



Zero Knowledge Virtual Machine

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First Example: The Fibonacci State Machine i

- We can build the Fibonacci state machine with two registries, A and B .
- In the Fibonacci sequence, we have the following relations between the states of these registries:

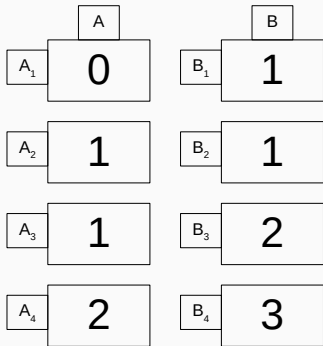
$$A_{i+1} = B_i,$$

$$B_{i+1} = A_i + B_i.$$

- Let's represent the states of these registries for four steps as polynomials in $\mathbb{Z}_p[x]$ evaluated on the group $H = \{\omega, \omega^2, \omega^3, \omega^4 = 1\}$:

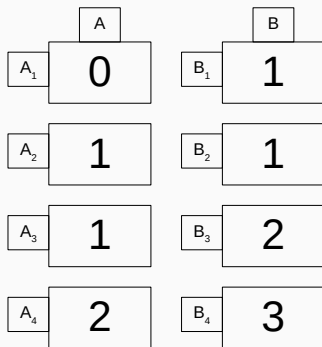
$$A(\omega^i) = A_i \implies A = [0, 1, 1, 2]$$

$$B(\omega^i) = B_i \implies B = [1, 1, 2, 3]$$



First Example: The Fibonacci State Machine ii

- The relations between the states of registries:



$$A_{i+1} = B_i,$$

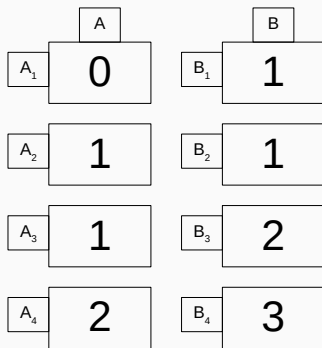
$$B_{i+1} = A_i + B_i,$$

for $i \in [4]$.

- Are translated into relations (A.K.A identities) in the polynomial setting:

$$\begin{aligned} A(x\omega) &= \left| \begin{array}{c} B(x) \\ H \end{array} \right. \\ B(x\omega) &= \left| \begin{array}{c} A(x) + B(x) \\ H \end{array} \right. \end{aligned}$$

First Example: The Fibonacci State Machine iii



- So we have:

$$A(x\omega) = \left| \begin{array}{c} B(x) \\ H \end{array} \right|, \quad B(x\omega) = \left| \begin{array}{c} A(x) + B(x) \\ H \end{array} \right|.$$

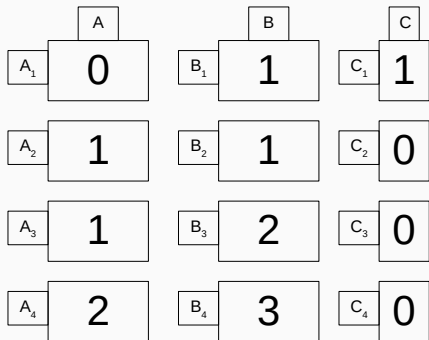
- However, the previous identities do not correctly and uniquely describe our sequence because:
 1. When we evaluate the identities in ω^4 :

$$\begin{aligned} A(\omega^5) = A(\omega) = 0 &\neq 3 = B(\omega^4), \\ B(\omega^5) = B(\omega) = 1 &\neq 5 = A(\omega^4) + B(\omega^4). \end{aligned}$$

The equations are not cyclic.

