Fully-Connected Neural Nets

In the previous homework you implemented a fully-connected two-layer neural network on CIFAR-10. The implementation was simple but not very modular since the loss and gradient were computed in a single monolithic function. This is manageable for a simple two-layer network, but would become impractical as we move to bigger models. Ideally we want to build networks using a more modular design so that we can implement different layer types in isolation and then snap them together into models with different architectures.

Affine layer: foward

Open the file cs231n/layers.py and implement the affine_forward function.

Once you are done you can test your implementaion by running the following:

In [3]:

```
# Test the affine_forward function
num_inputs = 2
input\_shape = (4, 5, 6)
output_dim = 3
input_size = num_inputs * np.prod(input_shape)
weight_size = output_dim * np.prod(input_shape)
x = np.linspace(-0.1, 0.5, num=input_size).reshape(num_inputs, *input_shape)
w = np.linspace(-0.2, 0.3, num=weight_size).reshape(np.prod(input_shape), output_dim)
b = np.linspace(-0.3, 0.1, num=output_dim)
out, _ = affine_forward(x, w, b)
correct_out = np.array([[ 1.49834967,  1.70660132,  1.91485297],
                        [ 3.25553199, 3.5141327, 3.77273342]])
# Compare your output with ours. The error should be around e-9 or less.
print('Testing affine_forward function:')
print('difference: ', rel_error(out, correct_out))
Testing affine_forward function:
difference: 9.769847728806635e-10
```

Affine layer: backward

Now implement the affine_backward function and test your implementation using numeric gradient checking.

In [4]:

```
# Test the affine backward function
np.random.seed(231)
x = np.random.randn(10, 2, 3)
w = np.random.randn(6, 5)
b = np.random.randn(5)
dout = np.random.randn(10, 5)
dx_num = eval_numerical_gradient_array(lambda x: affine_forward(x, w, b)[0], x, dout)
dw_num = eval_numerical_gradient_array(lambda w: affine_forward(x, w, b)[0], w, dout)
db_num = eval_numerical_gradient_array(lambda b: affine_forward(x, w, b)[0], b, dout)
 , cache = affine_forward(x, w, b)
dx, dw, db = affine_backward(dout, cache)
# The error should be around e-10 or less
print('Testing affine_backward function:')
print('dx error: ', rel_error(dx_num, dx))
print('dw error: ', rel_error(dw_num, dw))
print('db error: ', rel_error(db_num, db))
Testing affine_backward function:
```

```
dx error: 5.399100368651805e-11
dw error: 9.904211865398145e-11
db error: 2.4122867568119087e-11
```

ReLU activation: forward

Implement the forward pass for the ReLU activation function in the relu_forward function and test your implementation using the following:

In [5]:

ReLU activation: backward

Now implement the backward pass for the ReLU activation function in the relu_backward function and test your implementation using numeric gradient checking:

In [6]:

```
np.random.seed(231)
x = np.random.randn(10, 10)
dout = np.random.randn(*x.shape)

dx_num = eval_numerical_gradient_array(lambda x: relu_forward(x)[0], x, dout)
_, cache = relu_forward(x)
dx = relu_backward(dout, cache)

# The error should be on the order of e-12
print('Testing relu_backward function:')
print('dx error: ', rel_error(dx_num, dx))

Testing relu_backward function:
dx error: 3.2756349136310288e-12
```

Inline Question 1:

We've only asked you to implement ReLU, but there are a number of different activation functions that one could use in neural networks, each with its pros and cons. In particular, an issue commonly seen with activation functions is getting zero (or close to zero) gradient flow during backpropagation. Which of the following activation functions have this problem? If you consider these functions in the one dimensional case, what types of input would lead to this behaviour?

- 1. Sigmoid
- 2. ReLU
- 3. Leaky ReLU

Answer:

1, 2

- 1. When the input value is negative, it will get zero gradient flow.
- 2. When the input value is really small or large, it will get close to zero gradient flow

"Sandwich" layers

There are some common patterns of layers that are frequently used in neural nets. For example, affine layers are frequently followed by a ReLU nonlinearity. To make these common patterns easy, we define several convenience layers in the file cs231n/layer_utils.py.

For now take a look at the affine_relu_forward and affine_relu_backward functions, and run the following to numerically gradient check the backward pass:

```
In [7]:
```

```
from cs231n.layer_utils import affine_relu_forward, affine_relu_backward
np.random.seed(231)
x = np.random.randn(2, 3, 4)
w = np.random.randn(12, 10)
b = np.random.randn(10)
dout = np.random.randn(2, 10)
out, cache = affine_relu_forward(x, w, b)
dx, dw, db = affine_relu_backward(dout, cache)
dx_num = eval_numerical_gradient_array(lambda x: affine_relu_forward(x, w, b)[0], x, dout)
dw_num = eval_numerical_gradient_array(lambda w: affine_relu_forward(x, w, b)[0], w, dout)
db_num = eval_numerical_gradient_array(lambda b: affine_relu_forward(x, w, b)[0], b, dout)
# Relative error should be around e-10 or less
print('Testing affine_relu_forward and affine_relu_backward:')
print('dx error: ', rel_error(dx_num, dx))
print('dw error: ', rel_error(dw_num, dw))
print('db error: ', rel_error(db_num, db))
Testing affine relu forward and affine relu backward:
dx error: 6.750562121603446e-11
dw error: 8.162015570444288e-11
db error: 7.826724021458994e-12
```

Loss layers: Softmax and SVM

You implemented these loss functions in the last assignment, so we'll give them to you for free here. You should still make sure you understand how they work by looking at the implementations in cs231n/layers.py.

You can make sure that the implementations are correct by running the following:

In [8]:

```
np.random.seed (231)
num_classes, num_inputs = 10, 50
x = 0.001 * np.random.randn(num_inputs, num_classes)
y = np.random.randint(num_classes, size=num_inputs)
dx_num = eval_numerical_gradient(lambda x: svm_loss(x, y)[0], x, verbose=False)
loss, dx = svm_loss(x, y)
# Test sym_loss function. Loss should be around 9 and dx error should be around the order of e-9
print('Testing svm_loss:')
print('loss: ', loss)
print('dx error: ', rel_error(dx_num, dx))
dx_num = eval_numerical_gradient(lambda x: softmax_loss(x, y)[0], x, verbose=False)
loss, dx = softmax_loss(x, y)
# Test softmax_loss function. Loss should be close to 2.3 and dx error should be around e-8
print('\nTesting softmax_loss:')
print('loss: ', loss)
print('dx error: ', rel_error(dx_num, dx))
Testing svm_loss:
loss: 8.999602749096233
dx error: 1.4021566006651672e-09
Testing softmax_loss:
```

```
loss: 2.302545844500738
dx error: 9.384673161989355e-09
```

Two-layer network

In the previous assignment you implemented a two-layer neural network in a single monolithic class. Now that you have implemented modular versions of the necessary layers, you will reimplement the two layer network using these modular implementations.

Open the file cs231n/classifiers/fc_net.py and complete the implementation of the TwoLayerNet class. This class will serve as a model for the other networks you will implement in this assignment, so read through it to make sure you understand the API. You can run the cell below to test your implementation.

```
In [9]:
```

```
np.random.seed(231)
N, D, H, C = 3, 5, 50, 7
X = np.random.randn(N, D)
y = np.random.randint(C, size=N)
std = 1e-3
model = TwoLayerNet(input_dim=D, hidden_dim=H, num_classes=C, weight_scale=std)
print('Testing initialization ... ')
W1_std = abs(model.params['W1'].std() - std)
b1 = model.params['b1']
W2_std = abs(model.params['W2'].std() - std)
b2 = model.params['b2']
assert W1_std < std / 10, 'First layer weights do not seem right'
assert np.all(b1 == 0), 'First layer biases do not seem right'
assert W2_std < std / 10, 'Second layer weights do not seem right'</pre>
assert np.all(b2 == 0), 'Second layer biases do not seem right'
print('Testing test-time forward pass ... ')
model.params['W1'] = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
model.params['b1'] = np.linspace(-0.1, 0.9, num=H)
model.params['W2'] = np.linspace(-0.3, 0.4, num=H*C).reshape(H, C)
model.params['b2'] = np.linspace(-0.9, 0.1, num=C)
X = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
scores = model.loss(X)
correct_scores = np.asarray(
  [[11.53165108, 12.2917344,
                               13.05181771, 13.81190102, 14.57198434, 15.33206765, 16.09215096]
   [12.05769098, 12.74614105, 13.43459113, 14.1230412, 14.81149128, 15.49994135, 16.18839143]
   [12.58373087, 13.20054771, 13.81736455, 14.43418138, 15.05099822, 15.66781506, 16.2846319]
1)
scores_diff = np.abs(scores - correct_scores).sum()
assert scores_diff < 1e-6, 'Problem with test-time forward pass'</pre>
print('Testing training loss (no regularization)')
y = np.asarray([0, 5, 1])
loss, grads = model.loss(X, y)
correct_loss = 3.4702243556
assert abs(loss - correct_loss) < 1e-10, 'Problem with training-time loss'</pre>
model.reg = 1.0
loss, grads = model.loss(X, y)
correct_loss = 26.5948426952
assert abs(loss - correct_loss) < 1e-10, 'Problem with regularization loss'</pre>
# Errors should be around e-7 or less
for reg in [0.0, 0.7]:
 print('Running numeric gradient check with reg = ', reg)
 model.reg = reg
 loss, grads = model.loss(X, y)
  for name in sorted(grads):
    f = lambda : model.loss(X, y)[0]
    grad_num = eval_numerical_gradient(f, model.params[name], verbose=False)
    print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[name])))
4
                                                                                                  Þ
Testing initialization ...
Testing test-time forward pass ...
Testing training loss (no regularization)
```

```
TESCHING CHARITING TOSS (NO LEGARALIZACION)
Running numeric gradient check with reg = 0.0
W1 relative error: 1.22e-08
W2 relative error: 3.48e-10
b1 relative error: 6.55e-09
b2 relative error: 4.33e-10
Running numeric gradient check with reg = 0.7
W1 relative error: 8.18e-07
W2 relative error: 2.85e-08
b1 relative error: 1.09e-09
b2 relative error: 7.76e-10
```

Solver

In the previous assignment, the logic for training models was coupled to the models themselves. Following a more modular design, for this assignment we have split the logic for training models into a separate class.

Open the file cs231n/solver.py and read through it to familiarize yourself with the API. After doing so, use a Solver instance to train a TwoLayerNet that achieves at least 50% accuracy on the validation set.

In [10]:

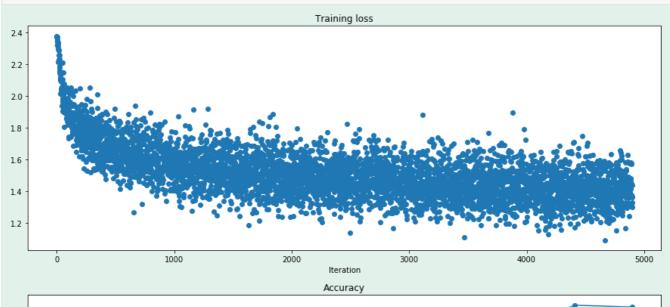
```
model = TwoLayerNet()
solver = None
# TODO: Use a Solver instance to train a TwoLayerNet that achieves at least #
# 50% accuracy on the validation set.
# *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
model = TwoLaverNet(reg = 0.5)
solver = Solver(model, data,
                update_rule='sqd',
                optim_config={
                  'learning_rate': 1e-3,
                },
                1r_decay=0.95,
                num_epochs=10, batch_size=100,
                print_every=100)
solver.train()
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
END OF YOUR CODE
(Iteration 1 / 4900) loss: 2.378087
(Epoch 0 / 10) train acc: 0.164000; val_acc: 0.134000
(Iteration 101 / 4900) loss: 1.905230
(Iteration 201 / 4900) loss: 2.049261
(Iteration 301 / 4900) loss: 1.713070
(Iteration 401 / 4900) loss: 1.582693
(Epoch 1 / 10) train acc: 0.445000; val_acc: 0.451000
(Iteration 501 / 4900) loss: 1.673854
(Iteration 601 / 4900) loss: 1.542241
(Iteration 701 / 4900) loss: 1.661488
(Iteration 801 / 4900) loss: 1.698760
(Iteration 901 / 4900) loss: 1.523104
(Epoch 2 / 10) train acc: 0.486000; val_acc: 0.470000
(Iteration 1001 / 4900) loss: 1.575808
(Iteration 1101 / 4900) loss: 1.559150
(Iteration 1201 / 4900) loss: 1.512748
(Iteration 1301 / 4900) loss: 1.403581
(Iteration 1401 / 4900) loss: 1.582180
(Epoch 3 / 10) train acc: 0.509000; val_acc: 0.478000
(Iteration 1501 / 4900) loss: 1.520756
(Iteration 1601 / 4900) loss: 1.502950
(Iteration 1701 / 4900) loss: 1.504738
(Iteration 1801 / 4900) loss: 1.639809
(Iteration 1901 / 4900) loss: 1.588290
(Epoch 4 / 10) train acc: 0.494000; val_acc: 0.479000
(Iteration 2001 / 4900) loss: 1.617043
```

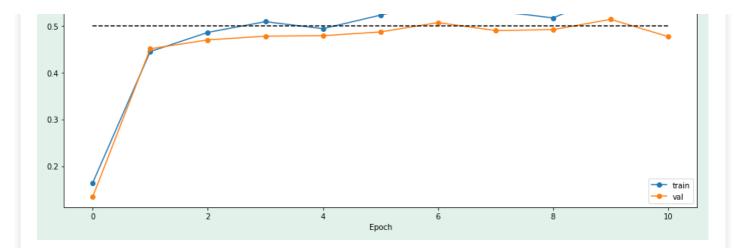
```
(Iteration 2101 / 4900) loss: 1.571903
(Iteration 2201 / 4900) loss: 1.580801
(Iteration 2301 / 4900) loss: 1.319308
(Iteration 2401 / 4900) loss: 1.405106
(Epoch 5 / 10) train acc: 0.523000; val_acc: 0.487000
(Iteration 2501 / 4900) loss: 1.462077
(Iteration 2601 / 4900) loss: 1.478338
(Iteration 2701 / 4900) loss: 1.452321
(Iteration 2801 / 4900) loss: 1.501195
(Iteration 2901 / 4900) loss: 1.444775
(Epoch 6 / 10) train acc: 0.553000; val_acc: 0.507000
(Iteration 3001 / 4900) loss: 1.377007
(Iteration 3101 / 4900) loss: 1.252317
(Iteration 3201 / 4900) loss: 1.703810
(Iteration 3301 / 4900) loss: 1.449870
(Iteration 3401 / 4900) loss: 1.579887
(Epoch 7 / 10) train acc: 0.532000; val_acc: 0.490000
(Iteration 3501 / 4900) loss: 1.427413
(Iteration 3601 / 4900) loss: 1.284990
(Iteration 3701 / 4900) loss: 1.474521
(Iteration 3801 / 4900) loss: 1.398789
(Iteration 3901 / 4900) loss: 1.239221
(Epoch 8 / 10) train acc: 0.517000; val_acc: 0.492000
(Iteration 4001 / 4900) loss: 1.355188
(Iteration 4101 / 4900) loss: 1.393246
(Iteration 4201 / 4900) loss: 1.277518
(Iteration 4301 / 4900) loss: 1.229724
(Iteration 4401 / 4900) loss: 1.707493
(Epoch 9 / 10) train acc: 0.570000; val_acc: 0.514000
(Iteration 4501 / 4900) loss: 1.374712
(Iteration 4601 / 4900) loss: 1.592834
(Iteration 4701 / 4900) loss: 1.579716
(Iteration 4801 / 4900) loss: 1.336092
(Epoch 10 / 10) train acc: 0.566000; val_acc: 0.477000
```

In [11]:

```
# Run this cell to visualize training loss and train / val accuracy
plt.subplot(2, 1, 1)
plt.title('Training loss')
plt.plot(solver.loss_history, 'o')
plt.xlabel('Iteration')

plt.subplot(2, 1, 2)
plt.title('Accuracy')
plt.plot(solver.train_acc_history, '-o', label='train')
plt.plot(solver.val_acc_history, '-o', label='val')
plt.plot([0.5] * len(solver.val_acc_history), 'k--')
plt.xlabel('Epoch')
plt.legend(loc='lower right')
plt.gcf().set_size_inches(15, 12)
plt.show()
```





Multilayer network

Next you will implement a fully-connected network with an arbitrary number of hidden layers.

Read through the FullyConnectedNet class in the file cs231n/classifiers/fc_net.py.

Implement the initialization, the forward pass, and the backward pass. For the moment don't worry about implementing dropout or batch/layer normalization; we will add those features soon.

Initial loss and gradient check

As a sanity check, run the following to check the initial loss and to gradient check the network both with and without regularization. Do the initial losses seem reasonable?

For gradient checking, you should expect to see errors around 1e-7 or less.

In [12]:

```
np.random.seed (231)
N, D, H1, H2, C = 2, 15, 20, 30, 10
X = np.random.randn(N, D)
y = np.random.randint(C, size=(N,))
for reg in [0, 3.14]:
  print('Running check with reg = ', reg)
  model = FullyConnectedNet([H1, H2], input_dim=D, num_classes=C,
                            reg=reg, weight_scale=5e-2, dtype=np.float64)
  loss, grads = model.loss(X, y)
  print('Initial loss: ', loss)
  # Most of the errors should be on the order of e-7 or smaller.
  # NOTE: It is fine however to see an error for W2 on the order of e-5
  # for the check when reg = 0.0
  for name in sorted(grads):
    f = lambda _: model.loss(X, y)[0]
    grad_num = eval_numerical_gradient(f, model.params[name], verbose=False, h=1e-5)
    print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[name])))
Running check with reg = 0
Initial loss: 2.3004790897684924
W1 relative error: 1.48e-07
W2 relative error: 2.21e-05
W3 relative error: 3.53e-07
b1 relative error: 5.38e-09
b2 relative error: 2.09e-09
b3 relative error: 5.80e-11
Running check with reg = 3.14
Initial loss: 7.052114776533016
W1 relative error: 1.14e-08
W2 relative error: 6.87e-08
W3 relative error: 3.48e-08
b1 relative error: 1.48e-08
b2 relative error: 1.72e-09
b3 relative error: 1.80e-10
```

As another sanity check, make sure you can overfit a small dataset of 50 images. First we will try a three-layer network with 100 units in each hidden layer. In the following cell, tweak the **learning rate** and **weight initialization scale** to overfit and achieve 100% training accuracy within 20 epochs.

In [13]:

```
# TODO: Use a three-layer Net to overfit 50 training examples by
# tweaking just the learning rate and initialization scale.
num_train = 50
small_data = {
  'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X_val': data['X_val'],
  'y_val': data['y_val'],
weight_scale = 1e-2  # Experiment with this!
learning_rate = 1e-2  # Experiment with this!
weight_scale = 1e-2
                      # Experiment with this!
model = FullyConnectedNet([100, 100],
              weight_scale=weight_scale, dtype=np.float64)
solver = Solver(model, small_data,
                print_every=10, num_epochs=20, batch_size=25,
                update_rule='sgd',
                optim_config={
                  'learning_rate': learning_rate,
solver.train()
plt.plot(solver.loss_history, 'o')
plt.title('Training loss history')
plt.xlabel('Iteration')
plt.ylabel('Training loss')
plt.show()
(Iteration 1 / 40) loss: 2.363364
(Epoch 0 / 20) train acc: 0.180000; val_acc: 0.108000
(Epoch 1 / 20) train acc: 0.320000; val_acc: 0.127000
(Epoch 2 / 20) train acc: 0.440000; val_acc: 0.172000
(Epoch 3 / 20) train acc: 0.500000; val_acc: 0.184000
(Epoch 4 / 20) train acc: 0.540000; val_acc: 0.181000
(Epoch 5 / 20) train acc: 0.740000; val_acc: 0.190000
(Iteration 11 / 40) loss: 0.839976
(Epoch 6 / 20) train acc: 0.740000; val_acc: 0.187000
(Epoch 7 / 20) train acc: 0.740000; val_acc: 0.183000
(Epoch 8 / 20) train acc: 0.820000; val_acc: 0.177000
(Epoch 9 / 20) train acc: 0.860000; val_acc: 0.200000
(Epoch 10 / 20) train acc: 0.920000; val_acc: 0.191000
(Iteration 21 / 40) loss: 0.337174
(Epoch 11 / 20) train acc: 0.960000; val_acc: 0.189000
(Epoch 12 / 20) train acc: 0.940000; val_acc: 0.180000
(Epoch 13 / 20) train acc: 1.000000; val_acc: 0.199000
(Epoch 14 / 20) train acc: 1.000000; val_acc: 0.199000
(Epoch 15 / 20) train acc: 1.000000; val_acc: 0.195000
(Iteration 31 / 40) loss: 0.075911
(Epoch 16 / 20) train acc: 1.000000; val_acc: 0.182000
(Epoch 17 / 20) train acc: 1.000000; val_acc: 0.201000
(Epoch 18 / 20) train acc: 1.000000; val_acc: 0.207000
(Epoch 19 / 20) train acc: 1.000000; val_acc: 0.185000
(Epoch 20 / 20) train acc: 1.000000; val_acc: 0.192000
                  Training loss history
  2.0
S 1.5
  1.0
                      ••••••••••
  0.5
  0.0
```

Now try to use a five-layer network with 100 units on each layer to overfit 50 training examples. Again, you will have to adjust the learning rate and weight initialization scale, but you should be able to achieve 100% training accuracy within 20 epochs.

```
In [14]:
```

```
# TODO: Use a five-layer Net to overfit 50 training examples by
# tweaking just the learning rate and initialization scale.
num_train = 50
small_data = {
  'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X_val': data['X_val'],
  'y_val': data['y_val'],
learning_rate = 3e-4  # Experiment with this!
weight_scale = 1e-1  # Experiment with this!
model = FullyConnectedNet([100, 100, 100, 100],
                weight_scale=weight_scale, dtype=np.float64)
solver = Solver(model, small_data,
                print_every=10, num_epochs=20, batch_size=25,
                update_rule='sgd',
                optim_config={
                   'learning_rate': learning_rate,
solver.train()
plt.plot(solver.loss_history, 'o')
plt.title('Training loss history')
plt.xlabel('Iteration')
plt.ylabel('Training loss')
plt.show()
(Iteration 1 / 40) loss: 166.501707
(Epoch 0 / 20) train acc: 0.160000; val_acc: 0.120000
(Epoch 1 / 20) train acc: 0.240000; val_acc: 0.095000
(Epoch 2 / 20) train acc: 0.320000; val_acc: 0.123000
(Epoch 3 / 20) train acc: 0.420000; val_acc: 0.120000
(Epoch 4 / 20) train acc: 0.640000; val_acc: 0.128000
(Epoch 5 / 20) train acc: 0.680000; val_acc: 0.112000
(Iteration 11 / 40) loss: 8.208668
(Epoch 6 / 20) train acc: 0.820000; val_acc: 0.128000
(Epoch 7 / 20) train acc: 0.860000; val_acc: 0.118000
(Epoch 8 / 20) train acc: 0.920000; val_acc: 0.118000
(Epoch 9 / 20) train acc: 0.960000; val_acc: 0.122000
(Epoch 10 / 20) train acc: 0.920000; val_acc: 0.120000
(Iteration 21 / 40) loss: 0.206859
(Epoch 11 / 20) train acc: 0.940000; val_acc: 0.111000
(Epoch 12 / 20) train acc: 0.960000; val_acc: 0.122000
(Epoch 13 / 20) train acc: 0.980000; val_acc: 0.128000
(Epoch 14 / 20) train acc: 0.960000; val_acc: 0.118000
(Epoch 15 / 20) train acc: 1.000000; val_acc: 0.122000
(Iteration 31 / 40) loss: 0.000895
(Epoch 16 / 20) train acc: 1.000000; val_acc: 0.119000
(Epoch 17 / 20) train acc: 1.000000; val_acc: 0.120000
(Epoch 18 / 20) train acc: 1.000000; val_acc: 0.120000
(Epoch 19 / 20) train acc: 1.000000; val_acc: 0.120000
(Epoch 20 / 20) train acc: 1.000000; val_acc: 0.120000
                   Training loss history
  175
       •
  150
  125
S 100
Training
   75
   50
   25
```



Inline Question 2:

Did you notice anything about the comparative difficulty of training the three-layer net vs training the five layer net? In particular, based on your experience, which network seemed more sensitive to the initialization scale? Why do you think that is the case?

Answer:

The five-layer net is harder to train and to find correct hyperparameters (learning rate and weight scale). And five-layer network is more sensitive to the initialization hyperparameter scale. Because five-layer net converges much faster and produces more precise result. It will be more sensitive to initial set up.

Update rules

So far we have used vanilla stochastic gradient descent (SGD) as our update rule. More sophisticated update rules can make it easier to train deep networks. We will implement a few of the most commonly used update rules and compare them to vanilla SGD.

SGD+Momentum

Stochastic gradient descent with momentum is a widely used update rule that tends to make deep networks converge faster than vanilla stochastic gradient descent. See the Momentum Update section at http://cs231n.github.io/neural-networks-3/#sgd for more information.

Open the file cs231n/optim.py and read the documentation at the top of the file to make sure you understand the API.

Implement the SGD+momentum update rule in the function sgd_momentum and run the following to check your implementation. You should see errors less than e-8.

In [15]:

```
from cs231n.optim import sgd_momentum
w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
v = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
config = {'learning_rate': 1e-3, 'velocity': v}
next_w, _ = sgd_momentum(w, dw, config=config)
expected_next_w = np.asarray([
 expected_velocity = np.asarray([
 [ 0.68217895, 0.69633684, 0.71049474, 0.72465263, 0.73881 [ 0.75296842, 0.76712632, 0.78128421, 0.79544211, 0.8096
# Should see relative errors around e-8 or less
print('next_w error: ', rel_error(next_w, expected_next_w))
print('velocity error: ', rel_error(expected_velocity, config['velocity']))
next w error: 8.882347033505819e-09
velocity error: 4.269287743278663e-09
```

Once you have done so, run the following to train a six-layer network with both SGD and SGD+momentum. You should see the SGD+momentum update rule converge faster.

```
In [17]:
```

```
small_data = {
  'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X_val': data['X_val'],
  'y_val': data['y_val'],
solvers = {}
for update_rule in ['sgd', 'sgd_momentum']:
 print('running with ', update_rule)
 model = FullyConnectedNet([100, 100, 100, 100, 100], weight_scale=5e-2)
  solver = Solver(model, small_data,
                  num_epochs=5, batch_size=100,
                  update_rule=update_rule,
                  optim_config={
                    'learning_rate': 5e-3,
                  }.
                  verbose=True)
 solvers[update_rule] = solver
 solver.train()
 print()
plt.subplot(3, 1, 1)
plt.title('Training loss')
plt.xlabel('Iteration')
plt.subplot(3, 1, 2)
plt.title('Training accuracy')
plt.xlabel('Epoch')
plt.subplot(3, 1, 3)
plt.title('Validation accuracy')
plt.xlabel('Epoch')
for update_rule, solver in solvers.items():
 plt.subplot(3, 1, 1)
 plt.plot(solver.loss_history, 'o', label="loss_%s" % update_rule)
 plt.subplot(3, 1, 2)
 plt.plot(solver.train_acc_history, '-o', label="train_acc_%s" % update_rule)
 plt.subplot(3, 1, 3)
 plt.plot(solver.val_acc_history, '-o', label="val_acc_%s" % update_rule)
for i in [1, 2, 3]:
 plt.subplot(3, 1, i)
 plt.legend(loc='upper center', ncol=4)
plt.gcf().set_size_inches(15, 15)
plt.show()
running with sgd
(Iteration 1 / 200) loss: 3.476928
(Epoch 0 / 5) train acc: 0.122000; val_acc: 0.110000
(Iteration 11 / 200) loss: 2.273918
(Iteration 21 / 200) loss: 2.306955
(Iteration 31 / 200) loss: 2.093337
(Epoch 1 / 5) train acc: 0.247000; val_acc: 0.207000
(Iteration 41 / 200) loss: 2.089881
(Iteration 51 / 200) loss: 2.142988
(Iteration 61 / 200) loss: 2.169558
(Iteration 71 / 200) loss: 1.870478
(Epoch 2 / 5) train acc: 0.287000; val_acc: 0.255000
(Iteration 81 / 200) loss: 1.994859
(Iteration 91 / 200) loss: 1.948483
(Iteration 101 / 200) loss: 1.956554
(Iteration 111 / 200) loss: 1.997367
(Epoch 3 / 5) train acc: 0.317000; val_acc: 0.282000
(Iteration 121 / 200) loss: 1.786599
(Iteration 131 / 200) loss: 1.863651
(Iteration 141 / 200) loss: 1.936477
(Iteration 151 / 200) loss: 1.732275
(Epoch 4 / 5) train acc: 0.329000; val_acc: 0.262000
(Iteration 161 / 200) loss: 1.903142
(Iteration 171 / 200) loss: 1.649538
(Iteration 181 / 200) loss: 1.742841
```

```
(Iteration 191 / 200) loss: 1.806653
(Epoch 5 / 5) train acc: 0.334000; val_acc: 0.292000
running with sgd_momentum
(Iteration 1 / 200) loss: 2.589166
(Epoch 0 / 5) train acc: 0.106000; val_acc: 0.095000
(Iteration 11 / 200) loss: 2.139318
(Iteration 21 / 200) loss: 2.017108
(Iteration 31 / 200) loss: 1.813453
(Epoch 1 / 5) train acc: 0.341000; val_acc: 0.297000
(Iteration 41 / 200) loss: 2.002500
(Iteration 51 / 200) loss: 1.830173
(Iteration 61 / 200) loss: 1.584339
(Iteration 71 / 200) loss: 1.579533
(Epoch 2 / 5) train acc: 0.421000; val_acc: 0.324000
(Iteration 81 / 200) loss: 1.523871
(Iteration 91 / 200) loss: 1.597867
(Iteration 101 / 200) loss: 1.527771
(Iteration 111 / 200) loss: 1.638278
(Epoch 3 / 5) train acc: 0.470000; val_acc: 0.368000
(Iteration 121 / 200) loss: 1.482900
(Iteration 131 / 200) loss: 1.533665
(Iteration 141 / 200) loss: 1.547938
(Iteration 151 / 200) loss: 1.419212
(Epoch 4 / 5) train acc: 0.540000; val_acc: 0.381000
(Iteration 161 / 200) loss: 1.531513
(Iteration 171 / 200) loss: 1.371091
(Iteration 181 / 200) loss: 1.438618
(Iteration 191 / 200) loss: 1.241694
(Epoch 5 / 5) train acc: 0.528000; val_acc: 0.378000
                                                   Training loss
 3.5
                                          loss_sgd
                                                    loss_sgd_momentum
 3.0
 2.5
 2.0
 1.5
 1.0
                    25
                               50
                                                      100
                                                                  125
                                                                              150
                                                                                          175
                                                                                                      200
                                                 Training accuracy
                                      train_acc_sgd
                                                    train_acc_sgd_momentum
 0.5
 0.4
 0.3
 0.2
                                                      Epoch
                                                Validation accuracy
                                       → val_acc_sgd
                                                    val_acc_sgd_momentum -
0.35
0.30
0.25
0.20
0.15
```

RMSProp and Adam

RMSProp [1] and Adam [2] are update rules that set per-parameter learning rates by using a running average of the second moments of gradients.

