Theme 1: Abstract Reasoning

Lecture 1: Abstract Data Types & Recursive Functions

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Data manipulation

- Programs transform data
- They implement functions between inputs and outputs
- Examples of data domains: Booleans, Characters, Integers, Reals, Strings, Lists, Trees, etc.

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$$f: D_1 \times \cdots \times D_n \to D$$

Examples:

 \land : Boolean \times Boolean \rightarrow Boolean

+ : $\mathit{Nat} \times \mathit{Nat} \to \mathit{Nat}$

 $Sort : List[Nat] \rightarrow List[Nat]$

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Types must be given precisely. This avoids many errors.

- Finite data domains: Enumeration of its values
- Example:

$$\begin{array}{rcl} 0 \wedge 0 & = & 0 \\ 0 \wedge 1 & = & 0 \\ 1 \wedge 0 & = & 0 \\ 1 \wedge 1 & = & 1 \end{array}$$

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- Example:

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- A more compact definition using a conditional construct:

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- We need more powerful constructs
- We need to give a structure to infinite data domains

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0 : Nat

Constructor:

 $s: Nat \rightarrow Nat$

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$$s: Nat \rightarrow Nat$$

• Example of elements of Nat:

• Notation: n abbreviates $s^n(0)$

The General Schema

- Given a set of constants $C = \{c_1, \dots, c_m\}$,
- Given a set of constructors of the form $\alpha: D^n \times A \to D$
- The set of element of *D* is the smallest set such that:
 - **▶** *C* ⊆ *D*
 - ▶ For every constructor $\alpha: D^n \times A \to D$, for every $d_1, \ldots, d_n \in D$, and every $a \in A$, $\alpha(d_1, \ldots, d_n, a) \in D$

The Domain of Lists

- Examples of lists:
 - ▶ [2, 5, 8, 5] list of natural numbers
 - ▶ [p; a; r; i; s] list of characters
 - ► [[0; 2]; [2; 5; 2; 0]] list of lists of natural numbers

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- Examples:

 - \triangleright 2 · (5 · (8 · (5 · []))) = 2 · 5 · 8 · 5 · [] = [2; 5; 8; 5]
 - \bullet $(0 \cdot []) \cdot [] = [[0]]$
 - $ightharpoonup \left[\left[\cdot \right] \right] = \left[\left[\right] \right] \neq \left[\left[\right] \right]$
 - $(0 \cdot []) \cdot ((2 \cdot []) \cdot []) = [[0]; [2]]$

Defining functions over inductively defined sets

Let $f: Nat \to D$. Define f(x), for every $x \in Nat$.

- Case spitting using the structure of the elements
 - f(0) = ?
 - f(s(x)) = ?

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• Similar to proofs using structural induction

Prove P(0), and prove that P(s(x)) holds assuming P(x).

Recursion: An Example

• Addition $+: Nat \times Nat \rightarrow Nat$

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 $s(x_1) + x_2 = s(x_1 + x_2)$

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Computation

$$s(s(0)) + s(0) = s(s(0) + s(0))$$

= $s(s(0 + s(0)))$
= $s(s(s(0)))$

- Append function $@: List[\star] \times List[\star] \rightarrow List[\star]$
- Example: [2; 5; 7]@[1; 5] = [2; 5; 7; 1; 5]

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$$[]@\ell = \ell$$
$$(a \cdot \ell_1)@\ell_2 =$$

- $\bullet \ \, \mathsf{Append} \ \, \mathsf{function} \quad \, \mathbb{Q} : \mathit{List}[\star] \times \mathit{List}[\star] \to \mathit{List}[\star] \\$
- Example: [2; 5; 7]@[1; 5] = [2; 5; 7; 1; 5]
- Recursive definition

$$[] @\ell = \ell$$

$$(a \cdot \ell_1) @\ell_2 = a \cdot (\ell_1 @\ell_2)$$

- Append function $@: List[\star] \times List[\star] \rightarrow List[\star]$
- Example: [2; 5; 7]@[1; 5] = [2; 5; 7; 1; 5]
- Recursive definition

$$[]@\ell = \ell$$
$$(a \cdot \ell_1)@\ell_2 = a \cdot (\ell_1@\ell_2)$$

Computation:

$$\begin{array}{rcl} (2 \cdot 5 \cdot 7 \cdot [])@(1 \cdot 5 \cdot []) & = & 2 \cdot ((5 \cdot 7 \cdot [])@(1 \cdot 5 \cdot [])) \\ & = & 2 \cdot 5 \cdot ((7 \cdot [])@(1 \cdot 5 \cdot [])) \\ & = & 2 \cdot 5 \cdot 7 \cdot ([]@(1 \cdot 5 \cdot [])) \\ & = & 2 \cdot 5 \cdot 7 \cdot 1 \cdot 5 \cdot [] \end{array}$$