2. Other initial conditions also fulfill the identities, e.g: (2, 3), (3, 5), (5, 8), (8, 13).

First Example: The Fibonacci State Machine iv



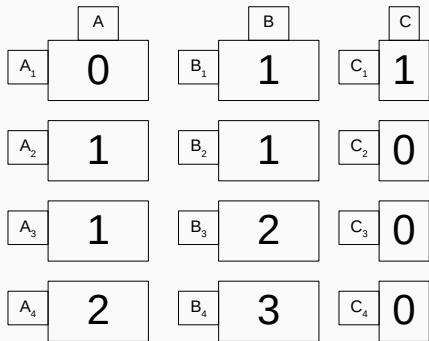
- Let's add an auxiliary registry C to solve these problems.
- The corresponding polynomial is:

$$C(\omega^i) = C_i \implies C = [1, 0, 0, 0].$$

- With this auxiliary registry, we can now fix the polynomial identities as follows:

$$\begin{aligned} A(x\omega) &= \Big|_H B(x)(1 - C(x\omega)), \\ B(x\omega) &= \Big|_H (A(x) + B(x))(1 - C(x\omega)) + C(x\omega). \end{aligned}$$

First Example: The Fibonacci State Machine v



$C(x)$ is publicly known (A.K.A **pre-processed** or **constant**).

- Note that now at $x = w^4$ the identities are satisfied:

$$A(x\omega) = \left|_H B(x)(1 - C(x\omega)),\right.$$

$$B(x\omega) = \left|_H (A(x) + B(x))(1 - C(x\omega)) + C(x\omega).\right.$$

$$A(\omega^4\omega) = A(\omega^5) = A(\omega) = 0,$$

$$B(\omega^4\omega) = B(\omega^5) = B(\omega) = 1.$$

- We can also use other initial conditions (A_0, B_0) :

$$A(x\omega) = \left|_H B(x)(1 - C(x\omega)) + A_0C(x\omega),\right.$$

$$B(x\omega) = \left|_H (A(x) + B(x))(1 - C(x\omega)) + B_0C(x\omega).\right.$$

Proving our State Machine (High Level) i

$$p_1(x) = A(x\omega) - B(x)(1 - C(x\omega)) - A_0C(x\omega) = \Big|_H 0,$$
$$p_2(x) = B(x\omega) - (A(x) + B(x))(1 - C(x\omega)) - B_0C(x\omega) = \Big|_H 0.$$

- We are going to convert these H -ranged identities into an \mathbb{F} -ranged identities that is valid for any $x \in \mathbb{F}$.
- To do so, we are going to use the **zero polynomial** $Z_H(x)$.
- $Z_H(x)$ is computed as the polynomial that is zero in H :

$$(\omega, 0), (\omega^2, 0), (\omega^3, 0), (\omega^4, 0) \implies Z_H(x) = (x - \omega)(x - \omega^2)(x - \omega^3)(x - \omega^4) = x^4 - 1.$$

Proving our State Machine (High Level) ii

- Notice that $p_1(x)$ and $p_2(x)$ have roots at H .
- That means $Z_H(x) | p_1(x)$ and $Z_H(x) | p_2(x)$ because $(x - \omega)$, $(x - \omega^2)$, etc. are monomials of $p_1(x)$ and $p_2(x)$.
- Now we can compute $d_1(x) = p_1(x)/Z_H(x)$ and $d_2(x) = p_2(x)/Z_H(x)$.
- The identities that need to be checked are $p_1(x) - d_1(x)Z_H(x) = 0$ and $p_2(x) - d_2(x)Z_H(x) = 0$ for any $x \in \mathbb{F}$.