In the file cs231n/optim.py, implement the RMSProp update rule in the rmsprop function and implement the Adam update rule in the adam function, and check your implementations using the tests below.

NOTE: Please implement the *complete* Adam update rule (with the bias correction mechanism), not the first simplified version mentioned in the course notes.

[1] Tijmen Tieleman and Geoffrey Hinton. "Lecture 6.5-rmsprop: Divide the gradient by a running average of its recent magnitude." COURSERA: Neural Networks for Machine Learning 4 (2012).

[2] Diederik Kingma and Jimmy Ba, "Adam: A Method for Stochastic Optimization", ICLR 2015.

```
In [18]:
```

```
# Test RMSProp implementation
from cs231n.optim import rmsprop
N, D = 4, 5
w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
cache = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
config = {'learning_rate': 1e-2, 'cache': cache}
next_w, _ = rmsprop(w, dw, config=config)
expected_next_w = np.asarray([
  [-0.39223849, -0.34037513, -0.28849239, -0.23659121, -0.18467247], [-0.132737, -0.08078555, -0.02881884, 0.02316247, 0.07515774], [ 0.12716641, 0.17918792, 0.23122175, 0.28326742, 0.33532447],
  [ 0.38739248, 0.43947102, 0.49155973, 0.54365823, 0.59576619]])
expected_cache = np.asarray([
                  0.6126277,
                                 0.6277108,
                                              0.64284931, 0.65804321],
  [0.5976,
  [ 0.67329252,  0.68859723,  0.70395734,
                                               0.71937285, 0.73484377],
  [ 0.75037008,
                  0.7659518,
                                 0.78158892,
                                                0.79728144,
                                                              0.81302936],
  [ 0.82883269,  0.84469141,  0.86060554,  0.87657507,  0.8926
# You should see relative errors around e-7 or less
print('next_w error: ', rel_error(expected_next_w, next_w))
print('cache error: ', rel_error(expected_cache, config['cache']))
next_w error: 9.524687511038133e-08
cache error: 2.6477955807156126e-09
```

In [19]:

```
# Test Adam implementation
from cs231n.optim import adam
N. D = 4.5
w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
m = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
v = np.linspace(0.7, 0.5, num=N*D).reshape(N, D)
config = {'learning_rate': 1e-2, 'm': m, 'v': v, 't': 5}
next_w, _ = adam(w, dw, config=config)
expected_next_w = np.asarray([
  [-0.40094747, -0.34836187, -0.29577703, -0.24319299, -0.19060977],
  [-0.1380274, -0.08544591, -0.03286534, 0.01971428, 0.0722929],
  [ 0.1248705,
               0.17744702, 0.23002243, 0.28259667, 0.33516969],
  [0.38774145, 0.44031188, 0.49288093, 0.54544852, 0.59801459]])
expected_v = np.asarray([
  [ 0.69966,
                0.68908382, 0.67851319, 0.66794809,
                                                       0.65738853,],
  [ 0.64683452, 0.63628604, 0.6257431,
                                          0.61520571.
                                                       0.60467385.1.
  [0.59414753, 0.58362676, 0.57311152, 0.56260183, 0.55209767,],
```

Once you have debugged your RMSProp and Adam implementations, run the following to train a pair of deep networks using these new update rules:

In [20]:

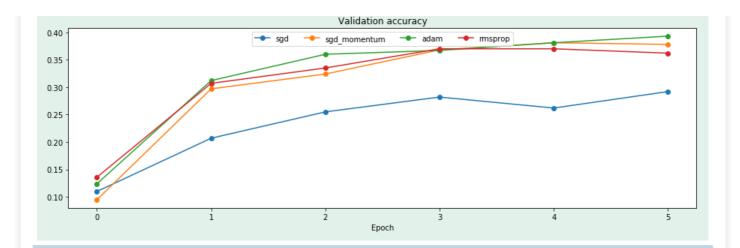
```
learning_rates = {'rmsprop': 1e-4, 'adam': 1e-3}
for update_rule in ['adam', 'rmsprop']:
 print('running with ', update_rule)
 model = FullyConnectedNet([100, 100, 100, 100, 100], weight_scale=5e-2)
 solver = Solver(model, small_data,
                  num_epochs=5, batch_size=100,
                  update_rule=update_rule,
                  optim_config={
                    'learning_rate': learning_rates[update_rule]
                  }.
                  verbose=True)
 solvers[update_rule] = solver
 solver.train()
 print()
plt.subplot(3, 1, 1)
plt.title('Training loss')
plt.xlabel('Iteration')
plt.subplot(3, 1, 2)
plt.title('Training accuracy')
plt.xlabel('Epoch')
plt.subplot(3, 1, 3)
plt.title('Validation accuracy')
plt.xlabel('Epoch')
for update_rule, solver in list(solvers.items()):
 plt.subplot(3, 1, 1)
 plt.plot(solver.loss_history, 'o', label=update_rule)
 plt.subplot(3, 1, 2)
 plt.plot(solver.train_acc_history, '-o', label=update_rule)
 plt.subplot(3, 1, 3)
 plt.plot(solver.val_acc_history, '-o', label=update_rule)
for i in [1, 2, 3]:
 plt.subplot(3, 1, i)
 plt.legend(loc='upper center', ncol=4)
plt.gcf().set_size_inches(15, 15)
plt.show()
running with adam
(Iteration 1 / 200) loss: 2.760209
(Epoch 0 / 5) train acc: 0.150000; val_acc: 0.124000
(Iteration 11 / 200) loss: 2.110602
(Iteration 21 / 200) loss: 1.883176
(Iteration 31 / 200) loss: 1.842409
(Epoch 1 / 5) train acc: 0.357000; val_acc: 0.312000
(Iteration 41 / 200) loss: 1.912024
(Iteration 51 / 200) loss: 1.622724
(Iteration 61 / 200) loss: 1.598922
(Iteration 71 / 200) loss: 1.647785
(Epoch 2 / 5) train acc: 0.441000; val_acc: 0.360000
```

```
(Iteration 81 / 200) loss: 1.517582
(Iteration 91 / 200) loss: 1.621971
(Iteration 101 / 200) loss: 1.456345
(Iteration 111 / 200) loss: 1.491637
(Epoch 3 / 5) train acc: 0.489000; val_acc: 0.367000
(Iteration 121 / 200) loss: 1.607893
(Iteration 131 / 200) loss: 1.394200
(Iteration 141 / 200) loss: 1.321148
(Iteration 151 / 200) loss: 1.349208
(Epoch 4 / 5) train acc: 0.545000; val_acc: 0.381000
(Iteration 161 / 200) loss: 1.248493
(Iteration 171 / 200) loss: 1.153209
(Iteration 181 / 200) loss: 1.493787
(Iteration 191 / 200) loss: 1.214109
(Epoch 5 / 5) train acc: 0.593000; val_acc: 0.393000
running with rmsprop
(Iteration 1 / 200) loss: 2.571494
(Epoch 0 / 5) train acc: 0.142000; val_acc: 0.136000
(Iteration 11 / 200) loss: 2.148984
(Iteration 21 / 200) loss: 1.858964
(Iteration 31 / 200) loss: 1.865406
(Epoch 1 / 5) train acc: 0.388000; val_acc: 0.307000
(Iteration 41 / 200) loss: 1.682452
(Iteration 51 / 200) loss: 1.690672
(Iteration 61 / 200) loss: 1.653560
(Iteration 71 / 200) loss: 1.602213
(Epoch 2 / 5) train acc: 0.444000; val_acc: 0.335000
(Iteration 81 / 200) loss: 1.633064
(Iteration 91 / 200) loss: 1.700801
(Iteration 101 / 200) loss: 1.698334
(Iteration 111 / 200) loss: 1.405926
(Epoch 3 / 5) train acc: 0.487000; val_acc: 0.370000
(Iteration 121 / 200) loss: 1.445123
(Iteration 131 / 200) loss: 1.634678
(Iteration 141 / 200) loss: 1.460120
(Iteration 151 / 200) loss: 1.462433
(Epoch 4 / 5) train acc: 0.507000; val_acc: 0.370000
(Iteration 161 / 200) loss: 1.462897
(Iteration 171 / 200) loss: 1.357323
(Iteration 181 / 200) loss: 1.451439
(Iteration 191 / 200) loss: 1.361932
(Epoch 5 / 5) train acc: 0.525000; val_acc: 0.362000
                                                Training loss
 3.5
                                 sgd

    sgd_momentum

                                                        adam
                                                                 msprop
 3.0
 2.5
 2.0
 1.5
 1.0
                              50
                                                    100
                                                               125
                                                                          150
                                                                                     175
                                                  Iteration
                                              Training accuracy
 0.6
                                                       - adam
                                        sgd momentum
                                                                msprop
 0.5
 0.4
 0.3
 0.2
```

Epoch



Inline Question 3:

AdaGrad, like Adam, is a per-parameter optimization method that uses the following update rule:

```
cache += dw**2
w += - learning_rate * dw / (np.sqrt(cache) + eps)
```

John notices that when he was training a network with AdaGrad that the updates became very small, and that his network was learning slowly. Using your knowledge of the AdaGrad update rule, why do you think the updates would become very small? Would Adam have the same issue?

Answer:

Because every time the square of dw is added to cache. As the learning progresses, the value of cache will become larger and larger, and in the process of w update, it needs to be divided by cache, which will cause the actual learning rate to be smaller and smaller.

Adam would not have this kind of issue. Because in Adam, m and v are similar to cache but they are moving averages of squared gradients. Hyperparameters beta1 and beta2 will respectively make m and v to be leaky so the learning rate updates do not get monotonically smaller.

Train a good model!

Train the best fully-connected model that you can on CIFAR-10, storing your best model in the <code>best_model</code> variable. We require you to get at least 50% accuracy on the validation set using a fully-connected net.

If you are careful it should be possible to get accuracies above 55%, but we don't require it for this part and won't assign extra credit for doing so. Later in the assignment we will ask you to train the best convolutional network that you can on CIFAR-10, and we would prefer that you spend your effort working on convolutional nets rather than fully-connected nets.

You might find it useful to complete the BatchNormalization.ipynb and Dropout.ipynb notebooks before completing this part, since those techniques can help you train powerful models.

In [23]:

```
for reg in regulation_strengths:
           model = FullyConnectedNet([200, 100],
                                   weight scale=weight scale,
                                   reg=reg)
           solver = Solver(model,
                          num_epochs=10,
                          batch size=200.
                          update_rule='adam',
                          optim_config={
                              'learning_rate': lr
                          verbose=False)
           solver.train()
           val_accuracy = solver.best_val_acc
           if best_val_accuracy < val_accuracy:</pre>
               best_val_accuracy = val_accuracy
               best\_model = model
           print('learning rate %e weight scale %e regulation strength %e val accuracy: %f' % (lr,
weight_scale, reg, val_accuracy))
print('Best validation accuracy achieved is: %f' % best_val_accuracy)
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
END OF YOUR CODE
•
learning rate 1.000000e-05 weight scale 1.000000e-02 regulation strength 1.000000e-04 val
accuracy: 0.483000
learning rate 1.000000e-05 weight scale 1.000000e-02 regulation strength 1.000000e-03 val
accuracy: 0.468000
learning rate 1.000000e-04 weight scale 1.000000e-02 regulation strength 1.000000e-04 val
accuracy: 0.542000
learning rate 1.000000e-04 weight scale 1.000000e-02 regulation strength 1.000000e-03 val
accuracy: 0.531000
learning rate 1.000000e-03 weight scale 1.000000e-02 regulation strength 1.000000e-04 val
accuracy: 0.525000
learning rate 1.000000e-03 weight scale 1.000000e-02 regulation strength 1.000000e-03 val
accuracy: 0.509000
learning rate 1.000000e-05 weight scale 1.000000e-03 regulation strength 1.000000e-04 val
accuracy: 0.447000
learning rate 1.000000e-05 weight scale 1.000000e-03 regulation strength 1.000000e-03 val
accuracy: 0.445000
learning rate 1.000000e-04 weight scale 1.000000e-03 regulation strength 1.000000e-04 val
accuracy: 0.531000
learning rate 1.000000e-04 weight scale 1.000000e-03 regulation strength 1.000000e-03 val
accuracy: 0.522000
learning rate 1.000000e-03 weight scale 1.000000e-03 regulation strength 1.000000e-04 val
accuracy: 0.509000
learning rate 1.000000e-03 weight scale 1.000000e-03 regulation strength 1.000000e-03 val
accuracy: 0.505000
Best validation accuracy achieved is: 0.542000
```

Test your model!

Run your best model on the validation and test sets. You should achieve above 50% accuracy on the validation set.

```
In [25]:

y_test_pred = np.argmax(best_model.loss(data['X_test']), axis=1)
y_val_pred = np.argmax(best_model.loss(data['X_val']), axis=1)
print('Validation set accuracy: ', (y_val_pred == data['y_val']).mean())
print('Test set accuracy: ', (y_test_pred == data['y_test']).mean())

Validation set accuracy: 0.542
Test set accuracy: 0.534
```

Batch Normalization

One way to make deep networks easier to train is to use more sophisticated optimization procedures such as SGD+momentum, RMSProp, or Adam. Another strategy is to change the architecture of the network to make it easier to train. One idea along these lines is batch normalization which was proposed by [1] in 2015.

The idea is relatively straightforward. Machine learning methods tend to work better when their input data consists of uncorrelated features with zero mean and unit variance. When training a neural network, we can preprocess the data before feeding it to the network to explicitly decorrelate its features; this will ensure that the first layer of the network sees data that follows a nice distribution. However, even if we preprocess the input data, the activations at deeper layers of the network will likely no longer be decorrelated and will no longer have zero mean or unit variance since they are output from earlier layers in the network. Even worse, during the training process the distribution of features at each layer of the network will shift as the weights of each layer are updated.

The authors of [1] hypothesize that the shifting distribution of features inside deep neural networks may make training deep networks more difficult. To overcome this problem, [1] proposes to insert batch normalization layers into the network. At training time, a batch normalization layer uses a minibatch of data to estimate the mean and standard deviation of each feature. These estimated means and standard deviations are then used to center and normalize the features of the minibatch. A running average of these means and standard deviations is kept during training, and at test time these running averages are used to center and normalize features.

It is possible that this normalization strategy could reduce the representational power of the network, since it may sometimes be optimal for certain layers to have features that are not zero-mean or unit variance. To this end, the batch normalization layer includes learnable shift and scale parameters for each feature dimension.

[1] <u>Sergey Ioffe and Christian Szegedy, "Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift", ICML 2015.</u>

Batch normalization: forward

In the file cs231n/layers.py, implement the batch normalization forward pass in the function batchnorm_forward . Once you have done so, run the following to test your implementation.

Referencing the paper linked to above in [1] may be helpful!

```
In [3]:
```

```
# Check the training-time forward pass by checking means and variances
# of features both before and after batch normalization
# Simulate the forward pass for a two-layer network
np.random.seed(231)
N, D1, D2, D3 = 200, 50, 60, 3
X = np.random.randn(N, D1)
W1 = np.random.randn(D1, D2)
W2 = np.random.randn(D2, D3)
a = np.maximum(0, X.dot(W1)).dot(W2)
print('Before batch normalization:')
print_mean_std(a,axis=0)
gamma = np.ones((D3,))
beta = np.zeros((D3,))
# Means should be close to zero and stds close to one
print('After batch normalization (gamma=1, beta=0)')
a_norm, _ = batchnorm_forward(a, gamma, beta, {'mode': 'train'})
print_mean_std(a_norm,axis=0)
gamma = np.asarray([1.0, 2.0, 3.0])
beta = np.asarray([11.0, 12.0, 13.0])
# Now means should be close to beta and stds close to gamma
print('After batch normalization (gamma=', gamma, ', beta=', beta, ')')
a_norm, _ = batchnorm_forward(a, gamma, beta, {'mode': 'train'})
print_mean_std(a_norm,axis=0)
Before batch normalization:
 means: [ -2.3814598 -13.18038246 1.91780462]
```

```
In [4]:
```

```
# Check the test-time forward pass by running the training-time
# forward pass many times to warm up the running averages, and then
# checking the means and variances of activations after a test-time
# forward pass.
np.random.seed(231)
N, D1, D2, D3 = 200, 50, 60, 3
W1 = np.random.randn(D1, D2)
W2 = np.random.randn(D2, D3)
bn_param = {'mode': 'train'}
gamma = np.ones(D3)
beta = np.zeros(D3)
for t in range (50):
 X = np.random.randn(N, D1)
  a = np.maximum(0, X.dot(W1)).dot(W2)
 batchnorm_forward(a, gamma, beta, bn_param)
bn_param['mode'] = 'test'
X = np.random.randn(N, D1)
a = np.maximum(0, X.dot(W1)).dot(W2)
a_norm, _ = batchnorm_forward(a, gamma, beta, bn_param)
# Means should be close to zero and stds close to one, but will be
# noisier than training-time forward passes.
print('After batch normalization (test-time):')
print_mean_std(a_norm,axis=0)
After batch normalization (test-time):
 means: [-0.03927354 -0.04349152 -0.10452688]
  stds: [1.01531428 1.01238373 0.97819988]
```

Batch normalization: backward

Now implement the backward pass for batch normalization in the function <code>batchnorm_backward</code> .

To derive the backward pass you should write out the computation graph for batch normalization and backprop through each of the intermediate nodes. Some intermediates may have multiple outgoing branches; make sure to sum gradients across these branches in the backward pass.

Once you have finished, run the following to numerically check your backward pass.

In [5]:

```
# Gradient check batchnorm backward pass
np.random.seed(231)
N, D = 4, 5
x = 5 * np.random.randn(N, D) + 12
gamma = np.random.randn(D)
beta = np.random.randn(D)
dout = np.random.randn(N, D)

bn_param = {'mode': 'train'}
fx = lambda x: batchnorm_forward(x, gamma, beta, bn_param)[0]
fg = lambda a: batchnorm_forward(x, a, beta, bn_param)[0]
fb = lambda b: batchnorm_forward(x, gamma, b, bn_param)[0]

dx_num = eval_numerical_gradient_array(fx, x, dout)
da_num = eval_numerical_gradient_array(fb, beta.copy(), dout)
db_num = eval_numerical_gradient_array(fb, beta.copy(), dout)
```

```
_, cache = batchnorm_forward(x, gamma, beta, bn_param)
dx, dgamma, dbeta = batchnorm_backward(dout, cache)
#You should expect to see relative errors between 1e-13 and 1e-8
print('dx error: ', rel_error(dx_num, dx))
print('dgamma error: ', rel_error(da_num, dgamma))
print('dbeta error: ', rel_error(db_num, dbeta))

dx error: 1.7029261167605239e-09
dgamma error: 7.420414216247087e-13
dbeta error: 2.8795057655839487e-12
```

Batch normalization: alternative backward

In class we talked about two different implementations for the sigmoid backward pass. One strategy is to write out a computation graph composed of simple operations and backprop through all intermediate values. Another strategy is to work out the derivatives on paper. For example, you can derive a very simple formula for the sigmoid function's backward pass by simplifying gradients on paper.

Surprisingly, it turns out that you can do a similar simplification for the batch normalization backward pass too!

In the forward pass, given a set of inputs
$$X = \begin{bmatrix} x_1 \\ x_2 \\ \dots \\ x_N \end{bmatrix}$$
,

we first calculate the mean μ and variance ν . With μ and ν calculated, we can calculate the standard deviation σ and normalized data Y . The equations and graph illustration below describe the computation (y_i is the i-th element of the vector Y).

$$\mu = \frac{1}{N} \sum_{k=1}^{N} x_k \qquad v = \frac{1}{N} \sum_{k=1}^{N} (x_k - \mu)^2$$

$$\sigma = \sqrt{v + \epsilon} \qquad y_i = \frac{x_i - \mu}{\sigma}$$

```
In [6]:
```

```
np.random.seed(231)
N, D = 100, 500
x = 5 * np.random.randn(N, D) + 12
gamma = np.random.randn(D)
beta = np.random.randn(D)
dout = np.random.randn(N, D)
bn_param = {'mode': 'train'}
out, cache = batchnorm_forward(x, gamma, beta, bn_param)
t1 = time.time()
dx1, dgamma1, dbeta1 = batchnorm_backward(dout, cache)
t2 = time.time()
dx2, dgamma2, dbeta2 = batchnorm_backward_alt(dout, cache)
t3 = time.time()
print('dx difference: ', rel_error(dx1, dx2))
print('dgamma difference: ', rel_error(dgamma1, dgamma2))
print('dbeta difference: ', rel_error(dbeta1, dbeta2))
print('speedup: %.2fx' % ((t2 - t1) / (t3 - t2)))
dx difference: 1.917873695737547e-12
dgamma difference: 0.0
dbeta difference: 0.0
speedup: 1.98x
```

Fully Connected Nets with Batch Normalization

Now that you have a working implementation for batch normalization, go back to your $\texttt{FullyConnectedNet} \quad \text{in the file}$

CSZ3III/CIASSIIIEIS/IC_NEL.py . WOUNY YOUN IMPIENTATION TO AUU DATON HORMALZATION.

Concretely, when the normalization flag is set to "batchnorm" in the constructor, you should insert a batch normalization layer before each ReLU nonlinearity. The outputs from the last layer of the network should not be normalized. Once you are done, run the following to gradient-check your implementation.

HINT: You might find it useful to define an additional helper layer similar to those in the file $cs231n/layer_utils.py$. If you decide to do so, do it in the file $cs231n/classifiers/fc_net.py$.

In [7]:

```
np.random.seed (231)
N, D, H1, H2, C = 2, 15, 20, 30, 10
X = np.random.randn(N, D)
y = np.random.randint(C, size=(N,))
# You should expect losses between 1e-4~1e-10 for W,
# losses between 1e-08~1e-10 for b,
# and losses between 1e-08~1e-09 for beta and gammas.
for reg in [0, 3.14]:
 print('Running check with reg = ', reg)
  model = FullyConnectedNet([H1, H2], input_dim=D, num_classes=C,
                            reg=reg, weight_scale=5e-2, dtype=np.float64,
                            normalization='batchnorm')
  loss, grads = model.loss(X, y)
  print('Initial loss: ', loss)
  for name in sorted(grads):
   f = lambda _: model.loss(X, y)[0]
    grad_num = eval_numerical_gradient(f, model.params[name], verbose=False, h=1e-5)
    print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[name])))
  if reg == 0: print()
Running check with reg = 0
Initial loss: 2.2611955101340957
W1 relative error: 1.10e-04
W2 relative error: 2.85e-06
W3 relative error: 3.92e-10
b1 relative error: 4.44e-08
b2 relative error: 2.22e-08
b3 relative error: 4.78e-11
betal relative error: 7.33e-09
beta2 relative error: 1.89e-09
gamma1 relative error: 7.57e-09
gamma2 relative error: 1.96e-09
Running check with reg = 3.14
Initial loss: 6.996533220108303
W1 relative error: 1.98e-06
W2 relative error: 2.29e-06
W3 relative error: 1.11e-08
b1 relative error: 5.55e-09
b2 relative error: 5.55e-09
b3 relative error: 2.23e-10
beta1 relative error: 6.65e-09
beta2 relative error: 3.48e-09
gamma1 relative error: 5.94e-09
gamma2 relative error: 4.14e-09
```

Batchnorm for deep networks

Run the following to train a six-layer network on a subset of 1000 training examples both with and without batch normalization.

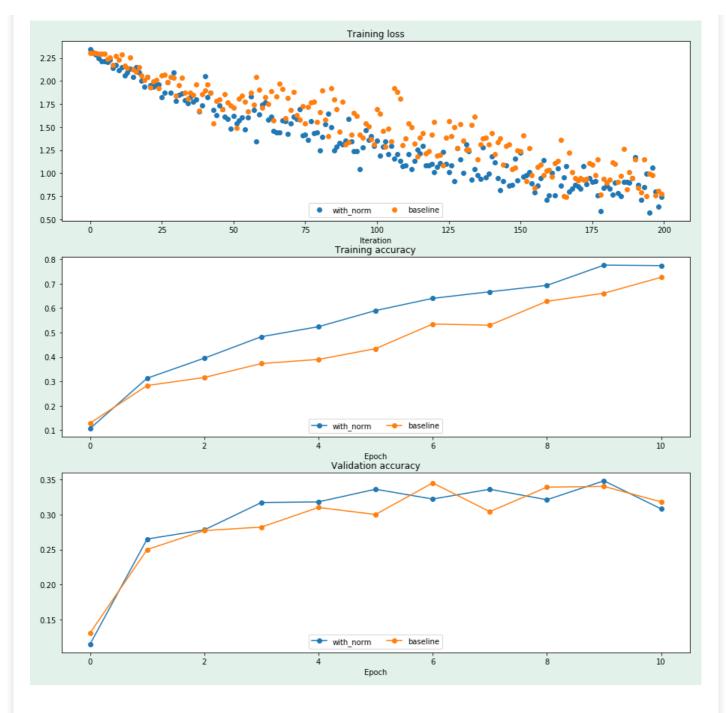
In [8]:

```
np.random.seed(231)
# Try training a very deep net with batchnorm
hidden_dims = [100, 100, 100, 100]

num_train = 1000
small_data = {
    'X_train': data['X_train'][:num_train],
    'y_train': data['y_train'][:num_train],
```

```
'X val': data|'X val'|,
  'y_val': data['y_val'],
weight scale = 2e-2
bn_model = FullyConnectedNet(hidden_dims, weight_scale=weight_scale, normalization='batchnorm')
model = FullyConnectedNet(hidden_dims, weight_scale=weight_scale, normalization=None)
print('Solver with batch norm:')
bn_solver = Solver(bn_model, small_data,
               num_epochs=10, batch_size=50,
                update_rule='adam',
                optim_config={
                  'learning_rate': 1e-3,
                },
                verbose=True,print_every=20)
bn_solver.train()
print('\nSolver without batch norm:')
solver = Solver(model, small_data,
               num_epochs=10, batch_size=50,
                update_rule='adam',
                optim_config={
                  'learning_rate': 1e-3,
                },
                verbose=True, print_every=20)
solver.train()
Solver with batch norm:
(Iteration 1 / 200) loss: 2.340974
(Epoch 0 / 10) train acc: 0.107000; val_acc: 0.115000
(Epoch 1 / 10) train acc: 0.313000; val_acc: 0.265000
(Iteration 21 / 200) loss: 2.039345
(Epoch 2 / 10) train acc: 0.395000; val_acc: 0.278000
(Iteration 41 / 200) loss: 2.047471
(Epoch 3 / 10) train acc: 0.483000; val_acc: 0.317000
(Iteration 61 / 200) loss: 1.739554
(Epoch 4 / 10) train acc: 0.524000; val_acc: 0.318000
(Iteration 81 / 200) loss: 1.246973
(Epoch 5 / 10) train acc: 0.590000; val_acc: 0.336000
(Iteration 101 / 200) loss: 1.352696
(Epoch 6 / 10) train acc: 0.640000; val_acc: 0.322000
(Iteration 121 / 200) loss: 1.012431
(Epoch 7 / 10) train acc: 0.667000; val_acc: 0.336000
(Iteration 141 / 200) loss: 1.178837
(Epoch 8 / 10) train acc: 0.693000; val_acc: 0.321000
(Iteration 161 / 200) loss: 0.762896
(Epoch 9 / 10) train acc: 0.776000; val_acc: 0.348000
(Iteration 181 / 200) loss: 0.864004
(Epoch 10 / 10) train acc: 0.774000; val_acc: 0.308000
Solver without batch norm:
(Iteration 1 / 200) loss: 2.302332
(Epoch 0 / 10) train acc: 0.129000; val_acc: 0.131000
(Epoch 1 / 10) train acc: 0.283000; val_acc: 0.250000
(Iteration 21 / 200) loss: 2.041970
(Epoch 2 / 10) train acc: 0.316000; val_acc: 0.277000
(Iteration 41 / 200) loss: 1.900473
(Epoch 3 / 10) train acc: 0.373000; val_acc: 0.282000
(Iteration 61 / 200) loss: 1.713156
(Epoch 4 / 10) train acc: 0.390000; val_acc: 0.310000
(Iteration 81 / 200) loss: 1.662209
(Epoch 5 / 10) train acc: 0.434000; val_acc: 0.300000
(Iteration 101 / 200) loss: 1.696059
(Epoch 6 / 10) train acc: 0.535000; val_acc: 0.345000
(Iteration 121 / 200) loss: 1.557986
(Epoch 7 / 10) train acc: 0.530000; val_acc: 0.304000
(Iteration 141 / 200) loss: 1.432189
(Epoch 8 / 10) train acc: 0.628000; val_acc: 0.339000
(Iteration 161 / 200) loss: 1.033932
(Epoch 9 / 10) train acc: 0.661000; val_acc: 0.340000
(Iteration 181 / 200) loss: 0.901034
(Epoch 10 / 10) train acc: 0.726000; val_acc: 0.318000
```

Run the following to visualize the results from two networks trained above. You should find that using batch normalization helps the network to converge much faster.