 $\bullet \ \ \mathsf{Multiplication} \quad *: \mathit{Nat} \times \mathit{Nat} \to \mathit{Nat}$

- Multiplication $*: Nat \times Nat \rightarrow Nat$
- Recursive definition

$$0 * x = s(x_1) * x_2 =$$

- Multiplication $*: \textit{Nat} \times \textit{Nat} \rightarrow \textit{Nat}$
- Recursive definition

$$0 * x = 0$$
$$s(x_1) * x_2 =$$

- $\bullet \ \ \mathsf{Multiplication} \quad *: \mathit{Nat} \times \mathit{Nat} \to \mathit{Nat}$
- Recursive definition

$$0 * x = 0$$

$$s(x_1) * x_2 = (x_1 * x_2) + x_2$$

- Multiplication $*: Nat \times Nat \rightarrow Nat$
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$$0 * x = 0$$

 $s(x_1) * x_2 = (x_1 * x_2) + x_2$

Computation

$$s^{2}(0) * s^{3}(0) = (s(0) * s^{3}(0)) + s^{3}(0)$$

$$= ((0 * s^{3}(0)) + s^{3}(0)) + s^{3}(0)$$

$$= (0 + s^{3}(0)) + s^{3}(0)$$

$$= s^{3}(0) + s^{3}(0) = s(s^{2}(0)) + s^{3}(0)$$

$$= s(s^{2}(0) + s^{3}(0))$$

$$= s(s(s(0) + s^{3}(0)))$$

$$= s(s(s(0 + s^{3}(0))))$$

$$= s(s(s(s^{3}(0)))) = s^{6}(0)$$

• Factorial function $fact : Nat \rightarrow Nat$

- Factorial function $fact : Nat \rightarrow Nat$
- Recursive definition

$$fact(0) = fact(s(x)) =$$

- Factorial function $fact : Nat \rightarrow Nat$
- Recursive definition

$$fact(0) = s(0)$$

 $fact(s(x)) =$

- Factorial function $fact : Nat \rightarrow Nat$
- Recursive definition

$$fact(0) = s(0)$$

 $fact(s(x)) = s(x) * fact(x)$

- Factorial function $fact : Nat \rightarrow Nat$
- Recursive definition

$$fact(0) = s(0)$$

 $fact(s(x)) = s(x) * fact(x)$

Computation

$$fact(s(s(0))) = s(s(0)) * fact(s(0))$$

$$= s(s(0)) * (s(0) * fact(0))$$

$$= s(s(0)) * (s(0) * s(0))$$

$$= s(0) * (s(0) * s(0)) + s(0) * s(0)$$

$$= 0 * (s(0) * s(0)) + s(0) * s(0) + s(0) * s(0)$$

$$= ...$$

- Reverse function $Rev : List[\star] \rightarrow List[\star]$
- Example: Rev([2; 5; 2; 1]) = [1; 2; 5; 2]

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- Example: Rev([2;5;2;1]) = [1;2;5;2]
- Recursive definition:

$$Rev([]) = Rev(a \cdot \ell) =$$

- Reverse function $Rev: List[\star] \rightarrow List[\star]$
- Example: Rev([2;5;2;1]) = [1;2;5;2]
- Recursive definition:

$$Rev([]) = []$$

 $Rev(a \cdot \ell) = []$

- Reverse function $Rev: List[\star] \rightarrow List[\star]$
- Example: Rev([2;5;2;1]) = [1;2;5;2]
- Recursive definition:

$$Rev([]) = []$$

 $Rev(a \cdot \ell) = Rev(\ell)@[a]$

- Reverse function $Rev : List[\star] \rightarrow List[\star]$
- Example: Rev([2;5;2;1]) = [1;2;5;2]
- Recursive definition:

$$Rev([]) = []$$

 $Rev(a \cdot \ell) = Rev(\ell)@[a]$

Computation

$$Rev([2;5;1]) = Rev([5;1])@[2]$$
 $= (Rev([1])@[5])@[2]$
 $= ((Rev([])@[1])@[5])@[2]$
 $= ([1]@[5])@[2]$
 $= [1;5]@[2]$
...
 $= [1;5;2]$

ullet The Length function $|\cdot|: \mathit{List}[\star] o \mathit{Nat}$

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$$|[]| = 0$$

$$|a \cdot \ell| = s(|\ell|)$$

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$$|[]| = 0$$

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ullet Sum of the elements Σ : $\mathit{List}[\mathit{Nat}] o \mathit{Nat}$

$$\Sigma([]) = 0$$

 $\Sigma(n \cdot \ell) = n + \Sigma(\ell)$

Inductive definition of functions: A General Schema

Let $f: D \times E \rightarrow F$.

- For every constant $c \in D$ and every $e \in E$, define f(c,e) (as an element of F)
- For every constructor $\alpha: D^n \times A \to D$, for every $e \in E$, define $f(\alpha(x_1, \dots, x_n, a), e)$ using a and $f(x_1, e), \dots, f(x_n, e)$.