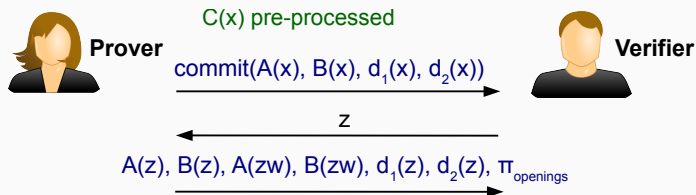


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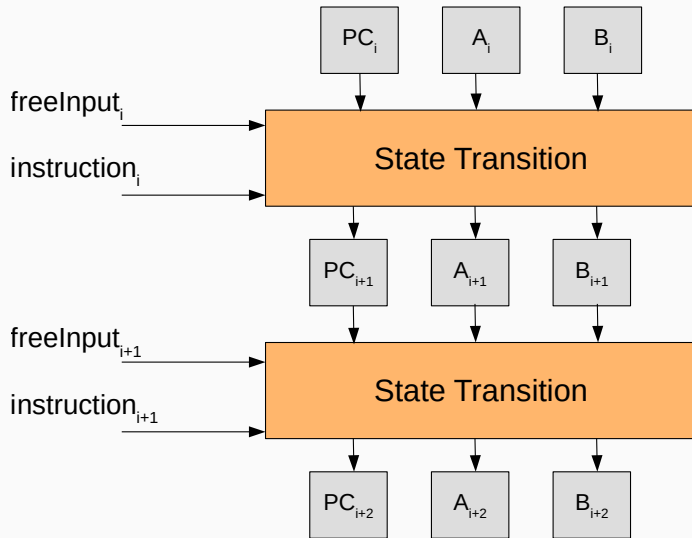
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Simplified State Machine



Example Program: Moving & Jumping i

- Let's assume we have the following program:

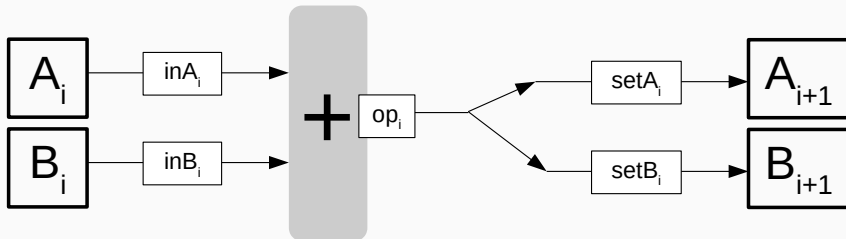
Position	Instruction	
0	MOV	A, 7
1	JMP (if A = 0)	5
2	MOV	B, 3
3	MOV	A, 0
4	JMP	1
5	STOP	∅

Example Program: Moving & Jumping ii

- This program has the following trace:

Position	Instruction		PC_i	A_i	B_i	PC_{i+1}	A_{i+1}	B_{i+1}
0	MOV	$A, 7$	0	0	0	1	7	0
1	JMP (if $A = 0$)	5	1	7	0	2	7	0
2	MOV	$B, 3$	2	7	0	3	7	3
3	MOV	$A, 0$	3	7	3	4	0	3
4	JMP	1	4	0	3	1	0	3
5	JMP (if $A = 0$)	5	1	0	3	5	0	3
6	STOP	\emptyset	5	0	3	5	0	3

Expressing the Relations between the States i



- Here, we use the following notation:
 - a) inX_i : 1 or 0 depending if the state X_i is included in the sum or not.
 - b) op_i : The resulting operation between the included states.
 - c) $setX_i$: 1 or 0 depending if op_i will be moved into X_{i+1} .

Expressing the Relations between the States ii

- The relations between the states of the registries can be expressed as follows:

$$op_i = A_i \cdot inA_i + B_i \cdot inB_i + FREE_i \cdot inFREE_i,$$

$$A_{i+1} = setA_i \cdot (op_i - A_i) + A_i,$$

$$B_{i+1} = setB_i \cdot (op_i - B_i) + B_i,$$

$$PC_{i+1} = PC_i + 1 + (isJMP_i + isJMPC_i \cdot isSatisfied_i) \cdot (dest - PC_i - 1).$$

- Here:
 - $FREE$ is the second input passed to the **MOV** instruction.
 - $dest$ is the input passed to the **JMP** or the **JMPC** (conditioned) instructions.