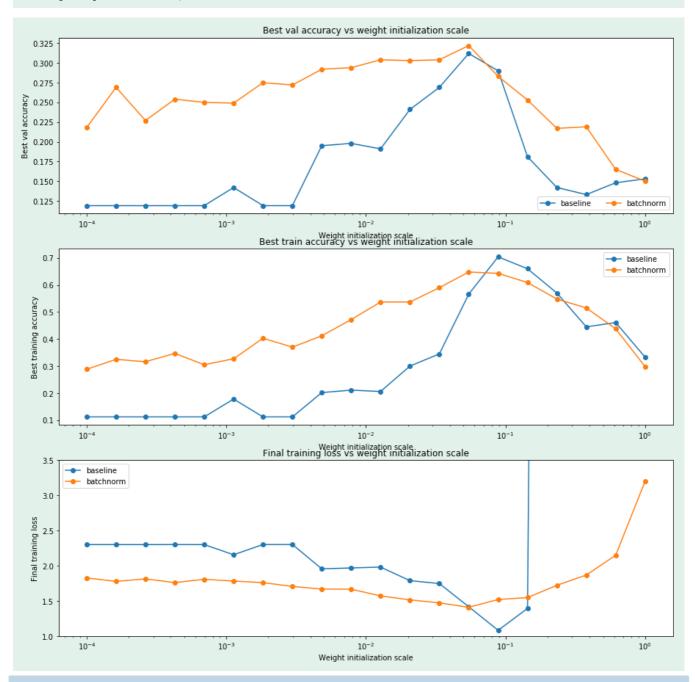
Batch normalization and initialization

We will now run a small experiment to study the interaction of batch normalization and weight initialization.

The first cell will train 8-layer networks both with and without batch normalization using different scales for weight initialization. The second layer will plot training accuracy, validation set accuracy, and training loss as a function of the weight initialization scale.

```
Running weight scale 1 / 20
Running weight scale 2 / 20
Running weight scale 3 / 20
Running weight scale 4 / 20
Running weight scale 5 / 20
Running weight scale 6 / 20
Running weight scale 7 / 20
Running weight scale 8 / 20
Running weight scale 9 / 20
Running weight scale 10 / 20
Running weight scale 11 / 20
Running weight scale 12 / 20
Running weight scale 13 / 20
Running weight scale 14 / 20
Running weight scale 15 / 20
Running weight scale 16 / 20
Running weight scale 17 / 20
Running weight scale 18 / 20
```

Running weight scale 19 / 20 Running weight scale 20 / 20



Inline Question 1:

Describe the results of this experiment. How does the scale of weight initialization affect models with/without batch normalization differently, and why?

Answer:

According to the plot, the batchnorm case usually has both higher validation accuracy and trainging accuracy than baseline case. And th final traing loss for batchnorm case is lower.

As the scale of weight initialization increases, the training accuracy and validation accuracy both increase until the scale reaches about 10^{-1} and start to decrease after that. The training loss behaves almost in the opposite way.

With batch normalization, the accuracy is higher when the value of scale of weight is very small and the change of accuracy is more smoothly and regularly compared to the situation without batch normalization. Because with normalization, the result will be adjusted if the scale of input features is extremly different. In this way, the gradient descent can reduce the oscillations when approaching the minimum point and converge faster. It reduces the impact from earlier layers on later layers.

Batch normalization and batch size

We will now run a small experiment to study the interaction of batch normalization and batch size.

The first cell will train 6-layer networks both with and without batch normalization using different batch sizes. The second layer will plot training accuracy and validation set accuracy over time.

```
No normalization: batch size = 5
Normalization: batch size = 5
Normalization: batch size = 10
Normalization: batch size = 50
```

```
In [13]:
plt.subplot(2, 1, 1)
plot_training_history('Training accuracy (Batch Normalization)','Epoch', solver_bsize,
bn_solvers_bsize, \
                        lambda x: x.train_acc_history, bl_marker='-^', bn_marker='-o', labels=batch_s
zes)
plt.subplot(2, 1, 2)
plot_training_history('Validation accuracy (Batch Normalization)','Epoch', solver_bsize,
bn_solvers_bsize, \
                         lambda x: x.val_acc_history, bl_marker='-^', bn_marker='-o', labels=batch_siz
s)
plt.gcf().set_size_inches(15, 10)
plt.show()
                                         Training accuracy (Batch Normalization)
 0.8
 0.7
 0.6
 0.5
 0.4
 0.3
 0.2
                                                with_norm10
 0.1
                                                                                                        10
                                                       Epoch
                                         Validation accuracy (Batch Normalization)
0.35
0.30
0.25
0.20
0.15
                                                                          with norm5
                                                with norm10
                                                           with norm50
                                                                                                        10
                                                                                     8
                                                       Epoch
```

Inline Question 2:

Describe the results of this experiment. What does this imply about the relationship between batch normalization and batch size? Why is this relationship observed?

Answer:

When the batch size is 5, baseline case has slightly better training than batchnorm case as epoch gets larger. But as the batch size gets larger, BN cases tend to converge faster and perform better on training accuaracy, but the validation accuracy is not significantly influenced.

This result shows that batch normlization tend to improve the training speed and accuracy but it doesn't has much influence on validation. And when the batch size is small, this normalization may even have adverse effect. Because increasing batch size can make the steps more accurate since the sampling will be closer to the real population.

Layer Normalization

Batch normalization has proved to be effective in making networks easier to train, but the dependency on batch size makes it less useful in complex networks which have a cap on the input batch size due to hardware limitations.

Several alternatives to batch normalization have been proposed to mitigate this problem; one such technique is Layer Normalization [2]. Instead of normalizing over the batch, we normalize over the features. In other words, when using Layer Normalization, each feature vector corresponding to a single datapoint is normalized based on the sum of all terms within that feature vector.

[2] Ba, Jimmy Lei, Jamie Ryan Kiros, and Geoffrey E. Hinton. "Layer Normalization." stat 1050 (2016): 21.

Inline Question 3:

Which of these data preprocessing steps is analogous to batch normalization, and which is analogous to layer normalization?

- 1. Scaling each image in the dataset, so that the RGB channels for each row of pixels within an image sums up to 1.
- 2. Scaling each image in the dataset, so that the RGB channels for all pixels within an image sums up to 1.
- 3. Subtracting the mean image of the dataset from each image in the dataset.
- 4. Setting all RGB values to either 0 or 1 depending on a given threshold.

Answer:

1, 2 are like layer normalization. 3 is like batch normalization

Layer Normalization: Implementation

Now you'll implement layer normalization. This step should be relatively straightforward, as conceptually the implementation is almost identical to that of batch normalization. One significant difference though is that for layer normalization, we do not keep track of the moving moments, and the testing phase is identical to the training phase, where the mean and variance are directly calculated per datapoint.

Here's what you need to do:

• In cs231n/layers.py, implement the forward pass for layer normalization in the function layernorm_backward.

Run the cell below to check your results.

• In cs231n/layers.py, implement the backward pass for layer normalization in the function layernorm_backward.

Run the second cell below to check your results.

Modify cs231n/classifiers/fc_net.py to add layer normalization to the FullyConnectedNet. When the
normalization flag is set to "layernorm" in the constructor, you should insert a layer normalization layer before each
ReLU nonlinearity.

Run the third cell below to run the batch size experiment on layer normalization.

```
In [14]:
```

```
# Check the training-time forward pass by checking means and variances
# of features both before and after layer normalization

# Simulate the forward pass for a two-layer network
np.random.seed(231)
N, D1, D2, D3 = 4, 50, 60, 3
X = np.random.randn(N, D1)
W1 = np.random.randn(D1, D2)
W2 = np.random.randn(D2, D3)
a = np.maximum(0, X.dot(W1)).dot(W2)
```

```
print('Before layer normalization:')
print_mean_std(a,axis=1)
gamma = np.ones(D3)
beta = np.zeros(D3)
# Means should be close to zero and stds close to one
print('After layer normalization (gamma=1, beta=0)')
a_norm, _ = layernorm_forward(a, gamma, beta, {'mode': 'train'})
print_mean_std(a_norm,axis=1)
gamma = np.asarray([3.0,3.0,3.0])
beta = np.asarray([5.0,5.0,5.0])
# Now means should be close to beta and stds close to gamma
print('After layer normalization (gamma=', gamma, ', beta=', beta, ')')
a_norm, _ = layernorm_forward(a, gamma, beta, {'mode': 'train'})
print_mean_std(a_norm,axis=1)
Before layer normalization:
 means: [-59.06673243 -47.60782686 -43.31137368 -26.40991744]
          [10.07429373 28.39478981 35.28360729 4.01831507]
After layer normalization (gamma=1, beta=0)
  means: [-4.81096644e-16 0.00000000e+00 7.40148683e-17 -5.55111512e-16]
  stds: [0.99999995 0.99999999 1.
                                           0.99999969]
After layer normalization (gamma= [3. 3. 3.], beta= [5. 5. 5.])
 means: [5, 5, 5, 5,]
  stds: [2.99999985 2.99999998 2.99999999 2.999999907]
```

In [15]:

```
# Gradient check batchnorm backward pass
np.random.seed(231)
N, D = 4, 5
x = 5 * np.random.randn(N, D) + 12
gamma = np.random.randn(D)
beta = np.random.randn(D)
dout = np.random.randn(N, D)
ln_param = \{\}
fx = lambda x: layernorm_forward(x, gamma, beta, ln_param)[0]
fg = lambda a: layernorm_forward(x, a, beta, ln_param)[0]
fb = lambda b: layernorm_forward(x, gamma, b, ln_param)[0]
dx_num = eval_numerical_gradient_array(fx, x, dout)
da_num = eval_numerical_gradient_array(fg, gamma.copy(), dout)
db_num = eval_numerical_gradient_array(fb, beta.copy(), dout)
 _, cache = layernorm_forward(x, gamma, beta, ln_param)
dx, dgamma, dbeta = layernorm_backward(dout, cache)
#You should expect to see relative errors between 1e-12 and 1e-8
print('dx error: ', rel_error(dx_num, dx))
print('dgamma error: ', rel_error(da_num, dgamma))
print('dbeta error: ', rel_error(db_num, dbeta))
dx error: 1.433615657860454e-09
dgamma error: 4.519489546032799e-12
dbeta error: 2.276445013433725e-12
```

Layer Normalization and batch size

We will now run the previous batch size experiment with layer normalization instead of batch normalization. Compared to the previous experiment, you should see a markedly smaller influence of batch size on the training history!

In [16]:

```
zes)
plt.subplot(2, 1, 2)
plot_training_history('Validation accuracy (Layer Normalization)','Epoch', solver_bsize,
ln_solvers_bsize, \
                         lambda x: x.val_acc_history, bl_marker='-^', bn_marker='-o', labels=batch_siz
plt.gcf().set_size_inches(15, 10)
plt.show()
4
                                                                                                               Þ
No normalization: batch size =
Normalization: batch size = 5
Normalization: batch size = 10
Normalization: batch size = 50
                                           Training accuracy (Layer Normalization)
 0.8
 0.7
 0.6
 0.5
 0.4
  0.3
  0.2
                                    with norm5
                                                  with norm10
                                                                 with norm50
                                                                               baseline5
  0.1
                                                                                         8
                                                                                                            10
                                                         Epoch
                                           Validation accuracy (Layer Normalization)
 0.35
 0.30
 0.25
 0.20
 0.15
                                    with norm5
                                                  with norm10
                                                                 with norm50
 0.10
                                                                     6
                                                                                         8
                                                         Epoch
```

Inline Question 4:

When is layer normalization likely to not work well, and why?

- 1. Using it in a very deep network
- 2. Having a very small dimension of features
- 3. Having a high regularization term

Answer:

2,3

- 1. When the dimension of features is very small, layer normalization may not perform well due to th lack of data about features.
- 2. When the regularization term is very high, the weights of affine layers will be greatly influenced and the output from affine layer will be really small. In this way, the effect from normalization layer will be reduced.

Dropout

Dropout [1] is a technique for regularizing neural networks by randomly setting some output activations to zero during the forward pass. In this exercise you will implement a dropout layer and modify your fully-connected network to optionally use dropout.

[1] Geoffrey E. Hinton et al, "Improving neural networks by preventing co-adaptation of feature detectors", arXiv 2012.

Dropout forward pass

In the file cs231n/layers.py, implement the forward pass for dropout. Since dropout behaves differently during training and testing, make sure to implement the operation for both modes.

Once you have done so, run the cell below to test your implementation.

```
In [3]:
```

```
np.random.seed (231)
x = np.random.randn(500, 500) + 10
for p in [0.25, 0.4, 0.7]:
 out, _ = dropout_forward(x, {'mode': 'train', 'p': p})
 out_test, _ = dropout_forward(x, {'mode': 'test', 'p': p})
  print('Running tests with p = ', p)
  print('Mean of input: ', x.mean())
 print('Mean of train-time output: ', out.mean())
 print('Mean of test-time output: ', out_test.mean())
 print('Fraction of train-time output set to zero: ', (out == 0).mean())
  print('Fraction of test-time output set to zero: ', (out_test == 0).mean())
  print()
Running tests with p = 0.25
Mean of input: 10.000207878477502
Mean of train-time output: 10.014059116977283
Mean of test-time output: 10.000207878477502
Fraction of train-time output set to zero: 0.749784
Fraction of test-time output set to zero: 0.0
Running tests with p = 0.4
Mean of input: 10.000207878477502
Mean of train-time output: 9.977917658761159
Mean of test-time output: 10.000207878477502
Fraction of train-time output set to zero: 0.600796
Fraction of test-time output set to zero: 0.0
Running tests with p = 0.7
Mean of input: 10.000207878477502
Mean of train-time output: 9.987811912159426
Mean of test-time output: 10.000207878477502
Fraction of train-time output set to zero: 0.30074
Fraction of test-time output set to zero: 0.0
```

Dropout backward pass

In the file cs231n/layers.py, implement the backward pass for dropout. After doing so, run the following cell to numerically gradient-check your implementation.

```
In [4]:
```

```
np.random.seed(231)
x = np.random.randn(10, 10) + 10
dout = np.random.randn(*x.shape)

dropout_param = {'mode': 'train', 'p': 0.2, 'seed': 123}
out, cache = dropout_forward(x, dropout_param)
dx = dropout_backward(dout, cache)
```

```
dx_num = eval_numerical_gradient_array(lambda xx: dropout_forward(xx, dropout_param)[0], x, dout)

# Error should be around e-10 or less
print('dx relative error: ', rel_error(dx, dx_num))

dx relative error: 5.44560814873387e-11
```

Inline Question 1:

What happens if we do not divide the values being passed through inverse dropout by p in the dropout layer? Why does that happen?

Answer:

The test output will not be identical to the training output.

Because if we use dropout without the division, the expected training output from input x will become px+(1-p)0 = px while the test output is still x.

Fully-connected nets with Dropout

In the file <code>cs231n/classifiers/fc_net.py</code>, modify your implementation to use dropout. Specifically, if the constructor of the network receives a value that is not 1 for the <code>dropout</code> parameter, then the net should add a dropout layer immediately after every ReLU nonlinearity. After doing so, run the following to numerically gradient-check your implementation.

In [5]:

```
np.random.seed (231)
N, D, H1, H2, C = 2, 15, 20, 30, 10
X = np.random.randn(N, D)
y = np.random.randint(C, size=(N,))
for dropout in [1, 0.75, 0.5]:
  print('Running check with dropout = ', dropout)
  model = FullyConnectedNet([H1, H2], input_dim=D, num_classes=C,
                            weight_scale=5e-2, dtype=np.float64,
                            dropout=dropout, seed=123)
  loss, grads = model.loss(X, v)
  print('Initial loss: ', loss)
  # Relative errors should be around e-6 or less; Note that it's fine
  # if for dropout=1 you have W2 error be on the order of e-5.
  for name in sorted(grads):
    f = lambda _: model.loss(X, y)[0]
    grad_num = eval_numerical_gradient(f, model.params[name], verbose=False, h=1e-5)
    print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[name])))
  print()
Running check with dropout = 1
Initial loss: 2.3004790897684924
W1 relative error: 1.48e-07
W2 relative error: 2.21e-05
W3 relative error: 3.53e-07
b1 relative error: 5.38e-09
b2 relative error: 2.09e-09
b3 relative error: 5.80e-11
Running check with dropout =
Initial loss: 2.302371489704412
W1 relative error: 1.90e-07
W2 relative error: 4.76e-06
W3 relative error: 2.60e-08
b1 relative error: 4.73e-09
b2 relative error: 1.82e-09
b3 relative error: 1.70e-10
Running check with dropout = 0.5
Initial loss: 2.3042759220785896
W1 relative error: 3.11e-07
```

```
W2 relative error: 1.84e-08
W3 relative error: 5.35e-08
b1 relative error: 2.58e-08
b2 relative error: 2.99e-09
b3 relative error: 1.13e-10
```

Regularization experiment

As an experiment, we will train a pair of two-layer networks on 500 training examples: one will use no dropout, and one will use a keep probability of 0.25. We will then visualize the training and validation accuracies of the two networks over time.

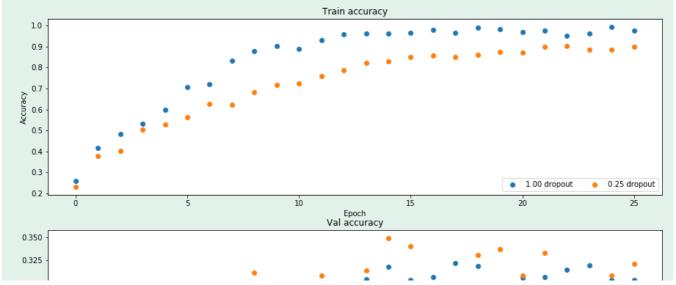
```
In [6]:
```

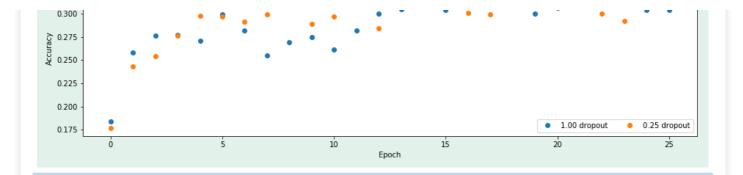
```
# Train two identical nets, one with dropout and one without
np.random.seed(231)
num_train = 500
small_data = {
 'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X_val': data['X_val'],
  'y_val': data['y_val'],
solvers = {}
dropout_choices = [1, 0.25]
for dropout in dropout_choices:
 model = FullyConnectedNet([500], dropout=dropout)
 print (dropout)
  solver = Solver(model, small_data,
                  num_epochs=25, batch_size=100,
                  update_rule='adam',
                  optim config={
                    'learning_rate': 5e-4,
                  verbose=True, print_every=100)
  solver.train()
 solvers[dropout] = solver
 print()
(Iteration 1 / 125) loss: 7.856643
(Epoch 0 / 25) train acc: 0.260000; val_acc: 0.184000
(Epoch 1 / 25) train acc: 0.416000; val_acc: 0.258000
(Epoch 2 / 25) train acc: 0.482000; val_acc: 0.276000
(Epoch 3 / 25) train acc: 0.532000; val_acc: 0.277000
(Epoch 4 / 25) train acc: 0.600000; val_acc: 0.271000
(Epoch 5 / 25) train acc: 0.708000; val_acc: 0.299000
(Epoch 6 / 25) train acc: 0.722000; val_acc: 0.282000
(Epoch 7 / 25) train acc: 0.832000; val_acc: 0.255000
(Epoch 8 / 25) train acc: 0.878000; val_acc: 0.269000
(Epoch 9 / 25) train acc: 0.902000; val_acc: 0.275000
(Epoch 10 / 25) train acc: 0.890000; val_acc: 0.261000
(Epoch 11 / 25) train acc: 0.930000; val_acc: 0.282000
(Epoch 12 / 25) train acc: 0.958000; val_acc: 0.300000
(Epoch 13 / 25) train acc: 0.964000; val_acc: 0.305000
(Epoch 14 / 25) train acc: 0.962000; val_acc: 0.318000
(Epoch 15 / 25) train acc: 0.966000; val_acc: 0.304000
(Epoch 16 / 25) train acc: 0.982000; val_acc: 0.307000
(Epoch 17 / 25) train acc: 0.968000; val_acc: 0.322000
(Epoch 18 / 25) train acc: 0.990000; val_acc: 0.319000
(Epoch 19 / 25) train acc: 0.984000; val_acc: 0.300000
(Epoch 20 / 25) train acc: 0.970000; val_acc: 0.306000
(Iteration 101 / 125) loss: 0.121149
(Epoch 21 / 25) train acc: 0.978000; val_acc: 0.307000
(Epoch 22 / 25) train acc: 0.954000; val_acc: 0.315000
(Epoch 23 / 25) train acc: 0.964000; val_acc: 0.320000
(Epoch 24 / 25) train acc: 0.994000; val_acc: 0.304000
(Epoch 25 / 25) train acc: 0.978000; val_acc: 0.304000
0.25
(Iteration 1 / 125) loss: 17.318479
(Epoch 0 / 25) train acc: 0.230000; val_acc: 0.177000
(Epoch 1 / 25) train acc: 0.378000; val_acc: 0.243000
```

```
(Epoch 2 / 25) train acc: 0.402000; val_acc: 0.254000
(Epoch 3 / 25) train acc: 0.502000; val_acc: 0.276000
(Epoch 4 / 25) train acc: 0.528000; val_acc: 0.298000
(Epoch 5 / 25) train acc: 0.562000; val_acc: 0.297000
(Epoch 6 / 25) train acc: 0.628000; val_acc: 0.291000
(Epoch 7 / 25) train acc: 0.622000; val_acc: 0.299000
(Epoch 8 / 25) train acc: 0.684000; val_acc: 0.312000
(Epoch 9 / 25) train acc: 0.716000; val_acc: 0.289000
(Epoch 10 / 25) train acc: 0.724000; val_acc: 0.297000
(Epoch 11 / 25) train acc: 0.760000; val_acc: 0.309000
(Epoch 12 / 25) train acc: 0.788000; val_acc: 0.284000
(Epoch 13 / 25) train acc: 0.822000; val_acc: 0.314000
(Epoch 14 / 25) train acc: 0.828000; val_acc: 0.349000
(Epoch 15 / 25) train acc: 0.852000; val_acc: 0.340000
(Epoch 16 / 25) train acc: 0.856000; val_acc: 0.301000
(Epoch 17 / 25) train acc: 0.850000; val_acc: 0.299000
(Epoch 18 / 25) train acc: 0.862000; val_acc: 0.331000
(Epoch 19 / 25) train acc: 0.874000; val_acc: 0.337000
(Epoch 20 / 25) train acc: 0.872000; val_acc: 0.309000
(Iteration 101 / 125) loss: 4.035628
(Epoch 21 / 25) train acc: 0.900000; val_acc: 0.333000
(Epoch 22 / 25) train acc: 0.904000; val_acc: 0.300000
(Epoch 23 / 25) train acc: 0.886000; val_acc: 0.292000
(Epoch 24 / 25) train acc: 0.886000; val_acc: 0.309000
(Epoch 25 / 25) train acc: 0.898000; val_acc: 0.321000
```

In [7]:

```
# Plot train and validation accuracies of the two models
train_accs = []
val_accs = []
for dropout in dropout_choices:
 solver = solvers[dropout]
  train_accs.append(solver.train_acc_history[-1])
 val_accs.append(solver.val_acc_history[-1])
plt.subplot(3, 1, 1)
for dropout in dropout_choices:
 plt.plot(solvers[dropout].train_acc_history, 'o', label='%.2f dropout' % dropout)
plt.title('Train accuracy')
plt.xlabel('Epoch')
plt.ylabel('Accuracy')
plt.legend(nco1=2, loc='lower right')
plt.subplot(3, 1, 2)
for dropout in dropout_choices:
 plt.plot(solvers[dropout].val_acc_history, 'o', label='%.2f dropout' % dropout)
plt.title('Val accuracy')
plt.xlabel('Epoch')
plt.ylabel('Accuracy')
plt.legend(ncol=2, loc='lower right')
plt.gcf().set_size_inches(15, 15)
plt.show()
```





Inline Question 2:

Compare the validation and training accuracies with and without dropout -- what do your results suggest about dropout as a regularizer?

Answer:

The training accuracy with dropout is slightly worse. But at test time, the validation accuracy with dropout is slightly better.

Based on these results we can see that dropout plays a role as a regularizer. It prevents models from overfitting to training data so that at test time they generalize better.

Inline Question 3:

Suppose we are training a deep fully-connected network for image classification, with dropout after hidden layers (parameterized by keep probability p). If we are concerned about overfitting, how should we modify p (if at all) when we decide to decrease the size of the hidden layers (that is, the number of nodes in each layer)?

Answer:

We should slight decrease the value of p.

Convolutional Networks

So far we have worked with deep fully-connected networks, using them to explore different optimization strategies and network architectures. Fully-connected networks are a good testbed for experimentation because they are very computationally efficient, but in practice all state-of-the-art results use convolutional networks instead.

First you will implement several layer types that are used in convolutional networks. You will then use these layers to train a convolutional network on the CIFAR-10 dataset.

Convolution: Naive forward pass

The core of a convolutional network is the convolution operation. In the file cs231n/layers.py, implement the forward pass for the convolution layer in the function $conv_forward_naive$.

You don't have to worry too much about efficiency at this point; just write the code in whatever way you find most clear.

You can test your implementation by running the following:

In [3]:

```
x_shape = (2, 3, 4, 4)
w_shape = (3, 3, 4, 4)
x = np.linspace(-0.1, 0.5, num=np.prod(x_shape)).reshape(x_shape)
w = np.linspace(-0.2, 0.3, num=np.prod(w_shape)).reshape(w_shape)
b = np.linspace(-0.1, 0.2, num=3)
conv_param = {'stride': 2, 'pad': 1}
out, _ = conv_forward_naive(x, w, b, conv_param)
correct_out = np.array([[[[-0.08759809, -0.10987781],
                             [-0.18387192, -0.2109216]],
                            [[ 0.21027089, 0.21661097],
                             [ 0.22847626, 0.23004637]],
                            [[ 0.50813986, 0.54309974],
                           [ 0.64082444, 0.67101435]]],
[[[-0.98053589, -1.03143541],
                             [-1.19128892, -1.24695841]],
                            [[ 0.69108355, 0.66880383],
                             [ 0.59480972, 0.56776003]],
                            [[ 2.36270298, 2.36904306], [ 2.38090835, 2.38247847]]]])
# Compare your output to ours; difference should be around e-8
print('Testing conv_forward_naive')
print('difference: ', rel_error(out, correct_out))
Testing conv_forward_naive
difference: 2.2121476417505994e-08
```

Aside: Image processing via convolutions

As fun way to both check your implementation and gain a better understanding of the type of operation that convolutional layers can perform, we will set up an input containing two images and manually set up filters that perform common image processing operations (grayscale conversion and edge detection). The convolution forward pass will apply these operations to each of the input images. We can then visualize the results as a sanity check.





Convolution: Naive backward pass

Implement the backward pass for the convolution operation in the function <code>conv_backward_naive</code> in the file <code>cs231n/layers.py</code> . Again, you don't need to worry too much about computational efficiency.

