ , then the operation adds  $\bar{S}$  and any arcs from  $\bar{S}$  to S or S'; the nodes S or S' may be deleted next. If |S' - S| > 1, then S and  $N_1$  are nodes of  $\mathcal{G}^-$  and  $\{S, N_1\}, \{N_1, \bar{S}\}$  are edges of  $\mathcal{G}^-$ . If |S' - S| = 1, then  $N_1 = S$  and the operation uses the condition in Theorem 21 to decide whether to call Remove(S). The argument for |S - S'| is symmetric.

**Theorem 23** The Delete operation runs in  $O(n \log n)$  time, where n is the number of vertices of G.

**Proof** By Theorem 6, every separator node has at most two immediate predecessors, every clique node has at most two neighbors, and the size of  $\mathcal{G}$  is O(n). Each node has a

characteristic vector of the vertices it contains. Then GetPreds(S, v) and Remove(S) run in O(n) time. Then every case requires O(n) time except for the binary search in Case 1d, which requires  $O(n \log n)$  time.

## 8 Conclusions

We have presented a dynamic graph algorithm for recognizing interval graphs that maintains both the clique-separator graph and the train tree. It may be possible to improve the running time by maintaining only the train tree. This would probably require augmenting the train tree data structure with additional information about the vertices contained in the nodes.

When the interval graph is a connected proper interval graph, its clique-separator graph contains exactly one box, which is a path, and no arcs [Iba06]. Then the dynamic graph algorithm in this paper can be simplified considerably: the train tree is unnecessary and several cases in the insert and delete operations may be omitted since they produce a clique-separator graph that violates the given properties. The resulting algorithm [Iba08b] is simpler than the algorithm in [HSS01] and it has the same running time of  $O(\log n)$  time per edge update. There may be other subclasses of interval graphs for which one can simplify the dynamic graph algorithm or improve its running time.

Sparsification is a general technique that has been used to develop dynamic graph algorithms from static graph algorithms [EGIN97]. For a variety of graph problems, sparsification converts a static algorithm that runs in T(n,m) time into a dynamic algorithm that runs in T(n,O(n)) time. This conversion requires that the graph problem have a sparse certificate [EGIN97]. Since interval graphs can be recognized in O(m+n) time, if sparse certificates for interval graphs could be found efficiently, then this would probably yield a dynamic graph algorithm for interval graphs that runs in O(n) time per update. It seems difficult, however, to find sparse certificates for interval graphs or for chordal graphs.

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