How to Encode the Move State Machine i

- Let's now explain how to encode the instructions included in the program:

MOV A,7 JMP (if A = 0) 5 MOV B,3 MOV A,0 JMP 1 STOP

Instruction		inA	inB	inFREE	setA	setB	isJMP	isJMPC	FREE	dest	Inst. Value
MOV	A, 7	1	0	1	1	0	0	0	7	0	0000.0111.0001101
JMP (if A = 0)	5	0	0	0	0	0	0	1	0	5	0101.0000.1000000
MOV	B, 3	0	1	1	1	0	0	0	3	0	0000.0011.0001110
JMP	1	0	0	0	0	0	0	1	0	1	0001.0000.1000000
STOP	∅	0	0	0	0	0	0	0	0	0	0000.0000.0000000

- Here, we computed the instruction values as follows:

$$\begin{aligned} \text{inst}_i = & \text{inA}_i + 2 \cdot \text{inB}_i + 2^2 \cdot \text{inFREE}_i + 2^3 \cdot \text{setA}_i + 2^4 \cdot \text{setB}_i + 2^5 \cdot \text{isJMP}_i + 2^6 \cdot \text{isJMPC}_i \\ & + 2^{10} \cdot \text{FREE}_i + 2^{14} \cdot \text{dest}_i. \end{aligned}$$

How to Encode the Move State Machine ii

- We can write the previous table values as the following polynomial identity:

$$\begin{aligned} \text{inst}(x) = & \text{inA}(x) + 2 \cdot \text{inB}(x) + 2^2 \cdot \text{inFREE}(x) + 2^3 \cdot \text{setA}(x) + 2^4 \cdot \text{setB}(x) + 2^5 \cdot \text{isJMP}(x) \\ & + 2^6 \cdot \text{isJMPC}(x) + 2^{10} \cdot \text{FREE}(x) + 2^{14} \cdot \text{dest}(x). \end{aligned}$$

- Now, to build the program, every instruction will be uniquely identified by its value and the position of the program in which it is executed.
- We define the polynomial $\text{rom}(x)$ which consists on an instruction value concatenated with its position:

$$\text{rom}(x) = \text{inst}(x) + 2^{18} \cdot \text{position}(x)$$

Representing the State Machine i

- With the support of this encoding, now we can compute the whole trace of the execution of this program:

Position	Instruction		$Rom_i = inst_i + 2^{18} \cdot position_i$
0	MOV	A, 7	0000.0000.0111.0001101
1	JMP (if A = 0)	5	0001.0101.0000.1000000
2	MOV	B, 3	0010.0000.0011.0001110
3	MOV	A, 0	0011.0000.0000.0001101
4	JMP	1	0100.0001.0000.1000000
5	STOP	∅	0101.0000.0000.0000000

Representing the State Machine ii

- We can do the same with the trace of the program:

Position	Instruction		PC_i	A_i	B_i	$instTrace_i = inst_i + 2^{18} \cdot PC_i$
0	MOV	$A, 7$	0	0	0	0000.0000.0111.0001101
1	JMP (if $A = 0$)	5	1	7	0	0001.0101.0000.1000000
2	MOV	$B, 3$	2	7	0	0010.0000.0011.0001110
3	MOV	$A, 0$	3	7	3	0011.0000.0000.0001101
4	JMP	1	4	0	3	0100.0001.0000.1000000
5	JMP (if $A = 0$)	5	1	0	3	0001.0101.0000.1000000
6	STOP	\emptyset	5	0	3	0000.0000.0000.0000000

- The question that arises now is:

How do we actually verify that we are executing the correct program?

- The solution seems obvious: Check that every row of the trace of the execution coincides with some row of the program.
- Then, the question becomes to:

**How do we actually verify that we are executing the correct program
in an efficient manner?**

- We can do it with the Plookup protocol!
- So, to check that the correct program is being executed, we simply have to use Plookup to determine if:

$$\text{instTrace} \subset \text{Rom}$$

- In simple words, the trace being executed is an execution of the actual program if the instruction trace is contained in the ROM of the program.