When you are done, run the following to check your backward pass with a numeric gradient check.

```
In [5]:
```

```
np.random.seed (231)
x = np.random.randn(4, 3, 5, 5)
w = np.random.randn(2, 3, 3, 3)
b = np.random.randn(2,)
dout = np.random.randn(4, 2, 5, 5)
conv_param = {'stride': 1, 'pad': 1}
dx_num = eval_numerical_gradient_array(lambda x: conv_forward_naive(x, w, b, conv_param)[0], x, dou
t)
dw_num = eval_numerical_gradient_array(lambda w: conv_forward_naive(x, w, b, conv_param)[0], w, dou
t)
db_num = eval_numerical_gradient_array(lambda b: conv_forward_naive(x, w, b, conv_param)[0], b, dou
out, cache = conv_forward_naive(x, w, b, conv_param)
dx, dw, db = conv_backward_naive(dout, cache)
# Your errors should be around e-8 or less.
print('Testing conv_backward_naive function')
print('dx error: ', rel_error(dx, dx_num))
print('dw error: ', rel_error(dw, dw_num))
print('db error: ', rel_error(db, db_num))
Testing conv_backward_naive function
dx error: 1.159803161159293e-08
dw error: 2.2471264748452487e-10
db error: 3.3726153958780465e-11
```

Max-Pooling: Naive forward

Implement the forward pass for the max-pooling operation in the function $max_pool_forward_naive$ in the file cs231n/layers.py. Again, don't worry too much about computational efficiency.

Check your implementation by running the following:

```
In [6]:
```

```
x_shape = (2, 3, 4, 4)
x = np.linspace(-0.3, 0.4, num=np.prod(x_shape)).reshape(x_shape)
pool_param = {'pool_width': 2, 'pool_height': 2, 'stride': 2}
out, _ = max_pool_forward_naive(x, pool_param)
correct_out = np.array([[[[-0.26315789, -0.24842105],
                              [-0.20421053, -0.18947368]],
                            [[-0.14526316, -0.13052632],
                             [-0.08631579, -0.07157895]],
                            [[-0.02736842, -0.01263158],
[0.03157895, 0.04631579]]],
                           [[[ 0.09052632, 0.10526316],
                             [ 0.14947368, 0.16421053]],
                            [[ 0.20842105, 0.22315789],
                            [ 0.26736842, 0.28210526]],
[[ 0.32631579, 0.34105263],
[ 0.38526316, 0.4 ]]]
                                                        ]]]])
# Compare your output with ours. Difference should be on the order of e-8.
print('Testing max_pool_forward_naive function:')
```

```
print('difference: ', rel_error(out, correct_out))
Testing max_pool_forward_naive function:
difference: 4.1666665157267834e-08
```

Max-Pooling: Naive backward

Implement the backward pass for the max-pooling operation in the function <code>max_pool_backward_naive</code> in the file <code>cs231n/layers.py</code>. You don't need to worry about computational efficiency.

Check your implementation with numeric gradient checking by running the following:

In [7]:

```
np.random.seed(231)
x = np.random.randn(3, 2, 8, 8)
dout = np.random.randn(3, 2, 4, 4)
pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}

dx_num = eval_numerical_gradient_array(lambda x: max_pool_forward_naive(x, pool_param)[0], x, dout)

out, cache = max_pool_forward_naive(x, pool_param)
dx = max_pool_backward_naive(dout, cache)

# Your error should be on the order of e-12
print('Testing max_pool_backward_naive function:')
print('dx error: ', rel_error(dx, dx_num))

Testing max_pool_backward_naive function:
dx error: 3.27562514223145e-12
```

Fast layers

Making convolution and pooling layers fast can be challenging. To spare you the pain, we've provided fast implementations of the forward and backward passes for convolution and pooling layers in the file $cs231n/fast_1ayers.py$.

The fast convolution implementation depends on a Cython extension; to compile it you need to run the following from the cs231n directory:

```
python setup.py build_ext --inplace
```

The API for the fast versions of the convolution and pooling layers is exactly the same as the naive versions that you implemented above: the forward pass receives data, weights, and parameters and produces outputs and a cache object; the backward pass receives upstream derivatives and the cache object and produces gradients with respect to the data and weights.

NOTE: The fast implementation for pooling will only perform optimally if the pooling regions are non-overlapping and tile the input. If these conditions are not met then the fast pooling implementation will not be much faster than the naive implementation.

You can compare the performance of the naive and fast versions of these layers by running the following:

In [8]:

```
# Rel errors should be around e-9 or less
from cs231n.fast_layers import conv_forward_fast, conv_backward_fast
from time import time
np.random.seed (231)
x = np.random.randn(100, 3, 31, 31)
w = np.random.randn(25, 3, 3, 3)
b = np.random.randn(25,)
dout = np.random.randn(100, 25, 16, 16)
conv_param = {'stride': 2, 'pad': 1}
t0 = time()
out_naive, cache_naive = conv_forward_naive(x, w, b, conv_param)
t1 = time()
out_fast, cache_fast = conv_forward_fast(x, w, b, conv_param)
t2 = time()
print('Testing conv_forward_fast:')
print('Naive: %fs' % (t1 - t0))
print('Fast: %fs' % (t2 - t1))
nrint ( 'Sneedun · %fv' % ((+1 - +0) / (+2 - +1)))
```

```
print( byeedup. or o ((cf co, / (c2 cf,//
print('Difference: ', rel_error(out_naive, out_fast))
t0 = time()
dx_naive, dw_naive, db_naive = conv_backward_naive(dout, cache_naive)
t1 = time()
dx_fast, dw_fast, db_fast = conv_backward_fast(dout, cache_fast)
t.2 = t.ime()
print('\nTesting conv_backward_fast:')
print('Naive: %fs' % (t1 - t0))
print('Fast: %fs' % (t2 - t1))
print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
print('dx difference: ', rel_error(dx_naive, dx_fast))
print('dw difference: ', rel_error(dw_naive, dw_fast))
print('db difference: ', rel_error(db_naive, db_fast))
Testing conv_forward_fast:
Naive: 5.172025s
Fast: 0.016499s
Speedup: 313.474278x
Difference: 4.926407851494105e-11
Testing conv_backward_fast:
Naive: 8.520645s
Fast: 0.008236s
Speedup: 1034.539731x
dx difference: 1.949764775345631e-11
dw difference: 3.7012612707710095e-13
db difference: 3.1393858025571252e-15
```

In [9]:

```
# Relative errors should be close to 0.0
from cs231n.fast_layers import max_pool_forward_fast, max_pool_backward_fast
np.random.seed(231)
x = np.random.randn(100, 3, 32, 32)
dout = np.random.randn(100, 3, 16, 16)
pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
t0 = time()
out_naive, cache_naive = max_pool_forward_naive(x, pool_param)
t1 = time()
out_fast, cache_fast = max_pool_forward_fast(x, pool_param)
t2 = time()
print('Testing pool_forward_fast:')
print('Naive: %fs' % (t1 - t0))
print('fast: %fs' % (t2 - t1))
print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
print('difference: ', rel_error(out_naive, out_fast))
t0 = time()
dx_naive = max_pool_backward_naive(dout, cache_naive)
t1 = time()
dx_fast = max_pool_backward_fast(dout, cache_fast)
t2 = time()
print('\nTesting pool_backward_fast:')
print('Naive: %fs' % (t1 - t0))
print('fast: %fs' % (t2 - t1))
print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
print('dx difference: ', rel_error(dx_naive, dx_fast))
Testing pool_forward_fast:
Naive: 0.383643s
fast: 0.001968s
speedup: 194.926348x
difference: 0.0
Testing pool_backward_fast:
Naive: 0.996497s
fast: 0.012093s
speedup: 82.405580x
dx difference: 0.0
```

Convolutional sandwich layers

Previously we introduced the concept of "sandwich" layers that combine multiple operations into commonly used patterns. In the file cs231n/layer_utils.py you will find sandwich layers that implement a few commonly used patterns for convolutional networks. Run the cells below to sanity check they're working.

```
In [10]:
```

```
from cs231n.layer_utils import conv_relu_pool_forward, conv_relu_pool_backward
np.random.seed (231)
x = np.random.randn(2, 3, 16, 16)
w = np.random.randn(3, 3, 3, 3)
b = np.random.randn(3,)
dout = np.random.randn(2, 3, 8, 8)
conv_param = {'stride': 1, 'pad': 1}
pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
out, cache = conv_relu_pool_forward(x, w, b, conv_param, pool_param)
dx, dw, db = conv_relu_pool_backward(dout, cache)
dx_num = eval_numerical_gradient_array(lambda x: conv_relu_pool_forward(x, w, b, conv_param, pool_p
aram) [0], x, dout)
dw_num = eval_numerical_gradient_array(lambda w: conv_relu_pool_forward(x, w, b, conv_param, pool_p
aram) [0], w, dout)
db_num = eval_numerical_gradient_array(lambda b: conv_relu_pool_forward(x, w, b, conv_param, pool_p
aram)[0], b, dout)
# Relative errors should be around e-8 or less
print('Testing conv_relu_pool')
print('dx error: ', rel_error(dx_num, dx))
print('dw error: ', rel_error(dw_num, dw))
print('db error: ', rel_error(db_num, db))
Testing conv_relu_pool
dx error: 6.514336569263308e-09
dw error:
          1.490843753539445e-08
db error: 2.037390356217257e-09
```

In [11]:

```
from cs231n.layer_utils import conv_relu_forward, conv_relu_backward
np.random.seed(231)
x = np.random.randn(2, 3, 8, 8)
w = np.random.randn(3, 3, 3, 3)
b = np.random.randn(3,)
dout = np.random.randn(2, 3, 8, 8)
conv_param = {'stride': 1, 'pad': 1}
out, cache = conv_relu_forward(x, w, b, conv_param)
dx, dw, db = conv_relu_backward(dout, cache)
dx_num = eval_numerical_gradient_array(lambda x: conv_relu_forward(x, w, b, conv_param)[0], x, dout
dw_num = eval_numerical_gradient_array(lambda w: conv_relu_forward(x, w, b, conv_param)[0], w, dout
db_num = eval_numerical_gradient_array(lambda b: conv_relu_forward(x, w, b, conv_param)[0], b, dout
# Relative errors should be around e-8 or less
print('Testing conv_relu:')
print('dx error: ', rel_error(dx_num, dx))
print('dw error: ', rel_error(dw_num, dw))
print('db error: ', rel_error(db_num, db))
Testing conv_relu:
dx error: 3.5600610115232832e-09
dw error: 2.2497700915729298e-10
db error: 1.3087619975802167e-10
```

Three-layer ConvNet

Now that you have implemented all the necessary layers, we can put them together into a simple convolutional network.

Open the file cs231n/classifiers/cnn.py and complete the implementation of the ThreeLayerConvNet class. Remember vou can use the fast/sandwich layers (already imported for you) in your implementation. Run the following cells to help you debug:

Sanity check loss

After you build a new network, one of the first things you should do is sanity check the loss. When we use the softmax loss, we expect the loss for random weights (and no regularization) to be about log(C) for C classes. When we add regularization the loss should go up slightly.

In [12]:

```
model = ThreeLayerConvNet()

N = 50
X = np.random.randn(N, 3, 32, 32)
y = np.random.randint(10, size=N)

loss, grads = model.loss(X, y)
print('Initial loss (no regularization): ', loss)

model.reg = 0.5
loss, grads = model.loss(X, y)
print('Initial loss (with regularization): ', loss)

Initial loss (no regularization): ', loss)

Initial loss (no regularization): 2.302586071243987
Initial loss (with regularization): 2.508255638232932
```

Gradient check

After the loss looks reasonable, use numeric gradient checking to make sure that your backward pass is correct. When you use numeric gradient checking you should use a small amount of artifical data and a small number of neurons at each layer. Note: correct implementations may still have relative errors up to the order of e-2.

In [13]:

```
num_inputs = 2
input_dim = (3, 16, 16)
reg = 0.0
num_classes = 10
np.random.seed (231)
X = np.random.randn(num_inputs, *input_dim)
y = np.random.randint(num_classes, size=num_inputs)
model = ThreeLayerConvNet(num_filters=3, filter_size=3,
                          input_dim=input_dim, hidden_dim=7,
                          dtype=np.float64)
loss, grads = model.loss(X, y)
# Errors should be small, but correct implementations may have
# relative errors up to the order of e-2
for param_name in sorted(grads):
    f = lambda _: model.loss(X, y)[0]
   param_grad_num = eval_numerical_gradient(f, model.params[param_name], verbose=False, h=1e-6)
    e = rel_error(param_grad_num, grads[param_name])
    print('%s max relative error: %e' % (param_name, rel_error(param_grad_num, grads[param_name])))
4
W1 max relative error: 1.380104e-04
W2 max relative error: 1.822723e-02
W3 max relative error: 3.064049e-04
b1 max relative error: 3.477652e-05
b2 max relative error: 2.516375e-03
b3 max relative error: 7.945660e-10
```

Overfit small data

A nice trick is to train your model with just a few training samples. You should be able to overfit small datasets, which will result in very high training accuracy and comparatively low validation accuracy.

```
In [16]:
```

```
np.random.seed(231)
num_train = 100
```

```
small data = {
  'X_train': data['X_train'][:num_train],
  'y_train': data['y_train'][:num_train],
  'X_val': data['X_val'],
  'y_val': data['y_val'],
model = ThreeLaverConvNet(weight scale=1e-2)
solver = Solver(model, small_data,
                num_epochs=15, batch_size=50,
                update_rule='adam',
                optim_config={
                  'learning_rate': 1e-3,
                verbose=True, print_every=1)
solver.train()
(Iteration 1 / 30) loss: 2.414060
(Epoch 0 / 15) train acc: 0.200000; val_acc: 0.137000
(Iteration 2 / 30) loss: 3.102925
(Epoch 1 / 15) train acc: 0.140000; val_acc: 0.087000
(Iteration 3 / 30) loss: 2.270331
(Iteration 4 / 30) loss: 2.096705
(Epoch 2 / 15) train acc: 0.240000; val_acc: 0.094000
(Iteration 5 / 30) loss: 1.838880
(Iteration 6 / 30) loss: 1.934188
(Epoch 3 / 15) train acc: 0.510000; val_acc: 0.173000
(Iteration 7 / 30) loss: 1.827912
(Iteration 8 / 30) loss: 1.639574
(Epoch 4 / 15) train acc: 0.520000; val_acc: 0.188000
(Iteration 9 / 30) loss: 1.330082
(Iteration 10 / 30) loss: 1.756115
(Epoch 5 / 15) train acc: 0.630000; val_acc: 0.167000
(Iteration 11 / 30) loss: 1.024162
(Iteration 12 / 30) loss: 1.041826
(Epoch 6 / 15) train acc: 0.750000; val_acc: 0.229000
(Iteration 13 / 30) loss: 1.142777
(Iteration 14 / 30) loss: 0.835706
(Epoch 7 / 15) train acc: 0.790000; val_acc: 0.247000
(Iteration 15 / 30) loss: 0.587786
(Iteration 16 / 30) loss: 0.645509
(Epoch 8 / 15) train acc: 0.820000; val_acc: 0.252000
(Iteration 17 / 30) loss: 0.786844
(Iteration 18 / 30) loss: 0.467054
(Epoch 9 / 15) train acc: 0.820000; val_acc: 0.178000
(Iteration 19 / 30) loss: 0.429880
(Iteration 20 / 30) loss: 0.635498
(Epoch 10 / 15) train acc: 0.900000; val_acc: 0.206000
(Iteration 21 / 30) loss: 0.365807
(Iteration 22 / 30) loss: 0.284220
(Epoch 11 / 15) train acc: 0.820000; val_acc: 0.201000
(Iteration 23 / 30) loss: 0.469343
(Iteration 24 / 30) loss: 0.509369
(Epoch 12 / 15) train acc: 0.920000; val_acc: 0.211000
(Iteration 25 / 30) loss: 0.111638
(Iteration 26 / 30) loss: 0.145389
(Epoch 13 / 15) train acc: 0.930000; val_acc: 0.213000
(Iteration 27 / 30) loss: 0.155576
(Iteration 28 / 30) loss: 0.143400
(Epoch 14 / 15) train acc: 0.960000; val_acc: 0.212000
(Iteration 29 / 30) loss: 0.158156
(Iteration 30 / 30) loss: 0.118937
(Epoch 15 / 15) train acc: 0.990000; val_acc: 0.220000
```

Plotting the loss, training accuracy, and validation accuracy should show clear overfitting:

```
In [17]:
```

```
plt.subplot(2, 1, 1)
plt.plot(solver.loss_history, 'o')
plt.xlabel('iteration')
plt.ylabel('loss')

plt.subplot(2, 1, 2)
plt.plot(solver.train_acc_history, '-o')
plt.plot(solver.val_acc_history, '-o')
```

```
plt.legend(['train', 'val'], loc='upper left')
plt.xlabel('epoch')
plt.ylabel('accuracy')
plt.show()

3

2

1

0

0

0

0

0

0

2

4

6

8

10

12

14

epoch
```

Train the net

By training the three-layer convolutional network for one epoch, you should achieve greater than 40% accuracy on the training set:

```
In [16]:
model = ThreeLayerConvNet(weight_scale=0.001, hidden_dim=500, reg=0.001)
solver = Solver(model, data,
                num_epochs=1, batch_size=50,
                update_rule='adam',
                optim_config={
                  'learning_rate': 1e-3,
                verbose=True, print_every=20)
solver.train()
(Iteration 1 / 980) loss: 2.304740
(Epoch 0 / 1) train acc: 0.103000; val_acc: 0.107000
(Iteration 21 / 980) loss: 2.098229
(Iteration 41 / 980) loss: 1.949740
(Iteration 61 / 980) loss: 1.824802
(Iteration 81 / 980) loss: 1.879293
(Iteration 101 / 980) loss: 1.923165
(Iteration 121 / 980) loss: 1.725399
(Iteration 141 / 980) loss: 1.884197
(Iteration 161 / 980) loss: 1.935079
(Iteration 181 / 980) loss: 1.784737
(Iteration 201 / 980) loss: 1.908147
(Iteration 221 / 980) loss: 1.885975
(Iteration 241 / 980) loss: 1.573188
(Iteration 261 / 980) loss: 1.732478
(Iteration 281 / 980) loss: 1.817697
(Iteration 301 / 980) loss: 1.752375
(Iteration 321 / 980) loss: 1.832898
(Iteration 341 / 980) loss: 1.564610
(Iteration 361 / 980) loss: 1.866280
(Iteration 381 / 980) loss: 1.356685
(Iteration 401 / 980) loss: 1.876740
(Iteration 421 / 980) loss: 1.553664
(Iteration 441 / 980) loss: 1.646373
(Iteration 461 / 980) loss: 1.794048
(Iteration 481 / 980) loss: 1.652758
(Iteration 501 / 980) loss: 1.687621
(Iteration 521 / 980) loss: 1.722508
(Iteration 541 / 980) loss: 1.745398
(Iteration 561 / 980) loss: 1.624082
(Iteration 581 / 980) loss: 1.203774
(Iteration 601 / 980) loss: 1.654945
(Iteration 621 / 980) loss: 1.525178
(Iteration 641 / 980) loss: 1.579597
(Iteration 661 / 980) loss: 1.760286
(Iteration 681 / 980) loss: 1.653154
(Iteration 701 / 980) loss: 1.520100
```

```
(Iteration 721 / 980) loss: 1.524231

(Iteration 741 / 980) loss: 1.609275

(Iteration 761 / 980) loss: 1.685576

(Iteration 801 / 980) loss: 1.866236

(Iteration 821 / 980) loss: 1.857055

(Iteration 841 / 980) loss: 1.556042

(Iteration 861 / 980) loss: 1.646650

(Iteration 881 / 980) loss: 1.657959

(Iteration 901 / 980) loss: 1.423653

(Iteration 921 / 980) loss: 1.588974

(Iteration 941 / 980) loss: 1.613119

(Iteration 961 / 980) loss: 1.616299

(Epoch 1 / 1) train acc: 0.496000; val_acc: 0.489000
```

Visualize Filters

You can visualize the first-layer convolutional filters from the trained network by running the following:

In [17]:

```
from cs231n.vis_utils import visualize_grid

grid = visualize_grid(model.params['W1'].transpose(0, 2, 3, 1))
plt.imshow(grid.astype('uint8'))
plt.axis('off')
plt.gcf().set_size_inches(5, 5)
plt.show()
```



Spatial Batch Normalization

We already saw that batch normalization is a very useful technique for training deep fully-connected networks. As proposed in the original paper (link in BatchNormalization.ipynb), batch normalization can also be used for convolutional networks, but we need to tweak it a bit; the modification will be called "spatial batch normalization."

Normally batch-normalization accepts inputs of shape (N, D) and produces outputs of shape (N, D), where we normalize across the minibatch dimension N. For data coming from convolutional layers, batch normalization needs to accept inputs of shape (N, C, H, W) and produce outputs of shape (N, C, H, W) where the N dimension gives the minibatch size and the N dimensions give the spatial size of the feature map.

If the feature map was produced using convolutions, then we expect every feature channel's statistics e.g. mean, variance to be relatively consistent both between different images, and different locations within the same image -- after all, every feature channel is produced by the same convolutional filter! Therefore spatial batch normalization computes a mean and variance for each of the $\,^{\rm C}$ feature channels by computing statistics over the minibatch dimension $\,^{\rm N}$ as well the spatial dimensions $\,^{\rm H}$ and $\,^{\rm W}$.

[1] <u>Sergey Ioffe and Christian Szegedy, "Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift", ICML 2015.</u>

Spatial batch normalization: forward

In the file cs231n/layers.py, implement the forward pass for spatial batch normalization in the function spatial_batchnorm_forward. Check your implementation by running the following:

In [12]:

```
np.random.seed (231)
# Check the training-time forward pass by checking means and variances
# of features both before and after spatial batch normalization
N, C, H, W = 2, 3, 4, 5
x = 4 * np.random.randn(N, C, H, W) + 10
print('Before spatial batch normalization:')
print(' Shape: ', x.shape)
print(' Means: ', x.mean(axis=(0, 2, 3)))
print(' Stds: ', x.std(axis=(0, 2, 3)))
# Means should be close to zero and stds close to one
gamma, beta = np.ones(C), np.zeros(C)
bn_param = {'mode': 'train'}
out, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
print('After spatial batch normalization:')
print(' Shape: ', out.shape)
print(' Means: ', out.mean(axis=(0, 2, 3)))
print(' Stds: ', out.std(axis=(0, 2, 3)))
# Means should be close to beta and stds close to gamma
gamma, beta = np.asarray([3, 4, 5]), np.asarray([6, 7, 8])
out, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
print('After spatial batch normalization (nontrivial gamma, beta):')
print(' Shape: ', out.shape)
print(' Means: ', out.mean(axis=(0, 2, 3)))
print(' Stds: ', out.std(axis=(0, 2, 3)))
Before spatial batch normalization:
  Shape: (2, 3, 4, 5)
  Means: [9.33463814 8.90909116 9.11056338]
  Stds: [3.61447857 3.19347686 3.5168142 ]
After spatial batch normalization:
 Shape: (2, 3, 4, 5)
Means: [6.18949336
          [ 6.18949336e-16 5.99520433e-16 -1.22124533e-16]
 Stds: [0.99999962 0.99999951 0.9999996 ]
After spatial batch normalization (nontrivial gamma, beta):
 Shape: (2, 3, 4, 5)
  Means: [6. 7. 8.]
  Stds: [2.99999885 3.99999804 4.99999798]
```

In [13]:

```
np.random.seed(231)
# Check the test-time forward pass by running the training-time
# forward pass many times to warm up the running averages, and then
# checking the means and variances of activations after a test-time
# forward pass.
N, C, H, W = 10, 4, 11, 12
bn_param = {'mode': 'train'}
gamma = np.ones(C)
beta = np.zeros(C)
for t in range (50):
 x = 2.3 * np.random.randn(N, C, H, W) + 13
 spatial_batchnorm_forward(x, gamma, beta, bn_param)
bn_param['mode'] = 'test'
x = 2.3 * np.random.randn(N, C, H, W) + 13
a_norm, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
# Means should be close to zero and stds close to one, but will be
# noisier than training-time forward passes.
print('After spatial batch normalization (test-time):')
print(' means: ', a_norm.mean(axis=(0, 2, 3)))
print(' stds: ', a_norm.std(axis=(0, 2, 3)))
After spatial batch normalization (test-time):
  means: [-0.08034406 0.07562881 0.05716371 0.04378383]
  stds: [0.96718744 1.0299714 1.02887624 1.00585577]
```

Spatial batch normalization: backward

In the file cs231n/layers.py , implement the backward pass for spatial batch normalization in the function spatial_batchnorm_backward . Run the following to check your implementation using a numeric gradient check:

In [14]:

```
np.random.seed(231)
N, C, H, W = 2, 3, 4, 5
x = 5 * np.random.randn(N, C, H, W) + 12
gamma = np.random.randn(C)
beta = np.random.randn(C)
dout = np.random.randn(N, C, H, W)
bn_param = {'mode': 'train'}
fx = lambda x: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
fg = lambda a: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
fb = lambda b: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
dx_num = eval_numerical_gradient_array(fx, x, dout)
da_num = eval_numerical_gradient_array(fg, gamma, dout)
db_num = eval_numerical_gradient_array(fb, beta, dout)
#You should expect errors of magnitudes between 1e-12~1e-06
 _, cache = spatial_batchnorm_forward(x, gamma, beta, bn_param)
dx, dgamma, dbeta = spatial_batchnorm_backward(dout, cache)
print('dx error: ', rel_error(dx_num, dx))
print('dgamma error: ', rel_error(da_num, dgamma))
print('dbeta error: ', rel_error(db_num, dbeta))
dx error: 2.786648197756335e-07
dgamma error: 7.0974817113608705e-12
dbeta error: 3.275608725278405e-12
```

Group Normalization

In the previous notebook, we mentioned that Layer Normalization is an alternative normalization technique that mitigates the batch size limitations of Batch Normalization. However, as the authors of [2] observed, Layer Normalization does not perform as well as Batch Normalization when used with Convolutional Layers:

With fully connected layers, all the hidden units in a layer tend to make similar contributions to the final prediction, and re-centering and rescaling the summed inputs to a layer works well. However, the assumption of similar contributions is no longer true for convolutional neural networks. The large number of the hidden units whose receptive fields lie near the boundary of the image are rarely turned on and thus have very different statistics from the rest of the hidden units within the same layer.

The authors of [3] propose an intermediary technique. In contrast to Layer Normalization, where you normalize over the entire feature per-datapoint, they suggest a consistent splitting of each per-datapoint feature into G groups, and a per-group per-datapoint normalization instead.

Visual comparison of the normalization techniques discussed so far (image edited from [3])

Even though an assumption of equal contribution is still being made within each group, the authors hypothesize that this is not as problematic, as innate grouping arises within features for visual recognition. One example they use to illustrate this is that many high-performance handcrafted features in traditional Computer Vision have terms that are explicitly grouped together. Take for example Histogram of Oriented Gradients [4]-- after computing histograms per spatially local block, each per-block histogram is normalized before being concatenated together to form the final feature vector.

You will now implement Group Normalization. Note that this normalization technique that you are to implement in the following cells was introduced and published to ECCV just in 2018 -- this truly is still an ongoing and excitingly active field of research!

- [2] Ba, Jimmy Lei, Jamie Ryan Kiros, and Geoffrey E. Hinton. "Layer Normalization." stat 1050 (2016): 21.
- [3] Wu, Yuxin, and Kaiming He. "Group Normalization." arXiv preprint arXiv:1803.08494 (2018).
- [4] N. Dalal and B. Triggs. Histograms of oriented gradients for human detection. In Computer Vision and Pattern Recognition (CVPR), 2005.

Group normalization: forward

In the file cs231n/layers.py, implement the forward pass for group normalization in the function spatial_groupnorm_forward. Check your implementation by running the following:

```
In [15]:
```

```
np.random.seed (231)
# Check the training-time forward pass by checking means and variances
# of features both before and after spatial batch normalization
N, C, H, W = 2, 6, 4, 5
G = 2
x = 4 * np.random.randn(N, C, H, W) + 10
x_g = x.reshape((N*G, -1))
print('Before spatial group normalization:')
print(' Shape: ', x.shape)
print(' Means: ', x_g.mean(axis=1))
print(' Stds: ', x_g.std(axis=1))
# Means should be close to zero and stds close to one
gamma, beta = np.ones((1,C,1,1)), np.zeros((1,C,1,1))
bn_param = {'mode': 'train'}
out, _ = spatial_groupnorm_forward(x, gamma, beta, G, bn_param)
out_g = out.reshape((N*G, -1))
print('After spatial group normalization:')
print(' Shape: ', out.shape)
print(' Means: ', out_g.mean(axis=1))
print(' Stds: ', out_g.std(axis=1))
Before spatial group normalization:
 Shape: (2, 6, 4, 5)
          [9.72505327 8.51114185 8.9147544 9.43448077]
 Stds: [3.67070958 3.09892597 4.27043622 3.97521327]
After spatial group normalization:
 Shape: (2, 6, 4, 5)
 Means: [-2.14643118e-16 5.25505565e-16 2.65528340e-16 -3.38618023e-16]
        [0.99999963 0.999999948 0.999999973 0.999999968]
```

Spatial group normalization: backward

In the file cs231n/layers.py , implement the backward pass for spatial batch normalization in the function spatial_groupnorm_backward . Run the following to check your implementation using a numeric gradient check:

```
In [23]:
```

```
np.random.seed(231)
N, C, H, W = 2, 6, 4, 5
G = 2
x = 5 * np.random.randn(N, C, H, W) + 12
gamma = np.random.randn(1,C,1,1)
beta = np.random.randn(1,C,1,1)
dout = np.random.randn(N, C, H, W)
gn param = {}
fx = lambda x: spatial_groupnorm_forward(x, gamma, beta, G, gn_param)[0]
fg = lambda a: spatial_groupnorm_forward(x, gamma, beta, G, gn_param)[0]
fb = lambda b: spatial_groupnorm_forward(x, gamma, beta, G, gn_param)[0]
dx_num = eval_numerical_gradient_array(fx, x, dout)
da_num = eval_numerical_gradient_array(fg, gamma, dout)
db_num = eval_numerical_gradient_array(fb, beta, dout)
 _, cache = spatial_groupnorm_forward(x, gamma, beta, G, gn_param)
dx, dgamma, dbeta = spatial_groupnorm_backward(dout, cache)
#You should expect errors of magnitudes between 1e-12~1e-07
print('dx error: ', rel_error(dx_num, dx))
print('dgamma error: ', rel_error(da_num, dgamma))
print('dbeta error: ', rel_error(db_num, dbeta))
dx error: 7.413109648400194e-08
dgamma error: 9.468195772749234e-12
dbeta error: 3.354494437653335e-12
```

What's this PyTorch business?

