## An executable semantics for CompCert

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#### Introduction

In the CerCo project we've been working on

the construction of a formally verified complexity preserving compiler from a large subset of C to some typical microcontroller assembly

Inspired by (and borrowing a little from) Leroy et al's CompCert.

They define languages by small-step inductive definitions. We define language with executable interpreters.

Executable semantics are easier to test.

Can we retrofit executable semantics to CompCert and find out anything interesting?

C is quirky, flawed, and an enormous success.

- dmr, HOPL'93.

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Annoying corner cases:

```
int x[];
int main() { return x[0]; }
```

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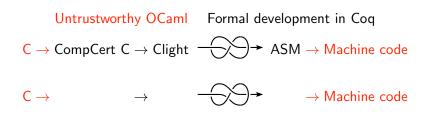
#### The latter comes in two flavours:

- 1. A non-deterministic version (the intended input language)
- 2. A deterministic version (what the compiler actually does)

Untrustworthy OCaml Formal development in Coq 
$$\mathsf{C} \to \mathsf{CompCert} \ \mathsf{C} \to \mathsf{Clight} \quad \xrightarrow{\hspace*{1cm}} \mathsf{ASM} \to \mathsf{Machine} \ \mathsf{code}$$

Coq sections get 'extracted' to OCaml for execution.

There's a formal proof in the middle, but the edges are a bit worrying.



Normal testing tries all of the code.

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Proofs exercise the formal development.

► Tactical interactive theorem proving helps you notice bad definitions

With an executable semantics we can test the first part.

- Holes in the specification can mask holes in the proof
- ▶ Also get to play 'spot the undefined behaviour' game

# Constructing the executable semantics

#### CompCert provides us with a head start:

- ▶ the memory model is executable,
- local and global environments are defined in terms of functions,
- ▶ the semantics of operators such as +, ==, etc are defined by functions,
- an error monad is available for failing.

In particular, environments are used by the compiler, so they are also fairly efficient.

## Constructing the executable semantics

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```
Inductive lred: expr -> mem -> expr -> mem -> Prop :=
  | red_var_local: forall x ty m b,
     e!x = Some(b, ty) \rightarrow
     lred (Evar x ty) m
          (Eloc b Int.zero ty) m
. . .
Definition exec_lred (e:expr) (m:mem) : res (expr * mem) :=
match e with
| Evar x ty =>
   match en!x with
    | Some (b, ty') => match type_eq ty ty' with
                        | left _ => OK (Eloc b Int.zero ty, m)
                        | right _ => Error (msg "type mismatch")
                       end
. . .
```

# Constructing the executable semantics — non-determinism

We encode strategies as functions

```
expr -> kind * expr * (expr -> expr)
```

and require that it really does give a subexpression and context.

#### Doesn't cover all strategies:

- Implementations could use contextual information, randomness. . .
- various methods can solve this, but not terribly important here

# Constructing the executable semantics — stuck subexpressions

The non-deterministic semantics check for stuck subexpressions.

- picks up non-terminating programs with undefined behaviour
- example where f does not terminate:

$$f() + (10 / x)$$
 with  $x = 0$ 

- should be able to get stuck after substituting x
- but without check we can always reduce f()

Naïve implementation would be inefficient:

any subexpression in an evaluation context is either a value, or has a further subexpression that is reducible

but there is a nice structurally recursive version.

# Soundness and completeness

We want to know that the executable semantics does the same thing as the original semantics.

- (mostly boring) inductive proofs
- Coq's Function feature for generating induction principles tailored to particular functions is great, but still a bit limited

#### Caveats apply to completeness:

- Limitations on strategies cheat by single-stepping
- No I/O (CerCo uses a resumption monad for I/O.)

# Strategies and the deterministic semantics

Two variants have been implemented:

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#### Completeness proof interesting:

- ▶ Deterministic semantics has big-step for 'simple' expressions
- Proof shows that this really does correspond to non-deterministic

#### OCaml driver code

#### Complete the interpreter with some untrustworthy OCaml:

- 1. Repeat the Coq step function until the program stops or fails.
- 2. Add optional code to work around bugs
  - don't need to fix them properly
  - don't need to prove anything
- Also good for hacks: memcpy, printf, ...
   Implement things outside of CompCert's model of C.

## Testing — function pointers

The example that I originally wanted to try.

```
int zero(void) { return 0; }

int main(void) {
   int (*f)(void) = zero;
   return f();
}

$ ../compcert-git-badfn/cexec fnptr-simple.c
stuck expression: function value hasn't a function type
```

The function call rule requires f to evaluate directly to a function, not a pointer.

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```

Fixing this is easy — the compiler already had the correct type check!

And the proof scripts got shorter.

Random program generator by Yang et al from U. Utah.

- ► Targets 'middle-end' bugs
- Regular testing only found bugs in untrustworthy OCaml code
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```
int8_t lshift_func_int8_t_s_s(int8_t left, int right)
{
   return
      ((left < 0) ||
       (((int)right) < 0) ||
       (((int)right) >= 32) ||
       (left > (INT8_MAX >> ((int)right)))) ?

   left :
      (left << ((int)right));
}</pre>
```

Semantics is missing arithmetic conversion for ?;.

But the compiler works on this example, because 'all' integers are 32 bits.

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double f(int x, int a, double b) {
  return x ? a : b;
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#### The compiler is missing the conversion too:

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$ ../compcert-git/ccomp conditional.c
Error during RTL type inference: type mismatch
In function main: RTL type inference error
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We made a failing test-case from a working one.

## Testing — gcc-torture

An executable subset of GCC's C test suite, pre-filtered by another executable semantics project (kcc from U. Illinois). Lots of fun:

- ▶ lack of initialisation
  - 1. only in the semantics, and
  - 2. not in the compiler in OCaml
- ► a little array/pointer confusion (OCaml)
- incomplete array type mismatches (both, kind of)
- Missing trivial cases for cast (semantics, fixed already)
- pointer comparisons (semantics, intentional limitation)
- bad line numbers in errors (OCaml)
  - not helped by OCaml's non-deterministic evaluation order...

#### Related work

#### CompCert response

- bugs fixed, sometimes before I found them
- fresh interpreter implementation
  - inspired by this work, but different: finds all possible redexes, turns out smaller and neater; doesn't explicitly do deterministic semantics

#### Lots of other executable semantics exist

- kcc, CompCertTSO, some JVMs, . . .
- often the natural way to use a system (e.g., ACL2)
   Milner and Weyhrauch 1972

#### More fun things you can do

- Add I/O, full program evaluation
- Check for coverage

#### Conclusions

Took an existing verified compiler,

- added an executable version of the semantics,
- found bugs through testing,
  - \* including a bug in the formalized front-end
  - ★ even though the original test-case is compiled properly
- useful for illustrating limitations of the semantics, especially ones you didn't know about,
- showed that the semantics cope with a large group of tests,
- showed a connection between the original deterministic and non-deterministic semantics.