- The strategy to follow to check the correct execution of a program is:
 1. Encode each distinct instruction of the program in a deterministic and efficient manner.
 2. Represent each instruction in the program in a unique manner by appending the position in the program to it. We obtain the polynomial $rom(x)$.
 3. Similarly, represent each instruction in the trace of the program by appending the program counter to it. We obtain the polynomial $instTrace(x)$.
 4. Use Plookup to prove that the trace of the program is contained in the rom of the program, i.e., prove that $instTrace \subset rom$.

Non-Naive Example Program i

- Let's now work with a real program:

Position	Instruction	
0	FREELOAD	A
1	MOV	B, 3
2	JMP (if B = 0)	6
3	MUL	A, A
4	DEC	B
5	JMP	2
6	STOP	∅

Non-Naive Example Program ii

- This program has the following trace:

Position	Instruction		freeLoad	PC_i	A_i	B_i	PC_{i+1}	A_{i+1}	B_{i+1}
0	FREELOAD	A	10	0	0	0	1	10	0
1	MOV	B, 3	0	1	10	0	2	10	3
2	JMP (if B = 0)	6	0	2	10	3	3	10	3
3	MUL	A, A	0	3	10	3	4	100	3
4	DEC	B	0	4	100	3	5	100	2
5	JMP	2	0	5	100	2	2	100	2
6	JMP (if B = 0)	6	0	2	100	2	3	100	2
7	MUL	A, A	0	3	100	2	4	1000	2
8	DEC	B	0	4	1000	2	5	1000	1
9	JMP	2	0	5	1000	1	2	1000	1
10	JMP (if B = 0)	6	0	2	1000	1	3	1000	1
11	MUL	A, A	0	3	1000	1	4	10000	1
12	DEC	B	0	4	10000	1	5	10000	0
13	JMP	2	0	5	10000	0	2	10000	0
14	JMP (if B = 0)	6	0	2	10000	0	6	10000	0
15	STOP	∅	0	6	10000	0	6	10000	0

Non-Naive Example Program iii

- First, we encode each instruction in hexadecimal as follows:

FREELOAD $A \rightarrow 0x00010000$

MOV $B, n \rightarrow 0x00020000 + n$

JMP (*if* $B = 0$) $n \rightarrow 0x00040000 + n$

JMP $n \rightarrow 0x00080000 + n$

MUL $A, A \rightarrow 0x00100000$

DEC $B \rightarrow 0x00200000$

STOP $\rightarrow 0x00400000$

Position	Instruction		Inst. Value
0	FREELOAD	A	$0x00010000$
1	MOV	$B, 3$	$0x00020003$
2	JMP (<i>if</i> $B = 0$)	6	$0x00040006$
3	MUL	A, A	$0x00100000$
4	DEC	B	$0x00200000$
5	JMP	2	$0x00080002$
6	STOP	\emptyset	$0x00400000$

Checking the Correct Program Execution i

- To prove the correct execution of the program, we have to prove that the trace of the trace is contained in the rom of the program, hence:

Position	Instruction		Inst. Value	$\text{Rom}_i = \text{inst}_i + 2^{32} \cdot \text{position}_i$
0	FREELOAD	A	0x00010000	0x0.00010000
1	MOV	B, 3	0x00020003	0x1.00020003
2	JMP (if B = 0)	6	0x00040006	0x2.00040006
3	MUL	A, A	0x00100000	0x3.00100000
4	DEC	B	0x00200000	0x4.00200000
5	JMP	2	0x00080002	0x5.00080002
6	STOP	∅	0x00400000	0x6.00400000

Checking the Correct Program Execution ii

- On the other side:

Position	Instruction		Inst. Value	freeLoad	PC	A	B	$\text{instTrace}_i = \text{inst}_i + 2^{32} \cdot \text{PC}_i$
0	FREELOAD	A	0x00010000	10	0	0	0	0x0.00010000
1	MOV	B, 3	0x00020003	0	1	10	0	0x1.00020003
2	JMP (if B = 0)	6	0x00040006	0	2	10	3	0x2.00040006
3	MUL	A, A	0x00100000	0	3	10	3	0x3.00100000
4	DEC	B	0x00200000	0	4	100	3	0x4.00200000
5	JMP	2	0x00080002	0	5	100	2	0x5.00080002
6	JMP (if B = 0)	6	0x00040006	0	2	100	2	0x2.00040006
7	MUL	A, A	0x00100000	0	3	100	2	0x3.00100000
8	DEC	B	0x00200000	0	4	1000	2	0x4.00200000
9	JMP	2	0x00080002	0	5	1000	1	0x5.00080002
10	JMP (if B = 0)	6	0x00040006	0	2	1000	1	0x2.00040006
11	MUL	A, A	0x00100000	0	3	1000	1	0x3.00100000
12	DEC	B	0x00200000	0	4	10000	1	0x4.00200000
13	JMP	2	0x00080002	0	5	10000	0	0x5.00080002
14	JMP (if B = 0)	6	0x00040006	0	2	10000	0	0x2.00040006
15	STOP	∅	0x00400000	0	6	10000	0	0x6.00400000

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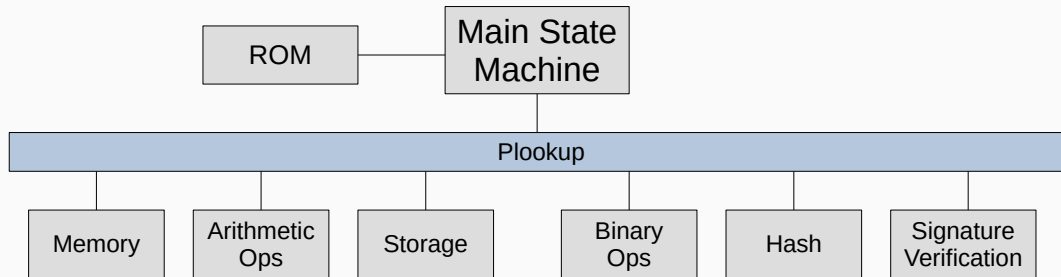


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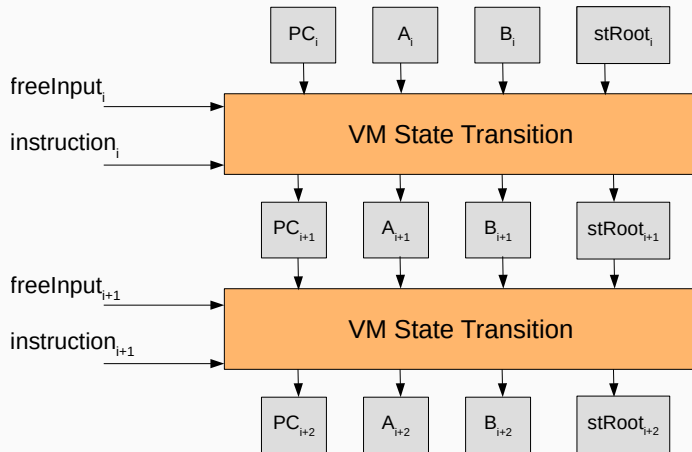
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Binary Operations

Arithmetic Operations

Main State Machine of a Virtual Machine



Main State Machine of a Virtual Machine

Position	Instruction		freeLoad	stRoot	A	B	oldStRoot	newStRoot	Key	Value
0				st1			0	0	0	0
1				st1			0	0	0	0
2				st1			0	0	0	0
3	SSTORE	[A], B	st2	st1	0x4C76	1232	st1	st2	0x4C76	1232
4				st2	0x4C76	1232	0	0	0	0
5				st2			0	0	0	0
6				st2			0	0	0	0
7	SSTORE	[A], B	st3	st2	0x8E12	7765	st2	st3	0x8E12	7765
8				st3	0x8E12	7765	0	0	0	0
9				st3			0	0	0	0
10	SSTORE	[A], B	st4	st3	0xAA23	9812	st3	st4	0xAA23	9812
11				st4	0xAA23	9812	0	0	0	0
12				st4			0	0	0	0
13				st4			0	0	0	0
14	SSTORE	[A], B	st5	st4	0x2213	8610	st4	st5	0x2213	8610
15				st5	0x2213	8610	0	0	0	0