You've written a lot of code in this assignment to provide a whole host of neural network functionality. Dropout, Batch Norm, and 2D convolutions are some of the workhorses of deep learning in computer vision. You've also worked hard to make your code efficient and vectorized.

For the last part of this assignment, though, we're going to leave behind your beautiful codebase and instead migrate to one of two popular deep learning frameworks: in this instance, PyTorch (or TensorFlow, if you choose to use that notebook).

Part I. Preparation

First, we load the CIFAR-10 dataset. This might take a couple minutes the first time you do it, but the files should stay cached after that

In previous parts of the assignment we had to write our own code to download the CIFAR-10 dataset, preprocess it, and iterate through it in minibatches; PyTorch provides convenient tools to automate this process for us.

using device: cuda

Part II. Barebones PyTorch

PyTorch ships with high-level APIs to help us define model architectures conveniently, which we will cover in Part II of this tutorial. In this section, we will start with the barebone PyTorch elements to understand the autograd engine better. After this exercise, you will come to appreciate the high-level model API more.

We will start with a simple fully-connected ReLU network with two hidden layers and no biases for CIFAR classification. This implementation computes the forward pass using operations on PyTorch Tensors, and uses PyTorch autograd to compute gradients. It is important that you understand every line, because you will write a harder version after the example.

When we create a PyTorch Tensor with requires_grad=True, then operations involving that Tensor will not just compute values;
they will also build up a computational graph in the background, allowing us to easily backpropagate through the graph to compute
gradients of some Tensors with respect to a downstream loss. Concretely if x is a Tensor with x.requires_grad == True then
after backpropagation x.grad will be another Tensor holding the gradient of x with respect to the scalar loss at the end.

torch.Size([64, 10])

Barebones PyTorch: Three-Layer ConvNet

Here you will complete the implementation of the function three_layer_convnet, which will perform the forward pass of a three-layer convolutional network. Like above, we can immediately test our implementation by passing zeros through the network. The network should have the following architecture:

- 1. A convolutional layer (with bias) with channel_1 filters, each with shape KW1 x KH1, and zero-padding of two
- 2. ReLU nonlinearity
- 3. A convolutional layer (with bias) with channel_2 filters, each with shape KW2 x KH2, and zero-padding of one
- 4. ReLU nonlinearity
- 5. Fully-connected layer with bias, producing scores for C classes.

Note that we have **no softmax activation** here after our fully-connected layer: this is because PyTorch's cross entropy loss performs a softmax activation for you, and by bundling that step in makes computation more efficient.

a solutian activation for you, and by buriding that step in makes computation more emolent.

HINT: For convolutions: http://pytorch.org/docs/stable/nn.html#torch.nn.functional.conv2d; pay attention to the shapes of convolutional filters!

```
In [6]:
```

```
def three_layer_convnet(x, params):
   Performs the forward pass of a three-layer convolutional network with the
   architecture defined above.
   Inputs:
   - x: A PyTorch Tensor of shape (N, 3, H, W) giving a minibatch of images
    params: A list of PyTorch Tensors giving the weights and biases for the
    network; should contain the following:
     - conv_w1: PyTorch Tensor of shape (channel_1, 3, KH1, KW1) giving weights
      for the first convolutional layer
    - conv_b1: PyTorch Tensor of shape (channel_1,) giving biases for the first
      convolutional layer
     - conv_w2: PyTorch Tensor of shape (channel_2, channel_1, KH2, KW2) giving
      weights for the second convolutional layer
     - conv_b2: PyTorch Tensor of shape (channel_2,) giving biases for the second
      convolutional layer
     - fc_w: PyTorch Tensor giving weights for the fully-connected layer. Can you
      figure out what the shape should be?
     - fc_b: PyTorch Tensor giving biases for the fully-connected layer. Can you
      figure out what the shape should be?
   Returns:
   - scores: PyTorch Tensor of shape (N, C) giving classification scores for x
   conv_w1, conv_b1, conv_w2, conv_b2, fc_w, fc_b = params
   scores = None
   # TODO: Implement the forward pass for the three-layer ConvNet.
   # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   \# (32 + 2 * 2 - 5)/1 + 1 = 32
   \# (32 + 2 * 1 - 3)/1 + 1 = 32
   # zero-padding of two
   conv1 = F.conv2d(x, weight = conv_w1, bias = conv_b1, padding = 2)
   relu1 = F.relu(conv1)
   # zero-padding of one
   conv2 = F.conv2d(relu1, weight = conv_w2, bias = conv_b2, padding = 1)
   relu2 = F.relu(conv2)
   relu2_flat = flatten(relu2)
   scores = relu2_flat.mm(fc_w) + fc_b
   # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   END OF YOUR CODE
   return scores
```

After defining the forward pass of the ConvNet above, run the following cell to test your implementation.

When you run this function, scores should have shape (64, 10).

```
torch.Size([64, 10])
```

Barebones PyTorch: Initialization

Let's write a couple utility methods to initialize the weight matrices for our models.

- random_weight (shape) initializes a weight tensor with the Kaiming normalization method.
- zero_weight (shape) initializes a weight tensor with all zeros. Useful for instantiating bias parameters.

The random_weight function uses the Kaiming normal initialization method, described in:

He et al, Delving Deep into Rectifiers: Surpassing Human-Level Performance on ImageNet Classification, ICCV 2015, https://arxiv.org/abs/1502.01852

Barebones PyTorch: Check Accuracy

When training the model we will use the following function to check the accuracy of our model on the training or validation sets.

When checking accuracy we don't need to compute any gradients; as a result we don't need PyTorch to build a computational graph for us when we compute scores. To prevent a graph from being built we scope our computation under a torch.no_grad() context manager.

BareBones PyTorch: Training Loop

We can now set up a basic training loop to train our network. We will train the model using stochastic gradient descent without momentum. We will use torch.functional.cross_entropy to compute the loss; you can read about it here.

The training loop takes as input the neural network function, a list of initialized parameters ([w1, w2] in our example), and learning rate.

BareBones PyTorch: Train a Two-Layer Network

Now we are ready to run the training loop. We need to explicitly allocate tensors for the fully connected weights, w1 and w2.

Each minibatch of CIFAR has 64 examples, so the tensor shape is [64, 3, 32, 32].

After flattening, x shape should be [64, 3 * 32 * 32]. This will be the size of the first dimension of w1 is the hidden layer size, which will also be the first dimension of w2.

Finally, the output of the network is a 10-dimensional vector that represents the probability distribution over 10 classes.

You don't need to tune any hyperparameters but you should see accuracies above 40% after training for one epoch.

In [11]:

```
hidden_layer_size = 4000
learning_rate = 1e-2
w1 = random_weight((3 * 32 * 32, hidden_layer_size))
w2 = random_weight((hidden_layer_size, 10))
train_part2(two_layer_fc, [w1, w2], learning_rate)
Iteration 0, loss = 3.4906
Checking accuracy on the val set
Got 157 / 1000 correct (15.70%)
Iteration 100, loss = 2.6464
Checking accuracy on the val set
Got 326 / 1000 correct (32.60%)
Iteration 200, loss = 1.9548
Checking accuracy on the val set
Got 388 / 1000 correct (38.80%)
Iteration 300, loss = 1.9776
Checking accuracy on the val set
Got 380 / 1000 correct (38.00%)
Iteration 400, loss = 2.3733
Checking accuracy on the val set
Got 409 / 1000 correct (40.90%)
```

```
Iteration 500, loss = 1.8391
Checking accuracy on the val set
Got 441 / 1000 correct (44.10%)

Iteration 600, loss = 1.9783
Checking accuracy on the val set
Got 375 / 1000 correct (37.50%)

Iteration 700, loss = 1.4147
Checking accuracy on the val set
Got 438 / 1000 correct (43.80%)
```

BareBones PyTorch: Training a ConvNet

In the below you should use the functions defined above to train a three-layer convolutional network on CIFAR. The network should have the following architecture:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding of 2
- 2 Relli
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You should initialize your weight matrices using the random_weight function defined above, and you should initialize your bias vectors using the zero_weight function above.

You don't need to tune any hyperparameters, but if everything works correctly you should achieve an accuracy above 42% after one epoch.

In [12]:

```
learning_rate = 3e-3
channel_1 = 32
channel 2 = 16
conv w1 = None
conv_b1 = None
conv_w2 = None
conv_b2 = None
fc_w = None
fc_b = None
# TODO: Initialize the parameters of a three-layer ConvNet.
# ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ***
# Basically just change tensor.zeros to random weights and adopt the same implementation
# in the function three_layer_convnet_test
conv_w1 = random_weight((channel_1, 3, 5, 5))
conv_b1 = zero_weight((channel_1,))
conv_w2 = random_weight((channel_2, channel_1, 3, 3))
conv_b2 = zero_weight((channel_2,))
fc_w = random_weight((channel_2 * 32 * 32, 10))
fc_b = zero_weight((10,))
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
END OF YOUR CODE
params = [conv_w1, conv_b1, conv_w2, conv_b2, fc_w, fc_b]
train_part2(three_layer_convnet, params, learning_rate)
Iteration 0, loss = 2.4838
Checking accuracy on the val set
Got 110 / 1000 correct (11.00%)
Iteration 100, loss = 2.1007
Checking accuracy on the val set
Got 321 / 1000 correct (32.10%)
```

```
Iteration 200, loss = 1.7121
Checking accuracy on the val set
Got 387 / 1000 correct (38.70%)
Iteration 300, loss = 1.7689
Checking accuracy on the val set
Got 419 / 1000 correct (41.90%)
Iteration 400, loss = 1.5850
Checking accuracy on the val set
Got 454 / 1000 correct (45.40%)
Iteration 500, loss = 1.5140
Checking accuracy on the val set
Got 453 / 1000 correct (45.30%)
Iteration 600, loss = 1.5323
Checking accuracy on the val set
Got 456 / 1000 correct (45.60%)
Iteration 700, loss = 1.4435
Checking accuracy on the val set
Got 472 / 1000 correct (47.20%)
```

Part III. PyTorch Module API

Barebone PyTorch requires that we track all the parameter tensors by hand. This is fine for small networks with a few tensors, but it would be extremely inconvenient and error-prone to track tens or hundreds of tensors in larger networks.

PyTorch provides the <code>nn.Module</code> API for you to define arbitrary network architectures, while tracking every learnable parameters for you. In Part II, we implemented SGD ourselves. PyTorch also provides the <code>torch.optim</code> package that implements all the common optimizers, such as RMSProp, Adagrad, and Adam. It even supports approximate second-order methods like L-BFGS! You can refer to the <code>doc</code> for the exact specifications of each optimizer.

To use the Module API, follow the steps below:

- 1. Subclass ${\tt nn.Module}$. Give your network class an intuitive name like ${\tt TwoLayerFC}$.
- 2. In the constructor __init__() , define all the layers you need as class attributes. Layer objects like _nn.Linear and _nn.Conv2d are themselves _nn.Module _subclasses and contain learnable parameters, so that you don't have to instantiate the raw tensors yourself. _nn.Module _will track these internal parameters for you. Refer to the _doc_ to learn more about the _dozens of builtin layers. Warning: don't forget to call the _super().__init__() _first!
- 3. In the forward() method, define the *connectivity* of your network. You should use the attributes defined in ___init__ as function calls that take tensor as input and output the "transformed" tensor. Do *not* create any new layers with learnable parameters in forward()! All of them must be declared upfront in __init__.

After you define your Module subclass, you can instantiate it as an object and call it just like the NN forward function in part II.

Module API: Two-Layer Network

Here is a concrete example of a 2-layer fully connected network:

```
In [13]:
```

```
class TwoLayerFC(nn.Module):
    def __init__(self, input_size, hidden_size, num_classes):
        super().__init__()
        # assign layer objects to class attributes
        self.fc1 = nn.Linear(input_size, hidden_size)
        # nn.init package contains convenient initialization methods
        # http://pytorch.org/docs/master/nn.html#torch-nn-init
        nn.init.kaiming_normal_(self.fc1.weight)
        self.fc2 = nn.Linear(hidden_size, num_classes)
        nn.init.kaiming_normal_(self.fc2.weight)

def forward(self, x):
    # forward always defines connectivity
    x = flatten(x)
    scores = self.fc2(F.relu(self.fc1(x)))
```

```
return scores

def test_TwoLayerFC():
    input_size = 50
    x = torch.zeros((64, input_size), dtype=dtype) # minibatch size 64, feature dimension 50
    model = TwoLayerFC(input_size, 42, 10)
    scores = model(x)
    print(scores.size()) # you should see [64, 10]

test_TwoLayerFC()

torch.Size([64, 10])
```

Module API: Three-Layer ConvNet

It's your turn to implement a 3-layer ConvNet followed by a fully connected layer. The network architecture should be the same as in Part II:

- 1. Convolutional layer with channel_1 5x5 filters with zero-padding of 2
- 2. ReLU
- 3. Convolutional layer with channel_2 3x3 filters with zero-padding of 1
- 4 Rel II
- 5. Fully-connected layer to num_classes classes

You should initialize the weight matrices of the model using the Kaiming normal initialization method.

HINT: http://pytorch.org/docs/stable/nn.html#conv2d

After you implement the three-layer ConvNet, the test_ThreeLayerConvNet function will run your implementation; it should print (64, 10) for the shape of the output scores.

In [14]:

```
class ThreeLayerConvNet (nn.Module) :
  def __init__(self, in_channel, channel_1, channel_2, num_classes):
     super(), init ()
     # TODO: Set up the layers you need for a three-layer ConvNet with the #
     # architecture defined above.
     # ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ***
     self.conv1 = nn.Conv2d(in_channel, channel_1, 5, padding = 2)
     nn.init.kaiming_normal_(self.conv1.weight)
     #nn.init.constant_(self.conv1.bias, 0)
     self.conv2 = nn.Conv2d(channel_1, channel_2, 3, padding = 1)
     nn.init.kaiming_normal_(self.conv2.weight)
     #nn.init.constant_(self.conv2.bias, 0)
     self.fc = nn.Linear(channel_2 * 32 * 32, num_classes)
     nn.init.kaiming_normal_(self.fc.weight)
     #nn.init.constant_(self.fc.bias, 0)
     # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
     END OF YOUR CODE
     def forward(self, x):
     scores = None
     # TODO: Implement the forward function for a 3-layer ConvNet. you
     # should use the layers you defined in __init__ and specify the
                                                       #
     # connectivity of those layers in forward()
     # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
     # Before moving to Fully-connected layer, flatten the outcome from the second Relu layer
     scores = self.fc(flatten(F.relu(self.conv2(F.relu(self.conv1(x))))))
     # ****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
     END OF YOUR CODE
```

```
return scores

def test_ThreeLayerConvNet():
    x = torch.zeros((64, 3, 32, 32), dtype=dtype) # minibatch size 64, image size [3, 32, 32]
    model = ThreeLayerConvNet(in_channel=3, channel_1=12, channel_2=8, num_classes=10)
    scores = model(x)
    print(scores.size()) # you should see [64, 10]
test_ThreeLayerConvNet()

torch.Size([64, 10])
```

Module API: Check Accuracy

Given the validation or test set, we can check the classification accuracy of a neural network.

This version is slightly different from the one in part II. You don't manually pass in the parameters anymore.

In [15]:

```
def check_accuracy_part34(loader, model):
    if loader.dataset.train:
       print('Checking accuracy on validation set')
    else:
       print('Checking accuracy on test set')
    num_correct = 0
    num\_samples = 0
   model.eval() # set model to evaluation mode
    with torch.no_grad():
        for x, y in loader:
              = x.to(device=device, dtype=dtype) # move to device, e.g. GPU
            y = y.to(device=device, dtype=torch.long)
            scores = model(x)
            _, preds = scores.max(1)
            num_correct += (preds == y).sum()
            num_samples += preds.size(0)
        acc = float(num_correct) / num_samples
        print('Got %d / %d correct (%.2f)' % (num_correct, num_samples, 100 * acc))
```

Module API: Training Loop

We also use a slightly different training loop. Rather than updating the values of the weights ourselves, we use an Optimizer object from the torch.optim package, which abstract the notion of an optimization algorithm and provides implementations of most of the algorithms commonly used to optimize neural networks.

In [16]:

```
def train_part34 (model, optimizer, epochs=1):
   Train a model on CIFAR-10 using the PyTorch Module API.
   Inputs:
    - model: A PyTorch Module giving the model to train.
    - optimizer: An Optimizer object we will use to train the model
    - epochs: (Optional) A Python integer giving the number of epochs to train for
   Returns: Nothing, but prints model accuracies during training.
   model = model.to(device=device) # move the model parameters to CPU/GPU
   for e in range(epochs):
       for t, (x, y) in enumerate(loader_train):
           model.train() # put model to training mode
           x = x.to(device=device, dtype=dtype) # move to device, e.g. GPU
           y = y.to(device=device, dtype=torch.long)
           scores = model(x)
           loss = F.cross_entropy(scores, y)
            # Zero out all of the gradients for the variables which the optimizer
            # will update.
           optimizer.zero_grad()
            # This is the backwards pass: compute the gradient of the loss with
            # respect to each narameter of the model
```

```
loss.backward()

# Actually update the parameters of the model using the gradients
# computed by the backwards pass.
optimizer.step()

if t % print_every == 0:
    print('Iteration %d, loss = %.4f' % (t, loss.item()))
    check_accuracy_part34(loader_val, model)
    print()
```

Module API: Train a Two-Layer Network

Now we are ready to run the training loop. In contrast to part II, we don't explicitly allocate parameter tensors anymore.

Simply pass the input size, hidden layer size, and number of classes (i.e. output size) to the constructor of TwoLayerFC.

You also need to define an optimizer that tracks all the learnable parameters inside TwoLayerFC.

You don't need to tune any hyperparameters, but you should see model accuracies above 40% after training for one epoch.

In [17]:

```
hidden_layer_size = 4000
learning_rate = 1e-2
model = TwoLayerFC(3 * 32 * 32, hidden_layer_size, 10)
optimizer = optim.SGD(model.parameters(), lr=learning_rate)
train_part34 (model, optimizer)
Iteration 0, loss = 2.9949
Checking accuracy on validation set
Got 121 / 1000 correct (12.10)
Iteration 100, loss = 2.3486
Checking accuracy on validation set
Got 324 / 1000 correct (32.40)
Iteration 200, loss = 2.1595
Checking accuracy on validation set
Got 373 / 1000 correct (37.30)
Iteration 300, loss = 1.5870
Checking accuracy on validation set
Got 384 / 1000 correct (38.40)
Iteration 400, loss = 1.7430
Checking accuracy on validation set
Got 425 / 1000 correct (42.50)
Iteration 500, loss = 2.2017
Checking accuracy on validation set
Got 405 / 1000 correct (40.50)
Iteration 600, loss = 1.6490
Checking accuracy on validation set
Got 431 / 1000 correct (43.10)
Iteration 700, loss = 1.7141
Checking accuracy on validation set
Got 445 / 1000 correct (44.50)
```

Module API: Train a Three-Layer ConvNet

You should now use the Module API to train a three-layer ConvNet on CIFAR. This should look very similar to training the two-layer network! You don't need to tune any hyperparameters, but you should achieve above above 45% after training for one epoch.

You should train the model using stochastic gradient descent without momentum.

```
In [18]:
```

```
learning_rate = 3e-3
```

```
channel_1 = 32
channel_2 = 16
model = None
optimizer = None
# TODO: Instantiate your ThreeLayerConvNet model and a corresponding optimizer #
# *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ***
model = ThreeLayerConvNet(3, channel_1, channel_2, 10)
optimizer = optim.SGD(model.parameters(), lr=learning_rate)
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
END OF YOUR CODE
train part34 (model, optimizer)
Iteration 0, loss = 3.2324
Checking accuracy on validation set
Got 132 / 1000 correct (13.20)
Iteration 100, loss = 1.6502
Checking accuracy on validation set
Got 357 / 1000 correct (35.70)
Iteration 200, loss = 1.7441
Checking accuracy on validation set
Got 403 / 1000 correct (40.30)
Iteration 300, loss = 1.5787
Checking accuracy on validation set
Got 438 / 1000 correct (43.80)
Iteration 400, loss = 1.8331
Checking accuracy on validation set
Got 462 / 1000 correct (46.20)
Iteration 500, loss = 1.8362
Checking accuracy on validation set
Got 468 / 1000 correct (46.80)
Iteration 600, loss = 1.6090
Checking accuracy on validation set
Got 459 / 1000 correct (45.90)
Iteration 700, loss = 1.4880
Checking accuracy on validation set
Got 472 / 1000 correct (47.20)
```

Part IV. PyTorch Sequential API

Part III introduced the PyTorch Module API, which allows you to define arbitrary learnable layers and their connectivity.

For simple models like a stack of feed forward layers, you still need to go through 3 steps: subclass <code>nn.Module</code>, assign layers to class attributes in <code>__init__</code>, and call each layer one by one in <code>forward()</code>. Is there a more convenient way?

Fortunately, PyTorch provides a container Module called nn.Sequential, which merges the above steps into one. It is not as flexible as nn.Module, because you cannot specify more complex topology than a feed-forward stack, but it's good enough for many use cases.

Sequential API: Two-Layer Network

Let's see how to rewrite our two-layer fully connected network example with nn.Sequential, and train it using the training loop defined above.

Again, you don't need to tune any hyperparameters here, but you shoud achieve above 40% accuracy after one epoch of training.

```
# We need to wrap `flatten` function in a module in order to stack it
# in nn.Sequential
class Flatten(nn.Module):
    def forward(self, x):
        return flatten(x)
hidden_layer_size = 4000
learning_rate = 1e-2
model = nn.Sequential(
    Flatten(),
    nn.Linear(3 * 32 * 32, hidden_layer_size),
    nn.ReLU(),
    nn.Linear(hidden_layer_size, 10),
# you can use Nesterov momentum in optim.SGD
optimizer = optim.SGD(model.parameters(), lr=learning_rate,
                     momentum=0.9, nesterov=True)
train_part34 (model, optimizer)
Iteration 0, loss = 2.3438
Checking accuracy on validation set
Got 157 / 1000 correct (15.70)
Iteration 100, loss = 1.8731
Checking accuracy on validation set
Got 382 / 1000 correct (38.20)
Iteration 200, loss = 1.8784
Checking accuracy on validation set
Got 426 / 1000 correct (42.60)
Iteration 300, loss = 1.6531
Checking accuracy on validation set
Got 410 / 1000 correct (41.00)
Iteration 400, loss = 1.6222
Checking accuracy on validation set
Got 433 / 1000 correct (43.30)
Iteration 500, loss = 1.4940
Checking accuracy on validation set
Got 459 / 1000 correct (45.90)
Iteration 600, loss = 1.5447
Checking accuracy on validation set
Got 431 / 1000 correct (43.10)
Iteration 700, loss = 2.0133
Checking accuracy on validation set
Got 464 / 1000 correct (46.40)
```

Sequential API: Three-Layer ConvNet

Here you should use nn. Sequential to define and train a three-layer ConvNet with the same architecture we used in Part III:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding of 2
- 2. ReLU
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You should initialize your weight matrices using the random_weight function defined above, and you should initialize your bias vectors using the zero_weight function above.

You should optimize your model using stochastic gradient descent with Nesterov momentum 0.9.

Again, you don't need to tune any hyperparameters but you should see accuracy above 55% after one epoch of training.

```
In [20]:
```

```
cnannel_1 = 32
channel 2 = 16
learning_rate = 1e-2
model = None
optimizer = None
# TODO: Rewrite the 2-layer ConvNet with bias from Part III with the
# Sequential APT.
# ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
# From Piazza, it is OK to use default initialization. No need to do explicit initialization
model = nn.Sequential(
  nn.Conv2d(3, channel_1, 5, padding = 2),
   nn.ReLU(),
   nn.Conv2d(channel_1, channel_2, 3, padding = 1),
   nn.ReLU(),
   Flatten(),
   nn.Linear(channel_2 * 32 * 32, 10)
optimizer = optim.SGD(model.parameters(), lr=learning_rate,
                 momentum=0.9, nesterov=True)
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
END OF YOUR CODE
train_part34(model, optimizer)
Iteration 0, loss = 2.2971
Checking accuracy on validation set
Got 100 / 1000 correct (10.00)
Iteration 100, loss = 1.7188
Checking accuracy on validation set
Got 422 / 1000 correct (42.20)
Iteration 200, loss = 1.6543
Checking accuracy on validation set
Got 475 / 1000 correct (47.50)
Iteration 300, loss = 1.2017
Checking accuracy on validation set
Got 519 / 1000 correct (51.90)
Iteration 400, loss = 1.4025
Checking accuracy on validation set
Got 535 / 1000 correct (53.50)
Iteration 500, loss = 1.1097
Checking accuracy on validation set
Got 540 / 1000 correct (54.00)
Iteration 600, loss = 1.0994
Checking accuracy on validation set
Got 574 / 1000 correct (57.40)
Iteration 700, loss = 1.0963
Checking accuracy on validation set
Got 578 / 1000 correct (57.80)
```

Part V. CIFAR-10 open-ended challenge

In this section, you can experiment with whatever ConvNet architecture you'd like on CIFAR-10.

Now it's your job to experiment with architectures, hyperparameters, loss functions, and optimizers to train a model that achieves at least 70% accuracy on the CIFAR-10 validation set within 10 epochs. You can use the check_accuracy and train functions from above. You can use either nn.Module or nn.Sequential API.

Describe what you did at the end of this notebook.

Here are the official API documentation for each component. One note: what we call in the class "spatial batch norm" is called "BatchNorm2D" in PyTorch.

- Layers in torch.nn package: http://pytorch.org/docs/stable/nn.html
- Activations: http://pytorch.org/docs/stable/nn.html#non-linear-activations
- Loss functions: http://pytorch.org/docs/stable/nn.html#loss-functions
- Optimizers: http://pytorch.org/docs/stable/optim.html

Things you might try:

- Filter size: Above we used 5x5; would smaller filters be more efficient?
- Number of filters: Above we used 32 filters. Do more or fewer do better?
- Pooling vs Strided Convolution: Do you use max pooling or just stride convolutions?
- Batch normalization: Try adding spatial batch normalization after convolution layers and vanilla batch normalization after affine layers. Do your networks train faster?
- **Network architecture**: The network above has two layers of trainable parameters. Can you do better with a deep network? Good architectures to try include:
 - [conv-relu-pool]xN -> [affine]xM -> [softmax or SVM]
 - [conv-relu-conv-relu-pool]xN -> [affine]xM -> [softmax or SVM]
 - [batchnorm-relu-conv]xN -> [affine]xM -> [softmax or SVM]
- Global Average Pooling: Instead of flattening and then having multiple affine layers, perform convolutions until your image gets small (7x7 or so) and then perform an average pooling operation to get to a 1x1 image picture (1, 1, Filter#), which is then reshaped into a (Filter#) vector. This is used in Google's Inception Network (See Table 1 for their architecture).
- Regularization: Add I2 weight regularization, or perhaps use Dropout.

Tips for training

For each network architecture that you try, you should tune the learning rate and other hyperparameters. When doing this there are a couple important things to keep in mind:

- If the parameters are working well, you should see improvement within a few hundred iterations
- Remember the coarse-to-fine approach for hyperparameter tuning: start by testing a large range of hyperparameters for just a
 few training iterations to find the combinations of parameters that are working at all.
- Once you have found some sets of parameters that seem to work, search more finely around these parameters. You may need
 to train for more epochs.
- You should use the validation set for hyperparameter search, and save your test set for evaluating your architecture on the best parameters as selected by the validation set.

Going above and beyond

If you are feeling adventurous there are many other features you can implement to try and improve your performance. You are **not required** to implement any of these, but don't miss the fun if you have time!

- Alternative optimizers: you can try Adam, Adagrad, RMSprop, etc.
- Alternative activation functions such as leaky ReLU, parametric ReLU, ELU, or MaxOut.
- Model ensembles
- Data augmentation
- New Architectures
 - ResNets where the input from the previous layer is added to the output.
 - <u>DenseNets</u> where inputs into previous layers are concatenated together.
 - This blog has an in-depth overview

Have fun and happy training!