Proving facts about functions

Neutral element:

$$\forall x \in Nat. \ x * s(0) = s(0) * x = x$$

Commutativity:

$$\forall x, y \in Nat. \ x + y = y + x$$

Associativity:

$$\forall x, y, z \in Nat. \ x + (y + z) = (x + y) + z$$

Distributivity:

$$\forall x, y, z \in Nat. \ x * (y + z) = (x * y) + (x * z)$$

• Idempotence:

$$\forall \ell \in \mathit{List}[\star]. \ \mathit{Rev}(\mathit{Rev}(\ell)) = \ell$$

• Kind of distributivity:

$$\forall \ell_1, \ell_2 \in \mathit{List}[\star]. \ \mathit{Rev}(\ell_1 @ \ell_2) = \mathit{Rev}(\ell_2) @ \mathit{Rev}(\ell_1)$$

Structural Induction

Let c_1, \ldots, c_m be the constants, and let $\alpha_1, \ldots, \alpha_n$ be the constructors.

$$P(c_1)$$
...
$$P(c_m)$$

$$\left(\bigwedge_{i=1}^{K_1} P(x_i)\right) \Rightarrow P(\alpha_1(x_1, \dots x_{K_1}))$$
...
$$\left(\bigwedge_{i=1}^{K_n} P(x_i)\right) \Rightarrow P(\alpha_n(x_1, \dots x_{K_n}))$$

$$\forall x. P(x)$$

$$\forall x \in Nat. \ x * s(0) = s(0) * x = x$$

$$\forall x \in \mathit{Nat}.\ x * s(0) = s(0) * x = x$$

- Case x = 0.
 - \bullet 0 * s(0) = 0
 - s(0) * 0 = 0 * 0 + 0 = 0 + 0 = 0

$$\forall x \in Nat. \ x * s(0) = s(0) * x = x$$

- Case x = 0.
 - 0 * s(0) = 0
 - s(0) * 0 = 0 * 0 + 0 = 0 + 0 = 0
- Case x = s(x'). Induction Hypothesis: x' * s(0) = s(0) * x' = x'

$$\forall x \in Nat. \ x * s(0) = s(0) * x = x$$

- Case x = 0.
 - 0 * s(0) = 0
 - s(0) * 0 = 0 * 0 + 0 = 0 + 0 = 0
- Case x = s(x'). Induction Hypothesis: x' * s(0) = s(0) * x' = x'
 - s(x')*s(0) = (x'*s(0))+s(0) = x'+s(0) = s(0)+x' = s(0+x') = s(x') (uses commutativity of +)

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 - s(0) * s(x') = (0 * s(x')) + s(x') = 0 + s(x') = s(x')

$$\forall x, y \in \mathit{Nat}.\ x + y = y + x$$

$$\forall x, y \in Nat. \ x + y = y + x$$

• Case x = 0. $\Rightarrow x + y = 0 + y = y$ $\Rightarrow \forall y \in Nat. \ y = y + 0$?

$$\forall x, y \in Nat. \ x + y = y + x$$

• Case x = 0. $\Rightarrow x + y = 0 + y = y$ $\Rightarrow \forall y \in Nat. \ y = y + 0$? • Case y = 0: y + 0 = 0 + 0 = 0

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$$\forall x, y \in Nat. \ x + y = y + x$$

- Case x = 0. $\Rightarrow x + y = 0 + y = y$ $\Rightarrow \forall y \in Nat. \ y = y + 0$?
 - Case y = 0: y + 0 = 0 + 0 = 0
 - Case y = s(y'):
 - **★** Induction hypothesis: y' = y' + 0
 - * y + 0 = s(y') + 0 = s(y' + 0) = s(y') = y

$$\forall x, y \in Nat. \ x + y = y + x$$

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- Case x = s(x'). Induction Hypothesis: $\forall z \in Nat. \ x' + z = z + x'$ $\rightsquigarrow \forall y \in Nat. \ s(x') + y = y + s(x')$?

$$\forall x, y \in Nat. \ x + y = y + x$$

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 - Case y = 0: s(x') + 0 = s(x' + 0) = s(0 + x') = s(x') = 0 + s(x')

$$\forall x, y \in Nat. \ x + y = y + x$$

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 - * s(y') + s(x') = s(y' + s(x')) = s(s(x') + y') = s(s(x' + y'))
 - \star s(s(x'+y')) = s(s(y'+x'))

Summary

- The first step in defining a function is to define its type (its domain and its co-domain).
- Infinite data domain can be defined inductively (set of constants and a set of constructors).
- Functions over infinite data domains by reasoning on the inductive structure of the data domains.
- Facts about recursive functions can be proved by reasoning on the inductive structure of the data domains.