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Memory in the Main State Machine

Position	Instruction		freeLoad	A	B	mRead	mWrite	Address	Value
0						0	0	0	0
1						0	0	0	0
2						0	0	0	0
3	MWRITE	[A], B		0x4C76	1232	0	1	0x4C76	1232
4				0x4C76	1232	0	0	0	0
5	MREAD	B, [A]	1232	0x4C76	1232	1	0	0x4C76	1232
6				0x4C76	1232	0	0	0	0
7	MWRITE	[A], B		0x8E12	7765	0	1	0x8E12	7765
8				0x8E12	7765	0	0	0	0
9						0	0	0	0
10	MWRITE	[A], B		0x2213	8610	0	1	0x2213	8610
11				0x2213	8610	0	0	0	0
12						0	0	0	0
13						0	0	0	0
14	MREAD	B, [A]	7765	0x8E12	7765	1	0	0x8E12	7765
15				0x8E12	7765	0	0	0	0

Memory State Machine

Free Inputs					Intermediary State		Results
Position	mRead	mWrite	Address	ValueIn	stOld	stNew	Value
3	0	1	0x4C76	1232	0	1232	1232
5	1	0	0x4C76		1232	1232	1232
7	0	1	0x8E12	7765	1232	7765	7765
14	1	0	0x8E12		7765	7765	7765
10	0	1	0x2213	8610	7765	8610	8610

- Using Plookup, prove that the polynomial:

$main.position(x) + v \cdot main.mRead(x) + v^2 \cdot main.mWrite(x) + v^3 \cdot main.Address(x) + v^4 \cdot main.Value(x)$,

is included in the polynomial:

$mem.position(x) + v \cdot mem.mRead(x) + v^2 \cdot mem.mWrite(x) + v^3 \cdot mem.Address(x) + v^4 \cdot mem.Value(x)$.

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Checking Binary Operations: XOR

Operation

$$f(x) \oplus g(x) = h(x).$$

1. Check byte decomposition:

$$f(x) = f_0(x) + 2^8 f_1(x) + 2^{16} f_2(x) + \dots$$

$$g(x) = g_0(x) + 2^8 g_1(x) + 2^{16} g_2(x) + \dots$$

$$h(x) = h_0(x) + 2^8 h_1(x) + 2^{16} h_2(x) + \dots$$

2. Check byte form elementwise:

$$f_0(x) \subset \text{byte}(x) \quad g_0(x) \subset \text{byte}(x) \quad h_0(x) \subset \text{byte}(x)$$

$$f_1(x) \subset \text{byte}(x) \quad g_1(x) \subset \text{byte}(x) \quad h_1(x) \subset \text{byte}(x)$$

$$f_2(x) \subset \text{byte}(x) \quad g_2(x) \subset \text{byte}(x) \quad h_2(x) \subset \text{byte}(x)$$

$$\vdots$$
$$\vdots$$
$$\vdots$$

3. Check XOR operation:

$$f_0(x) + 2^8 g_0(x) + 2^{16} h_0(x) \subset \text{XOR}(x)$$

$$f_1(x) + 2^8 g_1(x) + 2^{16} h_1(x) \subset \text{XOR}(x)$$

$$f_2(x) + 2^8 g_2(x) + 2^{16} h_2(x) \subset \text{XOR}(x)$$

$$\vdots$$

x	byte
ω^0	0x00
ω^1	0x01
\vdots	\vdots
ω^{123}	0x7B
\vdots	\vdots
ω^{255}	0xFF

x	XOR
ω^0	0x000000
ω^1	0x010001
\vdots	\vdots
ω^{5028}	0xB713A4
\vdots	\vdots
ω^{65535}	0x00FFFF