In [24]:

```
model = None
optimizer = None
# ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
\# (32 + 2 * 2 - 5)/1 + 1 = 32
layer1 = nn.Sequential(
   nn.Conv2d(3, 16, kernel_size=5, padding=2),
   nn.ReLU(),
\# (32 + 2 * 2 - 5)/1 + 1 = 32
\# (32 - 2)/2 + 1 = 16
layer2 = nn.Sequential(
   nn.Conv2d(16, 32, kernel_size=3, padding=1),
   nn ReIJI().
   nn.MaxPool2d(2)
\# (16 + 2 * 1 - 3)/1 + 1 = 16
layer3 = nn.Sequential(
   nn.Conv2d(32, 48, kernel_size=3, padding=1),
   nn.ReLU(),
\# (16 + 2 * 1 - 3)/1 + 1 = 16
\# (16 - 2)/2 + 1 = 8
layer4 = nn.Sequential(
   nn.Conv2d(48, 64, kernel_size=3, padding=1),
   nn.ReLU(),
   nn.MaxPool2d(2)
fc = nn.Linear(64*8*8, 10)
model = nn.Sequential(
   layer1,
   layer2,
   layer3,
   layer4,
   Flatten(),
   fс
learning_rate = 1e-3
optimizer = optim.Adam(model.parameters(), lr=learning_rate)
# Print training status every epoch
print_every = 1000
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
END OF YOUR CODE
# You should get at least 70% accuracy
train_part34 (model, optimizer, epochs=10)
Iteration 0, loss = 2.2974
Checking accuracy on validation set
Got 98 / 1000 correct (9.80)
Iteration 0, loss = 0.9447
Checking accuracy on validation set
Got 585 / 1000 correct (58.50)
Iteration 0, loss = 1.0508
Checking accuracy on validation set
Got 684 / 1000 correct (68.40)
Iteration 0, loss = 0.7837
Checking accuracy on validation set
Got 716 / 1000 correct (71.60)
```

Iteration 0, loss = 0.5664Checking accuracy on validation set Got 750 / 1000 correct (75.00) Iteration 0, loss = 0.5874Checking accuracy on validation set Got 737 / 1000 correct (73.70) Iteration 0, loss = 0.5890Checking accuracy on validation set Got 740 / 1000 correct (74.00) Iteration 0, loss = 0.3552Checking accuracy on validation set Got 743 / 1000 correct (74.30) Iteration 0, loss = 0.3969Checking accuracy on validation set Got 753 / 1000 correct (75.30) Iteration 0, loss = 0.2919Checking accuracy on validation set Got 744 / 1000 correct (74.40)

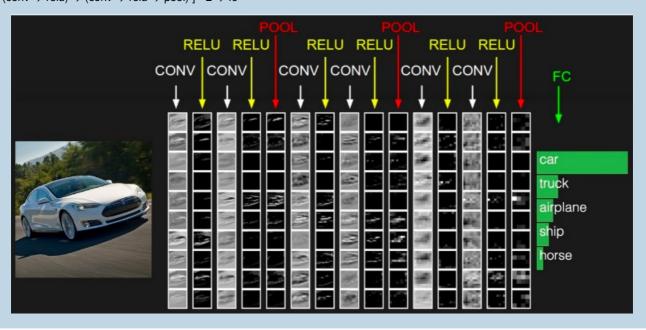
Describe what you did

In the cell below you should write an explanation of what you did, any additional features that you implemented, and/or any graphs that you made in the process of training and evaluating your network.

TODO:

I constructed a 5-layer convolutional network, the first four layers have similar structure as the example in the class slide, and the last layer is a fully-connected layer. They can be represented as below.

[(conv -> relu) -> (conv -> relu -> pool)] * 2 -> fc



Test set -- run this only once

Now that we've gotten a result we're happy with, we test our final model on the test set (which you should store in best_model). Think about how this compares to your validation set accuracy.

```
In [25]:
```

best_model = model

cneck_accuracy_part34(loader_test, pest_model)

Checking accuracy on test set Got 7327 / 10000 correct (73.27)

What's this TensorFlow business?

You've written a lot of code in this assignment to provide a whole host of neural network functionality. Dropout, Batch Norm, and 2D convolutions are some of the workhorses of deep learning in computer vision. You've also worked hard to make your code efficient and vectorized.

For the last part of this assignment, though, we're going to leave behind your beautiful codebase and instead migrate to one of two popular deep learning frameworks: in this instance, TensorFlow (or PyTorch, if you choose to work with that notebook).

Part I: Preparation

First, we load the CIFAR-10 dataset. This might take a few minutes to download the first time you run it, but after that the files should be cached on disk and loading should be faster.

In previous parts of the assignment we used CS231N-specific code to download and read the CIFAR-10 dataset; however the tf.keras.datasets package in TensorFlow provides prebuilt utility functions for loading many common datasets.

For the purposes of this assignment we will still write our own code to preprocess the data and iterate through it in minibatches. The tf.data package in TensorFlow provides tools for automating this process, but working with this package adds extra complication and is beyond the scope of this notebook. However using tf.data can be much more efficient than the simple approach used in this notebook, so you should consider using it for your project.

```
In [ ]:
```

```
# We can iterate through a dataset like this:
for t, (x, y) in enumerate(train_dset):
    print(t, x.shape, y.shape)
    if t > 5: break
```

You can optionally **use GPU by setting the flag to True below**. It's not neccessary to use a GPU for this assignment; if you are working on Google Cloud then we recommend that you do not use a GPU, as it will be significantly more expensive.

Barebones TensorFlow: Define a Two-Layer Network

We will now implement our first neural network with TensorFlow: a fully-connected ReLU network with two hidden layers and no biases on the CIFAR10 dataset. For now we will use only low-level TensorFlow operators to define the network; later we will see how to use the higher-level abstractions provided by tf.keras to simplify the process.

We will define the forward pass of the network in the function two_layer_fc; this will accept TensorFlow Tensors for the inputs and weights of the network, and return a TensorFlow Tensor for the scores.

After defining the network architecture in the two_layer_fc function, we will test the implementation by checking the shape of the output.

It's important that you read and understand this implementation.

Barebones TensorFlow: Three-Layer ConvNet

Here you will complete the implementation of the function three_layer_convnet which will perform the forward pass of a three-layer convolutional network. The network should have the following architecture:

- 1. A convolutional layer (with bias) with channel_1 filters, each with shape KW1 x KH1, and zero-padding of two
- 2. ReLU nonlinearity
- 3. A convolutional layer (with bias) with channel_2 filters, each with shape KW2 x KH2, and zero-padding of one
- 4. ReLU nonlinearity
- 5. Fully-connected layer with bias, producing scores for C classes.

HINT: For convolutions: https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/nn/conv2d; be careful with padding!

```
In [ ]:
```

```
def three_layer_convnet(x, params):
   A three-layer convolutional network with the architecture described above.
  Inputs:
   - x: A TensorFlow Tensor of shape (N, H, W, 3) giving a minibatch of images
   - params: A list of TensorFlow Tensors giving the weights and biases for the
    network; should contain the following:
     - conv_w1: TensorFlow Tensor of shape (KH1, KW1, 3, channel_1) giving
      weights for the first convolutional layer.
    - conv_b1: TensorFlow Tensor of shape (channel_1,) giving biases for the
      first convolutional layer.
    - conv_w2: TensorFlow Tensor of shape (KH2, KW2, channel_1, channel_2)
      giving weights for the second convolutional layer
    - conv_b2: TensorFlow Tensor of shape (channel_2,) giving biases for the
      second convolutional layer.
    - fc_w: TensorFlow Tensor giving weights for the fully-connected layer.
      Can you figure out what the shape should be?
     fc_b: TensorFlow Tensor giving biases for the fully-connected layer.
      Can you figure out what the shape should be?
   conv_w1, conv_b1, conv_w2, conv_b2, fc_w, fc_b = params
   scores = None
   # TODO: Implement the forward pass for the three-layer ConvNet.
   # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   pass
   # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   END OF YOUR CODE
   return scores
```

After defing the forward pass of the three-layer ConvNet above, run the following cell to test your implementation. Like the two-layer network, we run the graph on a batch of zeros just to make sure the function doesn't crash, and produces outputs of the correct shape.

When you run this function, scores_np should have shape (64, 10).

Barebones TensorFlow: Training Step

We now define the training_step function performs a single training step. This will take three basic steps:

- 1. Compute the loss
- 2. Compute the gradient of the loss with respect to all network weights
- 3. Make a weight update step using (stochastic) gradient descent.

We need to use a few new TensorFlow functions to do all of this:

- For computing the cross-entropy loss we'll use tf.nn.sparse_softmax_cross_entropy_with_logits: https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/nn/sparse_softmax_cross_entropy_with_logits
- For averaging the loss across a minibatch of data we'll use tf.reduce_mean:

https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/reduce_mean

- For computing gradients of the loss with respect to the weights we'll use tf.GradientTape (useful for Eager execution): https://www.tensorflow.org/versions/r2.0/api docs/python/tf/GradientTape
- We'll mutate the weight values stored in a TensorFlow Tensor using tf.assign_sub ("sub" is for subtraction):
 https://www.tensorflow.org/api_docs/python/tf/assign_sub

Barebones TensorFlow: Initialization

We'll use the following utility method to initialize the weight matrices for our models using Kaiming's normalization method.

[1] He et al, *Delving Deep into Rectifiers: Surpassing Human-Level Performance on ImageNet Classification*, ICCV 2015, https://arxiv.org/abs/1502.01852

```
In [ ]:
```

```
def create_matrix_with_kaiming_normal(shape):
    if len(shape) == 2:
        fan_in, fan_out = shape[0], shape[1]
    elif len(shape) == 4:
        fan_in, fan_out = np.prod(shape[:3]), shape[3]
    return tf.keras.backend.random_normal(shape) * np.sqrt(2.0 / fan_in)
```

Barebones TensorFlow: Train a Two-Layer Network

We are finally ready to use all of the pieces defined above to train a two-layer fully-connected network on CIFAR-10.

We just need to define a function to initialize the weights of the model, and call train_part2.

Defining the weights of the network introduces another important piece of TensorFlow API: tf.Variable. A TensorFlow Variable is a Tensor whose value is stored in the graph and persists across runs of the computational graph; however unlike constants defined with tf.zeros or tf.random_normal, the values of a Variable can be mutated as the graph runs; these mutations will persist across graph runs. Learnable parameters of the network are usually stored in Variables.

You don't need to tune any hyperparameters, but you should achieve validation accuracies above 40% after one epoch of training.

In []:

```
def two_layer_fc_init():
    """
    Initialize the weights of a two-layer network, for use with the
    two_layer_network function defined above.
    You can use the `create_matrix_with_kaiming_normal` helper!

Inputs: None

Returns: A list of:
    - w1: TensorFlow tf.Variable giving the weights for the first layer
    - w2: TensorFlow tf.Variable giving the weights for the second layer
    """
    hidden_layer_size = 4000
    w1 = tf.Variable(create_matrix_with_kaiming_normal((3 * 32 * 32, 4000)))
    w2 = tf.Variable(create_matrix_with_kaiming_normal((4000, 10)))
    return [w1, w2]

learning_rate = 1e-2
train_part2(two_layer_fc, two_layer_fc_init, learning_rate)
```

Barebones TensorFlow: Train a three-layer ConvNet

We will now use TensorFlow to train a three-layer ConvNet on CIFAR-10.

You need to implement the three_layer_convnet_init function. Recall that the architecture of the network is:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding 2
- 2. ReLU
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You don't need to do any hyperparameter tuning, but you should see validation accuracies above 43% after one epoch of training.

In []:

```
def three_layer_convnet_init():
    """
    Initialize the weights of a Three-Layer ConvNet, for use with the
    three_layer_convnet function defined above.
    You can use the `create_matrix_with_kaiming_normal` helper!

Inputs: None
```

```
Returns a list containing:
   - conv_w1: TensorFlow tf. Variable giving weights for the first conv layer
   - conv_b1: TensorFlow tf. Variable giving biases for the first conv layer
  - conv_w2: TensorFlow tf. Variable giving weights for the second conv layer
  - conv_b2: TensorFlow tf. Variable giving biases for the second conv layer
   - fc_w: TensorFlow tf.Variable giving weights for the fully-connected layer
   fc_b: TensorFlow tf. Variable giving biases for the fully-connected layer
  params = None
  # TODO: Initialize the parameters of the three-layer network.
  # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
  # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
  END OF YOUR CODE
  return params
learning_rate = 3e-3
train_part2(three_layer_convnet, three_layer_convnet_init, learning_rate)
```

Keras Model Subclassing API: Three-Layer ConvNet

Now it's your turn to implement a three-layer ConvNet using the tf.keras.Model API. Your model should have the same architecture used in Part II:

- 1. Convolutional layer with 5 x 5 kernels, with zero-padding of 2
- 2. ReLU nonlinearity
- 3. Convolutional layer with 3 x 3 kernels, with zero-padding of 1
- 4. ReLU nonlinearity
- 5. Fully-connected layer to give class scores
- 6. Softmax nonlinearity

You should initialize the weights of your network using the same initialization method as was used in the two-layer network above.

Hint: Refer to the documentation for tf.keras.layers.Conv2D and tf.keras.layers.Dense:

https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/keras/layers/Conv2D

https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/keras/layers/Dense

```
In [ ]:
```

```
class ThreeLayerConvNet (tf.keras.Model):
  def __init__(self, channel_1, channel_2, num_classes):
    super(ThreeLayerConvNet, self).__init__()
    # TODO: Implement the __init__ method for a three-layer ConvNet. You #
    # should instantiate layer objects to be used in the forward pass.
    # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
    # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
    END OF YOUR CODE
    def call(self, x, training=False):
    scores = None
    # TODO: Implement the forward pass for a three-layer ConvNet. You
    # should use the layer objects defined in the __init__ method.
    # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
    pass
```

Once you complete the implementation of the ThreeLayerConvNet above you can run the following to ensure that your implementation does not crash and produces outputs of the expected shape.

```
In []:
```

```
def test_ThreeLayerConvNet():
    channel_1, channel_2, num_classes = 12, 8, 10
    model = ThreeLayerConvNet(channel_1, channel_2, num_classes)
    with tf.device(device):
        x = tf.zeros((64, 3, 32, 32))
        scores = model(x)
        print(scores.shape)

test_ThreeLayerConvNet()
```

Keras Model Subclassing API: Eager Training

While keras models have a builtin training loop (using the model.fit), sometimes you need more customization. Here's an example, of a training loop implemented with eager execution.

In particular, notice tf.GradientTape . Automatic differentiation is used in the backend for implementing backpropagation in frameworks like TensorFlow. During eager execution, tf.GradientTape is used to trace operations for computing gradients later. A particular tf.GradientTape can only compute one gradient; subsequent calls to tape will throw a runtime error.

TensorFlow 2.0 ships with easy-to-use built-in metrics under tf.keras.metrics module. Each metric is an object, and we can use update_state() to add observations and reset_state() to clear all observations. We can get the current result of a metric by calling result() on the metric object.

Keras Model Subclassing API: Train a Two-Layer Network

We can now use the tools defined above to train a two-layer network on CIFAR-10. We define the <code>model_init_fn</code> and <code>optimizer_init_fn</code> that construct the model and optimizer respectively when called. Here we want to train the model using stochastic gradient descent with no momentum, so we construct a <code>tf.keras.optimizers.SGD</code> function; you can read about it here

You don't need to tune any hyperparameters here, but you should achieve validation accuracies above 40% after one epoch of training.

In []:

```
hidden_size, num_classes = 4000, 10
learning_rate = 1e-2

def model_init_fn():
    return TwoLayerFC(hidden_size, num_classes)

def optimizer_init_fn():
    return tf.keras.optimizers.SGD(learning_rate=learning_rate)

train_part34(model_init_fn, optimizer_init_fn)
```

Keras Model Subclassing API: Train a Three-Layer ConvNet

Here you should use the tools we've defined above to train a three-layer ConvNet on CIFAR-10. Your ConvNet should use 32 filters in the first convolutional layer and 16 filters in the second layer.

To train the model you should use gradient descent with Nesterov momentum 0.9.

HINT: https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/optimizers/SGD

You don't need to perform any hyperparameter tuning, but you should achieve validation accuracies above 50% after training for one

```
chooii.
```

```
In []:
```

```
learning_rate = 3e-3
channel_1, channel_2, num_classes = 32, 16, 10
def model init fn():
 model = None
  # TODO: Complete the implementation of model_fn.
  # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
  pass
  # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
  END OF YOUR CODE
  return model
def optimizer_init_fn():
  optimizer = None
  # TODO: Complete the implementation of model_fn.
  # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
  pass
  # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
  END OF YOUR CODE
  return optimizer
train_part34(model_init_fn, optimizer_init_fn)
```

Part IV: Keras Sequential API

In Part III we introduced the tf.keras.Model API, which allows you to define models with any number of learnable layers and with arbitrary connectivity between layers.

However for many models you don't need such flexibility - a lot of models can be expressed as a sequential stack of layers, with the output of each layer fed to the next layer as input. If your model fits this pattern, then there is an even easier way to define your model: using tf.keras.Sequential. You don't need to write any custom classes; you simply call the tf.keras.Sequential constructor with a list containing a sequence of layer objects.

One complication with tf.keras.Sequential is that you must define the shape of the input to the model by passing a value to
the input_shape of the first layer in your model.

Keras Sequential API: Two-Layer Network

In this subsection, we will rewrite the two-layer fully-connected network using the training loop defined above.

You don't need to perform any hyperparameter tuning here, but you should see validation accuracies above 40% after training for one epoch.

In []:

```
kernel_initializer=initializer),

| model = tf.keras.Sequential(layers)
| return model |

def optimizer_init_fn():
| return tf.keras.optimizers.SGD(learning_rate=learning_rate) |

train_part34 (model_init_fn, optimizer_init_fn)
```

Abstracting Away the Training Loop

In the previous examples, we used a customised training loop to train models (e.g. train_part34). Writing your own training loop is only required if you need more flexibility and control during training your model. Alternately, you can also use built-in APIs like tf.keras.Model.fit() and tf.keras.Model.evaluate to train and evaluate a model. Also remember to configure your model for training by calling `tf.keras.Model.compile.

You don't need to perform any hyperparameter tuning here, but you should see validation and test accuracies above 42% after training for one epoch.

```
In []:

model = model_init_fn()
model.compile(optimizer=tf.keras.optimizers.SGD(learning_rate=learning_rate),
```

```
loss='sparse_categorical_crossentropy',
    metrics=[tf.keras.metrics.sparse_categorical_accuracy])
model.fit(X_train, y_train, batch_size=64, epochs=1, validation_data=(X_val, y_val))
model.evaluate(X test, y test)
```

Keras Sequential API: Three-Layer ConvNet

Here you should use tf.keras.Sequential to reimplement the same three-layer ConvNet architecture used in Part II and Part III. As a reminder, your model should have the following architecture:

- 1. Convolutional layer with 32 5x5 kernels, using zero padding of 2
- 2. ReLU nonlinearity
- 3. Convolutional layer with 16 3x3 kernels, using zero padding of 1
- 4. ReLU nonlinearity
- 5. Fully-connected layer giving class scores
- 6. Softmax nonlinearity

You should initialize the weights of the model using a tf.initializers.VarianceScaling as above.

You should train the model using Nesterov momentum 0.9.

You don't need to perform any hyperparameter search, but you should achieve accuracy above 45% after training for one epoch.

```
In [ ]:
```

```
def model_init_fn():
 model = None
 # TODO: Construct a three-layer ConvNet using tf.keras.Sequential.
 # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
 pass
 # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
 END OF YOUR CODE
 return model
learning\_rate = 5e-4
def optimizer_init_fn():
 optimizer = None
 # TODO: Complete the implementation of model_fn.
 # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
```

We will also train this model with the built-in training loop APIs provided by TensorFlow.

```
In [ ]:
```

Part IV: Functional API

Demonstration with a Two-Layer Network

In the previous section, we saw how we can use tf.keras.Sequential to stack layers to quickly build simple models. But this comes at the cost of losing flexibility.

Often we will have to write complex models that have non-sequential data flows: a layer can have **multiple inputs and/or outputs**, such as stacking the output of 2 previous layers together to feed as input to a third! (Some examples are residual connections and dense blocks.)

In such cases, we can use Keras functional API to write models with complex topologies such as:

- 1. Multi-input models
- 2. Multi-output models
- 3. Models with shared layers (the same layer called several times)
- 4. Models with non-sequential data flows (e.g. residual connections)

Writing a model with Functional API requires us to create a tf.keras.Model instance and explicitly write input tensors and output tensors for this model.

Keras Functional API: Train a Two-Layer Network

You can now train this two-layer network constructed using the functional API.

You don't need to perform any hyperparameter tuning here, but you should see validation accuracies above 40% after training for one epoch.

In []:

```
input_shape = (32, 32, 3)
hidden_size, num_classes = 4000, 10
learning_rate = 1e-2

def model_init_fn():
    return two_layer_fc_functional(input_shape, hidden_size, num_classes)

def optimizer_init_fn():
    return tf.keras.optimizers.SGD(learning_rate=learning_rate)

train_part34(model_init_fn, optimizer_init_fn)
```

Part V: CIFAR-10 open-ended challenge

In this section you can experiment with whatever ConvNet architecture you'd like on CIFAR-10.

You should experiment with architectures, hyperparameters, loss functions, regularization, or anything else you can think of to train a

model that achieves **at least 70%** accuracy on the **validation** set within 10 epochs. You can use the built-in train function, the train_part34 function from above, or implement your own training loop.

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Describe what you did at the end of the notebook.

Some things you can try:

- Filter size: Above we used 5x5 and 3x3; is this optimal?
- Number of filters: Above we used 16 and 32 filters. Would more or fewer do better?
- Pooling: We didn't use any pooling above. Would this improve the model?
- **Normalization**: Would your model be improved with batch normalization, layer normalization, group normalization, or some other normalization strategy?
- Network architecture: The ConvNet above has only three layers of trainable parameters. Would a deeper model do better?
- Global average pooling: Instead of flattening after the final convolutional layer, would global average pooling do better? This
 strategy is used for example in Google's Inception network and in Residual Networks.
- Regularization: Would some kind of regularization improve performance? Maybe weight decay or dropout?

NOTE: Batch Normalization / Dropout

If you are using Batch Normalization and Dropout, remember to pass is_training=True if you use the train_part34() function. BatchNorm and Dropout layers have different behaviors at training and inference time. training is a specific keyword argument reserved for this purpose in any tf.keras.Model's call() function. Read more about this here:

https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/keras/layers/BatchNormalization#methods https://www.tensorflow.org/versions/r2.0/api_docs/python/tf/keras/layers/Dropout#methods

Tips for training

For each network architecture that you try, you should tune the learning rate and other hyperparameters. When doing this there are a couple important things to keep in mind:

- If the parameters are working well, you should see improvement within a few hundred iterations
- Remember the coarse-to-fine approach for hyperparameter tuning: start by testing a large range of hyperparameters for just a few training iterations to find the combinations of parameters that are working at all.
- Once you have found some sets of parameters that seem to work, search more finely around these parameters. You may need
 to train for more epochs.
- You should use the validation set for hyperparameter search, and save your test set for evaluating your architecture on the best parameters as selected by the validation set.

Going above and beyond

If you are feeling adventurous there are many other features you can implement to try and improve your performance. You are **not required** to implement any of these, but don't miss the fun if you have time!

- Alternative optimizers: you can try Adam, Adagrad, RMSprop, etc.
- Alternative activation functions such as leaky ReLU, parametric ReLU, ELU, or MaxOut.
- Model ensembles
- Data augmentation
- New Architectures
 - ResNets where the input from the previous layer is added to the output.
 - <u>DenseNets</u> where inputs into previous layers are concatenated together.
 - This blog has an in-depth overview

Have fun and happy training!

```
END OF YOUR CODE
     def call(self, input_tensor, training=False):
     # TODO: Construct a model that performs well on CIFAR-10
     # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
     pass
     # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
     END OF YOUR CODE
     return x
device = '/device:GPU:0'  # Change this to a CPU/GPU as you wish!
# device = '/cpu:0'  # Change this to a CPU/GPU as you wish!
print_every = 700
num_epochs = 10
model = CustomConvNet()
def model_init_fn():
  return CustomConvNet()
def optimizer_init_fn():
  learning_rate = 1e-3
  return tf.keras.optimizers.Adam(learning_rate)
train_part34 (model_init_fn, optimizer_init_fn, num_epochs=num_epochs, is_training=True)
```

Describe what you did

In the cell below you should write an explanation of what you did, any additional features that you implemented, and/or any graphs that you made in the process of training and evaluating your network.

TODO: Tell us what you did

1 layers.py

```
1 from builtins import range
  import numpy as np
  def affine forward(x, w, b):
      Computes the forward pass for an affine (fully-connected) layer
8
      The input x has shape (N, d 1, ..., d k) and contains a minibatch of N
      examples, where each example x[i] has shape (d 1, \ldots, d k). We will
10
      reshape each input into a vector of dimension D = d_1 * ... * d_k, and
11
12
      then transform it to an output vector of dimension M.
13
14
      - x: A numpy array containing input data, of shape (N, d_1, ..., d_k)
15
      - w: A numpy array of weights, of shape (D, M) - b: A numpy array of biases, of shape (M, M)
16
17
18
      Returns a tuple of:
19
      – out: output, of shape (N, M)
20
       cache: (x, w, b)
21
22
      out = None
23
      # TODO: Implement the affine forward pass. Store the result in out. You
25
26
      # will need to reshape the input into rows.
      27
      # ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
28
29
      N = \times . shape [0]
30
      x \text{ row} = \text{np.reshape}(x, (N, -1)) \# \text{Reshape} \times \text{into}(N, D) \text{ matrix}
31
      out = x_row.dot(w) + b
32
33
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
34
      35
                                  END OF YOUR CODE
36
      37
      cache = (x, w, b)
38
39
      return out, cache
40
41
  def affine backward (dout, cache):
42
43
      Computes the backward pass for an affine layer.
44
45
      Inputs:
46

    dout: Upstream derivative, of shape (N, M)

47
      — cache: Tuple of:
48
       - x: Input data, of shape (N, d_1, ... d_k) - w: Weights, of shape (D, M)
49
50
       - b: Biases, of shape (M,)
51
52
      Returns a tuple of:
53
      - dx: Gradient with respect to x, of shape (N, d1, ..., d_k) - dw: Gradient with respect to w, of shape (D, M) - db: Gradient with respect to b, of shape (M,)
54
55
56
57
      x. w. b = cache
58
      dx, dw, db = None, None, None
59
      60
      # TODO: Implement the affine backward pass
61
      62
      # ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
63
64
      N = x.shape[0]
65
66
      x row = np.reshape(x, (N, -1))
67
68
      # Based on the shape of of dx, dw and db, can get the calculation formula
69
      dx = dout.dot(w.T).reshape(x.shape)
70
      dw = x row.T.dot(dout)
71
72
      db = np.sum(dout, axis = 0)
73
```

```
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
74
     75
                           END OF YOUR CODE
76
     77
     return dx, dw, db
78
79
80
  def relu_forward(x):
81
82
     Computes the forward pass for a layer of rectified linear units (ReLUs).
83
84
85
     - x: Inputs, of any shape
86
87
     Returns a tuple of:
     - out: Output, of the same shape as \times
89
90
      cache: x
91
     out = None
92
     93
     # TODO: Implement the ReLU forward pass.
94
     95
     # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
96
97
     # Must truly copy the variables into new variables
98
     out = x.copy()
99
     out[out < 0] = 0
100
101
102
     # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
103
     104
                           END OF YOUR CODE
105
     106
     cache = x
107
108
     return out, cache
109
110
     relu backward(dout, cache):
111
112
     Computes the backward pass for a layer of rectified linear units (ReLUs).
113
114
115

    dout: Upstream derivatives, of any shape

116
     - cache: Input \times, of same shape as dout
117
118
     Returns:
119
     - dx: Gradient with respect to x
120
121
122
     dx, x = None, cache
     123
     # TODO: Implement the ReLU backward pass
124
     125
     # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
126
127
     dx = x
128
     dx[dx < 0] = 0
129
     dx[dx > 0] = 1
130
     dx = np. multiply(dx, dout)
131
132
133
     # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
134
     135
136
                           END OF YOUR CODE
     137
     return dx
138
139
140
     batchnorm forward(x, gamma, beta, bn param):
141
142
143
     Forward pass for batch normalization
144
     During training the sample mean and (uncorrected) sample variance are
145
     computed from minibatch statistics and used to normalize the incoming data
146
     During training we also keep an exponentially decaying running mean of the
147
     mean and variance of each feature, and these averages are used to normalize
148
     data at test-time.
149
```

```
At each timestep we update the running averages for mean and variance using
151
       an exponential decay based on the momentum parameter:
152
153
      running mean = momentum * running mean + (1 - momentum) * sample mean
154
155
       running var = momentum * running var + (1 - momentum) * sample var
156
       Note that the batch normalization paper suggests a different test-time
157
       behavior: they compute sample mean and variance for each feature using a
158
       large number of training images rather than using a running average. For
159
       this implementation we have chosen to use running averages instead since
160
       they do not require an additional estimation step; the torch7
161
162
       implementation of batch normalization also uses running averages
163
      Input:
       - x: Data of shape (N, D)
165
        gamma: Scale parameter of shape (D,)
166
        beta: Shift paremeter of shape (D,)
167
        bn param: Dictionary with the following keys:
168
169
        - mode: 'train' or 'test'; required

    eps: Constant for numeric stability

170
171
          momentum: Constant for running mean / variance
          running mean: Array of shape (D,) giving running mean of features
172
173
        — running var Array of shape (D,) giving running variance of features
174
       Returns a tuple of:
175
       – out: of shape (N, D)
176
        cache: A tuple of values needed in the backward pass
177
178
      mode = bn_param['mode']
179
       eps = bn param.get('eps'
                              1e-5
180
      momentum = bn param.get('momentum', 0.9)
181
182
      N, D = x.shape
183
      running\_mean = bn\_param.get('running\_mean', np.zeros(D, dtype=x.dtype))
184
       running_var = bn_param.get('running_var', np.zeros(D, dtype=x.dtype))
185
186
      out, cache = None, None
187
       if mode == 'train':
188
          189
          # TODO: Implement the training—time forward pass for batch norm.
190
          \# Use minibatch statistics to compute the mean and variance , use
191
                                                                              #
          # these statistics to normalize the incoming data, and scale and
192
          # shift the normalized data using gamma and beta.
194
          # You should store the output in the variable out. Any intermediates
195
          # that you need for the backward pass should be stored in the cache
196
          # variable.
197
198
          # You should also use your computed sample mean and variance together
                                                                              #
199
          # with the momentum variable to update the running mean and running
200
          # variance, storing your result in the running_mean and running_var
                                                                              #
201
          # variables.
202
203
          # Note that though you should be keeping track of the running
204
          # variance, you should normalize the data based on the standard
                                                                              #
          # deviation (square root of variance) instead!
206
          # Referencing the original paper (https://arxiv.org/abs/1502.03167)
207
          # might prove to be helpful
208
          209
          # ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
210
211
212
          sample mean = np.mean(x, axis = 0)
213
          sample\_var = np.var(x, axis = 0)
          running_mean = momentum * running_mean + (1 - momentum) * sample_mean
214
          running\_var = momentum * running\_var + (1 - momentum) * sample\_var
215
          sample normalized = (x - sample mean) / np.sqrt(sample var + eps)
216
          out = gamma * sample normalized + beta
217
          {\sf cache} = ({\sf sample\_normalized} \ , \ {\sf gamma}, \ {\sf beta} \ , \ {\sf sample\_mean} \ , \ {\sf sample\_var} \ , \ {\sf x}, \ {\sf eps})
218
219
          # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
220
          221
                                     END OF YOUR CODE
          223
       elif mode == 'test':
          225
```

```
# TODO: Implement the test-time forward pass for batch normalization.
226
         # Use the running mean and variance to normalize the incoming data,
227
         # then scale and shift the normalized data using gamma and beta.
228
         # Store the result in the out variable.
229
         230
         # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
231
232
         sample_normalized = (x - running_mean) / np.sqrt(running_var + eps)
233
         out = gamma * sample normalized + beta
234
235
         # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
236
         237
                                END OF YOUR CODE
238
         239
      else:
         raise ValueError('Invalid forward batchnorm mode "%s"' % mode)
241
242
243
      # Store the updated running means back into bn param
      bn_param['running_mean'] = running mean
244
245
      bn_param['running_var'] = running_var
246
247
      return out, cache
248
249
      batchnorm backward (dout, cache):
250
251
      Backward pass for batch normalization.
252
253
      For this implementation, you should write out a computation graph for
254
      batch normalization on paper and propagate gradients backward through
255
      intermediate nodes.
256
257
      Inputs:
258

    dout: Upstream derivatives, of shape (N, D)

259
260

    cache: Variable of intermediates from batchnorm forward.

261
262
      Returns a tuple of:

    dx: Gradient with respect to inputs x, of shape (N, D)

263

    dgamma: Gradient with respect to scale parameter gamma, of shape (D,)

       dbeta: Gradient with respect to shift parameter beta, of shape (D,)
265
266
      dx, dgamma, dbeta = None, None, None
267
      268
      # TODO: Implement the backward pass for batch normalization. Store the
269
      # results in the dx, dgamma, and dbeta variables
270
      # Referencing the original paper (https://arxiv.org/abs/1502.03167)
271
      # might prove to be helpful.
272
      273
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
274
275
      sample normalized, gamma, beta, sample mean, sample var, x, eps = cache
276
     N = x.shape[0]
277
      dx hat = dout * gamma
278
      dvar = np.sum(dx_hat * (x - sample_mean) * (-1 / 2) * (sample_var + eps) **(-3 / 2), axis = 0)
279
      dmean = np.sum(np.divide(-dx_hat, np.sqrt(sample_var + eps)), axis = 0) + dvar * np.sum(-2 * (x - eps))
280
      sample mean), a \times is = 0 / N
      281
      dgamma = np.sum(dout * sample normalized, axis = 0)
282
      dbeta = np.sum(dout, axis = 0)
283
284
285
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
286
      287
                               END OF YOUR CODE
288
      289
290
      return dx, dgamma, dbeta
291
292
293
     batchnorm backward alt(dout, cache):
294
295
      Alternative backward pass for batch normalization.
296
297
      For this implementation you should work out the derivatives for the batch
298
      normalizaton backward pass on paper and simplify as much as possible. You
      should be able to derive a simple expression for the backward pass.
300
```

```
See the jupyter notebook for more hints.
301
302
           Note: This implementation should expect to receive the same cache variable
303
           as batchnorm backward, but might not use all of the values in the cache.
304
305
306
           Inputs / outputs: Same as batchnorm backward
307
           dx, dgamma, dbeta = None, None, None
308
                     309
           # TODO: Implement the backward pass for batch normalization. Store the
310
          \# results in the dx, dgamma, and dbeta variables.
311
312
313
          \# After computing the gradient with respect to the centered inputs,
                                                                                                                               #
          # should be able to compute gradients with respect to the inputs in a
314
           \# single statement; our implementation fits on a single 80—character line.\#
315
                                                                      316
          # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
317
318
           sample normalized, gamma, beta, sample mean, sample var, x, eps = cache
319
320
          N = x.shape[0]
           sigma = np.sqrt(sample var + eps)
321
322
323
          dgamma = np.sum(dout * sample normalized, axis = 0)
324
325
           dbeta = np.sum(dout, axis = 0)
326
           dx = (1 / N) * gamma * 1/sigma * ((N * dout) - np.sum(dout, axis=0) -
327
                                                               (x - sample\_mean) * np.square(1/sigma) * np.sum(dout * (x - sample\_mean)) * np.square(1/sigma) * np.square(1/sig
328
          sample mean), a \times is = 0)
320
330
          # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
331
          332
                                                          END OF YOUR CODE
333
          334
335
336
           return dx, dgamma, dbeta
337
338
          layernorm\_forward (x, gamma, beta, ln\_param):
339
340
           Forward pass for layer normalization
341
342
           During both training and test-time, the incoming data is normalized per data-point,
343
           before being scaled by gamma and beta parameters identical to that of batch normalization
344
345
           Note that in contrast to batch normalization, the behavior during train and test-time for
346
           layer normalization are identical, and we do not need to keep track of running averages
347
348
           of any sort.
349
350
            - x: Data of shape (N, D)
351
             gamma: Scale parameter of shape (D,)
352
353
             beta: Shift paremeter of shape (D,)
           – In param: Dictionary with the following keys:
354
355
                   eps: Constant for numeric stability
356
           Returns a tuple of:
357
           – out: of shape (N, D)
358
             cache: A tuple of values needed in the backward pass
359
360
           out. cache = None. None
361
362
           eps = In param.get('eps', 1e-5)
                        363
          # TODO: Implement the training—time forward pass for layer norm
364
           \# Normalize the incoming data , and scale and \, shift the normalized data
365
              using gamma and beta
366
          # HINT: this can be done by slightly modifying your training—time
367
          \# implementation of \, batch normalization , and inserting a line or two of
368
             well-placed code. In particular, can you think of any matrix
369
          \# transformations you could perform , that would enable you to copy over
370
                                                                                                                               #
          # the batch norm code and leave it almost unchanged?
371
          372
          # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
373
374
          \# Transpose x so that the dimension of x becomes (D, N), following calculation can be the same as
375
```

```
batch forward
      x = x T
376
377
      sample mean = np.mean(x, axis = 0)
378
      sample var = np.var(x, axis = 0)
379
      sample normalized = (x - sample mean) / np. sqrt(sample var + eps)
380
381
      \# Transpose sample normalized so that the result can has the correct dimension (N, D)
382
      sample normalized = sample normalized.T
383
      out = gamma * sample normalized + beta
384
385
      \# Transpose \times again so that \times is restored
386
387
      x = x.T
388
      cache = (sample normalized, gamma, beta, sample mean, sample var, x, eps)
390
391
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
392
      393
                                   END OF YOUR CODE
394
      395
       return out, cache
396
397
398
      layernorm_backward(dout, cache):
399
400
       Backward pass for layer normalization.
401
402
       For this implementation, you can heavily rely on the work you've done already
403
       for batch normalization.
404
405
406

    dout: Upstream derivatives, of shape (N, D)

407

    cache: Variable of intermediates from layernorm forward.

408
409
       Returns a tuple of:
410
       - dx: Gradient with respect to inputs x, of shape (N, D)
411

    dgamma: Gradient with respect to scale parameter gamma, of shape (D,)

412
413
        dbeta: Gradient with respect to shift parameter beta, of shape (D,)
414
415
      dx, dgamma, dbeta = None, None, None
      416
      # TODO: Implement the backward pass for layer norm.
                                                                              #
417
                                                                               #
418
      # HINT: this can be done by slightly modifying your training—time
419
      \# implementation of batch normalization . The hints to the forward pass
420
      # still apply!
421
      422
      # ****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
423
424
      sample normalized, gamma, beta, sample mean, sample var, x, eps = cache
425
426
      # The calculation of dgamma and dbeta remain the same
427
      dgamma = np.sum(dout * sample_normalized, axis = 0)
428
      dbeta = np.sum(dout, axis = 0)
429
      dx hat = dout * gamma
431
432
      \# At first transpose sample_normalized, 	imes and dx_hat so that their dimensions are all (D, N) now
433
      sample\_normalized = sample\_normalized.T
434
       \times = \times .T
435
      dx hat = dx hat.T
436
437
438
      # Actually x.shape[0] should be D now, but I still use N so that the code below don't have to be
439
      changed
      N = x.shape[0]
440
      \# The following calculation can be the same as they are in <code>batchnorm_backward</code>
442
       dvar = np.sum(dx hat * (x - sample mean) * (-1 / 2) * (sample var + eps) * (-3 / 2), axis = 0
443
      dmean = np.sum(np.divide(-dx hat, np.sqrt(sample var + eps)), axis = 0) + dvar * np.sum(-2 * (x - eps))
444
      sample mean), a \times is = 0)/ N
      dx = dx_hat / np.sqrt(sample_var + eps) + dvar * 2 * (x - sample_mean) / N + dmean / N
445
446
      \# Transpose dx so that dx can have the correct dimension (N, D) now
447
      dx = dx . T
448
```

```
450
451
     # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
452
     453
                              END OF YOUR CODE
454
     455
     return dx, dgamma, dbeta
456
457
458
     dropout forward(x, dropout param):
459
460
461
     Performs the forward pass for (inverted) dropout.
462
     Inputs:
463

    x: Input data, of any shape
    dropout_param: A dictionary with the following keys:

464
465

    p: Dropout parameter. We keep each neuron output with probability p

466
       mode: 'test' or 'train'. If the mode is train, then perform dropout;
467
468
         if the mode is test, then just return the input.
        seed: Seed for the random number generator. Passing seed makes this
469
         function deterministic, which is needed for gradient checking but not
470
        in real networks
471
472
473
     Outputs:
     - out: Array of the same shape as \times.
474
       cache: tuple (dropout param, mask). In training mode, mask is the dropout
475
       mask that was used to multiply the input; in test mode, mask is None.
476
477
     NOTE: Please implement **inverted** dropout, not the vanilla version of dropout
478
     See http://cs231n.github.io/neural-networks-2/#reg for more details.
479
480
     NOTE 2: Keep in mind that p is the probability of **keep** a neuron
481
     output; this might be contrary to some sources, where it is referred to
482
483
     as the probability of dropping a neuron output.
484
     p, mode = dropout param['p'], dropout param['mode']
485
        'seed' in dropout_param:
486
487
        np.random.seed(dropout param['seed'])
488
     mask = None
489
     out = None
490
491
      if mode == 'train':
492
        493
        # TODO: Implement training phase forward pass for inverted dropout
494
        # Store the dropout mask in the mask variable
495
        496
        # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
497
498
         mask = (np.random.rand(*x.shape) < p) / p
499
        out = x * mask
500
501
        # *****FND OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
502
        503
        505
      elif mode == 'test':
506
        507
        # TODO: Implement the test phase forward pass for inverted dropout
508
        # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
510
511
512
         out = x
513
        # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
514
        515
                                END OF YOUR CODE
        517
518
     cache = (dropout_param, mask)
519
     out = out.astype(x.dtype, copy=False)
520
521
     return out, cache
522
523
524
```

```
def dropout backward(dout, cache):
525
526
      Perform the backward pass for (inverted) dropout.
527
528
529
530

    dout: Upstream derivatives, of any shape

       cache: (dropout param, mask) from dropout forward.
531
532
      dropout param, mask = cache
533
      mode = dropout param['mode']
534
535
      dx = None
536
      if mode == 'train':
537
         538
         # TODO: Implement training phase backward pass for inverted dropout
                                   540
         # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
541
542
         dx = dout * mask
543
544
         # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
545
         546
                                  END OF YOUR CODE
547
548
         elif mode == 'test':
549
         dx = dout
550
      return dx
551
552
553
  def conv_forward_naive(x, w, b, conv_param):
554
555
      A naive implementation of the forward pass for a convolutional layer
556
557
      The input consists of N data points, each with C channels, height H and
558
      width W. We convolve each input with F different filters, where each filter
559
      spans all C channels and has height HH and width WW.
560
561
562
563
      x: Input data of shape (N, C, H, W)
      w: Filter weights of shape (F, C, HH, WW)
564
565
       b: Biases, of shape (F,)

    conv param: A dictionary with the following keys:

566
         'stride': The number of pixels between adjacent receptive fields in the
567
         horizontal and vertical directions
         'pad': The number of pixels that will be used to zero-pad the input.
569
570
571
      During padding, 'pad' zeros should be placed symmetrically (i.e equally on both sides)
572
573
      along the height and width axes of the input. Be careful not to modfiy the original
      input x directly
574
575
      Returns a tuple of:
576
       out: Output data, of shape (N, F, H', W') where H' and W' are given by
577
        H' = 1 + (H + 2 * pad - HH) / stride
578
       W' = 1 + (W + 2 * pad - WW) / stride
579
580
       cache: (x, w, b, conv param)
581
582
      583
      # TODO: Implement the convolutional forward pass.
584
             you can use the function np.pad for padding
585
      586
587
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
588
      stride = conv param['stride']
589
      pad = conv param['pad']
590
      x_{pad} = np.pad(x, ((0, 0), (0, 0), (pad, pad), (pad, pad)), 'constant')
591
      N, C, H, W = x.shape
      F, C, HH, WW = w.shape
593
594
      H \text{ out} = int (1 + (H + 2 * pad - HH) / stride)
595
      W out = int (1 + (W + 2 * pad - WW) / stride)
596
597
      out = np.zeros((N, F, H_out, W_out))
598
599
      for n in range (N):
600
```

```
for f in range(F):
601
             for i in range(H out):
602
                 for j in range(W out):
603
                    \operatorname{out}[n, f, i, j] = \operatorname{np.sum}(x_{pad}[n, :, i * stride: i * stride + HH, j * stride: j *
604
      stride + WW] * w[f]) + b[f]
605
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
606
      607
                                 END OF YOUR CODE
608
      609
      cache = (x, w, b, conv param)
610
      return out, cache
611
612
613
     conv backward naive(dout, cache):
614
615
      A naive implementation of the backward pass for a convolutional layer.
616
617
      Inputs:
618
619

    dout: Upstream derivatives

      - cache: A tuple of (x, w, b, conv param) as in conv forward naive
620
621
      Returns a tuple of:
622
      — dx: Gradient with respect to x
623
624

    dw: Gradient with respect to w

       db: Gradient with respect to b
625
626
      dx, dw, db = None, None, None
627
      628
      # TODO: Implement the convolutional backward pass.
629
      630
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
631
632
      x, w, b, conv_param = cache
633
634
      pad = conv param['pad']
      stride = conv_param['stride']
635
      F, C, HH, WW = w.shape
636
      N, C, H, W = x.shape
637
638
      H 	ext{ out} = int (1 + (H + 2 * pad - HH) / stride)
      W_{out} = int (1 + (W + 2 * pad - WW) / stride)
639
      x_{pad} = np.pad(x, ((0, 0), (0, 0), (pad, pad), (pad, pad)), 'constant')
640
641
      dx pad = np.zeros like(x pad)
642
      dw = np.zeros_like(w)
643
      db = np.zeros like(b)
644
645
      \# To calculte db, just sum up all the upstream gradients for each filters bias.
646
      for f in range(F):
647
         db[f] = np.sum(dout[:, f, :, :])
648
649
      for n in range(N):
650
          for f in range(F):
651
             for i in range(H out):
652
653
                 for j in range(W_out):
                    \# According to chain rule, dw = dout * x, dx = dout * w. Be careful about the
654
                    dw[f] += dout[n, f, i, j] * x pad[n, :, i * stride: i * stride + HH, j * stride: j *
655
      stride + WW
                    dx_pad[n, :, i * stride: i * stride + HH, j * stride: j * stride + WW] += dout[n, f, i]
656
      , j] * w[f]
657
      # Get rid of the pad around dx
658
659
      dx = dx pad[:, :, pad: pad+H, pad: pad+W]
660
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
661
      662
                                 END OF YOUR CODE
663
      664
      return dx, dw, db
665
666
667
      max pool forward naive(x, pool param):
668
669
      A naive implementation of the forward pass for a max-pooling layer.
670
671
      Inputs:
672
```

```
- x: Input data, of shape (N, C, H, W)
673
       pool param: dictionary with the following keys:
674
          'pool_height': The height of each pooling region 'pool width': The width of each pooling region
675
676

    'stride': The distance between adjacent pooling regions

677
678
      No padding is necessary here. Output size is given by
679
680
      Returns a tuple of:
681
       out: Output data, of shape (N, C, H', W') where H' and W' are given by
682
        H' = 1 + (H - pool\_height) / stride

W' = 1 + (W - pool\_width) / stride
683
684
685
        cache: (x, pool param)
686
      out = None
      688
      # TODO: Implement the max-pooling forward pass
689
      690
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
691
692
      pool_height = pool_param['pool_height']
693
      pool width = pool param['pool width']
694
      stride = pool_param['stride']
695
      N, C, H, W = x.shape
696
697
      H_{out} = int (1 + (H - pool_height) / stride)
698
      W out = int (1 + (W - pool width) / stride)
699
700
      out = np.zeros((N, C, H out, W out))
701
702
      for n in range(N):
703
          for c in range(C):
704
              for i in range(H out):
705
                  for j in range(W out):
706
707
                      \operatorname{out}[n, c, i, j] = \operatorname{np.max}(x[n, c, i * stride: i * stride + pool height, j * stride: j *
       stride + pool_width])
708
709
710
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
      711
                                   END OF YOUR CODE
712
      713
      cache = (x, pool_param)
714
      return out, cache
715
716
717
   def max_pool_backward_naive(dout, cache):
718
719
      A naive implementation of the backward pass for a max-pooling layer.
720
721
722
       dout: Upstream derivatives
723
      - cache: A tuple of (x, pool param) as in the forward pass.
724
725
      Returns:
726
727

    dx: Gradient with respect to x

728
729
      730
      # TODO: Implement the max-pooling backward pass
731
      732
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
733
734
735
      x, pool param = cache
      pool_height = pool_param['pool_height']
736
      pool_width = pool_param['pool_width']
737
      stride = pool param['stride']
738
      N, C, H, W = x.shape
739
740
      \begin{array}{lll} H\_out = int & (1 + (H - pool\_height) / stride) \\ W\_out = int & (1 + (W - pool\_width) / stride) \end{array}
741
742
743
      dx = np.zeros_like(x)
744
745
      for n in range(N):
746
          for c in range(C):
747
```

```
for i in range(H out):
748
                 for j in range(W out):
749
                     block = x[n, c, i * stride: i * stride + pool height, j * stride: j * stride +
      pool_width]
                     maximum = np.max(block)
751
                     dx[n, c, i * stride: i * stride + pool height, j * stride: j * stride + pool width] =
752
                                                                            (block == maximum) * dout[
      n, c, i, j]
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
755
      756
                                 END OF YOUR CODE
757
      758
      return dx
760
761
     spatial batchnorm forward(x, gamma, beta, bn param):
762
763
764
      Computes the forward pass for spatial batch normalization.
765
      Inputs:
766
      - \times: Input data of shape (N, C, H, W)
767
       gamma: Scale parameter, of shape (C,)
768
       beta: Shift parameter, of shape (C,)
769
      - bn_param: Dictionary with the following keys:
- mode: 'train' or 'test'; required
770
771
         eps: Constant for numeric stability
772
        - momentum: Constant for running mean / variance. momentum=0 means that
773
         old information is discarded completely at every time step, while
774
         momentum=1 means that new information is never incorporated. The
775
          default of momentum=0.9 should work well in most situations
776
        - running mean: Array of shape (D,) giving running mean of features
777
        - running var Array of shape (D,) giving running variance of features
778
779
      Returns a tuple of:
780
      out: Output data, of shape (N, C, H, W)
781
       cache: Values needed for the backward pass
782
783
      out, cache = None, None
784
785
      786
      # TODO: Implement the forward pass for spatial batch normalization.
787
                                                                          #
788
      # HINT: You can implement spatial batch normalization by calling the
789
      # vanilla version of batch normalization you implemented above
790
      # Your implementation should be very short; ours is less than five lines.
791
      792
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
793
794
      N, C, H, W = x.shape
795
796
      # Transpose x so that the shape is (N, H, W, C), then reshape x into (N * H * W, C)
797
      x_new = np.reshape(x.transpose(0, 2, 3, 1), (N * H * W, C))
798
      out, cache = batchnorm forward (x \text{ new}, \text{ gamma}, \text{ beta}, \text{ bn param})
799
      # Modify the final output
801
      out = np.transpose(out.reshape(N, H, W, C), (0, 3, 1, 2))
802
803
804
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
805
      806
807
                                 END OF YOUR CODE
      808
809
      return out, cache
810
811
812
      spatial batchnorm backward(dout, cache):
813
814
      Computes the backward pass for spatial batch normalization.
815
816
817
      Inputs:

    dout: Upstream derivatives, of shape (N, C, H, W)

818
        cache: Values from the forward pass
819
820
```

```
Returns a tuple of:
821

    dx: Gradient with respect to inputs, of shape (N, C, H, W)

822

    dgamma: Gradient with respect to scale parameter, of shape (C,)

823
       dbeta: Gradient with respect to shift parameter, of shape (C,)
824
825
      dx, dgamma, dbeta = None, None, None
826
827
      828
      # TODO: Implement the backward pass for spatial batch normalization.
829
830
      # HINT: You can implement spatial batch normalization by calling the
831
        vanilla version of batch normalization you implemented above.
832
833
      \# Your implementation should be very short; ours is less than five lines. \#
      834
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
835
836
837
      N, C, H, W = dout.shape
838
      dout new = np.reshape(dout.transpose(0, 2, 3, 1), (N * H * W, C))
839
840
      dx, dgamma, dbeta = batchnorm_backward(dout_new, cache)
      dx = np.transpose(dx.reshape(\overline{N}, H, W, C), (\overline{0}, 3, 1, 2))
841
842
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
843
      844
                                  END OF YOUR CODE
845
      846
      return dx, dgamma, dbeta
848
849
850
      spatial groupnorm forward(x, gamma, beta, G, gn param):
851
852
      Computes the forward pass for spatial group normalization
853
      In contrast to layer normalization, group normalization splits each entry
854
855
      in the data into G contiguous pieces, which it then normalizes independently
      Per feature shifting and scaling are then applied to the data, in a manner identical to that of batch
856
      normalization and layer normalization.
857
858
      - \times: Input data of shape (N, C, H, W)
859
       gamma: Scale parameter, of shape (C,)
860
        beta: Shift parameter, of shape (C,)
861
      - G: Integer mumber of groups to split into, should be a divisor of C
862
      — gn_param: Dictionary with the following keys:
863
        - eps: Constant for numeric stability
864
865
      Returns a tuple of:
866

    out: Output data, of shape (N, C, H, W)

867
868

    cache: Values needed for the backward pass

869
      out, cache = None, None
870
      eps = gn_param.get('eps',1e-5)
871
      872
873
      \# TODO: Implement the forward pass for spatial group normalization.
        This will be extremely similar to the layer norm implementation.
874
875
      \# In particular, think about how you could transform the matrix so that
      # the bulk of the code is similar to both train—time batch normalization
876
      # and layer normalization!
877
      878
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
879
880
881
882
      N, C, H, W = x.shape
883
      \# Just reshape 	imes so that the number of group is multiplied by {\sf G} while {\sf C} is divided by {\sf G}
884
      x = np.reshape(x, (N * G, C // G * H * W))
885
886
      # Other code are basically copied from layer norm implementation
887
888
      \times = \times .T
      sample mean = np.mean(x, axis = 0)
889
890
      sample var = np.var(x, axis = 0)
      sample normalized = (x - sample mean) / np.sqrt(sample var + eps)
891
892
      sample\_normalized = np.reshape(sample\_normalized.T, (N, C, H, W))
893
      out = gamma * sample_normalized + beta
      x = np.reshape(x.T, (N, C, H, W))
895
```

```
cache = (sample normalized, gamma, beta, sample mean, sample var, x, eps, G)
897
898
899
900
                # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
901
                902
                                                                                    END OF YOUR CODE
903
                904
                return out, cache
906
907
               spatial groupnorm backward(dout, cache):
908
909
                Computes the backward pass for spatial group normalization.
910
911
912
                Inputs:

    dout: Upstream derivatives, of shape (N, C, H, W)

913
                 - cache: Values from the forward pass
914
915
                Returns a tuple of:
916
917
                   dx: Gradient with respect to inputs, of shape (N, C, H, W)
                - dgamma: Gradient with respect to scale parameter, of shape (C,)
918
919
                   dbeta: Gradient with respect to shift parameter, of shape (C,)
920
                dx, dgamma, dbeta = None, None, None
921
922
                923
                # TODO: Implement the backward pass for spatial group normalization.
924
                \overset{\prime\prime}\# This will be extremely similar to the layer norm implementation.
925
                926
                # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
927
928
                sample normalized, gamma, beta, sample mean, sample var, x, eps, G = cache
930
               N, C, H, W = x.shape
931
                # Remember to keep dimensions of dgamma and dbeta unchanged
932
               dgamma = np.sum(dout * sample normalized, axis = (0, 2, 3), keepdims=True)
933
                dbeta = np.sum(dout, axis = (0, 2, 3), keepdims=True)
                dx_hat = dout * gamma
935
936
                # Reshape those matrices at first, then transpose them
937
                sample normalized = np.reshape(sample normalized, (N * G, C // G * H * W))
938
                x = np.reshape(x, (N * G, C // G * H * W))
939
                dx\_hat = np.reshape(dx\_hat, (N * G, C // G * H * W))
940
941
                sample_normalized = sample_normalized.T
942
                x = x.\overline{T}
943
                dx hat = dx hat.T
944
945
                 # The following calculation is quite similar to layer norm backward
946
               N new = \times.shape [0]
947
948
                dvar = np.sum(dx_hat * (x - sample_mean) * (-1 / 2) * (sample_var + eps)**(-3 / 2), axis = 0)
949
                dmean = np.sum(np.divide(-dx_hat, np.sqrt(sample_var + eps)), axis = 0) + (available of the context of the co
950
                                   dvar * np.sum(-2 * (x - sample mean), axis = 0) / N new
                dx = dx_hat / np.sqrt(sample_var + eps) + dvar * 2 * (x - sample_mean) / N_new + dmean / N_n
952
953
                dx = np.reshape(dx.T, (N, C, H, W))
954
955
                # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
956
               957
958
                                                                                     END OF YOUR CODE
                959
                return dx, dgamma, dbeta
960
961
962
       def svm loss(x, y):
963
964
                Computes the loss and gradient using for multiclass SVM classification.
965
966
                Inputs:
967
                - x: Input data, of shape (N, C) where 	imes [\, i\,\, ,\,\, j\,\,] is the score for the jth
968
                    class for the ith input
969
                    y: Vector of labels, of shape (N,) where y[i] is the label for x[i] and
                    0 \le y[i] < C
971
```

```
972
        Returns a tuple of:
973
        – loss: Scalar giving the loss
974
         dx: Gradient of the loss with respect to x
975
976
977
        N = x.shape[0]
        correct class\_scores = x[np.arange(N), y]
978
        margins = np.maximum(0, x - correct\_class\_scores[:, np.newaxis] + 1.0)
979
        margins[np.arange(N), y] = 0
980
        loss = np.sum(margins) / N
981
        num pos = np.sum(margins > 0, axis=1)
982
        dx = np.zeros like(x)
983
        dx[margins > \overline{0}] = \hat{1}
984
        dx[np.arange(N), y] = num_pos
985
986
        dx /= N
        return\ loss\ ,\ dx
987
988
989
    def softmax_loss(x, y):
990
991
        Computes the loss and gradient for softmax classification.
992
993
994
995
        - x: Input data, of shape (N, C) where x[i,j] is the score for the jth
          class for the ith input
996
        - y: Vector of labels , of shape (N,) where y[i] is the label for x[i] and
997
          0 \le y[i] < C
999
        Returns a tuple of:
1000

    loss: Scalar giving the loss

1001
          dx: Gradient of the loss with respect to x
1002
1003
        shifted_logits = x - np.max(x, axis=1, keepdims=True)
1004
        Z = np.sum(np.exp(shifted logits), axis=1, keepdims=True)
1005
1006
        log probs = shifted logits - np.log(Z)
        probs = np.exp(log_probs)
1007
1008
        N = x.shape[0]
        loss = -np.sum(log\_probs[np.arange(N), y]) / N
1009
1010
        dx = probs.copy()
        dx[np.arange(N), y] = 1
1011
        dx /= N
1012
        return loss, dx
1013
```