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Arithmetic Operations

Checking Arithmetic Operations: Multiplication

8 Bytes	8 Bytes	8 Bytes	8 Bytes				
a₃	a₂	a₁	a₀				
8 Bytes	8 Bytes	8 Bytes	8 Bytes				
b₃	b₂	b₁	b₀				
8 Bytes	8 Bytes	8 Bytes	8 Bytes				
c₃	c₂	c₁	c₀				
8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes
d₇	d₆	d₅	d₄	d₃	d₂	d₁	d₀
8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes	8 Bytes
e₃	e₂	e₁	e₀	f₃	f₂	f₁	f₀

$$A = a_3 \cdot 256^{24} + a_2 \cdot 256^{16} + a_1 \cdot 256^8 + a_0,$$

$$B = b_3 \cdot 256^{24} + b_2 \cdot 256^{16} + b_1 \cdot 256^8 + b_0,$$

$$C = c_3 \cdot 256^{24} + c_2 \cdot 256^{16} + c_1 \cdot 256^8 + c_0,$$

$$D = d_7 \cdot 256^{56} + d_6 \cdot 256^{48} + d_5 \cdot 256^{40} + d_4 \cdot 256^{32}$$

$$+ d_3 \cdot 256^{24} + d_2 \cdot 256^{16} + d_1 \cdot 256^8 + d_0,$$

$$E = e_3 \cdot 256^{24} + e_2 \cdot 256^{16} + e_1 \cdot 256^8 + e_0,$$

$$F = f_3 \cdot 256^{24} + f_2 \cdot 256^{16} + f_1 \cdot 256^8 + f_0,$$

$$A \cdot B + C = D = E \cdot 2^{256} + F$$

$$d_0 = f_0, d_1 = f_1, d_2 = f_2,$$

$$d_2 = f_2 + \text{carry}_1 \cdot 256^{10},$$

$$d_3 \cdot 256 + \text{carry}_1 = e_0 + \text{carry}_2 \cdot 256^{11},$$

$$d_4 \cdot 256 + \text{carry}_2 = e_1 + \text{carry}_3 \cdot 256^{11},$$

$$d_5 \cdot 256 + \text{carry}_3 = e_1.$$

$$\text{carry}_1, \text{carry}_2, \text{carry}_3 \subset \text{byte}.$$

Multiplying

Step	mA	mB	acc ₅	acc ₄	acc ₃	acc ₂	acc ₁	acc ₀
0	a_0	b_0	d_5	d_4	d_3	d_2	d_1	d_0
1	a_0	b_1	d_0	d_5	d_4	d_3	d_2	d_1
2	a_1	b_0	d_0	d_5	d_4	d_3	d_2	d_1
3	a_0	b_2	d_1	d_0	d_5	d_4	d_3	d_2
4	a_1	b_1	d_1	d_0	d_5	d_4	d_3	d_2
5	a_2	b_0	d_1	d_0	d_5	d_4	d_3	d_2
6	a_1	b_2	d_2	d_1	d_0	d_5	d_4	d_3
7	a_2	b_1	d_2	d_1	d_0	d_5	d_4	d_3
8	a_2	b_2	d_3	d_2	d_1	d_0	d_5	d_4

q _{shift}	q _{same}	q _{set}	q _{result}	q _{a0}	q _{a1}	q _{a2}	q _{b0}	q _{b1}	q _{b2}
0	0	1	0	1	0	0	1	0	0
1	0	0	0	1	0	0	0	1	0
0	1	0	0	0	1	0	1	0	0
1	0	0	0	1	0	0	0	0	1
0	1	0	0	0	1	0	0	1	0
0	1	0	0	0	0	1	1	0	0
1	0	0	0	0	1	0	0	0	1
0	1	0	0	0	0	1	0	1	0
1	0	0	1	0	0	1	0	0	1

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