2 fc net.py

```
1 from builtins import range
2 from builtins import object
  import numpy as np
  from cs231n.layers import *
  from cs231n.layer utils import *
  class TwoLayerNet(object):
9
10
     A two-layer fully-connected neural network with ReLU nonlinearity and
11
      softmax loss that uses a modular layer design. We assume an input dimension
      of D, a hidden dimension of H, and perform classification over C classes.
13
14
      The architecure should be affine - relu - affine - softmax.
15
16
17
      Note that this class does not implement gradient descent; instead, it
      will interact with a separate Solver object that is responsible for running
18
      optimization.
19
20
      The learnable parameters of the model are stored in the dictionary
21
      self.params that maps parameter names to numpy arrays.
22
23
24
      \label{lem:def_init} $$ def_{init_i}(self, input_dim=3*32*32, hidden_dim=100, num_classes=10, 
25
                 weight scale=1e-3, reg=0.0):
26
27
         Initialize a new network
28
29
30
         Inputs:
         - input dim: An integer giving the size of the input
31
32
         hidden_dim: An integer giving the size of the hidden layer
          num_classes: An integer giving the number of classes to classify
33
          weight scale: Scalar giving the standard deviation for random
34
           initialization of the weights.
35
          reg: Scalar giving L2 regularization strength.
37
         self.params = \{\}
38
         self.reg = reg
39
40
         41
         # TODO: Initialize the weights and biases of the two-layer net. Weights
42
         # should be initialized from a Gaussian centered at 0.0 with
43
                                                                             #
         # standard deviation equal to weight_scale, and biases should be
44
         # initialized to zero. All weights and biases should be stored in the
45
         # dictionary self.params, with first layer weights
         # and biases using the keys 'W1' and 'b1' and second layer
47
         # weights and biases using the keys 'W2' and 'b2
48
         49
         # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
50
51
         # Weights is initialized from a Gaussian centered at 0.0 with standard deviation equal to
52
         # Use np.random.normal function
53
         self.params [W1'] = np.random.normal(0.0, weight scale, (input dim, hidden dim))
54
         self.params['b1'] = np.zeros((1, hidden_dim))
55
         56
57
58
         # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
59
         60
                                    END OF YOUR CODE
61
         62
63
      def loss(self, X, y=None):
65
66
         Compute loss and gradient for a minibatch of data.
67
68
         Inputs:
         - X: Array of input data of shape (N, d_1, \ldots, d_k)
70
71
          y: Array of labels, of shape (N,). y[i] gives the label for X[i].
72
```

```
If y is None, then run a test-time forward pass of the model and return:
     scores: Array of shape (N, C) giving classification scores,
    scores [i, c] is the classification score for X[i] and class c.
   If y is not None, then run a training—time forward and backward pass and
   return a tuple of
    loss: Scalar value giving the loss
    grads: Dictionary with the same keys as self.params, mapping parameter
    names to gradients of the loss with respect to those parameters.
   # TODO: Implement the forward pass for the two-layer net, computing the
   # class scores for X and storing them in the scores variable
   # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
   W1, b1 = self.params['W1'], self.params['b1']
   W2, b2 = self.params['W2'], self.params['b2']
   # Firstly, do the affine relu forward pass to get the hidden layer
   hidden1, cache1 = affine_relu_forward(X, W1, b1)
   # Secondly, do the affine forward pass
   out, cache2 = affine_forward(hidden1, W2, b2)
   scores = out
   # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   END OF YOUR CODE
   # If y is None then we are in test mode so just return scores
   if y is None:
      return scores
   loss, grads = 0, \{\}
                  <del>.</del>
   # TODO: Implement the backward pass for the two-layer net. Store the loss #
   \# in the loss variable and gradients in the grads dictionary. Compute data \#
   # loss using softmax, and make sure that grads[k] holds the gradients for
   # self.params[k]. Don't forget to add L2 regularization!
   # NOTE: To ensure that your implementation matches ours and you pass the
   # automated tests, make sure that your L2 regularization includes a factor #
   # of 0.5 to simplify the expression for the gradient.
   # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
   # Use softmax to calculate the loss and gradient dout.
   loss, dout = softmax loss(scores, y)
   \# Based on the upstream gradient dout, use affine_backward to get the first downstream gradient.
   dX2, dW2, db2 = affine backward(dout, cache2)
   # Based on the first downstream gradient dX2, use affine relu backward to get the second
downstream gradient
   dX1, dW1, db1 = affine relu backward(dX2, cache1)
   loss += 0.5 * self.reg * (np.sum(W1 * W1) + np.sum(W2 * W2))
   dW2 += self.reg * W2
   dW1 += self.reg * W1
   grads['W1'] = dW1
   grads['b1'] = db1
   grads['W2'] = dW2
   grads['b2'] = db2
   # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   END OF YOUR CODE
```

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125

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129

130

131 132

133 134

135

136 137

138

139

140

141 142 143

145

146

```
148
149
                  return loss, grads
151
152
153
     class FullyConnectedNet(object):
154
            A fully—connected neural network with an arbitrary number of hidden layers ,
155
           ReLU nonlinearities, and a softmax loss function. This will also implement
156
            dropout and batch/layer normalization as options. For a network with L layers,
157
158
            the architecture will be
159
            \{\mathsf{affine} - [\mathsf{batch/layer} \ \mathsf{norm}] - \mathsf{relu} - [\mathsf{dropout}]\} 	imes (\mathsf{L}-1) - \mathsf{affine} - \mathsf{softmax} \}
160
161
            where \mathsf{batch/layer} normalization and dropout are optional, and the \{\ldots\} block is
162
            repeated L-1 times.
163
164
            Similar to the TwoLayerNet above, learnable parameters are stored in the
165
            self.params dictionary and will be learned using the Solver class.
166
167
168
            \label{lem:def_init} $$ def __init__(self, hidden_dims, input_dim=3*32*32, num_classes=10, 
169
                                 dropout=1, normalization=None, reg=0.0,
170
                                 weight scale=1e-2, dtype=np.float32, seed=None):
171
172
                  Initialize a new FullyConnectedNet.
173
174
175
                  Inputs:
                   – hidden dims: A list of integers giving the size of each hidden layer.
176
177
                  input_dim: An integer giving the size of the input.
                     num_classes: An integer giving the number of classes to classify.
178
                     dropout: Scalar between 0 and 1 giving dropout strength. If dropout=1 then
179
                     the network should not use dropout at all
180
                     normalization: What type of normalization the network should use. Valid values
181
                     are "batchnorm", "layernorm", or None for no normalization (the default).
182
                     reg: Scalar giving L2 regularization strength.
183
                      weight_scale: Scalar giving the standard deviation for random
184
                     initialization of the weights.
185
                    dtype: A numpy datatype object; all computations will be performed using
186
                     this datatype. float32 is faster but less accurate, so you should use
187
                      float64 for numeric gradient checking
188
                     seed: If not None, then pass this random seed to the dropout layers. This
189
                     will make the dropout layers deteriminstic so we can gradient check the
190
                     model.
191
192
                  self.normalization = normalization
193
                  self.use_dropout = dropout != 1
194
                  self.reg = reg
195
196
                  self.num layers = 1 + len(hidden dims)
                  self.dtype = dtype
197
                  self.params = \{\}
198
199
                  200
201
                  # TODO: Initialize the parameters of the network, storing all values in
                  \# the <code>self.params</code> dictionary. Store weights and biases for the first layer \#
202
203
                  # in W1 and b1; for the second layer use W2 and b2, etc. Weights should be
                  # initialized from a normal distribution centered at 0 with standard
204
                  # deviation equal to weight scale. Biases should be initialized to zero
205
206
                  \# When using batch normalization, store scale and shift parameters for the \# first layer in gamma1 and beta1; for the second layer use gamma2 and
207
208
                  # beta2, etc. Scale parameters should be initialized to ones and shift
209
210
                  # parameters should be initialized to zeros
                  211
                  # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
212
213
                  all dims = [input dim] + hidden_dims + [num_classes]
214
                  for i in range (len (all dims) -1):
215
                         self.params[\ 'W' \ + \ str(i \ + \ 1)] = np.random.normal(0.0, \ weight\_scale, \ (all\_dims[i], \ all\_dims[i] \ + \ (all\_dims[i], \ all\_dims[i], \ all\_dim
216
             1]))
                         self.params['b' + str(i + 1)] = np.zeros((1, all dims[i + 1]))
217
218
                        \# If we haven't reached the final output layer, there may be a normalization layer
                         if i = self.num layers -1:
220
                                if self.normalization != None:
221
                                      self.params['gamma' + str(i + 1)] = np.ones((1, all_dims[i + 1]))
222
```

```
self.params['beta' + str(i + 1)] = np.zeros((1, all dims[i + 1]))
   # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
   END OF YOUR CODE
   # When using dropout we need to pass a dropout param dictionary to each
   # dropout layer so that the layer knows the dropout probability and the mode
   # (train / test). You can pass the same dropout param to each dropout layer.
   self.dropout param = \{\}
   if self.use dropout:
       self.dropout_param = {'mode': 'train', 'p': dropout}
       if seed is not None:
          self.dropout_param['seed'] = seed
   # With batch normalization we need to keep track of running means and
   \# variances, so we need to pass a special bn param object to each batch
   # normalization layer. You should pass self.bn params[0] to the forward pass
   # of the first batch normalization layer, self.bn params[1] to the forward
   # pass of the second batch normalization layer, etc.
   self.bn params = []
   if self.normalization=='batchnorm':
       self.bn\_params = [\{ \ 'mode' \colon \ 'train' \} \ for \ i \ in \ range(self.num \ layers - 1)]
   if self normalization="layernorm":
       self.bn\_params = [\{\} for i in range(self.num\_layers - 1)]
   # Cast all parameters to the correct datatype
   for k, v in self.params.items():
       self.params[k] = v.astype(dtype)
def loss(self, X, y=None):
   Compute loss and gradient for the fully-connected net.
   Input / output: Same as TwoLayerNet above
   X = X.astype(self.dtype)
   mode = 'test' if y is None else 'train'
   \# Set train/test mode for batchnorm params and dropout param since they
   # behave differently during training and testing.
   if self.use dropout:
       self.dropout_param['mode'] = mode
      self.normalization=='batchnorm'
       for bn_param in self.bn_params:
          bn param['mode'] = mode
   scores = None
   # TODO: Implement the forward pass for the fully—connected net, computing
   # the class scores for X and storing them in the scores variable
   # When using dropout, you'll need to pass self.dropout_param to each
   # dropout forward pass.
   # When using batch normalization, you'll need to pass self.bn params[0] to
   # the forward pass for the first batch normalization layer, pass
   \# self.bn_params[1] to the forward pass for the second batch normalization \#
   # laver. etc
   # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
   affine cache = \{\}
   norm cache = \{\}
   relu cache = \{\}
   dropout cache = \{\}
   input param = X
   for i in range (self.num layers -1):
      W, b = self.params['W' + str(i + 1)], self.params['b' + str(i + 1)]
       if self.normalization == 'batchnorm':
          # the first part is affine — batchnorm — relu
```

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294

296

297

```
affine outcome, affine cache [i + 1] = affine forward (input param, W, b)
299
                                  norm\_outcome, norm\_cache[i+1] = batchnorm\_forward(affine\_outcome, gamma, beta, self.
300
            bn params[i])
                                  relu_outcome, relu_cache[i + 1] = relu_forward(norm_outcome)
301
302
303
                           elif self.normalization == 'layernorm':
                                 # the first part is affine
                                                                                  layernorm — relu
304
                                  gamma, beta = self.params['gamma' + str(i + 1)], self.params['beta' + str(i + 1)]
305
                                  affine outcome, affine cache[i + 1] = affine forward(input_param, W, b)
306
                                  norm outcome, norm cache [i + 1] = layernorm forward (affine outcome, gamma, beta, self.
307
            bn params[i])
                                  relu_outcome, relu_cache[i + 1] = relu_forward(norm_outcome)
308
309
                           else:
310
                                 # the first part is affine — relu
311
                                  {\sf relu\_outcome} \;,\; ({\sf affine\_cache} [\; i \; + \; 1] \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf relu\_cache} [\; i \; + \; 1]) \; = \; {\sf affine\_relu\_forward} ({\sf input\_param} \;,\; {\sf input\_param} \;,\; 
312
            W, b)
313
                           if self.use dropout:
314
315
                                 dropout outcome, dropout cache [i + 1] = dropout forward (relu outcome, self.dropout param)
316
                           # Update input
317
                          input_param = dropout_outcome if self.use_dropout else relu_outcome
318
319
                   # Get the last layer
320
                   scores, last cache = affine_forward(input_param,
321
                                                                                      self.params['W'+str(self.num layers)],
322
                                                                                      self.params['b'+str(self.num_layers)])
323
324
                   # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
325
                   326
                                                                         END OF YOUR CODE
327
                   328
329
330
                   # If test mode return early
                    if mode == 'test':
331
332
                           return scores
333
334
                   loss, grads = 0.0, \{\}
                                                       <del>.</del>
335
                   \# TODO: Implement the backward pass for the fully—connected net. Store the \#
336
337
                   \# loss in the loss variable and gradients in the grads dictionary. Compute \#
                   # data loss using softmax, and make sure that grads[k] holds the gradients #
338
                   # for self.params[k]. Don't forget to add L2 regularization!
340
                   # When using batch/layer normalization, you don't need to regularize the scale
341
                   # and shift parameters.
342
343
344
                   # NOTE: To ensure that your implementation matches ours and you pass the
                   # automated tests, make sure that your L2 regularization includes a factor
                                                                                                                                                        #
345
                   # of 0.5 to simplify the expression for the gradient
346
                   347
                   # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
348
340
                   # Use softmax to calculate the loss and gradient dout.
350
351
                   loss, dout = softmax loss(scores, y)
352
353
                    reg loss = 0
                   for i in range(self.num_layers):
354
                          reg loss += 0.5 * self.reg * np.sum(np.square(self.params['W' + str(i+1)]))
355
                    loss += reg_loss
357
358
                   \# Based on the upstream gradient dout, use affine backward to get the first downstream gradient.
359
                   dX, dW, db = affine backward(dout, last cache)
360
                   dW += self.reg * self.params['W' + str(self.num layers)]
361
                   grads['W'+str(self.num layers)] = dW
362
                    grads['b'+str(self.num layers)] = db
363
364
                    for i in range (self.num layers -1, 0, -1):
365
366
                           if self.use_dropout:
                                     If there is a dropout at the end
367
                                  dX = dropout\_backward(dX, dropout\_cache[i])
369
                          dX = relu \ backward(dX, relu \ cache[i])
370
371
```

```
if self.normalization == 'batchnorm':
372
                # If there is a batchnorm in the middle
373
374
                dX, dgamma, dbeta = batchnorm backward(<math>dX, norm cache[i])
                grads['gamma'+str(i)] = dgamma
375
                grads['beta'+str(i)] = dbeta
376
             elif self.normalization == 'layernorm':
377
                # If there is a layernorm in the middle
378
                dX, dgamma, dbeta = layernorm_backward(dX, norm_cache[i])
379
                grads['gamma'+str(i)] = dgamma
380
                grads['beta'+str(i)] = dbeta
381
382
             dX, dW, db = affine_backward(dX, affine_cache[i])
383
384
             dW += self.reg * self.params['W' + str(i)]
385
             grads['W'+str(i)] = dW
387
             grads['b'+str(i)] = db
388
389
390
         # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
391
         392
393
                                    END OF YOUR CODE
         394
395
         return loss, grads
396
```

3 optim.py

```
1 import numpy as np
  0.00
  This file implements various first-order update rules that are commonly used
5 for training neural networks. Each update rule accepts current weights and the
6 gradient of the loss with respect to those weights and produces the next set of
  weights. Each update rule has the same interface:
  def update(w, dw, config=None):
10
11 Inputs:
12
    - w: A numpy array giving the current weights
    - dw: A numpy array of the same shape as w giving the gradient of the
13
      loss with respect to w.
14
      config: A dictionary containing hyperparameter values such as learning
15
      rate, momentum, etc. If the update rule requires caching values over many
16
      iterations, then config will also hold these cached values.
17
18
19
20

    next w: The next point after the update.

      config: The config dictionary to be passed to the next iteration of the
21
22
      update rule
23
24 NOTE: For most update rules, the default learning rate will probably not
25 perform well; however the default values of the other hyperparameters should
26
  work well for a variety of different problems.
28 For efficiency, update rules may perform in-place updates, mutating w and
  setting next w equal to w.
30
31
32
  def sgd(w, dw, config=None):
33
34
      Performs vanilla stochastic gradient descent.
35
36
      config format:
37
       learning_rate: Scalar learning rate.
38
39
      if config is None: config = \{\} config.setdefault('learning_rate', 1e-2)
40
41
42
      w -= config['learning rate'] * dw
43
44
      return w, config
45
46
      sgd momentum(w, dw, config=None):
47
48
      Performs stochastic gradient descent with momentum.
49
50
51
      config format:

    learning rate: Scalar learning rate.

52
      — momentum: Scalar between 0 and 1 giving the momentum value
53
        Setting momentum = 0 reduces to sgd.
54
        velocity: A numpy array of the same shape as w and dw used to store a
55
        moving average of the gradients
56
57
      if config is None: config = \{\}
58
      config.setdefault ('learning_rate', 1e-2) config.setdefault ('momentum', 0.9)
59
60
      v = config.get('velocity', np.zeros_like(w))
61
62
      next w = None
63
      64
      \# TODO: Implement the momentum update formula. Store the updated value in \#
65
      # the next w variable. You should also use and update the velocity v.
66
      67
68
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
69
      v = config['momentum'] * v - config['learning rate'] * dw
70
      w += v
71
72
      next w = w
73
```

```
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
74
      75
                                  END OF YOUR CODE
76
      77
      config['velocity'] = v
78
79
      return next w, config
80
81
82
83
   def rmsprop(w, dw, config=None):
84
85
86
      Uses the RMSProp update rule, which uses a moving average of squared
      gradient values to set adaptive per-parameter learning rates.
87
89
      config format:
        learning rate: Scalar learning rate.
90
      - decay rate: Scalar between 0 and 1 giving the decay rate for the squared
91
        gradient cache
92
93
      {	extstyle -} epsilon: Small scalar used for smoothing to avoid dividing by zero.
        cache: Moving average of second moments of gradients.
94
95
      if config is None: config = \{\}
96
      config.setdefault('learning rate', 1e-2)
97
      config.setdefault('decay_rate', 0.99)
98
      config.setdefault('epsilon', 1e-8)
config.setdefault('cache', np.zeros_like(w))
99
100
101
      next w = None
102
      103
      # TODO: Implement the RMSprop update formula, storing the next value of w #
104
      # in the next w variable. Don't forget to update cache value stored in
105
      # config['cache']
106
      107
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
108
109
      config['cache'] = config['decay_rate'] * config['cache'] + (1 - config['decay_rate']) * dw**2
110
      w += - config['learning_rate'] * dw / (np.sqrt(config['cache']) + config['epsilon'])
111
112
      next w = w
113
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
114
      115
                                  END OF YOUR CODE
116
      117
118
      return next w, config
119
120
121
      adam(w, dw, config=None):
122
123
      Uses the Adam update rule, which incorporates moving averages of both the
124
      gradient and its square and a bias correction term.
125
126
127
      config format:
        learning rate: Scalar learning rate.
128
129
        beta1: Decay rate for moving average of first moment of gradient

    beta2: Decay rate for moving average of second moment of gradient

130
       - epsilon: Small scalar used for smoothing to avoid dividing by zero.
131

    m: Moving average of gradient.

132
       v: Moving average of squared gradient.
133
        t: Iteration number
134
135
136
      if config is None: config = \{\}
      config.setdefault ('learning_rate', 1e-3) config.setdefault ('beta1', 0.9) config.setdefault ('beta2', 0.999)
137
138
139
      config.setdefault('epsilon', 1e-8)
140
      config.setdefault('m', np.zeros_like(w))
141
      config.setdefault('v', np.zeros_like(w))
config.setdefault('t', 0)
142
143
144
      next w = None
145
      146
      # TODO: Implement the Adam update formula, storing the next value of w in #
147
      \# the next w variable. Don't forget to update the m, v, and t variables
148
      # stored in config.
149
```

```
# NOTE: In order to match the reference output, please modify t before
151
152
      # using it in any calculations
      153
      # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
154
155
      \begin{array}{ll} m = \; config\left[\,{}^{\prime}m^{\prime}\,\right] \\ v = \; config\left[\,{}^{\prime}v^{\,\prime}\,\right] \end{array}
156
157
       beta1 = config['beta1']
158
       beta2 = config['beta2']
159
       learning_rate = config['learning_rate']
160
       epsilon = config['epsilon']
161
       t = config['t'] + 1
162
163
164
      m = beta1*m + (1-beta1)*dw
      mt = m / (1-beta1**t)
165
      v = beta2*v + (1-beta2)*(dw**2)
166
      vt = v / (1-beta2**t)
167
      w += - learning_rate * mt / (np.sqrt(vt) + epsilon)
168
169
      next_w = w
170
      config['m'] = m
config['v'] = v
config['t'] = t
171
172
173
174
      # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
175
      176
                                    END OF YOUR CODE
177
      178
179
       return next w, config
180
```

4 cnn.py

```
1 from builtins import object
  import numpy as np
4 from cs231n.layers import *
5 from cs231n.fast layers import *
6 from cs231n.layer utils import *
  class ThreeLayerConvNet(object):
10
      A three-layer convolutional network with the following architecture:
11
12
      conv - relu - 2x2 max pool - affine - relu - affine - softmax
13
14
      The network operates on minibatches of data that have shape (N, C, H, W)
15
      consisting of N images, each with height H and width W and with C input
16
      channels.
17
18
      def __init__(self, input_dim=(3, 32, 32), num_filters=32, filter size=7,
20
                  hidden dim=100, num classes=10, weight scale=1e-3, reg=0.0,
21
22
                  dtype=np.float32):
23
24
          Initialize a new network
25
26
         Inputs
          - input dim: Tuple (C, H, W) giving size of input data
27
          - num \overline{} filters: Number of filters to use in the convolutional layer
28
          filter_size: Width/height of filters to use in the convolutional layer
           hidden dim: Number of units to use in the fully-connected hidden layer
30
           num classes: Number of scores to produce from the final affine layer
31
         - weight scale: Scalar giving standard deviation for random initialization
32
           of weights.
33

    reg: Scalar giving L2 regularization strength

34
           dtype: numpy datatype to use for computation.
35
          self.params = \{\}
37
          self.reg = reg
38
          self.dtype = dtype
30
40
         41
         # TODO: Initialize weights and biases for the three-layer convolutional
42
         # network. Weights should be initialized from a Gaussian centered at 0.0
43
         # with standard deviation equal to weight_scale; biases should be
44
         # initialized to zero. All weights and biases should be stored in the
45
            dictionary self.params. Store weights and biases for the convolutional
46
         # layer using the keys 'W1' and 'b1'; use keys 'W2' and 'b2' for the
47
         # weights and biases of the hidden affine layer, and keys 'W3' and 'b3
48
         # for the weights and biases of the output affine layer.
                                                                                  #
49
50
         # IMPORTANT: For this assignment, you can assume that the padding
51
         # and stride of the first convolutional layer are chosen so that
52
         \# **the width and height of the input are preserved **. Take a look at
53
         # the start of the loss() function to see how that happens.
54
         55
         # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
56
57
         C, H, W = input dim
58
          self.params [ 'Wl' ] = weight\_scale * np.random.random(num\_filters, C, filter\_size, filter\_size) \\ self.params [ 'bl' ] = np.zeros((1, num\_filters))
59
60
61
         # 2x2 max pool reduces the width and height by half
          self.params['W2'] = weight scale * np.random.randn(num filters * H * W // (2 * 2), hidden dim)
63
          self.params['b2'] = np.zeros((1, hidden_dim))
64
65
          self.params['W3'] = weight scale * np.random.randn(hidden dim, num classes)
66
          self.params['b3'] = np.zeros((1, num classes))
67
68
         # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
69
         70
                                      END OF YOUR CODE
71
72
         73
```

```
for k, v in self.params.items():
 74
                        self.params[k] = v.astype(dtype)
 75
 77
           def loss(self , X , y=None):
 78
 79
                 Evaluate loss and gradient for the three-layer convolutional network
 80
 81
                 Input / output: Same API as TwoLayerNet in fc net.py.
 82
 83
                 W1, b1 = self.params['W1'], self.params['b1']
W2, b2 = self.params['W2'], self.params['b2']
 84
                                                         ], self.params[
 85
                 W3, b3 = self.params['W3'], self.params['b3']
 86
 87
                 # pass conv param to the forward pass for the convolutional layer
                 # Padding and stride chosen to preserve the input spatial size
 89
                 filter size = W1.shape[2]
 90
                 conv param = \{'stride': 1, 'pad': (filter size - 1) // 2\}
 91
 92
 93
                 # pass pool param to the forward pass for the max-pooling layer
                 pool param = {'pool height': 2, 'pool width': 2, 'stride': 2}
 94
 95
 96
                 scores = None
                 97
                 # TODO: Implement the forward pass for the three—layer convolutional net,
 98
                 # computing the class scores for X and storing them in the scores
99
100
101
                 # Remember you can use the functions defined in cs231n/fast layers.py and
102
                 # cs231n/layer_utils.py in your implementation (already imported).
103
                 104
                 # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
105
106
                 {\tt conv} \ \ {\tt relu} \ \ {\tt pool} \ \ {\tt outcome} \ , \ \ {\tt conv} \ \ {\tt relu} \ \ {\tt pool} \ \ {\tt forward} \ ({\tt X}, \ {\tt W1}, \ {\tt b1} \ , \ {\tt conv} \ \ {\tt param} \ ,
107
           pool param)
                 affine_relu_outcome, affine_relu_cache = affine_relu_forward(conv_relu_pool_outcome, W2, b2)
108
                 scores, last_cache = affine_forward(affine_relu_outcome, W3, b3)
109
110
111
                 # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) ****
112
                 113
                                                                END OF YOUR CODE
114
                 115
                 if y is None:
117
                        return scores
118
119
                 loss, grads = 0, \{\}
120
                 121
                 # TODO: Implement the backward pass for the three-layer convolutional net, #
122
                 \# storing the loss and gradients in the loss and grads variables. Compute
123
                 \# data loss using softmax, and make sure that grads[k] holds the gradients \#
124
                 # for self.params[k]. Don't forget to add L2 regularization!
125
126
                 # NOTE: To ensure that your implementation matches ours and you pass the
127
128
                 # automated tests, make sure that your L2 regularization includes a factor
                 \# of 0.5 to simplify the expression for the gradient.
129
                 130
                 # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE) *****
131
132
                 loss, dout = softmax loss(scores, y)
133
                 reg\_loss = 0.5 * sel\overline{f}.reg * (np.sum(np.square(self.params['W1'])) + np.sum(np.square(self.params['w1'])) + np.sum(np.square(self.p
134
          W2'])) +
                                                                              np.sum(np.square(self.params['W3'])))
135
                 loss += reg loss
136
                 dX3, dW3, db3 = affine backward(dout, last cache)
137
                 dX2, dW2, db2 = affine relu backward(dX3, affine relu cache)
138
                 dX1, dW1, db1 = conv relu pool backward (dX2, conv relu pool cache)
139
140
                 dW1 += self.reg * self.params['W1'
141
                 dW2 += self.reg * self.params['W2']
142
                 dW3 += self.reg * self.params['W3']
143
                 grads['W1'] = dW1
grads['b1'] = db1
145
146
                 grads['W2'] = dW2
147
```

```
\begin{array}{l} \texttt{grads} \left[ \begin{tabular}{l} \mathsf{b2} \end{tabular} \right] &=& \mathsf{db2} \\ \texttt{grads} \left[ \begin{tabular}{l} \mathsf{W3} \end{tabular} \right] &=& \mathsf{dW3} \\ \texttt{grads} \left[ \begin{tabular}{l} \mathsf{b3} \end{tabular} \right] &=& \mathsf{db3} \end{array}
148
149
150
151
152
153
                 154
155
                                                                  END OF YOUR CODE
156
                  157
158
159
                 return loss, grads
```